

BIOLOGICAL REPORT:

Wildlife and Habitat Reconnaissance of Proposed Life
of Mine Coal Resource Areas, Black Mesa and
Kayenta Mines, Black Mesa, Arizona

Submitted To:

PEABODY WESTERN COAL COMPANY

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4 November 2003

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Abstract

In 2003, PWCC evaluated several coal resource areas on the Black Mesa leasehold for life of mine planning. This report details the TES, other wildlife resources, and wildlife habitats found on the proposed Life of Mine Coal Resource Areas (LOMCRA). The areas that were surveyed as part of this biological survey included the J2/J15, J4, J5/J6/J13/J14, J8, J9/J10, N9, N10, N99 North, and N12/N99 South LOMCRAs. Wildlife species are similar across all LOMCRAs, with large ungulate sign more frequently observed on the northern LOMCRAs. A total of 7 mammalian species, 23 avian species, and 5 herpetile species were identified on the LOMCRAs. A total of 16 listed species were identified by the Navajo Natural Heritage Program (NNHP) as occurring or potentially occurring on or near the LOMCRAs. Surface disturbance activities may affect habitat for the Navajo Mountain Mexican vole. Surface disturbance activities may also affect suitable breeding habitat for five other species listed by the NNHP. However, the potential for these five species to occur on these LOMCRAs is slim. There are no records for the black-footed ferret, the mountain plover, the kit fox or the Townsend's big-eared bat and all but one of these species (the Townsend's big-eared bat) are unlikely to occur on the mine leasehold. Navajo Mountain Mexican vole habitat is present in the N10, N99 North, and the J2/15 LOMCRAs. The total acreage of suitable habitat for this species in each of these LOMCRAs is 40.8, 27.8, and 1.6 acres respectively for a total of 70.2 acres affected in the LOMCR study areas. Raptor surveys for breeding northern goshawks were conducted in the N99 North and N10 areas. No northern goshawks were documented during surveys.

Contents

Abstract i
 Introduction 1
 Proposed LOMCRAs 2
 Methods 2
 Field Reconnaissance 2
 Historic Data Search 3
 Raptor Surveys on Selected LOMCRAs 3
 NESL Species Assessments 3
 Results 4
 LOMCRAs 4
 Raptor Surveys on Selected LOMCRAs 5
 N99 North LOMCRA Survey 5
 N10 LOMCRA Survey 6
 Threatened, Endangered, and Sensitive Species 7
 Listed Species Descriptions 8
 Southwestern Willow Flycatcher (*Empidonax trailii extimus*) 8
 Black-footed ferret (*Mustela nigripes*) 8
 Northern Leopard Frog (*Rana pipiens*) 9
 Golden Eagle (*Aquila chrysaetos*) 9
 Ferruginous Hawk (*Buteo regalis*) 9
 Mexican spotted owl (*Strix occidentalis lucida*) 10
 Northern Goshawk (*Accipiter gentilis*) 10
 Northern Saw-whet Owl (*Aeoglius acadicus*) 11
 Mountain Plover (*Charadrius montanus*) 2
 Peregrine Falcon (*Falco peregrinus*) 12
 Northern Pygmy Owl (*Glaucidium gnoma*) 13
 Navajo Mountain Mexican Vole (*Microtus mexicanus navaho*) 13
 Flammulated Owl (*Otus flammeolus*) 14
 Townsend’s Big-eared Bat (*Plecotus townsendii*) 15
 Kit Fox (*Vulpes macrotis*) 15
 Bald Eagle (*Haliaeetus leucocephalus*) 16
 Summary 16
 Literature Cited 18

List of Tables

Table 1. LOMCRAs on the Black Mesa leasehold 4
 Table 2. Vertebrate species observed during LOMCRA field reconnaissance 5
 Table 3. Species with potential to occur on or near the LOMCRAs 7

List of Attachments

ATTACHMENT A:

- Map 1. LOMCRAs and Potential TES Habitat
- Map 2. Northern Goshawk Survey and Raptor Responses.

ATTACHMENT B:

- Photos 1-4. LOMCRA Study Area Photos

Introduction

Peabody Western Coal Company (PWCC) contracted BIOME, Ecological and Wildlife Research (BIOME) to conduct wildlife studies in certain areas on the Black Mesa leasehold scheduled for future mining. These studies consisted of general wildlife, threatened, endangered, and sensitive (TES) species inventory and monitoring for the purpose of augmenting the original baseline studies conducted in and surrounding the Black Mesa leasehold. The results of these studies, in conjunction with the results of the original baseline work, support the application to include the new areas in the Life-of-Mine Plan for the Black Mesa and Kayenta Mines.

This report details the TES, other wildlife resources, and wildlife habitats found on the new Life of Mine Coal Resource Areas (LOMCRA). The original baseline wildlife studies of the Black Mesa leasehold, conducted in three phases from 1979 through 1983, were completed in order to qualitatively and quantitatively describe the wildlife and wildlife habitats in and immediately surrounding the entire Black Mesa leasehold. The results of these studies were presented in Chapters 9 and 10 of the Permit Application Package (PAP, PWCC 1985) for the Black Mesa and Kayenta Mines.

The 2003 studies used the latest tribal, state, and federal lists of TES species to determine which species have the potential to occur on the Black Mesa leasehold. The work was conducted seasonally, focusing on the spring and fall migration periods for avian species, in order to obtain representative results. The remainder of this report details the results of surveys conducted during the 2003 field season and a summary of the knowledge currently known about the LOMCRAs.

Data was collected for this report by general reconnaissance surveys for TES species habitats, spring and fall avian surveys, and delineation of potential TES species habitat within each LOMCRA. Such wildlife monitoring activities will serve to address potential direct impacts to wildlife, TES species, and potential habitat for TES species, resulting from continuation of mine activities.

To determine the potential impacts to TES and wildlife species on the LOMCRAs, BIOME conducted the following activities on the Black Mesa Mine during the 2003 field season:

- 1) A general reconnaissance for TES species and potential TES species habitats in each of the LOMCRAs where future mining may occur,
- 2) Spring and fall general avian surveys,
- 3) A delineation of suitable habitat for TES species within each LOMCRA, and
- 4) A historic data search of wildlife known, or with potential to occur, within or near these areas,
- 5) Raptor surveys, focusing on presence of northern goshawk, in selected LOMCRAs.

Proposed LOMCRAs

The Black Mesa leasehold lies on the north-central portion of the Black Mesa monocline and the habitats represented on the leasehold are entirely Great Basin cold desert woodlands, particularly pinyon-juniper woodland (Brown 1994), and cold desert habitats, particularly sagebrush shrubland. There are currently five active mining areas on the 65,000-acre mine leasehold. In anticipation of future mining activities on the leasehold, 9 additional LOMCRAs were surveyed to determine the potential for TES species or suitable habitat to occur on them. The areas that were surveyed as part of this biological survey included the J2/J15, J4, J5/J6/J13/J14, J8, J9/J10, N9, N10, N99 North, and N12/N99 South LOMCRAs (Attachment B, Drawing 1).

Each LOMCRA varies with regards to the quantity of woodland canopy coverage, age of woodland present, density and coverage of woody shrub cover, and soils present. Important habitats found on the LOMCRAs include contiguous sagebrush flats, mid to late-seral pinyon-juniper woodland, ephemeral drainages, and sandstone bluffs. Contiguous sagebrush shrubland has been identified as important habitat for a Group 4 (NESL) species, the Navajo Mountain Vole (*Microtus mexicanus navaho*). Mid to late seral woodlands represent potentially suitable breeding habitat for forest raptors including Cooper's hawks (*Accipiter cooperi*) and northern goshawks (*Accipiter gentilis*). Ephemeral drainages, including Coal Mine Wash, Moenkopi Wash, and Yellow Water Wash support thin strands of salt cedar (*Tamarisk ramosissima*) in some areas and provide habitat for migrant songbirds and rodents (including the Navajo Mountain Mexican vole). Sandstone bluffs provide preferred breeding habitats for red-tailed hawks (*Buteo jamaicensis*).

Elevation of the LOMCRAs ranges from 6,100 feet in elevation on the southern end of the leasehold (i.e. mine area J8) to approximately 6,900 feet at the northern end of the leasehold (i.e. mine area N10). Numerous large drainages dissect the proposed LOMCRAs, but habitat within these features will have minimal impacts from mine-related activities. Soils on the LOMCRAs vary from shallow to moderate depth and are predominantly composed of sandstone and shale derivatives.

Methods

Field Reconnaissance

A field reconnaissance of each LOMCRA was conducted to identify important habitats, determine the need for species-specific surveys, and produce a species list of vertebrates on each LOMCRA. Pedestrian transects, vantage point observations, and directed reconnaissance of important habitats was used to determine the suitability of each LOMCRA for TES species. During the reconnaissance of each extension area a list of all avian, mammalian, and herpetile species, identified directly or indirectly (scat, tracks, etc.), were recorded. Field maps were used to delineate potentially suitable habitat for TES species and to record the locations of individual species of interest. Photos were taken of habitats within LOMCRAs and of representative LOMCRAs. GPS coordinates were taken at locations where TES species were suspected or observed. Data for TES species have been included on the final map (Attachment A, Map 1).

Historic Data Search

The original baseline wildlife studies of the Black Mesa leasehold, conducted between 1979 and 1983, identified the important habitats on, and within the two-mile buffer area, of the Black Mesa leasehold. The results of these studies were presented in the PAP for the Black Mesa and Kayenta Mines (PWCC 1985). Using these reported data collected by PWCC in past years, we identified records of TES species on or near proposed LOMCRAs. This information was used to identify important habitats on LOMCRAs, and to focus reconnaissance efforts during the field surveys.

Raptor Surveys on Selected LOMCRAs

Species-specific surveys for northern goshawks and other raptors were conducted during the 2003 field season in LOMCRAs N99 North and N10 (Attachment A, Map 2). Survey methods followed those described by Joy et al. (1994). Two field surveys were conducted, the first survey during the last week of May and the second survey during second week of July. Areas around proposed borehole/corehole locations were checked for the presence of stick nests in potentially suitable trees. Calling stations were set approximately 970 feet apart within suitable habitat to attain complete coverage of the survey area. A battery amplified power horn was used to broadcast northern goshawk alarm calls for 2 minutes at each calling station followed by a 2-minute listening period. This process was repeated to provide a total of 8 minutes of calling/listening time per calling station. All raptor species, whether responsive to call or incidentally observed, were documented at and between calling stations.

NESL Species Assessments

A list of threatened, endangered, and sensitive (TES) species potentially occurring on the Black Mesa leasehold was derived using the March 2001 Navajo Endangered Species List (NESL) published by the Navajo Natural Heritage Program (NNHP). Species were included on the list based on habitats present on the Black Mesa leasehold, documented records of listed species from historical biological surveys, and personal knowledge of the fauna of the region.

Results

LOMCRA

The proposed LOMCRAs encompass 15,019 acres of the approximately 65,000-acre leasehold. Individual acreages of each LOMCRA are provided in Table 1. Not all LOMCRAs listed in Table 1 were surveyed as part of this project. Those LOMCRAs with previous data were excluded.

Table 1. LOMCRAs on the Black Mesa leasehold.

LOMCRA	Affected Acres
J2/J15	1664
J4	524
J8	717
J9*/10	1142
N9	2345
N10	1795
N12/N99 South	2778
N99 North	1711
J5/6/13/14	2343

*Area previously surveyed (see SWCA 2000).

Each LOMCRA varied in the amount of woodland coverage, drainages, shrubland, and open habitats present. The most northern LOMCRAs (N9, N10, and N99) have mid to late seral pinyon-juniper woodland that is well developed in some areas (Attachment B, Photo 1). Tree species include pinyon pine (*Pinus edulis*), Gambel oak (*Quercus gambelii*), and Utah juniper (*Juniperus osteosperma*). Utah juniper dominates many of the lower, drier woodland areas, but denser pinyon-dominated stands occur at higher elevations or areas with more mesic site conditions. Gambel oak also becomes a common inclusion in these areas. This habitat is typified by a canopy height of less than 30 feet with some mid-story shrub or tree component. Ground cover varies, but is dominated by woody perennial plant species including big sagebrush (*Artemisia tridentata*), cliffrose (*Cowania mexicana*), and four-wing saltbush (*Atriplex canescens*). Herbaceous composition can be diverse, but overall cover is sparse.

The southern mine areas (J2/15, J5/6/13/14, J4, and J8/9/10) are all characteristically low in woodland coverage and although pinyon-juniper woodland is present in all of these areas, the density of trees, usually represented by Utah juniper, is low (Attachment B, Photo 2). Canopy height over most of these areas is less than four feet and is dominated by woody shrubs, mostly big sagebrush. Other shrub species on the southern LOMCRAs include cliffrose, snakeweed (*Gutierrezia sarothrae*), black greasewood (*Sarcobatus vermiculatus*), and four-wing saltbush. Herbaceous species coverage is somewhat greater in these shrubland types and include blue grama (*Bouteloua gracilis*), Indian ricegrass (*Oryzopsis hymenoides*), galleta (*Hilaria jamesii*) and globemallow (*Sphaeralcea* sp.) Detailed vegetation studies are presented in the PAP, Chapter 9, Vegetation Resources.

Wildlife species are similar across all LOMCRAs, with large ungulate sign more frequently observed on the northern LOMCRAs. This however was not numerically quantified. Observations of ungulates included four mule deer in N99 North, six mule deer at the north end of the N10 LOMCRA, and numerous sign of mule deer and elk (pellet groups) within N9 North,

N10, N99 North, N12/N99 South, and J2/15. Other observed wildlife included several mammal species, numerous avian representatives, and typical Great Basin herpetofauna. A list of vertebrate species observed during the field reconnaissance is presented in Table 2.

Table 2. Vertebrate species observed during LOMCRA field reconnaissance.

	<i>Scientific Name</i>	Common Name
Mammals	<i>Canis latrans</i>	Coyote
	<i>Cervus elaphus</i>	Rocky Mountain elk
	<i>Lepus californicus</i>	Black-tailed jackrabbit
	<i>Neotoma</i> sp.	Woodrat
	<i>Odocoileus hemionus</i>	Mule deer
	<i>Spermophilus variegatus</i>	Rock squirrel
Birds	<i>Sylvilagus audubonii</i>	Desert cottontail
	<i>Amphispiza belli</i>	Sage sparrow
	<i>Aphelocoma californica</i>	Western scrub-jay
	<i>Baeolophus ridgwayi</i>	Juniper titmouse
	<i>Buteo jamaicensis</i>	Red-tailed hawk
	<i>Carpodacus mexicanus</i>	House finch
	<i>Cathartes aura</i>	Turkey vulture
	<i>Chondestes grammacus</i>	Vesper sparrow
	<i>Corvus corax</i>	Common raven
	<i>Dendroica nigrescens</i>	Black-throated gray warbler
	<i>Empidonax wrightii</i>	Gray flycatcher
	<i>Falco sparverius</i>	American kestrel
	<i>Gymnorhinus cyanocephalus</i>	Pinyon jay
	<i>Myiarchus cinerascens</i>	Ash-throated flycatcher
	<i>Pipilo maculatus</i>	Spotted towhee
	<i>Poecile gambelli</i>	Mountain chickadee
	<i>Poliophtila caerulea</i>	Blue-gray gnatcatcher
	<i>Psaltiriparus minimus</i>	Bushtit
	<i>Sayornis saya</i>	Say's phoebe
	<i>Sialia currucoides</i>	Mountain bluebird
	<i>Sialia mexicana</i>	Western blue bird
	<i>Sitta carolina</i>	White-breasted nuthatch
	<i>Spizella passerina</i>	Chipping sparrow
<i>Tachycineta thalassina</i>	Violet-green swallow	
<i>Thryomanes bewickii</i>	Bewick's wren	
Herpetiles	<i>Cnemidophorus</i> sp.	Whiptail lizard
	<i>Crotophytus collaris</i>	Collared lizard
	<i>Sceloporus graciosus</i>	Sagebrush lizard
	<i>Sceloporus undulatus</i>	Fence lizard
	<i>Uta stansburiana</i>	Side-blotched lizard

Raptor Surveys on Selected LOMCRAs

A total of 14 calling stations were visited in the N10 LOMCRA and 30 stations were visited in the N99 North LOMCRA (Attachment A, Map 2). One historic red-tailed hawk (*Buteo jamaicensis*) nesting location in the N99 North LOMCRA was visited during each survey period to determine the status of the nest. This nest remained inactive during the entire 2003 field season and little remains of the original nest.

N99 North LOMCRA Survey

A single American kestrel (*Falco sparverius*) was observed on two occasions (6 May and 16 July). This individual was a male and was observed in approximately the same location during both survey periods; it is likely that the same individual was observed on both occasions. Other avian species observed during surveys included pinyon jays, Bewick's wren, Juniper titmouse,

rock wren, house finch, gray flycatcher, Blue-gray gnatcatcher, Black-throated gray warbler, plumbeous vireo, dark-eyed junco, bushtit, ruby-crowned kinglet, ash-throated flycatcher, hairy woodpecker, and common raven. Several pair of pinyon jays were observed actively building nests in the southeastern portion of the N99 North area, closest to the N14-D pond.

N10 LOMCRA Survey

On the morning of the first survey, a male Cooper's hawk was observed on the ridge to the east of Yellow Water Canyon, approximately 1.5 miles north of the N10 LOMCRA. This bird was soaring and displaying hackles, suggesting the bird may have been in the early stages of breeding. A male American kestrel was observed on 30 April in the western portion of the N10 LOMCRA. This bird did not respond to calling, but flew north into Yellow Water Canyon. The same individual (assumed) was seen in the vicinity of the first location later in the season, but was not identified during the second survey period. A single red-tailed hawk was observed in a small canyon on the north-central portion of the N10 LOMCRA. This bird was soaring and flew north out of the LOMCRA and no additional observations of this species were recorded in this location during the field season. Other avian species observed during surveys of the N10 LOMCRA included pinyon jay, white-breasted nuthatch, gray flycatcher, green-tailed towhee, scrub jay (carrying food), Bewick's wren, violet-green swallow, juniper titmouse, and Cassin's kingbird. Both the pinyon jays and the Cassin's kingbird responded to the broadcast surveys.

Threatened, Endangered, and Sensitive Species

A total of 16 listed or candidate species were identified by the NNHP as occurring, or potentially occurring, on or near the LOMCRAs (Table 3). In addition to listed species, migratory birds and non-endangered raptors and bald eagle may occur on or near the LOMCRAs. Migratory birds and non-endangered raptors are treated in the section above.

Table 3. Species with potential to occur on or near the LOMCRAs.

Scientific Name	Common Name	NESL Status*	Federal Status
<i>Empidonax trailii extimus</i>	Southwestern willow flycatcher	Group 2	E, MBTA
<i>Mustela nigripes</i>	Black-footed ferret	Group 2	E
<i>Rana pipiens</i>	Northern leopard frog	Group 2	none
<i>Aquila chrysaetos</i>	Golden eagle	Group 3	EPA, MBTA
<i>Buteo regalis</i>	Ferruginous hawk	Group 3	MBTA
<i>Strix occidentalis lucida</i>	Mexican spotted owl	Group 3	T
<i>Accipiter gentilis</i>	Northern goshawk	Group 4	MBTA
<i>Aegolius acadicus</i>	Northern saw-whet owl	Group 4	MBTA
<i>Charadrius montanus</i>	Mountain plover	Group 4	T, MBTA
<i>Falco peregrinus</i>	Peregrine falcon	Group 4	MBTA
<i>Glaucidium gnoma</i>	Northern pygmy owl	Group 4	MBTA
<i>Microtus mexicanus navaho</i>	Navajo Mountain vole	Group 4	none
<i>Otus flammeolus</i>	Flammulated owl	Group 4	MBTA
<i>Plecotus townsendii</i>	Townsend's big-eared bat	Group 4	none
<i>Vulpes macrotis</i>	Kit fox	Group 4	none
<i>Haliaeetus leucocephalus</i>	Bald eagle	none (ETL)	EPA, MBTA

* NESL March 2001 List

Status Definitions

Navajo Endangered Species List Status Codes and Definitions

G1- Group 1: Extirpated - Species no longer occurs on the Navajo Nation.

G2- Group 2: Endangered - Any species or subspecies in danger of being eliminated from all or a significant portion of its range on the Navajo Nation.

G3- Group 3: Threatened - Any species or subspecies which is likely to become endangered within the foreseeable future, throughout all or a significant portion of its range on the Navajo Nation.

G4- Group 4: Candidate - Any species or subspecies for which the NFWD does not currently have sufficient information to support their listing as G2 or G3 but has reason to consider them. The NFWD is actively seeking information to determine if they warrant inclusion in a different group or removal from the list. They are not protected under Tribal Code but should be considered in project planning.

ETL – A species for which element occurrence records are requested, but have no listing status on the NESL.

Federal Status Codes and Definitions

LE - Listed Endangered by the USFWS under the Endangered Species Act (ESA).

LT - Listed Threatened by the USFWS under the ESA.

C -Candidate as listed by the USFWS under the ESA. Species determined to be appropriate for listing, but are currently precluded due to other listing priorities.

MBTA – Birds federally protected under the Migratory Bird Treaty Act (16 USC § 703 et seq).

EPA – Bald and Golden Eagle Protection Act of 1940 (16 USC § 668a-668d)

Species that have no potentially suitable habitat on the LOMCRAs were not included on this list of TES species. Such species included all fish species, riparian obligates, species with particularly narrow geographic distributions, and species with specific habitats not found on or near the LOMCRAs. Fish species and riparian obligates (i.e. western yellow-billed cuckoo) were not considered as there are no such habitats on the LOMCRAs. The following section provides a brief summary of each listed species considered by this biological report. The species status, habitat requirements, and potential to occur on the LOMCRAs are described. Details of the extent of suitable habitat for species potentially occurring on the LOMCRAs is also described.

Listed Species Descriptions

Southwestern Willow Flycatcher (*Empidonax trailii extimus*)

The southwestern willow flycatcher is a riparian obligate, neotropical migrant subspecies that nests along rivers, streams, or other wetlands where dense growths of shrubs and medium-sized trees are present, often with a scattered overstory of cottonwood. It is known to nest in thickets dominated by salt cedar (Sogge et al. 1997). In the Southwest, nesting habitat usually contains or is adjacent to water or saturated soil (Phillips et al. 1964, Muiznieks et al. 1994). Foraging habitat is typically riparian habitats, backwaters, and sandbars adjacent to nest sites (Sogge et al. 1997). The southwestern willow flycatcher was listed by the USFWS as an endangered species in February 1995 (60 FR 10694) and is currently listed as a Group 2 NESL species on the Navajo Nation (NNHP 2001).

Observations of willow flycatchers (*E. trailii*) have been recorded in the lower valleys throughout the region (La Rue 1994), but the subspecies of willow flycatcher observed has never been documented. Since there are at least three subspecies of willow flycatcher that could be present in the area during migration, it is impossible to determine the subspecies observed using visual characteristics. All drainage stringers that support salt cedar may be considered habitat. The J2/15 LOMCRA has such a habitat that bisects the area and the portion of Yellow Water Wash that borders the N9 North area also supports this habitat. None of the LOMCRAs have suitable breeding habitat however and any records of this species on LOMCRAs will undoubtedly be migrants.

Black-footed ferret (*Mustela nigripes*)

The black footed-ferret is listed as a Group 2 NESL species by the NFWD and is currently considered extirpated from the Navajo Nation. This species was listed as an endangered species by the USFWS on 11 March 1967 (32 FR 4001). The historic range of this ferret extends from the Great Plains of Canada to the inter-mountain region of the interior Rocky Mountains and the Southwest. In Arizona, the black-footed ferret's range probably coincided with that of Gunnison's prairie dog (*Cynomys gunnisoni*), from western Coconino County eastward, north of the Mogollon Rim, and possibly ranging south of the rim with black-tailed prairie dog (*Cynomys ludovicianus*) colonies in Graham and Cochise counties (Hoffmeister 1986). While no naturally occurring wild populations are known today, the ferret has been reintroduced successfully in northwestern Arizona and Wyoming. It has traditionally lived in prairies, almost exclusively in association with prairie dog towns as prairie dogs are the ferret's primary source of food. In addition, old prairie dog burrows are used by black-footed ferrets for denning, breeding, and raising their young (USFWS 1978). There are no known records of this species on Black Mesa and this species will not be affected by ground disturbance activities.

Northern Leopard Frog (*Rana pipiens*)

Northern leopard frogs are found in a variety of habitats including, grassland, brushland, woodland, and forest, ranging from near sea level to high elevation mountain areas. Areas where this species is found are normally lacking bullfrogs (*Rana catesbiana*) and introduced fish (Hayes and Jennings 1986, Rosen et al. 1996). It may forage at a distance from water in damp meadows. This species is fairly resistant to disturbance as long as adequate buffers are kept around water sources where this species is found. At present nearly all of the known populations of this Group 2 NESL species are now considered extirpated on the Navajo Nation (personal communication, David Mikesic, NFWF, August 2003). Currently, there are no suitable habitats for this species on Black Mesa, and there are no known documented historic records for the northern leopard frog on Black Mesa. Although the many water impoundments that now occur on the leasehold and on areas adjacent to the leasehold, could potentially support this species, there is no natural method for introduction of leopard frogs onto the Black Mesa monocline.

Golden Eagle (*Aquila chrysaetos*)

The golden eagle, a Group 3 NESL species, is a large raptor that is found throughout North America, most frequently in open areas, especially where large, steep cliffs or tall, coniferous trees are present, providing nesting locations at the edges of foraging territories. Most nesting areas are perennial and are occupied by the same breeding pair for many years (Ehrlich et al. 1988). In most of the West, adults remain on territories throughout the year; Black Mesa is no exception to this rule. Golden eagles typically prey upon small to medium sized vertebrate prey including rabbits, snakes, and ground squirrels. Golden eagles have been near Lolomi Point, and near Kayenta Point. Golden eagles are sparse permanent residents of Black Mesa and there is evidence that this species occasionally occurs on the leasehold to forage on prairie dog towns on the southern end of the mine leasehold. Specific records for this species on the leasehold are not available.

Ferruginous Hawk (*Buteo regalis*)

The ferruginous hawk, a Group 3 NESL species, is a large raptor that is closely related to the red-tailed hawk (*Buteo jamaicensis*). It is the largest *Buteo* hawk in North America and is found breeding in open country from the Great Plains to southern California, Nevada, and eastern Washington and Oregon with sporadic records east of the Great Plains (Sibley 2000). Ferruginous hawks use perennial nest sites located in trees, on cliff faces, or on the ground. Prey includes small mammals and other small vertebrates and population fluctuations appear to be closely tied to jackrabbit abundance. On the Navajo Nation, nesting sites are known from juniper (*Juniperus* sp.) trees and transmission lines, but are associated with areas where preferred prey, jackrabbits (*Lepus californicus*) and cottontail (*Sylvilagus* spp.) occur. Ferruginous hawks are migratory and winter in the southwestern U.S. and Mexico.

On Black Mesa, ferruginous hawks have been observed both on and off the leasehold. On the Navajo Nation, nesting sites are known predominantly (~90%) from short cliffs, badland bluffs and pinnacles, or erect sandstone structures. Similar types of habitats occur on the LOMCRAs, but there are no records for this species breeding on Black Mesa. One summer record of an adult dark morph suggests that breeding may have occurred on or near Black Mesa since mining began (LaRue 1994). The lagomorph community and the large prairie dog colonies may attract this species during the fall and spring months when migrants are common throughout Arizona, but the potential for breeding pairs to establish on the leasehold is low.

Mexican spotted owl (*Strix occidentalis lucida*)

The Mexican spotted owl is listed as a Group 3 species on the NESL and is listed as threatened by the USFWS (58 FR 14248). The Mexican spotted owl has a wide but patchy distribution throughout Arizona (except for the southwestern portion of the state where it is absent). Throughout the majority of the state this species inhabits rocky canyon lands and coniferous forests. Ganey and Balda (1989) found this species only in forested highlands, at elevations ranging from 3,690 to 12,100 feet. Suitable habitat is generally described as having relatively closed canopy made up of ponderosa pine, mixed conifer forest, and associated with steep canyons (AGFD 1988; Ganey and Balda 1994). Nests are typically in trees, on platforms such as old squirrel nests or other raptor nests, in tree cavities formed by broken off branches or tops of witches brooms, or in rock cavities or caves. The diet of the spotted owl can be varied, consisting of small mammals, birds, lizards, bats, beetles, and rabbits, although woodrats (*Neotoma* sp.) are the most common and important prey item range wide (Ganey and Balda 1985, Johnson and Johnson 1985). Foraging typically takes place at night.

Mexican spotted owls have been studied extensively on Black Mesa, where this species is a permanent resident. Intensive monitoring was conducted from 1994 to 2000 and results are presented in PWCC annual wildlife monitoring reports and Chapter 10, Fish and Wildlife Resources, in the PAP. Mining activities on the northern edge of the leasehold initiated surveys and additional studies, and formal monitoring and other studies were ongoing between 1982 and 2000. All nesting records for this species have been in mixed conifer habitats, many within two miles of the leasehold's northern boundary. The LOMCRAs that are closest to potentially active Mexican spotted owl breeding locations are the N9, N10 and N99 North LOMCRAs. There are no suitable breeding habitats within any of the LOMCRAs, but movements of this species are unknown during winter periods. To date, there has been no evidence that Mexican spotted owls use mine reclamation or adjacent undisturbed pinyon-juniper habitats on the leasehold. The closest known records of Mexican spotted owl to the Black Mesa leasehold have been monitored in Yellow Water Canyon and in side canyons of Coal Mine Wash and Moenkopi Wash.

Northern Goshawk (*Accipiter gentilis*)

Northern goshawks nest in large forest stands characterized by a canopy cover greater than 50% and sparse understory vegetation (Johnsgard 1990). The northern goshawk is a Group 4 NESL species. In the Southwest, goshawks are most frequently found in pine forests with open park-like understories. Stands of old growth trees are preferred for nest sites but the species has been known to nest in young second growth forest, as long as older trees are remain in the stand. Nest height is typically between 60 and 140 feet, but most studies of nesting northern goshawks have been conducted in stands of tall timber (i.e. ponderosa pine (*Pinus ponderosa*), or Douglas fir

(*Pseudotsuga menseizii*)(Siders and Kennedy 1994).

Northern goshawk habitat consists of three critical elements (Reynolds et al. 1992). The first is a nesting area of approximately 30 acres containing one or more stands of large, old trees with a dense canopy cover. The nesting area should contain three suitable nesting sites, with three additional alternative nesting sites. Nesting sites are typically located in northerly aspect drainages or canyons near a source of water. The second habitat element is a Post Fledgling Area (PFA) of approximately 420 acres surrounding the nesting area. This area is important for fledged nestlings to hunt and hide from predators. The PFA must contain a variety of forest types and conditions including patches of dense trees, a developed herbaceous and/or shrubby understory, and prey habitat attributes such as snags, downed logs, small forest openings ($\frac{1}{3}$ to 4 acres), and woody debris. The majority of the PFA is forest of mid-aged, mature, or old trees with an intermixture of forest vegetative stages, supporting prey species including squirrels, rabbits, woodpeckers, jays, and grouse. The third element of goshawk habitat is a foraging area of approximately 5,400 acres. The foraging area must contain similar attributes as the PFA, however a more open canopy is suitable without the need for fledgling protection.

LaRue (1994) reported one nesting record for northern goshawk on Black Mesa nesting in a dense pinyon-juniper stand in White House Valley during the breeding season of 1993. Recent historic records also suggest that northern goshawks are more abundant during the winter month, especially during some years (LaRue 1994). Most of the nesting records are from mixed conifer habitats and large stands of ponderosa pine, north of the leasehold. An aggressive female was observed during the 2001 field season approximately 2 miles north of the leasehold in Yellow Water Canyon. Potentially suitable breeding habitat on LOMCRAs exists in the N99 North, N10, and N9 LOMCRAs.

Northern Saw-whet Owl (*Aeoglius acadicus*)

The northern saw-whet owl, a Group 4 NESL species, is a small migratory raptor that inhabits ponderosa pine, pine-oak, and mixed conifer woodlands of the western U.S. (Ehrlich et al 1988). This species nests in abandoned woodpecker holes or natural cavities and forages mainly on rodents. The northern saw-whet owl is listed as a Group 4 species on the NESL, mainly due to a poor understanding of the species distribution on the Navajo Nation (Mikesic and Nystedt 2001). Although this species probably breeds on Black Mesa, there are no current records of breeding (La Rue 1994). This species has been observed and heard numerous times in the vicinity of Owl Canyon (west of road to Kayenta Point) and other locations on the northern edge of Black Mesa, but no records are known from the mine leasehold. All locations where this species has been identified are characterized by well-developed forest habitat including ponderosa pine and Douglas fir or well-developed pinyon pine woodland adjacent to mixed conifer woodland. The habitats represented within the LOMCRAs do not provide suitable nesting habitat for this species and it is unlikely that the species would occur on any of the LOMCRAs.

Mountain Plover (*Charadrius montanus*)

The mountain plover is a migratory shorebird, similar in size to the killdeer (*Charadrius vociferus*), but lacking the bold markings on the neck and around the eye (Sibley 2000). This species is typically found far from water, where it breeds in shortgrass prairie, rolling grasslands, and badlands frequently with more than 33% bare ground (Ehrlich et al. 1988). This species breeding range extends from Montana, Wyoming, and eastern Colorado, to New Mexico, and the Oklahoma, and Texas panhandles. Wintering areas are typically southern Arizona, New Mexico, Texas, California, and northern Mexico. Migration habitat is similar to breeding habitat. In some areas this species is found preferentially near prairie dog (*Cynomys* sp.) and bannertail kangaroo rat (*Dipodomys spectabilis*) colonies. This species is listed as a Group 4 species on the NESL and has been proposed for listing as a threatened species by the USFWS (68 FR 8487).

The only known breeding records for this species that have been documented in northwestern New Mexico, occur on the Navajo Nation, where 11 plovers were recently found breeding in badland habitats with low vegetative cover (USFWS 2002; 67 FR 72396). Vegetative coverage suggests that the LOMCRAs on the southwestern end of the leasehold should be considered suitable breeding habitat so there is potential for this species to occur on the project area. The presence of large colonies of prairie dogs on the J5/6/13/14 and J8 LOMCRAs, and the low vegetative cover produce potential breeding habitat for this species. There are no records of this species on Black Mesa.

Mountain plovers generally leave breeding areas shortly after breeding is completed and post-breeding movements are well underway by August (64 FR 7587). Recent precipitous, range-wide declines in the populations of this species have prompted the USFWS to reopen the comment period for the listing of the mountain plover.

Peregrine Falcon (*Falco peregrinus*)

The peregrine falcon was originally listed as endangered on 2 June 1970 (35 FR 8591) after precipitous declines in populations, attributed to effects from pesticide use. After successful recovery, the peregrine falcon was removed from the USFWS list of endangered species on 25 August 1999 (64 FR 46541); it remains a Group 4 species on the NESL. Peregrine falcons are found throughout the U.S. and, on the Navajo Nation, are found where steep-walled canyons and cliff habitats occur. Nesting sites are usually located in close proximity to water, especially in the Southwest (Skaggs et al. 1986). Johnson et al. (1977) found some of the highest breeding bird densities in North America in southwestern riparian habitats. Nests are sometimes found on man-made structures such as building ledges and bridges (usually as a result of hacking programs). The density of cliffs may determine the suitability of an area for nesting.

On the Navajo Nation, peregrine falcons have been known to nest on cliffs of 150 feet high or less, but usually breed on tall, extensive cliffs, 300 feet in height or greater. The best peregrine nesting habitat on the Navajo Nation occurs in the canyons and cliffs on the forest edge. Such areas include Canyon de Chelly and the sandstone cliffs near Navajo, New Mexico, where falcons are known to nest regularly.

Suitable nesting habitat occurs on the northern edge of Black Mesa and in the steep-walled canyons on the northern portion of Black Mesa. Several historic nesting sites were monitored annually by PWCC until the 2001 field season. Several permanent ponds are used as foraging habitat by peregrine falcons that breed on the northern rim. Historic records collected by PWCC have documented peregrine falcons preying upon horned larks (*Eremophila alpestris*) on mine reclamation, Baird's sandpipers (*Calidris bairdii*) over N2 reclamation, and mourning dove (*Zenaida macroura*) in Long House Valley. Peregrine falcons have been observed during annual monitoring hunting waterfowl on the J7 pond, the N14 ponds, and the N1-RA basin of the N1-2 reclamation. Observations documented during PWCC annual monitoring have occurred between March and September.

None of the LOMCRAs provide suitable nesting habitat for peregrine falcons, but all areas that support adequate numbers of birds may be potentially suitable foraging habitat. Such LOMCRAs include the N9, N10, N99 North, and N12/N99 South LOMCRAs. These areas all have well-developed pinyon-juniper woodland where prey species (especially jays and mourning doves) are found.

Northern Pygmy Owl (*Glaucidium gnoma*)

The northern pygmy owl is found throughout the forested portions of the western U.S. (Ehrlich et al. 1988). This species is listed as a Group 4 species on the NESL and breeding records of this species on the Navajo Nation are sought by the NFWF. Breeding records for this species are known from the Chuska Mountain range and Tsegi Canyon (Mikesic and Nystedt 2001). This species typically occupies dense coniferous or deciduous woodland habitats and on Black Mesa is known from mixed conifer habitats (La Rue 1994) in Coal Mine Wash and Yellow Water Canyon areas. There are no records for this species within the 2-mile buffer zone.

No mixed conifer habitat occurs on the LOMCRAs and it is unlikely that this species would be found within any of the LOMCRAs. This species is suspected to move to lower elevations during the winter months and since records of this species are known from Yellow Water Canyon, an area adjacent to the N9 North LOMCRA, some portions of the northern LOMCRAs may be used during winter. Mining in these areas will not affect this species.

Navajo Mountain Mexican Vole (*Microtus mexicanus navaho*)

The Navajo Mountain vole is one of three recognized subspecies of Mexican vole found in Arizona (Hoffmeister 1986). This species is listed as a Group 4 species on the NESL. Compared to the other two subspecies, *Microtus mexicanus mogollonensis* and *M. m. hualapaiensis*, this subspecies is characterized by smaller size in all external and most cranial measurements, and by having a lighter dorsal coloration. Navajo Mountain Mexican voles (hereafter Mexican vole) were originally identified on Navajo Mountain, on the Arizona-Utah border, but have also been documented in other areas of Arizona. Other areas include south of the Grand Canyon, on the San Francisco Peaks, near Williams, and on the sky islands of the Navajo Nation, including Black Mesa.

Mexican voles are typically inhabitants of dry, grassy areas and are the most widely distributed Microtine rodent in Arizona (Hoffmeister 1986). These animals have been trapped in all life zones from pinyon-juniper to spruce-fir. Where they are found, they can occur in high densities

and have been captured in close proximity to other *Microtus* species. Mexican voles have relatively low reproduction rates with litters averaging two embryos (Hoffmeister 1986). Reproductively active individuals have been found to be pregnant in all months of the year, but reproduction is generally limited to periods of snow absence (Hilton 1992).

On Black Mesa, previous baseline studies of the leasehold describe this species as rare in abundance, and found in areas of rocky substrates (LaRue 1994). Mexican voles have also been trapped in continuous stands of sagebrush, near permanent impoundments on mine reclamation, and along drainage bottoms (LaRue et al. 1994, Marshall 1999). Where this species occurs, it may be numerous. During the 1999 field season, live trapping was conducted in closed basins within mine reclamation, and on mine reclamation grasslands (Marshall 1999). Over 2,940 trap nights, a total of 28 Mexican voles were captured in closed reclaimed basins and no Mexican voles were captured on reclamation grassland. The number of Mexican voles captured represented 21% of the total number of rodents captured during the study. The presence of this species near water impoundments suggests an affinity for more mesic habitats that support a higher abundance of herbaceous ground cover with a taller mean vegetation height.

Habitat, in the form of contiguous sagebrush stands (Attachment B, Photo 3), and drainage stringers with salt cedar, is present for this species in the N10, N99 North, and the J2/15 LOMCRAs. The total acreage of suitable habitat in each of these LOMCRAs is 40.8, 27.8, and 1.6 acres respectively for a total of 70.2 acres across all LOMCRA study areas. An extensive amount of time was spent searching suitable habitat within these LOMCRAs to identify potentially occupied habitat. Runways were found in the J2/15 LOMCRA, within the narrow drainage that bisects the two LOMCRAs (Photo 4). This set of runways appeared to be active, but no trapping was conducted to ascertain the presence of this species. The past three years have been characterized by unusually low rainfall throughout the Southwest and rodent populations appear to be at an extreme low (personal observation). Certain populations of Microtine rodents with less favorable habitat conditions may become extirpated during these periods of environmental harshness, so the status of such populations in future years should be monitored accordingly.

Flammulated Owl (*Otus flammeolus*)

The flammulated owl is listed as a Group 4 species on the NESL, and is protected federally under the MBTA. This small owl primarily forages on insects, preferably in mature stands of pine forests and upper oak woodlands. Flammulated owls nest in tree cavities and can be found in any ponderosa pine, oak, and mixed-conifer stands where sufficient cavities are present (Lesh et al. 1994; McCallum et al. 1995). Nests are built in abandoned woodpecker holes, especially those of flickers. On Black Mesa, this species is known from numerous areas north of the mine leasehold, especially where stands of ponderosa pine (*Pinus ponderosa*) or Douglas fir (*Pseudotsuga menzeizii*) are found in close proximity to pinyon-juniper woodland (LaRue 1994). This species has been identified just north of the Black Mesa leasehold boundary in Yellow Water Canyon, where a male was heard calling regularly between the 1997-2000 field seasons. Although suitable habitat can be found within two miles of the mine leasehold, there is no suitable habitat on the proposed LOMCRAs.

Townsend's Big-eared Bat (*Plecotus townsendii*)

The Townsend's big-eared bat is listed as a Group 4 species on the NESL, but has no federal listing status. In Arizona, this bat is widespread, although not apparently common anywhere within its range. While they can be found in a variety of habitat types, including arid desert scrub, pinyon-juniper, and ponderosa pine habitats, they prefer to forage in riparian areas and forest edges. They feed primarily on moths, but will also feed upon other insects including lacewings and beetles (Sample and Whitmore 1993). Townsend's big-eared bats inhabit mines, caves, and large crevices during the day, and often rest in abandoned buildings at night (Hoffmeister 1986). These bats are also found near open water. During the summer, females congregate in caves to form maternity colonies

This species has not been documented during field studies on Black Mesa, but is suspected to occur on Black Mesa (Hoffmeister 1986). Habitat on the LOMCRAs includes dry drainage basins and small openings within the pinyon-juniper woodland. Roost sites occur on some of the LOMCRAs where cliff faces or sandstone bluffs occur. These areas may provide adequate day roosts and hibernacula. Given the presence of similar and more suitable habitats throughout northern Black Mesa off the leasehold, ground disturbance activities on the LOMCRAs are not expected to affect this species.

Kit Fox (*Vulpes macrotis*)

The kit fox is the smallest of North American canids and can be found inhabiting the bleak deserts of the western U.S. (MacDonald 1995). This species is identified by unusually large, buff-colored, ears, black tail tip, and a uniform colored body (Hoffmeister 1986). Dens having a characteristic key-shaped opening are excavated in desert scrub and badland habitats characterized by softer soils. Vegetative associates include saltbush, sagebrush, and black greasewood (*Sarcobatus vermiculatus*) (Mikesic and Nystedt 2001).

The San Joaquin kit fox (*Vulpes macrotis mutica*) was listed as endangered by the USFWS on 11 March 1967 (32 FR 4001). The subspecies of kit fox known from the Navajo Nation (*V. macrotis macrotis*) is listed as a Group 4 species on the NESL, but has no current federal status. Kit fox are known on the Navajo Nation from the eastern side of the Chuska Mountains and in the Chinle Valley in Arizona and Utah. Potential habitat exists throughout the Navajo Nation and the habitat found on some of the LOMCRAs is suitable for this species. Such habitats would include the large areas of greasewood, sagebrush, and saltbush on some of the southern LOMCRAs including J8, J2/15, J5/6/13/14, and J23.

Bald Eagle (*Haliaeetus leucocephalus*)

The bald eagle, a Group 4 NESL species, is distributed from Alaska and northern Canada to north central Mexico. Bald eagles primarily eat fish, but are also known to eat other small vertebrates and carrion. Winter diets for bald eagles can vary depending upon location and food sources, but wintering eagles generally depend on waterfowl and carrion and less upon fish (Busch 1986). Bald eagle breeding habitat is characterized by large trees, suitable for nesting, with a nearby water source that provides an adequate supply of moderate-to-large size fish (Johnsguard 1990). Breeding habitat for bald eagles in the Southwest is almost exclusively tied to water sources (Hunt et al. 1992). Bald eagles winter throughout much of the southern United States. Wintering habitats for bald eagles are less closely associated with water than summer habitats (Evans 1982). Roost sites important to bald eagles are usually in fairly open stands with trees that are taller than surrounding canopy (Stalmaster and Newman 1978, Keister and Anthony 1983).

Habitats of the LOMCRAs should generally be considered unsuitable foraging or breeding habitats for bald eagles. Foraging exceptions would be carrion (in the form of dead cattle or sheep), or terrestrial mammals, which may present foraging opportunities for migrating bald eagles. Pinnacles or other tall perching areas near ponds including the J-7 pond, Wild Ram tank, and N14-D ponds may be useful for migrating bald eagles due to the presence of fish, but these areas would only be used temporarily. Bald eagles have been observed in Coal Mine Wash, Moenkopi Wash, Dinnebito Wash, and lower Yellow Water Canyon. Two adults were also observed at the J7 pond in January of 1985 (LaRue 1994) and this species was observed near the haul road, just south of the N1-RA pond during the 1999 field season.

Summary

A total of 7 mammalian, 23 avian, and 5 herpetile species were identified during a general inventory of wildlife on the LOMCRAs. These species have been documented during other wildlife baseline studies and would be expected in similar pinyon-juniper habitats throughout northern Arizona. None of the species observed during baseline studies in 2003 are of special interest, but some avian species (neotropical migrants) are of importance to the NFWD, which request data collection for these species prior to surface disturbance events.

A total of 16 species listed on the NESL were identified as occurring or potentially occurring on or near the LOMCRAs. Species for which potentially suitable habitat is not present on the proposed LOMCRAs were not addressed in this report. Although habitat for the black-footed ferret is present on the J8 and J5/6/13/14 LOMCRAs, it is unlikely that black-footed ferrets occur on Black Mesa. However, PWCC has an approved black-footed ferret monitoring plan (PAP, Chapter 10, Attachment 2) that is ongoing for the Black Mesa leasehold including the LOMCRAs. Ongoing wildlife monitoring activities will determine the extent of prairie dog colonies on an annual basis. Should black-footed ferret surveys be required in the future, PWCC will initiate species-specific spotlighting surveys.

The large prairie dog colonies found on J8 and J5/6/13/14 are potentially suitable breeding habitats for the mountain plover. Reduction in vegetation height increases the suitability of a grassland or short shrubland habitat to support the mountain plover (Ehrlich et al. 1988, USFWS, 68 FR 8487). Although this habitat is present, it is unlikely that this species occurs on the Black Mesa leasehold. Should this species be identified on any of the suitable LOMCRAs, surveys and monitoring would be necessary to determine impacts of mining on this species. Numerous locations found on mine reclamation where ground-level vegetation is short (pre-reclaimed open topsoil or overburden areas) provide suitable habitat for the mountain plover also.

Just as prairie dog colonies provide suitable habitat for mountain plover, they also provide a foraging resource for raptors. Suitable breeding habitat for the ferruginous hawk is found on the southern LOMCRAs where bluffs overlook large expanses of open country (J2/15, J4/5/13/14, and J8). Likewise, these prairie dog colonies provide foraging habitat for the golden eagle, bald eagle (although this species is probably absent during periods of prairie dog activity), and kit fox which may also breed in these areas. No known breeding records for kit fox occur on the leasehold.

Southwestern willow flycatchers may occur in any habitat during migration, but the chances of a known southwestern willow flycatcher occurring in any drainage on or near the proposed LOMCRAs is slim. Although willow flycatchers of undetermined subspecies have been observed on the leasehold, *E. trailii extimus* has never been confidently identified (territorial calling during multiple periods of the breeding season) on the leasehold.

The northern leopard frog has not been documented on Black Mesa and is unlikely to occur on the leasehold. Since there are no wetland habitats found within any of the LOMCRAs, the lack of habitat would exclude the presence of this species, even if an unknown source population occurs on Black Mesa. This species will not be affected by surface disturbance activities associated with mining activities on LOMCRAs.

Some sections of suitable habitat for the Navajo Mountain vole are found within the boundaries of the proposed LOMCRAs. Pedestrian transects were conducted within these strings of habitat and runways were identified within one LOMCRA (Attachment B, Photo 3). Approximately 70.2 acres of Navajo Mountain vole habitat are found on the LOMCRAs.

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ATTACHMENT A

- Map 1. LOMCRAs and Potential TES Habitat
- Map 2. Northern Goshawk Survey and Raptor Responses

ATTACHMENT B

Photos 1-4. LOMCRA Study Area Photos