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U.S. DEPARTMENT OF THE INTERIOR  
OFFICE OF SURFACE MINING  
RECLAMATION AND ENFORCEMENT  
**DIRECTIVES SYSTEM**

Subject Number:

INE - 23 - 1

Transmittal Number:

788

Date:

JUN 30 1993

Subject: Minesite Evaluation Inspection Report Instructions

Approval:

Title: Acting Director

In order to accommodate changes in oversight inspection reporting procedures and to enhance the Minesite Evaluation Inspection Report computerized database system the following additions and changes are made to section 4.c. Procedures in Directive INE-23.

**Item 12: Inspection Type.**

**TDF:** This code is unnecessary and deleted. Inspections related to this former code would fall under code OF.

**COI:** This code is unnecessary and deleted. Complete inspections should all fall under codes C, FC, or SC.

**CCR:** This code has been added and will be used when referring a citizen complaint to a State regulatory authority through a ten-day notice where no inspection is conducted.

**SOx:** This code is replaced by new codes **SC** and **SP**. These codes refer to in-depth complete or partial inspections conducted for the purpose of reviewing a particular aspect of a State program (also known as special study, stratified, selective, or special focus inspections). On the inspection report Code Sheet, Codes SC/SP 1 and 2 are pre-established and shall be used by all Field Offices when the kinds of review they denote are conducted. The "x" denotes where Field Offices shall assign either a one digit number or single capitalized letter in order to identify the subject of the in-depth review for which the inspection applies.

**OFx:** Clarified to read: This code is used to identify follow-up inspections not covered under follow-up inspection codes FF and CCF. The same method of coding described above with respect to the two pre-established codes and the "x" entries also apply here.

**CHANGE NOTICE**

**Item 14: Permit Status.** Three subsets of Abandoned Sites (code AB) have been added: AB1 Bond Forfeiture; AB2 Partially Reclaimed Forfeiture; and AB3 Reclaimed Forfeiture. See Appendix 1, Code Sheet for further definition of these terms.

**Item 23b: State Office.** This code has been added and is optional for Field Office use in identifying specific local State offices.

**Item 29: Identified Violation Data.**

**General:** Changed to read: This item shall be completed for OSM inspections both in primacy and Federal program States (Items 29D & E will not apply in Federal program States). In primacy States, it was formerly completed only during complete standard oversight sample inspections (random samples). Now however, Item 29 must be completed in primacy States for all random sample **and all in-depth review complete and partial inspections** (See SC/SP codes above). Like with a random sample inspection, a complete or partial in-depth review inspection will now require identification and listing of all violations present during the in-depth review inspection **and all violations that were present during the last State complete inspection (LSCI)** based on a review of the LSCI report and observation of violations during the in-depth review inspection that clearly existed during the LSCI. The LSCI is the complete inspection conducted by a State regulatory authority immediately prior to the current Federal inspection. As explained below, list all violation conditions and/or Federal actions reviewed or issued. If there are more than seven entries, attach another page. Items 30 and 31 need only be completed for the last continuation page.

(To reflect the above change the second page of the revised inspection report form (Appendix 1) at **29. Identified Violation Data** now reads: For inspection types C (Complete Random Sample) and SC or SP (Complete or Partial In-depth Review), list all violations present during the current Federal inspection and all violations, cited or uncited, identified in the last State complete inspection report. For any other inspection type, including Federal program inspections, list only violations observed during the current inspection or subject of current Federal follow-up action)

**Item 29D: State Action.** A new code 6, N/A Permit Defect has been added so that a defect in a permit issued by the regulatory authority will not be coded as existing on the on the LSCI and uncited by a State inspector.

**Item 29: Example (Matrix Table).** Changed as follows to reflect new inspection type code "CCR" discussed above.

MEIR ITEM 29 MATRIX TABLE SITUATION EXAMPLES	ITEM 29 COLUMNS									
	B	C	D	E	F	G	H	I	J	
Deferred to state action or previously cited by the RA	X	X	X	X	X	X	X	X	X	
TDN issued based on citizen complaint, inspection type "CCR" <sup>A</sup>	X	*							X	X
TDN issued	X	X	X	X	X	X	X	X	X	X
TDN follow-up where state response was appropriate.	X	X							X	X
TDN follow-up with NOV issued . . . . . List for underlying TDN	X	*							X	X
. . . . . NOV issued	X	X			X	X	X	X	X	X
State enforcement follow-up of previously identified action deferred to the RA and no Federal action	X	X							X	
IH-CO issued (State Primacy)	X	X	X		X	X	X	X	X	X
NOV or IH-CO issued (Federal Primacy)	X	X	X		X	X	X	X	X	X
FTA-CO issued (State or Federal Primacy) List for underlying NOV or IH-CO	X	*							X	X
. . . . . FTA-CO issued	X	X			X	X	X	X	X	X
NOV or CO modified or vacated (State or Federal Primacy)	X	X							X	X

<sup>A</sup> Item 29 columns C, D, E, F, G, AND H will all need to be completed if the Field Office Director determinations the State response to the TDN to be inappropriate.

**Appendix 2: Field and Area Office Codes.** A code for the Ute Mountain Tribe has been added and the code for Chattanooga deleted.

List of Appendices:

- Appendix 1: Revised Minesite Evaluation Inspection Report and Code Sheet.
- Appendix 2: Revised Field and Area Office Codes.



# U. S. DEPT. OF THE INTERIOR

## OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

### Mine-Site Evaluation Inspection Report

 Permit Number 

 Inspection Date  -  - 

#### 29. Identified Violation Data.

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B Per. Std. Category	C Abated (Y/N)	D State Action	E Reason if Uncited	F Cause	G Seriousness		H Impact	I OSM Action	J OSM Action Number	K Optional						
					PEO	Impact										
A. Specific State Law/Regulations Violated: _____ Description: _____																
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
A. Specific State Law/Regulations Violated: _____ Description: _____																
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
A. Specific State Law/Regulations Violated: _____ Description: _____																
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
A. Specific State Law/Regulations Violated: _____ Description: _____																
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
A. Specific State Law/Regulations Violated: _____ Description: _____																
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
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6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
A. Specific State Law/Regulations Violated: _____ Description: _____																
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>						
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Page ____ of ____																

# CODE SHEET for the Mine-Site Evaluation Inspection Report

**Permit Type and RA — Item 10** a. Permit Type ..... IP = Interim Program, PP = Permanent Program, NP = No Permit  
 b. Regulatory Authority .. S = State Program, F = Federal Program

**Inspection Type Codes— Item 12**

C..... Complete Random Sample	CCR... Citizen Complaint TDN Referral	DR ..... Document Review
FC ..... Federal Program Complete Inspection	CCF ... Citizen Complaint Follow-up	RF ..... Reclamation Fees
FP..... Federal Program Partial Inspection	OF .... Other Follow-up	SC_ or SP_ In-depth Review Complete or Partial
FF..... Federal NOV and/or CO Follow-up	1 .. State Enforcement Follow-up	1 .. Bond Release Review
CC..... Citizen Complaint	2 .. Ten-Day Notice Follow-up	2 .. Bond Forfeiture Review
	x .. Other Follow-up	x .. Other

**Joint Inspection — Item 13** A joint inspection is when a state inspector accompanies an OSM inspector any time during the review of the mine site.

**Permit Status — Item 14**

A <b>Active:</b> Coal mining and reclamation activities occurring or permitted but not yet disturbed.	DR <b>Bond Release:</b> Reclamation completed and State Regulatory Authority (RA) has released all of the bond (Phase III Release).
IN <b>Inactive (Permanent Program Permit):</b> Phase II completed or Temporary Cessation of Operations. (Interim Program Permit): Coal mining completed and reclamation activities initiated.	AB <b>Abandoned:</b> All surface or underground coal mining activities have ceased and operator has left the site without completing reclamation as defined in 30 CFR 840.11(g).
NA <b>Not Applicable:</b> When site is unpermitted.	AB1 <b>Bond Forfeiture:</b> Bond forfeiture officially in process or completed, and reclamation in progress or not yet commenced.
	AB2 <b>Partially Reclaimed Forfeiture:</b> Forfeited site where all bonds have been used to reclaim site, but site not reclaimed to Program standards.
	AB3 <b>Reclaimed Forfeiture:</b> Forfeited site that has been reclaimed to Program standards.

**Site Status Codes — Item 15**

ND <b>No Disturbance:</b> No coal mining and reclamation operations have been started.	MC <b>Mining Complete:</b> No mining activity on site, site regraded and awaiting phase bond release.	FP <b>Forfeiture Pending:</b> The RA is pursuing actions to revoke the permit, collect the performance bond(s), and/or reclamation of forfeited site is in progress.
EX <b>Coal Exploration:</b> Coal exploration operations have started and where coal mining operations have not begun.	TC <b>Temporary Cessation:</b> The RA has granted cessation of mining pursuant to 30 CFR 816/817.131(b).	FR <b>Forfeited and Reclaimed:</b> Forfeiture reclamation completed.
AP <b>Active Coal Producing:</b> Coal surface mining activities are occurring.	P1 <b>Phase I Release:</b> At least Phase I bond release granted for entire permitted area. For interim permits, partial bond release.	WC <b>Wildcard:</b> Coal mining and reclamation operations have or are taking place and the activity is not covered by the required permits from the RA.
AN <b>Active Non-Producing:</b> Active non-producing facility such as tipple or preparation plant.	P2 <b>Phase II Release:</b> At least Phase II bond release for the entire permitted area.	
NM <b>No Mining:</b> The Permit Status is active, site is not in Temporary Cessation, no surface coal mining activity, and site not regraded.	P3 <b>Phase III Release:</b> Reclamation completed and the RA has released all bond.	

**Facility Type Codes — Item 16**

D..... Ancillary (Haulroad, Conveyor, and/or Rails)	H .... Exploration Permits
A .... Surface	E ..... Refuse and/or Impoundment
B .... Underground	F ..... Loading Facility and/or Tipple
C .... Preparation Plant	G .... Stockpiles
	I .... Notice of Intent to Explore
	J .... Exempt 16 2/3
	K .... Government Financed Construction Exemption

**Land Code -- Item 19** S ..... State/Private Lands F ..... Federal Lands I ..... Indian Lands

**Performance Standard Categories --Item 24**  
**30 CFR Counterpart**

- A. **Administrative** ..... (816/817.71-74)
  - 1. Valid Permit ..... 773.11
  - 2. Mining within Bonded Area ..... 773.11
  - 3. Terms & Conditions of Permit ..... 773.17
  - 4. Liability Insurance ..... 800.60
  - 5. Ownership and Control ..... 778.13
  - 6. Temporary Cessation ..... 842.11(e) & 816/817.131
- B. **Hydrologic Balance** ..... (816/817.41-57)
  - 1. Drainage Control ..... 45
  - 2. Inspections & Certifications ..... 49(a)(10)
  - 3. Siltation Structures ..... 46
  - 4. Discharge Structures ..... 47
  - 5. Diversions ..... 43
  - 6. Effluent Limits ..... 42
  - 7. Ground Water Monitoring ..... 41(c)
  - 8. Surface Water Monitoring ..... 41(e)
  - 9. Drainage—Acid - Toxic Materials ..... 41(f)
  - 10. Impoundments ..... 49
  - 11. Stream Buffer Zones ..... 57
- C. **Topsoil & Subsoil** ..... (816/817.22)
  - 1. Removal ..... 22(a)
  - 2. Substitute Materials ..... 22(c)
  - 3. Storage and Protection ..... 22(c)
  - 4. Redistribution ..... 22(d)
- D. **Backfilling & Grading** ..... (816/817.95-107)
  - 1. Exposed Openings ..... 816/817.13, 14, 15, & 823.11 & 21
  - 2. Contemporaneous Reclamation ..... 100
  - 3. Approximate Original Contour ..... 102(a)(1)
  - 4. Highwall Elimination ..... 102(a)(2)
  - 5. Steep Slopes (includes downslope) ..... 107
  - 6. Handling of Acid & Toxic Materials ..... 102(c)
  - 7. Stabilization (rills and gullies) ..... 95(b)

- E. **Excess Spoil Disposal** ..... (816/817.71-74)
  - 1. Placement ..... 71(e)
  - 2. Drainage Control ..... 71(f)
  - 3. Surface Stabilization ..... 71(g)
  - 4. Inspections & Certifications ..... 71(h)
- F. **Coal Mine Waste (Refuse Piles/Impoundments)** .. (816/817.81-84)
  - 1. Drainage Control ..... 83(a)
  - 2. Surface Stabilization ..... 83(b)
  - 3. Placement ..... 83(c)
  - 4. Inspections and Certifications ..... 83(d)
  - 5. Impounding Structures ..... 84
- G. **Use of Explosives** ..... (816/817.61-68)
  - 1. Blaster Certification ..... 61(c)
  - 2. Distance Prohibitions ..... 61(d)
  - 3. Blast Survey/Schedule ..... 62-64
  - 4. Warnings & Records ..... 66 & 68
  - 5. Control of Adverse Effects ..... 67
- H. **Subsidence Control Plan** ..... (817.121-122)
- I. **Roads** ..... (816/817.150-151)
  - 1. Road Construction ..... 150(c)
  - 2. Certification ..... 151(a)
  - 3. Drainage ..... 150(b)-151(d)
  - 4. Surfacing and Maintenance ..... 150(e)-151(d)
  - 5. Reclamation ..... 150(f)
- J. **Signs & Markers** ..... (816/817.11)
  - 1. Signs ..... 11(a),(b),(e)
  - 2. Markers ..... 11(a),(b),(d),(e),(f)
- K. **Distance Prohibitions** ..... (761.11)
- L. **Revegetation** ..... (816/817.111-116)
  - 1. Vegetative Cover ..... 111 & 116
  - 2. Timing ..... 113
- M. **Postmining Land Use** ..... (816/817.133)

## APPENDIX 2

## FIELD AND AREA OFFICE CODES

OSM Field Office Area Office	OSM Office Code	States Assigned	State FIPS Code
Casper FO Olympia	010	Alaska	02
	011	North Dakota	38
		Montana	30
		South Dakota	46
		Wyoming	56
		Washington	53
		California	06
		Crow Tribe	99 <sup>1</sup>
Albuquerque FO	020	Arizona	04
		Colorado	08
		New Mexico	35
		Utah	49
		Hopi Tribe	98 <sup>1</sup>
		Navajo Tribe	97 <sup>1</sup>
		Ute Mtn. Tribe	96 <sup>1</sup>
		Tulsa FO	030
Louisiana	22		
Oklahoma	40		
Texas	48		
Kansas City FO	040	Iowa	19
		Kansas	20
		Missouri	29
		Illinois	17
Springfield FO	050	Indiana	18
Indianapolis FO	060	Ohio	39
Columbus FO	070	Kentucky	21
Lexington FO	080		
London	081		
Madisonville	082		
Pikeville	083		
Hazard	084		
Knoxville FO	090	Tennessee	47
Norris	091		
Birmingham FO	100	Alabama	01
		Georgia	13
		West Virginia	54
Charleston FO	110		
Beckley	111		
Morgantown	112		
Logan	113		
Harrisburg FO	120	Pennsylvania	42
Johnstown	121	Maryland	24
Wilkes Barre	122		
Big Stone Gap FO	130	Virginia	51

<sup>1</sup> These are not true FIPS codes for Indian tribes, but are assigned by OSM.



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**Approval:**

*[Handwritten Signature]*

**Title:** Acting Director

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OFFICE	BIE
SURNAME	Stober
DATE	6-29-93
OFFICE	BRP
SURNAME	[Handwritten]
DATE	6/29/93
OFFICE	BRP
SURNAME	[Handwritten]
DATE	6/30/93
OFFICE	CIMS
SURNAME	Hanson
DATE	6/29/93
OFFICE	ADEC
SURNAME	[Handwritten]
DATE	6/30/93
OFFICE	
SURNAME	
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DATE	

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	B	C	D	E	F	G	H	I	J	
Deferred to state action or previously cited by the RA	X	X	X	X	X	X	X	X	X	
TDN issued based on citizen complaint, inspection type "CCR" <sup>A</sup>	X	*							X	X
TDN issued	X	X	X	X	X	X	X	X	X	X
TDN follow-up where state response was appropriate.	X	X							X	X
TDN follow-up with NOV issued . . . . . List for underlying TDN	X	*							X	X
. . . . . NOV issued	X	X			X	X	X	X	X	X
State enforcement follow-up of previously identified action deferred to the RA and no Federal action	X	X							X	
IH-CO issued (State Primacy)	X	X	X		X	X	X	X	X	X
NOV or IH-CO issued (Federal Primacy)	X	X	X		X	X	X	X	X	X
FTA-CO issued (State or Federal Primacy) List for underlying NOV or IH-CO	X	*							X	X
. . . . . FTA-CO issued	X	X			X	X	X	X	X	X
NOV or CO modified or vacated (State or Federal Primacy)	X	X							X	X

<sup>A</sup> Item 29 columns C, D, E, F, G, AND H will all need to be completed if the Field Office Director determinations the State response to the TDN to be inappropriate.

**Appendix 2: Field and Area Office Codes.** A code for the Ute Mountain Tribe has been added and the code for Chattanooga deleted.

List of Appendices:

- Appendix 1: Revised Minesite Evaluation Inspection Report and Code Sheet.
- Appendix 2: Revised Field and Area Office Codes.



# U. S. DEPT. OF THE INTERIOR

## OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

### Mine-Site Evaluation Inspection Report

 Permit Number                     

 Inspection Date   -   -  

#### 29. Identified Violation Data.

For inspection types C (Complete Random Sample) and SC or SP (Complete or Partial In-depth Review), list all violations present during the current Federal inspection and all violations, cited or uncited, identified in the last State complete inspection report. For any other inspection type, including Federal program inspections, list only violations observed during the current inspection or subject of current Federal follow-up actions.

B Per. Std. Category	C Abated (Y/N)	D State Action	E Reason if Uncited	F Cause	G Seriousness		I OSM Action	J OSM Action Number				K Optional
					PEO	Impact						
A. Specific State Law/Regulations Violated: _____ Description: _____												
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
A. Specific State Law/Regulations Violated: _____ Description: _____												
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

  

<b>D. State Action</b> 1) Existed on LSCI, Cited 2) Existed on LSCI, Not Cited 3) Cited Prior to LSCI, Abatement Pending 4) Occurred Since LSCI 5) N/A Federal Program 6) N/A Permit Defect	<b>E. State's Reason for not Citing Violation</b> 1) Not a Violation 2) Precluded by State Policy 3) Not included under State Program 4) Warning Given in Lieu of a Citation 5) Violation Not Recognized 6) Practice Allowed under Approved Permit 7) Too Minor to Cite 8) Working with Operator to Correct 9) Other _____	<b>F. Cause</b> 1) Permit Defect 2) Unusual Weather Conditions 3) Unofficial Waiver 4) Operator Negligence 5) Other _____	<b>G. Probability of Event Occurrence</b> 1) None or Unlikely 2) Likely 3) Occurred
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<b>H. Impact</b> <b>Damage Remains Within the Permit Area</b> 1) None or Minor 2) Moderate 3) Considerable <b>Damage Extends Beyond the Permit Area</b> 4) None or Minor 5) Moderate 6) Considerable <b>Obstruction to Enforcement</b> 7) None or Minor 8) Moderate 9) Considerable	<b>I. OSM Action This Inspection</b> 1) Deferred to State Action 2) TDN issued 3) NOV issued 4) FTA-CO issued 5) IH-CO issued (Imminent Environmental Harm) 6) ID-CO issued (Imminent Danger to Public) 7) Previously Cited by RA, Abatement Pending 8) Abated during or before OSM inspection 9) Follow-up of Federal Action
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<b>30. Signature</b>  Inspector's Signature _____  Inspector's Printed Name _____  Dated: <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>	<b>31. OSM Inspector ID #</b> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Reviewing Official: _____  Review Date: <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>	Page ___ of ___

# CODE SHEET for the Mine-Site Evaluation Inspection Report

**Permit Type and RA — Item 10** a. Permit Type ..... IP = Interim Program, PP = Permanent Program, NP = No Permit  
 b. Regulatory Authority .. S = State Program, F = Federal Program

## Inspection Type Codes— Item 12

C..... Complete Random Sample	CCR... Citizen Complaint	FDN Referral	DR..... Document Review
FC..... Federal Program Complete Inspection	CCF... Citizen Complaint Follow-up		RF..... Reclamation Focus
FP..... Federal Program Partial Inspection	OF... Other Follow-up		SC... or SP... In-depth Review Complete or Partial
FF..... Federal NOV and/or CO Follow-up	1... State Enforcement Follow-up		1... Bond Release Review
CC..... Citizen Complaint	2... Ten-Day Notice Follow-up		2... Bond Forfeiture Review
	3... Other Follow-up		3... Other

**Joint Inspection — Item 13** A joint inspection is when a state inspector accompanies an OSM inspector any time during the review of the mine site.

## Permit Status — Item 14

A <b>Active:</b> Coal mining and reclamation activities occurring or permitted but not yet disturbed.	BR <b>Bond Release:</b> Reclamation completed and State Regulatory Authority (RA) has released all of the bond (Phase III Release).
IN <b>Inactive (Permanent Program Permit):</b> Phase II completed or Temporary Cessation of Operations. (Interim Program Permit): Coal mining completed and reclamation activities initiated.	AB <b>Abandoned:</b> All surface or underground coal mining activities have ceased and operator has left the site without completing reclamation as defined in 30 CFR 840.11(g).
NA <b>Not Applicable:</b> When site is unpermitted.	ABI <b>Bond Forfeiture:</b> Bond forfeiture officially in process or completed, and reclamation in progress or not yet commenced.
	AB2 <b>Partially Reclaimed Forfeiture:</b> Forfeited site where all bonds have been used to reclaim site, but site not reclaimed to Program standards.
	AB3 <b>Reclaimed Forfeiture:</b> Forfeited site that has been reclaimed to Program standards.

## Site Status Codes — Item 15

ND <b>No Disturbance:</b> No coal mining and reclamation operations have been started.	MC <b>Mining Complete:</b> No mining activity on site, site regraded and awaiting phase bond release.	FP <b>Forfeiture Pending:</b> The RA is pursuing actions to revoke the permit, collect the performance bond(s), and/or reclamation of forfeited site is in progress.
EX <b>Coal Exploration:</b> Coal exploration operations have started and where coal mining operations have not begun.	TC <b>Temporary Cessation:</b> The RA has granted cessation of mining pursuant to 30 CFR 816/817.131(b).	FR <b>Forfeited and Reclaimed:</b> Forfeiture reclamation completed.
AP <b>Active Coal Producing:</b> Coal surface mining activities are occurring.	P1 <b>Phase I Release:</b> At least Phase I bond release granted for entire permitted area. For interim permits, partial bond release.	WC <b>Wildcat:</b> Coal mining and reclamation operations have or are taking place and the activity is not covered by the required permits from the RA.
AN <b>Active Non-Producing:</b> Active non-producing facility such as tippie or preparation plant.	P2 <b>Phase II Release:</b> At least Phase II bond release for the entire permitted area.	
NM <b>No Mining:</b> The Permit Status is active, site is not in Temporary Cessation, no surface coal mining activity, and site not regraded.	P3 <b>Phase III Release:</b> Reclamation completed and the RA has released all bond.	

## Facility Type Codes — Item 16

A... Surface	D... Ancillary (Haulroad, Conveyor, and/or Rails)	H... Exploration Permits
B... Underground	E... Refuse and/or Impoundment	I... Notice of Intent to Explore
C... Preparation Plant	F... Loading Facility and/or Tippie	J... Exempt 16 2/3
	G... Stockpiles	K... Government Financed Construction Exemption

## Land Code -- Item 19

S... State/Private Lands      F... Federal Lands      I... Indian Lands

## Performance Standard Categories --Item 24 30 CFR Counterpart

<b>A. Administrative</b> ..... (816/817.22)	<b>E. Excess Spoil Disposal</b> ..... (816/817.71-74)
1. Valid Permit ..... 773.11	1. Placement ..... 71(e)
2. Mining within Bonded Area ..... 773.11	2. Drainage Control ..... 71(f)
3. Terms & Conditions of Permit ..... 773.17	3. Surface Stabilization ..... 71(g)
4. Liability Insurance ..... 800.60	4. Inspections & Certifications ..... 71(b)
5. Ownership and Control ..... 778.13	<b>F. Coal Mine Waste (Refuse Piles/Impoundments)</b> .. (816/817.81-84)
6. Temporary Cessation ..... 842.11(e) & 816/817.131	1. Drainage Control ..... 83(a)
<b>B. Hydrologic Balance</b> ..... (816/817.41-57)	2. Surface Stabilization ..... 83(b)
1. Drainage Control ..... 45	3. Placement ..... 83(c)
2. Inspections & Certifications ..... 49(a)(10)	4. Inspections and Certifications ..... 83(d)
3. Siltation Structures ..... 46	5. Impounding Structures ..... 84
4. Discharge Structures ..... 47	<b>G. Use of Explosives</b> ..... (816/817.61-68)
5. Diversions ..... 43	1. Blaster Certification ..... 61(c)
6. Effluent Limits ..... 42	2. Distance Prohibitions ..... 61(d)
7. Ground Water Monitoring ..... 41(e)	3. Blast Survey/Schedule ..... 62-64
8. Surface Water Monitoring ..... 41(e)	4. Warnings & Records ..... 66 & 68
9. Drainage—Acid - Toxic Materials ..... 41(f)	5. Control of Adverse Effects ..... 67
10. Impoundments ..... 49	<b>H. Subsidence Control Plan</b> ..... (817.121-122)
11. Stream Buffer Zones ..... 57	<b>I. Roads</b> ..... (816/817.150-151)
<b>C. Topsoil &amp; Subsoil</b> ..... (816/817.22)	1. Road Construction ..... 150(c)
1. Removal ..... 22(a)	2. Certification ..... 151(a)
2. Substitute Materials ..... 22(c)	3. Drainage ..... 150(b)-151(d)
3. Storage and Protection ..... 22(c)	4. Surfacing and Maintenance ..... 150(e)-151(d)
4. Redistribution ..... 22(d)	5. Reclamation ..... 150(f)
<b>D. Backfilling &amp; Grading</b> ..... (816/817.95-107)	<b>J. Signs &amp; Markers</b> ..... (816/817.11)
1. Exposed Openings ..... 816/817.13, 14, 15, & 823.11 & 21	1. Signs ..... 11(a),(b), & (c)
2. Contemporaneous Reclamation ..... 100	2. Markers ..... 11(a),(b),(d),(e), & (f)
3. Approximate Original Contour ..... 102(a)(1)	<b>K. Distance Prohibitions</b> ..... (761.11)
4. Highwall Elimination ..... 102(a)(2)	<b>L. Revegetation</b> ..... (816/817.111-116)
5. Steep Slopes (includes downslope) ..... 107	1. Vegetative Cover ..... 111 & 116
6. Handling of Acid & Toxic Materials ..... 102(c)	2. Timing ..... 113
7. Stabilization (rills and gullies) ..... 95(b)	<b>M. Postmining Land Use</b> ..... (816/817.133)

## APPENDIX 2

## FIELD AND AREA OFFICE CODES

OSM Field Office Area Office	OSM Office Code	States Assigned	State FIPS Code
Casper FO	010	Alaska	02
Olympia	011	North Dakota	38
		Montana	30
		South Dakota	46
		Wyoming	56
		Washington	53
		California	06
		Crow Tribe	99 <sup>1</sup>
Albuquerque FO	020	Arizona	04
		Colorado	08
		New Mexico	35
		Utah	49
		Hopi Tribe	98 <sup>1</sup>
		Navajo Tribe	97 <sup>1</sup>
		Ute Mtn. Tribe	96 <sup>1</sup>
Tulsa FO	030	Arkansas	05
		Louisiana	22
		Oklahoma	40
		Texas	48
Kansas City FO	040	Iowa	19
		Kansas	20
		Missouri	29
Springfield FO	050	Illinois	17
Indianapolis FO	060	Indiana	18
Columbus FO	070	Ohio	39
Lexington FO	080	Kentucky	21
London	081		
Madisonville	082		
Pikeville	083		
Hazard	084		
Knoxville FO	090	Tennessee	47
Norris	091		
Birmingham FO	100	Alabama	01
		Georgia	13
Charleston FO	110	West Virginia	54
Beckley	111		
Morgantown	112		
Logan	113		
Harrisburg FO	120	Pennsylvania	42
Johnstown	121	Maryland	24
Wilkes Barre	122		
Big Stone Gap FO	130	Virginia	51

<sup>1</sup> These are not true FIPS codes for Indian tribes, but are assigned by OSM.