

	U.S. DEPARTMENT OF THE INTERIOR OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT DIRECTIVES SYSTEM	Subject Code: GMT-10-16-1
		Transmittal Number: 980
		Date: <i>7-14-15</i>
Subject: Federal Assistance Manual		
Approval: Joseph G. Pizarchik		<i>Joseph G. Pizarchik</i> Title: Director
CHANGE NOTICE		

1. **Purpose.** The revised Federal Assistance Manual (FAM) provides guidance to the Office of Surface Mining Reclamation and Enforcement (OSMRE) and grant recipients. Sources for this guidance come from the Surface Mining Control and Reclamation Act of 1977 (SMCRA), Department of the Interior, Office of Management and Budget (OMB) and Department of the Treasury. FAM is applicable to all grants and cooperative agreements awarded by OSMRE.

2. **Summary of Changes.** The FAM was re-written and approved in February 2011. Since then, additional requirements and additional and changed systems have been implemented that are not addressed in the approved directive. An example is the issuance of Departmental Guidance for grants monitoring. Also, technical, procedural, and grammatical issues are in need of further clarification and/or correction. For example, revised language needs to be made regarding emergency funding availability. The FAM contains the following changes:

Part 1 – Requirements for All OSMRE Assistance Agreements

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| <u>General</u> | Remove “2010” from the footer of all sub-parts of the manual. All hyperlinks for references resources are updated. |
| <u>Definitions</u> | Acronym and grammatical changes were updated. |
| <u>Acronyms</u> | Corrected the acronym for the Abandoned Mine Land Inventory System to e-AMLIS. Added an acronym for the System for Award Management. |
| <u>1-120</u> | Removed the specific reference to “the Financial and Business Management System (FBMS)”. Revised the system reference for checking for excluded or debarred parties to reflect the new system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated. Reworded to clearly allow for the use any electronic medium to submit the program narrative. Removed reference to automatic notification from the electronic grants system. |
| <u>1-130</u> | Revised the reference authorities and created hyperlinks to those |

regulations.

1-140 Multiple changes in the Chapter. In general, proposed changes clarified the specific contents and placements in the official grant folder. The proposed changes eliminated 1-140-60, which previously provided the awarding office the ability to customize a filing plan. Also removed the requirement to keep materials that were deleted or amended (previously marked VOID). Acronym and grammatical changes were also updated.

1-150 Added language that we will assess risk and develop an appropriate monitoring plan prior to making an award decision. Corrected the reference authorities and created hyperlinks to those regulations and directives. Acronym and grammatical changes were also updated.

1-160 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

1-170 Acronym and grammatical correction were updated.

1-180 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

Part 2 – General Requirements for Federal Assistance

2-100 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

2-110 Revised the system reference for checking for excluded or debarred parties to reflect the new system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

2-120 Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

2-130 Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

2-140 Grammatical corrections

2-150 Grammatical corrections

2-200 Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428).” Corrected the acronym for AMLIS to display e-AMLIS. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

- 2-210 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 2-220 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 2-230 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated. Corrected requirements of the Davis-Bacon Act and Contract Work Hour and Safety Standards Act to be consistent with government wide laws and regulations.
- 2-240 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 2-250 Acronym and grammatical changes were updated.
- 2-260 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 2-270 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

Part 3 – Allowable Costs

- 3-100 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 3-110 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 3-120 Added the federal requirement that recipients must report their subawards when funding them with grant monies. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 3-130 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 3-140 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

Part 4 – Abandoned Mine Lands (AML) Program

- 4-100 Clarified the disposition of unused emergency funds. Revised the

reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

4-110 Corrected the acronym for AMLIS to display e-AMLIS. Acronym and grammatical changes were updated.

4-120 Added reference to allow emergency funding, to the extent that they are available, for minimum program states. Clarified grant funding procedures for minimum program emergency funding. Acronym and grammatical changes were updated.

4-130 Acronym and grammatical changes were updated.

4-140 Acronym and grammatical changes were updated.

4-150 Added the federal requirement that recipients must report their subawards when funding them with grant monies. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

4-160 Corrected the review reference in Exhibit 6 of this part.

4-170 Corrected the AMLIS acronym to e-AMLIS. Acronym and grammatical changes were updated.

4-200 Removed subaccount 12 from the list of subaccounts and adds subaccounts 16 and 17. Also made grammatical changes. Also removed item 5 under 4-200-40-B.

4-210 Revised the system reference for checking for excluded or debarred parties to reflect the new system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

4-220 Clarified amendment process. Added recipient notification when an amendment has been approved. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

4-230 Clarified electronic application submission requirements. Added language to include an AMLIS key in the performance narrative. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

4-240 Clarified language regarding grant closeout extensions, and included language regarding recipient notifications. Added supplementary language requiring the notification of recipients regarding completed

closeouts. Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428).” Also added language requiring the recipient to submit a statement that they have entered completed data in AMLIS. Added supplementary language requiring the notification of recipients regarding completed closeouts. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

- 4-300 Removed reference that provided an option for the recipient to access an electronic grant system. Also removed the requirement for Certifications submission. . Revised the reference authorities and created hyperlinks to those regulations
- 4-400 Created a new chapter, to specifically address pre-award, award, post-award and closeout activities for civil penalty cooperative agreements.

Part 5 – Regulatory Grant Program

- 5-100 Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.
- 5-110 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 5-120 Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.
- 5-130 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 5-200 Removed the requirement for Certifications submission.
- 5-210 Revised the system reference for checking for excluded or debarred parties to reflect the new system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.
- 5-220 Changed the word “application” to “request”. Removed the availability for recipients to submit reports through an electronic system. Also, added language requiring notification of the recipient when an amendment is approved. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.
- 5-230 Deleted the reference that allowed recipients to access the OSMRE electronic grants system. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

5-240 Clarified language regarding grant closeout extensions, and included language regarding recipient notifications. Modified the reports submittal methods. Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428).” Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

Part 6 – Watershed Cooperative Agreement Program

6-100 Removed “Audits” as not being an allowable cost. Revised the system reference for checking for excluded or debarred parties to reflect the new system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

6-200 Removed reference that provided an option for the recipient to access an electronic grant system. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

6-210 Revised the system reference for checking for excluded or debarred parties to reflect the new system. Clarified that first-time applicants must go through a financial capabilities review before being approved for an award. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

6-220 Removed reference that provided an option for the recipient to access an electronic grant system. Added recipient notification when an amendment has been approved. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

6-230 Removed the availability for recipients to submit reports through an electronic system. Clarified reporting requirements. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

6-240 Removed the availability for recipients to submit reports through an electronic system. Included language regarding recipient notifications. Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428)”. Added language regarding the use of the grant closeout checklist. Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

Part 7 – Technical Studies Cooperative Agreement Program

7-100 Provided a more detailed explanation of the purposes of the Technical Studies Program. Updated the Technology Transfer website link. Revised

the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

7-110 Removed last sentence regarding receiving additional consideration for addressing high priority topics. Removed the requirement for an endorsement letter. Clarified the review and approval process so that it is consistent with directive TSR-4. Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

7-120 Updated the appropriate hyperlinks. Acronym and grammatical changes were updated.

7-210 Revised the reference authorities and created hyperlinks to those regulations. Revised the system reference for checking for excluded or debarred parties to reflect the new system. Acronym and grammatical changes were updated.

7-220 Revised the reference authorities and created hyperlinks to those regulations. Acronym and grammatical changes were updated.

7-230 Revised the reference authorities and created hyperlinks to those regulations. Removed the availability for recipients to submit reports through an electronic system. Acronym and grammatical changes were updated.

7-240 Clarified reporting requirements. Revised the reference authorities and created hyperlinks to those regulations. Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428)”. Clarified the use of a closeout checklist. Acronym and grammatical changes were updated.

7-250 Clarified reporting requirements. Revised the reference authorities and created hyperlinks to those regulations. Removed the reference to the “OSMRE-60” and replaced it with the “Tangible Personal Property Report (SF-428)”. Clarified the use of a closeout checklist. Acronym and grammatical changes were updated.

3. **Effective Date.** Upon issuance

4. **Distribution.** By Electronic format

Contact: Program Support Directorate, Division of Reclamation Support, Grants Policy Team.