

**Environmental Impact Statement
for the Stream Protection Rule
Draft () Final (x)**

Lead Agency: U.S. Department of the Interior,
Office of Surface Mining Reclamation and Enforcement (OSMRE)

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Agencies:**

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U.S. Environmental Protection Agency
U.S. Fish and Wildlife Service

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Abstract

The Office of Surface Mining Reclamation and Enforcement (OSMRE) has prepared a final Environmental Impact Statement (FEIS) on proposed revisions to regulations (at 30 CFR Chapter VII) for implementation of the Surface Mining Control and Reclamation Act (SMCRA or the Act) of 1977. The proposed revisions would better protect streams, fish, wildlife, and related environmental values from the adverse impacts of surface coal mining operations and provide mine operators with a regulatory framework to avoid water pollution and the long-term costs associated with water treatment, more completely implement the requirements of SMCRA, remedy deficiencies in existing rules, and remove obsolete or unneeded provisions from existing rules. The FEIS analyzes the proposed revisions in accordance with the National Environmental Policy Act (NEPA) of 1969 as amended, 42 U.S.C. 4321-4347; the Council on Environmental Quality's (CEQ's) regulations for implementing NEPA, 40 CFR Parts 1500 through 1508; and the U.S. Department of the Interior's NEPA regulations, 43 CFR Part 46.

The proposed action is intended to balance all relevant purposes of the Act, as listed in Section 102 of SMCRA, 30 U.S.C. § 1202. Those purposes include ensuring that surface coal mining operations are conducted in a manner that protects the environment, establishing a nationwide program to protect society and the environment from the adverse effects of surface coal mining operations, and ensuring a coal supply adequate for our Nation's energy needs.



U.S. Department of the Interior
Office of Surface Mining Reclamation and Enforcement

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Stream Protection Rule Environmental Impact Statement



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Executive Summary

ES.1 Background and Overview

The Office of Surface Mining Reclamation and Enforcement (OSMRE) has prepared this Final Environmental Impact Statement (FEIS) on proposed revisions to regulations (at 30 CFR Chapter VII) for implementation of the Surface Mining Control and Reclamation Act (SMCRA or the Act) of 1977. The proposed revisions would better protect streams, fish, wildlife, and related environmental values from the adverse impacts of surface coal mining operations and provide mine operators with a regulatory framework to avoid water pollution and the long-term costs associated with water treatment, more completely implement the requirements of SMCRA, remedy deficiencies in existing rules, and remove obsolete or unneeded provisions from existing rules. The FEIS analyzes the proposed revisions in accordance with the National Environmental Policy Act (NEPA) of 1969 as amended, 42 U.S.C. 4321-4347; the Council on Environmental Quality's (CEQ's) regulations for implementing NEPA, 40 CFR Parts 1500 through 1508; and the U.S. Department of the Interior's NEPA regulations, 43 CFR Part 46.

Scientific studies published since the adoption in 1983 of our principal regulations have indicated that surface coal mining operations continue to have significant negative impacts on streams, fish, and wildlife despite the enactment of SMCRA and the federal regulations implementing that law. The principal purpose of the current proposed action is to update and revise the regulations to reflect the best available science in order to avoid or minimize these negative impacts, and provide regulatory certainty to industry.

The FEIS analyzes the impacts of implementing rule changes that would do the following:

- Define the term “material damage to the hydrologic balance outside the permit area” and require that each permit establish the point at which adverse mining-related impacts on groundwater and surface water reach an unacceptable level; i.e., the point at which adverse impacts from mining would cause material damage to the hydrologic balance outside the permit area.
- Set forth how to collect adequate premining data about the site of the proposed mining operation and adjacent areas to establish a comprehensive baseline that will facilitate evaluation of the effects of mining operations.
- Set forth how to conduct effective, comprehensive monitoring of groundwater and surface water during and after both mining and reclamation and during the revegetation responsibility period to provide real-time information documenting mining-related changes in water quality and quantity.
- Address the need for required monitoring of the biological condition of streams during and after mining and reclamation to evaluate changes in aquatic life. Proper monitoring would enable timely detection of any adverse trends and allow timely implementation of any necessary corrective measures.

- Promote the protection or restoration of perennial and intermittent streams and related resources, especially the headwater streams that are critical to maintaining the ecological health and productivity of downstream waters.
- Ensure that permittees and regulatory authorities make use of advances in information, technology, science, and methodologies related to surface and groundwater hydrology, surface-runoff management, stream restoration, soils, and revegetation, all of which relate directly or indirectly to protection of water resources.
- Ensure that land disturbed by surface coal mining operations is restored to a condition capable of supporting the uses that it was capable of supporting before mining. Soil characteristics and the degree and type of revegetation have a significant impact on surface-water runoff quantity and quality as well as on aquatic life and the terrestrial ecosystems dependent upon perennial and intermittent streams.
- Update and codify requirements and procedures to protect threatened and endangered species and designated critical habitat under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq), and better explain how the fish and wildlife protection and enhancement provisions of SMCRA should be implemented.

As with the existing regulations, implementation of the revised regulations would be the responsibility of the applicable regulatory authority. OSMRE is headquartered in Washington, D.C. and is the regulatory authority in the states of Tennessee and Washington, and on Indian lands. All other coal-producing states have received approval of their proposed regulatory programs and thus function as the regulatory authorities in their respective states. OSMRE has oversight responsibility of the states' implementation of their OSMRE-approved regulatory programs. When a state or Indian tribe submits and receives approval of its proposed regulatory program from us, it becomes the primary regulator within that state or on reservation lands, respectively, and assumes responsibility over permitting, inspection, and enforcement activities. OSMRE then provides oversight of the state's or tribe's implementation of the regulatory program, technical assistance and support.

The proposed action would also help fulfill OSMRE's responsibilities under a Memorandum of Understanding (MOU) that the Secretary of the Department of the Interior, the Administrator of the U.S. Environmental Protection Agency (EPA), and the Acting Assistant Secretary of the Army (Civil Works) entered into on June 11, 2009. This MOU, referred to in this FEIS as the CWA MOU from this point forward, implemented an interagency action plan designed to significantly reduce the harmful environmental consequences of surface coal mining operations in six Appalachian states, while ensuring that future mining remains consistent with federal law. Specifically, Part III.A of the CWA MOU provides that the parties to the CWA MOU will review "existing regulatory authorities and procedures to determine whether regulatory modifications should be proposed to better protect the environment and public health from the impacts of Appalachian surface coal mining." It also provides that, at a minimum, revisions will be considered to the Stream Buffer Zone (SBZ) Rule published December 12, 2008 and the regulatory requirements concerning approximate original contour.

Finally, the proposed action is intended to balance all relevant purposes of the Act, as listed in Section 102 of SMCRA, 30 U.S.C. § 1202. Those purposes include ensuring that surface coal mining operations are conducted in a manner that protects the environment, establishing a nationwide program to protect

society and the environment from the adverse effects of surface coal mining operations, and ensuring a coal supply adequate for our Nation's energy needs.

ES.2 Public Involvement

On November 30, 2009, OSMRE published an Advance Notice of Proposed Rulemaking (ANPR) soliciting comments on ten potential rulemaking Alternatives. Approximately 32,750 comments were received during the 30-day comment period on various issues related to stream protection. After evaluating the comments, it was determined that development of a comprehensive Stream Protection Rule (SPR) (one that is much broader in scope than OSMRE's 2008 SBZ rule) would be the most appropriate and effective method of achieving the goals set forth in the CWA MOU and the ANPR. OSMRE published a notice of intent (NOI) to prepare an EIS in the *Federal Register* on April 30, 2010 (75 FR 22723) followed by an additional notice on June 18, 2010 (75 FR 34666). The additional notice informed the public of scoping opportunities to include open houses and to outline possible Alternatives that were being considered. Approximately 400 people attended the open houses and provided almost 450 written and oral comments. In addition, 20,126 comments were received through the mail and website. The scoping period closed July 30, 2010.

Most comments were specific to the elements of the Proposed Rule and possible Alternatives set out in the June 18, 2010 NOI. Some commenters recommended clarifications to existing rules as opposed to a new rulemaking, made suggestions pertaining to specific elements or Alternatives within the Proposed Rulemaking, or raised new issues or rule elements for consideration.

Comments were generally divided into two categories: (1) comments in support of rule revisions that would provide greater environmental protection for streams and other natural resources; and (2) comments that support the adequacy of the existing regulations. Some commenters favoring greater environmental protections advocated interpretation of the 1983 Stream Buffer Zone Rule as an absolute prohibition on stream impacts. This group of comments described the 1983 rules as a bright-line prohibition against any adverse impacts within the stream buffer zone, although the courts have not always agreed with this interpretation by the commenters as explained below in the scope section. Other comments suggested that this FEIS assess the effects of an Alternative that would ban surface mining of coal in or near streams.

Comments that opposed changes to current rules asserted that additional regulation would impair mining operations, increase costs, endanger jobs at a time of high unemployment, and provide little, if any, additional protection for the environment. Some comments questioned OSMRE's authority under SMCRA to adopt certain measures under consideration. Others asserted that OSMRE had failed to articulate a need for new regulations so soon after adopting the 2008 Stream Buffer Zone Rule.

Some comments from the coal-producing regions of the Midwest and the West also questioned the need to promulgate a nationwide Stream Protection Rule, arguing that there is no evidence of adverse impacts on streams outside of Appalachia. These comments also argued that because of regional differences, many elements under consideration would be inapplicable, cumbersome, costly, or impractical to apply outside Appalachia.

On July 16, 2015, OSMRE announced that the Proposed Rule, Draft EIS (DEIS), and Draft Regulatory Impact Analysis (RIA) were available for review at www.regulations.gov, on our website (www.osmre.gov), and at selected OSMRE offices. On July 17, 2015, OSMRE published a notice in the Federal Register announcing the availability of the DEIS for the Proposed Rule. See 80 FR 42535-42536. The notice reiterated that the DEIS was available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the DEIS was originally scheduled to close on September 15, 2015. On July 27, 2015, OSMRE also published the Proposed Stream Protection Rule in the Federal Register. See 80 FR 44436-44698. That document reiterated that the Proposed Rule, DEIS, and Draft RIA were available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the Proposed Rule and Draft RIA was originally scheduled to close on September 25, 2015. In response to requests for additional time to review and prepare comments on all three documents, OSMRE extended the comment period for the Proposed Rule, DEIS, and Draft RIA through October 26, 2015. See 80 FR 54590-54591 (Sept. 10, 2015).

Interested parties, therefore, received a total of 102 days to review the Proposed Rule and supporting documents. During that time, OSMRE also held six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia, and West Virginia. OSMRE received approximately 95,000 comments from all sources on the Proposed Rule, DEIS, and Draft RIA.

ES.3 Scope of the Proposed Stream Protection Rule

Historically, OSMRE and some state regulatory authorities applied the 1983 stream buffer zone rule in a manner that allowed the placement of excess spoil fills, refuse piles, slurry impoundments, and sedimentation ponds in intermittent and perennial streams within the permit area. However, as discussed at length in the preamble to a 2004 Proposed Rule (see 69 FR 1038-1042 (Jan. 7, 2004)), which OSMRE never finalized, there has been considerable controversy over the proper interpretation of both the Clean Water Act and our 1983 rules as they apply to the placement of fill material in or near perennial and intermittent streams.

One interpretation of the 1983 stream buffer zone rules appears in our annual oversight reports for West Virginia for 1999 and 2000, which state that the stream buffer zone rule does not apply to the footprint of a fill placed in a perennial or intermittent stream as part of a surface coal mining operation. On June 4, 1999, in West Virginia Highlands Conservancy v. Babbitt, Civ. No. 1:99CV01423 (D.D.C.), the plaintiffs challenged the validity of that interpretation, alleging that it constituted rulemaking in violation of the Administrative Procedure Act.

However, on August 9, 1999, OSMRE, the U.S. Army Corps of Engineers, EPA, and the West Virginia Division of Environmental Protection (WVDEP) signed a MOU in which all four agencies in effect agreed to an interpretation that allowed valley fills in intermittent or perennial streams to be approved only if the buffer zone findings were made for the filled stream segments. The MOU, referred to in this FEIS from this point forward as the WV MOU, also stated that the Clean Water Act Section 404(b)(1) Guidelines at 40 CFR part 230 contain requirements comparable to the findings required by the combination of OSMRE's 1983 stream buffer zone rule and the West Virginia stream buffer zone rule. Consequently, the WV MOU found that, "where a proposed fill is consistent with the requirements of the Section 404(b)(1) Guidelines and applicable requirements for Section 401 certification of compliance

with water quality standards, the fill would also satisfy the criteria for granting a stream buffer zone variance under SMCRA and WVDEP regulations.”¹ As a result of the signing of the WV MOU, the court approved an unopposed motion to dismiss the case mentioned above² as moot in an order filed September 23, 1999.

In a lawsuit filed in the U.S. District Court for the Southern District of West Virginia in July 1998, plaintiffs asserted that the 1983 stream buffer zone rule should be interpreted to allow mining activities through a perennial or intermittent stream or within the buffer zone for a perennial or intermittent stream only if the activities are minor incursions.³ They argued that the rule did not allow substantial segments of a perennial or intermittent stream to be buried underneath excess spoil fills or other mining-related structures.⁴ On October 20, 1999, the district court ruled in favor of the plaintiffs on this point, holding that the West Virginia version of the stream buffer zone rule applies to all segments of a stream, including those segments within the footprint of an excess spoil fill, not just to the stream as a whole.⁵ The court stated that the construction of fills in perennial or intermittent streams is inconsistent with the language of the West Virginia counterpart to 30 CFR 816.57(a)(1), which provides that the regulatory authority may authorize surface mining activities within a stream buffer zone only after making certain findings, including a finding that the proposed activities would not “adversely affect the normal flow or gradient of the stream, adversely affect fish migration or related environmental values, materially damage the water quantity or quality of the stream...”⁶ The court also concluded that, contrary to the August 1999 WV MOU, satisfaction of the Section 404(b)(1) Guidelines is not equivalent to satisfaction of the SMCRA buffer zone rule.⁷

On appeal, the U.S. Court of Appeals for the Fourth Circuit vacated the judgment of the district court and remanded the case with instructions to dismiss the counts concerning the stream buffer zone rule as barred by the Eleventh Amendment to the U.S. Constitution. See Bragg v. West Virginia Coal Ass’n, 248 F.3d 275, 296 (4th Cir. 2001), cert. denied, 534 U.S. 1113 (2002). While the Fourth Circuit did not interpret the 1983 version of the stream buffer zone rule, the brief for the federal appellants in that case included another interpretation of the regulation in their brief. In sum, the federal appellants supported an

¹ Memorandum Of Understanding among the U.S. Office of Surface Mining, U.S. Environmental Protection Agency, U.S. Army Corps of Engineers, and West Virginia Division Of Environmental Protection for the Purpose of Clarifying the Application of Regulations Related to Stream Buffer Zones under the Surface Mining Control and Reclamation Act for Surface Coal Mining Operations that Result in Valley Fills, August 9, 1999, p. 4.

² West Virginia Highlands Conservancy v. Babbitt, Civ. No. 1:99CV01423 (D.D.C.).

³ See Bragg v. Robertson, 72 F. Supp. 2d 642, 660-663 (S.D. W. Va. 1999).

⁴ Id.

⁵ Id.

⁶ Id. at 650-653, 661. In a related matter, a consent decree filed on January 3, 2000, and approved on February 17, 2000, stated that the West Virginia stream buffer zone rules only apply downstream from the toes of downstream faces of embankments of sediment control structures in perennial and intermittent streams. Bragg v. Robertson, 83 F. Supp. 2d 713, 718 n.4 (S.D. W. Va. 2000).

⁷ Id. at 660.

interpretation based on the district court decision and stated that 30 CFR 816.57 “prohibits the burial of substantial portions of intermittent and perennial streams beneath excess mining spoil.”⁸

In a different case related to the issuance of a nationwide section 404 permit under the Clean Water Act, the U.S. District Court for the Southern District of West Virginia stated in an opinion that SMCRA and the 1983 stream buffer zone rule do not authorize disposal of overburden in streams: “SMCRA contains no provision authorizing disposal of overburden waste in streams, a conclusion further supported by the buffer zone rule.”⁹ Yet, on appeal, the U.S. Court of Appeals for the Fourth Circuit rejected the district court’s conclusion, stating that “SMCRA does not prohibit the discharge of surface coal mining excess spoil in waters of the United States.”¹⁰ The court further stated that “it is beyond dispute that SMCRA recognizes the possibility of placing excess spoil material in waters of the United States even though those materials do not have a beneficial purpose.”¹¹

In subsequent litigation, the federal appellants stated that “OSM[RE] has historically interpreted its ‘stream buffer zone’ rule . . . to allow for the construction of valley fills in intermittent and perennial streams, even if such fills cover a stream segment. The traditional interpretation of the [stream buffer zone] is in harmony with this Court’s decision in Rivenburgh.”¹² Additionally, the U.S. Court of Appeals for the Fourth Circuit has discussed SMCRA’s role in the regulation of valley fills in the context of a challenge to individual permits under section 404 of the Clean Water Act.¹³ See Ohio Valley Env’tl. Coal. v. Aracoma Coal Co., 556 F.3d 177, 195 (4th Cir. 2009) (“Congress clearly contemplated that the regulation of the disposal of excess spoil and the creation of valley fills falls under the SMCRA rubric.”).

By 2004, OSMRE had concluded that “[t]he issues and allegations raised indicate that there remains considerable misunderstanding regarding the meaning of the [1983 stream buffer zone] regulation . . . particularly as it applies to the placement of excess spoil fills within and near intermittent and perennial streams.” See 69 Fed. Reg. 1,038-40. As a result it began a rulemaking effort to replace the 1983 SBZ rule, which resulted in adoption of a new stream buffer zone rule in 2008 (73 Fed. Reg. 75,818 (the 2008 rule)).

The 2008 SBZ rule was immediately challenged by 10 environmental groups in two lawsuits. In July 2013, the government moved for partial summary judgment on the grounds that it had failed to comply with the Endangered Species Act (ESA) when it adopted the rule. In the context of briefing that motion, the National Mining Association (NMA) recognized the confusion created by the 1983 SBZ rule:

⁸ Brief for Federal Appellants at 2, Bragg v. West Virginia Coal Ass’n, 248 F.3d 275 (4th Cir. 2001) (No. 99-2683) (footnote omitted).

⁹ Kentuckians for the Commonwealth, Inc. v. Rivenburgh, 204 F. Supp. 2d 927, 942 (S.D. W. Va. 2002).

¹⁰ Kentuckians for the Commonwealth, Inc. v. Rivenburgh, 317 F.3d 425, 442 (4th Cir. 2003).

¹¹ Id. at 443. The preamble to a Proposed Rule, which OSMRE published on January 7, 2004, but which OSMRE never adopted in final form, contains additional discussion of litigation and related matters arising from the 1983 stream buffer zone rule through 2003. See especially Part I.B.1. at 69 FR 1038-1040.

¹² Corrected Brief for Federal Appellants at 9 n.2, Ohio Valley Env’tl. Coal. v. Bulen, 556 F.3d 177 (4th Cir. 2009) (Nos. 04-2129 (L), 04-2137, 04-2402) (footnote omitted).

¹³ 33 U.S.C. 1344.

“Vacating the entire [2008 SBZ] Rule would undo the clarification it provides on non-ESA issues and return the regulatory program to its previous confused and uncertain state, which would remain in place for years to come until OSM[RE] issues a new notice of proposed rulemaking (currently promised for 2014) and, eventually, a new final rule.” Brief of the Intervenor-Defendant at 32-33, *Nat’l Parks Conservation Ass’n v. Jewell*, 2014 U.S. Dist. LEXIS 152383 (D.D.C. Aug. 30, 2013) (No. 09-115). Despite NMA’s protest, on February 20, 2014, the district court vacated the 2008 SBZ rule and reinstated the 1983 version. *Nat’l Parks Conservation Ass’n v. Jewell*, 2014 U.S. Dist. LEXIS 152383 at *31, *35 (D.D.C. Feb. 20, 2014). The court in that case did not discuss any interpretation of the 1983 SBZ rule and instead focused on OSMRE’s failure to comply with the Endangered Species Act.

Although the 2008 Stream Buffer Zone Rule that was in place when the 2009 ANPR was published has since been vacated (*NPCA v. Jewell*, No. 09-115, Memorandum Decision at 13-14 (D.D.C. Feb. 20, 2014)), and the prior rules have been reinstated, the conclusion that a comprehensive Stream Protection Rule is needed is still valid. Through the process of considering comments received on the Proposed Rulemaking and issues identified during scoping, it was determined that improved protection of the hydrologic balance, especially streams, fish, wildlife, and related environmental values is needed throughout the country. One of the reasons SMCRA was enacted was to ensure a minimum level of environmental protection nationwide by establishing national surface coal mining and reclamation standards to prevent competition for coal markets from undermining the ability of states to maintain adequate regulatory programs for coal mining operations within their borders. See Section 101(g) of SMCRA, 30 U.S.C. § 1201(g). Thus, OSMRE concluded that a nationwide rule is required.

Both the 2008 Stream Buffer Zone Rule and its predecessors focused primarily on activities in or within 100 feet of the stream itself and, in the case of the 2008 rule, on minimization of excess spoil creation and limiting the footprint of excess spoil fills. Yet, mining activities beyond the 100-foot stream buffer zone can have significant impacts on the quality and quantity of water in streams by disturbing aquifers and altering the physical and chemical nature of recharge zones, as well as surface-water runoff rates, drainage patterns, and fish, wildlife, and related environmental values.

Thus, there are many components of our regulations, not just the ones related to stream buffer zones, that could be revised to improve implementation of SMCRA with regard to stream protection and conservation of fish, wildlife, and related environmental values. In particular, six areas have been identified in which regulations to better protect streams and associated environmental values have been proposed.

First, there is a need to clearly define the point at which adverse mining-related impacts on both groundwater and surface water reach an unacceptable level; that is, the point at which adverse impacts from mining cause material damage to the hydrologic balance outside the permit area. Neither SMCRA nor the existing regulations define the term “material damage to the hydrologic balance outside the permit area” or establish criteria for determining what level of adverse impacts would constitute material damage. In particular, there is no requirement that the SMCRA regulatory authority establish a specific standard for conductivity or selenium, both of which can have deleterious effects on aquatic life at elevated levels.

Second, there is a need to collect adequate premining data about the site of the proposed mining operation and adjacent areas to establish a comprehensive baseline that will facilitate evaluation of the effects of mining. The existing rules require data only for a limited number of water-quality parameters rather than the full suite needed to establish a complete baseline against which the impacts of mining can be compared. The existing rules also contain no requirement for determining the biological condition of streams within the proposed permit and adjacent areas, so there is no assurance that the permit application will include baseline data on aquatic life.

Third, there is a need for effective, comprehensive monitoring of groundwater and surface water during and after both mining and reclamation and during the revegetation responsibility period to provide real-time information documenting mining-related changes in the values of the parameters being monitored. Similarly, there is a need to require monitoring of the biological condition of streams during and after mining and reclamation to evaluate changes in aquatic life. Proper monitoring will enable timely detection of any adverse trends and timely implementation of any necessary corrective measures. The existing rules require monitoring of only water quantity and a limited number of water-quality parameters, not all parameters necessary to evaluate the impact of mining and reclamation. The existing rules do not ensure that the number and location of monitoring points will be adequate to determine the impact of mining and reclamation. They also allow discontinuance or reduction of water monitoring too early to ascertain the impacts of mining and reclamation on water quality with a reasonable degree of confidence, especially for groundwater.

Fourth, there is a need to ensure protection or restoration of streams and related resources, including the headwater streams that are important to maintaining the ecological health and productivity of downstream waters. The existing rules have not always been applied in a manner sufficient to ensure protection or restoration of streams, especially with respect to the ecological function of streams. Maintenance, restoration, or establishment of streamside vegetative corridors or buffers, comprised of native species, for streams is a critical element of stream protection. In forested areas, riparian buffers for streams moderate the temperature of water in the stream, provide food (in the form of fallen leaves and other plant parts) for the aquatic food web, roots that stabilize stream banks, reduce surface runoff, and filter sediment and nutrients in surface runoff.

Fifth, there is a need to ensure that permittees and regulatory authorities make use of advances in information, technology, science, and methodologies related to surface and groundwater hydrology, surface-runoff management, stream restoration, soils, and revegetation, all of which relate directly or indirectly to protection of water resources.

Sixth, there is a need to ensure that land disturbed by surface coal mining operations is restored to a condition capable of supporting the uses that it was capable of supporting before any mining, including both those uses dependent upon stream protection or restoration and those uses that promote or support protection and restoration of streams and related environmental values. Existing rules and permitting practices have focused primarily on the land's suitability for a single approved postmining land use and they have not always been applied in a manner that results in the construction of postmining soils that provide a growth medium suitable for restoration of premining site productivity. A corollary need is to ensure that reclaimed mine sites are revegetated with native species unless and until a conflicting postmining land use, such as intensive agriculture, is implemented. Soil characteristics and the degree

and type of revegetation have a major impact on surface-water runoff quantity and quality as well as on aquatic life and the terrestrial ecosystems dependent upon perennial and intermittent streams. Under the existing rules, sites with certain postmining land uses have been revegetated with non-native species even when the postmining land use is not implemented prior to final bond release and even on those portions of the site where non-native species are not necessary to achieve the postmining land use.

These needs form the basis for our development of a reasonable range of Alternatives for the Proposed Stream Protection Rule. Nine Alternatives were carried forward for analysis in the FEIS, including the No Action Alternative and the Preferred Alternative. The Alternatives consist of a spectrum of combinations of the rule elements, with each Alternative including shared characteristics with other Alternatives but differing in some aspects of new requirements or the degree of improvement to existing regulations.

The following sections briefly describe the No Action Alternative, the Preferred Alternative, and then provide a comparison of all nine Alternatives carried forward in the FEIS. The sections are organized into four major groups of rule elements: protection of the hydrologic balance, activities in or near streams, approximate original contour (AOC) and AOC variances, and revegetation, topsoil, and fish and wildlife protection and enhancement.

Changes have occurred to the Preferred Alternative since the publication of the DEIS, and these are reflected in the summaries below. These changes were made after careful consideration of agency and public comment on the Proposed Rule, the DEIS, and the associated RIA. OSMRE also received comments on the other Alternatives presented in this EIS, as well as comments on potential Alternatives that OSMRE had not analyzed. The comments on the other Alternatives OSMRE considered were primarily questioning the practicality and cost of aspects of the Alternatives, and in many cases these comments also pertained to the Proposed Rule (the Preferred Alternative). No additional Alternatives were added to the EIS in response to comments for the reasons provided in the responses to comments (see the responses as included in Appendix K of this FEIS). In the year since the DEIS was published, OSMRE has taken a hard look at the body of comments received, and has coordinated closely with our federal and state regulatory partners to address concerns. As a result, OSMRE has determined that the Alternative 8 (Preferred), as revised, continues to provide the greatest effect towards reaching the objectives stated in the purpose and need for this rulemaking.

ES.4 Alternative 1 (No Action Alternative)

The No Action Alternative consists of the existing regulatory environment; it provides a baseline against which to compare the Action Alternatives. If the No Action Alternative is selected for implementation, no proposed regulatory revisions would be implemented. Thus, mining under this Alternative would continue to occur under our existing regulations. For reasons of brevity, OSMRE has described below only the requirements for surface coal mining operations. However, in most instances, analogous requirements apply to underground mining operations.

ES.4.1.1 Protection of the Hydrologic Balance (No Action Alternative)

ES.4.1.1.1 Baseline Data Collection and Analysis (No Action Alternative)

Under the current regulations, the applicant for a mining permit is required to submit, at a minimum, the following baseline information, and any additional hydrologic or geologic information required by the regulatory authority.¹⁴

Groundwater: Under 30 CFR 780.21, the applicant must submit data for existing wells, springs, and other groundwater resources within or adjacent to the proposed permit area. These data characterize the quality and quantity of groundwater and provide information on usage sufficient to demonstrate seasonal variation. Information on water quality must include total dissolved solids (TDS) or specific conductance, pH, total iron, and total manganese. Groundwater quantity information must include approximate rates of discharge or usage, as well as depth to the water in the coal seam, each water-bearing stratum above the coal seam, and each potentially affected stratum below the coal seam.

Surface water: Under 30 CFR 780.21, the applicant must submit information on surface water quality and quantity sufficient to demonstrate seasonal variation and water usage. At a minimum, water-quality information must include baseline information on total suspended solids (TSS), TDS or specific conductance, pH, total iron, and total manganese. The applicant must provide additional information on baseline acidity and alkalinity if there is a potential for acidic drainage from the proposed mining operation. Water quantity information must contain information on seasonal flow rates.

Geology: Under 30 CFR 780.22, the permit application must describe the geology of the proposed permit area and the adjacent area down to and including the deeper of either (1) the stratum immediately below the lowest coal seam to be mined or (2) any aquifer below that seam that could be adversely affected by mining. The description must include the areal and structural geology of the proposed permit area and the adjacent area. The description must also address other parameters that influence the required reclamation and the occurrence, availability, movement, quantity, and quality of potentially impacted surface water and groundwater. The geologic information must also include analyses of samples collected from test borings, drill cores, or samples from rock outcrops from the permit area. This requirement includes lithologic characterization and chemical analysis of strata and the coal seam for acid-forming or toxic-forming materials (including total sulfur, pyritic sulfur, and alkalinity-producing materials). The regulatory authority may waive analysis for alkalinity-producing materials and pyritic sulfur if sufficient data exists to document that the data is not needed.

ES.4.1.1.2 Monitoring During Mining and Reclamation (No Action Alternative)

The current regulations at 30 CFR 780.21(i) and (j) and 816.41(c) and (e) require monitoring of the quantity and quality of surface water and groundwater. The monitoring plan must include parameters related to the suitability of the water for current and approved postmining land uses, the hydrologic reclamation plan, and (for surface water) the effluent limitations in 40 CFR Part 434. At a minimum, pH,

¹⁴ Unless otherwise specifically stated, the term “regulatory authority” as used in this EIS refers to the SMCRA regulatory authority.

total iron, total manganese, TDS or specific conductance, water levels (for groundwater), flow (for surface water), and TSS (for surface water) must be monitored every three months until final bond release. The permittee must monitor point-source discharges in accordance with their National Pollutant Discharge Elimination System (NPDES) permit. The monitoring plan must identify the monitoring locations, but the regulations do not establish criteria for the number or placement of monitoring locations.

The regulatory authority may modify or waive the monitoring requirements at any time if the permittee demonstrates that monitoring, in whole or in part, is no longer necessary to achieve the purposes set forth in the monitoring plan; that the operation has minimized disturbance to the hydrologic balance within the permit area and prevented material damage to the hydrologic balance outside the permit area; that water quality and quantity are suitable to support the approved postmining land uses; and that the water rights of other users have been protected or adequately replaced. However, the regulatory authority may not modify or waive NPDES monitoring requirements.

ES.4.1.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area (No Action Alternative)

The current regulations do not define material damage to the hydrologic balance outside the permit area. However, the preamble to existing 30 CFR 780.21(g) and 784.14(f) states that “because the gauges for measuring material damage may vary from area to area and from operation to operation,” OSMRE has not established fixed criteria, except for those established under §§ 816.42 and 817.42 related to compliance with water quality standards and effluent limitations (48 FR 43973, Sept. 26, 1983). OSMRE further noted in the preamble to the existing rules that each regulatory authority should establish criteria to measure material damage to the hydrologic balance for purposes of cumulative hydrologic impact assessments (48 FR 43973, Sept. 26, 1983). Most state regulatory programs have not defined this term.

ES.4.1.1.4 Evaluation Thresholds (No Action Alternative)

The current regulations contain no requirement for specific evaluation thresholds. However, permit applicants proposing to conduct surface or underground coal mining are required under § 780.21(h) or § 784.14(g) respectively, to provide a plan of measures the applicant would take to avoid adverse potential hydrologic consequences, including preventative and remedial measures. Under 30 CFR 816.41(c)(2) and (e)(2) and 817.41(c)(2) and (e)(2), if monitoring results demonstrate noncompliance with permit conditions or federal, state, or tribal water quality laws and regulations, the permittee must promptly notify the regulatory authority. The applicant must then take all possible steps to minimize any adverse impact to the environment or public health and safety, and must immediately implement measures necessary to comply with permit condition (30 CFR 773.17(e)).

ES.4.1.2 Activities in or Near Streams (No Action Alternative)

ES.4.1.2.1 Stream Definitions (No Action Alternative)

The current regulatory definitions of perennial, intermittent, and ephemeral streams use hydrologic characteristics and watershed size to define these waters (30 CFR 701.5). The current definitions do not include biological or chemical characteristics.

- Under the current regulations, a perennial stream is a stream or part of a stream that flows continuously during all of the calendar year because of groundwater discharge or surface runoff.
- An intermittent stream is (1) a stream or reach of a stream that drains a watershed of at least one square mile, *or* (2) a stream or reach of a stream that is below the local water table for at least some part of the year, and obtains flow from both surface runoff and groundwater discharge.
- An ephemeral stream is a stream that flows only in direct response to precipitation in the immediate watershed or in response to the melting of a cover of snow and ice, and which has a channel bottom that is always above the local water table.

The definition in the second bullet has sometimes been incorrectly interpreted as if the “or” was an “and;” i.e., the one-square-mile criterion has sometimes been applied as a threshold for all intermittent streams, when, in fact, a stream in a smaller watershed that meets the second criterion is an intermittent stream regardless of the size of its watershed.

ES.4.1.2.2 Activities in or near Streams (Including Disposal of Excess Spoil and Coal Mine Waste Facilities) (No Action Alternative)

The 1983 SBZ rule, 30 CFR 816.57, which is now back in effect (see 79 FR 76227-76233 (Dec. 22, 2014)), provides that mining activities may not disturb land within 100 feet of a perennial or an intermittent stream unless the regulatory authority specifically authorizes activities closer to, or through, such a stream. The regulatory authority may authorize such activities only after finding that the proposed activities would not cause or contribute to a violation of applicable federal or state water quality standards under the Clean Water Act and would not adversely affect the water quantity and quality or other environmental resources of the stream.

The 1983 SBZ rule does not specifically mention placement of excess spoil and coal mine waste in or within 100 feet of streams, but OSMRE and most state regulatory authorities generally have applied the 1983 SBZ rule in a manner that allows the construction of excess spoil fills, refuse piles, slurry impoundments, and sedimentation ponds in all types of streams and their buffer zones.

The existing regulations at 30 CFR 816.71 through 816.74 require that excess spoil fills be constructed by controlled placement of the excess spoil in lifts no greater than four feet thick, except that durable rock fills may be constructed by end-dumping, which is intended to result in the formation of underdrains by gravity segregation.

In general, only surface coal mining operations in steep-slope terrain generate excess spoil. Although not expressly required by regulation, most states with mining operations in steep-slope terrain have adopted policies intended to minimize the generation of excess spoil and thus reduce the size of excess spoil fills, which in turn would reduce the length of stream covered by those fills. In addition, the agencies administering the Clean Water Act have implemented policies that have reduced both the number of excess spoil fills and the length of stream covered by those fills. Furthermore, the regulations in 40 CFR

Part 230 for implementation of section 404(b)(1) of the Clean Water Act require an analysis of all practicable alternatives to placement of fill material in waters of the United States, which would include most streams. Under those regulations, the applicant must select the Alternative with the least adverse effect on the aquatic ecosystem and mitigate any remaining adverse impacts on the aquatic environment.

ES.4.1.2.3 Mining Through Streams (No Action Alternative)

The 1983 version of the stream-channel diversion rules at 30 CFR 816.43 is now back in effect. Under 30 CFR 816.43(b)(1), the regulatory authority may approve diversion of perennial or intermittent streams within the permit area only after making the finding related to stream buffer zones in 30 CFR 816.57 that the diversion would not adversely affect the water quantity and quality and related environmental resources of the stream. Under 30 CFR 816.43(a), the applicant must design the diversion to minimize adverse impacts to the hydrologic balance within the permit and adjacent areas, prevent material damage to the hydrologic balance outside the permit area, and to assure the safety of the public. In addition, the applicant must design, locate, construct, maintain, and use the diversion to prevent, to the extent possible using the best technology currently available, additional contributions of suspended solids to streamflow outside the permit area.

Under 30 CFR 816.43(b)(4), both the design and construction of stream-channel diversions for perennial and intermittent streams must be certified by a qualified registered professional engineer as meeting applicable performance standards and any design criteria established by the regulatory authority. Under 30 CFR 816.43(a)(3), the design for restored stream channels for perennial and intermittent streams (or permanent diversion channels for those streams) must restore or approximate the premining characteristics of the original stream channel, including the natural riparian vegetation. Under 30 CFR 816.43(b)(2), the design capacity for both temporary and permanent stream-channel diversions must at least equal the capacity of the unmodified stream channel immediately upstream and downstream of the diversion.

ES.4.1.3 Approximate Original Contour (AOC) and AOC Variances (No Action Alternative)

ES.4.1.3.1 Surface Configuration (No Action Alternative)

Under existing 30 CFR 780.18(b) (3), each permit application must include a plan for backfilling, soil stabilization, and compacting and grading. Contour maps or cross-sections must show the anticipated final surface configuration. The performance standards at 30 CFR 816.102, 816.104, 816.105, 816.106, and 816.107 require that disturbed areas be backfilled and regraded to closely resemble the premining surface configuration, with exceptions for thin and thick overburden situations, previously mined areas, and certain other circumstances. The regulations allow permanent impoundments, including final-cut impoundments, provided they do not otherwise create conflicts with achieving AOC and they meet the design, construction, maintenance, postmining land use, and other requirements in 30 CFR 800.40(c)(2), 816.49(b), and 816.133.

ES.4.1.3.2 AOC Variances (No Action Alternative)

The current regulations provide for the approval of permits for mountaintop removal mining operations, which are exempt from AOC restoration requirements if the postmining land use and postmining surface topography requirements of paragraphs (3) and (4) of Section 515(c) of SMCRA are met. The regulations also provide for the approval of AOC variances for steep-slope mining operations under certain conditions.

As described in 30 CFR 785.14(b), mountaintop removal mining operations are surface mining activities in which the mining operation removes an entire coal seam or seams running through the upper fraction

of a mountain, ridge or hill by removing substantially all of the overburden off the bench and creating a level plateau or gently rolling contour, with no highwalls remaining. To obtain a permit for mountaintop removal mining operations, the proposed postmining land use must be a commercial, industrial, residential, agricultural, or public facility land use. The regulatory authority must find that the proposed postmining land use meets all requirements for alternative postmining land uses and is an equal or better economic or public use of the land compared to its premining use. The permit application must include specific plans for the proposed postmining land use, including assurance of investment in public facilities and documentation of private financial capability to ensure completion. The current regulations do not require implementation of the approved postmining land use prior to final bond release or thereafter.

Under 30 CFR 824.11(a)(9), the regulatory authority may approve a permit for a mountaintop removal mining operation only upon a demonstration that there would be no damage to natural watercourses below the lowest coal seam to be mined. The regulations do not define the term “no damage.” Natural watercourses above the lowest coal seam mined are not protected from damage.

Under 30 CFR 824.11(a) (6), the permittee must leave an outcrop barrier in place at the toe of the lowest coal seam mined to ensure stability.

As defined in 30 CFR 701.5, steep slopes are any slope of more than 20° or a lesser slope designated by the regulatory authority after consideration of soil, climate, and other characteristics of a region or State. To obtain an AOC variance for steep-slope mining operations under 30 CFR 785.16, the proposed postmining land use must be of an industrial, commercial, residential, or public (including recreational facilities) nature. It also must meet the requirements in 30 CFR 816.133 for approval of alternative postmining land uses, which, among other things, means that the postmining use must be an equal or better economic or public use. The applicant must demonstrate that the proposed operation will improve the watershed when compared to either premining conditions or the conditions that would exist if the applicant restored the area to AOC after mining. The regulatory authority can concur that the operation would improve the watershed only if the operation would reduce the amount TSS or other pollutants discharged from the permit area to surface water or groundwater *or* reduce the flood hazards within the watershed by a reduction of the peak-flow discharge from precipitation events or thaws. In both cases, the total volume of flow from the proposed permit area during every season of the year must not vary in a way that adversely affects the ecology of any surface water or any existing or planned use of surface water or groundwater.

ES.4.1.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement (No Action Alternative)

ES.4.1.4.1 Revegetation, Reforestation and Topsoil Management (No Action Alternative)

Under 30 CFR 816.133(a), the permittee must restore all disturbed areas to a condition in which they are capable of supporting the uses that they were capable of supporting before any mining or higher or better uses.

Under 30 CFR 816.22, the permittee must salvage and redistribute all topsoil (the A and E soil horizons), unless alternative overburden materials are approved as being equal to or better than the existing available topsoil to support vegetation. The permittee also must demonstrate that the selected overburden materials

they propose to use as topsoil substitutes and supplements are the best available material within the permit area. Paragraph (e) of 30 CFR 816.22 provides that the regulatory authority may require salvage and redistribution of the subsoil (the B and C soil horizons) or other underlying strata if it finds that those layers are necessary to comply with the revegetation performance standards in 30 CFR 816.111 through 816.116.

Paragraph (d) of 30 CFR 816.22 requires that the permittee redistribute topsoil and topsoil substitutes and supplements in a manner that achieves an approximately uniform, stable thickness when consistent with the approved postmining land use, contours, and surface water drainage systems. Soil thickness may vary to the extent necessary to meet the specific revegetation goals identified in the permit. The permittee also must redistribute soil materials in a manner that prevents excess compaction and protects the materials from wind and water erosion before and after seeding and planting.

Under 30 CFR 816.116, revegetation success standards must be based upon the effectiveness of the vegetation to support the approved postmining land use, the extent of ground cover compared to the cover provided by the natural vegetation of the area, and the general requirements of 30 CFR 816.111. These general requirements provide that the vegetative cover must be diverse, effective, and permanent; comprised of species native to the area (with certain exceptions); at least equal in extent of cover to the natural vegetation of the area; capable of stabilizing the soil surface from erosion; compatible with the postmining land use; have the same seasonal characteristics of growth as the original vegetation; be capable of self-regeneration and plant succession; be compatible with the plant and animal species of the area; and meet the requirements of state and federal laws and regulations concerning seeds, poisonous and noxious plants, and introduced species. The regulations provide exceptions to some of these requirements for agricultural crops and for plantings used to establish temporary cover.

ES.4.1.4.2 Fish and Wildlife Protection and Enhancement (No Action Alternative)

Under 30 CFR 780.16(a), each permit application must include fish and wildlife resource information for the proposed permit area and the adjacent area. The regulatory authority must determine the scope and level of detail of that information in consultation with state and federal agencies with responsibility for fish and wildlife. Paragraph (b) of 30 CFR 780.16 requires that the permit application also include a fish and wildlife protection and enhancement plan. Paragraph (c) of 30 CFR 780.16 requires that the regulatory authority provide the fish and wildlife resource information and the fish and wildlife protection and enhancement plan to the U.S. Fish and Wildlife Service (U.S. FWS) upon request.

Under the current regulations at 30 CFR 816.97(a), the mine operator must, to the extent possible using the best technology currently available minimize disturbances and adverse impacts to fish, wildlife, and related environmental values and enhance such resources where practicable.

Under 30 CFR 816.97(b), surface mining activities must not jeopardize the continued existence of endangered or threatened species or result in the destruction or adverse modification of designated critical habitats of such species in violation of the Endangered Species Act of 1973 (16 U.S.C. §§1531 to 1599). On September 24, 1996, the U.S. FWS issued a biological opinion and conference report to OSMRE (1996 biological opinion) on the continuation and approval and conduct of surface coal mining and reclamation operations under state and federal regulatory programs adopted pursuant SMCRA where such operations may adversely affect species listed as threatened or endangered or designated critical habitat

under the Endangered Species Act (ESA). The 1996 biological opinion explains how this requirement is designed to be implemented; it also provides an incidental take statement. The 1996 biological opinion states that the regulatory authority must “implement and require compliance with any species-specific protective measures developed by the U.S. FWS field office and the regulatory authority (with the involvement, as appropriate, of the permittee and OSM[RE]).” The 1996 biological opinion further provides that, “[w]henver the regulatory authority decides not to implement one or more of the species-specific measures recommended by the U.S. FWS, it must provide a written explanation to the U.S. FWS. If the U.S. FWS field office concurs with the regulatory authority's action, it would provide a concurrence letter as soon as possible. However, if the U.S. FWS does not concur, the issue must be elevated through the chain of command of the regulatory authority, the U.S. FWS, and (to the extent appropriate) OSM[RE] for resolution.” OSMRE is coordinating with the U.S. FWS on a MOU, from this point forward in the FEIS to be referred to as the ESA MOU, to provide guidance to OSMRE, the U.S. FWS, and the regulatory authorities for demonstrating compliance with the terms and conditions of the Incidental Take Statement accompanying the 1996 biological opinion, which provides incidental take coverage for any take resulting from a proposed coal mining and reclamation operation. The ESA MOU, while still in development as of publication of this document, is part of the current regulatory environment because it adds no new requirements but instead merely provides guidance on existing ones.

Under 30 CFR 816.97(f), the permittee must avoid disturbances to wetlands and riparian vegetation along rivers and streams and bordering ponds and lakes; permittees must enhance where practicable, restore, or replace these resources. Likewise, surface mining activities must also avoid disturbances to habitats of unusually high value for fish and wildlife; these resources must be restored or enhanced where practicable.

Where fish and wildlife habitat is to be a postmining land use, 30 CFR 816.97(g) requires that the plant species to be used on reclaimed areas be selected based upon their proven nutritional value for fish or wildlife, their use as cover for fish or wildlife, and their ability to support and enhance fish or wildlife habitat after bond release. Paragraph (g) also requires that the plants selected be grouped and distributed in a manner that optimizes edge effect, cover, and other benefits to fish and wildlife.

The remaining paragraphs of 30 CFR 816.97 identify assorted other measures that permittees must implement during and after mining to minimize damage to fish and wildlife resources and their habitats or to ensure that all postmining land uses provide some fish and wildlife habitat or travel corridors to the extent practicable.

ES.5 Alternative 8 (Preferred Alternative)

The Preferred Alternative (Alternative 8 in the EIS) is comprised of selected primary stream protection and fish and wildlife conservation elements of the other Action Alternatives analyzed. These elements include: defining material damage to the hydrologic balance outside the permit area, enhancing baseline data collection, monitoring and regulatory authority review, requiring restoration of the ecological function of perennial and intermittent streams that are mined through, requiring fish and wildlife enhancements for perennial and intermittent stream reaches buried by excess spoil or coal mine waste, prohibiting mountaintop removal mining operations from damaging natural watercourses, and requiring reforestation of previously forested areas.

ES.5.1.1 Protection of the Hydrologic Balance (Preferred Alternative)

ES.5.1.1.1 Baseline Data Collection and Analysis (Preferred Alternative)

The Preferred Alternative (Alternative 8) requires that the applicant to obtain information on stream flow, sediment load, all rainfall/storm events, stream chemical, physical and hydrologic form and stream ecological function for streams as a baseline. The information required is summarized as follows:

- **Surface water:** The applicant must provide surface-water quantity descriptions for perennial and intermittent streams within the proposed permit and adjacent areas and collect surface water samples for 12 consecutive months at approximately equally spaced monthly intervals. Under the final version of the Preferred Alternative, OSMRE has revised the collection requirements (since initially proposed) to allow the applicant to modify the interval between samples to allow for adverse weather conditions that would make it unsafe to travel to sampling locations.
- **Groundwater:** The applicant must measure the levels of groundwater in perched, regional, and local aquifers within the proposed permit and adjacent areas at approximately equally spaced monthly intervals for a minimum of 12 consecutive months. As with surface waters under the final version of the Preferred Alternative, OSMRE has revised the requirements to allow the applicant to modify the interval between groundwater samples to allow for adverse weather conditions that would make it unsafe to travel to sampling locations. OSMRE has also revised this Alternative to allow the applicant, with regulatory authority approval, to measure groundwater levels on a quarterly basis instead of monthly, but this would extend the minimum data-gathering period to 24 consecutive months.
- **Parameters:** The applicant must analyze surface water and groundwater samples and expand the suite of parameters subject to analysis to include: temperature, aluminum, bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, hot acidity, total alkalinity, major anions and cations, pH, selenium, specific conductance, total dissolved solids (TDS), total iron, total manganese, total suspended solids, and any other parameter identified in any applicable National Pollutant Discharge Elimination System permit. Under the final version of the Preferred Alternative, OSMRE deleted the six parameters (ammonia, arsenic, cadmium, copper, nitrogen, zinc), which were previously requested by EPA in the Proposed Rule. Our research found that those parameters have little or no nexus to coal mining. However, OSMRE added temperature as a mandatory baseline data collection and monitoring parameter for both surface water and groundwater, and a requirement for the applicant to collect baseline (and monitoring) data for all parameters of concern, as determined by the regulatory authority, regardless of whether the regulations specifically identify those parameters.
- **Form of streams:** Under the final version of the Preferred Alternative, the applicant must provide a detailed description of stream channel characteristics for perennial and intermittent streams located within the proposed permit area. General descriptions are required for ephemeral stream channels located within the proposed permit area. OSMRE decided not to apply this requirement to streams within adjacent areas (as previously proposed under this Alternative) because it is only within the permit area that channel characteristics are likely to be altered by mining.
- **Biological condition of streams:** Under the final version of the Preferred Alternative, OSMRE has removed the requirement for measurement of the biological condition of ephemeral streams. For perennial streams, this Alternative requires use of a scientifically defensible bioassessment

protocol that will provide index values for both stream habitat and aquatic biota based on the reference condition. The protocol must be accepted by the agencies responsible for implementing the Clean Water Act and it must require identification of benthic macroinvertebrates to the genus level where possible, otherwise to the lowest practical taxonomic level. The same requirement applies to intermittent streams if scientifically defensible protocols have been developed for those streams. If no such protocols exist, the baseline data requires a description of the biology of each intermittent stream within the proposed permit area and each intermittent stream in the adjacent area that could be affected by the proposed operation.

- **Wetlands:** Under the final version of the Preferred Alternative, OSMRE has added a requirement that the permit applicant identify the extent and quality of wetlands adjoining all streams within the proposed permit area, and wetlands adjoining perennial and intermittent streams that occur in adjacent areas.
- **Precipitation:** The applicant is required to use continuous recording devices to record all precipitation and storm events to provide baseline data that is adequate to generate and calibrate a hydrologic model of the site. Under the final version of the Preferred Alternative, OSMRE is not adopting the proposed requirement that the regulatory authority extend the baseline data collection period if the Palmer Drought Severity Index for that period exceeded certain values. Historical data indicate that there are few 12-month periods in which the selected values would not exist for at least part of the time. Instead, the Preferred Alternative would require that the applicant identify the Palmer Drought Severity Index values for the period during which baseline data were collected. The regulatory authority then would have the discretion to determine whether and how long to extend the baseline data collection period under conditions of extreme drought or abnormally high precipitation.
- **Geology:** Requires collection of geologic data for the proposed permit and adjacent areas, with a focus on geological characteristics and properties that influence the hydrologic regime or that could alter the availability or quality of groundwater and surface water.

ES.5.1.1.2 Monitoring During Mining and Reclamation (Preferred Alternative)

As with the Preferred Alternative proposed in the DEIS, the Preferred Alternative continues to require monitoring of surface water and groundwater during mining and reclamation at least quarterly for the same parameters measured during baseline sampling at locations designated in the permit. As revised, the Preferred Alternative requires the applicant to monitor the biological condition of perennial streams and intermittent streams for which scientifically defensible bioassessment protocols exist annually until final bond release.

The Preferred Alternative now contains an additional requirement that the regulatory authority establish threshold values for water quality and quantity parameters that, when exceeded, as documented by monitoring, would result in an evaluation by the regulatory authority and the Clean Water Act authority to determine the reason for the exceedance. The Preferred Alternative continues to require that the permittee collect on-site precipitation measurements using self-recording rain gauges. Precipitation records must be adequate to generate and calibrate a hydrologic model of the site in the event the regulatory authority requires modeling.

Under the final Preferred Alternative, OSMRE has clarified that the regulatory authority must reevaluate the cumulative hydrologic impact assessment (CHIA) at intervals not to exceed three years. This evaluation must include a review of biological and water monitoring data from both this operation and all other coal mining operations within the cumulative impact area. The Preferred Alternative continues to require an inspection of the surface water runoff-control system following storm events that recur on a two-year or greater interval. The Preferred Alternative also continues to require the operator to submit a report after such an event that describes the performance of the hydraulic control structures, assesses and describes any potential material damage to the hydrologic balance, and addresses any remedial measures taken. In the Preferred Alternative, OSMRE has revised the requirement for how soon the regulatory authority must receive the report, from the previously proposed 48 hours to 30 days.

The Preferred Alternative continues to require that monitoring continue until final bond release. Under this Alternative, OSMRE added a requirement for restoration of the hydrologic function of mined-through perennial and intermittent streams before the regulatory authority may approve a Phase II bond release application. As proposed, the regulatory authority may not grant final Phase III bond release until the permittee demonstrates restoration of the ecological function of mined-through perennial and intermittent streams.

ES.5.1.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area (Preferred Alternative)

The Preferred Alternative in the DEIS defined material damage to the hydrologic balance outside the permit area as any adverse impact from surface or underground mining operations, including subsidence, on the quantity or quality of surface water or groundwater, or on the biological condition of a perennial or intermittent stream, that would preclude attainment or continuance of any designated surface water use under sections 101(a) and 303(c) of the Clean Water Act or any existing or reasonably foreseeable use of surface water or groundwater outside the permit area. OSMRE has revised the Preferred Alternative definition of material damage to the hydrologic balance outside the permit area by removing all criteria and instead providing a list of factors that the regulatory authority, in consultation with the Clean Water Act authority, must consider in identifying material damage thresholds.

When selecting material damage thresholds, the revised Preferred Alternative requires that the regulatory authority, in consultation with the Clean Water Act authority as appropriate undertake a comprehensive evaluation that considers baseline data, the PHC determination, applicable water quality standards under the Clean Water Act, applicable state or tribal standards of surface water or groundwater, ambient water quality criteria developed under section 304(a) of the Clean Water Act, the biological requirements of species listed as threatened or endangered under the Endangered Species Act of 1973, and other pertinent information and considerations to identify the parameters for which thresholds are necessary. Thresholds may be either numeric or narrative, with the exception that, at the discretion of the Clean Water Act authority, numeric thresholds are required for relevant contaminants for which there are water quality criteria under the Clean Water Act. The intent of these changes is to ensure that the definition of this term does not foreclose the possibility of approving permits in watersheds with impaired streams, which could in turn drive mining into watersheds with higher quality streams.

ES.5.1.1.4 Evaluation Thresholds (Preferred Alternative)

The Preferred Alternative in the DEIS did not include a requirement for specific evaluation thresholds. Instead, the Preferred Alternative relied on existing regulations that require permit applicants proposing to conduct surface or underground coal mining under § 780.21(h) or § 784.14(g) respectively, to provide a plan of measures the applicant would take to avoid adverse potential adverse hydrologic consequences, including preventative and remedial measures. The Preferred Alternative in the DEIS also relied on existing requirements at 30 CFR 816.41(c)(2) and (e)(2) and 817.41(c)(2) and (e)(2) that state that if monitoring results demonstrate noncompliance with permit conditions or federal, state, or tribal water quality laws and regulations, the permittee must promptly notify the regulatory authority and then take all possible steps to minimize any adverse impact to the environment or public health and safety, and must immediately implement measures necessary to comply with permit conditions (30 CFR 773.17(e)).

In the Preferred Alternative, as revised, OSMRE has added a requirement that the permit include evaluation thresholds for critical water quality and quantity parameters as determined by the regulatory authority. An exceedance of an evaluation threshold, as documented by monitoring, would result in an evaluation by the regulatory authority and the Clean Water Act authority to determine the reason for the exceedance. If the evaluation determines that discharges from the mining operation were responsible for the exceedance and that exceedances are likely to reoccur in the absence of corrective action, the regulatory authority must issue a permit revision order requiring that the permittee reassess the PHC determination and the hydrologic reclamation plan and develop measures to prevent material damage to the hydrologic balance outside the permit area.

ES.5.1.2 Activities in or near Streams (Preferred Alternative)

ES.5.1.2.1 Stream Definitions (Preferred Alternative)

The Preferred Alternative as described in the DEIS redefined “perennial stream” in a manner that is substantively identical to the manner in which the U.S. Army Corps of Engineers (USACE) defines that term in Part F of the 2012 reissuance of the nationwide permits under section 404 of the Clean Water Act. See 77 FR 10184, 10288 (Feb. 21, 2012). In response to comments, OSMRE has revised the Preferred Alternative definitions of ephemeral, intermittent, and perennial streams to limit the scope of those terms to conveyances with channels that have a bed-and-bank configuration and an ordinary high water mark, which is consistent with the approach taken by the USACE in implementing section 404 of the Clean Water Act. This change means that our rules would no longer classify an ephemeral drainage that does not have a bed-and bank configuration and an ordinary high water mark as an ephemeral stream. In the final version of the Preferred Alternative, OSMRE clarifies that a stream with a bed that is always above the water table and with flows arising solely from snowmelt and precipitation events would be classified as ephemeral.

ES.5.1.3 Activities in or near Streams and Mining through Streams (Preferred Alternative)

In the DEIS, Alternative 8 (Preferred) would have prohibited mining activities in or through perennial and intermittent streams or on the surface of land within 100 feet of those streams unless the applicant makes certain demonstrations and the regulatory authority makes the corresponding findings listed below, that the proposed activity would not—

- (1) Preclude attainment of the designated uses of that stream segment under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), before mining, or, if there are no designated uses, the premining uses of that stream segment; or
- (2) Result in that stream segment not meeting the applicable anti-degradation requirements under section 303(c) of the Clean Water Act, 33 U.S.C. 1313(c), as adopted by a state or authorized tribe or as promulgated in a federal rulemaking under the Clean Water Act.

These requirements would apply to all mining activities except the construction of excess spoil fills and coal mine waste disposal facilities that cover perennial or intermittent streams (excess spoil fills and coal mine waste disposal facilities that extend into the buffer zone, but not the stream itself, are not exempt.)

As revised, Alternative 8 (Preferred) would prohibit mining activities in or through perennial and intermittent streams or on the surface of land within 100 feet of those streams unless the applicant makes the demonstrations and the regulatory authority makes the corresponding findings in Table ES.5-1.

Table ES.5-1.

Required Demonstrations for Activities in or within 100 feet of a Perennial or Intermittent Stream

1	2	3	4
When indicated in columns 2 through 4 of this table, your application must contain the demonstrations in column 1 if you propose to conduct surface mining activities in or through a perennial or intermittent stream or on the surface of land within 100 feet of a perennial or intermittent stream.	Any activity other than an activity listed in column 3 or column 4	Mining through or permanently diverting a stream	Construction of an excess spoil fill, coal mine waste refuse pile, or impounding structure that encroaches upon any part of a stream
(i) The proposed activity would not cause or contribute to a violation of applicable water quality standards adopted under the authority of section 303(c) of the Clean Water Act, 33 U.S.C. 1313(c), or other applicable state or tribal water quality standards.	Yes	Yes	Yes
(ii) The proposed activity would not cause material damage to the hydrologic balance outside the permit area.	Yes	Yes	Yes
(iii) The proposed activity would not result in conversion of the affected stream segment from perennial to ephemeral.	Yes	Yes	Not applicable

1	2	3	4
<p>When indicated in columns 2 through 4 of this table, your application must contain the demonstrations in column 1 if you propose to conduct surface mining activities in or through a perennial or intermittent stream or on the surface of land within 100 feet of a perennial or intermittent stream.</p>	<p>Any activity other than an activity listed in column 3 or column 4</p>	<p>Mining through or permanently diverting a stream</p>	<p>Construction of an excess spoil fill, coal mine waste refuse pile, or impounding structure that encroaches upon any part of a stream</p>
<p>(iv) The proposed activity would not result in conversion of the affected stream segment from intermittent to ephemeral or from perennial to intermittent.</p>	<p>Yes</p>	<p>Yes, except as provided in paragraphs (e)(2) and (5) of this section</p>	<p>Not applicable</p>
<p>(v) There is no practicable alternative that would avoid mining through or diverting a perennial or intermittent stream.</p>	<p>Not applicable</p>	<p>Yes, except as provided in paragraph (e)(3) of this section</p>	<p>Yes</p>
<p>(vi) After evaluating all potential upland locations in the vicinity of the proposed operation, including abandoned mine lands and unreclaimed bond forfeiture sites, there is no practicable alternative that would avoid placement of excess spoil or coal mine waste in a perennial or intermittent stream.</p>	<p>Not applicable</p>	<p>Not applicable</p>	<p>Yes</p>
<p>(vii) The proposed operation has been designed to minimize the extent to which perennial or intermittent streams will be mined through, diverted, or covered by an excess spoil fill, a coal mine waste refuse pile, or a coal mine waste impounding structure.</p>	<p>Not applicable</p>	<p>Yes, except as provided in paragraphs (e)(3) and (5) of this section</p>	<p>Yes</p>
<p>(viii) The stream restoration techniques in the proposed reclamation plan are adequate to ensure restoration or improvement of the form, hydrologic function (including flow regime), streamside vegetation, and ecological function of the stream after you have mined through it, as required by § 816.57 of this chapter.</p>	<p>Not applicable</p>	<p>Yes, except as provided in paragraph (e)(5) of this section</p>	<p>Not applicable</p>

1	2	3	4
When indicated in columns 2 through 4 of this table, your application must contain the demonstrations in column 1 if you propose to conduct surface mining activities in or through a perennial or intermittent stream or on the surface of land within 100 feet of a perennial or intermittent stream.	Any activity other than an activity listed in column 3 or column 4	Mining through or permanently diverting a stream	Construction of an excess spoil fill, coal mine waste refuse pile, or impounding structure that encroaches upon any part of a stream
(ix) The proposed operation has been designed to minimize the amount of excess spoil or coal mine waste that the proposed operation will generate.	§ 780.35(b) of this part requires minimization of excess spoil	§ 780.35(b) of this part requires minimization of excess spoil	Yes
(x) To the extent possible using the best technology currently available, the proposed operation has been designed to minimize adverse impacts on fish, wildlife, and related environmental values.	Yes	Yes	Yes
(xi) The fish and wildlife enhancement plan prepared under § 780.16 of this part includes measures that would fully and permanently offset any long-term adverse impacts on fish, wildlife, and related environmental values within the footprint of each excess spoil fill, coal mine waste refuse pile, and coal mine waste impounding structure.	Not applicable	Not applicable	Yes
(xii) Each excess spoil fill, coal mine waste refuse pile, and coal mine waste impounding structure has been designed in a manner that will not result in the formation of toxic mine drainage.	Not applicable	Not applicable	Yes
(xiii) The revegetation plan prepared under § 780.12(g) of this part requires reforestation of each completed excess spoil fill if the land is forested at the time of application or if the land would revert to forest under conditions of natural succession.	Not applicable	Not applicable	Yes

Alternative 8 (Preferred) would require the applicant to demonstrate that (1) the operation has been designed to minimize, to the extent possible, the volume of excess spoil that the operation would generate and (2) the designed maximum cumulative volume of all proposed excess spoil fills is no larger than the

capacity needed to accommodate the anticipated cumulative volume of excess spoil that the operation would generate.

Under Alternative 8 (Preferred), the permittee must construct excess spoil fills in lifts not to exceed four feet in thickness. The use of end-dumping for final placement would be prohibited and the current regulation at 30 CFR 816.73 allowing construction of durable rock fills that rely upon end-dumping and the construction of underdrains by gravity segregation of the end-dumped material would be eliminated. This Alternative would require daily monitoring during excess spoil placement and that the quarterly inspection reports filed with the regulatory authority include the daily monitoring logs. Alternative 8 (Preferred) would prohibit the construction of excess spoil fills with flat decks on the top surface.

ES.5.1.4 Approximate Original Contour (AOC) and AOC Variances (Preferred Alternative)

ES.5.1.4.1 Surface Configuration (Preferred Alternative)

The Preferred Alternative is the same as Alternative 1, the No Action Alternative, with minor revisions to the definition of AOC to clarify its meaning, reflect state program amendment actions, and address implementation issues. Under the Preferred Alternative, AOC means that surface configuration achieved by backfilling and grading of the mined area so that the reclaimed area closely resembles the general surface configuration of the land within the permit area prior to any mining activities or related disturbances and blends into and complements the drainage pattern of the surrounding terrain. All highwalls and spoil piles must be eliminated to meet the terms of the definition, but that requirement does not prohibit the approval of terracing, the retention of access roads or the approval of permanent water impoundments. For purposes of this definition, the term “mined area” does not include excess spoil fills and coal refuse piles.

Alternative 8 (Preferred) also requires that the postmining drainage pattern of perennial, intermittent, and ephemeral stream channels be similar to the premining drainage pattern, unless the regulatory authority approves a different pattern to ensure stability; prevent or minimize downcutting of reconstructed stream channels; promote enhancement of fish and wildlife habitat; accommodate any anticipated temporary or permanent increase in surface runoff as a result of mining and reclamation; accommodate the construction of excess spoil fills, coal mine waste refuse piles, or coal mine waste impounding structures; replace a stream that was channelized or otherwise severely altered prior to submittal of the permit application with a more natural and ecologically sound drainage pattern or stream-channel configuration; or reclaim a previously mined area.

ES.5.1.4.2 AOC Variances (Preferred Alternative)

Alternative 8 (Preferred) would allow mountaintop removal mining operations and AOC variances for steep-slope mining operations under conditions generally similar to those in Alternative 1, the No Action Alternative. However, Alternative 8 (Preferred) would impose additional requirements to better protect streams, aquatic ecology, and biological communities. In addition, it would require that the permit include a condition prohibiting any bond release before substantial implementation of the approved postmining land use.

For approval of mountaintop removal mining operations, Alternative 8 (Preferred) would require the permit applicant to demonstrate that no damage would result to natural watercourses within the proposed

permit and adjacent areas. The applicant can meet this requirement by making all of the following demonstrations:

- There would be no adverse changes in parameters of concern in discharges to surface water and groundwater;
- Flood hazards within the watershed containing the proposed permit area will be diminished by reduction of the size or frequency of peak-flow discharges from precipitation events or thaws.; and
- The total volume of flow during any season of the year would not vary from premining conditions; i.e., the seasonal flow regime would not change and there would be no increase in potential damage from flooding sufficient to adversely affect any designated use of surface water outside the proposed permit area under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), or, if there are no designated uses, any premining use of surface water outside the proposed permit area. Variations must also not adversely affect any premining use of groundwater outside the proposed permit area.
- The proposed operation would not result in any greater adverse impact to the aquatic and terrestrial ecology of the proposed permit and adjacent area than would occur if the area to be mined was restored to its approximate original contour.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application, unless reforestation would be inconsistent with the postmining land use. Finally, the permittee must install drains through the outcrop barrier to prevent saturation of the backfill.

For approval of steep-slope variances, Alternative 8 (Preferred) would, in addition to the requirements in the existing rules, require permit applicants to demonstrate that all of the following criteria are met:

- The operation, including any fish and wildlife enhancement measures, will result in fewer adverse impacts to the aquatic ecology of the cumulative impact area than would occur if the site were mined and restored to AOC;
- The variance would not result in construction of an excess spoil fill in an intermittent or perennial stream; and
- Any deviations from the premining surface configuration are necessary and appropriate to achieve the postmining land use.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application or would revert to forest under natural succession. This requirement would not apply to permanent impoundments, roads, and other impervious surfaces to be retained following mining and reclamation or to those portions of the permit area covered by the variance.

ES.5.1.5 Revegetation, Soils, Fish and Wildlife Protection and Enhancement (Preferred Alternative)

ES.5.1.5.1 Revegetation & Soils

Alternative 8 (Preferred) includes provisions similar to those of the No Action Alternative with respect to soil management and revegetation, but with a greater emphasis on restoration of the site's ability to

support the uses it supported before any mining, regardless of the approved postmining land use. Alternative 8 (Preferred) also places greater emphasis on construction of a growing medium with an adequate root zone for deep-rooted species and on revegetation with native tree and plant species, especially reforestation of previously forested areas.

Like the No Action Alternative, Alternative 8 (Preferred) requires salvage and redistribution of all topsoil (the A and E soil horizons). However, it also requires salvage and redistribution of the B and C soil horizons (or other suitable overburden materials) to the extent necessary to achieve a growing medium with the optimal rooting depths required to restore premining land use capability or comply with revegetation requirements. Under the No Action Alternative, the regulatory authority has the discretion, but not necessarily the obligation, to require salvage and redistribution of the B and C soil horizons or other suitable overburden materials.

Alternative 8 (Preferred) allows use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil or both only if the applicant demonstrates that either (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. Alternative 8 (Preferred) differs slightly from the No Action Alternative in that the No Action Alternative allows the use of topsoil substitutes or supplements when the resulting soil medium will be equally or more suitable than the existing topsoil to sustain vegetation, while Alternative 2 allows their use only when the resulting soil medium will be more suitable to sustain vegetation.

Under Alternative 8 (Preferred), the permittee must salvage and redistribute all organic matter contained in or above the A soil horizon. Salvaging these materials would increase the moisture retention capability of the soil and provide a source of the seeds, plant propagules, mycorrhizae, and other soil flora and fauna needed to support and enhance reestablishment of locally adapted and genetically diverse plant communities as well as to improve soil productivity. The final version of Alternative 8 (Preferred) provides limited exceptions to the requirement for redistribution of salvaged organic material. The final version of Alternative 8 (Preferred) also requires that permit applications identify areas with substantial populations of invasive or noxious non-native species. The final version prohibits salvage and redistribution of organic materials from those areas. Instead, the operator must bury these materials at a depth sufficient to prevent regeneration.

Under Alternative 8 (Preferred), the permittee must reforest lands that were previously forested, or that would naturally revert to forest under conditions of natural succession, in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible. Prime farmland historically used for cropland is exempt from this requirement. The permittee must revegetate the entire reclaimed area (other than water areas and impervious surfaces like roads and buildings) using native species to restore or reestablish the plant communities native to the area unless a conflicting postmining land use is actually implemented before the end of the revegetation responsibility period.

ES.5.1.5.2 Fish and Wildlife Protection and Enhancement

Alternative 8 (Preferred) is similar to the No Action Alternative with respect to the protection of threatened and endangered species. At the DEIS stage, this Alternative would have included dispute resolution procedures in the regulations, codifying these procedures. In response to agency and public

comment, OSMRE has removed this from the final version of the Preferred Alternative.¹⁵ However, Alternative 8 (Preferred) would make it a requirement that the applicant demonstrate to the regulatory authority that the proposal is in compliance with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq. through one of the following mechanisms:

- (1) Providing documentation that the proposed surface coal mining and reclamation operations within or adjacent to the permit area would have no effect on species listed or proposed for listing as threatened or endangered under the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., habitat occupied by those species, or on designated or proposed critical habitat, under that law; or
- (2) Documenting compliance with a valid biological opinion that covers issuance of permits for surface coal mining operations and the conduct of those operations under the applicable regulatory program; or
- (3) Providing documentation that interagency consultation under section 7 of the Endangered Species Act of 1973, 16 U.S.C. 1536, has been completed for the proposed operation; or
- (4) Providing documentation that the proposed operation is covered under a permit issued pursuant to section 10 of the Endangered Species Act of 1973, 16 U.S.C. 1539.

Revised Alternative 8 (Preferred) requires that the applicant describe the steps that that applicant has taken or will take to comply with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq. It also provides that the regulatory authority may not approve the permit application before there is a demonstration of compliance with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., through one of the mechanisms listed above.

Alternative 8 (Preferred) is similar to the No Action Alternative with respect to the fish and wildlife resource information and protection and enhancement plan required in the permit application. It also includes similar performance standards for protection of fish and wildlife. However, Alternative 8 (Preferred) requires that the permittee establish permanent streamside vegetative corridors at least 100 feet wide, comprised of native, non-invasive species, along the banks of restored or diverted ephemeral, intermittent or perennial stream channels.

In addition, fish and wildlife enhancement measures would be mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant communities, or filling of a segment of a perennial or intermittent stream. The enhancement measures must be commensurate with the long-term adverse impact to the affected resources and they must be located in the same watershed as the proposed operation (or the nearest appropriate adjacent watershed if there are no opportunities for enhancement within the same watershed). Enhanced areas must be included within the

¹⁵ OSMRE has undertaken formal Section 7 consultation with the U.S. FWS on the Preferred Alternative. The biological opinion, once issued, will be available on www.osmre.gov and on www.regulations.gov under the Stream Protection Rule docket. OSMRE is also coordinating with U.S. FWS to provide guidance to OSMRE, the U.S. FWS, and regulatory authorities for demonstrating compliance with the terms and conditions of the Incidental Take Statement that will accompany the biological opinion.

permit area.

At the DEIS stage, the Alternative 8 (Preferred) would have allowed the regulatory authority to prohibit mining of areas within the proposed permit area that are of such exceptional environmental value that any adverse mining-related impacts must be prohibited. In response to comments on the Proposed Rule, the final version of the Preferred Alternative does not include this authority. However, like the existing rules, this Alternative retains language intended to minimize adverse impacts to habitats of unusually high value to fish and wildlife.

ES.6 Comparison of all Alternatives Considered

In addition to the No Action Alternative and the Preferred Alternative, seven other Alternatives were analyzed in the FEIS. These Alternatives ranged from the most environmentally protective Alternative (Alternative 2) to Alternative 9, which would put the requirements of the 2008 SBZ rule back in place. Full descriptions of the Alternatives are contained in Chapter 2 of this FEIS. The following comparisons of the nine Alternatives by principal element provide the major similarities and differences between each of the Alternatives.

ES.7 Protection of the Hydrologic Balance Functional Group

ES.7.1.1 Baseline Data Collection and Analysis

ES.7.1.1.1 Biological Conditions

- The No Action Alternative (also Alternative 9) -- No requirement for baseline biological assessment;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Baseline biological conditions assessment required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.7.1.2 Hydrologic Conditions

ES.7.1.2.1 Water Quality

- The No Action Alternative (also Alternative 9) -- Limited water-quality sampling points and analytical constituents. At a minimum, the analytical suite for surface water and groundwater consists of the following: temperature, total suspended solids (only surface water), pH, specific conductance, total dissolved solids (TDS), total iron, and total manganese;
- Alternative 2 (also 3, 4, 5, and 6) -- Baseline water-quality data are required on all intermittent and perennial streams and a representative number of ephemeral streams. Twelve evenly spaced samples are required from a consecutive 12-month period. The analytical suite for surface water and groundwater consists of the following: temperature, total suspended solids (only surface water), aluminum, bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, (hot) acidity, alkalinity, pH, selenium, specific conductance, TDS, total iron, arsenic, zinc, copper, cadmium, ammonia, nitrogen, and total manganese;

- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – Baseline water-quality data are required on all intermittent and perennial streams. Twelve evenly spaced samples are required from a consecutive 12-month period, or with regulatory authority approval on a quarterly basis for 24 consecutive months. The analytical suite for surface water must include both total and dissolved fractions of the parameters. The parameters for both ground and surface water include the following, at a minimum: temperature, total suspended solids (only surface water), bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, (hot) acidity, alkalinity, pH, selenium, specific conductance, TDS, total (surface water only) and dissolved iron, total (surface water only) and dissolved manganese. Does not specifically require analysis of ammonia, arsenic, cadmium, copper, nitrogen, aluminum or zinc.

ES.7.2.2 Surface Water Flow and Groundwater Levels

- The No Action Alternative (also Alternatives 3, 5, 8 (Preferred) and 9) -- Discrete stream flow and groundwater levels measurements required. Twelve evenly spaced samples required over a consecutive 12-month period;
- Alternative 2 (also 4 and 6) -- Continuous stream flow and groundwater levels measurements required; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.7.1.2.3 Rainfall Measurements

- The No Action Alternative (also Alternative 9) -- No onsite rainfall measurements required;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Continuous on-site rainfall measurement requirements; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.7.1.2.4 Stream Hydrologic Form and Ecological Function

- The No Action Alternative (also Alternative 9) -- No documentation required of stream hydrologic form and ecological function;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) --Documentation of stream hydrologic form and ecological function required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.8 Monitoring During Mining and Reclamation

ES.8.1.1 Biological Monitoring

- The No Action Alternative (also Alternative 9) -- No requirements for monitoring of biological condition;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Annual monitoring of biological condition required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.8.1.1.2 Water-Quality Monitoring

- The No Action Alternative (also Alternative 9) -- Monitoring for limited suite of analytes [temperature, total suspended solids (only surface water), pH, specific conductance, TDS, total iron, and total manganese] and the regulatory authority can release operator from monitoring before bond release;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Quarterly monitoring until final bond; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.8.1.1.3 Rainfall Measurements

- The No Action Alternative (also Alternative 9) -- No requirement for on-site rainfall measurements;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Continuous on-site rainfall measurements required; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.8.1.1.4 Runoff Control Structures

- The No Action Alternative (also Alternative 9) -- Certification of drainage control structures not required;
- Alternative 2 (also 6) -- Inspect and certify surface runoff control structures by a professional engineer after every one-year return interval precipitation event;
- Alternative 3 (also 4, 5 and 8 (Preferred)) -- Inspect and certify surface runoff control structures by a professional engineer after every two-year return interval precipitation event; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.8.1.1.5 Regulatory Authority Hydrologic Data Review

- The No Action Alternative (also Alternative 9) -- No regularly scheduled hydrologic review required;
- Alternative 2 (also 3, 4, 5, and 6) -- Regulatory authority review of monitoring data at permit mid-term review and permit renewal;

- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative; and
- Alternative 8 (Preferred) – Regulatory authority review of monitoring data at three-year intervals.

ES.8.1.1.6 Definition of Material Damage to the Hydrologic Balance

- The No Action Alternative (also Alternatives 5, 6, 7 and 9) -- No national definition for material damage to the hydrologic balance. Regulatory authority discretion to determine material damage to the hydrologic balance criteria on case-by-case basis; and
- Alternative 2 (also 3 and 4) -- The term would be defined as any quantifiable adverse impact on the quality or quantity of surface water or groundwater or on the biological condition of intermittent and perennial streams that would preclude attainment or continuance of any designated surface-water use under sections 101(a) and 303(c) of the Clean Water Act or any existing or reasonably foreseeable use of surface water or groundwater outside the permit area. Includes areas overlying the underground workings of underground mines.
- Alternative 8 (Preferred) – Material damage to the hydrologic balance outside the permit area means an adverse impact, as determined in accordance with the rest of this definition, resulting from surface coal mining and reclamation operations, underground mining activities, or subsidence associated with underground mining activities, on the quality or quantity of surface water or groundwater, or on the biological condition of a perennial or intermittent stream. The determination of whether an adverse impact constitutes material damage to the hydrologic balance outside the permit area would be based on consideration of the baseline data and the following reasonably anticipated or actual effects of the operation:
 - (1) Effects that cause or contribute to a violation of applicable state or tribal water quality standards or a state or federal water quality standard established for a surface water outside the permit area under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), or, for a surface water for which no water quality standard has been established, effects that cause or contribute to non-attainment of any premining use of surface water outside the permit area.
 - (2) Effects that preclude a premining use of groundwater outside the permit area; or
 - (3) Effects that result in a violation of the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq.

ES.8.1.1.7 Evaluation Thresholds

- The No Action Alternative (also Alternatives 5, 6, and 9) -- No evaluation thresholds;
- Alternative 2 (also 3 and 4) – Regulatory authority to develop evaluation thresholds that are less than the material damage to the hydrologic balance standards; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) - Regulatory authority to develop evaluation thresholds for critical parameters in consultation with the Clean Water Act authority.

ES.9 Activities In or Near Streams Functional Group

ES.9.1.1 Stream Definitions

- The No Action Alternative (also Alternatives 3, 5, 6 and 9) -- No change in ephemeral, intermittent, and perennial stream definitions;
- Alternative 2 -- The definitions of intermittent, ephemeral, and perennial would be functionally replaced; all waterways defined as Waters of the U.S. under the CWA would be protected under this Alternative;
- Alternative 4 -- Streams defined based on flow and physical characteristics;
- Alternative 7 -- Existing definitions are not changed except that watershed size is not used as criteria to define intermittent streams; requires coordination with CWA authority; and
- Alternative 8 (Preferred) -- Stream definitions are defined in a way to limit the scope of those terms to conveyances with channels that have a bed-and-bank configuration and an ordinary high water mark, consistent with the approach taken by the USACE in implementing section 404 of the Clean Water Act.

ES.9.1.1.2 Activities in or near Streams, including Excess Spoil and Coal Refuse

- The No Action Alternative -- Prohibits mining activities through or within 100 feet of intermittent or perennial streams unless it can be demonstrated that the activity would not cause or contribute to the violation of applicable state or federal water quality standards and would not adversely affect the water quantity and quality or other environmental resources of the stream;
- Alternative 2 -- Prohibits surface mining activities in or within 100 feet of perennial streams. Prohibit surface mining activities in or within 100 feet of intermittent streams unless the applicant demonstrates that the activity would not: (1) preclude premining stream uses; (2) have more than a minimal adverse impact on the premining biological condition of the stream segment; or (3) cause material damage to the hydrologic balance outside the permit area. Requires a 100 foot forested streamside vegetative corridor for previously forested areas (or other native species for non-forested areas) adjacent to ephemeral or intermittent streams;
- Alternative 2 also prohibits placement of excess spoil within 100 feet of an intermittent stream (excess spoil placement is allowed in or near ephemeral streams). Under Alternative 2 disposal of coal mine waste in or within 100 feet of an intermittent or ephemeral stream is allowed;
- Alternative 3 (also 4 and 5) -- Prohibits surface mining activities in or within 100 feet of intermittent and perennial streams unless the applicant demonstrates that the activity would not: (1) preclude premining stream uses; (2) have more than a minimal adverse impact on the premining biological condition of the stream segment; or (3) cause material damage to the hydrologic balance outside the permit area;
- Alternative 6 --Prohibits mining activities within 100 feet of intermittent or perennial streams unless it can be demonstrated that: (1) the ecological function of the stream would be protected or restored; (2) placement of excess spoil fill or coal mine waste would not result in a discharge of “toxic mine drainage” and long-term adverse impacts to the environmental resources of the stream (within the footprint of the fill) would be offset in the same or adjacent watershed through fish and wildlife enhancement commensurate with the potential direct adverse impact to the stream; (3) other proposed mining activities within the stream buffer, but not within the stream

itself would not adversely affect the water quantity and quality or other environmental resources of the stream; (4) a 100-foot streamside vegetative corridor would be required along the entire reach (including ephemeral streams) of any restored stream;

- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative;
- Alternative 8 (Preferred) – Prohibits mining activities within 100 feet of intermittent and perennial streams unless the applicant demonstrates that the proposed activity would meet specific criteria listed previously in Table ES.5-1; and
- Alternative 9 --Prohibits mining activities (other than construction of stream-channel diversions) within a perennial or intermittent stream unless the regulatory authority finds that avoiding disturbance of the stream is not reasonably possible.

Additionally,

- The No Action Alternative – Excess spoil minimization not expressly required by regulation;
- Alternative 2 (also 3, 4, 5, 6, 8 (Preferred) and 9) --The applicant must demonstrate that (1) the operation has been designed to minimize, to the extent possible, the volume of excess spoil that the operation would generate and (2) the designed maximum cumulative volume of all proposed excess spoil fills would be no larger than the capacity needed to accommodate the anticipated cumulative volume of excess spoil that the operation would generate; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

And also,

- The No Action Alternative (also 9) -- Durable rock fills may be constructed by end-dumping. Placement in streams is not expressly prohibited if all other applicable requirements are met;
- Alternative 2 (also 3, 4, 5, 6 and 8 (Preferred)) --The practice of “end-dumping” or creating a “durable rock fill” of fill material into streams is prohibited wherever a specific Alternative is applicable. In addition, daily monitoring and maintenance of daily log is required during fill construction; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.9.1.1.3 Mining Through Streams

- The No Action Alternative -- Allows diversion of intermittent and perennial streams upon regulatory authority finding that the diversion would not adversely affect the water quantity and quality and related environmental resources of the stream;
- Alternative 2 (also 4) -- No mining activities allowed in or within 100 feet of a perennial stream. Mining allowed through all intermittent streams upon demonstration by the applicant that the reclamation plan would achieve complete restoration of the hydrologic form and ecological function of all perennial and intermittent streams in accordance with standards established by CWA permitting authority and baseline conditions; additional performance bond required for stream restoration. All ephemeral streams must be restored in form;

- Alternative 3 (also 5, and 6) -- Mining allowed through all streams upon demonstration by the applicant that the reclamation plan would achieve complete restoration of the hydrologic form and ecological function of all perennial and intermittent streams in accordance with standards established by CWA permitting authority and baseline conditions; additional performance bond required for stream restoration. Ephemeral streams restored in form to the extent required by geomorphic reclamation;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative;
- Alternative 8 (Preferred) -- Requires restoration of both the hydrologic form and ecological function of intermittent and perennial streams that are mined through. Also requires establishment of postmining surface drainage pattern and stream-channel configuration that is similar to premining conditions, with certain exceptions; and
- Alternative 9 -- Requires that restored stream channels for perennial and intermittent streams be designed and constructed using natural channel design techniques to restore or approximate the premining characteristics of the original stream channel.

ES.10 AOC and AOC Variances Functional Group

ES.10.1.1 AOC Variances

ES.10.1.1.1 Mountaintop Removal Mining Operations

- The No Action Alternative (also 6, 7 and 9) – Achieve or support beneficial postmining land use; demonstrate equal or better land use. Assure investment in public facilities, and documentation of private financial capability to ensure completion. Requires demonstration that natural watercourses below lowest coal seam to be mined would not be damaged;
- Alternative 2 -- Prohibits all mountaintop removal mining operations (could require SMCRA amendment); and
- Alternative 3 (also 4 and 5) –Achieve or support beneficial postmining land use; demonstrate equal or better use. Requires implementation of the approved postmining land use prior to final bond release. Sufficient bond must be posted to ensure that, if the proposed postmining land use is not implemented, lands subject to the variance could be returned to approximate original contour. Requires assurance of investment in public facilities, and documentation of private financial capability to ensure completion. Requires demonstration that (1) no increase would occur in parameters of concern in discharges to surface or groundwater; (2) no change would occur in size or frequency of peak flow as compared to what would occur if the operator returned the site to approximate original contour; and (3) the total volume of flow during any season of the year would not vary (flooding potential cannot be altered). Requires demonstration that natural watercourses within the proposed permit and adjacent areas would not be damaged. If site was forested before permit application, then must return to forest and revegetate using native species except where inconsistent with the postmining land use.
- Alternative 8 (Preferred) – Same as Alternative 3 except that in the Preferred Alternative, the applicant is required to have substantially, and not fully, implemented the approved postmining land use prior to final bond release. In addition, OSMRE has removed the proposed requirement that the applicant post a bond in amount sufficient to ensure that, if the proposed postmining land

use is not implemented, lands subject to the variance could be returned to approximate original contour. All other demonstrations described above for Alternative 3 would still apply.

ES.10.1.1.2 AOC Variances for Steep-Slope Operations

- The No Action Alternative (also Alternatives 6, 7 and 9) -- Achieve/support beneficial postmining land use; demonstrate equal or better land use. Demonstrate that surface water flow in the watershed would be improved over premining conditions *or* conditions that would have existed had the area been returned to AOC. Total suspended solids or pollutants to surface and ground water must be reduced in a manner that improves existing uses or ecology, *or* that reduces flood hazards due to reduced peak flow. Total flow volume in every season must not vary so as to adversely affect ecology of surface water or existing or planned use of surface or ground water;
- Alternative 2 -- Prohibits all variances from requirement to return the mined area to its AOC (could require SMCRA amendment); and
- Alternative 3 (also 4 and 5) -- Must demonstrate that surface water flow in the watershed would be improved over premining conditions and conditions that would have existed had the areas been returned to AOC. Must demonstrate that the AOC variance would result in fewer impacts to aquatic ecology for the cumulative impact area than would occur if the site were returned to AOC. The AOC variance cannot result in any placement of excess spoil in an intermittent or perennial stream. The applicant must demonstrate that the proposed deviations from AOC are necessary and appropriate to achieve the postmining land use. The operator must post additional bond sufficient to ensure that, if the proposed postmining land use is not implemented, lands subject to the variance would be returned to AOC. If site was forested before permit application, then must return to forest and revegetate using native species except where inconsistent with the postmining land use.
- Alternative 8 (Preferred) – Same as Alternative 3 except that in the Preferred Alternative OSMRE has removed the requirement for the operator to post additional bond sufficient to ensure that lands approved for a variance from AOC can be returned to AOC if the proposed postmining land use is not implemented.

ES.10.1.2 Surface Configuration and Fills

ES.10.1.2.1 Definition of AOC

- The No Action Alternative (also Alternatives 6 and 9) -- Definition of AOC would not change, includes backfilling and restoring disturbed areas to *closely resemble* premining topography;
- Alternative 2 (also 3, 4, and 5) -- Definition of AOC same as the No Action Alternative with the additional requirement that surface configuration achieved by backfilling and grading of the mined area be documented by landform measurements and analyses conducted before, during, and after mining and reclamation; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – AOC means that surface configuration achieved by backfilling and grading of the mined area so that the reclaimed area closely resembles the general surface configuration of the land within the permit area prior to any mining activities or related disturbances and blends into and complements the drainage pattern of the surrounding terrain.

All highwalls and spoil piles must be eliminated to meet the terms of the definition, but that requirement does not prohibit the approval of terracing, the retention of access roads or the approval of permanent water impoundments. For purposes of this definition, the term “mined area” does not include excess spoil fills and coal refuse piles.

ES.10.1.2.2 Digital Terrain Analysis

- The No Action Alternative (also Alternatives 6, 8 (Preferred) and 9)-- Digital terrain analysis not required, requires mine plans to address postmining land use but introduces no new specific requirements for terrain analysis;
- Alternative 2 (also 3, 4, and 5)-- Requires use of digital terrain models during premining and backfilling to confirm premining topography, and adherence to the reclamation plan for backfilling except that remaining sites and contiguous permits 40 acres or less are exempt; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.10.1.2.3 Permanent Impoundments and Final Elevations

- The No Action Alternative (also Alternative 3, 6, 8 (Preferred) and 9) -- No limits placed on final elevations. Still allows permanent impoundments, including final-cut impoundments provided they do not conflict with achieving AOC and they meet the postmining land use requirements. No requirements to use landforming principles during reclamation.
- Alternative 2 (also 4) -- Allowable deviation in the elevation of the backfilled and graded area postmining in comparison to the premining elevation based on the lowest coal seam mined. The allowable deviation in the postmining elevation could be no more than ± 20 percent of the difference between the premining surface elevation and the premining bottom elevation of that lowest coal seam, with allowances for slope stability and minor shifts in the location of premining features. Allows exceedance of 20 percent tolerance to minimize excess spoil generation. In addition, tolerance requirement does not apply to that portion of the permit where steep-slope contour mining is conducted. Requires use of landforming principles (geomorphic reclamation). Still allows permanent impoundments, including final-cut impoundments provided they do not conflict with achieving AOC and they meet the postmining land use requirements;
- Alternative 5 – Same as the No Action Alternative except that it requires return of as much as spoil material to the mined area as possible (including transport of spoil above the original contour), and that it prohibits flat decks on excess spoil fills and coal refuse facilities; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements (other than steep slope conditions) apply, otherwise same as the No Action Alternative. This Alternative does not require compliance with the ± 20 percent tolerance because stability and equipment constraints make it impracticable to impose this requirement on contour mining on steep slopes (defined as slopes greater than 20 degrees).

ES.10.1.3 Revegetation, Topsoil, and Fish and Wildlife Functional Group

ES.10.1.3.1 Revegetation

- The No Action Alternative (also Alternatives 6 and 9) -- Vegetative cover in accordance with the approved permit and reclamation plan, comprised of species native to the area, or of introduced species where desirable and necessary to achieve the approved postmining land use;
- Alternative 2 (also 3, 4, and 5) -- Requires that all reclaimed lands be revegetated with native species unless the postmining land use is actually implemented before the end of the revegetation responsibility period;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – Requires the use of native pollinator-friendly plants and planting arrangements that promote the establishment of pollinator-friendly habitat when practicable. The revegetation plan must create a diverse permanent vegetative cover that is consistent with native plant communities, and the species used must themselves be native with limited exceptions for temporary ground cover and certain postmining land uses.

ES.10.1.3.2 Topsoil management

- The No Action Alternative (also Alternatives 6 and 9) -- Requires salvage and redistribution of all topsoil (A and E soil horizons) or the top 6 inches of soil material if less than that thickness of topsoil is present. Salvage and redistribution of the B and C soil horizons is at the discretion of the regulatory authority (except on prime farmland, where it is mandatory). Selected overburden materials may be substituted for, or used as a supplement to topsoil if the operator demonstrates to the regulatory authority that: (1) the resulting soil medium is equal to, or more suitable for sustaining vegetation than, the existing topsoil; and (2) the resulting soil medium is the best available in the permit area to support revegetation;
- Alternatives 2 (also 3, 4, 5 and 8 (Preferred)) -- Requires salvage and redistribution of all topsoil (A and E soil horizons). Also requires salvage and redistribution of the B and C soil horizons (or other suitable overburden materials) to the extent necessary to achieve a growing medium with the optimal rooting depths required to restore premining land use capability or comply with revegetation requirements. Allows use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil or both if the operator demonstrates that either (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed. The operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soils in a manner that limits compaction, and provides optimal rooting depth to support the approved plan for revegetation and reforestation; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.10.1.3.3 Salvage and Redistribution of Organic Materials

- The No Action Alternative (also Alternatives 6 and 9) -- Does not require salvage and redistribution or reuse of organic materials (duff, other organic litter, and vegetative materials such as tree tops, small logs and root balls) above the A soil horizon;
- Alternative 2 (also 4) -- Requires salvage and redistribution or reuse of **all** vegetative organic materials above the A soil horizon to promote reestablishment of locally adapted and genetically diverse native vegetation and soil flora and fauna and to enhance fish and wildlife habitats. Prohibits burning or burying of vegetation or other organic materials;
- Alternatives 3 (also 5) -- Requires salvage and redistribution of materials from native vegetation only (not from all vegetation) above the A soil horizon root balls in accordance with an approved plan developed by a qualified ecologist or similar expert who would determine the amounts needed to promote reestablishment of native vegetation and soil flora and fauna. Prohibits burning of above ground debris from native vegetation. Organic materials not needed for the approved plan may be used to construct fish and wildlife enhancement features;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative; and
- Alternative 8 (Preferred) – Same as Alternative 3 except that it creates a limited exception to the requirement for salvage and redistribution or other use of organic matter. The Preferred Alternative also requires that organic matter from invasive species be buried rather than salvaged and redistributed.

ES.10.1.3.4 Reforestation

- The No Action Alternative (also Alternatives 6 and 9) -- Lands that have returned to forest through natural succession classified as “undeveloped” are not required to be reforested;
- Alternative 2 (also 3, 4, 5 and 8 (Preferred)) -- Requires reforestation of previously forested areas and of lands that would revert to forest under conditions of natural succession (except for prime farmland historically used for cropland) in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.10.1.3.5 Fish and Wildlife Protection and Enhancement

ES.10.1.3.5.1 Enhancement of Fish and Wildlife

- The No Action Alternative (also Alternative 9) -- Achieve enhancement of fish and wildlife resources where practicable. Surface mining activities must enhance where practicable, or restore, habitats of unusually high value for fish and wildlife;
- Alternative 2--Enhancement required if mitigation required pursuant to the CWA. CWA mitigation incorporated as a condition of the SMCRA permit. Bond release on the SMCRA permit would be conditioned on successful mitigation as determined by the regulatory authority and the agency implementing the CWA. This option may require an amendment of SMCRA;
- Alternative 3 (also 4, 5, and 6) -- Enhancement measures would be mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant

communities, or filling of a segment of a perennial or intermittent stream (but not ephemeral streams). Resource enhancement must be: (1) commensurate with long-term adverse impact to affected resources; and (2) be located in the same or nearest adjacent watershed as the proposed operation if there are no opportunities for enhancement within the same watershed, and be on permitted area. Mining of certain areas within the permit area with exceptional environmental value may be prohibited by regulatory authority;

- Alternative 7 – Same as Alternative 3 when enhanced permitting requirements apply, otherwise same as the No Action Alternative; and
- Alternative 8 (Preferred) -- Same as Alternative 3 except that it does not include provision for prohibiting mining on areas of exceptional environmental value within the permit area.

ES.10.1.3.5.2 Endangered and Threatened Species Protection

- The No Action Alternative (also Alternatives 6 and 9) -- No surface mining activity can be conducted which is likely to jeopardize the continued existence of endangered or threatened species listed by the Secretary or which is likely to result in the destruction or adverse modification of designated critical habitat of such species in violation of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 *et seq.*);
- Alternative 2 (also 3, 4, and 5) -- Same as Alternatives 1 and 6, in addition would (1) codify the dispute resolution provisions of the biological opinion concerning protection of threatened and endangered species and (2) add a provision to the regulations expressly requiring that the fish and wildlife protection and enhancement plan in the permit application include any species-specific protection and enhancement plans developed in accordance with the Endangered Species Act and any biological opinions implementing that law; and
- Alternative 7 – Same as Alternative 2 where enhanced permitting conditions apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – The “adjacent area” includes those areas outside the proposed or actual permit area where surface coal mining operations or underground mining activities may affect a species listed or proposed for listing as endangered or threatened under that Act or designated or proposed critical habitat under that Act. Requires that the applicant document that the proposed operation would have no effect on species listed or proposed for listing as threatened or endangered or on designated or proposed critical habitat; or documentation of consultation on impacts and planned compliance with terms and conditions resulting from consultation. Does not codify the dispute resolution procedures but instead addresses them through the SPR biological opinion and the ESA MOU between OSMRE and the U.S. FWS.

ES.10.1.3.5.3 Streamside Vegetative Corridors

- The No Action Alternative (also Alternative 9) -- The operator must avoid disturbances to, enhance where practicable, restore, or replace, wetlands, and riparian vegetation along rivers and streams and bordering ponds and lakes;
- Alternative 2 (also 5, 6 and 8 (Preferred)) -- Requires creation of a 100-foot streamside vegetative corridor, comprised of native non-invasive species, to enhance restoration of the ecological function of ephemeral, intermittent, or perennial streams. The streamside vegetative corridor must be established along the entire reach of any stream restored or permanently diverted;

- Alternative 3 (also 4) -- Requires establishment of a 300-foot streamside vegetative corridor comprised of native woody species along restored or permanently diverted intermittent and perennial streams, if the land would naturally revert to forest under natural succession (not required if this would conflict with the approved postmining land use); and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

ES.11 Alternatives Considered but not Carried Forward

Three other distinct Alternatives were also considered, but OSMRE ultimately determined that they did not adequately meet the purpose and need and therefore did not carry them forward for further analysis in the FEIS. These Alternatives included an Alternative that would prohibit mining activities (including placement of excess spoil) in or near streams and mining through all streams and that would limit backfilling elevation to a maximum ± 10 percent elevation deviation from the original elevation was considered. The results of the preliminary analysis indicated that this threshold was not realistic and OSMRE instead incorporated a ± 20 percent elevation threshold into Alternatives 2, 4 and 7.

Another Alternative that would absolutely prohibit all surface coal mining and reclamation activities, including fill placement and coal mine waste, in or within 100 feet of all streams, including ephemeral streams was also considered. The results of the preliminary analysis indicated that implementation of this Alternative would result in a significant reduction in coal recovery in five of the seven coal-producing regions. OSMRE determined that the impacts to coal production from this Alternative were so substantial that they ran counter to the mandate under SMCRA 102(f) to balance the need for energy with the protection of the environment. While the prohibition would provide maximum protection for streams, it would result in an unacceptable impact on the nation's energy production via coal. For this reason, OSMRE determined that this Alternative did not fall within the range of reasonable Alternatives that could achieve the purpose of this proposed action, and dismissed this Alternative from further consideration.

Finally, an Alternative that would define material damage to the hydrologic balance outside the permit area based on a percentage of the watershed impacted by any one coal mining operation was considered. Once that percentage of the watershed had been impacted by coal mining activities, no additional mining could be permitted in those watersheds. Although it would prohibit further impacts in already impacted watersheds, this Alternative would greatly restrict the ability to mine coal in areas of the country that produce a sizeable percentage of the Nation's coal. The preliminary analysis indicated that this Alternative would significantly affect the ability to mine coal in three of the highest coal-producing counties in West Virginia and over half of currently mined watersheds in the Powder River Basin. Additionally, this Alternative would impose these impacts on coal production based on an acreage threshold that has not been scientifically determined to be a suitable nationwide basis for determining the likelihood or extent of material damage to the hydrologic balance. For these reasons, OSMRE determined that this Alternative was not scientifically justifiable, and did not meet the purpose of the proposed action.

ES.12 Impacts of the Alternatives

The FEIS examined each of the Alternatives carried forward, including the No Action Alternative, to determine the potential for each Alternative to impact resources within the human environment. The resources addressed in the EIS include the following:

- Mineral Resources and Mining;
- Physical Resources (including water resources; topography, geology and soils; air quality, greenhouse gas emissions and climate change);
- Biological Resources;
- Social, Cultural, and Economic Resources (including socioeconomic conditions; land use; utilities; infrastructure; historic and archaeological resources, visual resources; noise; recreation; and public health and safety); and
- Environmental Justice.

The effects of each Alternative on these resources were analyzed within the seven primary coal-bearing regions of the United States.

Under the No Action Alternative, coal mining would continue to be conducted under existing regulations and all impacts associated with mining under these regulations would continue.

ES.12.1.1 Summarized Impacts of the Alternatives

Impacts of the Action Alternatives would generally include adverse effects on socio-economic resources and positive effects on the other resource categories. The EIS defines categories of impacts using classes ranging from “Major Adverse” through “Negligible” to “Major Beneficial” to assist the reader in putting the impacts and results into context. These impacts are determined by comparing anticipated effects of an Action Alternative with the anticipated effects of the No Action Alternative (the baseline), for the study period (2020 to 2040). In general, Alternative 2 has the most strongly adverse impacts, which are anticipated for socioeconomic conditions, as well as the most strongly beneficial impacts, which occur for most other resources, when compared to impacts of the No Action Alternative. Alternative 9 shows Negligible impacts when compared to impacts of the No Action Alternative. Remaining Action Alternatives exhibit the same pattern of impacts as Alternative 2, but with varying degrees of adverse effects on socioeconomic conditions and benefits to natural resources. The following sections summarize the results of the analysis by resource in more detail.

ES.12.1.1.1 Water Resources

Under the No Action Alternative, mining practices would remain unchanged and no further regulations or corrective measures in addition to those already in place would be implemented. Consequently, the impact of surface and underground mining operations would continue to produce adverse effects on water resources outside the permit area. Some examples of the impacts of mining include, but are not limited to, reduced stream and groundwater pH from acid mine drainage; elevated concentrations of iron, aluminum, manganese, and sulfate in surface water; increased sedimentation in the water column; flow alteration and stream elimination as a result of mining through streams and spoil management practices; drawdown of groundwater levels; and degradation of groundwater through increased concentrations of sulfate, iron, and other pollutants (see Subsection 4.2.1.1).

Consistent with the intent of the regulations to reduce adverse impacts of mining activities on perennial and intermittent streams, the Action Alternatives (except Alternative 9) would result in benefits to water resources relative to the No Action Alternative at the national scale. In particular, the analysis finds that Action Alternatives would result in Major Beneficial impacts to water resources under Alternatives 2, 3, 4, and 8 (Preferred) at the national scale. Moderate Beneficial impacts to water resources would be expected under Alternatives 6 and 7, with Minor Beneficial impacts under Alternative 5 at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on water resources.

On a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin and Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Moderate Beneficial impacts are anticipated in the Appalachian Basin for Alternatives 5, 6, and 7, in the Illinois Basin for Alternatives 6 and 7, and in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions for Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Other effects on water resources are anticipated to be Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.2 Biological Resources

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in biological resources would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on biological resources under the No Action Alternative.

Action Alternatives are generally anticipated to benefit biological resources at the national scale when compared to the No Action Alternative, with Alternatives 2, 3, 4, 7, and 8 (Preferred) providing Moderate Beneficial impacts, and Alternatives 5 and 6 providing Minor Beneficial impacts at a national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on biological resources.

On a regional scale, and similarly to water resources, Major Beneficial impacts are anticipated in the Appalachian Basin and the Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 5. Moderate Beneficial impacts are anticipated in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin and the Illinois Basin under Alternative 7. Other effects on biological resources are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.3 Topography, Geology, and Soils

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in geology, soils, and topography would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives

(i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing geology, soils, and topography under the No Action Alternative.

Action Alternatives are generally anticipated to benefit topography, geology, and soils when compared to the No Action Alternative, with Minor Beneficial impacts anticipated for Alternatives 2, 3, 4, 5, 7, and 8 (Preferred). Alternatives 6 and 9 are anticipated to result in Negligible effects on topography, geology, and soils at a national scale.

On a regional scale, Moderate Beneficial impacts are anticipated in the Appalachian Basin under Alternatives 2, 4, 5, 7, and 8 (Preferred). Other effects on topography, geology, and soils resources are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.4 Air Quality, Greenhouse Gas Emissions, and Climate Change

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in air quality, greenhouse gas emission, and climate change would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of air impacts associated with coal mining activities under the No Action Alternative.

While, none of the Action Alternatives explicitly targets air quality resources, implementation of the elements of the Action Alternatives may have both beneficial and adverse effects on air quality and greenhouse gas emissions. The predominant effect of the rule on air quality and greenhouse gas emissions that is quantified in this EIS is the reduction in carbon dioxide (CO₂) emissions associated with the overall reduction in coal activity due to increased costs of coal production. Even accounting for increased energy generation from substitute sources (primarily natural gas), the Action Alternatives would generate a net reduction in greenhouse gas emissions over the timeframe of the analysis. The monetary value of this benefit reflects the anticipated effect of marginal reductions in emissions on a wide range of climate-related impacts, such as agricultural productivity, human health, and property damage from flooding. Additionally, the Action Alternatives may increase the terrestrial carbon sequestration potential of the landscape during and post-mining activities due to the reforestation and streamside vegetative corridor requirements of the Action Alternatives (except for Alternative 9), further generating reductions in climate-related damages. On the other hand, the Action Alternatives may also increase the use of equipment and vehicles to haul materials and therefore increase greenhouse gas emissions from these sources.

In contrast to the other categories of environmental and economic impacts evaluated in this analysis, the benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. This analysis accordingly considers the magnitude of these benefits, finding that the effects are beneficial across all Action Alternatives.

ES.12.1.1.5 Socioeconomic Conditions

The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). In particular, the Colorado Plateau, Appalachian Basin, and Northern Rocky Mountain and Great Plains regions are forecasted to have the largest production decreases in coal production, respectively. This reduction in production would be expected to have adverse impacts on localized socioeconomic conditions, to the extent that reductions in coal production also reduce coal mining employment and associated income. In 2014, coal mining accounted for 0.06 percent of national employment and 0.1 percent of national income (U.S. Census Bureau, 2014; U.S. EIA, 2016a). EIA estimates that 2014 coal industry employment was approximately 75,000 employees (U.S. EIA, 2016a). This analysis projects that coal industry employment will decrease by over 7,000 full-time equivalents (FTEs) under baseline conditions from 2020 to 2040. This decrease in employment demand over the analysis period in the No Action Alternative is consistent with the projected declining demand for U.S. coal from retiring coal-fired power plants and is expected to occur primarily in the Appalachian Basin, the Illinois Basin, and the Northern Rocky Mountains and Great Plains regions.

At the national scale, Alternative 2 is anticipated to result in Moderate Adverse impacts on socioeconomic conditions including, in particular, employment and severance taxes when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Adverse impacts on socioeconomic conditions, including employment, and severance taxes at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on socioeconomic conditions.

To the extent that impacts of the Action Alternatives are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity. In addition, coal companies may have a philanthropic presence in communities; reduced mining could adversely affect these philanthropic activities. Depending on the severity of the observed changes, declining quality of life in coal-dependent communities could lead to population declines in those communities.

At a regional scale, Major Adverse impacts on socioeconomic conditions, including employment, are anticipated in the Appalachian Basin under Alternative 2. Moderate Adverse impacts on socioeconomic conditions are anticipated in the Appalachian Basin under Alternatives 3, 4, 5, 7, and 8 (Preferred). Impacts to other regions to socioeconomic conditions are anticipated to be Minor Adverse or Negligible across Alternatives at the regional scale when compared to the No Action Alternative. The following summary of expected effects helps to illustrate anticipated adverse impacts:

- Under Alternative 2, annual impacts to production-related employment are expected to range from a reduction in demand for 854 FTEs to a reduction of 28 across all regions, with an average reduction in annual demand of 270 FTEs.¹⁶ Annual impacts to industry implementation-related

¹⁶The range of annual impacts to employment represents the minimum and maximum effect in any year in the study period. The average effect is the average annual effect on employment of the Alternative over the 21 year study period.

employment are expected to range from a gain of 525 FTEs to a gain of 686 across all regions, with an average increase in annual demand of 620 FTEs;

- Under Alternative 3, annual impacts to production-related employment are expected to range from a reduction in demand for 654 FTEs to a reduction of two across all regions, with an average reduction in annual demand of 178 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 360 FTEs to a gain of 460 across all regions, with an average increase in annual demand of 419 FTEs;
- Under Alternative 4, annual impacts to production-related employment are expected to range from a reduction in demand for 579 FTEs to a reduction of 11 across all regions, with an average reduction in annual demand of 154 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 88 FTEs to a gain of 124 across all regions, with an average increase in annual demand of 105 FTEs;
- Under Alternative 5, annual impacts to production-related employment are expected to range from a reduction in demand for 388 FTEs to a reduction of five across all regions, with an average reduction in annual demand of 99 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 164 FTEs to a gain of 212 across all regions, with an average increase in annual demand of 193 FTEs;
- Under Alternative 6, annual impacts to production-related employment are expected to range from a reduction in demand for 335 FTEs to a reduction of seven across all regions, with an average reduction in annual demand of 86 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 227 FTEs to a gain of 315 across all regions, with an average increase in annual demand of 272 FTEs;
- Under Alternative 7, annual impacts to production-related employment are expected to range from a reduction in demand for 580 FTEs to a gain of one across all regions, with an average reduction in annual demand of 169 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 215 FTEs to a gain of 275 across all regions, with an average increase in annual demand of 252 FTEs;
- Under Alternative 8 (Preferred), annual impacts to production-related employment are expected to range from a reduction in demand for 511 FTEs to a reduction of three across all regions, with an average reduction in annual demand of 124 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 240 FTEs to a gain of 309 across all regions, with an average increase in annual demand of 280 FTEs; and
- Under Alternative 9, no changes in either production-related or industry implementation-related annual employment are expected.

ES.12.1.1.6 Land Use, Utilities, Infrastructure, Visual Resources, and Noise

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in land use, utilities, infrastructure, visual resources, and noise would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on land uses under the No Action Alternative.

Reduced coal production would reduce adverse impacts to land use, reduce demands on utilities, and infrastructure, reduce adverse impacts to visual resources, and reduce noise in coal mining regions that would have otherwise occurred under the No Action Alternative. Alternative 2 is anticipated to result in Minor Beneficial results to land use, utilities, infrastructure, visual resources, and noise at the national scale when compared to the No Action Alternative. Other Alternatives are anticipated to result in Negligible impacts at the national scale.

At a regional scale, Moderate Beneficial impacts to land use, utilities, infrastructure, visual resources, and noise are anticipated in the Appalachian Basin under Alternative 2, 3, 4, 5, 7, and 8 (Preferred). Other effects on land use, utilities, infrastructure, visual resources, and noise are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.7 Public Health and Safety

Water and air quality are primary drivers of public health changes in coal mining regions. Arsenic, selenium, and sulfates are drinking water contaminants found to be elevated near mining regions. Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing public health and safety trends would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on water resources under the No Action Alternative.

At the national scale, Alternatives 2, 3, 4, and 8 (Preferred) are anticipated to result in Major Beneficial impacts to public health and safety when compared to the No Action Alternative. Alternatives 6 and 7 are anticipated to result in Moderate Beneficial impacts to public health and safety. Alternative 5 is anticipated to result in Minor Beneficial impacts to public health and safety at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on public health and safety.

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin and Illinois Basin regions under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 7. Moderate Beneficial impacts are expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin for Alternatives 5 and 6, and in the Illinois Basin for Alternatives 6 and 7. Other effects on public health and safety are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.8 Archaeology, Paleontology, and Cultural Resources

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in archaeology, paleontology and cultural resources would continue. For example, adverse effects to cultural resources that occur as part of development activities would continue under the No Action. Under the No Action Alternative, a fairly stringent set of regulations are in place which attempt to avert and mitigate impacts to these resources where they occur.

Nationally, all Action Alternatives are expected to have Negligible impacts on Archaeology, Paleontology, and Cultural Resources. At a regional level, Negligible impacts are expected in all regions under all Alternatives. To the extent that any particular rule element reduces the extent of ground disturbance associated with mining, it would also reduce the disturbance of cultural resources located within that area. Therefore, cultural resources may benefit from some or all of the rule elements.

ES.12.1.1.9 Recreation

Recreational activities, including hunting, wildlife viewing, trail use, boating, and fishing, may occur on both public and private lands within the study area. Public lands, including federal, state, and locally managed lands, are often popular destinations for recreators due to the relatively natural and undeveloped quality of those lands. In addition, private lands are also used for recreation. Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in recreation would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on recreational activities under the No Action Alternative.

At the national scale, Alternative 2 is anticipated to result in Moderate Beneficial impacts to recreational activities when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Beneficial impacts to recreation. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on recreational activities.

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin under Alternative 2. Moderate Beneficial impacts are anticipated in the Appalachian Basin region under Alternatives 3, 4, 5, 7, and 8 (Preferred) and in the Colorado Plateau region under Alternatives 2, 3, 4, 7, and 8 (Preferred). Other effects on to recreational activities are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

ES.12.1.1.10 Environmental Justice

Environmental justice communities are those that meet the defined environmental justice criteria for minority, low-income, and American Indian populations. The environmental justice evaluation discusses the potential impacts of the Action Alternatives on these populations, including impacts on socioeconomic resources, public health and safety, biological resources, water resources, air quality, topography, land use, and recreation.

The affected area for this analysis is large and spans a variety of demographic conditions. In total, the affected area intersects with 286 counties in 24 states. The analysis was conducted at a county level to determine if any of the 286 counties contain populations that meet environmental justice criteria. Indian tribes are considered as a distinct category in the minority population environmental justice analysis.

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in the evaluated resources would continue. The annual quantity of coal demanded and associated production is anticipated to be

approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative).

Of the 286 counties in the study area, there are 190 counties that have populations that meet the previously specified low income and/or the minority population environmental justice thresholds. Of these 190 counties, 60 percent of them are in the Appalachian Basin. Of those counties in the Appalachian Basin, four have been identified as minority communities, 103 as low income communities, and nine as both low income and minority environmental justice communities. The minority communities identified as potentially affected environmental justice populations in this region are as follows: Black or African American; American Indian and Alaskan Native; Asian, Native Hawaiian or Other Pacific Islander; Hispanic Origin; and Other.

There were six counties in the Colorado Plateau identified as potentially affected low income populations and four counties identified as both low income and minority environmental justice communities. Minority populations included American Indian and Alaskan Native. In the Gulf Coast region, three counties had populations that met the criteria for environmental justice low income and minority populations, 11 counties were identified as only low income communities, and one county was identified as a minority community (Black or African American, American Indian and Alaskan Native, and Hispanic Origin).

In the Illinois Basin, 28 counties met the criteria for low income populations and three counties met environmental justice thresholds for both low-income and minority populations (Black or African American; and American Indian and Alaskan Native). In the Northern Rocky Mountains and Great Plains region, three counties were identified as minority communities, six as low income communities, and four as both low income and minority environmental justice communities. The minority communities identified as potentially affected environmental justice populations in this region are as follows: American Indian and Alaskan Native; Hispanic Origin; and Other. In the Northwest, one county was identified as a low income environmental justice community. In the Western Interior, one county was identified as both low income community and minority population. Six counties met environmental justice low income population thresholds only and two counties met minority population thresholds only. Three counties identified for minority populations met environmental justice criteria for American Indian and Alaskan Native minority populations. One of the counties also has minority populations of Asian, Native Hawaiian or Other Pacific Islander and Other that meet environmental justice criteria.

Mining occurs in close proximity to or on a number of tribal reservations. The Northern Cheyenne Indian Reservation is situated in both Big Horn and Rosebud Counties in Montana where five active surface mines exist. In addition, the Crow Indian Reservation covers nearly 65 percent of Big Horn County. San Juan County overlaps both the Navajo Nation Reservation and the Ute Mountain Reservation where one active surface mine and one active underground mine exist. The Zuni Reservation is located primarily in McKinley County where two active surface mines exist. McKinley County also overlaps with the Navajo Nation Reservation. Navajo County in Arizona is comprised of the Navajo Nation Reservation, the Fort Apache Reservation, and the Hopi Reservation where one active surface mine exists.

Of particular note are mines located on (not just near) tribal land. For example, the Navajo Mine and the Kayenta Mine are operated on the Navajo Nation lands and produce about 15 million tons of coal annually (U.S. EIA, 2012c). An additional coal mine, the Absaloka Mine, is located on the Crow Reservation in Montana.

At the regional scale, adverse impacts to socioeconomic resources associated with environmental justice communities are expected to occur as follows:

- Under Alternatives 2, 3, 6, 7 and 8 (Preferred): the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains are expected to incur adverse socioeconomic effects; Negligible effects are expected for all other regions. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities and four for minority populations, with nine counties falling into both categories. In the Illinois Basin, four counties have an American Indian and Alaskan Native environmental justice population. In seven counties in the Northern Rocky Mountains and Great Plains region there are three environmental justice minority populations: Asian, Native Hawaiian, Pacific Islander, or Other; Hispanic Origin; and Other. Negligible effects on socioeconomic conditions are expected for all other regions.
- Under Alternative 4: the Appalachian Basin and Illinois Basin are expected to incur Moderate and Minor Adverse socioeconomic effects. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities and four for minority populations, with nine counties falling into both categories. In the Illinois Basin, four counties have an American Indian and Alaskan Native environmental justice population. The Northern Rocky Mountains and Great Plains region is expected to experience Minor Beneficial socioeconomic effects. Negligible effects on socioeconomic conditions are expected for all other regions.
- Under Alternative 5: the Appalachian Basin is expected to incur Moderate Adverse Socioeconomic effects. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities, four meet the criteria for minority populations, and nine counties fall into both categories. Minor Adverse socioeconomic effects are expected in the Northern Rocky Mountains and Great Plains region, and there are three environmental justice minority populations in that region (as mentioned previously). Negligible effects on socioeconomic conditions are expected for all other regions.
- Under Alternative 9: Negligible effects on socioeconomic conditions are expected for all regions.

At the regional scale, impacts to resources other than socioeconomics for environmental justice communities are expected to be Negligible or beneficial.

ES.12.2.1 Summarized Impacts of the No Action Alternative

Impacts of the No Action Alternative are discussed for each resource in the EIS. The categories used above describe a result, i.e. a predicted beneficial or adverse effect that is different upon implementation of the Alternative being considered in relation to the effects that are expected to occur under the No Action Alternative. A determination of impacts of the No Action Alternative is therefore “No Effect” under this analytical framework (as the No Action Alternative is compared to itself). The FEIS provides

detailed qualitative discussions of the impacts of mining under the current regulations especially as documented in scientific research and through the experience of the regulatory authorities.

ES.12.2.1.1 Summarized Benefits of the Preferred Alternative

All of the Action Alternatives (excluding Alternative 9) would have beneficial, long-term effects on resources, except for socioeconomic resources, to varying degrees by Alternative and region. Alternative 8 (Preferred), throughout the planning process and as revised since the DEIS, incorporates measure to minimize impacts to socioeconomic resources and would have a number of important benefits in comparison to the No Action Alternative. Implementation of the Preferred Alternative would do the following:

- Improve permitting processes and make it easier for the regulatory authority to determine whether mine plans are designed in accordance with the regulatory program. It would also improve assessment of the mine operation's compliance with the approved permit. Permits contain specific protective measures developed through interagency coordination; ensuring compliance with these conditions is critical to protecting the environment.
- Result in earlier detection of adverse impacts to ground and surface water outside the permit area. Earlier detection would allow for earlier correction to conditions that could impact aquatic wildlife and people.
- Limit activities in or near intermittent and perennial streams and reduce the number and length of intermittent and perennial stream segments disturbed by mining. Streams provide habitat, drinking water and recreational space.
- Minimize disturbance and adverse impacts to perennial and intermittent stream segments of high environmental value. Stream segments with high environmental value include those that support sensitive species or unique attributes that deserve greater protection.
- Grant clear authority to the regulatory agency to require that surface coal mining operations promote enhancement of fish, wildlife, and related environmental values wherever and whenever practicable. Enhancement of habitats to offset impacts to habitats disturbed during mining would help to ensure that wildlife have sufficient resources to meet their life cycle needs.
- Improve reforestation on sites disturbed by coal mining. This would improve the ability of the landscape to filter contaminants from runoff before the runoff reaches the stream.
- Increase use of native species on sites disturbed by coal mining. Native plant species require less maintenance because they are better adapted to the environment and require less water and fertilization to thrive long-term. They resist damage from freezing, drought, common diseases, and herbivores. They also may fill specific roles in the ecosystem and provide higher forage value to wildlife.
- Increase the extent of forested riparian areas on mine sites. Forested riparian areas enhance streams because they trap sediments before they reach the stream. They connect fragmented habitat and create wildlife movement corridors. They aid stream ecological health by shading the water to help keep cold water streams cold and by providing leaf litter in the streams, which serves as food source for macroinvertebrates and later in the food chain for fish.

Specific to water resources, the Preferred Alternative would provide Major Beneficial impacts in the coal regions of the Appalachian and Illinois Basins. Specifically:

- Major benefits are anticipated in the Appalachian Basin:
- Four fewer stream miles would be filled annually;
- Improved mining practices would lead to improved stream quality in approximately 174 stream miles annually and improved groundwater;
- Percentage of groundwater usage for private consumption is the highest of the regions, suggesting this region would benefit most from improved groundwater protection; and
- Major benefits would occur in the Illinois Basin:
- Downstream water quality would be improved for 33 stream miles annually;
- Ephemeral stream restoration would occur for 7 stream miles annually;
- For Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains, regional benefits would be moderate:
- Four to 29 stream miles would be improved annually;
- Two to six ephemeral stream miles would be restored annually;
- Groundwater protection would be improved; two to three percent of households in this region rely on private groundwater supplies.

While this summary of the impacts of the Preferred Alternative is informative, it does not highlight the impacts that would occur over the long-term. Tables ES.12-1 and ES.12-2 provide a quantitative summary of the benefits to streams and forests over the twenty-one year study period for the analysis (2020 through 2040).

**Table ES.12-2.
 Results of the Preferred Alternative: Annual Stream Impacts (Miles)**

Coal Region	Downstream Improved (Miles Per Year)	Downstream Preserved (Miles Per Year)	Not Filled (Miles Per Year)	Restored (Miles Per Year)
Appalachian Basin	174	0	4	1
Colorado Plateau	4	0	0	2
Gulf Coast	29	0	0	6
Illinois Basin	33	0	0	7
Northern Rocky Mountains and Great Plains	16	0	0	5
Northwest	1	0	0	0
Western Interior	5	0	0	1
Total Per Year	263 miles	0 mile	4 miles	22 miles
Total Over The 21- Year Study Period (2020 to 2040)	5,520 miles	0 miles	88 miles	462 miles

Notes: Downstream water quality improved (miles): Streams that experience water quality improvements with the SPR.

Downstream stream miles preserved: Streams that do not experience water quality impacts due to reduced mining activity.

Stream miles not filled: Streams not filled due to SPR.

Stream miles restored: Mined through streams that are restored due the SPR.

Totals may not sum due to rounding.

ES.12.2.1.2 Cumulative Impacts

The potential for the rule to have cumulative effects with other actions that might affect the same resources in the past, present or reasonably foreseeable future was also analyzed. After determining a resource-specific spatial and temporal boundary, information on other regulatory actions that would interact with the Action Alternatives was gathered, as well as other non-regulatory actions that would affect the same resources.

The diverse set of affected resources, combined with the broad geographic and temporal scope of the SPR, makes cumulative impact analysis highly challenging. A large set of past, present, and reasonably foreseeable future actions could interact with the Alternatives. These include:

- Regulatory actions directly related to mining and surface (e.g., stream) water quality;
- Rules that affect coal-fired power plants that could affect coal demand;
- Overall trends in the coal mining industry and energy markets;
- Other trends that affect resources in the study area and that may alter the cumulative impacts of the proposed actions; and
- Other secondary regulatory actions.

**Table ES.12-3.
Results of the Preferred Alternative: Annual Forest Impacts (Acres)**

Coal Region	Improved (Acres Per Year)	Preserved (Acres Per Year)
Appalachian Basin	1,313	7
Colorado Plateau	274	0
Gulf Coast	397	0
Illinois Basin	257	1
Northern Rocky Mountains and Great Plains	78	0
Northwest	0	0
Western Interior	166	0
Total Per Year	2,486 acres	8 acres
Total Over The 21- Year Study Period (2020 to 2040)	52,211 acres	163 acres

Notes: Improved Acres – Land that will benefit from improved forest land cover under the SPR because it would otherwise have been put in grassland, pastureland or an Alternative post mining land use, or would have been reforested under the baseline but the Alternative prescribes better practices to ensure healthier forest postmining.

Preserved Acres – Forest area that is left uncut due to changes in coal mining activity.

Totals may not sum due to rounding.

The diverse set of affected resources, combined with the broad geographic and temporal scope of the SPR, makes cumulative impact analysis highly challenging. Indeed, simply identifying the full suite of past, present, and future actions affecting water resources in coal mining areas in the U.S. is not feasible. For example, dozens, if not hundreds, of federal, state, and local laws and regulations could be perceived as being relevant to protecting the quality of water resources in streams affected by mining. Furthermore, an array of individual projects (e.g., dam construction, dredging), permitting decisions, and economic trends could further influence water quality. Identifying and accounting for all of these factors is not practical, and prediction of cumulative impacts based on such an approach would be speculative. Because it is practically infeasible to characterize every potentially relevant cumulative action in all coal-producing areas in the U.S., the analysis focuses on identifying the primary actions – particularly those

that may combine with the Alternatives to produce noteworthy cumulative effects. This approach is consistent with CEQ guidance, which states that “a cumulative effects analysis should ‘count what counts,’ not produce superficial analyses of a long laundry list of issues that have little relevance to the effects of the proposed action on eventual decisions” (CEQ, 1997).

Under the No Action Alternative, a wide variety of past, present, and reasonably foreseeable future actions are anticipated to affect the same natural resources affected by the Action Alternatives. These include other regulatory actions related to coal mining and surface water quality, such as other existing SMCRA provisions, the Clean Water Act, actions that regulate coal combustion and coal-fired power plants, as well as local and regional initiatives. These actions also include non-regulatory trends, such as the ongoing trend in the coal market and coal industry overall, other land uses, such as forestry, agriculture, and development patterns. For most natural resources, the overall cumulative trend across the study area is difficult to discern because there are often actions with negative impacts on a resource (e.g., residential development on biological resources) as well as positive impacts (e.g., watershed restoration activities).

For most natural resources, implementation of one of the Action Alternatives would reduce impacts of coal mining on natural resources that would have occurred under the No Action Alternative (other than for socioeconomics, as discussed below). This is especially true when the Action Alternatives are considered in combination with other actions of similar intent not related to the current action (e.g., river conservation initiatives, etc.). Thus, for resources other than socioeconomics, Action Alternatives (except for Alternative 9) are anticipated to either have beneficial or a countervailing cumulative effect, depending on the underlying trends occurring for a particular resource. For example, the overall cumulative trend in water resources across the study area is difficult to discern when considering other cumulative actions with negative impacts (e.g., agriculture) as well as those with positive effects (e.g., river conservation initiatives). The Action Alternatives (except for Alternative 9) are anticipated to result in direct or indirect benefits to water resources. Thus, when the Action Alternatives are considered in combination with other actions and trends, the Alternatives are expected to result in either a net increase in beneficial impacts or a net reduction in adverse impacts to the resource (countervailing). Alternative 9 is anticipated to have a neutral cumulative effect.

The Action Alternatives are expected to produce Minor to Major Adverse impacts to socioeconomics, depending on the region and Alternative. These adverse impacts are primarily related to long-term adverse impacts to coal mining industry employment and the often small and rural communities that depend upon industry employment as well as other services. These effects would primarily occur in the Appalachian Basin, the Illinois Basin, and the Northern Rocky Mountains and Great Plains regions. The analysis also anticipates some reduction in severance and other tax collections over time related to reduced coal production. While these impacts are forecasted for all the Action Alternatives (except Alternative 9), they are most prevalent under Alternative 2.

The cumulative effects analysis considers direct and indirect socioeconomic impacts of Action Alternatives in combination with various other trends and actions. Other relevant cumulative actions include regulations with a direct effect on coal mining, as well as actions and trends that are likely to affect the demand for coal over time. For instance, established mining safety rules may continue to affect the profitability of mining while rules on greenhouse gas emissions from coal-fired power plants may

encourage a transition away from coal to substitute fuels. These changes are occurring in the context of other energy sector trends such as decreasing natural gas prices resulting from growth in domestic production. On balance, the coal mining industry faces economic and regulatory challenges in the domestic market.

In 2014, coal mining accounted for 0.06 percent of national employment and 0.1 percent of national income (U.S. Census Bureau, 2014; U.S. EIA, 2016a). Additionally, a shift toward the more labor-intensive underground mining in the Appalachian Basin region, combined with an overall depletion of the most readily accessed surface reserves, has led to an offsetting increase in coal mining employment in recent years. For context, EIA estimates that 2014 coal industry employment was approximately 75,000 employees (U.S. EIA, 2016a). This analysis projects that coal industry employment will decrease by over 7,000 FTEs under baseline conditions from 2020 to 2040. This decrease in employment demand that is expected to occur independent of the Proposed Rule is consistent with the declining demand for U.S. coal from retiring coal-fired power plants and is expected to occur primarily in the Appalachian Basin, the Illinois Basin, and the Northern Rocky Mountains and Great Plains regions.

While the socioeconomic implications of the Action Alternatives are minor, they would be added to existing and anticipated adverse conditions in the coal mining industry. Therefore, the cumulative impact of the Action Alternatives (excluding Alternative 9), in combination with other actions and trends, is classified as negative. Alternative 9 is anticipated to have a neutral cumulative effect.

Chapter 1. Purpose of and Need for the Federal Action

1.0 Introduction

1.0.1 Proposed Action

The Office of Surface Mining Reclamation and Enforcement (OSMRE) is considering revising the regulations implementing the Surface Mining Control and Reclamation Act of 1977 (SMCRA) (30 U.S.C. §§ 1201-1328). These regulations are found in Parts 700 through 999 of Title 30 of the Code of Federal Regulations (CFR).

The proposed action seeks to revise the regulations to provide a better balance between the Nation's need for coal as an essential energy source with the need to prevent or mitigate adverse environmental effects of present and future surface coal mining operations. The proposed action applies to both surface and underground mines.

This Environmental Impact Statement (EIS) evaluates several Alternatives. Each Action Alternative considered in detail is made up of various regulatory components (hereafter referred to as elements), to achieve some or all of the following objectives:

- Providing for the collection of more comprehensive environmental baseline data for proposed coal mining operations;
- Defining “material damage to the hydrologic balance outside the permit area;”
- Establishing more protective standards for mining activities in or near streams (including mining through streams);
- Providing for more comprehensive monitoring of groundwater and surface water;
- Improving the effectiveness of monitoring by providing for periodic review and analysis of all monitoring results;
- Revising excess spoil disposal and postmining surface configuration requirements to minimize adverse impacts on streams;
- Revising the provisions for approval of variances and exceptions from approximate original contour restoration requirements to more completely implement the statute;
- Revising the definitions of ephemeral, intermittent stream and perennial streams to be more consistent with the corresponding definitions used by the U.S. Army Corps of Engineers (USACE) for purposes of implementing Section 404 of the Clean Water Act;
- Providing for coordination with Clean Water Act permitting activities to the extent practicable;
- Improving soil salvage and redistribution standards to ensure construction of an appropriate root zone on the reclaimed area;
- Providing that revegetation success standards be established in a manner that documents restoration of premining capability;

- Providing for the increased use of native species;
- Promoting reforestation and fish and wildlife protection and enhancement; and
- Updating measures to protect threatened and endangered species and designated critical habitat under the Endangered Species Act of 1973.

OSMRE is also proposing a number of changes that would improve the consistency, accuracy, implementation, and ease of use of existing regulations. These changes do not require evaluation in this FEIS because of their administrative nature. They include:

- More detailed requirements for preparation of the determination of the probable hydrologic consequences of the proposed operation (the PHC determination) and the Cumulative Hydrologic Impact Assessment (CHIA);
- Improved coordination between the SMCRA regulatory authority and the agencies responsible for implementing the Clean Water Act (33 U.S.C. §§ 1251-1387);
- Incorporating into regulation the policy requirement that appropriate and adequate financial assurance be posted to guarantee treatment of long-term discharges, and otherwise updating performance bond and bond release requirements; and
- Reorganizing, restructuring, and rewriting regulations in accordance with Executive Order 12114 on using Plain Language in Government Writing and Section 501(b) of SMCRA.

This EIS has been prepared in accordance with the National Environmental Policy Act (NEPA) (42 U.S.C. § 4321 *et seq.*) and the implementing regulations of the Council on Environmental Quality (CEQ) (40 CFR Part 1500-1508), and the Department of the Interior (43 CFR Part 46).

1.0.2 Organization of this Document

This EIS is organized into nine chapters:

Chapter 1 describes the steps taken by OSMRE to comply with NEPA for this proposed federal action. It also describes the process used to identify the affected public and agency concerns and to define the issues and Alternatives that required detailed examination in this EIS (scoping). In addition, Chapter 1 provides a summary of comments received during the scoping process. Finally, Chapter 1 describes the purpose of and need for the proposed federal action.

Chapter 2 describes the nine Alternatives that were examined in detail, including the No Action Alternative (current regulations) and the Preferred Action Alternative. This chapter also describes several additional Alternatives that OSMRE considered but did not carry forward for detailed analysis. This chapter also describes the process used in developing the Alternatives examined in this EIS.

Chapter 3 describes the affected environment—i.e., the general environmental conditions of the seven coal-producing regions in the United States where 95 percent of total U.S. coal production occurs and is anticipated to occur in the future. Those regions are the Appalachian Basin, the Colorado Plateau, the Gulf Coast, the Illinois Basin, the Northern Rocky Mountains and Great Plains, the Northwest, and the Western Interior.

Chapter 4 analyzes the environmental consequences of each of the Alternatives analyzed in detail. This chapter also includes a description of the scope and impact of existing regulations (including regulations other than the implementing regulations for SMCRA) as part of the discussion of the No Action Alternative.

Chapter 5 describes the consultation and coordination that OSMRE has undertaken as part of the EIS development process.

Chapter 6 lists preparers of and contributors to this EIS.

Chapter 7 lists the references cited in this EIS.

Chapter 8 lists acronyms used in this EIS.

Chapter 9 provides a glossary of terms used in this EIS.

The appendices, which provide additional information and support for the discussion in this EIS, are located in a separate volume.

1.0.3 Background - The 1979, 1983, and 2008 Stream Buffer Zone Rules

SMCRA was enacted into law on August 3, 1977. Some of the stated purposes of the Act are:

- To establish a national program to protect society and the environment from the adverse effects of surface coal mining operations;
- To assure that surface mining operations are not conducted where reclamation as required by the Act is not feasible;
- To assure that reclamation occurs as contemporaneously as possible with surface coal mining operations;
- To strike a balance between protection of the environment and agricultural productivity and the need for coal as an essential source of energy;
- To assist the States in developing and implementing regulatory and abandoned mine land reclamation programs to achieve the purposes of the Act;
- To promote reclamation of areas mined before the enactment of SMCRA;
- To provide appropriate procedures for public participation in the development, revision, and enforcement of regulations, standards, reclamation plans, and regulatory programs under SMCRA.

The Act sets forth minimum performance standards for environmental protection and public health and safety which apply to surface coal mining and reclamation operations, including the surface effects of underground coal mining operations. Persons who propose to conduct surface coal mining and reclamation must apply for permits that meet the requirements of the applicable regulatory program. After the regulatory authority approves a permit application, the applicant must post a performance bond to guarantee completion of the approved reclamation plan. Upon receipt of a suitable bond, the regulatory authority will issue the permit.

The Act provides that any state may obtain primary jurisdiction over the regulation of surface coal mining and reclamation operations on non-federal and non-Indian lands within its borders if it submits and

receives approval of a regulatory program under the Act. Indian tribes may also obtain primary jurisdiction over the regulation of surface coal mining and reclamation operations on land under the jurisdiction of the Indian tribe. A state or tribal program becomes effective after review and approval by the Secretary of the Interior. Coal mining is currently occurring in 25 states, and on lands of the Navajo, Crow and Hopi nations. To date, all but two of the states have achieved primacy; i.e. approval to serve as the regulatory authority on non-federal and non-Indian lands. As of the date of this EIS, no tribal nation has achieved primacy. States with primacy are eligible to enter into a cooperative agreement with the Secretary of the Department of the Interior to regulate mining on federal lands within their borders. Most states with mineable coal on federal lands have entered into such cooperative agreements. OSMRE has a limited enforcement role in a state with an approved program. This role includes (1) conducting such inspections as are necessary to evaluate the administration of state programs, (2) conducting inspections where a state, after notification from OSMRE of “any information” of a violation, fails to respond appropriately within ten days, (3) issuance of a cessation order when an OSMRE inspector finds a situation that presents an imminent danger to public health or safety or imminent danger of significant environmental harm, and (4) substitution of federal enforcement of a state program when a state is not effectively implementing, administering, or enforcing its approved program. OSMRE retains direct regulatory authority over coal mining on Indian lands and in states without primacy. Only one of the states without primacy (Tennessee) currently produces coal.

OSMRE’s first permanent program performance standards, as published on March 13, 1979, included stream buffer zone (SBZ) rules at 30 CFR 816.57 (for surface mining operations) and 817.57 (for underground mining operations). Except for stream-channel diversions, those rules provided that no surface area within 100 feet of a perennial stream or a stream with a biological community may be disturbed by surface operations or facilities unless the regulatory authority finds that the original stream channel would be restored and that, during and after mining, the activities would not adversely affect the water quantity and quality of the stream segment within 100 feet of those activities.

The 1979 rules also defined “intermittent stream” in two ways. One method relied on hydrological criteria, while the other method classified all streams that drain a watershed of one square mile or larger as intermittent even if those streams do not meet the hydrological criteria for an intermittent stream. A stream meeting either of those criteria qualified as intermittent; the stream did not need to meet both criteria. This definition did not impact the 1979 stream buffer zone rule, but it proved to be relevant to implementation of subsequent stream buffer zone rules.

In 1983, OSMRE revised the stream buffer zone rules to delete the requirement that the original stream channel be restored. The 1983 rule replaced the biological community criterion for determining which non-perennial streams must be protected with a requirement for protection of all intermittent streams. Finally, the rule specified that the regulatory authority may authorize mining activities through or within 100 feet of a perennial or intermittent stream only after finding that the proposed activities would not cause or contribute to a violation of applicable state or federal water quality standards and would not adversely affect the water quality or quantity or other environmental resources of the stream.

On December 12, 2008, OSMRE published a revised SBZ rule that replaced the findings in the 1983 rule with a requirement that permittees avoid conducting mining activities in perennial and intermittent streams unless the regulatory authority finds that avoiding disturbance of the stream is not reasonably

possible. The prohibition did not apply to mining through streams, for which the standard for approval was that the stream-channel diversion be located and designed to minimize adverse impacts on fish, wildlife, and related environmental values to the extent possible, using the best technology currently available. The 2008 rule also prohibited mining activities on the surface of land within 100 feet of perennial and intermittent streams unless (1) they are part of mining activities (such as the construction of excess spoil fills, coal mine waste disposal facilities, sedimentation pond embankments, or bridge abutments) that the regulatory authority has approved to take place in the pertinent stream segment itself, (2) the regulatory authority finds that avoidance is not reasonably possible, or (3) the regulatory authority finds that the prohibition is not needed to meet fish and wildlife and hydrologic balance protection requirements.

The 2008 rule required that permittees (1) design and conduct their operations to minimize the volume of excess spoil generated by mining operations and (2) design and construct fills to be no larger than needed to accommodate the anticipated volume of excess spoil to be generated. As part of the excess spoil minimization requirement, the rule required that mining operations return the excavated overburden to the mined-out area to the extent possible, after taking into consideration applicable regulations concerning restoration of approximate original contour, safety, stability, and environmental protection, as well as the needs of the postmining land use.

The 2008 rule also provided that, to minimize adverse impacts on fish, wildlife, and related environmental values, the operation must be designed to avoid constructing excess spoil fills, refuse piles, or slurry impoundments in perennial and intermittent streams to the extent possible. When avoidance was not possible, the rule required that the permit application identify a range of reasonable alternatives for disposal and placement of the excess spoil or coal mine waste, evaluate their environmental impacts, and select the Alternative with the least overall adverse impact on fish, wildlife, and related environmental values. The rule established criteria for determining whether a potential Alternative is reasonably possible; as part of those criteria, it stated that an Alternative generally may be considered unreasonable if its cost is substantially greater than the costs normally associated with this type of project.

Shortly after publication of the 2008 rule, ten environmental organizations challenged the validity of the rule. See *Coal River Mountain Watch v. Salazar*, No. 08-2212 (D.D.C., filed Dec. 22, 2008) and *National Parks Conservation Ass'n v. Salazar*, No. 09-115 (D.D.C., filed Jan. 16, 2009). Because of the litigation, OSMRE never requested that states with primacy amend their programs. Thus, the 2008 SBZ rule took effect only in states with federal regulatory programs (of which only Tennessee has active coal mining) and on Indian lands.

On November 30, 2009, OSMRE published an Advance Notice of Proposed Rulemaking (ANPR) seeking public comment on how current regulations should be revised to reduce “the harmful environmental consequences of surface coal mining operations in Appalachia, while ensuring that future mining remains consistent with federal law” (OSMRE, 2009). The ANPR confirmed that “[t]he Secretary of the Interior remains committed to reducing the adverse impacts of Appalachian surface coal mining operations on streams.” The ANPR also indicated that OSMRE would consider whether “revisions to other OSMRE regulations, including approximate original contour (AOC) requirements, are needed to better protect the environment and the public from the impacts of Appalachian surface coal mining.” Further, the ANPR solicited comments “identifying significant issues, studies, and specific alternatives that we should

consider in the [Supplementary Environmental Impact Statement (EIS)] for this rulemaking initiative” (74 FR 62664-62668, Nov. 30, 2009). OSMRE received approximately 32,750 comments during the 30-day comment period on various issues related to stream protection.

On February 20, 2014, the U.S. District Court for the District of Columbia issued an order that vacated the 2008 SBZ rule, which had the effect of reinstating the pre-2008 version of the vacated rules. *See Nat’l Parks Conservation Ass’n v. Jewell*, 2014 U.S. Dist. LEXIS 152383, at *31-*34 (D.D.C. Feb. 20, 2014). On December 22, 2014, OSMRE formally removed the provisions of the vacated 2008 rule from the Code of Federal Regulations and reinstated the prior regulations (79 FR 76227-76233).

1.0.3.1 Previous Environmental Impact Statements Related to Stream Protection

After the passage of SMCRA on August 3, 1977, the Secretary of the Interior, through OSMRE, developed regulations for both the initial and permanent regulatory programs required by SMCRA (30 U.S.C. 1211(c)(2)). OSMRE prepared a programmatic environmental impact statement (OSMRE EIS-1) that analyzed the environmental consequences of Alternatives for the permanent program regulations. OSMRE published OSMRE EIS-1 as final in January 1979. The permanent program regulations were published as a Final Rule on March 13, 1979 (44 FR 15313, Mar. 13, 1979).

In 1981, OSMRE identified a need for changes to the March 1979 regulations. OSMRE analyzed the effects of the Proposed Rule changes on the environment in EIS-1 Supplement, released in January 1983.

Beginning in 2003, OSMRE initiated a rulemaking to revise regulatory requirements for placement of excess spoil generated during mining, and to revise the stream buffer zone rule. OSMRE prepared an EIS to support this rulemaking and announced the availability of the Final EIS in the *Federal Register* on October 24, 2008 (73 FR 63510, Oct. 24, 2008).

CEQ’s regulations implementing NEPA encourage agencies to incorporate information by referring to information already presented in other documents to reduce unnecessary repetition (40 CFR 1502.21). Therefore, when applicable and appropriate, OSMRE relies on and references analyses in the following EIS documents:

- U.S. Department of the Interior, Office of Surface Mining Reclamation and Enforcement. Excess Spoil Minimization--Stream Buffer Zones, Proposed Revisions to the Permanent Program Regulations Implementing the Surface Mining Control and Reclamation Act of 1977 Concerning the Creation and Disposal of Excess Spoil and Coal Mine Waste and Stream Buffer Zones. Final Environmental Impact Statement OSMRE-EIS-34, Sept. 2008.¹⁷

¹⁷ The validity of this EIS was challenged in *Coal River Mountain Watch et al. v. Jewell*, No. 08-2212 (D.D.C., filed Dec. 22, 2008). However, after the court vacated the rule that was the subject of this EIS in *Nat’l Parks Conservation Ass’n v. Jewell*, 2014 U.S. Dist. LEXIS 152383, at *34 (D.D.C. Feb. 20, 2014), the court held that the NEPA challenge was moot. *See Coal River Mountain Watch et al. v. Jewell*, No. 08-2212, Memorandum Decision at 2 (D.D.C., Feb. 20, 2014). The DEIS and FEIS for this rulemaking use information from this document to discuss aspects of the purpose and need (see sections 1.0.4 and 1.1.3), the history of the environmental analyses for the 2008 rule (see section 3.0.3), and aspects of the affected environment such as soils, topography and biological resources (see sections 3.3, 3.4 and 3.8) and trends of mining under existing regulations (see section 4.2.2 and section 4.2.3).

- U.S. Environmental Protection Agency, Mountaintop Mining/Valley Fills in Appalachia, Draft Programmatic Environmental Impact Statement (MTM-VF DPEIS), EPA 9-03-R-00013, EPA Region 3, June 2003 and Final Programmatic Environmental Impact Statement (MTM-VF FPEIS), October 2005.¹⁸

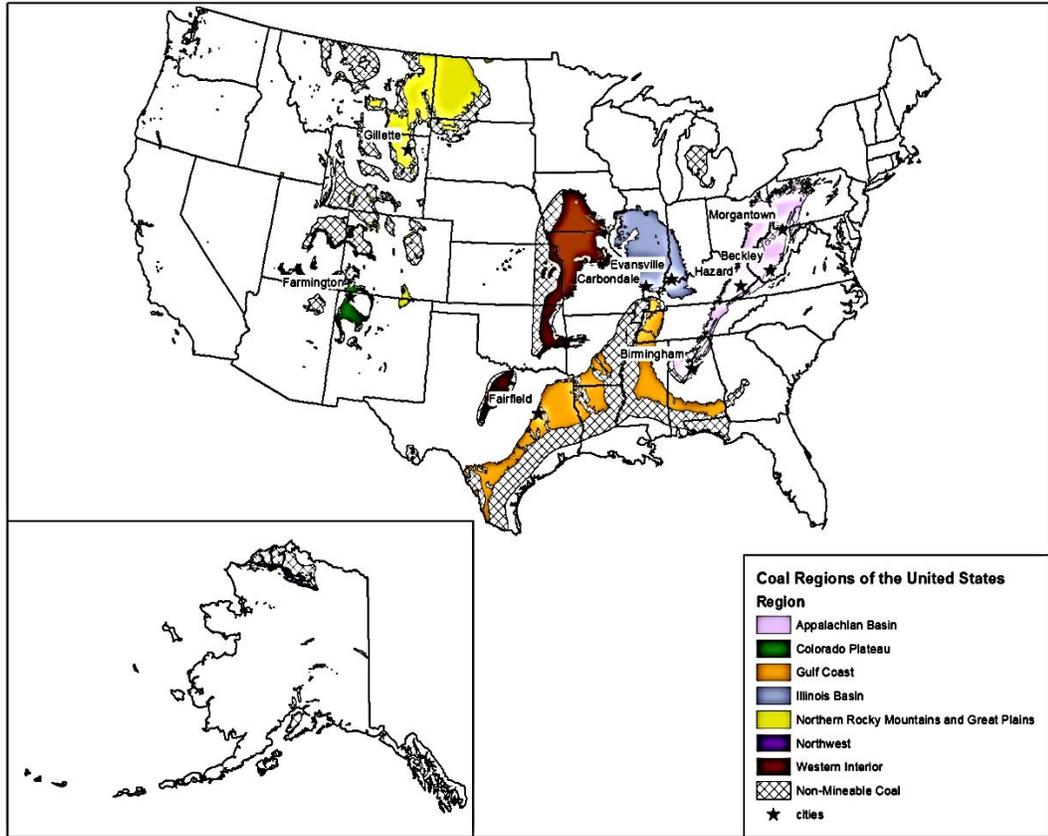
1.0.3.2 Public Participation in Development of this DEIS

OSMRE published the first Notice of Intent (NOI) to prepare an EIS under Section 102(2)(C) of the NEPA in the *Federal Register* on April 30, 2010 (75 FR 22723). OSMRE also posted that notice on the bureau's website. OSMRE invited comments and suggestions on the scope of the analysis, including the eleven principal elements of the contemplated action. OSMRE received 25 written comments during this initial scoping period.

On June 18, 2010, OSMRE published a second NOI to announce that nine open house format scoping meetings would be held to collect information on the proposed Alternatives and elements under consideration in the rulemaking, and to extend the comment period (75 FR 34666). The second NOI invited comments on possible Alternatives based on eleven principal rule elements. During the additional 45-day public scoping period, OSMRE held open houses in Carbondale, IL; Evansville, IN; Birmingham, AL; Fairfield, TX; Hazard, KY; Beckley, WV; Morgantown, WV; Farmington, NM; and Gillette, WY. These nine cities are located in or near the major coal-producing regions of the U.S. and are accessible to the majority of the population living in those regions (Figure 1.0-1). Approximately 400 people attended the open houses and provided almost 450 written and oral comments. In addition, 20,126 comments were received via electronic and hard copy submissions outside of the open houses.

¹⁸ Information from this document is used within the discussion of topography in section 3.4, for the discussion of wetlands in section 3.9, for the discussion of stream fills in section 4.2.1.4, and within the discussion of downstream effects within the documented impacts of mining under the no action alternative in section 4.2.2.1.

Figure 1.0-1. Map of Coal Regions and Scoping Open-House Locations Used in EIS Development



Source: Coal fields layer obtained from *USGS National Atlas*. To prepare this figure OSMRE modified the coal fields data to distinguish mineable versus non-mineable coal by region.

1.0.3.3 Issues Identified Through Public Involvement

1.0.3.3.1 Comments from EIS Scoping

Some of the comments received during scoping were related to Alternatives that OSMRE might consider in both the Proposed Rulemaking and within the analysis of the DEIS. Most commenters provided specific comments regarding each of the principal elements and possible Alternatives set out in the June 18, 2010 NOI. Of these comments, some recommended clarifications to existing rules as opposed to a new rulemaking, made suggestions pertaining to specific elements or Alternatives within the Proposed Rulemaking, or raised new issues or rule elements for consideration.

Comments generally fell into two categories: (1) comments in support of rule revisions that would provide greater environmental protection for streams and other natural resources; and (2) comments that support the adequacy of the existing regulations.

Some commenters favoring greater environmental protections advocated interpretation of the 1983 SBZ rule as an absolute prohibition on stream impacts. This group of comments often described the 1983 SBZ rule as a bright-line prohibition against any adverse impacts within the stream buffer zone. Other

comments suggested that OSMRE should assess the effects of an Alternative that would ban surface mining of coal entirely.

OSMRE incorporated most of the comments described above regarding Alternatives into the development of the Alternatives analyzed. The suggestion to include an Alternative that would ban surface coal mining entirely was not incorporated because that Alternative is not authorized under SMCRA and would not meet the purpose and need for the proposed action.

1.0.3.3.2 Comments in Response to the Advance Notice of Proposed Rulemaking

Additional substantive comments were received on the ANPR. Some of these comments highlighted the impacts of surface coal mining and current regulatory shortcomings regarding streams:

- Large surface mines in the interior coal basins of the U.S. typically impact numerous streams during the mining process. There is a need for consistent, scientifically viable methods of evaluating the premining condition of these streams, as well as the impacts of mining on them.
- Plans for stream protection and restoration should provide for consistent application of best practices nationwide to assure restoration of form and function as well as maintenance of streams' ecological value. Measurements of success should be uniformly applied.
- When possible, stream restoration plans should provide for enhancements as part of the reclamation process.
- After reclamation, changes in the water table near re-established stream channels may result in loss of intermittent or perennial streams or conversion to ephemeral streams.

Other commenters opposed changes to current rules and asserted that additional regulation would impair mining operations, increase costs, endanger jobs at a time of high unemployment, and provide little, if any, additional protection for the environment. Some comments questioned the authority of OSMRE under SMCRA to adopt certain measures under consideration. Others asserted that OSMRE had failed to articulate a need for new regulations so soon after adopting the 2008 SBZ Rule.

Although some commenters emphasized the need for nationwide stream protection regulations, other commenters, especially those from the coal-producing regions of the Midwest and the West, questioned the need to promulgate a nationwide Stream Protection Rule, arguing that there is no evidence of adverse impacts on streams outside Appalachia. These commenters also argued that because of regional differences, many elements under consideration would be inapplicable, cumbersome, costly, or impractical to apply outside Appalachia.

Comments received in response to the ANPR and impacts of operating under the existing regulations were incorporated into the analysis of the DEIS where appropriate. In addition, they were also incorporated into the Proposed Rule language as appropriate.

1.0.3.3.3 Comments on the DEIS and the Proposed Rule

On July 16, 2015, OSMRE announced that the Proposed Rule, Draft Environmental Impact Statement (DEIS), and Draft Regulatory Impact Analysis (RIA) were available for review at www.regulations.gov, on our web site (www.osmre.gov), and at selected OSMRE offices. On July 17, 2015, OSMRE published a notice in the Federal Register announcing the availability of the DEIS for the Proposed Rule. See 80

FR 42535-42536. The notice reiterated that the DEIS was available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the DEIS was originally scheduled to close on September 15, 2015. On July 27, 2015, OSMRE published the Proposed Stream Protection Rule in the Federal Register. See 80 FR 44436-44698. That document reiterated that the Proposed Rule, DEIS, and Draft RIA were available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the Proposed Rule and Draft RIA was originally scheduled to close on September 25, 2015. In response to requests for additional time to review and prepare comments on all three documents, OSMRE extended the comment period for the Proposed Rule, DEIS, and Draft RIA through October 26, 2015. See 80 FR 54590-54591 (Sept. 10, 2015).

During the public comment period, OSMRE held six public hearings on the Proposed Rule and DEIS in Golden, Colorado (September 1, 2015); Lexington, Kentucky (September 3, 2015); St. Charles, Missouri (September 10, 2015); Pittsburgh, Pennsylvania (September 10, 2015); Big Stone Gap, Virginia (September 15, 2015); and Charleston, West Virginia (September 17, 2015). In addition to the testimony offered at the hearings and meetings, OSMRE received approximately 94,000 written or electronic comments on the Proposed Rule. Responses to comments on the DEIS are included in Appendix K of this FEIS. Responses to comments on the Proposed Rule are provided in the preamble to the Final Rule.

1.0.4 Scope of Analysis

This EIS evaluates a range of Alternatives related to stream protection and the conservation of fish, wildlife and related environmental values, including a No Action Alternative, under which the current federal regulations would be unchanged. OSMRE carefully considered all issues raised during the scoping and public outreach process associated with this action when developing the Alternatives.

OSMRE analyzed the effects of each Alternative on the seven most productive coal-bearing regions of the United States (Figure 1.0-1 above). Some coal regions have a more extensive mining history than others, leading to variable data availability across the seven regions. In addition, environmental impacts are disparate across the regions, largely due to historical trends in coal production. Data tend to be more readily available in regions with an extensive mining history and legacy coal mining impacts. In some instances, when data are limited, OSMRE relies on reasonable assumptions to evaluate the relative impacts of different Alternatives (see Chapter 4).

In analyzing the Alternatives, OSMRE relied on reports included in previous EISs and considered studies published since preparation of the 2008 EIS (see Chapter 7 for a complete list of references). OSMRE also obtained updated factual information relevant to stream protection from OSMRE field offices and state regulatory agencies. In addition, OSMRE conducted one new study for this EIS in cooperation with the U.S. Environmental Protection Agency (U.S. EPA) (Pond et al. 2014). The study examined biological community composition downstream from reclaimed valley fills. This was a follow-up to a 2008 study (Pond et al. 2008). More details are provided in Chapter 4 Section 4.2.2.

1.1 Need for the Federal Action

The need for this federal action is to improve implementation of SMCRA to ensure protection of the hydrologic balance, and reduce impacts of surface coal mining operations on streams, fish, wildlife, and related environmental values. In considering this need, OSMRE has identified several subcomponents of our regulations that could be improved.

- First, there is a need to define “material damage to the hydrologic balance outside the permit area” and ensure that each permit identifies the point at which adverse mining impacts on groundwater and surface water (both of which provide stream flow) reach an unacceptable level; that is, the point at which they would cause material damage to the hydrologic balance outside the permit area.
- Second, there is a need to collect adequate premining data about the site of the proposed mining operation and adjacent areas to establish a comprehensive baseline against which the impacts of mining can be compared.
- Third, there is a need for effective, comprehensive monitoring of groundwater and surface water both during and after mining and reclamation to provide timely documentation of the impacts of mining and to enable prompt detection of any adverse trends and implementation of corrective measures before it is either too late to take remedial measures or exceedingly costly to do so.
- Fourth, there is a need to ensure protection or restoration of perennial and intermittent streams and related resources including fish and wildlife, especially headwater streams that are critical to maintaining the ecological health and productivity of downstream waters.
- Fifth, there is a need to ensure the use of objective standards in making important regulatory and operational decisions with a potential impact on perennial and intermittent streams.
- Sixth, there is a need to ensure that permittees and regulatory authorities make use of advances in information, technology, science, and methods related to surface and groundwater hydrology, surface-runoff management, stream restoration, soils, and revegetation, all of which relate directly or indirectly to protection of water resources and the ability of mined land to support the uses that it was capable of supporting before mining.

After evaluating the comments received on the ANPR, OSMRE identified a need for a comprehensive rulemaking to better protect streams nationwide. Refinement of existing regulations is needed to more completely implement SMCRA’s permitting requirements and performance standards and provide regulatory clarity to operators and stakeholders while better achieving the purposes of SMCRA as set forth in section 102 of the Act. In particular, to more completely realize the purposes in paragraphs (a), (c), (d), and (f) of that section, which include establishing a nationwide program to protect society and the environment from the adverse effects of surface coal mining operations and assuring that surface coal mining operations are conducted in an environmentally protective manner and are not conducted where reclamation is not feasible. Furthermore, this action is needed to address court decisions and strike the appropriate balance between environmental protection, agricultural productivity and the Nation’s need for coal as an essential source of energy, while providing greater regulatory certainty to the mining industry.

1.1.1 Need for Regulatory Improvements

Section 201(c)(2) of SMCRA requires that the Secretary of the Interior, acting through OSMRE, “publish and promulgate such rules and regulations as may be necessary to carry out the purposes and provisions of this Act.” In section 101(c) of SMCRA, Congress found that:

many surface coal mining operations result in disturbances of surface areas that burden and adversely affect commerce and the public welfare by ... polluting the water, by destroying fish and wildlife habitats, by impairing natural beauty, ... and by counteracting governmental programs and efforts to conserve soil, water, and other natural resources.

The federal action analyzed in this EIS will better prevent or remediate the adverse impacts that Congress described when it made this finding. Despite the enactment of SMCRA and the promulgation of federal regulations implementing the statute, surface coal mining operations continue to have negative effects on streams, fish, and wildlife. These conditions are documented in the literature surveys and studies discussed in Chapter 4. Further evidence is available through several decades of observing the impacts of coal mining operations. These documented and observed problems have prompted OSMRE to consider whether it should take a different approach in the regulations implementing the following SMCRA provisions related to stream protection:

- Section 510(b)(3) of SMCRA in effect requires that each surface coal mining operation be designed to prevent material damage to the hydrologic balance outside the permit area. Current regulations intentionally do not define the extent of damage that is allowable and how much damage constitutes “material damage,” an approach that was intended to afford regulatory authorities flexibility in making determinations on a case-by-case basis (48 FR 43973, Sept. 26, 1983).
- Section 515(b)(2) of SMCRA requires that mined land be restored to a condition capable of supporting the uses that it was capable of supporting prior to mining. Alternatively, it allows mined land to be restored to a condition capable of supporting higher or better uses of which there is reasonable likelihood, provided certain conditions are met. Existing rules and permitting practices have focused primarily on the land’s suitability for a single approved postmining land use, which may or may not be implemented. OSMRE believes it is essential to ensure that land be restored to a condition in which it is capable of supporting all uses that it was capable of supporting before mining, unless the approved postmining land use is implemented before final bond release.
- Section 515(b)(10) of SMCRA requires that mining operations minimize disturbances to the prevailing hydrologic balance at the mine site and in associated offsite areas. It also requires that mining operations minimize disturbances to the quality of water in surface water and groundwater systems both during and after surface coal mining operations and during reclamation. As discussed in more detail in Chapter 2, OSMRE is evaluating a number of options to provide the most effective implementation of this statutory requirement, including regulatory options for avoidance of acid and toxic drainage from mine sites. OSMRE also seeks the most effective regulation of excess spoil fill construction because of the potential effects of those fills on the hydrologic balance, water quality, and aquatic life.
- Sections 515(b)(19) and 516(b)(6) of SMCRA require the operator to establish a diverse, effective, permanent vegetative cover of the same seasonal variety native to the area on all

regraded areas and other lands affected by mining. However, many previously forested areas have been reclaimed with heavily compacted soils that reduce site productivity and the ability of the site to support productive forests. These sites are commonly revegetated as grasslands with scrub trees, and vegetation that is not representative of native premining vegetation. OSMRE is considering Alternatives that would implement these SMCRA provisions more effectively.

- Sections 515(b)(24) and 516(b)(11) of SMCRA require, subject to certain limitations, that surface coal mining and reclamation operations minimize disturbances and adverse impacts on fish, wildlife, and related environmental values. These provisions also require operations to “achieve enhancement of such resources where practicable.” Reconstructed streams, however, often neither look nor function the way they did before mining. The emphasis has been primarily upon creating a channel sufficient to convey postmining flows, while minimizing channel erosion and sediment loading. Until recent years, there has been relatively little attention paid to the impact of mining on water quality and hence aquatic life in reconstructed streams and in streams downstream of the mining operation. Particularly in Appalachia, streams may no longer support the benthic and other aquatic communities that they did before mining. Additionally, efforts to enhance fish, wildlife, and related environmental values have not been evenly implemented as part of state reclamation programs, despite the presence of that requirement in both the statute and the regulations.
- OSMRE’s current rules at 30 CFR 816.73 allow excess spoil fills to be constructed by end-dumping. With end-dumping, operators push or dump rock overburden over the side of the mountain to cascade into the valley below, with the larger rocks rolling to the bottom of the valley to form the underdrain. Based on several decades’ experience implementing the existing rules, OSMRE is reexamining the extent to which this technique accords with a number of SMCRA requirements. For instance, some end-dumping may not comply with Section 515(b)(22)(A) of SMCRA which provides that all excess spoil material resulting from surface coal mining operations must be “transported and placed in a controlled manner in position for concurrent compaction and in such a way to assure mass stability and to prevent mass movement.” End-dumping, moreover, can result in elevated dissolved ion concentrations in water leaving the site and significant increases in concentrations of total dissolved solids (TDS) in receiving streams, both of which may adversely affect fish and wildlife in contravention of Section 515(b)(24) of SMCRA. Further, construction of end-dumped rock fills can result in inconsistent development of the underdrains required under Section 515(b)(2) of SMCRA, leading to structural instability of the fill.
- Section 515(b)(3) requires, with certain exceptions, that mined land be restored to AOC. Restoration of mined land to a surface configuration that includes convex and concave terrain patterns and landforms typical of the premining surface configuration could more effectively meet this requirement. The existing rules governing AOC restoration are general, subjective, and lacking in specificity. Too often, this has resulted in postmining surface configurations that are significantly flatter than the premining configuration; that lack many of the landform features found prior to mining; and that have significantly altered drainage patterns and stream characteristics and functions. OSMRE has identified a number of instances where the regulatory authority overlooked inadequate contour restoration until late in the process (at which point correcting the problem would be overly expensive or cause unacceptable disruption of stabilized

conditions). OSMRE is evaluating Alternatives to address this problem including additional mapping and reporting regarding compliance with contour restoration.

1.1.2 Need for Adequate Data

To effectively evaluate the impacts of a mining operation and to ensure implementation of SMCRA's requirements, the regulatory authority must have both sufficient baseline data and sufficient data about ongoing changes to stream-related resources and biota. Adequate data about the conditions before the mining activity are critical to ascertaining the extent and cause of any changes that do occur after mining is underway; this information in turn is critical to correcting problems if and when they occur. To ensure that the necessary corrections can be made to prevent and mitigate damage, the regulations must specify the types of information that need to be collected, and the locations, timing, and frequency of information collection. As discussed above, Section 510(b)(3) of SMCRA requires that each surface coal mining operation be designed to prevent material damage to the hydrologic balance outside the permit area. Section 515(b)(10) of SMCRA requires, in essence, that surface coal mining and reclamation operations "minimize the disturbances to the prevailing hydrologic balance at the mine site and in associated offsite areas and to the quality and quantity of water in surface and ground water systems both during and after surface coal mining operations and during reclamation." For underground mining, Section 516(b)(9) of SMCRA requires operations to minimize disturbances to the prevailing hydrologic balance at the mine site and associated offsite areas, and to ensure the quantity of water. Sections 515(b)(24) and 516(b)(11) of SMCRA require, subject to certain limitations, that surface coal mining and reclamation operations minimize disturbances and adverse impacts on fish, wildlife, and related environmental values; and also require operations to "achieve enhancement of such resources where practicable."

As discussed previously, studies indicate that environmental degradation is still occurring despite the current requirements within the implementing regulations of SMCRA. OSMRE has determined that this research indicates that effective evaluation of trends and impacts on groundwater, surface water, and stream-related resources and biota would require additional monitoring of data beyond what is currently required by existing regulations. Additional water quality parameters must be monitored both in the baseline condition and within any effluent leaving mine sites. Similarly, existing regulations do not provide for collection of baseline data sufficient to determine the biological condition of streams. Consequently, characteristics of the aquatic community in the stream are not well documented in SMCRA permit files. This impedes regulators' ability to assess whether an operation is adequately minimizing adverse impacts on fish, wildlife, and related environmental values, as required by Sections 515(b)(24) and 516(b)(11) of SMCRA. More complete and accurate baseline information is needed to improve regulators' ability to determine whether mine plans are designed in accordance with SMCRA, and whether operations are being conducted in accordance with mining plans. For example, better baseline data would facilitate a more thorough CHIA, would help set objective and measurable material damage standards, and would help identify and address hydrologic problems that may arise after permit issuance.

Additional monitoring data are also needed to provide sufficient warning when water impacts are approaching thresholds where evaluations should occur to prevent further damage. This change would help operators and regulators evaluate the potential for the operation to result in material damage to the hydrologic balance.

1.1.3 Need for Adequate Objective Standards

To effectively implement SMCRA's requirements related to stream protection, regulations must allow permittees and operators, as well as regulatory authorities, to effectively evaluate compliance and limit or prevent adverse impacts, as appropriate.

The regulatory standards must provide an objective threshold with clear and predictable standards for preventing "material damage to the hydrologic balance outside the permit area," as required by Section 510(b)(3) of SMCRA. That section requires that each surface coal mining operation be designed to prevent material damage to the hydrologic balance outside the permit area. However, neither OSMRE nor most states have defined this term. A clear federal definition and federal minimum standards or criteria against which to measure whether material damage has occurred is needed to provide a basis for oversight of state implementation of this statutory requirement.

As noted above, based on observed changes, OSMRE believes that existing permitting and performance standards implementing Section 515(b)(10) of SMCRA may be inadequate to minimize disturbances to the prevailing hydrologic balance at the mine site and to the quality of water in surface and ground water systems. More specific, more clearly defined and objective standards would improve implementation of this statutory requirement.

1.1.4 Need to Apply Current Information, Technology, and Methods

This federal action is also designed to incorporate significant advances in scientific knowledge that have occurred since OSMRE's permanent program regulations were adopted in 1979 and then substantially amended, starting in 1983.

First, new information exists on the adverse impacts that coal mining can cause to water resources and stream biota. As discussed in more detail in Chapter 4, there are many recent publications of studies and literature surveys that evaluate the impacts of surface coal mining and reclamation operations on water quantity and quality, as well as related biological resources.

Second, since OSMRE's earlier rulemakings, there have been significant improvements in technologies and methods for prediction, prevention, mitigation, and reclamation of coal mining impacts on hydrology, streams, fish, wildlife, and related resources. As discussed in more detail in Chapter 4, OSMRE has identified major improvements in technology and methods related to identifying, quantifying, mapping, and modeling mining operations and their impacts on the environment. Examples of such improvements are discussed below.

Advances in identification and prediction of impacts on stream resources. Since the 2008 SBZ rule, there have been significant improvements in analysis of the impacts of mining on stream resources. For instance, coal mining-related regulatory programs have traditionally focused on acid mine drainage and sediment loads as the sources of potential problems. However, as described in Chapter 4 of this EIS, the fracturing of overburden as part of the mining process results in significant increases in conductivity and TDS in streams below many surface mines, particularly below excess spoil fills. Those changes can have significant toxic effects on streams, leading to a loss of sensitive aquatic organisms even when downstream habitats are otherwise intact. Emerging science indicates that problems can include golden alga blooms and adverse impacts to fish and wildlife from the discharge or formation of chemical

constituents not considered in past rulemaking efforts. Further, data now indicate that some pollutants, such as selenium, may bio-accumulate. Accumulation of pollutants in biological systems over time may adversely affect biota and human health. In addition, new studies indicate that toxic discharges may continue for decades even after reclamation of the site has otherwise been successful according to current requirements for restoration of the land itself.

Landform elements such as ridges, valleys, hill slopes, and streams can now be measured quantitatively in a way not feasible until recently. Permit reviewers can now use computers and sophisticated software to process huge amounts of elevation data acquired from stereo satellite and airborne images, lidar, and radar to produce much more accurate maps and models of surface configuration than was possible a few short years ago. This information may allow state regulators to determine the total volume of earth that a mining operation has displaced or will displace, based on the position of the coal seams and volume of overburden relative to the premining topography. These data can also be used to plan for restoration of smaller-scale features that blend into the surrounding topography within a watershed. By contrast, reclamation practices under existing regulations often rely on construction of uniformly sized and spaced structures and features.

Advances in reclamation techniques. Emerging science now provides much better information on effective reclamation practices related to stream protection. During the last decade, the scientific community has made great strides in developing geomorphic reclamation strategies that reduce erosion and improve water quality. These improvements are not reflected in current regulations. More traditional approaches to restoration of AOC have created large reclaimed acreages that resemble landscapes of agricultural fields, urban recreational parks, or construction fill sites such as large dam embankments, spillways, or waterway diversions. Modern Global Positioning System (GPS)-enabled equipment can incorporate the use of geomorphic principles in reclamation design, and can provide a closer approximation of the highly dissected and randomly spaced and sized drainage patterns of an undisturbed landscape. The Los Angeles abrasion test (a standard test method for determining resistance to degradation) and the sodium or magnesium sulfate soundness test (which distinguishes between rocks based on their susceptibility to weathering) can be used to assess the appropriateness of material used in fills. Hydrologic modeling programs such as the USACE Hydrologic Engineering Center, Hydrologic Modeling System (HEC-HMS) can predict with greater accuracy the flow pattern and volume of runoff that would occur under different rainfall scenarios at defined locations. Use of programs such as the Civil Software Design, LLC Sediment, Erosion, Discharge by Computer Aided Design (SEDCAD) program can more effectively design and evaluate erosion and sediment control systems. Such improvements in reclamation may significantly improve restoration of ephemeral streams, protection of water quality in perennial and intermittent streams, and long-term landscape stability.

Advances in reforestation techniques have been shown to decrease the detrimental effects of storm runoff. Science now indicates that high nutrient loads can have negative, cumulative impacts downstream, but that streamside buffer zones can reduce those nutrient loads and associated impacts. OSMRE experience over the past thirty years indicates that extensive herbaceous ground cover on reclaimed areas can inhibit the establishment and growth of trees and shrubs. The dense herbaceous ground covers historically used to control erosion compete with newly planted trees and tree seedlings for soil nutrients, water, and sunlight, and provide habitat for rodents and other animals that damage tree seedlings and young trees.

1.2 Purpose of the Federal Action

Our primary purpose in considering this rulemaking is to strike a better balance between “protection of the environment and agricultural productivity and the Nation’s needs for coal as an essential source of energy.” Specifically, our purpose is to minimize the adverse impacts of surface coal mining operations on surface water, groundwater, and site productivity, with particular emphasis on protecting or restoring streams, aquatic ecosystems, streamside habitats and vegetative corridors, native vegetation, and the ability of mined land to support the uses that it was capable of supporting before mining. The proposed action reflects our experience during the more than three decades since adoption of the existing regulations, as well as advances in scientific knowledge and mining and reclamation techniques during that time. In addition, as proposed, OSMRE revised and reorganized the regulations for clarity, to make them more user-friendly, to remove obsolete and redundant provisions, and to implement plain language principles.

Chapter 2. Description of All Alternatives Including the No Action Alternative

2.0 Introduction

This chapter of the Final Environmental Impact Statement (EIS) introduces and describes the eight Action Alternatives that the Office of Surface Mining Reclamation and Enforcement (OSMRE) is considering in its Proposed Stream Protection Rule (SPR). It also discusses the No Action Alternative, which reflects current applicable regulations, policies and practices.

In addition, this chapter identifies and describes the eleven principal elements for evaluation (factors for analysis) within each of the nine Alternatives that OSMRE is considering. For ease of discussion and analysis, OSMRE has organized these eleven principal elements into the following four “functional groups” under each of the Alternatives. These functional groups recognize common or related characteristics that address an overarching rulemaking topic or concern:

- Protection of the Hydrologic Balance;
- Activities in or near Streams;
- Approximate Original Contour (AOC) and AOC Variances; and
- Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement.

Table 2.1-1 summarizes the principal elements using these four functional groups. Grouping certain elements together helps to illustrate their relationship and makes the impact analysis clearer and easier to follow. For example, when discussed together, it is easier to draw the connection between *establishing* a baseline for surface water and groundwater characteristics, *monitoring ongoing changes* from the baseline condition during mining and reclamation and *establishing evaluation thresholds*¹⁹ to prevent environmental damage. Further, the functional grouping demonstrates how these elements relate to protection of the hydrologic balance.

2.1 Development of the Alternatives

OSMRE identified the need for improved stream protection through internal analysis and external scoping and public outreach activities. Public concerns ranged from support for an outright ban on certain coal mining practices to maintaining the current regulations (the No Action Alternative) and providing time to implement the regulatory changes adopted in the 2008 Stream Buffer Zone (SBZ) rule. Some participants focused on environmental issues, while others expressed concerns about the potential costs and impacts

¹⁹ Evaluation thresholds were referred to as “corrective action thresholds” in the DEIS and Proposed Rule. Evaluation thresholds are numeric values lower than the material damage thresholds for the corresponding parameters

from any Proposed Rulemaking on the coal mining industry, employment, affected regulatory authorities, and local, regional, and national economies.

**Table 2.1-1.
 Organization of 11 Principal Elements (Factors for Analysis) into Functional Groups**

Functional Groups	Protection of the Hydrologic Balance	Activities in or near Streams	AOC and AOC Variances	Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement
Factors for Analysis (Principal Elements)	Baseline data collection and analysis	Stream definitions	Surface configuration	Revegetation, topsoil management, and reforestation
Factors for Analysis (Principal Elements)	Monitoring during mining and reclamation	Activities in or near streams, including disposal of excess spoil and coal mine waste	AOC variances	Fish and wildlife protection and enhancement
Factors for Analysis (Principal Elements)	Definition of material damage to the hydrologic balance outside the permit area	Mining through streams	---	---
Factors for Analysis (Principal Elements)	Evaluation thresholds	---	---	---

OSMRE published the first Notice of Intent (NOI) to conduct scoping for this DEIS in the *Federal Register* on April 30, 2010 (75 FR 22723, Apr. 30, 2010). OSMRE invited comments and suggestions on the scope of the analysis, including the principal elements of the contemplated action. OSMRE received 25 written comments during this initial scoping period. On June 18, 2010, OSMRE published a second NOI announcing nine additional scoping “open houses” to provide information on the proposed Alternatives and elements under consideration in the rulemaking and to accept public comments (75 FR 34666, Jun. 18, 2010). The second NOI invited comments on possible Alternatives, based on 11 principal elements.

As part of the scoping process, OSMRE held open houses in Carbondale, IL; Evansville, IN; Birmingham, AL; Fairfield, TX; Hazard, KY; Beckley, WV; Morgantown, WV; Farmington, NM; and Gillette, WY. OSMRE selected these locations based on proximity to the major coal-producing regions of the U.S. and accessibility to the majority of the population living in those regions (Figure 1.0-1). Approximately 400 people attended the open houses and provided 450 written and oral comments. In addition, OSMRE received over 20,000 comments via electronic and hard copy submissions outside the open houses.

In developing a reasonable range of Alternatives, OSMRE also considered responses to an Advance Notice of Proposed Rulemaking (ANPR) published on November 30, 2009, which sought public comment on how OSMRE should revise current regulations to reduce “the harmful environmental consequences of surface coal mining operations in Appalachia, while ensuring that future mining remains consistent with Federal law” (74 FR 62664-62668, November 30, 2009). The ANPR also indicated that OSMRE would consider whether “revisions to other OSMRE regulations, including AOC requirements,

are needed to better protect the environment and the public from the impacts of Appalachian surface coal mining.” OSMRE received approximately 32,750 comments during the 30-day comment period on various issues, including those related to stream protection.

As a result of interagency discussions, internal reviews, and consideration of the comments received in response to the ANPR and during the extensive DEIS scoping process, OSMRE revised the principal rulemaking elements. In the process, OSMRE also identified the need for application of consistent, scientifically viable methods for evaluating the biological condition of streams, and for restoring their form and ecological function after mining. Section 1.0.1 provides a complete list of rulemaking elements that OSMRE considered.

OSMRE continued to refine the Alternatives based on preliminary input from the state and federal cooperating agencies, and later based on federal interagency review of the Preferred Alternative facilitated through the Office of Information and Regulatory Affairs (OIRA). OIRA is part of the Office of Management and Budget (OMB), which is an agency within the Executive Office of the President. The OMB is tasked per Executive Order 12866, "Regulatory Planning and Review," with the review of federal agency draft and proposed final regulatory actions.

2.2 Overview of the Alternatives and Chapter Organization

This chapter (Chapter 2) describes Alternatives that OSMRE considered with respect to the eleven principal elements outlined in the two NOIs, with modifications based on comments received and analysis of the Alternatives. Section 2.3 provides a brief description of the eleven elements. Section 2.4 describes the nine Alternatives in detail, organized by Alternative. Section 2.5 reverses that approach by grouping the Alternatives under the principal elements to assist the reader in identifying the Alternatives that address a particular concern. Finally, Section 2.6 describes Alternatives and elements that OSMRE considered, but subsequently dismissed without further analysis. OSMRE dismissed these Alternatives for several reasons, including that they: (1) were not reasonable; (2) did not meet the purpose and need of the proposed federal action as described in Chapter 1 of this FEIS; and/or (3) were outside the scope of the Proposed Rulemaking.

2.3 Range of Analysis for Each of the Eleven Principal Elements

In the NOIs, OSMRE published a list of eleven principal issues (elements) to be analyzed for the Stream Protection Rulemaking initiative. Initially, these eleven elements included baseline data requirements; a definition of material damage to the hydrologic balance outside the permit area; restrictions on activities in, near, or through streams; monitoring requirements; evaluation thresholds; surface configuration; variances to approximate original contour restoration requirements; enhanced reforestation activities; permit coordination among agencies; financial assurances for long-term treatment of postmining discharges; and stream definitions.

OSMRE revised the list of principal elements after further analysis and in light of the comments received during scoping. For example, OSMRE analyzes “mining through streams” and “activities that occur in or near streams” as separate principal elements because OSMRE believes these two categories of mining activities are significantly different. Mining *through* streams typically means that operators would excavate coal deposits beneath the streambed. In this situation, the operator would either permanently divert the stream channel or reconstruct it in its original location after mining. Mining *in or near* streams

refers to activities that take place within a stream or its buffer zone. These activities may sometimes cover the stream but never include removal of the streambed to extract coal. Examples of activities that may occur in or near streams include construction of sedimentation ponds, water treatment facilities, excess spoil fills or coal mine waste disposal facilities, and stream crossings.

OSMRE also added fish and wildlife protection and enhancement as a principal element and expanded the enhanced reforestation element to include revegetation, reforestation, and soil management.

2.4 Description of Alternatives

This section describes each of the nine Alternatives according to the four functional groups discussed above. As noted earlier, each functional group combines elements that have similar or interrelated attributes.

2.4.1 Alternative 1 (No Action Alternative)

Alternative 1, the No Action Alternative, consists of current regulatory requirements, policies, and practices under the Surface Mining Control and Reclamation Act (SMCRA), the Clean Water Act (CWA), and other federal and state laws that are relevant to this federal action. For reasons of brevity, this discussion describes only the requirements for surface coal mining operations. However, in most instances, analogous requirements apply to underground mining operations. If OSMRE were to select this Alternative, existing rules under SMCRA would not change.

2.4.1.1 Protection of the Hydrologic Balance

2.4.1.1.1 Baseline Data Collection and Analysis

Under the current regulations, the applicant for a mining permit is required to submit, at a minimum, the following baseline information, and any additional hydrologic or geologic information required by the regulatory authority.²⁰

Groundwater: Under 30 CFR 780.21, the applicant must submit data for existing wells, springs, and other groundwater resources within or adjacent to the proposed permit area. These data characterize the quality and quantity of groundwater and provide information on usage sufficient to demonstrate seasonal variation. Information on water quality must include total dissolved solids or specific conductance, pH, total iron, and total manganese. Groundwater quantity information must include approximate rates of

discharge or usage, as well as depth to the water in the coal seam, each water-bearing stratum above the coal seam, and each potentially affected stratum below the coal seam.

Surface water: Under 30 CFR 780.21, the applicant must submit information on surface water quality and quantity sufficient to demonstrate seasonal variation and water usage. At a minimum, water-quality information must include baseline information on total suspended solids, total dissolved solids or specific

²⁰ Unless otherwise specifically stated, the term “regulatory authority” as used in this FEIS refers to the SMCRA regulatory authority.

conductance, pH, total iron, and total manganese. The applicant must provide additional information on baseline acidity and alkalinity if there is a potential for acidic drainage from the proposed mining operation. Water quantity information must contain information on seasonal flow rates.

Geology: Under 30 CFR 780.22, the permit application must describe the geology of the proposed permit area and the adjacent area down to and including the deeper of either (1) the stratum immediately below the lowest coal seam to be mined or (2) any aquifer below that seam that could be adversely affected by mining. The description must include the areal and structural geology of the proposed permit area and the adjacent area. The description must also address other parameters that influence the required reclamation and the occurrence, availability, movement, quantity, and quality of potentially impacted surface water and groundwater. The geologic information must also include analyses of samples collected from test borings, drill cores, or samples from rock outcrops from the permit area. This requirement includes lithologic characterization and chemical analysis of strata and the coal seam for acid-forming or toxic-forming materials (including total sulfur, pyritic sulfur, and alkalinity-producing materials). The regulatory authority may waive analysis for alkalinity-producing materials and pyritic sulfur if sufficient data exists to document that the data is not needed.

2.4.1.1.2 Monitoring During Mining and Reclamation

The current regulations at 30 CFR 780.21(i) and (j) and 816.41(c) and (e) require monitoring of the quantity and quality of surface water and groundwater. The monitoring plan must include parameters related to the suitability of the water for current and approved postmining land uses, the hydrologic reclamation plan, and (for surface water) the effluent limitations in 40 CFR Part 434. At a minimum, pH, total iron, total manganese, total dissolved solids (TDS) or specific conductance, water levels (for groundwater), flow (for surface water), and total suspended solids (TSS) (for surface water) must be monitored every three months until final bond release. The permittee must monitor point-source discharges in accordance with their National Pollutant Discharge Elimination System (NPDES) permit. The monitoring plan must identify the monitoring locations, but the regulations do not establish criteria for the number or placement of monitoring locations.

The regulatory authority may modify or waive the monitoring requirements at any time if the permittee demonstrates that monitoring, in whole or in part, is no longer necessary to achieve the purposes set forth in the monitoring plan; that the operation has minimized disturbance to the hydrologic balance within the permit area and prevented material damage to the hydrologic balance outside the permit area; that water quality and quantity are suitable to support the approved postmining land uses; and that the water rights of other users have been protected or adequately replaced. However, all effluent limitations and conditions must comply with NPDES permit issued for your operation by the appropriate authority under the Clean Water Act, 33 U.S.C. 1251 et seq. In addition, the regulatory authority may not modify or waive NPDES monitoring requirements.

2.4.1.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

The current regulations do not define material damage to the hydrologic balance outside the permit area. However, the preamble to existing 30 CFR 780.21(g) and 784.14(f) states that “because the gauges for measuring material damage may vary from area to area and from operation to operation,” OSMRE has not established fixed criteria, except for those established under §§ 816.42 and 817.42 related to

compliance with water quality standards and effluent limitations (48 FR 43973, Sept. 26, 1983). OSMRE further noted in the preamble to the existing rules that each regulatory authority should establish criteria to measure material damage to the hydrologic balance for purposes of cumulative hydrologic impact assessments (48 FR 43973, Sept. 26, 1983).

2.4.1.1.4 Evaluation Thresholds

The current regulations contain no requirement for specific evaluation thresholds. However, permit applicants proposing to conduct surface or underground coal mining are required under § 780.21(h) or § 784.14(g) respectively, to provide a plan of measures the applicant would take to avoid adverse potential adverse hydrologic consequences, including preventative and remedial measures. Under 30 CFR 816.41(c)(2) and (e)(2) and 817.41(c)(2) and (e)(2), if monitoring results demonstrate noncompliance with permit conditions or federal, state, or tribal water quality laws and regulations, the permittee must promptly notify the regulatory authority. The applicant must then take all possible steps to minimize any adverse impact to the environment or public health and safety, and must immediately implement measures necessary to comply with permit condition (30 CFR 773.17(e)).

2.4.1.2 Activities in or Near Streams

2.4.1.2.1 Stream Definitions

The current regulatory definitions of perennial, intermittent, and ephemeral streams utilize hydrologic characteristics and watershed size to define these waters (30 CFR 701.5). The current definitions do not include biological or chemical characteristics.

- Under the current regulations, a perennial stream is a stream or part of a stream that flows continuously during all of the calendar year because of groundwater discharge or surface runoff.
- An intermittent stream is (1) a stream or reach of a stream that drains a watershed of at least one square mile, or (2) a stream or reach of a stream that is below the local water table for at least some part of the year, and obtains flow from both surface runoff and groundwater discharge.
- An ephemeral stream is a stream that flows only in direct response to precipitation in the immediate watershed or in response to the melting of a cover of snow and ice, and which has a channel bottom that is always above the local water table.

The definition in the second bullet has sometimes been incorrectly interpreted as if the “or” was an “and;” i.e., the one-square-mile criterion has sometimes been applied as a threshold for all intermittent streams, when, in fact, a stream in a smaller watershed that meets the second criterion is an intermittent stream regardless of the size of its watershed.

2.4.1.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

The 1983 SBZ rule, 30 CFR 816.57, which is now back in effect after the court vacated the 2008 rule,²¹ provides that mining activities may not disturb land within 100 feet of a perennial or an intermittent

²¹ See 79 FR 76227-76233 (Dec. 22, 2014).

stream unless the regulatory authority specifically authorizes activities closer to, or through, such a stream. The regulatory authority may authorize such activities only after finding that the proposed activities would not cause or contribute to a violation of applicable federal or state water quality standards under the Clean Water Act and would not adversely affect the water quantity and quality or other environmental resources of the stream.

The 1983 SBZ rule does not specifically mention placement of excess spoil and coal mine waste in or within 100 feet of streams, but OSMRE and most state regulatory authorities generally have applied the 1983 SBZ rule in a manner that allows the construction of excess spoil fills, refuse piles, slurry impoundments, and sedimentation ponds in all types of streams and their buffer zones.

The existing regulations at 30 CFR 816.71 through 816.74 require that excess spoil fills be constructed by controlled placement of the excess spoil in lifts no greater than four feet thick, except that durable rock fills may be constructed by end-dumping, which is intended to result in the formation of underdrains by gravity segregation.

In general, only surface coal mining operations in steep-slope terrain generate excess spoil. Although not expressly required by regulation, most states with mining operations in steep-slope terrain have adopted policies intended to minimize the generation of excess spoil and thus reduce the need for (and size of) excess spoil fills, which in turn would reduce the length of stream covered by those fills. In addition, the agencies administering the Clean Water Act have implemented policies that have sharply reduced both the number of excess spoil fills and the length of stream covered by those fills. Furthermore, the regulations in 40 CFR Part 230 for implementation of section 404(b)(1) of the Clean Water Act require an analysis of all practicable alternatives to placement of fill material in waters of the United States, which would include most streams. Under those regulations, the applicant must select the alternative with the least adverse effect on the aquatic ecosystem and mitigate any remaining adverse impacts on the aquatic environment.

2.4.1.2.3 Mining Through Streams

The 1983 version of the stream-channel diversion rules at 30 CFR 816.43 is now back in effect following the court decision vacating the 2008 SBZ rule. Under 30 CFR 816.43(b)(1), the regulatory authority may approve diversion of perennial or intermittent streams within the permit area only after making the finding related to stream buffer zones in 30 CFR 816.57 that the diversion would not adversely affect the water quantity and quality and related environmental resources of the stream. Under 30 CFR 816.43(a), the applicant must design the diversion to minimize adverse impacts to the hydrologic balance within the permit and adjacent areas, prevent material damage to the hydrologic balance outside the permit area, and to assure the safety of the public. In addition, the applicant must design, locate, construct, maintain, and use the diversion to prevent, to the extent possible using the best technology currently available, additional contributions of suspended solids to streamflow outside the permit area.

Under 30 CFR 816.43(b)(4), both the design and construction of stream-channel diversions for perennial and intermittent streams must be certified by a qualified registered professional engineer as meeting applicable performance standards and any design criteria established by the regulatory authority. Under 30 CFR 816.43(a)(3), the design for restored stream channels for perennial and intermittent streams (or permanent diversion channels for those streams) must restore or approximate the premining

characteristics of the original stream channel, including the natural riparian vegetation. Under 30 CFR 816.43(b)(2), the design capacity for both temporary and permanent stream-channel diversions must at least equal the capacity of the unmodified stream channel immediately upstream and downstream of the diversion.

2.4.1.3 AOC and AOC Variances

2.4.1.3.1 Surface Configuration

Under existing 30 CFR 780.18(b)(3), each permit application must include a plan for backfilling, soil stabilization, and compacting and grading. Contour maps or cross-sections must show the anticipated final surface configuration. The performance standards at 30 CFR 816.102, 816.104, 816.105, 816.106, and 816.107 require that disturbed areas be backfilled and regraded to closely resemble the premining surface configuration, with exceptions for thin and thick overburden situations, previously mined areas, and certain other circumstances. The regulations allow permanent impoundments, including final-cut impoundments, provided they do not otherwise create conflicts with achieving AOC and they meet the design, construction, maintenance, postmining land use, and other requirements in 30 CFR 800.40(c)(2), 816.49(b), and 816.133.

2.4.1.3.2 AOC Variances

The current regulations provide for the approval of permits for mountaintop removal mining operations, which are exempt from AOC restoration requirements if the postmining land use and postmining surface topography requirements of paragraphs (3) and (4) of section 515(c) of SMCRA are met. The regulations also provide for the approval of AOC variances for steep-slope mining operations under certain conditions.

As described in 30 CFR 785.14(b), mountaintop removal mining operations are surface mining activities in which the mining operation removes an entire coal seam or seams running through the upper fraction of a mountain, ridge or hill by removing substantially all of the overburden off the bench and creating a level plateau or gently rolling contour, with no highwalls remaining. To obtain a permit for mountaintop removal mining operations, the proposed postmining land use must be a commercial, industrial, residential, agricultural, or public facility land use. The regulatory authority must find that the proposed postmining land use meets all requirements for alternative postmining land uses and is an equal or better economic or public use of the land compared to its premining use. The permit application must include specific plans for the proposed postmining land use, including assurance of investment in public facilities and documentation of private financial capability to ensure completion. The current regulations do not require implementation of the approved postmining land use prior to final bond release.

Under 30 CFR 824.11(a)(9), the regulatory authority may approve a permit for a mountaintop removal mining operation only upon a demonstration that there would be no damage to natural watercourses below the lowest coal seam to be mined. The regulations do not define the term “no damage.” Natural watercourses above the lowest coal seam mined are not protected from damage.

Under 30 CFR 824.11(a)(6), the permittee must leave an outcrop barrier in place at the toe of the lowest coal seam mined to ensure stability.

As defined in 30 CFR 701.5, steep slopes are any slope of more than 20° or a lesser slope designated by the regulatory authority after consideration of soil, climate, and other characteristics of a region or State. To obtain an AOC variance for steep-slope mining operations under 30 CFR 785.16, the proposed postmining land use must be of an industrial, commercial, residential, or public (including recreational facilities) nature. It also must meet the requirements in 30 CFR 816.133 for approval of alternative postmining land uses, which, among other things, means that the postmining use must be an equal or better economic or public use. The applicant must demonstrate that the proposed operation will improve the watershed when compared to either premining conditions or the conditions that would exist if the applicant restored the area to AOC after mining. The regulatory authority can concur that the operation would improve the watershed only if the operation would reduce the amount of total suspended solids or other pollutants discharged from the permit area to surface water or groundwater *or* reduce the flood hazards within the watershed by a reduction of the peak-flow discharge from precipitation events or thaws. In both cases, the total volume of flow from the proposed permit area during every season of the year must not vary in a way that adversely affects the ecology of any surface water or any existing or planned use of surface water or groundwater.

2.4.1.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement

2.4.1.4.1 Revegetation, Reforestation and Topsoil Management

Under 30 CFR 816.133(a), the permittee must restore all disturbed areas to a condition in which they are capable of supporting the uses that they were capable of supporting before any mining or higher or better uses.

Under 30 CFR 816.22, the permittee must salvage and redistribute all topsoil (the A and E soil horizons), unless alternative overburden materials are approved as being equal to or better than the existing available topsoil to support vegetation. The permittee also must demonstrate that the selected overburden materials they propose to use as topsoil substitutes and supplements are the best available material within the permit area. Paragraph (e) of 30 CFR 816.22 provides that the regulatory authority may require salvage and redistribution of the subsoil (the B and C soil horizons) or other underlying strata if it finds that those layers are necessary to comply with the revegetation performance standards in 30 CFR 816.111 through 816.116.

Paragraph (d) of 30 CFR 816.22 requires that the permittee redistribute topsoil and topsoil substitutes and supplements in a manner that achieves an approximately uniform, stable thickness when consistent with the approved postmining land use, contours, and surface water drainage systems. Soil thickness may vary to the extent necessary to meet the specific revegetation goals identified in the permit. The permittee also

must redistribute soil materials in a manner that prevents excess compaction and protects the materials from wind and water erosion before and after seeding and planting.

Under 30 CFR 816.116, revegetation success standards must be based upon the effectiveness of the vegetation to support the approved postmining land use, the extent of ground cover compared to the cover provided by the natural vegetation of the area, and the general requirements of 30 CFR 816.111. These general requirements provide that the vegetative cover must be diverse, effective, and permanent; comprised of species native to the area (with certain exceptions); at least equal in extent of cover to the

natural vegetation of the area; capable of stabilizing the soil surface from erosion; compatible with the postmining land use; have the same seasonal characteristics of growth as the original vegetation; be capable of self-regeneration and plant succession; be compatible with the plant and animal species of the area; and meet the requirements of state and federal laws and regulations concerning seeds, poisonous and noxious plants, and introduced species. The regulations provide limited exceptions to some of these requirements for agricultural crops and for plantings used to establish temporary cover.

2.4.1.4.2 Fish and Wildlife Protection and Enhancement

Under 30 CFR 780.16(a), each permit application must include fish and wildlife resource information for the proposed permit area and the adjacent area. The regulatory authority must determine the scope and level of detail of that information in consultation with state and federal agencies with responsibility for fish and wildlife. Paragraph (b) of 30 CFR 780.16 requires that the permit application also include a fish and wildlife protection and enhancement plan. Paragraph (c) of 30 CFR 780.16 requires that the regulatory authority provide the fish and wildlife resource information and the fish and wildlife protection and enhancement plan to the U.S. Fish and Wildlife Service (U.S. FWS) upon request.

Under the current regulations at 30 CFR 816.97(a), the mine operator must, to the extent possible using the best technology currently available (BTCA), minimize disturbances and adverse impacts to fish, wildlife, and related environmental values and enhance such resources where practicable.

Under 30 CFR 816.97(b), surface mining activities must not jeopardize the continued existence of endangered or threatened species or result in the destruction or adverse modification of designated critical habitats of such species in violation of the Endangered Species Act of 1973 (16 U.S.C. §§1531 to 1599). On September 24, 1996, the U.S. FWS issued a biological opinion (BO) and conference report to OSMRE (1996 BO) on the continuation and approval and conduct of surface coal mining and reclamation operations under state and federal regulatory programs adopted pursuant SMCRA where such operations may adversely affect species listed as threatened or endangered or designated critical habitat under the ESA. The 1996 BO explains how this requirement is designed to be implemented; it also provides an incidental take statement. The BO states that the regulatory authority must “implement and require compliance with any species-specific protective measures developed by the USFWS field office and the regulatory authority (with the involvement, as appropriate, of the permittee and OSM[RE]).” The BO further provides that, “[w]henver the regulatory authority decides not to implement one or more of the species-specific measures recommended by the USFWS, it must provide a written explanation to the USFWS. If the USFWS field office concurs with the regulatory authority's action, it would provide a concurrence letter as soon as possible. However, if the USFWS does not concur, the issue must be elevated through the chain of command of the regulatory authority, the USFWS, and (to the extent appropriate) OSM[RE] for resolution.” OSMRE and the U.S. FWS are coordinating on a MOU, the “ESA MOU” as it was referred to in the Executive Summary, to provide guidance to OSMRE, the U.S. FWS, and the regulatory authorities for demonstrating compliance with the terms and conditions of the Incidental Take Statement accompanying the 1996 biological opinion, which provides incidental take coverage for any take resulting from a proposed coal mining and reclamation operation. The ESA MOU, while still in development as of publication of this document, is part of the current regulatory environment because it adds no new requirements but instead merely provides guidance on existing ones.

Under 30 CFR 816.97(f), the permittee must avoid disturbances to wetlands and riparian vegetation along rivers and streams and bordering ponds and lakes; permittees must enhance where practicable, restore, or replace these resources. Likewise, surface mining activities must also avoid disturbances to habitats of unusually high value for fish and wildlife; these resources must be restored or enhanced where practicable.

Where fish and wildlife habitat is to be a postmining land use, 30 CFR 816.97(g) requires that the plant species to be used on reclaimed areas be selected based upon their proven nutritional value for fish or wildlife, their use as cover for fish or wildlife, and their ability to support and enhance fish or wildlife habitat after bond release. Paragraph (g) also requires that the plants selected be grouped and distributed in a manner that optimizes edge effect, cover, and other benefits to fish and wildlife.

The remaining paragraphs of 30 CFR 816.97 identify assorted other measures that permittees must implement during and after mining to minimize damage to fish and wildlife resources and their habitats or to ensure that all postmining land uses provide some fish and wildlife habitat or travel corridors to the extent practicable.

2.4.2 Alternative 2

Alternative 2 would result in the most significant changes to permit requirements and mining operations under SMCRA. Under Alternative 2, and all the Action Alternatives to follow, the proposed regulatory changes pertain to SMCRA only; implementation of any of the proposed Alternatives below would not affect compliance with any other federal, state or tribal laws.

Alternative 2 would change water monitoring and reporting requirements before and during mining operations and during reclamation. The regulatory authority would be required to coordinate with Clean Water Act implementing agencies to harmonize baseline data collection and monitoring requirements to the extent consistent with each agency's statutory authority and responsibilities. This Alternative would prohibit mining operations in or through perennial streams; it also would prohibit the placement of excess spoil in intermittent or perennial streams. In addition, it would prohibit all variances from AOC, which could require amendment of SMCRA. Proposed modifications under Alternative 2 are characterized below.

2.4.2.1 Protection of the Hydrologic Balance

2.4.2.1.1 Baseline Data Collection and Analysis

Alternative 2 differs from the No Action Alternative by establishing minimum sample collection intervals and by expanding the suite of parameters for which permittees must analyze all water samples. It also requires documentation of the biological condition of perennial and intermittent streams and the sediment load of the watershed, as well as precipitation.

Under this Alternative, the applicant must collect and submit the following baseline data during the application process:

- **Surface water:** The applicant must sample all potentially affected perennial and intermittent streams and a representative number of ephemeral streams within the proposed permit and adjacent areas a minimum of 12 times, with the samples evenly spaced over a 12-month period.

The applicant must collect samples for a suite of parameters to include temperature, bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, hot acidity, alkalinity, pH, selenium, specific conductance (or total dissolved solids (TDS)), total iron, total manganese, total suspended solids, arsenic, zinc, copper, cadmium, ammonia, nitrogen, and any additional parameters for which effluent limitations have been established under the NPDES in accordance with section 402 of the Clean Water Act. The applicant must collect continuous streamflow data and must collect stream sediment load data for each watershed.

- Groundwater: The applicant must measure groundwater levels continuously throughout baseline monitoring. The applicant must sample groundwater in perched and regional aquifers at the same frequency and for the same water-quality parameters as surface water (with the exception of total suspended solids). In addition, the baseline monitoring must include static water levels and other quantitative measurements of the aquifer capacity, discharge, and seasonal variation.
- Biological condition of streams: Requires use of comprehensive, multi-assemblage, scientifically defensible bioassessment protocols to document the biological condition of all perennial and intermittent streams and a representative number of ephemeral streams within the proposed permit and adjacent areas over multiple seasons (at a minimum spring, summer, and fall). Requires identification of aquatic biota to the genus taxonomic level.
- Precipitation: Requires use of continuous recording devices to record all precipitation and storm events, including precipitation amounts and the duration of each storm event, not just monthly totals.
- Form and function of streams: Requires documentation of the hydrologic form and ecological function of all perennial and intermittent streams in the proposed permit and adjacent areas.
- Geology: Requires collection of geologic data for the proposed permit and adjacent areas, with a focus on geological characteristics and properties that influence the hydrologic regime or could alter the availability or quality of groundwater and surface water.

2.4.2.1.2 Monitoring During Mining and Reclamation

Under Alternative 2, monitoring of surface water and groundwater during mining and reclamation must occur at least quarterly. The permittee must analyze each sample for the same parameters measured during baseline sampling. The permittee must monitor groundwater and surface water at locations designated in the permit.

The permittee must monitor the biological condition of streams annually until the data demonstrate full restoration of the premining biological condition of the stream.

The permittee must review all monitoring data annually to identify adverse trends and sample analyses that approach evaluation thresholds.

The permittee must collect on-site precipitation measurements using self-recording rain gages. The regulatory authority would review the monitoring data midway through the permit term and during permit renewal cycles. The surface water runoff control plan for designing and monitoring the control structures requires an inspection following a one-year or greater recurrence-interval storm event. The permittee must then submit to the regulatory authority within 48 hours a report prepared by a certified professional

engineer. The report must describe the performance of the hydraulic control structures, assess and describe any potential material damage to the hydrologic balance, and address any remedial measures taken.

Monitoring must continue until final bond release. The regulatory authority may not release the bond until monitoring results document that there are no adverse trends that could result in material damage to the hydrologic balance outside the permit area.

2.4.2.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Section 510(b)(3) of SMCRA provides that the regulatory authority may not approve a permit for surface coal mining operations unless it first finds that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area. However, neither SMCRA nor the current regulations implementing SMCRA define the term “material damage to the hydrologic balance outside the permit area.”

Alternative 2 would define material damage to the hydrologic balance outside the permit area as any adverse impact from surface or underground mining operations on the quantity or quality of surface water or groundwater, or on the biological condition of a perennial or intermittent stream, that would preclude attainment or continuance of any designated surface water use under sections 101(a) and 303(c) of the Clean Water Act or any existing or reasonably foreseeable use of surface water or groundwater outside the permit area.

This definition would also apply to adverse impacts from subsidence and to other adverse impacts resulting from underground mining operations (e.g., permanent dewatering of a stream by mining through a fracture zone) that result in material damage to the hydrologic balance. Thus, the definition would not be limited to the impacts from surface mining activities or the impacts of activities conducted on the surface of land (i.e., where surface facilities are located) in connection with an underground coal mine.

2.4.2.1.4 Evaluation Thresholds

Under Alternative 2, the regulatory authority must establish permit-specific or regional evaluation thresholds for key water-quality parameters based on baseline data and the cumulative hydrologic impact assessment (CHIA). These thresholds would define the point at which environmental degradation would become so significant that the permittee must take evaluation to prevent the operation from causing material damage to the hydrologic balance outside the permit area.

The permittee must conduct a water-quality trend analysis of the monitoring data on a quarterly basis. If the analysis of the monitoring data indicates that trends in values for any surface water or groundwater parameter or analyte have reached the evaluation threshold specified in the permit, the permittee must notify the regulatory authority and evaluate the conditions that caused the threshold parameter to be met or exceeded. If the permittee finds, and the regulatory authority agrees, that the increase was due to the permittee’s mining activity, the permittee must develop and implement corrective measures to prevent environmental degradation (i.e., material damage to the hydrologic balance outside the permit area as defined under Alternative 2). Evaluation plans are subject to regulatory authority approval.

The requirement to take evaluation would not apply if the permittee demonstrates, and the regulatory authority concurs in writing, that the adverse values or trends for the parameters of concern are not the result of the permittee's mining operation.

2.4.2.2 Activities in or Near Streams

2.4.2.2.1 Stream Definitions

Instead of using the definitions of streams in the current SMCRA regulations, Alternative 2 would use “waters of the United States” as defined and interpreted under 40 CFR section 230.3(s) and CWA section 404(b)(1). This Alternative would protect all waters defined as “waters of the United States”. The definition of an intermittent stream would no longer include the one-square-mile watershed criterion.

2.4.2.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

Alternative 2 would prohibit all mining activities in or within 100 feet of perennial streams. It would also prohibit the construction of excess spoil fills in or within 100 feet of intermittent streams. However, it would allow the construction of excess spoil fills in or within 100 feet of ephemeral streams, and the construction of coal mine waste disposal facilities in or within 100 feet of intermittent or ephemeral streams, provided the operation meets certain conditions. Furthermore, this Alternative would allow the regulatory authority to approve operations that propose to mine through intermittent or ephemeral streams, provided the operation meets certain conditions.

Under this Alternative, an applicant for a permit that proposes to conduct any other type of mining activities in or within 100 feet of an intermittent or ephemeral stream must demonstrate that the proposed activity will not cause material damage to the hydrologic balance outside the permit area. That is, the applicant must demonstrate that the proposed activity would not preclude attainment or maintenance of an existing or reasonably foreseeable designated use of the affected stream segment under section 101(a) or section 303(c) of the Clean Water Act after reclamation and that it will not result in conversion of an intermittent stream segment to an ephemeral stream segment. The applicant must demonstrate that the operation would not have more than a minimal adverse effect on the biological condition of the affected stream segment after reclamation.

Alternative 2 requires that applicants design proposed mining operations to minimize the amount of excess spoil generated. It also requires that the permittee design excess spoil fills and coal mine waste disposal facilities to minimize their footprints. Both requirements are intended to reduce the length of stream that the operation will cover.

Each applicant proposing to place excess spoil in or near an ephemeral stream or to place coal mine waste in or near an intermittent or ephemeral stream must identify and analyze a range of reasonable operational alternatives. The applicant must select the alternative that would have the least adverse impact of all reasonable operational alternatives on fish, wildlife, and related environmental values.

Alternative 2 would require development and implementation of fish and wildlife enhancement measures in compliance with any Clean Water Act mitigation plan as a condition of the SMCRA permit.

Under Alternative 2, the permittee must construct any excess spoil fills in lifts not to exceed four feet in thickness. The current regulation at 30 CFR 816.73 allowing construction of durable rock fills that rely upon end-dumping and the construction of underdrains by gravity segregation of the end-dumped material would be eliminated. This Alternative requires daily monitoring during excess spoil placement. It would revise the existing rules to require that the quarterly inspection reports filed with the regulatory authority include the daily monitoring logs.

Under Alternative 2, the regulatory authority would no longer allow construction of excess spoil fills and coal waste disposal facilities with flat decks on top. The final surface configuration must resemble the surrounding terrain.

Alternative 2 provides that, to the extent that stability considerations allow, the permittee must construct excess spoil fills with aquitards as a barrier to groundwater infiltration, and in a manner that facilitates stream construction. Placement of a layer of lower-permeability spoil or other material near the surface but below the root zone for trees and shrubs could provide the subsurface flow needed to restore flow in intermittent and ephemeral stream segments.

2.4.2.2.3 Mining Through Streams

Alternative 2 prohibits all mining activities in or within 100 feet of perennial streams. Mining through an intermittent stream would be allowed if the hydrologic form and ecological function of the stream can and will be restored. The regulatory authority would consider a stream to be restored in function when its postmining biological condition is comparable to its premining biological condition and in accordance with specific standards established by the Clean Water Act permitting authority. The regulatory authority could permit mining through an ephemeral stream only if the applicant could and would restore the hydrological form of the stream.

To obtain a permit to mine through or divert an intermittent stream, the applicant must demonstrate that the operational design would minimize the length of stream disturbed. The applicant also must demonstrate that the hydrologic form and ecological function of the stream segment can and would be fully restored. With respect to ephemeral streams, the applicant would only need to restore the hydrologic form of the stream segment. The bond posted for the permit must specifically include the cost of restoration of both the form and function of intermittent streams and the hydrologic form of ephemeral streams. Alternative 2 requires the use of natural-channel design techniques when constructing restored stream channels or permanent stream-channel diversions. The reclamation plan must provide for the establishment or preservation of a permanent streamside vegetative corridor,²² comprised of native non-

²² In responding to comments on the Proposed Rule, OSMRE has changed the term “riparian corridor” to “streamside vegetative corridor” to alleviate the concern that water-loving plants were required in the 100-foot corridor to either side of the stream even in conditions where water loving plants would not otherwise naturally occur. For the sake of clarity OSMRE has changed this term where used in the other alternatives as well as the preferred.

invasive species (or other native species for non-forested areas), at least 100 feet in width along both banks of the entire reach of restored or permanently diverted ephemeral or intermittent stream channels.

Alternative 2 would require the design and construction of all permanent stream-channel diversions, all temporary stream-channel diversions in use for two or more years, and all restored stream channels to adhere to natural-channel design techniques. Permanent stream-channel diversions and restored intermittent stream channels must approximate the premining characteristics of the original stream channel, including the natural riparian vegetation and the natural hydrological characteristics of the original stream. Finally, Alternative 2 would require that the hydraulic capacity of all temporary and permanent stream-channel diversions be at least equal to the hydraulic capacity of the unmodified stream channel immediately upstream of the diversion and no greater than the hydraulic capacity of the unmodified stream channel immediately downstream of the diversion.

2.4.2.3 AOC and AOC Variances

2.4.2.3.1 Surface Configuration

Alternative 2 would require the use of landforming principles, when consistent with stability and postmining land use considerations, to establish a postmining surface configuration within specific tolerances from the premining surface configuration. Landforming is a design and grading technique that attempts to replicate the appearance of the natural terrain and provide a cost-effective, attractive, and environmentally compatible way to construct slopes and other landforms that are stable and that blend in with the natural surroundings. Use of these principles would ensure restoration of dendritic ephemeral drainages and result in a more varied, natural-looking topography. Alternative 2 would require that the applicant use digital terrain modeling to document and restore the premining surface configuration. It also would require use of digital terrain modeling during backfilling and grading and upon completion of final grading to document restoration of the approved final surface configuration.

Under this Alternative, the regulatory authority would determine the allowable deviation in the elevation of the backfilled and graded area postmining in comparison to the premining elevation based on the lowest coal seam mined. The allowable deviation in the postmining elevation could be no more than ± 20 percent of the difference between the premining surface elevation and the premining bottom elevation of that lowest coal seam, with allowances for slope stability and minor shifts in the location of premining features. This tolerance would apply only to those portions of the mine site that are subject to the AOC restoration requirement; e.g., the tolerance would not apply to excess spoil fills or coal mine waste disposal facilities.

AOC restoration requirements for steep-slope mining permits would allow the placement of what would otherwise be excess spoil on the mined-out area to heights in excess of the premining elevation if safety and stability requirements were met, and if the final surface configuration would be compatible with the surrounding terrain and consistent with natural premining landforms. This exemption would allow the permittee to exceed premining elevations and otherwise applicable tolerances to achieve the desired topography and would minimize the need to place excess spoil in streams.

Compliance with the ± 20 percent tolerance is not practicable in contour mining on steep slopes (defined as slopes greater than 20 degrees) because of stability and equipment constraints. Therefore, the ± 20

percent tolerance requirement does not apply to that portion of a contour mine permit where steep-slope mining is conducted. The tolerance and digital terrain modeling requirements also would not apply to remining sites, permits 40 acres or smaller in size, or operations that qualify for the thin overburden standards of 30 CFR 816.104.

This Alternative would allow permanent impoundments, including final-cut impoundments, provided they would not otherwise create conflicts with achieving AOC and they met the approved postmining land use. This Alternative would encourage the construction of aquitards within the backfill to act as a barrier to groundwater infiltration and to facilitate stream construction. Placement of a layer of lower-permeability spoil or other material near the surface but below the root zone for trees and shrubs could provide the subsurface flow needed to restore flow in intermittent and ephemeral stream segments.

Alternative 2 would prohibit flat decks on excess spoil fills and coal waste disposal facilities.

2.4.2.3.2 AOC Exceptions

Alternative 2 would eliminate all exceptions from the requirement to return the mined area to its approximate original contour. Thus, Alternative 2 would preclude both mountaintop removal mining operations and AOC variances for steep-slope mining operations. Implementing this Alternative could require an amendment to SMCRA.

2.4.2.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement

2.4.2.4.1 Revegetation, Reforestation and Topsoil Management

Alternative 2 includes provisions similar to those of the No Action Alternative with respect to soil management and revegetation, but with a greater emphasis on restoration of the site's ability to support the uses it supported before any mining, regardless of the approved postmining land use. Alternative 2 also places greater emphasis on construction of a growing medium with an adequate root zone for deep-rooted species and on revegetation with native tree and plant species, especially reforestation of previously forested areas.

Like the No Action Alternative, Alternative 2 requires salvage and redistribution of all topsoil (the A and E soil horizons). However, it also requires salvage and redistribution of the B and C soil horizons (or other suitable overburden materials) to the extent necessary to achieve a growing medium with the optimal rooting depths required to restore premining land use capability or comply with revegetation requirements. Under the No Action Alternative, the regulatory authority has the discretion, but not necessarily the obligation, to require salvage and redistribution of the B and C soil horizons or other suitable overburden materials.

Alternative 2 allows use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil or both only if the applicant demonstrates that either (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed together with the substitute material. As in the No Action Alternative, the applicant also must demonstrate that the resulting soil medium will be more suitable than the existing topsoil and

subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. Alternative 2 differs slightly from the No Action Alternative in that the No Action Alternative allows the use of topsoil substitutes or supplements when the resulting soil medium will be equally or more suitable than the existing topsoil to sustain vegetation, while Alternative 2 allows their use only when the resulting soil medium will be more suitable to sustain vegetation.

Under Alternative 2, the permittee must salvage and redistribute all organic matter (duff, other organic litter, and vegetative materials such as tree tops, small logs, and root balls) above the A soil horizon to increase the moisture retention capability of the soil and provide a source of the seeds, plant propagules, mycorrhizae, and other soil flora and fauna needed to support and enhance reestablishment of locally adapted and genetically diverse plant communities as well as to improve soil productivity. Alternative 2 prohibits burning or burying vegetation or other organic materials.

Under Alternative 2 the permittee must reforest lands that were previously forested, or that would naturally revert to forest under conditions of natural succession, in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible. Prime farmland is exempt from this requirement.

The permittee must revegetate the entire reclaimed area (other than water areas and impervious surfaces like roads and buildings) using native species to restore or reestablish the plant communities native to the area unless a conflicting postmining land use is actually implemented before the end of the revegetation responsibility period.

2.4.2.4.2 Fish and Wildlife Protection and Enhancement

Alternative 2 would require incorporation of any Clean Water Act mitigation plan for the operation as a condition of the SMCRA permit. Bond release under SMCRA could not occur until completion of successful mitigation as determined by the regulatory authority and the agency implementing the Clean Water Act. Implementing this Alternative could require an amendment to SMCRA.

Alternative 2 is similar to the No Action Alternative with respect to the protection of threatened and endangered species. However, Alternative 2 would codify the dispute resolution provisions of the 1996 biological opinion concerning protection of threatened and endangered species. It also would expressly require that the fish and wildlife protection and enhancement plan in the permit application include any species-specific protective measures developed in accordance with the Endangered Species Act and any biological opinions implementing that law.

Alternative 2 is similar to the No Action Alternative with respect to the fish and wildlife resource information and protection and enhancement plan required in the permit application. It also includes similar performance standards for protection of fish and wildlife. The principal difference is that Alternative 2 would require creation of a streamside vegetative corridor at least 100 feet in width, comprised of native non-invasive species, along the entire reach of any ephemeral, intermittent, or perennial streams that are restored or permanently diverted.

2.4.3 Alternative 3

Alternative 3 differs from Alternative 2 in that it would prohibit the placement of excess spoil or coal mine waste in perennial streams, but not in intermittent streams. Otherwise, Alternative 3 contains no categorical prohibition on mining activities in or near perennial, intermittent, or ephemeral streams.

2.4.3.1 Protection of the Hydrologic Balance

2.4.3.1.1 Baseline Data Collection and Analysis

Same as Alternative 2 (see Baseline Data Collection and Analysis section for Alternative 2), except that Alternative 3 would require discrete measurement of streamflow and groundwater levels whereas Alternative 2 would require continuous measurements.

2.4.3.1.2 Monitoring During Mining and Reclamation

Under Alternative 3, all monitoring requirements are the same as under Alternative 2 (see Monitoring During Mining and Reclamation section for Alternative 2), with the exception of precipitation monitoring. In that case, the engineer would be required to conduct an inspection of the surface water runoff control system after each storm event with a two-year or greater recurrence-interval, rather than after each storm event with a one-year or greater recurrence interval as under Alternative 2.

2.4.3.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Same as Alternative 2 (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 2).

2.4.3.1.4 Evaluation Thresholds

Same as Alternative 2 (see Evaluation Thresholds section for Alternative 2).

2.4.3.2 Activities in or Near Streams

2.4.3.2.1 Stream Definitions

Same as the No Action Alternative (see Stream Definitions section for Alternative 1).

2.4.3.2.2 Activities In or Near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

Same as Alternative 2 except that Alternative 3 would allow the placement of excess spoil in intermittent streams. Alternative 3 lacks Alternative 2's categorical prohibition on mining activities in or near perennial streams, but it would prohibit the construction of excess spoil fills and coal mine waste disposal facilities in perennial streams. Alternative 3 would require that the permittee establish permanent streamside vegetative corridors along the banks of restored or diverted perennial or intermittent stream channels, but, unlike Alternative 2, it would not require establishment of streamside vegetative corridors along the banks of restored or diverted ephemeral streams. Alternative 3 would require that the streamside vegetative corridor be at least 300 feet in width, compared to the minimum 100-foot width under Alternative 2. Unlike Alternative 2, Alternative 3 would not require that the SMCRA permit incorporate any mitigation plan under section 404 of the Clean Water Act. Alternative 3 would also allow

the permittee to construct excess spoil fills with flat decks, rather than requiring the use of landforming principles as under Alternative 2.

2.4.3.2.3 Mining Through Streams

Same as Alternative 2, except that Alternative 3 would not prohibit mining through perennial streams. Nor would it require the regulatory authority to make special findings for mining through ephemeral streams, although it would require the permittee to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation principles.

2.4.3.3 *AOC and AOC Variances*

2.4.3.3.1 Surface Configuration

Same as Alternative 2, except that Alternative 3 would not include any numerical limits or tolerances on differences between premining and postmining elevations. In addition, there is no requirement to use landforming principles on the surface of excess spoil fills.

2.4.3.3.2 AOC Variances

Alternative 3 would allow mountaintop removal mining operations and AOC variances for steep-slope mining operations under conditions generally similar to those in the No Action Alternative. However, Alternative 3 would impose additional requirements to better protect streams, aquatic ecology, and biological communities. In addition, it would require that the permittee post bond in an amount sufficient to return the site to AOC if the permittee has not implemented the approved postmining land use before expiration of the revegetation responsibility period.

For approval of mountaintop removal mining operations, Alternative 3 would require the permit applicant to demonstrate that:

No damage would result to natural watercourses within the proposed permit and adjacent areas;

- There would be no adverse changes in parameters of concern in discharges to surface water and groundwater;
- No change would occur in the size or frequency of peak flows as compared to the peak flows that would occur if the permittee mined the site and restored it to AOC; and that
- The total volume of flow during any season of the year would not vary; i.e., there would be no change in the seasonal flow regime and no increase in potential damage from flooding.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application, unless reforestation would be inconsistent with the postmining land use.

Finally, the permittee must install drains through the outcrop barrier to prevent saturation of the backfill.

For approval of steep-slope variances, Alternative 3 would require permit applicants to demonstrate each of the following:

- The operation, including any fish and wildlife enhancement measures, will result in fewer adverse impacts to the aquatic ecology of the cumulative impact area than would occur if the site were mined and restored to AOC;
- Surface-water flow in the watershed would be improved over both premining conditions and conditions that would exist if the area were mined and restored to AOC;
- The variance would not result in construction of an excess spoil fill in an intermittent or perennial stream; and
- Any deviations from the premining surface configuration are necessary and appropriate to achieve the postmining land use.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application or would revert to forest under natural succession. This requirement would not apply to permanent impoundments, roads, and other impervious surfaces to be retained following mining and reclamation or to those portions of the permit area covered by the variance.

2.4.3.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement

2.4.3.4.1 Revegetation, Reforestation and Topsoil Management

Alternative 3 has the same requirements for soil management and revegetation as Alternative 2, except that Alternative 3 requires salvage and redistribution of all organic matter (duff, other organic litter, and vegetative materials such as treetops, small logs, and root balls) from native species in accordance with an approved plan developed by a qualified ecologist or similar expert. The plan would specify the amount of organic materials the permittee must retain and redistribute to promote reestablishment of native vegetation and soil flora and fauna. Alternative 3 prohibits the burning of native vegetation and vegetative debris, but, unlike Alternative 2, it would allow the permittee to bury these materials.

2.4.3.4.2 Fish and Wildlife Protection and Enhancement

Alternative 3 is similar to the No Action Alternative with respect to the protection of threatened and endangered species. However, Alternative 3 would codify the dispute resolution provisions of the 1996 biological opinion concerning protection of threatened and endangered species. It also would expressly require that the fish and wildlife protection and enhancement plan in the permit application include any species-specific protection and enhancement plans developed in accordance with the Endangered Species Act and any biological opinions implementing that law.

Alternative 3 is similar to the No Action Alternative with respect to the fish and wildlife resource information and protection and enhancement plan required in the permit application. It also includes similar performance standards for protection of fish and wildlife. However, Alternative 3 would require that the permittee establish permanent streamside vegetative corridors at least 300 feet wide, comprised of native, non-invasive species, along the banks of restored or diverted perennial or intermittent stream channels. The permittee must use appropriate species of woody plants if the land would naturally revert to forest under natural succession.

In addition, fish and wildlife enhancement measures would be mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant communities, or filling of a segment of an intermittent stream. The enhancement measures must be commensurate with

the long-term adverse impact to the affected resources and they must be located in the same watershed as the proposed operation (or the nearest appropriate adjacent watershed if there are no opportunities for enhancement within the same watershed). The permit area would include these areas of enhancement.

Finally, Alternative 3 would allow the regulatory authority to prohibit mining of high-value habitats within the proposed permit area.

2.4.4 Alternative 4

Alternative 4 is similar to Alternative 2 except that it would have slightly more relaxed requirements for the collection of baseline data and monitoring, it would define streams based on different criteria than Alternative 2, and it would be more permissive than Alternative 2 in activities in or near streams, and mining through streams.

However, Alternative 4 would impose additional permitting requirements on operations involving factors that OSMRE has determined pose additional risk to the environment and warrant enhanced permitting requirements. These operations are as follows:

- Surface mining activities (including surface activities of underground mining) in pristine or unique hydrologic environments (any unique historic, hydrologic, geologic, or other natural areas, with a special designation status). Examples include state-designated High-Quality or Exceptional streams and any stream with an elevated Clean Water Act use designation. Other examples include mine sites situated within or adjacent to designated natural, wild, or wilderness areas; or local, state, or national parks;
- Operations in strata that have been known to produce acid or toxic mine drainage to ensure that mining and reclamation can be accomplished such that active or postmining water quality does not cause material damage to the hydrologic balance outside the permit area;
- Mining operations in watersheds with impaired waters or streams when the regulatory authority expects that the coal mining activity would exacerbate the conditions of the parameter(s) causing the impairment;
- Proposed operations on steep slopes (areas with slopes greater than 20 degrees on more than 10 percent of the proposed disturbed acreage); or
- Operations that propose to place excess spoil or coal mine waste in intermittent or perennial streams or their buffer zones.

When the proposed mining activity includes any of these listed operations in all or part of the permit area certain additional permitting requirements would apply over the entire permit area. The regulatory authority would identify the additional requirements²³ specific to a proposed operation. The regulatory authority could modify or expand these requirements as needed to address the needs of a particular operation. For example, under this Alternative the regulatory authority could require any or all of the following when enhanced permitting design was warranted:

²³ The additional permitting and implementation costs on the operator, and the additional permit review and inspection effort for the regulatory authority, associated with the listed examples were accounted for in the economic analysis of the FEIS and in the RIA.

- Additional detail in the analysis of the receiving watershed including the location and type of current and past disturbances in the watershed and other activities that may affect water quality;
- Measured stream flows and recorded storm hydrographs to develop premining hydrologic models;
- Modeling of seasonal groundwater fluctuations. Analysis of the correlation between groundwater fluctuations, precipitation events and groundwater quality;
- Establishment of clear environmental goals for the proposed operation. Use of background data and a detailed mine plan to demonstrate how environmental goals would be achieved;
- Development of reclamation goals specific to the proposed operation and the site conditions that would include planning for timely redistribution of topsoil and organics, contemporaneous plantings, and any related actions that would help reduce water quality degradation from the proposed operation;
- Additional detail in the mine plan to show changes in 6-month increments, specific to disturbed and reclaimed areas, roads, sediment controls, topsoil storage, fills, Best Management Practices (BMPs) etc.;
- Use of premining hydrologic models to assess flood potential and need for flood control, to project sediment loads and determine the design criteria for sediment control structures and need for temporary sediment controls; and/or
- Use of on-bench ponds, where possible, in conjunction with in-stream ponds below placement of fill. Design of on-bench ponds to accommodate both a full sediment load and maintenance of a low permanent pool to allow recirculation from in-stream ponds as needed.

The text below discusses Alternative 4 proposed requirements for each element. These requirements would apply to all operations, including those involving enhanced permitting (at a minimum).

2.4.4.1 Protection of the Hydrologic Balance

2.4.4.1.1 Baseline Data Collection and Analysis

Alternative 4 would require the same baseline data collection and analysis as Alternative 2 (see Baseline Data Collection and Analysis section for Alternative 2), except that Alternative 4 requires discrete, rather than continuous measurements of streamflow and groundwater levels.

2.4.4.1.2 Monitoring During Mining and Reclamation

Under Alternative 4, all monitoring requirements are the same as under Alternative 2 (see Monitoring During Mining and Reclamation section for Alternative 2), with the exception of precipitation monitoring. Under Alternative 4 the engineer would be required to conduct an inspection of the surface water runoff control system after each storm event with a two-year or greater recurrence-interval, rather than after each storm event with a one-year or greater recurrence interval as under Alternative 2.

2.4.4.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Same as Alternative 2 (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 2).

2.4.4.1.4 Evaluation Thresholds

Same as Alternative 2 (see Evaluation Thresholds section for Alternative 2).

2.4.4.2 *Activities in or Near Streams*

2.4.4.2.1 Stream Definitions

Alternative 4 defines perennial, intermittent, and ephemeral streams in terms of flow regime, channel and substrate characteristics, and the biological community, if any, found in the stream. The definition of an intermittent stream would no longer include the one-square-mile watershed criterion.

The definitions of each stream type would be as follows:

- Ephemeral stream means a stream or segment of a stream with the following characteristics:
 - A defined channel and an identifiable streambed are present. The channel contains an ordinary high-water mark and the channel bottom is always above both the water table associated with the regional aquifer and any perched water-bearing zones.
 - Water flows in the channel only in direct response to discrete precipitation events or in response to the melting of snow and ice. Groundwater discharges and discharges from perched water-bearing zones above the water table are not a source of streamflow.
 - An ephemeral stream typically lacks the hydrological, and physical characteristics commonly associated with the continuous or seasonal conveyance of water.

- Intermittent stream means a stream or segment of a stream with the following characteristics:
 - A defined channel and an identifiable streambed are present. The channel contains an ordinary high-water mark and the channel bottom is below the water table associated with the regional aquifer or a perched water-bearing zone for at least part of the year.
 - Water flows in the channel for only part of the year, with those flows originating from both surface runoff and either groundwater discharge or a discharge from a perched water-bearing zone above the water table.
 - The hydrological, and physical characteristics commonly associated with the seasonal conveyance of water are present, while the hydrological, and physical characteristics commonly associated with the continuous conveyance of water typically are absent.

- Perennial stream means a stream or segment of a stream with the following characteristics:
 - A defined channel and an identifiable streambed are present. The channel includes an ordinary high-water mark.
 - In a typical year, water flows continuously in the channel during the entire calendar year as a result of both surface runoff and groundwater discharge. The term does not include any stream or segment of a stream that meets the definition of an intermittent stream or an ephemeral stream, but it does include stream segments in which continuous flow ceases because of a protracted period of deficient precipitation or meltwater relative to historical norms, as determined under § 780.19(c) or § 784.19(c) of this chapter.
 - The hydrological, and physical characteristics commonly associated with the continuous conveyance of water are present.

2.4.4.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

Alternative 4 would be the same as Alternative 2, except that Alternative 4 lacks Alternative 2's categorical prohibition on mining activities in or near perennial streams, and it would not prohibit the placement of excess spoil in intermittent streams. Similar to Alternative 2, Alternative 4 would require the permittee to establish permanent streamside vegetative corridors along both banks of the entire reach of restored or diverted perennial or intermittent stream channels, but it would not require establishment of streamside vegetative corridors along the banks of restored or diverted ephemeral streams. Alternative 4 would require that the streamside vegetative corridor be at least 300 feet in width, compared to the minimum 100-foot width under Alternative 2. Unlike Alternative 2, Alternative 4 would not require that the SMCRA permit incorporate any mitigation plan under section 404 of the Clean Water Act.

2.4.4.2.3 Mining Through Streams

Same as Alternative 2, except as described in the Activities in or near Streams section for Alternative 4 above. Unlike Alternative 2, Alternative 4 would not prohibit mining through perennial streams. Nor would it require the regulatory authority to make special findings to approve mining through ephemeral streams. It would require restoration of the hydrologic function of ephemeral streams only to the extent required by geomorphic reclamation principles.

2.4.4.3 AOC and AOC Variances

2.4.4.3.1 Surface Configuration

Same as Alternative 2 (see Surface Configuration section for Alternative 2).

2.4.4.3.2 AOC Variances

Same as Alternative 3 (see AOC Variances section for Alternative 3) for all operations.

2.4.4.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement

2.4.4.4.1 Revegetation, Reforestation and Topsoil Management

Same as Alternative 2 (see Revegetation, Reforestation and Topsoil Management section for Alternative 2) for all operations.

2.4.4.4.2 Fish and Wildlife Protection and Enhancement

Same as Alternative 3 (see Fish and Wildlife Protection and Enhancement section for Alternative 3) for all operations.

2.4.5 Alternative 5

This Alternative applies to surface and underground coal mining operations that would generate or dispose of excess spoil or coal mine waste outside the mined-out area, including the storage of material resulting from the creation of the face-up area for an underground mine. It also applies to all operations that would dispose of coal mine waste in perennial or intermittent streams. This Alternative would apply to the entire permit area whenever any portion of the operation met the criteria set forth above. It would

also apply to contiguous permits if they were operated as a single operation with a permit that met the criteria.

However, this Alternative would not apply to any operation that would otherwise not meet the criteria set forth above. These operations would remain under the existing requirements of Alternative 1 (the No Action Alternative).

2.4.5.1 Protection of the Hydrologic Balance

2.4.5.1.1 Baseline Data Collection and Analysis

Same as Alternative 2 (see Baseline Data Collection and Analysis section for Alternative 2), with the exception that discrete measurements of streamflow and groundwater levels would be required as in Alternative 4.

2.4.5.1.2 Monitoring During Mining and Reclamation

Under Alternative 5, all monitoring requirements are the same as under Alternative 2 (see Monitoring During Mining and Reclamation section for Alternative 2), with the exception of precipitation monitoring. In that case, the engineer would be required to conduct an inspection of the surface water runoff control system after each storm event with a two-year or greater recurrence-interval, rather than after each storm event with a one-year or greater recurrence interval as under Alternative 2.

2.4.5.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Same as the No Action Alternative (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 1).

2.4.5.1.4 Evaluation Thresholds

Same as the No Action Alternative (see Evaluation Thresholds section for Alternative 1).

2.4.5.2 Activities in or Near Streams

2.4.5.2.1 Stream Definitions

Same as the No Action Alternative (see Stream Definitions section for Alternative 1).

2.4.5.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

Same as Alternative 2, except that Alternative 5 lacks Alternative 2's categorical prohibition on mining activities in or near perennial streams and it would not prohibit the placement of excess spoil in intermittent streams. Unlike Alternative 2, Alternative 5 would not require that the SMCRA permit incorporate any mitigation plan under section 404 of the Clean Water Act.

2.4.5.2.3 Mining Through Streams

Same as Alternative 2, except as described in the Activities in or near Streams section for Alternative 5 above. Unlike Alternative 2, Alternative 5 would not prohibit mining through perennial streams. Nor would it require special findings for mining through ephemeral streams, although it requires restoration of the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation.

2.4.5.3 *AOC and AOC Variances*

2.4.5.3.1 Surface Configuration

Same as Alternative 2 (see Surface Configuration section for Alternative 2), except that Alternative 5 does not require the use of landforming principles. Nor would it establish any numerical limits or tolerances with respect to the extent to which the postmining elevation may differ from the premining elevation. Alternative 5 would require the permittee to return as much spoil material to the mined-out area as possible to minimize the need for and creation of excess spoil fills.

2.4.5.3.2 AOC Variances

Same as Alternative 3 (see AOC Variances section for Alternative 3).

2.4.5.4 *Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement*

2.4.5.4.1 Revegetation, Reforestation and Topsoil Management

Same as Alternative 3 (see 2.4.3.4 - Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement for Alternative 3).

2.4.5.4.2 Fish and Wildlife Protection and Enhancement

Same as Alternative 3 (see 2.4.3.4 - Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement for Alternative 3).

2.4.6 *Alternative 6*

This Alternative is limited to mining activities conducted in intermittent or perennial streams or within 100 feet of those streams. It would prohibit all mining activities within those areas unless the regulatory authority makes specific findings concerning the environmental impacts of the proposed operation. Alternative 6 would be the same as Alternative 1 (the No Action Alternative) for mining activities on all other areas of the permit, with the exceptions of new requirements proposed for baseline data collection and monitoring as described below.

2.4.6.1 *Protection of the Hydrologic Balance*

2.4.6.1.1 Baseline Data Collection and Analysis

Same as Alternative 2 (see Baseline Data Collection and Analysis section for Alternative 2).

2.4.6.1.2 Monitoring During Mining and Reclamation

Same as Alternative 2 (see Monitoring During Mining and Reclamation section for Alternative 2).

2.4.6.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area (Alternative limited to the Enhanced Stream Buffer Zone)

Same as Alternative 1, the No Action Alternative (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 1).

2.4.6.1.4 Evaluation Thresholds Alternative limited to the Enhanced Stream Buffer Zone)

Same as Alternative 1, the No Action Alternative (see Evaluation Thresholds section for Alternative 1).

2.4.6.2 Activities in or Near Streams

2.4.6.2.1 Stream Definitions

Same as Alternative 1, the No Action Alternative (see Stream Definitions section for Alternative 1).

2.4.6.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

Alternative 6 would prohibit mining activities in or within 100 feet of perennial and intermittent streams unless the applicant demonstrates each of the following:

- The ecological function of the stream would be protected or restored;
- Placement of excess spoil or coal mine waste within that area would not result in the formation of toxic mine drainage as that term is defined at 30 CFR 701.5;
- Long-term adverse impacts, including impacts within the footprint of any fill, to the environmental resources of the stream would be offset through fish and wildlife enhancement measures in the same or an adjacent watershed;
- Mining activities to be conducted within 100 feet of the stream, but not in the stream itself, would not adversely affect the water quality or quantity or other environmental resources of the stream; and
- The revegetation plan requires establishment of a permanent streamside vegetative corridor at least 100 feet in width along the entire reach of any restored or permanently diverted perennial, intermittent, or ephemeral stream segment.

Alternative 6 would require the mining operation design to minimize the generation of excess spoil. It also requires the design of excess spoil fills and coal mine waste disposal facilities to minimize their footprints. The intent of both requirements is to reduce the length of stream that the operation would cover.

Each applicant proposing to place excess spoil or coal mine waste in an intermittent or perennial stream or within 100 feet of such a stream must identify and analyze a range of reasonable operational alternatives. The applicant must select the alternative that would have the least adverse impact of all reasonable operational alternatives on fish, wildlife, and related environmental values.

Under Alternative 6, the permittee must construct any excess spoil fills in lifts not to exceed four feet in thickness. Alternative 6 would eliminate the current regulation at 30 CFR 816.73, which allows construction of durable rock fills that rely upon end-dumping and the construction of underdrains by gravity segregation of the end-dumped material. This Alternative would require daily monitoring during

excess spoil placement. It would revise the existing rules to require that the quarterly inspection reports filed with the regulatory authority include the daily monitoring logs.

Alternative 6 would allow construction of excess spoil fills with flat decks on top, and includes no landforming requirements for excess spoil fills.

2.4.6.2.3 Mining Through Streams

Same as Alternative 2, except that Alternative 6 would not prohibit mining through perennial streams. Nor would it require the regulatory authority to make special findings for mining through ephemeral streams, although it would require the permittee to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation principles. In addition, it would require the permittee to establish a streamside vegetative corridor at least 100 feet in width along the entire reach of all streams, including ephemeral streams, within the permit area after completing mining.

2.4.6.3 AOC and AOC Variances

2.4.6.3.1 Surface Configuration

Same as Alternative 1, the No Action Alternative (see Surface Configuration section for Alternative 1).

2.4.6.3.2 AOC Variances

Same as Alternative 1, the No Action Alternative (see AOC Variances section for Alternative 1).

2.4.6.4 Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement

2.4.6.4.1 Revegetation, Reforestation and Topsoil Management

Same as Alternative 1, the No Action Alternative (see Revegetation, Reforestation and Topsoil Management section for Alternative 1).

2.4.6.4.2 Fish and Wildlife Protection and Enhancement

Same as Alternative 1, the No Action Alternative, with the exceptions discussed below.

Alternative 6 would require that the permittee establish permanent streamside vegetative corridors at least 100 feet wide, comprised of native, non-invasive species, along both banks of all perennial, intermittent, and ephemeral stream segments within the permit area after the completion of mining. The permittee must use appropriate species of woody plants to reforest the site if the site would naturally revert to forest under natural succession.

In addition, fish and wildlife enhancement measures are mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant communities, or filling of a segment of a perennial or intermittent stream. The enhancement measures must be commensurate with the long-term adverse impact to the affected resources and they must be located in the same watershed as the proposed operation (or the nearest appropriate adjacent watershed if there are no opportunities for enhancement within the same watershed). The areas upon which the enhancement measures are conducted must be included within the permit area.

Finally, Alternative 6 would allow the regulatory authority to prohibit mining of high-value habitats within the proposed permit area.

2.4.7 Alternative 7

Similar to Alternative 4, this Alternative would impose additional requirements (see 2.4.4 – Alternative 4) on the operations OSMRE has identified as warranting enhanced permitting. For these operations, Alternative 7 would also include new requirements based on the elements as discussed below.

All other operations (i.e. those that did not fall under the list of operations identified as warranting enhanced permitting) would continue to fall under the existing regulations of the No Action Alternative.

2.4.7.1 Protection of the Hydrologic Balance

2.4.7.1.1 Baseline Data Collection and Analysis

Same as Alternative 2 (see Baseline Data Collection and Analysis section for Alternative 2), but would apply only when the specified conditions exist that warrant enhanced permitting conditions. Otherwise baseline data collection and analysis requirements would be the same as the No Action Alternative (see Baseline Data Collection and Analysis section for Alternative 1).

2.4.7.1.2 Monitoring During Mining and Reclamation

Same as Alternative 2 (see Monitoring During Mining and Reclamation section for Alternative 2), but would apply only when the specified conditions exist that warrant enhanced permitting conditions. Otherwise baseline data collection and analysis requirements would be the same as the No Action Alternative (see Monitoring During Mining and Reclamation section for Alternative 1).

2.4.7.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Same as the No Action Alternative (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 1). OSMRE would expect each regulatory authority to establish criteria to measure material damage to the hydrologic balance for purposes of cumulative hydrologic impact assessments.

2.4.7.1.4 Evaluation Thresholds

In areas subject to enhanced permitting requirements, Alternative 7 would require the regulatory authority to develop evaluation thresholds. For these areas, the regulatory authority would be required to establish evaluation thresholds for critical parameters centered on baseline data, and associated conditions, and the analysis conducted for the Cumulative Hydrologic Impact Assessment (CHIA). The regulatory authority would define these thresholds based on the degree of environmental degradation that would require evaluation before the operation causes material damage to the hydrologic balance outside the permit area. The permittee would be required to conduct a water quality trend analysis of the monitoring data on a quarterly basis to determine environmental impacts from the site. If the analysis indicates that values or trends in values, for any surface water or groundwater parameter have reached the evaluation threshold specified in the permit, the permittee must notify the regulatory authority and evaluate the conditions that caused the threshold parameter to be met or exceeded. If the permittee finds, and the regulatory authority agrees, that the increase is due to the permittee's mining activity, then the operator must develop and

implement corrective measures to ensure that material damage to the hydrologic balance outside the permit area does not occur. The requirement to take evaluation would not apply if the permittee demonstrates, and the regulatory authority concurs in writing, that the adverse values or trends for the parameters of concern are not the result of the mining operation.

2.4.7.2 Activities in or Near Streams

2.4.7.2.1 Stream Definitions

Same as the No Action Alternative, except that Alternative 7 would remove the one-square-mile criterion in the existing definition of an intermittent stream.

Alternative 7 would require coordination with the Clean Water Act authority on defining stream flow condition. Both the permit applicant and the regulatory authority must seek input from the Clean Water Act Authority for all new applications, and incorporate where applicable all CWA authority concerns and criteria.

2.4.7.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

In areas warranting enhanced permitting requirements, Alternative 7 would place the same new limitations and requirements on activities in or near streams as would Alternative 2 (see Activities in or near Streams section for Alternative 2). For all other operations, the requirements of the No Action Alternative (see Activities in or near Streams section for Alternative 1) would continue to apply.

2.4.7.2.3 Mining Through Streams

In areas warranting enhanced permitting requirements, this Alternative would place the same limitations and requirements on mining through streams as Alternative 2 (see Mining Through Streams section for Alternative 2). In these areas, Alternative 7 would allow mining through intermittent streams upon demonstration that: (1) the reclamation plan would result in restoration of both the physical form and the hydrologic and ecological function; (2) the extent of the mine-through would be minimized, and; (3) the bond includes separate calculations of the cost of restoration of both form and function. Also, the permittee would be required to reconstruct ephemeral streams (but not restore their ecological function) and to establish a 100-foot streamside vegetative corridor along the entire reach (including ephemeral) of any restored stream.

In all other areas outside those warranting the enhanced permitting conditions, the current requirements of the No Action Alternative (see Mining Through Streams section for Alternative 1) would continue to apply.

2.4.7.3 AOC and AOC Variances

2.4.7.3.1 Surface Configuration

In areas warranting enhanced permitting requirements, Alternative 7 would impose the same requirements as Alternative 2 (see Surface Configuration section for Alternative 2). In all other areas, the existing requirements of the No Action Alternative (see Surface Configuration section for Alternative 1) would continue to apply.

2.4.7.3.2 AOC Variances

Alternative 7 proposes no changes to the current regulations governing mountaintop removal mining operations and AOC variances for steep-slope mining operations. Requirements would be the same as they are under the No Action Alternative (see AOC Variances section for Alternative 1).

2.4.7.4 *Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement*

2.4.7.4.1 Revegetation, Reforestation and Topsoil Management

In areas subject to the enhanced permitting requirements, requirements for revegetation, topsoil management and reforestation would be the same as under Alternative 2 (see Revegetation, Reforestation and Topsoil Management section for Alternative 2). In all other areas, the existing requirements of the No Action Alternative (see Revegetation, Reforestation and Topsoil Management section for Alternative 1) would continue to apply.

2.4.7.4.2 Fish and Wildlife Protection and Enhancement

Under Alternative 7, for areas subject to the enhanced permitting requirements, the regulatory authority may prohibit mining of areas where high value habitats are present. All other requirements for fish and wildlife protection and enhancement within these areas would be the same as Alternative 3 (see Fish and Wildlife Protection and Enhancement section for Alternative 3) except that under Alternative 7 the required streamside vegetative corridor width would be 100 feet versus 300 under Alternative 3.

2.4.8 *Alternative 8 (Preferred)*

This Alternative is primarily comprised of selected stream protection elements (as indicated below) of the other Action Alternatives analyzed.

2.4.8.1 *Protection of the Hydrologic Balance*

2.4.8.1.1 Baseline Data Collection and Analysis

- Surface water: The applicant must provide surface-water quantity descriptions for perennial and intermittent streams within the proposed permit and adjacent areas. The applicant must collect these surface water samples for 12 consecutive months at approximately equally spaced monthly intervals. Under the final version of the Preferred Alternative, OSMRE has revised the collection requirements (since initially proposed) to allow the applicant to modify the interval between samples to allow for adverse weather conditions that would make it unsafe to travel to sampling locations.
- Groundwater: The applicant must measure the levels of groundwater in perched, regional, and local aquifers within the proposed permit and adjacent areas at approximately equally spaced monthly intervals for a minimum of 12 consecutive months. As with surface waters under the final version of the Preferred Alternative, OSMRE has revised the requirements to allow the applicant to modify the interval between groundwater samples to allow for adverse weather conditions that would make it unsafe to travel to sampling locations. OSMRE has also revised this Alternative to allow the applicant, with regulatory authority approval, to measure groundwater levels on a quarterly basis instead of monthly, but this would extend the minimum data-gathering period to 24 consecutive months.

- Parameters: The applicant must analyze surface water and groundwater samples for the parameters set forth in Table 2.4-1 below. Under the final version of the Preferred Alternative, OSMRE deleted the six parameters (ammonia, arsenic, cadmium, copper, nitrogen, zinc) that OSMRE had added to the Proposed Rule at EPA’s request. Our research found that those parameters have little or no nexus to coal mining. Instead, they appear to relate to placement of coal combustion residues in mines, which is the subject of a separate rulemaking. However, in response to a comment, OSMRE added temperature as a mandatory baseline data collection and monitoring parameter for both surface water and groundwater. OSMRE also added a requirement for the applicant to collect baseline (and monitoring) data for all parameters of concern, as determined by the regulatory authority, regardless of whether the regulations specifically identify those parameters.

Table 2.4-1. Core Baseline Water-Quality Data Requirements for Surface Water and Groundwater Under the Preferred Alternative

Parameter	Surface Water	Groundwater
pH	Yes	Yes
Specific conductance corrected to 25°C (conductivity)	Yes	Yes
Total dissolved solids	Yes	Yes
Total suspended solids	Yes	No
Hot acidity	Yes	Yes
Total alkalinity	Yes	Yes
Major anions (dissolved), including, at a minimum, bicarbonate, sulfate, and chloride	Yes	Yes
Major anions (total), including, at a minimum, bicarbonate, sulfate, and chloride	Yes	No
Major cations (dissolved), including, at a minimum, calcium, magnesium, sodium, and potassium	Yes	Yes
Major cations (total), including, at a minimum, calcium, magnesium, sodium, and potassium	Yes	No
Cation-anion balance of dissolved major cations and dissolved major anions	Yes	Yes
Any cation or anion that constitutes a significant percentage of the total ionic charge balance, but that was not included in the analyses of major anions and major cations	Yes	Yes
Iron (dissolved)	Yes	Yes
Iron (total)	Yes	No
Manganese (dissolved)	Yes	Yes
Manganese (total)	Yes	No
Selenium (dissolved)	Yes	Yes

Parameter	Surface Water	Groundwater
Selenium (total)	Yes	No
Any other parameter identified in any applicable National Pollutant Discharge Elimination System permit, if known at the time of application for the SMCRA permit	Yes	No
Temperature	Yes	Yes

- **Form of streams:** Under the final version of the Preferred Alternative, the applicant must provide a detailed description of stream channel characteristics for perennial and intermittent streams located within the proposed permit area. General descriptions of the channels are required for ephemeral streams located within the proposed permit area. OSMRE decided not to apply this requirement to streams within adjacent areas (as previously proposed under this Alternative) because it is only within the permit area that channel characteristics are likely to be altered by mining.
- **Biological condition of streams:** Under the final version of the Preferred Alternative, OSMRE has removed the requirement for measurement of the biological condition of ephemeral streams. For perennial streams, this Alternative requires use of a scientifically defensible bioassessment protocol that will provide index values for both stream habitat and aquatic biota based on the reference condition. The protocol must be accepted by the agencies responsible for implementing the Clean Water Act and it must require identification of benthic macroinvertebrates to the genus level where possible, otherwise to the lowest practical taxonomic level. The index values must be capable of being used to assess the capability of the stream to support its designated uses under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c). The same requirement applies to intermittent streams if scientifically defensible protocols have been developed for those streams. If no such protocols exist, this Alternative would require the baseline data to include a description of the biology of each intermittent stream within the proposed permit area and each intermittent stream in the adjacent area that could be affected by the proposed operation. The sampling protocol must be accepted by an agency responsible for implementing the Clean Water Act and it must identify benthic macroinvertebrates to the genus level where possible, otherwise to the lowest practical taxonomic level.
- **Wetlands:** Under the final version of the Preferred Alternative, OSMRE has added a requirement that the permit applicant identify the extent and quality of wetlands adjoining all streams within the proposed permit area, and wetlands adjoining perennial and intermittent streams that occur in adjacent areas.
- **Precipitation:** The Preferred Alternative requires the applicant to use continuous recording devices to record all precipitation and storm events to provide baseline data that is adequate to generate and calibrate a hydrologic model of the site. Under the Preferred Alternative, OSMRE is not adopting the proposed requirement that the regulatory authority extend the baseline data collection period if the Palmer Drought Severity Index for that period exceeded certain values. Historical data indicate that there are few 12-month periods in which the selected values would not exist for at least part of the time. Instead, the Preferred Alternative would require that the applicant identify the Palmer Drought Severity Index values for the period during which baseline data were collected. The regulatory authority then would have the discretion to determine

whether and how long to extend the baseline data collection period under conditions of extreme drought or abnormally high precipitation.

- Geology: Requires collection of geologic data for the proposed permit and adjacent areas, with a focus on geological characteristics and properties that influence the hydrologic regime or that could alter the availability or quality of groundwater and surface water.

2.4.8.1.2 Monitoring During Mining and Reclamation

As with the Preferred Alternative proposed in the DEIS, the Preferred Alternative continues to require monitoring of surface water and groundwater during mining and reclamation at least quarterly for the same parameters measured during baseline sampling at locations designated in the permit.

As revised, the Preferred Alternative requires the permittee to monitor the biological condition of perennial streams and intermittent streams for which scientifically defensible bioassessment protocols exist annually until final bond release.

The Preferred Alternative now contains an additional requirement that the regulatory authority establish threshold values for water quality and quantity parameters that, when exceeded, as documented by monitoring, would result in an evaluation by the regulatory authority and the Clean Water Act authority to determine the reason for the exceedance. If the evaluation determines that discharges from the mining operation were responsible for the exceedance and that exceedances are likely to reoccur in the absence of corrective action, the regulatory authority must issue a permit revision order requiring that the permittee reassess the determination of the probable hydrologic consequences of the operation (the PHC determination) and the hydrologic reclamation plan and develop measures to prevent material damage to the hydrologic balance outside the permit area. These are the corrective action thresholds that were proposed for other Alternatives at the DEIS stage, but not for the Preferred Alternative, and that OSMRE is now referring to as “evaluation thresholds” in response to comments.

The Preferred Alternative continues to require that the permittee collect on-site precipitation measurements using self-recording rain gauges. Precipitation records must be adequate to generate and calibrate a hydrologic model of the site in the event the regulatory authority requires modeling.

Under the final Preferred Alternative, OSMRE has clarified that the regulatory authority must reevaluate the cumulative hydrologic impact assessment (CHIA) at intervals not to exceed three years. This evaluation is required to determine whether the CHIA remains accurate and whether the material damage and evaluation thresholds in the CHIA and the permit are adequate to ensure that material damage to the hydrologic balance outside the permit area will not occur. This evaluation must include a review of biological and water monitoring data from both this operation and all other coal mining operations within the cumulative impact area.

The Preferred Alternative continues to require an inspection of the surface water runoff-control system following storm events that recur on a two-year or greater interval. The Preferred Alternative also continues to require the operator to submit a report after such an event that describes the performance of the hydraulic control structures, assesses and describes any potential material damage to the hydrologic balance, and addresses any remedial measures taken. In the Preferred Alternative, OSMRE has revised the requirement for how soon the regulatory authority must receive the report, from the previously proposed 48 hours to 30 days.

The Preferred Alternative continues to require that monitoring continue until final bond release. The regulatory authority may not approve a bond release application if an analysis of water monitoring data and other relevant information indicate that the operation is causing material damage to the hydrologic balance outside the permit area or is likely to do so in the future. Under this Alternative, OSMRE added a requirement for restoration of the hydrologic function of mined-through perennial and intermittent streams before the regulatory authority may approve a Phase II bond release application. As proposed, the regulatory authority may not grant final Phase III bond release until the permittee demonstrates restoration of the ecological function of mined-through perennial and intermittent streams.

2.4.8.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

The Preferred Alternative in the DEIS defined material damage to the hydrologic balance outside the permit area as any adverse impact from surface or underground mining operations, including subsidence, on the quantity or quality of surface water or groundwater, or on the biological condition of a perennial or intermittent stream, that would preclude attainment or continuance of any designated surface water use under sections 101(a) and 303(c) of the Clean Water Act or any existing or reasonably foreseeable use of surface water or groundwater outside the permit area.

OSMRE has revised the Preferred Alternative definition of material damage to the hydrologic balance outside the permit area by removing all criteria and instead providing a list of factors that the regulatory authority, in consultation with the Clean Water Act authority, must consider in identifying material damage thresholds. Those factors include baseline data and reasonably anticipated or actual effects that the operation may have with respect to compliance with any applicable state or federal water quality standards and the Endangered Species Act of 1973, as well as the effects on premining uses of surface water and groundwater.

When selecting material damage thresholds, the revised Preferred Alternative requires that the regulatory authority, in consultation with the Clean Water Act authority as appropriate undertake a comprehensive evaluation that considers baseline data, the PHC determination, applicable water quality standards under the Clean Water Act, applicable state or tribal standards of surface water or groundwater, ambient water quality criteria developed under section 304(a) of the Clean Water Act, the biological requirements of species listed as threatened or endangered under the Endangered Species Act of 1973, and other pertinent information and considerations to identify the parameters for which thresholds are necessary. Thresholds may be either numeric or narrative, with the exception that, at the discretion of the Clean Water Act authority, numeric thresholds are required for relevant contaminants for which there are water quality criteria under the Clean Water Act. The intent of these changes is to ensure that the definition of this term does not foreclose the possibility of approving permits in watersheds with impaired streams, which could in turn drive mining into watersheds with higher quality streams.

2.4.8.1.4 Evaluation Thresholds

The Preferred Alternative within the DEIS did not include a requirement for specific evaluation thresholds. Instead, the Preferred Alternative relied on existing regulations that require permit applicants proposing to conduct surface or underground coal mining under § 780.21(h) or § 784.14(g) respectively, to provide a plan of measures the applicant would take to avoid adverse potential adverse hydrologic consequences, including preventative and remedial measures. The Preferred Alternative also relied on existing requirements at 30 CFR 816.41(c)(2) and (e)(2) and 817.41(c)(2) and (e)(2) that state that if

monitoring results demonstrate noncompliance with permit conditions or federal, state, or tribal water quality laws and regulations, the permittee must promptly notify the regulatory authority and then take all possible steps to minimize any adverse impact to the environment or public health and safety, and must immediately implement measures necessary to comply with permit conditions (30 CFR 773.17(e)).

In the Preferred Alternative OSMRE has added a requirement that the permit include evaluation thresholds for critical water quality and quantity parameters as determined by the regulatory authority. An exceedance of an evaluation threshold, as documented by monitoring, would result in an evaluation by the regulatory authority and the Clean Water Act authority to determine the reason for the exceedance. If the evaluation determines that discharges from the mining operation were responsible for the exceedance and that exceedances are likely to reoccur in the absence of corrective action, the regulatory authority must issue a permit revision order requiring that the permittee reassess the PHC determination and the hydrologic reclamation plan and develop measures to prevent material damage to the hydrologic balance outside the permit area.

2.4.8.2 Activities in or Near Streams

2.4.8.2.1 Stream Definitions

The Preferred Alternative as described in the DEIS redefines “perennial stream” in a manner that is substantively identical to the manner in which the U.S. Army Corps of Engineers (USACE) defines that term in Part F of the 2012 reissuance of the nationwide permits under section 404 of the Clean Water Act. See 77 FR 10184, 10288 (Feb. 21, 2012). In response to comments, OSMRE has revised the Preferred Alternative definitions of ephemeral, intermittent, and perennial streams to limit the scope of those terms to conveyances with channels that have a bed-and-bank configuration and an ordinary high water mark, consistent with the approach taken by the USACE in implementing section 404 of the Clean Water Act. This change means that our rules would no longer classify an ephemeral drainage that does not have a bed-and bank configuration and an ordinary high water mark as an ephemeral stream.

In the final version of the Preferred Alternative, OSMRE clarifies that a stream with a bed that is always above the water table and with flows arising solely from snowmelt and precipitation events would be classified as ephemeral. In the final version of the Preferred Alternative OSMRE has also replaced the term “waters of the United States” (WOTUS) with “perennial, intermittent, and ephemeral streams” or its equivalent in areas of the Proposed Rule that pertain only to streams. The change is non-substantive, but provides additional clarity. The final version of the Preferred Alternative includes the following stream definitions:

- Perennial stream means a stream or part of a stream that has flowing water year-round during a typical year. The water table is located above the streambed for most of the year. Groundwater is the primary source of water for streamflow. Runoff from rainfall events and snowmelt is a supplemental source of water for streamflow. Perennial streams include only those conveyances with channels that display both a bed-and-bank configuration and an ordinary high water mark.
- Intermittent stream means a stream or part of a stream that has flowing water during certain times of the year when groundwater provides water for streamflow. The water table is located above the streambed for only part of the year, which means that intermittent streams may not have flowing water during dry periods. Runoff from rainfall events and snowmelt is a supplemental

source of water for streamflow. Intermittent streams include only those conveyances with channels that display both a bed-and-bank configuration and an ordinary high water mark.

- Ephemeral stream means a stream or part of a stream that has flowing water only during, and for a short duration after, precipitation and snowmelt events in a typical year. Ephemeral streams include only those conveyances with channels that display both a bed-and-bank configuration and an ordinary high water mark, and that have streambeds located above the water table year-round. Groundwater is not a source of water for streamflow. Runoff from rainfall events and snowmelt is the primary source of water for streamflow.

2.4.8.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

In the DEIS, Alternative 8 (Preferred) would prohibit mining activities in or through perennial and intermittent streams or on the surface of land within 100 feet of those streams unless the applicant makes certain demonstrations and the regulatory authority makes the corresponding findings listed below, that the proposed activity would not—

- (1) Preclude attainment or maintenance of any existing, reasonably foreseeable, or designated use under section 101(a) or 303(c) of the Clean Water Act, of the affected stream segment following the completion of mining and reclamation;
- (2) Result in conversion of the stream segment from intermittent to ephemeral, from perennial to intermittent, or from perennial to ephemeral;
- (3) Cause or contribute to a violation of federal, state, or tribal water quality standards; or
- (4) Cause material damage to the hydrologic balance outside the permit area.

These requirements apply to all mining activities except the construction of excess spoil fills and coal mine waste disposal facilities that cover perennial or intermittent streams. (Excess spoil fills and coal mine waste disposal facilities that extend into the buffer zone, but not the stream itself, are not exempt.)

As revised, Alternative 8 (Preferred) would prohibit mining activities in or through perennial and intermittent streams or on the surface of land within 100 feet of those streams unless the applicant makes the demonstrations and the regulatory authority makes the corresponding findings in Table 2.4-2. Additional discussion of requirements regarding mining through streams is provided in the next section of text following the table.

Table 2.4-2. Required Demonstrations for Activities in or Within 100 Feet of a Perennial or Intermittent Stream

1	2	3	4
<p>When indicated in columns 2 through 4 of this table, your application must contain the demonstrations in column 1 if you propose to conduct surface mining activities in or through a perennial or intermittent stream or on the surface of land within 100 feet of a perennial or intermittent stream.</p>	<p>Any activity other than an activity listed in column 3 or column 4</p>	<p>Mining through or permanently diverting a stream</p>	<p>Construction of an excess spoil fill, coal mine waste refuse pile, or impounding structure that encroaches upon any part of a stream</p>
<p>(i) The proposed activity would not cause or contribute to a violation of applicable water quality standards adopted under the authority of section 303(c) of the Clean Water Act, 33 U.S.C. 1313(c), or other applicable state or tribal water quality standards.</p>	<p>Yes</p>	<p>Yes</p>	<p>Yes</p>
<p>(ii) The proposed activity would not cause material damage to the hydrologic balance outside the permit area.</p>	<p>Yes</p>	<p>Yes</p>	<p>Yes</p>
<p>(iii) The proposed activity would not result in conversion of the affected stream segment from perennial to ephemeral.</p>	<p>Yes</p>	<p>Yes</p>	<p>Not applicable</p>
<p>(iv) The proposed activity would not result in conversion of the affected stream segment from intermittent to ephemeral or from perennial to intermittent.</p>	<p>Yes</p>	<p>Yes, except as provided in paragraphs (e)(2) and (5) of this section</p>	<p>Not applicable</p>
<p>(v) There is no practicable alternative that would avoid mining through or diverting a perennial or intermittent stream.</p>	<p>Not applicable</p>	<p>Yes, except as provided in paragraph (e)(3) of this section</p>	<p>Yes</p>
<p>(vi) After evaluating all potential upland locations in the vicinity of the proposed operation, including abandoned mine lands and unreclaimed bond forfeiture sites, there is no practicable alternative that would avoid placement of excess spoil or coal mine waste in a perennial or intermittent stream.</p>	<p>Not applicable</p>	<p>Not applicable</p>	<p>Yes</p>

1	2	3	4
(vii) The proposed operation has been designed to minimize the extent to which perennial or intermittent streams will be mined through, diverted, or covered by an excess spoil fill, a coal mine waste refuse pile, or a coal mine waste impounding structure.	Not applicable	Yes, except as provided in paragraphs (e)(3) and (5) of this section	Yes
(viii) The stream restoration techniques in the proposed reclamation plan are adequate to ensure restoration or improvement of the form, hydrologic function (including flow regime), streamside vegetation, and ecological function of the stream after you have mined through it, as required by § 816.57 of this chapter.	Not applicable	Yes, except as provided in paragraph (e)(5) of this section	Not applicable
(ix) The proposed operation has been designed to minimize the amount of excess spoil or coal mine waste that the proposed operation will generate.	§ 780.35(b) of this part requires minimization of excess spoil	§ 780.35(b) of this part requires minimization of excess spoil	Yes
(x) To the extent possible using the best technology currently available, the proposed operation has been designed to minimize adverse impacts on fish, wildlife, and related environmental values.	Yes	Yes	Yes
(xi) The fish and wildlife enhancement plan prepared under § 780.16 of this part includes measures that would fully and permanently offset any long-term adverse impacts on fish, wildlife, and related environmental values within the footprint of each excess spoil fill, coal mine waste refuse pile, and coal mine waste impounding structure.	Not applicable	Not applicable	Yes
(xii) Each excess spoil fill, coal mine waste refuse pile, and coal mine waste impounding structure has been designed in a manner that will not result in the formation of toxic mine drainage.	Not applicable	Not applicable	Yes
(xiii) The revegetation plan prepared under § 780.12(g) of this part requires reforestation of each completed excess spoil fill if the land is forested at the time of application or if the land would revert to forest under conditions of natural succession.	Not applicable	Not applicable	Yes

Alternative 8 (Preferred) would require the applicant to demonstrate that (1) the operation has been designed to minimize, to the extent possible, the volume of excess spoil that the operation would generate and (2) the designed maximum cumulative volume of all proposed excess spoil fills is no larger than the capacity needed to accommodate the anticipated cumulative volume of excess spoil that the operation would generate. Both requirements are intended to reduce the length of stream that the operation will cover.

In addition, this Alternative would prohibit construction of durable rock fills, which use end-dumping as a means of spoil placement and rely upon gravity segregation to form underdrains.

Under Alternative 8 (Preferred), the permittee must construct excess spoil fills in lifts not to exceed four feet in thickness. The use of end-dumping for final placement would be prohibited and the current regulation at 30 CFR 816.73 allowing construction of durable rock fills that rely upon end-dumping and the construction of underdrains by gravity segregation of the end-dumped material would be eliminated.

This Alternative would require daily monitoring during excess spoil placement. It would revise the existing rules to require that the quarterly inspection reports filed with the regulatory authority include the daily monitoring logs.

Alternative 8 (Preferred) would prohibit the construction of excess spoil fills with flat decks on the top surface. The final surface configuration must resemble the surrounding terrain. Alternative 8 (Preferred) would provide that, to the extent that stability considerations allow, excess spoil fills must be constructed with sufficient barriers (e.g. aquitards) to groundwater infiltration to ensure restoration of a stream's water quality and quantity and aquatic life after the completion of mining. Placement of a layer of lower-permeability spoil or other material near the surface but below the root zone for trees and shrubs could provide the subsurface flow needed to restore flow in intermittent and ephemeral stream segments.

2.4.8.2.3 Mining through Streams

As revised, Alternative 8 (Preferred) would allow mining through any type of stream (perennial, intermittent, or ephemeral) segment under the conditions described in the Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities) section for Alternative 8 (Preferred) above. The permittee must restore the form, hydrological function, and the ecological function of all perennial and intermittent streams that are mined through.

The regulatory authority must establish standards for determining when the ecological function of a restored or permanently diverted perennial or intermittent stream has been restored. In establishing these standards, the regulatory authority must coordinate with the appropriate agencies responsible for administering the Clean Water Act, 33 U.S.C. 1251 et seq., to ensure compliance with all Clean Water Act requirements. The biological component of the standards must employ the best technology currently available. For perennial streams, the best technology currently available includes an assessment of the biological condition of the stream, as determined by an index of biological condition or other scientifically defensible bioassessment protocols consistent with § 780.19(c)(6)(vii). Standards established for perennial streams need not require that a reconstructed stream or stream-channel diversion have precisely the same biological condition or biota as the stream segment did before mining, but they must prohibit substantial replacement of pollution-sensitive species with pollution-tolerant species. In

addition, they must require that populations of organisms used to determine the biological condition of the reconstructed stream or stream-channel diversion be self-sustaining within that stream segment.

Standards established for intermittent streams must meet the same requirements whenever a scientifically defensible biological index and bioassessment protocol have been established for assessment of intermittent streams in the state or region in which the stream is located. For all other intermittent streams, the best technology currently available consists of the establishment of standards that rely upon restoration of the form, hydrologic function, and water quality of the stream and reestablishment of streamside vegetation as a surrogate for the biological condition of the stream. The regulatory authority must reevaluate the best technology currently available for intermittent streams at five-year intervals. Upon conclusion of that evaluation, the regulatory authority must make any appropriate adjustments before processing permit applications submitted after the conclusion of that evaluation.

All standards must ensure that the reconstructed stream or stream-channel diversion will not—

- (1) Preclude attainment of the designated uses of that stream segment under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), before mining, or, if there are no designated uses, the premining uses of that stream segment; or
- (2) Result in that stream segment not meeting the applicable anti-degradation requirements under section 303(c) of the Clean Water Act, 33 U.S.C. 1313(c), as adopted by a state or authorized tribe or as promulgated in a federal rulemaking under the Clean Water Act.

The postmining drainage pattern of perennial, intermittent, and ephemeral stream channels must be similar to the premining drainage pattern, unless the regulatory authority: approves a different pattern to ensure stability; prevent or minimize downcutting of reconstructed stream channels; promote enhancement of fish and wildlife habitat; accommodate any anticipated temporary or permanent increase in surface runoff as a result of mining and reclamation; accommodate the construction of excess spoil fills, coal mine waste refuse piles, or coal mine waste impounding structures; replace a stream that was channelized or otherwise severely altered prior to submittal of the permit application with a more natural and ecologically sound drainage pattern or stream-channel configuration; or reclaim a previously mined area.

Designs for permanent stream-channel diversions, temporary stream-channel diversions that would remain in use for three or more years, and stream channels to be restored after the completion of mining must adhere to design techniques that would restore or approximate the premining characteristics of the original stream channel. These original characteristics would include the natural riparian vegetation and the natural hydrological characteristics of the original stream necessary to promote the recovery and enhancement of the aquatic habitat and to minimize adverse alteration of stream channels on and off the site, including channel deepening or enlargement. The designed hydraulic capacity of all temporary and permanent stream-channel diversions must be at least equal to the hydraulic capacity of the unmodified stream channel immediately upstream of the diversion and no greater than the hydraulic capacity of the unmodified stream channel immediately downstream from the diversion.

The permittee must establish a 100-foot-wide or wider streamside vegetative corridor on each side of every perennial, intermittent, and ephemeral stream that is mined through and reconstructed. The corridor

must be comprised of native species, including species with riparian characteristics when appropriate. Native trees and shrubs must be planted in areas that are forested at the time of permit application or that would revert to forest under conditions of natural succession. This revegetation requirement would not apply to prime farmland historically used for cropland or to situations in which revegetation would be incompatible with an approved postmining land use that is implemented during the revegetation responsibility period before final bond release.

2.4.8.3 AOC and AOC Variances

2.4.8.3.1 Surface Configuration

Same as Alternative 1, the No Action Alternative, with minor revisions to the definition of AOC to clarify its meaning, reflect state program amendment actions, and address implementation issues. Under the Preferred Alternative AOC means that surface configuration achieved by backfilling and grading of the mined area so that the reclaimed area closely resembles the general surface configuration of the land within the permit area prior to any mining activities or related disturbances and blends into and complements the drainage pattern of the surrounding terrain. All highwalls and spoil piles must be eliminated to meet the terms of the definition, but that requirement does not prohibit the approval of terracing, the retention of access roads or the approval of permanent water impoundments. For purposes of this definition, the term “mined area” does not include excess spoil fills and coal refuse piles.

Alternative 8 (Preferred) also requires that the postmining drainage pattern of perennial, intermittent, and ephemeral stream channels be similar to the premining drainage pattern, unless the regulatory authority approves a different pattern to ensure stability; prevent or minimize downcutting of reconstructed stream channels; promote enhancement of fish and wildlife habitat; accommodate any anticipated temporary or permanent increase in surface runoff as a result of mining and reclamation; accommodate the construction of excess spoil fills, coal mine waste refuse piles, or coal mine waste impounding structures; replace a stream that was channelized or otherwise severely altered prior to submittal of the permit application with a more natural and ecologically sound drainage pattern or stream-channel configuration; or reclaim a previously mined area.

2.4.8.3.2 AOC Variances

Alternative 8 (Preferred) would allow mountaintop removal mining operations and AOC variances for steep-slope mining operations under conditions generally similar to those in Alternative 1, the No Action Alternative. However, Alternative 8 (Preferred) would impose additional requirements to better protect streams, aquatic ecology, and biological communities. In addition, it would require that the permit include a condition prohibiting any bond release before substantial implementation of the approved postmining land use.

For approval of mountaintop removal mining operations, Alternative 8 (Preferred) would require the permit applicant to demonstrate that no damage would result to natural watercourses within the proposed permit and adjacent areas. The applicant can meet this requirement by making all of the following demonstrations:

- There would be no adverse changes in parameters of concern in discharges to surface water and groundwater;

- Flood hazards within the watershed containing the proposed permit area will be diminished by reduction of the size or frequency of peak-flow discharges from precipitation events or thaws.; and
- The total volume of flow during any season of the year would not vary; i.e., the seasonal flow regime would not change and there would be no increase in potential damage from flooding sufficient to adversely affect any designated use of surface water outside the proposed permit area under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), or, if there are no designated uses, any premining use of surface water outside the proposed permit area. Variations must also not adversely affect any premining use of groundwater outside the proposed permit area.
- The proposed operation would not result in any greater adverse impact to the aquatic and terrestrial ecology of the proposed permit and adjacent area than would occur if the area to be mined was restored to its approximate original contour.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application, unless reforestation would be inconsistent with the postmining land use.

Finally, the permittee must install drains through the outcrop barrier to prevent saturation of the backfill.

For approval of steep-slope variances, Alternative 8 (Preferred) would, in addition to the requirements in the existing rules, require permit applicants to demonstrate that all of the following criteria are met:

- The operation, including any fish and wildlife enhancement measures, will result in fewer adverse impacts to the aquatic ecology of the cumulative impact area than would occur if the site were mined and restored to AOC;
- The variance would not result in construction of an excess spoil fill in an intermittent or perennial stream; and
- Any deviations from the premining surface configuration are necessary and appropriate to achieve the postmining land use.

In addition, the permittee must reforest the site with native species if the site was forested before submission of the permit application or would revert to forest under natural succession. This requirement would not apply to permanent impoundments, roads, and other impervious surfaces to be retained following mining and reclamation or to those portions of the permit area covered by the variance.

2.4.8.4 Revegetation, Soils, Fish and Wildlife Protection and Enhancement

2.4.8.4.1 Revegetation, Reforestation and Topsoil Management

Alternative 8 (Preferred) includes provisions similar to those of the No Action Alternative with respect to soil management and revegetation, but with a greater emphasis on restoration of the site's ability to support the uses it supported before any mining, regardless of the approved postmining land use. Alternative 8 (Preferred) also places greater emphasis on construction of a growing medium with an adequate root zone for deep-rooted species and on revegetation with native tree and plant species, especially reforestation of previously forested areas.

Like the No Action Alternative, Alternative 8 (Preferred) requires salvage and redistribution of all topsoil (the A and E soil horizons). However, it also requires salvage and redistribution of the B and C soil horizons (or other suitable overburden materials) to the extent necessary to achieve a growing medium with the optimal rooting depths required to restore premining land use capability or comply with revegetation requirements. Under the No Action Alternative, the regulatory authority has the discretion, but not necessarily the obligation, to require salvage and redistribution of the B and C soil horizons or other suitable overburden materials.

Alternative 8 (Preferred) allows use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil or both only if the applicant demonstrates that either (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed together with the substitute material. As in the No Action Alternative, the applicant also must demonstrate that the resulting soil medium will be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. Alternative 8 (Preferred) differs slightly from the No Action Alternative in that the No Action Alternative allows the use of topsoil substitutes or supplements when the resulting soil medium will be equally or more suitable than the existing topsoil to sustain vegetation, while Alternative 2 allows their use only when the resulting soil medium will be more suitable to sustain vegetation.

Under Alternative 8 (Preferred), the permittee must salvage and redistribute all organic matter contained in or above the A soil horizon. This includes duff, other organic litter, and vegetative materials such as tree tops, small logs, and root balls. Salvaging these materials would increase the moisture retention capability of the soil and provide a source of the seeds, plant propagules, mycorrhizae, and other soil flora and fauna needed to support and enhance reestablishment of locally adapted and genetically diverse plant communities as well as to improve soil productivity. Burning vegetation or other organic materials would be prohibited.

The final version of Alternative 8 (Preferred) provides limited exceptions to the requirement for redistribution of salvaged organic material. Those exceptions apply to land used for row crops or intensive hay production and to land upon which structures, water impoundments, or other impermeable surfaces are sited as part of the postmining land use. The final version of Alternative 8 (Preferred) also requires that permit applications identify areas with substantial populations of invasive or noxious non-native species. The final version prohibits salvage and redistribution of organic materials from those areas. Instead, the operator must bury these materials at a depth sufficient to prevent regeneration.

Under Alternative 8 (Preferred) the permittee must reforest lands that were previously forested, or that would naturally revert to forest under conditions of natural succession, in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible. Prime farmland historically used for cropland is exempt from this requirement.

The permittee must revegetate the entire reclaimed area (other than water areas and impervious surfaces like roads and buildings) using native species to restore or reestablish the plant communities native to the area unless a conflicting postmining land use is actually implemented before the end of the revegetation responsibility period.

2.4.8.4.2 Fish and Wildlife Protection and Enhancement

Alternative 8 (Preferred) is similar to the No Action Alternative with respect to the protection of threatened and endangered species. At the DEIS stage, this Alternative would have included dispute resolution procedures in the regulations, codifying these procedures. In response to agency and public comment OSMRE has removed this from the final version of the Preferred Alternative.²⁴ However, Alternative 8 (Preferred) would make it a requirement that the applicant demonstrate to the regulatory authority that the proposal is in compliance with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq. through one of the following mechanisms:

- (1) Providing documentation that the proposed surface coal mining and reclamation operations within or adjacent to the permit area would have no effect on species listed or proposed for listing as threatened or endangered under the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., habitat occupied by those species, or on designated or proposed critical habitat, under that law; or
- (2) Documenting compliance with a valid biological opinion that covers issuance of permits for surface coal mining operations and the conduct of those operations under the applicable regulatory program; or
- (3) Providing documentation that interagency consultation under section 7 of the Endangered Species Act of 1973, 16 U.S.C. 1536, has been completed for the proposed operation; or
- (4) Providing documentation that the proposed operation is covered under a permit issued pursuant to section 10 of the Endangered Species Act of 1973, 16 U.S.C. 1539.

Revised Alternative 8 (Preferred) requires that the applicant describe the steps that that applicant has taken or will take to comply with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., including any biological opinions developed under Section 7 of that law and any species-specific habitat conservation plans developed in accordance with Section 10 of that law. It also provides that the regulatory authority may not approve the permit application before there is a demonstration of compliance with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., through one of the mechanisms listed above.

Alternative 8 (Preferred) is similar to the No Action Alternative with respect to the fish and wildlife resource information and protection and enhancement plan required in the permit application. It also includes similar performance standards for protection of fish and wildlife. However, Alternative 8 (Preferred) requires that the permittee establish permanent streamside vegetative corridors at least 100 feet wide, comprised of native, non-invasive species, along the banks of restored or diverted ephemeral, intermittent or perennial stream channels. The permittee must use appropriate species of woody plants if

²⁴ OSMRE has undertaken formal Section 7 consultation with the U.S. FWS on the Preferred Alternative. The biological opinion, once issued, will be available on www.osmre.gov and on www.regulations.gov under the Stream Protection Rule docket. OSMRE is also coordinating with U.S. FWS to provide guidance to OSMRE, the U.S. FWS, and regulatory authorities for demonstrating compliance with the terms and conditions of the Incidental Take Statement that will accompany the biological opinion.

the land would naturally revert to forest under natural succession.

In addition, fish and wildlife enhancement measures would be mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant communities, or filling of a segment of a perennial or intermittent stream. The enhancement measures must be commensurate with the long-term adverse impact to the affected resources and they must be located in the same watershed as the proposed operation (or the nearest appropriate adjacent watershed if there are no opportunities for enhancement within the same watershed). Enhanced areas must be included within the permit area.

Finally, at the DEIS stage, the preferred Alternative 8 (Preferred) would have allowed the regulatory authority to prohibit mining of areas within the proposed permit area that are of such exceptional environmental value that any adverse mining-related impacts must be prohibited. In response to comments on the Proposed Rule, the final version of the Preferred Alternative does not include this authority. However, like the existing rules, this Alternative retains language intended to minimize adverse impacts to habitats of unusually high value to fish and wildlife.

2.4.9 Alternative 9 –2008 Stream Buffer Zone Rule

Alternative 9 is identical to the 2008 SBZ rule, which was vacated by court order on February 20, 2014. See 79 FR 76227-76233 (Dec. 22, 2014).

2.4.9.1 Protection of the Hydrologic Balance

2.4.9.1.1 Baseline Data Collection and Analysis

Same as Alternative 1, the No Action Alternative (see Baseline Data Collection and Analysis section for Alternative 1).

2.4.9.1.2 Monitoring During Mining and Reclamation

Same as Alternative 1, the No Action Alternative (see Monitoring During Mining and Reclamation section for Alternative 1).

2.4.9.1.3 Definition of Material Damage to the Hydrologic Balance Outside the Permit Area

Same as Alternative 1, the No Action Alternative (see Definition of Material Damage to the Hydrologic Balance Outside the Permit Area section for Alternative 1).

2.4.9.1.4 Evaluation Thresholds

Same as Alternative 1, the No Action Alternative (see Evaluation Thresholds section for Alternative 1).

2.4.9.2 Activities in or Near Streams

2.4.9.2.1 Stream Definitions

Same as Alternative 1, the No Action Alternative (see Stream Definitions section for Alternative 1).

2.4.9.2.2 Activities in or near Streams (Including Excess Spoil Fills and Coal Mine Waste Disposal Facilities)

The requirements in Alternative 9 differ depending upon whether the surface mining activities would occur in perennial or intermittent streams or whether they would be limited to the buffer zone for those streams (the surface of land within 100 feet, measured horizontally, of the stream). Under this Alternative, diversions of perennial and intermittent streams would be governed by a separate set of requirements. Also, as in Alternative 1, the No Action Alternative, coal preparation plants located outside the permit area of a mine would not be subject to these requirements.

Before approving any surface mining activities in a perennial or intermittent stream (other than a diversion of that stream), the regulatory authority must find in writing that avoiding disturbance of the stream is not reasonably possible. The permit also must include a condition requiring a demonstration of compliance with the Clean Water Act before the permittee may conduct any activities in a perennial or intermittent stream that require authorization or certification under the Clean Water Act.

Before approving any surface mining activities on the surface of land within 100 feet of a perennial or intermittent stream in situations where the activities would not take place in the stream segment itself, the SMCRA regulatory authority must find in writing that (1) avoiding disturbance of the surface of land within 100 feet of the stream either is not reasonably possible or is not necessary to meet the fish and wildlife and hydrologic balance protection requirements of the regulatory program and (2) that the measures proposed in the permit application constitute the best technology currently available to prevent the contribution of additional suspended solids to streamflow or runoff outside the permit area to the extent possible, and that the proposed measures would minimize disturbances and adverse impacts on fish, wildlife, and related environmental values to the extent possible. There would be no requirement for the regulatory authority to make a separate finding approving activities such as disposal of excess spoil, coal mine waste, or construction of stream crossings or sediment ponds within the buffer zone for these stream segments.

However, the operation must be designed to avoid placement of excess spoil or coal mine waste in or within 100 feet of a perennial or intermittent stream to the extent possible. If avoidance is not reasonably possible, then the applicant must identify a reasonable range of alternatives and select the alternative with the least overall adverse impact on fish, wildlife, and related environmental values, including adverse impacts on water quality and aquatic and terrestrial ecosystems. However, an alternative with a cost substantially greater than the costs normally associated with this type of project need not be considered.

In addition, for excess spoil, the applicant must provide a demonstration that (1) the operation has been designed to minimize, to the extent possible, the volume of excess spoil that the operation would generate and (2) the designed maximum cumulative volume of all proposed excess spoil fills is no larger than the capacity needed to accommodate the anticipated cumulative volume of excess spoil that the operation would generate.

Excess spoil fill construction requirements are similar to those in Alternative 1, the No Action Alternative. Durable rock fills may be constructed by end-dumping and formation of underdrains by gravity segregation. Flat decks on the top surface of excess spoil fills are allowed. Inspections conducted at least quarterly and during critical stages of fill construction must be certified by a registered

professional engineer. The permittee must submit to the regulatory authority an inspection report after every inspection specifying that the fill has been constructed and maintained as approved.

2.4.9.2.3 Mining through Streams

Under Alternative 9, the regulatory authority may approve the diversion of perennial or intermittent streams within the permit area if the diversion is located and designed to minimize adverse impacts on fish, wildlife, and related environmental values to the extent possible, using the best technology currently available.

Design and construction requirements for a permanent stream-channel diversion or a stream channel restored after the completion of mining are similar to those in Alternative 1, the No Action Alternative. The exception is that Alternative 9 would require the use of natural-channel design techniques to minimize adverse alteration of stream channels on and off the site, including channel deepening or enlargement, to the extent possible.

2.4.9.3 *AOC and AOC Variances*

Same as Alternative 1, the No Action Alternative (see 2.4.1.3 – AOC and AOC Variances for Alternative 1).

2.4.9.3.1 Surface Configuration

Same as Alternative 1, the No Action Alternative.

2.4.9.3.2 AOC Variances

Same as Alternative 1, the No Action Alternative.

2.4.9.4 *Revegetation, Soils, Fish and Wildlife Protection and Enhancement*

Same as Alternative 1, the No Action Alternative (see Fish and Wildlife Protection and Enhancement section for Alternative 1).

2.4.9.4.1 Revegetation, Reforestation and Topsoil Management

Same as Alternative 1, the No Action Alternative.

2.4.9.4.2 Fish and Wildlife Protection and Enhancement

Same as Alternative 1, the No Action Alternative.

2.5 Alternative Comparison Discussion

The following comparisons of the nine Alternatives represent the major similarities and differences between each of the Alternatives.

2.5.1 Protection of the Hydrologic Balance Functional Group

2.5.1.1 *Baseline Data Collection and Analysis*

2.5.1.1.1 Biological Conditions

- The No Action Alternative (also Alternative 9) -- No requirement for baseline biological assessment;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Baseline biological conditions assessment required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.1.2 Hydrologic Conditions

2.5.1.2.1 Water Quality

- The No Action Alternative (also Alternative 9) -- Limited water-quality sampling points and analytical constituents. At a minimum, the analytical suite for surface water and groundwater consists of the following: temperature, total suspended solids (only surface water), pH, specific conductance, total dissolved solids (TDS), total iron, and total manganese;
- Alternative 2 (also 3, 4, 5, and 6) -- Baseline water-quality data are required on all intermittent and perennial streams and a representative number of ephemeral streams. Twelve evenly spaced samples are required from a consecutive 12-month period. The analytical suite for surface water and groundwater consists of the following: temperature, total suspended solids (only surface water), aluminum, bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, (hot) acidity, alkalinity, pH, selenium, specific conductance, TDS, total iron, arsenic, zinc, copper, cadmium, ammonia, nitrogen, and total manganese;
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – Requires detailed baseline water-quality data for intermittent and perennial streams. Twelve evenly spaced samples are required from a consecutive 12-month period, or with regulatory authority approval on a quarterly basis for 24 consecutive months. The analytical suite for surface water must include both total and dissolved fractions of the parameters. The parameters for both ground and surface water include the following, at a minimum: temperature, total suspended solids (only surface water), bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, (hot) acidity, alkalinity, pH, selenium, specific conductance, TDS, total (surface water only) and dissolved iron, total (surface water only) and dissolved manganese. Does not specifically require analysis of ammonia, arsenic, cadmium, copper, nitrogen, aluminum or zinc.

2.5.1.3 Surface Water Flow and Groundwater Levels

- The No Action Alternative (also Alternatives 3, 5, 8 (Preferred) and 9) -- Discrete stream flow and groundwater levels measurements required. Twelve evenly spaced samples required over a consecutive 12-month period;
- Alternative 2 (also 4 and 6) -- Continuous stream flow and groundwater levels measurements required; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.1.4 Rainfall Measurements

- The No Action Alternative (also Alternative 9) -- No onsite rainfall measurements required;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Continuous on-site²⁵ rainfall measurement requirements; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.1.5 Stream Hydrologic Form and Ecological Function

- The No Action Alternative (also Alternative 9) -- No documentation required of stream hydrologic form and ecological function;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) --Documentation of stream hydrologic form and ecological function required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.2 Monitoring During Mining and Reclamation

2.5.2.1 Biological Monitoring

- The No Action Alternative (also Alternative 9) -- No requirements for monitoring of biological condition;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) --Annual monitoring of biological condition required; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.2.2 Water-Quality Monitoring

- The No Action Alternative (also Alternative 9) -- Monitoring for limited suite of analytes [temperature, total suspended solids (only surface water), pH, specific conductance, TDS, total iron, and total manganese] and the regulatory authority can release operator from monitoring before bond release;
- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Quarterly monitoring until final bond release ; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.2.3 Rainfall Measurements

- The No Action Alternative (also Alternative 9) -- No requirement for on-site rainfall measurements;

²⁵ In response to public comments the final version of Alternative 8 (Preferred) now allows for one single recording instrument to report precipitation data for multiple permits if the permits are close enough to each other.

- Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) -- Continuous on-site rainfall measurements required; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.2.4 Runoff Control Structures

- The No Action Alternative (also Alternative 9) -- Certification of drainage control structures not required;
- Alternative 2 (also 6) -- Inspect and certify surface runoff control structures by a professional engineer after every one-year return interval precipitation event;
- Alternative 3 (also 4, 5 and 8 (Preferred)) -- Inspect and certify surface runoff control structures by a professional engineer after every two-year return interval precipitation event; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.2.5 Regulatory Authority Hydrologic Data Review

- The No Action Alternative (also Alternative 9) -- No regularly scheduled hydrologic review required;
- Alternative 2 (also 3, 4, 5, and 6) -- Regulatory authority review of monitoring data at permit mid-term review and permit renewal;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative; and
- Alternative 8 (Preferred) -- Regulatory authority review of monitoring data at 3-year intervals.

2.5.2.6 Definition of Material Damage to the Hydrologic Balance

- The No Action Alternative (also Alternatives 5, 6, 7 and 9) -- No national definition for material damage to the hydrologic balance. Regulatory authority discretion to determine material damage to the hydrologic balance criteria on case-by-case basis; and
- Alternative 2 (also 3, and 4) -- The term would be defined as any quantifiable adverse impact on the quality or quantity of surface water or groundwater or on the biological condition of intermittent and perennial streams that would preclude attainment or continuance of any designated surface-water use under sections 101(a) and 303(c) of the Clean Water Act or any existing or reasonably foreseeable use of surface water or groundwater outside the permit area. Includes areas overlying the underground workings of underground mines.
- Alternative 8 (Preferred) -- Material damage to the hydrologic balance outside the permit area means an adverse impact, as determined in accordance with the rest of this definition, resulting from surface coal mining and reclamation operations, underground mining activities, or subsidence associated with underground mining activities, on the quality or quantity of surface water or groundwater, or on the biological condition of a perennial or intermittent stream. The determination of whether an adverse impact constitutes material damage to the hydrologic balance outside the permit area would be based on consideration of the baseline data and the following reasonably anticipated or actual effects of the operation:

- (1) Effects that cause or contribute to a violation of applicable state or tribal water quality standards or a state or federal water quality standard established for a surface water outside the permit area under section 101(a) or 303(c) of the Clean Water Act, 33 U.S.C. 1251(a) or 1313(c), or, for a surface water for which no water quality standard has been established, effects that cause or contribute to non-attainment of any premining use of surface water outside the permit area.
- (2) Effects that preclude a premining use of groundwater outside the permit area; or
- (3) Effects that result in a violation of the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq.

2.5.2.7 Evaluation Thresholds

- The No Action Alternative (also Alternatives 5, 6, and 9) -- No evaluation thresholds;
- Alternative 2 (also 3, and 4) -- Regulatory authority to develop evaluation thresholds that are less than the material damage to the hydrologic balance standards; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – Regulatory authority to develop evaluation thresholds for critical parameters in consultation with the Clean Water Act authority.

2.5.3 Activities In or Near Streams Functional Group

2.5.3.1 Stream Definitions

- The No Action Alternative (also Alternatives 3, 5, 6 and 9) -- No change in ephemeral, intermittent, and perennial stream definitions;
- Alternative 2 -- The definitions of intermittent, ephemeral, and perennial would be functionally replaced; all waterways defined as Waters of the U.S. under the CWA would be protected under this Alternative;
- Alternative 4 -- Streams defined based on flow and physical characteristics;
- Alternative 7 -- Existing definitions are not changed except that watershed size is not used as criteria to define intermittent streams; requires coordination with CWA authority; and
- Alternative 8 (Preferred) – Defines ephemeral, intermittent, and perennial streams in a way to limit the scope of those terms to conveyances with channels that have a bed-and-bank configuration and an ordinary high water mark, consistent with the approach taken by the USACE in implementing section 404 of the Clean Water Act. This change means that our rules will no longer classify a drainageway that has neither a bed-and bank configuration nor an ordinary high water mark as a stream. The existing rules classify all drainageways that do not qualify as perennial or intermittent streams as ephemeral streams. A stream with a bed that is always above the water table and with flows arising solely from snowmelt and precipitation events is ephemeral.

2.5.3.2 Activities in or near Streams, including Excess Spoil and Coal Refuse

- The No Action Alternative -- Prohibits mining activities through or within 100 feet of intermittent or perennial streams unless it can be demonstrated that the activity would not cause or contribute to the violation of applicable state or federal water quality standards and would not adversely affect the water quantity and quality or other environmental resources of the stream;
- Alternative 2 -- Prohibits surface mining activities in or within 100 feet of perennial streams. Prohibit surface mining activities in or within 100 feet of intermittent streams unless the applicant demonstrates that the activity would not: (1) preclude premining stream uses; (2) have more than a minimal adverse impact on the premining biological condition of the stream segment; or (3) cause material damage to the hydrologic balance outside the permit area. Requires a 100 foot forested streamside vegetative corridor for previously forested areas (or other native species for non-forested areas) adjacent to ephemeral or intermittent streams;
- Alternative 2 also prohibits placement of excess spoil within 100 feet of an intermittent stream (excess spoil placement is allowed in or near ephemeral streams). Under Alternative 2 disposal of coal mine waste in or within 100 feet of an intermittent or ephemeral stream is allowed;
- Alternative 3 (also 4 and 5) -- Prohibits surface mining activities in or within 100 feet of intermittent and perennial streams unless the applicant demonstrates that the activity would not: (1) preclude premining stream uses; (2) have more than a minimal adverse impact on the premining biological condition of the stream segment; or (3) cause material damage to the hydrologic balance outside the permit area;
- Alternative 6 --Prohibits mining activities within 100 feet of intermittent or perennial streams unless it can be demonstrated that: (1) the ecological function of the stream would be protected or restored; (2) placement of excess spoil fill or coal mine waste would not result in a discharge of “toxic mine drainage” and long-term adverse impacts to the environmental resources of the stream (within the footprint of the fill) would be offset in the same or adjacent watershed through fish and wildlife enhancement commensurate with the potential direct adverse impact to the stream; (3) other proposed mining activities within the stream buffer, but not within the stream itself would not adversely affect the water quantity and quality or other environmental resources of the stream; (4) a 100-foot streamside vegetative corridor would be required along the entire reach (including ephemeral streams) of any restored stream;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative;
- Alternative 8 (Preferred) – Prohibits mining activities within 100 feet of intermittent and perennial streams unless the applicant demonstrates that the proposed activity would meet the criteria listed previously in Table 2.4-2.
- Alternative 9 --Prohibits mining activities (other than construction of stream-channel diversions) within a perennial or intermittent stream unless the regulatory authority finds that avoiding disturbance of the stream is not reasonably possible.

Additionally,

- The No Action Alternative – Excess spoil minimization not expressly required by regulation;
- Alternative 2 (also 3, 4, 5, 6, 8 (Preferred) and 9) --The applicant must demonstrate that (1) the operation has been designed to minimize, to the extent possible, the volume of excess spoil that

the operation would generate and (2) the designed maximum cumulative volume of all proposed excess spoil fills would be no larger than the capacity needed to accommodate the anticipated cumulative volume of excess spoil that the operation would generate; and

- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- And also,
- The No Action Alternative (also 9) -- Durable rock fills may be constructed by end-dumping. Placement in streams is not expressly prohibited if all other applicable requirements are met;
- Alternative 2 (also 3, 4, 5, 6 and 8 (Preferred)) --The practice of “end-dumping” or creating a “durable rock fill” of fill material into streams is prohibited wherever a specific Alternative is applicable. In addition, daily monitoring and maintenance of daily log is required during fill construction; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.3.3 Mining Through Streams

- The No Action Alternative -- Allows diversion of intermittent and perennial streams upon regulatory authority finding that the diversion would not adversely affect the water quantity and quality and related environmental resources of the stream;
- Alternative 2 (also 4) -- No mining activities allowed in or within 100 feet of a perennial stream. Mining allowed through all intermittent streams upon demonstration by the applicant that the reclamation plan would achieve complete restoration of the hydrologic form and ecological function of all perennial and intermittent streams in accordance with standards established by CWA permitting authority and baseline conditions; additional performance bond required for stream restoration. All ephemeral streams must be restored in form;
- Alternative 3 (also 5, and 6) -- Mining allowed through all streams upon demonstration by the applicant that the reclamation plan would achieve complete restoration of the hydrologic form and ecological function of all perennial and intermittent streams in accordance with standards established by CWA permitting authority and baseline conditions; additional performance bond required for stream restoration. Ephemeral streams restored in form to the extent required by geomorphic reclamation;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative;
- Alternative 8 (Preferred) -- Requires restoration of both the hydrologic form and ecological function of intermittent and perennial streams that are mined through. Also requires establishment of postmining surface drainage pattern and stream-channel configuration that is similar to premining conditions, with certain exceptions;; and
- Alternative 9 -- Requires that restored stream channels for perennial and intermittent streams be designed and constructed using natural channel design techniques to restore or approximate the premining characteristics of the original stream channel.

2.5.4 AOC and AOC Variances Functional Group

2.5.4.1 AOC Variances

2.5.4.1.1 Mountaintop Removal Mining Operations

- The No Action Alternative (also 6, 7 and 9) – Achieve or support beneficial postmining land use; demonstrate equal or better land use. Assure investment in public facilities, and documentation of private financial capability to ensure completion. Requires demonstration that natural watercourses below lowest coal seam to be mined would not be damaged;
- Alternative 2 -- Prohibits all mountaintop removal mining operations (could require SMCRA amendment); and
- Alternative 3 (also 4, and 5) –Achieve or support beneficial postmining land use; demonstrate equal or better use. Requires implementation of the approved postmining land use prior to final bond release. Sufficient bond must be posted to ensure that, if the proposed postmining land use is not implemented, lands subject to the variance could be returned to approximate original contour. Requires assurance of investment in public facilities, and documentation of private financial capability to ensure completion. Requires demonstration that (1) no increase would occur in parameters of concern in discharges to surface or groundwater; (2) no change would occur in size or frequency of peak flow as compared to what would occur if the operator returned the site to approximate original contour; and (3) the total volume of flow during any season of the year would not vary (flooding potential cannot be altered). Requires demonstration that natural watercourses within the proposed permit and adjacent areas would not be damaged. If site was forested before permit application, then must return to forest and revegetate using native species except where inconsistent with the postmining land use.
- Alternative 8 (Preferred) – Same as Alternative 3 except that in the Preferred Alternative, the applicant is required to have substantially, and not fully, implemented the approved postmining land use prior to final bond release. And OSMRE has removed the proposed requirement that the applicant post a bond in amount sufficient to ensure that, if the proposed postmining land use is not implemented, lands subject to the variance could be returned to approximate original contour. All other demonstrations described above for Alternative 3 would still apply.

2.5.4.1.2 AOC Variances for Steep-Slope Operations

- The No Action Alternative (also Alternatives 6, 7 and 9) -- Achieve/support beneficial postmining land use; demonstrate equal or better land use. Demonstrate that surface water flow in the watershed would be improved over premining conditions *or* conditions what would have existed had the area been returned to AOC. Total suspended solids or pollutants to surface and ground water must be reduced in a manner that improves existing uses or ecology, *or* that reduces flood hazards due to reduced peak flow. Total flow volume in every season must not vary so as to adversely affect ecology of surface water or existing or planned use of surface or ground water;
- Alternative 2 -- Prohibits all variances from requirement to return the mined area to its AOC (could require SMCRA amendment); and
- Alternative 3 (also 4, and 5) -- Must demonstrate that surface water flow in the watershed would be improved over premining conditions *and* conditions that would have existed had the areas been returned to AOC. Must demonstrate that the AOC variance would result in fewer impacts to

aquatic ecology for the cumulative impact area than would occur if the site were returned to AOC. The AOC variance cannot result in any placement of excess spoil in an intermittent or perennial stream. The applicant must demonstrate that the proposed deviations from AOC are necessary and appropriate to achieve the postmining land use. The operator must post additional bond sufficient to ensure that, if the proposed postmining land use is not implemented, lands subject to the variance would be returned to AOC. If site was forested before permit application, then must return to forest and revegetate using native species except where inconsistent with the postmining land use.

- Alternative 8 (Preferred) – Same as Alternative 3 except that in the Preferred Alternative, OSMRE has removed the requirement for the operator to post additional bond sufficient to ensure that lands approved for a variance from AOC can be returned to AOC if the proposed postmining land use is not implemented.

2.5.5 Surface Configuration and Fills

2.5.5.1 Definition of AOC

- The No Action Alternative (also Alternatives 6, and 9) -- Definition of AOC would not change, includes backfilling and restoring disturbed areas to *closely resemble* premining topography;
- Alternative 2 (also 3, 4, and 5) -- Definition of AOC same as the No Action Alternative with the additional requirement that surface configuration achieved by backfilling and grading of the mined area be documented by landform measurements and analyses conducted before, during, and after mining and reclamation; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) –AOC means that surface configuration achieved by backfilling and grading of the mined area so that the reclaimed area closely resembles the general surface configuration of the land within the permit area prior to any mining activities or related disturbances and blends into and complements the drainage pattern of the surrounding terrain. All highwalls and spoil piles must be eliminated to meet the terms of the definition, but that requirement does not prohibit the approval of terracing, the retention of access roads or the approval of permanent water impoundments. For purposes of this definition, the term “mined area” does not include excess spoil fills and coal refuse piles.

2.5.5.2 Digital Terrain Analysis

- The No Action Alternative (also Alternatives 6, 8 (Preferred) and 9)-- Digital terrain analysis not required, requires mine plans to address postmining land use but introduces no new specific requirements for terrain analysis;
- Alternative 2 (also 3, 4, and 5)-- Requires use of digital terrain models during premining and backfilling to confirm premining topography, and adherence to the reclamation plan for backfilling except that remaining sites and contiguous permits 40 acres or less are exempt; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.5.3 Permanent Impoundments and Final Elevations

- The No Action Alternative (also Alternative 3, 6, 8 (Preferred) and 9) -- No limits placed on final elevations. Still allows permanent impoundments, including final-cut impoundments provided they do not conflict with achieving AOC and they meet the postmining land use requirements. No requirements to use landforming principles during reclamation. ;
- Alternative 2 (also 4) -- Allowable deviation in the elevation of the backfilled and graded area postmining in comparison to the premining elevation based on the lowest coal seam mined. The allowable deviation in the postmining elevation could be no more than ± 20 percent of the difference between the premining surface elevation and the premining bottom elevation of that lowest coal seam, with allowances for slope stability and minor shifts in the location of premining features. Allows exceedance of 20 percent tolerance to minimize excess spoil generation. In addition, tolerance requirement does not apply to that portion of the permit where steep-slope contour mining is conducted. Requires use of landforming principles (geomorphic reclamation). Still allows permanent impoundments, including final-cut impoundments provided they do not conflict with achieving AOC and they meet the postmining land use requirements;
- Alternative 5 – Same as the No Action Alternative except that it requires return of as much as spoil material to the mined area as possible (including transport of spoil above the original contour), and that it prohibits flat decks on excess spoil fills and coal refuse facilities; and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements (other than steep slope conditions) apply, otherwise same as the No Action Alternative. This Alternative does not require compliance with the ± 20 percent tolerance because stability and equipment constraints make it impracticable to impose this requirement on contour mining on steep slopes (defined as slopes greater than 20 degrees).

2.5.6 Revegetation, Topsoil, and Fish and Wildlife Functional Group

2.5.6.1 Revegetation

- The No Action Alternative (also Alternatives 6 and 9) -- Vegetative cover in accordance with the approved permit and reclamation plan, comprised of species native to the area, or of introduced species where desirable and necessary to achieve the approved postmining land use;
- Alternative 2 (also 3, 4, and 5) -- Requires that all reclaimed lands be revegetated with native species unless the postmining land use is actually implemented before the end of the revegetation responsibility period;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – Requires the use of native pollinator-friendly plants and planting arrangements that promote the establishment of pollinator-friendly habitat when practicable. The revegetation plan must create a diverse permanent vegetative cover that is consistent with native plant communities, and the species used must themselves be native with limited exceptions for temporary ground cover and certain postmining land uses.

2.5.6.2 Topsoil management

- The No Action Alternative (also Alternatives 6 and 9) -- Requires salvage and redistribution of all topsoil (A and E soil horizons) or the top 6 inches of soil material if less than that thickness of topsoil is present. Salvage and redistribution of the B and C soil horizons is at the discretion of the regulatory authority (except on prime farmland, where it is mandatory). Selected overburden materials may be substituted for, or used as a supplement to topsoil if the operator demonstrates to the regulatory authority that: (1) the resulting soil medium is equal to, or more suitable for sustaining vegetation than, the existing topsoil; and (2) the resulting soil medium is the best available in the permit area to support revegetation;
- Alternatives 2 (also 3, 4, 5 and 8 (Preferred)) -- Requires salvage and redistribution of all topsoil (A and E soil horizons). Also requires salvage and redistribution of the B and C soil horizons (or other suitable overburden materials) to the extent necessary to achieve a growing medium with the optimal rooting depths required to restore premining land use capability or comply with revegetation requirements. Allows use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil or both if the operator demonstrates that either (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed. The operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soils in a manner that limits compaction, and provides optimal rooting depth to support the approved plan for revegetation and reforestation; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.6.3 Salvage and Redistribution of Organic Materials

- The No Action Alternative (also Alternatives 6 and 9) -- Does not require salvage and redistribution or reuse of organic materials (duff, other organic litter, and vegetative materials such as tree tops, small logs and root balls) above the A soil horizon;
- Alternative 2 (also 4) -- Requires salvage and redistribution or reuse of **all** vegetative organic materials above the A soil horizon to promote reestablishment of locally adapted and genetically diverse native vegetation and soil flora and fauna and to enhance fish and wildlife habitats. Prohibits burning or burying of vegetation or other organic materials;
- Alternatives 3 (also 5) -- Requires salvage and redistribution of materials from native vegetation only (not from all vegetation) above the A soil horizon in accordance with an approved plan developed by a qualified ecologist or similar expert who would determine the amounts needed to promote reestablishment of native vegetation and soil flora and fauna. Prohibits burning of above-ground debris from native vegetation. Organic materials not needed for the approved plan may be used to construct fish and wildlife enhancement features;
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative; and

- Alternative 8 (Preferred) – Same as Alternative 3 except that it creates a limited exception to the requirement for salvage and redistribution or other use of organic matter. The Preferred Alternative also requires that organic matter from invasive species be buried rather than salvaged and redistributed.

2.5.6.4 Reforestation

- The No Action Alternative (also Alternatives 6 and 9) -- Lands that have returned to forest through natural succession classified as “undeveloped” are not required to be reforested;
- Alternative 2 (also 3, 4, 5 and 8 (Preferred)) -- Requires reforestation of previously forested areas and of lands that would revert to forest under conditions of natural succession (except for prime farmland historically used for cropland) in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible; and
- Alternative 7 -- Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.6.5 Fish and Wildlife Protection and Enhancement

2.5.6.5.1 Enhancement of Fish and Wildlife

- The No Action Alternative (also Alternative 9) -- Achieve enhancement of fish and wildlife resources where practicable. Surface mining activities must enhance where practicable, or restore, habitats of unusually high value for fish and wildlife;
- Alternative 2--Enhancement required if mitigation required pursuant to the CWA. CWA mitigation incorporated as a condition of the SMCRA permit. Bond release on the SMCRA permit would be conditioned on successful mitigation as determined by the regulatory authority and the agency implementing the CWA. This option may require an amendment of SMCRA;
- Alternative 3 (also 4, 5, and 6) -- Enhancement measures would be mandatory whenever the proposed operation would result in the long-term loss of native forest, loss of other native plant communities, or filling of a segment of a perennial or intermittent stream (but not ephemeral streams). Resource enhancement must be: (1) commensurate with long-term adverse impact to affected resources; and (2) be located in the same or nearest adjacent watershed as the proposed operation if there are no opportunities for enhancement within the same watershed, and be on permitted area. Mining of certain areas within the permit area with exceptional environmental value may be prohibited by regulatory authority;
- Alternative 8 (Preferred) --Same as Alternative 3 except that it does not include provision for prohibiting mining on areas of exceptional environmental value within the permit area; and
- Alternative 7 – Same as Alternative 3 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.5.6.5.2 Endangered and Threatened Species Protection

- The No Action Alternative (also Alternatives 6 and 9) -- No surface mining activity can be conducted which is likely to jeopardize the continued existence of endangered or threatened species listed by the Secretary or which is likely to result in the destruction or adverse modification of designated critical habitat of such species in violation of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 *et seq.*);
- Alternative 2 (also 3, 4, and 5) -- Same as Alternatives 1 and 6, in addition would (1) codify the dispute resolution provisions of the biological opinion concerning protection of threatened and endangered species and (2) add a provision to the regulations expressly requiring that the fish and wildlife protection and enhancement plan in the permit application include any species-specific protection and enhancement plans developed in accordance with the Endangered Species Act and any biological opinions implementing that law; and
- Alternative 7 – Same as Alternative 2 where enhanced permitting conditions apply, otherwise same as the No Action Alternative.
- Alternative 8 (Preferred) – The “adjacent area” includes those areas outside the proposed or actual permit area where surface coal mining operations or underground mining activities may affect a species listed or proposed for listing as endangered or threatened under that Act or designated or proposed critical habitat under that Act. Requires that the applicant document that the proposed operation would have no effect on species listed or proposed for listing as threatened or endangered or on designated or proposed critical habitat; or documentation of consultation on impacts and planned compliance with terms and conditions resulting from consultation. Does not codify the dispute resolution procedures but instead addresses them through the SPR biological opinion and the ESA MOU.

2.5.6.5.3 Streamside vegetative corridors

- The No Action Alternative (also Alternative 9) -- The operator must avoid disturbances to, enhance where practicable, restore, or replace, wetlands, and riparian vegetation along rivers and streams and bordering ponds and lakes;
- Alternative 2 (also 5, 6 and 8 (Preferred)) -- Requires creation of a 100-foot streamside vegetative corridor, comprised of native non-invasive species, to enhance restoration of the ecological function of ephemeral, intermittent, or perennial streams that are mined through. The streamside vegetative corridor must be established along the entire reach of any stream restored or permanently diverted;
- Alternative 3 (also 4) -- Requires establishment of a 300-foot streamside vegetative corridor comprised of native woody species along restored or permanently diverted intermittent and perennial streams, if the land would naturally revert to forest under natural succession (not required if this would conflict with the approved postmining land use); and
- Alternative 7 – Same as Alternative 2 when enhanced permitting requirements apply, otherwise same as the No Action Alternative.

2.6 Alternatives And Elements Considered But Dismissed

The discussion below summarizes Alternatives and elements that OSMRE considered but did not ultimately carry forward for analysis. As part of the development of this DEIS, OSMRE used a mine plan analysis of 13 model mines representative of all seven coal-producing regions to model the effects of the Alternatives and elements, and based on this analysis determined that the following Alternatives were not reasonable to carry forward. The text below describes the findings on two Alternatives that OSMRE considered but ultimately dismissed from further analysis. The text also describes an element that OSMRE considered including within the Alternatives. OSMRE modified this element from its original form and included it within the Alternatives carried forward; this section describes the reasons behind the modification.

2.6.1 Alternative - Absolutely prohibit all surface coal mining and reclamation activities, including fill placement and coal mine waste, in or within 100 feet of all streams, including ephemeral.

OSMRE preliminarily analyzed, but chose not to carry through, an Alternative that would prohibit all mining and reclamation activities within all streams (ephemeral, intermittent and perennial) and within a 100-foot buffer zone around those streams. The prohibited activities would include the disposal of excess spoil and coal mine waste as well mining through the stream.

According to the model mine analysis, implementation of this Alternative would significantly reduce production nationwide. In 2010, U.S. Energy Information Administration data showed that surface mining methods produced almost 69 percent of coal production in the United States. Table 2.6-1 shows, using modeled surface mines, the impact on coal resource recovery from surface mines under this Alternative. The analysis indicated that this Alternative would result in a net loss of access to 86 percent of mineable surface coal reserves (based on tonnage) in five regions.

The prohibition against mining activities within the buffer would leave large quantities of coal stranded, i.e. un-mineable. Coal within the buffer would not be accessible for mining, and the mining would leave some coal stranded in inaccessible pockets between intersecting buffer zones.

High stream densities would strand additional coal in other areas. Providing buffers around all streams in areas with high stream densities would create a situation where the remaining suitable area for mining would be too small to support an economic return. This is the case, for example, in extensive areas of the Colorado Plateau, Illinois Basin and Gulf Coast mining areas. In other areas the modeling showed that mineable area would still occur but the buffer would significantly reduce both mineable area and coal production. In the Northern Rocky Mountains and Great Plains regions, prohibition of mining activities in the buffer zone would leave only about 12 percent of the mineable reserves available for mining.

**Table 2.6-1.
 Comparison of Recoverable Coal Resources for the No Action Alternative and
 Alternative Prohibiting Mine Activity In or Within 100 Feet of all Streams**

Coal Region	Tons of Surface Mineable Coal (millions) ¹	Tons of Surface Mineable Coal (millions) ¹	Mineable Acreage	Mineable Acreage	Millions of Tons of Reserves Stranded	Percent Reserves Stranded (based on tons of mineable coal)
	No Action Alternative	Alternative w/ No Activity in Stream	No Action Alternative	Alternative w/ No Activity in Stream	Alternative w/ No Activity in Stream	Alternative w/ No Activity in Stream
Central Appalachia (Area)	37	19	1260	758	18	49%
Central Appalachia (Contour)	5	4.4	458	324	0.6	12%
Northern Appalachia	1.6	1.6	205	201	0	0%
Colorado Plateau	92.2	0	3311	3,311	92.2	100%
Gulf Coast	40.7	17	1988	804	23.7	58%
Illinois Basin	12	0	1067	1,067	12	100%
Northern Rocky Mountains and Great Plains	1,000	123	6049	710	877	88%
U.S. Total	1,188.5	165	14,338	7,175	1,023.5	

¹Assumes off-site excess spoil disposal is available if needed.

The analysis of impacts from this Alternative assumed that adequate disposal for excess spoil and coal waste material would be available and economically obtainable off-site. Without this assumption, the prohibition against disposal of excess spoil in or within 100 feet of streams would have created additional impacts on coal production. Coal outside the buffer would be un-mineable in situations where the site topography left insufficient space for placement of spoil other than within the buffer zone. For example, due to the topography of Central Appalachia the availability of area not within 100 feet of either side of a stream is extremely limited and would likely be insufficient to accept the amount of materials produced from mining outside the buffer.

The potential impact to underground mining operations in regions with steeper topography or higher stream densities from a prohibition on coal mine waste disposal in streams was not analyzed but would be considerable. Since disposal facilities typically place coal waste in stream buffer zones, in particular the fine coal waste disposed in slurry impoundments, the expected consequence would be a reduction in underground coal production in these regions.

The results of the preliminary analysis indicated that implementation of this Alternative would result in a significant reduction in coal recovery in five of the seven coal-producing regions. OSMRE determined that the impacts to coal production from this Alternative were so substantial that they ran counter to the mandate under SMCRA 102(f) to balance the need for energy with the protection of the environment. While the prohibition would provide maximum protection for streams, it would result in an unacceptable impact on the nation's energy production via coal. For this reason, OSMRE determined that this

Alternative did not fall within the range of reasonable Alternatives, and dismissed this Alternative from further consideration.

2.6.2 Alternative - Prohibit further mining activities in watersheds with 10 percent or more land area impacted by coal mining.

Under this Alternative, the ability to obtain a mining permit would be dependent on the extent of current and past mining within the watershed encompassing the proposed permit area. The regulatory authority would no longer issue permits for surface coal mining activities once 10 percent or more of the acreage within a Hydrologic Unit Code (HUC)-12²⁶ watershed had been impacted by coal mining either historic or ongoing (acreage on successfully reclaimed sites would also count). No exemptions would apply. OSMRE selected the 10 percent threshold based on a recent study that showed that biodiversity and water quality declined in West Virginia and adjacent states when coal mining related impacts to watersheds exceeded 10 percent by area (Palmer and Bernhardt, undated). The rationale for the selection of 10 percent was that this threshold might represent a point after which cumulative impacts would result in material damage to the hydrologic balance outside the permit area. Definition of actual thresholds for specific watersheds may require additional research; the actual threshold for material damage to the hydrologic balance in any particular watershed may in fact be higher or lower depending on a number of parameters. The 10 percent threshold selection allows for a preliminary discussion only.

To analyze the effect this Alternative would have on coal production OSMRE selected two areas of the country with the highest coal production in 2010, the Powder River Basin and three counties in Southern West Virginia. OSMRE utilized U.S. Geological Service (USGS) hydrographic data to map HUC-12 watershed boundaries in comparison to existing coal mine permit boundaries in the study areas. OSMRE then used the overlap of coal mine impacts to the watershed boundaries to allow the selection of those watersheds with greater than 10 percent of their acreage affected by mining.

The results showed that 15 of the 29 HUC-12 watersheds that contain coal resources in the Powder River Basin had greater than 10 percent of their acreage impacted by coal mining. This Alternative would therefore prohibit future mining in over 50 percent of the Powder River Basin watersheds. OSMRE used new and pending applications, as of 2011, for mining in the Powder River Basin to provide a basis for examining the effect the prohibition would have on the approval of future permits with the assumption that these 2011 applications were indicative of where future mining interest would focus.

OSMRE conducted a similar analysis of selected watersheds in southern West Virginia. OSMRE obtained data for watersheds encompassing Mingo, Logan, and Boone counties. These three counties combined produced 50 percent of West Virginia's coal in 2010. In that year, West Virginia produced 93 million tons of coal, which made up about nine percent of total U.S. production.

OSMRE overlaid USGS HUC-12 watershed boundary data over the boundaries of all mining activity (current and reclaimed, but excluding abandoned mine lands) within these counties. The analysis included impacts associated with underground mines also, but only the extent of surface disturbance

²⁶ A HUC-12 watershed map defines watershed boundaries at the sixth level of subdivision (the subwatershed) using a 12 digit code.

associated with the underground mine. The results of the analysis show that coal mining had affected less than 10 percent of the available acreage in only 18 of the 46 watersheds within these three counties. Therefore, if OSMRE implemented this Alternative future mining would be prohibited in 28 of 46 (over 60 percent) of the watersheds in these three counties. Additionally five of the 46 watersheds had coal mining impacts on over nine percent of their acreage; therefore limited acreage would remain before the prohibition would apply to these watersheds as well.

As described above, the analysis shows that this Alternative would significantly affect the ability to mine coal in three of the highest coal-producing counties in West Virginia and over half of currently mined watersheds in the Powder River Basin. It would greatly restrict the ability to mine coal in areas of the country that produce a sizeable percentage of the nation's coal. Additionally, this Alternative would impose these impacts on coal production based on an acreage threshold that has not been scientifically determined to be a suitable nationwide basis for determining the likelihood or extent of material damage to the hydrologic balance. For these reasons, OSMRE determined that this Alternative was not scientifically justifiable, and did not meet the purpose of the proposed action.

2.6.3 Element to include in an Alternative - Restrict final elevations for backfilled and graded areas reclaimed after mining to a maximum \pm 10 percent of the difference between the premining surface elevation and the bottom elevation of the lowest coal seam mined.

Each Alternative consists of several elements as described in the previous section of this Chapter. In developing the Alternatives OSMRE considered an element that would restrict final elevations for backfilled and graded areas reclaimed after mining to a maximum \pm 10 percent of the difference between the premining surface elevation and the bottom elevation of the lowest coal seam mined. The tolerance would not apply to steep slope permits because these permits would require the operator to minimize disposal of excess spoil and instead to maximize placement of spoil material on the mined area. This Alternative would also have allowed minor shifts in the location of premining features and landforms to accommodate certain mining techniques.

The initial analysis showed that the \pm 10 percent threshold would not be achievable in some western areas where the overburden is so thin in comparison to the thickness of the mined coal seam that it would not be possible to return the final elevation within the mandated tolerance without bringing in additional material to fill the excavated hole. The tolerance threshold would also not apply for most Central Appalachian surface mines, where the predominance of steep slopes would result in most operations being exempt.

The mining ratios presented in Table 2.6-2 are indicative of the ability for mining operations to comply with the proposed tolerance requirements. The mining ratio presented here is the ratio of spoil material (in cubic yards) produced for every ton of coal mined. The higher the ratio, the greater the amount of excess spoil which the operator must return either to the site or place offsite. Where the ratio is above 7.3 cubic yards of spoil per ton of coal mined the amount of excess spoil would produce a final elevation above the 10 percent maximum elevation change. Where the ratio is below 2.6 cubic yards of spoil per ton of coal mined the amount of spoil would be insufficient to replace the volume lost due to the removal of the coal volume. These ratios rely on the assumption that the overburden would swell in volume by 25

percent due to handling, which would create additional spaces between overburden particles when they are placed back versus their arrangement before the mining disturbance.

**Table 2.6-2.
 Mining Ratios for Model Surface Mines**

Coal Region	Ratio of spoil (volume) to coal mined (weight)¹
Central Appalachia (Area)	16.1
Central Appalachia (Contour)	13.2
Northern Appalachia	12.7
Colorado Plateau	9.8
Gulf Coast	10.3
Illinois Basin	15.5
Northern Rocky Mountains and Great Plains	1.5

¹All figures represent cubic yards of spoil per ton of coal mined.

As shown in the Table 2.6-2, the modeled ratios for spoil to coal are outside the target range (2.6 to 7.3 cubic yards of spoil per ton of coal mined) in all of the regions. Therefore all but one region would have excess spoil and the remaining region (the Northern Rocky Mountains and Great Plains region) would have insufficient spoil. OSMRE therefore rejected the ± 10 percent elevation threshold requirement, and instead incorporated a ± 20 percent elevation threshold into Alternatives 2, 4 and 7. These Alternatives, including the revised threshold requirement, are carried forward for analysis in this FEIS.

Chapter 3. Affected Environment

3.0 Introduction

The Affected Environment chapter of the Final Environmental Impact Statement (FEIS) describes the environment of the area(s) influenced by the Alternatives under consideration, as described in Section 1502.15 of Council on Environmental Quality regulations implementing the National Environmental Policy Act (NEPA). The descriptions provide information essential to understanding the effects of the Alternatives. Data and analyses are commensurate with the importance of the impact, with less important material summarized, consolidated, or simply referenced.

3.0.1 Purpose and Organization of the Chapter

The Affected Environment Chapter in this FEIS addresses the following resources:

- Section 3.1 – Mineral Resources and Mining
- Section 3.2 – Geology
- Section 3.3 – Soils
- Section 3.4 – Topography
- Section 3.5 – Water Resources
- Section 3.6 – Air Quality
- Section 3.7 – Land Use
- Section 3.8 – Terrestrial and Aquatic Biology
- Section 3.9 – Wetlands
- Section 3.10 – Recreation
- Section 3.11 – Visual Resources and Noise
- Section 3.12 – Utilities and Infrastructure
- Section 3.13 – Archaeology, Paleontology, and Cultural Resources
- Section 3.14 – Socioeconomics and Environmental Justice

3.0.2 Area Under Consideration

Coal is the most abundant of the fossil fuels and is widely distributed across the world. According to the United States Energy Information Administration (U.S. EIA), approximately 27 percent of the global coal reserves are located across the U.S. (U.S. EIA, 2011a) (See Section 3.1 for detailed description of U.S. coal resources). For purposes of this FEIS, regional variations of the Affected Environment are summarized to the extent possible.

As further described in Section 3.1, the Office of Surface Mining Reclamation and Enforcement (OSMRE) has identified seven regions representing the coal-mining areas in the U.S. (Figure 3.1-1) for consideration in this FEIS. The physical, biological, and social/cultural variations within these regions

are vast. Additionally, coal mining techniques differ within and between regions. The seven coal mining regions, presented in alphabetical order, are as follows, with areas of primary production described³:

- **Appalachian Basin region:** In the Appalachian Basin region, bituminous coal has been mined throughout the last three centuries within Pennsylvania, Ohio, Virginia, West Virginia, Maryland, Eastern Kentucky, Alabama and Tennessee. Based on geologic structure and stratigraphy, the Appalachian Basin region has historically been subdivided into three coal regions: the northern region, the central region, and the southern region. Historically, the northern and central regions have played the dominant role in coal production.
- **Colorado Plateau:** The Colorado Plateau contains a substantial quantity of high-quality, low-sulfur coal resources. The coal in this region lies within Colorado, Utah, Arizona, and New Mexico.
- **Gulf Coast:** The Gulf Coast generally yields about one twentieth of coal produced in the U.S. Coal production in this region currently is exclusively lignite with most of this production extracted in Texas, but also including production from Louisiana and Mississippi.
- **Illinois Basin region:** Coal production in the Illinois Basin began in the early 1800s. The reported 2014 coal production for the Illinois Basin is fairly evenly split between Indiana, Illinois, and Western Kentucky.
- **Northern Rocky Mountains and Great Plains:** Of the seven coal-bearing areas, this region contains the most coal resources and that coal is extracted primarily by surface mining methods. Most of this coal is located in a coal field referred to as the Powder River Basin, straddling northeastern Wyoming and eastern Montana. Also from this region, coal production comes from parts of Colorado and lignite mining in North Dakota.
- **Northwest:** This region includes Oregon, Washington, and Alaska. However, for purposes of this FEIS, only coal resources in Alaska, specifically the Nenana and Matanuska coal fields are included in the study area. For the Northwest region, coal production is not predicted in the reasonably foreseeable future in the coal resource areas within Oregon and Washington or in the other coal fields of Alaska. Oregon has not had coal mining to any degree for the past ten years. Production in the state of Washington is historically very low (a few 100 tons) with poor quality reserves.
- **Western Interior:** This region includes coal resources mainly within the states of Oklahoma, Missouri, Arkansas, and Kansas.

In some cases, this Chapter describes and analyzes existing conditions and characteristics at the state level. The 25 states within the seven coal mining regions included in the study area for this FEIS are:

- Alabama (Appalachian Basin region and Gulf Coast);
- Alaska (Northwest);
- Arizona (Colorado Plateau);
- Arkansas (Gulf Coast and Western Interior);
- Colorado (Colorado Plateau and Northern Rocky Mountains and Great Plains);
- Illinois (Illinois Basin region);

³ Based on 2012 production numbers.

- Indiana (Illinois Basin region);
- Kansas (Western Interior);
- Kentucky (Appalachian Basin region and Illinois Basin region);
- Louisiana (Gulf Coast);
- Maryland (Appalachian Basin region);
- Mississippi (Gulf Coast);
- Missouri (Western Interior);
- Montana (Northern Rocky Mountains and Great Plains);
- New Mexico (Colorado Plateau);
- North Dakota (Northern Rocky Mountains and Great Plains);
- Ohio (Appalachian Basin region);
- Oklahoma (Western Interior);
- Pennsylvania (Appalachian Basin region);
- Tennessee (Appalachian Basin region);
- Texas (Gulf Coast and Western Interior);
- Utah (Colorado Plateau);
- Virginia (Appalachian Basin region);
- West Virginia (Appalachian Basin region); and
- Wyoming (Northern Rocky Mountains and Great Plains).

In some cases, the analysis in this Chapter was conducted at the county level. The study area includes the counties in which coal mining occurred in 2012 within those 25 states listed above based on data for 2012 obtained from the U.S. EIA, U.S. Department of Energy 2012 Annual Coal Report (U.S. EIA, 2013h).

3.0.3 Previous Environmental Analyses

While Chapter 3 describes the socioeconomic and resource conditions of the affected environment, it is also important to consider the existing regulatory environment in the context of potential changes to existing rules to implement the Surface Mining Control and Reclamation Act (SMCRA). On December 12, 2008 (73 FR 75814-75885), OSMRE published a final rule and Environmental Impact Statement (EIS) modifying the circumstances under which mining activities may be conducted in or near perennial or intermittent streams. That rule and EIS is generally referred to as the 2008 Stream Buffer Zone Rule (2008 SBZ); it took effect on January 12, 2009 (OSMRE, 2008). In summary, the 2008 SBZ rule:

- Allowed placement of excess spoil material in intermittent or perennial streams after an analysis of the impacts to fish, wildlife, and aquatic ecosystems and a demonstration that the Alternative with the least environmental impact be selected;
- Required that this material placement, both in volume, footprint, and stream impact, be minimized; and
- Provided that a SMCRA permit does not authorize disturbance outside or in advance of Clean Water Act permits.

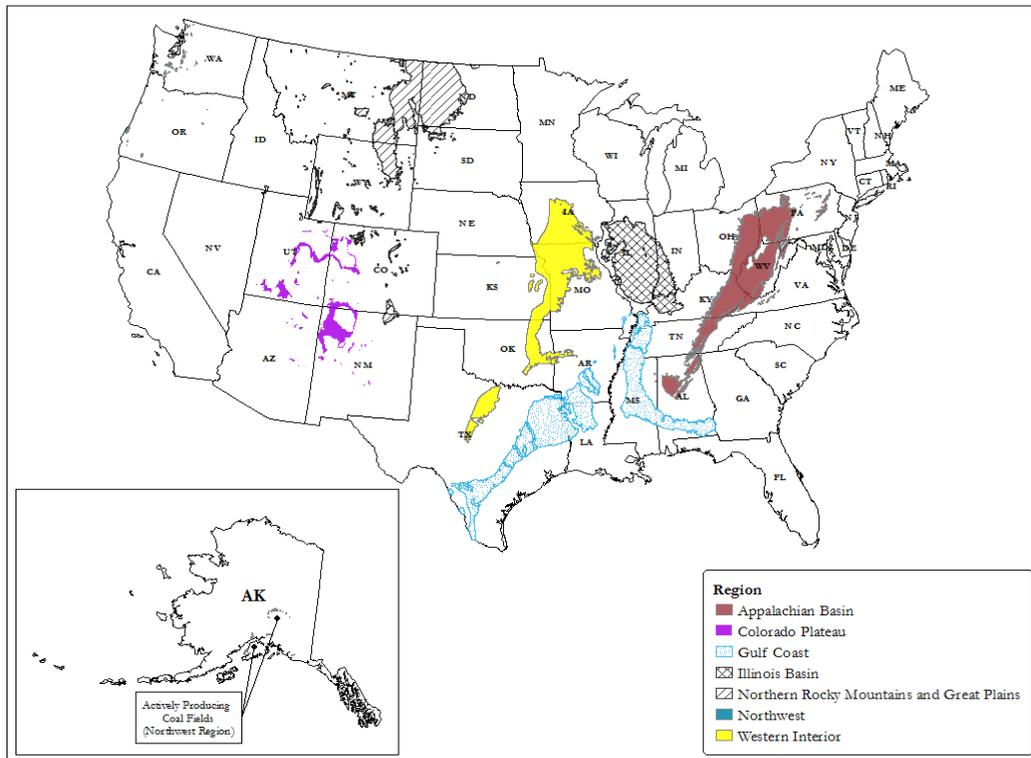
The 2008 SBZ rule was subsequently vacated, see 79 FR 76227-76233 (Dec. 22, 2014). Although the proposed action analyzed in this FEIS is generally more comprehensive than the 2008 SBZ rule, this FEIS relies on and tiers to the relevant analysis of the existing regulatory environment provided in the SBZ EIS

that supported the 2008 rule when appropriate. However, this FEIS also incorporates additional analysis necessary to describe the existing regulatory environment relevant to this broader rulemaking.

3.1 Mineral Resources and Mining

The affected environment for this FEIS includes any area where mineable coal occurs or potentially occurs in the U.S. (Figure 3.1-1). These areas are depicted on the maps below and are located in seven regions analyzed throughout this FEIS: the Appalachian Basin region, the Colorado Plateau, the Gulf Coast, the Illinois Basin region, the Northern Rocky Mountains and Great Plains, the Northwest, and the Western Interior. Note that while the Michigan Basin is shown in the map below, coal has not been produced from that region since 1952; therefore, it is not being included in the analysis completed for this FEIS.

Figure 3.1-1. Major Coal-Producing Regions of the United States



Source: Data- United States Geological Survey (USGS), 2011a, *Coal Fields*, United States Department of the Interior (U.S. DOI), <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

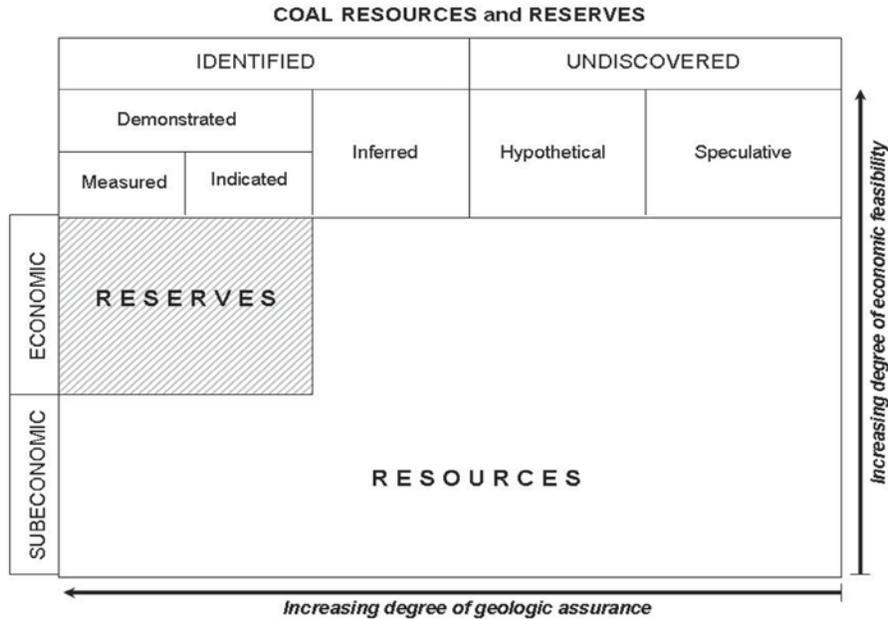
In 2014, coal was mined in 25 states, with production totaling 1,000,049 tons, a 1.5 percent increase from the previous year (U.S.EIA, 2016). Coal production in Central Appalachia declined 8.6 percent while production increased in the Illinois Basin by 3.8 percent (U.S. EIA, 2016).

3.1.1 Coal Resources and Coal Reserves

The distinction between a “resource” and a “reserve” is the suitability for mining of the coal bed (Figure 3.1-2). Resources refer to the presence of coal and do not consider suitability for mining. If a coal resource is considered commercially feasible to mine, then that resource is further classified as a reserve.

Different terms are used to describe resources and reserves based on the level of geologic confidence and the degree of economic suitability for mining of the coal bed. Coal resource figures can range from the least definite “Total Resources” to the highest geologically and economically proven “Recoverable Reserves at Active Mines.”

Figure 3.1-2. Relationship Between Coal Reserves and Coal Resources



Source: Luppens, J. et al., 2009, *Figure 1; Coal Resources and Reserves*, USGS, U.S. DOI, <http://pubs.usgs.gov/pp/1625f/downloads/ChapterD.pdf>

3.1.2 Total Resources

“Total Resources” entails discovered and undiscovered total coal resources in a specific area. It considers both proven reserves and estimated reserves from geologic modeling without considering suitability for mining. Total resources in the U.S. are estimated to be about four trillion tons.⁴

3.1.2.1 Identified Resources

Coal deposits whose location, rank, quality, and quantity are known from geologic evidence supported by engineering measurements are “Identified Resources.” Included are beds of bituminous coal and anthracite (14 or more inches thick) and beds of sub-bituminous coal and lignite (30 or more inches thick) that occur at depths to 6,000 feet. The existence and quantity of these beds have been delineated within specified degrees of geologic assurance as measured, indicated, or inferred. Also included are thinner and/or deeper beds that presently are being mined or for which there is evidence that they could be mined commercially. Identified Resources are approximately 1.5 trillion tons.

⁴ This figure is based upon the most comprehensive assessment of U.S. coal resources, published by the USGS in 1975. More recent regional assessments have been conducted by the USGS; however, no new national level assessment of U.S. coal resources has been conducted since that time.

3.1.2.2 Demonstrated Reserve Base

Not all coal resources are economically feasible to mine and market. The “Demonstrated Reserve Base” estimates the total in-situ coal commercially feasible to mine at a given time, considering coal bed thickness, overburden depth, reported regional mining recovery, and coal seam accessibility. The Demonstrated Reserve Base was first assessed by the U.S. Bureau of Mines in 1974 and is now periodically evaluated and published by the U.S. EIA. The 2014 Demonstrated Reserve Base was 478 billion tons (U.S. EIA, 2016), or less than one-eighth of the estimated coal resources in the U.S.

3.1.2.3 Estimated Recoverable Reserves

The “Estimated Recoverable Reserve” represents coal that can be economically mined considering today’s mining technology, accessibility constraints, and recovery factors. The Estimated Recoverable Reserve is generally less than the Demonstrated Reserve Base for a specified area. The Estimated Recoverable Reserve for the U.S. is 256 billion tons, about 54 percent of the Demonstrated Reserve Base (U.S. EIA, 2016).

Various factors affect the recoverability of a coal resource. These factors include geologic factors, mining operations, economics, processing, and restrictions on mining as explained below (Luppens, et al., 2009):

- *Coal Bed Thickness:* Coal bed thickness is generally considered one of the most important factors affecting coal recoverability. While most U.S. coal regions have thin to moderate bed thickness (ten feet thick or less), some western U.S. coal beds are more than 50 feet thick. Very thin coal beds may not be recoverable, and with current mining technology, minimum bed thickness for surface mining and underground mining are limited to about one foot and two feet, respectively. For underground mining, current technology demands a maximum practical bed thickness of about 15 feet, meaning portions of coal beds exceeding this thickness must be left in place, reducing recovery rates.
- *Coal Bed Depth:* Coal bed depth, or the depth of material overlying the coal bed, is also an important factor affecting coal recovery economics. For surface mining operations, recoverability depends on the depth of overburden to be removed. Greater overburden depth results in less recoverable reserves and vice versa. For underground mines, deeper coal beds can exhibit decreased recoverability due to the retaining of larger coal pillars for roof support (See Section 3.1.3.1 Underground Mining below); higher capital expenditures for mine access and infrastructure; roof/floor/coal stability issues due to increased stress at depth; increasing temperature at depth; and groundwater flow which generally increases with depth, resulting in greater pumping requirements to overcome the increased mine inflows. The current coal bed depth limit for underground coal mining ranges from 2,000 and 3,500 feet.
- *Stripping Ratio:* The stripping ratio is defined as the ratio of the overburden depth to the coal bed thickness at a given location. The “economic stripping ratio” is a basic, site-specific analysis for evaluating the maximum highwall height that can be economically mined. For example, 12:1 economic stripping ratio means that 12 feet of overburden material can be economically removed for every foot of coal mined. Thus, five feet of minable coal would equate to 60 feet of overburden or a 65 foot highwall.

- *Coal Rank:* Coal rank is a function of the degree of metamorphism and is dependent on the amount of heat, time, and pressure sustained by the coal deposit through burial history. As coal increases in rank, it decreases in moisture content, increases in carbon content, and increases in heating value. Coal rank progresses from peat (not considered coal) to lignite, then to subbituminous, then to bituminous, and finally to anthracite. Coal rank is further detailed in Section 3.1.2.
- *BTU:* The heating value of the coal is very important in power generation. It measures the energy contained in a unit of coal, expressed as British Thermal Units per pound (BTU/lb.). Higher BTU coal demands a higher price than lower BTU coal, all other qualitative parameters considered equal. A lower ranked coal, such as lignite (8,300 BTU/lb. or less), requires more tonnage to match the energy equivalent of a higher ranked coal, such as bituminous coal (13,000 BTU/lb.).
- *Sulfur Content:* Sulfur dioxide gas (SO₂) is released through oxidation of sulfur in the coal when it is burned, degrading air quality and contributing to acid rain production. The amount of SO₂ released depends on both the chemical composition and the concentration of the sulfur in the coal. Clean air standards limit SO₂ emissions from the burning of coal based on the BTU, making coal with lower SO₂ production more desirable.
- *Restrictions on Mining:* Restrictions on mining can limit the ability to recover coal. Outside of SMCRA, there exist federal⁵ and other lands with societal or environmental values that have mining restrictions and land use limits imposed. Land use restrictions can also exist near population centers and around protected surface features that may be adversely impacted by surface subsidence related to underground mining. See 30 CFR 784.20 and 817.121.
- *Technological Effects:* Economic necessity for increased production rates has realized the use of larger or more productive mining equipment. For underground mining, limitations on resource recovery are influenced by state regulations, minimum accepted engineering practices, and equipment requirements. Additionally, conditions that may limit mining of underlying and overlying coal seams include weak geology that cannot provide adequate roof or floor support; hydrogeologic concerns; or mining in areas that were previously underground mined using high-extraction methods. In surface mining, large equipment is primarily used and is especially applicable to recovery of multiple coal seams in one mining operation, thereby greatly maximizing the resource recovery of these coal reserves.

The underground mining process results in some rock strata immediately above or below the coal bed being recovered along with the raw coal. This results in reduced BTU and inclusion of impurities in the run-of-mine coal product. Seams of non-coal material, called partings, are also typically found laminated within most coal beds and can range from very thin to a few feet in thickness. The underground mining extraction method for removing the coal and partings as comingled material results in further dilution of the raw coal product. These dilutions decrease the overall quality of the mined coal primarily by lowering the BTUs and increasing the ash content of the run-of-mine product. This is partially overcome by

⁵These include the National Park System, National Wildlife Refuge System, National System of Trails, National Wilderness Preservation System, National Wild and Scenic Rivers System, National Recreation Areas, lands acquired with money derived from the Land and Water Conservation Fund, National Forests, and federal lands in incorporated cities, towns, and villages (40 CFR 3461.5(a)).

processing or cleaning of the raw coal to improve the quality of the final coal product mined. This process involves loss of some of the raw coal and further reduces the resource recovery. The waste product, consisting of coarse and fine refuse slurry, requires disposal and is discussed in Section 3.1.6.3. In summary, coal processing adds cost to the marketed coal product and results in lost coal in the processed waste rock due to the imperfect cleaning process. Underground mining and coal processing losses are typically 17 to 25 percent higher than that of surface mining.

Surface mining generally does not require the same level of processing compared to underground mining. Surface mines by employing methods to selectively mine have the ability to separate parting materials some of which can be immediately disposed of in the pit. In underground mining these same partings would be extracted during mining and would require processing to remove.

3.1.2.4 Recoverable Reserves at Active Mines and National Coal Resource

Recoverable reserves at active mines were estimated at 19.4 billion tons at the end of 2014 (U.S. EIA, 2016).

As stated above, the Nation as a whole contains an estimated four trillion tons in total coal resources. The estimated demonstrated reserve base is 478 billion tons, with Estimated Recoverable Reserve of 256 billion tons, or about 54 percent of the demonstrated reserve base. Recoverable reserves at active mines are 19.4 billion tons, or about seven percent of Estimated Recoverable Reserve.

3.1.3 Types of Coal and Extraction Methods

The degree of alteration (or metamorphism) that occurs as coal matures is referred to as the “rank” of the coal. Coal is divided into four different ranks based on the degree of metamorphism caused by heat, pressure, and time applied to the coal, resulting in increased carbon content, decreased moisture, and generally increased heating values (Table 3.1-1). Rank varies from the lowest ranked lignite to subbituminous, then bituminous, up to the highest rank of anthracite. Typically a higher rank equates to higher economic value. High-ranked coal produces more energy per ton and/or has higher carbon content than lower rank coal. However impurities such as sulfur and ash, quality parameters such as volatile matter, and the cost of transportation affect the marketability of any particular coal product.

Table 3.1-1. Percent of Demonstrated Coal Reserves Base in U.S., by Rank

Coal Type	Percent
Bituminous	48.0%
Subbituminous	43.9%
Lignite	7.9%
Anthracite	0.2%

Source: U.S. EIA, 2016.

As seen in Table 3.1-1 (above), bituminous accounts for nearly half of the Demonstrated Coal Reserves and, as seen in Figure 3.1-3 is concentrated primarily east of the Mississippi River, with the largest amounts found in Illinois, Kentucky, and West Virginia. Wyoming and Montana contain the majority of the subbituminous Demonstrated Coal Reserves Base, while Montana, Texas, and North Dakota comprise the majority of the lignite. Anthracite, the highest ranking coal, makes up only 0.2 percent of the

Demonstrated Reserve Base and is concentrated almost entirely in northeastern Pennsylvania (U.S. EIA, 2016).

Coal production reflects regional differences in coal types. As shown in Figure 3.1-3, the Northern Rocky Mountains and Great Plains regions mine large amounts of subbituminous coal, while bituminous dominates in the Appalachian and Illinois regions.

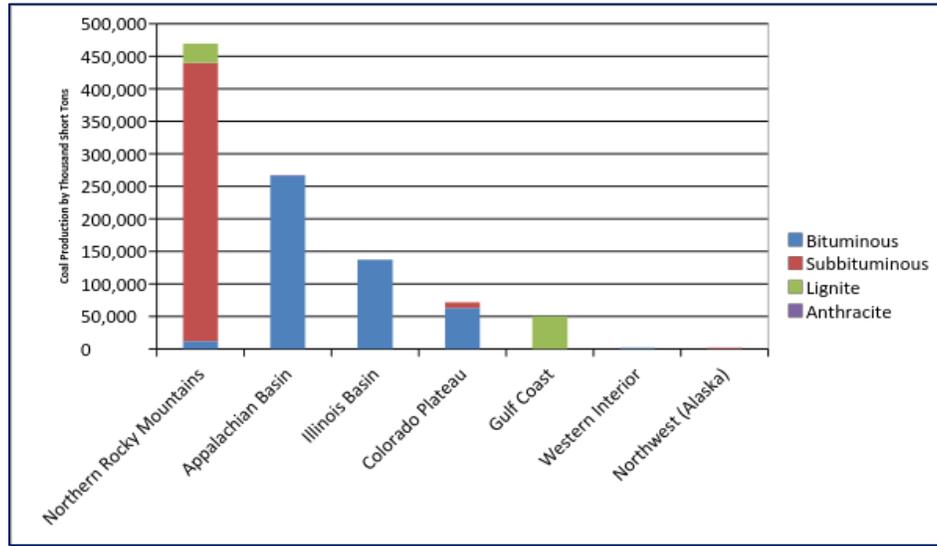
Coal reserves are also categorized as either low, medium, or high sulfur content, in relation to the amount of sulfur dioxide (SO₂) released measured against the BTU content of the coal. The U.S. EIA reports the quantities of low, medium, and high sulfur coals as relatively equivalent for the U.S. Demonstrated Reserve Base, 33 percent, 28 percent, and 39 percent respectively. Most low-sulfur (84 percent) and medium-sulfur (61 percent) coal is located in the western U.S. The Appalachian Basin region contains a mixture of low, medium, and high sulfur coal reserves. Clean air standards limit SO₂ emissions from the burning of coal based on the BTU value, making coal with lower sulfur content desirable by complying with air quality standards without costly desulfurization treatment, typically accomplished through flue gas desulfurization also known as “scrubbers.” However with improved technology and the increasing number of scrubbers being installed, the marketability of the higher sulfur coals is increasing.

According to 2014 data approximately 69 percent of the U.S. Demonstrated Reserve Base is classified as minable by underground methods, while the remaining 31 percent is minable by surface methods. However, the percentage of estimated recoverable reserves by underground mining methods greatly diminishes to 58 percent of the Demonstrated Reserve Base (U.S. EIA 2016), due to lower recovery ratios inherent to underground mining methods (Section 3.1.3 below). Surface mining normally yields much higher coal bed recovery than underground mining.

3.1.4 Mining Methods: Underground

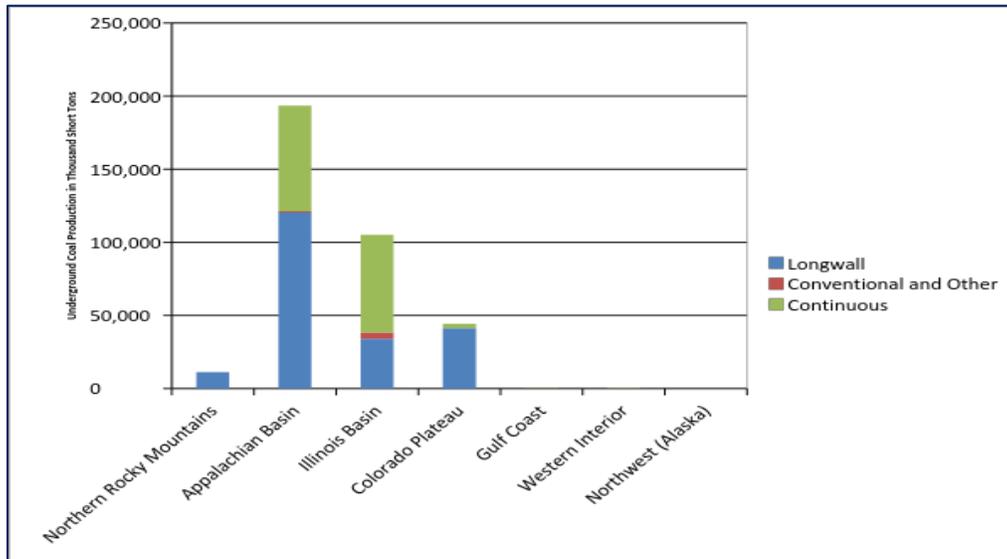
The method of underground coal mining depends on the geologic characteristics of the region, economics, property ownership, and other factors. Figure 3.1-4 illustrates the distribution of underground mining methods by region. The two most common underground mining methods are room-and-pillar and longwall mining. Each leaves some coal in place to maintain the roof stability of the mine. These pillars support the rock immediately overlying the intact coal pillar plus the overlying rock previously supported by the excavated coal. Underground mines typically recover 40 percent to 90 percent of the mined seam, depending on the extraction method

Figure 3.1-3. Coal Production by Type of Coal by Region, 2014



Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

Figure 3.1-4. Underground Mining by Type and Region, 2014



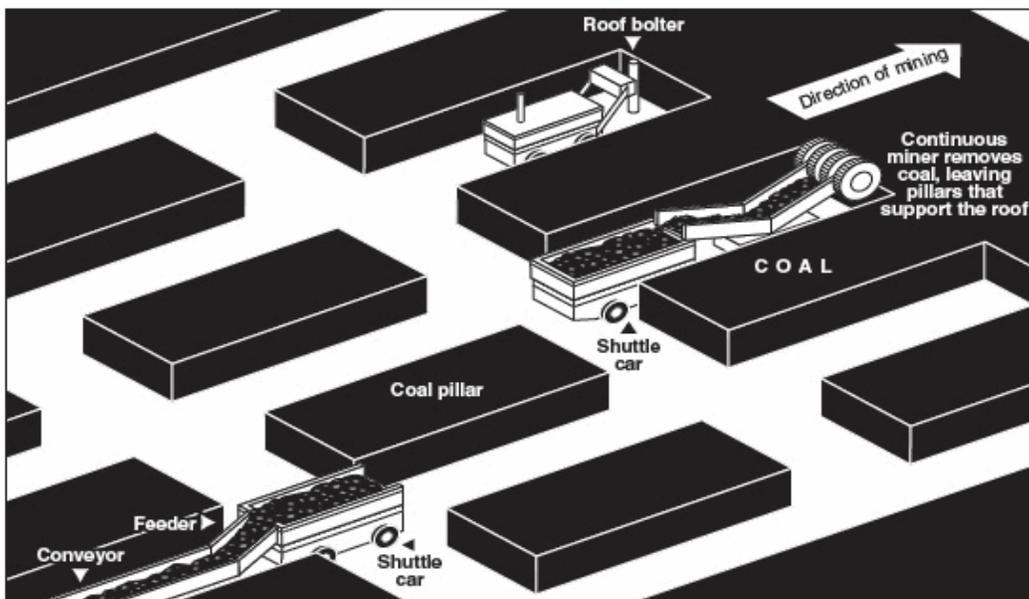
Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

For underground mining, access to the underground coal bed is gained by drifts, slopes, and/or shafts, governed chiefly by economics related to the geology, depth, mining method, mine production rates, and other constraints. Following the access development, underground mining is performed within the coal bed horizon without removing the overburden and is generally considered practical for depths greater than 100 feet; shallower mining can encounter difficulties with roof integrity and subsidence (Suboleski, 1999a), which is discussed in greater detail in Section 0. Historically, underground mining was performed throughout most of the U.S. by a type of room and pillar method mining referred to as conventional mining that includes direct drilling and blasting of the coal seam. This method may or may not include secondary pillar extraction (Section 3.1.3.2). The majority of modern room-and-pillar mining is now accomplished through a method called continuous mining that involves the use highly mechanized mining machines. An additional form of underground mining that allows for significantly higher percentages of coal extraction is a method called longwall mining.

3.1.4.1 Room and Pillar Mining

The room and pillar method leaves blocks of the coal seam in place to support the overlying strata and immediate mine roof while coal is extracted. Room and pillar mines are developed by making a parallel series of tunnel-like excavations called entries that are interconnected with tunnel-like excavations called crosscuts. These entries and crosscuts are used to mine the coal reserve in a grid-like pattern; the blocks of coal that remain between the entries and crosscuts are called pillars and they support the overlying strata and immediate mine roof. This process is used to mine areas called panels, which consist of an engineered number of entries and crosscuts based on the safety it provides to coal miners, the potential need to protect features and structures located above the mine on the land surface and economic factors of the mining conditions.

Figure 3.1-5. Continuous Mining



Source: Image downloaded August 2016 from the School of Mining, Energy and Materials Engineering of Oviedo
http://eimem.uniovi.es/noticias/-/asset_publisher/piR6/content/actividad-minera-metodos-de-explotacion?redirect=%252Fnoticias

“Conventional Mining” is the traditional room and pillar mining method which employs under-cutting the coal production face, drilling, blasting, loading, and hauling to extract coal. Once the predominant mining method in the Appalachian coal fields, it accounts for less than 1.5 percent of underground production today (EIA 2014, <https://www.eia.gov/coal/annual/pdf/table3.pdf>). Advancements in mining equipment and technology have led to higher productivity and lower-cost production without the use of drilling and blasting. Conventional mining is currently used when unique geology economically precludes the use of the more productive mining equipment.

The prevalent technique for room and pillar mining in use today is called continuous mining, and uses a mining machine, commonly referred to as a continuous miner (Figure 3.1-5, above). The machine cuts the coal from the working face with bits attached to a rotating drum-like cutting head. The continuous miner cuts and loads the coal, replacing the separate steps of undercutting, drilling, blasting, and loading used in conventional mining.

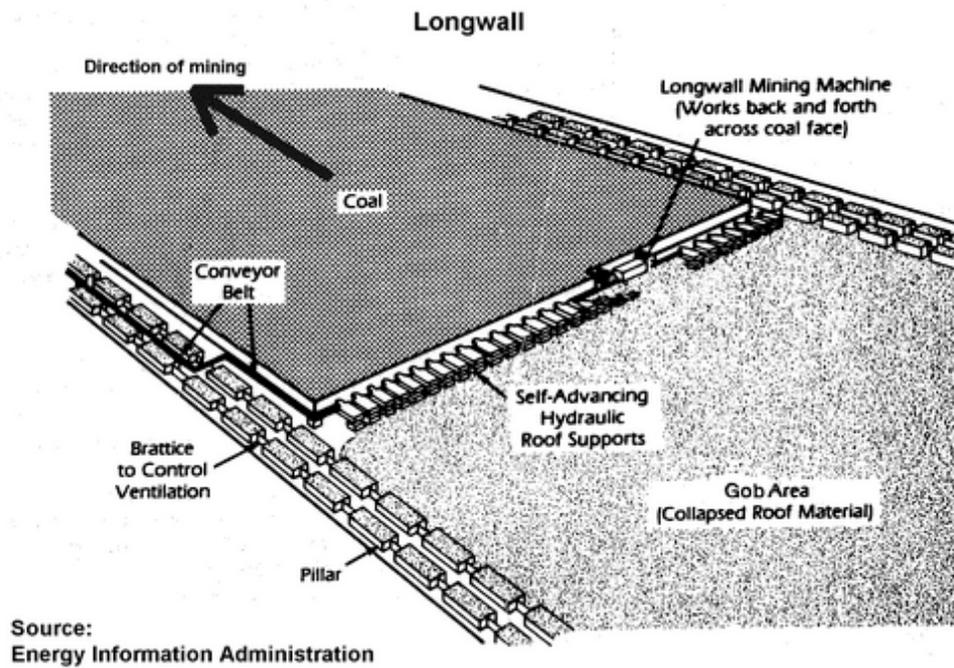
Temporary roof support is established following coal extraction from the working face, typically through roof bolts installed with a machine called a roof bolter. Ventilation controls are then advanced as the mining moves forward to assure that dangerous accumulations of gasses and dust are diluted, rendered harmless, and carried away from the personnel. The cycle of cutting and loading, bolting and advancing ventilation, and cleanup and preparation, require equipment to move from one working face to another. The multiple entries and interconnected cross-cuts in a mine panel are developed by moving the mining equipment from one working face to another to ideally maximize equipment utilization, production, and resources.

After the maximum extent of a room and pillar mining panel has been fully developed, the mining direction may be reversed for secondary partial or total extraction of the coal pillars. This retreat mining process uses the same mining equipment, requiring supplemental roof support to safely control the mine roof and to manage planned caving and subsidence. The pillar extraction process begins at the farthest advanced development of the mine panel and extracts the pillars supporting the overlying strata and immediate mine roof; analysis of the coal and overburden material are used to predict the extent of the controlled roof collapse and resulting surface ground subsidence. Room and pillar mining operations with both primary and secondary (retreat) full-pillar extraction can achieve up to 90 percent recovery of a coal seam in the secondary mining areas, while primary extraction alone can achieve only about 40 to 60 percent.

3.1.4.2 Longwall Mining

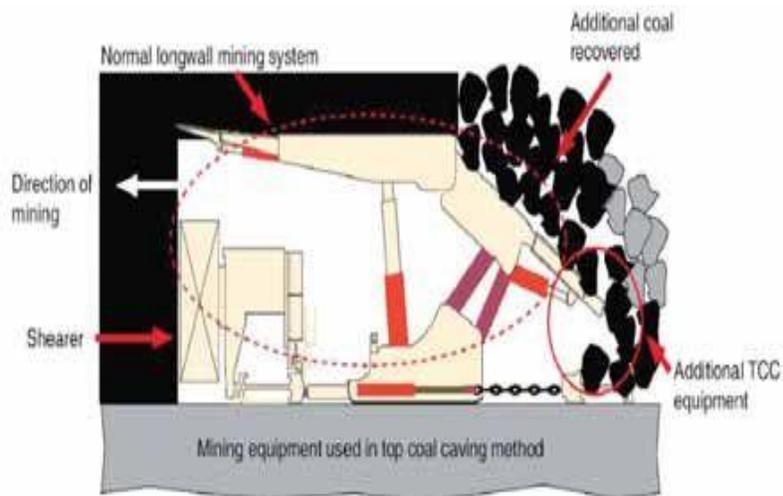
Longwall mining uses multiple self-advancing hydraulic mine roof supports, a traversing coal cutting machine called a shearer, and an articulated armored face conveyor that transports the coal and interconnects the roof supports and the shearer to cumulatively create the longwall mining machine (Figures 3.1-6 and 3.1-7). The longwall machine is designed for complete coal extraction within the working area of the equipment. Initial room and pillar mining is used to delineate an unmined block of coal by excavating three to four entry wide mine developments around the block of coal. This unmined block of coal or longwall panel ranges from 650 to 1,580 feet wide by 2,400 to 21,500 feet long, with the average U.S. longwall panel having a face width of 1,137 feet, a length of 10,802 feet, and a cutting height of 90 inches (Coal Age, 2013).

Figure 3.1-6. Longwall Mining Aerial View



Source: Pennsylvania Department of Environmental Protection, 2008, *Figure VI.3; Schematic Illustrating Longwall Mining*, U.S. EIA, <http://www.dep.state.pa.us/dep/deputate/minres/bmr/act54/sec6.htm>

Figure 3.1-7. Longwall Mining Cross-Section



Source: Auster Coal, 2007, Figure 2; a simplified schematic showing the longwall as a vertical cross-section, available at: <http://www.womp-int.com/story/2007vol5/story025.htm>

An armored face conveyor and mounted rotating drum shearer travels across the longwall face from one side of the panel to the other, cutting about a 32- to 42-inch deep strip of coal as the conveyor transports the broken coal from the longwall face to the mine's main haulage system. Once the shearer reaches one end of the longwall face, it traverses back to the other end of the face cutting another strip of coal as it moves. The shearer cuts coal in this back-and-forth action along the longwall face until the entire length of the panel has been mined, which results in the total extraction of the longwall panel block of coal. The conveyor and shearer are protected by multiple hydraulic powered roof supports, chocks or shields. These are connected, yet independently articulated, hydraulic roof supports that flank one another to support the overlying strata, and consequently protect the personnel and equipment along the entire longwall face. The shearer cuts the coal in front of a roof support, which leaves a span of newly created unsupported mine roof. The hydraulic shield support is depressurized and collapsed, moved forward, and re-pressurized against the mine roof, thereby minimizing both the unsupported roof exposure time and span created by the continuous cutting action of the traversing shearer. As the roof supports sequentially advance, the mine roof behind the advanced support is now left unsupported. Stresses induced by the unsupported overlying strata cause the roof in this 'mined-out area' to break and collapse, filling the mine void with broken rock known as gob.

When compared to room-and-pillar mining methods, longwall mining requires both reserves with geology that will accommodate the large rectangular panels as well as a high capital investment in equipment and infrastructure. However, when conditions allow the use of longwall mining, the relatively high coal production rates offset the capital expenditures to make this an efficient production method. There are 42 longwall mines with 47 operating longwall mining machines in the U.S. (Coal Age, 2015). The combined production of these longwall mines equals the combined production of the approximately 500 non-longwall underground mines in the U.S. (U.S. EIA, 2012a). Accounting for only ten million tons of production in 1973, longwall mining accounted for 207 million tons of production, in 2014 (Coal Age, Feb. 2015).

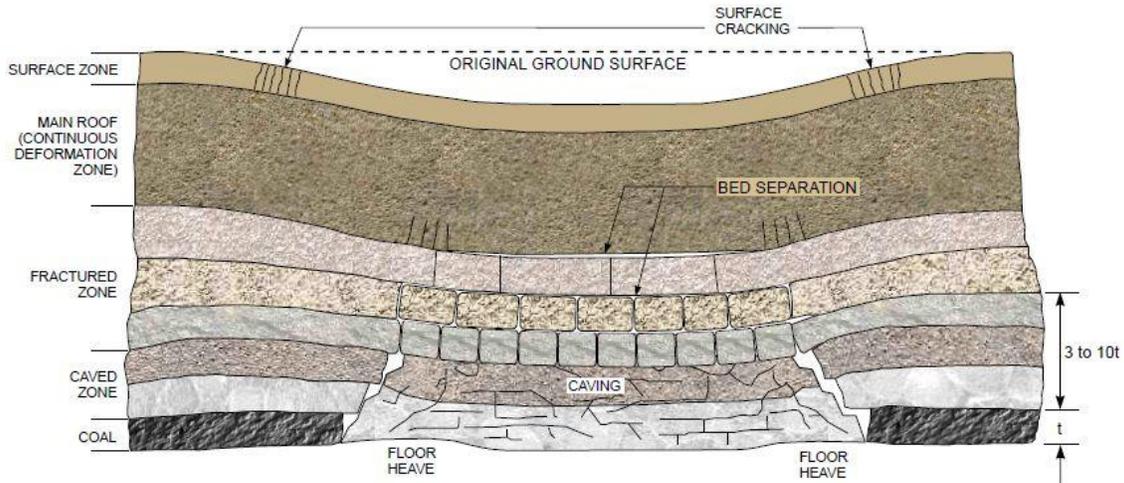
3.1.4.3 Surface Effects of Underground Mining

The removal of underground material without leaving adequate underground support for the overburden can result in collapse that may induce measureable vertical movement of the surface lands, called subsidence (Figure 3.1-8). The downward movement and stratigraphic interactions can also produce horizontal movement, strain, tilt, surface cracking, and even upward movements of portions of the land surface, depending on the properties of the overlying geology and soil. Subsidence can occur naturally, as with the collapse of portions of cave systems, or can be a planned or unplanned result of the mining process. Both longwall mining and full-pillar extraction room and pillar mining allow for surface ground subsidence. Operators design the mines to control these planned subsidence effects. Full subsidence is normally about two-thirds of the thickness of the seam being mined (Suboleski, 1999a), but can range from near zero movement to subsidence equal to the thickness of the coal seam.

Surface subsidence manifests itself in two forms, sinkholes and trough (or area) subsidence. Mine-induced sinkholes are generally small in areal extent and commonly related to unplanned subsidence of a small portion of a shallow room and pillar mine. In contrast, trough or area subsidence typifies planned subsidence features from both room and pillar with total pillar extraction and longwall mines. A sinkhole is a circular depression in the ground surface that occurs when the shallow overburden collapses into an

underground void. A trough is a ground surface depression formed by bending of the overburden into an underground void. Unplanned trough subsidence can also occur when large areas of a mine intended for long-term stability were under-engineered, resulting in failure.

Figure 3.1-8. Subsidence Mechanisms



Note: Observed surface subsidence in the above drawing is skewed. The subsidence trough has been exaggerated for the reader's benefit to understand the concept of ground movement.

Source: MSHA, 2009c, *Figure 8.1; Strata Disturbance and Subsidence Caused by Mining*, U.S. Department of Labor Adapted from Singh and Kendorski, 1981; Peng and Chiang, 1984, <http://www.msha.gov/Impoundments/DesignManual/Chapter-8.pdf>

The surface subsidence area is typically larger than the actual caved excavation area and is a function of the depth and rock properties of the strata overlying the mine workings. In the case of planned subsidence, the affected ground surface area can be determined by using the geology dependent angle of draw, which is the vertical deviation angle from the edge of the underground mined area to the edge of the surface subsidence.

Subsidence can lead to functional impairment of surface lands, facilities and structures, and surface and ground water features and systems. The extent, severity, and timing of subsidence depend on the mining method; the type, size, and condition of the underground support left in place; the size and geometry of a mined-out area; the thickness and properties of the coal seam; the depth to the coal seam; the thickness and structural composition of both the underlying rocks and the overburden (including the presence of geologic faults); the inclination of strata and surface; the soil composition; the locations of ground water; the relation of the mining to previously mined areas; and the method and quantity of any backfilling material placed in the excavation, which is rarely applied in coal mining (Hower et al., 1980; U.S. DOE, 1981).

3.1.5 Mining Method: Surface Mining

Surface mining operations typically recover about 90 percent of the coal reserve. Surface mining involves removal of overburden to expose underlying coal seams for extraction. For purposes of this FEIS, surface mining is categorized by three basic operational methods: contour mining, area mining, and open pit. Mountaintop removal mining, a subset of area mining, is defined as a surface mining operation that removes an entire coal seam or seams running through the upper fraction of a mountain, ridge or hill. Secondary extraction associated with surface mining, collectively known as highwall mining, occurs after the final highwall limits have been reached. Surface mines can employ any combination of these methods to maximize the coal recovery from a given land parcel.

Surface coal mining methods can vary between individual mines, but all share common site development activities:

- *Site Access:* The first step in mine development is construction of a primary haul road to the mine site to provide access for equipment, employees, and supplies.
- *Erosion and Sedimentation Controls:* Control structures include sedimentation ponds constructed to prevent siltation of receiving streams and ditches constructed to convey runoff from disturbed areas to the sedimentation ponds. Diversion ditches are also built around areas affected by mining to divert runoff from upslope areas to natural drainages. These facilities must be constructed prior to initiation of earth disturbance in a given area. In some cases, permanent or temporary stream relocations are employed to reroute streams around the mine.
- *Clearing and Grubbing:* This activity involves the removal of trees, stumps, shrubs, and other vegetation from the area to be affected. This allows for more efficient removal of any topsoil, for later use in reclamation. Topsoil is segregated by a dozer that typically removes the recoverable soil from mining areas to temporary stockpiles, which are temporarily seeded with fast-growing grass species until needed for reclamation. Valley/hollow fill areas are cleared and grubbed to prepare the foundation to ensure stability prior to excess spoil fill placement.
- *Excavation:* This activity is the physical removal of overburden soils and rock overlying the coal seams to allow for removal and haulage of uncovered coal. Unconsolidated surface material and weathered bedrock can usually be excavated by equipment without blasting. The underlying rock is fractured by drilling and blasting, or by ripping with bull dozers. The void left after excavation is referred to as a mine *pit*. The broken rock that is removed is known as *spoil*. As a result of the excavation process, this spoil material “bulks” as voids in the material are created. This bulking is commonly referred to as “swell.” Where potentially acid-forming or toxic-forming overburden is encountered, this material requires special handling to segregate and bury it, in order to isolate it from oxygen and water, or to encapsulate it in water.

Surface mining practices have changed in the last three decades as larger equipment and larger-scale mines have resulted in higher productivity. Whereas surface mining and underground mining production was about equal in the early 1970s, the production share from underground mining declined by over 30 percent over the next three decades. This increase in surface coal mining has been concentrated predominantly in the western U.S., where large-scale area mines now account for almost 50 percent of the nation’s coal production.

3.1.5.1 Contour Mining

Contour mining takes place in mountainous or rolling hill areas and limits mining to the side of a mountain or to the end of a ridge line. In contour mining, operations progress along the outcrop of a coal seam, removing overburden inward towards the mountaintop or ridge core to the highwall limit of that coal seam. This results in mine cuts that wrap around mountaintops or ridge lines parallel to contour in a sinuous pattern dictated by topography. Contour cuts may be conducted on multiple seams on a given mountain or ridge line. Near the tip of a ridge line on a contour mining operation, “point removal” may occur where the coal seam is mined from the outcrop on one side of the narrow point, through the center of the ridge, and to the outcrop on the opposite side of the point. This occurs where the overburden is shallow.

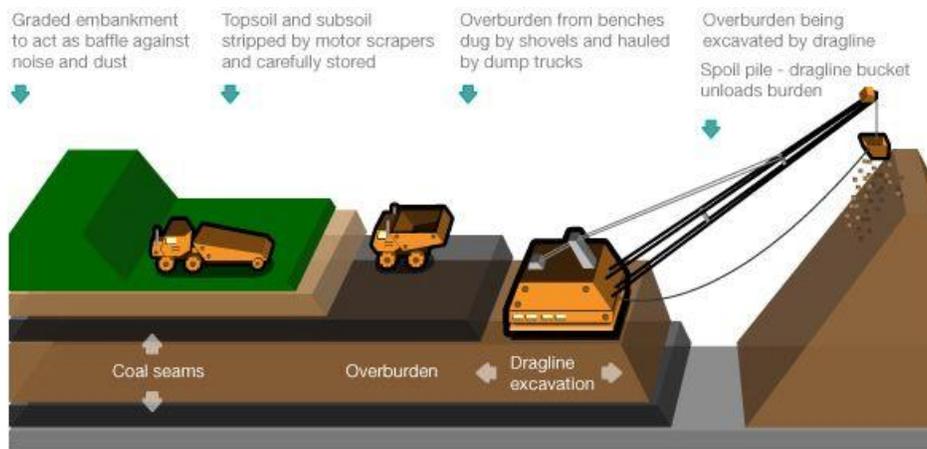
To begin a contour mine, an initial box cut is opened at the coal outcrop and excavated to the highwall limit, forming a mine pit. Spoil material from this first cut may be temporarily stockpiled on site for use in later backfilling, or hauled to an excess spoil disposal area.

Spoil from successive cuts are hauled and placed in the void created by the previous cut. Contour mining may also be employed to recover lower elevation coal seams on steep slopes, and coal seams from areas of excess spoil fills prior to fill placement.

3.1.5.2 Area Mining

Area mining occurs where the coal seam or multiple coal seams produce stripping ratios favorable for mining across the topography, rather than around it as in contour mining (Figure 3.1-9). The area mining method will generally have larger working areas than the contour method and may employ large earthmoving machines for primary overburden removal.

Figure 3.1-9. Area Mine Cross Section



Source: World Coal Association, 2011, *Coal Mining*, <http://www.worldcoal.org/coal/coal-mining/>

Area mining offers the advantages of a high coal recovery rate and high production rate potential. It also allows overburden placement that easily restores a site to the approximate original contour (AOC). However, area mining requires a large capital investment and a large reserve base to be practical. In steep slope areas, area mining may require disposal of large volumes of excess spoil, depending on how the mine operation is planned, as well as specific postmining land uses that allow for a variance from AOC. In areas that have been previously mined, excess spoil may be used to complete reclamation of previously mined benches.

Area mines may begin by excavating an initial cut across the entire width of a flat or gently-sloping area, mountaintop, or ridge line (Figure 3.1-9, above). Where potentially acid-forming or toxic-forming overburden is encountered, this material may require special handling to be segregated and buried (to isolate it from oxygen and water) or to encapsulate it in water.

In steeper sloping areas, this initial cut may start as a contour cut on the basal coal seam and progress inward until a highwall is established. Smaller equipment, such as excavators, loaders and dozers make these initial cuts and work in advance of the highwall to remove upper coal and create a flat working bench. In steep slope areas, such as in the Appalachian Basin region, excess spoil from area mines is often placed in excess spoil disposal areas, or transported to nearby unreclaimed pre-SMCRA open pits.

3.1.5.3 Area Mining Dragline Method

The dragline method of area mining involves opening an initial box cut, removing the coal exposed in the box cut, and then placing the overburden from the next cut into the mined-out, box cut area. A dragline machine is used in this process; it has a very large shovel capable of moving 100 cubic yards or more of material with each pass (Figure 3.1-10). The box cut procedure is repeated on a cut-by-cut basis. Spoil from the initial box cut is temporarily stored and later spread and blended into the backfilled mined area. This surface mining method is generally employed in flat or moderately dipping coal seams with constant overburden depths.

In a typical cycle of excavation, the dragline bucket is positioned above the material to be excavated. The bucket is then lowered and the drag cable is then drawn so that the bucket is dragged along the surface of the material. The bucket is then lifted by using the hoist cable. A swing operation is then performed to move the bucket to the dump area, generally into the preceding cut.

3.1.5.4 Mountaintop Removal Mining

Mountaintop removal mining is a subset of area mining that involves removing an entire coal seam or seams from the outcrop on one side of a mountain or hill through to the outcrop on the other side. Mountaintop removal mining operations run through the upper fraction of a mountain, ridge, or hill by removing substantially all the overburden above the coal seam and creating a level plateau or a gently rolling contour, with no highwalls remaining. Figure 3.1-11 shows an aerial photograph of mountaintop removal operations, with both active mining and ongoing reclamation operations. Pursuant to SMCRA, mining operations can only be permitted as mountain top removal mining if they are granted a variance from returning the mined lands to AOC, providing that the postmining land uses meet SMCRA requirements (30 U.S.C. 1265(c) and 30 CFR Parts 785, 816 and 824). These approved postmining land uses include industrial, commercial, residential, agricultural, or public facilities (including recreational

facilities). A portion of the overburden from the top of the mountain (typically the “swell” portion of the broken rock) is transported to permanent placement in excess spoil disposal areas.

Figure 3.1-10. Draglines in an Area Mine Operation



Source: CU-Boulder Environmental Studies Program, 2009, *Dragline and Explosives, Navajo Coal Mine*, Environmental Issues in Mining, University of Colorado at Boulder.

Mountaintop removal mining operations can achieve essentially 100 percent recovery of coal reserves, a portion of which might otherwise be permanently isolated beneath the reclaimed mine site. Stripping ratios of 13 to 20 may be economically feasible for large operations (Suboleski, 1999a). Mountaintop removal mining operations require large capital investments and working reserves to be feasible, and can require disposal of substantial amounts of spoil in excess spoil fills.

The term “mountaintop mining” has often been confused with the term “mountaintop removal mining.” In the Draft Programmatic Environmental Impact Statement on Mountaintop Mining and Valley Fills (U.S. EPA et al., 2003) mountaintop mining is referred to as “coal mining by surface methods (e.g., contour mining, area mining, and mountaintop removal mining) in the steep terrain of the Central Appalachian coalfields.” The term “mountaintop removal mining” refers to those operations that receive a variance from the AOC restoration requirements to facilitate a specific postmining land use. This FEIS does not use the term “mountaintop mining,” and all other surface mining operations will be discussed in terms of the mining methods actually being employed at the operation.

Figure 3.1-11. Mountaintop Mining and Reclamation Operations



Source: Hamon, J. 2010, *Aerial Overflight of Permit 848-0285 Xinerge Corp, Harlan County, Kentucky*, Division of Mine Reclamation and Enforcement, Kentucky Department for Natural Resources, Middlesboro Office

SMCRA provisions allow surface coal mining operations in steep slope areas to apply for and receive a waiver from the AOC requirement, specifically for a steep slope variance, again in exchange for creation of specific postmining land use(s) compliant with the statute and current regulations (30 U.S.C. 1265(d)). SMCRA allows a steep slope variance that specifically accepts final configuration different than premining if it can be shown that the proposed Alternative postmining land use would result in an equal or better economic or public use. Under Section 1265(d) of SMCRA and 30 CFR 701.5, a steep slope is defined as any slope of more than 20 degrees. An applicant for a steep slope variance must demonstrate that total suspended solids or pollutants to surface and ground water from the permit area will be reduced, or flood hazards in the watershed of the permit area will be reduced; and that total volume of flow from the permit area will not vary in a way that adversely affects ecology of any surface water or any existing or planned use of surface or ground water.

3.1.5.5 Open Pit Mining

Open pit or terrace mining is generally used in thick-seam areas with low stripping ratios. This method often places the overburden in temporary off-site storage. Once coal is removed from the initial pit area, the next cut is taken in the direction of the mine advance with the overburden from the new cut hauled to

the existing pit and dumped. The coal is removed and the process of hauling back the overburden is repeated as the pit advances. Modern open pit mines use large mechanical equipment. The amount, type, and size of equipment employed in an open pit mine depend on the characteristics of the coal seam and overburden.

3.1.5.6 Auger and Highwall Mining

Auger and highwall mining have historically been secondary extraction methods that may be employed allowing additional coal extraction horizontally beyond the existing highwalls after their stripping ratio limit has been reached (Figure 3.1-12). This is the last activity to be conducted in a final mine pit before it is backfilled. Depending on the regulatory authority, auger and highwall mining may be permitted as either surface or underground mining.

Figure 3.1-12. Auger Mining



Source: Friends of the Locust Fork River, 2011, *Figure 2; Auger Mining*.

In auger mining, horizontal holes are drilled into a coal seam with auger stems driven by a rotary shaft with a hydraulic ram, working on the principle of an Archimedes screw. While auger holes can reach a distance of 400 feet, 200 feet or less is a more practical limit, as the auger may intersect the bottom strata or wander laterally into adjacent holes as its depth of penetration increases. Augers have a maximum recovery rate of about 33 percent (Suboleski, 1999a).

A continuous highwall mining machine may be used in place of an auger when coal seam characteristics permit. A continuous highwall miner typically has a front set of rotary cutting heads that cut coal from a seam horizontally beyond the existing highwall and direct it onto conveyor cars for delivery to the pit

area. There, a stacking conveyor piles the coal in preparation for truck loading. Continuous highwall miners have a better recovery rate than augers (up to 45 percent of the reserve) and can mine to distances over 1,500 feet (Suboleski, 1999a).

Highwall mining can reach coal reserves that cannot be economically mined by surface methods and is relatively inexpensive compared to other production methods. However, highwall mining has a lower recovery rate due to the coal that must remain between each hole. Maintaining the coal pillar is critical in preventing the intersection of holes, maintaining highwall stability, and preventing loss of equipment in collapsed holes. In many cases, highwall mining negates any possibility of future surface mining at the site because of mechanical damage to the coal seam and lower recovery rate. Normally, highwall mining can only be conducted in a down-dip direction to prevent gravity discharging of ground water.

3.1.5.7 Haul Roads

Haul roads within a mine site are constructed to accommodate the widths of vehicles used on that particular operation. They are usually 50 feet or more wide. The overall grade of a haul road normally does not exceed ten percent for ease of haulage and to minimize brake wear and failure. Lengths of haul roads vary according to the distances necessary to access development, mining, and fill disposal areas. In steep slope areas, ditches are constructed on the uphill sides of haul roads to collect runoff, and culverts are placed at intervals to convey runoff under the road to the downhill side. In flatter terrain, ditches are constructed on both sides of each road, and the road is crowned to allow for drainage to both sides. Temporary haul roads to working areas are usually surfaced with crushed overburden materials, while primary haul roads connecting to public roads are generally surfaced with gravel. Additional ancillary roads (small service roads) may be constructed to access erosion and sedimentation control facilities or support areas (Tannant and Regensburg, 2001).

3.1.6 Underground Mine Waste Disposal

Only a small amount of waste rock generated by the underground mining process can be disposed of in the active mine workings due to space limitations. This disposal is typically performed inside crosscuts. Large underground construction projects can generate excessive amounts of waste rock requiring outside disposal in the coarse refuse disposal areas.

As discussed in Section 3.1.1.4, producing a high-quality, low-sulfur, and low-ash product requires preparation of the raw underground mined coal product. Two kinds of waste result from this process: coarse refuse and fine refuse, commonly referred to as “slurry”. Operators sometimes dispose of fine coal refuse slurry in underground mines on a very limited basis by pumping the slurry into old mine workings through vertical boreholes. This atypical disposal is limited to mines well below the water table that demonstrate diminutive interaction with ground water aquifer systems and adequate outcrop barrier and/or seam depth to prevent a blow-out into the outside environment. This underground injection disposal can be performed in both active and abandoned mines; however, an active mine must develop supplementary safety measures to protect underground personnel from underground blow-outs into active portions of the underground mine. U.S. Environmental Protection Agency (EPA) approval is needed for underground injection of the waste slurry (unless the state has primacy) and an MSHA plan is required for disposal in an active underground mine. A special permit from the state regulatory authority or the EPA pursuant to the Safe Drinking Water Act is required for underground injection operations. These injection

wells are considered Class V wells (mining, sand, or other backfill wells) under the federal regulations found at 40 CFR 144 and 146. State regulations pertaining to mine backfill wells vary significantly in their scope and stringency. Coarse coal processing refuse is not disposed of in an underground mine. Surface disposal of coal refuse is discussed below.

3.1.7 Material Handling and Mine Reclamation

3.1.7.1 Mine Reclamation

Mine reclamation is the process of backfilling, regrading, planting vegetation, and other actions necessary to meet permitting requirements, permit conditions, and performance standards under the applicable regulatory program, on a disturbed mine site.

Postmining land uses can range from what existed before mining, to alternate land uses determined to be higher and better, which may include but are not limited to industrial, commercial, agricultural or forestland uses. Reclaiming a mine site entails four essential steps:

- *Backfilling:* After coal removal, mine pits are backfilled with spoil from new excavations to restore the ground surface. Backfilling, also known as “backstacking” in steep slope areas, may be accomplished by a variety of methods, including casting by draglines or shovels, cast blasting, dozer pushes, and truck haulage and dumping. Normally, mining will advance through a mine site in a series of adjacent excavations, or cuts, with the spoil from each new cut being placed in the pit void left by the previous cut. Sites which generate excess spoil must haul that spoil to excess spoil fills or other disposal fill types adjacent to the immediate mining area.
- *Regrading:* This activity is the shaping of spoil areas to final reclamation contours. After spoil casting or haulage and dumping, spoil areas usually have a very irregular surface that must be smoothed to better resemble a natural land surface. Regrading of spoil is primarily accomplished by dozers, with the final site topography determined by the site reclamation plan and the approved postmining land use. These plans aim to fulfill the regulatory obligation to achieve AOC, unless that requirement is waived according to very specific and limited regulatory circumstances, which is discussed in greater detail later in this section.
- *Excess Spoil Generation:* After coal removal, the mine operator places spoil in the mined-out area for reclamation. Under SMCRA the operator must grade the spoil to closely resemble the general surface premining topography (30 U.S.C. 1265(b)(3), 30 CFR 701.5 and 816.102(a)(1)). This is referred to as returning the reclaimed mine to the AOC.

There are situations, particularly in steep terrain, where the volume of spoil is more than sufficient to return the reclaimed land to AOC or due to potential instability of the reclaimed slopes it is not technically feasible to return all the spoil to the mined-out area when reclaiming the site. Surplus spoil material disposed of in locations other than the mined-out area, except for material used to blend spoil with surrounding terrain in achieving AOC in non-steep slope areas, is referred to as “excess spoil.” In steep slope terrain, the mine operator may place the excess spoil either in adjacent valleys, or on previously mined sites. There are several types of steep-slope excess spoil fills. For a detailed discussion of excess spoil disposal methods and trends, the reader is referred to Section 3.4 of this FEIS, which deals with topography.

- *Topsoil Redistribution or Substitution:* The final earthmoving activity is redistribution of stockpiled topsoil over the surface, or preparation of a topsoil substitute, if topsoil replacement is not employed. Where topsoil has been stockpiled, it is redistributed by dozers or scrapers at an application rate determined by available quantities. Use of topsoil substitutes requires a variance during the mine permitting process. When redistributing soil materials it is important that compaction of the materials be avoided or minimized so as not to inhibit root growth and development when reestablishing vegetation in the reclaimed mine area. Areas in which over compaction occurs may require the ripping of soil materials to alleviate compaction problems. This issue is particularly critical in locations requiring the successful reestablishment of deeply rooted plants such as trees and some restored agricultural land use types, frequently lands identified as prime farmlands.
- *Revegetation:* Following spreading or preparation, the topsoil or topsoil substitute is planted and seeded with species mixes reflecting the intended postmining land use. Many coal mine sites occur in forested areas, and tree planting is sometimes part of the revegetation process. Other shrub and herbaceous species may be included in the revegetation mix for wildlife habitat. Planting may be conducted by hand or with tractor-towed mechanical planters, and seeding accomplished using hydroseeders that concurrently apply a stabilizing cellulose mulch and fertilizer. Revegetation planting and seeding mixes are approved as part of the mine permitting process. If vegetation types or postmining land uses are proposed that differ from the premining land use of a site, then the change must be approved by the regulatory authority.

Forestry Reclamation Approach: In addition to the steps outlined above, the recently introduced Forestry Reclamation Approach is one method of reclaiming surface coal mines to forested postmining land use (ARRI, 2011). This approach entails several steps:

- 1) Create a suitable rooting medium for good tree growth that is no less than four feet deep and comprised of topsoil, weathered sandstone and/or the best available material;
- 2) Loosely grade the topsoil or topsoil substitute established in step one to create an appropriate growth medium;
- 3) Use ground covers that are compatible with growing trees;
- 4) Plant two types of trees: early succession species for wildlife and soil stability, and commercially valuable crop trees; and
- 5) Use proper tree planting techniques.

Many coal-bearing lands were forested prior to mining. As a result of research and recent changes in regulatory policy, many surface coal mines are now being restored with native forest species after mining using the Forestry Reclamation Approach.

3.1.7.2 Processing Facilities

Coal mined by both underground and surface mining methods may contain waste rock and excessive sulfur and may not be suitable for immediate consumer use. This coal must be processed to reduce the impurities and may be blended with higher quality coal before delivery. Some coals must also be sized

for the end use. Coal mined by underground methods may contain up to 50 percent rock or more because of rock seam partings removed with the raw coal or because it is necessary to mine rock from the roof or floor to gain access height; while under certain circumstances, coal may not need to be processed at all if it has few impurities, i.e., when the seam does not have partings, meets the contracted sulfur content, and is mined “within seam.” Some surface operations do have to process the raw coal, but usually they can selectively mine the coal and remove waste rock without mixing the two; this is dependent on the geology and equipment used.

Processing facilities may include screens to separate coal into acceptable size grades; crushers to further reduce coal to desired size grades; and washing plants to clean rock and sulfur impurities from coal. Washing plants may use a high-density medium (usually fine magnetite) in water to separate low-density clean coal from contaminants with a closed-loop magnetite recycling system. Reject materials from screens and crushers and residue from washing plants are hauled or pumped to coal refuse disposal facilities.

Processed coal can then be blended with other coal stock to achieve the desired market quality grades and sizes. Blending may be accomplished by mobile equipment, such as loaders, or using a system of mobile stacking conveyors. Stockpiles and/or silos are typically present on site to store raw, cleaned, and blended coal prior to transport.

3.1.7.3 Coal Refuse Disposal Facilities

Coal mine waste or refuse (rock separated during the cleaning of coal, frequently shale) is typically disposed off-site adjacent to a coal processing facility. Most coal refuse disposal facilities are impoundments formed by constructing an embankment or dam across an existing hollow or valley in steep slope topography or in above-ground impoundments in flat or gently sloping areas. The embankment is often constructed from the coarser refuse material in a series of lifts as refuse slurry accumulates behind the embankment.

Coal refuse disposal facilities are long-term investments because of their size, support facilities, and reclamation requirements. The typical life of a coal refuse disposal facility is approximately 20 years. One or more mines may contribute to a single coal processing facility and/or shipping point.

Refuse with small particle sizes, known as “fines,” is usually pumped in slurry form from the processing facility to a refuse slurry impoundment. Aside from storage, the refuse impoundments serve to settle fines and decant water. As a mixture, these slurries may also include other materials including, sand, mill tailings, or other materials (e.g., coal combustion byproducts, coal cleaning wastes, acid mine drainage (AMD) treatment sludge). At surface mines in less mountainous areas, final pit areas are frequently used to dispose of fine coal wastes and do not require the construction of an impounding embankment.

In addition to being stored in impoundments, slurry refuse can also be pumped or injected into abandoned underground mine workings after EPA and MSHA approval. Underground injection wells are used in many mining regions throughout the country to inject slurry refuse into mined-out portions of underground mines. On occasion, injection also occurs into the rubble disposal areas at surface mining sites. Mine shafts and pipelines in an underground mine, as well as more “conventional” drilled wells, are used to dispose of slurries and solids. This form of backfilling may be used to provide surface subsidence

control (the most common purpose), enhanced ventilation control, mine fire control, disposal of mine waste, enhanced recovery of minerals, mitigation of AMD, and improved safety.

According to a 1999 state and EPA Regional survey, there are approximately 5,000 documented mine backfill wells and more than 7,800 additional wells estimated to exist in the U.S. A total of 17 states report having underground injection wells. More than 90 percent of the documented wells reported are in four states: Ohio (3,570), Idaho (575), West Virginia (401), and North Dakota (200) (U.S. EPA, 1999).

A special permit from the state regulatory authority or the EPA pursuant to the Safe Drinking Water Act is required for underground injection operations. These injection wells are considered Class V wells (mining, sand, or other backfill wells) under the federal regulations found at 40 CFR 144 and 146. State regulations pertaining to mine backfill wells vary significantly in their scope and stringency. Some states impose few restrictions while others require permitting, or impose requirements by contract rather than regulation. Some of these approaches include permit by rule (e.g., West Virginia, Idaho, North Dakota), general or area permits (e.g., Wyoming), and individual permits (e.g., Ohio). In states that have not obtained primacy under SMCRA and for surface coal mining operations on federal and Indian Program lands, federal permit requirements for mining must include information on injection or backfill activities (U.S. EPA, 1999).

3.1.7.4 Coal Refuse Secondary Recovery Operations

Coal refuse placed before 1970 often contains a low BTU-value material that can be reprocessed to recover the coal or burned as is in specialized fluidized bed reactors. The refuse is referred to by various names, including: “gob” (garbage of bituminous) or “boney” in the bituminous coal mining regions of western Pennsylvania, West Virginia and elsewhere; and “culm” in the eastern Pennsylvania anthracite region. These secondary operations may recover either coarse or fine coal refuse materials for market sales.

Large volumes of this coal refuse accumulated at mining sites from the time mining first began in the Appalachians through the late 1970s. Permit applications for reprocessing or removing this coal refuse include plans to safely excavate and reduce the loose, potentially combustible, and/or acid-forming potential of coal refuse. Final reclamation plans include geotechnical and hydraulic engineering design criteria to ensure long-term stability of any remaining material, eliminate a source of pollutional discharge and reclaim the land to a higher use (MSHA, 2009a).

Beginning in the late 1970s, coal preparation became more efficient, thus improving the coal product while lowering the BTU of the generated waste. Current mining operations continue to generate coal refuse, though likely at lower quantities than in previous decades.

3.1.8 Bonding and Financial Assurance

3.1.8.1 General Bonding Requirements

One of the major purposes of SMCRA is to ensure adequate reclamation of all areas disturbed by coal mining operations. Section 509 of SMCRA, and its implementing regulations at 30 CFR Part 800, require that, prior to permit issuance, the applicant file a performance bond with the regulatory authority. The

bond guarantees that sufficient funds will be available to complete the approved reclamation plan in the event the permittee fails to do so.

The bond amount required for each bonded area must be determined by the regulatory authority, and depends on the requirements of the approved permit and reclamation plan. The amount of bond must be sufficient to assure completion of the reclamation plan if the regulatory authority must perform the work.

The method for determining required bond amounts varies with the regulatory authorities program requirements. Where OSMRE is the regulatory authority, OSMRE's Handbook for Calculation of Bond Amounts provides guidance for the bond calculation method (OSMRE, 2000c). The method is a standard engineering cost estimating procedure in which reclamation costs for the "worst case" reclamation scenario are determined. The "worst case" is the hypothetical point of maximum reclamation cost liability within the approved mining and reclamation plan. Some regulatory authorities use a similar approach, while others base bond amounts on unit costs per permitted acreage. The regulatory authority evaluates bond adequacy and adjusts bond amounts as appropriate at the time of permit revision, or when the cost of future reclamation changes. Bond reduction as a result of reclamation work accomplished is processed as an application for bond release.

There are three major types of reclamation bonds:

- Corporate surety bonds;
- Collateral bonds (cash; certificates of deposit; real property and first-lien interests in real estate; letters of credit; federal, state, or municipal bonds; and investment-grade securities); and
- Self bonds (legally binding corporate promises without separate surety or collateral, available only to permittees who meet certain financial tests).

Regulatory programs vary somewhat in terms of which financial instruments are acceptable. Some programs have excluded the self-bond option. Subject to regulatory authority approval, a permittee may post any combination of bond types and instruments recognized by that regulatory program, provided the total sum equals the required reclamation bond amount at all times. Each regulatory authority prescribes and furnishes forms for filing reclamation bonds. The forms differ for each type of bond. All bonds are payable to, or pledged to, the regulatory authority.

Reclamation performance bonds are posted to cover all mining and reclamation operations during the term of the permit. Prior to permit issuance, the permittee posts a bond to cover the entire permit area or an identified increment of land within the permit area upon which the operator will initiate and conduct surface coal mining and reclamation operations during the initial term of the permit. Prior to conducting operations on succeeding increments, the operator will file additional bond to cover such increments. Either a cumulative bond or an incremental bonding schedule may be used for bonding increments of land within the approved permit.

Reclamation bonds are typically released in three phases. Phase 1 bond releases are granted after satisfactory backfilling and regrading have been completed on the disturbed area. Phase 2 releases are granted after completion of revegetation activities. Phase 3 releases are granted after the operator has successfully completed all surface coal mining and reclamation activities and met water quality standards for runoff leaving the permit area. However, the remaining portion of bond may not be released before

the expiration of the period of extended responsibility specified at 30 CFR 816/817.116 for establishing successful revegetation.

3.1.8.2 Alternative Bonding Systems

Alternative bonding systems are any system not specifically identified in 30 CFR 800.12. One type of alternative bonding system is the “bond pool”. In lieu of requiring permittees to post an individual bond covering the entire estimated cost of completing the approved reclamation plan, some states authorize or require permittees to participate in a bond pool. Under these systems, the permittee normally posts a conventional bond (surety bond, letter of credit, etc.) for an amount determined by multiplying the number of acres in the permit area by a flat per-acre assessment. The bond amount may vary depending on the type and site-specific characteristics of the planned mining operation. In addition, the permittee generally must pay an annual acreage fee or a tonnage fee as coal is mined. These funds are used to reclaim any site for which a participant in the alternative bonding system fails to complete all reclamation obligations and available conventional bond funds (surety, letter of credit, etc.) are inadequate to complete the required reclamation.

Under OSMRE regulations, all alternative bonding systems must provide a significant economic incentive for the permittee to comply with reclamation requirements. They must also ensure that the regulatory authority has adequate resources to complete the reclamation plan for any sites that may be in default at any time.

3.1.8.3 Bonding for Long-term Treatment

Regulatory authorities only approve those permit applications in which the operation is designed to prevent off-site material damage to the hydrologic balance. In no case should a permit be approved if the determination of probable hydrologic consequences predicts the formation of a postmining pollution discharge that would require continuing long-term treatment without a defined endpoint. However, it is recognized that unanticipated discharge could develop on occasion despite the use of the best science available. In these cases a permit revision is required to incorporate the long-term treatment plan in the permit and the permittee must post sufficient financial assurance to cover all foreseeable long-term costs. The permittee may, subject to regulatory authority approval, establish a financial guarantee separate from the existing bond to cover these long-term costs. This assurance takes the form of a conventional bond, a trust fund or other appropriate instrument that meets the requirements of 30 CFR Part 800.

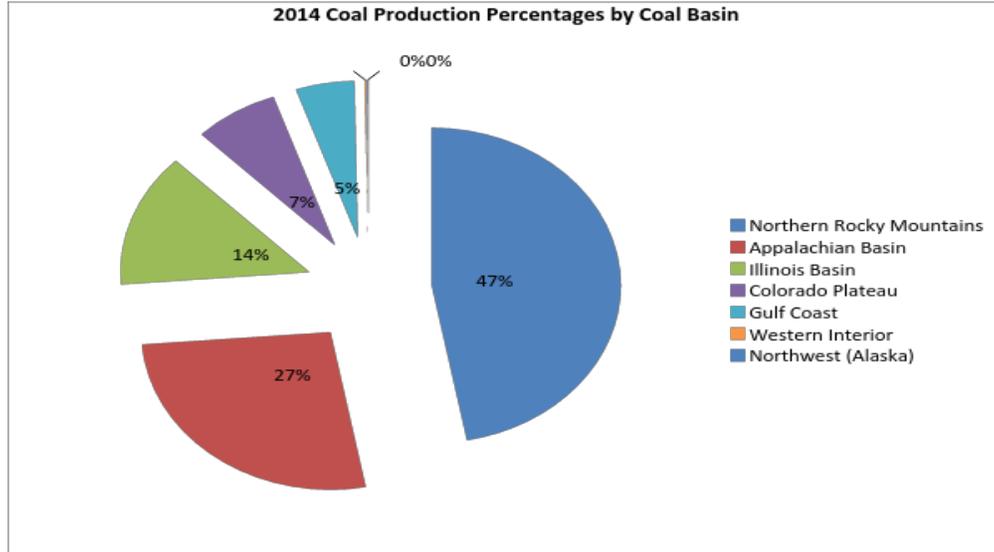
3.1.8.4 Liability Insurance

The regulatory authority requires that each permit application include a certification that the applicant has a public liability insurance policy in force for coal mining and reclamation activities for which the permit is sought. The certificate must be issued by an insurance company authorized to do business in the U.S. Such a policy provides for personal injury and property damage protection in an amount adequate to compensate any persons injured or property damaged as a result of the surface coal mining and reclamation activities, including the use of explosives, and who are entitled to compensation under the applicable provisions of state law. The policy remains in full force during the life of the permit. Minimum insurance coverage for bodily injury and property damage must be \$300,000 for each occurrence and \$500,000 aggregate.

3.1.9 Coal Resources and Coal Mining by Region

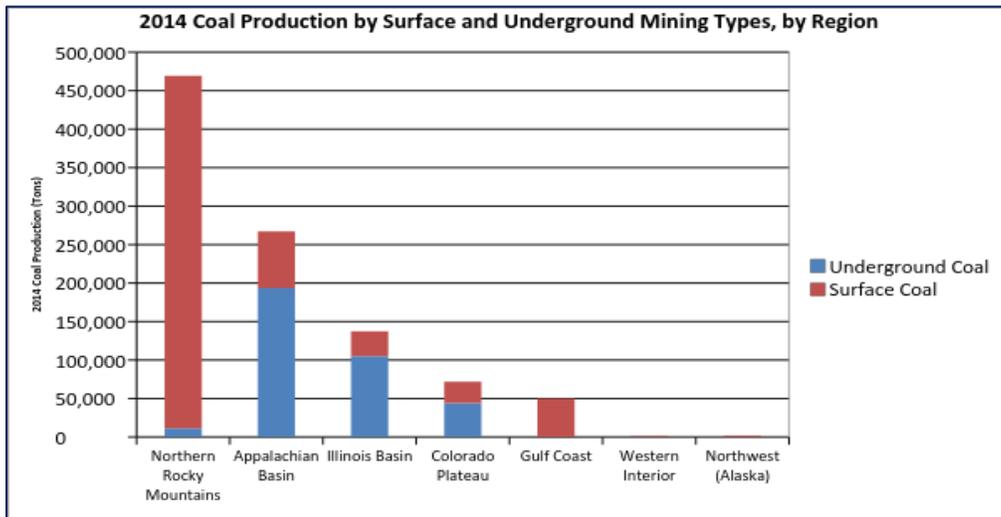
This section outlines the types of coal resources and reserves present in each of the seven study regions and coal production within each region. The charts below provide an overview of production and the type of mining method used by region (Figures 3.1-13 and 3.1-14).

Figure 3.1-13. Percent Coal Production by Region (2014)



Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016.

Figure 3.1-14. Coal Production by Surface and Underground Mining by Region

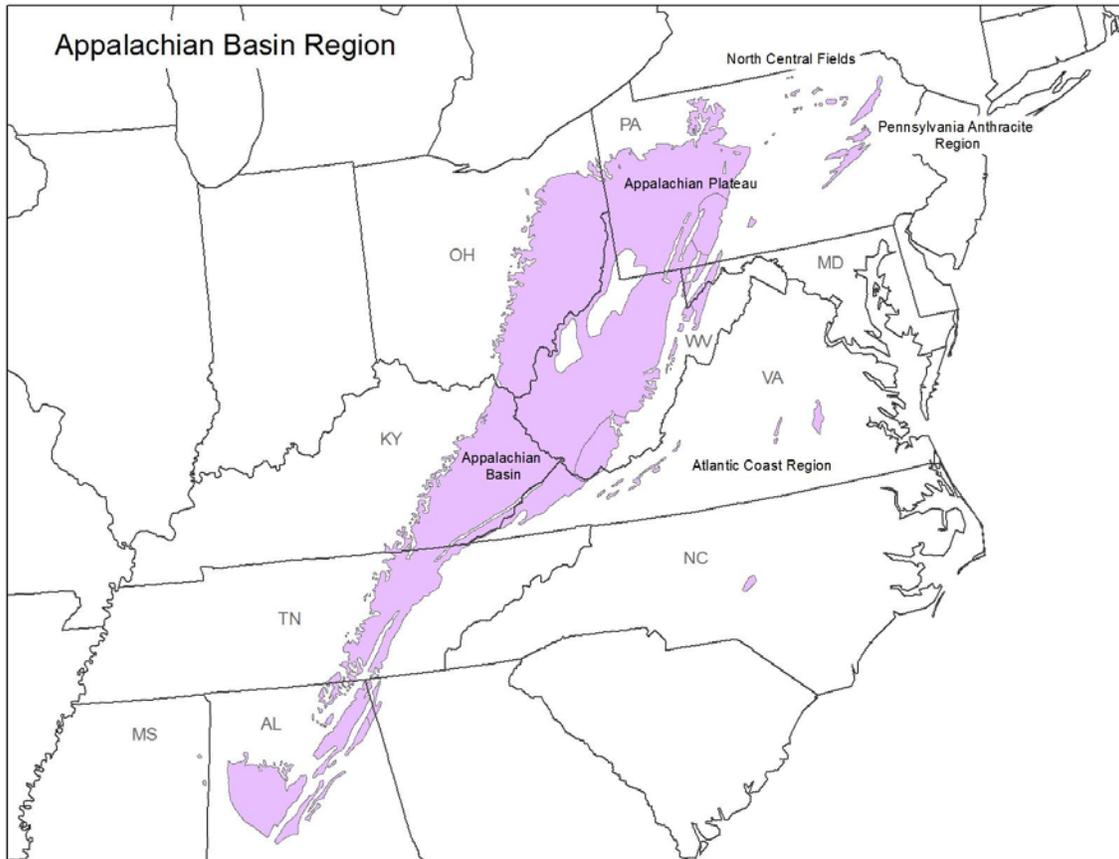


Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016.

3.1.9.1 Appalachian Basin Region Mining

The Appalachian Basin region includes coal reserves located in Alabama, Georgia, eastern Kentucky, Maryland, North Carolina, Ohio, Pennsylvania, Tennessee, Virginia, and West Virginia (Figure 3.1-15). This region accounts for approximately 20 percent of the Nation’s overall demonstrated reserves, 35 percent of the Nation’s demonstrated bituminous reserves, and 98 percent of the Nation’s demonstrated anthracite reserves.

Figure 3.1-15. Appalachian Basin Coal-Bearing Region

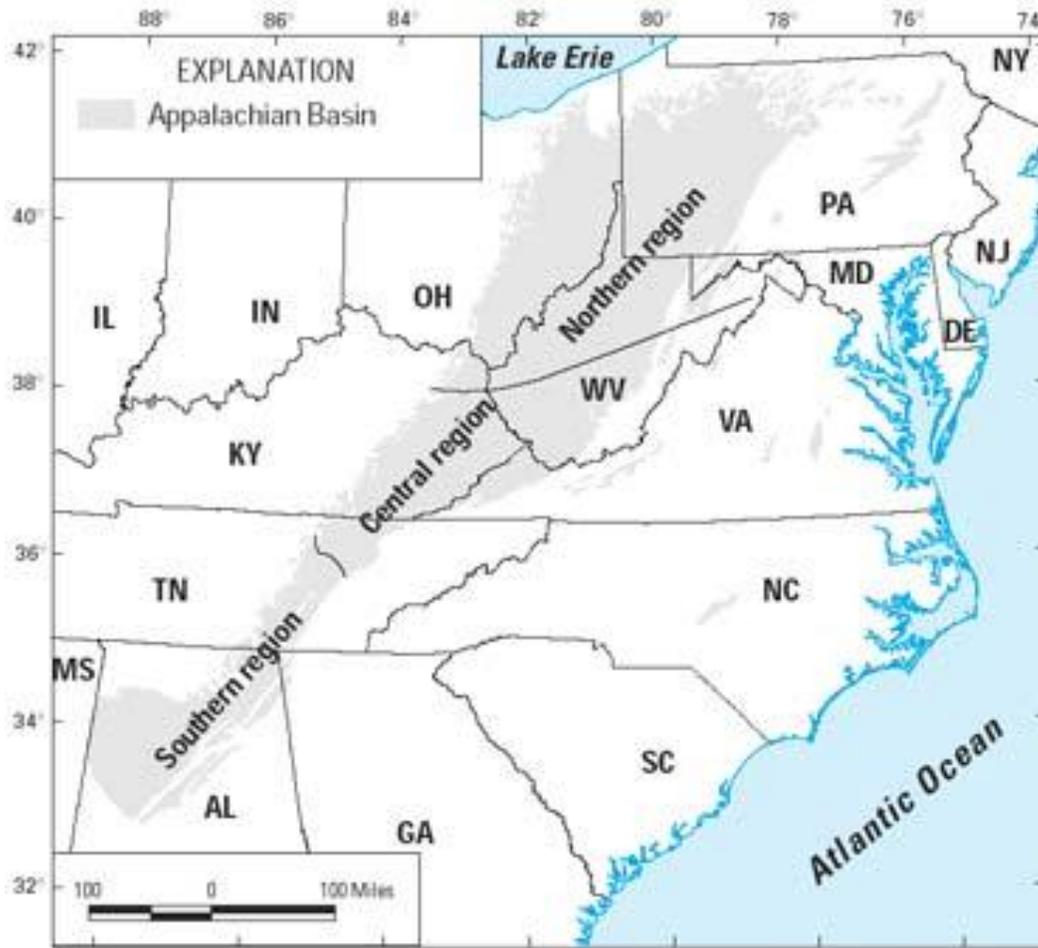


Source: Data- USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.1.1 Location of Regional Coal Reserves

In practice, the Appalachian region has traditionally been divided into three coal-producing regions based on geologic structure and stratigraphy: the Northern Appalachian region, located in western Pennsylvania, eastern Ohio, western Maryland, and northern West Virginia; the Central Appalachian region, located in west-central and southwestern West Virginia, eastern Kentucky, northern Tennessee, and southwestern Virginia; and the Southern Appalachian region, located in southern Tennessee, northern Alabama, and northwestern Georgia (Figure 3.1-16).

Figure 3.1-16. Locations of the Three Appalachian Basin Coal Regions



Source: Ruppert, L., et al., 2005, Coal Resources of Selected Coal Beds and Zones in the Northern and Central Appalachian Basin, Figure 1, USGS, Fact Sheet 004-02. <http://pubs.usgs.gov/fs/fs004-02/fs004-02.html>

3.1.9.1.2 Property Ownership

Federal surface lands along the eastern seaboard of the U.S. include U.S. military properties, national parks and forests, water bodies, and other recreational areas and monuments. The U.S. also holds some land in trust for Indian tribes or individual Indians. A U.S. Geological Survey (USGS) study determined that within four coal beds in the Appalachian Basin region, the federal surface ownership accounts for less than five percent of their total resource areas (USGS, 2005a).

While surface ownership does not necessarily imply ownership of mineral rights, remaining coal resources underlying federal surface ownership have been estimated by the USGS at about 8.3 billion tons in five coal beds in the Appalachian Basin region, of which only a portion is likely available or economically feasible to mine. These statistics show that a significant amount of coal resources appear to be located under federal lands in this region.

3.1.9.1.3 Types of Coal Resources

Bituminous and anthracite coal are mined in the Appalachian Basin region. Bituminous coal is found throughout the Appalachian Basin region, while anthracite is found almost exclusively in northeastern Pennsylvania. The majority of the coal resources in this region are located in thick beds with low to medium sulfur content and high BTU content. The remaining resources are located in medium to thin beds and generally have higher sulfur contents. High BTU resources remain recoverable through underground methods, while few large surface mineable resources remain (Luppens et al., 2009).

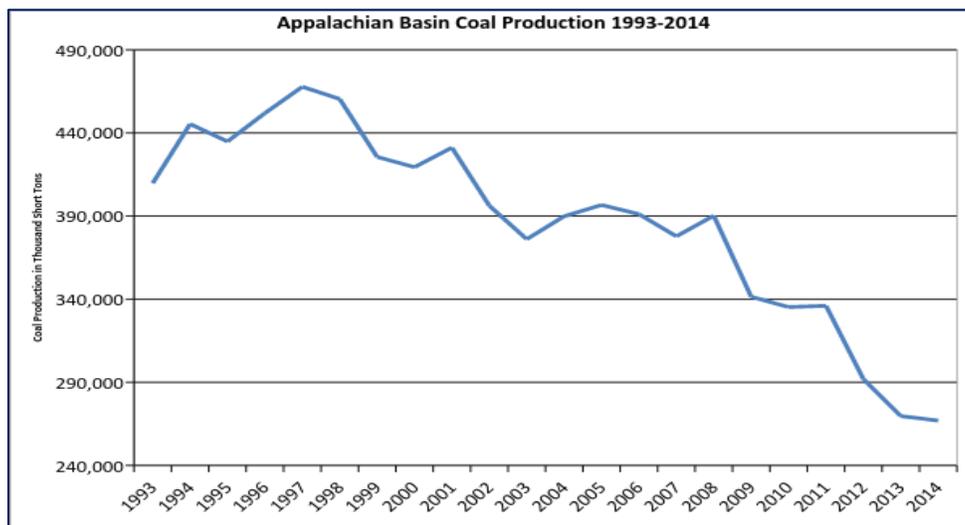
3.1.9.1.4 Extraction Method

Surface mining accounted for approximately 28 percent of the production in the Appalachian Basin region in 2014. Underground mining accounted for 72 percent of the production in the Appalachian Basin region in 2014; longwall mining operations accounted for 62 percent of the 2014 underground coal production in this region. As of the 2011 dataset, Appalachia leads the nation in underground coal production with 18 of the 41 total U.S. longwall installations, more than any other region.

3.1.9.1.5 Coal Production, Production Trends, and Number of Mines

Coal production numbers in Appalachia show a declining trend. Overall, Appalachia produced approximately 267 million tons of coal in 2014, a decrease of 21 percent from 2011 (Figure 3.1-17). As reported in the FEIS, fourth quarter 2012 production in the Appalachian Basin region totaled 67.9 million short tons, declining 1.7 percent and 17.3 percent from the third quarter 2012 and fourth quarter 2011, respectively. As of the 2012 the Appalachian Basin region contained 701 active surface mines which produced approximately 99 million tons, while 466 underground mines produced approximately 194 million tons.

Figure 3.1-17. Coal Production Trends in the Appalachian Region (Thousands of Tons)

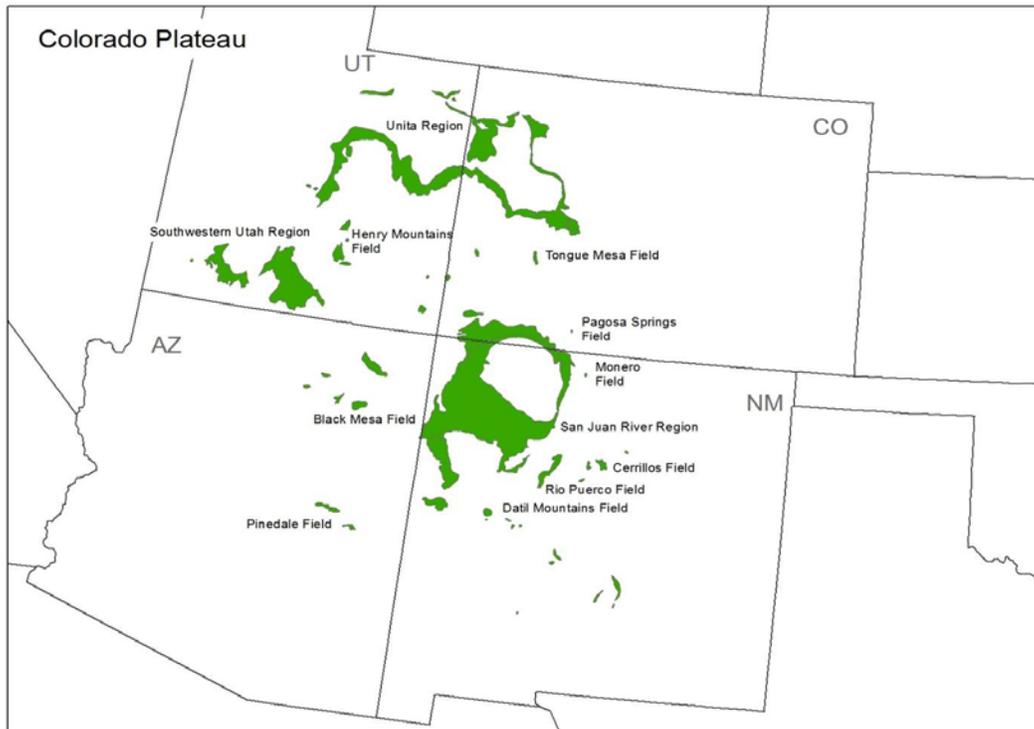


Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

3.1.9.2 Colorado Plateau Mining

The Colorado Plateau physiographic region includes coal reserves in Colorado, Utah, New Mexico and Arizona (Figure 3.1-18). Colorado, Utah, and New Mexico account for the majority of coal production within the Colorado Plateau. The total estimated demonstrated reserves within this region are 33.2 billion tons, 19.1 billion of which are considered recoverable. Recoverable reserves include mostly bituminous and subbituminous coal with a minimal amount of anthracite. Coal from this region is high in calorific value (BTU/lb.) and low in sulfur content.

Figure 3.1-18. Colorado Plateau Coal-Bearing Region



Source: Data- USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.2.1 Location of Regional Coal Reserves

The coal-bearing regions in the Colorado Plateau are predominantly located in western Colorado, eastern Utah, and northwestern New Mexico. As shown, major coal fields in this region are the Uinta Region, Henry Mountains, the Southwestern Utah Region in Utah; the San Juan River Region coal fields, which straddles the border between Colorado and New Mexico; the Black Mesa coal field in northern Arizona and the Datil Mountains; the Rio Puerco; the Cerrillos Field; and Monero Fields in Western New Mexico. The creation of the Grand Staircase – Escalante National Monument in 1996 in southern Utah has limited coal recovery in the Southwestern Utah Region.

3.1.9.2.2 Property Ownership

Coal is present beneath federal, tribal, state, and private lands in the Colorado Plateau region. About 50 percent of the surface coal-bearing areas in the Colorado Plateau region are administered by the Bureau of Land Management (BLM), the U.S. Forest Service (U.S. FWS), the National Park Service (NPS), or other federal agencies. About 23 percent of the coal-bearing area consists of tribal lands, which, although held in trust by the U.S. government, are not considered federal lands. About 26 percent of the coal-bearing region is administered by state agencies or is privately owned.

In 1997, about 30 percent or 330 million tons of coal mined in the U.S. came from federal lands; 52 million of those tons came from the Colorado Plateau region. Current tonnage from federal lands is higher; sales of coal from federal lands amounted to 402 million short tons in 2014 (U.S. EIA, 2016b). In FY2014 Wyoming produced 80 percent of the coal on federal and Indian lands (U.S. EIA, 2016b). Approximately 71 percent of the region's total coal resources (more than 360 billion tons) are federal coal (USGS, 2000a; USGS, 2001b).

3.1.9.2.3 Types of Coal Resources

The Colorado Plateau contains both bituminous coal, which spans the border of Colorado and Utah and the Black Mesa coal field in Arizona, and subbituminous coal, which exists predominantly in New Mexico and parts of Colorado. The San Juan Basin continues to contain large amounts of low to medium sulfur, low BTU, high ash coal that is recoverable through dragline or truck and shovel methods. Longwall operation is used for most deep mining, where coal seams are thicker, low in sulfur, and contain high BTU values.

3.1.9.2.4 Extraction Method

Surface mining accounted for about 38 percent of production in the Colorado Plateau in 2014; most of these operations employed medium or large open pit or area mines (U.S. EIA, 2016). As of 2011, the U.S. EIA estimated that about eight billion tons of coal were recoverable by surface methods (U.S. EIA, 2012a).

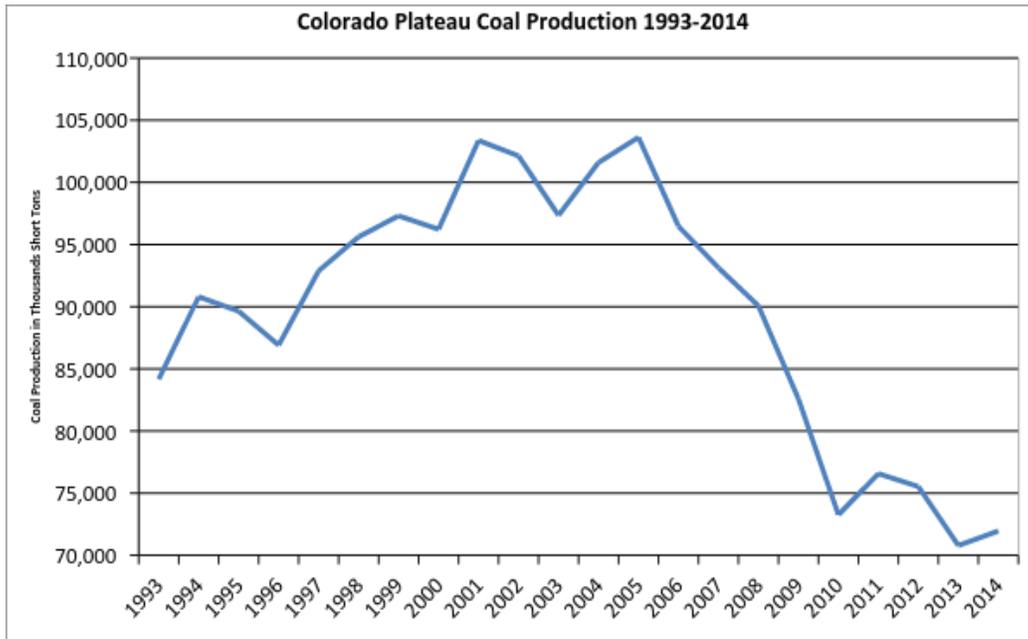
Underground mining accounted for 62 percent of production in 2014, with 93 percent of that coming from longwall mining operations with nearly all the remainder done through continuous mining methods (U.S. EIA, 2016). As of 2011, the U.S. EIA estimated that about 11.1 billion tons were recoverable by underground methods in the region (U.S. EIA, 2012a).

3.1.9.2.5 Coal Production, Production Trends, and Number of Mines

In 2014, the Colorado Plateau region produced a total of 71.9 million tons of coal (Figure 3.1-19) (U.S. EIA, 2016), a decrease of about 6 percent from the numbers derived from the 2011 annual coal production report (U.S. EIA, 2012a). In 2012, the Colorado Plateau contained 24 underground mines which produced approximately 45 million tons of coal and eight surface mines which produced approximately 30 million tons.

The U.S. EIA estimates that about 19.1 billion tons of coal is recoverable within this region, making up 58 percent of the region's demonstrated reserves. These reserves represent about seven percent of the nation's recoverable reserves.

Figure 3.1-19. Coal Production Trends in the Colorado Plateau (Thousands of Tons)



Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

3.1.9.3 Gulf Coast Region Mining

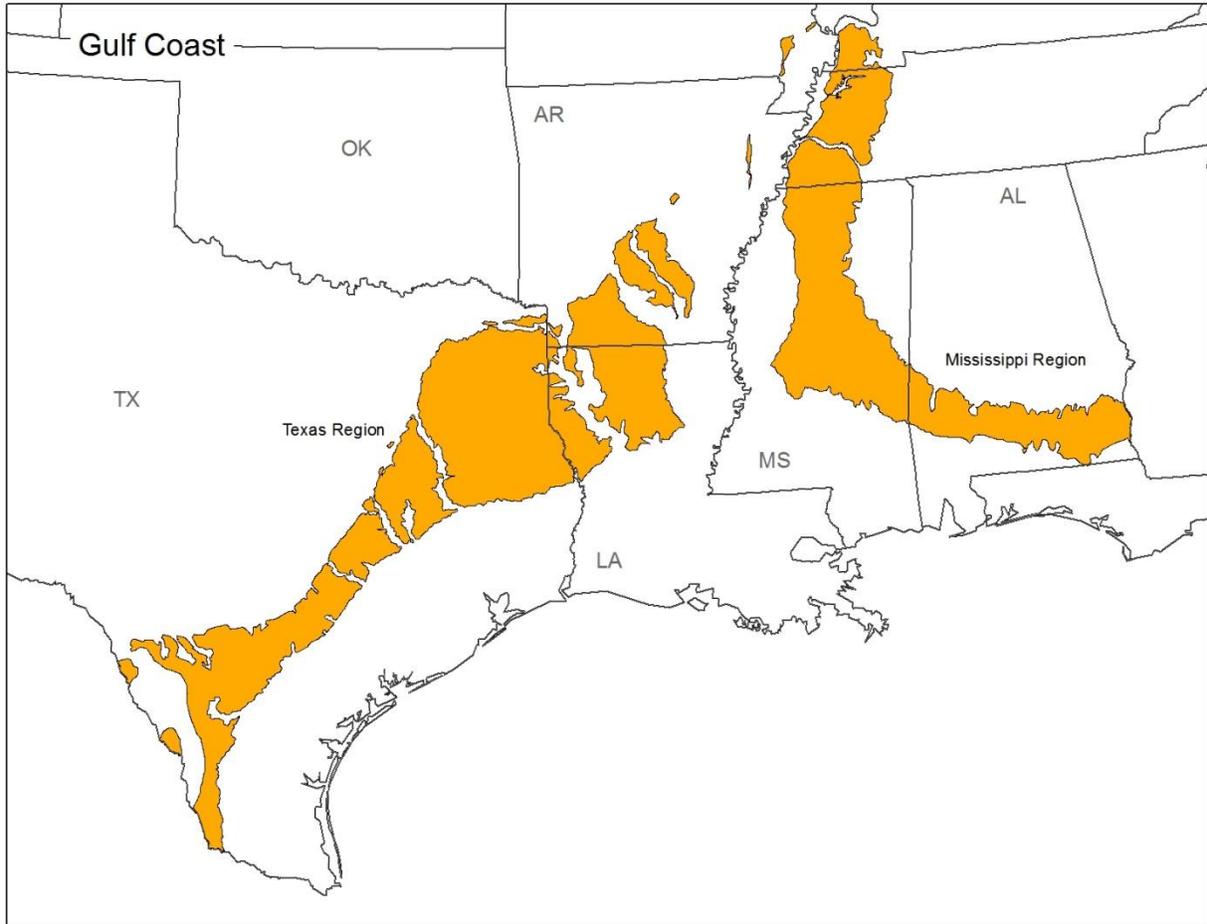
3.1.9.3.1 Location of Regional Coal Reserves

The Gulf Coast region is home to a widespread area of primarily lignite coal reserves, the majority of which are located in Texas, the largest coal-producing state in the region. The coal-bearing area runs mainly through southeastern Texas, northern and central Louisiana, Mississippi, southern Alabama, and southern and eastern Arkansas (Figure 3.1-20). These lignite-producing areas include coal measures from the Tertiary Period – Eocene Epoch of the Claiborne Group, the Wilcox Group, the Jackson Group, the Naheola Formation, and the Olmos Formation.

3.1.9.3.2 Property Ownership

Federal surface lands in the Gulf Coast region include lands managed by the U.S. Department of Defense, U.S. Department of Agriculture Forest Service, and the U.S. Fish and Wildlife Service. The U.S. also holds some land in trust for Indian tribes or individual Indians. Although no systematic inventory of federal mineral ownership exists for this region, initial studies indicate that about half of the federal surface estate in the Gulf Coast region is underlain by federally owned minerals.

Figure 3.1-20. Gulf Coast Coal-Bearing Region



Source: Data: USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.3.3 Types of Coal Resources

Virtually all of the remaining reserves in this region are lignite, the lowest rank of coal with the lowest amount of energy (BTUs). The demonstrated reserve base in the Gulf Coast region is estimated to be 16.6 billion tons. Remaining recoverable reserves in the region are estimated to be 12.3 billion tons, or 74.3 percent of the demonstrated reserve base. All of the remaining reserves in the region are lignite.

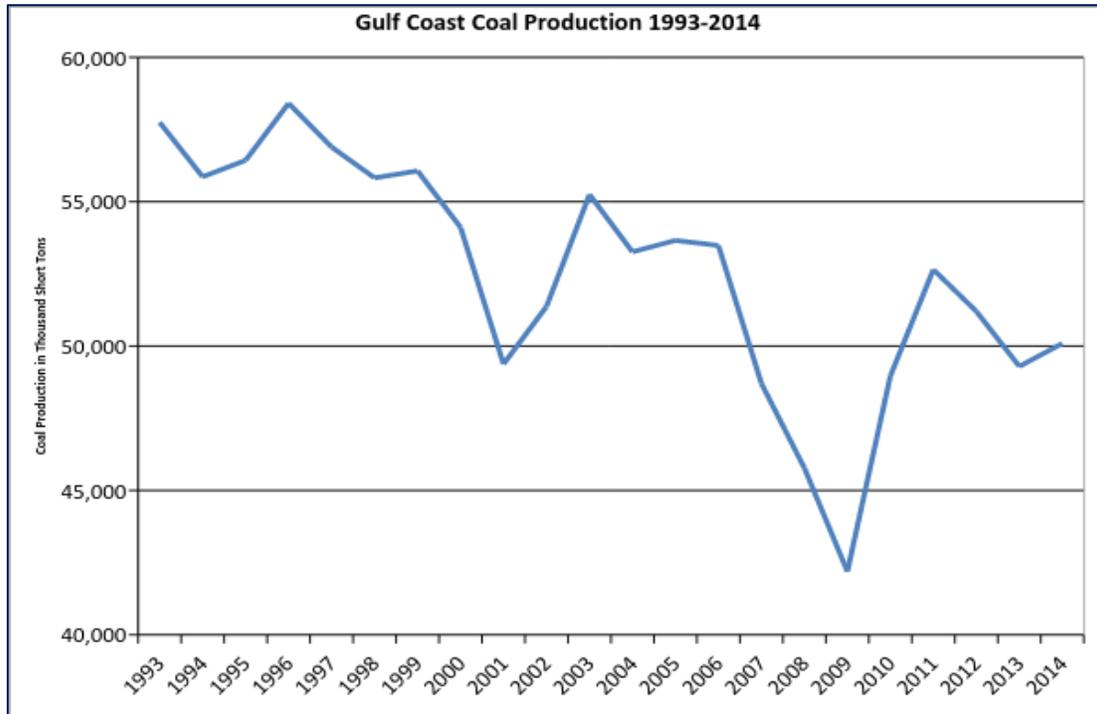
3.1.9.3.4 Extraction Method

Mining currently in this region occurs exclusively by surface methods, although historically prior to SMCRA underground mining occurred in Texas. The predominant mining technique is by dragline which is ideal due to the relatively unconsolidated overburden and flat digging conditions. Scrapers may be used in some operations with smaller outputs where thinner seams are mined. Most remaining deposits are multi-bedded and would require a combination of dragline and truck and shovel methods to extract. Bucket wheel excavator stripping operations are employed, as well, but limited to special conditions and circumstances (Kahle and Mosely, 1983).

3.1.9.3.5 Coal Production, Production Trends, and Number of Mines

Overall, the Gulf Coast produced 50.1 million tons of coal in 2014 (U.S. EIA, 2016). As of 2012 data the U.S. EIA reported that 86 percent of the regions coal was mined in Texas from a total of 19 active surface mines. The remaining 14 percent was mined in Mississippi and Louisiana (Figure 3.1-21). As of 2012, the Gulf Coast region had 19 active surface mines.

Figure 3.1-21. Coal Production Trends in the Gulf Coast Region (Thousands of Tons)



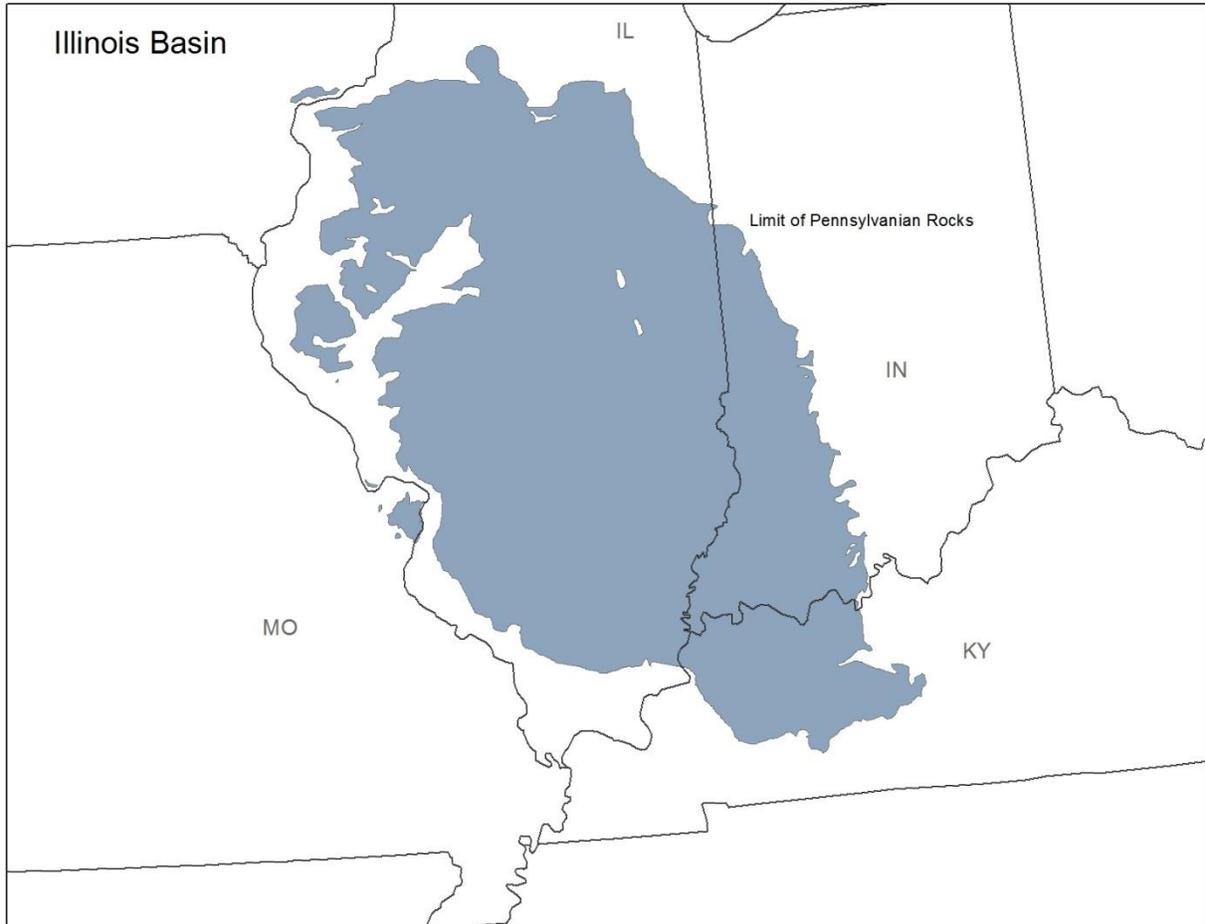
Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016.

3.1.9.4 *Illinois Basin Region Mining*

3.1.9.4.1 Location of Regional Coal Reserves

The Illinois Basin region includes Illinois, Indiana, and Western Kentucky (Figure 3.1-22). Michigan, which has one coal-bearing region, while not part of the Illinois Basin region, is mentioned here, but will otherwise not be discussed as part of the EIS as there is currently no active mining in the state.

Figure 3.1-22. Illinois Basin Coal-Bearing Region



Source: Data- USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.4.2 Property Ownership

Federal land ownership in the Illinois Basin region is minimal, but includes the Shawnee National Forest in Southern Illinois, the Hoosier National Forest in Indiana, and several small National Wildlife Refuges.

3.1.9.4.3 Description of Coal Reserves

All coal in the Illinois Basin region is bituminous. About 78 percent of the coal resources in this region are located in Illinois. The vast majority of potential coal reserves in the region (about 93 percent) are considered high-sulfur, with just six percent and one percent of medium- and low-sulfur coal, respectively (USGS, 2009).

3.1.9.4.4 Extraction Method

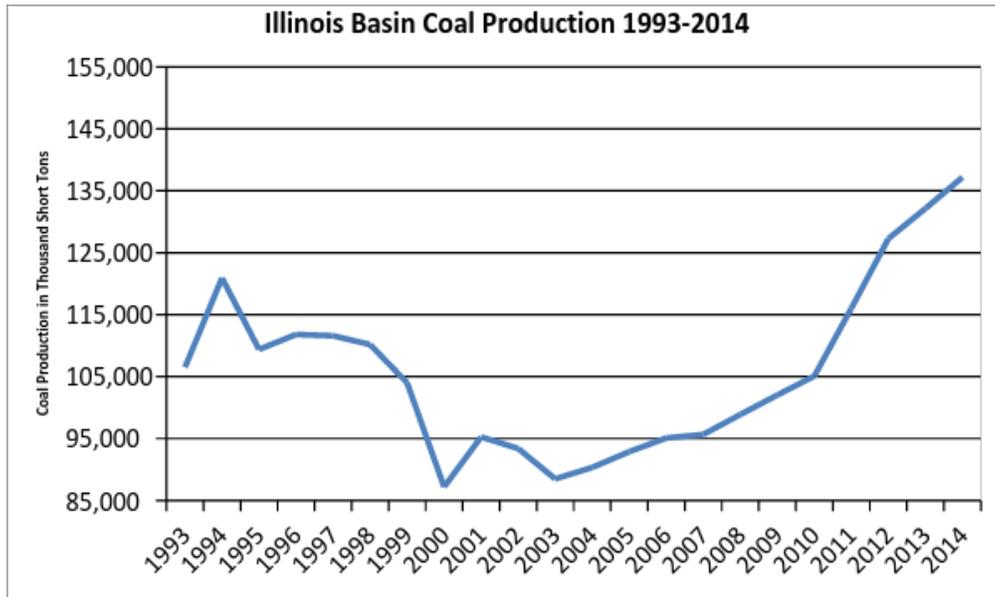
Surface mining accounted for 23 percent of the production in the Illinois Basin region in 2014 (U.S. EIA, 2016). As of 2011, the U.S. EIA estimated that 12.6 billion tons of coal reserves were recoverable by

surface methods (U.S. EIA, 2012a). The dragline method had been the primary surface mining method in this region, but as smaller surface mines have become more predominant the use of more flexibly and less expensive truck-shovel mining techniques have increased. Underground mining is the dominant mining method in this region, making up 77 percent of the production in the region in 2014 (U.S. EIA, 2016). Data within the U.S. EIA 2012 Annual Coal Report (U.S. EIA, 2013h) show that approximately 38 billion tons were recoverable from the Illinois Basin states through underground mining. Much of the coal produced by underground mining (68 percent) in 2014 was through the continuous room and pillar mining method, while the remainder was produced by longwall mining (U.S. EIA, 2016).

3.1.9.4.5 Coal Production, Production Trends, and Number of Mines

The Illinois Basin region produced 137 million tons of coal in 2014 (Figure 3.1-23). As of 2012, the U.S. EIA estimated that about 38 percent, or 50.7 billion tons, of the demonstrable reserves were recoverable (U.S. EIA, 2013h). The Illinois Basin region has seen a fairly significant increase in coal production over the last eleven years due to the installation of scrubber technology by Midwestern power generators that allow the use of higher sulfur coals typically produced in the basin. This technological conversion by utilities has allowed them to turn from lower sulfur energy sources, typically from the Powder River Basin in Wyoming, to sources from within the Illinois Basin.

Figure 3.1-23. Coal Production Trends in the Illinois Region (Thousands of Tons)



Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

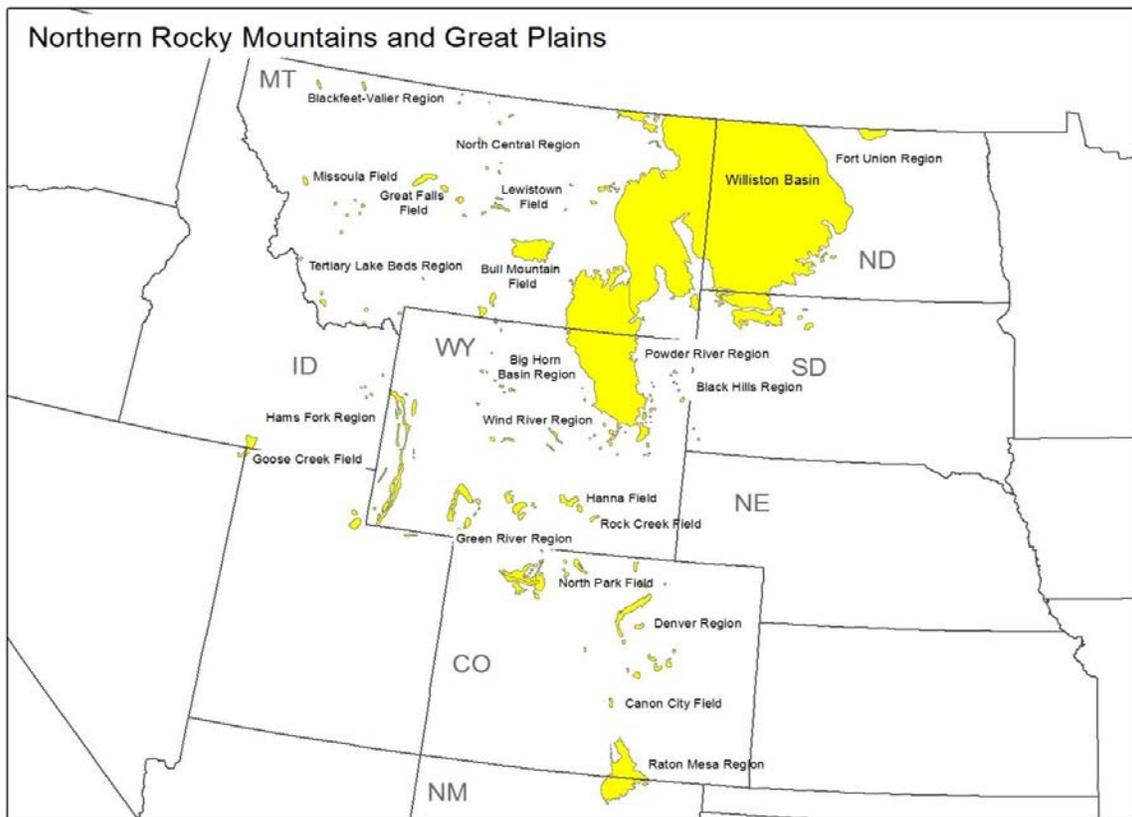
In 2012, there were 50 surface mines in the region, which produced approximately 35 million tons of coal, while 43 underground mines produced approximately 92 million tons (U.S. EIA, 2013h).

3.1.9.5 Northern Rocky Mountains & Great Plains Region Mining

3.1.9.5.1 Location of Regional Coal Reserves

The Northern Rocky Mountains and Great Plains has coal reserves distributed through parts of Wyoming, Montana, North Dakota, South Dakota, and Colorado (Figure 3.1-24). As shown, the predominant coal fields in this region are the Raton Basin, Green River Region, Powder River Region, Bull Mountain Field, and Williston Basin. The Power River Region, which straddles Montana and Wyoming, and the Williston Region in North Dakota and Montana represent some of the most abundant coal deposits in the U.S.

Figure 3.1-24. Northern Rocky Mountains and Great Plains Coal-Bearing Region



Source: Data- USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.5.2 Property Ownership

Most federal coal production comes from coal regions in the Northern Rocky Mountains and Great Plains region. The surface of about 32 percent of the 313 million acres of land in this region is federally managed. About 80 percent of coal in this region, 520 billion tons, is federally owned. Federal coal production in 1997 came predominantly from Wyoming and Montana and totaled about 280 million tons. Federal coal production generates more than a quarter billion dollars in royalties annually (USGS, 2000a).

Sixty-eight percent of surface property in this region is owned by tribal, state, and private entities.

3.1.9.5.3 Types of Coal Resources

The Northern Rocky Mountains & Great Plains region contains all ranks of coal, excluding anthracite. Bituminous and subbituminous resources are found in Wyoming and Montana, and lignite resources are found in the Montana, North Dakota, and South Dakota. Approximately 94 percent of the coal mined in this region is subbituminous, five percent being lignite and approximately one percent being bituminous (U.S. EIA, 2012a). The Powder River Basin is by far the nation's largest source of low sulfur coal (USGS, 2000a).

3.1.9.5.4 Extraction Method

About 98 percent of the mining in this region is surface mining (U.S. EIA, 2016). These mines tend to have a low stripping ratio, generally 1:1 to 4:1. Such minimal ratios are due to the combined benefits of shallow overburden and thicker coal seams. Recoverable reserves by surface mining are estimated to be 65.4 billion tons as of 2009.

Surface mines in this region are primarily medium or large open pit mines. In parts of the region, 70-foot or thicker seams exist and overburden to coal ratios of 1:1 or less are not uncommon. Open-pit mining in these seams begins with uncovering a sufficient area of coal to allow extraction and to provide an open area for future overburden placement. Initial overburden is spread and stored on adjacent land areas and revegetated. Coal thickness usually necessitates a benching operation for removal with a loading shovel or similar equipment. Expansion of the pit can proceed in any direction from this initial point, usually along only one course at a time until a limit is reached, such as a natural barrier, property line or outcrop. Overburden is sometimes removed by a dragline, and trucked and dumped in mined-out areas of the pit and later graded to a contour compatible with surrounding terrain.

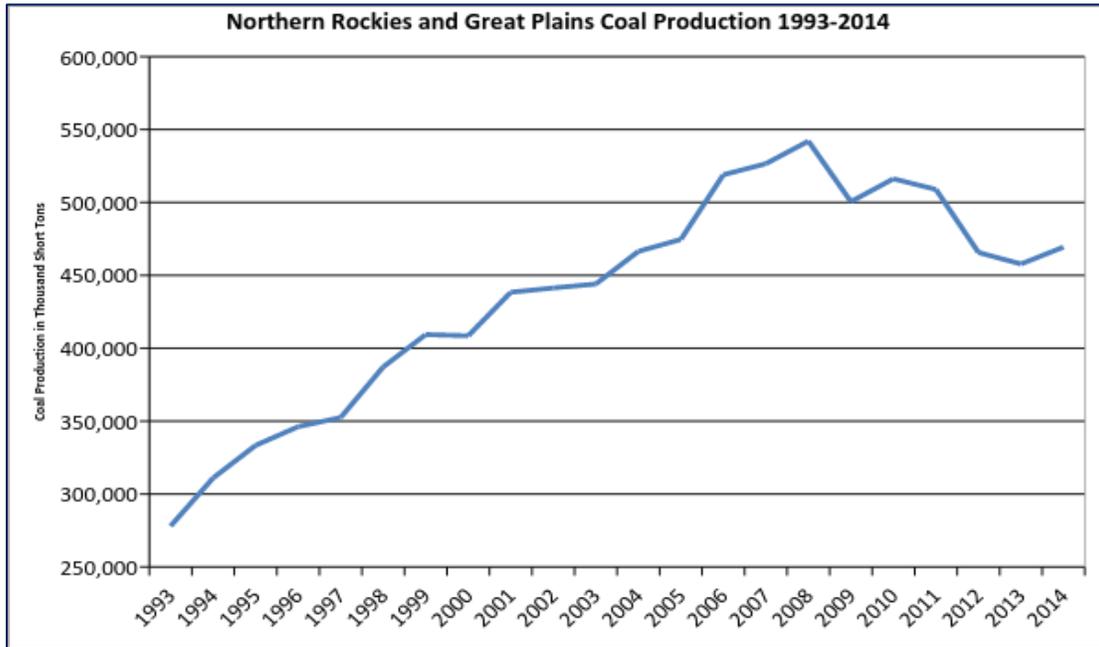
Underground mining accounted for the remaining two percent of coal production in 2014. However, the resources estimated to be recoverable by underground mining in this region were 58.8 billion tons (U.S. EIA, 2012a).

3.1.9.5.5 Coal Production, Production Trends, and Number of Mines

In 2012, the region had 27 surface mines producing 455 million tons of coal and two underground mines producing ten million tons of coal (Figure 3.1-25). Production in 2014 was similar with 458 million tons from surface mines and 11 million from underground (U.S. EIA, 2016). In 2012, ten mines from this region were the top ten producing mines in the U.S. Of these top ten producing mines, nine are located in Wyoming, and the remaining one is in Montana. These ten mines produced 38 percent of the coal in the entire nation in 2012. The top two producing mines in Wyoming accounted for 20 percent of the coal produced in the U.S. in 2012.

The region contains about 206 billion tons in demonstrated reserves, 63.2 percent of which are estimated to be recoverable. About 82 percent of the demonstrated reserves consist of subbituminous coal found in Wyoming and Montana. At active mine sites, the region contains about nine billion tons in recoverable reserves, equal to about 53 percent of the unmined recoverable reserves at permitted mines in the United States. Montana has the largest amount of coal resources and coal reserves of any state in the nation, and Wyoming mines about 40 percent of the nation's coal, mostly coming from the Powder River Basin.

Figure 3.1-25. Coal Production Trends in the Northern Rocky Mountains and Great Plains Region (Thousands of Tons)

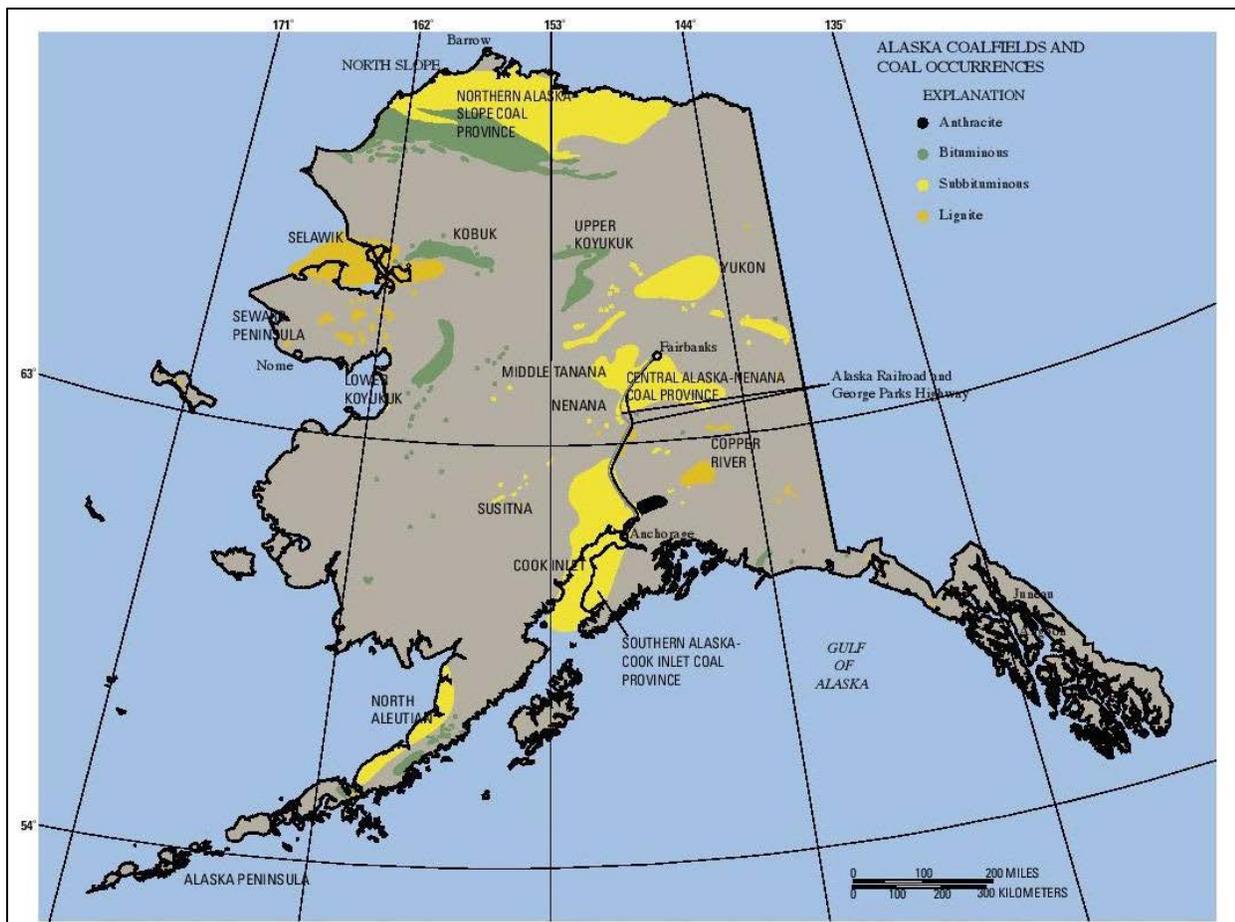


Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

3.1.9.6 Northwest Region Mining

The Northwest Mining region combines potentially mineable coal resources in Oregon, Washington, and Alaska. However, no coal extraction has occurred in Oregon within the last ten years, and no new production is anticipated in the reasonable future. Likewise, no coal extraction is currently taking place in Washington, but there is one mine in that state that is reprocessing coal waste impoundments. Future significant production is not reasonably foreseeable in the state of Washington because coal production here is historically very low with poor quality reserves. Coal is actively being mined in Alaska, and, therefore, the area assessed for mining in the Northwest Region for this FEIS will be limited to Alaska.

Figure 3.1-26. Northwest Region (Alaska) - Coal-Bearing Resources



Source: Flores, R.M., Stricker, G.D., & Kinney, S.A. (2004). Alaska Coal Geology, Resources, and Coalbed Methane Potential. USGS DDS-77, U.S. Department of the Interior. <http://pubs.usgs.gov/dds/dds-077/pdf/DDS-77.pdf>

Coal resources in Alaska are distributed in three major provinces: the Northern Alaska-Slope, Central Alaska-Nenana, and Southern Alaska-Cook Inlet. These three coal provinces constitute about 87 percent of Alaska's coal resources. Previous studies have identified 50 coal fields in Alaska (Wood and Bour, 1988) (See Figure 3.1-26).

Coal in Alaska, while abundant, has not been produced in large quantities because of constraints involving coal depth, transportation options, and coal quality. Coal exportation in the northern Alaska is limited because of restricted access in the winter, remoteness of the area, the complexity of mine development, and the difficulty in transporting coal to regional markets and coastal shipping locations. Coal mining has been intermittent in the Central Alaskan-Nenana and Southern Alaska-Cook Inlet coal provinces, with only a small fraction of the identified coal resource having been produced from some dozen underground and strip mines in these two provinces (Flores, et al., 2004).

3.1.9.6.1 Location of Coal Reserves

For purposes of this action, the Nenana coal field and the Matanuska coal fields, located within the Central Alaskan-Nenana and Southern Alaska-Cook Inlet coal provinces respectively, constitute the affected area of the Northwest Region for the purposes of this EIS. Coal mining in these two provinces has been intermittent in with only a small fraction of coal mined and produced from the identified coal resources in these two provinces (Flores, et al., 2004). As of 2015 the Nenana coal field is the only actively mined area. The Nenana coal field exists primarily within the northern foothills of the Alaska Range. Usibelli Coal Mine, Inc. is currently the sole surface coal mine operator in Alaska. The Usibelli Coal Mine, Inc. holds five active mining permits in the Nenana coal field: Poker Flats, Gold Run Pass, Two Bull Ridge, Jumbo Dome and Rosalie mines.

The Matanuska coal field is located in the Matanuska River valley at the northeast head of Cook Inlet. It occupies an area of approximately 695 square miles. Surface coal mine permits have been issued in the Matanuska coal field, but no active coal extraction is currently taking place.

There are several exploratory and mining proposals in various stages of review within the Central Alaskan-Nenana and Southern Alaska-Cook Inlet coal provinces. However, the status, feasibility, and timeline for actual mining at these locations are unknown at this time. For purposes of the studies in this FEIS, proposals for mining in the exploratory and/or study phases are not considered reasonable foreseeable and not included in the review of this action. The names and descriptions of the proposals still in the exploratory and/or study stages include the following:

- Chickaloon – is an exploration activity located in the Matanuska coal field within the Southern Alaska-Cook Inlet coal province, approximately three miles northwest of the community of Chickaloon.
- Chuitna Coal Project – is a surface coal mining and export proposal for coal located in the Beluga Coal Field within the Southern Alaska-Cook Inlet coal province in Southcentral Alaska. The U.S. Army Corps of Engineers is preparing a Supplemental EIS for this project. To date, there is no completion date proposed for the Record of Decision.
- Healy Calley Cola Exploration – is an exploration activity within the Central Alaskan-Nenana coal province, approximately four miles southwest of Healy, Alaska.
- Hoseanna/Emma Creek Exploration – is under renewal request for two additional years of exploration and is located within the Central Alaskan-Nenana coal province, approximately two miles northeast of Healy, Alaska.
- Jonesville – is an exploration activity located within the Southern Alaska-Cook Inlet coal province, approximately two miles northwest of Sutton in Southcentral Alaska
- Linc Tynek – is an exploration activity located in the Beluga Coal Field within the Southern Alaska-Cook Inlet coal province approximately seven miles northwest of Tynonek, Alaska.

3.1.9.6.2 Property Ownership

The Northwest region has federal, tribal, state, and private surface ownership. Only a small percentage of Alaska's National Parks, National Wild and Scenic Rivers, National Wildlife Refuges, and National Wilderness Preservation Systems are coal-bearing. Approximately two percent of these lands, or about

142,000,000 acres, are coal-bearing, and contain only 0.6 percent of the Nation’s demonstrated reserve base. In total, these areas contain approximately 4,086 million tons of mineable coal.

3.1.9.6.3 Types of Coal Resources

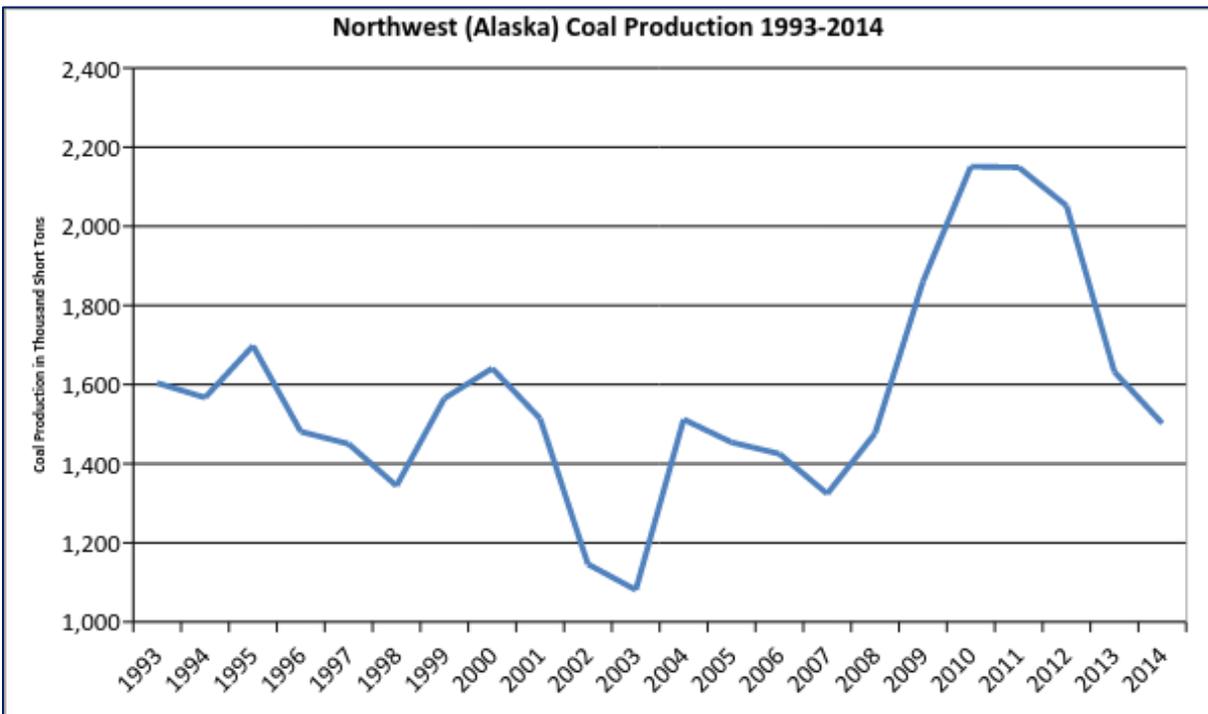
Alaska contains lignite, sub-bituminous, bituminous, and anthracite coal resources. The total estimated recoverable reserves mineable by surface methods in Alaska are 489 million tons, while 2335 million tons are estimated to be recoverable by underground methods, with only about 674 million tons of the demonstrated reserve estimated to be mineable by surface methods (U.S. EIA, 2012a).

The Usibelli surface coal mine is located about ten miles from the entrance to Denali National Park in the Healy-Nenana coal fields. While low in sulfur, the coal from the Usibelli mine has a low calorific value averaging 7,650 BTU/lb. (Coal Age, 2009).

3.1.9.6.4 Coal Production, and Production Trends

The Usibelli mine produced approximately 2.1 million tons of coal in 2012 and 1.5 million tons in 2014 (Figure 3.1-27) (U.S. EIA, 2012a and U.S. EIA, 2016).

Figure 3.1-27. Coal Production Trends in the Northwest Region



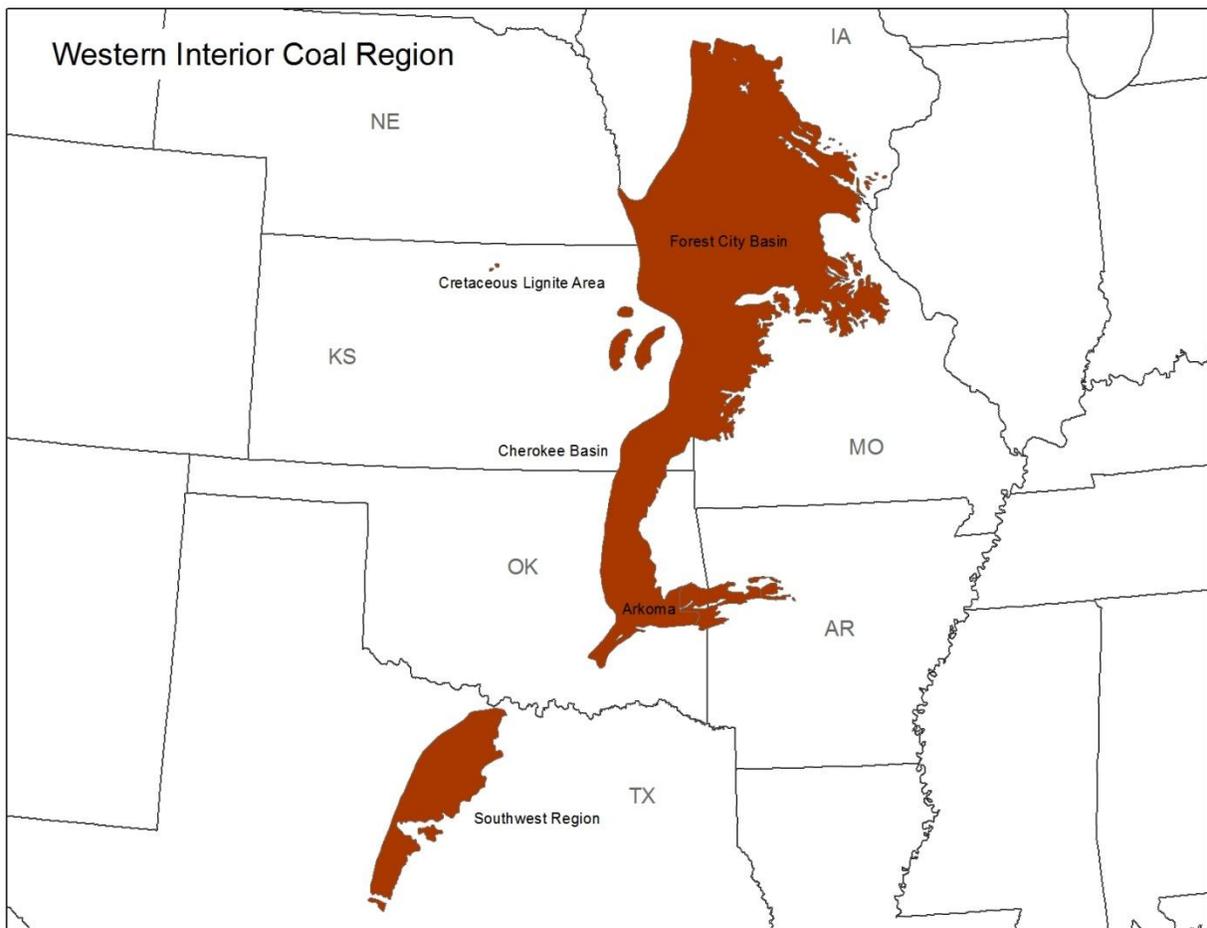
Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016

3.1.9.7 Western Interior Mining

3.1.9.7.1 Location of Coal Resources

The Western Interior region includes the states of Oklahoma, Kansas, Missouri, Iowa, and the west-central region of Arkansas (Figure 3.1-28). Missouri contains 25.7 percent of the estimated demonstrated reserves in the region; however, Oklahoma produces 66 percent of the currently mined reserves as of 2012. Note that while the figure includes the “Southwest Region” in Texas, no coal production in that area has been reported since the enactment of SMCRA, therefore this region is not included in the FEIS analysis.

Figure 3.1-28. Western Interior Coal-Bearing Region



Source: Data -USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.1.9.7.2 Property Ownership

Federal land ownership in this region is limited largely to several national forests in Arkansas and Missouri. The U.S. also holds lands in trust for Indian tribes and individual Indians. Data on the location

of coal reserves in relation to federally owned land for this region is lacking, though there is some SMCRA permitting of federally owned coal in Oklahoma.

3.1.9.7.3 Types of Coal Resources

The coal in this region is all bituminous, except for coal found in west-central Arkansas, which contains the third highest amount of demonstrated reserves of anthracite in the nation (after Pennsylvania and Virginia). All coal mined in 2012 and 2014 was bituminous in rank.

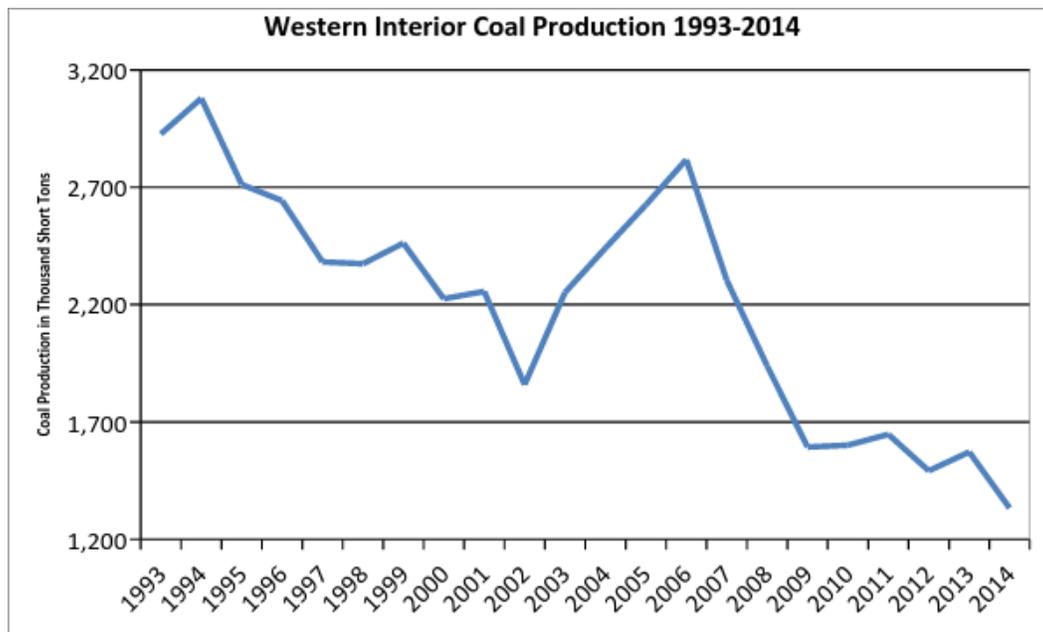
3.1.9.7.4 Extraction Methods

Mining methods in the Western Interior region include both area surface mining and underground mining methods. Surface mining accounted for 70 percent of production in this region in 2014. As of 2012 three of the underground mines in the Western Interior region were in Oklahoma, with the other producing mine in Arkansas. The projected remaining reserves recoverable by underground mining methods in the region are approximately 2.2 billion tons.

3.1.9.7.5 Coal Production, Production Trends, and Number of Mines

The Western Interior region consisted of ten surface mines which produced 1,143,856 tons and three underground mines which produced 445,689 tons in 2012 (Figure 3.1-29). Surface mining efforts produced about 935 thousand tons in 2014 (U.S. EIA, 2016). Underground mining produced approximately 0.4 million tons in 2012, decreasing to 398 thousand tons by 2014.

Figure 3.1-29. Coal Production Trends in the Western Interior (Thousands of Tons)



Source: Derived from Annual Coal Reports 1994-2014, U.S. Department of Energy; Energy Information Administration; <http://www.eia.gov/coal/annual/>; as retrieved July, 2016.

3.2 Geology

Geologic environments for the coal regions of the U.S. are analyzed relative to each region's depositional environment and geologic history. For purposes of this discussion, the geology is described according to each of seven coal-producing regions identified in Section 3.1. For a map depicting the location and extent of each of these regions see Figure 3.1-1.

The seven coal-producing regions described in this chapter are:

- Appalachian Basin;
- Colorado Plateau;
- Gulf Coast;
- Illinois Basin;
- Northern Rocky Mountains and Great Plains;
- Northwest; and
- Western Interior.

Within each region, discussions are further refined according to states, coal fields, or physiographic provinces. A physiographic province is a geographic region characterized by similarities of geology, landforms, and climate. Each province is notably distinct from surrounding areas. Some of the coal basins encompass such large areas that their geologic descriptions have been generalized. The geologic description of each basin is intended to familiarize the reader with each basin's geologic history as well as to introduce the names of major rock strata and coal-bearing units. A copy of the geologic time scale (See Figure 3.2-1) is provided here as a general reference for the geologic time terms used in the following discussions.

Figure 3.2-1 Geologic Time Scale

Eon	Era	Period, Subperiod	Epoch	Age	Millions of Years	
Phanerozoic	Cenozoic	Quaternary	Holocene		0.01	
			Pleistocene	Late	0.76	
		Early		1.8		
		Tertiary	Neogene	Pliocene	Late	3.6
					Early	5
				Miocene	Late	11
					Middle	16.5
			Paleogene	Oligocene	Early	24
					Late	28.5
				Eocene	Late	34
					Middle	37
		Paleocene	Early	49		
			Late	55		
		Mesozoic	Cretaceous	Late	61	
				Early	65	
				Late	97	
	Early			144		
	Jurassic		Late	160		
			Middle	180		
			Early	205		
			Late	228		
	Triassic		Middle	242		
			Early	248		
			Late	256		
			Early	295		
			Late	304		
			Early	311		
	Paleozoic	Permian	Middle	324		
			Late	340		
			Early	354		
			Late	372		
		Pennsylvanian	Middle	391		
			Early	416		
			Late	422		
			Early	442		
		Mississippian	Late	458		
Early			470			
Middle			495			
Late			505			
Devonian		Late	518			
		Middle	544			
		Early	900			
		Late	1600			
Silurian	Late	2400				
	Early	3000				
	Middle	3400				
	Early	3800				
Ordovician	Late	None defined				
	Middle					
	Early					
	Late					
Cambrian	Late					
	Middle					
	Early					
	Late					
Proterozoic	Late					
	Middle					
	Early					
	Late					
Archean	Late					
	Middle					
	Early					
	Late					
Precambrian	Late					
	Middle					
	Early					
	Late					

Source: The Science Education Resource Center at Carleton College, 2011, *Figure 1: Pre-Miocene- Geologic Time Scale*, Carleton College, http://serc.carleton.edu/research_education/nativelands/nezperce/geology.html

3.2.1 Appalachian Basin Region

The Appalachian Basin region forms a northeast-southwest trending belt, 90 to 370 miles wide, which can be subdivided into four physiographic provinces. From east to west these are: the Piedmont, the Blue Ridge, the Valley and Ridge, and the Appalachian Plateau provinces (See Figure 3.2-2). Coal-bearing strata occur primarily in the Appalachian Plateau and Valley and Ridge provinces.

The Appalachian Basin region (a depositional lowland) encompasses the coal-bearing areas of Pennsylvania, Ohio, Maryland, Georgia, West Virginia, Virginia, eastern Kentucky, Tennessee, and Alabama (See Figure 3.2-2). During the geologic time period known as the Pennsylvanian, (See Figure 3.2-1) streams flowed from minor uplands in the east toward an open marine environment to the west. The Appalachian Basin, located between these two regions, existed in a depositional setting marked by river flood plains, migrating streams, coastal swamps, marshes, peat bogs, sand bars, and lagoons. The shallow swamps were populated by abundant trees and plants that dominated the landscape. As plants died, vegetation accumulated in the widespread swamps and bogs, slowly decomposing to form peat. Periodic river flooding covered the swamps with sands, further compressing the organic debris. As the peat became denser and its moisture content reduced, the process of conversion to lignite (the lowest rank of coal) began. From time to time, the western sea encroached over the land and covered the swamps with marine sands and mud. As the Appalachian Basin subsided repeatedly throughout the Pennsylvanian, this sequence of events was repeated many times, ultimately giving rise to the present-day extensive coal deposits.

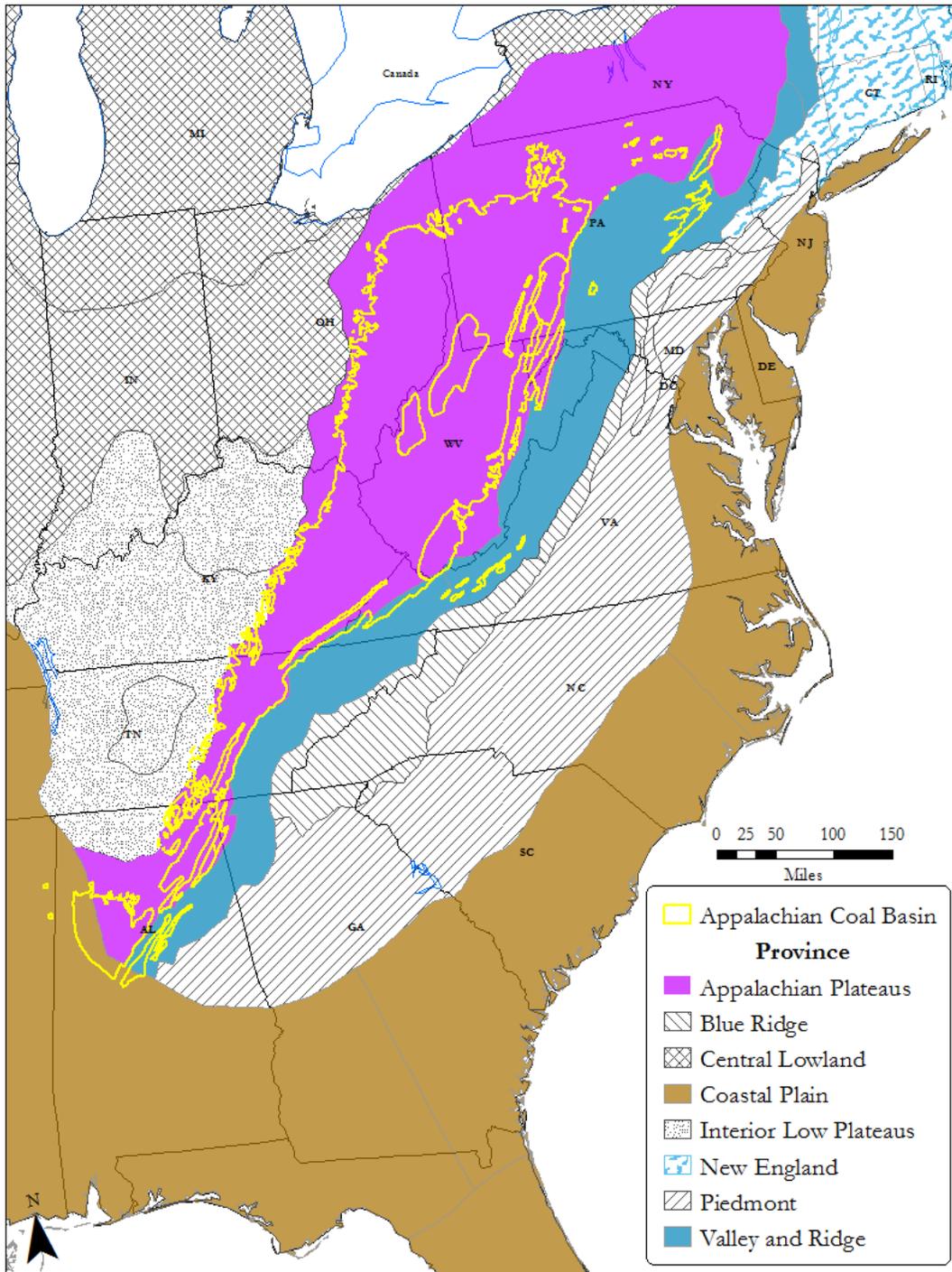
Formation of coal deposits ceased when the Appalachian Basin was destroyed as a result of uplift and mountain building in the east. This mountain building occurred as a result of tectonic plate movement during the post-Pennsylvanian, Permian period. Coal, formed earlier in the eastern part of the Basin, was compressed, folded, and faulted to create the harder, less-volatile, and more steeply inclined anthracite coal. In the western part of the basin, deformation was less intense giving rise to the softer, more volatile, and more gently inclined bituminous coal.

3.2.1.1 West Virginia Geology

West Virginia is basically composed of two areas: the western two-thirds are relatively flat-lying rocks containing minable coal, and the eastern one-third is comprised of folded and faulted rocks with no minable coal. The former area is the Appalachian Plateau Province, the latter is the Valley and Ridge Province, and they are separated by the Allegheny Front (see Figure 3-2.2).

The Valley and Ridge Province in the east is composed of folded and faulted rocks that range in age from late Precambrian to early Mississippian. This topographically comparatively flat area is composed of complex folded and faulted Cambrian and Ordovician limestones and dolomites as well as a single prominent Ordovician shale (the Martinsburg Shale). The Great Valley ends at North Mountain and from there to the Allegheny Front, a distance of about 50 miles, is a series of northeast-trending mountains and valleys. The rocks in this part of the Valley and Ridge Province range in age from late Ordovician to early Mississippian. The valleys are primarily composed of less-resistant shale and siltstone, while the mountain ridges are mainly more resistant sandstone and limestone. The structural geology of the Valley and Ridge Province is complex with extensive thrust faults and folds that contribute to the repetition of all the rock formations. In addition, three major thrust sheets have displaced the surface and subsurface rocks westward from 30 to 50 miles.

Figure 3.2-2. The Physiographic Provinces of the Appalachian Basin Region



Source: Data- USGS, 2004, Physio, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

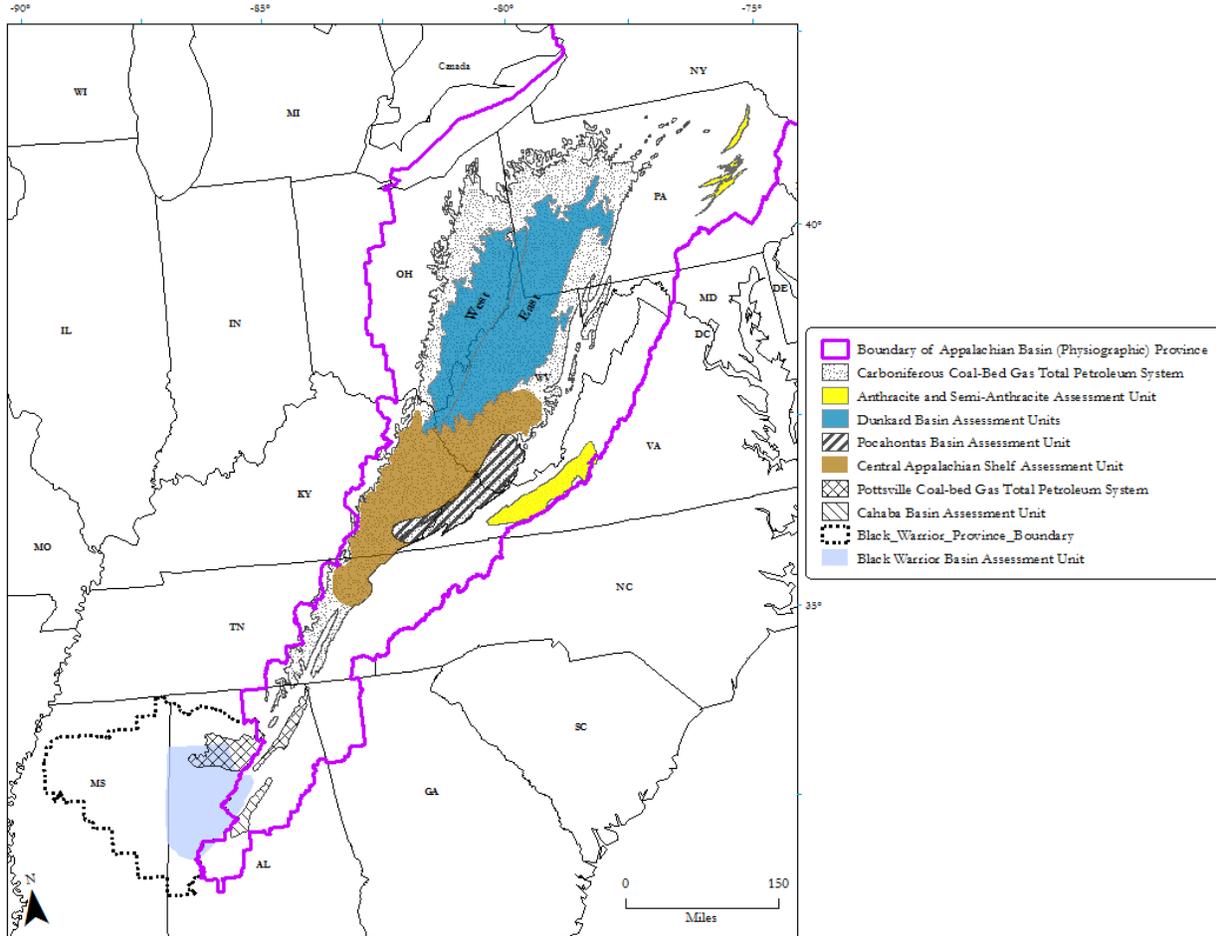
The Appalachian Plateau Province covers the western two-thirds of the state where the rock formations are relatively flat, except for several distinct folds and faults on the eastern side of the province. The oldest rocks are located in these eastern fold sequences and range in age from late Ordovician up through the Mississippian Period. The majority of the Appalachian Plateau Province is comprised of Pennsylvanian and Permian strata, where the majority of the minable coal is located. The rocks exposed in the northern part of the Appalachian Plateau Province are younger than those exposed in the southern part. This is also reflected in the age of the minable coal seams; i.e., younger to the north and older to the south. The boundary between the two provinces, the Allegheny Front Province, is a complex and rather abrupt change in the topography, stratigraphy, and structure. This boundary extends southwestward across the eastern part of the state, passes through Virginia, and reenters southeast West Virginia.

Coal-bearing rocks underlay much of central West Virginia, extending into Ohio, Pennsylvania, and Maryland. One structural fold known as the Hinge Line separates the Dunkard and Pocahontas geologic sub-basins of West Virginia (See Figure 3.2-3). These sub-basins are characterized by differences in the total thickness of their strata, as well as by the distribution of their ancient depositional environments: swamp, lacustrine, marine, and alluvial (Arkle, 1974). The Dunkard and Pocahontas sub-basins coincide approximately with the northern and southern coal fields (younger and older mining districts, respectively) of West Virginia. The various formations of sedimentary rocks exhibit local differences in strata north or south of the Hinge Line in response to different depositional environments. For example, the Allegheny and Conemaugh formations in the Dunkard sub-basin represent a sequence of marine and coastal environments, including deltaic, offshore, and alluvial depositional conditions. In the Pocahontas sub-basin, these formations predominantly include the alluvial facies of sandstones, shales, and channel deposits that generally include only limited coal seams. Additionally, higher sulfur content coal seams occur north of the Hinge Line, while lower sulfur content coal seams occur south of the Hinge Line. A more detailed discussion of coal characteristics is found in Section 3.1.

3.2.1.2 Kentucky Geology

Bituminous coal occurs in Kentucky in two regions: the eastern Kentucky coal field and the western Kentucky coal field. The two fields are separated by a structurally raised area of older rocks known as the Cincinnati Arch (See Figure 3.2-4). Strata of the eastern field, the larger of the two, were deposited in the Appalachian Basin, whereas strata of the western field were deposited in the Illinois Basin. The coal-bearing strata of western Kentucky are associated with the Illinois Basin and discussed further in 3.2.4.

Figure 3.2-3. Location of Pocahontas and Dunkard Basins in West Virginia

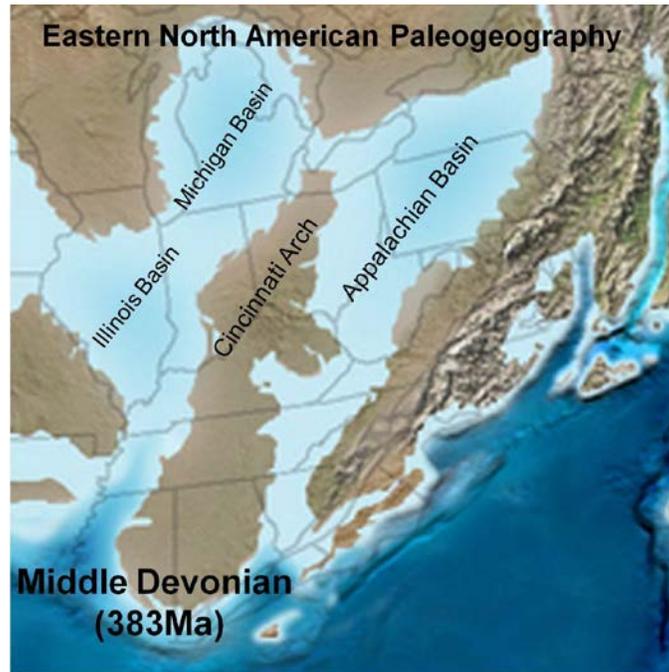


Source: USGS, 2002, *Figure 1: Appalachian Basin and Black Warrior Provinces*, U.S. DOI, <http://pubs.usgs.gov/fs/2004/3092/fs2004-3092.html>

Area and mountaintop-removal mining operations historically have been the most common methods of surface mining in the southern portion of the state. Contour and multiple-seam mining operations occur in both southern and northern West Virginia.

West Virginia coal-bearing formations include from youngest to oldest: the Dunkard Group, the Conemaugh Group, the Kanawha Formation, the New River Formation, and the Pocahontas Formation. Each contains multiple coal beds that are either surface mined or underground mined or both. The more predominantly surface mined coal beds in the state include: the Stockton-Lewiston zone (Upper Kanawha Formation); the Coalburg zone (Upper Kanawha Formation); the Upper Kittanning, the Middle Kittanning, and the Lower Kittanning zones (Allegheny Formation); and the Dunkard Basin Clarion zone (Allegheny Formation) (Fedorko and Blake, 1998).

Figure 3.2-4. Pre-Carboniferous Depositional Basins of Kentucky



Source: Dr. Ron Blakey, 2011, *Pre-Carboniferous Depositional Basins of Kentucky*, Northern Arizona University, <http://jan.ucc.nau.edu/rcb7/namD385.jpg>

Coal is mined from approximately 45 different seams in eastern Kentucky and from about ten seams in western Kentucky (Kentucky Geological Survey, 2006). Eastern coal-bearing rocks underlay approximately 25 percent of the eastern part of the state and form a broad, synclinal basin (Kiesler et al., 1983). Bedrock is essentially flat-lying throughout the trough (Kiesler et al., 1983). Upper Mississippian and Pennsylvanian coal-bearing rocks thicken towards the southeast, reaching their maximum thickness at the basin's southeastern margin. This margin is marked by the Pine Mountain Thrust Fault, a structure which disrupts and offsets the coal beds. Mining methods in eastern Kentucky consist of mountain top mining (steep slope); area surface mining; contour mining; and multiple-seam mining. The Pennsylvanian rocks of the eastern Kentucky coal field consist largely of sandstone, siltstone, and shale. Coal beds and thin marine shale and limestone units are also widespread and occur in most parts of the stratigraphic section. These deposits indicate that during the Pennsylvanian period, Kentucky was near sea level, alternately covered by lakes, extensive swamps, shallow bays, and estuaries.

Eastern Kentucky coal-bearing stratigraphic nomenclature (or rock naming convention) and correlation is not consistent with other Appalachian Basin states. For example, northwest of the Pine Mountain thrust fault on the Cumberland over thrust sheet, coal beds equivalent to the Lower Elkhorn coal zone (within the Pikeville Formation) are identified also as the Eagle coal zone, Pond Creek coal zone, and the Blue Gem coal bed. Southeast of the Pine Mountain thrust fault, still in eastern Kentucky, equivalent coals in this same interval are known as the Imboden and Rich Mountain. This same interval of coal is identified as the Blue Gem coal in Tennessee, the Imboden coal bed or Campbell Creek or Pond Creek coal zones in

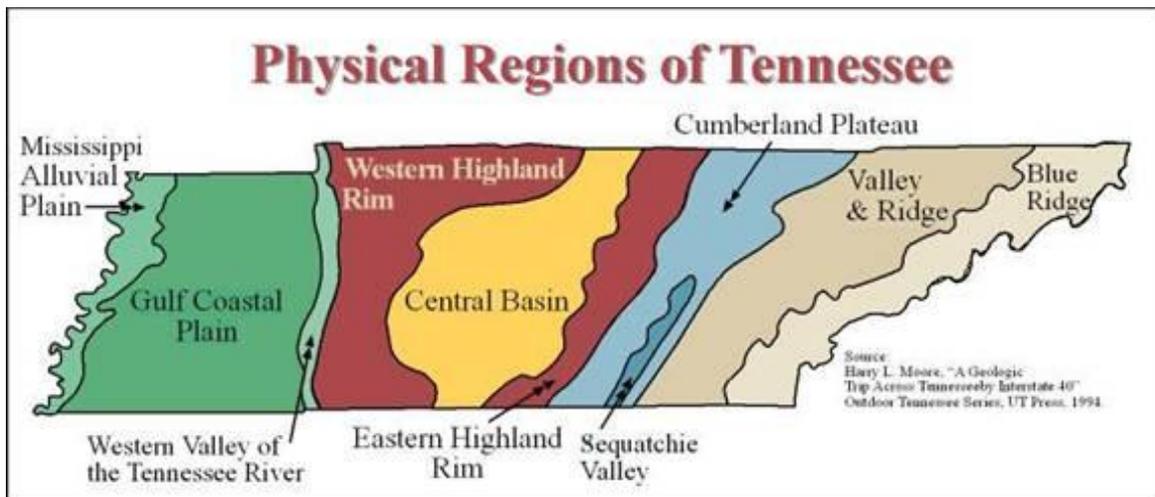
Virginia, and the Eagle coal zone in West Virginia (Ruppert et al., 2010). It is not in this FEIS's scope to standardize nomenclature or attempt to correlate stratigraphy across the coal-bearing region. For that reason, a generalized discussion of eastern Kentucky Pennsylvanian age stratigraphy and coal beds/zones are presented based from the works of Ruppert et al. (2010). In eastern Kentucky, coal-bearing units are the Lower Pennsylvanian-aged lower Breathitt Group (including the Warren Point, Bottom Creek Formation, Sewanee Sandstone, Alvy Creek Formation, Bee Rock Sandstone, and Grundy Formation); the Middle Pennsylvanian-aged middle and upper parts of the Breathitt Group (including the Pikeville, Hyden, Four Corners, and Princess Formations) and the Upper Pennsylvanian aged Conemaugh Group and Monongahela Groups.

In recent years, within the Breathitt Group, the Pikeville and Hyden Formations, (specifically the Upper Elkhorn No. 3, the Lower Elkhorn (or Pond Creek), and the Hazard No. 4 (or Fire Clay) coal zones), have been prominent coal producers in eastern Kentucky.

3.2.1.3 Tennessee Geology

The Tennessee coal fields occur in the east-central portion of the state, forming a northeast- southwest trending outcrop belt from Kentucky to the Alabama border. As with Kentucky, these coal fields form a broad, synclinal basin that is bounded on the west by the Highland Rim escarpment and on the east by the Valley and Ridge Province (See Figure 3.2-5). These coal fields are generally divided between the northern steep-slope areas of the Cumberland Mountains and the southern, flatter Cumberland Plateau, where area mining historically has dominated. Bedrock units primarily have a shallow southeasterly dip and thicken to the southeast near the basin's trough adjacent to the Valley and Ridge Province (Gaydos et al., 1982).

Figure 3.2-5. Physical Geologic Regions of Tennessee



Source: Moore, H.L., 1994, *A Geologic Trip Across Tennessee by Interstate 40*, Outdoor Tennessee Series, UT Press.

The geology and depositional settings for the coal-bearing strata southeast of the Pine Mountain Thrust (eastern Tennessee) are similar to that of Kentucky. Notable geological differences are: (1) the absence of the Princess Formation, the Conemaugh Group and the Monongahela Group; and (2) differences in coal bed/coal zone nomenclature.

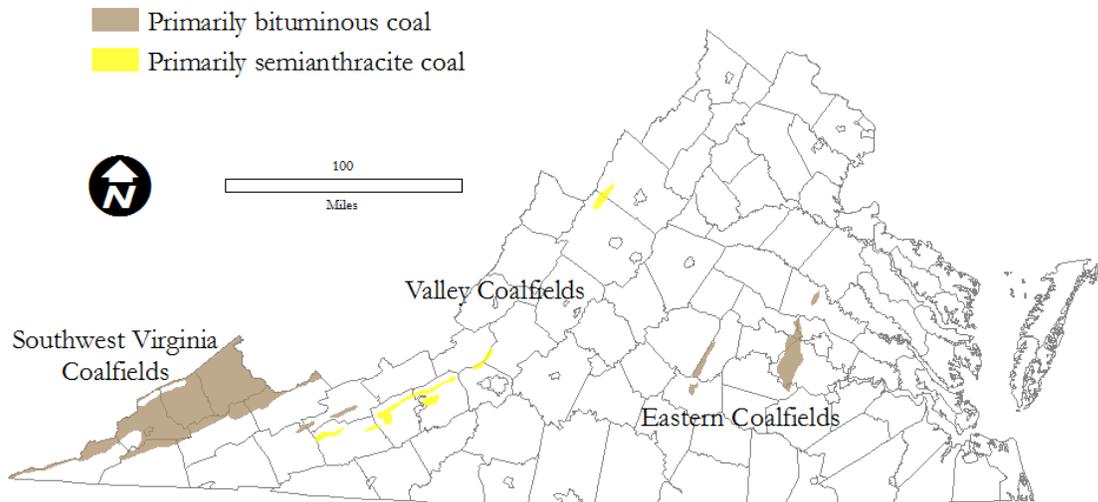
In eastern Tennessee, coal-bearing units are the Lower Pennsylvanian-aged Lower Breathitt Group (including the Warren Point, Bottom Creek Formation, Sewanee Sandstone, Alvy Creek Formation, Bee Rock Sandstone, and Grundy Formation); and the Middle Pennsylvanian-aged Breathitt Group (including the Pikeville, Hyden, and Four Corners Formations).

The reader is referred to the eastern Kentucky coal field discussion for details on geology and stratigraphy.

3.2.1.4 Virginia Geology

Coal occurs in three distinct areas in Virginia: the eastern coal fields; the valley coal fields; and the southwest Virginia coal field (See Figure 3.2-6). Since the 1950s, virtually all of Virginia's coal production has come from the southwest Virginia coal field.

Figure 3.2-6. Coal Fields of Virginia



Source: Virginia Division of Geology and Mineral Resources, 2006a, *Figure 1: Distribution of coal areas* Virginia Department of Mine Minerals and Energy, <http://www.dmme.virginia.gov/Dgmr/coal.shtml>

The eastern coal fields occur in five Triassic-Jurassic aged basins which were down-faulted into the crystalline rocks of the Piedmont physiographic province. These basins formed when Africa separated from North America to create the Atlantic Ocean. The Culpepper basin in the western Piedmont near the Blue Ridge province is the largest, but numerous smaller basins (including the Richmond, Farmville, and

Danville) are scattered throughout the Piedmont (Fichter and Baedke, 2000). The depositional environments within which the coal beds formed include lakes, rivers, alluvial fans, and mudflats.

The valley coal fields comprise eleven long, narrow Early Mississippian-age coal-bearing areas in the Valley and Ridge physiographic province situated in the western part of the state (VA Division of Geology and Mineral Resources, 2006a). Semi-anthracite coals were mined here primarily from 1748 to the early 1900s; however, sporadic operations continue today.

The southwest Virginia coal field is located in the Appalachian Plateau Province. The coal field consists of relatively flat-lying rocks bounded on the northwestern and southeastern basin margins by thrust-faulted and uplifted rock units (Rader and Evans, 1993; Harlow and LeCain, 1993). Along the northwestern coal field margin is the Pine Mountain Thrust fault. The southeastern margin is bounded by a series of thrust faults. The Russell Fork fault divides the basin into two regions: (1) the relatively flat-lying rocks northeast of the fault; and (2) the gently folded and faulted rocks located southwest of the fault, which were moved as part of the Pine Mountain thrust sheet (Harlow and LeCain, 1993). The rocks of both regions are nearly flat-lying and have an average northwesterly regional dip of 1.4 percent.

The primary coal-bearing formations in Virginia are, from oldest to youngest, the Pocahontas, Lee, Norton, Wise, and the Harlan Formations (See Figure 3.2-7). These geologic formations make up a stratigraphic interval that varies in thickness from 800 feet up to 5,150 feet. The coal beds are Pennsylvanian in age, low- to high-volatile bituminous in rank, and generally of a very high quality (less than one percent sulfur, less than ten percent ash, and high BTU). Although quality parameters vary locally, volatile matter generally increases from east to west and up section from older to younger coals beds (Wilkes et al., 1992).

Figure 3.2-7. Virginia’s Coal-Bearing Formations

System	Formation
Pennsylvanian System	Wise Formation
Pennsylvanian System	Norton Formation
Pennsylvanian System	Lee Formation
Pennsylvanian System	Pocahontas Formation
Mississippian System	Hinton Formation
Mississippian System	Bluefield Formation
Mississippian System	Greenbrier Formation
Mississippian System	Price/Pocono Formation
Devonian System	Chattanooga Formation
Ordovician System	Trenton Formation

Source: Virginiaplaces.org, 2011, *Figure 11: Generalized Stratigraphic Column*, Virginia Department of Mines Minerals and Energy (original source), <http://www.virginiaplaces.org/geology/naturalgasresources.html>

Southwest Virginia coal field stratigraphic nomenclature and correlation is not consistent with other Appalachian Basin states. Some coal beds such as the Splash Dam, Upper Banner, and Lower Banner have been correlated very consistently within the southwest Virginia coal field and have few local or secondary names. Conversely, the Imboden coal zone, an important historic and regional producer that

extends beyond Virginia into Kentucky and West Virginia, has more than 20 local and secondary names in Virginia alone (VA Division of Geology and Mineral Resources, 2006b). In the 1980s, in order to provide more detailed geologic base maps and ensure consistent stratigraphic correlation, Virginia completed the mapping and publication of 7.5 Minute Geologic Quadrangle Maps for the southwest Virginia coal fields. A coal bed's mapped geologic name is required in permitting; however, historic local names are also still commonly used by surface and mineral owners due to the use of these names in deeds, leases, and contracts.

Each coal field contains coal resources with different coal quality and physical properties. Coals range from high-volatile bituminous to natural coke in the Richmond basin area of the eastern coal fields (Wilkes, 1988), medium-volatile bituminous to semi-anthracite in the valley coal fields (Brown et al., 1952), and low- to high-volatile bituminous in the southwest Virginia coal field (Wilkes et al., 1992; VA Division of Geology and Mineral Resources, 2006a).

Mining in the southwest Virginia coal field began in the 1880s. While mountaintop removal (steep slope) and area surface mining operations occur in southwest Virginia, other surface mining methods such as contour and multiple-seam also occur.

3.2.1.5 Pennsylvania Geology

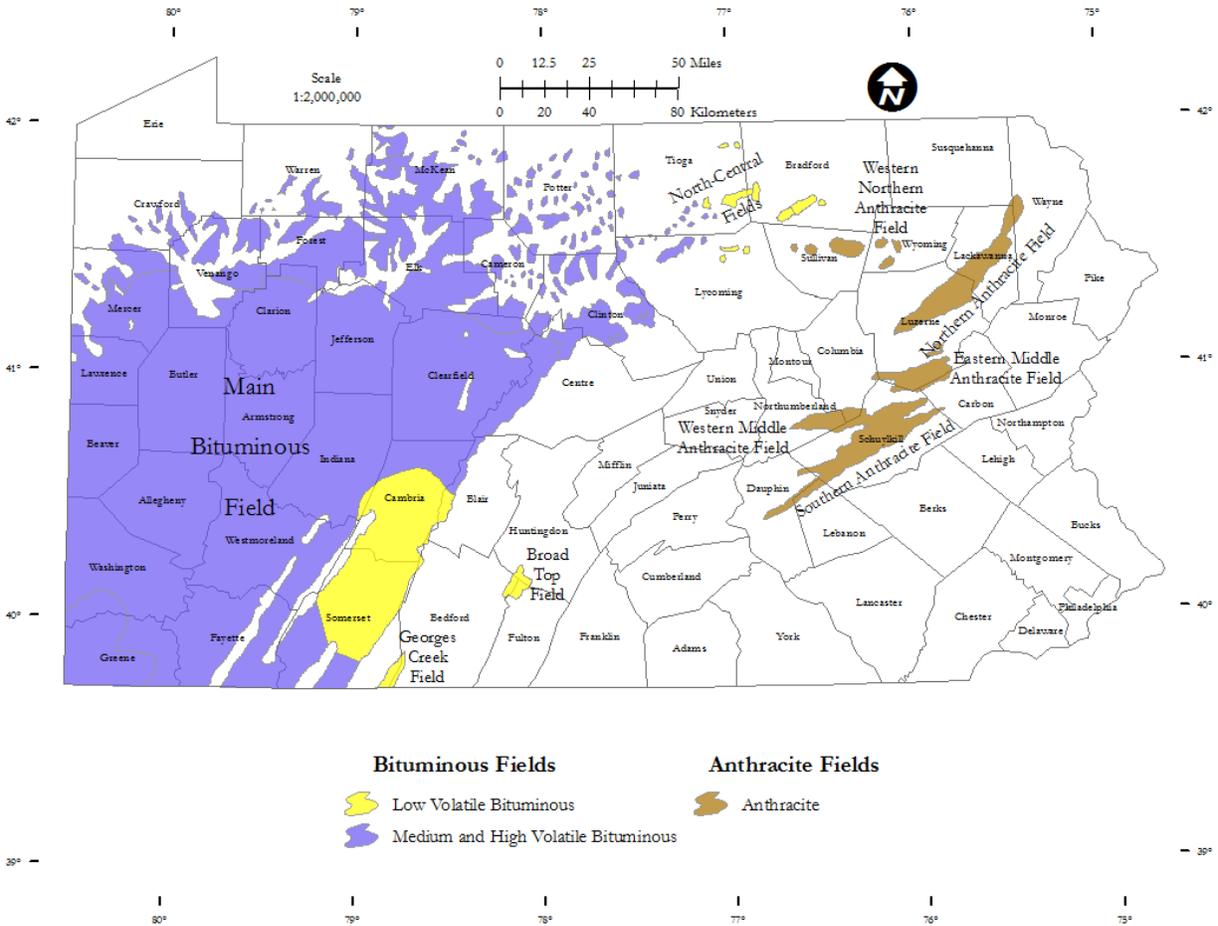
The two Pennsylvanian coal-bearing areas can broadly be discussed as the Anthracite Region located in the east and northeastern part of the state, and the Bituminous Coal Region located in the western part of the state (See Figure 3.2-8). Additional discussion of Pennsylvania coal-bearing sub-basins is found in the earlier subsection on West Virginia geology.

Pennsylvania's Anthracite Region is located in the eastern part of the state in the Valley and Ridge Province of the Appalachian Mountains. Coal-bearing strata are Pennsylvanian-aged. Lithologies consist of shales, weathered limestones, and dolomites which underlie the valleys; the more resistant sandstones and conglomerates support the surrounding ridges. This contrast in rock types results in a series of parallel valleys and ridges for which the province is named. The complex folding and faulting in the province is responsible for the higher temperatures and pressures required to create anthracitic coal.

The Anthracite Region consists of four major coal fields that are situated in synclinal basins surrounded by sandstone ridges. These fields are the northern anthracite field, the eastern middle anthracite field, the western middle anthracite field, and the southern anthracite field.

The primary coal-bearing units in the Anthracite Region, from oldest to youngest, are the Pottsville and Llewellyn Formations. The Pottsville Formation ranges in thickness from a maximum of approximately 1,600 feet to less than 100 feet. The Pottsville Formation is subdivided into three members; from oldest to youngest, these are the Tumbling Run Member, the Schuylkill Member, and the Sharp Mountain Member. The Tumbling Run and Schuylkill Members are absent to the north. The formation contains up to 14 coal beds in some areas, but most are relatively discontinuous. The Lykens Valley Coal, Numbers four through seven, are within the Tumbling Run Member; the Lykens Valley Coal, Numbers one through three, are within the Schuylkill Member; and the Scotty Steel and Little Buck Mountain Coals are within the Sharp Mountain Member of the Pottsville Formation.

Figure 3.2-8. Distribution of Pennsylvania Coals



Source: PA Department of Conservation and Natural Resources, 2008, *Map 11: Distribution of Pennsylvania Coals*, Commonwealth of Pennsylvania, http://www.dcnr.state.pa.us/cs/groups/public/documents/document/dcnr_016203.pdf

The Pottsville Formation in eastern Pennsylvania, consisting predominantly of sandstones and conglomerates, was laid down entirely in non-marine depositional environments (Edmunds et al., 1999).

The Llewellyn Formation, up to 3,500 feet thick, consists of gray, fine to coarse-grained clastic rocks (sandstones, shales, conglomerates) and anthracite coal seams in repetitive sequences. The formation contains up to 40 mineable coal seams. The thickest and most persistent coal beds occur in the lower part of the Llewellyn Formation, particularly the Mammoth Coal zone. The Mammoth Coal zone typically contains 20 feet of coal, and thicknesses of 40 to 60 feet are not unusual. The thickest coal beds tend to be situated in the trough of the syncline. The nomenclature and stratigraphy of the coal-bearing rocks of the Llewellyn Formation are not consistent throughout the state.

The dominant lithologies of the Llewellyn Formation are sandstones and conglomerates. In the north part of the state, the formation contains one known marine bed, the Mill Creek Limestone. Combined with the Cannal and Hillman Limestones (both non-marine), these units constitute an appreciable amount of calcareous material in the uppermost 850 feet of the formation.

The Pennsylvania Bituminous Coal Region is located in the western part of the state in the Appalachian Plateau Province (See Figures 3.2-8 and 3.2-2). The Plateau consists of relatively flat lying strata, largely absent of the complex faulting and intense folding that characterize the Anthracite Region. Given the lack of significant tectonic deformation, the Pennsylvanian-aged peat deposits of the Plateau were never subjected to high temperatures and pressures. Thus, unlike eastern Pennsylvania, the coals of this area are a bituminous grade coal. Coal-bearing rocks of the Bituminous Region include (from the oldest to youngest) the Pottsville, Allegheny, Conemaugh, Monongahela, and Dunkard Groups (See Figure 3.2-9).

The Pottsville Group is variable in thickness. For the most part, it is dominated by sandstone, and the coal beds are discontinuous. Because of the discontinuous nature of these coals, and the fact that they are often thin and split with numerous partings, mining has not been common in the Pottsville Group. The Pottsville Formation can range from 20 feet to at least 250 feet in thickness with the principal coal mined being the Mercer.

The Allegheny Group is one of two groups that contain the majority of economically mineable coals (See Figure 3.2-9). The Group contains six major coal zones with each zone taking one of three forms: a single, more-or-less continuous sheet; a group of closely related individual lenses; or a multiple-bed complex. The major coal zones are, from oldest to youngest, the Clarion, Lower Kittanning, Middle Kittanning, Upper Kittanning, Lower Freeport, and Upper Freeport.

The Lower Allegheny extends from the base of the Brookville Coal to the base of the Johnstown Limestone (or Upper Kittanning Coal where the limestone is absent). The Upper Allegheny extends from the base of the Johnstown Limestone to the top of the Upper Freeport Coal. The thickness of the Allegheny Group formation ranges from 270 to 330 feet in western Pennsylvania. The group consists of a repeating succession of coal, limestone, and clastic units which range in particle size from claystone to coarse sandstone. The Conemaugh Group contains two formations, the older Glenshaw Formation and the overlying Casselman Formation. The Glenshaw contains several widespread marine units, the most prominent of which are the Brush Creek, Pine Creek, Woods Run, and Ames Limestone. The Glenshaw is thickest in Somerset and southern Cambria Counties, where it reaches 400 to 420 feet and is thinnest near the Ohio border where it is about 280 feet thick. The mineable coals of the Glenshaw Formation, from oldest to youngest, typically are the Mahoning, Brush Creek, and Lower and Upper Bakerstown.

With the exception of the marine shales above the Ames Limestone, the Casselman Formation is made up exclusively of fresh water sedimentary rocks. Coal beds are nearly absent or very thin in the west but increase in quantity eastward. The coal beds of the Casselman Formation, typically include, from oldest to youngest, the Duquesne (or Federal Hill), the Barton (or Elk Lick), Wellersburg, Little Clarksburg (or Franklin), and the Little Pittsburgh.

The Monongahela Group extends from the base of the Pittsburgh Coal to the base of the Waynesburg Coal. It is divided into the Pittsburgh and Uniontown Formations at the base of the Uniontown Coal and is about 270 to 400 feet thick, generally increasing in thickness from the western edge of the state to

western Fayette County. The Monongahela Group is entirely non-marine and dominated by limestones, dolomitic limestones, calcareous mudstones, shales, and thin-bedded siltstones and laminites. The only sandstone of significant thickness within the formation lies directly above the Pittsburgh Coal complex. The Pittsburgh Coal is continuous, covering thousands of square miles and is four to ten feet thick. The other major coals found in the Group are the Redstone and Sewickley.

Figure 3.2-9. Generalized Stratigraphic Column of the Pennsylvanian and Lower Permian in the Northern and Central Appalachian Basin Coal Regions

SERIES	NORTHERN APPALACHIAN BASIN Pennsylvania, Ohio, Maryland, and northern West Virginia		CENTRAL APPALACHIAN BASIN eastern Kentucky, Virginia and southern West Virginia			
	Unit	Group	Unit	Group		
LOWER PERMIAN	Washington coal zone	Dunkard				
	Waynesburg coal bed					
UPPER PENNSYLVANIAN	Sewickley coal bed	Monongahela				
	Redstone coal bed					
	Pittsburgh coal bed					
	Duquesne coal bed	Conemaugh				
	Ames Limestone					
Bakerstown coal bed	Conemaugh					
Brush Creek Limestone						
Mahoning coal bed						
MIDDLE PENNSYLVANIAN	Upper Freeport coal bed	Allegheny				
	Lower Freeport coal bed					
	Upper Kittanning coal zone					
	Middle Kittanning coal zone					
	Lower Kittanning coal bed					
	Clarion coal bed					
	Brookville coal bed					
	Pottsville	Allegheny	Allegheny			
LOWER PENNSYLVANIAN	MISSING SECTION		Stockton 'A' coal bed	Pottsville		
			Fire Clay coal zone			
			Pond Creek coal zone			
			New River and Lee Formations			
			Pocahontas No. 8 coal bed			
		Pocahontas No. 4 coal bed				
		Pocahontas No. 3 coal bed				
		Squire Jim coal bed				

Source: Ruppert and Rice, 2000, Figure 10: Generalized Stratigraphic Column of the Pennsylvanian and Lower Permian in the Northern and Central Appalachian Basin Coal Regions, USGS, U.S. DOI, http://pubs.usgs.gov/pp/p1625c/CHAPTER_B/CHAPTER_B.pdf

The Permian-aged Dunkard Group is found only in the most southwestern corner of Pennsylvania in Greene and Washington Counties. It is made up of Waynesburg, Washington and Greene Formations (Berryhill et al., 1971). The Dunkard reaches a maximum thickness of about 1,120 feet in Greene County and the upper surface is the modern day erosional surface. The lower boundary of the Dunkard Group is defined as the base of the Waynesburg Coal, which is the only coal routinely mined in the Dunkard. The Dunkard is generally composed of fine-grained clastics which, in many locations, are calcareous. Thick lacustrine limestones are especially prevalent in the Washington Formation. The only significant sandstone interval lies above the Waynesburg coal.

3.2.1.6 Maryland Geology

The coal-bearing area of Maryland occurs in the westernmost portion of the state (See Figure 3.1-18). The depositional setting and geology of the coal-bearing strata are identical to that of the western Pennsylvanian Bituminous Region. Not surprisingly, the coal-bearing rock formations correlate to those in Pennsylvania. They include (from the oldest to youngest) the Pottsville, Allegheny, Conemaugh, Monongahela, and Dunkard Groups. For this reason, the reader is referred to the western Pennsylvania Bituminous Coal Region discussion provided above for details regarding geology and coal beds.

3.2.1.7 Ohio Geology

Ohio coal-bearing strata are present only in the eastern third of the state (See Figure 3.1-18). The depositional setting and geology for the coals of eastern Ohio is largely similar to that of western Pennsylvania. Not surprisingly, the coal-bearing rock formations are largely the same and are correlative with those in Pennsylvania. They include (from the oldest to youngest) the Pottsville, Allegheny, Conemaugh, Monongahela, and Dunkard Groups. The reader is referred to the western Pennsylvania Bituminous Coal Region discussion above for details regarding geology and coal beds. Additional discussion of Ohio coal-bearing sub-basins is found in the discussion of West Virginia geology.

Formation thicknesses differ somewhat from those found in western Pennsylvania. In eastern Ohio, thicknesses of the Pottsville Group range from 120 feet to approximately 470 feet. The thickness of the Allegheny Group ranges from 190 feet to approximately 260 feet. Thicknesses of the Conemaugh Group range from 350 feet to approximately 500 feet. The Monongahela Group thickness ranges from 200 feet to 500 feet. The Dunkard Group thickness is approximately 520 feet.

3.2.2 Colorado Plateau Coal-Producing Region

The Colorado Plateau region encompasses the coal-bearing areas of western Colorado, Utah, Arizona, and New Mexico (See Figure 3.1-21). The Colorado Plateau region is subdivided into several coal fields including the: Uinta Region; Tongue Mesa Field; Henry Mountains Field; Southwestern Utah Region; San Juan River Region; Pagosa Springs Field; Monero Field; Black Mesa Field; Pinedale Field; Deer Creek Field; Datil Mountain Field; Rio Puerco Field; Tijeras Field; Una del Gato Field; Cerrillos Field; Jornada del Muerto Field; Carthage Field; Sierra Blanca Field; and the Engle Field. For the purposes of this FEIS, discussion will focus on the Black Mesa Field, the San Juan Basin, the Uinta Region, and southwestern Utah since these are the most geologically extensive.

In the Paleozoic Era, the Colorado Plateau region was periodically flooded by extensive inland tropical seas. Sedimentary strata such as limestone, sandstone, siltstone, and shale were laid down in these

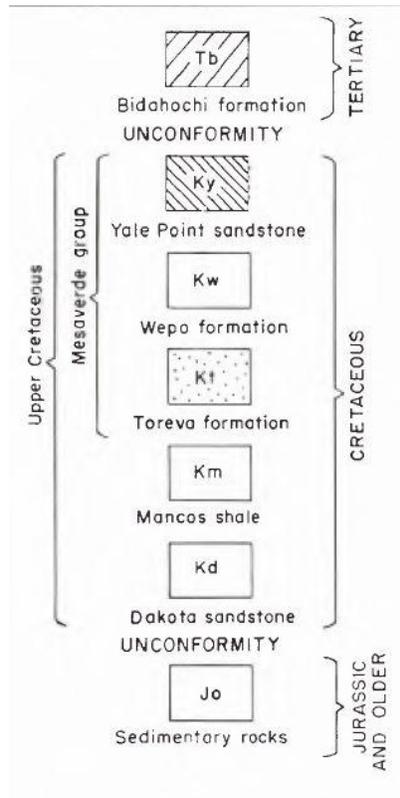
shallow marine waters in great thicknesses. During times when the seas retreated, fluvial clastics and dune sands were deposited. Slowly, sediments accumulated over a period of 300 million years.

During the younger Mesozoic Era, the depositional environment was dominated by terrestrial sedimentation. Great accumulations of cross-bedded sandstones and eruptions from volcanic mountain ranges to the west buried vast regions beneath ashy debris. The coal beds of the Colorado Plateau were deposited during this time, specifically during the Cretaceous. For much of this period, coal forming units accumulated in coastal-plain wetlands, near-shore marine environments, and fluvial depositional settings.

3.2.2.1 Black Mesa Coal Field Geology

The Black Mesa coal field is located in northeastern Arizona. The general geology of the Black Mesa coal field consists of Cretaceous-aged units including the Dakota Sandstone, the Mancos Shale, and the Mesa Verde Group (See Figure 3.2-10). The Dakota Sandstone contains coal within its middle shale member. The thicker coal units within the Dakota are found in the southwestern part of Black Mesa and can be up to nine feet thick (O’Sullivan, 1958). Within the Mesa Verde Group are the coal-bearing Toreva and Wepo Formations. The Wepo Formation is the major coal-bearing unit of the coal field with eight coal zones measuring from four to 30 feet thick.

Figure 3.2-10. General Geology of the Black Mesa Coal Field



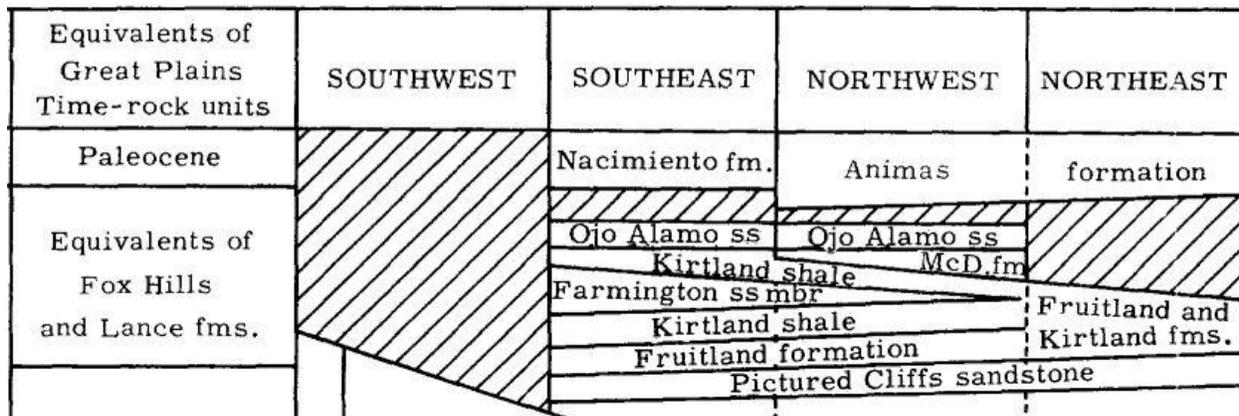
Source: R.B. O’Sullivan, 1958, *Summary of Coal Resources of the Black Mesa Coal Field, Arizona*, New Mexico Geological Society, http://nmgs.nmt.edu/publications/guidebooks/downloads/9/9_p0169_p0171.pdf

3.2.2.2 San Juan Coal Basin Geology

The San Juan Basin is an asymmetrical basin, with a gently dipping southern flank and a steeply dipping northern flank (Stone et al., 1983). It measures roughly 100 miles long in the north-south direction and 90 miles wide. The Fruitland Formation is the primary coal-bearing unit of the San Juan River Region (See Figure 3.2-11).

The Fruitland Formation coal beds are thick, with individual beds up to 80 feet thick. However, only a small percentage of the total number of coal beds is found at depths of 200 feet or less. The formation is composed of interbedded sandstone, siltstone, shale, and coal, with the thickest coalbeds always found in the lower third of the formation.

Figure 3.2-11. Generalized Stratigraphic Column for the San Juan Coal Basin



Source: Caswell Silver, 1951, *Figure 3: Generalized Stratigraphic Column for the San Juan Coal Basin*, New Mexico Geological Society, http://nmgs.nmt.edu/publications/guidebooks/downloads/2/2_p0104_p0118.pdf

3.2.2.3 Uinta Coal Basin Geology

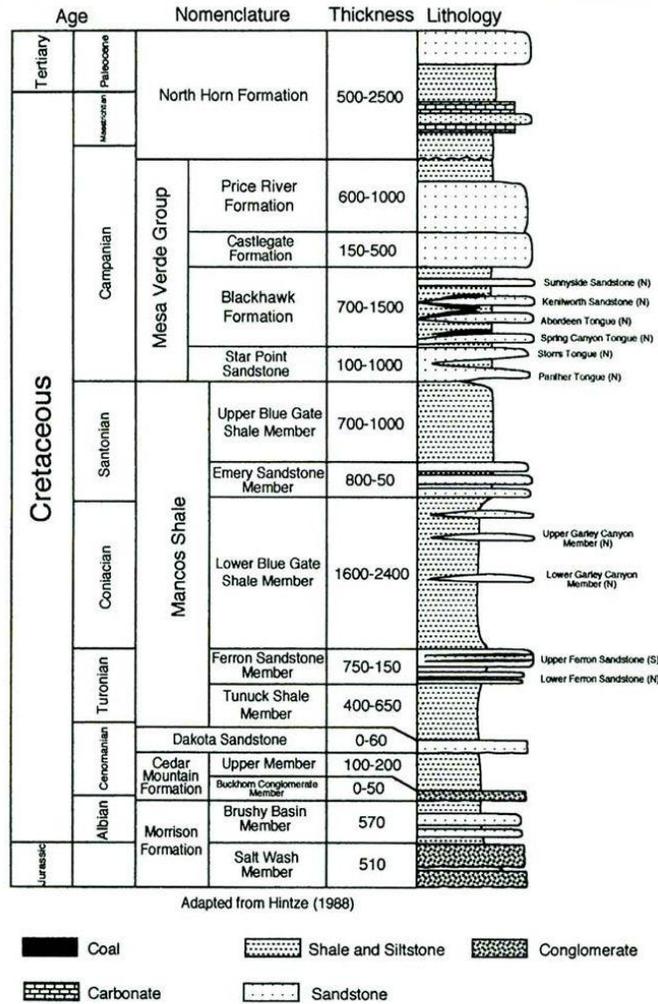
The Uinta Coal Basin, approximately 14,450 square miles in area, is located in eastern Utah and northwestern Colorado (See Figure 3.1-21). Most of the coal mines currently operating in Utah are located in the western end of the Uinta Basin. Three prominent coal fields in the region include the Wasatch coal field, the Book Cliffs coal field, and the Emery coal field.

The coalbeds are present within the Cretaceous strata throughout much of the Uinta Basin (See Figure 3.2-12). The Ferron Sandstone Member of the Mancos Shale and the Blackhawk Formation of the Mesaverde Group are two important coal-bearing units currently being mined.

The Ferron Sandstone Member coalbeds and interbedded sandstone units form a wedge of clastic sediment above the Tunuck Shale Member of the Mancos Shale and below the Lower Blue Gate Shale Member of the Mancos Shale. The coal-bearing rocks are thickest to the west and south margins of the basin, nearer to the upland source of sediments. Total coal thickness in this area ranges from four to 48 feet (averaging 24 feet). Coal beds are named in ascending order of deposition, the A, B, C, D, G, I, J, L, and M.

The Blackhawk Formation consists of coal interbedded with sandstone and a combination of shale and siltstone. It ranges from 450 to 1,500 feet thick in the Book Cliffs coal field. The Blackhawk Formation is underlain by the Star Point Sandstone and overlain by the Castlegate Sandstone. In the Book Cliffs coal field, the main coal zones in the Blackhawk Formation are the Spring Canyon, the Castlegate A, B, C, D, the Kenilworth, the Gilson, the Rock Canyon, and the Sunnyside. In the Wasatch Plateau coal field, the main coal zones are also found in the Blackhawk Formation. The main coal beds are the Accord Lakes, the Axel Anderson, the Blind Canyon, the Wattis (also known as the Upper O’Conner), the Cottonwood, and the Castlegate A.

Figure 3.2-12. Generalized Stratigraphic Column for the Uinta Coal Basin



Source: U.S. EPA, 2004, *Figure A4-2: Generalized Stratigraphic Column for the Uinta Coal Basin*, EPA 816-R-04-003, http://www.epa.gov/ogwdw/uic/pdfs/cbmstudy_attach_uic_attach04_uinta.pdf

3.2.2.4 Henry Mountains Geology

The principal coal resources of the Henry Mountains, located in Wayne and Garfield counties of southeastern Utah, are found within a north to south elongated basin, approximately 50 miles long and two to 18 miles wide (Tabet, 1999).

Coal beds are present within Cretaceous strata including the Ferron Sandstone and Muley Canyon Sandstone members of the Mancos Shale (See Figure 3.2-13). Minor coal beds exist within the Dakota Sandstone but are not considered minable due to its thin and discontinuous occurrence. The Muley Canyon coals are the thickest and most continuous, and are thus the greatest potentially minable coal resource in the area (Doelling, 1972; Tabet, 1999).

Figure 3.2-13. Stratigraphy of the Henry Mountains Coal Field

Stratigraphic Units	Depositional Environment	Thickness (ft.)
Tarantual Mesa Sandstone	Continental	270 – 400
Masuk Formation	Coastal plain; major coal	600 – 750
Muley Canyon Sandstone	Nearshore marine	270
Mancos Shale		
Blue Gate Member	Marine	1,400
Ferron Sandstone Member	Nearshore marine/coastal plain; coal	150 – 300
Tununk Member	Marine	525 – 650
Dakota Sandstone	Alluvial to marginal marine; minor coal	1 - 75

Source: Mark Kirschbaum and Laura Biewick, 2008, *Stratigraphy of the Henry Mountains Coal Field*, USGS, U.S. DOI, http://pubs.usgs.gov/pp/p1625b/Reports/Chapters/Chapter_B.pdf

As previously described, the Ferron Sandstone Member coalbeds and interbedded sandstone units form a wedge of clastic sediment above the Tunuck Shale Member of the Mancos Shale and below the Lower Blue Gate Shale Member of the Mancos Shale. The areal distribution of coal within the Henry Mountains Ferron Sandstone is patchy and is best developed in the northern, central and southern parts of the field, in pods approximately one to five miles wide and three to ten miles long. The coal exists in five beds that average one to three feet in thickness and seldom exceed four feet in thickness. The aggregated coal thickness is as much as 16.5 feet. The depth to the Ferron coal varies from exposed cropping coal around the margins of the Henry Mountains to a maximum depth of 2,000 feet in the central part of the basin (Tabet, 1999).

The Muley Canyon Sandstone member overlies the Blue Gate member of the Mancos Shale. The lower part of the Muley Canyon Sandstone consists of massive laminated-to-thin-bedded, very fine to medium-grained sandstone ranging in thickness from 131 to 307 feet. The upper portion is described as more heterogeneous and interbedded with carbonaceous mudstone and coal. Thickness ranges from 92 to 120 feet. The upper portion of the Muley Canyon Sandstone contains the thickest and most persistent coal beds. Unlike the Ferron member, coal within the Muley Canyon Sandstone is distributed throughout most of the Henry Mountains field. The Muley Canyon Sandstone coal zones generally exist in four to five beds, with as many as ten beds. Thickness of coal ranges from zero to 13.4 feet but generally averages two to five feet. The aggregated coal thickness is as much as 27.5 feet. The depth to the Muley Canyon

Sandstone coal varies from 100 feet at the northern and southern extents of the Henry Mountains coal field to a maximum depth of 1,000 feet under Tarantula Mesa (Tabet, 1999).

3.2.2.5 Southwestern Utah Region Geology

The principal coal-bearing units in the Southwestern Utah Region are the Dakota Formation and the Straight Cliff Formation (Kirschbaum and Biewick, 2008) (See Figure 3.2-14). The Dakota Sandstone consists of sandstone interbedded with mudrock and the Smirl Coal bed. The Smirl Coal bed is found from ground level to 1,000 feet below surface in the Alton coal field, which is located in this region. The Smirl Coal bed reaches a maximum thickness of 18 feet.

Figure 3.2-14. Stratigraphic Summary of Upper Cretaceous and Tertiary Strata in the Southwestern Utah Coal Basin

Age	Formation	Thickness (ft)
Miocene	Osiris Tuff	0-600
Eocene and Paleocene	Wasatch Formation	1,350- 1,650
Paleocene	Pine Hollow Formation	0-450
Paleocene and Late Cretaceous	Canaan Peak Formation	0-900
Late Cretaceous	Kaiparowits Formation	600-3,000
	Wahweap Formation	900-2,600
	Straight Cliffs Formation	1,000-2,000
	Tropic Shale	600-900
	Dakota Formation	15-250

Source: Robert Hettinger, 2008, *Figure 6: Stratigraphic Summary of Upper Cretaceous and Tertiary Strata in the Southwestern Utah Coal Basin*, USGS; U.S. DOI, http://pubs.usgs.gov/pp/p1625b/Reports/Chapters/Chapter_J.pdf

Although the Straight Cliffs Formation is also a prominent coal-bearing unit in southwestern Utah, the unit is not currently being mined. The formation consists of a series of sandstone members which include the John Henry Member, a primarily sandstone with secondary amounts of mudrock and coal. The main coal-bearing units are the Alvey, Rees, Christenson, and lower zones.

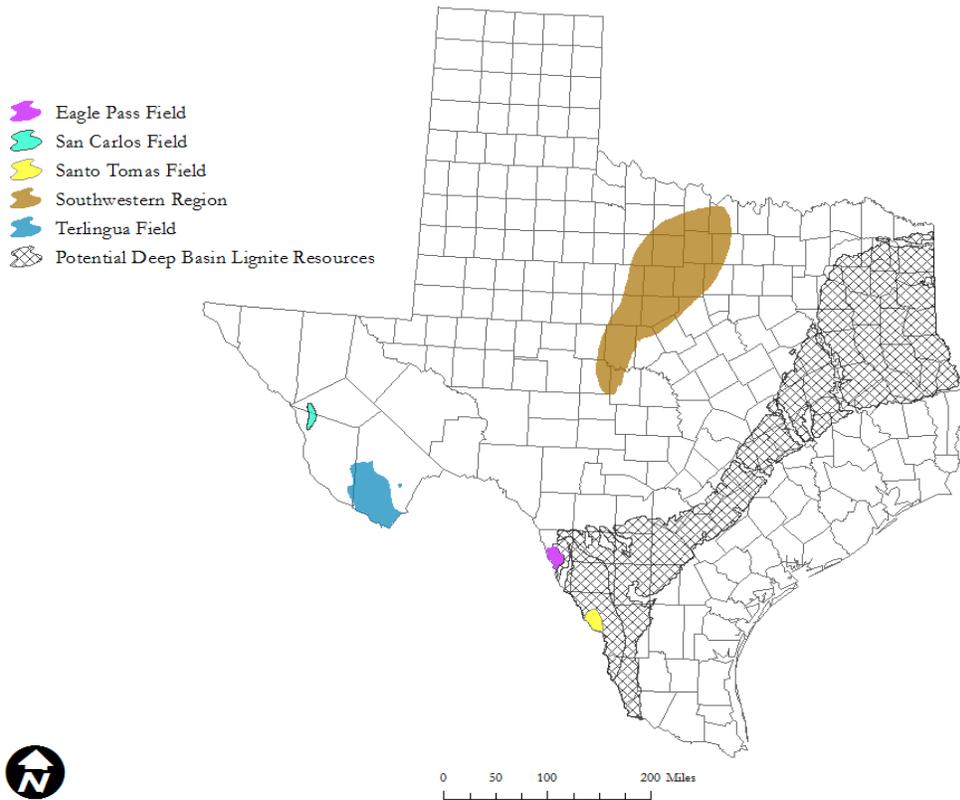
3.2.3 Gulf Coast Coal-Producing Region

The Gulf Coast region encompasses the lignite coal-bearing areas of Texas, Arkansas, Louisiana, Mississippi, and parts of Missouri, Alabama, Tennessee, Georgia, and far western Kentucky (See Figure

3.1-23). As of 2010, most coal in the region was produced from Texas, with lesser amounts mined in Louisiana and Mississippi. For this reason, the following discussion will focus on the coal-bearing formations that are mined in Texas, Louisiana, and Mississippi.

In Texas, the lignite bearing formations were deposited during the Late Cretaceous through the Middle Tertiary Periods. These units, which are present as a wide northeast-southwest band across east central Texas, include: the Jackson Group, the Claiborne Group, the Wilcox Group and the Olmos Formation of the Navarro Group (See Figures 3.2-15 and 3.2-16).

Figure 3.2-15. Texas Near-Surface Lignite



Source: Texas Center for Policy Studies, 1995, *Texas Coal Mining Operations*, Texas Environmental Almanac Chapter 7, <http://www.texascenter.org/almanac/Energy/ENERGYCH7P3.HTML>

Figure 3.2-16. Stratigraphic Occurrence of Texas Lignite

Stratigraphic Occurrence of Texas Lignite			
		East, Southeast, and Central Texas	South Texas
Oligocene	Catahoula Group		
	Jackson Group	Whitsett Formation	upper
		Manning Formation*	middle
		Wellborn Formation	lower*
Caddell Formation			
Eocene Series	Claiborne Group	Yegua Formation*	upper Yegua*
		Cook Mountain Formation	
		Stone City Formation	Laredo Formation
		Sparta Sand	
		Weches Formation	
		Queen City Sand	El Pico Clay
		Reklaw Formation	Bigford Formation
		Carrizo Sand	Carrizo
	Wilcox Group	Calvert Bluff Formation*	
		Simsboro Sand	Lower Wilcox Group*
		Hooper Formation	Indio* Formation
Midway Group			

*main lignite occurrences

Source: WR Kaiser, 1974, *Table 1: Stratigraphic Occurrence of Texas Lignite*, The University of Texas at Austin, <http://www.lib.utexas.edu/books/landscapes/publications/txu-oclc-1552275/txu-oclc-1552275.pdf>

Gulf Coast lignites are interpreted as having accumulated in a variety of fluvial, deltaic, and lagoonal depositional environments. Fluvial lignite accumulated in forested, fresh-water swamps (Nichols and Traverse, 1971). Kaiser (1974) states that “[Fluvial lignite] originated as backswamp peats on broad, isolated floodplains separated by stabilized meanderbelts [Deltaic] lignite is associated with three sedimentation patterns: alternating distributary channel and interdistributary deposits; repetitive coarsening-upward, delta-front sequences; and stacked coarse-grained meanderbelt deposits. ... The thickest, most extensive lignites are associated with delta-plain, interdistributary deposits. ... [Lagoonal lignites display a sedimentation pattern] of multi-stacked progradational or coarsening-upward barrier- and strandplain-beach sequences in which the lignites are associated with inland or updip lagoonal muds.”

The Jackson Group of east Texas is interpreted as having been formed under two distinct processes of lower delta plain deposition. It has been proposed that thin, discontinuous lignite seams formed in small interdistributary areas, which were frequently covered by sediment during overbank flooding and crevassing. By contrast, thick coal seams, deposited on sand platforms, are laterally continuous and likely represent lignite deposition during periods of delta lobe abandonment.

The east Texas Wilcox Formation may show the characteristics of an alluvial-plain setting. The individual seams are lenticular, where the thickest part of the bed occurs in the center of the seam abruptly decreasing in thickness at the outer margins. Adjacent to the lignite bodies are channel-like barren areas that are filled with either mud or sand. Channels are normally parallel to the individual lignite bodies. Large, irregular, and circular mud-filled areas completely surround some of the lignite seams.

Regardless of the depositional mechanism, it was during these periods that swampy, stagnant conditions prevailed and organic matter was deposited. This organic matter was subsequently buried by sediment and over time compressed into lignite.

The lignite bearing rock in Louisiana was deposited during the Middle Tertiary approximately 36 to 66 million years ago. During this time much of Louisiana existed as an alluvial plain and was characterized by low, marshy land with heavy plant growth. The heavy plant growth then started decomposing within the swampy, marshy areas and was buried by sand and mud sediments from alluvial, deltaic and coastal sediments. The northern part of the state contains lignite beds of the Wilcox Group.

The lignite bearing rocks in Mississippi were also deposited during the Middle Tertiary, approximately 36 to 66 million years ago. The lignite seams currently mined in Mississippi were formed in a fluvial environment in which several sequences of flooding and stream channel migration occurred. It was during these periods that swampy conditions dominated and organic matter was deposited. As discussed previously, over time, this organic material was buried and compressed into lignite. These lignite beds are found in the Wilcox and Claiborne Groups.

Four of the most prominent coal-bearing units in the region are the Jackson Group, the Claiborne Group, the Wilcox Group, and the Olmos Formation of the Navarro Group. Most of the coal currently mined from the Gulf Coast region is from one of these four lithological groups.

3.2.4 Illinois Basin Coal-Producing Region

The Illinois Basin region encompasses the coal-bearing areas of Illinois, Indiana, and western Kentucky (See Figure 3.1-25). The Illinois Basin itself is an oval depression covering approximately 60,000 square miles in the midcontinent area of the United States.

The Illinois Basin was formed as a “failed rift” related to the rupturing of an Early to Middle Cambrian supercontinent. As the continental crust was pulled apart, faulting produced a structural depression in this region. The depression evolved into an embayment that continued to subside from the Late Cambrian into the Permian.

During the Pennsylvanian, the basin filled with a thick succession of sandstone and carbonate deposits. These Pennsylvanian-aged sedimentary rocks, deposited 320 to 280 million years ago, contain the bituminous coal-bearing units which were laid down in freshwater, swamp, and rain forest environments.

No lithologic record is preserved of bedrock strata in the Illinois Basin younger than 225 million years ago. However, during the Late Cretaceous and Early Tertiary, the area immediately above the former rift subsided and filled with sediments of the Mississippi Embayment of the Gulf Coastal Plain (Leighton et al., 1990).

Due to stratigraphic discontinuity and a lack of regional key horizons, it is difficult to correlate Pennsylvanian formations basin wide. Although attempts have been made to resolve these issues (USGS, 2002a), correlation problems still exist. Generally speaking, the Pennsylvanian rocks can be subdivided into the basal Raccoon Creek Group, the overlying Carbondale Group or Formation and the McLeansboro Group. The major economic coals within the Basin are the Springfield and Herrin Coals (in the Carbondale Formation), the Danville (in the McLeansboro in Illinois), and the Baker Coal (in the McLeansboro of Kentucky) (See Figure 3.2-17).

Figure 3.2-17. Generalized Stratigraphy of Coals in the Illinois Basin

PENNSYLVANIAN			Illinois		Western Kentucky		Indiana	
			McLeansboro Gp.	Carbondale Fm.	Raccoon Creek Gp.	McLeansboro Gp.	Carbondale Fm.	Raccoon Creek Gp.
Upper	Virgilian	Mattoon Fm.	Mattoon Fm.	Mattoon Fm.	Mattoon Fm.	Mattoon Fm.	Mattoon Fm.	
	Missourian	Bond Fm.	Bond Fm.	Bond Fm.	Bond Fm.	Bond Fm.	Bond Fm.	
Middle	Desmoinesian	Patoka Fm.	Patoka Fm.	Patoka Fm.	Patoka Fm.	Patoka Fm.	Patoka Fm.	
		Shelburn Fm.	Danville (No. 7) Jamestown Herrin (No. 6)	Coiltown (No. 14) Baker (No. 13) Paradise (No. 12) Herrin (No. 11)	Shelburn Fm.	Danville (VII) Hymera (VI) Herrin		
		Carbondale Fm.	Springfield (No. 5) Houchin Creek (No. 4) Survant	Springfield (No. 9) Houchin Creek (No. 8b) Survant (No. 8)	Carbondale Fm.	Springfield (V) Houchin Creek (IVa) Survant (IV)		
		Colchester (No. 2) Dekoven Davis	Colchester Dekoven (No. 7) Davis (No. 6)	Colchester Dekoven (No. 7) Davis (No. 6)	Linton Fm.	Colchester (IIIa)		
Lower	Atokan	Caseyville Fm.	Murphysboro Rock Island (No. 1)	Bancroft Mining City/Lewisport Mannington (No. 4)	Caseyville Fm.	Bancroft Mining City/Lewisport Mannington (No. 4)	Stanton Fm.	Seelyville (III)
		Morrowan	Tradewater Fm.	Dunbar/Lead Creek Elm Lick Aberdeen Deanfield Amos and Foster Hawesville	Tradewater Fm.	Dunbar/Lead Creek Elm Lick Aberdeen Deanfield Amos and Foster Hawesville	Brazil Fm.	Minshall/Bufaloville Upper Block Lower Block
		Mansfield Fm.	Shady Lane Mariah Hill Blue Creek Pinnick St. Meinrad	Mansfield Fm.	Shady Lane Mariah Hill Blue Creek Pinnick St. Meinrad	Mansfield Fm.	Shady Lane Mariah Hill Blue Creek Pinnick St. Meinrad	

Source: J.R. Hatch and R.H. Affolter, 2002, *Figure 1; Stratigraphic chart of the Pennsylvanian System in the Illinois Basin, showing major coal members*, USGS; U.S. DOI, http://pubs.usgs.gov/pp/p1625d/Chapter_C.pdf

In addition to the Springfield, Herrin, Danville, and Baker Coals, many other coals in the Raccoon Creek Group, Carbondale Group or Formation, and the McLeansboro Group have been previously mined. Cumulative production from these other coals, however, has been much less than the production from the four principal coals (Hatch and Affolter, 2002).

3.2.4.1 Illinois Geology

The majority of the Illinois Basin lies within the state of Illinois, occupying an area of approximately 36,800 square miles (See Figure 3.1-27). The Pennsylvanian-aged coal-bearing rocks are divided into the Raccoon Creek Group, Carbondale Formation, and the Shelburn Formation (See Figure 3.2-17).

Typically, sandstones are the dominant rock type of these formations, with most of the remainder made up of siltstone, shale and minor amounts of limestone.

In Illinois, the Danville Coal Member is the most prominent coal in the Shelburn Formation of the McLeansboro Group. Other McLeansboro Group coals, stratigraphically above the Danville in Illinois (and Indiana), are not as thick or as extensive as the coals in the underlying Carbondale Formation (Hatch and Affolter, 2002). The Danville has been locally measured at thicknesses reaching six feet, but generally ranging from a few inches to three feet thick (USGS, 2002a). The Danville and Jamestown coal beds in Illinois correlate to the Danville and Jamestown coals beds in Indiana and the Baker and Paradise coal beds in western Kentucky.

The Herrin Coal Member of the Carbondale Formation averages more than six feet thick over extensive areas and locally reaches 15 feet thick in Illinois (USGS, 2002a). The Springfield Coal Member ranges from an average of five feet to a maximum recorded 13 feet thick. In western and west-central Illinois, the Springfield coal exhibits claystone dikes which cut through the coal seam and the overlying strata (Hatch and Affolter, 2002).

3.2.4.2 Indiana Geology

The Indiana coal field is located in the eastern portion of the Illinois Basin and covers an area of approximately 6,500 square miles (See Figure 3.1-27). The Indiana coal field is composed of the bituminous Pennsylvanian-aged Carbondale Group (referred to as a formation in Kentucky and Illinois). The Carbondale Group consists of, from oldest to youngest, the Linton, the Petersburg, and the Dugger Formations (See Figure 3.2-17 above). Shale is the most abundant rock type of the formation with the thick gray units being interpreted as deltaic deposits (Hatch and Affolter, 2002). The Hymera and Danville Coal Members of the Dugger Formation in Indiana are correlative with the Jamestown and Danville Coal Members of the McLeansboro Group in Illinois and with the Paradise and Baker coals of the McLeansboro Group in western Kentucky. The Herrin Coal Member is not well developed in Indiana.

3.2.4.3 Western Kentucky Geology

The western Kentucky coal field covers an area of 6,400 square miles of the southeastern portion of the Illinois Basin (See Figure 3.1-25). The western Kentucky bituminous coal field comprises Pennsylvanian-aged strata that are largely alluvial or deltaic in origin, and their thicknesses are relatively consistent throughout the area (Archer, 2001).

Although the Tradewater Formation of the Raccoon Creek Group contains more than 20 mined coal beds in western Kentucky, discussion is going to focus on the Carbondale and Shelburn Formations as these are the shallower coal-bearing units (See Figure 3.2-17). The Carbondale Formation consists of siltstone, shale, and some local sandstones. It contains some thin discontinuous limestones as well as some of the most heavily mined coal beds in the region. The most prominent of the Carbondale Formation coal beds

are the Herrin (No. 11) which lies at the uppermost reaches of the formation, and the Springfield (No. 9). The Herrin (No. 11) coal occurs in two distinct bodies. The thickest of these bodies is in a narrow belt along the southern edge of the western Kentucky coal field where it attains a thickness of ten feet. The second coal body occurs at the north reaches of the coal field where it is less than two and a half feet thick, or absent (Hatch and Affolter, 2002). The Springfield Coal ranges from five to six feet in thickness in the middle of the coal field, but thins to less than four feet toward the east and northeast of the coal field (Hatch and Affolter, 2002).

Also in western Kentucky, the Shelburn Formation (previously known as the Sturgis) is a coal-bearing unit which overlies the Carbondale Formation. Although the principal rock type of the Shelburn Formation is sandstone, the unit also contains interbedded siltstones, shales, limestones, and coal. The Shelburn Formation contains the Baker (No. 13) and Paradise (No.12) coal beds. The Baker Coal exhibits overlying two-foot thick coal riders that are occasionally mined, along with the main seam during surface operations.

3.2.5 Northern Rocky Mountains and Great Plains Coal-Producing Region

The Northern Rocky Mountains and Great Plains region encompasses the coal-bearing areas of Montana, North Dakota, South Dakota, and Wyoming, as well as selected coal-bearing areas in Colorado, Idaho, and Utah. This region is subdivided into many basins, regions or fields (See Figure 3.1-27). The northern Rocky Mountains are subdivided into the Green River Basin Region, the Hams Fork Region, the Jackson Hole Field, the Big Horn Basin Region, and the Wind River Region. The Great Plains are subdivided into the Blackfoot-Valier Region, the North Central Region, the Fort Union Region, the Bull Mountain Field, the Great Falls Field, and the Powder River Basin. This discussion will focus on the Powder River Basin and the Fort Union Region, as most of the coal resources occur in these areas.

3.2.5.1 Powder River Basin Geology

The Powder River Basin is an asymmetrical synclinal basin which trends from southeast to northwest. In Wyoming, the Powder River Basin is bounded by the Black Hills uplift in the northeast, the Hartville uplift in the southeast, the Laramie Mountains in the south, the Casper arch in the southwest, and the Bighorn Mountains in the west. The basin continues northward into Montana where another structural feature, the Cedar Ridge anticline, separates it from the Williston Basin (Bartos and Ogle, 2002).

Although the Powder River Basin contains one of the world's largest coal deposits, most of the coal is too deeply buried to be recovered economically. Still, the Basin is the largest coal mining region in the U.S. The Powder River Basin constitutes the single largest source of coal in the U.S., contributing about 40 percent to the national total (Luppens et al., 2008).

Some 65 million years ago, the climate of the area was subtropical, with average temperatures of 80 degrees Fahrenheit and 120 inches of rainfall per year. The region had been covered by a shallow sea which slowly retreated as the land surface began to rise. As marine conditions withdrew, lakes and marginal swamps were created. Due to the heavy rain and few rivers to carry the water away, the flat basin floor was a series of swamps and lakes for 25 million years; this was the coal forming period. The swamps were so large that no sediment could get past the outside edges leaving the central portions free to accumulate pure peat. It was this peat that would eventually produce some of the thickest, low ash coals in the world (Wyoming State Geological Survey, 2013).

Principal units of the Powder River Basin are the Fort Union (Paleocene) and Wasatch (Eocene) Formations (See Figure 3.2-18). These strata, which are buried at relatively shallow depths, are interpreted as having been deposited primarily in fluvial, lacustrine, and swampy environments (Seeland, 1992; Ellis et al, 1999). The Fort Union Formation consists of sandstones, siltstones, mudstones, limestones, and coals, including the Wyodak coal zone. Along the eastern margin of the Powder River Basin, the Fort Union Formation dips to the west at an inclination of two to three degrees (Glass, 1997). Near the western margin, the Fort Union Formation dips to the east from 10 to 25 degrees (Glass, 1997).

Figure 3.2-18. Cenozoic Stratigraphic units, Eastern Powder River Basin

ERATHEM	SYSTEM	SERIES	STRATIGRAPHIC UNIT	HYDROGEOLOGIC UNIT		
Cenozoic	Quaternary	Holocene and Pleistocene	Alluvium	Alluvial aquifers ¹		
	Tertiary	Pliocene	<i>Not present in study area</i>	<i>Not present in study area</i>		
		Miocene				
		Oligocene				
		Eocene	Wasatch Formation	Wasatch aquifer ¹		
		Paleocene	Fort Union Formation	Tongue River Member	Tongue River Member ²	Confining unit
					Wyodak-Anderson coal zone and other coal zones and coal beds	Wyodak-Anderson coalbed aquifer and other coalbed aquifers
					Tongue River Member	Confining unit
	Tongue River Member				Tongue River aquifer	
	Lebo Member ³				Lebo confining layer	
		Tulloch Member	Tulloch aquifer			

Source: USGS, 2005c, *Figure 7: Cenozoic Stratigraphic units, Eastern Powder River Basin*, U.S. DOI, <http://pubs.usgs.gov/wri/wri024045/html/report1.htm>

Most of the mining in the basin occurs within strata of the Wyodak-Anderson coal zone. This zone is known for its extreme thickness which averages 100 feet thick (University of Wyoming, 2002). Coal beds of the Wyodak-Anderson zone occur at shallow depths along the eastern margin of the Powder River Basin. Near Gillette, Wyoming, several of the individual beds merge to form a single, thick Wyodak coal bed. However, to the south, the east, and the north of Gillette, the Wyodak coal bed splits into several seams (Bartos and Ogle, 2002).

The Wasatch Formation consists of conglomerates, sandstones, siltstones, mudstones, limestones and several coal beds, including the Lake DeSmet. The Lake DeSmet coal beds are thickest in the western and central parts of the Basin, near Lake DeSmet, where they attain a thickness of 250 feet (Glass, 1980; Glass, 1997; University of Wyoming, 2002). The dip of the Wasatch Formation is shallow, generally less than four degrees (Glass, 1997).

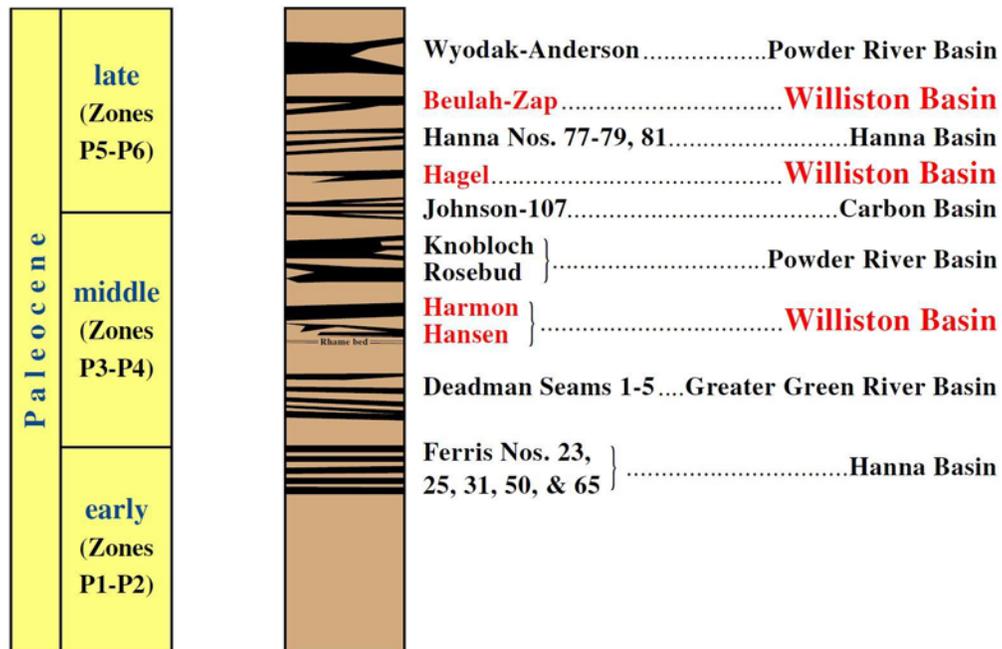
3.2.5.2 Fort Union Region Geology (Williston Basin)

The Fort Union Region in western North Dakota (the Williston Basin) is also a prominent Great Plains coal-bearing area (See Figure 3.1-27). The Williston Basin is a large geologic structural basin, though not a topographic depression, that underlies portions of Montana, North Dakota and South Dakota. The following discussion focuses on the Tertiary-aged Fort Union Formation (or Fort Union Group as it is considered by the North Dakota Geological Survey), as it is the primary coal-producing unit of the region (See Figure 3.2-18).

Strata of the Fort Union Formation are interpreted as having accumulated in the following depositional environments: fluvial and deltaic (the Tongue River and Sentinel Butte Members); tidal (the Ludlow Member); and barrier-shoreface and marine (the Cannonball Member) (Flores et al., 1999).

The Fort Union Formation is composed of, from youngest to oldest, the Sentinel Butte Member, the Tongue River Member, the Cannonball Member, and the Ludlow Member. The formation consists primarily of sandstones, siltstones, and mudstones. It also exhibits lesser amounts of carbonaceous shales, coals, and limestones. The Cannonball Member is the only non-coal-bearing member of the Fort Union Formation. Coal beds/zones include the Harmon and Hansen of the Lower Tongue Member, the Hagel of the Middle Sentinel Butte Member, and the Beulah-Zap of the Upper Sentinel Butte Member (Flores et al., 1999) (See Figures 3.2-18 and 3.2-19). The coal beds generally thicken toward the upper part of the formation with beds reaching thicknesses of 20 to 26 feet.

Figure 3.2-19. Coal Beds of the Williston Basin



Source: Romeo M. Flores et al., 1999, Figure WF-2: Composite Stratigraphic Section for the Assessment Region Showing the Studied Coal Beds and Zones with Age Relationships Based on Palynology, USGS; U.S. DOI; <http://pubs.usgs.gov/pp/p1625a/Chapters/WF.pdf>

3.2.5.3 Green River Basin and Hams Fork Region Geology

The Green River Basin is a large (10,500 square miles) structural and topographical basin located in southwestern Wyoming. It is one of four basins that make up the Green River Region (Figure 3.1-24). The basin was formed during the Laramide orogeny during the late Cretaceous and early Tertiary (Bartos et al., 2015). The coal beds in the Green River Basin occur in rock formations that range in age from Upper Cretaceous to Early Tertiary (Berryhill et al., 1950; Bartos et al., 2015). The Hams Fork Region, which is located, in the extreme southwestern Wyoming, west of the Green River Region (Figure 3.1-24), The structure of the Hams Fork Region is complex and includes multiple northward –trending folds and faults, resulting in coal bearing rocks that are exposed in long, narrow parallel belts. The coal beds in the Hams Fork Region occur in rock formations that range in age from Upper Cretaceous to Early Tertiary (Berryhill et al. 1950).

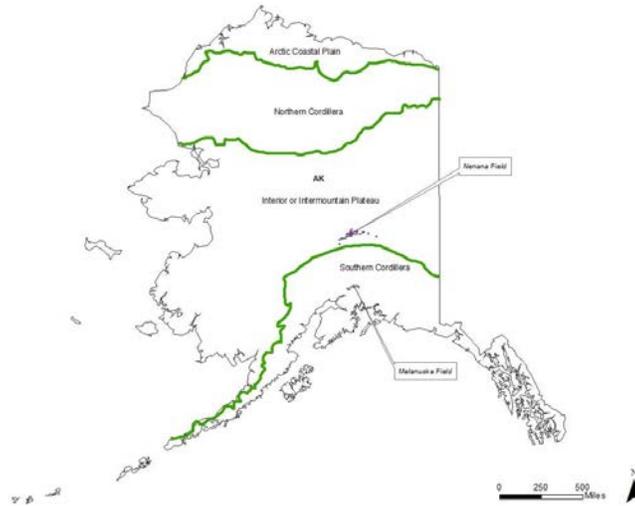
3.2.6 Northwest Coal-Producing Region

As described earlier in Section 3.1.8.6, for the purposes of this EIS the affected area for the Northwest Coal-Producing Region is limited to the Nenana and Matanuska coal fields of Alaska. Alaska is divided into four physiographic regions: Artic Coastal Plain, Northern Cordillera, Interior or Intermountain Plateau, and the Southern Cordillra. The Nenana and Matanuska coal fields are located in the Interior and southern Cordillra regions, respectively. See Figure 3.2-20.

The Interior physiographic region is between the Brooks Range on the north and the Alaska Range on the south (Plafker and Berg, 1994). Quaternary alluvial deposits sporadically cover the region from the Bering Sea to the Yukon Flats. Elsewhere the interior region is composed of plateaus, hills, and uplands, with numerous domes, ridges, and mountains at the higher elevations (Plafker and Berg, 1994). The interior region was generally free of ice during the Pleistocene glaciation. Beneath the loess and vegetation, the interior region contains pre-Cretaceous basement rocks that include displaced and rotated lithotectonic terranes of Proterozoic and Paleozoic age of miogeocline affinity (Plafker and Berg, 1994). The basement rocks also contain Devonian-Lower Jurassic terranes of oceanic affinity and Jurassic-Lower Cretaceous intraoceanic arc terranes. Mid-Cretaceous and younger plutonic and related rocks, flysch basins, and basalts conceal these rocks (Flores, et al, 2004). Tertiary coal-bearing rocks are mainly present in several synclinal basins in the northern foothills of the Alaska Range and are partly or wholly detached from each other by erosion of coal-bearing rocks from intervening structural highs (Wahrhaftig and others, 1994).

The southern Cordillera physiographic region is the northernmost extent of the Pacific Mountain system of North America that rims the Pacific Ocean margin (Plafker and Berg, 1994). The region extends from the Alaska Range on the north to the margin of the Gulf of Alaska on the south. It extends westward to the Aleutian Range and Aleutian Islands, which are a continuation of the Alaska Range. Widespread mountain glaciers and ice fields occur in the mountainous parts of the southern Cordillera region. Glaciers currently extend into tidewaters at numerous bays and fiords. The southern Cordillera region is underlain by Proterozoic to Cenozoic accreted intraoceanic arc and plateau terranes, arc-related accretionary prisms, and flysch basins (Plafker and Berg, 1994). These terranes were intruded by mid-Cretaceous to Paleogene postaccretion plutons, which are, in turn, overlapped by Upper Cretaceous-Tertiary basinal and volcanic rocks. The Tertiary coal-bearing rocks in this region are mainly found in these basins as typified by the Cook Inlet Basin (Flores, et al., 2004).

Figure 3.3-20. Northwest Region - Alaskan Physiographic Regions



Source: Flores, R.M., Stricker, G.D., & Kinney, S.A. (2004). Alaska Coal Geology, Resources, and Coalbed Methane Potential. USGS DDS-77, U.S. Department of the Interior. <http://pubs.usgs.gov/dds/dds-077/pdf/DDS-77.pdf>

Alaska coal resources formed in widespread deltaic and continental depositional environments during the Cretaceous and Tertiary. The younger Tertiary coals formed within sedimentary basins which were related to fault systems that controlled basin formation and influenced deposition. As discussed in Section 3.1.8.6, the Nenana and Matanuska coal reserves are located in the Central Alaska-Nenana Coal Province and the Southern Alaska-Cook Inlet Province, respectively (See Figure 3.1-29). The coal resources in the Central Alaska-Nenana and the Southern Alaska-Cook Inlet are contained in Tertiary rocks (Flores et al., 2004). The geology of these two provinces are discussed in the following sections.

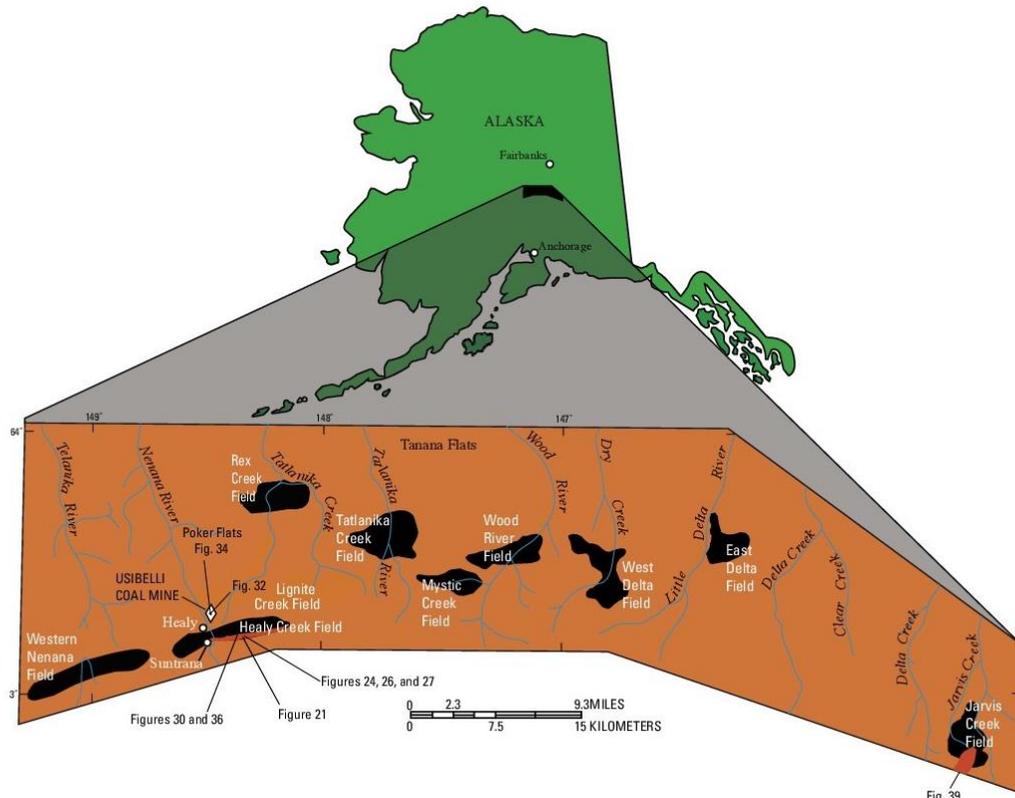
3.2.6.1 Nenana Coal Field Geology

The Nenana coal field is located in the central part of the state of Alaska with deposits trending east-west along the northern central flank of the Alaskan Range. This coal field consists of several synclinal basins partly or wholly detached from each other by erosion. As depicted in Figure 3.2-21 the fields extend as a discontinuous belt approximately 9 miles wide and 56 miles long (Flores et al., 2004).

Coal is found in the Usibelli Group (Wahrhaftig, 1987), a nonmarine sedimentary sequence of five Tertiary age formations that consists of the coal-bearing Healy Creek, noncoaly Sanctuary, coal-bearing Suntrana and Lignite Creek Formations and noncoaly Grubstake Formation (See Figure 3.2-22) overlain by Nenana Gravel. The Usibelli Group contains as many as 30 coal beds and is thought to have formed in fluvial and lacustrine environments.

The Healy Creek Formation is the oldest rock unit in the Usibelli Group. The formation may be as much as 445 feet thick, consisting of interbedded sandstones, conglomerates, siltstones, and mudstones, including carbonaceous shale and coal beds. Sandstone is the most common rock type and coal is the least common. (Flores et al., 2004)

Figure 3.2.21. Coal Fields in Central Alaska-Nenana Coal Province

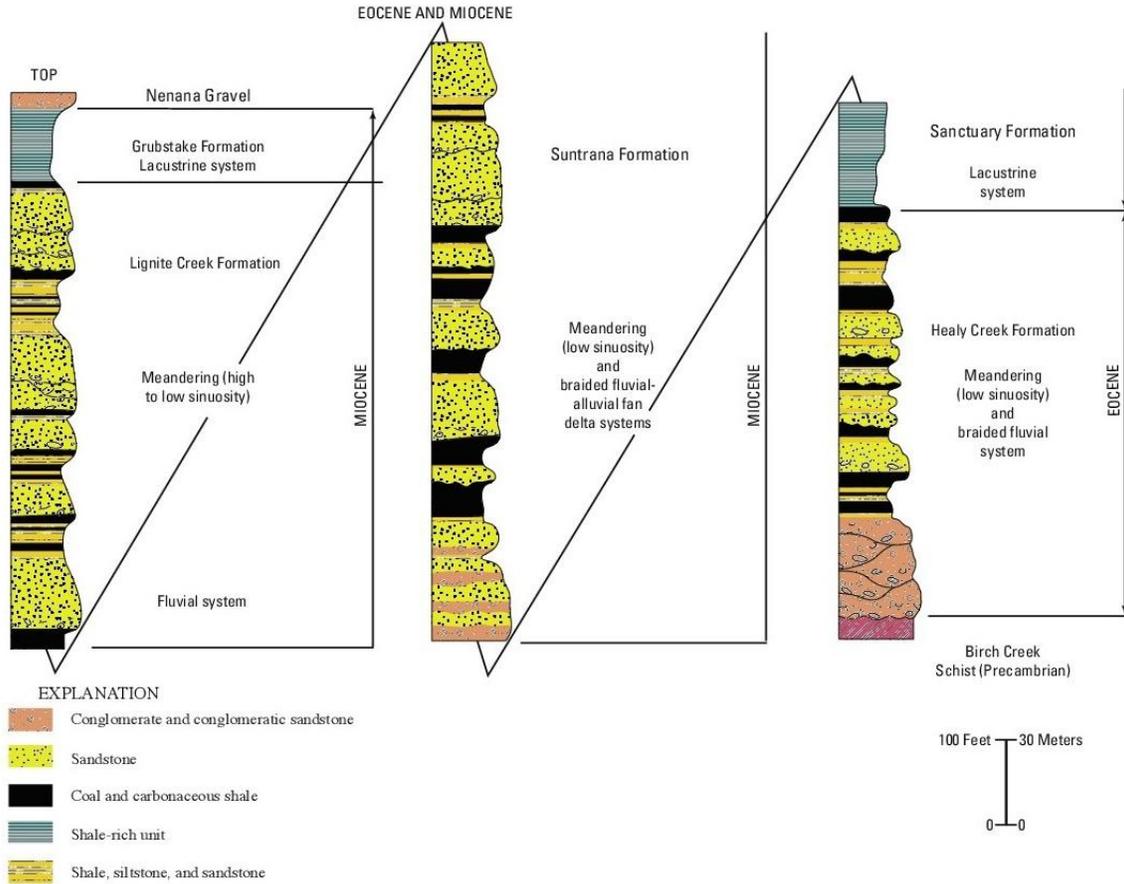


Source: Flores, R.M., Stricker, G.D., & Kinney, S.A. (2004). Alaska Coal Geology, Resources, and Coalbed Methane Potential. USGS DDS-77, U.S. Department of the Interior. <http://pubs.usgs.gov/dds/dds-077/pdf/DDS-77.pdf>

The Suntrana Formation unconformably overlies the Sanctuary Formation and is as thick as 1,310 feet (400 m). The Suntrana Formation is an important coal-bearing sedimentary unit of the group. It consists of interbedded sandstones, siltstones, mudstones, carbonaceous shales and coal. Shallow coal seams generally are encountered at depths less than 100 feet below ground surface and in seam thicknesses that can range up to 32 feet. Coal beds are interbedded with carbonaceous shales and have a combined thickness ranging from 1.6 to 65 feet. The Suntrana Formation lies directly on metamorphic basement rock in this area.

The Lignite Creek Formation ranges from 490 to 790 feet thick and overlies and is gradational with the Suntrana Formation. The Lignite Creek consists of interbedded sandstones, siltstones, mudstones, carbonaceous shales, and coals. The sandstones and mudstones are the most dominant. The coal beds are thin, generally less than three feet thick, woody, and relatively lenticular and interbedded with coarsening upward mudstones, siltstones, and silty sandstones. (Flores et al., 2004)

Figure 3.2-22. Usibelli Group Generalized Stratigraphic and Lithofacies



Source: Flores, R.M., Stricker, G.D., & Kinney, S.A. (2004). Alaska Coal Geology, Resources, and Coalbed Methane Potential. USGS DDS-77, U.S. Department of the Interior. <http://pubs.usgs.gov/dds/dds-077/pdf/DDS-77.pdf>

3.2.6.2 Matanuska Coal Field Geology

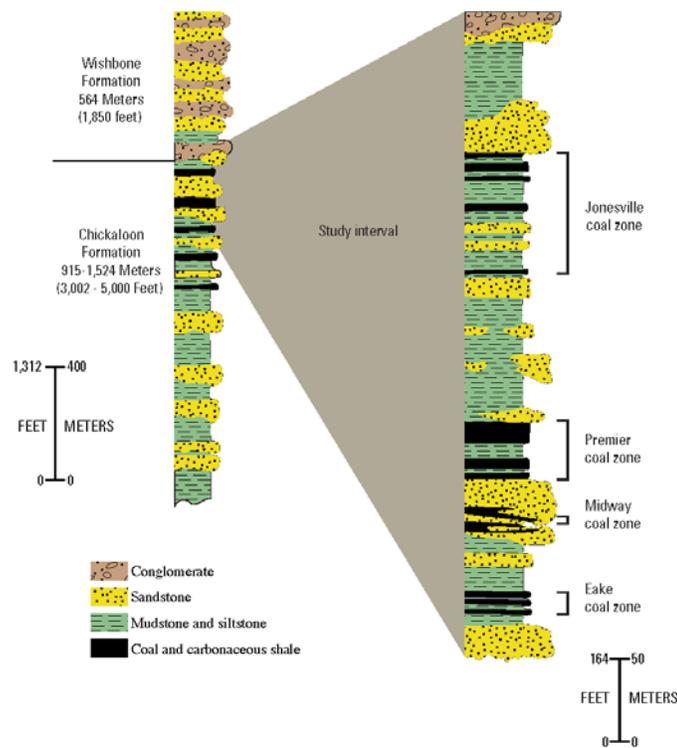
The Matanuska Coal Field is located in the Southern Alaska-Cook Inlet coal province. The province extends approximately 100 miles wide and 225 miles long, covering an area of about 22,500 square miles of which half is beneath the waters of Cook Inlet. Many of the Tertiary coal-bearing rocks in the province lie beneath the Cook Inlet, Susitna Lowland, Broad Pass Depression, Matanuska Valley, and Kenai Peninsula.

The Tertiary coal-bearing rocks in the Southern Alaska- Cook Inlet coal province accumulated in the subsiding Cook Inlet Basin, which was probably drained by a large, fluvial, trunk-tributary and alluvial fan system that flowed into the Pacific Alluvial fans drained the basin margins, and the trunk stream drained a broad alluvial plain now occupied by the Cook Inlet. Two major tributary streams of the trunk river extended northward through the present Susitna Lowland and Broad Pass Depression and eastward through the present Matanuska Valley. All the coal deposits in the Central Alaska-Nenana and Southern

Alaska-Cook Inlet coal provinces are thought to have accumulated in mires related to a large, integrated fluvial drainage system. (Flores, et al., 2004)

There are four on-shore tertiary-aged coal fields identified in the Southern Alaska -Cook Inlet province, including the Susitna-Beluga, the Kenai, the Broad Pass, and the Matanuska that border the Cook Inlet (Flores, et al., 2004). The bulk of the coal in the Southern Alaska-Cook Inlet coal province is of Oligocene to early Pliocene age. The late Tertiary coals are distributed in the Susitna-Beluga, Broad Pass, and Kenai coal fields. However, early Tertiary (Paleocene and early Eocene) coal occurs in the Matanuska Coal Field. The Matanuska Coal Field contains more than 20 coal beds with thicknesses ranging from three to 23 feet. These beds occur primarily in the Chickaloon Formation and Wishbone Formation, along with sandstones, siltstones, mudstones, and minor conglomerates (See Figure 3.2-23).

Figure 3.2-23. Generalized Stratigraphic Column of the Chickaloon and Wishbone Formations in the Matanuska Coal Field area



Source: Flores, R.M., Stricker, G.D., & Kinney, S.A. (2004). Alaska Coal Geology, Resources, and Coalbed Methane Potential. USGS DDS-77, U.S. Department of the Interior. Figure 45. <http://pubs.usgs.gov/dds/dds-077/pdf/DDS-77.pdf>

The Tertiary rocks include the Paleocene-Eocene Chickaloon Formation and Eocene Wishbone Formation. The Chickaloon Formation is a 3,280 to 4,920 feet thick Paleocene to lower Eocene sequence of mudstones, siltstones, and sandstones, with minor conglomerates and coal beds. The formation rests

unconformably on the Cretaceous Matanuska Formation, which is a sequence of marine sandstone and shale and is overlain unconformably by the Eocene Wishbone Formation.

The Wishbone consists of 2,950 feet of thick, massive conglomerates and sandstones containing clasts derived from the Talkeetna Mountains to the north. The formation at the east end of the Matanuska coal field is unconformably overlain by flatlying Tertiary basalt. Gabbro sills and dikes and other Tertiary volcanic rocks also intrude the coal-bearing Chickaloon Formation and increase the coal rank along the intrusive contact. The intensity of deformation and abundance of igneous dikes and sills in the Chickaloon Formation increase eastward along the Matanuska coal field (Flores et al., 2004).

3.2.7 Western Interior Coal-Producing Region

The Western Interior region encompasses the coal-bearing areas of Iowa, Nebraska, Kansas, Oklahoma, Arkansas, Missouri and central Texas (See Figure 3.2-24). The most productive coal fields of the Western Interior region occur in three coal basins: Arkoma, Cherokee, and Forest City. The Arkoma Basin covers about 13,500 square miles in Arkansas and Oklahoma. The Cherokee Basin is part of the Cherokee Platform Province which covers approximately 26,500 square miles in Oklahoma, Kansas, and Missouri. The Forest City Basin covers about 47,000 square miles in Iowa, Kansas, Missouri, and Arkansas. For the purpose of this study, discussion will focus on these basins due to their importance to coal production.

Figure 3.3-24. Western Interior Region



Source: Data- USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

The Arkoma basin was positionally part of a broad, stable shelf along a passive continental margin during much of its geologic history. The depositional patterns on the shelf varied greatly, with strata accumulating in both marine carbonate and terrestrial clastic environments. There is evidence of a limited source of sediments from the Ouachita fold belt in Arkansas during the deposition of the Pennsylvanian-aged Hartshorne Sandstone, an important coal-bearing formation in the basin. However, the western side of the basin in Oklahoma was apparently quiet and presumably stood at or near sea level throughout that time.

The Cherokee Basin is the central basin of the Western Interior Coal region. It is bounded on the east and southeast by the Ozark Dome, on the west by the Nehama Uplift, and on the north by the Bourbon Arch. The Cherokee Basin was formed by the downward warping of a post-Mississippian peneplain (a regional, flat, erosional surface). The basin was united with the similarly formed Forest City Basin when the low divide separating them was covered by the accumulated deposits of the Cherokee Shale. The Cherokee shale represents the oldest Pennsylvanian-aged formation in Kansas (Lee, 2005).

The Forest City Basin extends from southwestern Iowa and northeastern Kansas to central Missouri. The basin is approximately 240 miles long (north-south) by 195 miles wide (east-west). The basin exists today as a relatively under-formed Pennsylvanian-aged structural basin. A series of northwest-southeast trending folds and faults have been reported in the Missouri portion of the Arkoma Basin.

Sedimentary rocks in the Arkoma Basin range in thickness from 3,000 to 20,000 feet and consist primarily of pre-Mississippian carbonate shelf deposits, organic-rich Mississippian marine shales, and Pennsylvanian fluvial deposits. The Krebs Group, which contains the Hartshorne, McAlester, Savanna, and Boggy Formations, is a prominent coal-bearing unit of the basin. The Lower Hartshorne coal bed is the thickest and the most extensive coal bed in Arkansas and the Arkoma Basin. The Lower Hartshorne has been, and will continue to be, the most economically important coal bed in Arkansas (Arkansas Geological Survey, 2010). The Arkoma Basin contains approximately 40 named coal beds, as well as several unnamed coal beds.

3.2.7.1 Cherokee Basin Geology

The primary coal seams in the Kansas Cherokee Basin are the Riverton Coal of the Krebs Formation and the Weir-Pittsburg and Mulky coals of the Cabaniss Formation. These Pennsylvanian-aged formations consist primarily of shales, some sandstones, and minor amounts of limestone. The Riverton and Weir-Pittsburg coal beds, about three to five feet thick, are the thickest and most widespread of the units. The Mulky Coal can attain thicknesses of two feet. However, the Weir-Pittsburg coal beds and the Mulky Coal both occur at depths of several hundred feet and are mineable only by underground methods.

3.2.7.2 Forest City Basin Geology

In the Forest City Basin, coal-bearing strata are present in the Pennsylvanian-aged Riverton Formation and the Cherokee, Marmaton, and Pleasanton Groups. The coal-bearing units are cyclothems made up of shale, sandstone, limestone, and coal. More than 40 individual beds have been identified, and many have been mined for more than 100 years by both underground and surface methods. Some of the important coal beds which correlate across state boundaries are Riverton, Weir-Pittsburg, Mineral, Scammon, Fleming, Tebo, Croweburg, Bevier, Summit, Mulky, Mystic, and Mulberry. The coal beds are relatively widespread and commonly deep. As a result, many parts of the basin are underlain by multiple, unmined

coal beds. The cumulative thickness of the coals may be as much as 25 feet with individual beds as thick as ten feet; however, many of the beds are less than two feet thick.

Depths to the top of the Cherokee Group coals range from surface exposures in the shallower portion of the basin in southeastern Iowa, to about 1,200 to 1,600 feet in the deeper parts of the basin in southwestern Iowa and northeastern Kansas (Bostic et al., 1993). Generally, Pennsylvanian coal rank increases with depth and westward location, where greater depths of sediment burial exist.

3.3 Soils

This section examines soil resources potentially affected by the Action Alternatives under consideration.

3.3.1 Introduction

Soil is a natural body comprised of solids (minerals and organic matter), liquid, and gases that occurs on the land surface, occupies space, and is characterized by horizons (layers) that are distinguishable from the initial material (bedrock or other parent material) as a result of additions, losses, transfers, and transformations of energy and matter or the ability to support rooted plants in a natural environment (NRCS, 1999). Soil consists of the horizons near the earth's surface that, in contrast to the underlying parent material, have been altered by the interactions of climate, topography, and living organisms over time (NRCS, 1999). The upper limit of soil is the boundary between soil and air, shallow water, live plants, or plant materials that have not begun to decompose (NRCS, 1999). Commonly, soil grades at its lower boundary to unfragmented rock or to earthy materials virtually devoid of animals, roots, or other marks of biological activity (NRCS, 1999). However, the lowest depth of biological activity is difficult to discern and is often gradual. Therefore, for purposes of classification, the U.S. Department of Agriculture, Natural Resources Conservation Service (NRCS) considers the lower boundary of soil in indistinct situations to be 200 cm (approximately 6.5 feet) (NRCS, 1999). Areas are not considered to have soil if the surface is permanently covered by water too deep for the growth of rooted plants (typically, more than 2.5 meters—approximately 8 feet) (NRCS, 1999).

Factors that contribute to soil development include parent material, climate, topography, biological factors, and time. Parent material is generally bedrock, glacial till, colluvium (material moving in response to gravity), or alluvium (material deposited by rivers and streams) on which a soil forms (U.S. EPA et al., 2003). Climate affects soil composition by freeze/thaw action and by controlling the rate at which physical and chemical weathering take place. Wind and water both remove and deposit soil materials. Soils undergo continual development because of the cumulative effects of all these factors. The time required for soil to form from parent materials ranges from hundreds to tens of thousands of years. Well-drained mine spoils have been observed to begin the process of A-horizon formation in as few as 10 to 20 years after mining.

Physical, chemical, and biological properties of soils determine their productivity and susceptibility to compaction and erosion. The potential for plant growth depends on the ability of the soil to accept, hold, and release nutrients and moisture. Soil provides the environment for root growth and development. It provides habitat for microorganisms that control processes related to plant nutrition, nutrient cycling, and the biological control of pests. The condition of the soil determines the effectiveness of these functions.

In the U.S., soil scientists recognize twelve basic types of soils known as orders. These orders reflect the environment in which soils form, their age, and the ecosystems they support. Of the 12 soil orders, the 11 listed below are present in the coal-producing regions:

- Andisols – dark soils formed from volcanic activity;
- Alfisols – brown forest soils;
- Aridisols – arid region soils;
- Entisols – very young soils that show little weathering;
- Gelisols – frozen soils of tundra areas;
- Histosols – organic soils in marshy or montane areas;
- Inceptisols – young soils;
- Mollisols – dark, rich soils of the plains (mostly grasslands);
- Spodosols – ashy soils of wet, sandy areas;
- Ultisols – highly weathered soils of mostly temperate areas; and
- Vertisols – soils with shrink-swell clays.

Soils are further divided by similar characteristics into suborder, great group, subgroup, family, and soil series. There are more than 19,000 soil series in the U.S. (NRCS, 2011). Throughout this section, certain soil suborders and great groups (*italicized*) are included with the soil orders to provide a more detailed description of soils within the various regions.

Soil productivity is the ability of a soil to produce vegetation, either in general or in terms of a specific crop. The physical (texture and structure), chemical (organic matter decomposition and nutrient release), and biological (nutrient cycling and nitrogen fixation) properties of soil supply the required air, water, and nutrients the plants require for plant growth (BLM, 2008).

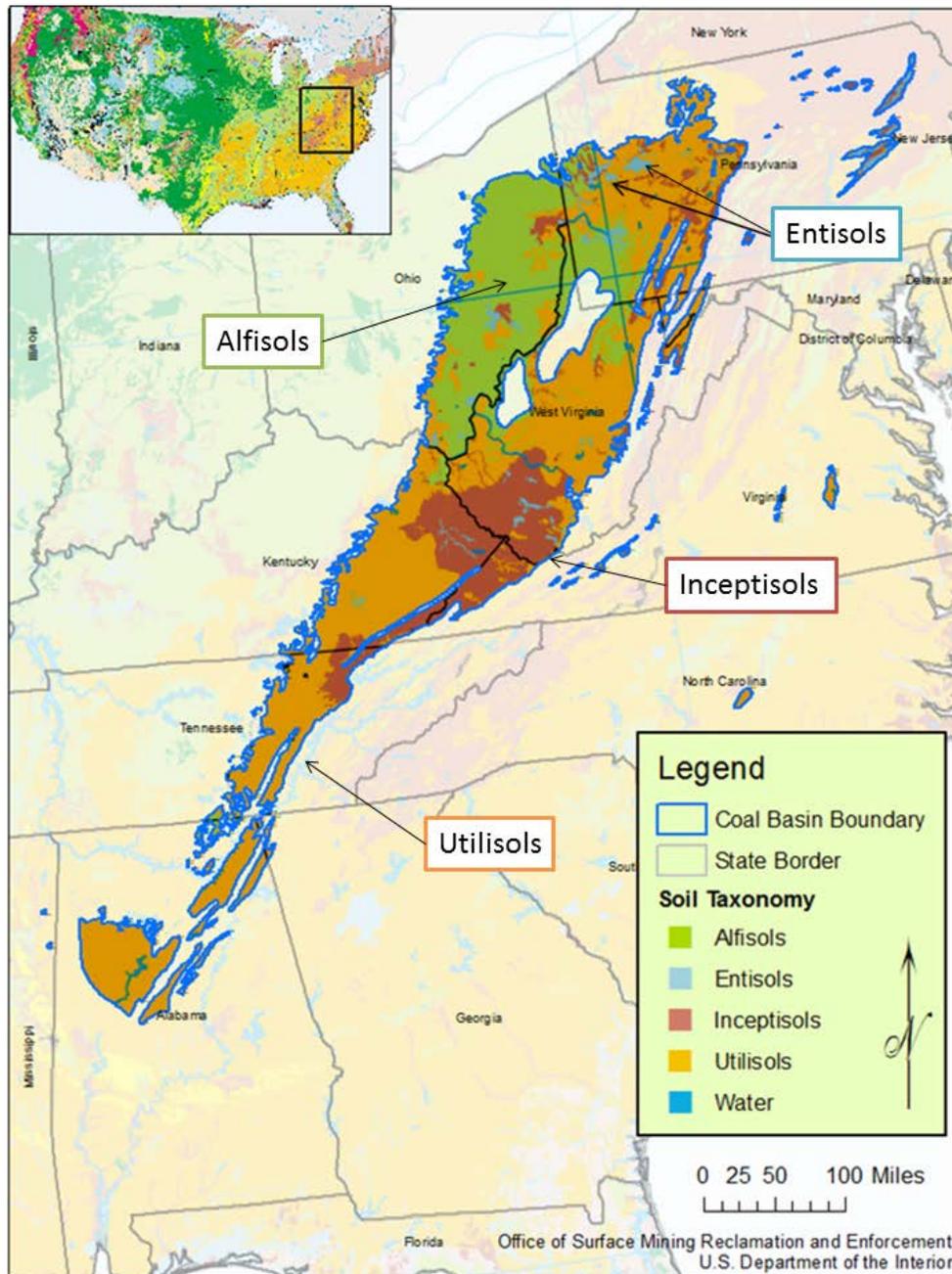
To describe the soil resources potentially affected by the Action Alternatives, this section briefly discusses the dominant soil orders, suborders, and soil associations of the ecoregions (McNab and Avers, 1994) in each coal region. Soil distribution can be very heterogeneous, creating a mosaic of soil types over small areas.

3.3.2 Appalachian Basin Region

3.3.2.1 Description of Soils in Region

The Appalachian Basin region (see Figure 3.3-1) features soils that are predominantly colluvial in nature, i.e., soils that occur on mountain slopes formed on residuum from acidic sandstone, siltstone, and shale. These associations/complexes typically occur on steep side slopes at higher elevations. They form on residuum or creep material from acidic sandstone, siltstone, and shale. These soils are very thin—typically 0-3 inches of topsoil and 1.5-5 feet of subsoil underlain by bedrock. Logging methods may adversely affect topsoil thickness (U.S. EPA et al., 2003).

Figure 3.3-1. Soil Orders of the Appalachian Basin Region



Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, U.S. Department of Agriculture (USDA), downloaded from: http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

The presence of deeper colluvial and residual weathered deposits on southwest slopes that receive higher precipitation amounts than slopes with other aspects make slopes with a southwest aspect susceptible to landslides.

The most extensive soils in the Appalachian Basin region are Ultisols. Ultisols are generally deep to moderately deep, leached, acidic, and highly weathered. They have a low nutrient content and their ability to retain minerals is moderate to low. Inceptisols are immature soils that occur on steep slopes and in depressions in the region. They form from highly resistant parent material or in alluvial floodplains. Inceptisols are predominantly found on slopes and in depressions in warmer temperature regimes. These soils are generally thin but can be deep in places. They are better able to retain minerals than the Ultisols. Alfisols, which are moderately deep, are also present. Typically, xeric shallow soils are present along the tops of cliffs and rock outcrops, while thin rocky soils accumulate in crevices, on ledges, and along rock margins.

Ecological areas, referred to as ecoregions, in the Appalachian Basin are the Southern Unglaciaded Allegheny Plateau, Allegheny Mountains, Northern Cumberland Mountains, and Northern Cumberland Plateau. Soil descriptions of the ecological areas can be summarized as follows (OSMRE, 2008):

- Southern Unglaciaded Allegheny Plateau ecological area soils consist mostly of Ultisols (Udalfs, Udults, and Ochrepts). Soil conditions are moist for most of the growing year and the soils have a mixed-clay or primary-clay mineralogy. These fine-loamy or clayey soils are frequently in a reducing environment.
- Soils in the Allegheny Mountains ecological area are predominantly Ultisols, Inceptisols, and Alfisols and are moist for most of the growing year. They are derived from heavily weathered shales, siltstones, sandstone residuum, colluvium, and limestone residuum. Spodosols with frigid temperature regimes and reducing environments occur in isolated pockets at the highest elevations.
- Northern Cumberland Mountains ecological area soils are mainly Ultisols, Inceptisols, and Alfisols. These fine- to coarse-loamy soils are moist for most of the growing year. They are derived from heavily weathered shales, siltstones, sandstone residuum and colluvium, and limestone residuum. Ultisols and Inceptisols (Dystrachrepts, Hapludults, and Fragiudults) on plateaus and upper slopes are fine-loamy to loamy with a siliceous or mixed mineralogy.
- Ultisols dominate side slopes and ridges in the Northern Cumberland Plateau ecological area. Inceptisols are found on slopes and Entisols on floodplains. These medium- to fine-textured, shallow to deep soils with a siliceous or mixed mineralogy are moist for most of the growing year.

3.3.2.2 Productivity and Reclamation Potential

Throughout much of the Appalachian Basin, reclaimed soils are frequently very thin. Excessive grading caused by the need to restore appropriate slopes as well as additional grading to redistribute soil has resulted in over-compaction. This compaction of soil and root zone media makes revegetation with species other than grasses difficult and has historically inhibited the reestablishment of desired hardwood forests after mining. However, more recent efforts at reestablishing hardwood forests on mined lands in the Appalachian Basin, based on current research indicating that trees grow well in uncompacted mine spoil, have been successful (Burger et al., 2005). In partnership with industry, universities, and the states, OSMRE has developed the Appalachian Region Reforestation Initiative to promote reclamation and reforestation using the Forestry Reclamation Approach. This approach minimizes grading and

compaction, thus facilitating successful tree root development and vigorous tree growth, with a high potential for successful forestry postmining land uses.

3.3.3 Colorado Plateau Coal-Producing Region

3.3.3.1 Description of Soils in Region

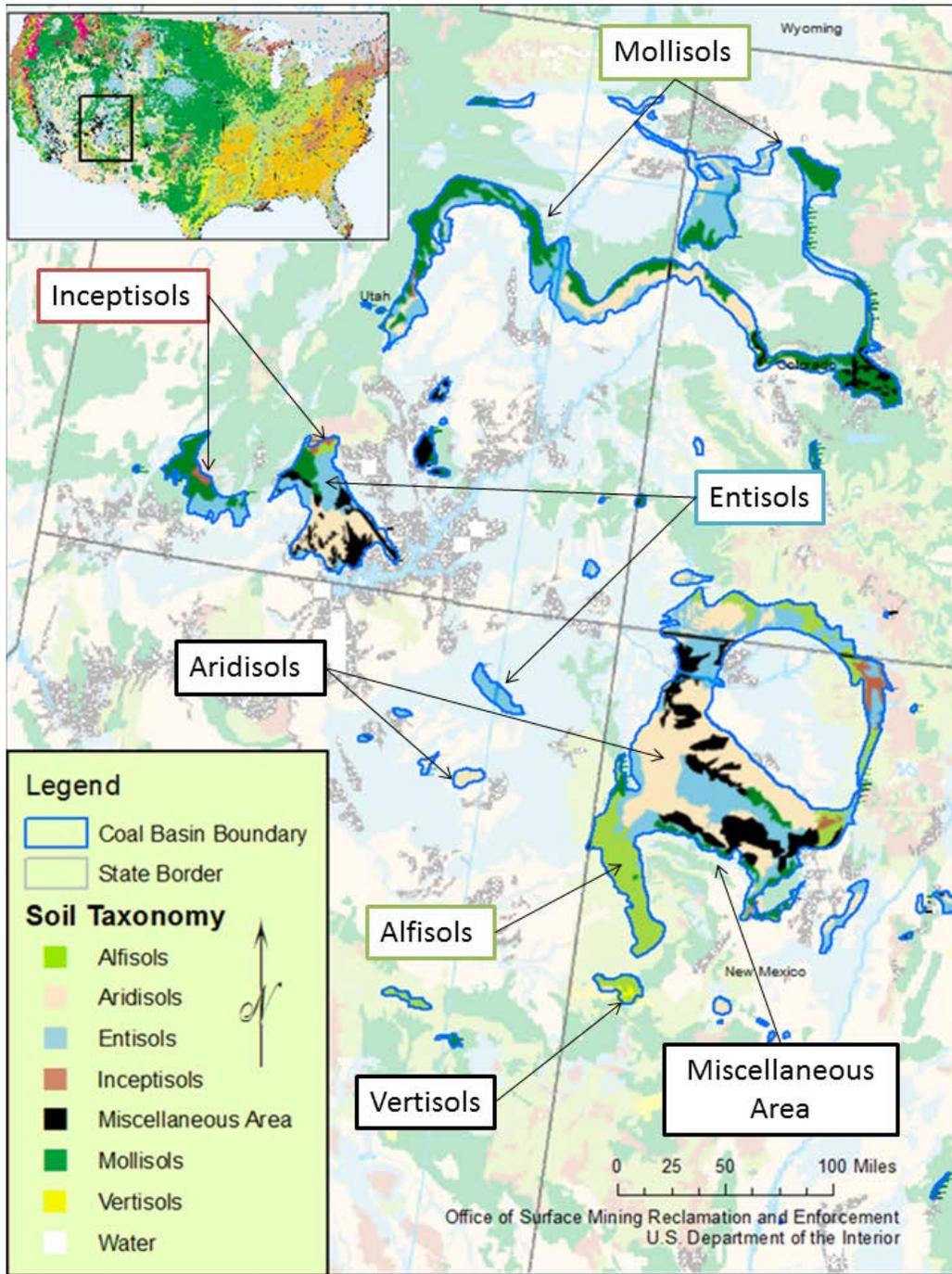
The Colorado Plateau (see Figure 3.3-2) is predominantly composed of Alfisol, Aridisol, Entisol, Inceptisol, and Mollisol soils. Alfisols are predominant in forested areas at high elevations. Aridisols are a common soil series in the western U.S. and are formed in areas that are dry for long periods of time. Entisols of the western U.S. are generally Orthents found on recent erosional surfaces. These soils support rangeland, pasture, and wildlife. Inceptisols in this region occur mostly at high elevations where the vegetation is mostly conifers or mixed conifers. Mollisols form in grasslands and are the dominant soils of the plains and high-elevation plateaus and ridgetops.

Colorado Plateau soils are generally cool soils with dark-colored, organic-rich surface horizons in moderately sloping areas and shallow, poorly developed soils in steeper areas and on rock outcrops. Soils on upper slopes have a thin organic-rich surface horizon and soils on the lower slopes range from shallow to moderately deep. These soils are generally formed in colluvium, with a few formed in residuum derived from shales and sandstone. Some are formed from eolian (wind-deposited) material. Biological crusts, a complex mosaic of blue-green algae, green algae, lichens, mosses, microfungi, and other bacteria (Belnap et al., 2001) are also present. These fragile crusts affect water retention and infiltration and surface runoff and may reduce soil erosion.

Ecological areas in the Colorado Plateau region are the Navajo Canyonlands, Tavaputs Plateau, and Southern Parks and Ranges. Soil descriptions of the ecological areas can be summarized as follows (OSMRE, 2008):

- Soils in the Navajo Canyonlands ecological area are mostly Aridisols with some Inceptisols, Alfisols, and Entisols. Soils are fine- to coarse-loamy, generally dry, and shallow, especially along slopes. Entisols can be rocky or gravelly.
- The Tavaputs Plateau ecological area soils include Entisols and Aridisols with moderate moisture, cold soil temperature regimes, and arid soil moisture regimes (dry for at least half the year). Entisols are generally fine-loamy, but can be clayey. Most soils contain calcium. Many soils (Entisols, Aridisols, and the less common Inceptisols) are shallow-rocky or loamy-skeletal with cold temperature regimes.
- Soils in the Southern Parks and Ranges ecological area are Alfisols and Mollisols. Fine, kaolinitic, and fine-loamy Alfisols are present, along with fine-grained Mollisols.

Figure 3.3-2. Soil Orders of the Colorado Plateau



Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, USDA, downloaded from:
http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

The NRCS identifies more than 580 soil associations in the ecological regions in the Colorado Plateau region. While not technically a soil, rock outcrops are part of the soil mapping scheme. These outcrops are extensive throughout the Colorado Plateau.

3.3.3.2 Productivity and Reclamation Potential

Most of the Colorado Plateau is arid to semiarid, although some areas are forested. Precipitation is a limiting factor when revegetating mined land. Elevated soil salinity levels can limit productivity. To establish vegetation, soil substitutes and supplements are commonly used, particularly in areas with shallow or rocky soils. Seed mixes of native and non-native species are tailored to the individual environment. Seeding is done during seasons with the best chance for precipitation.

3.3.4 Gulf Coast Coal-Producing Region

3.3.4.1 Description of Soils in Region

The Gulf Coast region (see Figure 3.3-3) consists of lignite fields that spread from southern Texas northeastward into northern Louisiana and southern and south-central Arkansas. A separate lignite field stretches north from the Mississippi Embayment area into parts of far western Tennessee and Kentucky and east into southern Alabama. Although lignite is present in all of these states, it is only mined in Texas, Louisiana, and Mississippi. For this reason, the following discussion focuses on the lignite-mining portions of these three states.

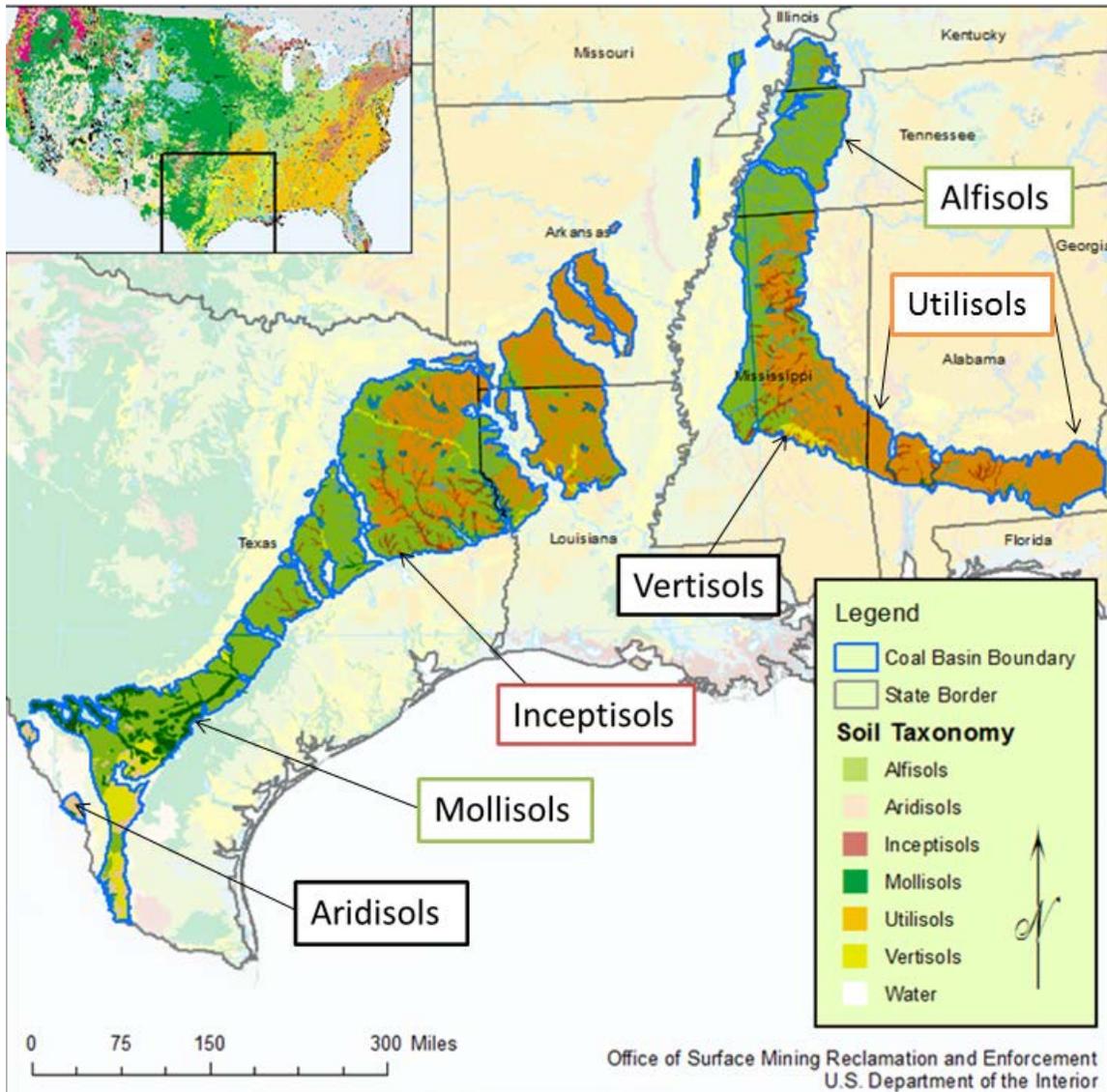
Soils in the Gulf Coast region are predominantly Alfisols, Inceptisols, Mollisols, Ultisols, and Vertisols. Alfisols occur in dry areas of the southern Great Plains, mostly in Texas. They support savanna and grassland vegetation. Entisols in the Gulf Coast region are present along the coast and on floodplains, fans, and small streams. Inceptisols, Mollisols, and Vertisols occur in temperate subhumid or semiarid regions. Ultisols occur in wet environments and support cropland and forests.

Gulf Coast region soils range from dry (as in south Texas) to wet (as in eastern Texas, Louisiana and Mississippi) and most soils are on flat to gently rolling plains dissected by streams. Soils in the major coal areas of eastern Texas are generally well-developed clayey or loamy soils. They tend to have high shrink-swell properties. Soils further east in the more humid environment of Louisiana and Mississippi are rich organic Entisols, Vertisols, and Ultisols.

Ecological areas in the Gulf Coast region are the Rio Grande Plain; Oak Woods and Prairies; Coastal Plains and Flatwoods – Western Gulf; Mid-Coastal Plains – Western; Coastal Plains – Middle Section; and Lower Coastal Plains and Flatwoods. Soil descriptions of the ecological areas are as follows (OSMRE, 2008):

- Rio Grande Plain ecological area soils consist of Usterts, Torrerts, and Ustalfs. Pellusterts, including Calciustolls and Calciorthids are found on plains over clayey marine sediments. Torrerts, Haplustolls, Calciustolls, Paleustalfs, and Haplustalfs are found on plains. Soils have a hyper thermic temperature regime, an ustic or aridic moisture regime, and mixed mineralogy. Soils are mostly deep, fine- to coarse-textured, well-drained, and have limited soil moisture for use by vegetation during the growing season.

Figure 3.3-3. Soil Orders of the Gulf Coast



Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, USDA, downloaded from:
http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

- In the Oak Woods and Prairies ecological area, soils are predominantly Ustalfs. Paleustalfs and Albaqualfs are found on uplands and other areas with thick sandy surfaces. Pelluderts, Pellusterts, and Hapludolls are found on floodplains and clayey terraces along major rivers. These soils have a thermic temperature regime, an ustic moisture regime, and montmorillonitic mineralogy. Soils are deep, medium-textured, and generally have a slowly-permeable, clayey subsoil. Moisture may be limiting for plant growth during parts of the year.
- Soils of the Coastal Plains and Flatwoods – Western Gulf ecological area are mostly siliceous fine clays and fine silty clay Alfisols with lesser amounts of coarser siliceous Entisols and

Ultisols. Ultisols (Udults, Paleudults, and Hapludults) and Alfisols (Hapludalfs, Paleudalfs, and Albaqualfs) occur on uplands. Entisols (Fluvaquents, Udifluvents) and the less common Inceptisols occur along major streams. Soils are mostly derived from weathered sandstone and shale and of siliceous or mixed mineralogy. Soils are deep, coarsely-textured, moist, and mostly well-drained.

- The Mid-Coastal Plains – Western ecological area soils are predominantly Ultisols. Alfisols and some Ultisols are found on uplands. Entisols, Inceptisols, and Alfisols are found on bottomlands along major streams. Soils are generally fine-grained, but some coarser soils are present. Siliceous mineralogy is prevalent with lesser amounts of clayey and kaolinitic soil series.
- Coastal Plains – Middle Section soils are mostly Ultisols characterized by fine to fine-loamy siliceous material with lesser amounts of coarser Entisols, Inceptisols and wetter Alfisols. Ultisols are on level to strongly sloping uplands and occur on less sloping, moderately well-drained areas. Small but significant areas of Alfisols and Entisols are present in localized areas and bottomlands. Ultisols are found in low-elevation wetlands. Soils are deep and loamy, clayey, or sandy with poor to good drainage.
- Soils of the Lower Coastal Plains and Flatwoods ecological area are predominantly Ultisols with fine to fine-loamy clays with a thermic temperature regime and a moist moisture regime. Soil texture ranges from fine-silty to fine-loamy to sandy. Mineralogy ranges from quartzitic to arkosic to clayey to micaceous. Soils are deep, moderately-permeable, and well-drained.

3.3.4.2 Productivity and Reclamation Potential

Productivity and reclamation potential vary throughout the Gulf Coast region. Soils in the lignite areas of Louisiana and Mississippi typically possess high productivity and reclamation potential. However, in the immediate area of current coal production, soils have more substantial limitations, which commonly result in the use of topsoil substitutes. Climate and water availability can influence productivity. Soils in Texas are more variable with productivity and reclamation potential ranging from poor in the dry south to fair in the wetter east. All current coal-producing areas contain certain soil types with one or more of the following limitations: (1) poor parent materials (residuum), (2) less than ideal soil texture, (3) extreme weathering, and (4) acidic soil chemistry.

Operators commonly use topsoil substitutes in the Gulf Coast region to achieve an increase in productivity over the highly eroded and weathered native soils. The NRCS has developed mapping units for many mined areas in Texas that have been reclaimed using soil substitute materials. Although limited in acreage, two postmining soil substitute mapping units within the state have been classified as prime farmland soils (Bigbrown and Grayrock) (Bearden, E.D., 1997; NRCS, 2013).

3.3.5 Illinois Basin Coal-Producing Region

3.3.5.1 Description of Soils in Region

The Illinois Basin (see Figure 3.3-4) contains the southern two-thirds of Illinois, southwestern Indiana, and part of western Kentucky. Soils in the Illinois Basin are Mollisols, Alfisols, Inceptisols, and Entisols. Mollisols, predominant in the northern half of the region, reflect their prairie origins and are mostly freely-draining. Originally dominated by tallgrass prairie, these soils are now used primarily as cropland and pasture/hayland, with some grazing land. A high percentage of these soils are designated as prime

farmland. Alfisols predominate in the southern half of the basin and are present over much of the area near the Mississippi and Ohio Rivers. Entisols occur in the vicinity of rivers and streams in the Illinois Basin coal region. These soils support vegetation that tolerates permanent or periodic saturation.

Soils in key ecological areas can be described as follows (OSMRE, 2008):

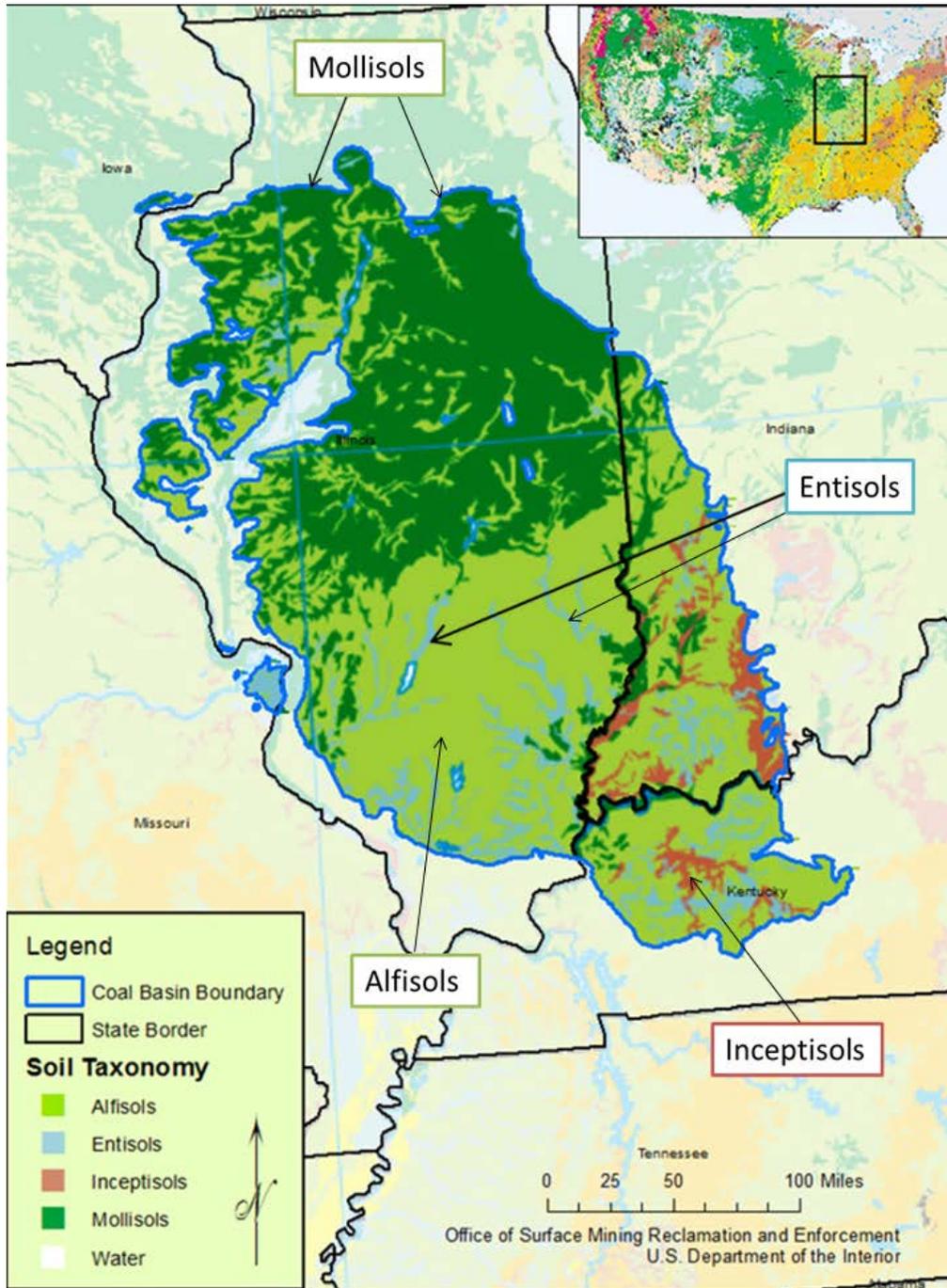
- Central Till Plains - soils are mostly Ultisols and Alfisols, but Inceptisols and Mollisols are also present. Soils tend to have relatively thick upper horizons that are darkened by decomposed organic matter. They are very productive for agricultural crops and are predominantly designated as prime farmland soil types. Located on floodplains and till plains, these soils are commonly poorly-drained, with fine-silty to coarse-silty textures.
- Interior Low Plateau - Shawnee Hills soils formed from loess, residuum, and alluvium. The area is dominated by Ultisols and Alfisols with Inceptisol inclusions. These fine-silty and fine-loamy soils are generally well-drained to moderately well-drained.

3.3.5.2 Productivity and Reclamation Potential

Soils in the Illinois Basin coal region are highly productive, supporting primarily agricultural land uses of cropland and pastureland. The thickness, texture, and high organic content of these soils afford good handling characteristics and promote rapid revegetation after disturbance. This region's flat to rolling topography and overall lack of steep slopes also contribute to excellent reclamation potential. Prime farmland soils reclaimed after mining have experienced 100 percent restoration of agricultural productivity.

Proper soil handling and replacement techniques are essential in the reclamation of these prime farmland soils to avoid compaction and a reduction in agricultural crop productivity. The region's less fertile native soil types generally are reclaimed to non-agricultural postmining land uses, frequently fish and wildlife or forestry. When compaction is avoided, these soils can readily support excellent forestry and wildlife postmining land uses.

Figure 3.3-4. Soil Orders of the Illinois Basin



Source: U.S. General Soil Map (STATSGO2) - Soil Data Mart, USDA, downloaded from:
http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

3.3.6 Northern Rocky Mountains and Great Plains Coal-Producing Region

3.3.6.1 Description of Soils in Region

Soils in the Northern Rocky Mountains and Great Plains region (see Figure 3.3-5) have generally developed from residual material (residuum) and alluvium in a climate of cold winters, warm summers, and low precipitation. The upland soils are derived from both residual material (flat-lying, interbedded sandstone, siltstone, and shale) and stream alluvium. Valley soils have developed from unconsolidated stream sediments, including silt, sand, and gravel (BLM, 2003b). Exposed bedrock is present on steep slopes.

The most extensive soils are Entisols, which occur mainly on sloping topography. The physical and chemical characteristics of Entisol soils largely depend on the soil parent materials and the bedrock on which they occur. These soils generally are low in plant nutrients and commonly have clay textures.

The coal-rich Powder River Basin has large areas of gently sloping to nearly flat topography with Aridisol soils. These soils have low to moderate organic matter content and plant nutrients in the surface horizons. They also have moderate to strong structural development within the surface and subsoil horizons. This results in a more fertile rooting zone, particularly when soil textures are loamy rather than sandy or clayey.

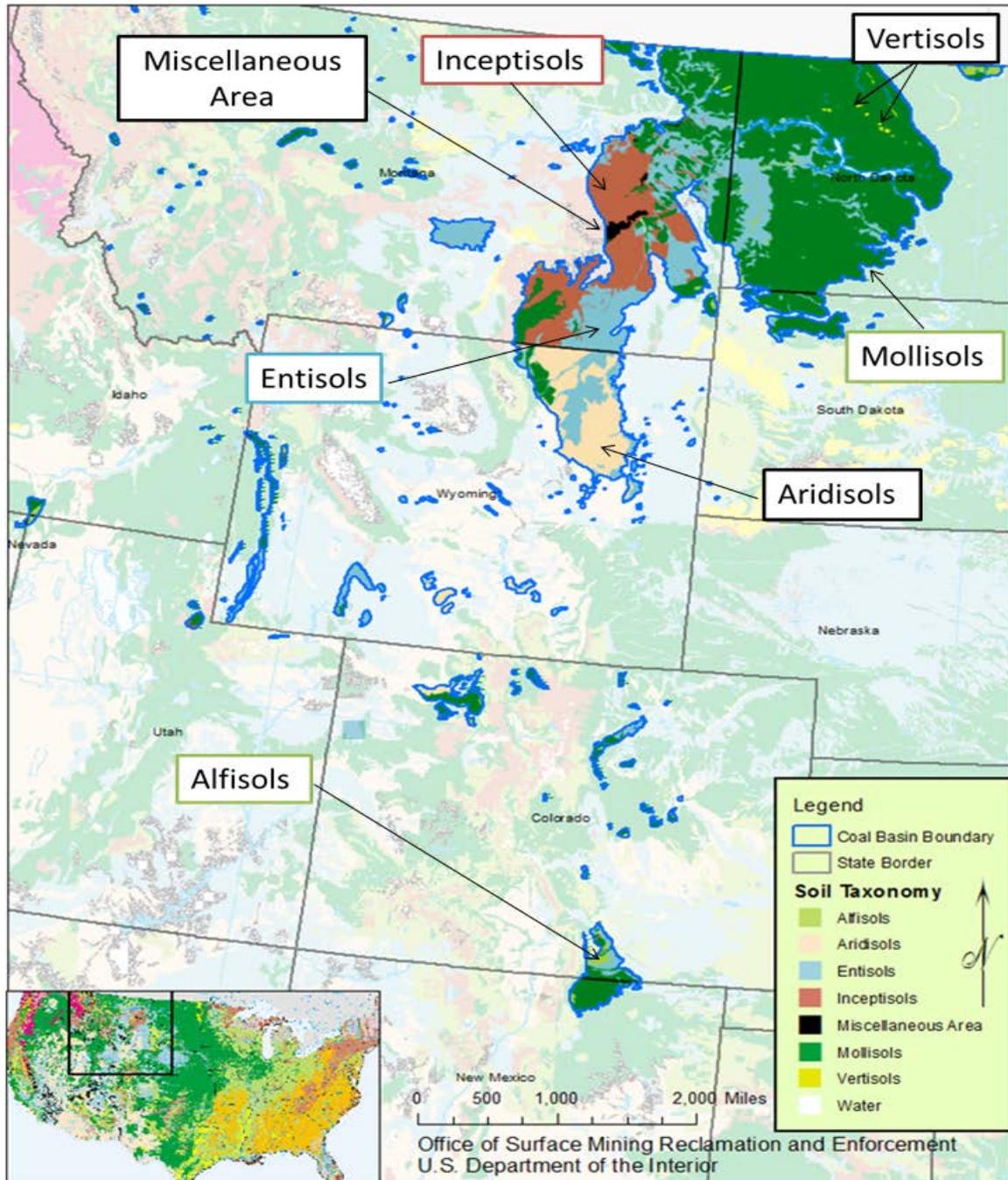
Mollisol soils occur mainly in western North Dakota. These fertile soils contain high levels of organic matter and nutrients. They are commonly classified as prime farmland.

Soils in rolling to steep mountainous terrain are generally formed from residuum and transported material from bedrock. Soils are shallow to deep, well-drained, and moderately-permeable (Lowham et al., 1985). Runoff potential is moderately low to high and erodibility is low to moderate. The most abundant soils are found on alpine slopes and meadows and are generally classified as Cryoborals (Gaggiani et al., 1987).

Plains soils are derived from transported and residual materials. They generally contain organic material, are fine-grained, and are more alkaline than mountain soils (Lowry et al., 1983). The low to moderate permeability of these soils can result in moderate to high surface runoff from precipitation events (Lowry et al., 1983). Additionally, soils on the Plains are subject to wind erosion. Biological crusts, a complex mosaic of blue-green algae, green algae, lichens, mosses, microfungi, and other bacteria (Belnap et al., 2001) are also present in the Northern Rocky Mountains and Great Plains region. These fragile crusts affect water retention and infiltration and surface runoff and may reduce soil erosion.

Fluvial soil types are found on gently sloping to flat drainage bottoms in the Powder River Basin. Fluvial soils vary considerably in fertility, depending on the source of alluvium. When low in salts and sodium, these soils tend to be very fertile and are the most productive in the Basin (BLM, 1984).

Figure 3.3-5. Soil Orders of the Northern Rocky Mountains and Great Plains



Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, USDA, downloaded from:
http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

3.3.6.2 Productivity and Reclamation Potential

Reclamation potential varies, depending on soil type, depth, and slope. On the Great Plains, precipitation is the main factor in determining reclamation success, especially for native species. The North Dakota lignite area receives greater precipitation than the Powder River Basin. Reclamation of these soils is successful when best management practices are applied, including use of the appropriate seeding mixture (native and non-native species) and soil substitutes and supplements. Reclamation potential in mountainous areas is generally poor because of the soil type, limited depth of soil, slope, and dry conditions, except in mountain meadows.

3.3.7 Northwest Coal-Producing Region

3.3.7.1 Description of Soils in Region

The soils of Alaska are primarily in one soil order, the Inceptisols. Therefore, the discussion below provides information down to the next lower soil taxonomic levels of the Group and Subgroup based on soils information provided for each ecoregion of Alaska in USGS Professional Paper 1567 titled “Ecoregions of Alaska” by Alisa Gallant, Emily Binnian, James Omernik and Mark Shasby, referred to from here forward as Gallant et al., 1995. The Nenana and Matanuska coal fields of Alaska are located within three ecoregions: the Interior Forested Lowlands and Uplands ecoregion, the Alaska Range ecoregion, and the Cook Inlet ecoregion. Soils are extremely diverse, ranging from areas containing little to no soil in rugged terrain to deep glacial deposits in lowland areas. Soils containing permafrost and peat also are found within this area.

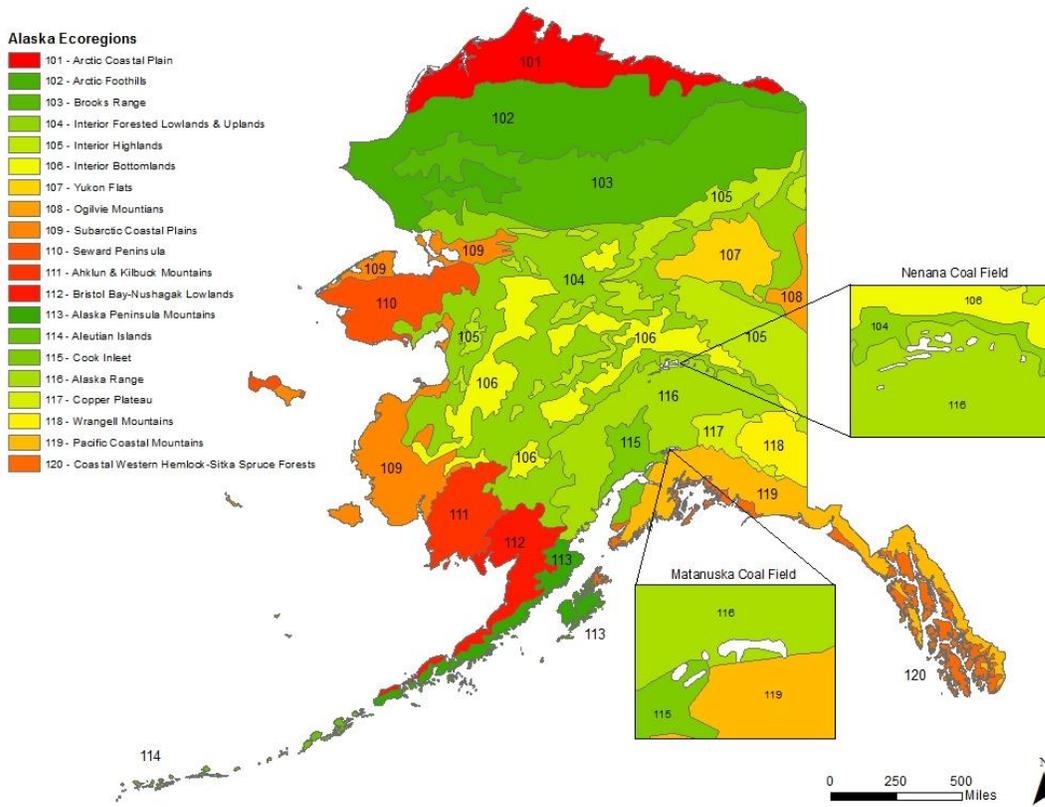
The Cook Inlet ecoregion is underlain by deep glacial deposits. Upper soil horizons are formed from loess and from windblown volcanic ash. This ecoregion contains peat deposits, but is generally free from permafrost. The dominant soils are Haplocryands, Sphaginic Borofibrists, Terric Borosaprists, Typic Borohemists, Andic Haplocryods, and Andic Humicryods (Gallant et al., 1995).

The dominant soils within the Alaska Range ecoregion are Typic Haplocryands and Typic Vitricryands. Glacial deposits are the predominant soil parent material, with some soils forming in deposits of ash and cinder. The soils are highly erodible. Steep slopes and mountain peaks have little or no soil cover (Gallant et al., 1995).

Upland soils within the Forested Lowland and Upland ecoregion formed from loess and colluvial material. Some upland soils formed from residual rock parent material. Lowland soils formed from loess and alluvium. They tend to be shallow and underlain by permafrost. The dominant soils within this ecoregion are Histic Pergelic, Cryaquepts, Pergelic Cryaquepts, Aquic Cryochrepts, Pergelic Cryochrepts, Typic Cryochrepts, Typic Cryorthents, and Pergelic Cryumbrepts (Gallant et al., 1995).

Figure 3.3-6 below is adapted from Gallant et al., 1995 and depicts each of the ecoregions in numeric codes as follows: 104 for the Forested Lowland and Upland ecoregion, 115 for the Cook Inlet ecoregion and 116 for the Alaska Range ecoregions. Additional information about each of these ecoregions as well as for the other ecoregions not described above can be found in the source document.

Figure 3.3-6. Alaska Ecoregions



Source: Derived from spatial data downloaded August 2016 from <https://www.epa.gov/eco-research/level-iii-and-iv-ecoregions-continental-united-states>, original data contained in Gallant et al., 1995.

3.3.7.2 Productivity and Reclamation Potential

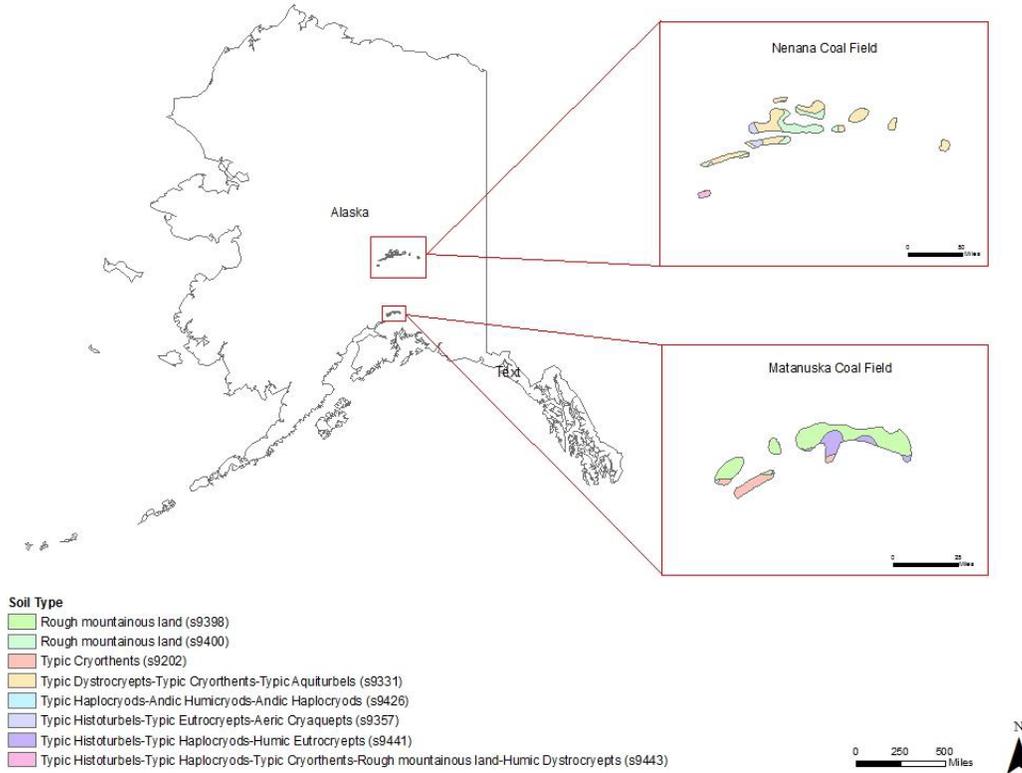
Productivity and reclamation potential of soils in the coal fields of Alaska are low because of the harsh climate.

3.3.8 Western Interior Coal-Producing Region

3.3.8.1 Description of Soils in Region

The Western Interior coal region includes the bituminous coal reserves of central and southern Iowa, northwestern and central Missouri, southeastern Nebraska, eastern Kansas, eastern Oklahoma, and west-central Arkansas. The limited bituminous coal reserves in north-central Texas are not included in this discussion because these reserves are not currently mined (see Figure 3.3-6).

Figure 3.3-7. Soil Orders of the Northwest Coal-Producing Region



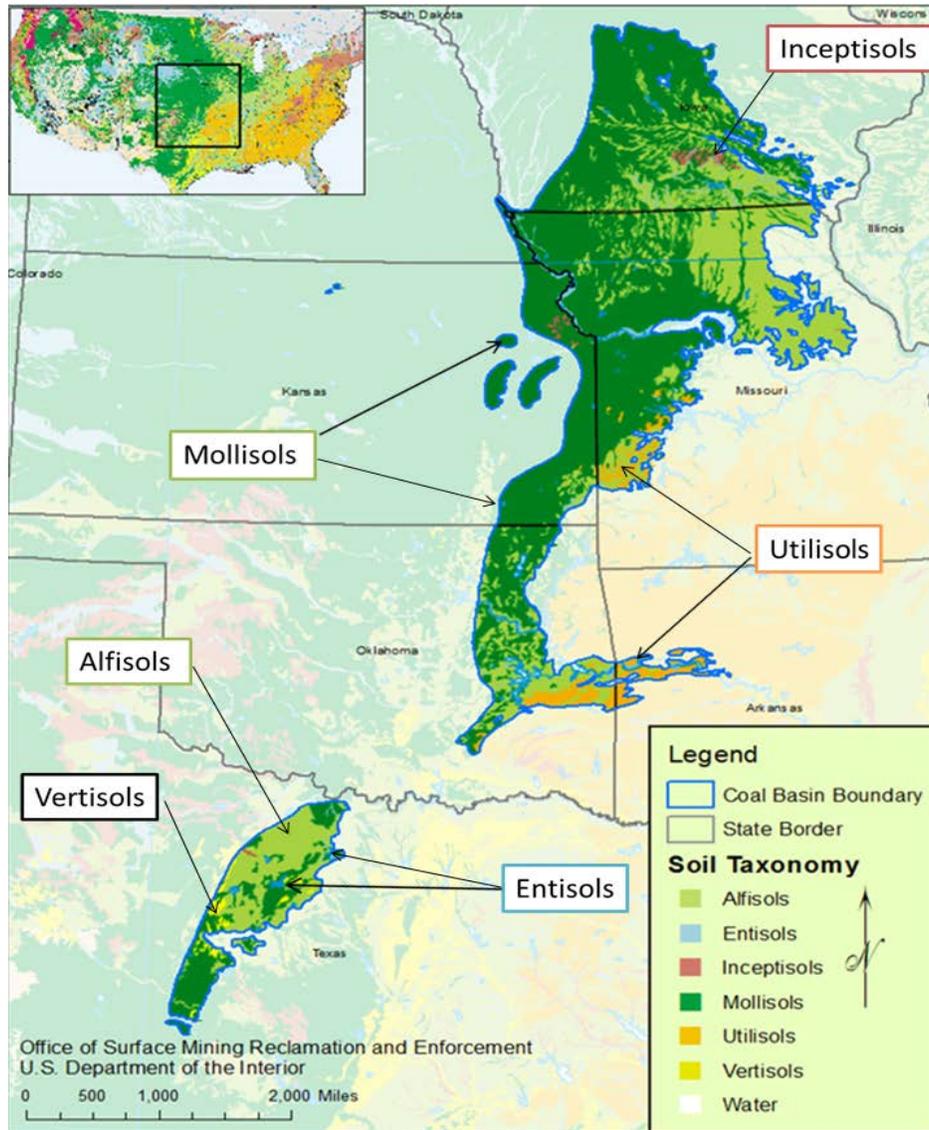
Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, USDA, downloaded from: http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

Soils in the Western Interior coal region are predominantly Mollisols, which have a favorable texture and high levels of organic matter. Alfisols are present, especially in Oklahoma and Arkansas, with minor amounts of Entisols occurring near rivers. Mollisols are the dominant soils of the Plains. They form in grasslands and are used mainly as cropland and pasture/haylands. Alfisols in this region occur in areas with moderate rainfall and support grassland and forest vegetation. Entisols are generally sandy. They are among the most productive rangeland soils, especially along rivers, and are used as rangeland or pasture. These soils may be subject to wind erosion.

3.3.8.2 Productivity and Reclamation Potential

In the Western Interior coal region, soils are generally productive and support a range of agricultural land uses (primarily cropland and pasture/haylands). The overall lack of steep slopes on the Plains improves reclamation potential.

Figure 3.3-8. Soil Orders of the Western Interior



Source: NRCS, 2011, *U.S. General Soil Map (STATSGO2) - Soil Data Mart*, USDA, downloaded from: http://www.nrcs.usda.gov/wps/portal/nrcs/detail/soils/survey/geo/?cid=nrcs142p2_053629

3.4 Topography

3.4.1 Introduction

Topography refers to the general configuration of the surface of the land. In common usage it is the landscape and it can be described generally by terms such as mountainous, hilly, undulating, upland, lowland, plain, etc. Topography includes the concepts of relief (high vs. low areas) and compass orientation of natural or manmade features (American Geological Institute, 1997). Topography is intimately related with the science of geomorphology which attempts to explain the origin and evolution of topographic features.

3.4.2 Regional Topography

The earth's surface can be subdivided into natural regions that display internal uniformity. A physiological province is a geographic area that exhibits such a similarity among its topographic features, and is distinct from those of surrounding areas. Each physiographic province is a broad region with a uniformity of character regarding the geomorphology, relief, and environment. In most instances the type and boundaries of any physiographic province are determined by the nature and structure of the underlying rocks. However, any one physiographic province may contain within its borders more than one type of topographic feature. That is, a single province may contain both ridges and valleys, or basins and mountains, or high plateaus and low level areas, etc., so long as the province is distinct from surrounding areas.

Major physiographic provinces may further be subdivided into either sub-provinces or sections based on additional geographic distinctions or changes in topographic characteristics within the major province. As an example, the Gulf Coast Physiographic Province contains the East Gulf Coastal Plain Section and the West Gulf Coastal Plain Section, a differentiation based on geographic location (See Figure 3.4-9). The Illinois Basin Province contains the two sub-provinces of the Central Lowlands and the Interior Low Plateaus, the former having been glaciated and the southern limit of glaciation marking the boundary between the two (See Figure 3.4-10).

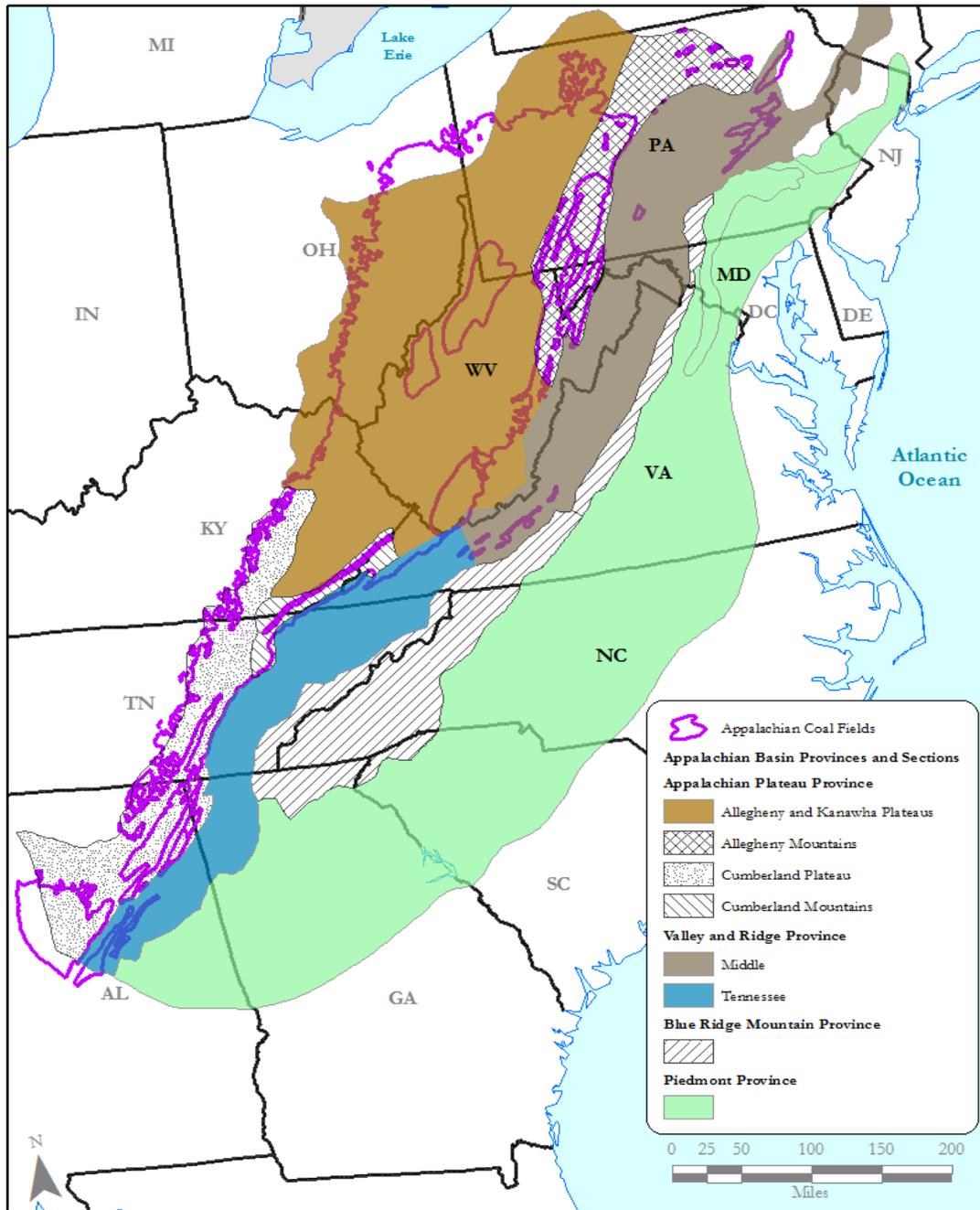
The next sections will describe in further detail the geomorphology of the following seven U.S. coal-bearing regions:

- Appalachian Basin;
- Colorado Plateau;
- Gulf Coast;
- Illinois Basin;
- Northern Rocky Mountains and the Great Plains;
- Northwest (including Alaska);
- Western Interior

3.4.2.1 *Appalachian Basin Region*

During the Paleozoic sediments were laid down in a broad, northeast-southwest trending lowland along the eastern portion of the U.S. This area of accumulating strata is termed, in common parlance, the Appalachian Basin. Here the term basin is used in the physiographic sense to indicate a low area within which sedimentary deposits accumulate. Over time, and under pressure from overlying strata, these deposits became lithified or converted to rock. It is within the swamps and lagoons of the Appalachian Basin that carbon-rich deposits amassed, eventually forming the strata of the Appalachian coal beds. Due to multiple episodes of tectonic plate collisions and mountain-building from the early Paleozoic to early Mesozoic (i.e., from about 450 to 220 million years ago) the region was raised into what is now termed the Appalachian Highlands. Folding, faulting and uplift of these lithified strata was followed by periods of erosion and weathering. These Earth forces created the distinctive topography that characterizes the Appalachian physiographic provinces (see Figures 3.4-1, and 3.2-2). Currently, uplifted and rejuvenated streams continue to cut downward through the ancient bedrock.

Figure 3.4-1. Physiographic Provinces and Sections of the Appalachian Basin Region



Source: USGS, 2004, *Physio*, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

The Piedmont and Blue Ridge Provinces do not concern us as these areas are composed of crystalline (igneous and metamorphic) rocks and contain no known deposits of coal. Conversely, the Valley and Ridge Province, and the Appalachian Plateau Province contain significant thicknesses of sedimentary rocks (sandstones, siltstones, shales, conglomerates and limestones) within which are included numerous beds of coal. The coal accumulated most significantly during a period of time termed the Pennsylvanian, however lesser widespread deposits were formed at other times. Coals in these two physiographic provinces are of both the bituminous (medium rank) and anthracite (high rank) types.

The difference in the topography between the Appalachian Plateau and Valley and Ridge provinces is determined primarily by the structure of the underlying bedrock. The Plateau is underlain by sedimentary strata that are either horizontal or gently folded. Consequently, the topography is typified by relatively flat, concordant (equal elevation) upland surfaces, carved by stream erosion into steep-sided, relatively narrow river valleys. By contrast, the strata of the Valley and Ridge Province have been folded and faulted into complex structures producing a topography of long linear ridges and broad valleys.

Figure 3.4-2. View of the Allegheny Mountains



Source: OSMRE, 2015a. Photograph Archive. U.S. Department of the Interior.

The Appalachian Plateau marks the western part of the Appalachian Highlands, stretching from New York to Georgia and Alabama. The surface of the Plateau is highest in the east and slopes gently to the northwest where it merges into the Interior Plains. The province is divided into several physiographic sections, which include the Allegheny Mountains, Cumberland Mountains, and the Kanawha Plateau and Cumberland Plateau (See Figure 3.4-1). Most of the lateral extent of the Appalachian bituminous coal seams is located within the Kanawha and the Cumberland Plateaus; lesser deposits occur in the Allegheny and Cumberland Mountains.

The “plateau” and “mountain” sections of the Appalachian Plateau differ from each other primarily according to local relief. The Allegheny Mountains run for about 400 miles from north-central Pennsylvania, through western Maryland and eastern West Virginia, to southwestern Virginia (See Figure 3.4-2). They rise to approximately 4,860 feet above mean sea level (MSL) in northeastern West Virginia. Local relief ranges from approximately 1,000 to 2,000 feet. In the east, the mountains are dominated by a

high, steep escarpment known as the Allegheny Front. In the west, they grade down into the closely associated Allegheny Plateau. The Allegheny Mountain Section differs from the Allegheny Plateau in that dissection is so advanced that the topography no longer resembles a plateau, even a dissected one. This section also differs in that mild folding and erosion on anticlines and synclines have produced linear ridges. As a result, the section includes trellis as well as dendritic (radial branching) drainage patterns.

The Allegheny Plateau is a large dissected plateau area in western and central New York, northern and western Pennsylvania, northern and western West Virginia, and eastern Ohio. It is divided into the glaciated Allegheny Plateau and the unglaciated Allegheny Plateau (where bituminous coal seams are located). In the unglaciated Allegheny Plateau in southeastern Ohio and westernmost West Virginia, relief is typically in the range of 200 to 400 feet. Locally, the highest elevations in this area are often in the range of 900 to 1,500 feet. Along the plateau's eastern border however, at the Allegheny Front, elevations may reach well over 4,000 feet above MSL, with relief of up to 2,000 feet. Generally the section's stratigraphy includes more shale than the Allegheny Mountains (where sandstone is more common); consequently its slopes tend to be smoother. The general drainage pattern in this section is dendritic.

The Cumberland Mountains section represents the southern counterpart of the Allegheny Mountains (See Figure 3.4-1). It occupies a strip about 150 miles long and 25 miles wide in Virginia, Kentucky, and Tennessee. Its geology is dominated by the Cumberland thrust block which is 125 miles long and 25 miles wide. The Cumberland Mountains are higher than the adjacent Cumberland Plateau to the west because the thrust brought resistant rock to the surface at a relatively high elevation. Peak elevations range from 2,000 to 2,600 feet above MSL and local relief varies from 100 to 200 feet. Similar to the Allegheny Mountains, this section contains trellis as well as dendritic drainage patterns.

The Cumberland Plateau constitutes the southernmost part of the Appalachian Plateau Province (See Figures 3.4-1 and 3.4-3). It includes parts of eastern Kentucky and Tennessee, and a small portion of northern Alabama and northwest Georgia. Elevations range from 1,270 to 2,000 feet above MSL and local relief averages 200 feet but can reach 1,000 feet along the eastern edge where the land transitions to the Ridge and Valley Province (Gaydos, 1982; Hollyday, 1983). The general drainage pattern is dendritic. The terms "Allegheny Plateau" and "Cumberland Plateau" stem from historical usage rather than geological difference. There is no strict dividing line between the two. Two major rivers share the names of the plateaus, with the Allegheny River rising in the Allegheny Plateau and the Cumberland River rising in the Cumberland Plateau.

Owing to steep slopes, an abundance of weak mudstones (claystone, shale, and siltstone) in the geologic section, and a temperate-to-humid climate, the Appalachian Plateau is an actively erosional landscape prone to mass movement processes, including rock falls, slope wash, soil creep, landslides, mudflows, and debris flows (See Figure 3.4-4). The active nature of the slopes in these mountains and hills is well documented on soil maps of the U.S. Natural Resources Conservation Service; in regional slope-instability mapping by Lessing et al. (1976) and Outerbridge (1979, 1982); and in numerous geotechnical investigations of natural landslides and other types of major mass movements. Gray and Gardner (1977) provide the following summary of how unstable slopes can form from the accumulation of rock and debris:

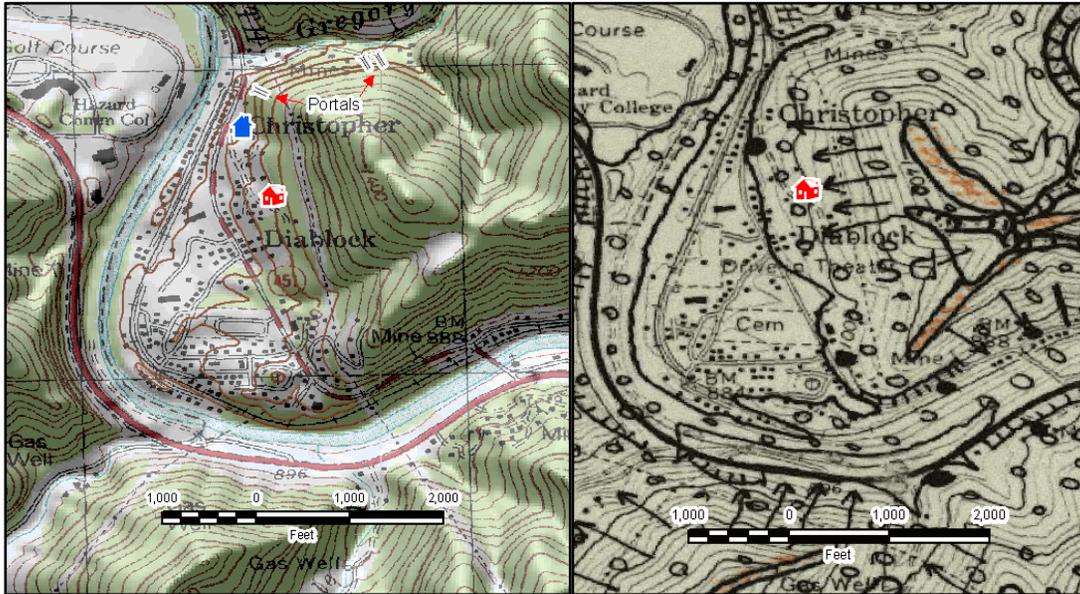
Figure 3.4-3. View of Cumberland Plateau Topography in Eastern Kentucky



Source: OSMRE, 2015a. Photograph Archive. U.S. Department of the Interior.

Weathering of rock and formation of soil is most active in the upper portions of the hillside where slopes are steep and rock occurs at the surface or at shallow depths. The soil particles derived from weathering of the near surface rock are transported downhill through mass wasting processes such as sheet wash and creep. A short distance down the slope, the transported soil begins to encounter conditions where downhill movement is retarded and soil accumulation occurs. Areas of accumulation usually occur where the slope angle decreases and/or where the volume of soil entering the area is greater than the capacity of mass wasting processes to remove it. Two basic zones of accumulation can be identified: the first involves accumulation on flatter slopes above ledges and on benches; the second involves accumulation within swales and small gullies on the hillside.

Figure 3.4-4. OSMRE Landslide Investigation in Perry County, Kentucky



Source: Michael, P. et al., 2010, *Figure 11*, U.S. Department of the Interior. (Modified from U.S. EPA, 2005)
<http://www.techtransfer.osmre.gov/ARsite/Publications/0610-Michael-PA.pdf>

Left: site topography; Right: 1979 USGS survey of landslides and related features surrounding the site. Area covered with small circles represents colluvial slopes with landslides. Arrows delineate zones of debris flows and debris avalanches. Orange shading represents rock and soil susceptible to landslides.

Loose rock debris can accumulate at ledges, in swales, or at the toe of slopes. Ledges and benches form where more resistant rocks, such as sandstones and limestones, jut out of the slope farther than the surrounding, more easily eroded softer shales. Swales are smooth, broad indentations or concavities in a slope which form due to concentrations of weak or fractured rock. Another zone of accumulation occurs at the base or toe of a slope where the rate of colluvium introduction exceeds the rate of its erosion by fluvial processes. (Rock fragments pile up faster than streams can carry them away.)

It is important to note that even where thick accumulations of colluvium are naturally stable, their modification via human construction practices can destabilize them. Common forms of human-induced destabilization include:

- Over-steepening of a slope by removal of colluvial material (See Figure 3.4-5);
- Overloading the slope with fill; and
- Increasing pore-water pressure in the colluvial material through disruption or redirection of natural drainage.

Figure 3.4-5. Destruction of a Residential Structure by a Landslide Caused by Human Activities (Excavation) into a Slope, Eastern Kentucky.



Source: OSMRE, n.d., *Landslide in Eastern Kentucky*, U.S. Department of the Interior

The common occurrence of potentially unstable slopes in the Appalachian Plateau and its effect on the long-term stability of excess spoil fills has long been recognized within the mining industry and among government regulators. Emphasis is placed on the identification of “landslide topography” to avoid construction on unstable foundation slopes. Four key elements related to Appalachian Plateau topography that affects the stability of excess spoil fills are summarized below. More detailed discussions are available in OSMRE (2002) and, Michael and Superfesky (2007):

Steep fill foundation slopes: Fill failures are a relatively uncommon occurrence in the Appalachian Plateau; those that have been reported have foundation slopes in excess of twenty percent. Contributing to failure potential is the use of weak, non-durable rock in the construction of excess spoil fills. West Virginia, Kentucky and Virginia have implemented fill minimization provisions. These provisions require that: operations are conducted such that more spoil material is placed within the mined area and, less material be placed in excess spoil fills. Following this procedure avoids the placement of fill material in the proximity of intermittent and perennial streams. One potential outcome of these provisions is that the toe, or bottom of the fill, is often located at higher elevations in the hollows (i.e. to prevent or limit burial of streams), which, as a consequence, result in the toe resting on steeper foundation slopes. This aforementioned scenario can negatively impact the stability of the fills if proper design and construction techniques are not followed. The effect of steep foundation slopes must be off-set by proper foundation preparation and placement of underdrains that can efficiently convey seepage out of the valley fill.

Potentially low shear strength of fill foundation materials: As discussed above, layers of colluvium are pervasive on the hill sides and tend to thicken downslope towards base level. However, deep soils can occur locally in higher elevations where weak rock types (e.g., mud rocks like shale and claystone) are

exposed. Several studies have emphasized that the identification of soil-like material in the foundation of a proposed excess spoil fill — and the use of accurate foundation shear strength properties — is essential for a realistic valley fill stability analysis.

Ground water discharge into excess spoil fills: Sedimentary strata in the Appalachian Plateau, including aquifers, are near-horizontal in inclination; consequently, numerous water-bearing beds intersect — or crop out — into excess spoil fills. The rock strata also tend to be densely fractured near the surface due to valley stress relief. Thus ground water can flow parallel to valley side slopes as well as horizontally through aquifers. As a result, numerous excess spoil fills are constructed on top of seeps and springs, especially in locations where bounding sedimentary strata dip into the fill. The construction of fill underdrains capable of discharging subsurface drainage that has entered the fill is critical.

Figure 3.4-6 shows an example of an excess spoil durable rock fill that failed due to an inadequate underdrain (as well as placement on a steep, soil-like foundation). Underdrains in durable rock fills rely solely on the natural segregation of end-dumped durable rock material. The larger, heavier durable rock is theoretically supposed to roll downslope and form a natural underdrain. In reality, the spoil material often does not adequately segregate. Consequently, naturally occurring springs and seeps in the hillside, as well as those that may occur within the fill foundation, can be buried with non-durable rock material. This can lead to greater water infiltration into the fill material and longer contact time with toxic materials in the spoil; this in turn may result in contaminated discharges.

Figure 3.4-6. Failed Excess Spoil Fill (Specifically a Durable Rock Fill) in Eastern Kentucky



Source: Peter Michael, et al., 2010, *Figure 3 Durable rock fill in eastern Kentucky*, U.S. Department of the Interior. <http://www.techtransfer.osmre.gov/ARsite/Publications/0610-Michael-PA.pdf>

Erosion potential of surface drainage and timely reclamation: In the Appalachian Plateau the combination of steep slopes and abundant precipitation results in significant kinetic energy even in headwater streams. This condition necessitates that drainage be carefully controlled to minimize unchecked runoff. Such

uncontrolled flow may result in: (a) dangerous sediment-laden floods or mudflows; (b) clogging of exposed parts of fill underdrain structures; and (c) heavy sedimentation and pollution of off-permit downstream waters. Effective drainage control is especially important while the excess spoil fill is still under construction as well as during the process of final grading and revegetation. Contemporaneous reclamation can lessen the severity of erosion and surface drainage that may lead to off-site damage. A worst case example of a severe, life-threatening flood from a durable rock fill into a residential area is the Lyburn incident in West Virginia in 2002 (OSMRE, 2002) (See Figure 3.4-7).

Figure 3.4-7. Property Damage in Residential Area from Storm Runoff Erosion Over an Unreclaimed Excess Spoil Fill



Source: Peter Michael, et al., 2010, *Figure 5 property damages downstream of the fill*, U.S. Department of the Interior.
<http://www.techtransfer.osmre.gov/ARsite/Publications/0610-Michael-PA.pdf>

The character of the Valley and Ridge Province (Figure 3.4-1) is well-described by Hunt (1967):

The Valley and Ridge Province extends the entire length of the Appalachian Highlands. It is divided into three sections: a very narrow one, only 25 miles wide, with much shale at the north along the Hudson River; a second, 75 miles wide, with varied kinds of rocks in Pennsylvania, Maryland and northern Virginia; and a third, about 50 miles wide, which is like the second but more faulted, extending from southern Virginia to the south end of the highlands in Alabama.

The Valley and Ridge Province is world famous for its fold mountains . . . which are made up of Paleozoic sedimentary formations 40,000 feet in thickness. The sediments that formed these rocks were derived from a mountain mass that lay to the east. . . The composition of the formations changes away from the source of the sediments. Sandstone and shale formations tend to grade westward into shale and

limestone. The well-known limestone caverns of Virginia are developed in Paleozoic limestone formations in the valley west of the Blue Ridge . . .

Toward the end of Paleozoic time . . . deposits like those on the Coastal Plain spread westward across the top of the older marine formations. This coastal plain contained swamps . . . [of] . . . tree-like ferns . . . The accumulation of this woody material in the swamps produced the coal beds that are found in the anthracite fields in the Valley and Ridge Province and the bituminous coal fields of the Appalachian Plateaus farther west.

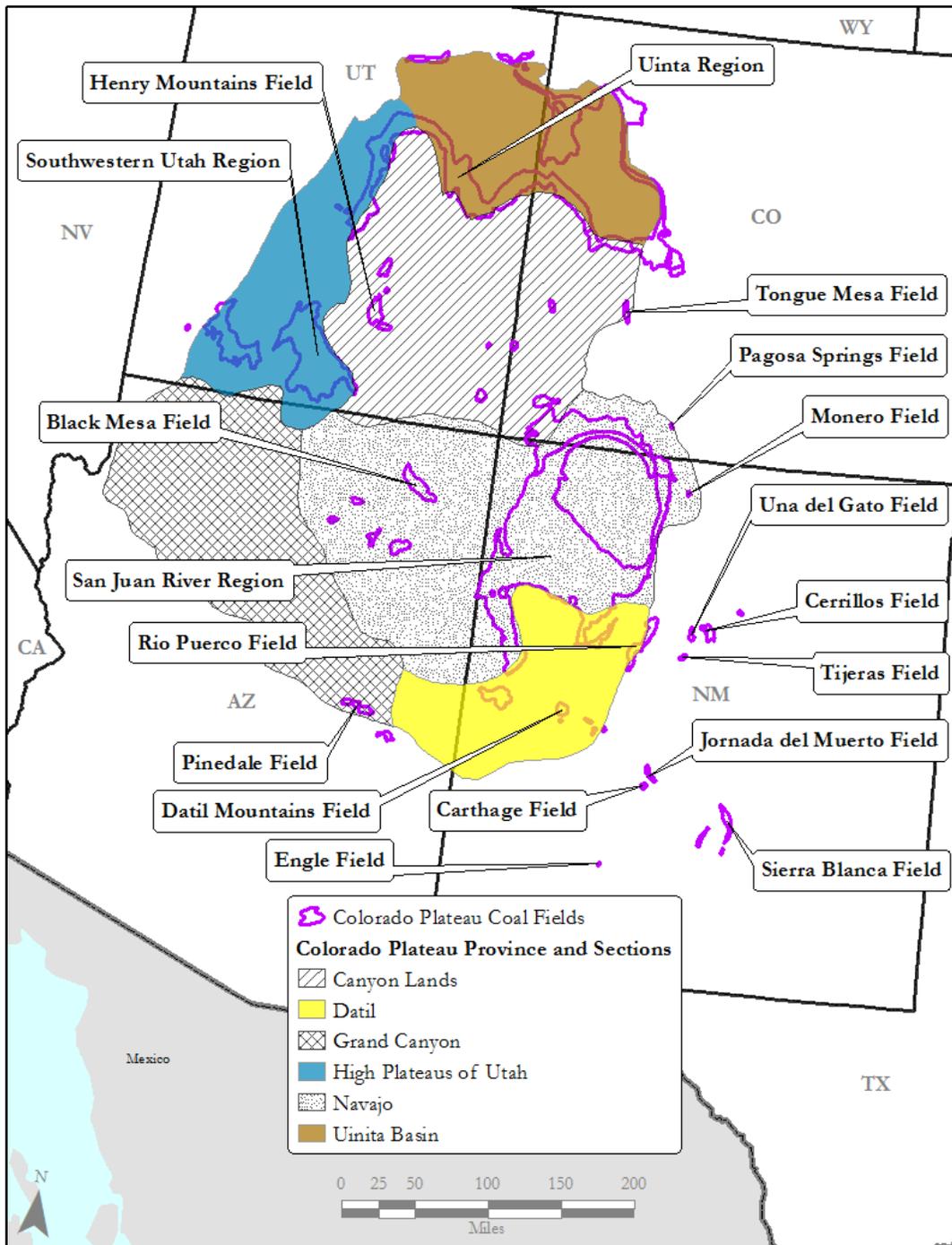
The effects of weathering and erosion on sedimentary rocks over eons of time produced the distinctive physiography to the Valley and Ridge Province. The erosion of erodible bedrock and shale provided the bedrock underlying the valleys. The sandstones and conglomerates, by contrast, are harder and appreciably more durable so they form the linear ridges that now dominate the uplands. Seen from the air, the mountains are long, linear, sinuous ridges that, in places exhibit tight s-shaped curves. This singular pattern of the hard strata is a result of post-depositional folding that largely occurred toward the end of the Paleozoic.

3.4.2.2 Colorado Plateau Region

The majority of the Colorado Plateau coal-bearing region is contained within the physiographic provinces of the same name. The province is a high-elevation region consisting of plateaus and isolated mountains that encompass parts of Utah, New Mexico, Colorado, and Arizona (See Figures 3.4-8). It is bounded on the east by the Rocky Mountains, on the north by the Uinta Mountains, and on the south by the Mogollon Rim. The most common elevation on the plateau is 5,500 to 6,000 feet MSL (OSMRE, 2008). The landscape is dominated by deep canyons, elevated plains, low plateaus, buttes, mesas, and badlands, and is largely underlain by horizontal strata of sedimentary rocks. Large scale mass wasting has changed many of the landforms in this region (Orme, 2002). The Colorado Plateau includes the Uinta Basin of northeastern Utah and the Piceance Basin of northwestern Colorado. Identified mineable coal resources exist along southern rim of these basins as well as within the north-south trending faulted anticline separating the basins, known as the Douglas Creek Arch. Along the edge of the basin, topography is characterized by a series of nearly parallel north and northeasterly trending ridges and valleys with steep bluffs. The overall aspect of the basin is northeasterly. At lower elevations to the north of the basin rim, broad open plains exist interrupted by moderately hilly land and mesas (U.S. BLM, 1985).

The central coal fields of Utah include the Wasatch Plateau (in the northeast corner of the High Plateau Section) and Tavaputs Plateau (located in the southern Uinta Basin). The Wasatch Plateau is characterized by a gently rolling dissected plateau with deeply cut ravines and alluvial valleys. The Tavaputs Plateau is characterized by rugged terrain and deeply incised canyons. The southern Utah coal fields, including the Kaiparowits, Alton, Kolob-Harmony, and Henry Mountain coal fields, exist in portions of the High Plateau and Canyon Lands physiographic sections (See Figures 3.4-8). The plateaus form a series of broad and erosion-resistant bedrock terraces or benches that have been dissected by deep canyons. Elevations above MSL range from 4,000 feet near the Utah-Arizona border to 11,000 feet in the Henry Mountains (U.S. DOI, 1979).

Figure 3.4-8. Physiographic Provinces and Sections of the Colorado Plateau



Source: USGS, 2004, *Physio*, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

The Black Mesa coal fields of northeastern Arizona and the portions of the San Juan River coal fields of northwestern New Mexico exist within the Navajo physiographic section. Geomorphic processes active in this area have resulted in significant plateau dissection and deep canyon formations. Volcanic mountains and intrusions also exist, but block-fault structural mountain ranges do not. Major landforms are canyon lands, plateaus, plains, and hills. Elevation ranges from 4,000 to 8,000 feet MSL. The San Juan Basin coal field, located in northwestern New Mexico and southwestern Colorado is located at a higher elevation and in a wetter climate. Landforms in the area of this coal field include mountains, plains, plateaus, and hills, with steeper landforms toward the inner core of the basin. Elevations range from 6,000 to over 14,000 feet MSL (OSMRE, 2008).

3.4.2.3 Gulf Coast Region

The Gulf Coast region is part of the Coastal Plains geomorphic province (See Figures 3.4-9). Mining in the Gulf Coast region is limited to the east Gulf Coastal Plain and the West Gulf Coastal Plain Physiographic Sections. The western Gulf Coastal Plain, covering portions of Texas, Louisiana, and Arkansas, comprises the Coastal Prairies, Interior Coastal Plains, and the Blackland Prairies (these subdivisions, parallel to the Gulf Coast in Texas and Louisiana, are not delineated in Figure 3.4-9). The Coastal Prairies extend inland from the Gulf of Mexico to an elevation of approximately 300 feet above MSL.

The primary topography of this area is nearly flat prairie, sloping approximately one foot per mile toward the Gulf. The Interior Coastal Plains reaches an elevation of approximately 300 to 800 feet above MSL. The primary topographic features are parallel ridges (cuestas) and valleys. The Blackland Prairies extend from approximately 450 to 1,000 feet MSL and comprises mostly low, rolling terrain (Bureau of Economic Geology, 1996). Elevations in the coal mining areas range from 80 to 1,350 feet MSL with local relief approximately between zero and 500 feet (Orme, 2002).

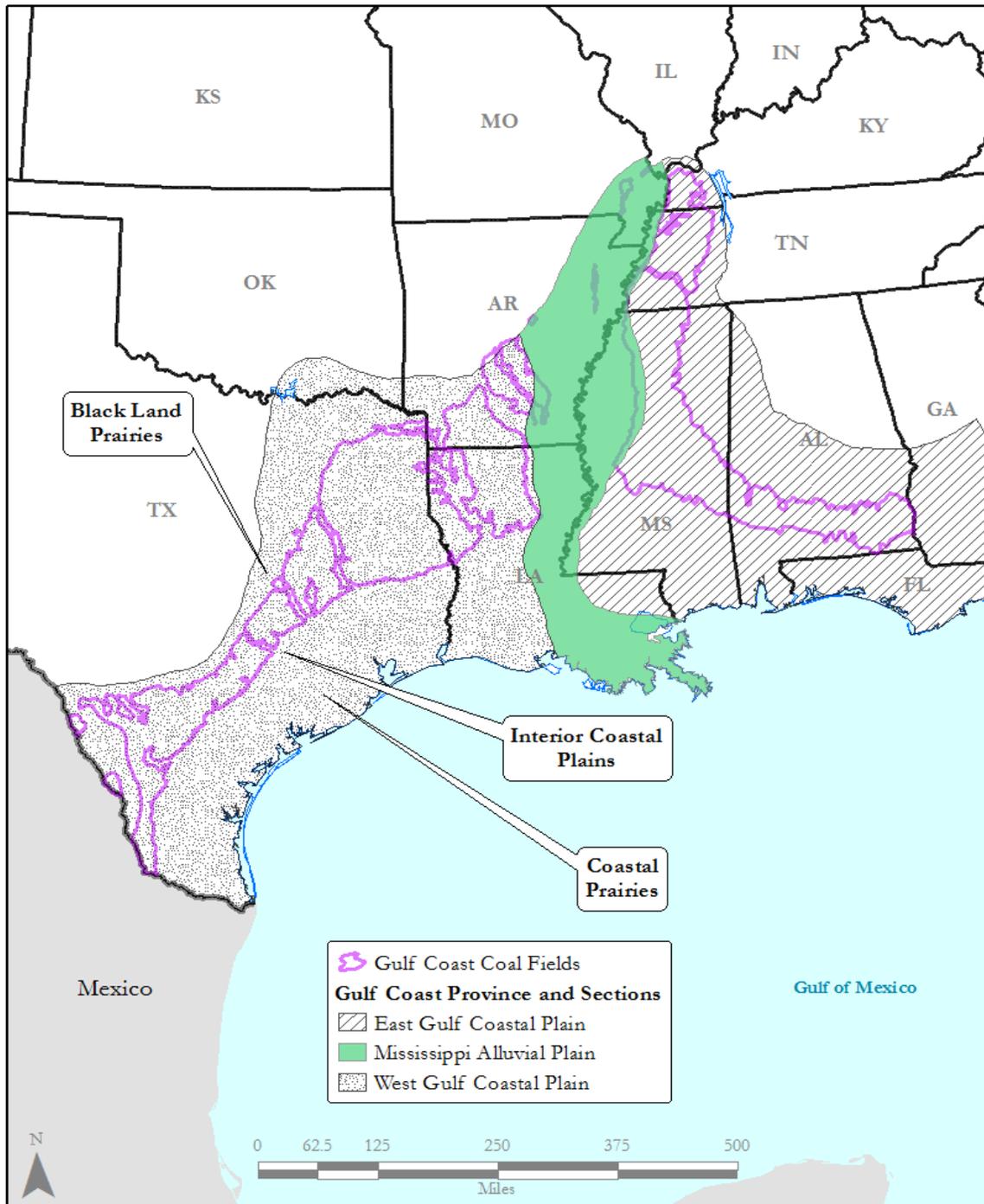
The east Gulf Coastal Plain extends from Florida to the Parishes of Louisiana over most of Mississippi, some of western Tennessee and Kentucky, the southwestern two thirds of Alabama, and the western panhandle of Florida (Ruth, 2006). Topography of the east Coastal Plains is widely varied, with areas of rounded, eroded hills, cuestas, and nearly featureless plains (Neilson, 2007).

3.4.2.4 Illinois Basin Region

The Illinois Basin is a northwest-southeast trending geologic basin which is bounded on all sides by structural arches. The Basin is located within the Central Lowlands and Interior Low Plateaus physiographic provinces; its margins are delineated by the outer limits of the coal fields (See Figure 3.4-10).

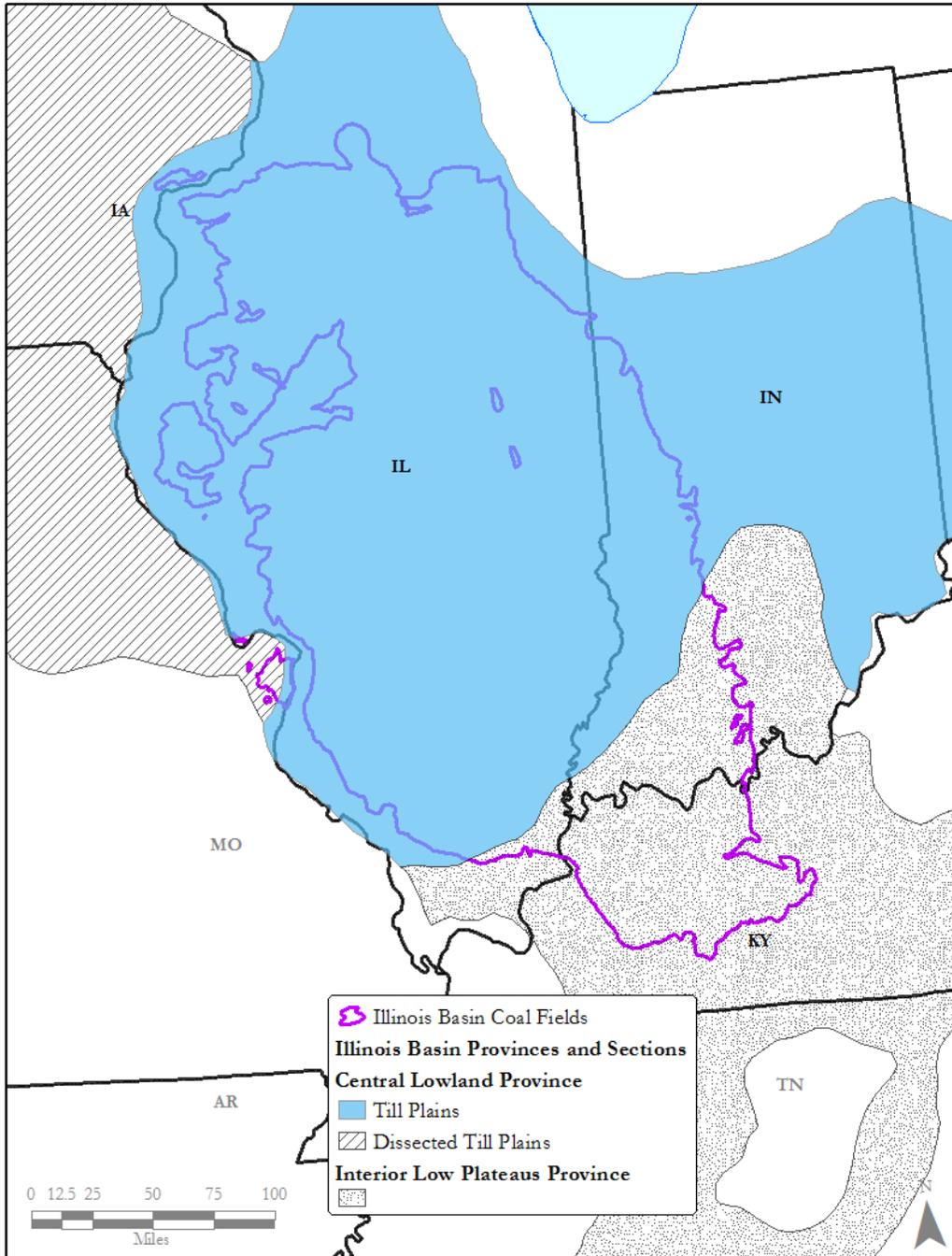
The majority of the Illinois Basin physiography is characterized by gently rolling plains with surface elevations in the coal mining areas ranging from 325 to 1000 feet above MSL. In places relief is up to one hundred feet. Vogel (1981) describes the topography as follows:

Figure 3.4-9. Physiographic Provinces and Sections of the Gulf Coast



Source: USGS, 2004, *Physio*, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

Figure 3.4-10. Physiographic Provinces and Sections of the Illinois Basin



Source: USGS, 2004, *Physio*, U.S. Department of the Interior, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

- Most of the area in Illinois and Indiana lies within the Central Lowlands physiographic province, while the portion in Kentucky and extreme southern Illinois and Indiana is in the Interior Low Plateaus. The boundary between these two physiographic provinces marks the southern limit of glaciations.
- The Central Lowlands, in the vicinity of the coal fields, consists of broad level uplands between steep sided valleys with broad floodplains. This area is covered with glacial till and loess deposits that, toward the Mississippi River, reach 30 feet in thickness.
- The Interior Low Plateaus consist of a slightly westward sloping plateau that is deeply entrenched with meandering rivers. This area has more relief than that to the north, but is still gently rolling. The low, gently rolling topography of the Illinois Basin Coal region has allowed extensive area-type surface mining and an easily developed road, rail, and river barge transportation system (Vogel, 1981).
- Geomorphic processes include fluvial erosion, transport and deposit, minor mass wasting, and in Kentucky, karst solution. Pre-law surface mined lands may exhibit hummocky or ridge-swale topography. Broad flood plains exist in the region and glacial till and loess deposits can reach up to 30 feet in thickness (Orme, 2002).

3.4.2.5 Northern Rocky Mountains and Great Plains Region

The Northern Rocky Mountains and Great Plains coal region exists within the Middle Rocky Mountain, Wyoming Basin and Great Plains physiographic provinces (see Figure 3.4-11). Southwestern Wyoming is an area of low mountains and semiarid basins. Total relief is about 3,500 feet. The Middle Rocky Mountains and Wyoming Basin physiographic provinces are divided geographically from west to east into the Overthrust Belt, Green River Basin, Rock Springs Uplift, Great Divide Basin, Washakie Basin, and Hanna Basin. [Not all of the aforementioned features are indicated in Figure 3.5-5.] The Overthrust Belt is characterized by north-south trending mountains and valleys formed from linear folds and faults.

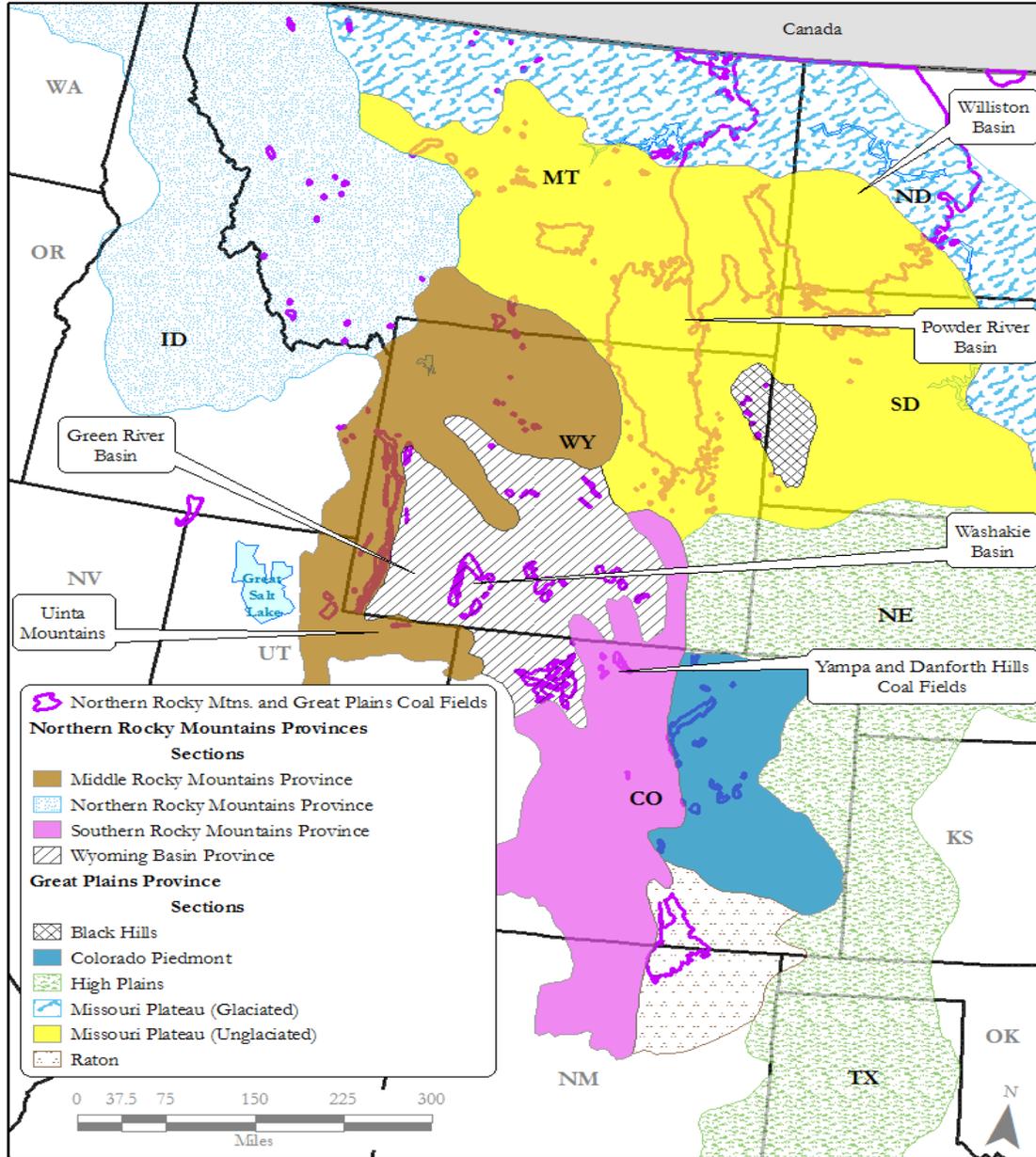
Elevations range from about 6,800 to 7,400 above MSL. The Rock Springs uplift is composed of a central basin surrounded by ridges and mountains that dip into the surrounding basins. Elevations range from about 6,400 to over 8,600 feet MSL. The Washakie Basin is characterized by low rolling hills, high rock rims on the north and southwest, and broad shallow valleys. Elevations range from about 6,000 feet to about 8,000 feet MSL. The Hanna basin is characterized by high plains that are topographically broken around the margin by low ridges composed of resistant sandstone. Elevations range from 7,000 to 8,000 feet above MSL (U.S. BLM, 1980).

The coal fields of northwest Colorado (including the Danforth Hills and Yampa fields) are located in the Wyoming Basin and Southern Rocky Mountains physiographic provinces (see Figure 3.4-11). The Dansforth Hills field is characterized by steep south facing escarpments and gentler north-facing dip slopes whereas the Yampa fields demonstrate low mountain ranges, rolling hills and broad valleys. Elevation ranges from about 6,200 to 8,700 feet above MSL.

In northeast Montana and southwest North Dakota, the Missouri Plateau is divided into the southern unglaciated and the northern glaciated sections of the greater Great Plains province (See Figure 3.4-11). Previously glaciated areas demonstrate modified bedrock topography and glacial drift erosional remnants on upland and valley fill in major drainages. The topography is characterized by wide flat alluvial valleys, rolling prairies, and low to moderate hills with local relief of 20 to 560 feet. The unglaciated

Missouri Plateau is comprised of eroded bedrock surfaces with gently rolling uplands, scattered buttes, and highly dissected badlands. Relief is comparable to the glaciated Missouri Plateau. Elevation for the area ranges from 1,600 feet to 3,600 feet above MSL.

Figure 3.4-11. Physiographic Provinces and Sections of the Northern Rocky Mountains and Great Plains



Source: USGS, 2004, Physio, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

The Powder River Basin (PRB) is a high plains environment that is bounded by the Black Hills on the east; the Big Horn Mountains on the west; the Hartville Uplift, Casper-Arch, and Laramie Mountains on

the south; and the Yellowstone River on the north, including northeast portions of Wyoming and southeast portions of Montana. The basin consists of a dissected rolling upland plain with low relief, broken by low buttes, mesas, hills, ridges, buttes and plateaus capped by “clinker” or sandstone. Elevations in the PRB coal resource area range from approximately 5,000 to 6,000 feet above MSL.

Located mostly in the Missouri Plains of North Dakota and Montana (Great Plains Province), the Williston Basin is a north-south trending oval-shaped region. Measuring approximately 300 miles wide by 500 miles long, the Basin provides an excellent example of a lack of conformance between an area's surface physiography and its contrasting underlying structure. Topographically, the Basin is best characterized as being generally flat with only a gently rolling land surface. Locally, however, a topographic relief of several hundred feet has been created near the Missouri and Yellowstone Rivers, a result of erosion of the relatively soft sandstones, coals, and shales. The subsurface, in contrast, is marked by a structural down-warping of the strata to form an actual geological basin wherein all stratigraphic units are inclined toward the center. Strata of the Williston Basin are of Late Cretaceous and Early Tertiary age.

According to the USGS (Thamke, et. al.):

The area is semiarid, with mean precipitation ranging from 12 to 20 inches per year (in/yr) and available precipitation (difference between monthly precipitation and potential evapotranspiration) ranging from 0 to 5 in/yr (Reilly and others, 2008). Pasture and hayland is the predominant land-cover category (70 percent) in the study area (Multi-Resolution Land Characteristics Consortium, 2011).

3.4.2.6 Northwest Region

The Northwest Coal region includes potentially mineable resources limited to Alaska because there is no active coal extraction occurring or reasonably expected to occur in Oregon and Washington. The Northern Alaska coal fields are also not discussed due to the questionable potential for their development and production at this time.

The primary coal resources of Alaska are associated with the Nenana and Matanuska coal fields are located within the Interior Forested Lowlands and Uplands Ecoregion and the Alaska Range and the Cook Inlet Ecoregions, respectively. (See Figure 3.3-6). The Interior Forested Lowlands and Uplands consists of rolling lowlands, dissected plateaus, and rounded low to high hills. The ecoregion lies between elevations of sea level to approximately 1,600 feet, with some hills at approximately 2,300 feet and slopes from zero to five degrees (Gallant et al., 1995).

The Alaska Range consists of steep rugged mountain ridges separated by broad valleys. Elevations are approximately 1,900 feet in lower valleys and often rising to greater than 12,500 feet – Mount McKinley is higher than 20,000 feet. Slopes are steep, almost always greater than 5 degrees on hillsides and exceeding 25 degrees on some mountains (Gallant et al., 1995).

The Cook Inlet consists of level of rolling terrain shaped by ground moraine, drumlin fields, eskers, and outwash plains, remnants of the Pleistocene glaciation. Elevations range from sea level to approximately 1,900 feet. The slopes are generally less than three degrees.

3.4.2.7 Western Interior Region

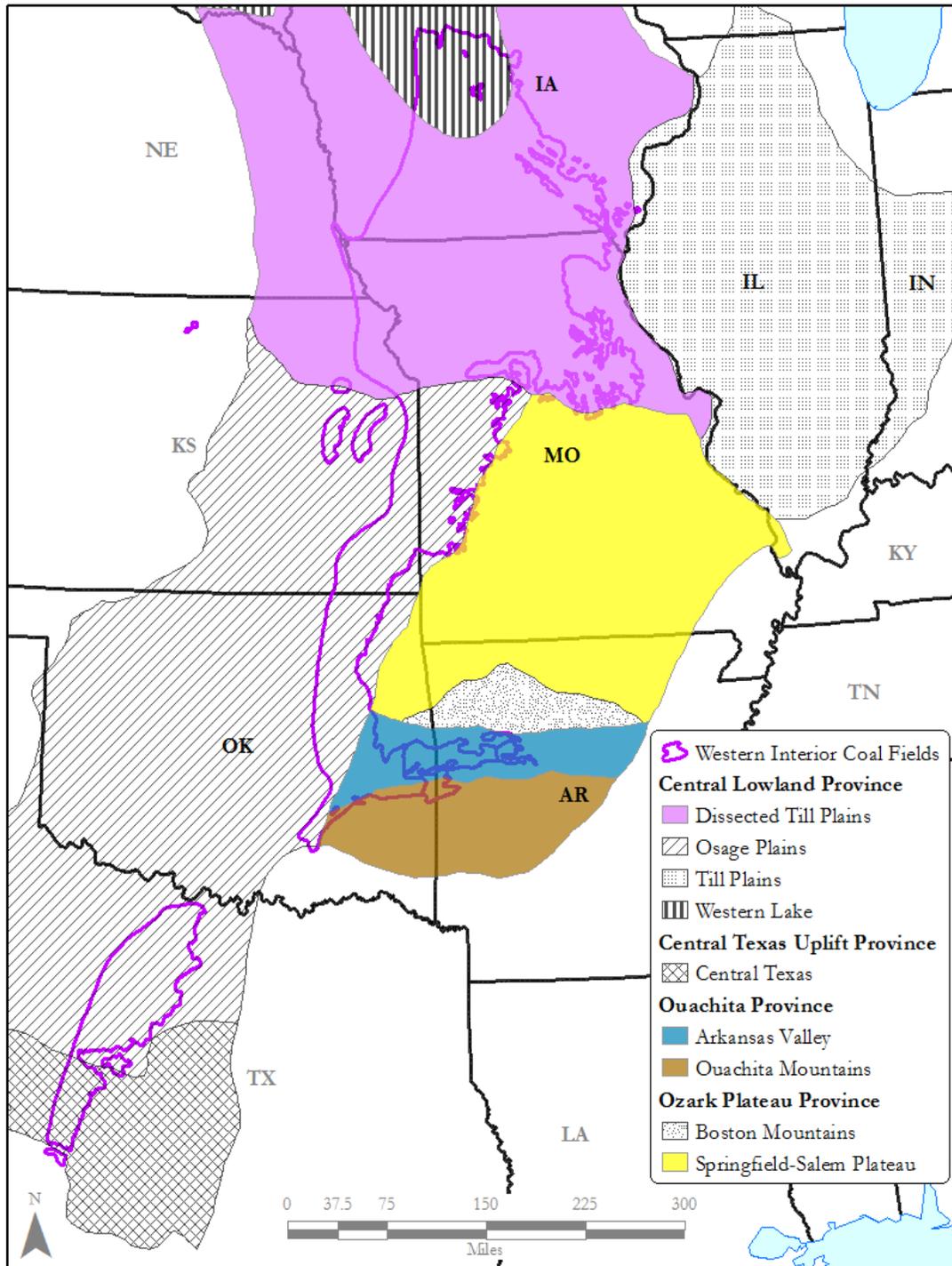
The Western Interior coal-bearing region includes Arkansas, Iowa, Kansas, Oklahoma, and Missouri (See Figure 3.4-13). The general topography of the region is very flat plain with elevations ranging from 500 to 1200 above MSL with very little local relief. The northern portion of the Western Interior region occupies the Central Lowlands physiographic province including the Osage Plains, and Dissected Till Plains Sections (See Figure 3.4-13). The portion lying primarily in Kansas and Oklahoma falls within the Osage Plains, while the Missouri and Iowa portions fall within the dissected Till Plains. The small area of the Western Interior coal region that extends into western Arkansas and parts of eastern Oklahoma falls in the Ozark Plateau physiographic province.

The Dissected Till Plains section has been glaciated and therefore is of low relief, ranging from 100 to 300 feet. The glacial till of this area is covered in the more eastern parts with up to 30 feet of loess.

The Osage Plains section lies south of the glacial limit so it has greater relief than the glaciated area of the Central Lowlands to the north. Most of the Osage area consists of upland plains with deeply entrenched rivers, some with valleys a few hundred feet deep.

The Ozark Plateaus physiographic province resembles the Appalachian Plateau Province, but elevations and relief average lower than in the Appalachians. A maximum elevation of 2,000 feet is reached in the southern part of this province (Vogel, 1981).

Figure 3.4-12. Physiographic Provinces and Sections of the Western Interior



Source: USGS, 2004, *Physio*, U.S. DOI, <http://water.usgs.gov/GIS/metadata/usgswrd/XML/physio.xml>

3.5 Water Resources

3.5.1 Introduction

Water resource considerations vary greatly across the coal-producing regions covered by this FEIS. This section presents background information on the affected environment for both the physical (flow of water) and the chemical (water quality) aspects of water resources. The discussion is organized into two major topics:

- **General Hydrology:** The General Hydrology section provides national-level information as context for understanding the affected environment descriptions of the seven coal regions.
- **Regional Hydrology:** The Regional Hydrology section describes groundwater and surface water systems for the seven coal regions, and characterizes associated water usage.

3.5.2 General Hydrology

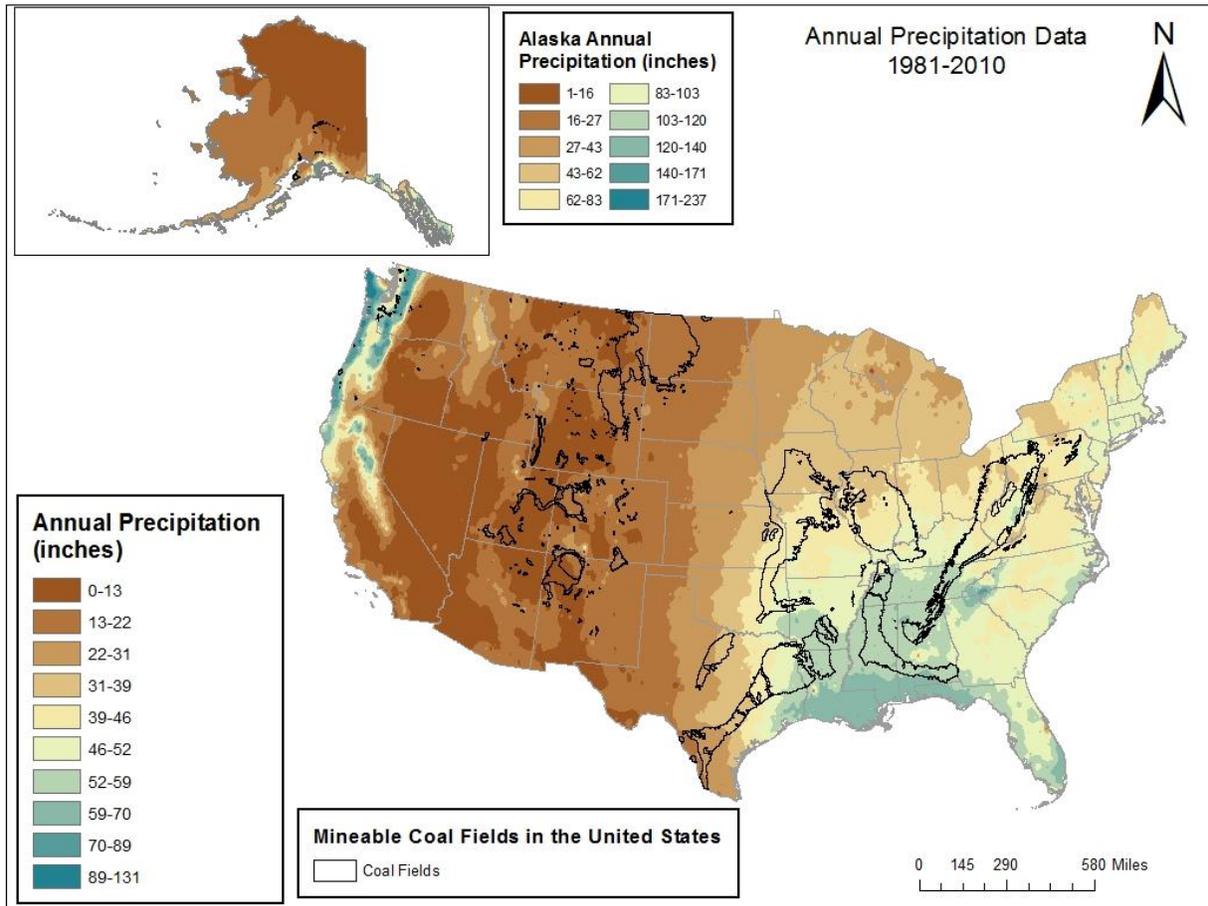
The following discussion provides background information needed to understand the regional hydrological descriptions presented in the Regional Hydrology section below. The general description of hydrology is organized in four parts:

- The Climatic and Precipitation discussion section provides basic climatic information related to climatic differences and similarities between the seven coal resource regions.
- The Groundwater discussion section provides a brief introduction to the national importance and use of groundwater.
- The Surface Water discussion section covers four topics: stream types; stream morphology; water quantity; and, water quality. This introductory information is related to information about surface water in the Regional Hydrology section.
- The Water Usage discussion section provides a national overview of how surface and groundwater is used to support a variety of domestic and industrial needs. This section also discusses how water usage by the mining industry compares to water usage by other domestic and industrial users. More detailed water usage information is also provided in the Regional Hydrology section.

3.5.2.1 Climate and Precipitation

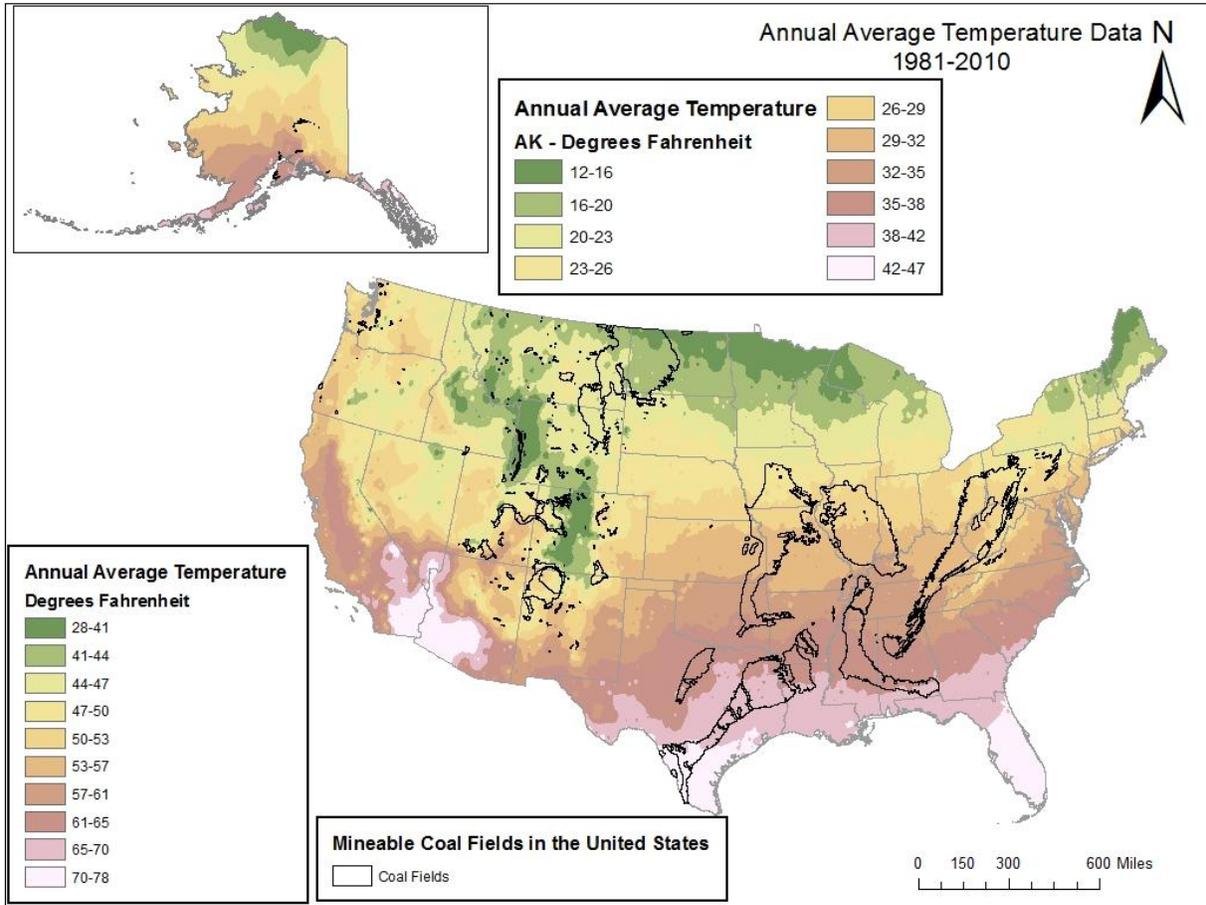
Climatic conditions vary greatly across the seven coal-producing areas, ranging from semi-arid to humid conditions. This variability affects stream type and flow characteristics. This section presents two maps of the continental U.S. depicting the annual precipitation and annual average temperature (Figures 3.5-1 and 3.5-2). Figure 3.5-1 and 3.5-2 are reproduced from the National Oceanic and Atmospheric Administration “Climate Normals dataset” which is produced once every 10 years. The 1981–2010 U.S. Climate Normals dataset is the latest release of NCEI’s Climate Normals. This dataset contains daily and monthly Normals of temperature, precipitation, snowfall, heating and cooling degree days, frost/freeze dates, and growing degree days calculated from observations at approximately 9,800 stations operated by NOAA’s National Weather Service. Specific climate conditions for each of the seven coal resource regions are discussed below.

Figure 3.5-1. Annual Precipitation 1981 to 2010



Source: USGS, 2001c, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol> NOAA, 2010. 1981-2010 *Climate Normals- precipitation*, U.S. Department of Commerce. <http://www.ncdc.noaa.gov/oa/climate/normal/usnormals.html>

Figure 3.5-2. Annual Average Temperature 1981 to 2010



Source:

USGS, 2001c, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

NOAA, 2010. 1981-2010 *Climate Normals- Temperature*, U.S. Department of Commerce. <http://www.ncdc.noaa.gov/oa/climate/normal/usnormals.html>

3.5.2.2 Appalachian Basin Region

The Appalachian Basin has a humid climate with abundant rainfall. Precipitation averages about 45 inches annually (Figure 3.5-1). Rainfall is greatest in the mountain areas. Precipitation is generally greatest during the spring and summer and least during the fall and winter. October is usually the driest month. Thunderstorms occur 40 to 50 days per year on average and are more frequent during June and July. These storms sometimes produce intense local rainfall and cause flooding in the narrow valley bottoms. Intense storms rarely encompass large areas but are frequent over small areas. The ten-year, 24-hour rainfall average is approximately four inches (Ehlke, et al., 1982). The mean annual rainfall ranges

from 52 inches to 56 inches, with winter being the wettest season and March as the wettest month, on average. The driest months are commonly in the fall, with October being the driest month overall. It is common to have periods of no precipitation lasting longer than two to three weeks (Harkins et al., 1980).

3.5.2.3 Colorado Plateau Region

Climate classification predominantly depends on altitude with lower elevations in the east being classified as sub-humid and higher elevations in the west as semi-arid (Colorado Climate Center, 2010; Western Regional Climate Center, 2013). The climate in the four corners area of New Mexico, Arizona, Utah, and Colorado is characterized as semiarid to arid. The driest and wettest months on average are June and December, respectively. Most of the rainfall that occurs as intense thunderstorms occurs during the late summer (Colorado Climate Center, 2010). The climate changes to the north in the higher terrain areas of eastern Utah and western Colorado. Areas at higher altitudes have greater precipitation and lower temperatures than those at lower altitudes. Average annual precipitation on the Colorado Plateau, based on analysis of daily records from 97 long-term weather stations, ranges from 5.4 to 26.3 inches per year, with a median precipitation of 11.8 inches per year ([USGS, 2005d](#)). In higher altitudes for this area, the precipitation usually ranges between 25 to 35 inches per year. During summer and early fall, precipitation comes from intense, short duration, localized convective storms.

3.5.2.4 Gulf Coast Region

Generally, a maritime climate prevails along the Gulf Coast of Texas. Average annual precipitation in the coastal mining area of Texas exceeds 56 inches with some areas incurring higher amounts. There are two basic seasons: a hot summer that may last from April through October and winter that starts in November and usually lasts until March. Monthly average temperatures range from 48°F in January to 88°F in August (City-Data.com, 2010; Texas Water Development Board, 2012). Proceeding towards Oklahoma and Arkansas, the climate is characterized by a mild spring, a hot and humid summer, a mild autumn, and a mild winter. On average, July and August are the warmest months with December and January being the coldest. Average daily maximum temperatures in Oklahoma and Arkansas range from 50 degrees in January to 95 degrees in July and August.

Normal annual precipitation for the Gulf Coast coal-producing region ranges from about 36 inches in the northwestern to about 50 inches in the southeastern part of this area. In an average year, about 32 percent of the annual participation falls in the spring with 27 percent, 22 percent, and 19 percent falling in the summer, autumn, and winter, respectively. April, May, and June are the wettest months and are characterized by short-duration thunderstorms of varying intensity that make up most of the rainfall for the year. Twenty-four hour rainfall totals of up to ten inches have been recorded. In the winter, snowfall averages close to six inches per year occurring mainly in January and February (Marcher, et al., 1987).

3.5.2.5 Illinois Basin Region

In the Illinois Basin, precipitation is mainly produced by low-pressure westerly systems entraining southerly winds bearing moist, warm air from the Gulf of Mexico. Occasionally, high pressure cells from the north also create rain, snow, and sleet conditions. Average annual precipitation ranges from approximately 39 to 50 inches. Precipitation occurs about 120 days per year. Monthly precipitation averages from August through October are 20 percent to 35 percent less than monthly averages for the remainder of the year. Intense storms usually cover large areas.

3.5.2.6 Northern Rocky Mountains and Great Plains Region

The climate in this area is significantly affected by the mountains along the Pacific coast and the Rocky Mountains. Annual precipitation in the mountains exceeds 25 inches while the plains receive approximately 10 to 16 inches. Most precipitation occurs as snowfall from November through April with greater than 100 inches of snow in the mountains and 30 to 75 inches in the plains. Much of the snow in the plains is sublimated. Precipitation during the summer months primarily occurs as light showers with occasional intense thunderstorms.

3.5.2.7 Northwest Region

The climate in Southcentral Alaska is a subarctic climate due to its short, cool summers. The climate of the interior of Alaska is best described as extreme and is the best example of a true subarctic climate, as the highest and lowest recorded temperatures in Alaska have both occurred in the interior. The Matanuska Valley, although adjacent to tidewater, lies so far from the ocean that its climate is more like that of the Interior (Barnes and Payne, 1956).

The continental climate of interior Alaska has a wide range of air temperatures between summer and winter and large fluctuations around the seasonal means. The only active coal mining operation in Alaska is in the Tanana Valley. The mean annual temperatures in the Tanana Valley average 26.4°F at the Fairbanks International Airport with the warmest month, July, averaging 61.3°F, and the coldest month January averaging -10.3°F (1917 to 2000 averages). However, these averages do not present an accurate picture of either the extreme summer or winter air temperatures. For example, in the Tanana Valley, periods of extreme cold ranging in the vicinity of -40°F to -49°F are not uncommon at any time from late November through February. In contrast, daily maximum temperatures occasionally reach 90°F to 98.6°F in June and July, often with only modest night cooling because of persistent daylight (Bonanza Creek LTER, 2011).

Annual precipitation in interior Alaska is low and decreases from west to east, with a 50-year average for Fairbanks of 11.3 inches and a range from 5.6 inches in 1957 to 18.8 inches in 1990. Most summer and winter precipitation is generated from major frontal systems that cross the State, but convective storms add significantly to the summer precipitation. Precipitation events in early summer (May, June, and early July) are typically light and showery, with high spatial variability. The relatively dry summer conditions are replaced by the fall rain events which can be heavy and sustained. On average, precipitation increases through the summer.

3.5.2.8 Western Interior Region

The general climate of the Western Interior region is continental affected primarily by alternative masses of warm moist air from the Gulf of Mexico and cold, comparatively dry air from the northern polar regions. Hence, there are large variations in precipitation and temperature. Average annual precipitation ranges from approximately 34 inches in the western area, increasing to greater than 40 inches towards the east. About 70 percent of precipitation occurs in the growing season from April through October. Rainfall occurs either in intense thunderstorms of short duration or longer storms that cover greater areal extent. The ten-year 24-hour storm average is approximately five inches. The average temperature is about 56 °F in the Western Interior region. July is generally usually the warmest month with an average daily maximum of 91 °F and an average daily temperature of 69 °F. January is the coldest month with the

average daily maximum and minimum of 40 °F and 21°F, respectively (NOAA, 2011; National Weather Service, 2012).

3.5.3 Groundwater Usage Overview

Groundwater is among the Nation’s most important natural resources. As defined in the federal regulations (30 CFR 701.5), groundwater is “subsurface water that fills available openings in rock or soil materials to the extent that they are considered water saturated.” A USGS report (USGS, 2000b) states that groundwater “... provides drinking water to urban and rural communities, supports irrigation and industry, sustains the flow of streams and rivers, and maintains riparian and wetland ecosystems. In many areas of the Nation, the future sustainability of groundwater resources is at risk from overuse and contamination. Because groundwater systems typically respond slowly to human actions, a long-term perspective is needed to manage this valuable resource.”

Nationwide, fresh groundwater withdrawals of 79.6 billion gallons per day (bg/d) in 2005 were about five percent less than in 2000. Of this 79.6 bg/d, about 67.2 percent were for irrigation, 18.3 percent for public supply, 4.7 percent for domestic supply, 3.9 percent for industrial use, 2.4 percent for aquaculture, 1.6 percent for livestock, 1.3 percent for mining, and 0.6 percent for thermoelectric use. More than half (43.35bg/d) occurred in six states: California, Texas, Nebraska, Arkansas, Florida, and Idaho (Kenny, et al., 2009). Of these six major groundwater user states, only Texas is considered a significant coal producer. Appendix J includes tables listing the source and amount of groundwater withdrawals for all counties within the U.S. that produced coal in 2005. These tables can be used to compare the magnitude of mining-related withdrawals to other industries.

3.5.4 Surface Water Overview

Surface water receives direct input from precipitation, including precipitation that has traveled overland and from groundwater. Watersheds and their surrounding ecosystems are linked by the flow of water. In a watershed context, landscape hydrologic connectivity refers to the maintenance of natural hydraulic connections of surface and subsurface flow between source, headwater, or contributing areas and downstream/down-gradient receiving waters. As headwater streams occur upstream from, and may ultimately discharge into higher order perennial streams, they connect landscape processes through their influence on the supply, transport, and fate of water and solutes in the watershed (Alexander, et al., 2007; Leibowitz, et al., 2008).

3.5.4.1 Stream Types

“Stream” is a general term for a body of flowing water. In hydrology, the term is generally applied to the water flowing in a natural channel, as distinct from a canal. Stream reaches are “dynamic zones within stream networks” (Fritz, et al., 2006) meaning that the points-of-origin of streams are not static but can vary depending on factors such as precipitation, evapotranspiration, and land use (Paybins, 2003). Streams in natural channels may be classified as follows (Meinzer, 1923):

- Relation to time:
 - **Perennial:** A stream that flows continuously.

- **Intermittent or seasonal:** A stream that flows only at certain times of the year when it receives water from springs, precipitation, or from some surface source such as melting snow.
- **Ephemeral:** A stream that flows only in direct response to precipitation or snowmelt, and whose channel is at all times above the water table.
- Relation to space:
 - **Continuous:** A stream that does not have interruptions in space.
 - **Interrupted:** A stream that contains alternating reaches that are perennial, intermittent, or ephemeral.
- Relation to groundwater:
 - **Gaining:** A stream or reach of a stream that receives groundwater contributions.
 - **Losing:** A stream or reach of a stream that contributes water to groundwater.
 - **Insulated:** A stream or reach of a stream that neither contributes water to groundwater nor receives water from it. It is separated from groundwater by an impermeable bed.
 - **Perched:** A stream whose stream bed is above the water table and separated from underlying groundwater by an impermeable geologic unit in the unsaturated zone.

Table 3.5-1 contains a summary of the lengths and percentages of intermittent and perennial streams for each coal resource region. This table was generated using the USGS National Hydrography Dataset (NHD). The NHD is a comprehensive set of digital spatial data that represents the surface water of the U.S. using common features such as lakes, ponds, streams, rivers, canals, stream gages, and dams (USGS, 2011b).

Table 3.5-1. Summary of NHD Intermittent and Perennial Stream Lengths for the Coal Resource Study Areas¹

Region	Stream Type	Length (miles)	Percent of Total Length (Perennial and Intermittent only ¹)
Appalachian Basin	Intermittent	69,296	56
	Perennial	55,004	44
	Total	124,300	
Colorado Plateau	Intermittent	35,522	93
	Perennial	2,605	7
	Total	38,127	
Gulf Coast	Intermittent	175,670	75
	Perennial	47,761	25
	Total	223,431	
Illinois Basin ²	Intermittent	71,010	75
	Perennial	23,485	25
	Total	94,718	
Northern Rocky Mountains and Great Plains	Intermittent	141,721	95
	Perennial	7,742	5
	Total	149,463	
Northwest	Intermittent	109	13
	Perennial	760	88
	Total	860	
Western Interior	Intermittent	125,066	81
	Perennial	29,984	19
	Total	155,050	

Source: Derived from USGS, 2011b, National Hydrography Dataset (<http://nhd.usgs.gov/documentation.html>), clipped to the boundaries of the coal resource study areas used in this EIS.

¹The dataset is known to be incomplete for ephemeral streams. Therefore this table presents only the total of stream characterized as Perennial or Intermittent. The dataset is high resolution mapped at 1:24,000.

²Approximately 23 percent of the stream lengths in the dataset for this region were given no designation.

As seen in Table 3.5-1, most of the regions have intermittent stream lengths greater than perennial stream lengths, but the values vary markedly. For more arid regions such as the Colorado Plateau and the Northern Rocky Mountains and Great Plains, the lengths of intermittent streams are far greater than perennial streams. For the Illinois and Gulf Coast Basins where rainfall amounts can be notably variable, the intermittent stream lengths are greater but not as significantly as in the more arid regions. The Appalachian, and Western Interior regions have the least difference in length of intermittent versus perennial streams.

Using the NHD, the EPA has estimated that 59 percent of the streams in the U.S. (excluding Alaska) are ephemeral or intermittent (Levick, et al., 2008). The NHD also identifies start reaches as those that have no other streams flowing into them (at the 1:100,000 scale). These reaches can thus be considered headwater or first-order streams (Levick, et al., 2008; Nadeau and Rains, 2007).

One of the most common methods used to classify streams is known as the Strahler method (Strahler, 1952). Using this method, streams are numbered progressively from the headwaters or drainage basin divide to a downstream location. Streams with no tributaries are designated as first-order. When two first-order streams join to create a confluence, a second-order stream is designated. When two second-order streams create a confluence a third-order stream is designated, and so on downstream. Leopold, et al. (1964) used the Strahler method to estimate the total stream length in the U.S. (Table 3.5-2). Extrapolating from maps of 1:24,000 to 1:62,500 scale, the authors estimated that there are 3,250,000 miles of streams in the U.S. Since Leopold, et al. (1964) used a 1:24,000 scale map as their basis, the stream lengths presented in Table 3.5-2 are likely under-representative of the actual stream lengths as many ephemeral streams and some intermittent ones are likely not shown on large scale maps.

Table 3.5-2. Number and Length of Streams in the U.S.

Order	Number	Average Stream Length (mi)	Total Stream Length (mi)
1	1,570,000	1	1,570,000
2	350,000	2.3	810,000
3	80,000	5.3	420,000
4	18,000	12	220,000
5	4,200	28	116,000
6	950	64	61,000
7	200	147	30,000
8	41	338	14,000
9	8	777	6,200
10	1	1,800	1,800

Source: Adapted from Leopold et al., 1964.

3.5.4.2 Stream Morphology

This FEIS describes stream morphology using the Rosgen (1994) classification system. While all Rosgen types can be identified in all regions, discussion is limited to a generalized Rosgen Level 1 description of the characteristic stream type(s) that are likely to be impacted by surface and underground mining in their respective coal region. Further, classifications for the most part are identified as a function of the physiographic and topographic relief conditions present. The intent is to highlight the relative occurrence of Rosgen stream types across coal regions, not describe all stream types present.

The variety of stream forms or morphologies that exist in the environment are an expression of driving forces (water, gravity) and resisting forces (as influenced by lithology, vegetation, sediment load, and sediment size). The dominance of erosion or deposition is determined by the relative magnitude of the elements affecting the driving and resisting forces, and thus determines stream form and how actively streams change their morphology (Lane, 1955).

Broad morphological characterization is accomplished using descriptions of relief, local lithology, plan form, valley configuration, channel profile, and dominant substrate. The Rosgen classification system described in the seminal published work, *A Classification of Natural Rivers* (Rosgen, 1994), is widely recognized among land use and water resource managers. The Rosgen system synthesizes the results of previous works in stream morphology (Lane, 1957; Leopold & Wolman, 1957; Schumm, 1963; Culbertson, et al., 1967; Khan, 1971) with additional extensive research to create a stream taxonomy that can be used to objectively describe streams observed in all coal regions. Table 3.5-3 presents the nine fundamental Rosgen stream types. For a detailed discussion of the Rosgen Classification system, the reader is directed to *Applied River Morphology* (Rosgen, 1996). A generalized Level 1 discussion of the dominant stream types is presented below. The Level 1 classification within the Rosgen system describes generalized categories of streams using broad descriptions of longitudinal profiles, valley and channel cross-sections, and plan view patterns (Rosgen, 1994).

Streams that are observed in headwater basins of high relief are steep (four to ten percent) to very steep (>ten percent), have high erosion and transport potential, and are recognized as “A” and “Aa+” type streams (Table 3.5-3). These streams are very stable when they exist in resistant bedrock or boulder colluviums, but can incise weak sedimentary rock and finer-grained unconsolidated alluvium. Slopes exceeding ten percent are considered erosional and are susceptible to mass wasting processes such as debris flows. Stream-bed features include alternating steps and pools, cascades and waterfalls. Steps are vertical drops formed by boulders, bedrock, or downed trees and pools are deep flat areas in the stream created by scour (North Carolina State University, 1999). Generally, these single-channel streams are linear in plain view with little sinuosity and are characterized by limited valley floodplain width. Sinuosity is defined as the ratio of the stream channel length to valley length. Streams that have limited floodplains are described as entrenched. The degree of entrenchment is measured as a ratio of the floodplain width to the bankfull channel width.

Moving within the drainage basin from steep headwater areas downstream to areas of moderate relief and gradient, “A” type streams transition to “B” types (Figure 3.5-3). “B” type streams are moderately steep to gently sloped (two percent to four percent). They are also laterally constrained by narrow valley slopes and consequently have narrow floodplains. They are straight, single-channel streams with little sinuosity and exhibit stream bed features such as rapids and alternating riffles and pools. Riffles are sections of streams comprised of gravel-size or larger bed sediment and are shallow and swift at low flows (North Carolina State University, 1999). Similar to “B” type streams; “G” type streams are also moderately steep to gently sloped (two percent to four percent) but are more entrenched and have lower bankfull channel width to bankfull channel depth (W/D) ratios (<12). Measurements of W/D ratios are useful to describe relative differences in channel cross-section and also provide a visual assessment of channel stability. For example, “G” types are recognized as unstable with grade control problems and high bank erosion.

Sinuuous streams are stream type “C.” These streams have low channel gradients (less than two percent), and occur within narrow to wide alluvial valleys in landscapes of low relief. These streams are wide and shallow as demonstrated by their high W/D ratios (greater than 12). “C” type streams exhibit enhanced lateral migration or “meandering” due to a lack of lateral constraints, erodible bed and bank materials, and active channel aggradation and degradation processes. A meander is a bend or curve in the stream channel. Typical stream features include riffles, pools, and point bars. Point bars are crescent shaped

depositional features with coarse material located on the inside of a bend in the stream (North Carolina State University, 1999).

Table 3.5-3. Rosgen’s Nine Fundamental Stream Types

Stream Type	General Description	Entrenchment Ratio ¹	W/D Ratio ²	Sinuosity ³	Slope	Landform/Soils/Features
Aa+	Very steep, deeply entrenched, debris transport, torrent streams.	<1.4	<12	1.0 to 1.1	>.10	Very high relief. Erosional, bedrock or depositional features; debris flow potential. Deeply entrenched streams. Vertical steps with deep scour pools; waterfalls.
A	Steep, entrenched, cascading, step/pool streams. High energy/debris transport associated with depositional soils. Very stable if bedrock or boulder dominated channel.	<1.4	<12	1.0 to 1.2	.04 to .10	High relief. Erosional or depositional and bedrock forms. Entrenched and confined streams with cascading reaches. Frequently spaced, deep pools in associated step/pool bed morphology.
B	Moderately entrenched, moderate gradient, riffle dominated channel, with infrequently spaced pools. Very stable plan and profile. Stable banks.	1.4 to 2.2	>12	>1.2	.02 to .039	Moderate relief, colluvial deposition, and/or structural. Moderate entrenchment and W/D ratio. Narrow, gently sloping valleys. Rapids predominate with scour pools.
C	Low gradient, meandering, point-bar, riffle/pool, alluvial channels with broad, well defined floodplains.	>2.2	>12	>1.2	<.02	Broad valleys w/terraces, in association with floodplains, alluvial soils. Slightly entrenched with well-defined meandering channels. Riffle/pool bed morphology.
D	Braided channel with longitudinal and transverse bars. Very wide channel with eroding banks.	n/a	>40	n/a	<.04	Broad valleys with alluvium, steeper fans. Glacial debris and depositional features. Active lateral adjustment, w/abundance of sediment supply. Convergence/divergence bed features, aggradational processes, high bedload and bank erosion.

Stream Type	General Description	Entrenchment Ratio ¹	W/D Ratio ²	Sinuosity ³	Slope	Landform/Soils/Features
DA	Anastomosing (multiple channels) narrow and deep with extensive, well vegetated floodplains and associated wetlands. Very gentle relief with highly variable sinuosities and width/depth ratios. Very stable stream banks.	>2.2	Highly variable	Highly variable	<.005	Broad, low-gradient valleys with fine alluvium and/or lacustrine soils. Anastomosed (multiple channel) geologic control creating fine deposition w/well-vegetated bars that are laterally stable with broad wetland floodplains. Very low bedload, high wash load sediment.
E	Low gradient, meandering riffle/pool stream with low width/depth ratio and little deposition. Very efficient and stable. High meander width ratio.	>2.2	<12	>1.5	<.02	Broad valley/meadows. Alluvial materials with floodplains. Highly sinuous with stable, well-vegetated banks. Riffle/pool morphology with very low width/depth ratios.
F	Entrenched meandering riffle/pool channel on low gradients with high width/depth ratio.	<1.4	>12	>1.2	<.02	Entrenched in highly weathered material. Gentle gradients, with a high width/depth ratio. Meandering, laterally unstable with high bank erosion rates. Riffle/pool morphology.
G	Entrenched “gully” step/pool and low width/depth ratio on moderate gradients.	<1.4	<12	>1.2	.02 to .039	Gullies, step/pool morphology w/moderate slopes and low width/depth ratio. Narrow valleys, or deeply incised in alluvial or colluvial materials, i.e., fans or deltas. Unstable, with grade control problems and high bank erosion rates.

¹Entrenchment ratio - ratio of the floodplain width to the bankfull channel width

² Width to depth (W/D) ratio - ratio of the bankfull channel width to bankfull channel depth

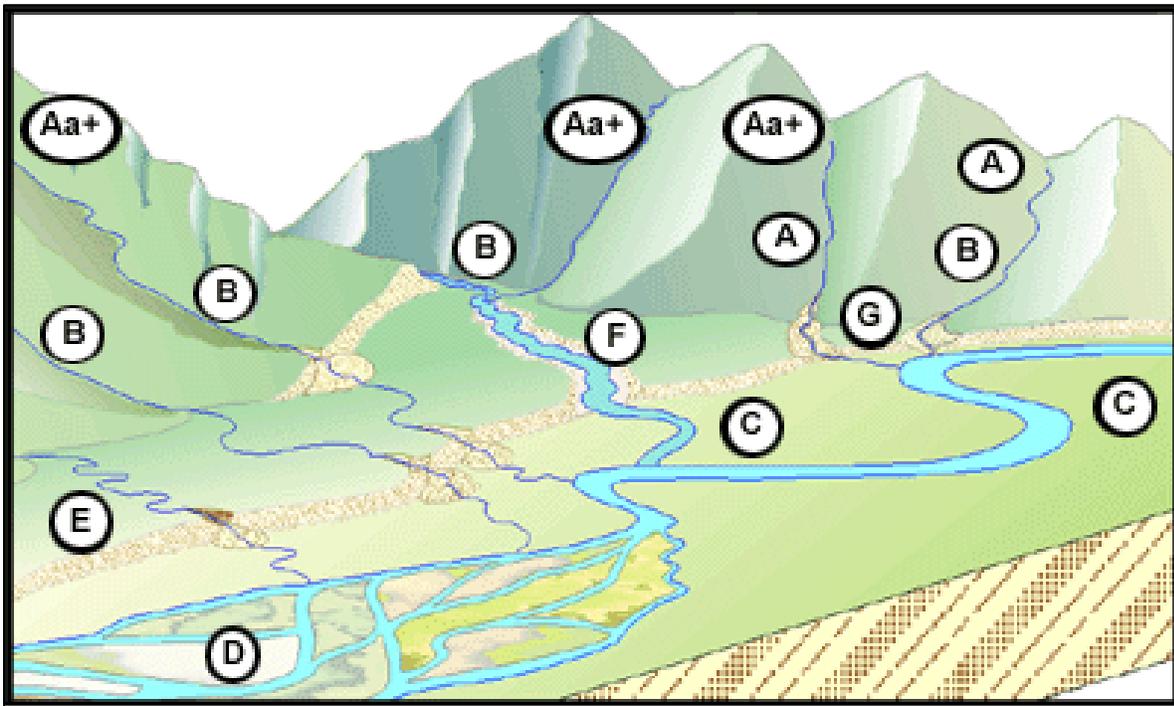
³ Sinuosity - ratio of the ratio stream channel length to valley length

Source: Rosgen, 1996.

The Rosgen “E” and “F” stream types are similar to “C” stream types in that they exist within landscapes of low relief. These stream types are differentiated from the “C” types by their relative degree of entrenchment and W/D ratios. Relative to “C” types, “E” stream types have lower W/D ratios indicating they are narrower and deeper. “F” types have lower entrenchment ratios (more entrenched), indicating a lack of floodplain.

Streams can also exhibit multiple-channel or “braided” forms. These streams are recognized as “D” types and occur on lands of very low relief with very low gradients. They are shallow in depth, contain abundant sediment supplies, and are highly active with respect to lateral adjustments. The individual channels are separated by depositional bars. The stream-type occurs in landforms comprised of depositional alluvium such as glacial wash and alluvial fans.

Figure 3.5-3. Rosgen stream types relative to topography



Source: U.S. EPA, 2013f. Watershed Academy Web, Fundamentals of Rosgen Stream Classification System. Excerpts from Rosgen, D.L., 1996, *Applied River Morphology*.

http://cfpub.epa.gov/watertrain/moduleFrame.cfm?module_id=27&parent_object_id=1189&object_id=1189

All nine fundamental Rosgen stream types can be further sub-classified using numeric designation (one to six). These numeric designations correspond with the textural class of the dominant channel material. The numeric scale starts with (1) being bedrock and (2) the coarsest of material being boulders. It progresses incrementally to (6) being the finest of material silt/clay. For example, a sinuous single channel of low gradient that exhibits high W/D ratio, high entrenchment ratios (low entrenchment), with cobble channel material would be a “C3” type, while a similar stream with sand channel material would be a “C5” type (Rosgen, 1996).

3.5.4.3 Management Interpretations

The sensitivity of streams to imposed changes such as increases in flow, human disturbance, and the introduction or loss of riparian vegetation varies by stream type. Stream-type sensitivity is shown in Table 3.5-4, as are values for recovery potential, sediment supply, stream bank erosion potential, and vegetative controlling influence. The predictions were derived from rangeland management studies but are applicable to other kinds of disturbances such as silviculture and surface mining (Rosgen, 1994; Rosgen, 1996).

Table 3.5-4 Management Interpretations by Rosgen Stream Type

Stream Type	Sensitivity to Disturbance¹	Recovery Potential²	Sediment Supply³	Streambank Erosion Potential	Vegetation Controlling Influence⁴
A1	very low	excellent	very low	very low	negligible
A2	very low	excellent	very low	very low	negligible
A3	very high	very poor	very high	very high	negligible
A4	extreme	very poor	very high	very high	negligible
A5	extreme	very poor	very high	very high	negligible
A6	high	poor	high	high	negligible
B1	very low	excellent	very low	very low	negligible
B2	very low	excellent	very low	very low	negligible
B3	low	excellent	low	low	moderate
B4	moderate	excellent	moderate	low	moderate
B5	moderate	excellent	moderate	moderate	moderate
B6	moderate	excellent	moderate	low	moderate
C1	low	very good	very low	low	moderate
C2	low	very good	low	low	moderate
C3	moderate	good	moderate	moderate	very high
C4	very high	good	high	very high	very high
C5	very high	fair	very high	very high	very high
C6	very high	good	high	high	very high
D3	very high	poor	very high	very high	moderate
D4	very high	poor	very high	very high	moderate
D5	very high	poor	very high	very high	moderate
D6	high	poor	high	high	moderate
DA4	moderate	good	very low	low	very high
DA5	moderate	good	low	low	very high
DA6	moderate	good	very low	very low	very high
E3	high	good	low	moderate	very high
E4	very high	good	moderate	high	very high
E5	very high	good	moderate	high	very high
E6	very high	good	low	moderate	very high
F1	low	fair	low	moderate	low

Stream Type	Sensitivity to Disturbance ¹	Recovery Potential ²	Sediment Supply ³	Streambank Erosion Potential	Vegetation Controlling Influence ⁴
F2	low	fair	moderate	moderate	low
F3	moderate	poor	very high	very high	moderate
F4	extreme	poor	very high	very high	moderate
F5	very high	poor	very high	very high	moderate
F6	very high	fair	high	very high	moderate
G1	low	good	low	low	low
G2	moderate	fair	moderate	moderate	low
G3	very high	poor	very high	very high	high
G4	extreme	very poor	very high	very high	high
G5	extreme	very poor	very high	very high	high
G6	very high	poor	high	high	high

- ¹ Includes increases in streamflow magnitude and timing and/or sediment increases.
² Assumes natural recovery once cause of instability is corrected.
³ Includes suspended and bedload from channel derived sources and/or from stream adjacent slopes.
⁴ Vegetation that influences width/depth ratio-stability.

Sources: Rosgen 1994; Rosgen, 1996

3.5.4.4 Water Quantity and Stream Regime

While streams in the U.S. vary greatly, stream characteristics can be described as a function of the climatic and topographic environment as well as of watershed geology and land cover. Snelder, et al., (2005) proposes that climatic and topographic characteristics of a watershed are the dominant causes of variation in hydrological processes at macro (approximately 400 to 40,000 square miles) and meso (approximately 40 to 400 square miles) spatial scales, and can be used to define distinctive flow regime classes and delineate patterns in flow regimes at these spatial scales.

For example, streams whose watersheds are located in high precipitation areas are expected to have more consistent flows and more frequent flooding. Streams that are located in the rain-shadows or regions of low precipitation are expected to have the extended periods of low flow and flow variation is expected to be higher. Variability in temperature further drives the seasonal response to precipitation. In cool regions, precipitation as snow is stored in winter and released as snowmelt in spring and summer. In warm regions, snow storage is less and runoff regimes will more closely follow the temporal distribution of precipitation (Poff and Ward, 1989; Snelder, et al., 2005).

Snelder, et al., (2005) also discusses how topography influences stream characteristics. Mountainous environments receive higher precipitation than lowland areas and can be expected to have lower flow variability, more sustained base flows, and higher low flows. In regions that receive significant precipitation in the form of snow, snowpack storage dampens the watershed response to precipitation and delays the watershed's release of water until summer. Mountain environments are expected to have low flood frequency and marked summer peak flows (Duncan, 1992; Snelder, et al., 2005). Regions of lower relief and elevation are characterized by limited snow storage that typically melts by mid-to-late spring. Thus, these areas may have two low flow periods, summer and winter. Flow variability and the

magnitude and frequency of high flows, relative to median flow, is expected to be higher in areas of low relief as compared to mountain regions because there is less storage of precipitation and attenuation of watershed response to precipitation. Low flows in areas of low relief are expected to be small, relative to median flow, compared to mountain regions for the same reason. Areas of very low relief are least affected by the storage of precipitation as snow, and thus the flow regime is expected to follow seasonal patterns in precipitation and evapotranspiration regimes (Duncan, 1992; Snelder, et al., 2005).

Surface-water quality is described regionally using a three-step approach. First, select designated uses defined by each state within each coal resource area are provided. This information provides the reader with an idea of the types of designated uses that must be protected regardless of the Action Alternative selected. Secondly, the regional discussion summarizes the integrated water quality report assessments for each state. The water quality assessment summary provides a snapshot of the ratio of surface waters attaining their designated use (referred to as “good waters”) to those not attaining their designated use (referred to as “impaired waters”). The summary includes the total miles of streams in each of the “good,” “impaired,” and “threatened” categories as well as information on the number of stream miles assessed versus total stream miles. Readers can compare and contrast these tables between states and coal resource regions to assess surface water quality conditions. Thirdly, readers who seek a more detailed discussion of surface water quality conditions than provided in the summary tables can consult hyperlinks to access the Clean Water Act (CWA) integrated reports for each of the states. Collectively, these three pieces of information provide a general understanding of the existing water quality for each region.

3.5.4.5 Water Usage Overview

Water supply resources include both groundwater and surface water. Groundwater is typically withdrawn via wells from deep aquifers or from shallow aquifers typically found in areas adjacent to rivers and streams. Surface water supply resources include direct withdrawals from reservoirs, rivers, lakes, and streams. Water is typically supplied by public and private utilities. Users may also provide their own water (self-supply) from wells for agricultural and residential use. Water supply resources and suppliers vary in each region.

The pattern of total water usage and distribution varies between each region. Areas differ with respect to the mix of public supply, domestic, commercial/industrial, agricultural, mining, and thermoelectric uses. The use categories are defined below (Templin, et al., 1997).

- A public water supply use is a public or private water system that provides water to at least 25 people or has a minimum of 15 service connections. Public water suppliers provide water to domestic, commercial, and industrial users, to facilities generating thermoelectric power, for public use, and occasionally for mining and irrigation.
- Domestic water use includes water used for household purposes such as drinking, food preparation, bathing, washing clothes and dishes, flushing toilets, car washing, and watering lawns and gardens. For the purposes of this discussion, domestic water use includes private self-supply only.
- Commercial water use includes water used by commercial facilities such as hotels, motels, restaurants, office buildings, government and military facilities, hospitals, educational institutions, and retail sales stores.

- Industrial water use includes water used to manufacture products such as steel, chemical, and paper, as well as water used in petroleum and metals refining. It does not include power generation for sale to other users, mining of minerals, or the extraction of crude petroleum and gases, which are included in other water-use categories.
- Mining water use includes water used for the extraction and on-site processing of naturally occurring minerals including coal, ores, petroleum, and natural gas. The mining category includes product incorporation during dust control, tailings disposal, slurry conveyance, and drying; wastewater treatment; deliveries of reclaimed wastewater; return flow; and dewatering.
- Irrigation includes water applied to crops grown on commercial farms. Irrigation water use is by far the largest use of water diverted from streams or withdrawn from aquifers in the western U.S. (Solley, 1997). Total annual irrigation water use can vary depending on many factors, including climate, foreign trade, commodity prices, production costs, cost efficiency of irrigation, and changes in irrigation technology.
- Livestock water use includes water used to raise cattle, sheep, goats, hogs, and poultry, and horses.
- Aquaculture includes water used for farming of organisms that live in water, such as fish, including fish hatcheries, shrimp, and other shellfish.
- Thermoelectric power generation includes water used in the generation of electric power when the following fuel types are used: fossil, nuclear, biomass, solid waste, or geothermal energy.

For the purpose of this FEIS, commercial and industrial use are treated as a single category. Likewise, irrigation, livestock, and aquaculture uses are combined as agricultural use.

A portion of the total domestic and agricultural water used is self-supplied. Self-supplied water, primarily withdrawn from private groundwater wells, is typically used for household and farming/irrigation applications. Private wells are most common in rural areas not served by municipal water supplies. There are over 15.6 million users of private water supply wells (wells that serve one to five homes) in the U.S. (U.S. Census Bureau, 2008). Unlike municipal water supply, which is monitored for water quality and typically treated prior to distribution, self-supplied water is unregulated by the EPA, and well owners take full responsibility for water quality, availability, and maintenance of their wells. Because private wells may not be routinely monitored or treated under Safe Drinking Water Act regulations, they may be more vulnerable to water quality and supply changes related to mining than a public water supply system. However, under SMCRA, operators are required to mitigate certain water supply impacts related to coal mining operations by replacing the impacted water supply (SMCRA Sections 717 (b) and 720 (a)(2)).

Table 3.5-5 provides a percentage breakdown of the USGS reported 2010 water use by usage category for each of the seven coal resource areas (Maupin et al., 2014). The water usage information is compiled by the USGS every five years. The USGS published the 2010 USGS water-usage data report in November 2014.

Table 3.5-5. Total Water Usage by Category and Region

Category	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Thermo-electric	73.0%	1.2%	52.3%	74.7%	1.5%	6.7%	46.5%
Public Supply	13.8%	12.4%	11.0%	10.5%	4.6%	9.1%	13.1%
Domestic	1.4%	0.4%	0.8%	1%	1%	1.7%	0.7%
Agriculture	2.7%	84.6%	27.5%	2.3%	91.5%	78.9%	38.3%
Industrial and Commercial	8.4%	0.8%	6.9%	10.9%	0.9%	0.9%	0.9%
Mining	0.6%	0.6%	1.5%	0.7%	0.4%	2.8%	0.5%

Source: From the U.S. Geological Survey Circular 1405 (Maupin et al., 2014)

3.5.5 Regional Hydrology

The U.S. coal regions feature diverse hydrological resources. As a foundation for analysis of impacts in Chapter 4, the following regional discussions provide a broad overview of regional water resources.

3.5.5.1 Appalachian Basin Coal-Producing Region

3.5.5.1.1 Groundwater

The eastern extent of the Appalachian Plateaus physiographic province is bound by the Cumberland Front Escarpment in Kentucky and Tennessee. The coal region extends north of the escarpment into the western parts of Virginia, West Virginia and Maryland and into western and northern Pennsylvania. The coal region extends south into northeastern Alabama and northwestern Georgia for a short distance. The western boundary of the Appalachian Basin Coal region follows the extent of Mississippian and Pennsylvanian age rocks, with mineable coal in the Pennsylvanian rocks.

3.5.5.1.1.1 Primary Aquifers

Aquifers in the Appalachian Basin Coal region are either surficial aquifer systems in unconsolidated deposits, or occur in the deeper consolidated rocks. Sand and gravel surficial aquifers overlie the consolidated rock aquifers in much of northeastern Ohio and along the Ohio River and its tributaries. Aquifers in consolidated rocks consist of sedimentary bedrock ranging in age from Mississippian through Permian.

3.5.5.1.1.2 Unconsolidated Aquifers

The unconsolidated surficial aquifer systems consist of sand and gravel deposits of glacial and alluvial origin that filled in bedrock valleys. The alluvial material occurs primarily along existing streams and consists mostly of reworked glacial deposits. Wells completed in the sand and gravel deposits, which have a high hydraulic conductivity, typically have a high associated yield. The reworked glacial material

forming unconsolidated aquifer is most common in southward-flowing streams, such as the Allegheny and the Ohio Rivers, which have their headwaters in glaciated areas. Alluvium in the valleys of northward-flowing streams typically consists of material that has been weathered and eroded from exposed consolidated sedimentary rocks. The alluvium along the northward-flowing rivers, such as the Kanawha in West Virginia and the Monongahela in Pennsylvania, generally is finer grained than that along the southward-flowing rivers and often yields less water to wells compared to southward-flowing rivers. Well yields in sand and gravel deposits commonly range from 100 to 500 gallons per minute but can exceed 2,000 gallons per minute. Well yields in the finer grained aquifers commonly range from 25 to 50 gallons per minute (Lloyd and Lyke, 1995).

3.5.5.1.1.3 Primary Bedrock Aquifers

Aquifers in the Pennsylvanian age deposits in the Appalachian Basin Coal region mostly consist of sandstone and limestone, separated by coal and shale deposits. The aquifers in the Pennsylvanian age rocks are grouped into Upper Pennsylvanian aquifers and Middle and Lower Pennsylvanian aquifers. Coal beds and seams also can yield water because they are commonly fractured along joint systems (cleat) that store and transmit water.

The Upper Pennsylvanian aquifers are primarily in the Pennsylvanian Monongahela and Conemaugh Groups, but may be hydraulically connected to sandstones of the Dunkard Group. In southeastern Ohio and northeastern Kentucky, Upper Pennsylvanian rocks are primarily interbedded sandstone, siltstone, and shale with minor coal, grading to shale and siltstone. Together, the Monongahela and the Conemaugh Groups average about 1,000 feet in thickness. Well yields in Upper Pennsylvanian rocks range from 20 to 430 gallons per minute. Individual sandstone beds in Upper Pennsylvanian rocks generally are of limited areal extent, and isolated from other sandstone beds. The discontinuous occurrence and the general fine-grained texture of the unfractured rocks and sparse fracture openings may combine to impede the flow of groundwater. Perched water tables above clay layers underlying coal beds in the upland areas support springs along valley walls (Trapp and Horn, 1997; Lloyd and Lyke, 1995).

Middle and Lower Pennsylvanian aquifers contain the most widespread source of groundwater in the Appalachian Basin. Shale with interbedded sandstone is the dominant lithology of Middle and Lower Pennsylvanian rocks in the northern part of the coal region, whereas sandstone is dominant in the south. In Alabama, the southernmost part of the Appalachian Basin, in Alabama, most of the productive aquifers are associated with solution channels in karst limestone. Wells completed in the Bangor Limestone yield as much as 200 gallons per minute, and springs issuing from the Bangor have reported flows of as much as 4,000 gallons per minute. The Tuscomb Limestone, combined with the hydraulically connected Fort Payne Chert, yields as much as 2,300 gallons per minute to wells. The Monteagle Limestone generally yields only small volumes of water. Rocks of the Middle and Lower Pennsylvanian aquifers include the Allegheny Formation and the Pottsville Group in Ohio, the Breathitt and the Lee Formations in Kentucky, and several equivalent formations in Tennessee. The Allegheny Formation and the Pottsville Group are primarily interbedded sandstone, siltstone, and shale but contain economically important beds of coal. An average of about 40 percent of the total thickness of the Pottsville Group is sandstone. In Kentucky, the Breathitt Formation is primarily interbedded sandstone, siltstone, and shale, whereas the Lee Formation is predominantly sandstone with some conglomerate. Beds of sandstone in the Breathitt Formation are typically from 30 to 120 feet thick and compose about 50 percent of the total thickness of the formation. About 80 percent of the total thickness of the Lee Formation consists of beds of sandstone and

conglomerate. Middle and Lower Pennsylvanian rocks in Tennessee are predominately interbedded conglomerate and sandstone with some siltstone, shale, and coal beds. Some of the Middle and Lower Pennsylvanian sandstone and conglomerate beds are regionally extensive and contain well-developed fracture systems. The primary water-yielding units are sandstone and conglomerate beds in the Crab Orchard Mountains Group; some conglomerate beds in this group locally are 200 feet thick, whereas sandstone beds in the group range from 100 to 300 feet thick and are locally conglomeratic. Well yields from Middle and Lower Pennsylvanian aquifers only range from one to 25 gallons per minute in Ohio but range from five to 50 gallons per minute in Tennessee. Low-permeability layers of underclay beneath coal beds may limit downward movement of the water and create perched water-table conditions above the main water table. The perched water discharges as baseflow to streams, or as at the surface as springs (Lloyd and Lyke, 1995; Miller, 1990).

Figure 3.5-4 illustrates the general extent of the various aquifer types in the Appalachian Basin.

3.5.5.1.1.4 Groundwater Quality

Groundwater from the aquifers in the Appalachian Basin is typically suitable for most intended uses, with chlorination usually being the only treatment required to make the water suitable for drinking. However, locally, elevated concentrations of iron or sulfate may be present and water from the surficial aquifer system and the aquifers in consolidated rocks may be contaminated by saltwater present at shallow depths or by human activity (i.e., disposal of waste water from the development of the coal, oil, and gas resources of the area) (Lloyd and Lyke, 1995).

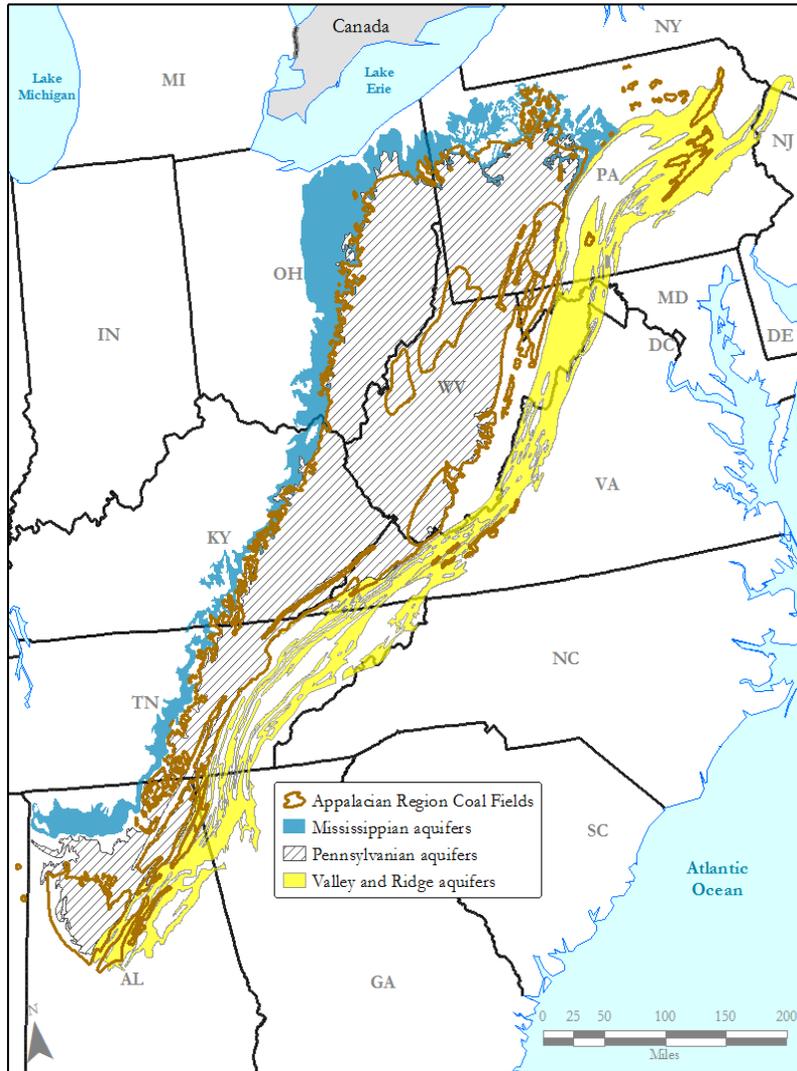
Water from the surficial aquifer system in the Ohio portion of the region is predominantly a calcium bicarbonate type. According to the USGS Groundwater Atlas for Illinois, Indiana, Kentucky, Ohio and Tennessee, the surficial aquifers in Ohio water generally have larger median concentrations of dissolved solids (413 mg/L), chloride (31 mg/L), and sulfate (76 mg/L) and is harder (337 mg/L CaCO₃) than water from the aquifers in consolidated rocks in the same area. Iron concentrations also tend to be more elevated in water from the surficial aquifer system than from water in consolidated rock aquifers and generally increase with depth (Lloyd and Lyke, 1995).

Surficial aquifer groundwater quality for the Maryland, Pennsylvania, Virginia and West Virginia area is defined by the USGS Groundwater Atlas of the United States, HA 730-L (Trapp and Horn, 1997) as: “suitable for municipal supplies and most other purposes. Most of the water in the upper parts of the aquifers is not highly mineralized.” Trapp and Horn (1997) lists median values for dissolved solids at 250 mg/L; hardness (caused primarily by calcium and magnesium ions) at 140 mg/L; pH at 7.2; chloride at 29 mg/L; sulfate at 29 mg/L; and iron concentration at 100 µg/L.

Lloyd and Lyke (1995) state that “the principal factors governing the chemical quality of groundwater in the aquifers in consolidated rocks are aquifer mineralogy and residence time (the amount of time the water has been in contact with the rocks). Water from sandstone aquifers containing few soluble minerals generally is soft, whereas hard water is obtained from limestone or shale containing more of the soluble minerals calcite and dolomite. Water in the deeper parts of the aquifers tends to be more mineralized than water from shallow depths because the deeply circulating water generally has followed longer flow paths and has been in contact with aquifer minerals for a longer period of time. Generally, water from wells located in recharge areas on ridges is less mineralized than elsewhere because of a shorter residence time

in the aquifer. Water from wells located in valleys where discharge occurs is more mineralized than elsewhere.”

Figure 3.5-4. Appalachian Basin Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

Water from the Pennsylvanian aquifers in Ohio generally is either a calcium magnesium bicarbonate type or a calcium sodium bicarbonate type. Thin shale beds are present between the sandstone and limestone aquifers in these rocks. The shale contains calcite and siderite (an iron carbonate mineral). These minerals, along with the calcite and minor dolomite in the limestone beds, are the source of the calcium and magnesium. In Kentucky, water from wells completed in the Middle and Lower Pennsylvanian aquifers commonly is a calcium sodium bicarbonate type. Water from the aquifers in Mississippian rocks in Kentucky is a slightly alkaline, calcium bicarbonate type. Excessive hardness and elevated concentrations of iron, chloride, and sulfate are locally present in water from the Pennsylvanian aquifers. Groundwater quality varies with depth in the coal-producing Cumberland Plateau area of Virginia. Generally, the first 100 feet of depth below stream elevation, the groundwater is of poor quality, mainly due to sulfur and iron contamination. Naturally saline waters occur at depths greater than 300 feet in Virginia. Therefore, the best quality waters are usually found between 150 and 300 feet in this area. Data from Pennsylvanian aquifers in Tennessee indicate that water quality ranges from soft to hard and contains small concentrations of dissolved solids. In contrast, water from Mississippian aquifers, which are mostly limestone, generally is a calcium bicarbonate type and is harder and more mineralized than water from Middle and Lower Pennsylvanian aquifers. In Pennsylvania and West Virginia, the aquifer water is typically a calcium sodium bicarbonate type. Dissolved-solids concentrations are small and average only about 230 milligrams per liter. Hardness averages about 95 milligrams per liter. Water from predominately shale aquifers in Pennsylvania is reported to be hard, whereas that from predominately sandstone aquifers is reported to be soft. The median iron concentration is about 0.1 milligram per liter, but concentrations as high as 38 milligrams per liter have been reported. In Alabama, water quality is variable; although suitable for most intended uses, concentrations of sulfate and iron are elevated in places. Large concentrations of hydrogen sulfide, derived from sulfate, can impart a “rotten-egg” odor to the water. The quality of the water in Alabama generally deteriorates with depth as it becomes more mineralized (Miller, 1990; Trapp and Horn, 1997; Lloyd and Lyke, 1995).

Groundwater is an important source of freshwater in the Appalachian Plateaus province. Ohio’s surficial aquifers “are the major source of groundwater because they have the largest well yields of any aquifers in the Appalachian Plateaus province and because many of Ohio’s urban areas are located near major streams whose valleys are filled with sand and gravel deposits of the surficial aquifer system” (Lloyd and Lyke, 1995).

Surficial aquifers are more prevalent in Ohio and northwestern and northeastern Pennsylvania than elsewhere in the Appalachian Plateaus province. Lloyd and Lyke (1995) observe that “Despite their generally lower yields, the aquifers in consolidated rocks are also important sources of water. Upper Pennsylvanian aquifers provide domestic supplies, and Mississippian aquifers provide domestic and small public supplies. Middle and Lower Pennsylvanian aquifers are used primarily for domestic, stock, and small public and industrial supplies throughout the Appalachian Plateaus Province.”

3.5.5.1.2 Surface Water

The coal fields of the Appalachian Basin region exist principally within the Ohio River, Tennessee River, and Alabama River drainage basins. Larger tributary basins of the Ohio River basin include the Allegheny, Monongahela, and Susquehanna of Pennsylvania (46,110 square miles of total contributing area); the Upper Ohio, Muskingum, Kanawha, Middle Ohio, and Big Sandy River basins of Ohio,

Virginia and West Virginia (48,130 square miles of total contributing area); and the Kentucky and Cumberland River basins of Virginia and Tennessee (28,200 square miles of total contributing area).

Larger tributary basins of the Tennessee River basin include the Upper and Middle Kentucky River basins of Tennessee and Alabama (32,660 square miles of total contributing area); and the Alabama and Mobile River basins (44,600 square miles of total contributing area) of the larger Alabama River of Alabama (Seaber, et al., 1994).

3.5.5.1.2.1 Stream Morphology

Streams within the Appalachian Basin coal resource area exist within the Appalachian Plateaus physiographic province, which includes the Allegheny Mountains, Cumberland Mountain, and Cumberland Plateau and Kanawha (or unglaciated Allegheny Plateau) physiographic sections as described in Section 3.4 (Topography). As their names convey, the Allegheny and Cumberland Mountains physiographic provinces are areas of high relief. The Cumberland Plateau and Kanawha physiographic sections also have high relief and are highly dissected, although to a lesser degree. Characteristic stream types in this coal region include ephemeral, intermittent and perennial headwater Rosgen “A,” “Aa+,” and “B” types. These streams are steep to very steep, straight, single channel streams that are laterally confined. Stream substrates include combinations of exposed bedrock and coarse sediment (including boulders, cobbles, and gravel). In-stream features include cascading step pools, waterfalls, and alternating rapids, riffles, and pools.



Appalachian Mountain Stream of “A” Type
Source: OSMRE, 2015a

At lower elevation and gentler gradients, relatively wide and shallow perennial type “C” streams exist in mountain valleys. These streams are characterized by moderate sinuosity in broad valleys and well developed floodplains. Typical in-stream features include alternating riffles and pools. The degree of lateral migration or meandering of the channels varies according to the erodibility of bank materials and abundance of riparian vegetation. When channel and bank substrate is primarily comprised of coarse material such as boulders, cobble, and gravel, sediment supply for these stream types is generally very low.

3.5.5.1.2.2 Surface Water Quantity / Stream Regime

Studies have shown that forested watersheds typically have little surface runoff and subsurface processes (such as interflow) dominate (Sloan and Moore, 1984). Water that infiltrates into the forest soils is slowly released, thereby sustaining streamflow (Chang, 2003). Ten to 20 percent of annual precipitation is intercepted by the forest canopy (Chang, 2003) and approximately one percent to five percent of the annual precipitation is absorbed by forest detritus (Helvey and Patric, 1965). The portion of the infiltrated flow that does not proceed as interflow primarily moves through stress-relief fractures in the weathered and unweathered underlying geological strata and is discharged through seeps. A portion of the flow migrates through deeper strata.

Streamflow in the Appalachian coal region generally follows a pattern that varies seasonally with precipitation and evapotranspiration. Beginning in late October, streamflow generally increases and maintains a high runoff rate through May. This is due to enhanced precipitation (rain and snowmelt) and a corresponding decrease in evapotranspiration. Increases in regional evapotranspiration begin in May, reducing the amount of available runoff; low flow season begins in August and continues through October (Kiesler, et al., 1983; Quinones, et al., 1983). During the summer months of July and August monthly streamflow may be augmented by thunderstorm activity (Harkins et al., 1980).

In addition to climatic influences described above, principal basin characteristics such as the size of the contributing area, physiography, and geologic character of the region significantly influence how runoff is expressed as streamflow. Other important drainage basin characteristics include land use, vegetation, and existing soil types. Hufschmidt and others (1981) has noted that as the recurrence interval of a precipitation event becomes greater (less frequent), other basin characteristics such as land use, vegetation, and soil type become less influential. In some areas of the Appalachian coal region predictive equations may have been developed to estimate mean annual and monthly flows (Herb, et al., 1981); flood magnitude and frequency (McCabe, 1962; Hannum, 1976; Quinones, et al., 1983; Randolph and Gamble, 1976; Gamble, 1983); and average minimum discharge (Flippo, 1982; Herb, et al., 1981) using principal drainage basin characteristics. Drainage basin characteristic of significance in predicting low flow are contributing area, annual precipitation, geology, and channel slope. The magnitude of peak flow predictions are correlated to contributing area, mean annual precipitation, and potential evapotranspiration.

The Appalachian coal region topography is generally one of high relief that is conducive to producing severe floods. The region is characterized by steep slopes with narrow valleys. When this topography is coupled with intense storms, floods of short duration and large magnitude are common. In areas of unglaciated physiography, valley configuration demonstrating narrow flood plains and steep slopes leads to rapid accumulation of storm runoff during periods of rainfall. In previously glaciated areas, broad flood plains and flat slopes produce a less rapid accumulation of runoff and a longer duration of flood flow (Engelke, et al., 1981; Quinones, et al., 1983; Harkins et al., 1980).

Studies suggest the flow in streams draining coal-bearing rock is poorly sustained. Low flow diminishes rapidly and base flows are poorly sustained during dry periods due to poor recharge and storage conditions. Studies in Kentucky have determined that in contributing areas less than 100 square miles, streamflow approaches zero during low flow in the season from June to October (Quinones, et al., 1983). In areas of the Cumberland Plateau, low-flow data suggest that Pennsylvania sandstones, shales, and coals demonstrate significantly lower flow than Pre-Pennsylvanian limestones and dolomites. It is unclear whether this finding is attributable to their storage potential or enhanced mining activity associated with the Pennsylvania geology (Hufschmidt et al., 1981). Findings by Harkins and Others (1980) suggest that low-flow discharge in drainage basins existing in Pre-Pennsylvanian geology is higher than in drainage basins existing in Pennsylvanian geology. Kiesler, et al. (1983) observed higher low-flow discharges in drainage basins existing primarily in the Lee Formation than drainage basins existing primarily in the Breathitt Formation. Both are Pennsylvanian in origin but the Lee Formation consist of sandstone, conglomerate, shale, siltstone, coal, and underclay; in contrast, the Breathitt Formation consist of siltstone, sandstone, shale, coal, underclay, ironstone, and limestone. Low flows are higher in glaciated

regions due to their groundwater storage potential than in unglaciated relatively impermeable sandstones, shales, coals and limestone (Engelke et al., 1981).

3.5.5.1.2.3 Surface Water Quality

Table 3.5-6 shows that states within the Appalachian Basin have more than 80 state-defined designated use categories that are used to classify and protect their surface waters. Pennsylvania and Maryland have the most individual designated use classifications, while Alabama and Kentucky have the least.

The water quality assessments used as the basis for the integrated reports provide insight into the aquatic health of the region's surface waters. Table 3.5-7 shows that 96 percent of the waters assessed in Ohio are categorized as impaired waters. In contrast, Pennsylvania had the lowest percentage of stream impairment at 19 percent. In terms of number of stream miles impaired, Ohio had the most at 50,771.2 miles, and Alabama had the least at 3,060.8 miles. In addition, the table shows that 81 percent of the assessed streams in Pennsylvania are characterized as good waters compared to only four percent of assessed streams in Ohio. In terms of the number of stream miles attaining a good water designation Pennsylvania contains the highest number (69,686.2 miles), and Maryland has the least (2,534.2 miles). Tennessee was the only state to report streams in the "threatened waters" category. They reported 38.9 miles of threatened waters in 2010.

Overall, the Appalachian Basin region contains over 420,393.9 miles of streams, of which, 233,719.2 miles of streams have been assessed. Approximately 116,198.5 of the 233,719.2 stream miles are attaining their designated use, while 117,471.8 are deemed impaired. This means approximately 50 percent of the streams assessed in the Appalachian coal region are attaining their designated use, while approximately 50 percent are impaired.

It is important to note that this portion of the FEIS examines general water-quality conditions for each of the coal regions. The discussion includes all causes of stream impairment and is not limited to mining-related impairments.

Table 3.5-6. Selected State-Defined Designated Use – Appalachian Basin Coal-Producing Region

Selected State-Defined Designated Uses for Surface Water							
Alabama	Kentucky	Maryland	Ohio	Pennsylvania	Tennessee	Virginia	West Virginia
Outstanding Alabama Water	Warm water aquatic habitat	Use I (basic water use)	Aquatic Life	Aquatic Life	Domestic Water Supply	General Uses	Aquatic Life
Public Water Supply	Cold water aquatic habitat	Swimming	Warmwater	Cold Water	Industrial Water Supply	Recreational uses	Trout waters
Swimming and Other Whole Body Water-Contact Sports	Primary contact recreation	Boating	Limited warmwater	Warm Water Fishes	Fish and Aquatic Life	The propagation of growth of a balanced, indigenous population of aquatic life	Wetlands
Shellfish Harvesting	Secondary contact recreation	Fishing	Exceptional warmwater	Migratory Fishes	Trout Stream	Wildlife	Water Supply
Fish and Wildlife	Domestic water supply	Water Contact	Modified warmwater	Trout Stocking	Naturally Reproducing Trout Stream	Production of feedable and marketable natural resources	Public water
Limited Warmwater Fishery	Outstanding state resource water	Protection of aquatic life and wildlife	Seasonal Salmonid		Recreation		Water contact recreation
Agricultural and Industrial Water Supply		Agricultural supply	Coldwater	Water Supply	Livestock Watering and Wildlife	Subcategories	Irrigation
		Industrial water supply	Limited resource water	Potable Water Supply	Irrigation	Migratory Fish Spawning and Nursery	Livestock watering
				Industrial Water Supply	Navigation	Shallow-water Submerged Aquatic Vegetation	Wildlife
		Use I-P	Water Supply	Livestock Water Supply		Open Water Aquatic Life	Water transport
		All Use I plus Public Water Supply	Public	Wildlife Water Supply		Deep Water Aquatic Life	
		Use II	Agricultural Industrial	Irrigation		Deep Channel Seasonal Refuge	Other
		All Use I plus shellfish harvesting					Cooling water
				Recreation			Power production
				Boating			Industrial
		Use III	Bathing waters	Fishing			
		All Use I plus public water supply	Primary contact	Water Contact Sports			
			Secondary Contact	Esthetics			
		Use IV					
		All Use I plus recreational trout waters			Special Protection		
					High Quality Waters		
		Use I-P			Exceptional Value Waters		
		All Use IV plus public water supply					
					Other		
					Navigation		

Source: U.S. EPA, 2013i

Table 3.5-7. Summary of State CWA Water Quality Assessments – Appalachian Basin Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)									
	Alabama (2010)	Kentucky (2010)	Maryland (2002)	Pennsylvania (2006)	Ohio (2010)	Tennessee (2010)	Virginia (2010)	West Virginia (2010)	Summation for Appalachian Region
Good Waters	7,852.6	3,896.4	2,534.2	69,686.2	1,711.8	17,675.4	5,627.1	7,214.8	116,198.5
Threatened Waters	NA	NA	NA	NA	NA	38.9	NA	NA	38.9
Impaired Waters	3,060.8	6,877.5	3,796.4	16,347.3	50,771.2	12,914.6	12,101.3	11,602.7	117,471.8
Total Assessed Waters	10,913.4	10,773.9	6,330.6	86,033.5	52,493.0	30,628.9	17,728.4	18,817.5	233,719.2
Total Waters	77,242.0	49,105.0	8,789.0	83,260.0	58,230.0	61,075.0	50,414.9	32,278.0	420,393.9
Percent of Waters Assessed	14.1	21.9	72.0	103.3	90.1	50.1	35.2	58.3	55.5
*Data from EPA ATAINS database and website. See http://epa.gov/waters/ir/ for additional information.									
** NA = Not Available									

Source: U.S. EPA, 2012c

3.5.5.1.3 Hyperlinks to Integrated CWA Reports – Appalachian Basin Coal-Producing Region

The following links provide additional detail on water quality in the Appalachian Basin states.

State	Hyperlinks
Alabama	http://www.adem.alabama.gov/programs/water/waterquality.cnt
Kentucky	http://water.ky.gov/waterquality/Pages/IntegratedReport.aspx
Maryland	http://www.mde.state.md.us/programs/Water/TMDL/Integrated303dReports/Pages/Final_approved_2010_ir.aspx
Ohio	http://epa.ohio.gov/dsw/document_index/305b.aspx
Pennsylvania	http://www.portal.state.pa.us/portal/server.pt/community/water_quality_standards/10556/integrated_water_quality_report_-_2010/682562
Tennessee	http://www.tennessee.gov/environment/water/water-quality_publications.shtml
Virginia	http://www.deq.virginia.gov/Programs/Water/WaterQualityInformationTMDLs.aspx
West Virginia	http://www.dep.wv.gov/WWE/watershed/IR/Pages/303d_305b.aspx

3.5.5.1.4 Water Usage

Based on 2010 USGS data, water resources in this region are used as follows: 73.0 percent thermoelectric, 13.8 percent public supply, 8.4 percent industrial/commercial, 2.7 percent agricultural, and 1.4 for domestic and mining. The total water usage for the year 2010 was 49,006 million gallons per day (MGD) (Maupin et al., 2014).

Approximately 45 percent of groundwater is withdrawn by public supply utilities and 20 percent is used for domestic purposes. Industrial/commercial uses account for approximately 16 percent, agriculture 13 percent, and mining five percent. Thermoelectric facilities use the lowest percentage of groundwater withdrawals at about 1 percent.

Approximately 78 percent of surface water withdrawals are associated with thermoelectric facilities. Approximately 11 percent each are used for public supply and for industrial/commercial demand. Agricultural uses 1.9 percent. Less than one percent of surface water withdrawals are used for mining and domestic purposes.

Regional drinking water withdrawals are represented by the public supply and domestic withdrawal data. According to 2010 USGS data, approximately 71 percent of total drinking water withdrawals are from surface water sources. Of the public water supply withdrawals, 77.5 percent are from surface water. Additionally, since 1985, domestic (private) water withdrawals have remained largely unchanged; whereas, public water supply withdrawals had increased 17 percent as of 2005, indicating that overall regional drinking water demand is increasing.

A review of USGS water use data for the years 1985 to 2010 indicates that the total share of the population supplied by a public water supplier is increasing while the proportion of the population that is self-supplied is decreasing (Table 3.5-8) (USGS, 2013a). However, 2010 data (the most recent available information) show a domestic self-supply population of 10 million, 18 percent of the total regional population (Maupin et al., 2014). This self-supply population relies primarily on private wells for their water supply. Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply.

Table 3.5-8. Summary of Domestic Water Supply by Population (thousands/percent of total) – Appalachian Basin

Year	Self-Supply Population	Public Supply Population
2010	10,034 (18%)	45,310 (82%)
2005	3,445 (19%)	14,753 (81%)
2000	NA	NA
1995	4,129 (23%)	13,723 (67%)
1990	4,130 (24%)	13,261 (66%)
1985	5,061 (28%)	12,751 (62%)

Source: Maupin et al., 2014, USGS 2010a, USGS 2010b

3.5.5.2 Colorado Plateau Coal-Producing Region

3.5.5.2.1 Groundwater

The Colorado Plateau aquifers underlie an area of approximately 110,000 square miles in western Colorado, northwestern New Mexico, northeastern Arizona, and eastern Utah. The Colorado Plateau coal region is approximately coincident with the Colorado Plateaus Physiographic Province. The distribution of aquifers in the Colorado Plateau is controlled in part by the structural deformation and erosion that has occurred since deposition of the sediments composing the aquifers. Information for groundwater characterization of the Colorado Plateau Coal region was largely derived from USGS summary reports developed to support Environmental Assessments and Impact Study Reports (Wynn et al., 2001; Kuhn et al., 1983; Lines, 1985; Eakin et al., 1976; Hren et al., 1987; Roybal et al., 1983; Roybal et al., 1984).

In general, the aquifers in the Colorado Plateau Coal region are composed of permeable, moderately to well-consolidated sedimentary rocks. The rocks within and adjacent to coal development are Cretaceous and Tertiary in age, and vary greatly in thickness, lithology, and hydraulic characteristics. The stratigraphic relations of the rocks are complicated in places, and the stratigraphic nomenclature consequently is diverse. Many water-yielding units have been identified in these rocks, and most publications pertaining to the hydrogeology of the area describe only a few of the units or pertain to only part of the region. The many water-yielding units in the area are generally grouped into three principal aquifers relative to coal mining activities: the Uinta-Animas aquifer, the Mesaverde aquifer, and the Dakota-Glen Canyon aquifer system (Robson and Banta, 1995).

3.5.5.2.1.1 Unconsolidated Aquifers

In the more mountainous areas of the Colorado Plateau Coal region, much of the alluvium in the stream valleys is too thin, narrow, and discontinuous to be considered a major aquifer, even though some of the larger mountain alluvial deposits (such as those near the Sevier River in central Utah and in the Uinta Basin of northeastern Utah) contain locally important surficial aquifers (Robson and Banta, 1995). Groundwater springs are an important source of water in Arizona and Utah coal resource areas. Springs are used for public water supplies and irrigation; provide water for livestock and wildlife; and provide the major source of baseflow to perennial streams (Lines, 1985). Although not part of the major aquifer systems described later in this section, springs in mountain areas of Utah and drainages of arid northern Arizona are a vulnerable and carefully protected resource.

3.5.5.2.1.2 Primary Bedrock Aquifers

The Uinta-Animas aquifer primarily is composed of Lower Tertiary rocks in the Uinta Basin of northeastern Utah, the Piceance Basin of northwestern Colorado, and the San Juan Basin of northwestern New Mexico. Aquifers in each basin are present in different parts of the stratigraphic section. Some formations are considered to be an aquifer in more than one basin; however, some formations vary so much in their hydraulic characteristics that they are considered to be an aquifer in one basin and a confining unit in another. Water-yielding units in the Uinta-Animas aquifer in the Uinta Basin commonly are separated from each other and from the underlying Mesaverde aquifer by units of low permeability composed of claystone, shale, marlstone, or limestone. The Uinta-Animas aquifer in the Piceance Basin consists of silty sandstone, siltstone, and marlstone. The Uinta-Animas aquifer in the San Juan Basin generally consists of permeable, coarse, arkosic sandstone inter-layered with mudstone. The thickness of

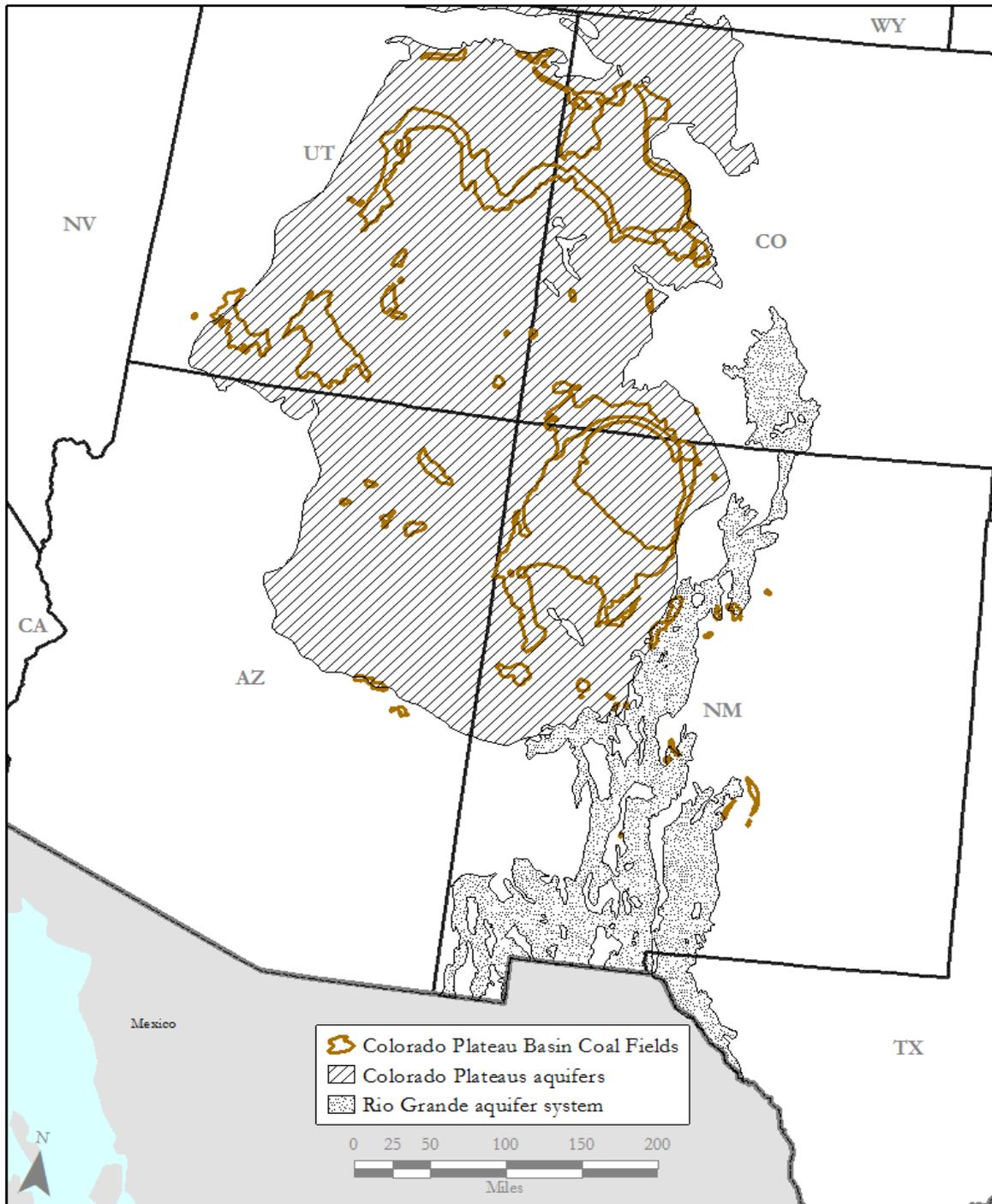
the Uinta-Animas aquifer generally increases toward the central part of each basin. The Uinta Basin aquifer ranges in thickness from zero feet at the southern margin of the aquifer to as much as 9,000 feet in the north-central part of the aquifer. In the Piceance Basin, the Uinta-Animas aquifer is as much as 2,000 feet thick in the central part of the basin. In the northeastern part of the San Juan Basin, the maximum thickness of the Uinta-Animas aquifer is about 3,500 feet (Robson and Banta, 1995).

The Mesaverde aquifer comprises water-yielding units in the Upper Cretaceous Mesaverde Group, and some adjacent Tertiary and Upper Cretaceous formations. The Mesaverde aquifer is at or near land surface in extensive areas of the Colorado Plateaus and underlies the Uinta-Animas aquifer. The aquifer is of regional importance in the Piceance, Uinta, Black Mesa, and San Juan Basins. Some of the rocks forming the Mesaverde aquifer contain coal beds, particularly in Black Mesa Basin. The rocks composing the Mesaverde aquifer are conglomerate, sandstone, siltstone, mudstone, claystone, carbonaceous shale, limestone, and coal. Because these rocks primarily were deposited in environments that changed as sea level changed during the Late Cretaceous, lithology varies vertically and laterally, and inter-tonguing is common among the various formations and strata making up the aquifer (Robson and Banta, 1995).

The Dakota-Glen Canyon aquifer system is generally divided into four primary aquifers: Dakota Aquifer, Morrison Aquifer, Entrada Aquifer, and Glen Canyon Aquifer. Sandstone, conglomerate, and conglomeratic sandstone are the major water-yielding materials in these aquifers. Mudstone, claystone, siltstone, shale, and limestone generally form the confining units separating these aquifers (Robson and Banta, 1995). In the northern Arizona Black Mesa Basin, the Glen Canyon aquifer is regionally significant for municipal and industrial supply. From 1971 to 2005, approximately 4,000 acre-feet per year was pumped for industrial use from the Glen Canyon aquifer, which is locally referred to as the Navajo aquifer system. In 2005, the Black Mesa coal slurry transportation system was discontinued, reducing industrial supply withdrawal to approximately 1,500 acre-feet per year. Municipal groundwater withdrawals have steadily increased in the Black Mesa Basin and currently account for approximately 3,000 acre-feet per year (Macy, 2010). Other significant groundwater withdrawals in Navajo County are to the south of Black Mesa Basin, and beyond the coal resource areas.

Figure 3.5-5 illustrates the general extent of the various aquifer types in the Colorado Plateau Coal-Producing region.

Figure 3.5-5. Colorado Plateau Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

3.5.5.2.1.3 Groundwater Quality

Groundwater chemistry in the Colorado Plateau Quaternary aquifers (unconsolidated) is naturally variable and generally high in mineral content. Calcium, sodium, bicarbonate, and sulfate are the predominant major ions in water from the Quaternary aquifers. Concentrations of total dissolved solids are typically less than 3,500 mg/L, and average approximately 600 mg/L (Wynn et al., 2001; Kuhn et al., 1983; Lines, 1985; Eakin et al., 1976; Hren et al., 1987; Roybal et al., 1983; Roybal et al., 1984).

Groundwater chemistry in the Tertiary aquifers varies throughout the Colorado Plateau, but the principal chemical constituents are generally sodium, calcium, sulfate, and bicarbonate. Concentrations of total dissolved solids in the Tertiary Wasatch, Green River, and Fort Union Formations are typically low, and range from 160 to 1,200 mg/L, with a median concentration of approximately 400 mg/L (Wynn et al., 2001; Kuhn et al., 1983; Lines, 1985; Eakin et al., 1976; Hren et al., 1987; Roybal et al., 1983; Roybal et al., 1984).

Cretaceous aquifers of the Colorado Plateau coal resource area are extensive. Elevated chloride concentrations typically indicate marine depositional conditions. Water in coal and shale deposits tends to be saline, with minor concentrations of sulfate, indicating a chemical reducing environment. Principal constituents of the Cretaceous Mesa Verde Group and Kaiparowits Formation are typically sodium, magnesium, sulfate, and bicarbonate. Completed wells commonly exceed the fluoride drinking water standard. Concentrations of total dissolved solids range from 300 to 8,300 mg/L, with an average concentration of approximately 1,400 mg/L (Wynn et al., 2001; Kuhn et al., 1983; Lines, 1985; Eakin et al., 1976; Hren et al., 1987; Roybal et al., 1983; Roybal et al., 1984).

3.5.5.2.2 Surface Water

The coal fields of the Colorado Plateau exist principally in the Upper and Lower Colorado River Basins and the Rio Grande River Basin. Larger Upper Colorado River tributaries of northwest Colorado and the Utah coal fields include the White-Yampa, Dolores, Gunnison, Dirty-Devil, Colorado Headwaters, and Lower Green River drainage basins. When combined, these drainage basins total 66,910 square miles of contributing area. Lower Colorado River tributaries include the San Juan River of southwestern Colorado, northwestern New Mexico, and southwestern Utah, draining 24,600 square miles. The Little Colorado River of Arizona drains approximately 26,900 square miles. The Rio Grande River drains approximately 28,900 square miles from Upper Rio Grande headwaters in Colorado to the Elephant Butte Dam near Truth or Consequences, New Mexico (Seaber, et al., 1994).

3.5.5.2.2.1 Stream Morphology

Streams within the Colorado Plateau coal region exist within the Colorado Plateau physiographic province, including the Navajo (Arizona and New Mexico) and High Plateaus and Uinta Basin (Utah and Colorado) physiographic sections. These physiographic provinces are areas of moderate to high relief, including highly dissected mountains and plateaus with deep canyons that transition to alluvial valleys at lower elevation.

Characteristic stream forms in areas of very high to moderate relief include ephemeral and intermittent Rosgen “A,” “B,” and “G” types. In mountainous areas that receive significant amounts of snow precipitation, streams of these types exist as perennial headwater streams. These streams are steep to very steep straight single channel streams that are laterally confined by geologic control. Stream substrates

include combinations of exposed bedrock, colluviums such as boulders, cobble and gravel, and cohesive silt/clay. In-stream features may include cascading step pools, waterfalls; and at lower elevation, alternating rapids, riffles, and pools. When formed in residual soils derived from highly weathered sedimentary rock or grussic granite, “A” types may be expressed as a highly incised gully. In valley slopes less than four percent but greater than two percent, these gully streams are recognized as “G” types. “G” types develop in terminal alluvial fans generating high bank erosion rates that contribute significant bedloads and suspended sediment.

At lower elevation and relief, Rosgen “C,” “F,” and “D” types are characteristic. In the arid southwest, these streams frequently occur as intermittent and ephemeral streams. Relatively wide and shallow single-channel “C” types exist in valleys of gentle gradients. These streams are characterized by moderate sinuosity in broad valleys with developed floodplains. In-stream features include alternating depositional point bar features with sections of riffles and pools. The degree of lateral migration or meandering of the channel varies according to the erodibility of bank materials and relative abundance of riparian vegetation. Sediment supply in these streams is high. In the late 19th century, ephemeral stream channels throughout the American Southwest began to incise into alluvial valleys, creating deep continuous channels that are collectively referred to as “arroyos.” Arroyos are defined by Elliot, et al. (1999) as large-scale, continuous, and persistent erosional features created when stream channels incise into their alluvial valleys (Levick et al., 2008). Arroyos correspond with “F” type streams. These streams are highly incised, deeply entrenched channels in alluvium. Unique to “F” types is the complete lack of floodplain.



Braided stream in Colorado Plateau of “D” Type

Source: OSMRE, 2015a

Rosgen “D” type streams are wide, shallow, multi-channel, braided streams formed in broad depositional valleys of very low gradient. These streams have low sinuosity and have very high width-to-depth ratios. They are sediment transport–limited, with abundant sediment supply. Through excessive deposition longitudinal and transverse bars develop forming the characteristic braided form. Formed in non-cohesive sandy alluvium, these streams experience high bank erosion and widening. In the arid southwest, ephemeral streams of these types are regionally recognized as “washes.”

3.5.5.2.2 Surface Water Quantity / Stream Regime

Streams within the Colorado Plateau coal region demonstrate large variations in annual and seasonal flow. Excluding anthropogenic causes (e.g., diversions, reservoir impounding and significant in-stream withdrawals) these variations in streamflow are attributable to the natural geologic, physiographic, and climatic variability characteristic of the region. Regions of high elevation (mean basin elevation > 8,000 feet) that receive 15 inches or more of annual precipitation are distinguished from areas of low elevation (mean basin elevation < 8,000 feet) that receive less than 15 inches of annual precipitation. In regions of high elevation, snowmelt is the dominant source of streamflow, while in the lower elevations thunderstorms are the dominant source. Streams originating in mountainous regions demonstrate greater average annual flow per square mile than streams originating in lower-elevation semiarid regions. Consequently, streams originating in the mountains will tend to sustain perennial flows while streams originating in areas of lower elevation tend to be ephemeral flowing only a few days each year. Runoff resulting from snowmelt occurs from April through July and thunderstorms in the lower elevations occur

in the summer months. Craig and Rankl (1978) found that on small drainages (less than 11 square miles) at lower elevation, high intensity thunderstorms cause larger runoff events and snowmelt contributions are not significant. The average flow of streams that originate in the mountains usually increases downstream. The exception occurs when the streams flow through a low-altitude area, where infiltration and evapotranspiration may be greater than inflow, exhibiting high transmission losses. During periods of little or no precipitation, streamflow is sustained primarily by groundwater discharge. Larger streams are affected by diversions and reservoirs during periods of low flow (Wynn et al., 2001; Lines, 1985; Eakin et al., 1976; Hren et al., 1987; Roybal et al., 1983; Roybal et al., 1984).

3.5.5.2.2.3 Surface Water Quality

Table 3.5-9 shows that states within the Colorado Plateau region have approximately 50 state or tribe-defined designated use categories to classify and protect surface waters. The water quality assessments used for the integrated reports provide insight into the aquatic health of the region’s surface waters. Excluding Colorado, states and tribal lands within this region have a low percentage of their surface waters assessed. Table 3.5-10 shows that three of the four states have less than 13 percent of their waters assessed, while Colorado has 55.5 percent of its waters assessed. Based on available data, 56 percent of the waters assessed in New Mexico are not achieving their designated use (“impaired waters”), while Colorado had the lowest percentage of stream impairment at 18 percent. Colorado had the most stream miles impaired at 11,135.5 miles, and Arizona had the least at 1,016 miles. In terms of number of stream miles achieving their designated use, Colorado contains the highest number (48,503.4 miles) and Arizona has the least (1,747.7 miles).

Overall, the Colorado Plateau region is comparable to Appalachian Basin in the number of stream miles. The Appalachian Coal region contains 420,393 miles of stream and the Colorado Plateau region contains 394,435 miles of streams. Unlike the Appalachian Basin region, however, only 20.1 percent of streams in the Colorado Plateau region have been assessed. Approximately 59,708.5 of the 79,284.0 stream miles that have been assessed (75 percent) are achieving their designated use, while 19,576.5,920 (25 percent) are considered impaired. Impairment is associated with all pollution sources, and is not limited to mining-related impairments.

Table 3.5-9. Selected State-Defined Designated Use – Colorado Plateau Coal-Producing Region

Selected State/Tribe-Defined Designated Uses for Surface Water					
Arizona	Colorado	New Mexico	Utah	Navajo Nation	Hopi Tribe**
Agriculture	Agriculture	Municipal and Domestic Water	Agriculture	Domestic Water Supply	Cold Water Habitat
Aquatic Life Cold Water - Class 1	Aquatic Life Cold Water - Class 1	Fish and Aquatic Biota	Fisheries	Fish Consumption	Warm Water Habitat
Aquatic Life Cold Water - Class 2	Aquatic Life Cold Water - Class 2	Recreation	Industry	Primary Human Contact	Ephemeral
Aquatic Life Warm Water - Class 1	Aquatic Life Warm Water -Class 1	Agricultural uses	Drinking water	Secondary Human Contact	Primary Contact
Aquatic Life Warm Water - Class 1	Aquatic Life Warm Water -Class 1	Industrial Water	Recreation	Agricultural Water Supply	Primary Contact Cenemonial
Domestic Water Source	Domestic Water Source		Scenic value	Aquatic and Wildlife Habitat	Full Body Contact
Recreation Primary Contact	Recreation Primary Contact		Aquatic life other than fish	Livestock Watering	Partial Body Contact
Recreation Secondary Contact	Recreation Secondary Contact		Wildlife		Agricultural Irrigation
			Fish consumption		Fish Consumption
					Ground-Water Recharge
					Industrial Water Supply
					Domestic Water Source

** The Hopi Tribe completed draft water quality standards in 2008 that are currently under review by EPA

Source: U.S. EPA, 2013i

Table 3.5-10. Summary of State CWA Water Quality Assessments – Colorado Plateau Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)					
	Arizona (2008)	Colorado (2010)	New Mexico (2010)	Utah (2010)	Summation for Colorado Plateau Region
Good Waters	1,747.7	48,503.4	2,456.2	7,001.2	59,708.5
Threatened Waters	NA	NA	NA	NA	
Impaired Waters	1,016.6	11,135.5	3,805.9	3,618.5	19,576.5
Total Assessed Waters	2,764.4	59,638.8	6,262.1	10,619.6	79,284.9
Total Waters	90,375.0	107,403.0	110,741.0	85,916.0	394,435.0
Percent of Waters Assessed	3.1	55.5	5.7	12.4	20.1
*Data from EPA ATTAINS database and website. See http://epa.gov/waters/ir/ for additional information.					
** NA = Not Available					

Source: U.S. EPA, 2012c

3.5.5.2.3 Hyperlinks to Integrated CWA Reports – Colorado Plateau Coal Region

The following links provide additional detail on water quality in the Colorado Plateau region.

State	Hyperlink
Arizona	http://www.azdeq.gov/environ/water/assessment/assess2012_2014.html
Colorado	https://www.colorado.gov/pacific/cdphe/wqcc-reports-and-plans
New Mexico	http://www.nmenv.state.nm.us/nav_water.html
Utah	http://www.waterquality.utah.gov/WQAssess/currentIR.htm

3.5.5.2.4 Water Usage

Based on 2010 USGS data, water resources in this region are predominantly used for agriculture (85 percent), with 12 percent for public supply, just over one percent for thermoelectric use, and less than one percent each for domestic, industrial/commercial, and mining. The total water usage for the year 2010 was 24,357 MGD (Maupin et al. 2014).

Approximately 75 percent of groundwater withdrawals are associated with agricultural operations. Another 19 percent of groundwater is withdrawn by public water suppliers. Only approximately two percent of groundwater is withdrawn by domestic self-suppliers. The primary aquifer system and source of groundwater in this region are the Colorado Plateaus aquifers. The most productive water yielding

aquifers within this system are the Uinta-Animas aquifer, the Mesaverde aquifer, the Dakota-Glen Canyon aquifer system, and the Coconino-De Chelly aquifer (Robson and Banta, 1995). Water recharge to aquifers in this region generally occurs in upland areas, which receive more precipitation than the lower elevation areas (Maupin et al. 2014).

Within the Colorado Plateau Basin, a widespread water table decline has not been identified, but isolated areas of 40-foot water table declines have been identified (Reilly, et al., 2008). This would indicate that, for the most part, stress on the aquifer is confined to isolated areas and is not widespread.

Public water suppliers obtain 57 percent of their withdrawals from surface water (Maupin et al. 2014). Surface water is generally not used for private domestic purposes in the region (USGS, 2010b).

A review of USGS water use data for the years 1985 to 2010 indicates that the total share of the population supplied by a public water supplier is increasing. In contrast, the self-supply population is relatively unchanged, but has decreased as a share of total population (see Table 3.5-11). In 2010, there was an estimated regional domestic self-supply population of about 0.9 million, 5 percent of the total regional population (Maupin et al. 2014). This self-supply population relies primarily on private wells for their water supply. Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply.

Table 3.5-11. Summary of Domestic Water Supply by Population (thousands/percent of total) – Colorado Plateau

Year	Self-Supply Population	Public Supply Population
2010	884 (5%)	15,360 (95%)
2005	408 (13%)	2,710 (87%)
2000	NA	NA
1995	396 (16%)	2,056 (84%)
1990	373 (17%)	1,792 (83%)
1985	406 (20%)	1,629 (80%)

Source: Derived from Maupin et al, 2014, USGS 2010a, USGS 2010b

3.5.5.3 Gulf Coast Coal-Producing Region

3.5.5.3.1 Groundwater

The Gulf Coast coal region consists of lignite fields that spread eastward from southern Texas through the coal-producing areas of Louisiana and Mississippi. Extending into southern Alabama, the field significantly diminishes in central Georgia and the Florida panhandle. The lignite field also extends northward up the Mississippi River embayment area to include much of eastern Arkansas, southeastern Missouri, and parts of westernmost Kentucky and Tennessee. Although lignite is present in all of the states included in this region, it is only mined in Texas, Louisiana, and Mississippi. For this reason, the following discussion focuses on the lignite-mining portions of these three states.

The Gulf coastal area extending from Texas eastward into Florida and north along the Atlantic coast comprises the Coastal Plain physiographic province. In Texas, the lignite fields of economic importance are located in the West Gulf Coastal Plain (Interior Coastal Plains) section of this province, which is

characterized by relatively parallel ridges and valleys with geologic strata, consisting predominantly of unconsolidated sands and muds, dipping towards the Gulf of Mexico (Bureau of Economic Geology, 1996). The West Gulf Coastal Plain continues into northwestern Louisiana where unconsolidated deposits consist mainly of sand, gravel, silt, and mud deposits with discreet lenses of lignite that are relatively flat-lying with localized variably-dipping beds (Paleontological Research Institution, 2013; Hayes and Kennedy, 1903). In Mississippi, lignite is mined in the North Central Hills section, which is characterized by ridges and valleys (Stewart, 2003). Deposits in this region consist mainly of sand, clay, and silt with discontinuous lignite layers (USGS, 2010c; Warwick, et al., 1997).

3.5.5.3.1.1 Primary Aquifers

Significant aquifers within the mined areas of Texas, Louisiana, and Mississippi are comprised of unconsolidated deposits of deltaic, fluvial, or marine origin. In Texas, the major aquifer within the lignite region belongs to the upper Paleocene Wilcox Group and the lower Eocene Carrizo Formation of the Claiborne Group (Tertiary Period). This aquifer is contained within the Texas coastal uplands aquifer system along with several minor aquifers and confining layers (Ryder, 1996). The most widespread groundwater structure underlying Louisiana and Mississippi is the Mississippi embayment aquifer system (Renken, 1998).

3.5.5.3.1.2 Unconsolidated Aquifers

3.5.5.3.1.3 Texas Coastal Uplands Aquifer System

The Texas coastal uplands aquifer system underlies all or parts of 60 counties (about 48,000 square miles) in south and southeastern Texas. This system contains both aquifers and confining layers and is located stratigraphically in proximity to the major lignite-producing intervals of the Jackson, Claiborne, and Wilcox Groups (Ryder, 1996).

There are four major aquifers and two confining layers constituting the Texas coastal uplands aquifer system. In descending order, the aquifers include the Upper Claiborne, Middle Claiborne, Lower Claiborne-Upper Wilcox, and Middle Wilcox. The two confining layers, the Middle Claiborne and the Lower Claiborne, are located above and below the Middle Claiborne aquifer, respectively (Ryder, 1996).

Of the four aquifers listed above, the Lower Claiborne-Upper Wilcox (Carrizo-Wilcox aquifer) is the most widely used aquifer in Texas (Ryder, 1996). Its distribution is widespread, extending from southern Texas northeastward into Arkansas and Louisiana. The Carrizo-Wilcox provides water in all or parts of 60 counties in Texas and is a major source of water in northwestern Louisiana and southern Arkansas (Ashworth and Hopkins, 1995). The thickness of the freshwater sands in the Carrizo-Wilcox is variable, with a maximum thickness of nearly 3,000 feet (Ryder, 1996). In addition to its hydrologic significance, the Carrizo-Wilcox is located stratigraphically in proximity to economically important lignite seams.

Well yields from the Carrizo-Wilcox typically range from 500 to 3,000 gallons per minute with irrigation and municipal withdrawals accounting for the majority of usage, especially in Texas (Ashworth and Hopkins, 1995). Recharge occurs predominantly via infiltration of precipitation through overlying material or direct infiltration at outcrop areas. Conditions in the aquifer range from unconfined in outcrop regions, to confined in down-dip areas when the unit is overlain by low-permeability material (Ryder, 1996).

As a result of heavy usage for irrigation and municipal purposes, many areas of Texas are experiencing significant declines in water levels in the Carrizo-Wilcox aquifer. Over the past 70 years, levels have dropped as much as 500 feet in some areas.

3.5.5.3.1.4 Mississippi Embayment Aquifer System

The Mississippi embayment aquifer system is an important source of fresh water in parts of Arkansas, Mississippi, and Louisiana. Within this system, there are six distinct aquifers comprised mostly of weakly consolidated to unconsolidated sand, silts, and clays. The upper four aquifers (Upper Claiborne, Middle Claiborne, Lower Claiborne-Upper Wilcox, and Middle Wilcox) are comparable to the four major aquifers discussed above in the Texas coastal uplands aquifer system. Likewise, the upper two confining layers, the Middle Claiborne and the Lower Claiborne (located stratigraphically above and below the Middle Claiborne aquifer, respectively), are comparable to those located in Texas. Below the Middle Wilcox aquifer, the Mississippi embayment system contains two additional aquifers and one confining layer as compared to the Texas coastal uplands. In descending order, these units include the Lower Wilcox aquifer, the Midway confining layer, and the McNairy-Nacatoch aquifer (Renken, 1998).

Sediments comprising the embayment system are thinnest along the margins of the basin and progressively thicken to more than 6,000 feet towards the axis. The greatest thickness occurs in south-central Louisiana and southwestern Mississippi. Several of the upper aquifers (Upper and Middle Claiborne and Lower Claiborne-Upper Wilcox) become less permeable and progressively thin southwards until the units disappear, while some of the confining layers become more permeable and thin northwards. In some areas, the aquifer system is hydraulically connected to the Mississippi River Valley alluvial aquifer (Renken, 1998).

The Middle Claiborne is the most heavily used aquifer within the Mississippi embayment system. Well yields in Louisiana and Mississippi typically range from 100 to 300 gallons per minute with higher yields reported in Arkansas (300 to 1,000 gallons per minute). In parts of extreme northern Mississippi and eastern Arkansas, the Lower Claiborne confining layer is absent, allowing the Middle Claiborne and Lower Claiborne-Upper Wilcox aquifers to merge, producing well yields up to 2,000 gallons per minute (Renken, 1998). The combined unit is locally referred to as the Memphis aquifer.

Recharge to the Mississippi embayment system occurs predominantly via infiltration of precipitation through overlying material or direct infiltration at outcrop areas. Groundwater flow is generally from recharge areas at higher elevations to lower, more flat-lying regions of the Mississippi Alluvial Plain. Water levels in the Middle Claiborne have declined as much as 100 feet due to large withdrawals in southern Arkansas and northern Louisiana (Renken, 1998).

Figure 3.5-6 illustrates the general extent of the various aquifer types in the Gulf Coast Coal-Producing region.

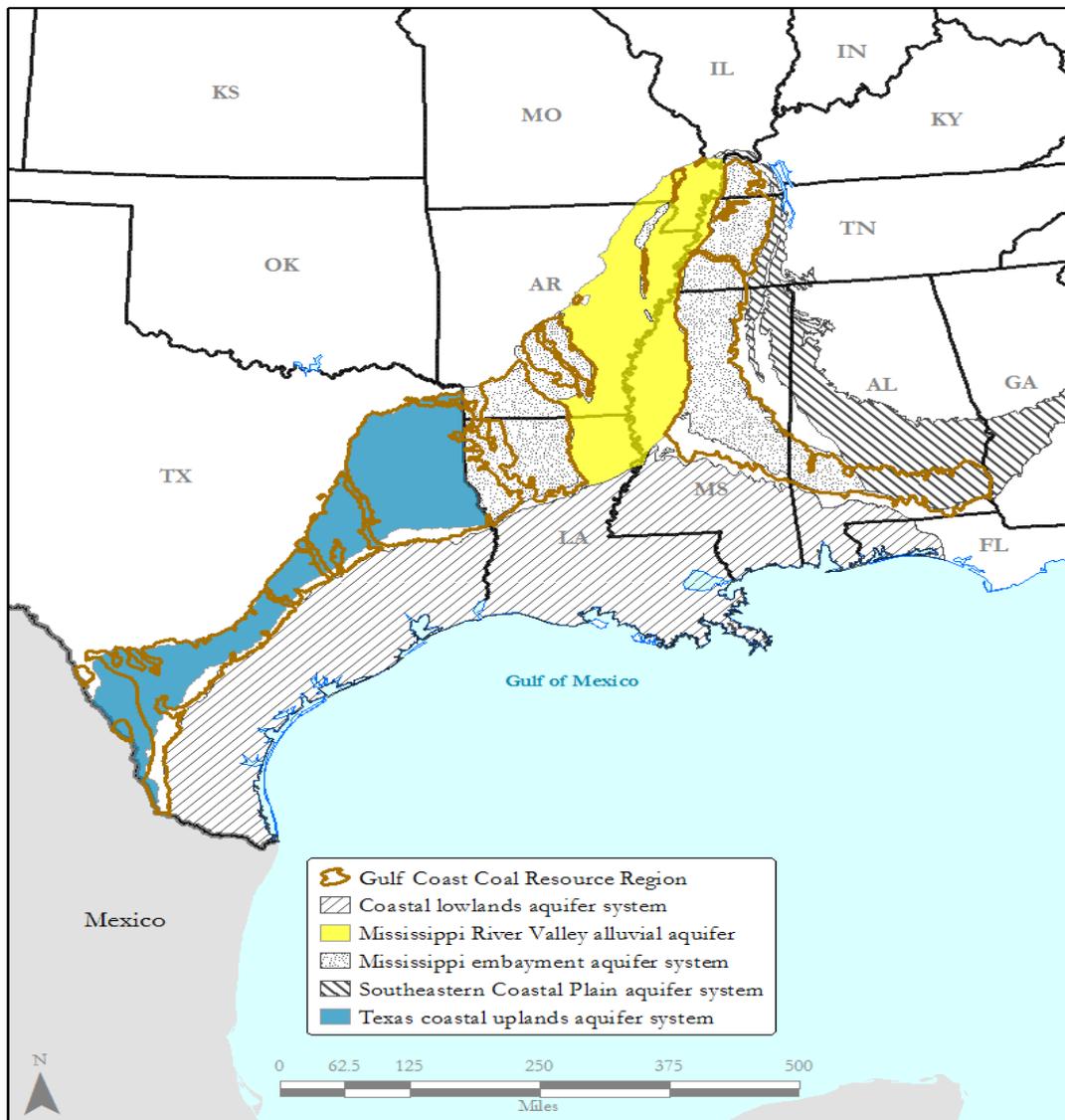
3.5.5.3.1.5 Groundwater Quality

Water quality in the widely used Carrizo-Wilcox aquifer typically ranges from fresh to slightly saline with many areas exhibiting dissolved solid concentrations less than 500 milligrams per liter (Ryder, 1996). Although the water is typically harder at recharge zones, the dissolved solid concentrations are lower relative to those in downdip regions. The aquifer may contain hydrogen sulfide and methane in

limited areas, and elevated levels of iron are common in the northeastern region. In southwestern Texas, the aquifer may be contaminated with oil field brines as a result of local activities associated with petroleum-related exploration and processing (Ashworth and Hopkins, 1995).

Water within the Middle Claiborne aquifer is also relatively fresh in over half of its areal extent, with dissolved solids measuring less than 500 milligrams per liter. The dissolved solid concentration increases in east-central Louisiana where the aquifer is present in the vicinity of the Mississippi River. The water quality also degrades with depth, with dissolved solids increasing to 10,000 milligrams per liter or more (Renken, 1998).

Figure 3.5-6. Gulf Coast Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

3.5.5.3.2 Surface Water

The lower Mississippi River, Red River, and Brazos River comprise the major drainage basins within the lignite fields of the Gulf Coast coal region. The largest of these three, the lower Mississippi River basin, drains 101,324 square miles from the confluence of the Mississippi and Ohio Rivers in Illinois to the Gulf of Mexico (Turnipseed and Storm, 2003). The Red River drains 93,200 square miles mostly in Texas and Oklahoma, with lesser acreage in Arkansas and Louisiana (Kammerer, 1990). The Red River is a major tributary to the Mississippi River and joins the Mississippi along the east-central border of Louisiana. The Brazos River drains 45,600 square miles predominantly in Texas, with minor contributions from New Mexico (Kammerer, 1990). The Brazos River discharges into the Gulf of Mexico at Freeport, Texas.

3.5.5.3.2.1 *Stream Morphology*

Streams within the Gulf Coast coal region exist within the Coastal Plain physiographic province. In Texas, the lignite fields of economic importance are located mostly in the West Gulf Coastal Plain (Interior Coastal Plains) section of this province and are characterized by relatively parallel ridges and valleys, with geologic strata consisting predominantly of unconsolidated sands and muds, dipping towards the Gulf of Mexico. The West Gulf Coastal Plain continues into northwestern Louisiana where unconsolidated deposits consist mainly of sand, gravel, silt, and mud deposits. Discreet lenses of lignite are relatively flat-lying with localized variably-dipping beds. Elevations in the coal mining areas range from 80 to 1,350 feet, with local relief approximately zero to 500 feet (Orme, 2002).

Topography of the East Coastal Plains is widely varied, with areas of rounded, eroded hills, cuestas, and nearly featureless plains (Neilson, 2007). In Mississippi, lignite is mined in the North Central Hills section, characterized by moderately dissected uplands and wide flat areas in major stream drainages. Deposits in this region consist mainly of sand, clay, and silt with discontinuous lignite layers.

Other than in the steeper headwater areas, the characteristic stream form in the Gulf Coast region consist of intermittent and perennial streams of Rosgen “C” type. These streams exist in areas of low relief within well-developed floodplains. They are generally described as wide and shallow, exhibiting high width/depth ratios (>12). Typical in-stream features include alternating riffles and pools, runs, glides and characteristic point bars within the active channel. They are representative of the classic sinuous meandering stream where the degree of lateral migration achieved through aggradation and degradation processes varies according to the erodibility of bank materials, abundance of riparian vegetation, upstream watershed conditions, and flow and sediment regime.

Streams of Rosgen type “E” and “F” also persist and are distinguished from Rosgen “C” types by their relative degree of entrenchment, width-to-depth ratio and sinuosity. Streams of Rosgen “E” type demonstrate higher sinuosity and lower width to depth ratios (narrow and deep) than the “C” type. Rosgen “F” types are distinguished by their moderately to highly entrenched (incised) steam profile, with little to no developed floodplain. Ephemeral streams are also widespread across the Gulf Coast coal region.

3.5.5.3.2.2 *Surface Water Quantity / Stream Regime*

In this part of the country, the majority of precipitation occurs in the form of rain as moisture moves in from the Gulf of Mexico. The southern coastal parts of Texas, Louisiana, and Mississippi generally

experience greater rainfall amounts than the more inland areas. On average, precipitation amounts are greater in Louisiana and Mississippi as compared to Texas, with Louisiana ranking as the second wettest state in the country and Mississippi ranking third (Baker, 2012). Rainfall occurs year-round and can be extreme as hurricanes move inland from the Gulf of Mexico. Historically, more Atlantic hurricanes occur in the fall (NOAA, 2009).

Streams located in areas with sufficient topographic relief generally have headwaters in upland areas with relatively steep slopes and gradients that become more gentle as the streams flow downward into wider, flat drainage areas of major streams. As a result, flash floods occurring in the headwaters will generally have peak flows that occur rapidly and then slow as the stream gradient decreases.

In the upland areas of Mississippi, including the active lignite mining area, many of the streams have been modified (dredging, dam construction, etc.) to help alleviate the effects of flooding (Wilson and Turnipseed, 1989). These modifications have resulted in channel and bank instability in many of the streams (Wilson and Turnipseed, 1989). In addition, streams have also been channelized for agricultural purposes. To better understand and enable flood estimations, the USGS conducted a flood study and estimated flood magnitudes for recurrence intervals from two to 500 years for 330 gaged sites in Mississippi (Landers and Wilson, 1991). Most of the streams located in the upland area eventually drain into the Mississippi River.

Flooding is also relatively common along the Red River and many of its tributaries in the lignite mining areas of northwestern Louisiana and eastern Texas. Both historical and more recent flood events have been documented as a result of heavy rainfall from thunderstorms and tropical systems that have moved inland from the Gulf of Mexico (LakeBistineau.com, 2011).

Much of the lignite mining region in southeastern Texas is drained by the Brazos River. This river is the longest in the state, encompassing about 16 percent of the land area (Wurbs, et al., 1993). Although precipitation generally occurs throughout the year, droughts do occur. Texas ranks 35th in precipitation for the continental U. S. (Baker, 2012). Peak discharges for waterways within the Brazos river drainage basin generally occur in late spring or early fall (Raines, 1998).

The Brazos is a meandering river with many associated oxbow lakes (Wurbs, et al., 1993). The river has many monitoring gages as well as reservoirs for water storage (Wurbs, et al., 1993). Reservoirs are also common along many of its tributaries (Raines, 1998). Within the Brazos River basin, severe flooding has occurred, resulting in the loss of life and personal property (Phillips, 2006; Raines, 1998). Minor flooding along the river and its tributaries is common and generally occurs annually. As a result, the USGS conducted an extensive study and developed regionally specific regression equations for estimating peak flow frequency for varied recurrence intervals (Raines, 1998). These equations were developed for natural streams, defined as "...a stream for which the annual peak discharges are not affected by reservoirs, regulation, diversions, urbanization, or any other human-related activity" (Raines, 1998). USGS also developed extreme peak discharge curves to estimate extreme flood potential. These tools supply valuable insight for water-resource planning and management (Raines, 1998).

3.5.5.3.2.3 Surface Water Quality

Table 3.5-12 lists the designated use to classify and protect the surface waters in the Gulf Coast region. State water quality assessments provide insight into the health of the region's surface waters. The states

within the Gulf Coast region have a low percentage of their surface waters assessed. Table 3.5-13 shows that Louisiana has the highest percentage of streams assessed at 14.3 percent while Mississippi has the least at 4.6 percent. About 76 percent of the waters assessed in Louisiana are not achieving their designated use (“impaired waters”). Texas had the lowest percentage of stream impairment at 43 percent. Texas had the most stream miles impaired (10,320.7) and Mississippi had the least (2,182.8). In terms of number of stream miles achieving their designated use, Texas contains the most (13,225.7 miles) and Louisiana has the least (2,305.2 miles).

Overall, the Gulf Coast region contains 341,525 miles of streams of which only 36,883.3 have been assessed. Approximately 17,201.5 of the 36,883.3 stream miles that have been assessed (47 percent) are achieving their designated use, while 19,681.8 (53 percent) are considered impaired. This reflects the impact of all pollution sources and is not limited to mining-related impairments.

Table 3.5-12. Selected State-Defined Designated Use – Gulf Coast Coal-Producing Region

Selected State-Defined Designated Uses for Surface Water		
Louisiana	Mississippi	Texas
Agriculture	Public Water Supply	Recreation - Contact
Drinking Water Supply	Recreation	Recreation - Noncontact
Fish and wildlife propagation	Shellfish Harvesting	Domestic water supply - Aquifer protection
Outstanding natural resource waters	Fish and Wildlife	Domestic water supply - Public water supply
Oyster propagation	Ephemeral	Aquatic Life
Primary contact recreation		Navigation
Secondary contact recreation		Agricultural water supply
		Industrial water supply
		Seagrass propagation
		Wetland water quality

Source: U.S. EPA, 2013i

Table 3.5-13. Summary of State CWA Water Quality Assessments – Gulf Coast Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)				
	Louisiana (2010)	Mississippi (2010)	Texas (2010)	Summation for Gulf Coast Region
Good Waters	2,305.2	1,670.6	13,225.7	17,201.5
Threatened Waters	NA	NA	NA	
Impaired Waters	7,178.3	2,182.8	10,320.7	19,681.8
Total Assessed Waters	9,483.5	3,853.4	23,546.4	36,883.3
Total Waters	66,294.0	84,003.0	191,228.0	341,525.0
Percent of Waters Assessed	14.3	4.6	12.3	10.8
*Data from EPA ATTAINS database and website. See http://epa.gov/waters/ir/ for additional information.				
** NA = Not Available				

Source: U.S. EPA, 2012c

3.5.5.3.3 Hyperlinks to Integrated CWA Reports – Gulf Coast Coal Region

The following links provide additional detail on water quality in the Gulf Coast region.

State	Hyperlink
Louisiana	http://www.deq.louisiana.gov/portal/DIVISIONS/WaterPermits/WaterQualityStandardsAssessment/WaterQualityInventorySection305b/2010WaterQualityIntegratedReport.aspx
Mississippi	http://www.deq.state.ms.us/MDEQ.nsf/page/FS_SurfaceWaterQualityAssessments?OpenDocument
Texas	http://www.tceq.texas.gov/waterquality/assessment/305_303.html

3.5.5.3.4 Water Usage

Based on 2010 USGS data, water resources in this region are used for agriculture and thermoelectric (93.9 and 45.2 percent respectively). Other water uses include 9.6 percent public supply, five percent industrial/commercial, less than one percent domestic, and 0.3 percent for mining. The total water usage for the year 2010 was 35,143 MGD (Maupin, et al. 2014).

Agricultural operations use about 74 percent of groundwater withdrawn in this region. Only three percent of the groundwater is withdrawn for domestic purposes (i.e., private residential wells).

Thermoelectric facilities account for about 65 percent of surface water withdrawals. Approximately 14 percent of surface water withdrawn is used by agriculture. Fourteen percent is used by public supply and no surface water in the region is utilized for private domestic purposes (Maupin et al., 2014).

According to 2010 USGS data, 60 percent of total drinking water withdrawals are from surface water sources. Public water utilities obtain 64 percent of their withdrawals from surface water sources (Maupin et al., 2014). As of 2005, domestic (private, self-supplied) withdrawals had increased 85 percent since 1985, and public water supply withdrawals had increased 26 percent, indicating that regional drinking water demand is increasing (USGS, 2010b).

A review of USGS water use data for the years 1985 to 2010 indicates that the split between public and private supplies has remained relatively unchanged (see Table 3.5-14). In 2010, there was an estimated regional domestic self-supply population of nearly 3.4 million, 11 percent of the total regional population. This self-supply population relies primarily on private wells for water. Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply.

Table 3.5-14. Summary of Domestic Water Supply Population (thousands/percent of total) – Gulf Coast Coal-Producing Region

Year	Self-Supply Population	Public Supply Population
2010	3,474 (11%)	29,170 (89%)
2005	2,553 (13%)	17,580 (87%)
2000	NA	NA
1995	2,039 (12%)	15,576 (88%)
1990	1,935 (12%)	14,585 (88%)
1985	2,027 (12%)	14,318 (88%)

Source: Derived from Maupin et al. 2014, USGS 2010a, USGS 2010b

3.5.5.4 Illinois Basin Coal-Producing Region

3.5.5.4.1 Groundwater

The Illinois Basin is a spoon-shaped structural depression underlying most of Illinois, parts of southwestern Indiana, and parts of western Kentucky. The basin measures nearly 53,000 square miles and trends north/northwest to south/southeast. At its greatest depth, the basin contains nearly 15,000 feet of sedimentary rocks (Lloyd and Lyke, 1995).

The majority of the Illinois Basin is located in the Central Lowland physiographic province. This province consists of areas that have experienced extensive glaciation during the Pleistocene Epoch resulting in the surficial landscape seen today. The Central Lowland is "...characterized by a low-relief surface formed by glacial till, outwash plains, and glacial-lake plains. Long, low, arcuate ridges, which were formed by recessional moraines and generally are concave to the north, are common features on

these plains. The glacial deposits composing the ridges and plains have completely buried the pre-glacial topographic features” (Lloyd and Lyke, 1995).

The remaining portion of the Illinois Basin includes extreme southern Illinois and parts of southern Indiana and northwestern Kentucky and is part of the Shawnee Hills Section of the Interior Low Plateau province. “This Section is part of the Interior Low Plateaus geomorphic province. Extensive sandstone bluffs, cuervas, rise up to 100 feet (30 meters) above the terrain in front of them and dip gently down the back slope. Other landforms include steep-sided ridges and hills, gentler hills and broader valleys, karst terrain, gently rolling lowland plains, and bottom lands along major rivers, with associated terraces and meander scars. A notable but very minor landform is anthropogenic lands that have been strip-mined exhibit hummocky or ridge-swale topography” (USFS, 1994).

The surficial, unconsolidated deposits in the Illinois Basin consist of clays, silts, sands and gravels reflecting the glacial history of this region. Consolidated bedrock above the Precambrian basement rock consists mostly of Paleozoic sedimentary units of shale, siltstone, limestone, sandstone, dolomite, and coal deposited during the Cambrian to Pennsylvanian Period. The primary bituminous coal reserves are found within the Pennsylvanian rock which underlies the unconsolidated sediments (Zuehls, et al., 1981; Zuehls, et al., 1984).

Where not cited specifically, the majority of the information contained below was obtained from USGS Water-Resources Investigations Open-File Reports 81-403 (Zuehls, et al., 1981), 81-498 (Wangness, et al., 1981), 82-638 (Quinones, et al., 1983), and 83-544 (Zuehls, et al., 1984).

3.5.5.4.1.1 Primary Aquifers

The most productive aquifers within the Illinois Basin consist of sand and gravel deposits of alluvial and glacial origin. Those found along major waterways or within buried valleys can provide significant volumes of water. The upper Paleozoic strata can also be a source of potable water; however, the yields are much lower and highly variable. Deeper aquifers generally contain groundwater that is not suitable for consumption.

3.5.5.4.1.2 Unconsolidated Aquifers

Unconsolidated sands and gravels are the most productive aquifers in the Illinois Basin. Within the glaciated section of the Basin, these deposits are generally located in glacial drift deposits, in buried valleys, as lenses in till or lacustrine deposits, and along streams and rivers. In the coal fields of western Kentucky, these deposits are present in valleys along the Ohio River and its tributaries. Recharge is generally from direct infiltration of precipitation or seepage from streams.

Wells completed in the Basin’s unconsolidated aquifers can produce water at highly variable rates ranging from a few to hundreds of gallons per minute. This wide range is due to the variability in the thickness, areal extent, composition, and occurrence of the sand and gravel layers. In parts of southern Illinois, the glacial deposits are often thin and limited in extent, resulting in domestic users relying more on bedrock sources for portable water. In contrast, the thickness of sand and gravel in the buried Mahomet Bedrock Valley in north-central Illinois can exceed 100 feet, with potential rates of 500+ gallons per minute. The inconsistencies within the unconsolidated layers also result in discreet and variable groundwater flow in the various layers.

3.5.5.4.1.3 Primary Bedrock Aquifers

The most widely used bedrock source for potable groundwater in the Illinois Basin is the Pennsylvania-age strata immediately underlying the unconsolidated layers discussed above. Sandstone and limestone make up the more prolific Pennsylvanian aquifers, although some areas rely on local coal seams for small quantities of water. The sandstone and limestone units are often found in alternating layers with shale and siltstone. “Sheet-like and channel-fill sandstones at the bases of the sedimentary sequences are some of the most productive aquifers in Pennsylvanian rocks. However, a zone of fractures, joints, and bedding plains commonly occurs in the upper parts of exposed Pennsylvanian rocks, and these openings yield water to wells regardless of rock type” (Lloyd and Lyke, 1995).

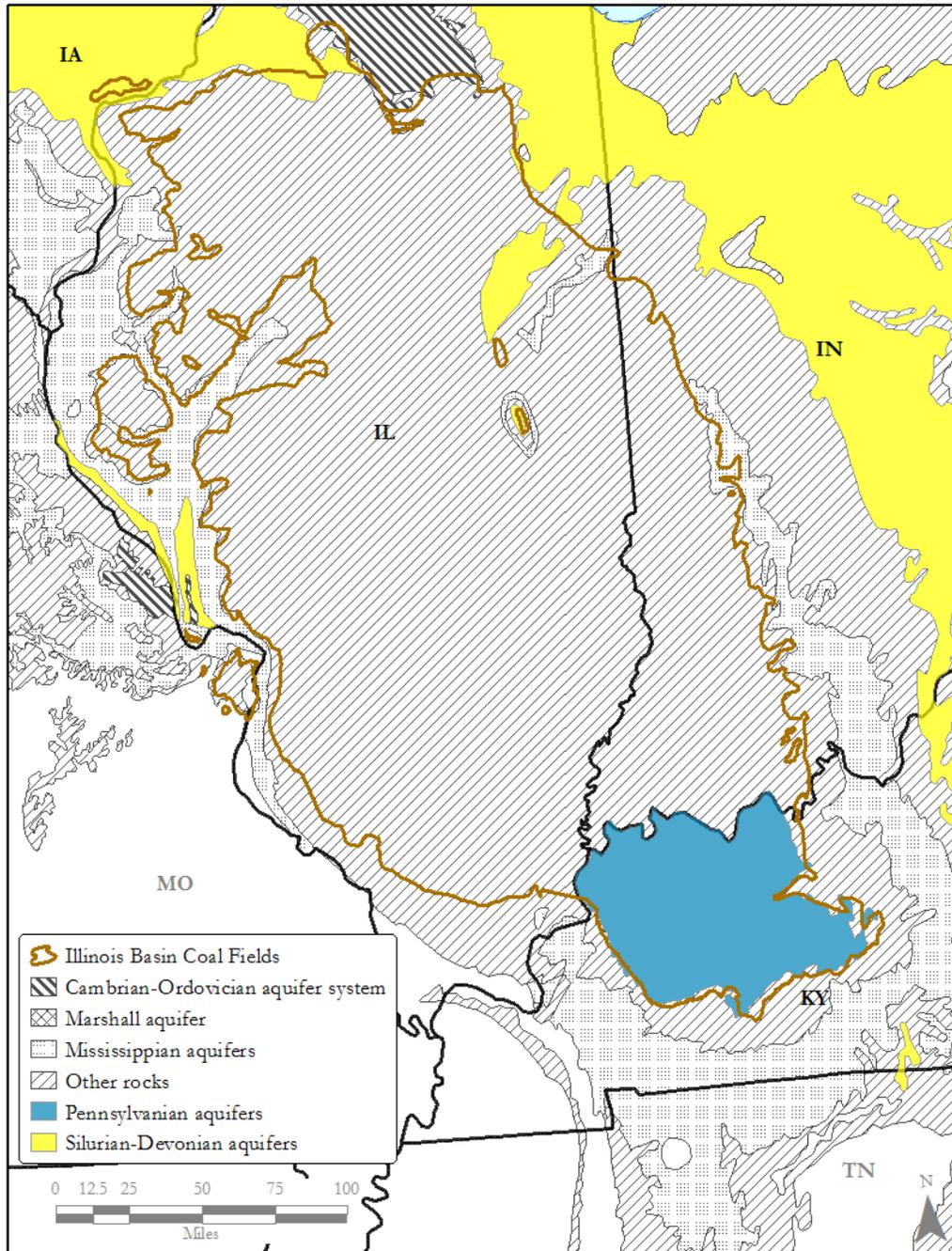
The Pennsylvanian aquifers are present throughout the Illinois Basin, except in limited areas along the western border and in east-central Illinois and southern Indiana where these units have been eroded, exposing Mississippian strata at the ground surface. Although wells have been reported to yield from one to 100 gallons per minute, the average is generally ten gallons per minute (Lloyd and Lyke, 1995).

Due to the presence of low-permeability layers interbedded with the Pennsylvanian water-bearing units, most of the aquifers in this region are under confined conditions. In some areas, artesian conditions may be present resulting in free-flowing wells and the presence of seeps and springs. Groundwater moves along bedding planes and fractures and through solution-enhanced openings within the matrix. The aquifers are recharged from precipitation infiltrating through the overlying material.

In addition to the Pennsylvanian-age bedrock, small quantities of groundwater may be obtained from Mississippian-age limestone and sandstone. These rocks underlie the Pennsylvanian strata in most of the basin, except where erosion has removed the Pennsylvania rock and exposed the underlying Mississippian units. Like the Pennsylvanian strata, Mississippian aquifers consist mainly of limestones (predominantly in the lower portion of the Mississippi strata) and sandstones (predominantly in the upper portion of the Mississippi strata). Because of gradational changes from limestone to shale that occur in an eastwardly direction across Illinois, eastern Illinois and western Indiana have fewer aquifers within the lower Mississippi strata than in the western part of Illinois (Lloyd and Lyke, 1995).

Recharge to the Mississippian-age aquifers is from precipitation infiltrating through the overlying unconsolidated material and Pennsylvanian rocks or direct infiltration at outcrops. Similar to the overlying Pennsylvanian aquifers, aquifers of Mississippian age are reported as having yields from one to 100 gallons per minute with an average of ten gallons per minute. Greater yields are possible when wells are completed in fractured aquifers and those with solution-enhanced cavities. As a result of the great depth to these aquifers in most of the Illinois Basin and the decreasing water quality with depth, Mississippian aquifers accounted for only three percent in Illinois and one percent in Indiana of the total groundwater withdrawn in 1985 (Lloyd and Lyke, 1995). Figure 3.5-7 illustrates the general extent of the aquifers making up the Illinois Basin aquifer system.

Figure 3.5-7. Illinois Basin Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

3.5.5.4.1.4 Groundwater Quality

Water quality in the sand and gravel aquifers is generally suitable for most purposes although some treatment may be required. On average, the water is hard with a neutral pH owing to the presence of bicarbonates. The groundwater may contain elevated levels of iron (greater than 0.3 milligrams per liter) and generally has a median dissolved solid concentration near 500 milligrams per liter. Chloride and sulfate values are generally present at acceptable levels (below 250 milligrams per liter) (Lloyd and Lyke, 1995).

Lloyd and Lyke (1995) state that “The quality of water obtained from the upper parts of the Pennsylvanian aquifers generally is similar throughout the area. However, pronounced water-quality changes occur with depth. Because the water-yielding sandstones and limestones are thin and are interlayered with thin, low-permeability deposits, such as shale and coal, the water withdrawn from these aquifers tends to be a composite water type, which reflects interaction of the groundwater with several rock types that contain different minerals.” Groundwater in the upper sections is moderately hard with an average concentration of 500 milligrams per liter dissolved solids. Dissolved solids increase with depth, owing to higher concentrations of sodium, chloride, fluoride, and bicarbonate (Lloyd and Lyke, 1995; Wangsness, et al., 1983). In some areas, wells constructed to depths of 300 feet or more provide only highly mineralized or saline water (Wangsness, et al., 1983). The depth to poor quality water decreases towards the central portion of the basin. “Near the southern limit of the area, only the upper ten percent of the Pennsylvanian rocks contain freshwater” (Lloyd and Lyke, 1995).

Water quality is also an issue in the deeper Mississippian aquifers. In areas where these aquifers are shallow and beneath unconsolidated sands and gravels or thin layers of Pennsylvanian strata, the water quality is generally acceptable for most purposes. However, as the rock layers become more substantial and deeply buried under thick units of Pennsylvanian rocks, the water quality declines due to a lack of freshwater circulation. This is the case in the central portion of the Illinois Basin, which contains the thickest Pennsylvanian and Mississippian strata (Lloyd and Lyke, 1995).

3.5.5.4.2 Surface Water

The Illinois Basin coal region consists of bituminous reserves underlying most of Illinois, parts of southwestern Indiana, and parts of western Kentucky. These coal fields are located predominantly in the Central Lowland physiographic province and in the Upper Mississippi and Ohio River Basins. The Upper Mississippi River basin drains 189,000 square miles from its source in Itasca, Minnesota to the confluence of the Mississippi and Ohio Rivers in southern Illinois (Upper Mississippi River Basin Association, 2011). The Ohio River basin drains 203,000 square miles along its route from Pennsylvania to southern Illinois (Kammerer, 1990).

3.5.5.4.2.1 Stream Morphology

Streams within the Illinois Basin coal resource area exist within the Central Lowlands and Interior Low Plateaus provinces, which includes the Till Plains and Highland Rim physiographic sections. The surficial geology and dominant landforms significantly influence the types of streams present.

The dominant stream forms in this coal region include intermittent and perennial streams of Rosgen “C” type. These streams exist in areas of low relief within well-developed floodplains. They are generally described as wide and shallow, exhibiting high width/depth ratios (>12). Typical in-stream features

include alternating riffles and pools, runs, glides, and characteristic point-bars within the active channel. They are representative of the classic sinuous meandering stream. Streams of Rosgen type “E” and “F” also persist and are distinguished from Rosgen “C” types by their relative degree of entrenchment, width-to-depth ratio, and sinuosity. Streams of Rosgen “E” type demonstrate higher sinuosity and lower width-to-depth ratios (narrow and deep) than the “C” type. Rosgen “F” types are distinguished by their moderately to highly entrenched (incised) stream profile, with little to no developed floodplain. Ephemeral streams are also widespread across the Illinois Basin.

3.5.5.4.2.2 Surface Water Quantity / Stream Regime

The Illinois Basin region experiences severe weather, including drought conditions (< 13 inches) approximately once every five years. The area also experiences a high frequency of intense, short-duration, warm-season rainstorms. About 50 percent to 70 percent of the annual precipitation is produced by thunderstorms and generally occurs from April through September. Likewise, streamflow in the area generally follows a seasonal pattern. The yearly cycle begins in October, the month of lowest precipitation and lowest streamflow. November has a period of increased streamflow which is maintained through the spring months and into May. Precipitation increases and evapotranspiration decreases, helping maintain streamflow through the winter months before the spring rains cause an increased level of runoff. The low-flow season follows in early June and usually extends into early October. Approximately 75 percent of flooding occurs between January and April (McCabe, 1962).

Mean annual streamflow is dependent upon drainage basin characteristics, including drainage area; soil index and mean annual precipitation; percentage of forest covered area; percentage of area covered by lakes and ponds; mean elevation of drainage area; mean channel slope; and distance of channel from the topographic divide (Sieber, 1970). Regionally specific regression equations used to predict average discharge, peak flow, and channel slope have been developed and demonstrate adequate predictive power. In areas with significant topographic relief, flash floods often occur in headwater streams during spring months. Floods peak slowly at sites with gentle stream gradient and relief, and peak quickly on small streams with steeper stream gradients. Low flows occur after many days of no precipitation or snowmelt and are principally sustained by subsurface contributions in the form of springs and seeps. Many lakes have been constructed in the region to attenuate high streamflows and provide flood control (Zuehls, et al., 1981).

3.5.5.4.2.3 Surface Water Quality

Table 3.5-15 lists the state-defined designated use categories used to classify and protect the surface waters in the Illinois Basin region. The water quality assessments used for the integrated reports help characterize the aquatic health of the region’s surface waters. Table 3.5-16 shows that Indiana has the highest percentage of streams assessed at 67.5 percent, while Illinois has the least at 21.8 percent. About 64 percent of the waters assessed in Kentucky are impaired, while approximately 69 percent of streams in Indiana and 60 percent of streams assessed in Illinois are impaired. Indiana had the most impaired stream miles at 16,654.3 miles, and Kentucky had the least at 6,877.5 miles. Overall, Indiana contains the highest number of stream miles achieving use designations (7,415.7 miles) while Kentucky has the least (3,896.4 miles).

Overall, the Illinois Basin contains 156,172 miles of streams of which only 50,412.9 have been assessed. Approximately 17,539.4 of the 50,412.9 stream miles that have been assessed are achieving their

designated use while 32,873.4 are considered impaired. Stated differently, 35 percent of the streams assessed in the region are achieving their designated use while 65 percent are impaired. This assessment includes all causes of stream impairment and is not limited to mining-related impairments

Table 3.5-15. Selected State-Defined Designated Use – Illinois Basin Coal-Producing Region

Selected State-Defined Designated Uses for Surface Water		
Indiana	Illinois	Kentucky
Contact Recreation	Aquatic Life	Warm water aquatic habitat
Warm water aquatic community	Fish Consumption	Cold water aquatic habitat
Public Water Supply	Indigenous Aquatic Life	Primary contact recreation
Industrial Water Supply	Primary Contact	Secondary contact recreation
Agricultural	Public and Food Processing Water Supply	Domestic water supply
Unusual aquatic habitat	Secondary Contact	Outstanding state resource water
Limited Use	Aesthetic Quality	

Source: U.S. EPA, 2013i

Table 3.5-16. Summary of State CWA Water Quality Assessments – Illinois Basin Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)				
	Illinois (2008)	Indiana (2010)	Kentucky (2010)	Summation for Illinois Basin Region
Good Waters	6,227.3	7,415.7	3,896.4	17,539.4
Threatened Waters	NA	NA	NA	
Impaired Waters	9,341.6	16,654.3	6,877.5	32,873.4
Total Assessed Waters	15,568.9	24,070.1	10,773.9	50,412.9
Total Waters	71,394.0	35,673.0	49,105.0	156,172.0
Percent of Waters Assessed	21.8	67.5	21.9	32.3
*Data from EPA ATAINS database and website. See http://epa.gov/waters/ir/ for additional information.				
** NA = Not Available				

Source: U.S. EPA, 2012c

3.5.5.4.3 Hyperlinks to Integrated CWA Reports – Illinois Basin Coal Region

The following links provide additional detail on water quality in the Illinois Basin region.

State	Hyperlink
Illinois	http://www.epa.state.il.us/water/water-quality/
Indiana	http://www.in.gov/idem/nps/2639.htm
Kentucky	http://water.ky.gov/waterquality/Pages/IntegratedReport.aspx

3.5.5.4.4 Water Usage

Approximately 75 percent of the water resources in this region are used for thermoelectric applications, 11 percent for public supply as well as 11 percent for industrial/commercial, two percent for agriculture, and one percent or less for domestic wells and mining. The total water usage for the year 2010 was 26,023 MGD (Maupin et al., 2014).

Agricultural operations use about 23 percent of groundwater withdrawn in the region. Approximately 46 percent of groundwater withdrawals go to public supply utilities. Only 0.1 percent of groundwater withdrawals are associated with private, self-supply wells (Maupin et al., 2014).

Approximately 80 percent of surface water withdrawn is used by thermoelectric facilities. Public suppliers withdraw about eight percent of surface water. No surface water is used by private self-suppliers of domestic water (Maupin et al., 2014).

According to 2010 USGS data, 66 percent of total drinking water withdrawals are from surface water sources. Public water supply operations obtain 71 percent of their water from surface sources (Maupin et al., 2014). As of 2005, domestic water withdrawals had decreased 12 percent from 1985, and public water supply withdrawals had increased 79 percent, indicating that regional drinking water demand is increasing on net (USGS, 2010b).

In 2010, the domestic self-supply population was nearly 3.5 million, 15 percent of the total regional population. This self-supply population relies primarily on private wells (nearly all domestic water is supplied from groundwater) (Maupin et al., 2014). Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply (Table 3.5-17).

**Table 3.5-17. Summary of Domestic Water Supply by Population
 (thousands/percent of total) –
 Illinois Basin Coal-Producing Region**

Year	Self-Supply Population	Public Supply Population
2010	3,484 (15%)	20,210 (85%)
2005	1,058 (14%)	6,424 (86%)
2000	1,268 (17%)	5,399 (74%)
1995	1,364 (19%)	5,720 (81%)
1990	1,275 (18%)	5,686 (82%)
1985	1,302 (18%)	5,799 (82%)

Source: Derived from Maupin et al., 2014, USGS 2010a, USGS 2010b

3.5.5.5 Northern Rocky Mountains and Great Plains Coal-Producing Region

3.5.5.5.1 Groundwater

The majority of the mineable coal in the Northern Rocky Mountains and Great Plains Coal region is within the Tertiary and Cretaceous age deposits of the Powder River Basin, Williston Basin, Bull Mountain Basin, and the Green River Basin. Information for groundwater characterization of the Northern Rocky Mountains and Great Plains Coal region was largely derived from USGS summary reports developed to support Environmental Assessments and Impact Study Reports (Crosby and Klausung, 1983; Slagle et al., 1986; Lowry et al., 1986; Lowham et al., 1985).

3.5.5.5.1.1 Primary Aquifers

The Powder River Basin is largely located in northeast Wyoming and extends into southeastern Montana. According to Lewis and Hotchkiss (1981), the shallow aquifer system of the Powder River Basin is comprised of five hydrogeologic units above the regionally persistent Upper Cretaceous shale aquitard. The Williston Basin is a geologic structural basin extending north-south approximately 475 miles, and 300 miles east-west. The Williston Basin is present over the western two-thirds of North Dakota, northeastern Montana, and into Saskatchewan, Canada. The Bull Mountain Basin is located north of Billings, Montana, in south-central Montana in an asymmetrical syncline with beds that dip generally less than five degrees. The Bull Mountain Basin covers an area of approximately 750 square miles. The Green River coal area covers approximately 15,400 square miles mostly in southwestern Wyoming with some area extending into northwestern Colorado. The mineable coal beds of these four primary basins are predominantly in the Tertiary Fort Union Formation.

3.5.5.5.1.2 Unconsolidated Aquifers

Unconsolidated-deposit aquifers are composed of sand and gravel deposited as alluvium along streams as thin, narrow bands. The material is from alpine mountain glacial outwash transported and deposited by streams as alluvium during the Quaternary Period. In some valleys, the basin-fill alluvial deposits contain glacial outwash and other types of deposits that resulted from alpine glaciations. Clayey lake-bed deposits form confining units in some basins. The thickness of the unconsolidated-deposit aquifers is unknown in most basins because no wells totally penetrate the aquifers, but may be as much as 900 feet in some basins. Basin-fill deposits typically are coarse grained near basin margins and finer-grained toward

basin centers. Sand and gravel making up alluvial deposits and glacial outwash generally are extremely permeable, whereas fine-grained lake deposits and poorly sorted till have minimal permeability and commonly form local confining units (Whitehead, 1996).

3.5.5.5.1.3 Primary Bedrock Aquifers

The Upper Tertiary aquifers are mostly comprised of unconsolidated to semi-consolidated Pliocene age and Eocene age sand and gravel, commonly interbedded with deposits of clay and silt. The upper Tertiary aquifers consist of broad, extensive alluvium deposited as overlapping and coalescing alluvial fans by streams entering the basins from the surrounding mountains. The source of the alluvium was mostly derived from the Middle Rocky Mountains. The upper Tertiary aquifers are part of the High Plains aquifer system, which is as much as 1,000 feet thick in southeastern Wyoming. The hydraulic conductivity of the upper Tertiary aquifers is variable due to the sorting and grain size distribution of the deposits composing the aquifers. Highest hydraulically conductive aquifers consist primarily of sand and gravel, and hydraulic conductivity decreases as clay content increases (Whitehead, 1996).

Lower Tertiary aquifers consist primarily of semi-consolidated to consolidated sandstone beds. Water-yielding sandstones are interbedded with shale, mudstone, siltstone, lignite, and coal. Some coal beds yield water, particularly if the coal is fractured or contains clinker zones of partially burned coal. Most of the lower Tertiary rocks were deposited in continental environments, but some of the shale and limestone beds were deposited in a marine environment and form confining units. Lower Tertiary aquifers in eastern Montana, western North Dakota, and northeastern Wyoming consist mostly of sandstone beds in the Fort Union Formation. The lower Tertiary aquifers in this area are down-warped into the Williston and the Powder River Basins and consist of parts of the uppermost consolidated-rock formations in these basins. Lower Tertiary rocks generally are less than 1,000 feet thick in the Williston Basin, but not all these rocks yield water. The rocks composing the lower Tertiary aquifers contain more shale in their eastern parts than elsewhere, and the transmissivity of the aquifers, therefore, decreases to the east. The hydraulic conductivity of the lower Tertiary aquifers is variable and dependent on the amount of interconnected pore space in the sandstone beds composing the aquifers. Thick coal seams, which are interbedded with sandstone or with fine-grained sediments, also can have joints and bedding planes that store and transmit water (Whitehead, 1996).

The upper Cretaceous aquifers are mostly comprised of consolidated sandstone beds. The sandstone is interbedded with shale, siltstone, and occasional thin, lenticular beds of coal. Upper Cretaceous aquifers crop out mostly around the edges of the Williston and the Powder River Basins, but are exposed in smaller areas along the margins of the Green River, the Great Divide, the Hanna, the Wind River, and the Bighorn Basins. The aquifers are down-warped and faulted to depths of several thousand feet in these basins but contain mostly saline water in their deeper parts. The principal water-yielding formations are the Hell Creek Formation and the Fox Hills Sandstone. In western Wyoming, some water is obtained from the Lance Formation, and some from the deeper Mesaverde Formation. The upper Cretaceous Pierre Shale is a major confining unit and separates deeper aquifers (Whitehead, 1996).

Formations of consolidated sandstone compose the lower Cretaceous aquifers. Lower Cretaceous aquifers are exposed at the land surface mostly as exposed bands in uplifted areas. Recharge predominantly occurs at surface outcrop areas. In Montana, North Dakota, and Wyoming, the Muddy Sandstone and equivalent water-yielding rocks overlie the Skull Creek Shale and are equivalent to the

Newcastle Sandstone. Sandstones equivalent to the Inyan Kara Group in North Dakota are part of the Kootenai Formation in central and western Montana. The Cloverly Formation in Wyoming, which is equivalent to the Dakota Sandstone, is an important aquifer. The sandstones of the Dakota aquifer receive some recharge at high altitudes and some by upward leakage from deeper aquifers. The water in the aquifer is under high artesian pressure. During development of the Dakota aquifer in the late 19th century, many wells completed in the aquifer flowed at the land surface. The rate of flow of some wells was as much as 4,000 gallons per minute. Much of the water was not put to productive use because these wells were allowed to flow continuously causing water levels to decline 700 feet in some places (Whitehead, 1996).

Figure 3.5-8 illustrates the general extent of the aquifers making up the Northern Rocky Mountains and Great Plains aquifer systems associated with coal resource areas.

3.5.5.5.1.4 Groundwater Quality

Groundwater chemistry in the Quaternary aquifers is naturally variable and generally high in mineral content. Calcium, sodium, bicarbonate, and sulfate are the predominant major ions in water from the Quaternary aquifers. Concentrations of total dissolved solids commonly increase with depth, and ranges from 106 to 16,500 mg/L, with a median value of approximately 1,500 mg/L (Crosby and Klausing, 1983; Slagle et al., 1986; Lowry et al., 1986; Lowham et al., 1985).

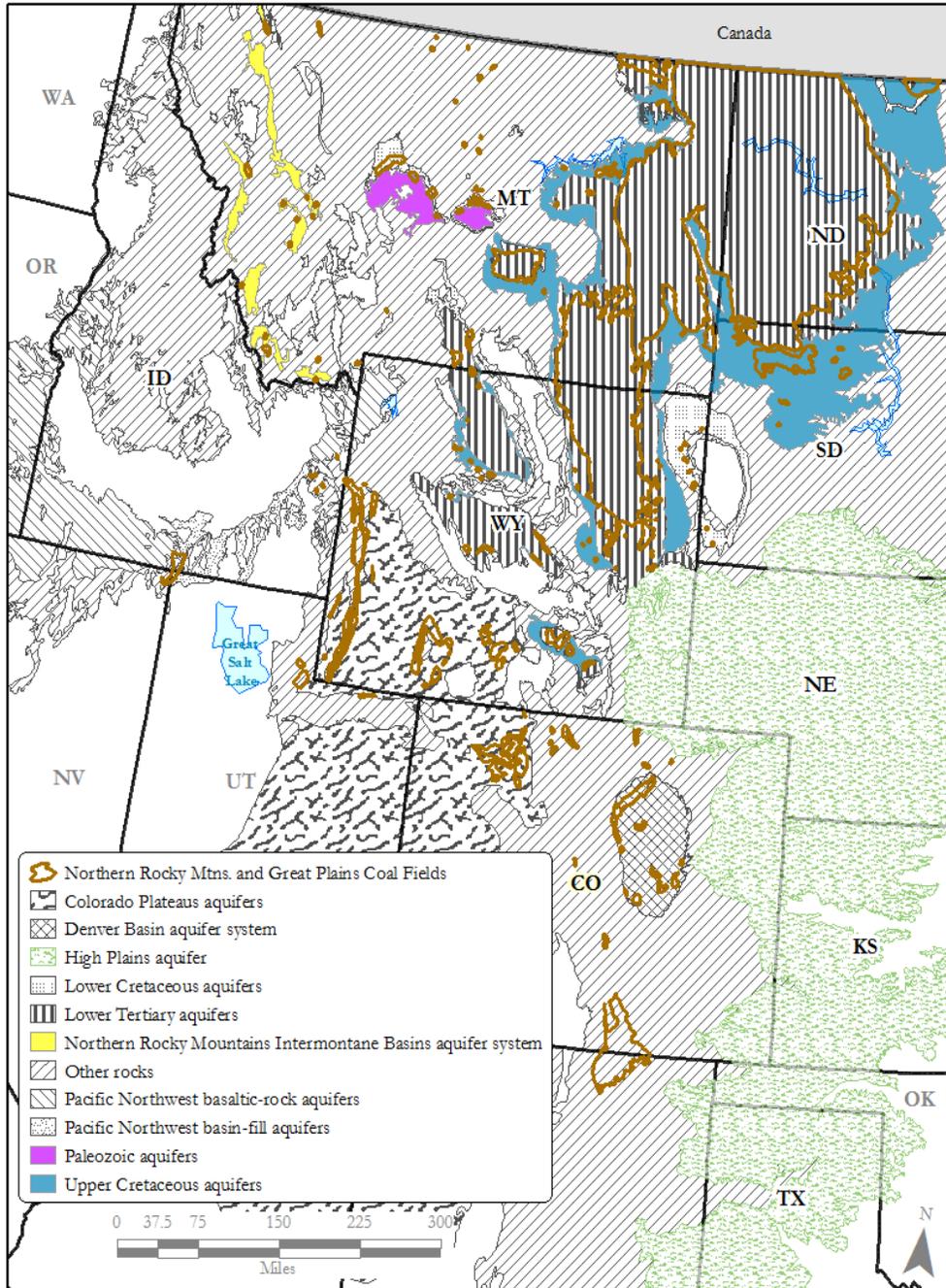
Groundwater chemistry in the Tertiary aquifers is naturally variable and generally high in mineral content, with magnesium, sodium, bicarbonate, and sulfates the most common major ions. Waters from the lower Tertiary aquifers generally were more mineralized. Concentrations of total dissolved solids range from 123 to 11,700 mg/L, with a median concentration of 1,300 mg/L (Crosby and Klausing, 1983; Slagle et al., 1986; Lowry et al., 1986; Lowham et al., 1985).

Cretaceous aquifers of the coal resource areas are extensive, but contain freshwater only where they crop out and are covered by younger rocks (Whitehead, 1996). Groundwater chemistry in the Cretaceous aquifers is naturally variable and generally high in mineral content, with sodium, chloride, bicarbonate, and sulfates the most common major ions. Concentrations of total dissolved solids range from 126 to 13,000 mg/L, with a median concentration of approximately 2,200 mg/L (Crosby and Klausing, 1983; Slagle et al., 1986; Lowry et al., 1986; Lowham et al., 1985).

3.5.5.5.2 Surface Water

The coal fields of the Northern Rocky Mountains and Great Plains exist principally in the Upper Colorado and Missouri River Basins. In the southwest corner of Wyoming, the Green River Basin, Rock Springs Uplift, and Washakie Basin contribute to the Upper Green River drainage basin and the Great Divide closed drainage basin. The Yampa coal fields of northwest Colorado contribute to the White-Yampa River drainage basin. The combined drainage area of the Upper Green, Great Divide and White-Yampa River drainage basins is 33,700 square miles. Both the Upper Green and the White-Yampa River drainage basins contribute to the Upper Colorado. The Great Divide closed basin of Wyoming has a contributing area of 3,870 square miles (Seaber, et al., 1994).

Figure 3.5-8 Northern Rocky Mountains and Great Plains Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

To the north, coal resource areas including the Powder River Basin of Wyoming and Montana, North Dakota coal fields, and the Wyoming Hanna Basin coal field contribute to the Missouri River Basin. Larger Missouri River Basin tributaries include the North Platte, Powder-Tongue, Big Horn, Little

Missouri, Lower Yellowstone, Cheyenne, Oahe, and Poplar River drainage basins. The combined drainage area of these tributary basins is 176,300 square miles (Seaber, et al., 1994).

3.5.5.5.2.1 Stream Morphology

Streams within the Northern Rocky Mountains and Great Plains coal region exist principally within the Wyoming Basin and Great Plains, and to a lesser extent the Middle Rocky Mountain physiographic provinces, including the Missouri Plateau physiographic section of the Great Plains (as described in Section 3.4). The topography is diverse and includes rolling plains with wide alluvial valleys, dissected plateaus and mountains of high relief.

Characteristic stream forms in areas of very high to moderate relief include ephemeral and intermittent Rosgen “A,” “B,” and “G” types. In mountainous areas that receive significant amounts of snow, streams of these types may exist as perennial headwater streams. These streams are steep to very steep straight single channel streams that are laterally confined by geologic control. Stream substrates include combinations of exposed bedrock, colluviums (such as boulders, cobble and gravel) and cohesive silt/clay. In-stream features may include cascading step pools, waterfalls, and at lower elevation alternating rapids, riffles, and pools. When formed in residual soils derived from highly weathered sedimentary rock or gneissic granite, “A” types may be expressed as a highly incised gully. In valley slopes less than four percent but greater than two percent these gully streams are recognized as “G” types. “G” types develop in terminal alluvial fans generating high bank erosion rates that contribute significant bedloads and suspended sediment.

At lower elevation and relief, the characteristic stream forms include ephemeral and intermittent streams of Rosgen “C” type. Perennial streams of this type exist in the region, but to a much lesser extent. These streams exist in areas of low relief within well-developed floodplains. They are generally described as wide and shallow exhibiting high width/depth ratios (>12). Typical in-stream features include alternating riffles and pools, and characteristic point bars within the active channel. They are representative of the classic sinuous meandering stream. Streams of Rosgen type “E” and “F” also persist and are distinguished from Rosgen “C” types by their relative degree of entrenchment, width-to-depth ratio and sinuosity. Streams of Rosgen “E” type demonstrate higher sinuosity and lower width-to-depth ratios (narrow and deep) than the “C” type. Rosgen “F” types are distinguished by their moderately to highly entrenched (incised) stream profile, with little to no developed floodplain.



A “C” type meandering stream in the Powder River Basin

Source: OSMRE, 2015a

3.5.5.5.2.2 Surface Water Quantity / Stream Regime

Similar to Colorado Plateau, streamflow in the Rocky Mountains and Great Plains coal region can be highly variable and is dependent upon elevation, prevailing source of runoff, and relative contribution of baseflow from groundwater sources.

Runoff from mountains is a function of climatic factors (precipitation, temperature, wind, evaporation, and solar radiation) and the physical characteristic of the basin (elevation and drainage area). Flows from mountains are highly variable depending on snowpack, rate of increase in temperature, and distribution and quantity of spring rains. Extreme long term variability is observed when annual rates are compared to long term averages and can vary from 13 percent to 250 percent of the long term average. Extremely large flows or flooding can occur when deep snow pack, warm air, and rain occur simultaneously. Streams near mountains exhibit perennial flow, with most of the flow generated from snow melt.

Moving into the plains of lower elevation, streams are primarily ephemeral and intermittent with reaches of groundwater contribution depending on local aquifer systems. The average annual runoff from streams in the plains is a function of the quantity and intensity of precipitation events, drainage area, evaporation, and evapotranspiration, and permeability of surface material. For comparison, the average annual runoff per square mile from mountainous areas exceeds 200 acre-feet versus ten acre-feet per square mile in the plains. Flow is generally proportional to drainage area and increases downstream. Flow duration curves for smaller tributaries in the plains demonstrate similar form, where the slope of the plotted data is fairly steep, including the lower end of the curve indicating ephemeral regime and a lack of baseflow. The average annual runoff for small drainage basins in the plains is less variable than in near-mountain streams. However, the opposite is true for plains within Wyoming where high variability in precipitation can produce variable streamflow in annual and seasonal runoff. In addition, in mountainous areas of Wyoming where stream flow occurs mainly from snowmelt there is relatively low in annual and seasonal runoff (Lowham, 1988).

Mountain streams will typically peak in June as a function of spring snowmelt, while plains streams may experience their peak in the spring months of March through April (snowmelt derived) or in the summer months of May through September (rainfall derived). Most of the annual peak flows in the plains are derived from snowmelt, but the larger peak flows experienced on the plains are from rainfall events. Flood hydrographs of streams near mountain headwater drainages demonstrate a gradual rise and gradual receding of flow with daily fluctuations due to the diurnal temperature fluctuation. Conversely, plains streams demonstrate steeply rising and receding flow response and overall shorter flood duration than their mountain counterparts. In general, the relative magnitude of floods varies inversely with the drainage area; the larger the area, the smaller the proportion of the area affected by extreme runoff events. The potential for damage from flooding is greater near the mountains than on the plains. Precipitation is highly spatially variable, so while there may be flooding every year, it is rare to have flooding on all major streams within any given year.

Man-made alteration of runoff (e.g., irrigation, stock ponds) can significantly impact streamflows through evaporation and consumptive use. Flows can be augmented through discharge from Coal Bed Methane development and aquifer pumping associated with coal mining (Lowham et al., 1985; Crosby and Klausung, 1983; Slagle et al., 1986; Lowry et al., 1986; Kuhn et al., 1983).

3.5.5.5.2.3 Surface Water Quality

Table 3.5-18 shows that states within the Northern Rocky Mountains and Great Plains Coal region have approximately 30 state-defined designated use categories that are used to classify and protect their surface waters. The water quality assessments used as the basis for the integrated reports provide insight into the aquatic health of the region's surface waters. Table 3.5-19 shows that 85 percent of the waters assessed in

Montana are not achieving their designated use (“impaired waters”) while North Dakota had the lowest percentage of stream impairment at about seven percent. In terms of number of stream miles impaired, Montana had the most at 17,263.3 miles while Wyoming had the least at 1,432.3 miles. About 87 percent of the assessed streams in North Dakota are achieving their designated use (“good waters”) compared to only 15 percent of assessed streams in Montana. Colorado contains the highest number of stream miles achieving designated use (48,503.4 miles) while Montana has the least (3,022.5 miles). North Dakota was the only state to report waters in the “threatened waters” category (4,341.6 miles).

Overall, the Northern Rock Mountains and Great Plains Coal region contains over 447,527 miles of streams, of which 152,043.8 miles have been assessed. Approximately 114,313.6 of the 152,043.8 stream miles are achieving their designated use (75 percent) while 33,535.7 are considered impaired (25 percent). This assessment considers all causes of stream impairment and is not limited to mining-related impairments. Hence, it is possible that a state could have very few stream impairments related to mining. For example in 2014, the state of Wyoming only has about two percent of their stream impairments related to mining (Wyoming Department of Environmental Quality, 2016).

Table 3.5-18. Selected State-Defined Designated Use – Northern Rocky Mountains and Great Plains Coal-Producing Region

Selected State-Defined Designated Uses for Surface Water			
Colorado	Montana	North Dakota	Wyoming
Agriculture	Agricultural	Municipal and Domestic Water	Agriculture
Aquatic Life Cold Water - Class 1	Aquatic Life	Fish and Aquatic Biota	Fisheries
Aquatic Life Cold Water - Class 2	Cold Water Fishery	Recreation	Industry
Aquatic Life Warm Water - Class 1	Warm Water Fishery	Agricultural uses	Drinking water
Aquatic Life Warm Water - Class 1	Industrial	Industrial Water	Recreation
Domestic Water Source	Drinking Water		Scenic value
Recreation Primary Contact	Primary Contact Recreation		Aquatic life other than fish
Recreation Secondary Contact			Wildlife
			Fish consumption

Source: U.S. EPA, 2013i

Table 3.5-19 Summary of State CWA Water Quality Assessments – Northern Rocky Mountains and Great Plains Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)					
	Montana (2012)	North Dakota (2012)	Colorado (2010)	Wyoming (2012)	Summation for Northern Rocky Mnts Region
Good Waters	3,022.5	47,090.8	48,503.4	15,696.9	114,313.6
Threatened Waters	NA	4,341.6	NA	392.9	
Impaired Waters	17,263.3	3,713.8	11,135.4	1,423.2	33,535.7
Total Assessed Waters	20,285.8	54,606.2	59,638.8	17,513.0	152,043.8
Total Waters	176,750.0	54,607.0	107,403.0	108,767.0	447,527.0
Percent of Waters Assessed	11.5	100.0	55.5	16.1	34.0
*Data from EPA ATTAINS database and website. See http://epa.gov/waters/ir/ for additional information.					
** NA = Not Available					

Source: U.S. EPA, 2012c

3.5.5.5.3 [Hyperlinks to Integrated CWA Reports - Northern Rocky Mountains and Great Plains Coal Region](#)

The following links provide additional detail on water quality in the Northern Rocky Mountains and Great Plains region.

State	Hyperlink
Montana	http://cwaic.mt.gov/wq_reps.aspx?yr=2010qrvId=76990
North Dakota	http://www.ndhealth.gov/WO/SW/A_Publications.htm
Colorado	https://www.colorado.gov/pacific/cdphe/wqcc-reports-and-plans
Wyoming	http://deq.state.wy.us/wqd/watershed/#Assess

3.5.5.5.4 Water Usage

Based on 2005 USGS data, water resources in this region are used for primarily for agriculture (92 percent), with five percent for public supply, and two percent or less for domestic and industrial/commercial. There is no reported water usage for mining or thermoelectric. The total freshwater usage for the year 2010 was 23,692 MGD (Maupin et al., 2014).

Approximately 81 percent of groundwater withdrawn is used by agriculture. Approximately 11 percent of groundwater withdrawals are associated with public supply utilities, and only three percent of the groundwater is withdrawn from private wells for domestic use. Within the Northern Rocky Mountains and Great Plains region, a widespread water table decline has not been identified, but isolated areas of 40-foot water table declines have been identified in Wyoming (Reilly, et al., 2008). This would indicate that, for the most part, stress on the aquifer is confined to isolated areas and is not widespread.

Approximately 90 percent of the surface water withdrawn is used agriculture. Thermoelectric facilities use approximately five percent of the surface water withdrawals and approximately four percent is withdrawn by public water supply utilities. Only a small fraction of surface water is used for private water supplies (Maupin et al., 2014).

According to 2010 USGS data, 71 percent of total drinking water withdrawals are from surface water sources. Seventy-six percent of public water supply withdrawals are from surface water. Additionally, since 1985, domestic water withdrawals have increased 92 percent, and public water supply withdrawals have increased seven percent, indicating that overall regional drinking water demand is increasing (Maupin et al., 2014).

In 2010, there was an estimated domestic self-supply population of nearly 0.8 million, about ten percent of the total regional population (See Table 3.5-20). This self-supply population relies primarily on private wells for their water supply (Maupin et al., 2014; USGS, 2010b). Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply.

Table 3.5-20 Summary of Domestic Water Supply of Population (thousands/percent of total) – Northern Rocky Mountains and Great Plains Coal-Producing Region

Year	Self-Supply Population	Public Supply Population
2010	760 (10%)	6,497 (90%)
2005	544 (10%)	4,798 (90%)
2000	683 (14%)	4,223 (85%)
1995	604 (13%)	3,887 (87%)
1990	492 (12%)	3,538 (88%)
1985	553 (14%)	3,540 (86%)

Source: Derived Maupin et al., 2014, USGS 2010a, USGS 2010b

3.5.5.6 *Northwestern Coal-Producing Region*

3.5.5.6.1 Groundwater

The Northwest Coal region includes potentially mineable resources in Oregon, Washington, and Alaska. The description of the affected environment is limited to Alaska, because there is neither active mining nor evidence of continued production in Oregon and Washington. The Northern Alaska coal fields are also not discussed due to the questionable potential for their development and production at this time. The Usibelli Coal Mine, near Healy Alaska, is the only coal operation with active production; therefore, the potentially affected groundwater environment specific to this coal operation is described.

3.5.5.6.1.1 *Primary Aquifers*

The Usibelli Coal Mine produces coal from three seams in the Miocene age Suntrana Formation in interior Alaska. The coal reserves roughly correspond to the Hoseanna Creek drainage basin. Throughout the Hoseanna Creek Basin, the coal seams tend to function as aquifers, confined below by impermeable clay and above by tight, fine-grained sandstone (Miller and Whitehead, 1999). Specifically, the Moose coal seam is the only significant aquifer with appreciable extent, and is the lowest aquifer affected by mining (Ray and Vohden, 1992). Groundwater flow in the Moose coal seam aquifer is controlled by fractures within the coal, and bound by faulting in the Suntrana Formation (Ray and Vohden, 1992). Groundwater also is present in shallow alluvium in surrounding drainages with surficial gravel deposits. However, the alluvial gravel deposits in the area do not contain significant quantity of water for sustainable development due to the discontinuous nature of the deposits and variable thickness (Miller and Whitehead, 1999).

The water, classified by the dominant dissolved ions it contains, is a calcium bicarbonate type. Dissolved solids concentrations in the water are typically less than 400 milligrams per liter. In general, most dissolved trace metals from samples within the permit area were either not detectable or detected at concentrations near the method detection limits. Detected dissolved metals concentrations include barium (0.092 to 0.574 mg/L), iron (0.13 to 3.26 mg/L), manganese (0.181 to 0.606 mg/L), and zinc (<0.008 to 0.144 mg/L) (Ray and Vohden, 1992). Groundwater from the adjacent alluvium has concentrations of several dissolved metal analytes, which are elevated compared to concentrations of the Moose coal seam aquifer. The metals include barium, cadmium, iron, manganese, nickel, and zinc (Ray and Vohden, 1992).

3.5.5.6.2 Surface Water

The Yukon River Basin contains many streams and rivers. Using the Alaska Hydrologic Unit Classification system (Seaber, et al., 1994; USGS, 2013b) and a similar classification system for Canada, the Yukon River Basin can be divided into 13 major basins. These basins represent the eight major tributaries to the Yukon River and the major lowland areas that drain directly into the Yukon River (Brabets, et al., 2000). The Tanana River Basin encompasses the Alaskan coal mining area within the overall Yukon River Basin. The Tanana River Basin is approximately 44,300 square miles in area, and primarily drains the north side of the Alaska Mountain Range, including glaciers (Seaber et al., 1994).

3.5.5.6.2.1 Stream Morphology

The coal resources of Alaska for this FEIS exist within the northern foothills of the Alaska Range. The terrain includes steep bluffs and gently rolling plateau topography with deep stream valleys and steep slopes. At lower elevation, the topography transitions to irregular hummocky terrain.

Characteristic stream forms in this coal region include ephemeral, intermittent, and perennial headwater Rosgen “A” and “B” types. These streams are steep to very steep, straight, single-channel streams that are laterally confined. Stream substrates include combinations of exposed bedrock and coarse sediment (including boulders, cobbles, and gravel). In-stream features include cascading step pools, waterfalls, and alternating rapids, riffles, and pools.

At lower elevation and relief, Rosgen “C,” “E,” and “D” types exist. Relatively wide and shallow single channel “C” types exist in valleys of gentle gradients. These streams are characterized by moderate sinuosity in broad valleys with developed floodplains. In-stream features include alternating depositional point bar features with sections of riffles and pools. The degree of lateral migration or “meandering” of the channels varies according to the erodibility of bank materials and relative abundance of riparian vegetation. Sediment supply in these streams is high. Streams of Rosgen “E” type demonstrate higher sinuosity and lower width-to-depth ratios (narrow and deep) than the “C” type.

Rosgen “D” type streams are wide shallow multi-channel braided streams formed in broad depositional valleys of very low gradient. These streams have low sinuosity and have very high width-to-depth ratios. They are sediment transport limited, with abundant sediment supply. Through excessive deposition, longitudinal and transverse bars develop forming the characteristic braided form. Formed in non-cohesive sandy alluvium, these streams experience high bank erosion and stream widening. Streams of type “D” are common to valleys receiving glacial outwash.

3.5.5.6.2.2 Surface Water Quantity / Stream Regime

Three basic patterns of runoff are exhibited throughout the Yukon River Basin: lake runoff, snowmelt runoff, and glacier runoff. Generally, beginning in October and ending in late April to mid-May, runoff is minimal, and streamflow gradually decreases. Most runoff occurs from May to September; however, the timing of runoff in the rivers is different, depending on the particular basin characteristics (Brabets, et al., 2000). During the snowmelt period (generally late April), snow is released as stream-flow over a relatively short period, making snowmelt the major hydrological event of the year (Bonanza Creek LTER, 2011).

The overall average discharge of the Yukon River Basin is 227,000 cubic feet per second, with the Tanana River Basin providing approximately 44,600 cubic feet per second of that amount (Brabets, et al., 2000). Due to glacial activity and associated discharge contribution, the Tanana River Basin’s calculated percentage of flow contribution is disproportionately large relative to its contributory drainage area.

In the Yukon River Basin, annual high flows for most of the major rivers occur during the summer rainy season. However, on the main stem of the Yukon, flooding commonly occurs from ice jams in the spring. Although levees have been built at Dawson to prevent flooding from ice jams, villages located along the lower part of the Yukon River are still subject to flooding each spring. Since 1949, three major floods have occurred in the Yukon River Basin: in 1964, 1967, and 1994. These floods covered large areas of

the basin and caused considerable property damage. The 1967 flood involved a ten-inch rainfall in the middle and lower Tanana River Basin near Fairbanks, which nearly equaled the average annual precipitation for the area. Flood discharge on the Salcha River at Fairbanks was almost twice that of a 100-year recurrence interval.

3.5.5.6.2.3 Surface Water Quality

Table 3.5-21 provides designated use categories that are used to classify and protect Alaskan surface waters. The water quality assessments used as the basis for the integrated reports provide insight into the aquatic health of the region’s surface waters. Table 3.5-22 shows that only 0.2 percent of Alaska’s surface waters have been assessed, so any characterization using the assessment data should be used with caution. The table shows about 74 percent of the waters assessed in Alaska are not achieving their designated use (“impaired waters”). This translates into 443.4 stream miles. In Alaska, over 26 percent of the assessed streams are achieving their designated use (158.4 miles). The assessment includes all causes of stream impairment and is not limited to mining-related impairments.

Table 3.5-21. Selected State-Defined Designated Use – Northwestern Coal-Producing Region

Selected State-Defined Designated Uses for Surface Water
Alaska
Water Supply - Drinking, culinary, and food processing
Water Supply - Agriculture, including irrigation and stock waering
Water Supply - Aquaculture
Water Supply - Industrial
Water Recreation - Contact
Water Recreation - Secondary
Growth and Propagation of fish, shellfish, other aquatic life, and wildlife

Source: U.S. EPA, 2013i

Table 3.5-22. Summary of State CWA Water Quality Assessments – Northwestern Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)	
	Alaska (2010)
Good Waters	158.4
Threatened Waters	NA
Impaired Waters	443.4
Total Assessed Waters	601.8
Total Waters	365,000.0
Percent of Waters Assessed	0.2
*Data from EPA ATTAINS database and website. See http://epa.gov/waters/ir/ for additional information.	
** NA = Not Available	

Source: U.S. EPA, 2012c

3.5.5.6.3 Hyperlinks to Integrated CWA Reports - Northwestern Coal Region

State	Hyperlink
Alaska	http://www.dec.state.ak.us/water/wqsar/waterbody/integratedreport.htm

3.5.5.6.4 Water Usage

The use of groundwater by the coal operators and wildlife within the producing Usibelli permit and adjacent area is negligible. The Usibelli Coal Mine withdraws alluvial groundwater at the mouth of Hoseanna Creek for vehicle washing and industrial uses (Usibelli Coal Mine Inc., 1996. Potable water for the Usibelli Coal Mine is obtained from the Nenana River alluvium.

3.5.5.7 Western Interior Coal-Producing Region

3.5.5.7.1 Groundwater

The Western Interior Coal region includes the bituminous coal reserves of central and southern Iowa, northwestern and central Missouri, southeastern Nebraska, eastern Kansas, eastern Oklahoma, and west-central Arkansas. These coal deposits are Pennsylvanian in age and mostly located within three distinct structural basins: the Forest City Basin which includes about 47,000 square miles in Iowa, Nebraska, Kansas, and Missouri; the Cherokee Basin consisting of about 26,500 square miles in Kansas, Missouri, and Oklahoma; and the Arkoma Basin which includes about 13,500 square miles in Oklahoma and Arkansas (U.S. EPA, 2004a). Additional coal resources in Oklahoma are located in the northeast Oklahoma platform. The limited bituminous coal reserves in Texas are not included in this discussion as these reserves are not currently mined.

The majority of the Western Interior region is located within the Central Lowland physiographic province with lesser areas within the Quachita province, Ozark Plateaus, and Coastal Plain province. In the northern portion of the region, unconsolidated deposits consist of alluvium along streams and rivers, and glacial drift and loess deposits; these deposits are evidence of the extensive glacial history of this area. Further south, terrace deposits and alluvium of sandy and clayey silts are common along with occasional thin lenses of sand and gravel. Bedrock underlying the unconsolidated material consists predominantly of upper Paleozoic-age, marine and non-marine deposits of shale and siltstone interbedded with varying amounts of sandstone, limestone, and coal (Detroy, et al., 1983; Marcher, et al., 1984; Marcher, et al., 1987).

3.5.5.7.1.1 Primary Aquifers

The most productive aquifers within the Western Interior are sand and gravel deposits of alluvial and glacial origin. Those found along major waterways or within buried valleys can provide significant volumes of water. The upper Paleozoic strata may also be a source of potable water; however, the yields are generally much less than the unconsolidated aquifers and are more highly variable. With few exceptions, the Lower Paleozoic rocks usually contain groundwater that is not suitable for consumption (Detroy, et al., 1983; Marcher, et al., 1984; Marcher, et al., 1987).

3.5.5.7.1.2 Unconsolidated Aquifers

The most significant unconsolidated aquifers in the Western Interior Coal region consist of sand and gravel deposits. Within the glaciated section of the region, these surficial deposits may be found in buried valleys and within alluvium along major waterways. Farther south into the non-glaciated areas, the sands and gravels are again within alluvial deposits associated with significant rivers and streams and also within terrace deposits, although the terrace units generally supply much less water due to the composition of the layers (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

The unconsolidated aquifers can produce water at highly variable rates, depending on the thickness and aerial extent of the sand and gravel deposits. Wells completed in thick, buried channel deposits have been found to yield up to 1,000 gallons per minute with quality generally suitable for most purposes. Alluvial sands and gravels up to 150 feet thick have been noted to produce upwards of 2,000 gallons per minute in wells along the Missouri River (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

Recharge to the unconsolidated aquifers in the Western Interior is from direct precipitation, infiltration from overlying unconsolidated material, or seepage from adjacent streams. Groundwater movement, although highly variable, is generally towards nearby streams and rivers and down valleys (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

3.5.5.7.1.3 Primary Bedrock Aquifers

Within the Western Interior, Pennsylvanian-age strata are the most widespread Paleozoic units immediately underlying the surficial unconsolidated material. These strata generally consist of shale and siltstone interbedded with thin sandstone and limestone. Although the sandstones and limestones are potential sources of groundwater, yields are generally limited. Some wells completed in the Pennsylvania rocks have reported yields of 20 gallons per minute or more, but the average yield is generally less than five gallons per minute. Regardless of the low rate, these limited aquifers are often the only source of

water for those living in some rural areas. The quality of the groundwater is also variable but often suitable for domestic purposes (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

Underlying the Pennsylvanian strata are Mississippian-age rocks that may provide a potable source of groundwater. These units actually underlie unconsolidated materials in those areas where the Pennsylvanian units have been eroded (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

In the northern part of the Western Interior region, the Mississippian aquifer underlies most of northern Missouri. Miller and Appel (1997) state that “The Mississippian aquifer is so named because it consists of limestone of Mississippian age. The Keokuk, the Burlington, the Fern Glen, the Sedalia, and the Chouteau Limestones compose the aquifer; of these formations, the Keokuk and the Burlington are the principal water-yielding rocks. Both formations consist of crystalline limestone and yield water primarily from solution cavities. In most places, the aquifer is overlain by a confining unit of Pennsylvanian shale and sandstone and is everywhere underlain by a confining unit of Mississippian shale. The thickness of the Mississippian aquifer averages about 200 feet but locally exceeds 400 feet in northwestern Missouri. The aquifer is thickest in part of the Forest City Basin, which is a structural downwarp that extends northward into Iowa, and is thinnest near the Mississippi and the Missouri Rivers where it has been dissected or partially removed by erosion.”

Mississippian-age rocks in the southern part of the Western Interior may also serve as local aquifers. In the Oklahoma and southwest Missouri area, cherty limestone with thin sandy or shaley zones can provide groundwater of suitable quality at rates up 300 gallons per minute, although most yields are less than ten gallons per minute. The units often have a combined thickness of 300 to 400 feet (Miller and Appel, 1997).

On a local scale, groundwater in the Mississippian aquifer of northern Missouri moves towards nearby streams. Regional groundwater movement has not been determined (Miller and Appel, 1997).

As reported in Marcher, et al., 1983, Cambrian and Ordovician rocks comprise a significant aquifer in discrete areas of the Western Interior, including northeast Oklahoma, southeast Kansas, and central Missouri. The aquifer consists mostly of dolomite with lesser amounts of sandstone, siltstone, and shale for a combined thickness locally of 1,400 feet. Although these rocks are present throughout this region, they are generally very deep and contain poor-quality water. In the Oklahoma-Kansas-Missouri area, the Cambrian-Ordovician rocks are shallower and outcrop in some areas with suitable quality for most domestic uses. Reported well yields in the tristate area vary from small quantities to 1,000 gallons per minute. The direction of water movement is towards the west/northwest.

The Cambrian-Ordovician aquifer extends northwards into Iowa at greater depths (upwards of 3,000 feet) as compared to the Oklahoma-Kansas-Missouri area. Well yields up to 1,000 gallons per minute are also reported in Iowa; however, the quality of the water is often considered marginal (Detroy, et al., 1983). Regardless of the depth and quality of the water, the Cambrian-Ordovician aquifer is the only source of groundwater in some areas (Detroy, et al., 1983).

The bedrock aquifers are recharged mostly by infiltration from overlying units, from direct precipitation at outcrops, or seepage from adjacent streams. Recharge may also occur through solution-enhanced zones, particularly in the Cambrian-Ordovician and Mississippian-age aquifers (Detroy, et al., 1983).

Figure 3.5-9 illustrates the general extent of the aquifers making up the Western Interior region aquifer system.

3.5.5.7.1.4 Groundwater Quality

As noted in Detroy, et al., 1983, water within the glacial sand and gravel aquifers generally exhibited a neutral pH, alkalinity averaging 266 milligrams per liter, and dissolved solids of 840 milligrams per liter. Nitrate concentrations averaged 24.6 milligrams per liter with wide ranges in iron (0.01 to 16 milligrams per liter) and manganese (0.01 to 2.1 milligrams per liter). Water from the alluvial aquifers within the glaciated region was found to be similar to that within the glacial sand and gravel deposits in pH, alkalinity, dissolved solids, and iron concentrations. Nitrates were less (average of 3.2 milligrams per liter), but the range in manganese was greater (0.05 to 17 milligrams per liter).

Alluvial and terrace deposits in non-glaciated areas of the Western Interior have been found to contain water that is alkaline, with dissolved solids ranging from 148 to 889 milligrams per liter. The following ranges (presented in milligrams per liter) were also noted: sodium, 5.3 to 250; sulfate, 0 to 3,970; manganese, ten to 1,750; iron, ten to 34,000; and chloride, 0.8 to 454 (Marcher, et al., 1983; Marcher, et al., 1987). Marcher, et al. (1983) observe that “Large concentrations of sodium, chloride, and particularly sulfate may be present in unconsolidated deposits in the smaller valleys. Sulfate is a major component of groundwater in stream valleys draining shale of Pennsylvanian age. Water with a pH of less than 6.5, sulfate concentrations greater than 250 to 300 milligrams per liter, and dissolved iron and manganese concentrations of more than 100 to 200 milligrams per liter may indicate mineralization from pyritic materials associated with coal or metal mines.”

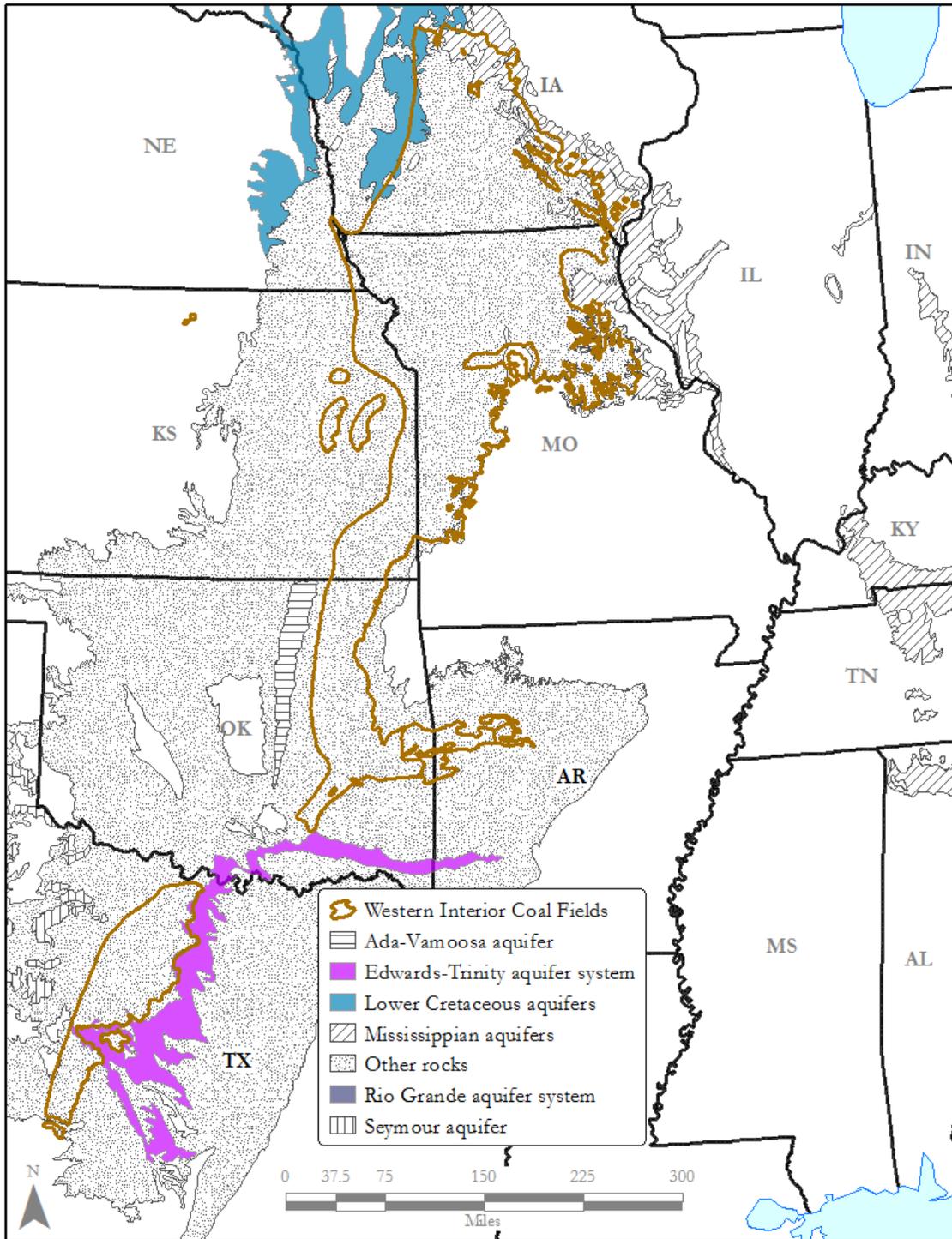
Water within the Mississippian aquifer varies from relatively fresh in the eastern portion of the Western Interior, to very saline in the west. Similar to the Mississippian aquifers in the Illinois Basin, the volume of overlying material (and therefore the depth to the aquifer) is an important factor with regards to dissolved solid concentrations. The greater thickness in overburden generally correlates to higher dissolved solids.

Mississippian strata in the southern part of the region may also serve as local aquifers, with water quality that is generally suitable for most purposes (Miller and Appel, 1997). Groundwater in this area is generally alkaline with low concentrations of dissolved solids. Average concentrations of chloride, fluoride, manganese, nitrates, sodium, iron, and sulfate are also low (Marcher, et al., 1983).

3.5.5.7.2 Surface Water

The major drainage basins for this region are the Upper Mississippi, Missouri, and Arkansas River basins. The Upper Mississippi River basin drains 189,000 square miles from its source in Itasca, Minnesota to the confluence of the Mississippi and Ohio Rivers in southern Illinois (Upper Mississippi River Basin Association, 2011)). The Missouri River drainage area consists of 529,000 square miles across much of the north-central U. S. from Montana to near St. Louis, Missouri (Kammerer, 1990). The Arkansas River basin drains 161,000 square miles in seven states from Colorado eastwards to Arkansas (Kammerer, 1990).

Figure 3.5-9. Western Interior Region Aquifers



Source: USGS, 2003, Principal Aquifers of the United States.
<http://water.usgs.gov/ogw/aquifer/map.html>

3.5.5.7.2.1 Stream Morphology

In the northern portion of the region, unconsolidated deposits consist of alluvium along streams and rivers and glacial drift and loess deposits. Further south, terrace deposits and alluvium of sandy and clayey silts are common, along with occasional thin lenses of sand and gravel (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987). The general topography of the region is very flat plain, with elevations ranging from 500 to 1,200 feet above mean sea level, with very little local relief. An exception to this is in the Ozark Plateau province, which resembles the Appalachian Province but with lower average altitudes and relief. “A maximum altitude of 2,000 feet is reached in the southern part of this province” (Vogel, 1981).

The dominant stream forms in this coal region include intermittent and perennial streams of Rosgen “C” type. These streams exist in areas of low relief within well-developed floodplains. They are generally described as wide and shallow, exhibiting high width/depth ratios (>12). Typical in-stream features include alternating riffles and pools, runs, glides and characteristic point bars within the active channel. They are representative of the classic sinuous meandering stream. Streams of Rosgen type “E” and “F” also persist and are distinguished from Rosgen “C” types by their relative degree of entrenchment, width-to-depth ratio, and sinuosity. Streams of Rosgen “E” type demonstrate higher sinuosity and lower width-to-depth ratios (narrow and deep) than the “C” type. Rosgen “F” types are distinguished by their moderately to highly entrenched (incised) stream profile, with little to no developed floodplain. Ephemeral streams are also widespread across the Western Interior region.

3.5.5.7.2.2 Surface Water Quantity / Stream Regime

Daily and seasonal variations in precipitation cause considerable differences in monthly and yearly streamflow patterns and volumes. Most of the precipitation in the Western Interior occurs in the form of rain, typically in the spring and summer months as a result of storms moving eastward across the region. Corresponding with this increased rainfall, streamflows are generally higher in spring and early summer, followed by lower flows in late summer and fall. The lower flow volumes in the latter part of the year are exacerbated by evapotranspiration, which peaks during this time. As a result, it is common for many streams in this region to experience periods of no flow, particularly those with limited drainage areas (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987).

During periods of low precipitation and high evapotranspiration, low groundwater levels result in little baseflow to streams. In addition, many waterways are surrounded by low-permeability materials that impede groundwater infiltration, or are underlain by competent bedrock with limited storage and transmittal properties (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987). Due to the high variability in streamflows, especially in those areas with limited groundwater resources (e.g., central Oklahoma), surface water is often stored in lakes and reservoirs in order to meet demand (Marcher, et al., 1987).

Flooding along many waterways is not uncommon in the Western Interior, particularly during early spring and summer when precipitation amounts are greatest, although precipitation alone does not ensure flooding will occur. Land slopes, drainage patterns, and other basin characteristics, along with land use and development patterns, influence flooding patterns and frequencies. Many states in the Western Interior have statistically evaluated flood-frequency data on gaged streams to better predict future discharge rates and the time intervals that may be expected for any particular rate to occur. For streams

that are not monitored on a regular basis, flood-frequency curves have been developed using region-specific equations. The ability to plan for future flood conditions based on typical patterns is crucial for development and municipal planning (Detroy, et al., 1983; Marcher, et al., 1983; Marcher, et al., 1987). Man-made structures, such as reservoirs and ponds that have been constructed along the Arkansas River, can help moderate both the frequency and magnitude of floods (Marcher, et al., 1987).

3.5.5.7.2.3 Surface Water Quality

Table 3.5-23 lists designated use categories used to classify and protect the surface waters in the Western Interior region. Oklahoma has the least number of state-defined designated uses while Missouri has the most. The water quality assessments used as the basis for the integrated reports provide insight into the health of the region's surface waters. The percentage of water assessed within each of the three states ranges from 15.8 percent (Oklahoma) to 21.8 percent (Kansas). Table 3.5-24 shows about 88 percent of the waters assessed in Kansas are not achieving their designated use ("impaired waters") while Missouri classifies 53.1 percent of its streams as impaired. Kansas has the greatest number of impaired stream miles (25,755.8 miles) while Missouri has the least (5,412.6 miles). Missouri contains the highest number of stream miles achieving designated use (4,776.9 miles) while Oklahoma has the least (2,297.8 miles).

Overall, the Western Interior region contains 265,094 miles of streams, of which only 51,997.5 have been assessed. Approximately 10,653.5 of the 51,997.5 stream miles that have been assessed (20 percent) are achieving their designated, use while 41,344 (80 percent) are considered impaired. The assessment includes all causes of stream impairment and is not limited to mining-related impairments.

**Table 3.5-23. Selected State-Defined Designated Uses –
 Western Interior Coal-Producing Region**

Selected State-Defined Designated Uses for Surface Water		
Kansas	Missouri	Oklahoma
Agricultural water supply - Irrigation	Warm-water aquatic community	Public and private water supply
Agricultural water supply - Livestock watering	Cool-water aquatic community	Fish and wildlife propagation
Aquatic life support - Special aquatic life	Cold-water aquatic community	Agriculture
Aquatic life support - Expected aquatic life	Modified aquatic community	Primary body contact recreation (such as swimming)
Aquatic life support - Restricted aquatic life	Exceptional aquatic community	Secondary body contact recreation (such as boating/fishing)
Domestic Water Supply	While body contact recreation	Navigation
Food procurement	Secondary contact recreation	Aesthetics
Groundwater recharge	Human health protection	
Industrial water supply	Livestock and wildlife protection	
Recreational - Primary contact :swimming beach	Drinking water supply	
Recreational - Primary contact: Public access	Irrigation	
Recreational - Primary contact: restricted access	Industrial water supply	
Recreational - Secondary contact: restricted	Aesthetics	
	Runoff storage and attenuation	
	Wildlife habitat protection	
	Recreational, cultural, educational, scientific, and natural aesthetic use protection	
	Hydrologic cycle maintenance	
	Outstanding resource waters	

Source: U.S. EPA, 2013i

Table 3.5-24. Summary of State CWA Water Quality Assessments – Western Interior Coal-Producing Region

Results of Clean Water Use Assessment: Water Quality Summary for Rivers and Streams (miles)				
	Kansas (2012)	Missouri (2012)	Oklahoma (2010)	Summation of Western Interior Region
Good Waters	3,578.8	4,776.9	2,297.8	10,653.5
Threatened Waters	NA	NA	NA	
Impaired Waters	25,755.8	5,412.6	10,175.6	41,344.0
Total Assessed Waters	29,334.6	10,189.5	12,473.4	51,997.5
Total Waters	134,338.0	51,978.0	78,778.0	265,094.0
Percent of Waters Assessed	21.8	19.6	15.8	19.6
*Data from EPA ATAINS database and website. See http://epa.gov/waters/ir/ for additional information.				
** NA = Not Available				

Source: U.S. EPA, 2012c

3.5.5.7.3 Hyperlinks to Integrated CWA Reports - Western Interior Coal Region

The following links provide additional detail on water quality in the Western Interior region.

State	Hyperlink
Kansas	http://www.kdheks.gov/befs/
Missouri	http://dnr.mo.gov/env/wpp/waterquality/303d.htm
Oklahoma	http://www.deq.state.ok.us/WODnew/305b_303d/index.html

3.5.5.7.4 Water Usage

Based on 2010 USGS data, water resources in this region are used primarily for thermoelectric power generation (47 percent) and agriculture (39 percent). Public water suppliers use 13 percent, and industrial/commercial establishments use one percent. Mining and domestic wells use less than one percent each. The total water usage for the year 2005 was 5,265 MGD (Maupin et al., 2014).

Precipitation is the primary source of recharge to the stream valley aquifers (Miller and Appel 1997; Ryder, 1996). Equal portions (42 percent each) of groundwater withdrawals are for both agriculture and

public supply. Approximately five percent of groundwater withdrawals are associated with private domestic wells (USGS, 2010b).

Within the Western Interior region basin, a widespread water table decline has not been identified, but isolated areas of 40-foot water table declines have been identified (Reilly, et al., 2008). This would indicate that, for the most part, water demand and associated stress on the aquifer is confined to isolated areas and is not widespread.

About 76 percent of surface water withdrawn is utilized by thermoelectric facilities. Public water suppliers account for approximately 15 percent of surface water withdrawals. No surface water is diverted for private domestic use.

According to 2010 USGS data, 65 percent of total drinking water withdrawals are from surface water sources. Seventy percent of these public water supply withdrawals are from surface water. As of 2005, domestic water withdrawals had decreased 27 percent from 1985, and public water supply withdrawals had increased 39 percent, indicating that regional drinking water demand is increasing on net.

A review of USGS water use data for the years 1985 to 2010 indicates that the total proportion of the population supplied by a public water supplier is increasing while the total population and proportion of the population that is self-supplied is decreasing, as summarized in Table 3.5-25. In 2010, the domestic self-supply population was about 1.4 million, eleven percent of the total regional population. This self-supply population relies primarily on private wells for their water supply (all domestic water is supplied from groundwater) (Maupin et al., 2014). Because these wells are not routinely monitored or treated, this population is particularly susceptible to changes in groundwater quality and supply.

Table 3.5-25. Summary of Domestic Water Supply of Population (thousands/percent of total) – Western Interior Coal-Producing Region

Year	Self-Supply Population	Public Supply Population
2010	1,350 (11%)	11,250 (89%)
2005	291 (5%)	5,377 (95%)
2000	322 (6%)	5,160 (94%)
1995	527 (10%)	4,653 (90%)
1990	676 (14%)	4,294 (87%)
1985	731 (15%)	4,221 (85%)

Source: Derived from Maupin et al., 2014, USGS 2010a, USGS 2010b.

3.6 Air Quality, Greenhouse Gas Emissions, and Climate Change

3.6.1 Introduction and Background

Air emissions from coal mining operations are primarily governed by federal regulations promulgated under the authority of the Clean Air Act (CAA) (42 U.S.C. § 7401 et seq.). Implementation of performance standards for blasting, however, also falls under the purview of SMCRA. The purpose and need for the proposed action considered in this EIS has no direct connection to air resources; OSMRE is

not proposing to change any of our regulations that pertain to the control of emissions from mining activities, and OSMRE does not regulate emissions related to the combustion of the coal for electricity generation or any other end use. The regulatory alternatives may, however, have an indirect effect on emissions from mining and combustion. The discussion below provides a brief review of existing conditions in the coal regions in respect to air quality parameters, and a brief review of air quality regulations to put this information into context. Air quality effects that result from mining and combustion are discussed in the corresponding section of Chapter 4, specifically in section 4.2.4.

The Western region office of OSMRE has recently completed an EIS for the Four Corners Power Plant and Navajo Mine Energy Project (OSMRE, 2015b). Detailed discussions of the sources of emissions involved in mining and combustion and the requirements of the Clean Air Act related to those emissions are contained in the “Regulatory Compliance Framework” discussion contained on pages 4.1-1 through 4.1-17 of the Four Corners Power Plant and Navajo Mine Energy Project (FCPP) EIS. These discussions are summarized and incorporated into the text below where appropriate.

As discussed in the FCPP Final EIS coal mining and the use of coal involves both stationary and mobile sources of air pollutants. Coal mining causes air emissions from combustion of motor fuels (diesel and gasoline) used to operate mining equipment, portable equipment, and support vehicles. Some mining activities also cause air emissions, specifically nitrogen dioxide (NO₂), sulfur dioxide (SO₂), and carbon monoxide (CO) from explosives detonation and fugitive dust released during earthmoving activities. In addition as discussed in section 3.6.1.2. below, some emissions occur from the disturbance of the coal and surrounding rock; for example, coal seams and surrounding rock strata may contain methane (CH₄), which can be released during mining.

After the coal is mined, transportation of the coal from the mine site to the end user may generate emissions. Similarly, because virtually all of the coal is burned at some point, the combustion of the coal will generate emissions. Most coal mined in the U.S. is used to generate electricity; however, some is used to produce coke and for other industrial, commercial, and institutional purposes (U.S. EIA, 2014e). In the context of electricity generation, power plants are generally large stationary sources that emit substantial amounts of CO₂, NO_x and SO₂, along with coarse particulate matter (PM₁₀, particulate matter up to ten micrometers in size) and fine particulate matter (PM_{2.5}, particulate matter up to 2.5 micrometers in size). Power plant operation and maintenance would cause air emissions from the combustion of coal in boilers as well as motor fuels (diesel and gasoline) used in off-road equipment, portable equipment, and support vehicles.

3.6.1.1 Clean Air Act Regulatory Framework

3.6.1.1.1 Air Quality Standards

Air quality in a given location is determined by the concentration of various pollutants in the atmosphere. The EPA has established National Ambient Air Quality Standards (NAAQS) under the CAA of 1970 (amended 1977 and 1990, 42 U.S.C. § 7401 et seq.). Current standards are found on the EPA’s website at <https://www.epa.gov/criteria-air-pollutants/naaqs-table> for each pollutant for which there is a standard.

The NAAQS represent maximum levels of background pollution that are considered safe, with an adequate margin of safety, to protect public health (primary standards) and welfare (secondary standards

such as diminished production and quality of agricultural crops, reduced visibility, degraded soils, materials and infrastructure damage, and damaged vegetation). Individual states have the option to adopt more stringent standards than the NAAQS and to include other pollution sources.

Federal law defines criteria pollutants to include ozone (O₃), NO_x, CO, SO₂, PM₁₀, PM_{2.5}, and lead (Pb). Elimination of tetraethyl lead in motor gasoline has eliminated emissions of Pb from vehicles and portable equipment. O₃ is not directly emitted, rather, its precursors NO_x and volatile organic compounds (VOCs) are the pollutants which react with sunlight to form ground-level photochemical O₃ and contribute to regional haze, along with SO₂ and particulate matter. Criteria emissions – also referred to as regulated pollutants – caused by coal mining activities and combustion would include reactive organic compounds (ROCs) or VOCs, NO_x as NO and NO₂, CO, SO₂, PM₁₀, and PM_{2.5}. Discussions of each of these pollutants can be found on pages 4.1-1 to 4.1-7 of the FCPP Final EIS.

In the 1977 CAA amendments, Congress classified those areas that meet or exceed the NAAQS as Class I, Class II, or Class III (42 U.S.C. § 7472). Based on an area's classification, regulatory authorities can permit certain amounts of increased pollution. The difference between a preexisting level of pollution and a new level is called an "increment." Congress decided that most national parks and wilderness areas already in existence at the time of the 1977 amendments would be designated as Class I areas, where only a small increase in pollution levels could be permitted. The legislation designated the rest of the clean air areas as Class II, where some additional pollution could occur. In addition, Congress allowed states to designate some areas as Class III, where the most pollution would be allowed but still not enough to cause a violation of the NAAQS. In the coal-producing regions, areas which have attained the NAAQS for criteria pollutants are designated as Class I, II, or "unclassifiable" and are regulated under the Prevention of Significant Deterioration (PSD) program.

As discussed below in 3.6.2, within the coal-producing regions, there are NAAQS nonattainment areas for the following criteria air pollutants: PM_{2.5}, PM₁₀, Ozone, lead and SO₂. Mining activities and associated coal combusting activities in proximity to these nonattainment areas may contribute to further degradation of the air quality and may be subject to more stringent requirements to minimize emissions.

3.6.1.1.2 Hazardous Air Pollutants

Hazardous Air Pollutants (HAPs), also known as toxic air pollutants or air toxics, are those pollutants that cause or may cause cancer or other serious health effects, such as reproductive effects or birth defects, or adverse environmental and ecological effects. Title III of the CAA Amendments of 1990 currently identifies 187 pollutants as HAPs, the federal term for air toxics. In 2001, the EPA identified 21 HAPs as mobile source air toxics, six of which are designated priority pollutants (66 FR 17230): acetaldehyde, acrolein, benzene-1, 3-butadiene, diesel exhaust (PM and organic gases), and formaldehyde. Diesel particulate matter (DPM) is considered a carcinogenic air toxic. An EPA assessment "examined information regarding the possible health hazards associated with exposure to diesel engine exhaust (DE), which is a mixture of gases and particles. The assessment concludes that long-term (i.e., chronic) inhalation exposure to DPM is likely to pose a lung cancer hazard to humans, as well as damage the lung in other ways depending on exposure. Short-term (i.e., acute) exposures to DPM can cause irritation and inflammatory symptoms of a transient nature, these being highly variable across the population" (EPA 2002).

In addition to DPM from mining equipment and heavy trucks, coal combustion may emit a wide range of inorganic and organic HAPs from stacks, according to the EPA (EPA 2011a, 40 CFR 63 Subpart UUUUU). Inorganic metals include compounds of the following: antimony (Sb), arsenic (As), beryllium (Be), cadmium (Cd), chromium (Cr), cobalt (Co), copper (Cu), lead (Pb), manganese (Mn), mercury (Hg), nickel (Ni), and selenium (Se). Organics and nonmetallic inorganics include: acetaldehyde, acetophenone, acrolein, benzene, benzyl chloride, bis(2-ethylhexyl)phthalate (DEHP), carbon disulfide, chlorobenzene, chloroform, cyanide, 2,4-dinitrotoluene, ethyl benzene, ethyl chloride, formaldehyde, hexane, hydrogen chloride, hydrogen fluoride, isophorone, methyl bromide, methyl chloride, methyl ethyl ketone, methylene chloride, polycyclic aromatic hydrocarbons (PAHs), phenol, propionaldehyde, tetrachloroethylene, toluene, styrene, and xylenes (ortho-, meta-, para- isomers).

Historically, coal-fired power plants have been the largest source of mercury and acid gas emissions in the U.S. and prior to implementation of the Mercury and Air Toxics Standards (MATS) rule discussed below were responsible for about 50 percent of mercury emissions and about 77 percent of acid gas emissions. For more discussion of the topic of mercury and air toxic standards, specifically refer to page 4.1-9 of the FCPP Final EIS.

On December, 21, 2011, the EPA finalized the MATS to limit emissions of mercury, hazardous acid gases, and other toxic pollutants from new and existing coal-and oil-fired power plants (77 FR 9304). On March 28, 2013, the EPA finalized updates to certain emission limits for new power plants under the MATS rule. All affect coal-and oil-fired power plants were required to be in compliance with the final MATS requirements by April 16, 2016.

3.6.1.1.3 Federal Visibility Protection Control Programs

Visibility and haze are regulated under the Regional Haze Rule of the CAA (40 CFR part 51 subpart P). Under the CAA, Class I areas are those in which visibility is protected more stringently than under NAAQS. Class I areas include national parks and monuments, wilderness areas, and other areas of special national and cultural significance. Section 169A (42 U.S.C. § 7491) of the CAA sets forth a national goal for visibility which is the “prevention of any future, and the remedying of any existing, impairment of visibility in mandatory Class I Federal areas which impairment results from manmade air pollution.”

There are 156 Class I areas in the U.S., 49 of which are national parks and monuments. The Regional Haze Rule, enacted in 1999, requires states to establish goals and emission reduction strategies for improving visibility in all Class I areas as part of State Implementation Plans (SIPs) as geographically applicable (64 FR 35714). In addition, the EPA encourages states to work together in regional partnerships to develop and implement multistate strategies to reduce emissions of visibility-impairing fine particle (PM_{2.5}) pollution (64 FR 35714). Due to long range transport of visibility-impairing fine particles, all 50 states are required to participate in planning, analysis, and in many cases, emission control programs.

For more information related to the relationship of visibility standards to NAAQS, and Best Available Retrofit Technology in relation to coal combustion at power plants refer to page 4.1-10 to 4.1-11 of the FCPP Final EIS.

3.6.1.1.4 Atmospheric Deposition

Since the 1970s, implementation of CAA regulations has reduced emissions of NO_x, SO₂, and mercury and reduced the impact of atmospheric deposition on water quality and aquatic ecosystems. Three key regulations or programs have contributed to reductions in acid rain precursors: (1) Title II emission standards for mobile sources (motor vehicles), (2) actions designed to meet primary NAAQS, and (3) the Acid Rain Program.

The Acid Rain Program implements requirements for significant decreases in the emissions of NO_x and SO₂ from power plants to improve air quality and protect ecosystems that have been damaged by acid rain, including aquatic ecosystems. According to the 2011 National Acid Rain Precipitation Assessment Program report, the Acid Rain Program has been successful in reducing NO_x and SO₂ emissions from electric power generation to below levels set by Congress in 1990. By 2009, SO₂ emissions from power plants were 3.25 million tons lower than the final 2010 cap level of 8.95 million tons, and NO_x emissions were 6.1 million tons less than the levels projected for 2000.

Similar to NO_x and SO₂ emission reductions, mercury emissions from power plants also declined from about 59 tons of mercury in 1990 to about 30 tons of mercury in 2008 (EPA 2011b; GAO, 2013). When fully implemented, EPA projects that the Mercury and Air Toxics Standards rule will reduce future mercury emissions from domestic power plants to about 9 tons by 2016, a 70 percent reduction from 2008 (GAO, 2013).

3.6.1.1.5 Federal Prevention of Significant Deterioration Program

PSD (40 CFR 51.166 and 52.21) provides the overall regulatory framework for permitting new or existing stationary sources, such as oil refineries, factories, or power plants. PSD permitting applies to new major sources or major modifications at existing sources located in NAAQS attainment or unclassified areas for applicable pollutants.

3.6.1.1.6 Federal Stationary Source Regulations

Title V Operating Permits Parts 70 and 71 implement Title V of the CAA, 42 U.S.C. § 7661, et seq. Title V operating permits are legally enforceable documents that permitting authorities issue to major stationary sources of air pollution regulating their emissions. Title V major source thresholds are defined by the NAAQS attainment status of the jurisdiction, with progressively lower (more stringent) thresholds in moderate, serious, severe, and extreme nonattainment areas. Part 70 permits are issued by state and local (county or district) permitting authorities. Part 71 permits are issued either directly by the EPA or through tribal EPAs on sovereign tribal lands. There are many other Parts within the Section that provide additional requirements for monitoring and limits on emissions at stationary sources such as coal burning power plants. Pages 4.1-15 through 4.1-16 of the FCPP Final EIS provide a thorough description of requirements related to the Four Corners Power Plant, including enforceable limitations on SO₂, NO_x, PM, and opacity emissions that would be applicable to all power plants (40 CFR 49.23), and we are incorporating that discussion here by reference.

3.6.1.1.7 Mobile Source Regulations

Federal Tier 1 standards for off-road diesel engines were adopted in 1995. Federal Tier 2 and Tier 3 standards were adopted in 2000 and selectively apply to the full range of diesel off-road engine power

categories. Both Tier 2 and Tier 3 standards include durability requirements to ensure compliance with the standards throughout the useful life of the engine (40 CFR 89.112). In 2004, the EPA finalized the rule implementing Tier 4 emission standards which phased-in from 2008 to 2015 (69 FR 38957-39273, June 29, 2004). The Tier 4 standards require that emissions of PM and NO_x be further reduced by about 90 percent. Such emission reductions can be achieved through the use of advanced control technologies – including advanced exhaust gas after treatment similar to those required by the 2007-2010 standards for highway diesel engines. It should be noted that emissions from diesel engines used in underground mining equipment are exempt from these requirements, as such engines are regulated by the Mine Safety and Health Administration (MSHA) through regulations contained in 30 CFR part 7, subpart E and 30 CFR part 72, subpart D.

3.6.1.2 Greenhouse Gas Emissions

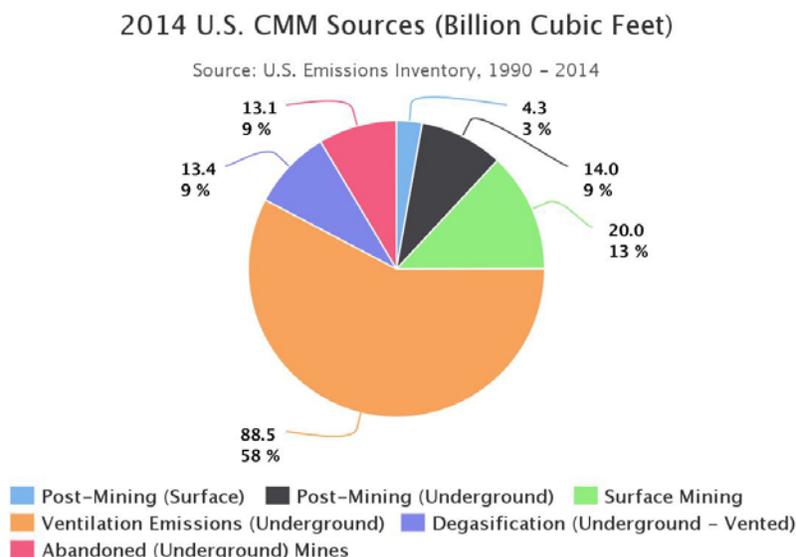
Greenhouse gases (GHG) trap solar energy in the atmosphere and cause it to warm. This phenomenon is called the greenhouse effect and is necessary to support life on Earth; however, excessive buildup of GHGs can change Earth's climate and result in undesirable effects on ecosystems, which affects human health and welfare (EPA 2012i). GHG constitutes an air pollutant, and EPA regulates GHG emissions. GHG emissions from the combustion of fossil fuels for energy include carbon dioxide (CO₂), methane (CH₄) and Nitrous Oxides (N₂O), and represent the largest share of U.S. total GHG emissions (U.S. EPA, 2013a; U.S. EPA, 2013b).

The EPA tracks GHG emissions in the U.S. and publishes an annual update to its Inventory of U.S. Greenhouse Gas Emissions and Sinks (EPA 2012b, 2014). From the current report, the main source of GHG emissions in the U.S. is electric power generation, which accounts for 32 percent of GHG emissions nationwide. Over 70 percent of electric power is generated by burning fossil fuels, mainly coal and natural gas. GHG emissions from electric power generation in the U.S. have increased by about 24 percent since 1990 as demand for electric power has grown, and fossil fuels have remained the dominant energy source for generation due to their low private cost and high reliability. Coal combustion is much more carbon-intensive than burning natural gas or petroleum to generate electricity. In 2012, consumption of energy generated by coal decreased by 12.3 percent. Coal generated about 33 percent of electric power in the U.S. and in 2012 accounted for about 40 percent of CO₂ emissions from the power sector (EPA 2014b).

The amount of CH₄ released during coal mining depends on a number of factors, the most important of which are coal rank, coal seam depth, and method of mining. Coal rank represents the differences in the stages of coal formation and depends on the temperature history of the coal seam. As coal rank increases, the amount of CH₄ produced also increases. Because pressure increases with the depth of the coal seam and the adsorption capacity of coal increases with pressure, deeper coal seams generally contain more methane than shallow seams of the same rank. In addition, over time methane can be released to the atmosphere from near surface coal seams through natural fractures in overburden strata. Coal extraction tends to lead to the release of more methane than was originally trapped within the mined coal seam itself because the drop in pressure draws in additional gas from surrounding strata. Also, the mining process tends to fracture the surrounding strata including neighboring seams, particularly where longwall extraction is used. Underground coal mining typically releases more methane than surface mining because of the higher gas content of deeper seams (Irving and Tailakov, 1999).

The U.S. EPA Greenhouse Gas Inventory Reports provide a detailed description of methane emissions from coal mining and how they are estimated. According to these reports, three types of coal mining and related activities release methane to the atmosphere: underground mining, surface mining, and postmining (i.e., coal-handling) activities. Underground coal mines contribute the largest share of CH₄ emissions (Figure 3.6-1). Underground coal mines employ ventilation systems to maintain safe CH₄ levels for workers. These systems can exhaust significant amounts of CH₄ to the atmosphere in low concentrations. Additionally, some U.S. coal mines supplement ventilation systems with degasification systems. Degasification systems are wells drilled from the surface or boreholes drilled inside the mine that remove large volumes of CH₄ before, during, or after mining. In 2011, 14 coal mines collected CH₄ from degasification systems and used this gas, thus reducing emissions to the atmosphere; all of these mines sold CH₄ to the natural gas pipeline, including one that also used CH₄ to fuel a thermal coal dryer (U.S. EPA, 2013a). As of the 2015 report, this number had risen to 24 coal mines (U.S. EPA, 2015b). Surface coal mines also release CH₄ as the overburden is removed and the coal is exposed, but the level of emissions is much lower than from underground mines. Finally, some of the CH₄ retained in the coal after mining is released during processing, storage, and transport of the coal. In comparison to 1990 the total CH₄ emissions from coal mining in 2011 were 25 percent lower (U.S. EPA, 2013a) and 33 percent lower by 2013 (U.S. EPA, 2015b).

Figure 3.6-1. Sources of Coalbed Methane - 2014 U.S. CMM Emissions (Billion Cubic Feet)



Source: U.S. EPA Coal Mine Methane Sources, U.S. Emissions Inventory, 1990- 2014 Report.
<https://www.epa.gov/epa-coalbed-methane-outreach-program/coal-mine-methane-sources>

The EPA has established a voluntary program to reduce methane emissions in the coal mining industry. This program, known as the Coalbed Methane Outreach Program (CMOP), helps the coal industry identify the technologies, markets, and finance sources to profitably use or sell the methane that coal mines would otherwise vent to the atmosphere (U.S. EPA, 2013c).

3.6.1.3 Greenhouse Gas Regulation for Stationary Sources

On May 13, 2010, EPA issued the Prevention of Significant Deterioration and Title V Greenhouse Gas Tailoring Rule (75 FR 31514, June 3, 2010). The Greenhouse Gas Tailoring Rule set thresholds for GHG emissions, defining when CAA major source permits are required for new and existing industrial facilities that emit GHGs.

On June 23, 2014, the Supreme Court determined that EPA may not treat GHGs as an air pollutant for purposes of determining whether a source is a major source required to obtain a PSD or Title V permit. *Utility Air Regulatory Group v. EPA*, 134 S. Ct. 2427 (2014). On June 25, 2014, EPA issued a clarifying memorandum stating they will no longer require permits for sources that triggered permitting requirements based solely on their GHG emissions. On April 10, 2015, the D.C. Circuit issued an amended judgment in *Coalition for Responsible Regulation, Inc. v. Environmental Protection Agency*, Nos. 09-1322, 10-073, 10-1092 and 10-1167 (D.C. Cir. April 10, 2015), which vacated the PSD and Title V regulations to the extent that they require a stationary source to obtain a PSD or title V permit solely because the source emits or has the potential to emit GHGs above the applicable major source thresholds.

Currently under the Greenhouse Gas Tailoring Rule, EPA regulates GHG emissions and requires application of Best Available Control Technology (BACT) for new major sources and major modifications at existing sources that require PSD and Title V permitting for their applicable emissions (referred to as “anyway pollutants”). EPA will still be able to regulate 83 percent of stationary source GHG emissions under the PSD and Title V permitting process because almost all these sources also emit significant quantities of criteria (anyway) air pollutants. It is estimated that only three (3) percent of new or modified GHG-only stationary sources previously subject to the Tailoring Rule will now no longer be subject to regulation (Jennings; 2014).

3.6.1.4 Clean Power Plan

On August 3, 2015, President Obama and EPA announced the Clean Power Plan (CPP) to reduce carbon pollution from existing fossil fuel-fired power plants. EPA also issued final Carbon Pollution Standards for new, modified, and reconstructed fossil fuel-fired power plants. The CPP requires that States develop and implement plans to ensure the power plants in their state – either individually, together, or in combination with other measures – achieve the emission requirements starting in 2022, with full implementation by 2030. EPA and industry analysts anticipate that many of the reductions will be met through shifting generation to less carbon-intensive sources of energy. On February 9, 2016, the Supreme Court stayed implementation of the Clean Power Plan pending judicial review.

3.6.1.5 Greenhouse Gas Reporting Program (GHGRP)

EPA requires underground coal mines that liberate 36,500,000 actual cubic feet or more of CH₄ annually to report their annual emissions to the Greenhouse Gas Reporting Program (GHGRP) established by the Mandatory Reporting of Greenhouse Gases rule (74 FR 56260, 40 CFR part 98, effective December 29, 2009). Part 98 requires reporting of GHG data and other relevant information from large sources and suppliers in the U.S. pursuant to Fiscal Year 2008 Consolidated Appropriations Act (Pub. L. No. 110-161).

The rule facilitates collection of accurate and comprehensive emissions data to provide a basis for future EPA policy decisions and regulatory initiatives. It requires specified industrial source categories and facilities with an aggregated heat input capacity of 30 MMBtu or more per hour or that emit 25,000 metric tonnes or more per year (MT/yr) of CO₂ equivalent (CO₂e) GHGs to submit annual reports to the EPA. The gases covered by the rule are CO₂, CH₄, N₂O, and hydrofluorocarbons, perfluorocarbons, sulfur hexafluoride (SF₆), and other fluorinated gases including nitrogen trifluoride and hydrofluorinated ethers.

In 2015, over 9,000 facilities and suppliers subject to Part 98 reported their emissions to the GHGRP (EPA 2016c). This included 123 underground coal mines that reported to EPA under subpart FF of the program. Subpart FF facilities are required to report:

- CH₄ liberated from mine ventilation and degasification systems
- CH₄ destruction from systems where gas is sold, used onsite, or otherwise destroyed (including by flaring and ventilation air methane (VAM) oxidation)
- Net CH₄ emissions from ventilation and degasification systems (CH₄ liberated less CH₄ destroyed)
- CO₂ emissions from coal mine CH₄ destruction occurring at the facility, where the gas is not a fuel input for energy generation or use. (This applies primarily to CH₄ that is flared or destroyed by VAM oxidation.)

In addition, each facility must report GHG emissions of other source categories for which calculation methods are provided in the rule. For example, facilities must report CO₂, N₂O, and CH₄ emissions from each stationary combustion unit on site by following the requirements of 40 CFR part 98, subpart C (General Stationary Fuel Combustion Sources).

Reporting year 2011 was the first year emissions data was collected for underground coal mines under subpart FF of the GHGRP (Table 3.6-1). The 2012 reported emissions data (U.S. EPA) revealed that the primary sources of GHG emissions from underground mines are located in West Virginia and Pennsylvania. These two states comprised 57.7 percent of the total reported emissions nationwide in 2012. Figure 3.6-2 provides an updated representation of the contributors, by state, to underground coal mine emissions across the nation.

Table 3.6-1. Greenhouse Gas Emissions from Underground Mines, million metric tons CO₂e

	2015	2014	2013	2012	2011
Number of facilities:	123	128	129	118	117
Total emissions (CO ₂ e):	42.9	43.2	40.5	38.4	40.4
Emissions by greenhouse gas (CO ₂ e)					
Carbon dioxide (CO ₂):	0.3	0.5	0.3	0.2	0.2
Methane (CH ₄):	42.6	42.7	40.3	38.2	40.2
Nitrous oxide (N ₂ O):	**	**	**	**	**

Source: U.S. EPA, 2016c. Greenhouse Gas Reporting Program, Subpart FF (Underground Coal Mines).
<https://www.epa.gov/ghgreporting/ghgrp-underground-coal-mines>

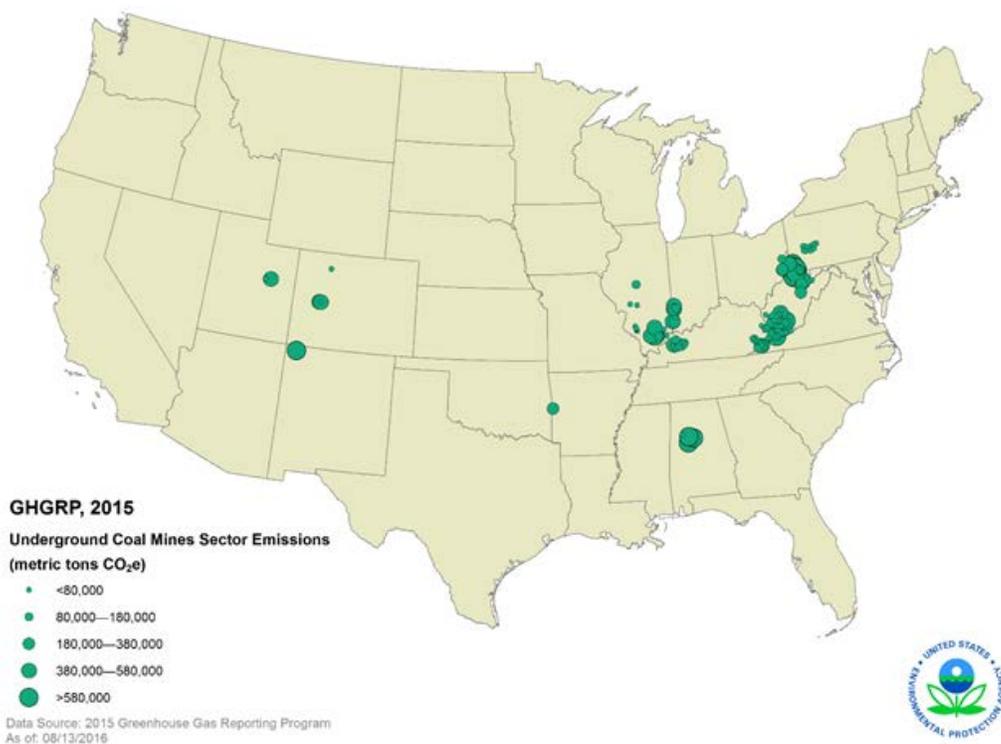
Notes:

Totals may not equal sum of individual GHGs due to independent rounding.

NR means that this value was not reported.

** Total reported emissions are less than 0.05 million metric tons CO₂e.

Figure 3.6-2. U.S. EPA – 2015 GHG Reported Emissions – Underground Mines



Source: Image downloaded Oct 26, 2016 from <https://www.epa.gov/ghgreporting/ghgrp-underground-coal-mines>

3.6.1.6 Climate Change

Evidence collected by scientists and engineers from around the world have determined the climate is changing; the planet is warming and over the last half century primarily driven by human activity—predominantly due to the GHG emissions from burning fossil fuels. The U.S. average temperature has increased by 1.3°F to 1.9°F since 1895, and most of this increase has occurred since 1970. The most recent decade was the Nation’s and the worlds hottest ever recorded, and 2012 was the hottest year on record in the continental United States. Temperatures are projected to rise another 2°F to 4°F in most areas of the U.S. over the next few decades (USGCRP, 2015).

Changes in extreme weather and climate events, such as heat waves and droughts, are the primary way that most people experience climate change. There has been an increase in the U.S. in prolonged periods of excessively high temperatures, heavy downpours, and in some regions, severe floods and droughts over the last 50 years. The location, timing, and amounts of precipitation will also change as temperatures rise. In general, the northern part of the U.S. is projected to see more winter and spring precipitation, while the southwestern U.S. is projected to experience less precipitation in the spring. Wet regions are generally projected to become wetter while dry regions become drier. Summer drying is projected for parts of the U.S., including the Northwest and southern Great Plains. Increased temperatures and changing precipitation patterns will alter soil moisture, which is important for agriculture and ecosystems and has many societal implications (USGCRP, 2015).

3.6.2 Air Quality by Coal-Producing Region

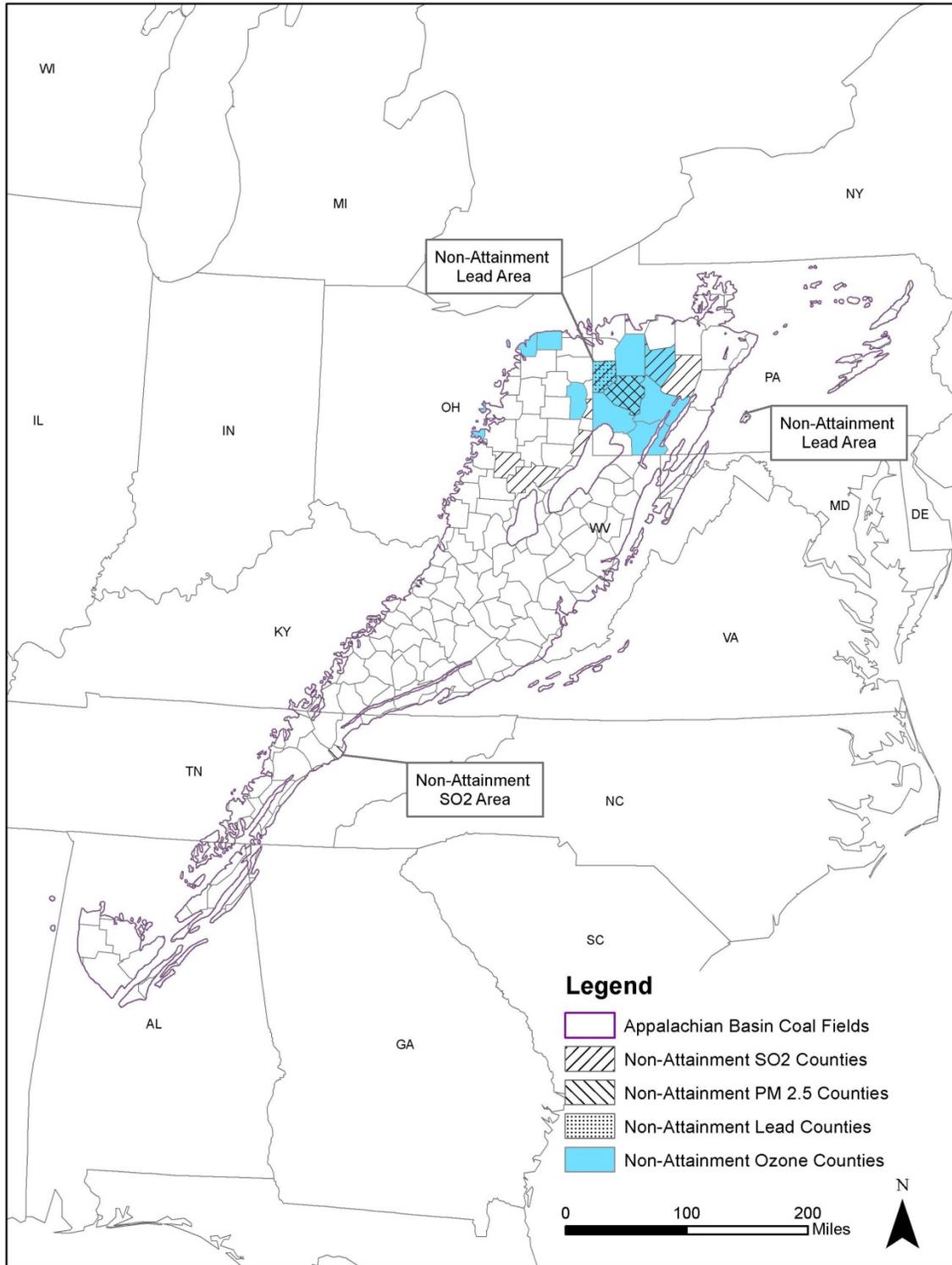
As discussed below, within the coal-producing regions, there are NAAQS nonattainment areas for the following criteria air pollutants: PM_{2.5}, PM₁₀, ozone, and SO₂. Mining activities in proximity to these nonattainment areas may contribute to further degradation of the air quality and may be subject to more stringent requirements to minimize emissions.

3.6.2.1 Appalachian Basin Region

3.6.2.1.1 Nonattainment Areas

Five ambient air pollutants in the Appalachian Basin exist in concentrations that exceed ambient air quality standards: PM_{2.5}, PM₁₀, ozone, lead and sulfur dioxide (U.S. EPA, 2016). Figure 3.6-3 depicts the locations of these nonattainment areas.

Figure 3.6-3. Nonattainment Areas in the Appalachian Basin Region



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants, <http://www.epa.gov/oar/oaqps/greenbk/index.html>

Air quality readings exceed the 24-hour standard $PM_{2.5}$ in some cities in Pennsylvania, and Tennessee. Within the Appalachian coal basin, Ohio and Pennsylvania are the only states containing nonattainment counties for the current 8-hour ozone standard (U.S. EPA, 2016). The northeast region of the U.S. experiences high levels of ozone due to high-altitude transport of pollutants from Midwest and eastern power plants and other large industrial sources. As a result, state rules in these affected states (which include Pennsylvania) regulate new emission sources of VOC and NO_x under nonattainment rules.

As of June 17, 2016 the following Appalachian coal basin counties were listed as nonattainment counties for the specified criteria pollutants:

- Ohio:
 - Ozone: Fairfield, Knox, Licking, Medina, Portage, and Summit Counties;
 - SO_2 : Jefferson, Morgan, and Washington Counties
- Pennsylvania:
 - Lead: Beaver County
 - Ozone: Allegheny, Armstrong, Beaver, Butler, Fayette, Washington, and Westmoreland Counties;
 - $PM_{2.5}$: Allegheny, and Lebanon Counties;
 - SO_2 : Allegheny, Armstrong, Beaver, and Indiana Counties;
- Tennessee: $PM_{2.5}$: Anderson and Roane Counties; and
- West Virginia: SO_2 : Brooke, and Marshal Counties (U.S. EPA, 2016).

3.6.2.1.1.1 Pollutants of Concern

Throughout the Appalachian Basin, ample forestland and trees are a source of biogenic VOC, such that in this region NO_x is the only limiting factor for ozone formation. NO_x is formed as a result of combustion; consequently any fuel combustion at mine, power plant, or other facility can potentially contribute to ozone formation.

Appalachian coal generally contains a significant amount of sulfur, although Virginia coal has less than one percent sulfur. Some mines require washing of the coal to remove this sulfur or ash material. Before this coal can be shipped, it must be dried using conveyor dryers or kilns. Hot air is supplied to these dryers by burning fuel. When coal is burned at the mine to supply heat to the dryer, the sulfur in the coal is oxidized to sulfur dioxide that contributes to SO_2 and fine particulate formation ($PM_{2.5}$) in the atmosphere. It also would be a primary contributor in an area that is in nonattainment with the air quality standards for these pollutants. Therefore, operations that burn coal at the mines for use in coal processing activities may be required to install air pollution controls on these sources, especially in Armstrong County, which is classified as a nonattainment area for sulfur dioxide in Pennsylvania.

3.6.2.1.1.2 State and Local Air Quality Authorities

Each state in the Appalachian Basin has an EPA-approved State Implementation Plan (SIP) that grants states permitting authority over their air management districts. In addition to state permitting authorities, Alabama, Kentucky, Tennessee, Ohio, and Pennsylvania have local permitting authorities that issue air permits within their jurisdictions. Permitting in other states is done by state agencies (U.S. EPA, 2011c).

3.6.2.1.1.3 Federal Class I Air Quality Areas

Federal Class I areas include designated federal parks and wilderness areas and other lands where air quality is subject to a higher level of protection. In the Appalachian Basin, there are numerous Class I areas around the Smoky Mountains and other portions of the Appalachian Mountain chain. A mine subject to PSD regulation must review its impact on all Class I areas within 300 kilometers (km).

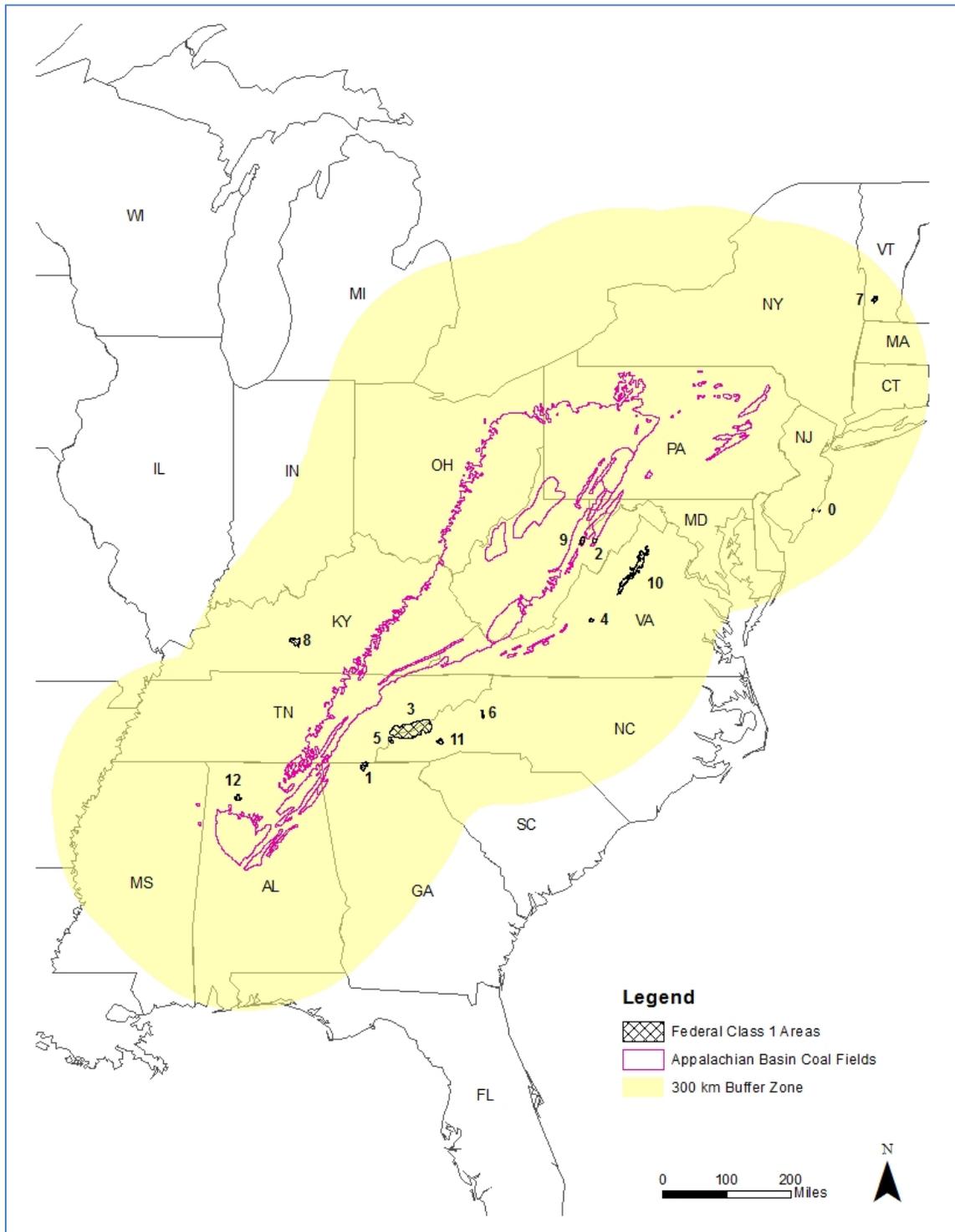
Figure 3.6-4 shows the locations of the Class I areas within 300 kilometers of the Appalachian Basin. The numbered areas are presented in Table 3.6-2 (U.S. EPA, 2011e; National Atlas of the United States, 2005).

Table 3.6-2. Federal Class I Areas in the Appalachian Basin

FEATURE ID	NAME	STATE
0	Sipsey Wilderness	AL
1	Shining Rock Wilderness	NC
2	Otter Creek Wilderness	WV
3	Lye Brook Wilderness	VT
4	Linville Gorge Wilderness	NC
5	Joyce Kilmer-Slickrock Wilderness	TN-NC
6	James River Face Wilderness	VA
7	Dolly Sods Wilderness	WV
8	Cohutta Wilderness	TN-GA
9	Shenandoah NP	VA
10	Great Smoky Mountains NP	TN
11	Mammoth Cave NP	KY
12	Brigantine Wilderness	NJ

Source: U.S. EPA, 2011e; National Atlas of the United States, 2005.

Figure 3.6-4. Federal Class I Areas in the Appalachian Basin Region



Source: National Atlas of the United States, 2005, federal lands of the United States, USGS, U.S. DOI. <http://nationalatlas.gov/atlasftp.html>

3.6.2.2 Colorado Plateau Region

3.6.2.2.1 Nonattainment Areas

Utah County, Utah is the only county in the Colorado Plateau region that is in a nonattainment status for any criteria pollutant. This county is currently in nonattainment for both PM_{2.5} and PM₁₀ (EPA, 2016). Figure 3.6-5 depicts the locations of these nonattainment areas.

3.6.2.2.2 Pollutants of Concern

Sulfur dioxide is a pollutant of concern in Arizona, which has neighboring counties classified as nonattainment for this criteria pollutant. The coal from this region has low ash content and low sulfur content (U.S. EIA, 1989). The low ash content is expected to produce lower particulate emissions while the low sulfur content is expected to reduce the amount of coal cleaning necessary.

3.6.2.2.3 State and Local Air Quality Authorities

Each state in the Colorado Plateau has an EPA-approved SIP that grants permitting authority over their air management districts. In addition to state permitting authorities, the counties of Maricopa, Pima, and Pinal in Arizona have local permitting authorities that issue air permits within their jurisdiction (U.S. EPA, 2011c; National Atlas of the United States, 2005).

3.6.2.2.4 Federal Class I Areas

In the Colorado Plateau, there are numerous Class I areas around the Rocky Mountains and in the deserts of Arizona and New Mexico where air quality is subject to a higher level of protection. A mine subject to PSD regulation must review its impact on all Class I areas within 300 kilometers.

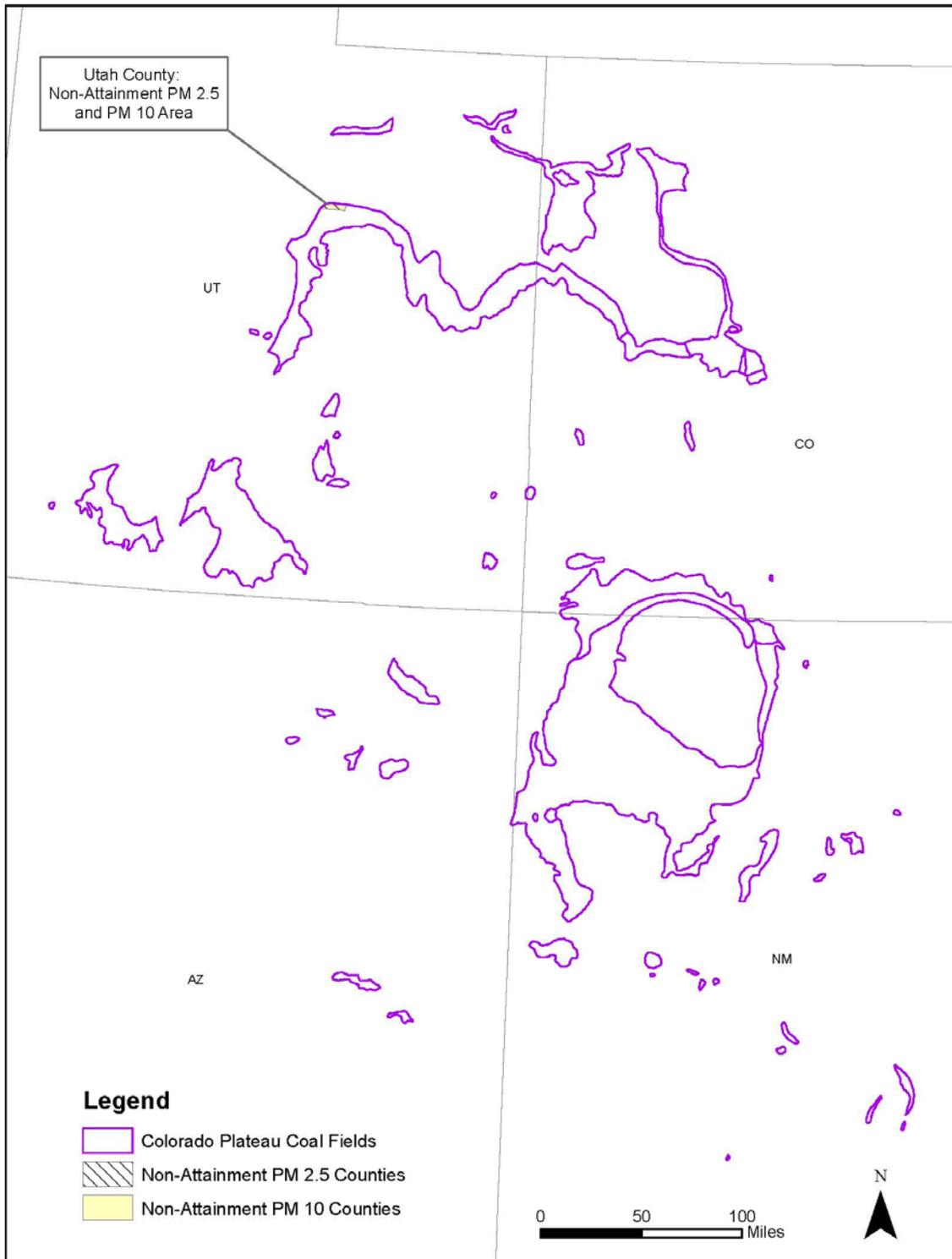
Figure 3.6- 6 depicts the locations of these Class I areas, with the numbers corresponding to the following sites. The numbered areas are presented in Table 3.6-3.

Table 3.6-3. Federal Class I areas in the Colorado Plateau Region

FEATURE ID	NAME	STATE
0	White Mountain Wilderness	NM
1	Wheeler Peak Wilderness	NM
2	West Elk Wilderness	CO
3	Weminuche Wilderness	CO
4	Sycamore Canyon Wilderness	AZ
5	Superstition Wilderness	AZ
6	Sierra Ancha Wilderness	AZ
7	San Pedro Parks Wilderness	NM
8	Rawah Wilderness	CO
9	Pine Mountain Wilderness	Az
10	Pecos Wilderness	NM
11	Mount Zirkel Wilderness	CO
12	Mount Baldy Wilderness	AZ
13	Mazatzal Wilderness	AZ
14	Maroon Bells-Snowmass Wilderness	CO
15	La Garita Wilderness	CO
16	Gila Wilderness	NM
17	Galiuro Wilderness	AZ
18	Flat Tops Wilderness	CO
19	Eagles Nest Wilderness	CO
20	Chiricahua Wilderness	AZ
21	Chiricahua NM Wilderness-Not Studied	AZ
23	Chiricahua NM Wilderness-Designated Wilderness	AZ
25	Zion NP	UT
26	Rocky Mountain NP	CO
27	Guadalupe Mountains NP	TX
28	Grand Canyon NP	AZ
29	Capitol Reef NP	UT
30	Canyonlands NP	UT
31	Bryce Canyon NP	UT
32	Arches NP	UT
33	Black Canyon of the Gunnison Wilderness	CO
34	Bandelier Wilderness	NM
39	Saguaro Wilderness	AZ
40	Carlsbad Caverns NP	NM
41	Great Sand Dunes Wilderness-nps	CO
42	Petrified Forest NP	AZ
43	Mesa Verde NP	CO
44	Salt Creek Wilderness	NM
45	Bosque del Apache (Little San Pascual Unit)	NM
46	Bosque del Apache (Indian Well Unit)	NM
47	Bosque del Apache (Chupadera Unit)	NM

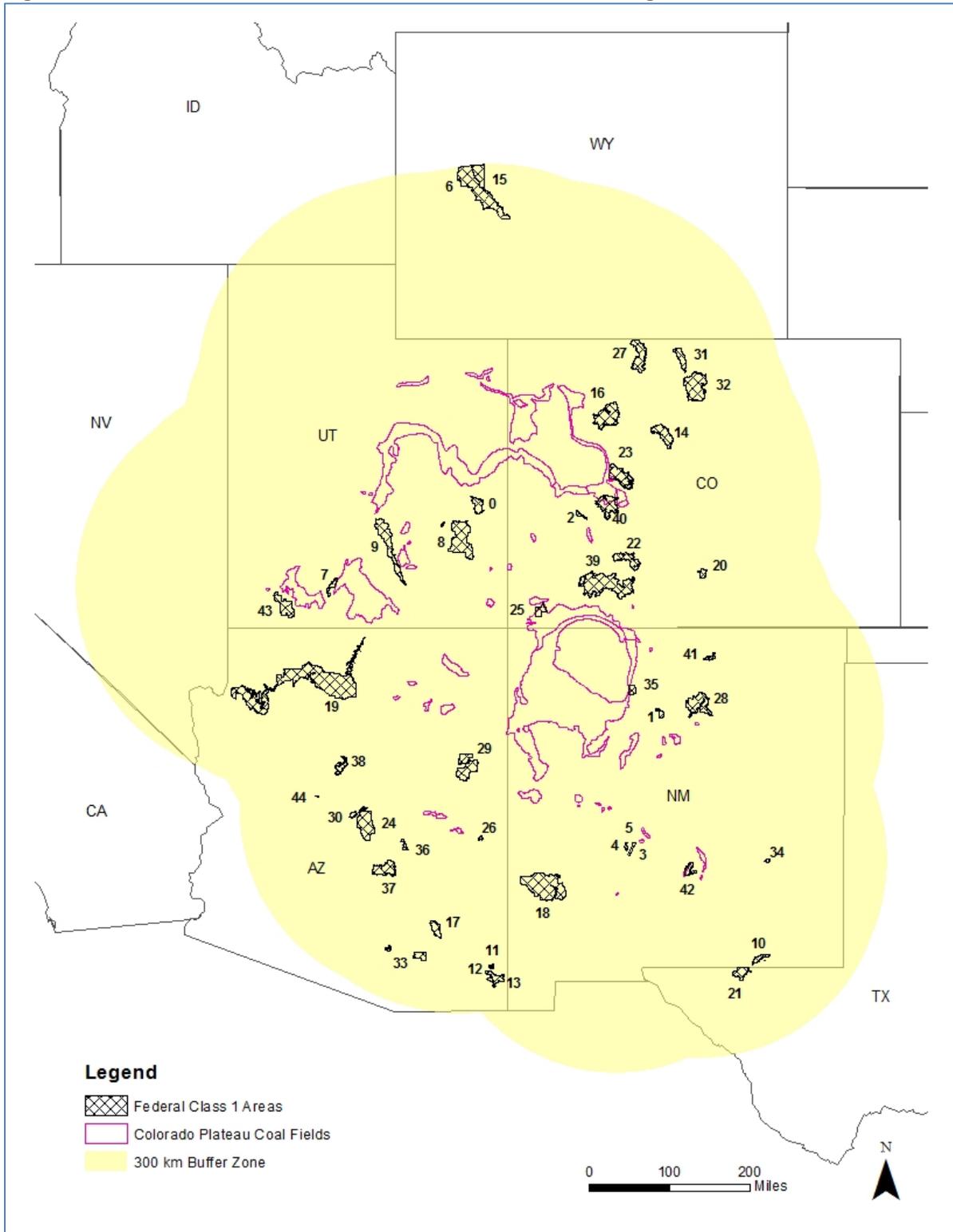
Source: U.S. EPA, 2011e; National Atlas of the United States, 2005

Figure 3.6.5 Nonattainment Areas in the Colorado Plateau Basin



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants,
<http://www.epa.gov/oar/oaqps/greenbk/index.html>

Figure 3.6-6. Federal Class I Areas in the Colorado Plateau Region



Source: National Atlas of the United States, 2005, Federal Lands of the United States, USGS, U.S. DOI.
<http://nationalatlas.gov/atlasftp.html>

3.6.2.3 Gulf Coast Region

3.6.2.3.1 Nonattainment Areas

There are two NAAQS nonattainment areas in the Gulf Coast region. Pike County, Alabama is in nonattainment for lead (U.S. EPA, 2016). Shelby County, Tennessee is in nonattainment for ozone (U.S. EPA, 2016). Figure 3.6-7 shows these areas.

3.6.2.3.2 Pollutants of Concern

Throughout the Gulf Coast region, ample crops, forestland, and trees are a source of biogenic VOC, such that only NO_x is the limiting factor for ozone formation. NO_x is formed as a result of combustion, so any fuel combustion at a mine can potentially contribute to ozone formation.

The Gulf Coast region has surface mining and coal preparation plants only (U.S. EIA, 2011b). The coal from this region has very high ash content and median sulfur content (U.S. EIA, 1989). The high ash content would produce higher particulate emissions during handling, storage, and drying of coal, increasing the need for higher air pollution control at these sources.

3.6.2.3.3 State and Local Air Quality Authorities

Each state in the Gulf Coast region has an EPA-approved SIP that grants permitting authority over their air management districts (U.S. EPA, 2011c). No local air quality regulations exist in the Gulf Coast region coal-producing counties.

3.6.2.3.4 Federal Class I Areas

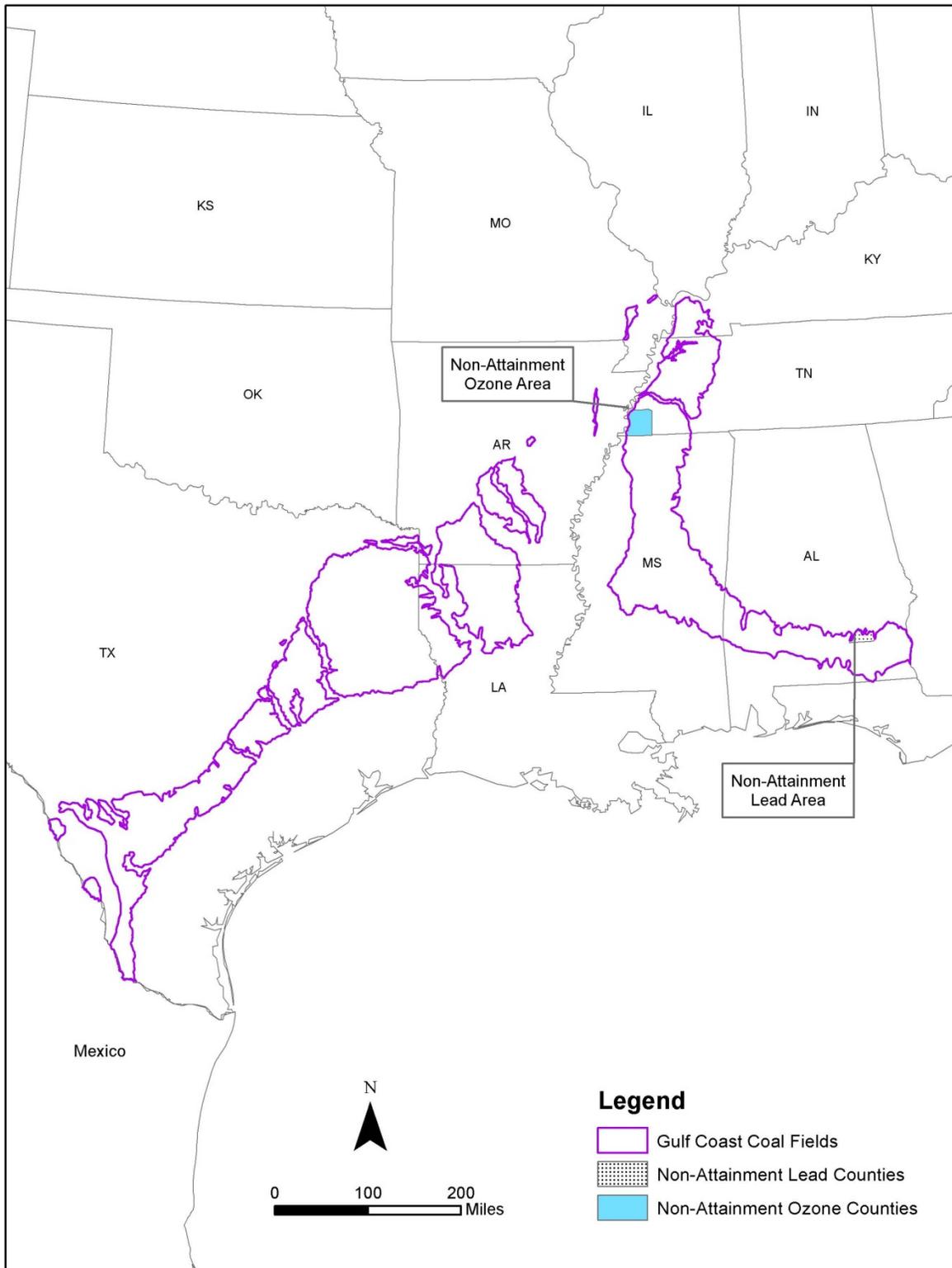
Federal Class I areas are designated federal lands, such as national parks and wilderness areas where air quality is subject to a higher level of protection. A mine subject to PSD regulation must review its impact on all Class I areas within 300 kilometers of the mine. In and around the Gulf Coast region, there are numerous Class I areas. These areas are depicted in Figure 3.6-8 and include (U.S. EPA, 2011e; National Atlas of the United States, 2005). The numbered areas are presented in Table 3.6-4.

Table 3.6-4. Federal Class I Areas in the Gulf Coast Region

FEATURE ID	NAME	STATE
0	Upper Buffalo Wilderness	AR
1	Sipsey Wilderness	AL
2	Hercules-Glades Wilderness	MO
3	Caney Creek Wilderness	AR
4	Bradwell Bay Wilderness	FL
5	Mammoth Cave NP	KY
6	Saint Marks Wilderness	FL
9	Okefenokee Wilderness	GA
10	Mingo Wilderness	MO
11	Breton Wilderness	LA

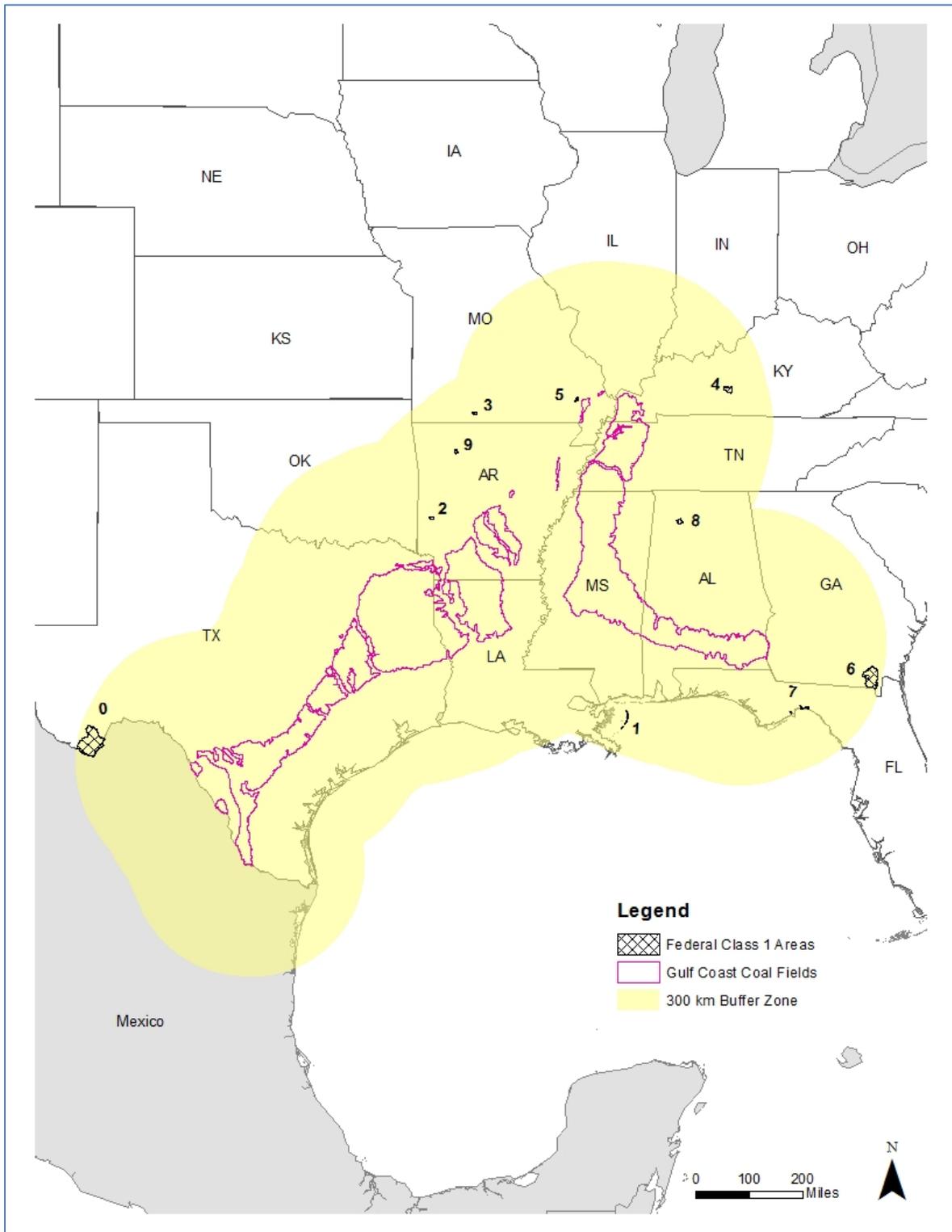
Source: U.S. EPA, 2011e; National Atlas of the United States, 2005

Figure 3.6.7 Nonattainment Areas in the Gulf Region



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants, <http://www.epa.gov/oar/oaqps/greenbk/index.html>

Figure 3.6-8. Federal Class I Areas in the Gulf Coast Region



Source: National Atlas of the United States, 2005, federal lands of the United States, USGS. <http://nationalatlas.gov/atlasftp.html>

3.6.2.4 Illinois Basin Region

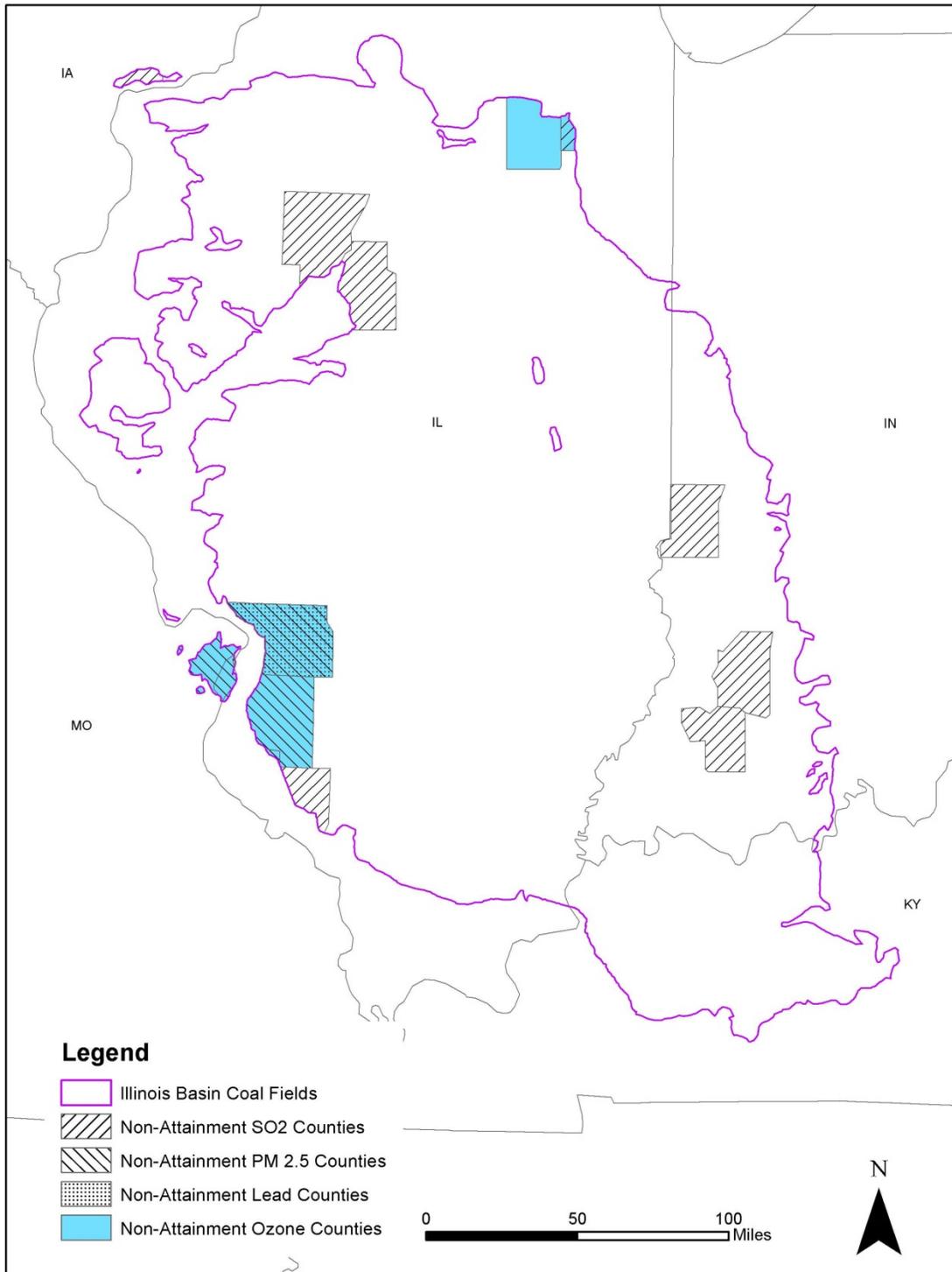
3.6.2.4.1 Nonattainment Areas

As of June 17, 2016 the following Illinois coal basin counties were listed as nonattainment counties for the specified criteria pollutants:

- Indiana:
 - SO₂: Daviess and Vigo Counties;
- Illinois:
 - Lead: Madison County
 - Ozone: Grundy, Madison, Monroe, and Will Counties;
 - PM_{2.5}: Madison, Randolph, St Clair, and Monroe Counties;
 - SO₂: Peoria, Tazewell and Vigo Counties;
- Missouri:
 - PM_{2.5}: St Charles, St Louis city, St Louis County;
 - Ozone: St Charles, St Louis city, St Louis County. (U.S. EPA, 2016).

Figure 3.6-9 shows these areas.

Figure 3.6-9. Nonattainment Areas in the Illinois Basin Region



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants, <http://www.epa.gov/oar/oaqps/greenbk/index.html>

3.6.2.4.2 Pollutants of Concern

Coal mined in the Illinois Basin generally contains a significant amount of sulfur. When burned, this sulfur is oxidized to sulfur dioxide, which contributes to fine particulate formation (PM_{2.5}) in the atmosphere. Therefore, when coal is burned at the mines for coal processing activities, air pollution controls or alternative fuels should be considered.

The Illinois Basin region has both surface mining and underground mining operations, as well as coal preparation plants (U.S. EIA, 2011b). The coal from this region has median ash content and very high sulfur content (U.S. EIA, 1989). This sulfur and ash content would increase the amount of coal cleaning necessary. As a result, the coal dryers may potentially cause greater particulate emissions (and possibly sulfur dioxide depending on the fuel) than at comparable mines in other regions.

3.6.2.4.3 State and Local Air Quality Authorities

Each state has an EPA-approved SIP that grants permitting authority over their air management districts. In addition to state permitting authorities, Jefferson County in Kentucky has a local permitting authority that issues air permits within its jurisdiction (U.S. EPA, 2011c).

3.6.2.4.4 Federal Class I Air Quality Areas

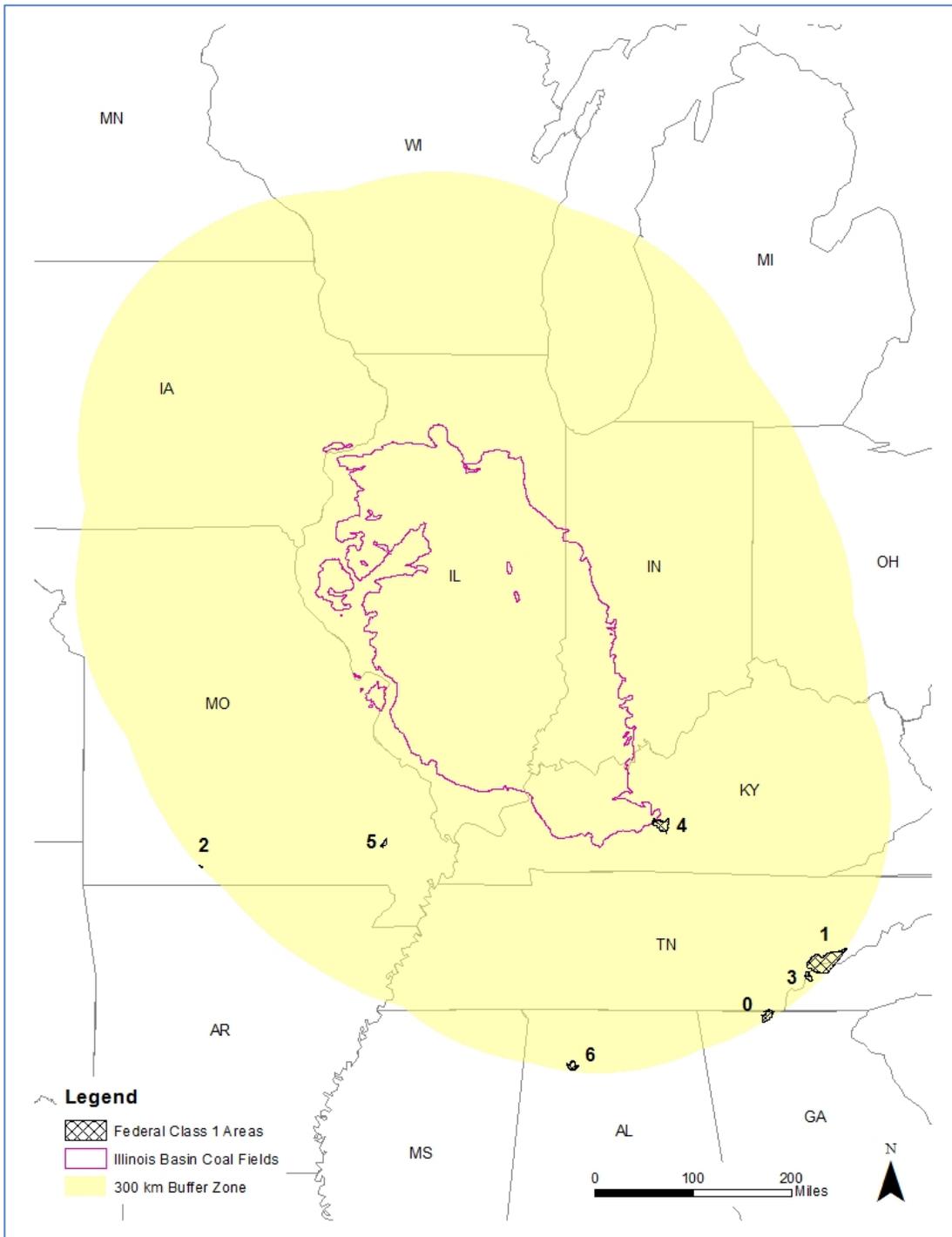
Federal Class I areas are designated federal lands where air quality is subject to a higher level of protection. In the Illinois Basin, there are numerous Class I areas. A mine subject to PSD regulation will need to review its impact on all Class I areas within 300 kilometers. Figure 3.6-10 shows the locations of the Class I areas within 300 kilometers of the region, which include (U.S. EPA, 2011e; National Atlas of the United States, 2005). The numbered areas are presented in Table 3.6-5.

Table 3.6-5. Federal Class I Areas in the Gulf Coast Region

FEATURE ID	NAME	STATE
0	Sipsey Wilderness	AL
1	Joyce Kilmer-Slickrock Wilderness	TN-NC
2	Mammoth Cave NP	KY
3	Mingo Wilderness	MO

Source: U.S. EPA, 2011e; National Atlas of the United States, 2005

Figure 3.6-10. Federal Class I Areas in the Illinois Basin Region



Source:

National Atlas of the United States, 2005, federal lands of the United States, USGS, U.S. DOI. <http://nationalatlas.gov/atlasftp.html>

3.6.2.5 Northern Rocky Mountains and Great Plains Region

3.6.2.5.1 Nonattainment Areas

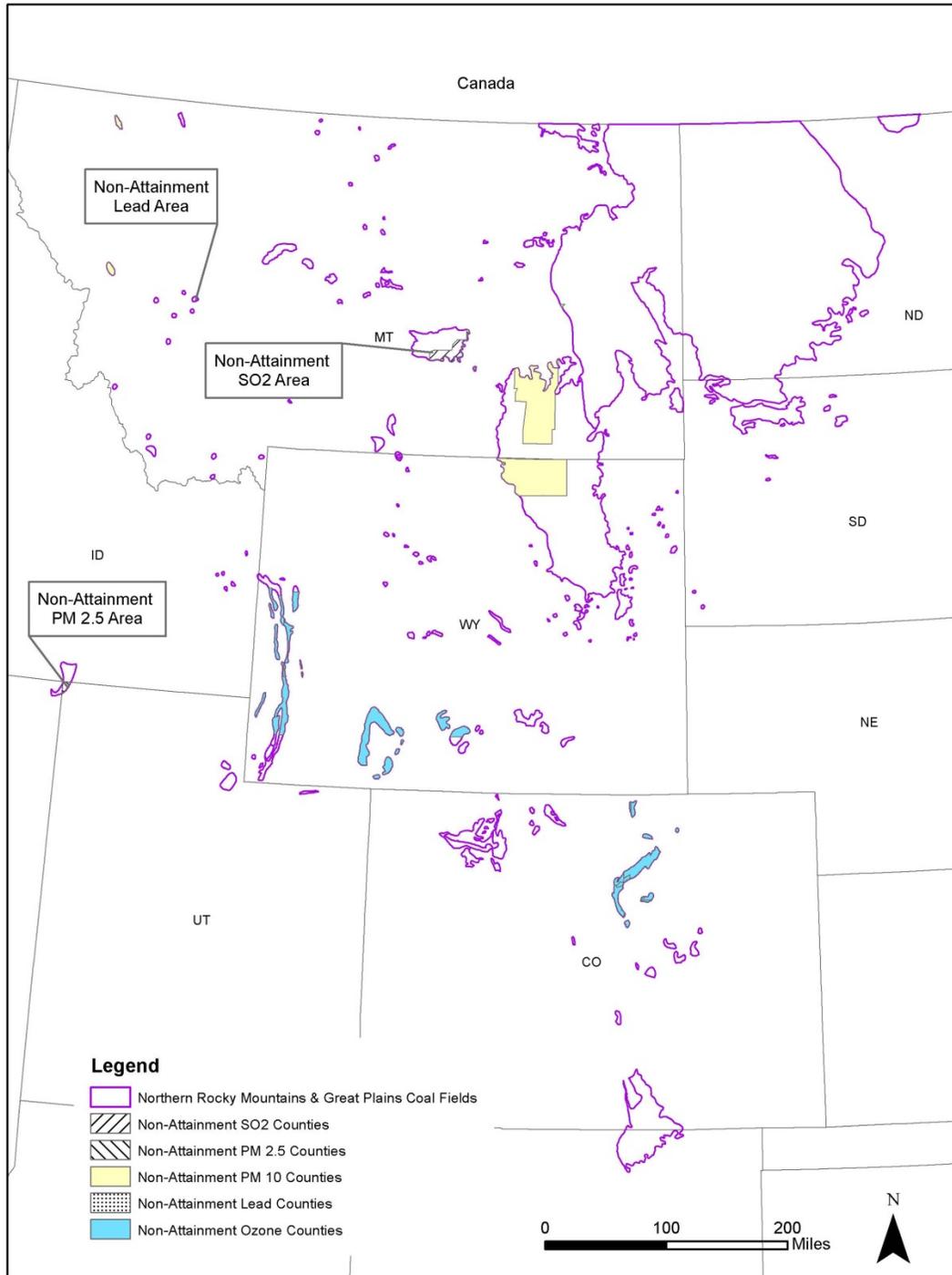
Coarse particulates (PM₁₀) and fine (PM_{2.5}), lead, ozone, and SO₂ currently exceed ambient air quality standards in certain counties of the Northern Rocky Mountains and Great Plains region (U.S. EPA, 2011b). Figure 3.6-11 depicts nonattainment areas within the Northern Rocky Mountains and Great Plains region.

Montana and Wyoming are the only two states within the Northern Rocky Mountains and Great Plains coal basin with counties that contain nonattainment PM₁₀ areas (U.S. EPA, 2016). Several counties within Colorado are in nonattainment for the current 8-hour ozone standard. The Upper Green River Basin (Sublette County and portions of Lincoln and Sweetwater counties) in southwestern Wyoming was designated as marginal nonattainment for ozone effective July 20, 2012. Montana is the only state in this coal basin with counties that contain nonattainment SO₂ areas (U.S. EPA, 2016).

The following nonattainment areas (U.S. EPA, 2016) are within the Northern Rocky Mountains and Great Plains region:

- Colorado:
 - Ozone: Adams, Arapahoe, Boulder, Broomfield, Denver, Douglas, Jefferson, and Larimar Counties;
- Montana:
 - Lead: Lewis and Clark County
 - PM₁₀: Flathead, Missoula and Rosebud Counties;
 - SO₂: Lewis and Clark County, and Yellowstone County;
- Wyoming:
 - Ozone: Lincoln, Sublette, and Sweetwater Counties:
 - PM₁₀: Sheridan County.
- Utah:
 - PM_{2.5}: Box Elder County

Figure 3.6-11. Nonattainment Areas in the Northern Rocky Mountains and Great Plains Region



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants, <http://www.epa.gov/oar/oaqps/greenbk/index.html>

3.6.2.5.2 Pollutants of Concern

Most of the mining in this area is surface mining, which would generate more surface disturbance and result in more dust generation. While Wyoming Department of Environmental Quality requires the use of Best Available Control Technology to minimize air quality impacts (Wyoming Air Quality Standards and Regulations Chapter 6, Section 2), dust emissions from mining activities caused by haul roads and conveyors are a concern in this region. The coal from this region has relatively low ash and sulfur content (U.S. EIA, 1989). Less coal cleaning is needed and particulate emissions from coal are low relative to other regions.

3.6.2.5.3 State and Local Air Quality Authorities

Each state has an EPA-approved SIP that grants permitting authority over their air management districts. Therefore, air permits for mining operations are issued by the states (U.S. EPA, 2011c).

3.6.2.5.4 Federal Class I Air Quality Areas

Federal Class I areas are designated federal lands where air quality is subject to a higher level of protection. A mine subject to PSD regulation will need to review its impact on all Class I areas within 300 kilometers. In the Northern Rocky Mountains and Great Plains region, numerous Class I areas exist around the Rocky Mountains and in other areas. Figure 3.6-12 depicts the locations of these areas, which include the following (U.S. EPA, 2011e; National Atlas of the United States, 2005):

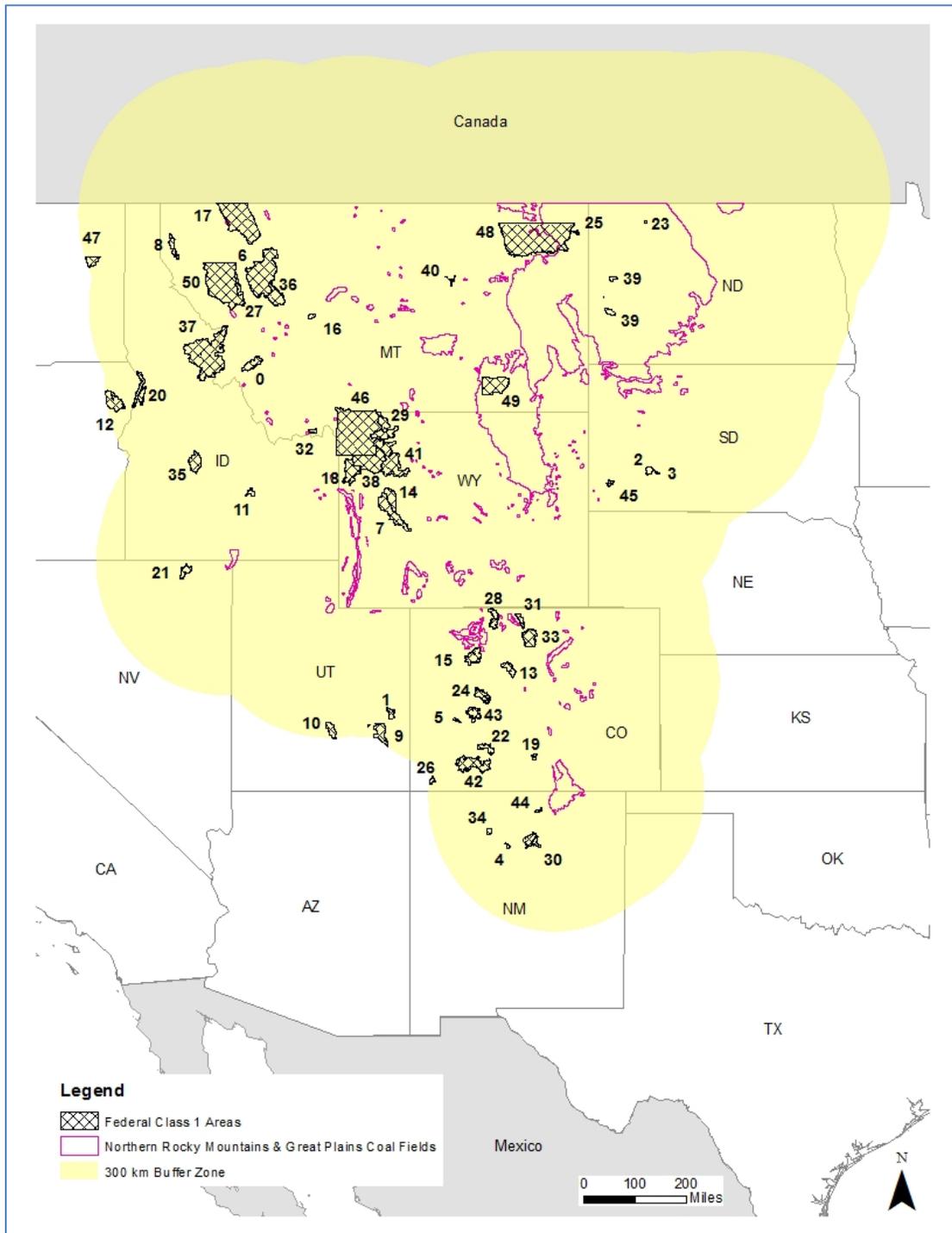
Table 3.6-6. Federal Class I Areas in the Northern Rocky Mountains & Great Plains Region

FEATURE ID	NAME	STATE
0	Wheeler Peak Wilderness	NM
1	West Elk Wilderness	CO
2	Weminuche Wilderness	CO
3	Washakie Wilderness	WY
4	Teton Wilderness	WY
5	Selway-Bitterroot Wilderness	MT-ID
6	Scapegoat Wilderness	MT
7	Sawtooth Wilderness	ID
8	San Pedro Parks Wilderness	NM
9	Rawah Wilderness	CO
10	Pecos Wilderness	NM
11	North Absaroka Wilderness	WY
12	Mount Zirkel Wilderness	CO
13	Mission Mountains Wilderness	MT
14	Maroon Bells-Snowmass Wilderness	CO
15	La Garita Wilderness	CO
16	Jarbridge Wilderness	NV
17	Hells Canyon Wilderness	ID-OR
18	Gates of the Mountains Wilderness	MT
19	Flat Tops Wilderness	CO
20	Fitzpatrick Wilderness	WY
21	Eagles Nest Wilderness	CO
22	Eagle Cap Wilderness	OR
23	Cabinet Mountains Wilderness	MT

FEATURE ID	NAME	STATE
24	Bridger Wilderness	WY
25	Bob Marshall Wilderness	MT
26	Anaconda Pintler Wilderness	MT
27	Yellowstone NP	WY
28	Rocky Mountain NP	CO
29	Grand Teton NP	WY
30	Glacier NP	MT
31	Capitol Reef NP	UT
32	Canyonlands NP	UT
33	Arches NP	UT
34	Craters of the Moon Wilderness	ID
35	Black Canyon of the Gunnison Wilderness	CO
36	Bandelier Wilderness	NM
37	Badlands/Sage Creek Wilderness 1	ND
38	Badlands/Sage Creek Wilderness 2	ND
39	Wind Cave National Park	SD
40	Theodore Roosevelt NP	ND
41	Great Sand Dunes Wilderness-nps	CO
42	Mesa Verde NP	CO
43	UL Bend Wilderness	MT
47	Red Rock Lakes Wilderness	MT
51	Medicine Lake Wilderness	MT
53	Lostwood Wilderness	ND

Source: U.S. EPA, 2011e; National Atlas of the United States, 2005

Figure 3.6-12. Federal Class I Areas in the Northern Rocky Mountains and Great Plains Region



Source: National Atlas of the United States, 2005, federal lands of the United States, USGS, U.S. DOI . <http://nationalatlas.gov/atlasftp.html>

3.6.2.6 Northwest Region (Alaska) Regional Air Quality, Meteorology and Noise

Alaska is the only state in this region discussed in detail in the FEIS because it has an active coal mine with coal extraction. As discussed in 3.0, coal production is not predicted to occur in the reasonably foreseeable future in the other portions of the Northwest region, and, therefore, these areas are not included here in this discussion of air quality.

3.6.2.6.1 Nonattainment Areas

There are no NAAQS nonattainment areas within the counties of the Northwest region.

3.6.2.6.2 Pollutants of Concern

There are no specific pollutants of concern in the Northwest region. There are currently surface mining and coal preparation operations associated with the one actively producing mining area in the Northwest region. The coal from this region has low ash and sulfur content (U.S. EIA, 1989). The low ash content would produce lower particulate emissions while the low sulfur content would reduce the amount of coal cleaning necessary.

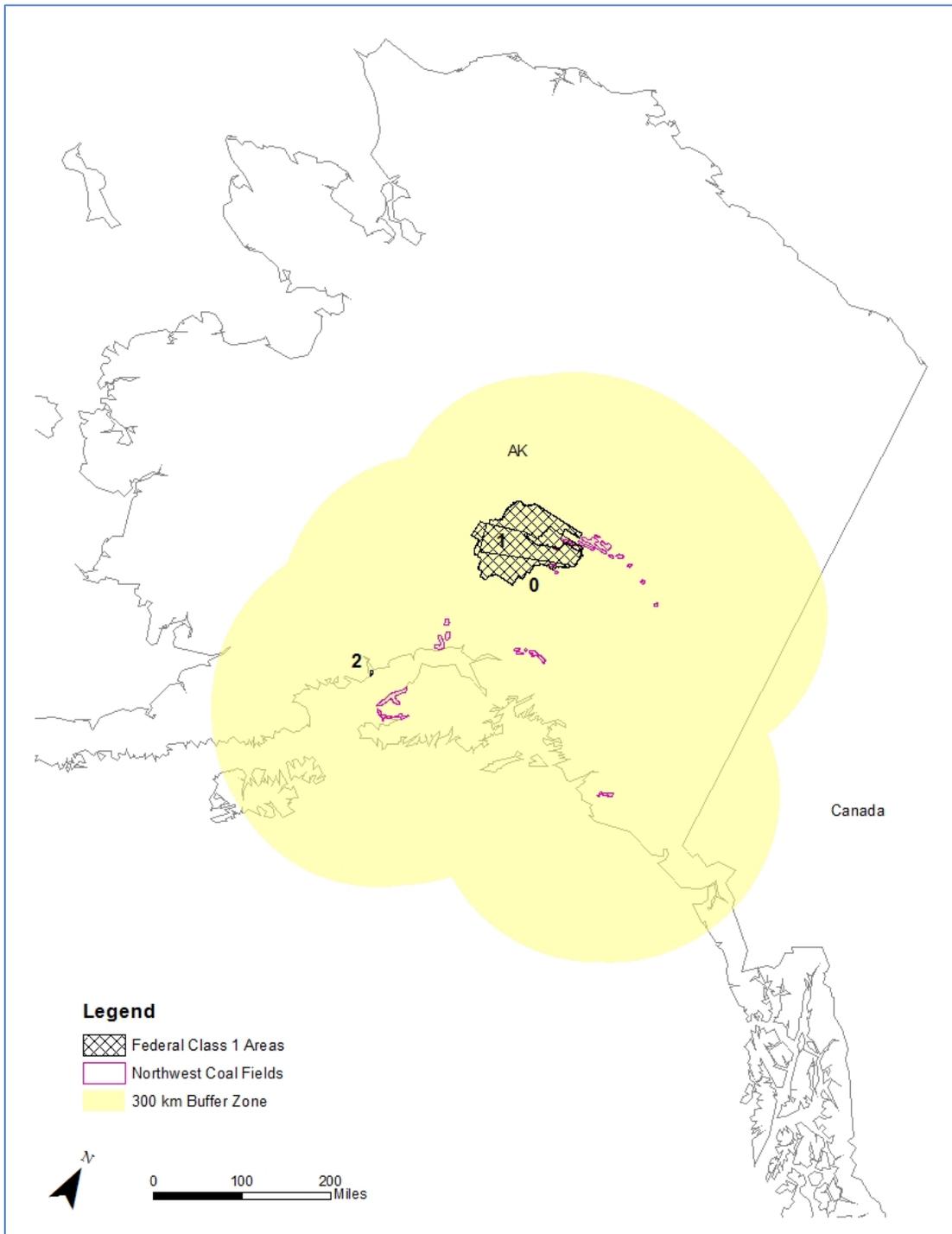
3.6.2.6.3 State and Local Air Quality Authorities

Alaska has an EPA-approved SIP that grants permitting authority over its air management districts. Therefore, any air permits for a mining operation would be granted by the state (U.S. EPA, 2011c). There are no local air quality authorities.

3.6.2.6.4 Federal Class I Air Quality Areas

Federal Class I areas are designated federal lands where air quality is subject to a higher level of protection. Denali National Park and Denali National Park and Wilderness are the only Class I areas within 300 kilometers of the subject coal fields. A coal mine permit would include a review of its impact on the Class I area (U.S. EPA, 2011e; National Atlas of the United States, 2005). Figure 3.6-13 shows the locations of these Class I areas.

Figure 3.6-13. Federal Class I Areas in the Northwest Region



Source: National Atlas of the United States, 2005, federal lands of the United States, USGS, U.S. DOI. <http://nationalatlas.gov/atlasftp.html>

3.6.2.7 *Western Interior Region*

3.6.2.7.1 Nonattainment Areas

There are three NAAQS nonattainment areas within the Western Interior region, Parker and Wise Counties in Texas for ozone and Jackson County Missouri for SO₂ (U.S. EPA, 2016). Figure 3.6-14 shows these areas.

3.6.2.7.2 Pollutants of Concern

There are no specific pollutants of concern in the Western Interior region. There are currently underground mining, surface mining, and coal preparation operations in the Western Interior region (U.S. EIA, 2016). The coal from this region has medium to high ash content and generally high sulfur content (U.S. EIA, 1989). This sulfur and ash content would increase the amount of coal cleaning necessary. As a result, coal dryers potentially could cause greater particulate emissions (and possibly sulfur dioxide emissions, depending on the fuel used in the dryers) than comparable mines in other regions.

3.6.2.7.3 State and Local Air Quality Authorities

Each state has an EPA-approved SIP that grants permitting authority over their air management districts. Therefore, air permits for mining operations are granted by the states (U.S. EPA, 2011c).

3.6.2.7.4 Federal Class I Air Quality Areas

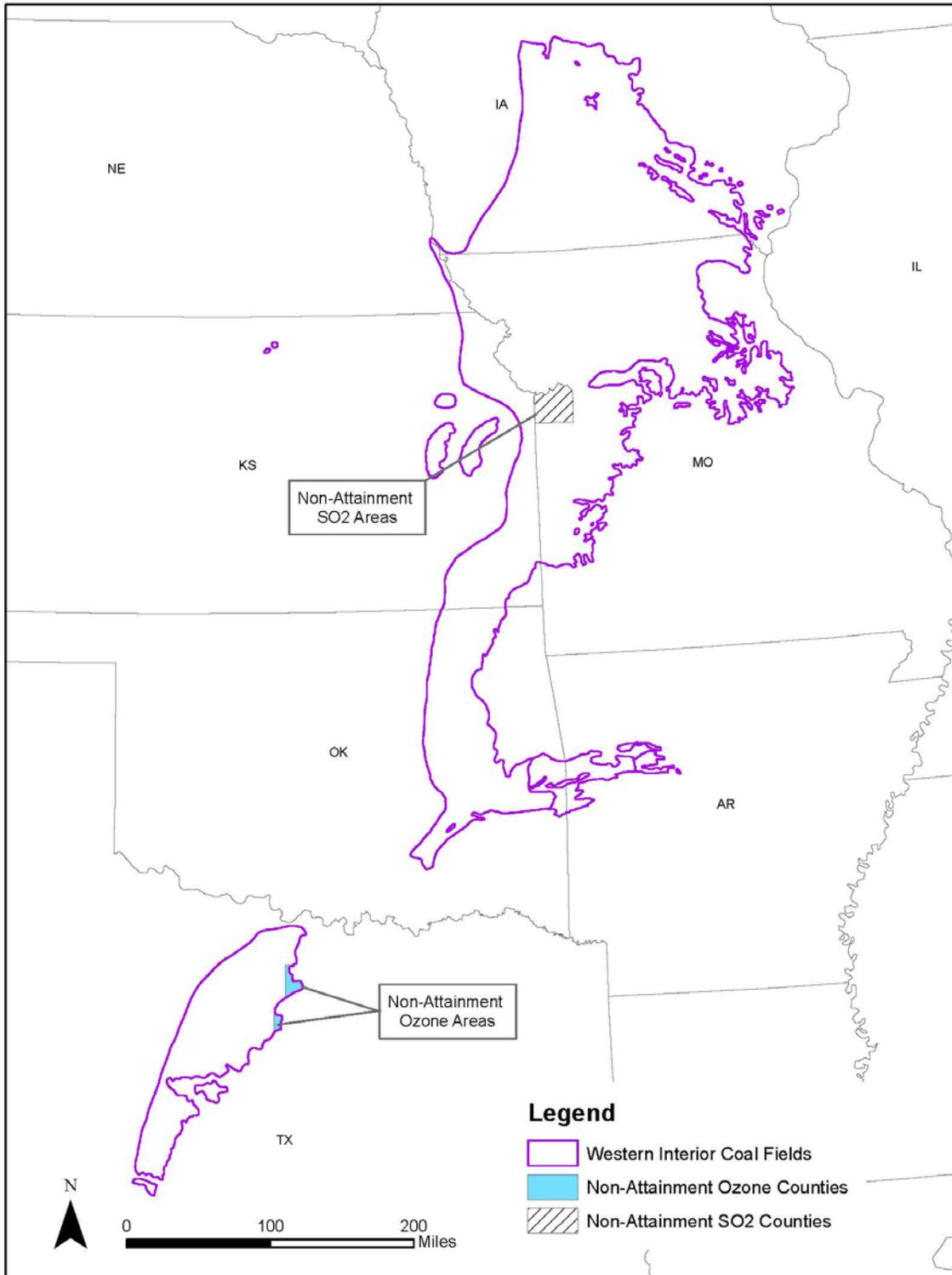
Federal Class I areas are designated federal lands where air quality is subject to greater protection. A mine subject to PSD regulation will need to review its impact on all Class I areas within 300 kilometers. Within 300 kilometers of the Western Interior region, there are numerous Class I areas. Figure 3.6-15 shows the locations of these Class I areas, which include (U.S. EPA, 2011e; National Atlas of the United States, 2005):

Table 3.6-7. Federal Class I Areas in the Western Interior Region

FEATURE ID	NAME	STATE
0	Upper Buffalo Wilderness	AR
1	Hercules-Glades Wilderness	MO
2	Caney Creek Wilderness	AR
3	Wichita Mountains (North Mountain Unit)	OK
4	Wichita Mountains (Charons Garden Unit)	OK
5	Mingo Wilderness	MO

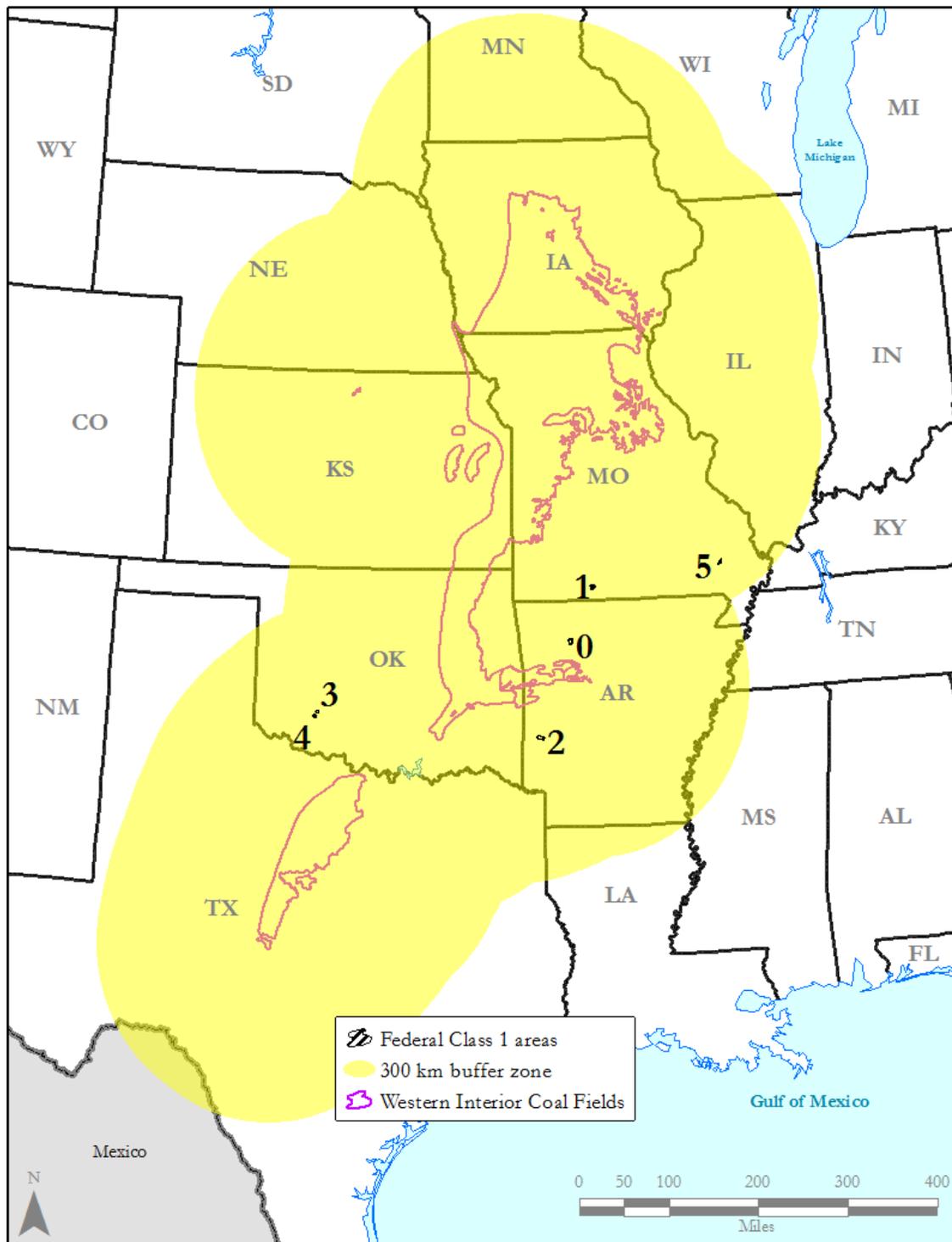
Source: U.S. EPA, 2011e; National Atlas of the United States, 2005

Figure 3.6-14 Nonattainment Areas in the Western Interior Region



Source: Data- U.S. EPA, 2016, The Green Book Nonattainment Areas for Criteria Pollutants, <http://www.epa.gov/oar/oaqps/greenbk/index.html>

Figure 3.6-15 Federal Class I Areas in the Western Interior Region



Source: National Atlas of the United States, 2005, federal lands of the United States, USGS, U.S. DOI. <http://nationalatlas.gov/atlasftp.html>

3.7 Land Use

3.7.1 Land and Mineral Ownership

Mineral ownership in the U.S. is often comprised of split estates, in which different parties own the surface and subsurface rights. Such estates are common throughout the coal-producing regions. In many instances, interests in the mineral estate (coal) were sold or otherwise severed long before the current surface owners acquired the land. State property law and legal instruments of conveyance determine the extent to which the owner of the mineral estate may exercise his or her rights to the detriment of the owner of the surface estate. Section 510 of SMCRA, however, requires that an applicant proposing to remove coal by surface mining methods must demonstrate a valid right of entry. In addition, Section 714 of SMCRA provides qualified surface owners, whose property overlies federal coal, with additional protections before the coal is leased for anticipated surface mining operations. Appendix G provides a detailed breakdown of land use percentages for the individual states and county study areas described by region below.

3.7.2 Federal and Indian Lands

The area of study includes seven coal-producing regions containing lands where the federal government holds title to the coal, the surface estate, or both. Recent USGS assessments estimate federally owned coal reserves in the U.S. at 957,000 million short tons (MMton), of which the Powder River Basin contains 58 percent (550,000 MMton) and the Colorado Plateau contains 38 percent (361,860 MMton). The remaining four percent of federally owned coal is distributed throughout other coal regions (USGS, 2007).

Federal surface lands in the eastern U.S. include National Forests, U.S. military properties, National Parks, water bodies, other recreational areas, and historical sites. In the coal-bearing area of the Appalachian Basin, about 90 percent of federal land is in National Forests.

USGS assessments of federally owned coal in the Northern and Central Appalachian coal regions indicated that federal coal ownership comprised 2 to 13 percent of the remaining reserves within those regions, while federal coal ownership in the West comprises approximately 70 percent to 80 percent of the total coal reserves in that region. (USGS, 2002b)

The Bureau of Land Management (BLM) has the authority to grant leases to operators wishing to mine federally owned coal. The Mineral Leasing Act of 1920 (MLA), as amended, and the Mineral Leasing Act for Acquired Lands of 1947, as amended, designates the BLM as the primary agency responsible for coal leasing on approximately 570 million acres of the 700 million acres of mineral estate owned by the federal government.

Not all federal lands are available for coal exploration or leasing. Under the BLM land use planning process, four land-use screening steps are used to identify which federal lands are acceptable for consideration for coal leasing and development:

- Identification of coal with potential for development;
- Determination if the lands are unsuitable for coal development;

- Consideration of multiple-use conflicts; and
- Surface owner consultation.

Specific coordination occurs during the review of permits for mining on federal lands and mining of federal coal. Mining of federal coal on lands where the surface is managed by a federal agency requires OSMRE to consult with the federal land managing agency during the permit application review. Mining of federal coal on lands where the surface is managed by a federal agency not within DOI requires OSMRE to consult with the managing agency to obtain consent on the terms of the mining plan prior to approval by the DOI Assistant Secretary, Land and Minerals Management (ALSM). Where the federal land is within a National Forest certain findings must be made before a permit for conducting surface coal mining operations on these lands may be issued. A prospective operator may assert valid existing rights to conduct surface mining of private coal on federal lands; in these instances it is ASLM and not the state regulatory authority that determines whether the operator has valid existing rights. 30 CFR 740.4(a)(4).

Prior to mining federal coal, a lessee/applicant must traverse a three step process: the BLM must issue a coal lease, the SMCRA regulatory authority must issue a surface mining permit, and the ALSM must approve a mining plan. Similarly, if an existing federal coal lessee seeks a surface mining permit revision, OSMRE must also determine whether the revision constitutes a mining plan modification that requires an additional ASLM mining plan approval.

As part of the first step—the federal coal leasing process—the BLM approves the applicant’s Resource Recovery and Protection Plan (R2P2), which “show[s] that the proposed operation meets the requirements of the MLA for development, production, resource recovery and protection, diligent development, continued operation, [maximum economic recovery], and [other applicable regulations] for the life-of-the-mine.” 43 CFR 3480.0-5(a)(34); see also 43 CFR 3482.1(b).

As part of the second step—the SMCRA permitting process—the applicant must submit a permit application package (PAP) to the SMCRA regulatory authority and to OSMRE, if OSMRE is not the regulatory authority. The identity of the regulatory authority is determined by whether a state has primacy and a formal State-Federal cooperative agreement that delegates the responsibility to regulate coal mining on federal lands to the State.

The requirements for the development, approval and administration of cooperative agreements are specified in 30 CFR Part 745—State-Federal Cooperative Agreements. Completed State-Federal cooperative agreements are found within 30 CFR 900 through 955. As of September 2016, fourteen states had cooperative agreements that designate them as the regulatory authority for federal lands: Alabama, Colorado, Illinois, Indiana, Kentucky, Montana, New Mexico, North Dakota, Ohio, Oklahoma, Utah, Virginia, West Virginia, and Wyoming. In most states this cooperative agreement allows the states to grant permits for federal leased coal; however, pursuant to the terms of West Virginia’s cooperative agreement, OSMRE is the issuer of permits for federal leased coal. In the states of Tennessee and Washington, OSMRE is the regulatory authority for federal and non-federal lands.

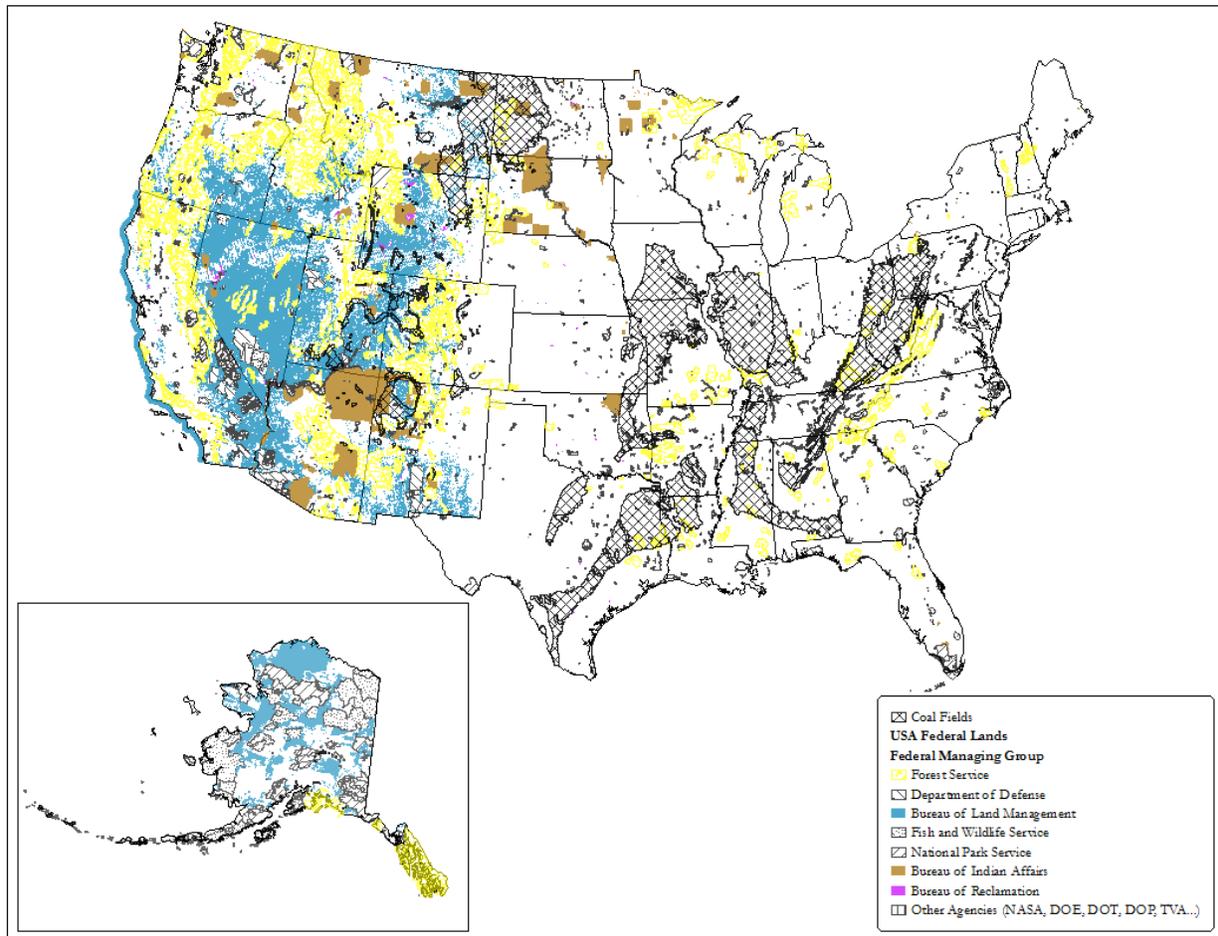
The SMCRA regulatory authority will review and approve, approve with conditions, or disapprove the proposed SMCRA permit application.

In most cases, the SMCRA permit will be approved prior to OSMRE beginning its development and review of the mining plan and the Mine Plan Decision Document (MPDD), the third step required by the MLA prior to extraction of federal coal. Once OSMRE, in consultation with other appropriate federal and state agencies, assesses the completeness and adequacy of the MPDD, OSMRE will recommend approval, approval with conditions, or disapproval of the mine plan to the ASLM. The MPDD is the document by which the ASLM will act on the mining plan. The ASLM is not required to follow OSMRE's recommendation.

The authorization for coal leasing on Indian lands is provided by the Indian Mineral Leasing Act of 1938 and the Indian Minerals Development Act of 1982 (IMDA). Most leasing on tribal land is currently done under the IMDA. This act establishes that tribes have the authority to enter into agreements to develop coal reserves on Indian lands independently without federal oversight. The IMDA also provides that the federal government will provide advice, assistance, and information during this process. The assistance to tribes is facilitated by the Bureau of Indian Affairs (BIA) in coordination with the BLM, the Office of Natural Resources Revenue (ONRR), OSMRE, and other agencies as necessary. Once leasing agreements have been approved by the Tribe and the BIA, the BLM must approve the mining plan, including the R2P2, and OSMRE must approve the SMCRA permit application for the proposed surface coal mining and reclamation operations. The BLM regulates coal exploration activities on Indian lands.

Figure 3.7-1 shows federal lands and Indian lands in the conterminous U.S. in relationship to the coal fields, (this map does not distinguish between mineable and non-mineable coal). However, this figure does not include lands where the federal government or Indian tribe owns the mineral resources but not the surface estate.

Figure 3.7-1. Federal Lands and Coal Fields in the Conterminous United States



Source: USGS, 2013d. Coal Fields and Federal Lands of the Conterminous United States. Open-File Report 97-461. U.S. Department of the Interior. <http://pubs.usgs.gov/of/1997/ofr-97-0461/>

3.7.3 Regional Land Use

3.7.3.1 Appalachian Basin Region

Approximately 60 percent of the premining land in the Appalachian Basin is deciduous forest. There are several large national forests within the area, including the Daniel Boone National Forest and the Monongahela National Forest. Most of the farmland in the Appalachian coal regions is in Northern Appalachia, with small agricultural areas in central and southern Appalachia. Approximately 10 percent of the land in the Appalachian Basin is pasture/hayland, and four percent is used for cultivated crops. Table 3.7-1 (see Appendix G) provides a detailed breakdown of land use percentages for the individual states and county study areas within this region (USGS, 2001b).

In 2003, OSMRE in conjunction with the EPA, U.S. Army Corps of Engineers (USACE), U.S. Fish and Wildlife Service (U.S. FWS), and West Virginia Department of Environmental Protection (WV DEP), prepared a programmatic Environmental Impact Statement (EIS) to evaluate the impacts of mountaintop

mining and valley fills (U.S. EPA, et al., 2003). The purpose of the final EIS was: “to evaluate options for improving agency programs under the Clean Water Act (CWA), SMCRA and the Endangered Species Act (ESA) that will contribute to reducing the adverse environmental impacts of mountaintop mining operations and excess spoil valley fills in Appalachia” (U.S. EPA, et al., 2003). The study area for this EIS was approximately 12 million acres encompassing most of eastern Kentucky, southern West Virginia, western Virginia, and scattered areas of eastern Tennessee. The following information is derived from that study.

The overwhelming land use in the study area is forest, which covers approximately 11 million acres or 92 percent of the total 12 million acre study area. Deciduous forests cover over nine million acres or 79 percent of the study area. Mixed deciduous and evergreen forests encompass nine percent of the study area. Developed areas (residential, commercial and industrial) account for about one percent of the study area.

Under current practice, some post-mining land uses reflect a conversion from forested lands (with substantial carbon sequestration/storage) to other uses (ag, grasslands, urban) that have lower carbon sequestration/storage capacity (in addition to harvested wood/other vegetation that are, as discussed here, in some cases burned if not sold or turned into the soil).

3.7.3.1.1 West Virginia Study Area

The 2002 West Virginia University Land Use Assessment was conducted to examine land use issues associated with mountaintop mining⁶ in the 14-county study region of southern West Virginia (Yuill, 2002). The results were derived from Landsat satellite data. The satellite data was classified and converted to Geographic Information System (GIS) coverage for analysis and display. Results confirmed the forested/lightly developed character of the West Virginia mountaintop mining region. Almost 88 percent, or slightly over four million acres, was classified as mature forest land, with the diverse mesophytic forest type, which was most prevalent at almost three million acres. All developed land uses (intensive urban, moderately intensive urban, light urban, populated areas, major roads, and infrastructure such as power lines) accounted for 155,000 acres or roughly three percent of the land area. Agricultural land uses were found on approximately a quarter of a million acres or five percent of the land area. Other general land use/land cover categories include: shrub land and woodland areas with slightly over 63,000 acres; water/wetlands with 56,000 acres or one percent of the land area; and barren land/mining with 74,000 acres or 1.5 percent of the study area.

⁶ The term “mountaintop mining” used in the 2003 Mountaintop Mining-Valley Fills DEIS encompasses three different kinds of surface mining operations (contour mining, area mining, and mountaintop removal mining) that create valley fills. This is a broader definition than the legal definition used in SMCRA “mountaintop removal mining.” Mountaintop removal mining totally extracts underlying coal seams, and the reclaimed land is left in a flat or gently rolling configuration capable of supporting certain postmining land uses, such as industrial, commercial, residential, agricultural, or public facilities (including recreational facilities). Mountaintop removal operations are subject to the approximate original contour (AOC) variance provisions of SMCRA, in order to provide for the development of such lands to alternative uses that could not otherwise be achieved if the lands were restored to AOC. Steep slope AOC variances are also allowed under SMCRA for the purpose of developing alternative land uses; however, unlike mountaintop removal AOC variances, agricultural land uses are not allowed.

3.7.3.1.2 Patterns of Land Use Changes, West Virginia Study Area

The general land use/land cover changes for the 14-county West Virginia study area were examined during three different time periods: 1950, 1976, and 2001.

- An analysis of the data from the three periods noted above revealed the following general patterns of land use change in the region:
- The acreage of developed area increased from 42,533 acres in 1950 to 154,966 acres in 2001. This acreage likely does not include much of the dispersed development that dominates the region.
- Agricultural acreage decreased from almost a million acres in 1950 to 188,000 acres in 1976, then increased to 246,000 acres by 2001. Much of the acreage increase in the second period is due to coal mining and reclamation that converted areas from existing forest land to grassland/pasture.
- Forest areas increased from under four million acres in 1950 to almost 4.5 million acres in 1976, and then fell to under 4.3 million acres by 2001. The current loss of forest land is due to mine reclamation that converted land from forest to grassland/pasture, as well as to new urban development.
- Disturbed areas increased from just over 3,000 acres in 1950 to a high of 85,000 acres in 1976 and are presently over 73,000 acres. This acreage is comprised of areas where vegetation was not established during those time periods. Lands which are not vegetated and otherwise do not fit into other categories are classified as “disturbed.” Revegetated mined lands do not fall under this category.

A separate estimation of the extent of mining was developed by West Virginia University for the land use study. This is due to other sources significantly underestimating mined areas by placing reclaimed areas into other land use/land cover categories such as grassland/pasture and forest. A compilation of various data sources indicate that over 244,000 acres or approximately five percent of the West Virginia mountaintop mining study area contains evidence of disturbance from past or current mining practices. Mining-related land uses are the second most prevalent land use/land cover in the region, after forest land.

3.7.3.1.3 Current Studies of Postmining Land Use in the Appalachian Basin

Current studies indicate that the most common uses of reclaimed mine lands in the Appalachian coal region are hay and grass pastureland (Simmons et al., 2008). According to Burger et al., (2009), thousands of acres of Appalachian mined land that were originally forested have been reclaimed as hayland, pasture, or wildlife habitat. Grass and legume species used to revegetate reclaimed surface-mined lands in the Central Appalachian coal region are also used for cattle production (Ditsch et al., 2009).

Current regulations require revegetation in accordance with premining land use, unless an approved alternate Postmining Land Use (post mining land use) has been granted by the regulatory authority. Current practice often results in premining forested lands being converted to post mining land use designations as agriculture (i.e., pasture or hayland), fish and wildlife habitat (combined with another use), and commercial or industrial development, decreasing the percentage of forest lands while increasing the percentage of agricultural, grassland, or developed land. According to findings from the USGS's Land Cover Trends project, forested lands have decreased over the timeframe of 1973 through

2000. The results vary by ecoregion, and the ecoregions do not exactly overlay the coal resource regions. However, the data supports the hypothesis that forested land has been slowly converted to other land uses. Mining is not the sole reason for this trend because urban expansion and clearing for agricultural uses also contribute to the reduction in forested lands. This general trend does not imply that the reduction is consistent throughout each ecoregion given that various reclamation techniques are employed by different regulatory authorities. For example, in Virginia, a majority of reclaimed mine land is restored as unmanaged forest, and the overall area of hardwood forest types has increased steadily since the first forest inventory in 1940 (Burger and Zipper, 2009; Virginia DOF, 2013).

Forested mine sites (anywhere, not just in this region) must be logged before mining and economically recoverable forest products are removed from the site. The remaining forest material may be subsequently windrowed at the edge of the mine site to provide wildlife habitat enhancement. Some portion may be burned and/or buried beneath the backfill. Selection of ground cover species for reclamation within the Appalachian Basin region has typically been oriented to those species relatively easy to establish for maximum control of erosion, with minimal postmining maintenance or management costs required. Consequently, selected post mining land uses often minimize or eliminate the reestablishment of trees. post mining land uses without trees were historically perceived to be easier to achieve and less costly. In addition, they result in a shorter liability period for release of the performance bonds required by SMCRA.

3.7.3.1.4 Current Trends in Postmining Land Uses in Kentucky and West Virginia

In December 2009, the Government Accountability Office (GAO) completed a study titled “Characteristics of Mining in Mountainous Areas of Kentucky and West Virginia.” Completed at the request of Congress, the study reported on the characteristics of surface coal mining and reclaimed lands that were disturbed by surface coal mining in the mountainous, eastern part of Kentucky and West Virginia. The study focused on approved post mining land uses, restoration of AOC and associated variances, and the number and size of excess spoil fills.

During the compilation of its report, GAO used data from the states for permits issued from January 2000 through July 2008. This data provided information on the approved post mining land use, the extent to which the land is restored to its AOC, and the number and size of fills created from excess spoil.

In addition to post mining land use types, the state data contained information on the type of land use associated with the permitted area immediately prior to mining or the premining land use. The most common types of premining land use in permits issued from January 2000 through July 2008 were the same for both states: forestland and previously mined but unreclaimed lands.

Kentucky’s data shows that, for permits issued between January 2000 and July 2008, 415 permits had a premining land use of forestland, while 290 were previously mined (as with post mining land use, permits can identify more than one premining land use type). Moreover, 44 permits identified hay or pastureland, and 43 permits identified other types of premining land use, including 24 permits with undeveloped land.

Over the same period, West Virginia’s data shows 174 permits had a premining land use of forestland, and 59 were previously mined. Additionally, 43 permits had a premining land use type of fish and wildlife/recreation, while 45 permits identified other types of premining land use, including 23 for hay or pastureland.

In Kentucky, between January 2000 through July 2008, 216 permits were approved for fish and wildlife habitat as a post mining land use, followed by 209 permits approved for hay or pastureland, and 109 permits approved for forestland. Fifty-nine permits issued during that time were approved for other post mining land use types including 22 residential, 19 industrial, and 12 commercial.

In West Virginia, between January 2000 through July 2008, 141 permits were approved for forestland as a postmining land use, followed by 46 approved permits for fish and wildlife habitat/recreation and 34 permits approved for hay or pastureland. Sixty permits issued during this time were approved for other post mining land use types, including 23 for commercial forestry or woodland, and 12 for industrial/commercial uses.

The most common postmining land uses approved for permits issued in January 2000 through July 2008 were fish and wildlife habitat in Kentucky and forestland in West Virginia.

3.7.3.1.5 Current Trends in AOC Variances

The 2009 GAO report on Surface Coal Mining (U.S. GAO, 2009) also provided data on Kentucky's and West Virginia's AOC variances. Between January 2002 and July 2008, Kentucky approved AOC variances for 24 percent of the permits issued, for a total of 99 variances. Of those AOC variances, 79 were for remining, five for mountaintop removal mining and 15 for steep slope mining. During the same period, West Virginia approved AOC variances for 15 percent of the permits issued, for a total of 33 variances. Of those AOC variances, nine were for remining, 18 were for mountaintop removal mining, and six for steep slope mining.

3.7.3.1.6 Mountaintop Removal and Steep Slope AOC Variance Postmining Land Uses

Between July 1, 2006 and June 30, 2012, OSMRE reviewed data from Tennessee, Virginia, Kentucky, and West Virginia regarding the approved post mining land use associated with MTR and steep slope AOC variances. Tennessee and Virginia did not have any MTR AOC variances approved within the six year review. Kentucky had one MTR AOC variance totaling 284 acres, with a Hay/Grazing post mining land use. West Virginia had four MTR AOC variances. Three had an industrial/commercial post mining land use totaling 3960 acres while the other had a commercial forestry post mining land use of 211 acres, totaling 4,171 acres. In addition, West Virginia had one combined variance (AOC/Steep Slope) with an industrial/commercial post mining land use for a 70-acre ATV trail park.

Tennessee did not have any steep slope mining AOC variances approved within the four year time period reviewed. Virginia had two steep slope AOC variances, with an industrial/ commercial post mining land use totaling 80 acres. Kentucky had five steep slope AOC variances with one industrial/commercial post mining land use of 553 acres, one public facility/recreation post mining land use of 111 acres and two residential post mining land use totaling five acres, for a total of 669 acres of steep slope AOC variances. West Virginia had one steep slope AOC variance, with an industrial/commercial post mining land use for a horse park/campground totaling 70 acres.

3.7.3.1.7 Economic Development of Mountaintop Mining Areas

In December 2009, a study was conducted concerning the reclaimed mountaintop sites in the coal surface mining regions of Kentucky, West Virginia, Virginia, and Tennessee (Geredien, 2009).⁷ The study sought to determine how much of the postmining landscape was converted to new land uses such as industrial, commercial, or residential development. This study identified land uses that could be classified as “post-mining economic development” including “industrial, commercial, residential, or public” uses. Specific development sites were identified using information published by the National Mining Association.

The results of the study indicated the following:

- Twenty-seven sites revealed verifiable postmining economic development.
- Economic development projects included:
 - One federal prison;
 - Three oil/gas fields;
 - Two airports;
 - One hospital;
 - One ATV training center;
 - Three golf courses;
 - Four industrial/business parks;
 - Two county/municipal parks; and
 - One county fairground.
- Nine sites were developed for commercial agriculture or farming.

3.7.3.2 Colorado Plateau Region

A substantial percentage of the surface land in the Colorado Plateau coal region is federally owned. Additionally, most of the coal in the Colorado Plateau region is federally owned. A significant portion of the remaining coal (approximately 20 percent of western region coal) is non-federally owned but minable only in association with federal coal.⁸

As previously mentioned, surface features overlying federal coal reserves would be protected under BLM’s land use planning procedures. Unique to the Colorado Plateau are significant areas of coal resources located on Indian Lands of the Hopi and Navajo reservations in Arizona and New Mexico. The Navajo Mine (owned by the Navajo Nation) is located on the Navajo Reservation in San Juan County, New Mexico. Approximately 50 percent of the surface of the coal-bearing area in this region is

⁷ The study evaluated 410 known mountains and ridges within an existing geographic information system database where the elevation had been reduced by at least 50 feet due to mining. The authors assert that such elevation reductions constitute mountaintop removal operations, however such elevation changes may also represent areas mined that were returned to AOC, therefore any conclusions relative to mountaintop removal mining, as that term is used in SMCRA, are not presented here.

⁸ Land ownership alternates in a checkerboard fashion throughout the western US due to 19th century federal land grants to railroads. Surface and mineral rights have been since been sold in many areas but alternating property owners often remains an impediment to economical mineral extraction.

administered by the federal government. Approximately 23 percent consists of Tribal lands. While these lands are held in trust by the U.S. government, they are not considered federal lands. The remaining percentage of approximately 26 percent is administered by state agencies or is privately owned (Kirschbaum et al., 2000).

Approximately 47 percent of the land in the Colorado Plateau consists of shrub/scrubland. Nearly 24 percent is evergreen forest. Less than three percent of the land in the Colorado Plateau is used for agricultural purposes (cultivated crops and pasture land). A large portion of this region is sparsely populated, and there are few urban areas.

The counties included in this table are not intended to represent all coal mining counties within the states or those that could occur in the future. However, they are considered representative of typical land uses that might be encountered within the coal region in those states.

Typical premining land uses in this coal-bearing region are agricultural activities (including cropland and livestock grazing lands), dispersed recreation, wildlife habitat, and industrial uses such as oil and gas development (U.S. BLM, 2009a). Typical postmining land uses in this region tend to mirror premining land uses mentioned above and would principally be approved as grazing land, wildlife habitat, and to a lesser degree pasturelands or croplands.

3.7.3.3 Gulf Coast Region

The Gulf Coast region is over 26 percent pastureland. Premining land use in Texas generally reflects agricultural activities identified by SMCRA as pasture/hayland and grazing land uses. Shrub/scrub land accounts for almost 16 percent of the land in the region. Compared to other regions in the study area, the Gulf Coast region has the highest percentage of wetlands at close to 11 percent of the total land. Much of these wetlands occur in Louisiana, which has two operating lignite mines. Mines located in Mississippi and Louisiana are predominantly located in areas with forestry premining land uses and some pastureland and cropland. Table 3.7-3 (see Appendix G) provides a detailed breakdown of land use percentages for the individual states and county study areas within this region (USGS, 2001b). The counties included in this table are not intended to represent all coal mining counties within the states or future coal mining counties. However, they are considered representative of typical land uses that might be encountered within the coal region in those states.

SMCRA postmining land uses in Texas are dominated by pasture/hay land and grazing land uses. Developed water in the form of final cut lakes also exists, with fish and wildlife land uses in areas adjacent to streams and lakes. In regions of Texas that receive more precipitation, forestry in upland areas has become an important post mining land use. At Mississippi and Louisiana lignite mines, approved forestry post mining land uses dominate with some pasture/hay land and occasional cropland uses.

3.7.3.4 Illinois Basin Region

The Illinois Basin covers the southern two-thirds of the state of Illinois, the southwestern portion of Indiana and parts of western Kentucky. In comparison to the other coal-producing regions in the study area, the Illinois Basin has the highest instance of cultivated cropland. Cropland accounts for over 48 percent of the land use in this region. The majority of the cropland is located in Illinois and Indiana.

Deciduous forest lands are also a predominant feature in this region, making up nearly 26 percent of the landscape. The third most common land use in this region is pasture and hay lands, which make up almost 11 percent of the area. Table 3.7-4 (see Appendix G) provides a detailed breakdown of land use percentages for the individual states and county study areas within this region (USGS, 2001b). The land uses included in this table are not intended to represent all coal mining counties within the states or those that could occur in the future. However, they are considered representative of typical land uses that might be encountered within the coal-producing regions in those states.

SMCRA postmining land uses in Illinois and Indiana are dominated by agricultural land uses, including cropland (much of it prime farmland) and pasture/hay land uses to a lesser extent. Fish and wildlife and developed water, from final cut lakes, are also important postmining land uses in both states. Recently, there has been a noticeable increase in forestry postmining land uses in Indiana. Kentucky postmining uses are largely pasture/hayland and fish and wildlife uses.

3.7.3.5 Northern Rocky Mountains and Great Plains Region

Like the Colorado Plateau, most of this region is federal land or federal mineral estate, and therefore subject to the restrictions outlined in subsection 3.7.3.2. To a much lesser extent than in the Colorado Plateau, areas of coal resources on Indian Lands in this region exist predominantly on Crow and Northern Cheyenne Tribal Lands. Approximately 80 percent of the available coal resources in the region are federally owned, and about 15 percent occur beneath federally managed lands. The rest of the coal occurs beneath state, tribal, or privately owned lands (USGS, 1999).

Similar to the Colorado Plateau, the Northern Rocky Mountains and Great Plains region is also predominantly shrub/scrublands. This feature makes up 45 percent of the regional land use. Wyoming accounts for a substantial part of this area, with 65 percent shrub/scrublands. Grasslands also provide a substantial percentage of the land use, nearly 30 percent for the region. This region is sparsely populated, with widely scattered population centers. All three categories of urban land use (low, medium and high intensity) collectively make up for only 0.36 percent of the total land use. Table 3.7-5 (refer to Appendix G) provides a detailed breakdown of land use percentages for the individual states and county study areas within this region (USGS, 2001b). The land uses included in this table are not intended to represent all coal mining counties within the states or those that could occur in the future. However, they are considered representative of typical land uses that might be encountered within the coal-producing region in those states.

Typical premining land uses in the Northern Rocky Mountains and Great Plains region include croplands, livestock grazing lands, and wildlife habitat, with gas production, recreation, and renewable energy being secondary uses (U.S. BLM, 2010a). In certain cases, additional secondary uses include communication/power lines and transportation (U.S. BLM, 2009b). Typical postmining land uses approved in this coal-bearing region generally mirror premining land uses. Most approved postmining land uses in this region include grazing land and wildlife habitat. In North Dakota, cropland postmining land uses are very common as well. However, in certain instances a post mining land use may differ from premining land use. For example, at the Dave Johnston Mine in Wyoming, portions of the reclaimed mine have been approved for an industrial post mining land use in support of wind energy development.

3.7.3.6 Northwest Region

The Northwest region currently has one active coal mine, the Usibelli Mine is southwest of Fairbanks, Alaska and northeast of Healey, Alaska. This area is surrounded by the Denali National Park and Denali State Wilderness to the west, the Tanana Valley State Forest to the north and east, and Nelchina Public Use Area to the south. Land use in Tanana Basin Subregion 4 includes commercial guiding, hunting, trapping, and recreation (AKDNR, 1991). There are several communities within this subregion: Nenana, Healy, McKinley Village, and Anderson. Other uses of land in the area have consisted of agriculture, forestry, and mineral mining (AKDNR, 1991). Premining land use in this coal-bearing state is typically dominated by wildlife habitat. A typical post mining land use in this coal-bearing region would include reclaiming the mined areas for wildlife habitat (Alaska Department of Natural Resources, 2013).

According to an October 1998 report by the BLM, approximately 65 percent of Alaska is owned and managed by the federal government as public lands, including a multitude of national forests, national parks, and national wildlife refuges. Of these, the BLM manages 87 million acres (350,000 km²), or 23.8 percent of the state. The coal underlying the Usibelli Mine is owned by the State of Alaska and leased to the Usibelli Mining Company.

3.7.3.7 Western Interior Region

The dominant premining land use in the Western Interior region is pasture and grazing, accounting for over 38 percent of the landscape in Kansas and Oklahoma. Over one-quarter of Oklahoma and Arkansas is covered in deciduous forestlands. Missouri has a high occurrence of cultivated crops, which accounts for over 31 percent of the land use in that state. Table 3.7-6 (see Appendix G) provides a detailed breakdown of land use percentages for the individual states and county study areas within this region (USGS, 2001b). The land uses included in this table are not intended to represent all current or future coal mining counties. However, they are considered representative of typical land uses that might be encountered within the Western Interior coal region.

SMCRA postmining land uses in Oklahoma are dominated by pasture/hay lands, grazing land, fish and wildlife, and developed water from final cut lakes. Both Missouri and Kansas postmining land uses are similar, with mainly agricultural land uses of cropland and pasture/hay lands dominating. Some fish and wildlife and developed water land uses exist as well. Arkansas mines have generally been reclaimed to pasture/hay lands and forestry land uses.

3.8 Biological Resources (Excluding Wetlands)

3.8.1 Introduction

A wide variety of habitats are distributed throughout the coal regions of the U.S. This section presents a general description of the terrestrial and aquatic habitats occurring in the coal-producing areas that comprise the study area for this document. The discussion is organized around vegetative cover types for terrestrial systems and around flowing (lotic) versus pooled (lentic) water for aquatic systems.

The discussion is intended to describe general trends that apply across each region. It is not intended to present baseline environmental conditions for any particular mine site. Common names are used throughout the report to identify species found in the cover types and aquatic ecosystems of each coal region; within this section scientific names are provided at the first mention of each species.

The text below provides a general ecological discussion in the “General Ecological Setting” section of each region through the application of a system of description for ecological units adopted and maintained through the U.S. Department of Agriculture (USDA) – U.S. Forest Service (USFS). A discussion of representative species for each of the region, including common vegetative and animal communities, follows the discussion of ecological units.

A variety of other physical and chemical factors affect the biological resources of each coal region. Those are described elsewhere in this document; of particular importance are: topography (Section 3.4), meteorology (climate and precipitation) (Section 3.6), geology (Section 3.2), and soils (Section 3.3).

3.8.2 Biological Resource Topics

3.8.2.1 The USDA-Forest Service Terrestrial Ecological Units

The USDA-USFS adopted a national hierarchical framework of terrestrial ecological units to use an ecological approach to natural resource management (Bailey, 1995). The framework consists of seven levels of ecological units that are grouped into four application scales: ecoregions, sub-regions, landscapes, and land units (Cleland et al., 1997). The USFS Ecoregion Classification is useful in providing a general ecological description (Table 3.8-1) for the terrestrial and aquatic biology of each coal region. OSMRE has applied these ecological units to the coal regions to provide a general discussion of the ecological character of each region. Each region description below contains a figure depicting the extent of the ecological units across the respective region.

OSMRE reviewed Lewis et al., 2012, which parameterized an econometric model of land-use change to project future land use to the year 2051 at a fine spatial scale across the conterminous United States under several alternative land-use policy scenarios. Their results generally showed that alternative land use policy scenarios had little effect on future trends relative to business-as-usual. Other models may be available in the future that will assist with forecasting land use change at a fine scale over large areas.

The USDA-USFS classification system interchangeably uses the terms “cover type” and “potential natural communities” to describe predominant vegetation in a section. A potential natural community is defined as the ultimate biotic community that would become established on a site under the present environmental conditions, if all stages in the succession were completed without interference from humans. The narrative below uses the term cover type. In highly altered landscapes (e.g., agricultural areas, towns, and roads), natural cover types occur infrequently but understanding what cover types would occur in undisturbed conditions is helpful to understanding what conditions would be like in a given area if disturbance is avoided or restoration is achieved.

Table 3.8-1. USFS Ecoregion Classification System

Application Scale	Ecological Units (Map Scale Range)	Principal Map Unit Design Criteria
National (Ecoregions)	Domain (1:30,000,000 or smaller)	Broad climatic zones or groups (e.g., dry, humid, tropical)
National (Ecoregions)	Division (1:30,000,000 to 1:7,500,000)	Regional climatic types, vegetation affinities (e.g., prairie or forest), soil order
National (Ecoregions)	Province (1:15,000,000 to 1:5,000,000)	Dominant potential natural vegetation, highlands or mountains with complex vertical climate-vegetation-soil zonation
Regional (Subregions)	Section (1:7,500,000 to 1:3,500,000)	Geomorphic province, geologic age, stratigraphy and lithology, phases of soil orders, potential natural vegetation, potential natural communities (PNC)
Regional (Subregions)	Subsection (1:3,500,000 to 1:250,000)	Geomorphic process, surficial geology, phases of soil orders, subregion climatic data, PNC formation or series
Watershed/National Forest (Landscape)	Land Type Association (1:250,000 to 1:60,000)	Geomorphic process, geologic formation, surficial geology, and elevation, Phases of soil subgroups, families, or series, Local climate, PNC—series, subseries, plant associations
Project (Land Unit)	Land Type (1:60,000 to 1:24,000)	Landform and topography (elevation, aspect, slope gradient, and position), Phases of soil subgroups, families, or series, Rock type, geomorphic process, PNC—plant associations

Source: Cleland et al., 1997

3.8.2.2 Federally Protected and Regulated Species

The U.S. FWS administers a variety of laws protecting wildlife and plant species. These include the Endangered Species Act of 1973 (ESA) (16 U.S.C. 1531), the Migratory Bird Treaty Act (MBTA) (16 U.S.C. §§ 703-712), the Bald and Golden Eagle Protection Act (BGEPA) (16 U.S.C. §§ 668-668d). The MBTA prohibits the taking, killing, possession, and transportation of migratory birds, eggs, feathers (and other body parts), and nests without a permit. The BGEPA affords further protection of bald and golden eagles beyond the MBTA by making it unlawful to disturb eagles or destroy their nests.

Federal agencies have a responsibility to use their authorities to carry out programs for the conservation of listed species under section 7(a)(1) of the ESA. OSMRE has several programs that directly or indirectly support conservation of listed species, such as those that encourage the use of native plant species, pollinator-friendly plants and the Appalachian Regional Reforestation Initiative (www.arri.osmre.gov). Federal agencies must also consult with the U.S. FWS and the National Marine Fisheries Service (NMFS), under section 7(a)(2) of the ESA (16 U.S.C. § 1531 et seq.), on activities that may affect threatened or endangered species or designated critical habitat. These interagency consultations, or section 7 consultations, are designed to assist Federal agencies in fulfilling their duty to ensure federal actions do not jeopardize any endangered species or threatened species or result in the destruction or adverse modification of habitat of such species. The ESA makes it unlawful to “take” (defined at Section 3(19) of the ESA as “to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or

collect, or to attempt to engage in any such conduct”) federally listed threatened or endangered species without a permit.

To determine which listed and proposed species might be affected by the proposed action, OSMRE provided FWS with maps of the coal basins affected by this action. Based on these maps FWS provided OSMRE with a list of proposed, threatened, and endangered species and proposed and designated critical habitat potentially occurring in the action area. FWS later provided OSMRE with maps of the species ranges and critical habitat on which these determinations were made. OSMRE calculated ranges for species that were not included on these maps using other data sources, primarily NatureServe. Those species whose range or critical habitat overlapped mineable coal were included in the analysis.

Since publication of the DEIS, OSMRE continued to update the list to reflect changes to the listing status of relevant species. The final list is included here in the FEIS in Appendix F along with the final Biological Assessment. The Biological Opinion, discussed in Chapter 4, is available on the OSMRE website at www.OSMRE.gov.

The final list contains 171 species, of which three are amphibians, eight are birds, four are crustaceans, 34 are fishes, two are insects, eight are mammals, 54 are mollusks, 50 are plants and eight are reptiles. The final list includes 47 species with designated critical habitat, and 5 species with proposed critical habitat. The critical habitat of 40 of these 47 species occurs partially or entirely within the coal resources areas studied in this EIS. As shown in appendix F, Table F-2 Critical Habitat Overlap with coal regions, 100% of the critical habitat for the Laurel dace (*Chrosomus saylori*) occurs in areas with mineable coal. Similarly 82% of the critical habitat for the Cumberland elktoe (*Alasmidonta atropurpurea*), and 55% of the habitat for the spotfin chub (*Erimonax monachus*) occur in areas with mineable coal. The degree to which critical habitat overlaps with mineable areas is less but still considerable (between 10–30%) for 17 other aquatic species.

Reasons for a particular species’ decline are varied. Impacts to individuals are often natural (e.g., predation, succession, disease, etc.). However, impacts that affect the species at a population level are often attributable to human factors (e.g., development, resource extraction, the introduction of noxious weeds, over-hunting and/or collecting, pesticides and other pollutants).

Mining (including but not limited to coal mining) has been identified as a contributing factor in the past and ongoing decline of some species. For example, the U.S. FWS described a primary threat to greater sage grouse as ongoing loss and fragmentation of shrub-steppe habitats through a variety of mechanisms related to activity that transforms the land, including agriculture, oil and gas development, mining, urbanization, and infrastructure development that includes roads and power lines that convert or bisect habitats and introduce invasive species (75 FR 13909 (March 23, 2010)).

Another recent issue of concern for species that overlap mining areas is white-nose syndrome, a syndrome caused by the white fungus (*Pseudogymnoascus destructans*), which is causing fatalities in hibernating bats from the northeastern to the central U.S. The USGS reports that northeastern U.S. bat populations have declined approximately 80% since the emergence of the disease (USGS, 2015).

Each of the regional discussions below provides a count of species by type (birds, mammals, plants, etc.). A general discussion of how each alternative would impact ESA listed species is included in the discussion of Environmental Consequences in Chapter 4.

In addition to these laws, migratory birds receive protection under Executive Order 13186 (Responsibilities of Federal Agencies to Protect Migratory Birds) (66 FR 3853), which promotes conservation of migratory birds. The Executive Order includes support for various conservation planning efforts already underway, such as the Partners in Flight initiative and North American Waterfowl Management Plan; incorporating bird conservation considerations into agency planning, including NEPA analyses; annual reporting on the level of take of migratory birds; and generally promotion of conservation of migratory birds where consistent with the agency mission. OSMRE is in the process of crafting a Memorandum of Understanding with the U.S. FWS to better implement the principles of Executive Order 13186 in its programs. Appendix D contains additional discussion of migratory flyways and describes how the flyways intersect with the coal regions in the U.S.

3.8.2.3 Additional Information

Additional detailed information on certain biological resource topics is included in the appendices. Appendix B provides a description of bioassessment methods used by federal and state agencies in the U.S. The appendix provides context for understanding the complex issues involved in studying and classifying aquatic resources (particularly stream ecosystems). A discussion of general ecological principles of running water, lakes, and reservoirs is contained in Appendix C.

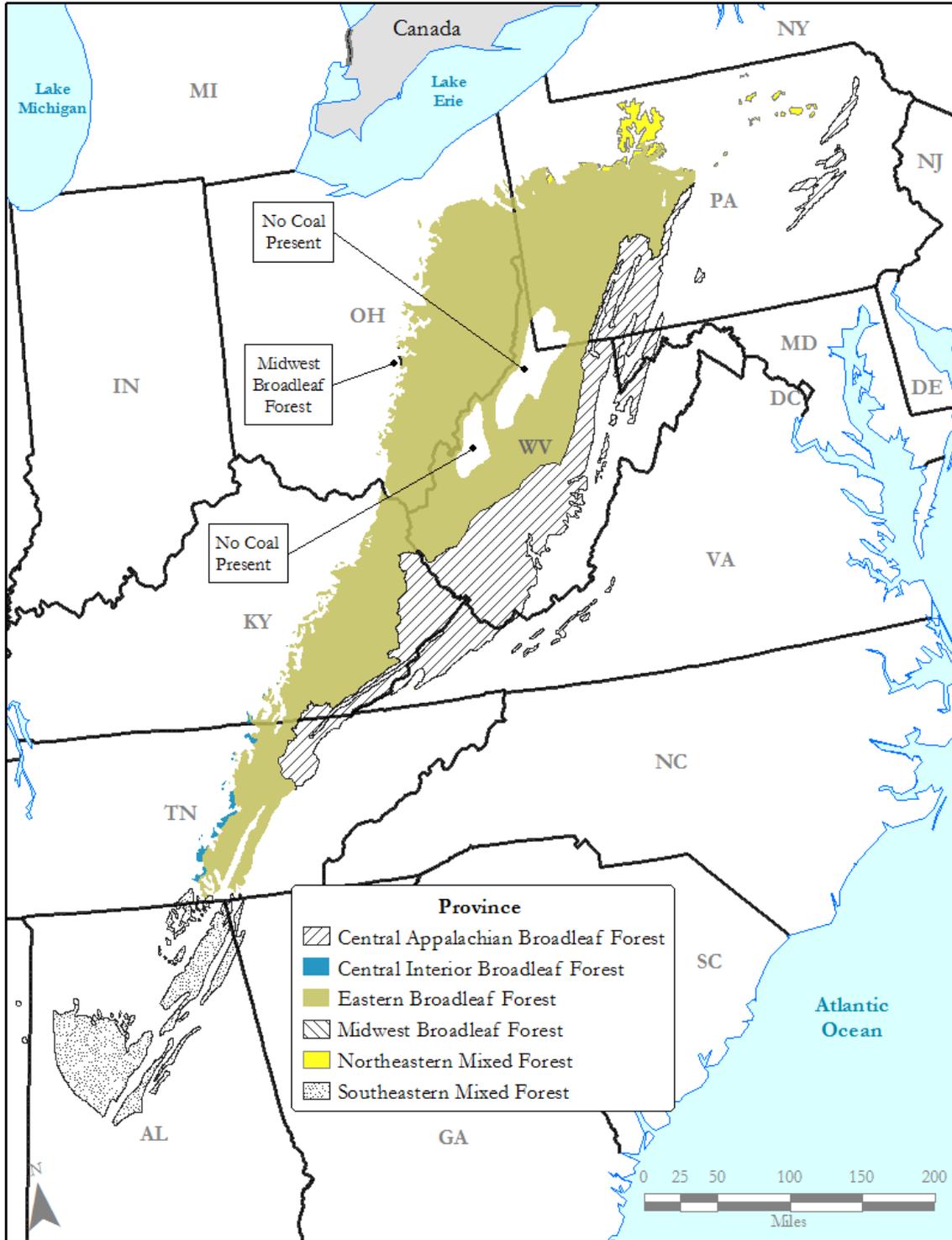
Appendix E provides information on invasive species and noxious weeds. A noxious weed is a term for an invasive plant that is designated and regulated by state and federal laws, such as the federal Plant Protection Act, 7 U.S.C. § 7701 et seq. Noxious weeds have biological traits that enable them to colonize new areas and successfully out-compete native species. They can transform the structure and function of ecosystems through: direct competition; changes in nutrient cycling, succession, and disturbance regimes; and shifts in evolutionary selection pressures (Mack and D'Antonio 1998). The spread of noxious weeds threatens the structure and function of many ecosystems worldwide, and certain species have the ability to spread over large areas or acutely threaten an ecosystem over its continental range (Hobbs and Humphries, 1995).

3.8.3 Appalachian Basin Region

3.8.3.1 General Ecological Setting

The Appalachian Basin encompasses significant portions of the states of Pennsylvania, Ohio, Kentucky, West Virginia, Virginia, Tennessee, and Alabama, including sizeable areas in which current coal mining activities take place (Figure 3.8-1). Table 3.8-2 shows the area of each ecological province within the Appalachian Coal Basin.

Figure 3.8-1, Ecological Provinces within the Appalachian Coal Basin Region



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-2. USFS Provinces Associated with the Appalachian Basin Region

Ecological Province	Area of Coal Region in Province (square miles)
Central Appalachian Broadleaf Forest-Coniferous Forest-Meadow	16,408
Central Interior Broadleaf Forest	238
Eastern Broadleaf Forest	37,887
Midwest Broadleaf Forest	5
Northeastern Mixed Forest	878
Southeastern Mixed Forest	5,789
Total	61,204

Unless otherwise noted, the following descriptions of the ecological provinces within the Appalachian Basin coal region come from Bailey (1995), McNab and Avers (1994), Cleland et al. (1997), and McNab et al. (2007).

3.8.3.1.1 Central Appalachian Broadleaf Forest-Coniferous Forest-Meadow Province

This province has a temperate climate with cool summers and short, mild winters. Annual precipitation is plentiful and evenly distributed with short, infrequent periods of water deficit. Landscapes of the province are predominantly mountainous, but sections vary in predominant elevation, geologic substrate, and physiography. The vegetation in this province is characterized by a tall, closed canopy of deciduous broadleaf forests with mesophytic and drought-tolerant species. Vegetation changes to coniferous forest or shrub lands at higher elevations. The Central Appalachian Broadleaf Forest-Coniferous Forest-Meadow province covers approximately 65,172 square miles in the U.S., a large portion of which is in the Appalachian Basin coal region.

3.8.3.1.2 Central Interior Broadleaf Forest Province

The vegetation in this province is broadleaf deciduous forests with somewhat open canopy and greater density of species tolerant of drought. The Central Interior Broadleaf Forest province covers approximately 119,790 square miles in the U.S., of which only a very small fraction is in the Appalachian Basin coal region.

3.8.3.1.3 Eastern Broadleaf Forest Province

The vegetation in this province is characterized by tall, cold-deciduous broadleaf forests that have a high proportion of mesophytic species. This province covers approximately 101,902 square miles in the U.S., of which about 25 percent is in the Appalachian Basin coal region.

This region contains some of the greatest aquatic animal diversity in North America, especially for species of amphibians, fishes, mollusks, aquatic insects, and crayfishes (U.S. EPA, 2006). This province contains many small natural lakes, small artificial ponds, and several large reservoirs which occur along

perennial streams. Stream gradients in the western Alleghenies range from steep, headwater streams to low-gradient rivers that flow into larger bodies of water.

3.8.3.1.4 Midwest Broadleaf Forest Province

The vegetation in this province consists of cold-deciduous, hardwood-dominated forests with a high proportion of species able to tolerate mild, brief, periodic drought during the late summer. The Midwest Broadleaf Forest province covers approximately 141,746 square miles in the U.S., only a tiny fraction of which occurs in the Appalachian Basin coal region.

There is moderate to high density of streams in this province; low gradient streams and rivers are predominant, and typically have substrates composed of sand, gravel, bedrock, and boulders.

3.8.3.1.5 Southeastern Mixed Forest Province

The forest vegetation in this province is a mixture of deciduous hardwoods and conifers. The Southeastern Mixed Forest Province occurs mainly in Alabama and has a moderate density of small to medium size perennial streams and associated rivers, mostly with low to moderate rates of flow and moderate velocity (McNab and Avers, 1994). The streams of Alabama are noted for their diversity of native freshwater fishes, native freshwater gill-breathing snails, freshwater mussels, and native freshwater turtles.

3.8.3.1.6 Northeastern Mixed Forest Province

Among the coal-bearing states of Appalachian Basin region this province occurs only in Pennsylvania. The vegetation of this province consists of forests that provide a transition between boreal conifers and broadleaf deciduous. Streams in this province are characterized by deeply incised high-gradient and bedrock-controlled systems in the upland, and low and moderate-gradient, mature streams in the valleys. Numerous waterfalls and rapids exist where streams cross beds of resistant rock. There are a large number of rapidly moving streams and rivers that flow into the Allegheny and Susquehanna Rivers.

3.8.3.2 Terrestrial Resources

The Appalachian Basin coal region includes many different terrestrial habitats distributed over a broad area of the eastern U.S., extending from Mississippi northeast to Pennsylvania. The text below summarizes species presence with information adapted from Bailey (1995), McNab and Avers (1994), Cleland et al. (1997), and McNab et al. (2007).

In its southern range, this region is characterized by oak-pine, loblolly-shortleaf pine, and oak-hickory cover types. These forests are usually dominated by deciduous hardwood trees such as oak (*Quercus* spp.) and hickory (*Carya* spp.) and coniferous trees such as loblolly pine (*Pinus taeda*), shortleaf pine (*Pinus echinata*), or other southern yellow pines (*Pinus palustris*). Other common trees in these cover types include maple (*Acer* spp.), yellow-poplar (*Liriodendron tulipifera*), sweetgum (*Liquidambar styraciflua*), and red cedar (*Juniperus virginiana*).

Mature Appalachian Basin region forests typically have closed canopies, where the leaf cover of the trees rarely allow direct sunlight through to the forest floor. Younger forests have more open canopies with significant sunlight reaching the understory vegetation. In areas of open canopy, therefore, there is a

thicker understory of young trees, shrubs, vines, and herbaceous plants. The major shrubs are blueberry (*Vaccinium* spp.), *Viburnum* spp., dogwood (*Cornus* spp.), *Rhododendron* spp., American beautyberry (*Callicarpa Americana*), and sumac (*Rhus* spp.). The major vines are woodbine (*Parthenocissus* spp.), grape (*Vitis* spp.), poison ivy (*Rhus radicans*), greenbrier (*Smilax* spp.), and blackberry (*Rubus* spp.). Important herbaceous plants are sedge (*Carex* spp.), *Panicum* spp., bluestem (*Andropogon* spp.), longleaf uniola (*Chasmanthium sessiliflora*), *Lespedeza* spp., tick clover (*Desmodium* spp.), goldenrod (*Solidago* spp.), pussytoes (*Antennaria* spp.), and *Aster* spp.; many more are abundant locally.

Where the region extends to the north into Maryland, Tennessee, Kentucky, Ohio, West Virginia, and Pennsylvania, the vegetation is characterized by oak-pine, loblolly-shortleaf pine, maple-beech-birch, and aspen-birch cover types. Much of the vegetation is similar to the southern range of this region (described above), but also includes beech (*Fagus* spp.), yellow birch (*Betula alleghaniensis*), aspen (*Populus tremuloides*), balsam poplar (*Populus balsamifera*), paper birch (*Betula papyrifera*), and gray birch (*Betula populifolia*) as dominant tree species. Other common trees that differ from the southern zone description above include hemlock (*Tsuga* spp.), basswood (*Tilia Americana*), and white pine (*Pinus strobes*). In general, more maple species are found in this area as well.

Common mammal species that extend throughout this region include the white-tailed deer (*Odocoileus virginianus*), black bear (*Ursus americanus*), bobcat (*Lynx rufus*), raccoon (*Procyon lotor*), gray fox (*Urocyon cinereoargenteus*), gray squirrel (*Sciurus carolinensis*), eastern chipmunk (*Tamias striatus*), white-footed mouse (*Peromyscus leucopus*), and Northern Long-Eared Bat (*Myotis septentrionalis*). Mammals once thought to extend throughout this range but that are now considered either extirpated or extremely rare include two predators; the eastern cougar (*Puma concolor cougar*) and wolves (*Canis rufus*, *Canis lupus rufus*); as well as the American bison (*Bison bison*) and eastern elk (*Cervus canadensis canadensis*).

Bird species that extend throughout this region and use this region for breeding and/or wintering range include turkey (*Meleagris gallopavo*), mourning doves (*Zenaida macroura*), ruffed grouse (*Bonasa umbellus*), bobwhite (*Colinus virginianus*), northern cardinal (*Cardinalis cardinalis*), tufted titmouse (*Parus bicolor*), pine warbler (*Dendroica pinus*), wood thrush (*Hylocichla mustelina*), ruby-throated hummingbird (*Archilochus colubris*), red-tailed hawk (*Buteo jamaicensis*), and barn owl (*Tyto alba*).

Common reptiles include the box turtles (*Terrapene* spp.), painted turtle (*Chrysemys picta*) common garter snake (*Thamnophis sirtalis*), eastern fence lizard (*Sceloporus undulatus*), and copperhead (*Agkistrodon contortrix*). An example of a rare but widespread species is the timber rattlesnake (*Crotalus horridus*).

Common amphibians with distributions across this region include red-spotted newt (*Notophthalmus viridescens*), dusky salamanders (*Desmognathus* spp.), and American toad (*Anaxyrus americanus*).

3.8.3.3 Aquatic Resources

3.8.3.3.1 Lotic Systems (Rivers and Streams)

Most of the major rivers and tributaries in the U.S. east of the Mississippi originate in the mountains of the Appalachian region (U.S. EPA et al., 2003). First- through twelfth-order streams (as defined by Vannote et al., 1980), ephemeral streams, and intermittent streams occur in the Appalachian region, with

headwater streams generally originating at higher elevations (U.S. EPA et al., 2003). Major rivers that originate in this region include, but are not limited to; the Cumberland, Ohio, Susquehanna, James, Potomac, and New Rivers, and rivers that contribute to the Chesapeake Bay watershed.

A variety of flowing-water habitats are present in the Appalachian Basin coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. As described in Section 3.5 (see Table 3.5-5) there are a total of 69,798 miles of intermittent streams, and 56,929 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features of these types of streams is presented in Appendix C.

3.8.3.3.1.1 Energy Flow/Primary Production

Organic materials that fall into, and are transported in, streams provide energy to the stream. Leaf litter fall and lateral movement of leaves and wood have been found to be the predominant energy source in high-gradient streams of the southern Appalachians; however, stream width affects the amount of input. Woody debris comprises about 25 percent to 50 percent of total input. Dissolved organic carbon (DOC) is also another potential energy source and may include groundwater inputs, leaching from detritus stored in the streambed, and dissolved exudates from biota (Wallace et al., 1992).

Primary production rates in high-gradient Appalachian streams have been shown to vary with stream order, season, degree of shading, nutrients, and water hardness (Wallace et al., 1992). Plant and algal communities of high-gradient streams in the Appalachian Basin are reduced compared to low-gradient streams and lentic systems as these high-gradient stream communities are typically densely shaded and subject to high current velocities (Wallace et al., 1992). As a result, plant and algal communities occurring along high-gradient streams contain flora uniquely adapted to this type of environment (Wallace et al., 1992), and many species are considered to be endemic to this region (Patrick, 1948). Hornleaf riverweed (*Podostemum ceratophyllum*) is an example of a vascular plant found along high-gradient streams (Wallace et al., 1992), and is broadly distributed in the southern Appalachian Mountains (Meijer, 1976). Water willow (*Justicia americana*), another important vascular plant found in southeastern streams, is the dominant emergent plant of the New River, contributing approximately 12 percent of the aquatic macrophyte biomass (Hill, 1981).

Mosses and liverworts are among the dominant flora in turbulent flows. Four bryophytes dominate Appalachian streams: fontinalis moss (*Fontinalis dalecarlica*), streamside hygroamblystegium moss (*Hygroamblystegium fluviatile*), Lescur's platylomella moss (*Sciaromium lescurii*), and Chokai marimo (*Scapania undulate*) (Glime, 1968).

Endemic and unique species of algae are common to the high-gradient streams of the southern Appalachians. Like bryophytes, these algae are also attached to stable substrates. Dominant algal flora in the high-gradient streams of the southeast U.S. include filamentous red algae, filamentous green algae, and diatoms (Wallace et al., 1992). Camburn and Lowe (1978) described a diatom from high-gradient streams in the Great Smokies (*Achnanthes subrostrata* var. *appalachiana*) which comprised as much as 73 percent of the algal community. Diatoms are a major group of algae, and are one of the most common types of phytoplankton. Diatoms have been used as indicators of stream condition and water quality, reflecting parameters such as pH, trophic status, metal concentrations, and other environmental

conditions, especially in lakes. Diatoms can also be used as quantitative indicators of ecological conditions in lotic systems (Pan et al., 1996).

3.8.3.3.1.2 Invertebrates

Appalachian headwater streams support an abundant and diverse epibenthic fauna, although they are subject to seasonal flow and occasionally to large storm events (Angradi et al., 2001). Typical benthic macroinvertebrates found in headwater streams in the Appalachian coal region include mayflies (Ephemeroptera), stoneflies (Plecoptera), caddisflies (Trichoptera), dragonflies and damselflies (Odonata), beetles (Coleoptera), dobsonflies and alderflies (Megaloptera), true bugs (Hemiptera), springtails (Collembola), and true flies (Diptera) (U.S. EPA et al., 2003). Other macroinvertebrates that have been collected include crayfish (Decapoda), isopods (Isopoda), worms (Oligochaeta and Annelida) and snails (Gastropoda) (U.S. EPA et al., 2003). Many streams in the Central Appalachian Basin region harbor a diverse and unique array of invertebrates. This has been attributed to the unique geological, climatological and hydrological features of this region. A number of the unique species are known from only one or two isolated locations in the Appalachians. In the southern Appalachian Mountains, macroinvertebrates in the Ephemeroptera, Plecoptera, and Trichoptera (EPT) taxonomic groups have been found to be rich in species, including many endemic species and species considered to be rare (U.S. EPA et al., 2003). The proportion of the macroinvertebrate assemblage made up by species in the EPT taxonomic group is used as an indicator of stream condition, with a higher proportion of EPT representatives expected in less impacted streams. Other biological indices which are used to describe stream condition in the states of the Appalachian Basin coal region are provided in Appendix B.

There are few differences between the numbers of invertebrate taxa in permanent streams versus those found in intermittent stream reaches in several northern Alabama streams (Feminella, 1996). Similar trends have been observed for other stream systems in the Appalachian Basin region (Stout and Wallace, 2003). This suggests that there may be sufficient water present in the headwaters for long-lived taxa with multi-year life cycles to complete their juvenile development prior to reaching the aerial adult stage. During periods of no visible stream flow, interstitial water flows through the material below the stream. This special hydrology creates a unique habitat, called the hyporheic zone. Specially adapted macroinvertebrates are able to continue their life cycles by burrowing into the hyporheic zone, especially in times of drought. Other macroinvertebrates live completely within the hyporheic zone (see Appendix C for further discussion of the biota of the hyporheic zone).

There are about 390 native crayfish species (primarily Cambaridae) in North America, with most restricted to eastern North America (Lodge et al., 2000). Crayfish are important in that they can regulate periphyton standing crops, are often a large portion of fish diets, and are a component in the processing of leaf litter (Seiler and Turner, 2004). Based on the important role that crayfish play in the stream food web, any disturbance to crayfish abundance may have a negative impact on the stream ecosystem (Seiler and Turner, 2004).

Many crayfish species have small ranges in the southeastern U.S., making their persistence vulnerable, primarily due to non-native crayfish species. As documented in Lodge et al. (2000) and Loughman and Welsh (2010), non-native crayfish species have negatively impacted North American lake and stream ecosystems and fisheries, and have led to the extirpation of many populations of native crayfishes. Lodge et al. (2000) also listed the impacts of several species of introduced crayfishes have been documented and

include: reduction of the abundance of macrophytes by more than 80 percent; reduction in the abundance of algae through direct consumption/destruction of macrophytes on which some algae grow; reduction in the abundance of some macroinvertebrates (particularly snails); and the reduction in the abundance of native crayfishes, often to the point of local extirpation. Lodge et al. (2000) also listed other studies showing the impacts of non-native crawfish species on amphibians and fishes. The mechanisms by which native crayfishes are impacted include competition, predation, and reproductive interference.

The central and southern portions of the Appalachian Basin region also contain substantial freshwater mussel (Bivalvia: Unionidae) populations. Approximately 70 percent of the approximately 300 North American mussel taxa are endangered, threatened, or locally at risk (Strayer et al., 2004). Declines in mussel populations have resulted from factors such as impoundments, exotic species, and degraded water quality (Lydeard et al., 2004).

Freshwater mussel communities are important components of food webs; they are omnivores that feed across trophic levels on bacteria, algae, detritus, and zooplankton (Vaughn et al., 2008). Mussel communities link and influence multiple trophic levels, and effect nutrient translocation and cycling depending on their abundance, species composition, and environmental conditions (Vaughn et al., 2008). The dispersal ability of mussels is limited by their reproductive cycle. The larval stage (called the glochidium) of mussels is an obligate parasite on the gills or fins of host fishes; thus mussel dispersal is linked to the mobility of the host fishes. Consequently, the presence and abundance of certain host fishes is an important component of the life cycle of freshwater mussels. A study conducted by Haag and Warren (1998) indicated that patterns of mussel community variation were correlated with patterns of fish community variation, but not with habitat.

Non-native mussel species introduced and spread within the southeastern U.S. have been adversarial to native mollusk assemblages (Neves et al., 1997). The greatest threat to southeastern mollusk populations comes from the non-native zebra mussel (*Dreissena polymorpha*). This species has made its way up the Tennessee River to Knoxville, Tennessee (Neves et al., 1997).

3.8.3.3.1.3 Vertebrates

Many types of amphibians are unique to the Appalachian Mountain region. Salamanders are a significant component of high-gradient stream communities in the Appalachians. Typically, salamanders are the predators that occupy small, high-gradient headwater streams, while predatory fish occur farther downstream. Predation by fish is believed to restrict salamanders to smaller streams or the banks of large streams (Wallace et al., 1992). The most common aquatic salamanders in the Appalachian Basin region include those of the genus *Desmognathus*, with two-lined salamanders (*Eurycea bislineata*) and shovel-nosed salamanders (*Leurognathus marmoratus*) also being common (Wallace et al., 1992).

Aquatic salamanders may spend a portion of their life cycle within adjacent terrestrial habitats. According to a study conducted along streamside forests in western North Carolina and eastern Tennessee (Petranka and Smith, 2005), the overall abundance of aquatic-breeders (primarily *Desmognathus* spp.) within adjacent terrestrial habitat (118 to 125 feet from aquatic habitat) declined with elevation. Further, this study found that the number of aquatic breeders were most abundant within eight meters of aquatic habitats (49 percent of total terrestrial catch of aquatic-breeders), particularly at low elevation sites. The terrestrial zone provided core habitat to six semi-aquatic species (*Desmognathus* spp., *Gyrinophilus*

porphyriticus, and *Eurycea wilderae*) that were broadly distributed throughout the study plots and acted as an aquatic buffer for four highly aquatic species (*Desmognathus* spp.).

Based on studies conducted by the West Virginia Division of Natural Resources (2003), there are 87 known species of amphibians and reptiles in West Virginia. Less common salamanders (e.g., the Blue Ridge two-lined salamander, *Eurycea wilderae*), skinks (e.g., the coal skink, *Eumeces anthracinus*), frogs (e.g., the cricket frog, *Acris crepitans*), turtles (e.g., the spotted turtle, *Clemmys guttata*), and snakes (e.g., the Eastern black kingsnake, *Lampropeltis getula niger*) are all associated with aquatic habitats. Amphibian species found in the Northern Cumberland Plateau section (eastern Tennessee and Kentucky) include the green salamander, Kentucky spring salamander (*Gyrinophilus porphyriticus duryi*), Black Mountain salamander (*Desmognathus wetteri*), seal salamander (*Desmognathus monticola*), slimy salamander (*Plethodon glutinosus*), spotted salamander (*Ambystoma maculatum*), American toad (*Bufo americanus*), mountain chorus frog (*Pseudacris brachyphona*), green frog (*Rana clamitans*), pickerel frog (*Lithobates palustris*), and wood frog (*Rana sylvatica*) (OSMRE, 2008).

The fish assemblages of the Central Appalachian area tend to contain a relatively large number of endemic and unique species (U.S. EPA et al., 2003). In the southern Appalachian Mountains south of the Roanoke and New Rivers, there are about 350 fish species, 64 of which are considered imperiled (Walsh et al., 1995). Both fish and mollusks exhibit high degrees of endemism in the southeast, which is a major contributing factor to species endangerment (Dobson et al., 1997; Warren and Burr, 1994).

The diversity and distribution of fishes in West Virginia is related to drainage divides (Stauffer and Ferreri, 2002). Kanawha Falls is the primary physical barrier that divides the distinct fish fauna of the New River System from that of the Upper Ohio River system (Hocutt et al., 1986). The Kanawha/New River system above the Kanawha Falls has a unique fauna with up to 45 native species, including eight endemic species (Messinger and Chambers, 2001). Fish species found in the upper Kanawha/New River system include bigmouth chub (*Nocomis platyrhynchus*), New River shiner (*Notropis scabriceps*), Kanawha minnow (*Phenacobius teretulus*), candy darter (*Etheostoma osburni*), Kanawha darter (*Etheostoma kanawhae*), and Appalachia darter (*Percina gymnocephala*), with all but the Kanawha darter occurring in West Virginia (Stauffer and Ferreri, 2002). Common fish on the Ohio River and lower portions of its tributaries include black bass (*Micropterus* spp.), sunfish (*Lepomis* spp.), sauger (*Sander* spp.), catfish (order Siluriformes), the hybrid saugeye (*Sander vitreus x Sander canadense*), and striped bass (*Morone saxatilis*) (McNab and Avers, 1994; OSMRE, 2008).

Many high-altitude (headwater) streams are cold and support trout populations, particularly where these streams are draining areas larger than 100 square miles (Messinger and Chambers, 2001). In Appalachia, high elevation streams are often headwaters, but not all headwaters are high gradient, high elevation streams. Fish species collected in headwaters of West Virginia include rainbow trout (*Oncorhynchus mykiss*), brown trout (*Salmo trutta*), brook trout (*Salvelinus fontinalis*), blacknose dace (*Rhinichthys atratulus*), creek chub (*Semotilus atromaculatus*), and slimy sculpin (*Cottus cognatus*) (Stauffer and Ferreri, 2002). In general, common fish species found in smaller streams in Appalachia include southern redbelly dace (*Phoxinus erythrogaster*), creek chub, barred fantail darter (*Etheostoma flabellare*), and greenside darter (*Etheostoma blennioides*), whereas largemouth bass (*Micropterus salmoides*), bluegill (*Lepomis macrochirus*), channel catfish (*Ictalurus punctatus*), and crappie (*Pomoxis* spp.) are found in the large, man-made reservoirs (McNab and Avers, 1994; OSMRE, 2008).

Brook trout, a native salmonid species of streams in the southern Appalachian Mountains, is found mainly in small headwater streams. The distribution of brook trout is thought to be influenced by the presence of the non-native rainbow trout, as documented in the Great Smoky Mountains National Park (Larson et al., 1995). Within the Park, the competitive advantage of rainbow trout over brook trout was evident when rainbow trout were removed and the abundance and biomass of brook trout populations rebounded (Moore et al., 1983).

According to a study conducted in the Southern Unglaciaded Allegheny Plateau (Clear Fork or Spruce Laurel Fork), fish commonly collected include mottled sculpin (*Cottus bairdii*), bluebreast darter (*Etheostoma camurum*), river carpsucker (*Carpiodes carpio*), blacknose dace, and longnose dace (*Rhinichthys cataractae*) (Messinger and Chambers, 2001).

Studies conducted in Central Appalachian drainages of eastern Kentucky have found approximately 277 native freshwater fish species distributed among 22 families, with minnows (Cyprinidae), suckers (Catostomidae), catfishes (Ictaluridae), sunfishes, and perches (*Perca* spp.) being the most predominant (U.S. EPA, 1983). A diverse fish assemblage is found in eastern Kentucky due to the numerous geological, climatic, and hydrological events (U.S. EPA, 1983). Uncommon fish species found in the Northern Cumberland Plateau section (Tennessee and Kentucky) include the paddlefish (*Polyodon spathula*), sturgeon (Acipenseridae), eastern sand darter (*Ammocrypta pellucida*), spotted darter (*Etheostoma maculatum*), Tippecanoe darter (*Etheostoma tippecanoe*), and the redbside dace (*Clinostomus elongatus*) (OSMRE, 2008). Larger populations of redbside dace are found within a small range in Kentucky (OSMRE, 2008).

3.8.3.3.2 Lentic Systems (Ponds, Lakes and Reservoirs)

The following discussion of lentic systems in the Appalachian basin is divided into discussions of small ponds/impoundments and reservoirs. Natural lakes are largely absent in the Appalachian coal region. Small ponds/impoundments are common in the southeastern portion of the U.S.; most are formed by damming small streams (Wallace et al., 1992).

3.8.3.3.2.1 Energy Flow/Primary Production

Submersed macrophytes (macroscopic algae and aquatic vascular plants), periphyton (attached algae), and phytoplankton (suspended algae) communities are closely linked in small impoundments (Wallace et al., 1992). In the Appalachian Basin region, small lentic systems tend to be highly productive, eutrophic systems (high in nutrients, low in dissolved oxygen), although some small ponds and impoundments may be oligotrophic where there are low concentrations of plant nutrients and low productivity (Wallace et al., 1992). The main source of primary production (production of organic matter) in these smaller lentic systems is submergent or emergent vegetation (Menzel and Cooper, 1992). Floating macrophytes such as duckweed (*Lemna* spp.), spatterdock (*Nuphar* spp.), and yellow lotus (*Nelumbo* spp.), are widely distributed in the southeastern U.S. (Wallace et al., 1992). If floating macrophytes cover an entire surface area of a pond, photosynthesis will be greatly reduced in the water column, resulting in decreased dissolved oxygen concentrations that may inhibit fish populations. Fungi and bacteria are the primary decomposers of organic matter in small impoundments.

In reservoirs, as with other smaller impoundment types, phytoplankton, periphyton, and macrophytes supply most of the organic matter to the food web. Due to fluctuating water levels, phytoplankton

production dominates most impoundments; however, rooted and floating macrophytes can dominate where water levels are stable in a reservoir. Reservoirs in the Appalachian Basin region are generally nutrient rich and productive. Nutrient loads to downstream aquatic systems are higher than that in most natural lakes.

3.8.3.3.2.2 *Invertebrates*

Common invertebrate species found in Appalachian ponds include rotifers, protozoans, and crustaceans (Cladocera and Copepoda). Within the benthos of most ponds and reservoirs in the southeastern U.S., larvae of true midges (Diptera: Chironomidae) and oligochaete worms are the dominant macroinvertebrates (Diggins and Thorpe, 1985).

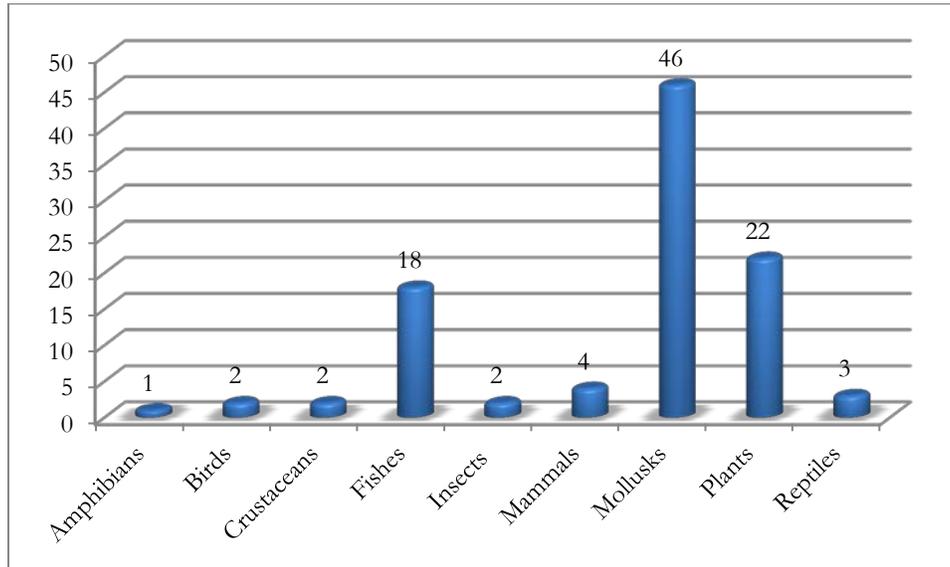
3.8.3.3.2.3 *Vertebrates*

Fish, amphibians, reptiles, birds, and mammals are the main groups of vertebrates associated with ponds and reservoirs in the Appalachian basin. These vertebrates may be present throughout their lifecycles, or may occupy the area only during a portion of their life cycle (Wallace et al., 1992). Fish populations are mainly comprised of forage fishes, including shads (*Alosa* spp.) and silversides (order Atheriniformes) in reservoirs, and sunfishes in ponds (Noble, 1981). The dominant predators in ponds are typically largemouth bass.

3.8.3.4 *Protected Species in the Coal Mining Areas of the Appalachian Basin*

The Appalachian Basin coal region supports nearly 100 federally listed and proposed species. These species include birds, fish, insects, mammals, mollusks, amphibians, reptiles, and vascular plants (see Appendix F for species names). Figure 3.8-2 depicts the number of species and relative proportion for each taxonomic group in the Appalachian Basin region.

Figure 3.8-2. Count of Federally Listed and Proposed Species in the Appalachian Basin Coal-Producing Region



Mollusks are of particular concern within the Appalachian Basin region. Mollusks account for nearly 50 percent of the total federally listed species within the Appalachian Basin coal region. Only seven of the forty seven mollusk species listed are freshwater snails; the remaining listed mollusks are freshwater mussels. Freshwater mussels are in decline nationwide and particularly in the Southeast. According to Neves et al. (1997):

The current status and prognosis for the Southeast region’s mussel fauna is grim. Of the 269 species in the Southeast, 13 percent are presumed extinct, 28 percent are endangered, 14 percent are threatened, 18 percent are of special concern, and only 25 percent are considered stable at this time.

According to this study, as of 1997 up to 75 percent of the mussel species native to the Southeast had been ecologically impacted, and a significant concern remained regarding the vulnerability of these species due to their limited geographic distribution of many mussel species; many are endemic to small areas, and some limited to single watersheds (Neves et al., 1997). Therefore, these mussel species are extremely vulnerable to extirpation as a result of single catastrophic events. Regardless of the nationwide decline in mussel species, Appalachia is a mussel biodiversity “hotspot” in the United States, as demonstrated by the 43 federally listed freshwater mussel species reported for the Appalachian Basin coal region. Thirty-eight of the freshwater mussel species are listed as Endangered, while five mussel species are listed as Threatened.

Among the listed mammals, bats are also of particular concern in the Appalachian Basin region. White nose syndrome is a disease named after the white fungus, *Pseudogymnoascus destructans*, which infects the skin of hibernating bats on the muzzle, ears, and wings. White-nose syndrome has already caused population declines in northeastern U.S. bat populations of approximately 80%, and it continues to spread to other areas. According to the USGS National Wildlife Health Center, the disease continues to spread

with new confirmed occurrences reported in Alabama, Indiana, Kentucky, Tennessee, and Missouri (http://www.nwhc.usgs.gov/disease_information/white-nose_syndrome).

The remaining federally listed and proposed listed non-mollusk species that occur in the Appalachian Basin coal mining areas include: twenty species of vascular plants, seventeen species of fish, three species of birds, four species of mammals, two species of insects, two species of reptiles, and one species of amphibian.

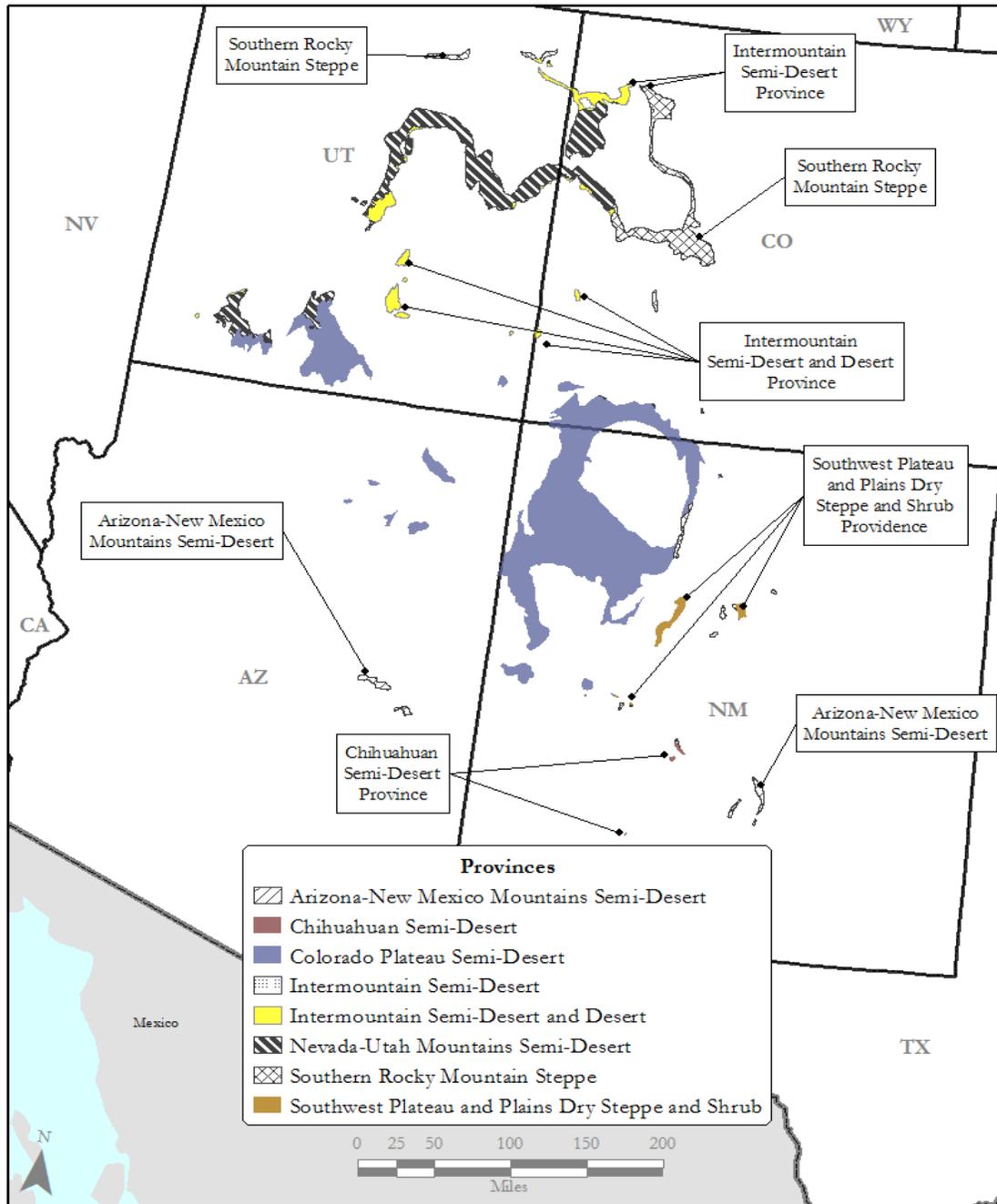
3.8.4 Colorado Plateau Region

3.8.4.1 General Ecological Setting

The Colorado Plateau coal region encompasses coal-bearing areas of Arizona, Colorado, New Mexico, and Utah (Figure 3.8-3). Table 3.8-3 shows the area of each ecological province within the Colorado Plateau coal region.

The descriptions provided below for the ecological provinces within the Colorado Plateau coal region come from Bailey (1995), Cleland et al. (1997), McNab and Avers (1994), McNab et al. (2005), and McNab et al. (2007).

Figure 3.8-3. Ecological Provinces Located Within the Colorado Plateau Region



Source: Data: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-3. USFS Provinces Associated with the Colorado Plateau Region

Ecological Province	Area of Coal Region in Province (square miles)
Arizona-New Mexico Mountains Semi-Desert - Open Woodland - Coniferous Forest - Alpine Meadow	263
Colorado Plateau Semi-Desert	10,853
Intermountain Semi-Desert	15
Intermountain Semi-Desert and Desert	958
Nevada-Utah Mountains Semi-Desert - Coniferous Forest - Alpine Meadow	3,687
Southern Rocky Mountain Steppe - Open Woodland - Coniferous Forest - Alpine Meadow	1,602
Chihuahuan Semi-Desert	36
Southwest Plateau and Plains Dry Steppe - Open Woodland - Coniferous Forest - Alpine Meadow	252
Total	17,666

3.8.4.1.1 Arizona-New Mexico Mountains Semi-Desert-Open Woodland-Coniferous Forest-Alpine Meadow Province

This province consists mostly of steep foothills and mountains but includes some deeply dissected high plateaus. The vegetation varies by elevation zones and, from low to high, ranges from herbaceous to shrubland, to woodland, to forest. The province is approximately 34,439 square miles; the Colorado Plateau coal region is only a small amount of this province.

Several large perennial streams exist in this province. Much of the water is stored in reservoirs, small impoundments, and ponds. Ground water usually occurs at great depths. This province contains land in the watershed of the Rio Grande and Pecos Valley basins.

3.8.4.1.2 Colorado Plateau Semi-Desert Province

This province consists of tablelands with moderate to considerable relief in Arizona, New Mexico, and Utah. The vegetation in this province varies by altitude and varies from herbaceous and dwarf-shrubland at low elevation, shrubland and woodland at moderate elevation, to needleleaf forest at upper elevations. Water is scarce in the Colorado Plateau Semi-Desert Province. The Colorado River and its tributaries drain the coal-bearing areas of this region. The largest river in the province is the Colorado River, which crosses the northern part of the province in Arizona to Utah. Many other streams and rivers flow year-round, but the volume of water fluctuates considerably. These streams and rivers are narrow and located in deep, widely spaced valleys. Ground water supplies are deep and limited. Smaller lakes, impoundments, and reservoirs are present; Lake Powell is the largest.

3.8.4.1.3 Intermountain Semi-Desert and Desert Province

The vegetation in this province consists of shrubland on plains and woodlands on steeper slopes. Water is scarce in this province. The lands of the province are eroded by the Colorado River and its tributaries and are located in parts of Colorado, Arizona, and Utah. Few lakes and reservoirs occur, and the area is drained by the Colorado and Green Rivers and their tributaries. A small portion of Lake Powell occurs in Northern Canyonlands in this province. In the Uinta Basin in northeast Utah, some streams and rivers bring water into the surrounding areas from adjoining mountains. Major rivers that flow through the Uinta Basin are the Green, Duchesne, and Strawberry. Few lakes and reservoirs occur in the Uinta Basin; examples are the Strawberry reservoir, Starvation reservoir, and Steinaker reservoir.

3.8.4.1.4 Intermountain Semi-Desert Province

This province covers the plains and tablelands of the Columbia-Snake River Plateaus and Wyoming Basin. The vegetation in this province is herbaceous and dwarf-shrubland on plains, changing to shrubland and woodland on higher slopes.

In northeast Utah, there is a low to moderate frequency of rapidly flowing rivers and streams. Streams generally flow into the Great Basin or Snake River drainage. Few lakes and wet meadows are associated with higher areas above 5,000 feet (1,500 meters). Large lakes include Bear Lake, Gray's Lake, Palisades Reservoir, and Blackfoot Reservoir. The portions of the Intermountain Semi-Desert province in northwest Colorado are part of the Green River basin ecological subregion. Water is scarce in the Green River Basin, but some major rivers (e.g., Green and Lower Snake Rivers) and small streams flow through here. Part of the Flaming Gorge Reservoir is also found in this area.

3.8.4.1.5 Nevada-Utah Mountains-Semi-Desert-Coniferous Forest-Alpine Meadow Province

Vegetation is stratified by altitude, ranging from herbaceous and dwarf-shrubland on plateaus to woodlands at middle slopes and needleleaf evergreen forests on higher mountain slopes. Although some valleys are closed, none contain perennial lakes.

Generally, streams in this province are rare. Few are perennial, except in the southern Utah High Plateau Section. In the Tavaputs Plateau Section of this province, which is found in eastern-central Utah and in western Colorado, water is confined to the Green and White Rivers. Smaller drainages such as Timber, Sowards, and Indian Canyon deliver water to the Green River system after flowing into the Strawberry River in the Uinta Basin. There are few lakes and reservoirs in the Tavaputs Plateau Section, and many water developments exist on public lands to distribute to livestock and to provide water for wildlife. In the areas of the province found in south-central Utah, streams, lakes, and ground water supplies provide adequate water for grazing and forest growth. Perennial streams in southern Utah are more common and drain into the Sevier, Virgin, or Colorado Rivers. Some of the major lakes are larger impoundments of perennial streams: Piute Reservoir, Panguitch Lake, Scofield Reservoir, Joes Valley Reservoir, Fish Lake, and Otter Creek Reservoir.

3.8.4.1.6 Southern Rocky Mountain Steppe-Open Woodland-Coniferous Forest-Alpine Meadow Province

The vegetation of this province is mainly evergreen, needleleaf forest that varies in composition with altitude and aspect. In northern New Mexico and southwest Colorado, the landscape is rugged with high,

steeply crested mountains etched with deep valleys. The northwestern areas of Colorado within this province have topography dominated by flat-topped mountains that are dissected by narrow stream valleys. Snowfields exist on higher-elevation upper slopes and crests, which provide a source of water into the summer months. The Rio Grande, Animas, Gunnison, Yampa, White, Colorado, Eagle, Arkansas, Taylor, Crystal, Roaring Fork, San Miguel and Frying Pan are the larger perennial rivers flowing through here. Water from streams and lakes is abundant in this province and ground water is also plentiful.

3.8.4.1.7 Chihuahuan Semi-Desert Province

This province has a subtropical arid climate of short winters and long, hot summers. It includes isolated embedded areas of mountain climates of cooler temperatures, lower relative humidity, and increased orographic precipitation. Most precipitation occurs during mid to late summer, mainly as thunderstorms that cause rapid runoff. Vegetation is almost entirely dwarf-shrubland and sparse coverage, although small areas of woodland do occur on higher mountains.

3.8.4.1.8 Southwest Plateau and Plains Dry Steppe and Shrub Province

A description of the Southwest Plateau and Plains Dry Steppe and Shrub province is provided below in the discussion of Gulf Coast provinces.

3.8.4.2 Terrestrial Resources

The Colorado Plateau coal region encompasses coal-bearing areas of Arizona, Colorado, New Mexico, and Utah. The text below summarizes aspects of terrestrial resources in areas of the region as classified under the USDA-USFS Terrestrial Ecological Unit designation (see also Figure 3.8-3) and adapted from Bailey (1995), McNab and Avers (1994), Cleland et al. (1997), and McNab et al. (2007). Table 3.8-3 lists the aerial extent of each unit within the Colorado Plateau coal region.

Vegetation

In Utah, most of the coal region is associated with the Intermountain Semi-desert and Desert Province and the associated Nevada-Utah Mountains Semi-desert-Coniferous Forest-Alpine Meadow Province. Cover types include: desert shrub; pinyon-juniper; sagebrush and chaparral-mountain shrub desert grasslands; ponderosa pine; western hardwoods; and Douglas-fir. The common vegetation and fauna in each cover type described in this report are described briefly in Appendix G.

Along its northern edge in Utah and extending across Colorado south into New Mexico, the coal region is located within the Southern Rocky Mountain Steppe-Open Woodland-Coniferous Forest-Alpine Meadow Province. This area is characterized by the following cover types: lodgepole pine; fir-spruce; sagebrush; alpine tundra chaparral-mountain shrub; ponderosa pine; and pinyon-juniper.

In the four corners area at the intersection of Utah, Colorado, Arizona, and New Mexico, the coal region is within the Colorado Plateau Semi-desert Province. This area is characterized by sagebrush, pinyon-juniper, ponderosa pine, southwestern shrub-steppe, desert grasslands, and desert shrub cover types.

South of the Four Corners area in central-eastern Arizona and into central New Mexico, the coal region is located within the Arizona-New Mexico Mountains Semi-desert-Open Woodland-Coniferous Forest-

Alpine Meadow Province. Ecoregion sections are characterized by ponderosa pine, pinyon-juniper, desert grasslands, and southwestern shrub-steppe cover types.

The fauna that occur in the arid and semi-arid areas of this coal region have adapted to its harsh climatic conditions. The composition of animal communities in and surrounding the lotic systems of this region are influenced by the vegetative communities that occur. Compared to the rest of the landscape, microclimates in and around the streams support the greatest concentrations of wildlife and provide the primary: habitat; predator protection; breeding and nesting sites; shade; movement corridors; migration stopover sites; and food sources (Levick et al., 2008).

Some physical features of wildlife habitat along ephemeral and intermittent streams include: the deposits of river material (sediment and debris); rock and subsurface soil layers exposed by erosion; the provision of shade through topographic relief; the creation of microclimatic zones; and the sequestration of moisture and nutrients in alluvium. River bank material provides shelter for numerous wildlife species including reptiles, amphibians, birds, mammals, and invertebrates. Specifically, dry wash embankments can contain numerous small caves and crevices that provide critical shelters from predators and the harsh environmental conditions for a variety of species (Van Devender, 2002; Levick et al., 2008).

Major wildlife species in the coal-bearing areas of southeastern Utah, southwest Colorado and northern New Mexico include mule deer (*Odocoileus virginianus*), elk (*Cervus canadensis*), coyote (*Canis latrans*), black bear, mountain lion (*Puma concolor*), black-tailed jackrabbit (*Lepus californicus*), Gunnison's prairie dog (*Cynomys gunnisoni*), badger (*Taxidea taxus*), piñon jay (*Gymnorhinus cyanocephalus*), black-billed magpie (*Pica hudsonia*), mountain chickadee (*Poecile gambeli*), red-breasted nuthatch (*Sitta Canadensis*), white-breasted nuthatch (*Sitta carolinensis*), collared lizard (*Crotaphytus collaris*), western fence lizard (*Sceloporus occidentalis*), and western rattlesnake (*Crotalus viridis*).

Some of the major wildlife species occurring in east central Utah to mid-central Colorado include coyote, kit fox (*Vulpes macrotis*), white-tailed prairie dog (*Cynomys leucurus*), white-tailed jackrabbit (*Lepus townsendii*), pronghorn (*Antilocapra americana*), mule deer, elk, American kestrel (*Falco sparverius*), sage grouse (*Centrocercus* spp.), turkey vulture (*Cathartes aura*), screech owls (*Megascops* spp.), mourning dove, piñon jay, common raven (*Corvus corax*), sage sparrow (*Artemisiospiza nevadensis*), bald eagle (*Haliaeetus leucocephalus*), golden eagle (*Aquila chrysaetos*), western rattlesnake, bullsnakes (*Pituophis* spp.), western fence lizard, and sagebrush lizard (*Sceloporus graciosus*).

Faunal communities are highly related to the habitat as influenced by altitude. Rocky Mountain bighorn sheep (*Ovis canadensis*) and white tailed ptarmigan (*Lagopus leucura*) inhabit the higher elevations of some portions of the region. In desert shrub communities common wildlife species include rock wren (*Salpinctes obsoletus*), lark sparrow (*Chondestes grammacus*), loggerhead shrike (*Lanius ludovicianus*), horned lark (*Eremophila alpestris*), green-tailed towhee (*Pipilo chlorurus*), Brewer's sparrow (*Spizella breweri*), red-tailed hawks, golden eagle, northern harrier (*Circus cyaneus*), and the American kestrel. In pinyon-juniper and mountain brush habitats mountain bluebird (*Sialia currucoides*), blue-gray gnatcatcher (*Polioptila caerulea*), red breasted nuthatch, flycatchers (Family Tyrannidae), great horned owl (*Bubo virginianus*) and red-tailed hawk are common. Mountain bluebirds are common summer nesters. The piñon jay and piñon mouse (*Peromyscus truei*) are obligate species in the pinyon-juniper and mountain brush habitat.

In the high elevation sagebrush communities typical species include sage grouse, mule deer, pronghorn, mountain lion, black bear, California myotis (*Myotis californicus*) and pygmy faded rattlesnake (*Crotalus viridis concolor*). Typical forest-dwelling avifauna include Clark's nutcracker (*Nucifraga columbiana*), gray jay (*Perisoreus canadensis*), northern flicker (*Colaptes auratus*), and Steller's jay (*Cyanocitta stelleri*). Bird species representative of aspen and coniferous forest specifically can include brown creeper (*Certhia americana*), western wood peewee (*Contopus sordidulus*), warbling vireo (*Vireo gilvus*), MacGillivray's warbler (*Geothlypis tolmiei*), Townsend's solitaire (*Myadestes townsendi*), three-toed woodpecker (*Picoides dorsalis*), red-naped sapsucker (*Sphyrapicus nuchalis*), hairy (*Leuconotopicus villosus*) and downy woodpeckers (*Picoides pubescens*), red-tailed hawk, goshawk (*Accipiter gentilis*), Cooper's hawk (*Accipiter cooperii*), and sharp-shinned hawk (*Accipiter striatus*). Typical mammal species in these aspen and coniferous forests include red squirrel (*Sciurus vulgaris*), northern flying squirrel (*Glaucomys sabrinus*), deer, elk, mountain lion, bear, coyote, and hoary bat (*Lasiurus cinereus*).

In the riparian areas bird species can include yellow warbler (*Setophaga petechia*), tree swallow (*Tachycineta bicolor*), western kingbird (*Tyrannus verticalis*), house wren (*Troglodytes aedon*), rufous-sided towhee (*Pipilo erythrophthalmus*), song sparrow (*Melospiza melodia*), loggerhead shrike, hairy woodpecker, red-tailed hawk, and golden eagle. Riparian areas also support a variety of mammals including deer, elk, moose (*Alces alces*), mountain lion, bear, beaver (*Castor canadensis*) and silver-haired bat (*Lasiurus noctivagans*), along with amphibians such as the Utah tiger salamander (*Ambystoma Tigrinum*). Two common amphibian species include chorus frogs (*Pseudacris* spp.), and leopard frogs (*Rana* spp.).

Soil salinity also affects this region's vegetative communities and the fauna that use them. Within southeast Utah, northeastern Arizona, and northwest New Mexico high elevation desert shrub and woodland vegetation the plant and animal communities change. High elevation pinyon-juniper woodland and sagebrush have an understory of galleta (*Hilaria* spp.), blue grama (*Bouteloua gracilis*), black grama (*Bouteloua eriopoda*), and western wheatgrass (*Pascopyrum smithii*). Galleta grass, alkali sacaton (*Sporobolus airoides*), Indian ricegrass (*Oryzopsis hymenoides*), bottlebrush squirreltail (*Elymus elymoides*), and needlegrasses (*Achnatherum* spp.) intermixed with fourwing saltbush (*Atriplex canescens*) and winterfat (*Krascheninnikovia lanata*) are at the lower elevations. Greasewood (*Sarcobatus* spp.) and shadscale (*Atriplex confertifolia*) are part of the plant community on salty soils. Blackbrush (*Coleogyne ramosissima*) may be dominant at the lower elevations.

3.8.4.3 Aquatic Resources

In the Colorado Plateau coal region, each province has unique climatic, physiographic, and geologic properties that influence the types of aquatic systems and biota that occur within them.

3.8.4.3.1 Lotic Systems (River and Streams)

Major perennial rivers that run through the provinces found in the coal region include the Green, Yampa, White, Little Colorado, Colorado, Rio Grande, Pecos, Gila, San Juan, San Francisco, and Little Snake. The largest watershed in this coal region is the Colorado River watershed.

Over 81 percent of streams in the Southwest (Arizona, New Mexico, Nevada, Utah, Colorado and California) are ephemeral or intermittent, according to the USGS National Hydrography Dataset (NHD) (USGS, 2011a). Ephemeral and intermittent streams in the desert and semi-desert areas of this coal

region are unique in their function when compared to perennial streams located in wetter, more humid mountainous provinces. Most streams in the more xeric, desert-like areas of the coal region drain erodible sedimentary rock, making the waters turbid, and sudden rains flush sediments down smaller streams to the perennial reaches (U.S. EPA, 2006). These smaller streams in the xeric regions are often subject to rapid change as a result of flash floods and debris flows (U.S. EPA, 2006). In the southern areas, the extreme xeric conditions and water withdrawals produce internal drainages that end in saline lakes (U.S. EPA, 2006) or desert wallows called playas (Levick et al., 2008). The seasonal rainfall patterns in this coal region vary, which as a result have an effect on stream flows throughout.

A variety of flowing water habitats is present in the Colorado Plateau coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. As listed in Table 3.5-5, there are a total of 43,482 miles of intermittent streams, and 2,811 miles of perennial streams, in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C.

Ephemeral and intermittent stream channels provide critical wildlife movement corridors in arid and semi-arid regions because they often contain continuous chains of vegetation that provide food and cover for wildlife. Small floods that occur during the summer monsoons create corridors of water that allow the dispersal of herpetofauna such as garter snakes and various amphibians (Levick et al., 2008).

3.8.4.3.1.1 Energy Flow/Primary Production

The riparian areas surrounding lotic systems in this coal region are vital to the persistence of biota. Riparian ecosystems occupy small portions of the landscape in arid and semi-arid areas of the coal region, yet they exert substantial influence on hydrologic, geomorphic, and ecological processes (Shaw and Cooper, 2008), and typically support the great majority of biodiversity in these regions (Levick et al., 2008). Plant communities along ephemeral and intermittent streams of this coal region provide food, cover, nesting and breeding habitat, and movement/migration corridors for wildlife that are not as available in the adjacent uplands (Levick et al., 2008). Furthermore, these plant communities moderate soil and air temperatures, stabilize channel banks and interfluvies, provide seed banks, trap silt and fine sediment favorable to the establishment of diverse floral and faunal species, and dissipate stream energy, which aids in flood control (Levick et al., 2008). Ephemeral streams in this region provide support to aquatic species within their own reaches and transfer nutrients, food, and other materials to the more perennial downstream reaches, aiding the biota in these habitats as well.

Algal communities comprised of diatoms, filamentous algae, and cyanobacteria are the predominant primary producers in intermittent and ephemeral streams of the more arid areas of the Colorado Basin coal region. These algal communities are prolific due to the high levels of sunlight. After flood events, algal blooms can occur in areas with stored water and provide the base of the food chain in these systems. When stored water is accessible, primary production can be high for much of the growing season (Atchley et al., 1999; Levick et al., 2008).

As the hydrologic regime shifts from perennial to ephemeral, the presence of drought-tolerant species increases, vegetative cover declines, riparian areas transition from forests to shrublands, and canopy height and upper canopy vegetation volume decline (Leenhouts et al., 2006; Stromberg et al., 2007; Levick et al., 2008). Ephemeral streams with intermediate water availability support drought-tolerant

shrubs such as wolfberry (*Lycium* spp.), brickellbush (*Brickellia* spp.), and small-leaved trees such as velvet mesquite (*Prosopis velutina*) (Hardy et al., 2004; Levick et al., 2008). Along the intermittent and perennial streams, riparian scrublands include seepwillow or batamote (*Baccharis glutinosa*), broom (*Baccharis sarothroides* or *B. emoryi*), arrowweed (*Pluchea sericea*), and tamarisk (*Tamarix chinensis*) (Brown et al., 1977; Levick et al., 2008). Hydro-obligate broad-leaved trees (e.g., the mesoriparian species Arizona walnut, *Juglans major*, and the Fremont cottonwood, *Populus fremontii*) are typically sustained on large washes by floodwater stored in perched ground-water reservoirs (Levick et al., 2008).

3.8.4.3.1.2 Invertebrates

Aquatic invertebrates are important contributors to the biological integrity of stream networks throughout this coal region. Invertebrates constitute a majority of faunal diversity, and aquatic invertebrates are a significant component of the food chain. Many invertebrates require a hydrologic connection for their spatial dispersal, even if the connection is ephemeral or intermittent (Nadeau and Rains, 2007). Ephemeral streams in this coal region can contain rich assemblages of invertebrates. Microinvertebrates in these ephemeral systems include copepods, ostracods, and cladocerans (Levick et al., 2008). Intermittent streams in the Southwest provide food sources for numerous macroinvertebrates found within them and in surrounding areas. For example, Graham (2002) studied temporary pools in watercourses in Wupatki National Monument, Arizona, and found 22 taxa of aquatic macroinvertebrates and two taxa of amphibians. Disturbances caused by intermittent flows may actually improve production and food quality and consequently increase insect production in warm-temperate desert streams (Fisher and Gray, 1983; Jackson and Fisher, 1986; Grimm and Fisher, 1989; Hury and Wallace, 2000; Levick et al., 2008). Whiles and Goldowitz (2005) investigated macroinvertebrate diversity across a hydrologic gradient from ephemeral to perennial streams and found the highest taxa richness and diversity at intermittent sites (Levick et al., 2008). Del Rosario and Resh (2000) compared species richness and abundance of invertebrates in the hyporheic zones of intermittent and perennial streams, and found that intermittent streams had lower densities, similar richness, but higher species diversity than perennial streams.

Various mollusks are found within this coal region and function as filter feeders that eat algae, detritus, and other submersed items on the rocks and substrate within the streams. Mollusks are important sources of food for fish, birds, and some mammals. Mussels rely on specific fish species as hosts for their larvae (called glochidia) to complete their life cycle, and removal of these hosts has led to the decline of some species (Harrold and Guralnick, 2010). Specifically, as of 2010, Colorado has 83 mollusk species (eight gastropod families and three bivalve families) known to occur in various waters throughout the state (Harrald and Guralnick, 2010).

Crustaceans that occur in the Colorado Plateau are various crayfish and freshwater shrimp, and many species are imperiled by pollution, habitat loss, and invasive species. Exotic mollusks have been a threat to ecological communities in Utah (Sutter et al., 2005). Native crustacean species are rare in Utah and of limited distribution (Sutter et al., 2005). Invasive crayfish populations' effects on streams, especially in sensitive headwater areas, are receiving increased attention. Crayfish, such as the rusty crayfish (*Orconectes rusticus*), are omnivorous and aggressively consume submerged aquatic vegetation, other macroinvertebrates, and fish species, and they compete for habitat and resources with fish, frogs, reptiles, and snails (Arizona Invasive Species Advisory Council, 2008).

3.8.4.3.1.3 Vertebrates

Fish communities in the Colorado Plateau coal region range from assemblages of warm water fish (e.g., centrarchids, cyprinids, topminnows, catfishes, perches, catostomids) in the lower elevations to assemblages of more coolwater species (e.g., darters, sculpins, cyprinids, and salmonids) in the higher gradient streams in the upper elevations. However, the Southwest has among the greatest species endemism in the U.S. Cyprinids and cyprinodontids appear to be the most speciose groups of fishes that occur in the various lotic systems in the coal region, and some of the largest members of the family Cyprinidae occur in this coal region. The southwestern deserts of the Basin and Range Province, which encompasses some of the coal region, contain 182 native species of fish, of which 149 are endemic. In these areas, the fish occupy isolated pools within streams that are supplied by underground springs, intermittent marshes, and arroyo habitats which are supplied by water that originates in the wetter mountainous areas (Helfman et al., 1997). Fish communities in the desert areas tend to belong to five major families: Poeciliidae, Cyprinodontidae (e.g., desert pupfish), Cyprinidae, Catostomidae, and Salmonidae (Helfman et al., 1997). Populations of native desert fishes are rapidly dwindling due to destruction of aquatic habitats from urbanization, channelization, land-use change, over grazing by cattle, ground-water pumping, dams, water diversions, and pollution (Rinne and Minckley, 1991).

Fish in the extremely arid areas of this coal region are adapted to harsh and variable desert conditions. For this reason (and others) the ephemeral and intermittent streams, and the isolated pools within them, are important. For example, pupfish (*Cyprinodon* spp.) can withstand the high temperatures, alkalinity, and salinity of small desert pools (Pister, 1995; Levick et al., 2008). Another example, longfin dace (*Agosia chrysogaster*) have the most widespread distribution of any native fish in the Southwest and are highly adapted to drought (Rinne and Minckley, 1991). Longfin dace can survive in relatively high water temperatures, poor water quality and availability, and have been found alive in moist algal mats where there was not enough water to swim (Hulen, 2007; Rinne and Minckley, 1991; Levick et al., 2008).

Larger fishes of the coal region occur in the larger, higher-order perennial streams and rivers, including the Green, Colorado, Yampa, and San Juan Rivers; many are highly threatened as a result of anthropogenic disturbances and invasive species.

Cutthroat trout (*Oncorhynchus clarkii*) serve as an important recreation species in Utah (Sutter et al., 2005). The historical distribution of cutthroat trout covers the broadest range of any stream-dwelling trout in the Western Hemisphere. The rugged topography of their range has led to isolation, which in turn has given rise to fourteen recognized subspecies. Four of these evolved in Colorado and three are of particular interest: the Colorado River cutthroat trout (*O. clarkii pleuriticus*) in drainages west of the continental divide, Greenback cutthroat trout (*O. clarkii stomias*) in the South Platte and Arkansas River drainages, and the Rio Grande cutthroat trout (*O. clarkii virginialis*) in streams that drain into the San Luis Valley (Colorado Division of Wildlife, 2010).

The greenback cutthroat trout was thought to be extinct in 1937; however, numerous pure populations have since been discovered. The historic range for greenback cutthroat trout lies in the headwaters of the South Platte and Arkansas Rivers. Many of those waters have been reclaimed and restocked with pure greenback cutthroat trout. The success of those projects led to the 1978 down listing of greenback cutthroat trout from endangered to threatened under the ESA (Colorado Division of Wildlife, 2010).

The Colorado River cutthroat trout historically occupied portions of the Colorado River drainage in Wyoming, Colorado, Utah, Arizona, and New Mexico. Widespread introductions of non-native salmonids over the last century have served to limit current distributions primarily to isolated headwater streams and lakes. As such, the Colorado River cutthroat trout is designated as a species of special concern in Colorado, and significant resources have been dedicated to conservation of the subspecies. The Conservation Agreement for Colorado River cutthroat trout is a collaborative effort among state and federal resource agencies designed to provide a framework for the long-term conservation of Colorado River cutthroat trout and to reduce or eliminate the threats that warrant its status as a species of special concern (Colorado Division of Wildlife, 2010).

The Rio Grande cutthroat trout is the third subspecies of native trout found in Colorado. They range further south than any other cutthroat trout, historically occupying waters down to southern New Mexico. As with other subspecies of cutthroat trout, widespread introductions of non-native salmonids over the last century have served to limit their current distribution to isolated headwater streams and lakes. A conservation plan developed in 2004 has been used to guide conservation efforts thus far. A Conservation Agreement (Rio Grande Conservation Team, 2009) provides a collaborative framework among state, federal, and tribal resource agencies outlining long-term conservation objectives for this subspecies.

The Colorado Plateau coal region has high herpetofauna diversity, most of which are reptiles. However, there are some introduced species such as the bullfrog (*Lithobates catesbeianus*) that have imperiled other species in some areas of the coal region (Arizona Invasive Species Advisory Council, 2006). Bullfrogs, which are aggressive predators, have been introduced into many locations in the Colorado Plateau coal region and have locally depleted and displaced populations of native amphibians, reptiles, fish, and even small mammals and birds (Arizona Invasive Species Advisory Council, 2008).

3.8.4.3.2 Lentic Systems

Lentic systems in the Colorado Plateau coal region tend to be smaller intermittent or ephemeral wallows called playa lakes, or larger reservoirs created by impoundment of stream flow. Of the 802 lakes surveyed in the “Xeric ecoregion” of the EPA’s National Lakes Assessment (U.S. EPA, 2009b), which includes the Colorado Plateau coal region, 91 percent were constructed reservoirs. Damming the Colorado River has created large man-made lakes and reservoirs (e.g., Lake Powell) (U.S. EPA, 2009b). Smaller impounded streams comprise numerous man-made lentic systems that provide energy and water supply for various municipalities.

Playas fill with water after seasonal rainstorms when freshwater collects in the round depressions of the generally flat landscape. Some saltwater-filled playas are also found in the region and these systems are fed by water from underlying aquifers that transfer salt as water percolates upward through the soil (U.S. EPA, 2012). The saline environment in these playas is inhospitable to many organisms and results in a fauna uniquely adapted to these conditions. Playas are important because they store water in areas commonly subjected to drought, where there are no permanent rivers or streams. Consequently, playas create an oasis-like area that provides habitat for a variety of species, especially in the more arid areas of the coal region. Because playa lakes support such a wide variety of animals, they contribute significantly to the biodiversity of this coal region.

3.8.4.3.2.1 Energy Flow/Primary Production

Flora found in and surrounding the playas can be variable depending upon the periodicity of rain events, agriculture, and substrate (Bolen et al., 1989). During wetter periods, emergent vegetation such as bulrushes (family Cyperaceae), cattails (*Typha* spp.), pondweeds and smartweeds (family Polygonaceae), and barnyard grasses (*Echinochloa* spp.) can be present (Bolen et al., 1989).

Energy flow and primary production in lentic systems within the Colorado Plateau coal region are variable by location but are similar to those described for the semi-arid provinces in the Other Western Interior, Northern Rocky Mountains and Great Plains, and Gulf Coast coal regions.

3.8.4.3.2.2 Invertebrates

Invertebrate populations are heavily exploited by the animal community. During their breeding season, various waterfowl and their broods rely on aquatic macroinvertebrates as important sources of protein. Invertebrates in the littoral zones of playas also provide food for a number of shorebirds (Baldassarre and Fischer, 1984; Bolen et al., 1989). Merickel and Wangberg (1981) collected more than 60 species of macroinvertebrates in playa lakes; however, such biodiversity will vary depending on location, type of playa, and surrounding flora (Bolen et al., 1989).

In some communities of playas, biotic interactions are thought to lead a relatively ordered and predictable succession of organisms (MacKay et al., 1990). MacKay et al. (1990) also noted that after flood events, macroinvertebrate productivity increased with the oviposition of flying insects such as mosquitoes (*Aedes* spp.). Immediately following these floods, mosquito larvae pupated and left the playa within eight days; simultaneously, freshwater shrimp (*Eulimnadia* spp., *Streptocephalus* spp., *Triops* spp., and *Thamnocephalus* spp.) densities increased and then dissipated as the playa dried. This provides evidence that such species in playa lakes likely have adapted quick life cycles to avoid direct competition and predation by other organisms.

3.8.4.3.2.3 Vertebrates

Amphibian species and their dependence on playas are poorly understood. However, multiple species have been documented to use playas, primarily during periods of peaked rainfall that triggers their breeding activities (Bolen et al., 1989). Tiger salamanders (*Ambystoma tigrinum*) use playas in the Southern High Plains to spawn, and leopard frogs (*Rana pipiens*), bullfrogs, cricket frogs (*Acris* spp.), spotted chorus frogs (*Pseudacris* spp.), Great Plains toads (*Bufo cognatus*) and spadefoot toads (*Scaphiopus* spp.) also occur in these playas (Bolen et al., 1989; MacKay et al., 1990).

Fish do not commonly inhabit playas because they are ephemeral bodies of water. Playas that have been altered for irrigation and agriculture have had introductions of various fish species to support some angling activity. Bolen et al. (1989) noted that playas that historically contained no fish populations now support black bullhead (*Ameiurus melas*).

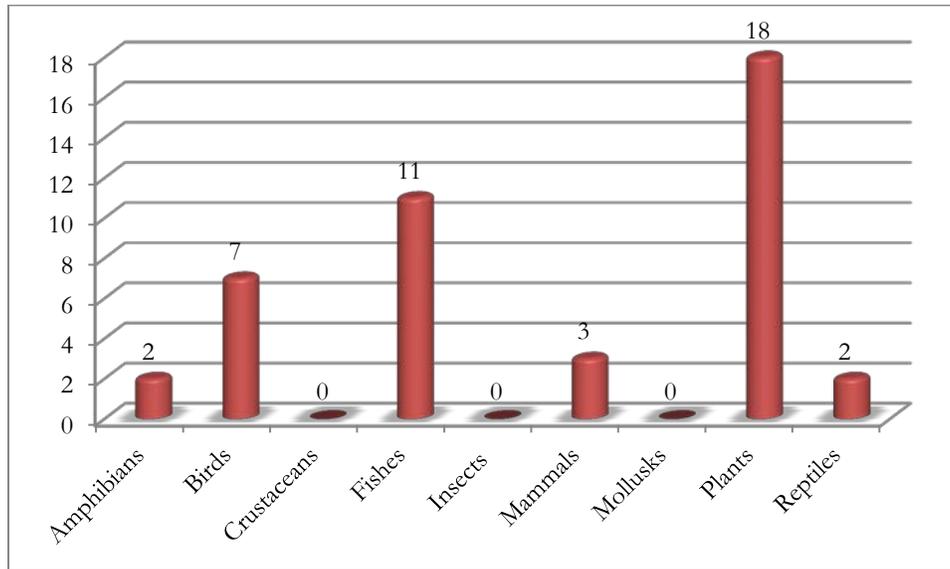
Waterfowl commonly winter in the playa lakes of the region (Bolen et al., 1989); the EPA (2012) noted up to two million waterfowl can use playas. Whooping cranes (*Grus americana*) and up to 400,000 sandhill cranes (*G. canadensis*) have been documented to use the playas as wading and feeding habitat (Bolen et al., 1989). Ring-necked pheasants (*Phasianus colchicus*) also use playa lakes as wintering

habitat in this region (Bolen et al., 1989). Species native to areas surrounding these systems survive because of the existence of playa lakes.

3.8.4.4 Protected Species in the Coal Mining Areas of the Colorado Plateau

In the Colorado Plateau coal region, there are a total of 43 federally listed (and proposed listed) species. See Appendix F for the species names and status information. Figure 3.8-4 depicts the number of listed species and relative proportion for each taxonomic group in the Colorado Plateau coal region.

Figure 3.8-4. Count of Federally Listed and Proposed Species in the Colorado Plateau Region



Of the listed species, the fishes are of particular concern in the Colorado plateau region due to the intense demands on water. The region is impacted by dam construction and water withdrawals to ensure supplies for agriculture, industry and human consumption. As a result fish species and their habitats are affected by changing water temperature, water depletions, blockage of fish passage, transformation of riverine habitat, changes in the timing and magnitude of high and low flows, and changes in channel morphology and water quality. Introduced species are a significant threat in addition to water development (Rondeau et al., 2011).

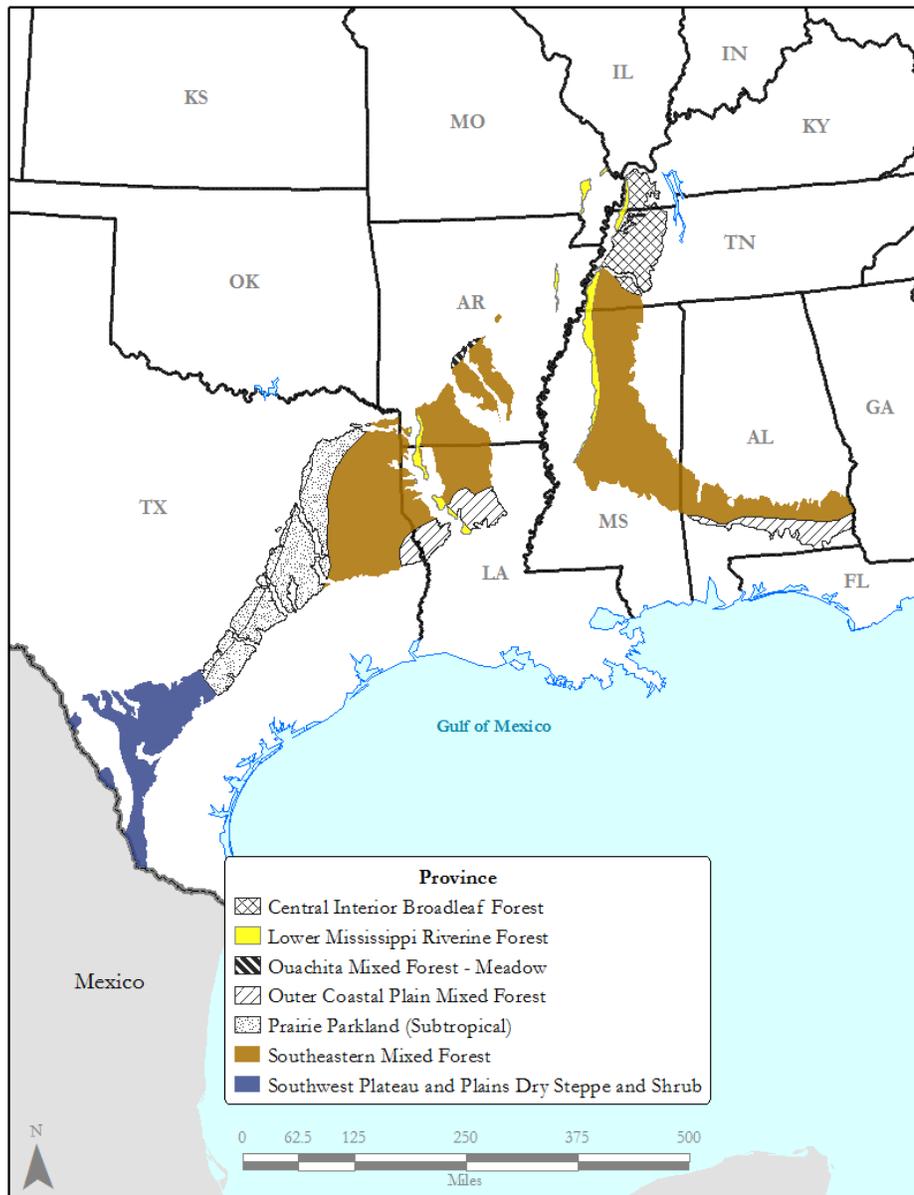
While the absolute number of listed plant species is higher than the number of other groups for this region, that may be a factor of the higher number of plant species in general, as was determined to be the case for Colorado (Rondeau et al., 2011). The primary factors affecting listed bird species in Colorado are habitat loss due to conversion to cropland, forestry, energy development and wetland/riparian alteration (Rondeau et al., 2011).

3.8.5 Gulf Coast Region

3.8.5.1 General Ecological Setting

The coal region of the Gulf Coast is an area of approximately 9,735 square miles and includes the coal mining areas located in Texas, Louisiana, and Mississippi (Figure 3.8-5). A variety of physical and chemical factors affect the biological resources of this coal region. Table 3.8-4 lists the ecological provinces located within this coal region and the approximate area of each.

Figure 3.8-5. Ecological Provinces Located Within the Gulf Coast Region



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-4. USFS Provinces Associated with the Gulf Coast Region

Ecological Province	Area of Coal Region in Province (square miles)
Outer Coastal Plain Mixed Forest	12,107
Prairie Parkland (Subtropical)	12,258
Southeastern Mixed Forest	46,193
Southwest Plateau and Plains Dry Steppe and Shrub	9,566
Lower Mississippi Riverine Forest	623
Central Interior Broadleaf Forest	27
Ouachita Mixed Forest-Meadow	101
Total	80,876

The descriptions provided below for the ecological provinces distributed within the Gulf Coast coal region come from Bailey (1995), Cleland et al. (1997), McNab and Avers (1994), and McNab et al. (2007).

3.8.5.1.1 Outer Coastal Plain Mixed Forest Province

Most of the province’s numerous streams are intermittent to perennial, and sluggish; marshes, swamps, and lakes are numerous. Major rivers that run through the province in the coal region include the Sabine, Red, Mississippi, Mobile, Chattahoochee, and the Flint. Few natural lakes and reservoirs are present, but small ponds and impoundments are abundant.

3.8.5.1.2 Prairie Parkland (Subtropical) Province

This province is a region of gently rolling to flat plains. The vegetation is mainly herbaceous with areas of deciduous broadleaf woodland, particularly along floodplains. In the central Texas area of the province, there is a low to moderate density of perennial streams and associated rivers that form dendritic drainage patterns. These streams mostly have low to moderate rates of flow and moderate velocity. One of the major rivers draining this area is the Red River. A relatively large number of water reservoirs have also been constructed. Along the Texas coast, fluvial deposition and shore-zone processes are active in developing and maintaining beaches, swamps, and mud flats. There is a low density of small to medium size perennial streams and associated rivers, most with moderate volume of water flowing at low velocity. A major river draining this area is the Trinity. In the southern areas of the province small to medium size perennial streams and a low density of associated rivers occur, most with moderate volume of water flowing at very low velocity. Approaching the coast, the water table is high, resulting in poor natural drainage and abundance of wetlands. A poorly defined drainage pattern has developed on very young plains near the coast. An abundance of palustrine (non-tidal wetlands) systems are present, having seasonally high water levels.

3.8.5.1.3 Southeastern Mixed Forest Province

In eastern Texas, northwest Louisiana, and eastern Mississippi small to medium size perennial streams and associated rivers occur, most with a moderate volume of water flowing at low velocity. These lotic

systems form a dendritic (branching) drainage pattern and tend to lack bedrock control. Major rivers in this ecological province within the Gulf Coast coal region are the Arkansas, Red, and Ouachita.

3.8.5.1.4 Southwest Plateau and Plains Dry Steppe and Shrub Province

This is a region of flat to rolling plains and plateaus occasionally dissected by canyons at the western end of the Gulf Coastal Plain and the southern end of the Great Plains. The vegetation of this province is mainly herbaceous with shrubland increasing to woodland on steeper slopes. Aquatic systems in the Edwards Plateau consist of small intermittent and occasional perennial streams forming a dendritic drainage pattern. All streams generally have a low volume of water flowing at low velocity, except along the plateau escarpment, where flow rates can be high. In the southern portion of this province, small to medium intermittent streams are present in a dendritic drainage pattern, and major rivers include the Rio Grande and Nueces.

3.8.5.1.5 Central Interior Broadleaf Forest Province

A description of the Central Interior Broadleaf Forest province is provided above in Section 3.8.2.

3.8.5.1.6 Lower Mississippi Riverine Forest Province

The climate of this province is characterized by warm winters and hot summers. Precipitation occurs throughout the year, although least in fall. Much of this subregion is influenced by periodic flooding of the Mississippi River. Vegetation was initially forests of cold-deciduous, mesophytic hardwoods, which have now largely been cleared and cultivated.

3.8.5.1.7 Ouachita Mixed Forest-Meadow Province

This province has a continental climate, with short, cool winters and long, hot summers. Precipitation occurs throughout the year, but summers are dry. Vegetation consists of mixed needle leaf and cold-deciduous broadleaf forests.

3.8.5.2 Terrestrial Resources

The Gulf Coast coal region study area includes many different terrestrial habits over a broad area of the southeastern United States, ranging from desert habitats in west Texas to coastal areas of the Florida panhandle. The coal counties with active mines extend from Texas to Mississippi. Except as noted, all of the ecoregion descriptions and vegetation cover type descriptions are taken from McMahan et al. (1984) and McNab et al. (2007).

In central Texas, the Gulf Coast coal belt consists of three ecoregion sections: the Rolling Plains Section; the Southwest Plateau and Plains Dry Steppe; and Shrub Province (characterized by Great Plains grasslands, prairie cover types, and oak-hickory). The eastern portion of this coal region is within the Prairie Parkland Province, characterized by cropland; mesquite-lotebush shrub areas with *Yucca* spp., juniper (*Juniperus* spp.), bluestems and snakeweed (*Gutierrezia sarothrae*); and mesquite brush (*Prosopis* spp.) areas with yucca (*Yucca* spp.), prickly pear (*Opuntia* spp.), and grama (*Bouteloua* spp.).

The most significant portion of the Gulf Coast coal belt crosses numerous ecoregions. The eastern portion is characterized by cropland; mesquite-lotebush shrub areas with *yucca*, juniper, bluestems and snakeweed; and mesquite brush areas with yucca, prickly pear, and grama. In southern Texas, the coal

region includes the Southwest Plateau and Plains Dry Steppe and Shrub Province and associated with the Texas savanna and oak-hickory cover types, including with extensive cropland, mesquite-blackbrush brush, and mesquite-Granjeno parks. Common wildlife species include white-tailed deer, coyote, bobcat, beaver, raccoon, cottontail rabbit (*Sylvilagus* spp.), fox squirrel (*Sciurus niger*), turkey, bobwhite, and mourning dove.

East of Texas, the coal region is characterized by oak-hickory, oak-gum-cypress, oak-pine, loblolly-shortleaf pine, prairie, and longleaf-slash pine cover types. Further east, the coal region is within the Prairie Parkland Province, characterized by oak-hickory and oak-pine cover types. There are also extensive areas of including extensive cropland, post oak woods/forest, and post oak woods/forest/grassland. The Mississippi River and its associated environments have been a large contributing factor to the development of ecosystems in these regions. Natural vegetation in these areas varies with topography and hydrology and is incorporated into a patchwork of a predominantly open, agricultural landscape (Lower Mississippi VJV, 2007).

Common wildlife species occurring in the coal-bearing areas of Tennessee, Louisiana, Mississippi, and Alabama include white-tailed deer, black bear, bobcat, gray fox, raccoon, gray squirrel, fox squirrel, eastern chipmunk, white-footed mouse, pine vole (*Microtus pinetorum*), northern short-tailed shrew (*Blarina brevicauda*), and cotton mouse (*Peromyscus gossypinus*). The turkey, ruffed grouse, bobwhite, and mourning dove are common game birds. Typical songbirds include the red-eyed vireo (*Vireo olivaceus*), cardinal (*Cardinalis* spp.), tufted titmouse, wood thrush, summer tanager (*Piranga rubra*), blue-gray gnatcatcher, hooded warbler (*Setophaga citrina*), and Carolina wren (*Thryothorus ludovicianus*). Common reptiles include box turtles, common garter snake, and timber rattlesnake. In flooded areas, such as those of the lower coastal plain in Louisiana, migratory waterfowl and colonial nesting birds such as herons (family Ardeidae) are common.

Areas of eastern Texas, Louisiana and Arkansas support loblolly-shortleaf pine, oak-pine, oak-hickory, oak-gum-cypress cover, and longleaf-slash pine types, including young forest/grassland, loblolly pine-hardwood forest, and native/introduced grasses. Common mammals include white-tailed deer, raccoon, skunk (*Mephitis* spp.), opossum (*Didelphis virginiana*), muskrat (*Ondatra zibethicus*), mink (*Neovison vison*), coyote, ringtail (*Bassariscus astutus*), ocelot (*Leopardus pardalis*), and collard peccary (*Pecari tajacu*). Smaller herbivores include plains pocket gopher (*Geomys bursarius*), fulvous harvest mouse (*Reithrodontomys fulvescens*), northern pygmy mouse (*Baiomys taylori*), southern short-tailed shrew (*Blarina carolinensis*), and least shrew (*Cryptotis parva*). Birds include many wide-spread species, such as eastern bluebird (*Sialia sialis*), eastern meadowlark (*Sturnella magna*), grasshopper sparrow (*Ammodramus savannarum*), mourning dove, Cooper's hawk and mockingbird (*Mimus* spp.). Common amphibians and reptiles include eastern spadefoot toad (*Leptobrachium* spp.), Great Plains narrow mouthed frog (*Gastrophryne olivacea*), green toad (*Anaxyrus debilis*), yellow mud turtle (*Kinosternon flavescens*), Texas horned lizard (*Phrynosoma cornutum*), Texas spiny lizard (*Sceloporus olivaceus*), and Texas blind snake (*Leptotyphlops dulcis*).

3.8.5.3 Aquatic Resources

Aquatic systems within the Gulf Coast coal region range from arid western Texas to the subtropical Mississippi lowlands. Aquatic systems within this coal region are diverse in structure, flows,

composition, and biota. Major rivers include the Chattahoochee, Mobile, Mississippi, Red, Brazos, and the Rio Grande Rivers.

3.8.5.3.1 Lotic Systems

A variety of flowing water habitats is present in the Gulf Coast coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. A more detailed discussion about the general habitat features of these different types of streams is presented in Appendix C.

Streams in the Gulf Coast coal region create riparian habitat for plants and animals (U.S. EPA, 2006; Levick et al., 2008). Prairie streams found in this coal region tend to be either sand-bottomed or clay-bottomed; water in clay-bottomed prairie streams tends to have longer residence time and less water exchange with substrate when compared to sand-bottomed streams (Matthews, 1988). During summer months, the drying up of intermittent clay-bottomed streams creates small pools that provide habitat for aquatic fauna. Streams towards the humid-subtropical coastal areas of the coal region can be described as small to medium size perennial streams adjacent to larger rivers, and their arrangement within the watersheds follows a dendritic pattern. These are warm water streams, which have lower-gradient, moderate to high discharges, low turbulence, and rubble-sand-mud substrates (Winger, 1981; Felley, 1992; Hackney et al., 1992). Streams in the Gulf Coast tend to be acidic and low in conductivity, salinity, hardness, and nutrient levels, except in regions where streams drain over limestone bedrock high in phosphate (e.g., Peninsular Florida) (Felley, 1992). Streams in this region are also subject to pulsed floods that are crucial for moving nutrients and particulates downstream (Livingston, 1992).

Blackwater streams are more common along the coast than whitewater streams and alluvial rivers, and are unique in that they often contain more dissolved organic compounds than other streams (Smock and Gilinsky, 1992). The dissolved oxygen levels in medium to low gradient whitewater and alluvial streams tend to be high throughout most of the year, not dropping below 70 percent saturation (Felley, 1992; Hackney et al., 1992). Blackwater streams often face oxygen depletion during summer months as a result of increased temperatures. Furthermore, the oxygen concentrations in the hyporheic zones of smaller blackwater streams are low to anoxic during the warmer months (Smock and Gilinsky, 1992). Most upstream reaches and smaller streams are sand-bottomed. Discharge of streams in this province is seasonally variable and dependent on stream order (Felley, 1992; Hackney et al., 1992). Often, low flows occur from June through October. A period of higher flows occurs from November to May, where flows are highest from January to March (Felley, 1992; Smock and Gilinsky, 1992). Many headwater streams in this region tend to be intermittent and dry during the summer, leaving only isolated pools (Smock and Gilinsky, 1992). During the winter rains, most discharge flows through the floodplains surrounding the streams.

As described in Section 3.5 (see Table 3.5-5), it is estimated that there are a total of 175,925 miles of intermittent streams and 46,695 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C and Section 3.5.

3.8.5.3.1.1 Energy Flow/Primary Production

The productivity of lotic systems in the Gulf Coast varies spatially and temporally. Prairie streams exhibit productivity patterns similar to desert streams. Headwater streams of southern prairies are sunlit and lack forest cover. Matthews (1988) stated that these systems may be somewhat autochthonous in that filamentous algae may serve as significant primary producers. Bott et al. (1985) found higher rates of autochthonous production in prairie streams similar to desert streams, and Matthews (1988) further noted that streams that rely on allochthonous inputs obtain them from detritus from decaying grasses surrounding the streams.

The algal community of streams in the Gulf Coastal plain is dominated by diatoms and filamentous algae. Seasonal algal blooms often occur during the late winter and early spring months (Smock and Gilinsky, 1992). The distribution of filamentous algae and its extensive growth within the blackwater streams in the Gulf Coast region is related to the presence of beavers (*Castor canadensis*) and their effects on the local habitat (Smock and Gilinsky, 1992). Unicellular producers tend to be more important in slower moving waters in the downstream reaches of streams and are rare in areas with flowing water and dense, surrounding vegetative cover (Folley, 1992). Light is a limiting factor to primary production in blackwater streams; they also tend to have low rates of primary production and are primarily heterotrophic systems (Smock and Gilinsky, 1992). Animals in these systems exploit dissolved organic compounds as their primary source of food, and Smock and Gilinsky (1992) noted that detritus processing is dependent on hydrologic events that move organic material (e.g., leaves and debris) downstream to leaf-shredding macroinvertebrates. For blackwaters, these organisms are generally found in the perennial streams. In intermittent streams, isopods and amphipods are the predominant shredders. Floodplains serve as the functional headwaters of river systems in the Gulf Coast (Smock and Gilinsky, 1992).

Submerged plants are important contributors to the primary production of streams in the Gulf Coastal plain, providing food and also cover for various aquatic animals. Typically, submerged vegetation is not as abundant in headwater streams but becomes more common in higher-order streams of the province. Water nymphs (*Najas* spp.), coontails (*Ceratophyllum* spp.), bladderworts (*Utricularia* spp.), eel grass (*Vallisneria* spp.), exotic hydrilla (*Hydrilla verticillata*) and water hyacinth (*Eichoria crassipes*) are some submerged plant species found in the province (Folley, 1992; Hackney et al., 1992). A majority of the primary production in the low-order and upstream reaches of streams occurs in the riparian or wetland areas surrounding these streams.

Emergent plants are also important lotic producers found in this region, especially those surrounding headwater streams. Many species of emergent vegetation in the Gulf Coastal plain have adapted to periodic flooding and drought conditions and can grow on saturated and drying soil (Hackney et al., 1992). Tree species such as bald cypress (*Taxodium distichum*) and water tupelo (*Nyssa aquatica*), and various grasses and rushes (*Cladium* spp., *Juncus* spp., *Rynchospora* spp., etc.), grow along the edges of low gradient streams that may remain wet for most of the year. Such species are important because they stabilize the banks of these streams as well as supply cover and food for animals, influence stream temperature, and provide nutrient input to the streams (Folley, 1992). Floating plants such as duckweed, water lettuce (*Pistia stratiotes*), water hyacinth, and alligator weed (*Alternanthera philoxeroides*) are also common (Livingston, 1992). The U.S. EPA (2006) indicated that the streams assessed in the Gulf Coastal Plains ecoregion had good condition of vegetative cover on 52 percent of stream length. Floodplains of the streams in this region also have distinctive vegetation communities. Cypress swamps

can be found along the coast from Florida to Texas, as can southern bottomland hardwood swamps (Livingston, 1992).

3.8.5.3.1.2 Invertebrates

The continental and subtropical areas of the Gulf Coast coal region contain high aquatic invertebrate diversity. Multiple studies have characterized the diverse arthropod communities found in the various small and mid-sized streams in the coastal plains (Berner, 1950; Beck, 1980; Barr and Chapin, 1988; Berner and Pescador, 1988; Felley, 1992). Berner (1950) found that southeastern coastal areas of the region had more mayfly genera than any other physiographic region of the U.S. The ephemeral and intermittent streams of prairie provinces tend to support lower aquatic macroinvertebrate diversity than coastal and temperate areas of the coal region (Matthews, 1988). The lack of aquatic macroinvertebrate diversity is likely attributable to unpredictable flows, homogenous substrates, and the prevalence of mud and sandy stream bottoms. In the prairie provinces, riffles in streams serve as optimal habitat for macroinvertebrates. Furthermore, spring-fed streams in prairie regions often have higher macroinvertebrate diversity than other prairie streams (Matthews, 1988).

Invertebrate biomass varies seasonally in Gulf Coastal streams, and seasonal biomass varies among drainages (Bass and Hitt, 1977, 1978; Bass et al., 1980; Felley, 1992). Smaller streams (orders 1 through 4) have lower biomass in the summer than larger streams (order 5 or greater) which tend to have peak biomass during these months (Felley, 1992). Furthermore, Felley (1992) noted that variations in invertebrate productivity within drainages are associated with habitat types. The more productive streams in coastal areas are those with vegetation or fine sand/mud substrates with detritus; productivity is lower in streams with clean, sandy bottoms (Felley, 1992).

The primary food source exploited by the invertebrates in smaller to medium streams in this coal region is detritus, which enters coastal plain streams during the fall, winter and early spring, and enters prairie streams in the spring and early summer. In headwaters, invertebrates tend to be collectors/gatherers and scrapers; further downstream, these organisms are important, but lower in numbers as predator abundance gradually increases (Felley, 1992). Prairie streams tend to have a lower abundance of shredders than those with abundant broad-leaved riparian vegetation, and much of the processing of particulate organic matter is done by microbes (Matthews, 1988). In the extreme headwaters of coastal areas, invertebrates (e.g., copepods, cladocerans, and rotifers) are abundant and restricted to pools and temporary ponds (Felley, 1992). Larger arthropods such as odonates, culicids, isopods, and amphipods are common throughout the various reaches of streams, including the headwaters. Oligochaetes and chironomids are the dominant taxa found in the more permanent streams, but ephemeropterans, ceratopogonids, and gastropods are also abundant (Felley; 1992). Riffle beetles (Elmidae) and trichopterans tend to be abundant in sand-bottom streams (Felley, 1992).

Crayfish species are extremely diverse in the southeastern U.S., especially within the Gulf Coast region. Crayfish found in the aquatic systems of the Gulf Coast coal region are ecologically important as predators, processors of organic materials, and as food sources for a variety of fish and terrestrial species (Taylor et al., 2007).

Most of the freshwater mussel species known to occur in the U.S. are distributed in the Southeast. Fifty-three of the 300 species known to occur in the U.S. occur in Texas, 175 occur in Alabama, 84 occur in

Mississippi, 63 occur in Louisiana, and 51 occur in Florida (Neves et al., 1997). The dominant mussel species in most Gulf Coastal streams are introduced Asiatic mussels (*Corbicula* spp.), but multiple native species reside in the larger perennial streams, some of which are endemic to the waters in which they are found.

3.8.5.3.1.3 Vertebrates

The southeastern U.S. is one of the most diverse regions for species of reptiles and amphibians. Snakes (*Nerodia* spp., *Farancia* spp., *Regina* spp., *Agkistrodon* spp.), turtles (*Sternotherus* spp., *Kinosternon* spp., *Clemmys* spp., *Chelydra* spp., *Pseudemys* spp., *Apalone* spp., *Graptemys* spp.), and alligators (*Alligator mississippiensis*) are some of the common reptile genera that can be found in small and medium-sized Gulf Coastal plain streams and their floodplains. Various frogs (*Rana* spp., *Pseudacris* spp., *Hyla* spp., *Acris* spp.), amphiuma (*Amphiuma* spp.), sirens (*Siren* spp.), waterdogs (*Necturus* spp.), and Ambystomatid and Plethodontid salamanders can be found as well. Many species are widely distributed and are represented by several subspecies. Felley (1992) noted that many species of map turtles (*Graptemys* spp.) found in this region are confined to particular drainages. Over half of the amphibian genera in the Southeast have species that live in small streams, seeps, bogs or swamps (Dodd, 1997; Meyer et al., 2003). Multiple species of stream salamanders require headwater seeps and small streams in forested habitats to maintain viable populations (Petranka, 1998; Meyer et al., 2003).

Fish assemblages in the Gulf Coast region tend to be very diverse. In a study conducted in prairie streams, stream size was the most important factor influencing the structure of fish assemblages (Fischer and Paukert, 2008). Spatially, fish communities of the coal region tend to become relatively more diverse from the arid western areas eastward to the more humid-subtropical areas. However, the diversity of fish communities is suspected to have decreased and become more homogenized over time (Hubbs et al., 1997).

Fish communities in the western plains tend to be composed of species that have adapted to harsh seasonal conditions and are represented by generalists (e.g., cyprinids, catostomids, centrarchids, ictalurids, topminnows, etc.) (Fischer and Paukert, 2008). Fish diversity in prairie streams tends to be low because of higher saline waters and frequent droughts (Matthews, 1988). Cyprinids tend to be the dominant group of fish in prairie streams.

Fish communities of the coastal provinces are diverse and are comprised of warm water fish species such as sunfishes and black basses (Centrarchidae), darters (Percidae), minnows, suckers, and catfishes. In larger streams, black basses, gar (Lepisosteidae), bowfin (Amiidae), and catfishes are the dominant predators in these fish communities. Anadromous fishes include sturgeons (Acipenseridae), shad (Clupeidae), and striped bass (Moronidae). There are few endemic freshwater fish species limited to the medium-low gradient streams of the province. Blackwater streams in this region are said to be more diverse than piedmont or mountain streams (Smock and Gilinsky, 1992). Ross and Baker (1983) noted that 42 species were found within a small Mississippi stream. Fish diversity increases with stream order (Livingston, 1992). Most species that are limited to the small to medium streams belong to genera that are considered to speciate readily: shiners (*Notropis* spp.), topminnows (*Fundulus* spp.), and darters (*Etheostoma* spp.) (Felley, 1992). Such species are considered to produce many eggs and have a protracted spawning season to assure that reproduction is successful despite dry periods or sudden disturbances (Heins and Clemmer, 1976; Heins and Rabito, 1986; Heins and Baker, 1987; Felley, 1992).

Coastal Plain streams and their floodplains are important spawning and nursery grounds for a variety of fish species.

3.8.5.3.2 Lentic Systems

Lentic systems in the Gulf Coast coal region tend to be variable. They are more ephemeral and intermittent in the arid and semi-arid provinces in the West, and are more permanent in the more humid, eastern provinces. Lentic systems in the Southwest Plateau and Plains Dry Steppe Province tend to be smaller intermittent or ephemeral wallows (called playa lakes) as well as some larger reservoirs. Lentic systems in the subtropical provinces (e.g., Prairie Parkland, Lower Mississippi Riverine Forest, Southeast Mixed Forest, and Outer Coastal Plain Mixed Forest) are mostly man-made impoundments and private ponds. Natural lentic systems in this coal region are fluvial lakes (Crisman, 1992). A subset of major lakes of the region includes the Toledo Bend (TX) and Sam Rayburn Reservoirs (TX/LA), and the massive lake-wetland complexes north of the Gulf Coast (U.S. EPA, 2009b). The Coastal Plains province is also home to a variety of lakes and ponds such as southeastern blackwater lakes, Carolina “Bays,” and the limestone-rich clear lakes of the Florida peninsula (U.S. EPA, 2009b). Small impoundments and farm ponds are common in the coal region, and they are formed by impounding small perennial or intermittent streams (Menzel and Cooper, 1992).

The biotic communities of smaller ponds and impoundments in the region are more affected by natural and artificial outside influences as a result of their isolation from other water bodies. Generally, the small impoundments are constructed for water supply, recreation, and flood control. Water temperatures in these small ponds and impoundments often approximate that of the air temperature because of their small volume and shallow depth, resulting in seasonal stratification (Menzel and Cooper, 1992).

Natural lakes in the coal region usually discharge by simple overflow of surface water, whereas reservoir discharge is controlled by outlet structures that can be located at various depths. Southeastern reservoirs tend to be deep and stratify seasonally. Water released from these reservoirs is typically released from the dense bottom layer (Soballe et al., 1992). Released water can vary in nutrient content, but it tends to have cooler temperatures and the releases can have significant ecological effects on the receiving streams.

3.8.5.3.2.1 *Energy Flow/Primary Production*

Plants surrounding lentic systems in this coal region provide a significant amount of allochthonous energy input through leaf litter fall. The ponds, lakes, and reservoirs also receive sediments and additional nutrients from surface runoff during precipitation events, which can contribute to the energy balance. The species of phytoplankton found in lentic systems and their distribution depends on the size and location of the system. Often, smaller impoundments are dominated by benthic forms of algae that detach and become a part of the planktonic population (Menzel and Cooper, 1992). More planktonic forms and diatoms are more prevalent in larger systems. Stable water levels and prolific macrophytes prevent higher rates of primary production from occurring in reservoirs, but overall these systems tend to be nutrient-rich and moderately productive (Soballe et al., 1992). Seasonally, the algal community shifts from diatoms or green algae in the winter and spring, to blue-green algae during the summer and fall (Menzel and Cooper, 1992). Blue-green algae often become a dominant primary producer in areas that receive higher levels of nutrient inputs such as fertilizers with nitrogen and phosphorus or organic manures. Primary production by macrophytes is more important within smaller ponds and impoundments in this coal region compared to more northern latitudes, whereas phytoplankton provide much of the primary production in larger

systems (Menzel and Cooper, 1992). Floating plants in lentic systems can become so dense that they shade out phytoplankton in the water column, which can lead to oxygen depletion and fish kills.

Emergent vegetation in the littoral zone varies across the coal region. Common herbaceous plants surrounding lentic systems include rushes, grasses, beggarticks (*Bidens* spp.), sedges, cattails, spikerush (*Eleocharis* spp.), and marsh-purslane (*Ludwigia* spp.) (Menzel and Cooper, 1992). Trees such as red maple (*Acer rubrum*), hazel alder (*Alnus* spp.), sweetgum, willows (*Salix* spp.), and tupelo are common near the shores of lentic systems in this coal region.

3.8.5.3.2.2 Invertebrates

Cladocerans and copepods are major biomass contributors in lentic systems in this coal region, and they filter a significant amount of the detritus and serve as a critical link in the food chain between primary producers and fish (Menzel and Cooper, 1992; Soballe et al., 1992). Common genera of zooplankton include *Daphnia*, *Bosmina*, and *Mesocyclops*. Rotifers and protozoans also can be found, but tend to comprise a smaller percentage of biomass (Menzel and Cooper, 1992; Soballe et al., 1992). Chironomids also serve as an important food source for many species in lentic systems, including bluegill, brown bullhead, and golden shiner (*Notemigonus crysoleucas*) (Mozley, 1968; Menzel and Cooper, 1992).

3.8.5.3.2.3 Vertebrates

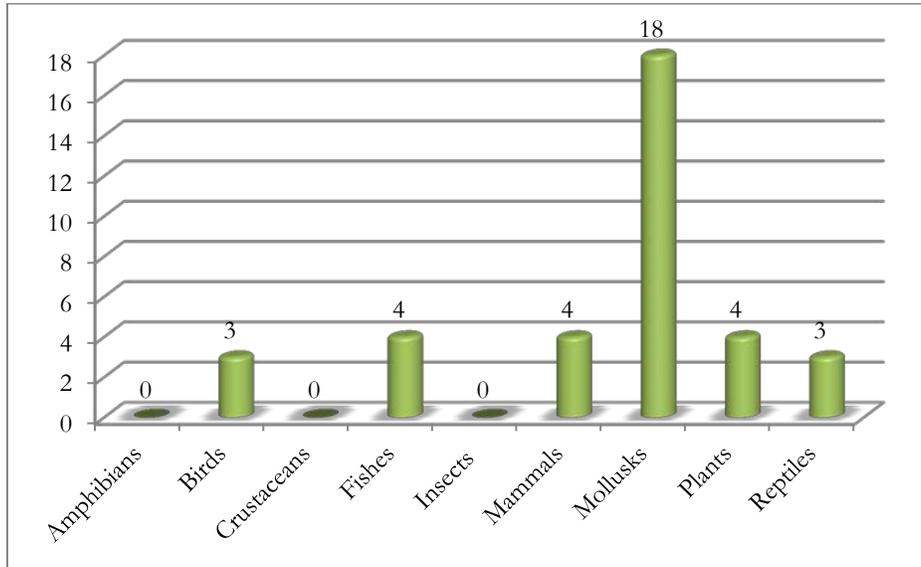
Lentic systems in the Gulf Coast coal region tend to have fish communities comprised of generalist species such as sunfishes, black basses, white bass (*Morone chrysops*), catfishes, perch, and suckers. In smaller impoundments, largemouth bass is the top predator and will eat many species of sunfishes, amphibians, reptiles, and even small birds and mammals (Menzel and Cooper, 1992). Sunfishes are important forage fish in lentic systems in the southeast, but they have the ability to overpopulate smaller systems and produce stunted individuals. Other common fish species that occur in lentic systems in this coal region are gar, bowfin, minnows, golden shiners (*Notemigonus crysoleucas*), topminnows, and introduced species such as the common carp (*Cyprinus carpio*). Many centrarchids, moronids, and ictalurids found in the lentic systems in the continental and subtropical areas support popular sport fisheries. Clupeid species (e.g., shads) are important prey for a number of the predatory fish in these lentic systems.

Reptiles and amphibians rely heavily on the littoral habitats of the lentic ecosystems for food and cover. Various species of snakes, lizards, and turtles also use littoral areas of lentic systems for foraging sites. Presence of reptiles in or near the aquatic systems in this coal region is positively correlated with increasing sedimentation, decreasing water depths, and increasing abundance of prey species (Menzel and Cooper, 1992). Amphibians, especially salamanders, tend to avoid lentic systems populated by predatory fish species (Kats et al., 1988; Figiel and Semlitsch, 1990; Kats et al., 1992). Ephemeral and intermittent ponds are especially important for breeding sites for ambystomatids like the marbled (*Ambystoma opacum*), spotted, and mole (*A. talpoideum*) salamanders, and various frog species during the fall, winter, and spring seasons.

3.8.5.4 Protected Species in the Coal Mining Areas of the Gulf Coast

In the Gulf Coast coal region, there are a total of 36 federally listed (and proposed listed) species. See Appendix F for the species names and status information. Figure 3.8-6 depicts the number of listed species and relative proportion for each taxonomic group.

Figure 3.8-6. Count of Federally Listed and Proposed Species in the Gulf Coast Region



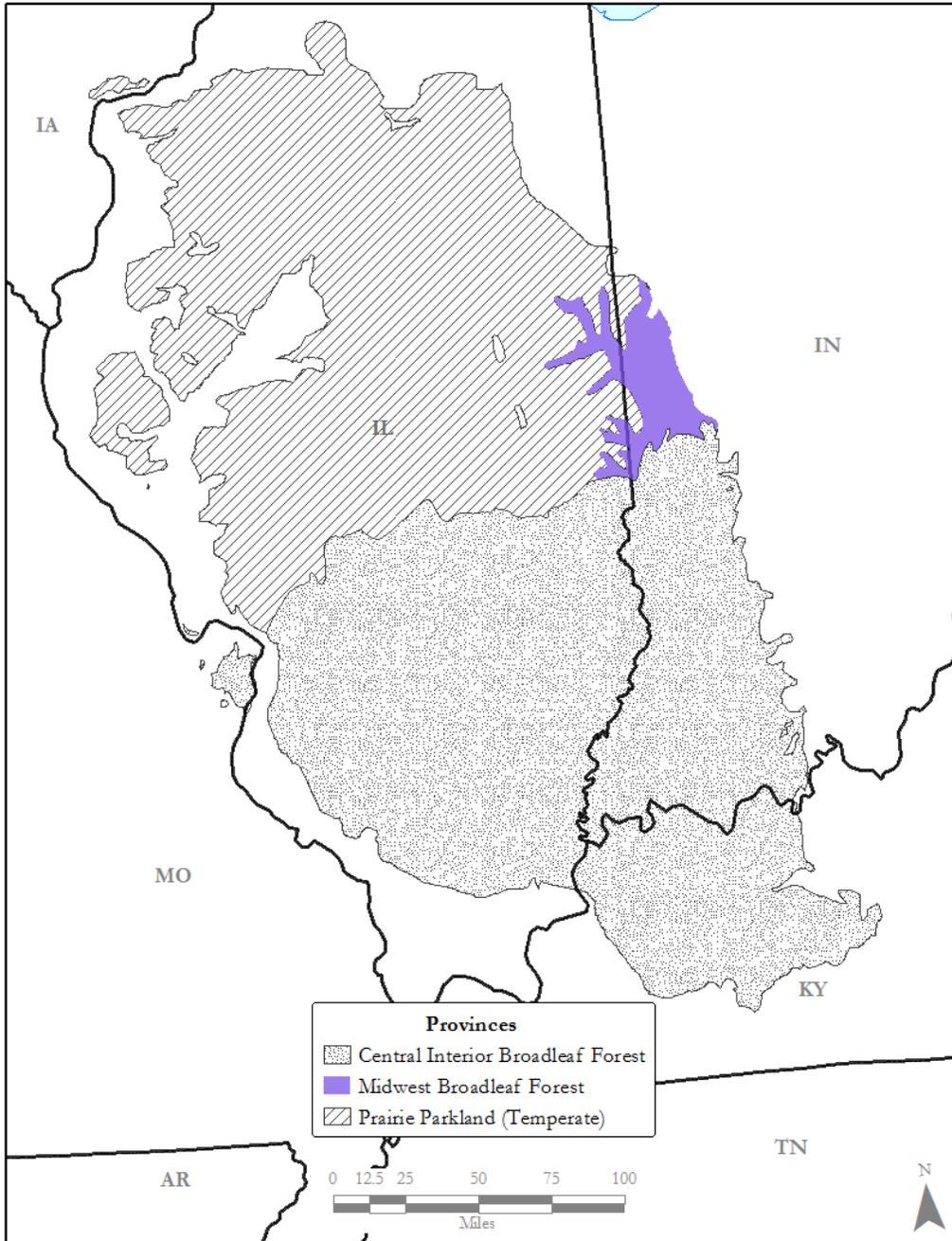
Mollusk species represent 50 percent of the total listed species in the Gulf Coast coal region. Mussels are sensitive to habitat alterations and dependent on flow conditions, which make their populations patchily distributed in rivers and streams. Within this region, there is fragmentation of streams and rivers from channeling, and impounding water that can eliminate subpopulations. The increase in distance between other populations can have major consequences for the metapopulation structure of the species and diminish their resilience (*Muhlop and Vaughn, 1994*). Mollusks in this region are particularly impacted by changes in stream habitats caused by commercial fishing and invasive species. Hydrologic changes and habitat fragmentation increases their vulnerability to these stressors.

3.8.6 Illinois Basin Region

3.8.6.1 General Ecological Setting

The active mining in the Illinois Basin coal region stretches across three primary states: Illinois, Indiana, and Kentucky. Most of the coal region lies within the state of Illinois (Figure 3.8-7). Table 3.8-5 lists the ecological provinces located within this coal region and the approximate area of each.

Figure 3.8-7. Ecological Provinces Located within the Illinois Basin Region



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-5. USFS Provinces Associated with the Illinois Basin Region

Ecological Province	Area of Coal Region in Province (square miles)
Central Interior Broadleaf Forest	24,673
Midwest Broadleaf Forest	1,366
Prairie Parkland (Temperate)	21,936
Total	47,975

The descriptions provided below for the ecological provinces distributed within the Illinois Basin coal region come from Bailey (1995), Cleland et al. (1997), McNab and Avers (1994), and McNab et al. (2007). The common vegetation and fauna in each cover type are described briefly in Appendix G.

3.8.6.1.1 Prairie Parkland (Temperate) Province

This province covers an extensive area from Canada to Oklahoma, with alternating prairie and deciduous forest. The vegetation was once herbaceous with woodland of scattered deciduous broadleaf trees along floodplains of major rivers; almost all woodland has now been cleared for agriculture.

Stream and river systems in this province are well developed and have integrated dendritic drainage networks that are carved into the land surface. Allochthonous energy sources for streams in this province include plains with native vegetation of herbaceous prairies and woodlands (McNab et al., 2005). Illinois has a system of lakes dominated by manmade bodies of water ranging in scale from huge flood control reservoirs to worked-out stone quarries, gravel pits, and farm ponds (Illinois DNR, 1994a). Natural lakes and ponds are rare or non-existent in this province.

3.8.6.1.2 Midwest Broadleaf Forest Province

A description of the Midwest Broadleaf Forest province is provided above in Section 3.8.2. Streams in the Indiana portion of this province are in the Ohio River watershed. Lakes in this province are generally small to medium size. Wetlands are formed in extensive low-lying areas in former glacial lakebeds. There is moderate to high density of streams in this province; low gradient streams and rivers predominate, and typically have substrates composed of sand, gravel, bedrock, and boulders. Vegetation in this province consists of cold-deciduous, hardwood-dominated forests with a high proportion of species able to tolerate mild, brief, periodic drought during the late summer.

3.8.6.1.3 Central Interior Broadleaf Forest Province

A description of the Central Interior Broadleaf Forest province is provided above in Section 3.8.2. The geomorphology of the province leads to drainage areas of shallow entrenchment, and in some local areas, exposed limestone and sandstone bedrock. There is a moderate density of medium to large perennial streams, most with moderate volume of water at low velocity, composed of dendritic drainage patterns. This area has a handful of natural lakes from previous glacial events; however, most of the lakes in the region are manmade (Illinois DNR, 1994a). The few natural lentic systems in the Central Interior

Broadleaf Forest Province predominantly consist of lakes and wetlands in oxbows along the Kaskaskia, Big Muddy, and Wabash river flood plains.

3.8.6.2 Terrestrial Resources

The coal-producing portions of the Illinois Basin are characterized by mostly agricultural land, with natural vegetation consisting of oak-hickory, elm-ash-cottonwood, oak-gum-cypress, prairie, oak-pine, maple-beech-birch, and aspen-birch cover types. As mentioned above in the introduction to Section 3.8, native cover types in highly altered landscapes, like those found in the Illinois basin, can be rare.

Beginning in the northern portion of this coal region in central Illinois and Indiana, this area originally supported prairie vegetation with hardwood forests on scattered upland sites. Areas of tall prairie grasses are characterized by big bluestem (*Andropogon gerardii*), Indiangrass (*Sorghastrum nutans*), prairie dropseed (*Sporobolus heterolepis*), and switchgrass (*Panicum virgatum*). White oak (*Quercus alba*), shingle oak (*Quercus imbricaria*), black oak (*Quercus velutina*), hickory, white ash (*Fraxinus americana*), basswood, sugar maple (*Acer saccharum*), and walnut (*Juglans* spp.) grow on the better drained soils. Silver maple (*Acer saccharinum*), black willow (*Salix nigra*), cottonwood (*Populus* spp.), and sycamore grow on flood plains.

Some of the common wildlife species include white-tailed deer, jack rabbits (*Lepus* spp.), cottontails, opossum, and many small rodents. Common predators include swift foxes (*Vulpes velox*), kit foxes, bobcats, and coyotes. Grassland dwelling species are plentiful, for example bobwhites, horned larks, and meadowlarks (*Sturnella* spp.). Cooper's hawks, barred owls (*Strix varia*), and long-eared owls (*Asio otus*) are examples of year round residents. Common reptiles include snapping turtles (*Chelydra serpentina*), box turtles, bullfrogs, ringneck snakes (*Diadophis punctatus*), and bull snakes. Other common wildlife species include coyote, turkey, red fox (*Vulpes vulpes*), beaver, raccoon, skunk, muskrat, opossum, cottontail rabbit, fox squirrel, Canada goose (*Branta canadensis*) (*Ardea Herodias*), wood duck (*Aix sponsa*), mallard duck (*Anas platyrhynchos*), redheaded woodpecker (*Melanerpes erythrocephalus*), quail (*Coturnix coturnix*), and ring-necked pheasant.

Areas of southeastern Illinois originally supported tall prairie grasses, mainly big bluestem (*Andropogon gerardii*), Indiangrass (*Sorghastrum nutans*), prairie dropseed (*Sporobolus heterolepis*), and switchgrass (*Panicum virgatum*). The present potential for natural vegetation on these soils is unknown. Forests of post oak (*Quercus stellata*), swamp white oak (*Quercus bicolor*), blackjack oak (*Quercus marilandica*), and pin oak (*Quercus palustris*) grow on poorly drained soils. White oak (*Quercus alba*), shingle oak (*Quercus imbricaria*), black oak (*Quercus velutina*), hickory (*Fraxinus americana*), white ash, basswood (*Acer saccharum*), sugar maple (*Acer saccharum*), , and walnut (*Juglans* spp.) grow on the better drained soils. Species such as silver maple (*Acer saccharinum*), black willow (*Salix nigra*), cottonwood (*Populus* spp.), and sycamore grow on the flood plains.

Some of the major wildlife species in this area are white-tailed deer, coyote, turkey, and bobwhite. Small mammals include masked shrew (*Sorex cinereus*), meadow vole (*Microtus pennsylvanicus*), and western harvest mouse (*Reithrodontomys megalotis*). Common avian species include black-capped chickadee (*Poecile atricapillus*), northern harrier, upland sandpiper (*Bartramia longicauda*), long-eared owl, and Henslow's sparrows (*Ammodramus henslowii*). Sora (*Porzana carolina*), black-crowned night herons (*Nycticorax nycticorax*) and veery (*Catharus fuscescens*) are found in sedge meadows and swamps.

Common amphibians include the Illinois chorus frog (*Pseudacris illinoensis*) and the Plains leopard frog (*Lithobates blairi*); common reptiles include the Kirtland's snake (*Clonophis kirtlandii*), and Illinois mud turtle (*Kinosternon flavescens*).

Areas of southwest Illinois, Missouri, southwest Indiana and Kentucky support natural hardwoods. Oak, hickory, beech, and sugar maple are the dominant species in the forest overstory. Native grasses grow in some scattered areas between the trees including big bluestem and little bluestem (*Schizachyrium scoparium*). The soils on lowlands support mixed forest vegetation. Pin oak, shingle oak, hickory, sweetgum, and black oak are the dominant species on the wetter sites. White oak, black oak, red oak (*Quercus rubra*), hickory, yellow-poplar, ash, sugar maple, and black walnut (*Juglans nigra*) grow on the better drained sites. Honeylocust (*Gleditsia triacanthos*) is dominant on soils that formed in shaly limestone residuum. Red cedar commonly grows on the shallower soils overlying limestone. Silver maple, cottonwood, sycamore, pin oak, river birch (*Betula nigra*), pecan (*Carya illinoensis*), willow, cherrybark oak (*Quercus pagoda*), Shumard oak (*Quercus shumardii*), and sweetgum grow along rivers, streams, and floodplains. Black walnut is abundant on deep, well drained soils on some small flood plains. Sedge and grass meadows and scattered trees are on some lowland sites.

Some of the major wildlife species in this area are white-tailed deer, coyote, gray fox, red fox, beaver, raccoon, skunk, muskrat, opossum, mink, rabbit, fox squirrel, gray squirrel, Canada goose, turkey vulture, turkey, woodcock (*Scolopax* spp.), ruffed grouse, great horned owl, wood duck, pileated woodpecker (*Hylatomus pileatus*), red-bellied woodpecker (*Melanerpes carolinus*), ring-necked pheasant, and bobwhite. Canada geese and other waterfowl winter in large concentrations in the broader valleys and flat low lands. Forest-interior birds such as the Cerulean warbler (*Setophaga cerulea*) and the wood thrush live in the forested uplands, while the Swainson's warbler (*Limnothlypis swainsonii*) nests in the bottomland forests. Two common amphibians include the central newt (*Notophthalmus viridescens louisianensis*), zigzag salamander (*Plethodon dorsalis*). Eastern mud turtle (*Kinosternon subrubrum*) and worm snake (*Carphophis amoenus amoenus*) are important reptiles of the area.

3.8.6.3 Aquatic Resources

3.8.6.3.1 Lotic Systems

A variety of flowing water habitats is present in the Illinois Basin coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. A more detailed discussion about the general habitat features of these different types of streams is presented in Appendix C.

The major rivers in the coal region include the Illinois, Ohio, Wabash, and the Upper Mississippi Rivers. The flat and rolling topography of the Illinois Basin has facilitated the development of these rivers and streams into predominantly dendritic drainage patterns. Historically, streams in this basin, particularly in Illinois, have been heavily impacted by anthropogenic manipulation and influence. Channelization has profoundly affected the function of many streams. More than 25 percent of the total length of sizeable streams in the Rock, Sangamon, Fox/Des Plaines, and Kankakee/Vermilion/Mackinaw basins has been straightened (Illinois DNR, 1994b). In addition, nearly every sizeable stream in Illinois is dammed in at least one spot, creating a total inventory of nearly 1,200 dams (Illinois DNR, 1994b). In large rivers, dams combined with high levees have prevented the natural flooding and drying cycle in the floodplains

which formerly maintained a highly productive and diverse biota (Illinois DNR, 1994b). Physical changes remain a perturbing force in Illinois Basin stream ecology, with erosion and sedimentation among the current regional problems. Much of this sedimentation and erosion is attributed to agricultural activities and the lack of riparian vegetation.

The rivers and streams of the Illinois Basin coal region are affected by the surrounding land uses. Nutrient inputs (e.g., nitrogen and phosphorus) from terrestrial sources are important to aquatic systems as a unit of nutrient cycling. The transport of nutrients into aquatic systems in the Illinois Basin is largely attributed to nonpoint overland sheet flow (Gentry et al., 2007). However, there is a problem of excessive nutrient loads from nonpoint pollution sources in the Illinois Basin, contributing to poor water quality. Anthropogenic sources of phosphorus and nitrogen include sewage, agricultural runoff, lawn fertilizers, pet wastes, and atmospheric pollution (Dodson, 2005). Although sewage effluent is still a large nutrient source, agriculture has been identified as the major nonpoint source of nutrients to surface waters, due largely to the use of commercial fertilizers (Gentry et al., 2007).

As described in Section 3.5 (see Table 3.5-5), there are a total of approximately 70,645 miles of intermittent streams and 24,073 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C and Section 3.5.

3.8.6.3.1.1 Energy Flow/Primary Production

Carbon compounds have a large influence on ecosystem processes in these streams. The primary energy source for aquatic systems can be based on carbon fixed by photosynthesis within the system (autochthonous), or on inputs of carbon-containing organic materials from outside of the system (allochthonous). A common source of carbon is dissolved organic carbon (DOC), typically produced from particulate organic carbon, such as leaf litter inputs, which serve as an allochthonous energy source for Illinois Basin aquatic systems. Detritivores that remobilize carbon into food webs is an important part of energy production, particularly in small streams of the Illinois Basin (Hart and Reynolds, 2002). Carbon, particularly inorganic carbon, supports the major pH buffering system in freshwater (Dodson, 2005). A primary source of inorganic carbon in these streams is carbonate found in limestone and dolomite bedrocks and soils, which are common throughout the coal region (McNab et al., 2005).

Algal biomass consisting of cyanobacteria, filamentous chlorophytes, halophilic diatoms, and other diatoms comprises the most of the primary production in streams of this region. The species and type of these organisms is influenced by water chemistry, land use, and geology (Leland and Porter, 2000). Light and nutrients are key determinants controlling algal productivity.

Though the streams in this coal region are dominated by algal production, aquatic plants are also important to these ecosystems, providing food and cover for fauna, and recycling nutrients (Illinois DNR, 1994b). Many streams provide the shallow-water habitats that facilitate the development of rich aquatic plant communities. The growth and maintenance of these communities are dependent on slope, substrate, and the stability of stream discharge (Reid, 1961). In flowing waters, rooted aquatic plants are more common than floating species. Macrophytes common in streams in the Illinois coal basin include yellow water-lily (*Nuphar lutea*), arrowleaf (*Sagittaria* spp.), water-plantains (*Alisma* spp.), and creeping water primrose (*Ludwigia* sp.) (Roegge and Evans, 2003). Common herbaceous species which occur along the

banks and shores of nearly all rivers and streams are woodreed (*Cinna arundinacea*), pony grass (*Eragrostis hypnoides*), sedges, tall hempweed (*Acnida altissima*), stalkless watercress (*Rorippa sessiliflora*), *Gerardia lenuifolia*, narrowleaf paleseed (*Leucospora multifida*), and willow aster (*Aster praealtus*) (Mohlenbrock et al., 1961). In the Illinois Basin common woody species along stream banks which contribute allochthonous carbon, stabilize banks, and shade the stream include American sycamore (*Platanus occidentalis*), river birch (*Betula nigra*), sandbar willow (*Salix interior*), and swamp chestnut (*Quercus michauxii*) (Mohlenbrock et al., 1961)

3.8.6.3.1.2 Invertebrates

Segmented worms (Annelida) are typically abundant in the streams of the Illinois Basin. They consume considerable quantities of organic substances and the continual working of these burrowing species turn over much of the material in the sediment, which aids in the assimilation of carbon into the aquatic system (Reid, 1961). Annelids are also integral items in the diets of larger organisms, such as fish. Common stream insects in the Illinois Basin include stoneflies (Plecoptera); damselflies and dragon flies (Odonata); mayflies (Ephemeroptera); caddisflies (Tricoptera); mosquitoes, and blackflies and craneflies (Diptera). A large number of these insects shred and scrape decaying organic material, which aids in the assimilation of allochthonous inputs to the aquatic system (Dodson, 2005). Many aquatic insects are predatory, and actively feed on smaller insects and other invertebrates.

Mussels are important species in the aquatic systems of the Illinois Basin. Unionid mussels often constitute the highest percentage of biomass relative to other benthic stream animals; therefore, they are a key link in the food chain between aquatic microorganisms, such as algae and bacteria, and large animals that prey on them, like otter, turtles, fish, and hellbenders (Badra, 2005). The Illinois Basin is very rich in freshwater mussel diversity. Of the over 300 species of freshwater mussels known to occur in North America, approximately 27 percent (80 species) are known to occur in Illinois alone (Warren, 1995), and 104 species are known to occur in Kentucky (Cicerello and Schuster, 2003).

Crayfish are relatively common freshwater crustaceans that inhabit very diverse niches that include small streams, large rivers, lakes, and even subterranean environments (Fetzner Jr., 1996). Like freshwater mussels, crayfish are abundantly diverse in the Illinois Basin coal region. Illinois is home to 23 species, while 17 species are known to occur in Indiana, and 51 species in Kentucky (Fetzner Jr., 2010). These species totals represent only moderate overlap between states, as crayfish are commonly restricted geographically. Species of crayfish that are known to occur in each state of the Illinois Basin include devil crawfish (*Cambarus diogenes*), big water crayfish (*Cambarus robustus*), digger crayfish (*Fallicambarus fodiens*), calico crayfish (*Orconectes immunis*), virile crayfish (*Orconectes virilis*), and white river crawfish (*Procambarus acutus acutus*). Crayfish have significant roles in aquatic ecosystems and are a major component of the food web. They are omnivorous and process organic matter in addition to feeding on snails, small fish, and aquatic insects; they transform energy between different levels in the food chain and are themselves eaten by more than 240 predators (Butler et al., 2003).

3.8.6.3.1.3 Vertebrates

Amphibians account for a considerable portion of energy flow; their ingested energy is efficiently transferred to other trophic levels in the food web (Pough, 1980; Regester et al., 2005). In the Illinois Basin, salamanders are an abundant and diverse group and perform multiple ecological roles in aquatic systems (Regester et al., 2005). In Illinois, 20 species of salamanders are known to occur (Illinois Natural

History Survey, 2012). There are also 23 species in Indiana (Indiana DNR, 2013a), and 19 species in western Kentucky (WKU, 2010). Though some salamanders are terrestrial for much of the year and inhabit forest burrows or are found under logs, rocks, and leaves, they breed in water. Salamander larvae and aquatic adults rely on rivers, creeks, lakes, ponds, swamps, and ditches as habitat.

Due to their permeable skin, frogs are semi-aquatic. Frogs and toads typically depend on streams, ponds, or lakes for their larvae to develop in water. There are 22 species of frogs and toads in Illinois (Illinois Natural History Survey, 2012), 17 species in Indiana (Indiana DNR, 2013a; Indiana DNR, 2013b), and 16 species in western Kentucky (WKU, 2009). Like most amphibians, frogs are ecosystem indicators; because of their skin permeability, frogs are susceptible to the absorption of many pollutants in waters of poor quality. Frogs are an important component of the vertebrate food chain and are consumed by a variety of predators, including fish, snakes, and turtles (Moler, 1994).

Turtles (both aquatic and terrestrial) inhabit a unique blend of niches from wetlands to uplands. There are 17 species of turtles in Illinois (Illinois Natural History Survey, 2012), 18 species in Indiana (Indiana DNR, 2012; Indiana DNR, 2013a), and 17 species in Kentucky (Davies County Audubon Society, 2011a).

There are a total of 39 species of snakes that inhabit Illinois (Illinois Natural History Survey, 2012), 33 species in Indiana (Indiana DNR, 2013a), and 44 species in Kentucky (Davies County Audubon Society, 2011b). They dwell in forests, grasslands, marshes, swamps, ponds, lakes, streams, rivers, and sloughs. Many species are semi-aquatic and are important components of the food web that transfer energy between terrestrial and aquatic environments.

Fish assemblages are variable across the basin and depend on stream type. Species overlap between stream types is significant, and the descriptions below represent common assemblages.

Shallowly entrenched, slow-flowing, meandering streams are common in most of the Illinois Basin. Fish assemblages in this stream type commonly include largemouth bass, channel catfish, crappie, bluegill, yellow perch (*Perca flavescens*), striped shiner (*Luxilus chrysocephalus*), silverjaw minnow (*Notropis buccatus*), bluntnose minnow (*Pimephales notatus*), sand shiner (*Notropis stramineus*), quillback (*Carpionodes cyprinus*), and silver redhorse (*Moxostoma anisurum*) (OSMRE, 2008; Pescitelli and Rung, 2009). Medium to large perennial streams and associated rivers are common to the rolling landscapes throughout the Illinois Basin. Fish assemblages in this stream type commonly include smallmouth bass (*Micropterus dolomieu*), channel catfish, bluegill, walleye (*Sander vitreus*), the central stoneroller (*Campostoma anomalum*), the bluntnose minnow, the sand shiner, and the horny head chub (*Nocomis biguttatus*) (Pescitelli and Rung, 2009).

Upland clear, rocky streams are typically cool-water streams that are typically found in the upper reaches of watersheds. They are present across the Illinois Basin, but are more common in the southern tip of Illinois and western Kentucky. Fish assemblages in this stream type commonly include the central stoneroller, the bluntnose minnow, the sand shiner, the horny head chub, the spotfin shiner (*Cyprinella spiloptera*), striped shiner, large-scale stoneroller (*Campostoma oligolepis*), banded darter (*Etheostoma zonale*), creek chub, and the white sucker (*Catostomus commersonii*) (Pescitelli and Rung, 2009). Other species of note are the least brook lamprey (*Lampetra aepyptera*), blackspotted topminnow (*Fundulus olivaceus*), and the spottail darter (*Etheostoma squamiceps*) (OSMRE, 2008).

Anthropogenic impacts have drastically changed the fish assemblages in the Illinois Basin; from 1900-1994, approximately one in five fish species has been extirpated or is threatened by extinction (Illinois DNR, 1994b). Selective overfishing, extensive watershed modifications, draining of wetlands, and the introduction of exotics, sea lamprey (*Petromyzon marinus*), alewife (*Alosa pseudoharengus*), and salmonids (family Salmonidae), have all contributed to the decline of fish assemblages in the Illinois Basin (Karr et al., 1985).

3.8.6.3.2 Lentic Systems

Numerous lakes and wetlands exist in the Illinois Basin due to past geologic events and the construction of reservoirs and ponds. In contrast, natural lakes are rare in the prairie sections of Illinois. However, there are prairie potholes and historic oxbows along the floodplains of meandering streams and rivers.

Lentic systems have been heavily impacted by indirect filling through the process of erosion and sedimentation from agricultural activities in the Illinois Basin (Illinois DNR, 1994a). Unlike the flow-through system of streams, lakes tend to collect sediment and most of the pollutants that are washed into them. Thus, they function, in part, as environmental sinks for pollutants such as nitrogen- and phosphorous-containing compounds. This has resulted in excessive algal and macrophyte growth in ponds and lakes in the Illinois Basin caused by nutrients from farm fields and septic fields, such as hog and cattle lagoons (Illinois DNR, 1994a).

3.8.6.3.2.1 Energy Flow/Primary Production

In the Illinois Basin, the littoral zone of ponds and lakes generally extends from the depth of rooted plant growth, usually 15 to 25 feet deep, as submersed plants generally do not grow below a depth of 30 feet due to light and pressure limitations (O'Neal and Soulliere, 2006). A large number of plants contribute to primary production in the littoral zone and the shoreline. These plants are responsible for a significant portion of the primary production for the entire lentic systems (Ozimek et al., 1990; Wetzel, 2001). Common aquatic plants in lakes and ponds in the Illinois basin are similar to those listed above for the streams in this basin.

3.8.6.3.2.2 Invertebrates

The macroinvertebrates that are common in the lentic systems of the Illinois Basin can include annelids, plecopterans, odonates, ephemeropterans, trichopterans, and a variety of dipterans.

As mentioned above in the discussion for lentic systems, freshwater mussels are abundant and diverse in the Illinois Basin coal region. Different mussel species have varying habitat preferences, some live in large rivers, some in small creeks, and some in lentic systems with standing water, such as ponds or lakes. Their role in the food web, their water filtering activities, and their habitat production are very important to the aquatic systems the mussels inhabit.

Crayfish are abundant in lentic systems in the Illinois Basin. In ponds, crayfish are generally found in shallow waters such as the littoral zone and typically inhabit waters less than a meter in depth (Pennak, 1989). Despite this limitation, lakes and ponds can attain production as high as 1,500 pounds of crayfish per acre, though averages are usually closer to 100 pounds per acre (Pennak, 1989). This abundance indicates the importance of crayfish in lentic food webs, both for processing organic matter, and as a food source for turtles, fish, and otters (*Lontra Canadensis*).

3.8.6.3.2.3 Vertebrates

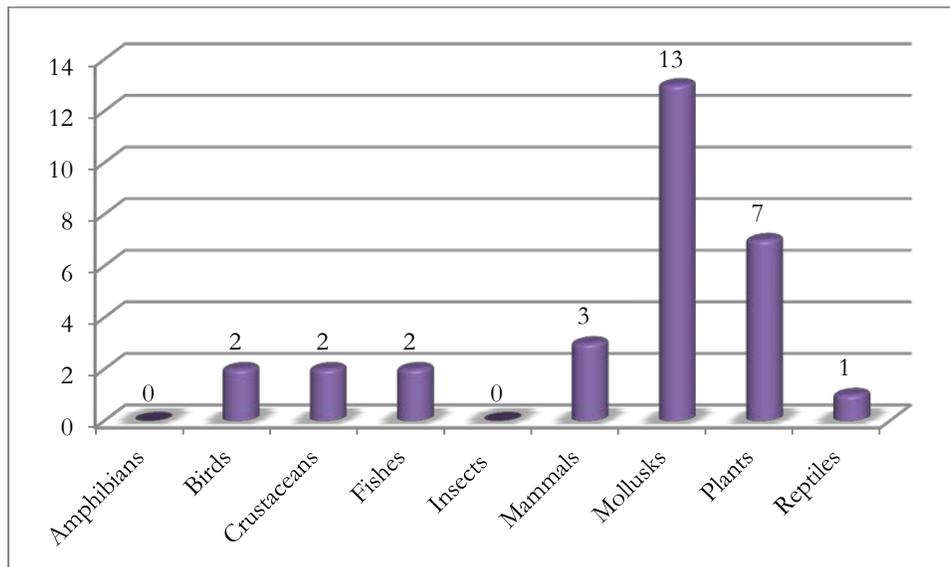
The importance of salamanders in the Illinois Basin was discussed above for lotic systems. Lentic systems are particularly important to terrestrial salamanders, which use ponds, lakes, and wetlands for reproduction and larval growth. As with lotic systems, the main threats to salamanders in lentic systems are habitat loss, fragmentation, and degradation. The draining or filling of wetlands can be a particular threat to terrestrial salamanders. Frogs and toads typically depend on streams, ponds, or lakes, for their larval development. They are an important component of the food chain in these lentic systems; they are abundant, efficiently transfer energy to other trophic levels in the food web, and are consumed by a variety of predators.

Reptiles are an important part of lentic systems in the Illinois Basin. Aquatic turtles can represent a significant portion of biomass in a lentic system. In a recent study in a southern Illinois lentic system, four of the ten turtles present were found to have a biomass greater than 55 pounds per acre (Dreslik et al., 2005). Semi-aquatic snake species are also important components of the food web because they transfer energy between terrestrial and lentic environments. In the lentic systems of Illinois, fish assemblages are usually a mix of warm water species and commonly include largemouth bass, bluegill, crappie, bullhead catfish, channel catfish, common carp, white bass, hybrid striped bass (*M. saxatilis* x *M. chrysops*), freshwater drum (*Aplodinotus grunniens*), and various sunfish species (Cruse and Wight, 1996a; Cruse and Wight, 1996b; Cruse and Wight, 1998). Other notable species in Illinois basin lentic systems include walleye, yellow bass (*Morone mississippiensis*), northern pike (*Esox lucius*), and muskellunge (*Esox masquinongy*) (Cruse and Wight, 1996a; Cruse and Wight, 1996b; Cruse and Wight, 1998). Historical selective overfishing, draining wetlands, and the introduction of exotics, especially the sea lamprey, alewife, and salmonids, have all contributed to the decline of fish assemblages in the Illinois Basin (Karr et al., 1985).

3.8.6.4 Protected Species in the Coal Mining Areas of the Illinois Basin Coal Region

In mining areas of the Illinois Basin coal region, there are a total of 30 federally listed (and proposed listed) species. Figure 3.8-8 depicts the number of listed species and relative proportion for each taxonomic group. The three mammals listed are all bats, including the recently listed Northern long-eared bat (*Myotis septentrionalis*). The Indiana bat's range stretches over 13 coal-producing states. The Indiana bat has experienced decades of decline associated with human disturbance and associated habitat loss. The fungal disease white-nose syndrome has had tremendous impact on bats in this region, including the Indiana bat. In 2009, a team comprised of representatives from OSMRE, U.S. FWS, and a representative group of state regulatory authorities developed, "Range-wide Indiana Bat Protection and Enhancement Plan (PEP) Guidelines." See Appendix F for all species names and status information.

Figure 3.8-8. Count of Federally Listed and Proposed Species in the Illinois Basin Region



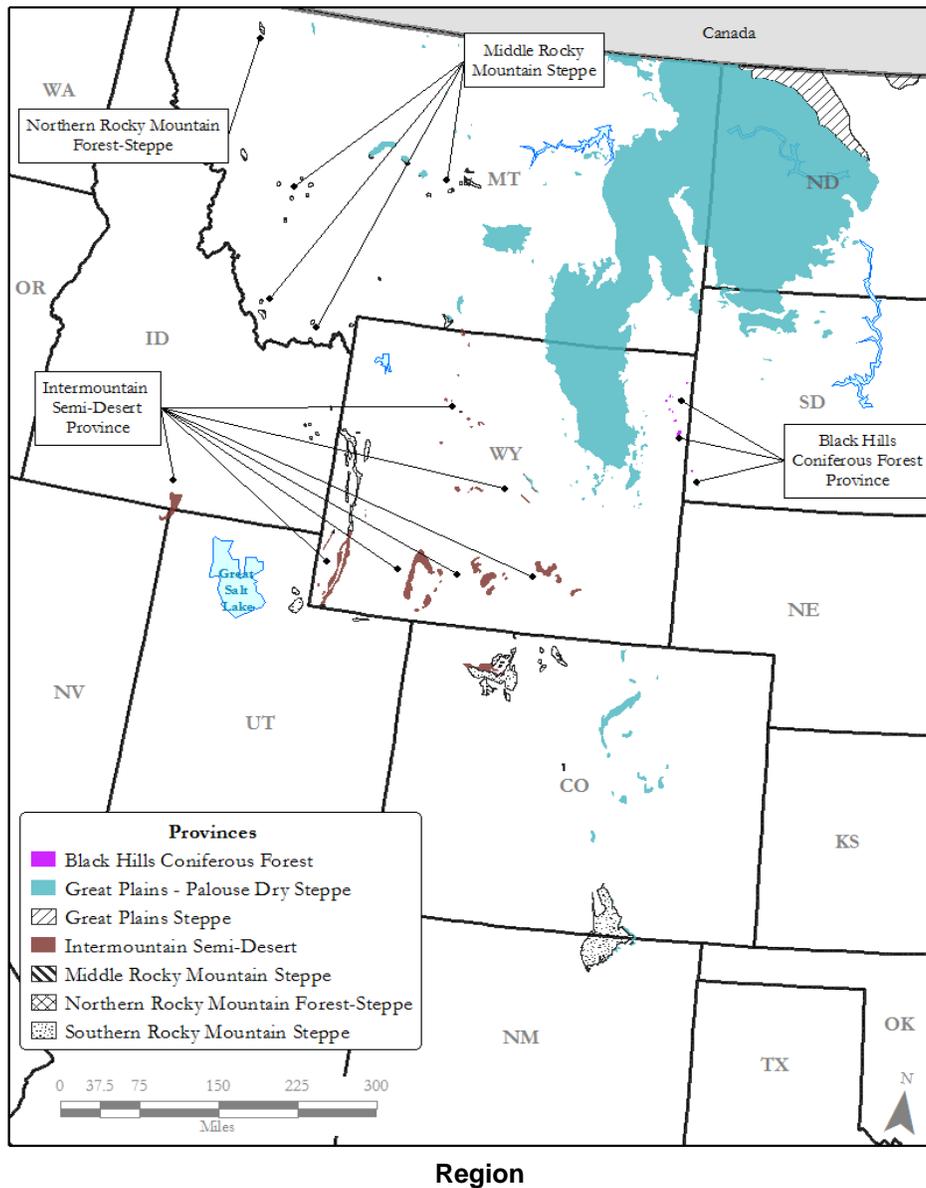
All the species listed in the Illinois Basin coal region are threatened by a variety of stressors including loss of habitat, non-point source pollution, erosion, and physical alterations to tributaries and riparian habitat. The endangered species are also at risk from a number of plant, and animal invasive species that grow and reproduce quickly, spread aggressively, and compete with native species for habitat. An example of invasive species threatening mussels is the zebra mussel (*Dreissena polymorpha*) and quagga mussel (*Dreissena bugensis*). Originally from Eastern Europe, both species were picked up in the ballast water of ocean-going ships and brought to the Great Lakes in the 1980s. By 1990 zebra mussels and quagga mussels had infested all of the Great Lakes and now both quagga mussels and zebra mussels have spread to 29 states on boats moving between the Great Lakes and Mississippi River Basins and further spread through artificial channels (NWF, 2016).

3.8.7 Northern Rocky Mountains and Great Plains Region

3.8.7.1 General Ecological Setting

The coal mining in the Northern Rocky Mountains and Great Plains region straddles the continental divide, including primary areas in Colorado, Wyoming, Montana, and North Dakota (Figure 3.8-9). A variety of physical and chemical factors affect the biological resources of this coal region. Table 3.8-6 lists the ecological provinces located within this coal region and the approximate area of each.

Figure 3.8-9. Ecological Provinces within the Northern Rocky Mountains and Great Plains



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;
USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-6. USFS Provinces Associated with the Northern Rocky Mountains and Great Plains Region

Ecological Province	Area of Coal Region in Province (square miles)
Great Plains - Palouse Dry Steppe	58,308
Great Plains Steppe	3,154
Intermountain Semi-Desert	2,046
Middle Rocky Mountain Steppe - Coniferous Forest - Alpine Meadow	306
Southern Rocky Mountain Steppe - Open Woodland - Coniferous Forest - Alpine Meadow	3,346
Northern Rocky Mountain Forest-Steppe - Coniferous Forest - Alpine Meadow	29
Black Hills Coniferous Forest	51
Total	67,242

The descriptions provided below for the ecological provinces distributed within the Northern Rocky Mountains and Great Plains coal region come from Bailey (1995), Cleland et al. (1997), McNab and Avers (1994), and McNab et al. (2007).

3.8.7.1.1 Great Plains-Palouse Dry Steppe Province

This region is characterized by rolling plains and tablelands of moderate relief. The vegetation in this province is predominantly herbaceous with lesser areas of shrubland. Major rivers in the province are large plains rivers such as the Platte, Missouri, and Arkansas.

3.8.7.1.2 Middle Rocky Mountain Steppe-Coniferous Forest-Alpine Meadow Province

The vegetation in this province is mainly evergreen, needleleaf forest that varies in composition with altitude, although lower slopes and plains are dominated by shrubland and herbaceous cover. Perennial streams have a dominant dendritic drainage pattern and are fairly widely spaced in the eastern portion of the province; however, drainage patterns are increasingly complicated in westward portions of the province due to complex geology. Larger streams such as the Salmon and Missouri Rivers also flow through the province and are often deeply incised in V-shaped canyons as they leave the mountains. Reservoir lakes, such as Holter Lake and Canyon Ferry Lake, are found in this province, while smaller natural alpine lakes produced by glacial events occur at higher elevations in the province.

3.8.7.1.3 Intermountain Semi-Desert Province

This province covers the plains and tablelands of the Columbia-Snake River Plateaus and Wyoming Basin. The plateaus include most of the Northwest’s lava fields. The vegetation in this province consists of shrubland on the plains and woodlands on steeper slopes.

Water is scarce in some areas of this province, though rivers exist. These include the Green River, the Lower Snake River, and Platte River. These rivers are moderate to deeply incised, have warm water, and

are third to fifth order systems with dendritic drainage patterns. The province also supports some small and intermittent streams and cool water streams.

3.8.7.1.4 Great Plains Steppe Province

This region is characterized by flat and rolling plains. The vegetation of this province is predominantly herbaceous with woodlands along riparian areas of waterways.

Internal drainage patterns of warm water streams are complex, with many glacial pothole lakes and ponds, and some long, lineal drainages fed by a high density of dendritic drainages. In the coal region, the major river of the province is the Mouse River.

3.8.7.1.5 Southern Rocky Mountain Steppe – Open Woodland – Coniferous Forest – Alpine Meadow

A description of the Southern Rocky Mountain Steppe-Open Woodland-Coniferous Forest-Alpine Meadow province is provided above in Section 3.8.2. Rapidly flowing, cool water perennial rivers and streams occur in this province, including many short, steep tributaries with high water and sediment delivery efficiencies. Many lakes and wet meadows are associated with areas above 6,000 feet, occurring in glaciated terrain, as well as in high elevation cirques and basins. Major rivers in this province include the Platte and Canadian Rivers.

3.8.7.1.6 Northern Rocky Mountain Forest-Steppe - Coniferous Forest-Alpine Meadow Province

High-elevation, high-relief mountains are the main landforms in this province. Vegetation is mainly evergreen deciduous, needleleaf forest that varies in composition with altitude and aspect. Common cover types include lodgepole pine, fir-spruce, larch, and mountain grasslands.

3.8.7.1.7 Black Hills Coniferous Forest Province

The climate of this province is characterized by relatively long, cold winters and warm to hot summers. Annual precipitation is low and occurs mostly as snow. The ecoregion is a highly eroded, old, isolated, unglaciated large mountain dome of Precambrian origin that is surrounded by plains. The vegetation is forest, mostly of evergreen needleleaf species, although several deciduous broadleaf species common to more northern latitudes may be present. In Wyoming, this can be characterized by ponderosa pine and Great Plains grasslands cover types.

3.8.7.2 Terrestrial Resources

The Northern Rocky Mountains and Great Plains coal region includes numerous disconnected bands that extend across the north-central U.S., including portions of Montana, North Dakota, Wyoming, and Colorado. All of the ecoregion descriptions and vegetation cover type descriptions below are adapted from McNab et al. (2005 and 2007). The common vegetation and fauna in each cover type are described briefly in Appendix G.

Most of the area in this coal region is contained within four ecoregion provinces. In the less mountainous areas of Montana, North Dakota, Colorado, and Wyoming, the coal region is within the Great Plains-Palouse Dry Steppe Province. Vegetation in this province includes mountain grasslands, Great Plains grasslands, ponderosa pine, sagebrush, prairie, and pinyon-juniper cover types.

In the more mountainous regions along its northern side, the coal region is located within the Middle Rocky Mountain Steppe-Coniferous Forest-Alpine Meadow Province. Vegetation in this province includes Douglas fir, lodgepole pine, sagebrush, and mountain grasslands cover types.

In the mountainous regions south of the Middle Rocky Mountain Steppe-Coniferous Forest-Alpine Meadow Province is the Southern Rocky Mountain Steppe-Open Woodland-Coniferous Forest-Alpine Meadow Province. Vegetation in this province includes lodgepole pine, fir-spruce, sagebrush, alpine tundra, ponderosa pine, chaparral-mountain shrub, and hemlock-Sitka spruce cover types.

In southern Idaho, Wyoming, and Colorado, the coal belt is located within the Intermountain Semi-desert Province. Vegetation in this province includes sagebrush, desert shrub, chaparral-mountain shrub, Great Plains grasslands, pinyon-juniper, and Douglas-fir cover types.

Isolated areas of the coal belt are also located in Great Plains Steppe Province in northern North Dakota, characterized by Great Plains grasslands and aspen-birch cover types; the Northern Rocky Mountain Steppe-Coniferous Forest-Alpine Meadow Province in northwest Montana, characterized by lodgepole pine, fir-spruce, larch, and mountain grasslands cover types; and the Black Hills Coniferous Forest Province in east Wyoming, characterized by ponderosa pine and Great Plains grasslands cover types.

Beginning in the northern part of this coal region, the area of northeast Montana and northwest North Dakota moving through the central and southcentral portion of that state supports natural prairie vegetation characterized by western wheatgrass, needleandthread, green needlegrass, big bluestem, and blue grama. Little bluestem is an important species on the more sloping and shallower soils. Prairie cordgrass, northern reedgrass, and slim sedge are important species on wet soils. Western snowberry, stiff goldenrod, echinacea, and prairie rose are commonly interspersed throughout the area. The major wildlife species in this area are mule deer, whitetailed deer, red fox, raccoon, muskrat, mink, jackrabbit, fox squirrel, antelope, pheasant, sharp-tailed grouse, gray partridge, Hungarian partridge, sharptailed grouse, mourning dove, Canadian goose, mallard, blue-winged teal, pintail, and pelican.

The middle and southwest parts of North Dakota and northwest South Dakota support natural prairie vegetation characterized by western wheatgrass, needleandthread, green needlegrass, threadleaf sedge, and blue grama. Little bluestem, prairie sandreed, and sideoats grama are important species on shallow soils. Prairie rose, leadplant, and patches of western snowberry are interspersed throughout the area. Green ash, chokecherry, western snowberry, and buffaloberry occur in draws and narrow valleys. North-facing slopes support Rocky Mountain juniper, green ash, and chokecherry and an understory of little bluestem, porcupinegrass, and needleandthread. Some of the major wildlife species in this area are whitetailed deer, mule deer, pronghorn antelope, red fox, coyote, white-tailed jackrabbit, prairie dog, ring-necked pheasant, gray partridge, sharp-tailed grouse, hawks, turkey, ducks, and geese.

The area of central and southeast Montana supports grassland vegetation. Western wheatgrass, bluebunch wheatgrass, green needlegrass, and needleandthread are the dominant species. In the eastern part of the area, little bluestem replaces bluebunch wheatgrass as the dominant species. Some of the major wildlife species in this area are mule deer, white-tailed deer, antelope, coyote, fox, badger, beaver, raccoon, jackrabbit, cottontail, muskrat, mink, ground squirrel, pheasant, sharp-tailed grouse, Hungarian partridge, sage grouse, geese, and ducks.

Continuing south into northeast Wyoming, this area supports grassland vegetation. Rhizomatous wheatgrasses, green needlegrass, needleandthread, and blue grama are the dominant species on deep soils. Rhizomatous wheatgrasses, bluebunch wheatgrass, Indian ricegrass, and needleandthread are the major species on shallow soils on hills and ridges. Basin wildrye, green needlegrass, rhizomatous wheatgrasses, and shrubs are dominant along bottom land and streams. Big sagebrush is the dominant shrub. Some of the major wildlife species in this area are elk, deer, antelope, coyote, beaver, muskrat, jackrabbit, cottontail rabbit, sage grouse, and turkey.

Further south, through the lower half of Wyoming and the portions of Colorado and New Mexico within this coal region, the vegetation varies from one precipitation zone to another. The salt desert zone occurs in small areas receiving less than 8 inches (205 millimeters) of annual precipitation. The representative plant species are Gardner's saltbush, mat saltbush, greasewood, shadscale, bud sagebrush, winterfat, Indian ricegrass, and western wheatgrass. Wyoming big sagebrush may occur but only as a few widely spaced plants. A semi-desert grass-shrub zone, the largest in the MLRA, is characterized by a vast sagebrush steppe. This zone occurs in the areas receiving 8 to 16 inches (205 to 405 millimeters) of annual precipitation. The representative vegetation includes Wyoming big sagebrush, early sagebrush, antelope bitterbrush, bluebunch wheatgrass, western wheatgrass, prairie junegrass, needleandthread, and Indian ricegrass. Utah juniper may occur in small areas. Cottonwood and willows grow in riparian zones along the major perennial streams and rivers. A foothill-mountain zone in Wyoming is in the narrow mountain ranges that receive more than 16 inches (405 millimeters) of annual precipitation. The vegetation on these ranges includes ponderosa pine, limber pine, lodgepole pine, and Engelmann spruce and an understory of big sagebrush, Oregon-grape, Saskatoon serviceberry, antelope bitterbrush, bluebunch wheatgrass, and Idaho fescue. A lower foothill-mountain zone along the southern boundary of Wyoming and in Colorado occurs on the higher hills and mesas receiving more than 12 inches (305 millimeters) of annual precipitation. This zone is characterized by forested areas of Utah juniper with lesser amounts of pinyon pine and with an understory of Gambel oak, Wyoming big sagebrush, mountain mahogany, muttongrass, needleandthread, prairie junegrass, and Indian ricegrass. Some of the major wildlife species in this region are whitetailed prairie dog, white-tailed jackrabbit, desert cottontail rabbit, coyote, red fox, badger, pronghorn, mule deer, elk, sage grouse, golden eagle, bald eagle, screech owl, common raven, sage sparrow, Brewer's sparrow, western rattlesnake, and bull snake.

3.8.7.3 Aquatic Resources

The Northern Rocky Mountains and Great Plains coal region includes streams on both sides of the continental divide. The major rivers that drain to the Pacific include the Green, Colorado, and Snake Rivers. The major rivers that drain to the Atlantic include the Platte, Yellowstone, Missouri, Arkansas, and Canadian Rivers.

3.8.7.3.1 Lotic Systems

A variety of flowing water habitats is present in the Northern Rocky Mountains and Great Plains coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. A more detailed discussion about the general habitat features of these different types of streams is presented in Appendix C.

The predominant stream type in the coal region varies with topography. In general, in the mountain and valley streams and rivers are often perennial (U.S. ACE, 2010). The lower relief topography of the plains and plateaus in this coal region, which are typically more arid, has predominantly ephemeral and intermittent streams. Although major rivers run through these areas, their headwaters are typically found outside of the semiarid regions in the Middle Rockies (U.S. ACE, 2010). These mountain headwater streams are rapidly flowing, having steep staircase-like channels with steps and plunge pools, and with pools and riffles appearing as stream slope decreases towards the plains and plateaus (U.S. EPA, 2006). Streams on the plains are typically low-sloped with riffles, runs, pools, and few rapids, and are often deeply incised as they exit mountainous areas. Many plains streams have intermittent stream flow with perennial pools that are sustained by groundwater (Peterson et al., 2009).

Many streams in this coal region have diversion dams or dams that are used for irrigation withdrawals and reservoirs, in addition to numerous small impoundments which have been built on small tributary streams (Peterson et al., 2009). The streams and rivers of the coal region have been influenced by a high level of disturbance, with riparian disturbance exceeding 38 percent in the mountains, and 62 percent in the plains (Stoddard et al., 2005). In addition, sedimentation from erosion and agricultural activities remain stream habitat stressors, with the vast majority of streams having low stream bed stability, indicating that their substrates are dominated by finer or smaller sediments than would be expected. In the plains, 40 percent of stream lengths have excessive sedimentation (Stoddard et al., 2005).

As described in Section 3.5 (see Table 3.5-5), it is estimated that there are a total of 147,003 miles of intermittent streams and 8,645 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C and Section 3.5.

3.8.7.3.1.1 Energy Flow/Primary Production

Streams in mountainous areas of the coal region drain forested catchments that provide abundant woody debris as an allochthonous energy source (U.S. EPA, 2006). At lower elevations, hardwoods in riparian corridors provide an allochthonous energy source of leaves and woody debris (Peterson et al., 2009).

Algal biomass consisting of cyanobacteria, filamentous chlorophytes, halophilic diatoms, and diatoms comprises a major unit of primary production in the stream of the Northern Rocky Mountains and Great Plains coal region. Although diatoms contribute the most to overall taxa richness, blue-green algae (cyanobacteria) and green algae account for a substantial amount of periphyton abundance in this coal region (Peterson et al., 2009). In heavily shaded mountain and canyon streams, light availability can be the overriding factor controlling the algal biomass and primary production, even in the presence of high nutrient concentrations (Mosisch et al., 2001). Although moderate algal biomass is recorded in lower elevation streams of the coal region, in mountainous areas concentrations of chlorophyll *a* (an indicator of algal biomass) have been found to be generally small, suggesting that primary production is higher in the lower elevations (Peterson et al., 2009). Non-algal macrophytes, such as bryophytes (liverworts, hornworts, and mosses), and emergent and aquatic vascular plants (e.g., sedges, rushes, grasses, and shrubs) are important primary producers. The growth and maintenance of the macrophyte communities are dependent on slope, substrate, and the stability of stream discharge (Reid, 1961).

3.8.7.3.1.2 *Invertebrates*

When sampling perennial and intermittent streams, the most abundant aquatic insects in the Northern Rocky Mountains and Great Plains include midges, mosquitoes, blackflies and craneflies (Diptera); mayflies (Ephemeroptera); caddisflies (Trichoptera); stoneflies (Plecoptera); beetles (Coleoptera); and damselflies and dragon flies (Odonata) (Peterson et al., 2009). A large number of these insects shred and scrape decaying organic material, which aids in the assimilation of allochthonous inputs to the aquatic system (Dodson, 2005). Many aquatic insects are predatory and actively feed on smaller insects and other invertebrates.

In areas of increased disturbance, chironomid (Chironomidae) and other groups like crustacean scuds, mites (Hydrachnidia), and pond snails (Lymnaeidae) increase in abundance.

3.8.7.3.1.3 *Vertebrates*

The fish assemblages the Northern Rocky Mountains and Great Plains coal region are diverse, as they include both cold- and warm-water species. However, these assemblages have been heavily impacted by the introduction of non-native fish species and loss of habitat due to stream alteration and damming. Rivers reaching the Pacific Ocean historically had large runs of salmon and trout, including pink salmon (*Oncorhynchus gorbuscha*), Chinook salmon (*O. tshawytscha*), Coho salmon (*O. kisutch*), and cutthroat trout (*O. clarkii*) (U.S. EPA, 2006). Non-native fishes were and are stocked as sport fish; the most common non-native species currently reported in the coal region are brown trout, brook trout, rainbow trout, common carp, smallmouth bass, green sunfish (*Lepomis cyanellus*), and largemouth bass (Stoddard et al., 2005). Other notable introduced species to the coal region include northern pike, yellow perch, rock bass (*Ambloplites rupestris*), northern plains killifish (*Fundulus kansae*), and bullhead catfishes.

Fish diversity can be high at sites in this coal region. In a recent fisheries survey in the Powder River Basin, an area that contains both cold- and warm-water habitats, 36 species were identified, but only 17 were native (Peterson et al., 2009). The most abundant species in that Powder River Basin study (in order of relative abundance) were fathead minnows (*Pimephales promelas*), smallmouth bass, sand shiners, rock bass, white suckers, common carp, green sunfish, and the shorthead redhorse (*Maxostoma macrolepidotum*). Fish assemblages in the coal region change in composition from the cooler waters in headwater and mountain streams to the warmer waters of lower sloped streams in the plains. These communities change from larger percentages of mountain sucker, white sucker, northern plains killifish, and longnose dace at sites farthest upstream, to larger percentages of channel catfish, stonecat, river carpsucker, and goldeye at the sites farthest downstream (Peterson et al., 2009).

In Wyoming, the heart of this coal region, there are 11 species of amphibians (Wyoming Game and Fish Department, 2005). Common aquatic species in the coal region's largest coal area, the Powder River Basin, include Woodhouse's toad (*Bufo woodhousii*), the northern leopard frog (*Rana pipiens*), the tiger salamander, and the boreal chorus frog (*Pseudacris maculata*) (Wyoming Game and Fish Department, 2005). The invasive bull frog is negatively influencing native species and has become well established throughout the coal region, competing for resources and habitat (Stoddard et al., 2005). Turtle diversity is low in this coal region; in Wyoming there are four species of turtles, three of which are aquatic, the western spiny softshell (*Apalone spinifera hartwegi*), the western painted turtle (*Chrysemys picta bellii*), and the snapping turtle (Cerovski et al., 2004).

3.8.7.3.2 Lentic Systems

In the Great Plains area there are glacial pothole lakes and ponds, along with many manmade impoundments and farm ponds. In the more mountainous areas of the coal region, reservoir lakes, such as Holter Lake and Canyon Ferry Lake are the main lentic systems, while smaller natural alpine lakes occur in glaciated terrain, as well as in high elevation cirques and basins (McNab and Avers, 1994). In the more arid areas of the coal region, some drainages lack outlets, producing temporary saline ponds and lakes (U.S. ACE, 2010).

3.8.7.3.2.1 *Energy Flow/Primary Production*

Allochthonous carbon sources are important to the lentic systems in this coal region. Litter fall from the surrounding forests of spruce, fir, hemlock, pine, Douglas fir, aspen, and cottonwood provides the major food supply for many invertebrate consumers. The arid climate and fluctuating precipitation throughout the year can cause variability in the shorelines of lakes and ponds, and can greatly reduce the amount of macrophytes present in some lentic systems. However, other lentic systems with perennial sources of water from streams and springs can provide habitat for the development and establishment of macrophyte communities. In the Northern Rocky Mountains and Great Plains, the littoral zone generally extends from the depth of rooted plant growth, usually 15 to 25 feet deep, as submersed plants generally do not grow below a depth of 30 feet due to light and pressure limitations (O'Neal and Soulliere, 2006). Aquatic macrophytes are responsible for a significant portion of the primary production for the lake systems (Ozimek et al., 1990; Wetzel, 2001). The macrophyte species present in lentic systems within the coal region do not generally differ from those that are known to occur in lotic systems.

3.8.7.3.2.2 *Invertebrates*

The macroinvertebrates that are common in the lentic systems of the Northern Rocky Mountains and Great Plains can include annelids, plecopterans, odonates, ephemeropterans, trichopterans, and dipterans.

3.8.7.3.2.3 *Vertebrates*

Amphibians found in natural alpine lakes are particularly impacted by introduced fish species that compete with amphibians for aquatic insects.

In the lentic systems of this coal region, fish assemblages generally include species similar to the lotic systems as described above. The non-native species that state agencies stock into lentic systems commonly move into lotic systems; threats to native fish assemblages remain from the introduction of exotic species, loss of habitat from sedimentation, and potential overfishing in lotic and lentic systems.

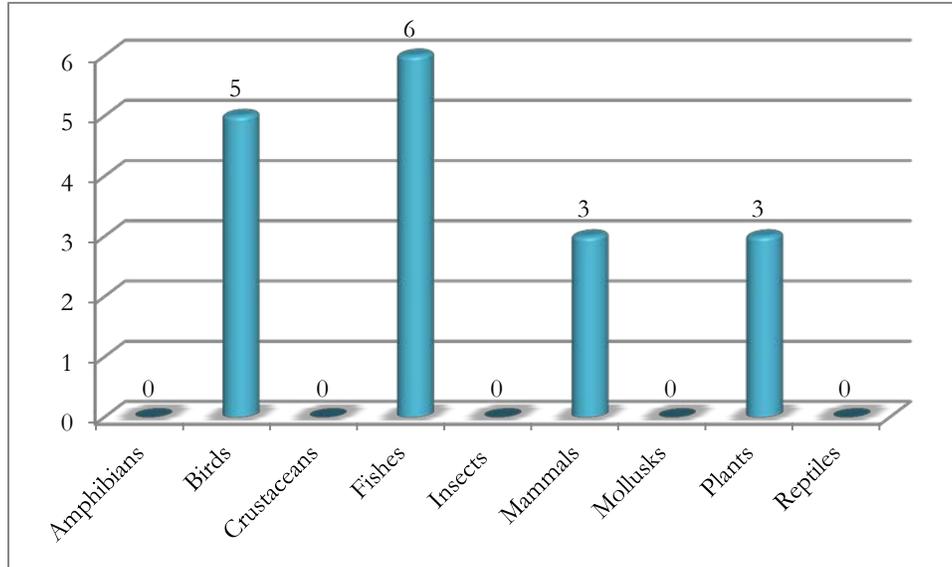
3.8.7.4 *Protected Species in the Coal Mining Areas of the Northern Rocky Mountains and Great Plains*

A total of 17 federally listed and proposed species occur in the active coal mining areas of the Northern Rocky Mountains and Great Plains coal region. Figure 3.8-10 depicts the number of listed species and relative proportion for each taxonomic group. See Appendix F for the species names and status information.

Primary threats to listed species in the active coal mining areas, as with other coal regions, include habitat loss and invasive species. Human population growth has resulted in the encroachment of human

development into wildlife habitats. Additionally, road and pipeline construction has produced fragmented habitat and dangerous movement corridors, and collisions between wildlife and vehicles are a concern. Invasive species threaten listed species through direct habitat loss, deterioration of habitat, and displacement. (AFS, 2004)

Figure 3.8-10. Count of Federally Listed and Proposed Species in the Northern Rocky Mountains and Great Plains Region



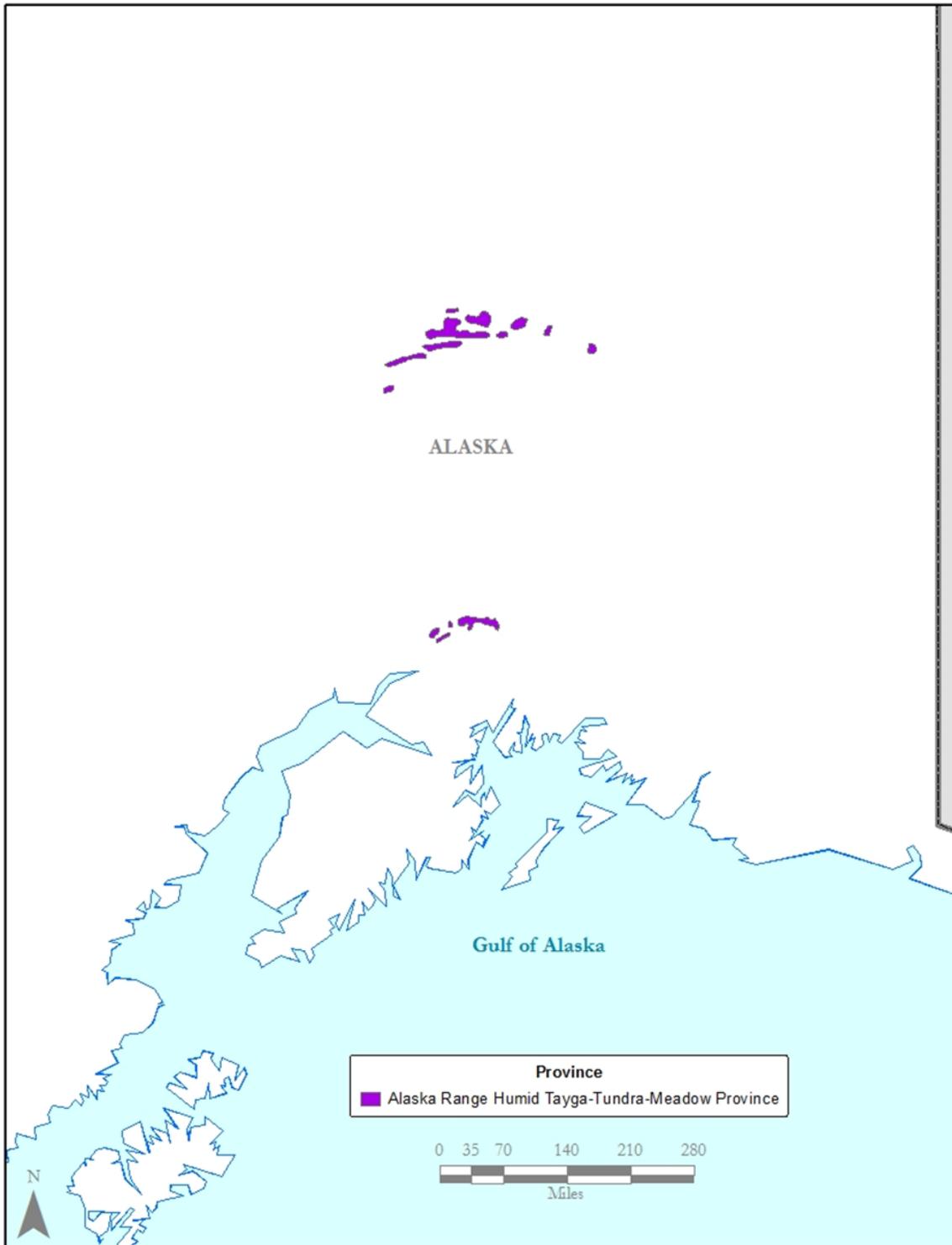
3.8.8 Northwest Region

3.8.8.1 General Ecological Setting

Presently, there is only one actively producing mine in the Northwest coal region, located near Healy, Alaska. This mine is located in Denali Borough, near the mouth of Healy Creek on the Nenana River in the Nenana coal field. Permits have been approved in the Matanuska coal field, but no active mine or mining exists here presently; however, mining could reasonably occur in the future.

Figure 3.8-11 shows the location of the two active Alaskan coal fields, which are both in the Alaska Range Humid Tayga-Tundra-Meadow ecosystem provinces in the Northwest region (Alaska). The Nenana is located in the north of the ecosystem province along the Alaska Range and the Matanuska on the southern edge of the ecosystem province. The description provided below for the Alaska Range Humid Tayga-Tundra-Meadow ecological province is from the USFS (2015) Ecosystem Map. Figure 3.8-11 presents the ecological provinces in the Northwest region, while Table 3.8-7 lists provinces derived from Gallant 1995 and their approximate area.

Figure 3.8-11. Ecological Provinces within the Northwest Region



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-7. Ecological Provinces Associated with the Northwest Region⁹

Ecological Province	Area of Coal Region in Province (square miles)
Interior Forested Lowlands and Uplands	16
Alaska Range	667
Cook Inlet	56
Total	739

The descriptions provided below for the ecological provinces distributed within the Northwest coal region come from Gallant et al. (1995).

3.8.8.1.1 Interior Forested Lowlands and Uplands Province

This ecoregion represents a patchwork of ecological characteristics. Region-wide unifying features include a lack of Pleistocene glaciations, a continental climate, a mantling of undifferentiated alluvium and slope deposits, a predominance of forests dominated by spruce and hardwood species, and a very high frequency of lightning fires. On this backdrop of characteristics is superimposed a finer-grained complex of vegetation communities resulting from the interplay of permafrost, surface water, fire, local relief, and hill slope aspect.

3.8.8.1.2 Alaska Range Province

The mountains of south-central Alaska, the Alaska Range, are very high and steep. This ecoregion is covered by rocky slopes, ice fields, and glaciers. Much of the area is barren of vegetation. Dwarf scrub communities are common at higher elevations and on windswept sites where vegetation does exist. The Alaska Range has a continental climatic regime but because of the extreme height of many of the ridges and peaks, annual precipitation at higher elevations is similar to that measured in some ecoregions as having a maritime climate.

3.8.8.1.3 Cook Inlet Province

Located in the south central part of Alaska adjacent to the Cook Inlet, the ecoregion has one of the mildest climates in the State. The climate, the level to rolling topography, and the coastal proximity have attracted most of the modern human settlement and development in Alaska. The region has a variety of vegetation communities but is dominated by stands of spruce and hardwood species. The area is generally free from permafrost. Unlike many of the other non-montaine ecoregions, the Cook Inlet Ecoregion was intensely glaciated during the Pleistocene epoch.

3.8.8.2 Terrestrial Resources

The Northwest coal region study area includes small coal areas in Alaska. Vegetation occurs in zones based on moisture and altitude. Dense stands of white spruce (*Picea glauca*) and cottonwood occur on the floodplains and low terraces of the Copper and Susitna Rivers within Alaska Range and Wrangell

⁹ From Gallant et al. 1995

Mountains. Black spruce (*Picea mariana*) predominates in poorly drained areas above 1000 feet of elevation. Spruce-hardwood forests with components of white spruce, birch (*Betula* spp.), aspen (*Populus* spp.), and poplar (*Populus* spp.), and an understory dominated by moss, fern, grass, and berries are typical in areas up to the elevation of timberline (2,500 to 3,500 feet).

Coal resources occur in the area of the Cook Inlet and in the vicinity of the Copper River. Lowland spruce-hardwood forests are abundant in the Cook Inlet, with wet tundra communities along the coastline. Black spruce forests interspersed with tundra. The Copper River lowland is characterized by black spruce forest interspersed with large areas of brushy tundra. White spruce forests occur on south-facing gravelly moraines, and cottonwood-tall bush communities are common on large floodplains.

Upland sites within the region's lower elevation forest and subalpine zones are vegetated in white spruce, paper birch, and quaking aspen. On the southern Kenai Peninsula the vegetation changes and Lutz spruce becomes dominant. Cottonwoods and mixed cottonwood forests are common the flood plains and seepage areas of the mountain slopes. In the lowlands and peatlands white and black spruce woodlands occur, as do low scrub communities comprised of willows and ericaceous shrubs, with a variety of sedges and grasses in the meadows. The Cook Inlet coast is dominated by halophytic sedges and sedge-grass meadows. With the higher elevations of the subalpine zone the vegetation is again different with forest gradually giving way to grasslands of bluejoint reedgrass, tall alder scrub and low willow scrub. Dwarf scrub and herbaceous communities are characteristic in the alpine zone at and above 1800 to 2500 feet in elevation. Spruce bark beetle infestations have greatly impacted the white spruce, Lutz spruce and mixed spruce forests of the region, some of which occur in the coal-bearing areas. In some areas, the dominant forest canopy has been entirely killed off by bark beetles.

Within the true alpine zone, the primary species include a variety of dwarf scrub and herbs. Low willow scrub is common in drainages. Lichens and scattered herbs and dwarf shrubs dominate areas with exposed bedrock and very shallow soils. In general, there is little or no plant growth above about 7,500 feet (2,287 meters) elevation. Along the boundary with the Cook Inlet lowlands, there are stringers and inclusions of tall alder scrub and bluejoint reedgrass grassland, characteristic of the subalpine zone.

Common large mammals include caribou (*Rangifer tarandus*), introduced bison (McNab and Avers, 1994, Bailey, 1995, and McNab et al., 2005), moose (*Alces alces*), brown bear (*Ursus arctos*), and black bear (*Ursus americanus*). Dall sheep (*Ovis dalli*) are found in the high mountains. Typical small mammals include furbearers, such as marten (*Martes americana*), mink, shorttail (*Mustela ermine*), and least weasels (*Mustela nivalis*), as well as Hoary marmots (*Marmota caligata*) woodchucks (*Marmota monax*), arctic ground squirrels (*Spermophilus parryii*) and northern flying squirrels (*Glaucomys sabrinus*), and longtail (*Microtus longicaudus*) and yellow-cheeked (*M. xanthognathus*).

In the true alpine zone, some of the major mammal species of the area include brown bear, Dall sheep, mountain goat, caribou, moose, wolf, coyote, fox, snowshoe hare, arctic ground squirrel, and hoary marmot. Ptarmigan, American golden plovers, golden eagles, and a wide variety of other birds are common in many places.

3.8.8.3 Aquatic Resources

In the Northwest coal region, each province has unique climatic, physiographic, and geologic properties that influence the types of aquatic systems and biota that occur within them.

3.8.8.3.1 Lotic Systems

A variety of flowing water habitats are present in the Northwest coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. A more detailed discussion about the general habitat features of these different types of streams is presented in Appendix C.

Streams of Alaska vary both in both physical and hydrological aspects (Craig and McCart, 1975; Huryn et al., 2005), which results in a wide range of disturbance regimes. Differences in disturbance regime between mountain streams and perennial spring streams have been shown to result in large differences in biological communities (Parker and Huryn, 2006). Some species cope with these disturbances while some will develop in winter to avoid disturbance related to flood events (Danks, 2007). Streams with outlets to lakes have different temperature regimes and fauna (Hieber et al., 2002). Also, a study comparing food web structure and function of a mountain stream and a spring stream by Parker and Huryn (2006) indicated that macroinvertebrate taxa richness was greater in the spring stream than in the mountain stream. Further, the mean macroinvertebrate biomass was greater in the spring stream than in mountain stream, indicating significant differences between these two stream types in the volume of material and energy flow between food-web nodes.

Streams draining permafrost-dominated watersheds have a hydrologic regime characterized by low base flows, but high storm flows with the onset of snowmelt or rainfall (Smidt and Oswood, 2002). This differs from streams draining permafrost-free watersheds as the absence of permafrost allows deeper infiltration of precipitation, allowing greater and more sustained base flows and reduced storm flows (Woo and Winter, 1993). A study by MacLean et al. (1999) showed that stream chemistry (dissolved organic carbon, dissolved organic nitrogen, and dissolved inorganic nitrogen) in permafrost-dominated watersheds was more closely associated with the chemistry of organic horizons in the upper soil as compared to the chemistry of streams draining permafrost-free watersheds. The water chemistry of runoff from permafrost-free soils is controlled by contact between water and mineral soils. This study showed that streams in permafrost-dominated watersheds are likely to be more sensitive to nutrient inputs than those in permafrost-free watersheds. Material transport of dissolved materials into streams from surrounding terrestrial landscapes can have a significant influence on the ecology of stream organisms (MacLean et al., 1999).

As described in Section 3.5 (see Table 3.5-5), it is estimated that there are a total of 3,554 miles of intermittent streams and 2,912 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C and Section 3.5.

3.8.8.3.1.1 Energy Sources and Primary Production

Food webs in arctic Alaska are functionally seasonal and essentially no dependence on riparian vegetation exists; therefore, food webs are driven by primary production during the short summer and by old carbon from peat bogs during the long winter (Oswood et al., 2000). A study conducted by Peterson et al. (1993) on a tundra river on the north slope of Alaska found that the rocky cobble bottom of the river was colonized by filamentous algae, diatoms, and bacteria. Large amounts of organic matter were found to enter the river from peat eroding from the river banks and from dissolved organic matter leaching from the tundra landscape. Allochthonous organic matter inputs far outweighed autochthonous production of

epilithic algae (Peterson et al., 1986). While allochthonous peat and dissolved organic matter strongly dominated the carbon cycle (Peterson et al., 1986), all trophic levels of the riverine food web were found to be highly responsive to fertilization by phosphorus and nitrogen, which primarily stimulated epilithic diatoms and filamentous algae.

A study by Huryn et al. (2005) identified 120 periphyton taxa from 24 streams on the northern slope of Alaska. Diatoms were found to be widespread; filamentous cyanobacteria were also observed.

3.8.8.3.1.2 Invertebrates

Typical freshwater invertebrates found in or associated with Alaskan lotic systems include Tricorythidae (mayflies), Amphipoda (malacostracan crustaceans), Rhyacophilidae and Systellognatha (stoneflies), Elmidae (riffle beetles), Hydroptilidae (micro-caddisflies), Brachycentridae (caddisflies), Oligochaeta (worms) (Corkum, 1989), and Chironomidae (Smidt and Oswood, 2002; King et al., 2012). According to Alaska's Comprehensive Wildlife Strategy (Alaska Department of Fish and Game, 2006) invertebrate species associated with clearwater river/streams include, but are not limited to, stoneflies (Plecoptera), mayflies (Ephemeroptera), caddisflies (Trichoptera), freshwater clams (Pelecypoda), and the Yukon floater mussel (*Anodontata beringiana*).

Diversity and abundance of benthic invertebrates in Alaska's tundra streams are higher than in mountain streams but less than in spring streams (Craig and McCart, 1975). Spring streams contain the greatest diversity of benthic invertebrates, and high densities of benthic invertebrates (10,000 organisms/square meter) occur in these streams (Craig and McCart, 1975). A study conducted by Huryn et al. (2005) found that macroinvertebrate community structure was distinct among stream categories. For instance, tundra streams had significantly greater filter feeder biomass than the other stream types, and filter feeders were absent from glacial streams. In mountain streams, predator biomass was greater than any other stream types where Perlodid stoneflies (e.g., *Arcynopteryx compacta* and *Isoperla sobria*) contributed an average of 87 percent to predator biomass.

In a recent small scale study in this region, first order streams, regardless of topographic or geomorphic setting, support relatively high numbers of macroinvertebrate taxa and at least one life history stage of salmonids (King et al., 2012). The majority of these invertebrates are consumers of grass litter, which is positively correlated with supporting juvenile stages of salmonids. This study also found that pH, water temperature, substrate composition, and channel morphology were significant variables in fish and macroinvertebrate composition.

3.8.8.3.1.3 Vertebrates

Reptiles and amphibians are of minimal importance in the freshwater aquatic systems in Alaska.

The fishes with perhaps the greatest biologic and economic importance in the Northwest coal region are the salmonid species, which include salmon, trout, char, grayling, and whitefish. Salmonids require relatively cold freshwater habitats with high water quality and diverse habitat to complete all stages of their life cycle. Salmon typically use large stream and river systems but can also be found in smaller coastal streams (U.S. BLM, 2008; King et al., 2012). The vast majority of salmonids are anadromous; their life cycle includes spawning and early development in freshwater systems, followed by foraging activities in the ocean during juvenile stages, and finally returning to freshwater systems to spawn.

According to studies reviewed by Oswood et al. (2000), fish faunas vary from the Arctic region to the panhandle of southeast Alaska due to ecological differences over the latitudinal and marine-continental gradients of Alaska. Combined high latitude and high elevation attributes of the high mountains of Alaska create barriers to fish exchanges across headwater divides, which may result in the greater differences in fish faunas compared to regions separated by low mountains and lowlands. During the winter, the headwater streams of the Brooks Range and Alaska Range mountains can be either partially or completely dewatered and covered with ice, forcing fish to migrate to suitable overwintering areas downstream. Loss of winter habitat from substratum freezing requires that most fish migrate out to sea or move to suitable overwintering locations, which are primarily perennially flowing springs.

Based on a study conducted by Craig and McCart (1975), mountain streams have low biological productivity during the summer compared to tundra streams and spring-fed streams. In mountain and spring streams, arctic char (*Salvelinus alpinus*) are commonly found, and grayling (*Thymallus arcticus*) also occur. Tundra streams are used as spawning and rearing grounds by grayling. Other fish species found in arctic streams included round whitefish (*Prosopium cylindraceum*), slimy sculpin, and ninespine stickleback (*Pungitius pungitius*).

According to Alaska's Comprehensive Wildlife Strategy (Alaska Department of Fish and Game, 2006), fish species associated with glacial river/streams include rainbow smelt (*Osmerus mordax*), eulachon (*Thaleichthys pacificus*), longfin smelt (*Spirinchus thaleichthys*), and pygmy whitefish (*Prosopium coulteri*). Species associated with clearwater river/stream include, but are not limited to, Alaska blackfish (*Dallia pectoralis*), arctic lamprey (*Lampetra camtschatica*), broad whitefish (*Coregonus nasus*), and ninespine stickleback. The trout-perch (*Percopsis omiscomaycus*) is an endemic species found in the Yukon River.

A study conducted by Adams et al. (1993) at two refuges on the Alaska Peninsula (Bering Tundra Province) found that length, weight, and age characteristics of chum (*Oncorhynchus keta*), coho salmon (*Oncorhynchus kisutch*), sockeye salmon, and Arctic char from the study area generally exhibit similar characteristics to other Alaska populations. This study also found that tundra streams exhibited greater fish species diversity than upland streams, and that the mean lengths of juvenile coho salmon captured from tundra streams were greater than those captured from upland streams.

3.8.8.3.2 Lentic Systems

According to Alaska's Comprehensive Wildlife Strategy (Alaska Department of Fish and Game, 2006), Alaska has more than three million lakes greater than five acres in size, many of which are distributed in the coal region. Lakes are differentiated by the Alaska Department of Fish and Game (2006) as either glacier influenced or clearwater lakes. Lakes can also form as a result of glaciers flowing across tributary valleys and trapping runoff. Most of the state's lakes are glacially formed, particularly those in the southwest and south-central portions of the state. Glacial lakes are important to both resident and anadromous fishes for overwintering. Clearwater lakes can have surface or groundwater sources, or both, and water levels, thermal regimes, and chemical composition are determined by flow regime, groundwater source, and connectivity. Alaska has many isolated lakes with no surface water connection; examples include lakes/ponds of thermokarst, fluvial, and volcanic origin. Subsurface flows may still exist with isolated lakes/ponds such as through underlying permafrost. Isolated lakes/ponds tend to have

unique biological assemblages; however, most isolated lakes/ponds provide the same functions as non-isolated systems.

3.8.8.3.2.1 Energy Sources and Primary Production

A study conducted by Goldman (1960) produced the following results and observations. Photosynthetic carbon fixation by phytoplankton and bacteria demonstrated to represent the major part of the organic production in Alaskan lakes; chemosynthetic productivity is of secondary importance. Changes and differences in productivity may influence the rate of accumulation of organic matter in successive trophic levels. Results in Naknek Lake, Brooks Lake, and Lake Becharof on the Alaska Peninsula found that primary productivity per unit volume at comparable depths consistently increased towards the tributary end of the lake and that magnesium was a limiting factor for phytoplankton production throughout the summer. Seasonal changes in the total phytoplankton at Brooks Lake supported the relationship between standing crop and rate of production estimates for major changes in productivity during a season, although it was noted in this study that standing crop measurements would give very unreliable values for the rate of production if nutrient or other factors are limiting. Diatoms were the dominant algal phylum followed by green algae.

According to studies reviewed by Pfauth and Sytsma (2005), native aquatic plants found in lentic systems in Alaska include 15 species of pondweed (*Potamogeton* spp.), two species of water milfoil (*Myriophyllum* spp.) as well as duckweeds, and bladderworts. This survey also reported that in southern (Kenai Peninsula) and central (near Telin National Wildlife Refuge) portions of Alaska 33 submersed and floating-leaved aquatic plant species were found and included two aquatic mosses, one macro-alga, and one liverwort. Non-native aquatic plant species were not discovered during this survey.

3.8.8.3.2.2 Invertebrates

Small invertebrates associated with lakes and ponds differ from those found in streams and rivers. Lake/pond dwelling insects or benthic invertebrates live in the bottom sediments on aquatic plants and are an important food source for fish. Invertebrate species commonly associated with lakes/ponds in Alaska include, but are not limited to, dragonflies (suborder Anisoptera), damselflies (Suborder Zygoptera), mayflies, water fleas (*Daphnia* spp.), and bivalve mollusks such as the Yukon floater. Water fleas are the dominant plankton found in freshwater habitats and are an important food source for fish and predatory insects. The invertebrates of the Northwest Coal region do not greatly differ between lotic and lentic aquatic systems. Common aquatic invertebrates in the region include mayfly, stonefly nymphs, caddisfly larvae, Riffle beetles, fly larvae, aquatic worms, roundworms, freshwater earthworms, amphipods, and mollusks (U.S. EPA, 2009b). However, invertebrates more common in lentic systems than lotic include benthic organisms such as dragonfly and damselfly larvae, mayfly nymphs, water fleas (*Daphnia* spp.), and some bivalve mollusks.

3.8.8.3.2.3 Vertebrates

There are only six native species of amphibians in Alaska that have an association with lotic systems; these species are also found in lentic systems. Of these six species, only two, the wood frog and the western toad (*Bufo boreas*) are thought to possibly occur in the Nenana and/or Matanuska coal fields. The wood frog is widely distributed throughout Alaska and is the only amphibian found above the Arctic Circle (MacDonald, 2010). The western toad, Alaska's only toad species, has a recorded distribution

from southeast Alaska along the mainland coast to Prince William Sound (Alaska Department of Fish and Game, 2006). Non-native species associated with aquatic environments (both lotic and lentic) that are known to occur in Alaska include the Pacific chorus frog (*Pseudacris regilla*) that breeds in slow-moving streams as well as marshes, lakes, ponds; and the red-legged frog (*Rana aurora*) whose habitat includes quiet permanent waters of streams, marshes, or ponds (McClory and Gotthardt, 2008; Alaska Department of Fish and Game, 2006; MacDonald, 2010).

3.8.8.4 Protected Species in the Coal Mining Areas of the Northwest

Upon review of the U.S. FWS species list OSMRE determined that there were no federally listed or proposed species within the area of direct or indirect effects from coal mining. Therefore no listed species are identified here for this region.

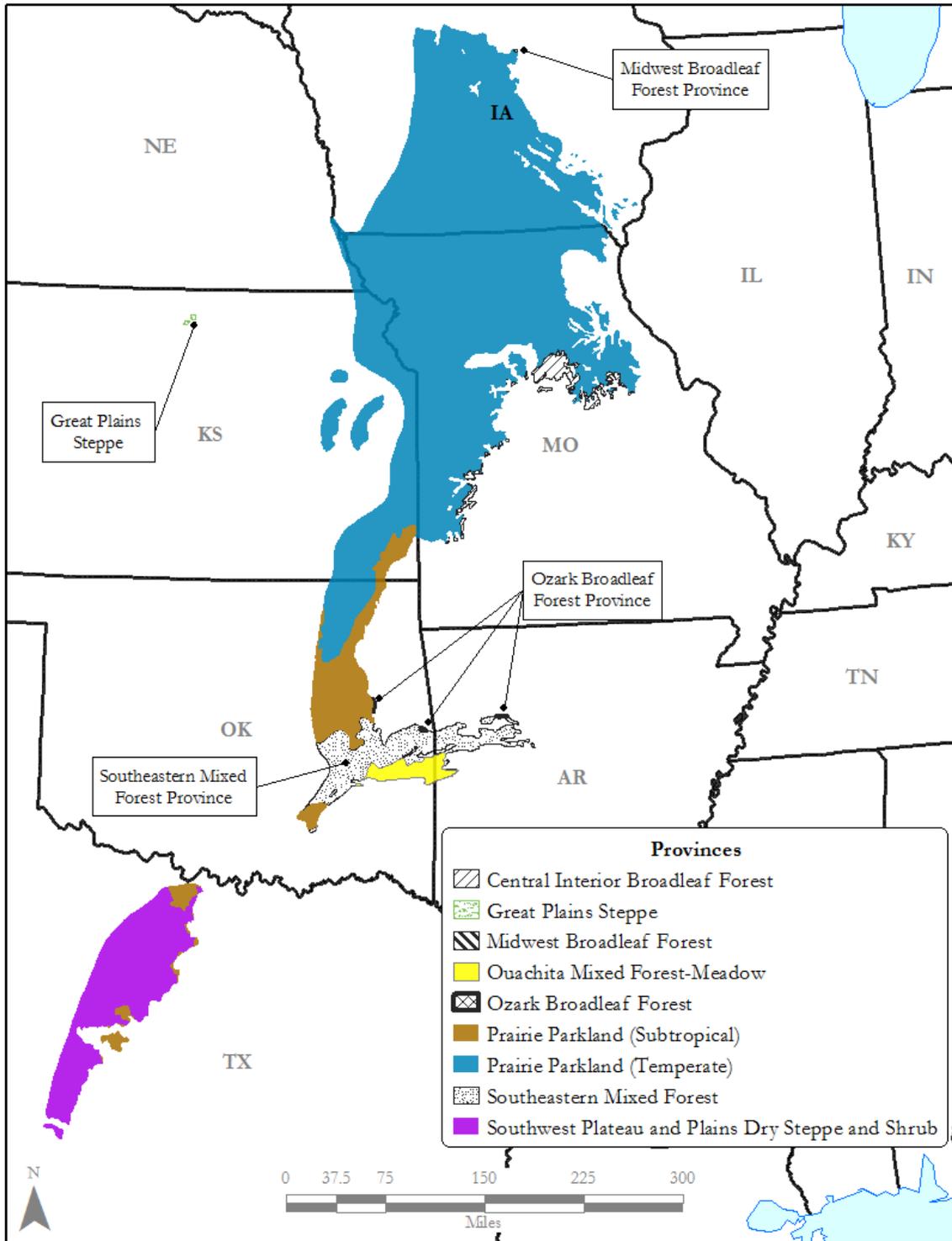
3.8.9 Western Interior Coal-Producing Region

The Western Interior coal region is described by three coal basins, the Arkoma, the Cherokee and the Forest City Basins (U.S. EPA, 2004a). The counties with active mines in these three coal basins are distributed in four states including Arkansas, Oklahoma, Kansas, and Missouri (Figure 3.8-12).

3.8.9.1 General Ecological Setting

A wide variety of habitat types are distributed in this coal region because of the geographic extent and climatic extremes represented over this area. The Western Interior coal region is largely located in the climate of the Humid Temperate Domain, an area governed by both tropical and polar air masses, with strong annual cycles of temperature and precipitation, causing seasonal fluctuation of energy and temperature greater than the diurnal fluctuation (Bailey, 1995). Table 3.8-8 lists the ecological provinces located in this coal region and the area of each province.

Figure 3.8-12. Ecological Provinces within the Western Interior Region



Source: USFS, 2015, Ecological Provinces, <http://data.fs.usda.gov/geodata/>;

USGS, 2011, Coal Fields, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Table 3.8-8. USFS Provinces Associated with the Western Interior Region

Ecological Province	Area of Coal Region in Province (square miles)
Central Interior Broadleaf Forest	915
Ouachita Mixed Forest-Meadow	871
Prairie Parkland (Subtropical)	4,612
Prairie Parkland (Temperate)	48,606
Southeastern Mixed Forest	3,603
Midwest Broadleaf Forest	5
Great Plains steppe	21
Ozark Broadleaf Forest	14
Southwest Plateau and Plains Dry Steppe and Shrub	6,971
Total	65,619

The descriptions provided below for the ecological provinces distributed within the Western Interior coal region come from Bailey (1995), McNab and Avers (1994), Cleland et al. (1997), and McNab et al. (2007). The common vegetation and fauna in each cover type are described briefly in Appendix G.

3.8.9.1.1 Central Interior Broadleaf Forest

A description of the Central Interior Broadleaf Forest Province is presented in the discussion of the Appalachian Basin.

3.8.9.1.2 Prairie Parkland (Subtropical)

A description of the Prairie Parkland (Subtropical) province is presented in the discussion of the Gulf Coast.

3.8.9.1.3 Prairie Parkland (Temperate)

A description of the Prairie Parkland (Temperate) province is presented in the discussion of the Illinois Basin.

3.8.9.1.4 Ouachita Mixed Forest – Meadow

This province is found in west Arkansas and southeast Oklahoma, consisting of oak-hickory-pine forest with a conifer understory and hardwood overstory. Generally shortleaf pine-dominated communities occur on poor upland soils and loblolly pine-dominated communities are distributed on richer valley soils. Hillsides have a mix of shortleaf oak on southerly slopes and oak-hickory on northerly slopes.

There is a high density of small-to-medium size perennial streams and associated rivers in this province; those in intermountain basins have moderate rates of flow, and some on mountainsides are characterized by high rates of flow and velocity. A trellis drainage pattern has developed largely with bedrock structural control; major rivers include the Fourche and Dutch Creek, which flow into the Arkansas River.

3.8.9.1.5 Southeastern Mixed Forest

A description of the Southeastern Mixed Forest province is presented in the discussion of the Appalachian Basin.

3.8.9.1.6 Midwest Broadleaf Forest

A description of the Midwest Broadleaf Forest province is provided in Section 3.8.1. Streams in the Michigan portion of this province drain to the Great Lakes, while streams in the Indiana portion of this province are in the Ohio River watershed. Lakes in this province are generally small-to-medium in size. Wetlands are formed in extensive low-lying areas in former glacial lakebeds in the province. There is moderate to high density of streams in this province; low gradient streams and rivers predominate and typically have substrates composed of sand, gravel, bedrock, and boulders.

3.8.9.1.7 Southwest Plateau and Plains Dry Steppe and Shrub Province

A description of the Southwest Plateau and Plains Dry Steppe and Shrub province is provided in the discussion of the Colorado Plateau.

3.8.9.1.8 Great Plains Steppe Province

A description of the Great Plains Steppe province is provided in the discussion of the Northern Rocky Mountains and Great Plains.

3.8.9.2 Terrestrial Resources

The Western Interior coal region study area includes several different terrestrial habits within the central U.S., within the states of Kansas, Missouri, Oklahoma, and Arkansas. Except as noted, all of the ecoregion descriptions and vegetation cover type descriptions below are taken from McNab et al. (2007). Many provinces and cover types are represented in this region, and as a result, the list of representative species is long. As with the other regions, detailed descriptions of the cover types are included in Appendix G.

In general this coal region is dominated by agricultural land interspersed with oak-hickory and prairie cover types, and elm-ash-cottonwood cover types. Near its southern limits, the coal region crosses several different provinces. The Prairie Parkland (Subtropical) Province is located in Oklahoma and is characterized by oak-hickory and Great Plains grasslands cover types. The Central Interior Broadleaf Forest Province is located in Missouri and Oklahoma and consists of oak-hickory and oak-pine cover types. The Ozark Broadleaf Forest-Meadow Province is located in Oklahoma and consists of oak-hickory and oak-pine cover types. The Southeastern Mixed Forest Province is located in Oklahoma and Arkansas and consists of oak-hickory, oak-pine, and loblolly-shortleaf pine cover types. The Ouachita Mixed Forest-Meadow Province is located within Oklahoma and Arkansas and consists of loblolly-shortleaf pine, oak-pine, and oak-hickory cover types.

Representative fauna for this region include many of the same species discussed for other regions due to the overlap of cover types. Typical representatives of the oak-hickory cover type are similar to that of other eastern hardwood and hardwood-conifer areas and vary somewhat from north to south. Important species include the white-tailed deer, black bear, bobcat, gray fox, raccoon, gray squirrel, fox squirrel, eastern chipmunk, white-footed mouse, pine vole, northern short-tailed shrew, and cotton mouse.

Birds such as turkey, ruffed grouse, bobwhite, and mourning dove are game birds in forested parts of the region, including those covered in the oak-hickory cover type. Abundant breeding birds include the cardinal, tufted titmouse, wood thrush, summer tanager, red-eyed vireo, blue-gray gnatcatcher, hooded warbler, and Carolina wren. The box turtle, common garter snake, and timber rattlesnake are characteristic reptiles. Other important wildlife species in the wooded areas include the Indiana bat, spotted skunk, blue grosbeak, great crested flycatcher, western meadowlark, western fox snake, smooth green snake, speckled king snake, western worm snake, brown snake, smallmouth salamander, and Woodhouse's toad.

Within the grassland and prairie cover types the predominant species change to include jackrabbits as common residents of the prairie, and cottontail rabbits in areas with abundant streams and cover. Typical burrowing rodents include ground squirrels, prairie dogs (*Cynomys* spp.), pocket gophers (family Geomyidae), and many smaller rodents. Burrowing predators include the badger and the black-footed ferret (*Mustela nigripes*). The coyote is still common. Other important wildlife species in the prairies include barn and longeared owls, broad-winged hawk, Henslow's sparrow, northern harrier, Leonard's skipper, Pawnee skipper, Ottoe skipper, dusted skipper, wild indigo dusky wing, sleepy dusky wing, zebra swallowtail, Great Plains toad, plains leopard frog, plains spadefoot, massasauga rattlesnake, prairie skink, ornate box turtle, six lined racerunner, bobcat, black-tailed jackrabbit, plains pocket mouse, whitetailed deer, raccoon, skunk, opossum, muskrat, cottontail, mink, squirrel, and least shrew.

Migratory waterfowl rely on areas of the region within the prairie cover type for breeding and overwintering. Mourning doves are abundant, as are sharp-tailed grouse (*Tympanuchus phasianellus*), greater prairie chicken (*Tympanuchus cupido*), and bobwhite.

3.8.9.3 Aquatic Resources

The Western Interior coal region is very ecologically diverse. Major rivers such as the Missouri River, Mississippi River, Arkansas River, Canadian River, Red River, Brazos River, and the Pecos River drain portions of the coal region.

3.8.9.3.1 Lotic Systems

A variety of flowing water habitats are present in the Western Interior coal region. These include ephemeral, intermittent, low order (first through third) and higher order (fourth through sixth) streams as well as rivers. A more detailed discussion of the general habitat features of these different types of streams is presented in Appendix C.

Lotic systems in the Western Interior coal region are diverse, ranging from perennial spring-fed mountain streams to ephemeral desert streams. Rivers that exist in the plains prairies, which exist sporadically throughout the Prairie Parkland provinces and constitute a majority of the areas that are used for coal mining, start from prairie potholes and springs. Agricultural runoff also contributes to river flow. These prairie rivers carry large volumes of fine sediments and tend to be turbid, wide, and shallow. Major rivers in the coal region include the Arkansas, Missouri, and Red Rivers. The large rivers within the coal region historically experienced spikes in flows during the spring and early summer, which enabled sediment to be transported and deposited, and enabled channels to meander and migrate. Anthropogenic manipulations of these river systems have reduced natural flows and affected the system processes.

Rivers in this area have been heavily affected by channelization and flow controls, such as dikes and levees that restrict natural channels. Rivers are also affected by the construction of dams that have altered many natural riverine processes, such as sediment transportation and annual flooding. Agricultural activities have also caused impacts on streams, such as sedimentation and eutrophication. The leading stress indicators in lotic systems of the coal region include total nitrogen, riparian disturbance, and the reduction of in-stream fish habitat and riparian vegetative cover (U.S. EPA, 2006). The rivers and streams of the Western Interior coal region are affected by the surrounding land uses. Nutrient loading in this coal basin has become a major concern of the state environmental agencies due to the rapid growth of agricultural activities (Haggard et al., 2001). Anthropogenic sources of phosphorus and nitrogen include sewage, agricultural runoff, lawn fertilizers, pet wastes, and atmospheric pollution (Dodson, 2005).

As described in Section 3.5 (see Table 3.5-5), it is estimated that there are a total of 91,932 miles of intermittent streams and 65,673 miles of perennial streams in this coal region. A more detailed discussion about the general habitat features and hydrology of these different types of streams is presented in Appendix C and Section 3.5.

3.8.9.3.1.1 Energy Flow/Primary Production

A major unit of primary production in the Western Interior coal region is algal biomass, consisting of cyanobacteria, filamentous chlorophytes, halophilic diatoms, and diatoms. Common algal species include attached and floating filamentous species; however, phytoplankton is typically sparse (Power and Stewart, 1987). In heavily shaded mountain and canyon streams, light availability can be the overriding factor controlling the algal biomass and primary production, even in the presence of high nutrient concentrations (Mosisch et al., 2001). In mountainous areas, concentrations of chlorophyll *a* have been found to be generally small, indicating a relatively small amount of algal biomass in riffles (Peterson et al., 2009). In these areas, there can be an increased reliance on non-algal macrophytes and allochthonous sources for energy input within lotic systems.

In mountainous areas, non-algal macrophytes, such as bryophytes (liverworts, hornworts, and mosses), and emergent and aquatic vascular plants (e.g., sedges, rushes, grasses, and shrubs) are important to the primary production of the aquatic system for habitat and autochthonous energy input. Trees are typically the main source of woody debris and leaf pack material, except in the plains, where herbaceous plants and shrubs are a major component. Broadleaf cover types are typical of the coal region, consisting of common species of oak, hickory, hackberry (*Celtis* sp.), rough-leafed dogwood (*Cornis drummondii*) and sycamore (*Platanus* spp.), which line the stream banks in the region (Power and Stewart, 1987). Federally listed aquatic noxious weeds are also present in this region (Appendix E).

3.8.9.3.1.2 Invertebrates

Common insect orders found in streams in the Western Interior coal region include midges, mosquitoes, blackflies, and craneflies (Diptera); mayflies (Ephemeroptera); caddisflies (Tricoptera); stoneflies (Plecoptera); beetles (Coleoptera); damselflies and dragon flies (Odonata); springtails (Collembolan); water boatmen, water scorpions, pondskaters, and water striders (Hemiptera); and alderflies, dobsonflies and fishflies (Megaloptera). A large number of these insects shred and scrape decaying organic material, which aid in the assimilation of allochthonous inputs to the aquatic system (Dodson, 2005). Many aquatic insects are predatory and actively feed on smaller insects and other invertebrates. Non-insect invertebrates also common to lotic systems in the coal region include megadrile and microdrile worms

(Oligochaeta); haplotaxid worms (Haplotaxida); water fleas (Cladocera); copepods (Copepoda); isopods (Isopoda); amphipods (Amphipoda); crayfish (Decapoda); arachnids (Acari); and snails (Basommatophora).

Another invertebrate group important to the region is freshwater mussels. Although not as rich as in the Appalachian Basin region, the Western Interior coal region has a relatively sizeable mussel fauna. Common species include the three-ridge (*Amblema plicata*), the pistolgrip (*Tritogonia verrucosa*), the plain pocketbook (*Lampsilis cardium*), and the pigtoe (*Fusconaia flava*) (Spoonner and Vaughn, 2007). Unionid mussels often constitute the highest percentage of biomass relative to other benthic stream animals and are a key link in the food chain between aquatic microorganisms, such as algae and bacteria, and large animals like otter, turtles, fish, and hellbenders that eat unionids (Badra, 2005). Mussel populations have declined in recent decades to become the most imperiled group in North America because of siltation, pollution, and competition from exotic mollusks like the zebra mussel (Warren, 1995).

Crayfish are another relatively common freshwater invertebrate that inhabit very diverse niches, including small streams, large rivers, lakes, and even subterranean environments (Fetzner, 1996). Like freshwater mussels, crayfish are abundantly diverse in the Western Interior region. Arkansas is home to 61 species, while 32 species are known to occur in Missouri, 28 species in Oklahoma, and 11 species in Kansas (Fetzner, 2010). These species represent one of the largest aquatic faunal groups in North America north of Mexico but are so poorly known that over half of them do not have common names (Butler et al., 2003). However, crayfish have significant roles in aquatic ecosystems and are a major component of the food web. They are omnivorous and process organic matter in addition to feeding on snails, small fish, and aquatic insects; they transform energy between different levels in the food chain, and are themselves eaten by more than 240 predators (Butler et al., 2003).

3.8.9.3.1.3 Vertebrates

Amphibians, (frogs, toads, and salamanders) account for a considerable portion of energy flow in this region. Some of the more common amphibian species in the areas of concentrated mining include the bullfrog, the southern leopard frog (*Rana sphenoccephala*), the green frog (*Lithobates clamitans*), the pickerel frog (*Lithobates palustris*), the Red River mudpuppy (*Necturus maculosus louisianensis*), the central newt (*Notophthalmus viridescens louisianensis*), and the western slimy salamander (*Plethodon albagula*) (Arkansas Herpetological Society, 2013).

The reptile species associated with lotic systems vary greatly across this coal region. Reptiles common to aquatic ecosystems in areas of the coal region where mining is currently conducted include the western cottonmouth (*Agkistrodon piscivorus leucostoma*), the plain-bellied watersnake (*Nerodia erythrogaster*), the midland watersnake (*Nerodia sipedon pleuralis*), the snapping turtle, the Ouachita map turtle (*Graptemys ouachitensis ouachitensis*), the eastern river cooter (*Pseudemys concinna concinna*), the red-eared slider (*Trachemys scripta elegans*), and the spiny softshell (*Apalone spinifera*) (Arkansas Herpetological Society, 2013). Reptiles' ingested energy is efficiently transferred to other trophic levels in the food web (Pough, 1980; Regester et al., 2005).

Due to the wide variation of environments in the Western Interior coal region, there is a high diversity of fishes. The lotic systems of the coal region range from spring-fed headwater streams to the main stem of

the Missouri River. Most of the coal region is characterized by fish assemblages, including two common orders; Siluriformes, the catfishes, and Perciformes, which contains the fish families of Centrarchidae and Percidae. Common Siluriformes include black and yellow bullhead catfish (*Ictalurus melas* and *I. natalis*), and the channel catfish. Common Centrarchids in the region include largemouth bass, orange-spotted sunfish (*Lepomis humilis*), bluegill, longear sunfish (*L. megalotis*), green sunfish (*L. cyanellus*), and crappie (Stevenson et al., 1974). Common Percids include the orangethroat darters (*Etheostoma spectabile*), logperch (*Percina caprodes*), and slenderhead darters (*Percina phoxocephala*) (Stevenson et al., 1974). Fish assemblages are variable across the basin depending on stream type and climate; however, there is significant species overlap between stream types with similar ecoregions, and the assemblage descriptions below represent common groupings from areas currently targeted for coal production.

In most of the coal region, such as the prairie and plains provinces, shallowly entrenched, slow-flowing, meandering streams are the most common stream type. Fish assemblages in this stream type are commonly minnow dominated, including species such as the golden shiner, redbelly shiner (*Lythrurus umbratilis*), suckermouth minnow (*Phenacobius mirabilis*), sand shiner, and fathead minnow (Pflieger, 1975). Other species of nongame fish common to the slow flowing, meandering stream type are gizzard shad (*Dorosoma cepedianum*), common carp, stonecat (*Noturus flavus*), black bullhead catfish, channel catfish, and flathead catfish (*Pylodictis olivaris*) (Pflieger, 1975). In addition to largemouth bass, other game fish such as smallmouth bass, white bass, and freshwater drum are also common. In addition to the meandering stream species, the main stems of the major rivers in the coal region include additional species indicative of larger lentic systems. These big river species include the chestnut lamprey (*Ichthyomyzon castaneus*), shovelnose sturgeon (*Scaphirhynchus platyrhynchus*), pallid sturgeon (*Scaphirhynchus albus*), paddlefish, skipjack herring (*Alosa chrysochloris*), goldeye (*Hiodon alosoides*), blue sucker (*Cycleptus elongatus*), and blue catfish (*Ictalurus furcatus*) (Pflieger, 1975).

Spring-fed or upland clear, rocky streams are typically cool-water streams that are typically found in the upper reaches of watersheds. They are present across this coal region but are more commonly found in the Ouachita Mixed Forest-Meadow province. Like meandering streams, these cool streams are typically dominated by minnows such as the southern redbelly dace, horny head chub, rosyface shiner (*Notropis rubellus*), bleeding shiner (*Luxilus zonatus*), and striped shiner (Pflieger, 1975). In addition to minnows, darters are very common in these streams; widespread species include the orangethroat darter, the banded darter, the greenside darter, the rainbow darter (*E. caeruleum*), and the fantail darter. Other species common to these stream types include brook lampreys (*Lampetra* spp.), suckers such as the northern hog sucker (*Hypentelium nigricans*), black redhorse (*Moxostoma duquesni*), and golden redhorse (*Moxostoma erythrurum*), and other large species such as smallmouth bass, rock bass, longear sunfish, and in larger cool streams, walleye (Pflieger, 1975).

3.8.9.3.2 Lentic Systems

There are a relatively low number of warm water lakes and wetlands in the western portion of the Western Interior coal region due to the climate and topography. In the more arid areas of this coal region, some drainages lack outlets, producing temporary saline ponds and saline lakes (U.S. ACE, 2010). However, lakes produced by prior glacial action are common in the northern portion, and oxbow lakes and wetlands are abundant along the larger river systems. A large number of farm ponds are distributed throughout the agricultural areas. Water reservoirs have also been constructed throughout the coal region

(McNab and Avers, 1994). In arid areas, playas are important because they store water in areas commonly subjected to drought conditions and where there are no permanent rivers or streams. Consequently, playas create an oasis-like area that provides habitat for a variety of species, especially in the more arid areas of this coal region.

3.8.9.3.2.1 Energy Flow/Primary Production

As mentioned previously in aquatic systems, primary production is accomplished by phytoplankton, macro algae, and vascular aquatic plants. The algae associated with lentic systems make a significant contribution to the primary productivity of the aquatic ecosystems in the Western Interior coal region (O’Neal et al., 1985). In general, productive lakes average approximately one gram of carbon fixed per day per square meter (Dodson, 2005).

The littoral zone generally extends from the depth of rooted plant growth, usually 15 to 25 feet deep, as submersed plants generally do not grow below a depth of 30 feet due to light and pressure limitations (O’Neal and Soulliere, 2006). These plants are essential in promoting the biodiversity of an aquatic system and are responsible for a significant portion of the primary production for entire lentic systems (Ozimek et al., 1990; Wetzel, 2001). The aquatic plant species present in lentic systems within this coal region do not generally differ from those that are known to occur in lotic systems; however, some plants are more common to lentic systems, such as coontail (*Ceratophyllum demersum*), pondweeds (*Potamogeton* spp.), water lily (*Nuphar advena*), water willow, and cattail. Though woody debris and leaf litter input are not as important to lentic systems as they are to lotic systems, they remain important as an allochthonous energy component from the surrounding forests of oak-hickory and mixed forest cover types. Arid climates with fluctuating precipitation cause variability in the shorelines of lakes and ponds, and can greatly reduce the amount of macrophytes present in the lentic system. However, lentic systems with perennial source water from streams and springs can provide habitat for the development and establishment of macrophyte communities.

3.8.9.3.2.2 Invertebrates

The invertebrate orders common in the lotic systems of the Western Interior coal region are generally the same as those found in the lentic systems of the region. These insects, worms, crayfish, and mussels form the base of the food web in lentic systems, and serve as a food source for other predators, including fish and mammals. Common pond macroinvertebrate species include mosquitoes, blackflies, and crane flies; amphipods; damselflies and dragonflies; and beetles (Bass and Potts, 2001).

3.8.9.3.2.3 Vertebrates

Reptile and amphibian species do not greatly differ between the lotic and lentic systems in this coal region. However, lentic areas are particularly important to terrestrial salamanders, which use ponds, lakes, and wetlands for reproduction and for their larval stages of life. Salamanders are abundant and efficiently transfer energy to other trophic levels in the food web.

Reptiles fill important roles in the lentic ecosystems of the Western Interior coal region. Aquatic turtles are known to survive for extended lengths of time, remaining an important part of the wetland, pond, and lake systems. They can represent a significant portion of biomass in a lentic system. Semi-aquatic snake species are also important components of the food web. They transfer energy between terrestrial and

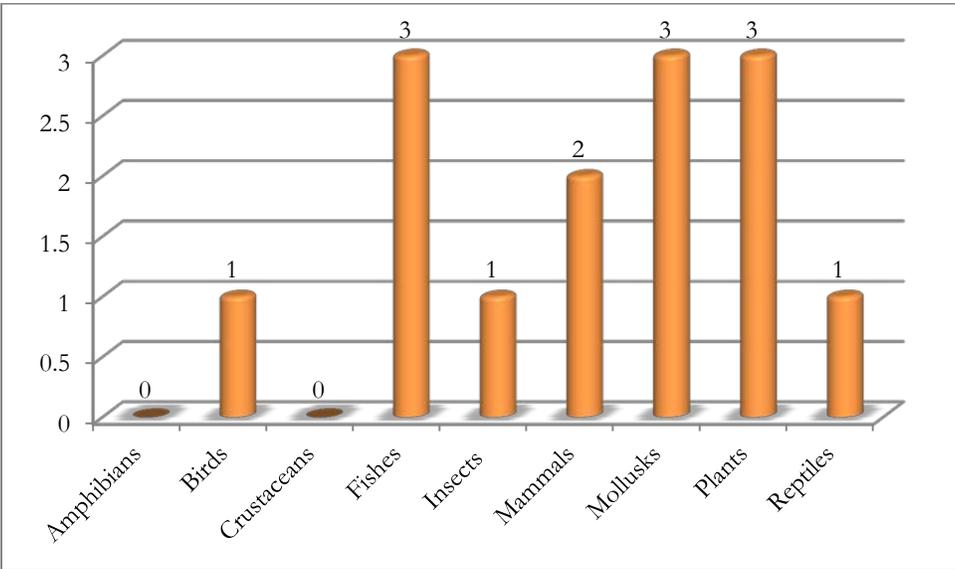
lentic environments. These snakes feed on fish, frogs, tadpoles, salamander, crayfish, and insects in wetlands, lakes, and ponds.

Common lentic system species include largemouth bass, bluegill, crappie, bullhead catfish, channel catfish, carp, white bass, freshwater drum, and various sunfish species. In larger reservoirs and lakes, game fish are stocked or have been introduced; these species include northern pike, walleye, hybrid striped bass, and wiper (*Morone chrysops* x *M. saxatilis*). The non-native species that state agencies stock in lentic systems commonly move into lotic systems. Exotic species continue to threaten native fish sustainability as does loss of habitat from sedimentation, and potential overfishing in lotic and lentic systems.

3.8.9.4 Protected Species in the Coal Mining Areas of the Western Interior Region

As shown in Figure 3.8-13 there are 14 federally listed and proposed listed species in the Western Interior region; two birds, three fish, three mussels, two plants, two mammals, and one insect. See Appendix F for species names and specific status.

Figure 3.8-13. Count of Federally listed species in the Western Interior Coal-Producing Region



Current threats to the listed species in the Western Interior coal region include losses of prairies, forests, and other terrestrial and aquatic resources from agricultural use and industrial and residential development. Fragmentation and ecosystem loss have increased the vulnerability of plant populations, particularly through reduced seed loss of ecosystems make plant populations vulnerable from poor seed production and decrease the health of the habitats (Wilcove et al., 1998). In addition, invasive species can spread, change, and overtake listed species habitats.

3.9 Wetlands

3.9.1 Introduction

Wetlands can be described as “the halfway world between terrestrial and aquatic ecosystems, exhibiting some of the characteristics of each system” (Mitsch and Gosselink, 2007). The Clean Water Act (CWA) defines a wetland as “those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions” (33 CFR Part 328). Commonly used terms for wetlands include swamp, marsh, bog, wet meadow, fen, pocosin, pothole, and vernal pool.

Wetlands provide a number of ecosystem services that benefit humans. Wetlands help to control floods and erosion, trap sediments, remove excess nutrients, recharge and discharge groundwater, purify water, process chemical and organic waste, and a variety of other functions (Mitsch and Gosselink, 2007). Wetlands serve as important conduits for the movement of material, energy, flora and fauna across landscapes. They provide habitat for nearly 5,000 species of plants, one-third of all species of birds (including all species of ducks and geese), and 190 species of amphibians in the U.S. (NRCS, 1996). Riparian and wetland habitats are limited throughout the arid and semi-arid areas of the western U.S. The wildlife inhabiting wetlands in the semi-arid and arid west depend on wetlands for one or more critical stages in their life cycle; habitat abundance and quality is often the limiting factor to these wildlife populations. Wetlands also support a large number of rare species of plants and animals. Approximately a third of threatened and endangered plant species in the U.S. inhabit wetlands, and half of the threatened and endangered animal species are wetland dependent (Niering, 1988).

3.9.2 Wetlands Status and Trends

Estimates of the total wetland acres that existed within the coal-producing regions pre-settlement, compared to the acres in existence today, vary by source. Estimates of the original extent of wetlands in the U.S. range between 211 to 221 million acres (Dahl, 1990). Nevertheless, the number and acreage of wetlands has historically been on the decline over the last 200 years as a result of human activities. A large portion of that decline began with the passage of the Swamp Lands Act of 1850. Approximately 45 million acres of wetland loss is attributed to this legislation (National Research Council, 1995). The Swamp Lands Act enabled states to take possession of wetlands and begin draining them so they could be farmed. The trend of wetland loss continued unhampered until the 1970s with the passage of the 1972 Federal Water Pollution Control Amendments, which was then amended with the passage of the CWA in 1977. The CWA includes Section 404, designed to regulate the discharge of dredged and fill material into waters of the U.S., including wetlands. In 1986, Congress enacted the Emergency Wetlands Resources Act, recognizing that wetlands are nationally important resources and requiring the U.S. FWS to update wetland status of the U.S. every ten years. In 1988, under the administration of President George H.W. Bush, the wetland “No Net Loss Policy” was established, further slowing the rate of wetland loss. This policy continued under the administration of Presidents William Clinton, George W. Bush, and Barack Obama. As of 2009, the lower 48 states contained an estimated 110.1 million acres of wetlands (Dahl, 2011). The U.S. EPA is scheduled to release its initial National Wetland Condition Assessment, which is designed to provide regional and national estimates of wetland ecological integrity and rank the stressors most commonly associated with poor wetland conditions. At least 22 states have lost at least 50 percent

of their original wetlands, mainly located in the East and Midwest (Mitsch and Gosselink, 2007; Dahl, 2006).

Despite regulations and a positive trend of wetland protection, wetlands are lost in the U.S. at an estimated rate of 290,000 acres per year (Dahl, 2006). Human activity is considered to be a major cause of wetland loss; other causes include natural threats and indirect causes such as erosion, subsidence, sea level rise, climate change, droughts, hurricanes, and other large storms (Dahl, 2011; North Carolina State University, 2006). The majority of the wetland loss occurring today is the loss of marine and estuarine wetlands, which is caused by coastal erosion. Freshwater wetlands loss is mainly caused by urban and rural development (Dahl, 2006). The acreage of wetlands loss due specifically to coal mining impacts was not available, although peat mining (where occurring) is a cause of freshwater wetlands loss and is restricted to a few areas of the country.

3.9.3 Location of Wetlands

Wetlands are found in nearly every county in the United States (U.S. EPA, 2004b) and are found within all of the coal-producing regions. Wetlands can be created (and have been created) both intentionally and unintentionally by ground disturbance, including during surface and underground coal mining. Wetlands can also be created at the surface over underground mines (mainly longwall mines) due to planned subsidence, and during reclamation of surface activities. Wetlands are typically located at the interface of a body of water (such as an ocean, a lake, pond, or a stream) and land but are also found in other portions of the landscape remote from waterbodies. These isolated wetlands do not contain outlets; they are the result of groundwater at or near the soil surface or in topographically low areas where enough water collects to create saturated (hydric) soils and support a wetland plant community (Mitsch and Gosselink, 2007; Leibowitz, 2003; Whigham and Jordan, 2003).

The U.S. FWS maintains maps of the nation's wetlands. The National Wetland Inventory (NWI) Program (U.S. FWS, 2013a) produces maps and a digital database of the location, size, and status of wetlands. In addition the NWI provides the wetland cover type according to "Classification of Wetlands and Deepwater Habitats of the United States" (Cowardin et al., 1979). This classification system often is referred to as the "Cowardin Classification System," and is based on vegetation, soils, and frequency of flooding. Open water areas such as ponds and streams are also classified as wetlands under this system and are included on NWI maps.

Wetlands are mapped and classified for the NWI through aerial interpretation and limited field verification. Attempts are made to update and increase the accuracy of the mapping at a rate of one to two percent of the U.S. per year. Throughout its history, most of the NWI mapping was performed through a multi-stage process, starting from aerial photography. As GIS and mapping technology advanced, the process of data collection and map production became a single step done on-screen by the image analysts. These analysts delineated wetlands; other data were then simultaneously entered into a digital data layer that could be used to generate maps at various scales using GIS technology. Today, all of the NWI data are created through this on-screen process (Tiner, 2009). The reliance placed on the NWI and its resulting effort has provided a valid, consistent source of the location and size of wetlands within all of the coal regions. The tables within Appendix H summarize the general wetland cover types and percent acreage of each cover type, organized by coal-producing regions covered in this discussion. Data from U.S. FWS were used to calculate these estimations; however, due to incomplete data sets, some

regions in Appendix H are also incomplete. These data were last updated on October 29, 2013. In the creation of these regional tables, the wetlands were sized by state based on the area that resides within the basin boundary. These sized state areas were merged together to define wetlands for the entire coal basin boundary. These areas were calculated in square meters, and then converted to acres in ArcMap for each wetland polygon within the boundary. The data was then converted to tabular form and consolidated by class, and statistics were generated for area calculations.

3.9.3.1 Appalachian Basin Region

The Appalachian Basin is characterized by mountains with steep slopes that contain high gradient streams. Wide river valleys wind around the base of the mountains, and the majority of the wetlands in this region are located in these river valleys. Large wetlands are commonly found on floodplains along rivers and perennial streams (i.e., riparian wetlands). Large wetland complexes consisting of a variety of habitats can be found in the floodplains within large river systems such as the Ohio River. Headwater streams found on the steep slopes are high-gradient with a small floodplain, typically located with a scoured channel; wetlands are typically absent next to these streams. Instead of being associated with a stream channel in these upper headwater regions, wetlands are found in depressional areas at the top of mountains and along the slopes (U.S. EPA, 2005). These wetlands are often isolated and therefore are not afforded protection under the CWA.

NWI data indicates there are approximately 727,000 acres of wetlands within the coal-producing areas of the Appalachian Basin (see Appendix H). In total, only two percent of the land area of the Basin is identified as wetland. This region has experienced wetland loss due to rural development.

3.9.3.2 Colorado Plateau Region

The Colorado Plateau coal-bearing region is located in the arid western U.S. The dry climate limits wetland development. As a result, wetlands comprise less than two percent of the region (USGS, 1996). The wetlands that do exist in this region are mainly found in association with streams, ponds, lakes, and rivers. The majority of the wetlands within this region, not including open water areas, are emergent riparian wetlands, oxbow lakes, marshes, cienegas, and bosques (USGS, 1996). The hydrology supporting these wetland communities is based on yearly snowmelt and late summer thunderstorms. They are typically found in higher elevations and have a richer diversity of plant species than the adjacent uplands. Studies in Colorado have found that more than 70 percent of Colorado's wildlife species (including fish, crustaceans, spiders and insects, and 27 percent of the state's breeding birds) use wetlands (Rocchio, 2005a). Big game species such as deer, moose, and elk seek out wetlands for lush and nutritious grasses.

Other wetlands are seasonal and can be dry for more than one year at a time. Often these wetlands are playas (USGS, 1996). Playas are typically shallow depressions within the desert basins or abandoned stream channels that are occasionally wet due to stream flow or shallow ground water. These are wetlands heavily influenced by snowmelt and heavy precipitation events. This, along with the salinity of the soil, has a strong influence on the plant community and plant coverage (Rocchio, 2005b). These wetlands are known to support threatened and endangered species, including many endemic species (USGS, 1996).

There are only 70,000 acres of wetlands within this 11.3 million-acre coal region, constituting less than 1 percent of the land area (see Appendix H). Of these wetland acres, over 80 percent (or 57,000 acres) would qualify as open water habitat.

3.9.3.3 Gulf Coast Region

The majority of the wetlands located within the Gulf Coast lignite and bituminous coal-bearing region are in the Mississippi River basin. Wetlands occupy more than 13 percent of Mississippi, and freshwater forested wetlands comprise the majority of wetlands within the state of Louisiana. Bottom-land forests, swamps, and freshwater marshes within floodplain areas of rivers account for most of Mississippi's wetland acreage (USGS, 1996). In addition, the majority of all the wetlands found in the state of Texas are located in the eastern, coal-bearing portion of the state. These wetlands are also forested wetlands, occurring within the floodplains and bottomlands of rivers (USGS, 1996).

Forested wetlands account for over 2.3 million acres within the coal-bearing portions of this region and comprise over 66 percent of all wetlands. Open water habitat such as lakes, ponds and rivers compose about 29 percent of the regions wetlands (see Appendix H). The wetlands in this region are important to wildlife, especially migrating and overwintering birds. They are also vital to the local economies.

3.9.3.4 Illinois Basin Region

The major land use in the Illinois Basin is agriculture. This portion of the country converted a large percentage of its wetland to farmland in the late 1800s and early 1900s (Dahl, 2006; USGS, 1996). Illinois has lost an estimated 90 percent of its wetlands (USGS, 1996). NWI data estimates 1,322,542 acres remain. More than 57 percent of the natural wetlands in Illinois are found within the larger river basins in the southern portion of the state (Illinois Department of Natural Resources, 2013). The southwestern portion of Indiana and western Kentucky experienced similar wetlands losses and contain similar wetlands habitat. In total, only 4 percent of the coal-producing land area is identified as wetland. Despite these losses wetlands continue to be important habitats for many species here as in other regions. The Illinois Department of Natural Resources for example recognized that 49 of the 59 mammal species in Illinois use wetlands to some extent during their life cycle, that 37 of the 41 amphibian species in Illinois depend upon wetlands at last part of the year, and that approximately 105 bird species depend upon, or are strongly associated with, Illinois wetlands (Illinois DNR, 2015).

3.9.3.5 Northern Rocky Mountains and Great Plains Region

According to the NWI database (with incomplete datasets from CO, MT, and UT) wetlands comprise 2.89 percent of the Northern Rocky Mountains and Great Plains region's 43,069,200 potential coal-producing acres. However, the prairie pothole region within the Great Plains portion of the region (including northeastern Montana and much of North Dakota) was once the greatest expanse of grasslands and small wetlands on earth (U.S. FWS, 2009). Formed by glaciers, prairie potholes are characterized by shallow depressions, generally round in shape, which support emergent vegetation. In fact, according to the NWI dataset there are more than 542,000 acres of emergent wetland within this coal region (see Appendix H). Many of these wetlands do not have inlets or outlets and are fed by runoff from the surrounding area or have a limited connection with groundwater (Savage, 2004). Sometimes the water in the potholes will evaporate in the summer. The wet-and-dry cycles are characteristic of the hydrology of the potholes and

are essential to maintaining the wetland plant communities (Mitsch and Gosselink, 2007). In addition, evaporation can concentrate salts in the water, making some potholes as salty as the sea (Savage, 2004).

The prairie pothole region located throughout the central portion of North America serves as the primary breeding grounds for waterfowl (Mitsch and Gosselink, 2007). In 2010, the U.S. FWS reported an estimated 1.9 million breeding pairs of waterfowl in Montana and the Dakotas. Their annual waterfowl breeding and habitat survey noted a decline in habitat conditions due to a number of years of low precipitation (Zimpfer et al., 2010). During dry years, water impoundments used for coal mining, livestock, and bentonite clay production in this region have served as alternative breeding habitat for waterfowl and as habitat for shorebirds (Uresk and Severson, 1988).

Wetlands located in the portions of Wyoming and Colorado that are a part of this coal-producing region are similar in characteristics to the prairie potholes of the Dakotas and Montana. The lower elevations contain short grass prairies, and northeastern Wyoming contains the highest density of breeding waterfowl. There are areas containing sage brush steppe and coniferous forested wetlands, depending on the elevation (Copeland et al., 2010). The climate is more arid and many of the emergent wetlands are considered playas.

NWI data indicates there are approximately 1,244,000 acres of wetlands within the coal-producing areas of this coal-producing region (see Appendix H). In total, only three percent of the land area of the Basin is identified as wetland.

3.9.3.6 Northwest Region

The Northwest coal-bearing region includes Alaska, the state of Washington and small areas within Oregon. For the purposes of this FEIS, only mining in the state of Alaska is being considered (see Section 3.0 for rationale). Wetlands are created by permafrost, glacial melt water, snow melt, beavers, springs, and tides. Permafrost is a frozen layer of soil substrate that is present throughout the year. The frozen layer traps water near the soil surface. The tundra wetlands located in northern Alaska are the breeding grounds for many species of shorebirds, ducks, geese, and swans. The majority of the wetland habitat present in this area is freshwater scrub/shrub. Coastal estuarine wetlands are also common (Hall et al., 1994).

Extensive lowlands and peatlands support stunted white and black spruce woodland, low scrub of ericaceous shrubs and willow, and a variety of sedge and grass meadows. Some of the major mammal species of the area that use wetlands are moose, brown bear, black bear, wolf, coyote, fox, beaver, and lynx. Tundra swans, Canada geese, sandhill cranes, and a wide variety of ducks use area wetlands and lakes for nesting and as stop over sites during migration.

While 43 percent of the entire state of Alaska is wetland, the coal-bearing parts of the state are only about 13 percent (or approximately 159,000 acres) wetland according to the incomplete NWI dataset.

3.9.3.7 Western Interior Region

The Western Interior coal-bearing region is located in the heart of the Midwest and has a diversity of wetland habitats. These include prairie potholes, bottomland hardwood forested wetlands, shrub/scrub wetlands, emergent marshes, wet meadows, fens, and riparian wetlands. These wetlands provide habitat

for migrating waterfowl and passerine birds along the central flyway. According to NWI data, there are 1,663,272 acres of wetlands, constituting about four percent of the land area. Of these wetland acres, about 50 percent (or 825,648 acres) are open water habitat (see Appendix H).

Agriculture is the primary land use in this region and has been for the last 200 years. As a result, more than five million acres of wetland were authorized to be drained in the states comprising the Western Interior coal-bearing region. The dramatic loss of wetland in the Midwest has made it a focal area for restoration programs, such as the USDA NRCS Wetland Reserve Program (WRP).

3.10 Recreation

3.10.1 Introduction

This section provides an overview of the type, capacity, demand, and quality of experience associated with existing and proposed recreational facilities in the coal-producing regions. A limited amount of information is also included on economic contributions of recreational facility usage. For further discussion of socioeconomic conditions in the coal-producing regions, refer to Section 3.14.

Resident and non-resident tourists travel to various outdoor recreational sites throughout the coal-producing regions for outdoor recreation. Tourists are drawn to the many visual, cultural, and natural amenities found throughout the coal-producing regions. A variety of both public and private sector facilities are available to meet the Nation's recreational demand. For purposes of this document, information provided is focused on public sector recreational facilities but does not include specific information on: (1) public recreational facilities provided by the county or municipal levels of government; or (2) private sector recreational facilities. While local government and private facilities provide significant recreational opportunities to the public, few systematic data sources exist to characterize these resources. In accordance with 40 CFR 1502.22, this FEIS notes that such information is not included, and that the absence of quantified information on these types of recreational facilities is not essential to making a reasoned choice among the Alternatives being considered.

Recreation in active coal mining areas is largely precluded during mining and for a period of time after mine closure due to sensitivity of reclamation. For instance hunting is precluded on active mines due to safety, and may be altered elsewhere in the vicinity of mining due to human activity and noise. However, approved postmining lakes may specify water-based recreation opportunities. Designation of individual compatible recreational uses is often left up to the state land management agencies.

For the Nation at large, public lands managed by federal and state agencies are perhaps the most extensive resource available for recreational amenities. Federal lands managed by the National Park Service (NPS), USFS, and BLM provide the opportunity for visitors to participate in a variety of outdoor recreational activities such as auto touring, biking, boating, camping, climbing, fishing, hiking, horseback riding, hunting, snow skiing, swimming, and wildlife viewing.

The NPS manages some 84 million acres of land comprising 393 national parks, 2,461 national historic landmarks, 582 national natural landmarks, and 40 national heritage areas (NPS, 2013a). During 2010, the NPS recorded slightly over 281.3 million recreational visits to NPS-managed facilities (NPS, 2011). Visitation data specific to each of the coal-producing states is provided in Appendix I, Table I-1. In FY 2010, the USFS managed 17,906 recreational sites at 155 national forests and 20 national grasslands.

Total area under management was slightly less than 193 million acres. In FY 2007, the USFS properties had approximately 192 million visitors (USFS, 2011). In 2009, the BLM managed slightly less than 250 million acres of land and recorded approximately 57.4 million recreational use visits (U.S. BLM, 2010b).

State agencies also provide significant recreational opportunities. Visitors to state park and recreation areas participate in many of the same activities provided at federal parks and recreational areas. In 2007, over 7.2 million acres of state park land was under management in the 25 coal-producing states, while 2007 state park visitations for the coal states varied from a low of 0.9 million in North Dakota to high of 49.7 million in Ohio. During that same period, revenue generated as a result of these park visits varied from a low of \$1.4 million in Wyoming to a high of \$55 million in Kentucky (U.S. Census Bureau, 2007). Visitation, acreage, and revenue data for each of the states in the study area is available in Appendix I, Table I-3.

Tourism revenue information was not available by county or as a subset of any state; therefore, the monetary value of tourism to a specific study area is not available. The economic importance of recreation tourism specific to each individual coal mining state is presented in Appendix I, Table I-2.

The text below in the remainder of Section 3.10 provides a discussion of the extents of public land areas broken down by region. The acreage figures provided in this text are derived from ESRI data, most recently downloaded in September 2016. These are not the official acreages from the federal or state land owner and may therefore vary from other sources, but are intended only to provide a relative comparison on the extent of public land areas within each region.

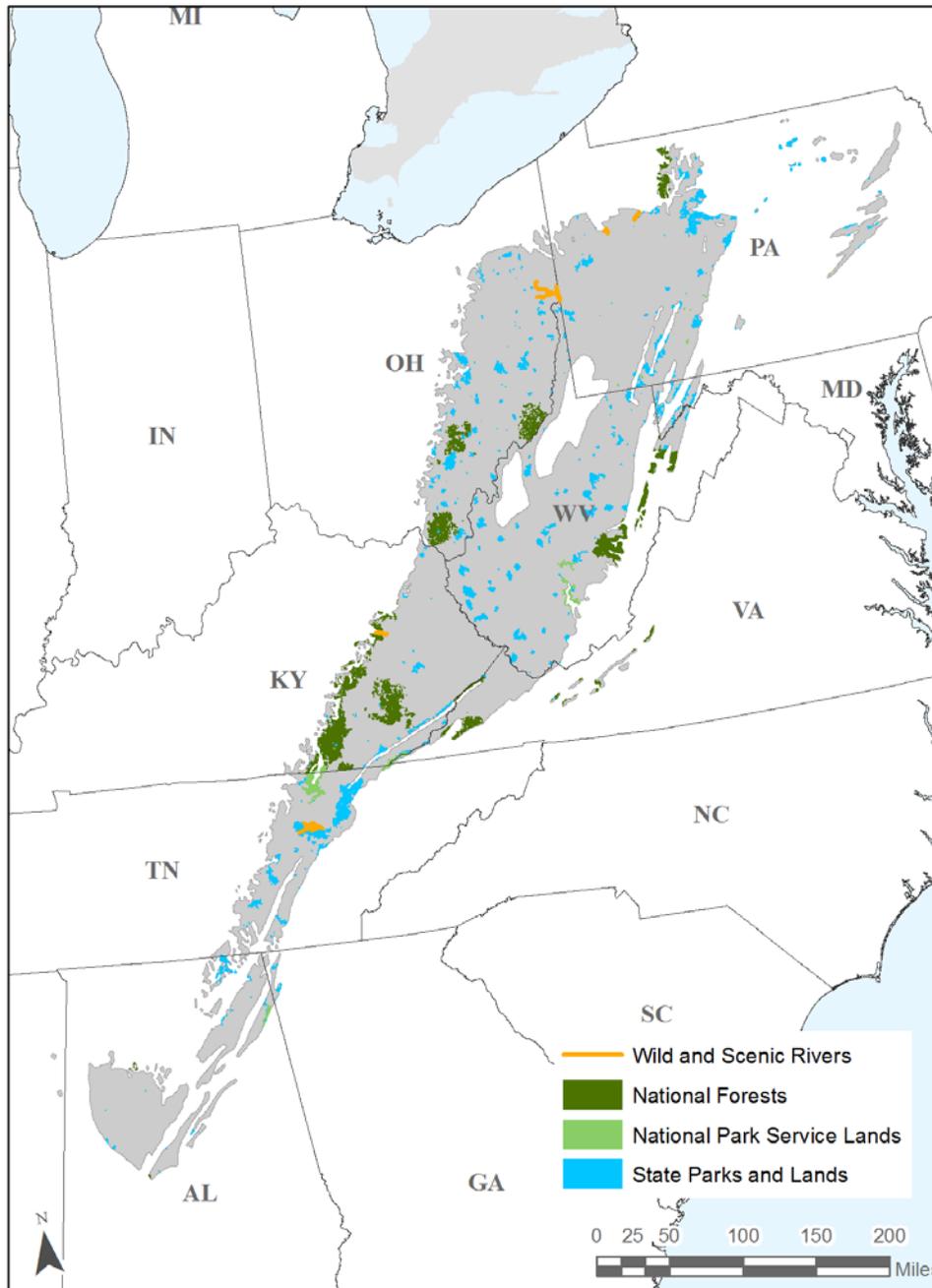
3.10.2 Appalachian Basin Region

The Appalachian Basin coal-producing region includes portions of the states of Pennsylvania, Ohio, West Virginia, Virginia, Kentucky, Maryland, Tennessee and Alabama. Within these populous eastern states, there are numerous recreational opportunities for both residents and visitors to the area. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodation-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists 2007 data for visitation, acreage, and revenue for state parks in the coal mining states.

Approximately 1.1 million acres of national forest lands fall within the boundaries (see Figure 3.1-1) of the Appalachian Basin coal-producing region, of the approximately 4.7 million total acres within these forests. Table I-4 in Appendix I provides information on the national forests in this region, including total acreages for each forest. Seventeen NPS-managed facilities occur partially or entirely within the region with a total of approximately 168,623 acres within the region of the 297,902 acres these areas encompass in total. Park-specific information is displayed in Table I-5 of Appendix I.

A review of Table I-6 in Appendix I shows that 325 state-managed recreational facilities occur partially or entirely within this coal-producing region, totaling approximately 1.3 million acres inside the boundaries out of their total 2.5 million acre area. Figure 3.10-1 locates the designated wild and scenic rivers in this region and shows where areas of the region overlap national and state parks and forests. Table I-7 in Appendix I provides information on each of the identified wild and scenic rivers located within the Appalachian Basin coal-producing region.

Figure 3.10-1. Appalachian Basin Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

U.S. FWS conducts a survey every five years to evaluate the popularity of hunting, fishing, and wildlife watching in each state (U.S. FWS, 2011c). The 2011 survey reported fishing expenditures totaling 41.8 billion nationwide, and hunting expenditures totaling \$another 33.7 billion. As illustrated by the 2011 data, the Appalachian Basin region provides ample opportunity for fishing, hunting, and wildlife-watching activities. In almost every state of the Appalachian Basin, wildlife-watching is the preferred activity of the three, followed by fishing, then hunting. Data for these three activities in the Appalachian Basin states, in addition to national totals, is provided in Table I-8 of Appendix I.

The following subsections provide state-specific information on recreational resources in the Appalachian Basin.

3.10.2.1 Alabama Tourism and Recreation

The Alabama portion of the Appalachian Basin coal-producing region is located in the tourism region that the Official Alabama Vacation Guide (Alabama Tourism Department, 2013) designates as the Alabama Mountains Region, located in the northern third of the state. The Tennessee River winds through the Appalachian Mountain foothills in this region creating a prime destination for outdoor recreation. Major tourism and recreational opportunities in the region include the Little River Canyon National Preserve and Russell Cave National Monument, along with plentiful boating, fishing, hiking, and golfing opportunities. The region is home to the William B. Bankhead National Forest, the state's largest national forest and wilderness area, with 181,000 acres of deep canyons, towering cliffs, and hidden waterfalls. The region includes six state parks including Buck's Pocket, Rickwood Caverns, DeSoto, Lake Guntersville, Lake Lurleen and Oak Mountain. Alabama is one of the premier states in the nation for hunting white-tailed deer and eastern wild turkey.

3.10.2.2 Kentucky Tourism and Recreation

The Kentucky portion of the Appalachian Basin coal-producing region is located in the tourism region designated by the Kentucky Official Visitor's Guide (Kentucky Department of Travel (DT), 2011) as the Eastern Region; the Eastern Region includes the Kentucky Appalachians and Daniel Boone sub-regions. Tourism and recreational activities in this area relate to the natural scenic beauty of the Appalachian Mountains. A significant attraction is the Daniel Boone National Forest, which includes the Red River Gorge.

The Red River Gorge is a unique landscape containing unusual flora and surrounded by more than 80 natural arches sculpted by wind and water over 70 million years. The Red River is Kentucky's only National Wild and Scenic River. Another significant attraction in the Eastern Region is the Cumberland Gap National Historic Park. This 24,000-acre area of wilderness is the largest National Historic Park in the country. The region also boasts fourteen state recreational and resort parks including Cumberland Falls, Pine Mountain, Greenbo Lake, Grayson Lake, and Jenny Wiley, among others. Elk herds were reintroduced into the mountains of eastern Kentucky on reclaimed mine sites in the late 1990s, and have since grown to nearly 10,000 animals. There are now more elk in Kentucky than anywhere else east of the Rocky Mountains. Recreational activities in this region of Kentucky include biking, hiking, camping, golfing, skiing, boating, hunting, fishing, horseback riding, rock climbing, and wildlife watching (e.g., bald eagles and elk).

3.10.2.3 Maryland Tourism and Recreation

The Maryland portion of the Appalachian Basin coal-producing region lies in the Western Maryland tourism region as designated by the Destination Maryland (Maryland Office of Tourism, 2013) travel guide. Western Maryland represents the mountainous side of Maryland and offers rapidly flowing rivers with white-water rafting opportunities and rugged mountain trails for year-round adventure. Deep Creek Lake is Maryland's largest body of fresh water, providing fishing, swimming, and boating activities. Rock-climbing, kayaking, rafting, hiking, and cross country skiing are other popular outdoor activities. State parks in the Maryland coal fields include Swallow Falls, Savage River, Dans Mountain, and Deep Creek Lake.

3.10.2.4 Ohio Tourism and Recreation

The Ohio portion of the Appalachian Basin coal-producing region is located in the tourism regions designated by the Ohio Official State Travel Planner (Ohio Division of Tourism, 2011) as the Southeast and Northeast Regions. The Northeast Region includes the 33,000-acre Cuyahoga Valley National Park and First Ladies National Historic Site, along with at least ten state parks and four state forests. The Southeast Region is recognized for outdoor adventures in places like Hocking Hills State Park, which features towering cliffs, waterfalls, and deep gorges. The Southeast Region offers at least 21 state parks and 12 state forests. Wayne National Forest, Ohio's only national forest, has more than 300 miles of trails available for recreational usage. The Southeast Region is also home to the 34,000-acre reclamation project known as Recreation Land. This area was constructed by American Electric Power on former strip mined land, and involved the planting of more than 63 million trees and the establishment of more than 350 lakes and ponds, thus returning the former mine lands into a public recreation area.

3.10.2.5 Pennsylvania Tourism and Recreation

The Pennsylvania portion of the Appalachian Basin coal-producing region is located in the tourism regions designated by VisitPA.com website as the Laurel Highlands; Pittsburgh and Its Countryside; and the Pennsylvania Wilds (Pennsylvania Department of Community and Economic Development (PA DCED), 2013). Recreational opportunities include biking, boating, camping, caving, ATV trails, fishing, golfing, hiking, hunting, snow skiing, whitewater rafting, wildlife viewing, and state park and state forest visitation. The Laurel Highlands Region includes nine state parks and/or forests. The 68-mile Laurel Highlands Scenic Byway leads to the 90-mile Historic National Road that passes by the Fort Necessity National Battlefield and other points of interest. The Pittsburgh Countryside Region is home to five state parks including Moraine State Park with over 16,000 acres of public lands. The western part of the Pennsylvania Wilds is situated within the coal fields and offers forests and mountains that are well suited for fishing, hiking, kayaking, and other outdoor activities. The Pennsylvania Wilds includes several state parks along with the Allegheny National Forest.

3.10.2.6 Tennessee Tourism and Recreation

The Tennessee portion of the Appalachian Basin coal-producing region falls mostly within the tourism regions designated by the tnvacation.com website as the Knoxville and Middle East; and the Chattanooga and Southeast (Tennessee Department of Tourist Development (DTD), 2013). Recreational opportunities include biking, boating, camping, ATV trails, fishing, golfing, hiking, hunting, wildlife viewing, and state park visitation. Several state parks lie within this region including Fall Creek Falls, Cumberland

Mountain, Frozen Head, Cove Lake, Indian Mountain, South Cumberland, and Pickett State Park. Fall Creek Falls State Resort Park “is one of the most scenic and spectacular outdoor recreation areas in America” (Tennessee Department of Environment and Conservation (DEC), 2013).

3.10.2.7 Virginia Tourism and Recreation

The Virginia portion of the Appalachian Basin coal-producing region falls within the tourism region designated by the Virginia Travel Guide (Virginia Tourism Corporation, 2013) as the Heart of Appalachia Region. Natural wonders abound throughout the region and include the deep gorges at Breaks Interstate Park and Cumberland Gap National Historic Park. Cumberland Gap National Historic Park, located along the borders of Kentucky, Virginia and Tennessee, stretches for 26 miles along the Cumberland Mountain and contains over 24,000 acres of wilderness and recreational area. The area’s past coal mining history plays a significant role in the tourism opportunities in the region, evidenced by the Southwest Virginia Museum Historical State Park and Virginia’s Coal Heritage Trail.

3.10.2.8 West Virginia Tourism and Recreation

The West Virginia portion of the Appalachian Basin coal-producing region includes the tourism regions designated by the West Virginia Official State Travel Guide (West Virginia Department of Commerce, 2013) as the New River-Greenbrier Valley, Mountaineer Country, Northern Panhandle, Mountain Lakes, Metro Valley, Mid-Ohio Valley, and a portion of the Potomac Highlands. Most of West Virginia falls within the Appalachian Basin coal-producing region, with the exception of the Eastern Panhandle and part of the Potomac Highlands. West Virginia offers some of the Nation’s best whitewater rafting, extensive trail systems, snow skiing, hunting, fishing, boating, camping, and other recreational opportunities. Major tourism and recreational attractions in the area include over 180,000 acres in state parks and state forests; the Hatfield-McCoy Trail System; the 300-mile Appalachian Trail; Monongahela National Forest; and Gauley River National Recreation Area and New River Gorge National River. Coal heritage also plays a prominent role in tourism in the state with attractions such as the National Coal Heritage Trail.

3.10.3 Colorado Plateau Region

The Colorado Plateau coal-producing region includes portions of the states of Colorado, New Mexico, Arizona, and Utah. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry; food service and accommodations-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists the 2007 data for visitation, acreage, and revenue generated by state parks for Colorado Plateau coal mining states.

Approximately 1.2 million acres of national forest lands fall within the boundaries of the Colorado Plateau coal-producing region. Table I-9 in Appendix I provides information on the national forests in this region including their total acreage of approximately 23 million. The USFS-managed Pecos Wild and Scenic River, the only designated wild and scenic river in this region, is located in New Mexico’s Santa Fe National Forest (USFS, 2013). Six NPS-managed facilities, occur partially or entirely within the region with a total of approximately 117,000 acres inside the region boundaries, out the 1.5 acres total that these areas encompass. Park-specific information is displayed in Table I-10 of Appendix I. A review of Table I-11 in Appendix I shows that 56 state recreation areas are located within this coal-producing region, totaling approximately 11 million acres of which 0.5 million fall within the region boundaries.

Figure 3.10-2 locates the only designated wild and scenic river in this region and depicts where the region overlaps national and state parks and forests. Table I-12 provides information on the wild and scenic rivers located within the Colorado Plateau region.

Relevant data from the U.S. FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-13 of Appendix I. The table includes total expenditure data by state. The survey identifies Colorado as an especially popular destination for outdoor recreation, with the highest numbers of hunters, anglers, and wildlife-watchers among the states in the Colorado Plateau region.

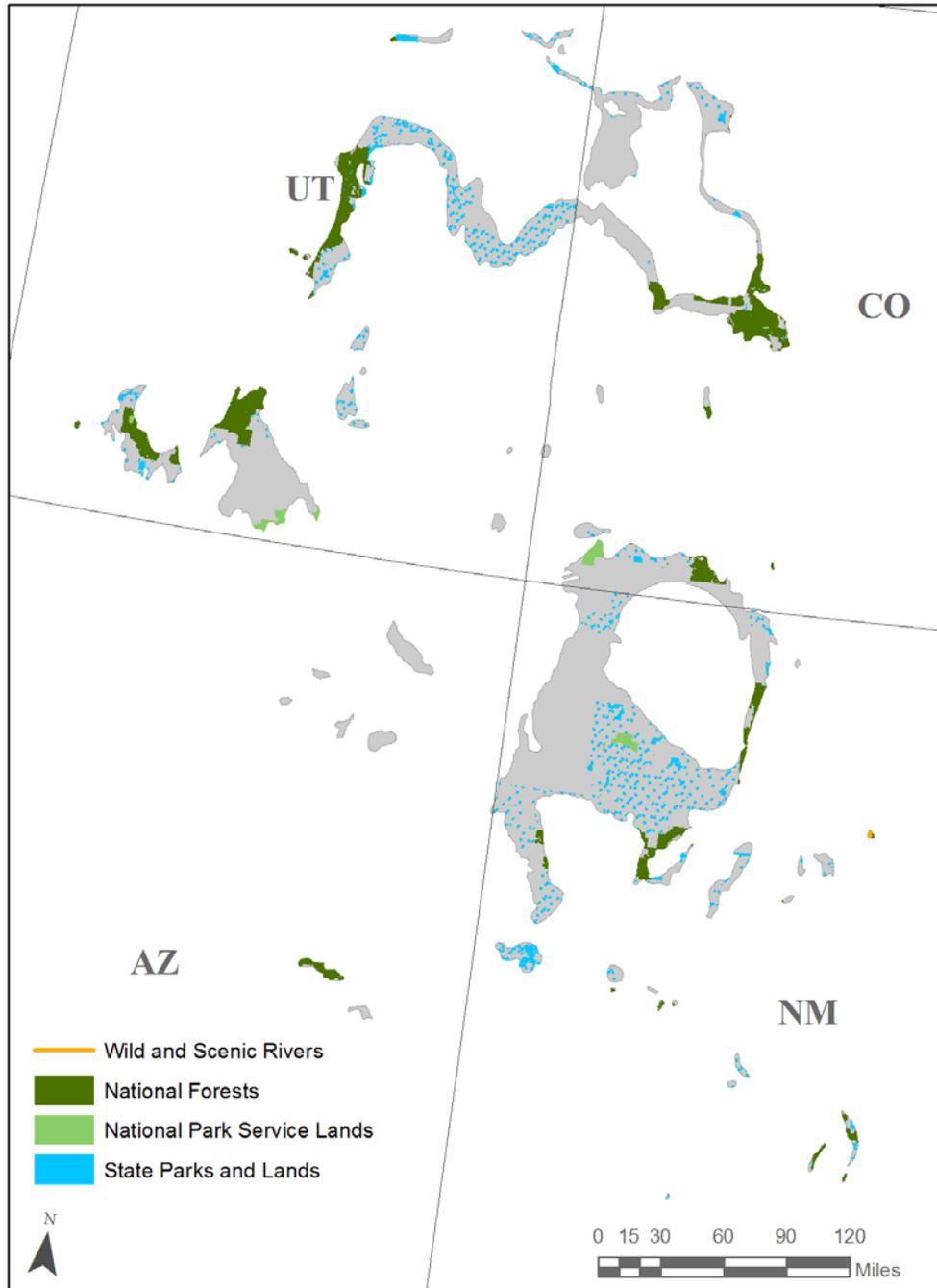
3.10.3.1 Arizona Tourism and Recreation

The area of Arizona that includes the coal-producing region lies in the northern third of the state. This area includes the Navajo Tribal Area near Lake Powell and the surrounding Glen Canyon National Recreation Area. Seven national parks, seven national monuments, and many state parks, historical sites, ghost towns, prehistoric native ruins and sculpted mesa, buttes and geologic wonders surround Lake Powell.

3.10.3.2 Colorado Tourism and Recreation

The portion of Colorado that lies in the Colorado Plateau coal-producing region is designated by the Colorado Official State Travel Guide (Colorado Tourism Office, 2013) as the Northwest and Southwest tourism regions. The Colorado River passes through the Northwest region, creating epic gorges and defining the landscape of the region. The region is best known for legendary ski resorts such as Aspen, Steamboat Springs, and Vail. The Southwest Region boasts colorful terrain, including the San Juan Mountains, Crested Butte, and Mesa Verde National Park. In addition to skiing, these regions offer whitewater rafting, hiking, mountain biking, fly fishing, hunting, wildlife viewing, and various other recreational activities.

Figure 3.10-2. Colorado Plateau Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

3.10.3.3 New Mexico Tourism and Recreation

The coal-producing region in New Mexico lies in the areas designated by the New Mexico Vacation Guide (New Mexico TD, 2013) as the Central, North Central, and Northwest Regions. In the Central region, the Sandia Mountains rise to over 10,000 feet. Popular attractions in the Central Region include Petroglyph National Monument, Jemez State Monument, and the Turquoise Trail. The Northwest region is rich in "Indian Country" culture, history, and geologic wonders. Popular attractions in the region include Aztec Ruins National Monument, Bisti/De-Na-Zin wilderness Areas, El Malpais National Monument, and El Morro National Monument. The North Central region also includes abundant cultural and historical sites. The Sangre de Cristo Mountains offer rugged adventures, and the Enchanted Circle's alpine terrain provides golfing, fishing, horseback riding, and whitewater rafting on the Rio Grande. The Turquoise Trail National Scenic Byway provides 15,000 square miles of old mining towns and natural wonders.

3.10.3.4 Utah Tourism and Recreation

A majority of the coal-producing region in Utah lies in the south central and southeastern portions of the state, including the Wasatch Plateau, Kaiparowits Plateau, and Book Cliffs areas (Utah Geological Survey, 2013; Utah Office of Tourism, 2013). This area is known for high adventure, offering spectacular outdoor activities, including boating, fishing, camping, biking, and hiking. The Green River flows through the Book Cliffs region, providing blue ribbon trout fishing and exciting whitewater rafting. The Sevier River flows through the Wasatch and Kaiparowits Plateau areas. Major recreation attractions include Bryce Canyon National Recreation Area, Fishlake National Forest, Scofield State Park, Green River State Park, San Rafael Swell, and Escalante State Park.

3.10.4 Gulf Coast Region

The Gulf Coast coal-producing region includes portions of the states of Alabama, Arkansas, Georgia, Illinois, Kentucky, Louisiana, Mississippi, Missouri, Tennessee, and Texas. As discussed in Section 3.1, the vast majority of current coal (lignite) production, in the region occurs in Texas, with the remainder in Mississippi and Louisiana. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodations-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists the 2007 data for visitation, acreage, and revenue generated by state parks in the coal-mining states.

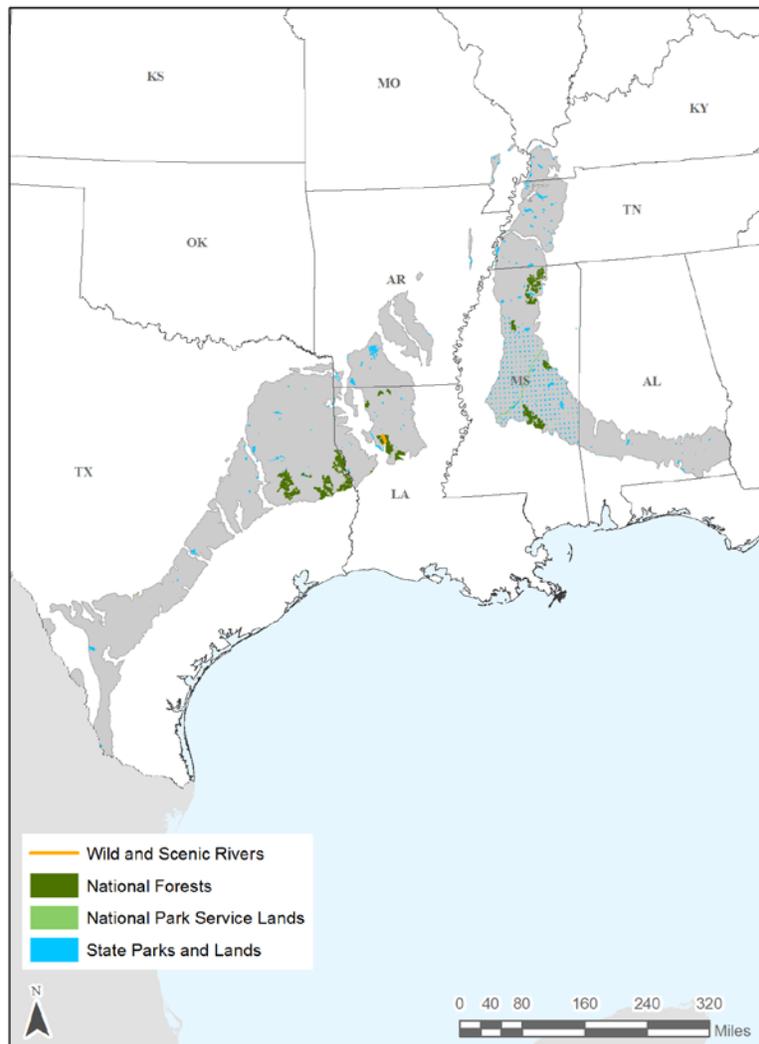
Approximately 0.9 million acres of national forest lands fall within the boundaries of the Gulf Coast coal-producing region, out of the 3.1 million acres total within these national forests. See Table I-14 in Appendix I for the listing by forest. The USFS-managed Saline Bayou Wild and Scenic River, the only designated wild and scenic river in this region, is located in Louisiana's Kisatchie National Forest. The Natchez Trace Parkway and National Scenic Trail, and the San Antonio Missions, are the only NPS-managed facilities that intersect the coal resource study area within the boundaries of the Gulf Coast coal-producing region. They encompass 11,204 acres within the region and 31,847 in total. Approximately nine miles of the Saline Bayou National Wild and Scenic River also occurs within the boundaries of the region. Park specific information is displayed in Table I-15 of Appendix I. A review of Table I-16 in Appendix I shows that 175 state-managed recreational facilities are located within this coal-producing region, with nearly half of their 1.1 million acres occurring within the region boundaries. Figure 3.10-3

locates the only designated wild and scenic river in this region and depicts where the coal-producing areas of the region overlap national and state parks and forests.

Relevant data from the U.S. FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-17 of Appendix I. The table also includes total expenditure data by state. For the activities included in the 2006 survey, Texas had the most participants (7.9 million) while Mississippi had the least (1.6 million).

Although coal is present in all ten states in the Gulf Coast region, it is only mined in Gulf Coast areas of Texas, Louisiana, and Mississippi. For this reason, the following subsections focus on these three states.

Figure 3.10-3. Gulf Coast Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

3.10.4.1 Louisiana Tourism and Recreation

The Louisiana portion of the Gulf Coast coal-producing region is located in the tourism regions designated by the Louisiana Official Tour Guide (Louisiana DCRT, 2013) as the Sportsman’s Paradise and Crossroads regions. The Sportsman’s Paradise region covers the northern part of the state and offers a diversity of wildlife in the longleaf pine forests, sprawling meadows, marshes, and lakes. This region includes attractions such as Poverty Point National Monument, Kisatchie National Forest, and state parks such as Chicot, Lake Claiborne, Chemin-A-Haut, and South Toledo Bend. The Crossroads region encompasses the central part of Louisiana and is a haven for water sports, fishing, hunting, birding, and horseback riding. The Toledo Bend Reservoir is noted for its bass fishing, boating, and water sports.

3.10.4.2 Mississippi Tourism and Recreation

The Mississippi portion of the Gulf Coast coal-producing region intersects all five of the tourism regions defined in the Mississippi Official Tour Guide (Mississippi Development Authority, 2013) and covers most of the state except for the southern portion of the Coastal Region and the eastern portion of the Hills Region. Mississippi offers superb fishing (saltwater and freshwater), hunting, golfing, camping, horseback riding, and wildlife viewing. Major recreational attractions include the Mississippi River bordering the western edge of the state; Leroy Percy, Wall Doxey, Clarkco, Hugh White, and Roosevelt State Parks; and the Pearl River State Wildlife Management Area and Pearl River State Waterfowl Refuge. The Natchez Trace Parkway follows the frontier route from Natchez to Nashville offering natural trails, recreation areas, and historic sites along the way.

3.10.4.3 Texas Tourism and Recreation

The Gulf Coast coal-producing region in Texas stretches from the Mexico border northeasterly to the Arkansas border in the tourism regions designated as the South Texas Plains, Prairie and Lakes, and Piney Woods in the Texas Travel Guide (Texas OEDT, 2013). This region covers much of the eastern portion of Texas except for the coastal region. Recreational areas in this region include the Sabine National Forest, Angelina National Forest, Davy Crockett National Forest, and Sam Houston National Forest. Recreational opportunities abound through the 60 state parks located within the South Texas Plains, Prairie and Lakes, and Piney Woods tourism regions.

3.10.5 Illinois Basin Region

The Illinois Basin coal-producing region includes portions of the states of Illinois, Indiana, and western Kentucky. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodations-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists the 2007 data for visitation, acreage and revenue generated by state parks in coal mining states.

Approximately 71,944 acres of national forest lands fall within the boundaries of the Illinois Basin coal-producing region, out of the 508,141 acres they encompass in total. Table I-18 in Appendix I provides information on the national forests in this region. The Middle Fork Vermilion Wild and Scenic River, the only designated wild and scenic river in this coal-producing region, is located in Illinois. Six NPS-managed facilities, encompassing 415,071 acres in total and 157,900 acres within the boundaries, occur partially or entirely in the Illinois Basin coal-producing region. Park-specific information is displayed in

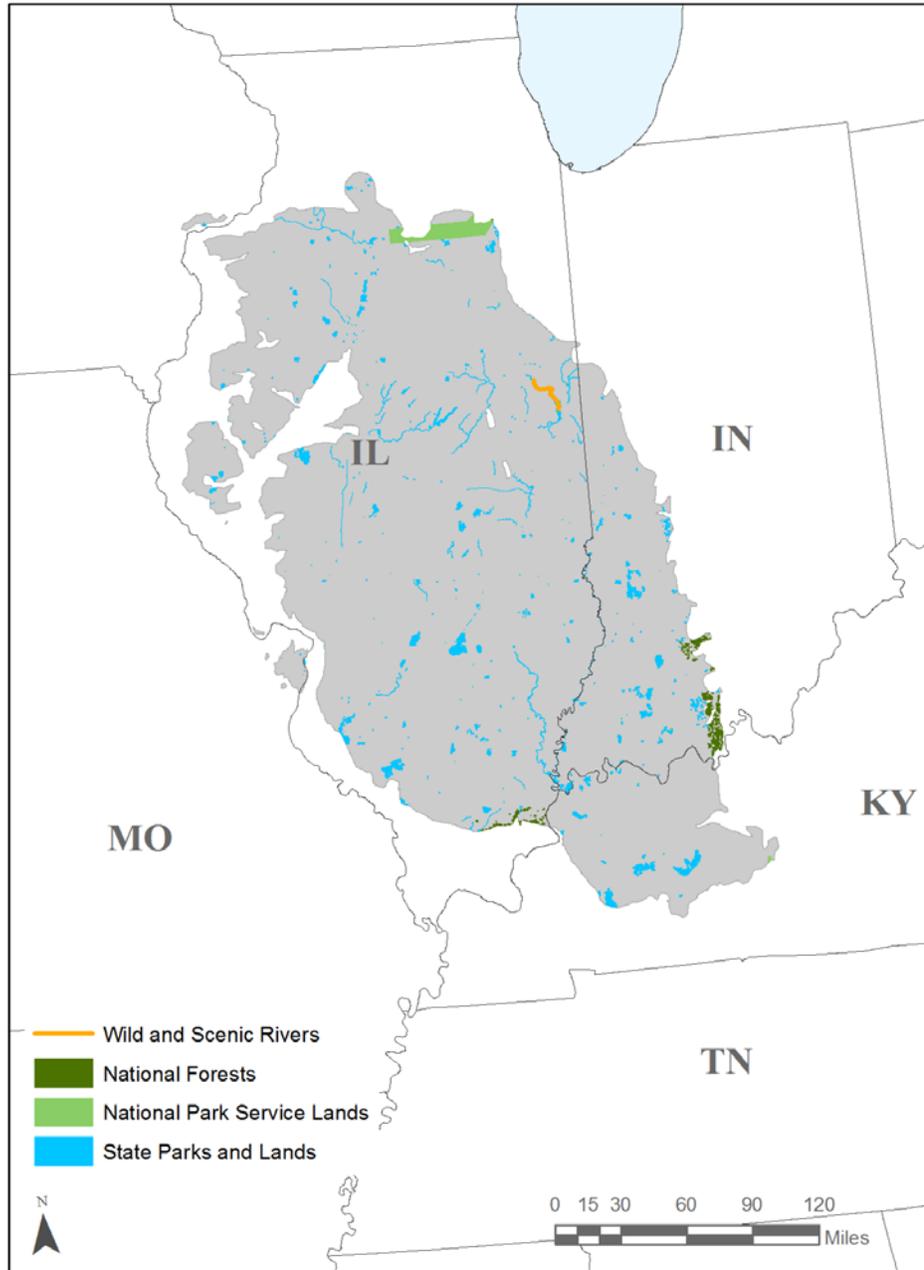
Table I-19 of Appendix I. A review of Table I-20 in Appendix I shows that 435 state-managed recreational facilities are located within this coal-producing region, with approximately 426,000 out of 489,000 acres occurring within the region boundaries. Figure 3.10-4 locates the only designated wild and scenic river in this region and depicts where the coal-producing region overlaps national and state parks and forests.

Relevant data from the FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-22 of Appendix I. The table also includes total expenditure data by state. For the activities included in the 2011 survey, Illinois had the most participants (4.6 million) and Kentucky had the least (2.2 million).

3.10.5.1 Illinois Tourism and Recreation

The Illinois Basin coal-producing region covers much of the state with the exception of the northern quarter. The tourism areas within the coal-producing region, as designated by the Illinois Travel Guide (Illinois Office of Tourism, 2013), are Land of Lincoln (central-east), Great Rivers Country (west and southwest), and Trails to Adventure (southeast). The Southern region contains the expansive Shawnee National Forest, Ferne Clyffe State Park, and Giant City State Park, among other attractions. The Shawnee National Forest offers over 300 miles of hiking, biking, and equestrian trails. The Southwest region offers Jefferson National Expansion Memorial, Kaskaskia River Wildlife Area, and Pyramid State Park and Recreation Area at nearly 20,000 acres, the largest in Illinois, is made up almost entirely of formerly surface coal mined lands, as recreational opportunities. The Central region is home to Hazlet State Park, Ramsey Lake State Park, Stephen A. Forbes State Park, and Wayne Fitzgerald State Park among several other recreation areas. The Western region is bounded on the west by the Mississippi River and on the east by the Illinois River. This region contains the Beaver Dam State Park and the Chautauqua National Wildlife Refuge.

Figure 3.10-4. Illinois Basin Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

3.10.5.2 Indiana Tourism and Recreation

The Indiana portion of the Illinois Basin coal-producing region includes the South and West tourism regions as designated by the Indiana Travel Guide (Indiana Office of Tourism Development (OTD), 2013). The South region offers boating, biking, camping, canoeing, caving, hiking, horseback riding, golfing, and water sports. The South region is bounded on the south by the Ohio River and includes Harmonie State Park, Angel Mounds State Memorial, Lincoln State Park, and the Hoosier National Forest among its recreational opportunities. The West region is home to Richard Lieber, Shades, Turkey Run, and Shakamak State Parks.

3.10.5.3 Kentucky Tourism and Recreation

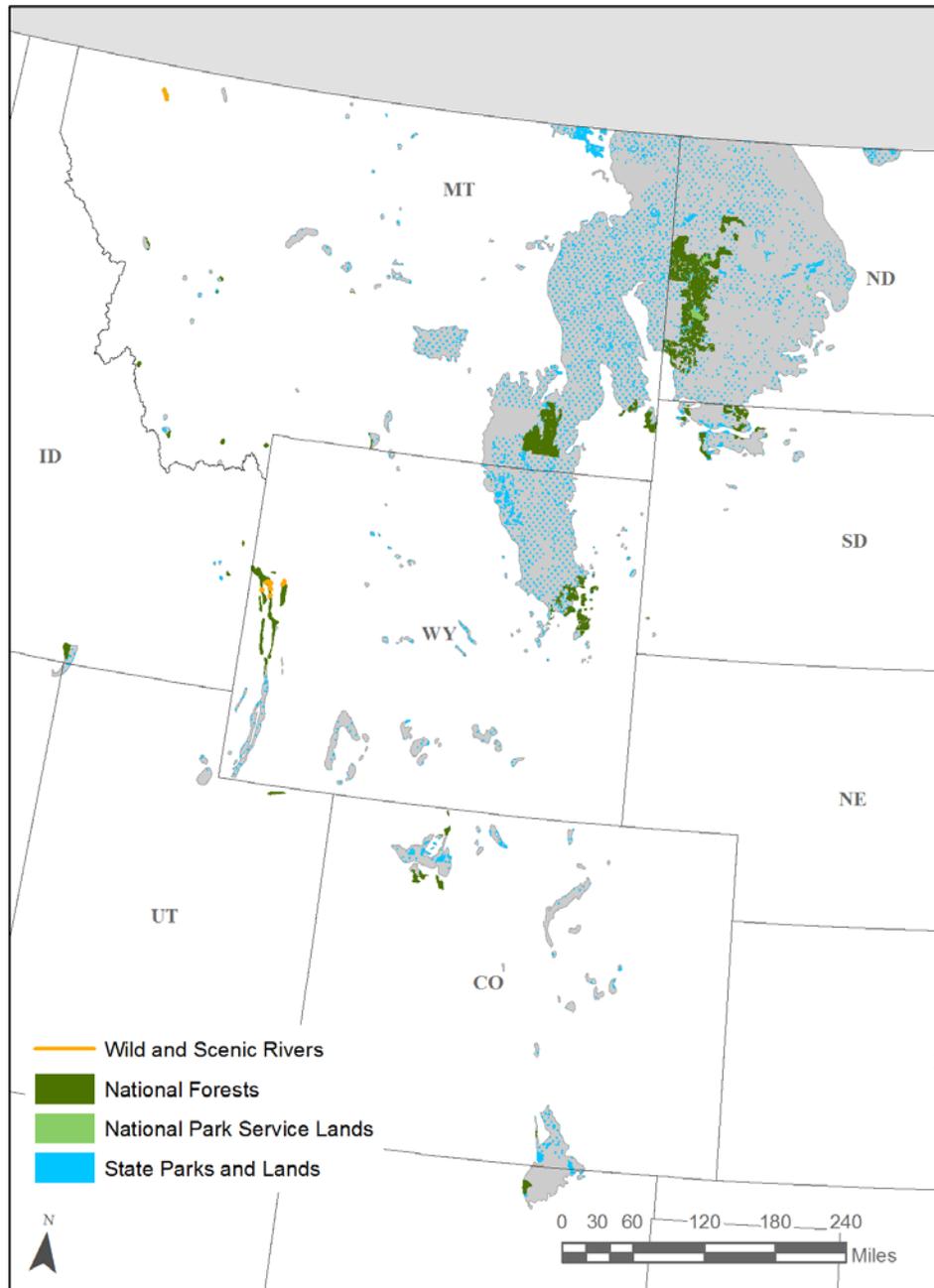
The Kentucky portion of the Illinois Basin coal-producing region is located in the tourism region designated by the Kentucky Official Visitor's Guide as the "Bluegrass Blues & BBQ Region" (Kentucky Department of Travel (DT), 2011). The area is bounded on the north by the Ohio River; the Green River splits the area, providing a source of recreational activities. Other outdoor recreational opportunities are available at Mammoth Cave National Park; several state parks (Pennyrile, John J. Audubon, Lake Malone, and Ben Hawes); and Sloughs Wildlife Management Area.

3.10.6 Northern Rocky Mountains and Great Plains Region

The Northern Rocky Mountains and Great Plains coal-producing region includes portions of the states of Colorado, Montana, North Dakota, Utah, and Wyoming. As discussed in Section 3.1, the vast majority of current coal production in the region occurs in Wyoming, with the remainder in Montana and North Dakota. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodations-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists the 2007 data for visitation, acreage, and revenue generated by state parks in coal mining states.

Approximately two million acres of national forest lands fall within the boundaries of the Northern Rocky Mountains and Great Plains coal-producing region. Table I-23 in Appendix I provides information on the national forests in this region, including their total acreage of approximately 38.6 million. Four national park managed facilities occur partially or entirely within the region, with 81,465 acres of their 1.1 million acre total falling within the region boundaries. Park-specific information is displayed in Table I-24 of Appendix I. A review of Table I-25 in Appendix I shows that 167 state-managed recreational facilities are located within this coal-producing region, totaling approximately 2 million acres within the region boundaries out of 21 million acres total. Figure 3.10-5 locates the designated wild and scenic rivers in this region and depicts where the coal-producing region overlaps national and state parks and forests. Table I-26 in Appendix I provides information on each of the identified wild and scenic rivers located within the Northern Rocky Mountains and Great Plains coal-producing region.

Figure 3.10-5. Northern Rocky Mountains and Great Plains Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Data from the U.S. FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-25 of Appendix I. The table also includes total expenditure data by state. For the activities included in the 2011 survey, North Dakota had the most participants (5.4 million) within this region and Wyoming had the least (0.95 million).

Although coal is present in all five states included in the Northern Rocky Mountains and Great Plains coal-producing region, it is only mined in the states of Montana, North Dakota, and Wyoming. For this reason, the following discussions focus on these three states.

3.10.6.1 Montana Tourism and Recreation

The coal-producing region in Montana intersects the tourism regions designated by the 2011 Montana Travel Planner (Montana Office of Tourism (OT), 2013) as Southeast Montana, Missouri River Country (in the northeast), and Central Montana. Central Montana features many streams and lakes, and Lake Elwell offers excellent year-round fishing for walleye, northern pike, native trout, and more. Central Montana is also home to the Upper Missouri National Wild and Scenic River, Nez Perce National Historical Site, Ackley State Park, and Sluice Boxes State Park (Montana OT, 2013). Missouri River Country boasts Fort Peck Lake with over 1,500 miles of shoreline and excellent walleye, smallmouth bass, and Chinook salmon fishing. The surrounding Charles M. Russell National Wildlife Refuge is popular with anglers as well. Missouri River Country offers world class dinosaur fossil finds and is home to the Fort Belknap and Fort Peck Indian Reservations. Southeast Montana contains the Crow Indian and Northern Cheyenne Indian Reservations, Medicine Rocks, Pirogue Island, and Rosebud Battlefield State Parks, and the Custer National Forest. The Yellowstone River is the longest free-flowing river outside of Alaska.

3.10.6.2 North Dakota Tourism and Recreation

The Northern Rocky Mountains and Great Plains coal-producing region in North Dakota is located in the western third of the state. This region is home to the North Dakota Badlands, Theodore Roosevelt National Park, Little Missouri, Lake Sakakawea, and Sully Creek State Parks (NPS, 2013b; North Dakota Parks and Recreation Department (PRD), 2013). The west region offers hiking, biking, snowshoeing, cross country skiing, and horseback riding opportunities on its many trails. Fishing is available year-round, on both water and ice.

3.10.6.3 Wyoming Tourism and Recreation

The coal-producing region in Wyoming is spread throughout the state, although few reserves are located in the Southeast Wyoming. Northwest Wyoming is home to Yellowstone and Grand Teton National Parks. Northeast Wyoming is home to the Black Hills National Forest, Devil's Tower National Monument, and the Thunder Basin National Grassland. A major tourist attraction in the Southwest Wyoming is Flaming Gorge Reservoir and Flaming Gorge National Recreation Area (Wyoming OT, 2013).

3.10.7 Northwest Region

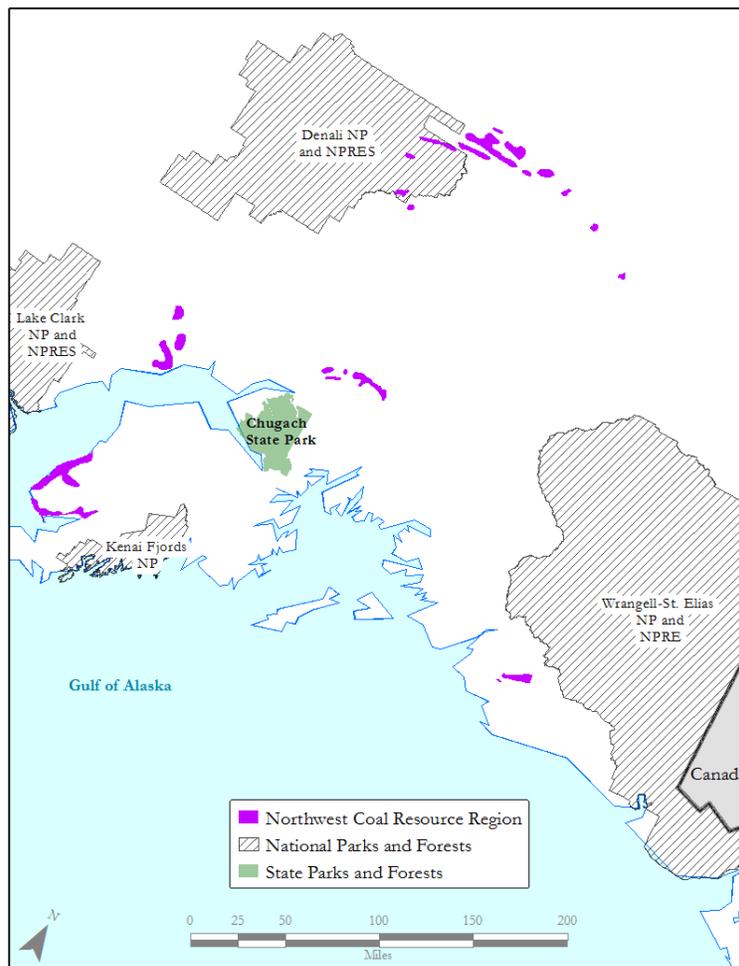
The Northwest coal-producing region includes portions of the states of Alaska, Washington, and Oregon. Although coal is present in each of these states, the only reasonably foreseeable coal mining in the region is in the state of Alaska. For this reason, the following discussions focus only on Alaska. Table I-2 in

Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodations-related jobs, payroll, and per capita expenditures for Alaska. Table I-3 in Appendix I lists the 2007 data for visitation, acreage, and revenue generated by Alaska state parks.

The Charley Wild and Scenic River is located in this region. The Denali National Park intersects the study area with approximately 33,000 of its 4.7 million acres occurring actually within the boundaries of the region as defined. Park-specific information is displayed in Table I-28 of Appendix I. A review of Table I-30 in Appendix I shows that two state-managed recreational properties intersect the boundaries of the region, of which 37,553 acres occur within the defined region (out of 2,433,203 in total). See Figure 3.10.10-6.

Relevant data from the U.S. FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-29 of Appendix I. The table also includes total expenditure data for Alaska. For the activities included in the 2006 survey, Alaska had 0.9 million participants.

Figure 3.10-6. Northwest Region National and State Recreation Areas



Source: ESRI, 2015

3.10.7.1 Alaska Tourism and Recreation

The Alaska coal-producing region occurs in the tourism regions designated by the TravelAlaska.com (State of Alaska, 2013) as the Southcentral, Interior, and Far North. The coal fields in the Southcentral region fall mostly on the Kenai Peninsula and nearby areas, just south of Anchorage, but are not currently active. The Kenai Peninsula is known as “Alaska’s Playground” and offers wildlife, cultural attractions, and fishing. The peninsula spans the Chugach National Forest and is home to Kachemak State Park, Kenai Fjords National Park, Kenai National Wildlife Refuge, and the Exit Glacier. There are 433 miles of trails and 150 miles of canoe trails available for recreational use. The Interior region is home to the only active coal mining in Alaska. The Interior region features the Yukon-Charley Rivers National Preserve and Denali National Park and Preserve. Recreational opportunities include hiking, rock climbing, ice climbing, photography, wildlife viewing, nature walks, horseback riding, river excursions, hunting, and fishing. The North Slope coal fields, immense in size and located within the Far North Region, are also inactive. The Far North region offers backpacking and river excursions in the Kobuk Valley National Park, Noatak National Preserve, Selawik National Wildlife Refuge, Gates of the Arctic National Park and Preserve, and the Arctic National Wildlife Refuge.

3.10.8 Western Interior Region

The Western Interior coal-producing region includes portions of the states of Arkansas, Iowa, Kansas, Missouri, Nebraska, Oklahoma, and Texas. As discussed in Section 3.1, the vast majority of current coal production in the region occurs in Oklahoma, with the remainder in Arkansas, Kansas, and Missouri. Table I-2 in Appendix I lists the economic contribution of the tourism and recreation industry as well as food service and accommodations-related jobs, payroll, and per capita expenditures for each of these states. Table I-3 in Appendix I lists the 2007 data for visitation, acreage, and revenue generated by state parks in coal mining states.

Approximately 48,535 acres of national forest lands fall within the boundaries of the Western Interior coal-producing region, with these areas occupying over 4 million acres in total. Table I-31 in Appendix I provides information on the national forests in this region. The USFS manages the two designated wild and scenic rivers in this region, both of which are located in Arkansas. Three National Park Service managed historic sites, for a total of 61 acres; occur within the defined area of the Western Interior coal-producing region. Park-specific information is displayed in Table I-32 of Appendix I. A review of Table I-33 in Appendix I shows that 507 state-managed recreational and conservation facilities are located within this coal-producing region, with approximately 0.8 million of the 3.8 million acres occurring within the boundaries of the region as defined for this EIS. Figure 3.10-7 locates the designated wild and scenic rivers in this region and depicts where the coal-producing region overlaps national and state parks and forests.

Relevant data from the U.S. FWS 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation (U.S. FWS, 2011c) is provided in Table I-35 of Appendix I. The table also includes total expenditure data by state. For the activities included in the 2006 survey, Missouri had the most participants (3.9 million) and Kansas had the fewest (1.5 million).

Although coal is present in all seven states included in the Western Interior coal-producing region, it is only mined in four of these states: Arkansas, Kansas, Missouri, and Oklahoma. For this reason, the following discussion focuses on these four states.

Figure 3.10-7. Western Interior Region National and State Recreation Areas



Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

3.10.8.1 Arkansas Tourism and Recreation

The Arkansas portion of the Western Interior coal-producing region lies within two recreational regions, the River Valley Region and the Ouachita Region, as designated by the Arkansas Tour guide (Arkansas Department of Parks and Tourism (DPT), 2013). The River Valley Region offers a number of recreational opportunities. This area is known as Arkansas's wine country. The Fort Smith National Historic Site offers a glimpse into the colorful history of the Old West. The Ouachita Region is a popular destination known for its beautiful scenery. Visitors to these regions of Arkansas can enjoy many outdoor recreational activities such as rafting, kayaking, boating, fishing, swimming, camping, and hiking.

3.10.8.2 Kansas Tourism and Recreation

The Kansas portion of the Western Interior coal-producing region covers most of the eastern quarter of the state, but represents a very low amount of production. This portion of Kansas lies in the recreational regions designated by the Kansas Official Travel Guide 2013 (Kansas Department of Wildlife, Parks & Tourism (DWPT), 2013) as the Eastern Wooded Hills and Flint Hills Regions. The Santa Fe and Oregon Trails traverse these regions. Recreational opportunities include fishing, hunting, golfing, and boating. Recreational areas located near Bourbon and Linn Counties include the Fort Scott National Historical Site, Crawford, and Massacre Memorial State Parks.

3.10.8.3 Missouri Tourism and Recreation

The Missouri portion of the Western Interior coal-producing region includes most of the northwestern portion of the state; however, coal production is very minimal. This area lies mostly within the recreational region designated by the Missouri Official Travel Guide (Missouri Department of Economic Development (DED), 2013) as the Northwest region. This area of the state offers superb hunting, with deer, turkey, quail, pheasant, and waterfowl in abundance. The Missouri River traverses the area, providing water-related recreational activities. Recreational areas in the coal-producing region include the Harry S. Truman National Historical Site and the Knob Noster State Park.

3.10.8.4 Oklahoma Tourism and Recreation

The Oklahoma portion of the Western Interior coal-producing region is located in the east/northeastern part of the state, mostly within the recreational region designated by TravelOK.com (Oklahoma Tourism and Recreation Department (TRD), 2013) as Green Country (northeast). It also extends into the regions designated as Frontier Country (central) and Kiamichi Country (southeast). Green Country represents 18 counties in northeastern Oklahoma and includes 16 major lakes, along with green rolling hills and tall grass prairie. The Cimarron and Arkansas Rivers join west of Tulsa to form a large, man-made lake. Recreation opportunities in Oklahoma are focused in state parks. Many man-made lakes support boating, swimming, fishing, camping, and picnicking. Rafting, kayaking, hiking, backpacking, and mountain biking are popular activities enjoyed on the rivers and in the natural areas of the state. Recreation areas in the coal-producing region include the Fort Smith National Historical Site and Robbers Cave, Greenleaf Lake, and Fountainhead State Parks.

3.11 Visual Resources and Noise

3.11.1 Visual Resources

NEPA requires that measures be taken to “assure for all Americans ... aesthetically pleasing surroundings” (42 U.S.C. § 4331). Aesthetic or visual values are a matter of personal preference and are different for different observers. Visual resources include the physical characteristics that make up the visible and aesthetic landscape, including land, water, vegetation, and manmade features. Visual resources contribute to the feeling of community value and pride and can help to define the historic and cultural identity of a region. The natural and manmade visual resources of a region are often vital to tourism, and the aesthetic quality of a region can leave a lasting impression on visitors as well as residents.

In many of the coal-producing regions mining has resulted in altered visual landscapes. Substantial areas now have non-native or fragmented vegetation with modified landforms; exposed acidic soils and spoil piles are visible and are distinct from natural land contours; and mining related infrastructure such as buildings, rail spurs, and road systems are present in areas that otherwise are remote and have few structures. Coal mines dominate foreground and middle ground views in the affected viewsheds; background views generally depend on the status of reclamation activities and the perspective from a particular observation point.

Federal and state guidelines for visual resources concentrate on the quality of the physical landscape, public concern for scenic quality, and determining whether the affected land is visible from travel routes or observation points (U.S. BLM, 2012). These guidelines typically describe the affected visual environment by identifying key views, analyzing the resources and community responses. This then allows for characterization of visual impacts and development of mitigation measures.

While SMCRA does not explicitly require analysis of visual resources during the permitting process, there are provisions within SMCRA that identify specific circumstances in which visual resources are provided varying levels of protection and visual impacts must be considered. Under Section 522(e) unless a permit applicant demonstrates that they meet one of the specific exceptions, the applicant will not be permitted to conduct surface coal mining operations in any area designated by Congress as unsuitable for surface coal mining operations (30 U.S.C. § 1272(e)). Many of the designated areas are recognized as the Nation’s preeminent visual resources. For example, subject to limited exceptions, surface coal mining operations are not permitted within the boundaries of units of the National Park System, the National Wildlife Refuge Systems, the National System of Trails, the National Wilderness Preservation System, the Wild and Scenic Rivers System (including study rivers designated under Section 5(a) of the Wild and Scenic Rivers Act), and National Recreation Areas designated by Act of Congress (30 U.S.C. § 1272(e)(1)). Likewise, SMCRA allows mining within national forests only under limited circumstances and prohibits mining that would adversely affect any publicly owned park or place on the National Register of Historic Places or within 300 feet of a public park (30 U.S.C. § 1272(e)(2), (3), and (5)). See also 30 CFR Part 761.

30 CFR 761.11(c) specifies that if a proposed surface coal mining operation would have an adverse impact on a publicly owned park or place in the National Register of Historic Places, the proposed operation cannot be authorized unless both the SMCRA regulatory authority and the agency with

jurisdiction over the park or place jointly approve the operation. In essence, if adverse impacts are identified, under 30 CFR 780.31(a) or 784.17(a) the applicant must prepare a plan to prevent adverse impact, or (if approved by both agencies) to minimize adverse impacts.

Section 522 of SMCRA also establishes a process for the designation of areas as unsuitable for surface coal mining operations (30 U.S.C. § 1272). For example, areas may be designated unsuitable if the operations would “affect fragile or historic lands in which such operations could result in significant damage to important historic, cultural, scientific, and esthetic values and natural systems” (30 U.S.C. § 1272(a)(3)(B)). Such “fragile or historic lands” might include recreational resources (see also 30 CFR Part 762). Under Section 522(b) of SMCRA, all federal lands must be evaluated using the unsuitability criteria listed in that section (30 U.S.C. § 1272(b)). Finally, SMCRA allows anyone with an interest that is or may be adversely affected to petition the appropriate SMCRA regulatory authority to have certain lands, including fragile or historic lands, designated unsuitable for mining under the unsuitability criteria (30 U.S.C. § 1272(c); see also 30 CFR Parts 764 and 769).

Substantial BLM landholdings exist within some of the coal-producing regions. These BLM managed lands include lands subject to mineral leasing for coal, natural gas, or other minerals. The affected visual environment within these lands includes evidence of these activities interspersed with natural landscapes. However, BLM ensures that scenic values of these public lands are considered during the planning process through its visual resource management (VRM) system. The VRM system involves inventorying scenic values and establishing management objectives for those values through the resource management planning process, and then evaluating proposed activities to determine whether they conform to the management objectives (U.S. BLM, 2012).

3.11.2 Visual Resources by Region

3.11.2.1 Appalachian Basin Region

The Appalachian Basin coal-producing region includes parts of Pennsylvania, Ohio, Maryland, West Virginia, Virginia, eastern Kentucky, eastern Tennessee, and northern Alabama. The rugged terrain of the region is generally characterized by steep mountain slopes, confined river valleys, and narrow ridge tops. Mixed hardwood forests are prevalent throughout the region. Settlement patterns in the Appalachian Basin region were constrained by the dominant topographic features of the area such as rivers, streams, mountains, and valleys. Communities settled along rivers and within valleys primarily for transportation and agricultural purposes, and current road and rail transportation networks generally follow the network of streams. The natural environment is the key defining feature of the region (U.S. EPA et al., 2003). As described in Section 3.10 (Recreation), the tourism and recreation industries are highly dependent on the region’s natural resources and scenic beauty.

Coal mining has had a pronounced influence on the visual resources within the region. Substantial areas now have non-native or fragmented vegetation with modified landforms; exposed acidic soils, and spoil piles are visible and are distinct from natural land contours. Both surface and underground mining have occurred in various locations throughout the region. Surface mining in the region has had temporary and permanent impacts on visual resources.

3.11.2.2 Colorado Plateau Region

The Colorado Plateau coal-producing region is located in the states of Arizona, Utah, Colorado, and New Mexico, encompassing approximately 150,000 square miles (388,500 square kilometers). The region is characterized by broad plateaus, volcanic intrusions and mountains at elevations of approximately 5,000 to 13,000 feet (1,520 to 3,960 meters), and deeply dissected canyons lined with sedimentary and volcanic rocks that provide striking visual vistas, including the Grand Canyon of the Colorado River (The Columbia Encyclopedia, 2013).

As discussed in Section 3.10, the region is popular with residents and tourists for its natural and historic recreational resources. Among the resources located within this region are numerous National Parks and monuments, and many ski resorts and destination resorts such as Aspen and Vail in Colorado and Park City in Utah. Resident and non-resident tourists are drawn to the many visual, cultural, and natural amenities found throughout the region.

Agricultural activity is a primary land use on the plains in the region, with agricultural lands consisting of croplands and grazing lands for livestock. In addition to coal, other diverse materials ranging from salt and gypsum to copper and gold are mined (USGS, 2013c). Communities within and around this coal-producing region were founded to support agriculture, mining, and transportation. These industries have become a part of the visual landscape of the region. The region also includes lands and resources owned and/or valued by Native American tribes.

Approximately 56.9 million acres of public lands in Colorado, Utah, New Mexico, and Arizona are managed under many different BLM offices and resource management plans (U.S. BLM, 2013d). Land is also managed by the U.S. Bureau of Reclamation (BOR) and the Bureau of Indian Affairs (BIA).

3.11.2.3 Gulf Coast Region

The Gulf Coast coal-producing region consists of lignite coal areas that spread from southern Texas eastward, primarily through the coal-producing areas of Louisiana and Mississippi. Extending into southern Alabama, the coal-producing region significantly diminishes in central Georgia and the Florida panhandle. This coal-producing region also extends northward up the Mississippi River embayment area to include much of eastern Arkansas into southeastern Missouri, extreme southern Illinois, and parts of far western Kentucky and Tennessee. While the southern edge of the coal region generally follows the arc of the coast, none of the region's operating mines are near the Gulf of Mexico or within visual distance of the coast. Although lignite is present in all 11 states included in this region, it is only mined in Texas, Louisiana, and Mississippi.

Visual resources within the region are varied. The landscape throughout Texas includes plains and prairies as well as oak and pine forests. Low, rolling hills exist within the coastal plains and are typical of the lignite mining areas of all three Gulf Coast mining states, with the mine areas of Louisiana and Mississippi being heavily forested.

3.11.2.4 Illinois Basin Region

The Illinois Basin coal-producing region includes 68 percent of Illinois as well as a portion of southwest Indiana and the bituminous coal area of western Kentucky. This region is a part of the Interior Plains of North America and is primarily flat, with expansive open crop and pastureland areas. In various parts of

the basin, forest land is a significant part of the visual landscape, particularly in the southern portions of both Illinois and western Indiana, where areas of greater topographic relief are present. The region is traversed by the Kaskaskia, Wabash, and Ohio rivers. There are a few small national park properties in the region, including the Lincoln Boyhood Memorial in Indiana, Mammoth Cave in Kentucky, and the Lincoln Home Historical Site in Illinois. This region has a higher population density than other coal regions, though most of the population centers of Illinois are not within the coal region of the Illinois Basin.

3.11.2.5 Northern Rocky Mountains and Great Plains Region

This region includes coal-producing areas in the states of Montana, Wyoming, North Dakota, and parts of Colorado and Utah. The topography generally is of low to moderate relief, with occasional buttes and mesas. The underlying bedrock in some areas is very erodible, which may result in heavily dissected topography. The general topographic gradient slopes down gently (generally southwest to northeast) with elevations ranging from 5,000 to 6,000 feet above mean sea level (AMSL) on the southern and western portions of the basin, to less than 4,000 feet AMSL on the north and northeast along the Montana state line. The Wyoming portion of the basin is bounded on the west by the Big Horn Mountains and the Casper Arch, on the south by the Laramie Mountains, on the southeast by the Hartville Uplift, and on the east by the Black Hills (U.S. BLM, 2005).

The Powder River Basin landscape is characterized by prairie grasslands, shrublands, forested areas, and riparian areas. Prairie grassland accounts a major component of the region, while sagebrush shrubland vegetation is widely distributed and also occupies a large proportion of the region. The primary vegetation communities impacted as a result of coal mine development have included mixed-grass and short-grass prairie and sagebrush shrublands. The species composition on the reclaimed land is different than surrounding undisturbed lands, particularly in regard to the percent of woody shrub species present during the years immediately following reclamation (U.S. BLM, 2005).

The BLM's Montana/Dakotas State Office manages 8.3 million acres of land and 47 million acres of mineral estate in Montana, North Dakota, and South Dakota (U.S. BLM, 2013a).

Because most of the coal in this region is managed by the BLM and subject to VRM requirements, visual resource assessment is included in environmental analysis in this region.

3.11.2.6 Northwest Region

While there is currently little mining activity ongoing in the region, the coal beds are located in areas with high scenic value. Within the state of Washington coal beds exist in the Columbia Plateau, between the Cascade Range to the west and the Rocky Mountains in Idaho, to the east. There are also coal resources on the western and eastern flanks of the Cascade Range from Canada into northern Oregon. Oregon's coal resources are primarily located in the west-central part of Coos County, a coastal area with an economy currently driven by forest products, tourism, fishing, and agriculture.

One mine is currently extracting coal in the state of Alaska. The mine is north of Denali National Park and Preserve (DNPP) in an area of remote, mountainous foothills near Healy, Alaska (Alaska DMLW, 2004; Alaska DMLW, 2013). The mine operation is approximately ten miles north of the DNPP entrance and the closest park borders and is not visible except from the highest elevations of DNPP. The

topography in the area is ranges from lowland interior plains 2,000 feet in elevation to Mount McKinley's southern peak at 20,320 feet, representing the highest point in North America. The coal operation is in the Nenana River valley, which is a sculpted U-shaped glacial valley with a broad floodplain. Scenic resources in the area are visible from multiple viewpoints including the George Parks Highway, the Alaska Railroad, and the Nenana River, all of which share the corridor through the Alaska Range. Transportation outside these established corridors is limited due to topographic constraints. Healy, Alaska is the largest town in the region, supporting a population of approximately 1,000 residents. Most residents are employed by activities connected to Usibelli coal mine, DNPP, and other recreation activities such as hiking, camping, fishing and hunting.

3.11.2.7 Western Interior Region

As a part of the Interior Plains of North America, this region includes the bituminous coal reserves of west-central Arkansas, central and southern Iowa, eastern Kansas, northwestern and central Missouri, southeastern Nebraska, eastern Oklahoma, and north-central Texas. Although coal is present in all seven states included in this region, it is not mined in Iowa and Nebraska. For this reason, further discussions focus on the Western Interior portions of the five coal-producing states.

Somewhat similar to the Illinois Basin coal-producing region, this region has a landscape that is primarily flat, with open crop and grass lands. The Oklahoma and Arkansas portions of this region have somewhat greater topographic relief, more extensive forest land cover, and may include greater visual resources. While historically this area was a large coal producer, coal mining has decreased significantly in the region. Most coal mining activities subject to SMCRA involve reclamation activities at inactive coal mining properties and the few scattered active mines remaining in this region.

3.11.2.8 Noise Environment

The ambient, or background, noise of a particular area is part of the human environment. Both natural and human produced sounds contribute to the ambient noise level. Ambient noise is discussed in this document as a resource because the proposed action has the potential to cause localized effects on ambient noise levels (as discussed later in Chapter 4). In some circumstances noise can dictate land use; extremely noisy areas are not conducive to residential development or placement of noise sensitive facilities such as schools and hospitals. In other circumstances relatively low levels of introduced noise are potentially of concern, for example on public lands where the natural quiet is a part of the context of the park and unwanted or unexpected sounds detract from the experience. In addition to the effects on humans, noise may be an issue of concern related to wildlife and domesticated animals due to the potential for disturbance.

Noise is a unique topic in that the boundaries of the affected area would change continuously (from an identical noise source at a fixed location the area affected would vary due to weather related variables). In this FEIS, the extent of the affected environment is defined by the boundaries of the area that would potentially be affected by noise associated with mining activities, including transportation routes associated with the mining. Noise has a limited travel distance; the affected environment for this resource would not likely include large areas beyond the immediate area of the activity.

In general, existing land uses can provide an expectation of ambient noise conditions. In rural settings ambient noise levels are typically lower. Rural areas are more likely to have a soundscape dominated by

natural sounds such as wind or surf with less frequent additions of human noise. Intermittent noise from vehicles is a component of the affected environment in most areas, from roadway traffic to farm equipment use. However, in these settings with relatively low ambient noise levels the addition of a human produced sound may be more noticeable than in an urban environment. In relatively urbanized areas the soundscape would be dominated by human produced sounds; the additional noise associated with coal mining activities may not produce a new affected area if the additional noise is masked by those already present.

As discussed in more detail in Section 3.11.2, SMCRA prohibits surface coal mining operations within the boundaries of many types of public lands, any publically owned park or place on the National Register of Historic Places, or within 300 feet of a public park. While these lands might be part of the affected environment for other resources, for example visual resources because mining activities might be visible from a long distance, these areas are unlikely to be part of the affected environment for noise from coal mining activity due to the characteristics of sound travel and the protections within SMCRA.

3.12 Utilities and Infrastructure

This section describes two key aspects of infrastructure that are important to coal mining operations: transportation and electrical utilities. The discussion first provides an overview of these infrastructure elements and reviews relevant regulations. The remaining subsections examine the transportation infrastructure of each coal-producing region in greater detail.

3.12.1 Overview

3.12.1.1 Transportation Infrastructure Overview

Both suppliers and users rely on a variety of freight transportation modes to move coal. Coal is traditionally transported by more than one mode of freight transportation because of cost considerations, the location of the mine site, and/or the location of the customer. Rail, truck, and/or barge are the most common modes of coal transport in the U.S. Customers located at or near coal mines may also use conveyor belts to transport the coal, but this method of transportation accounts for less than seven percent of coal transport (National Energy Technology Laboratory (NETL), 2010). In multimodal coal transportation, the initial transportation mode from the mine site is not always the primary mode of coal transportation. For example, coal shipments arriving by rail to a customer are normally hauled to or away from a railroad site by truck. Similarly, coal hauled by barge is transported to or away from river terminals by truck, rail, or conveyor. Approximately 70 percent of U.S. coal is transported to market by train for at least part of its trip; waterborne (river barge) deliveries account for 12 percent of shipments, and truck deliveries account for 11 percent of shipments (2012 estimates) (U.S. Energy Information Administration (EIA) *Domestic Distribution of U. S. Coal By Origin State, Consumer, Destination, and Method of Transport Quarterly Reports, 2012*) (U.S. EIA, 2012d).¹⁰

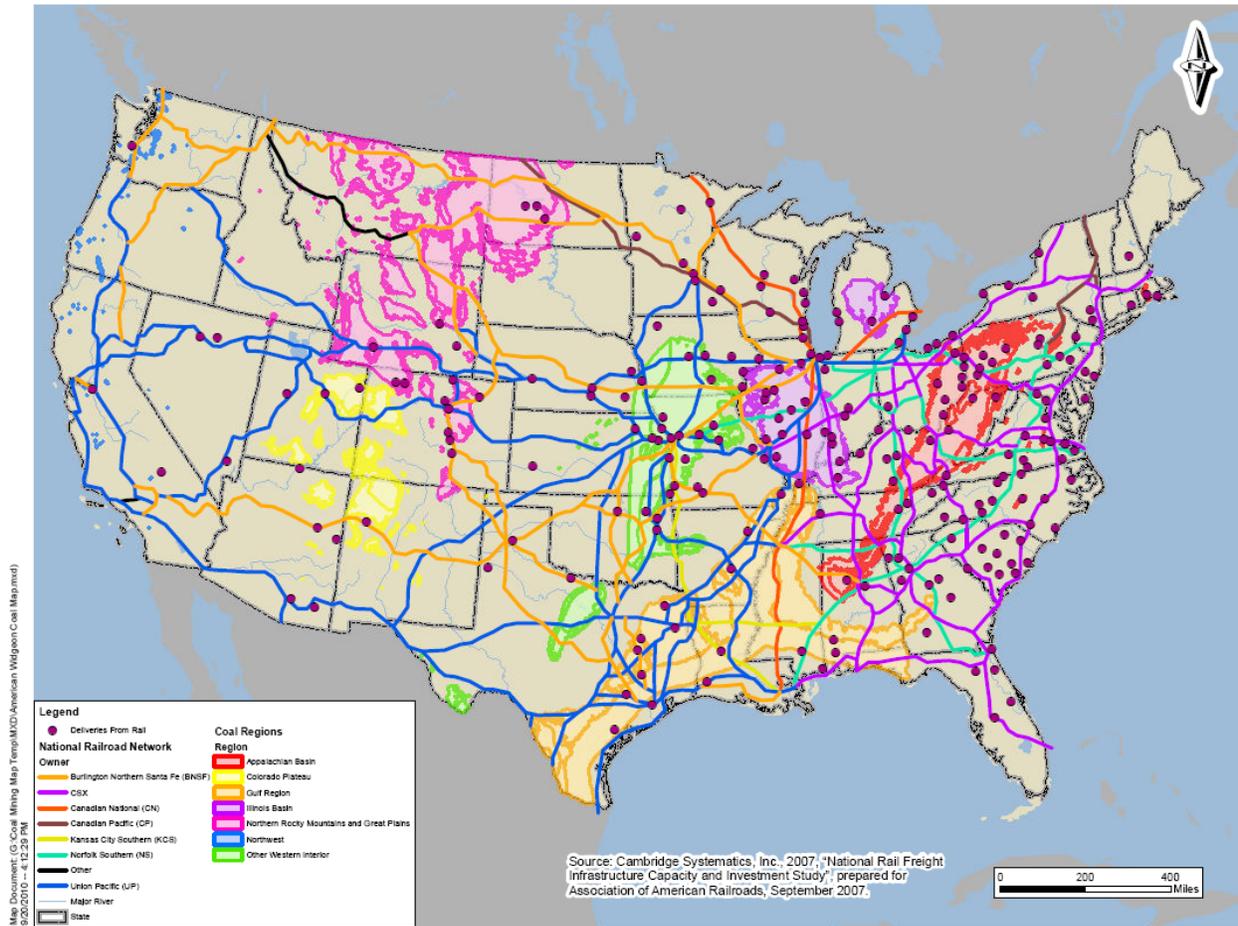
¹⁰ We have not updated the data presented in the DEIS for the sections to follow because as of February 24, 2016 the percentages of transportation by method had changed only slightly. See the U.S. EIA article “Rail continues to dominate coal shipments to the power sector,” accessed September 2016,

<http://www.eia.gov/todayinenergy/detail.cfm?id=25092#>

3.12.1.1.1 Rail

As shown in Figure 3.12-1, four principal coal hauling railroads currently operate in the U.S.: Burlington Northern Santa Fe (BNSF), Union Pacific (UP), CSX, and Norfolk Southern (NS). BNSF and UP primarily operate west of the Mississippi River, while CSX and NS primarily provide service east of the Mississippi River (NETL, 2010). Growth in the volume and tonnage of rail traffic is expected to be considerable; the U.S. Department of Transportation (U.S. DOT) estimates that demand for rail freight transportation will increase by 88 percent over current tonnage by 2035. The *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) projects rail volumes both with and without infrastructure improvements and investments required for the railroads to carry the freight tonnage forecast by the U.S. DOT. Projected rail volumes from this study are discussed in this section.

Figure 3.12-1. National Rail Freight Network with Coal-Fired Power Plants

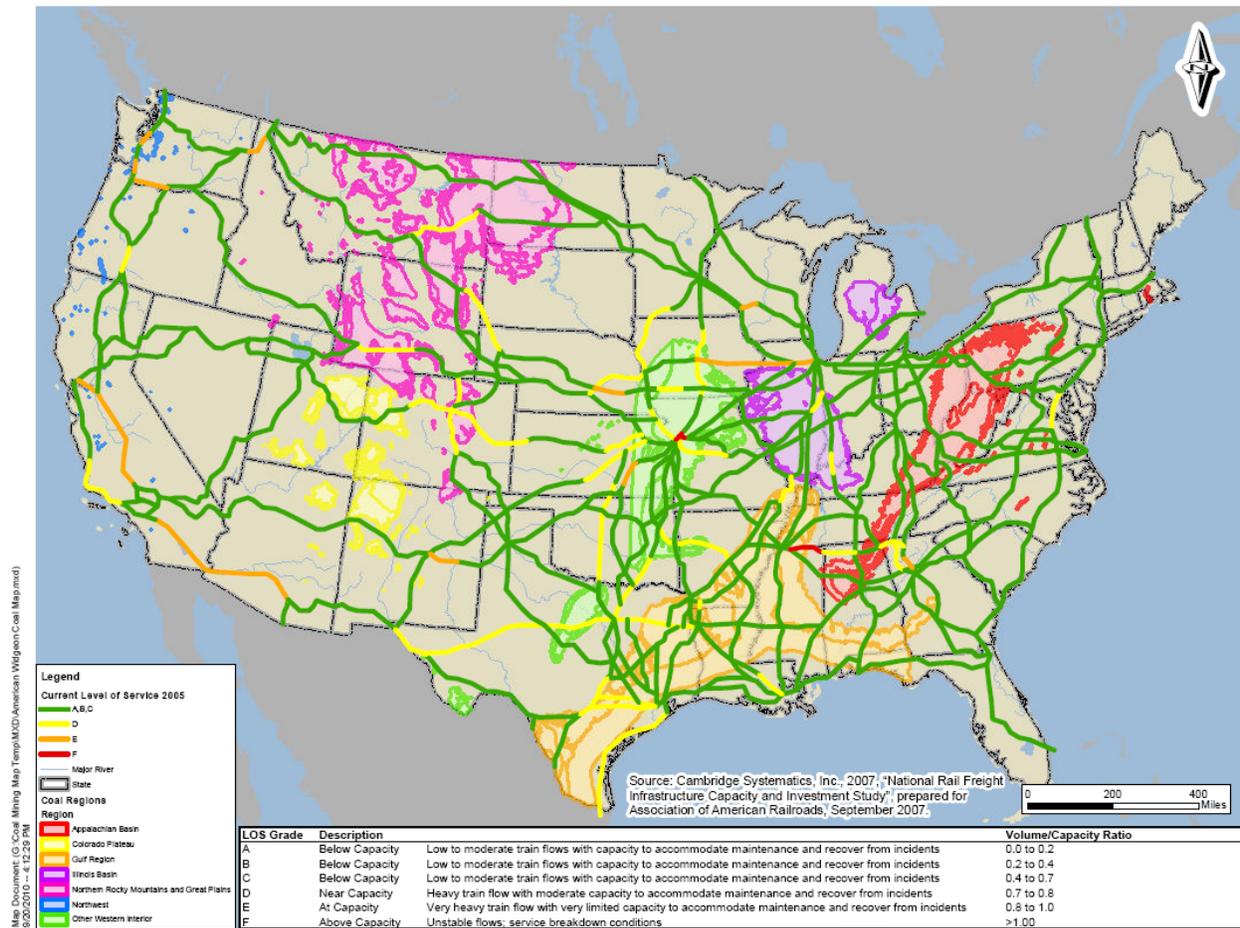


Sources: Cambridge Systematics, 2007. Figure 4.1: National Rail Freight Network and Primary Rail Freight Corridors. http://www.camsys.com/pubs/AAR_Nat_%20Rail_Cap_Study.pdf
 NETL, 2010. Figure 12: U.S. Coal Fired Power Plants with Rail Delivery of Coal, 2008. U.S. Department of Energy. <http://www.netl.doe.gov/File%20Library/Research/Energy%20Analysis/Publications/DOE-NETL-403-081709-OvervUSCoalSupplyandInfrastructure-071210.pdf>
 USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

CSX is the largest coal hauling railroad in the eastern U.S., serving more than 130 mines in nine states at the time the 2007 report was prepared. Primary markets for CSX coal shipments are power plants in the Northeast and Southeast (NETL, 2010).

Figures 3.12-2 and 3.12-3 depict the regional areas of constraint within the current and future freight rail system. If railroads cannot meet transportation needs in 2035, then freight will be shed to trucks and an already heavily congested highway system. Conversely, if trucks cannot carry their share in 2035, then freight would be shifted to rail.

Figure 3.12-2. Current Level of Rail Service, 2005

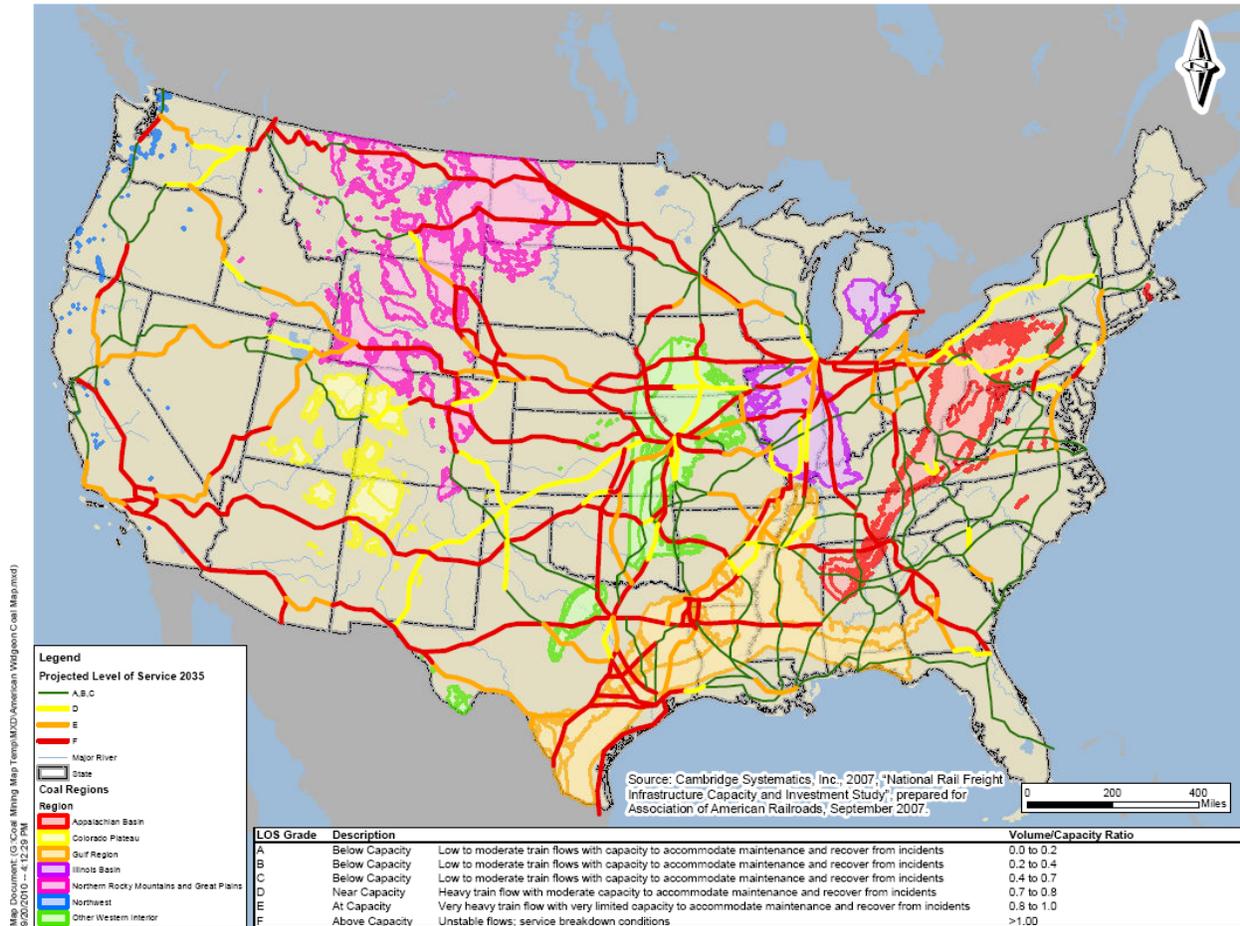


Source: Cambridge Systematics, 2007. Figure A.2: 2005 and 2035 Train Volumes Compared to Current Train Capacity.

http://www.camsys.com/pubs/AAR_Nat_%20Rail_Cap_Study.pdf

USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

Figure 3.12-3. Projected Level of Rail Service, 2035



Source: Cambridge Systematics, 2007. Figure A.2: 2005 and 2035 Train Volumes Compared to Current Train Capacity.

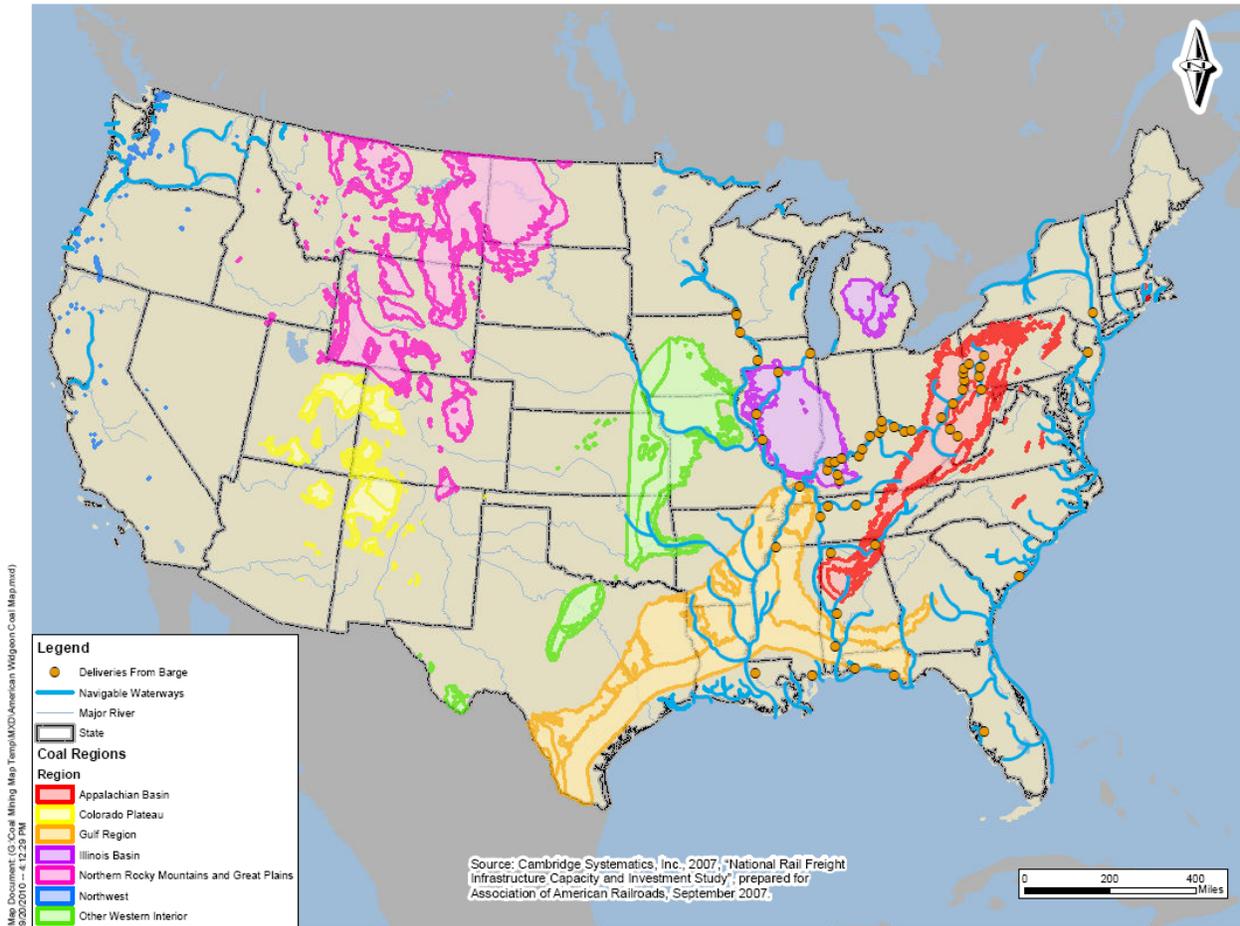
http://www.camsys.com/pubs/AAR_Nat_%20Rail_Cap_Study.pdf

USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.12.1.1.2 Barge

According to the 2010 National Energy Technology Laboratory report (NETL, 2010), approximately 70 electric power plants are located along the U.S. inland water system. These locations are accessible by barge, which can be an efficient and inexpensive method of transportation. Most of these plants are located along the Ohio River and its tributaries, or the Mississippi River, while a few plants are located along the Gulf or Atlantic coasts. Figure 3.12-4 shows the location of coal-fired power plants with barge access.

Figure 3.12-4. Coal-Fired Power Plants with Barge Access

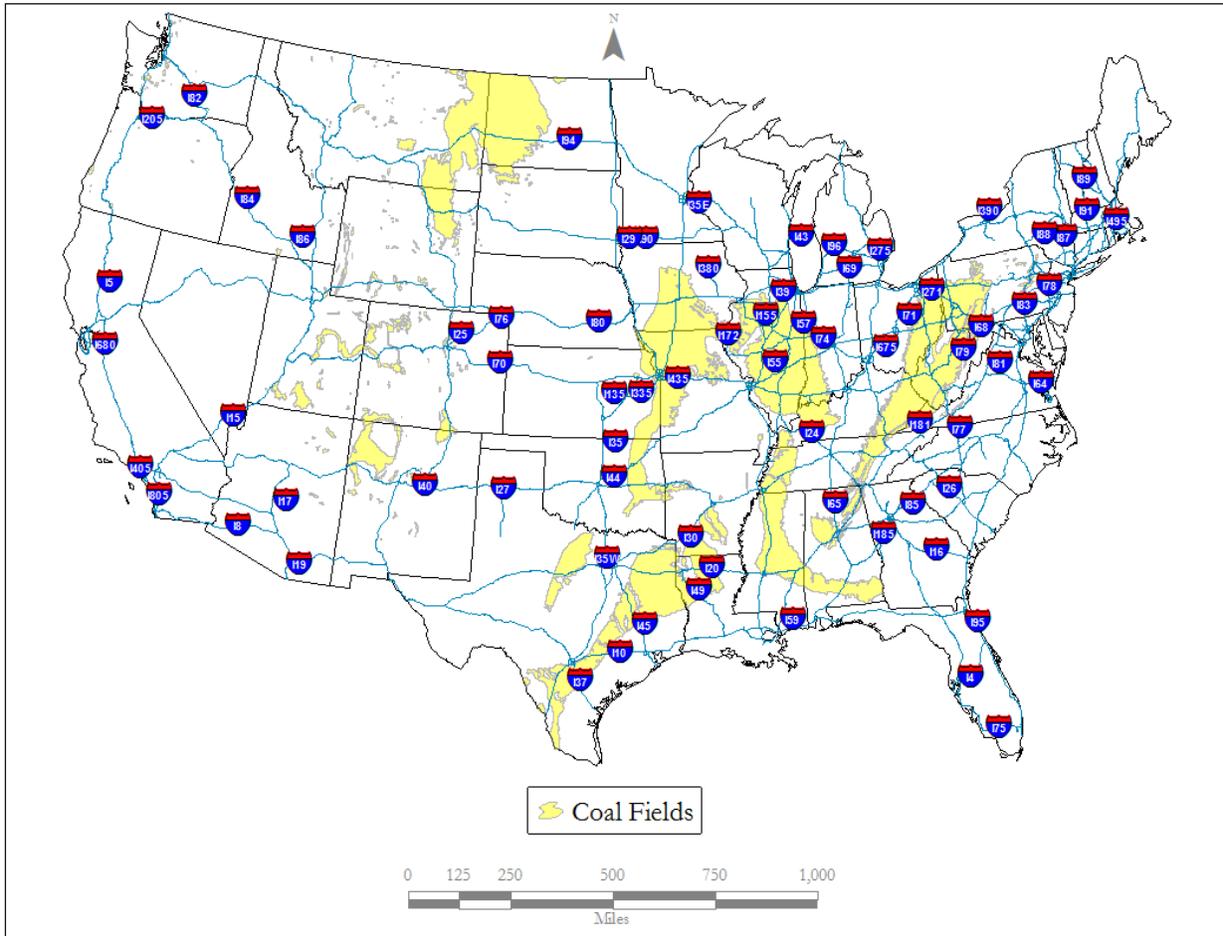


Source: NETL, 2010. Figure 15: U.S. Coal Fired Power Plants with Barge Delivery of Coal, 2008, U.S. Department of Energy. <http://www.netl.doe.gov/File%20Library/Research/Energy%20Analysis/Publications/DOE-NETL-403-081709-OverViewUSCoalSupplyandInfrastructure-071210.pdf>
USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.12.1.1.3 Roadways

Public highways and roads are frequently used to transport coal for a portion of the trip. Figure 3.12-5 depicts the major interstate highway system. The distance travelled by coal haul trucks varies based upon overall transport distance and the ultimate destination. Longer distances are frequently combined with other transport modes to minimize costs. Bridges and pavement in the Interstate Highway System are typically designed to allow 80,000 pounds gross vehicle weight (GVW) to travel long distances without reconfiguring. State and local authorities frequently monitor the weight of the freight vehicles, particularly with respect to the equivalent single axle load (ESAL). Kentucky and West Virginia have designated coal haul routes for which the weight of permitted vehicles is greater, typically 120,000 pounds GVW (West Virginia DOH, 2012).

Figure 3.12-5. Map of U.S. Interstate Highway System



Source: Environmental Systems Research Institute (ESRI), 2009. ESRI StreetMap Premium, ArcGIS Resource Center.

<http://www.esri.com/data/streetmap>

USGS, 2011a, *Coal Fields*, U.S. DOI, <http://nationalatlas.gov/atlasftp.html?openChapters=chpgeol#chpgeol>

3.12.1.1.4 Other Modes

Less predominant means of coal transport also are used, including, but not limited to, the Great Lakes, Tidewater Piers, and Tramway/Conveyor/Slurry Pipelines. These other modes of transport are typically limited to a specific site or region but accounted for approximately seven percent of coal transport during 2012 (U.S. EIA, 2012d).

3.12.1.2 Electric Utilities Overview

Electricity in the U.S. is produced from a number of sources. The EIA's Electric Power Monthly 2013 (U.S. EIA, 2013f) data identifies fifteen different sources for production of electricity. Electricity produced from coal is the largest single production source in the country. In July, 2013, 38.9 percent (153,330 thousand megawatt hours) of the Nation's electricity was produced from coal. A total of 83,466,000 tons of coal were used to produce this electricity (U.S. EIA, 2013f). According to the EIA, in

July 2013, the national average retail price across all sectors of the economy for electricity was 10.71 cents per kilowatt hour. In June of 2011, an estimated 93 percent of the coal mined in this country was used to produce electricity (U.S. EIA, 013f).

The EIA’s Annual Energy Outlook 2013 (U.S. EIA, 2013c) data includes projections for electricity demand and cost through 2040. The EIA predictions show that between 2011 and 2040, the production of electricity will increase at approximately 0.9 percent per year. In 2035, when adjusted to remove the effects of inflation, the national average retail price across all sectors of the economy for electricity is predicted to be between 10.1 and 11.9 cents per kilowatt hour.

Coal production, mining methods and cost of electricity to the consumer can vary greatly between regions. Variations in coal production and mining methods are discussed at length in Section 3.1 of this chapter. As production of coal from the 25 states within the coal-producing regions contributes over 38 percent of the energy required for the production of electricity in the Nation, the scope of the discussion of costs of electricity must include all regions within the U.S.

3.12.1.2.1 Regional Electricity Production and Costs

Given that coal is the dominant energy source for the production of electricity in the U.S., the scope of analysis of this issue must extend beyond the coal-producing states to include the country at large. This section will draw on the regional census division and state-specific information presented in the EIA’s Electric Power Monthly for July 2013, released in September 2013. Table 3.12-1 shows the total electricity production by state, total electricity production from coal by state, and average retail price of electricity across all sectors of the economy as of July 2013.

Table 3.12-1. Electricity Production and Costs by Region and by State

Census Division and State	Net Electricity Production by State - All Sectors (Thousand Megawatt hours)	Net Electricity Production From Coal by State - All Sectors (Thousand Megawatt hours)	Average Retail Price of Electricity - All Sectors (Cents per Kilowatt hour)
	July 2013	July 2013	July 2013
New England	12,545	857	14.25
Connecticut	3,488	96	15.55
Maine	1,267	2	11.90
Massachusetts	4,388	587	14.54
New Hampshire	1,961	172	14.14
Rhode Island	805	--	10.78
Vermont	637	--	14.46
Middle Atlantic	43,188	10,041	13.94
New Jersey	6,848	263	14.85
New York	14,329	613	17.08
Pennsylvania	22,010	9,165	10.08
East North Central	57,687	35,208	9.74
Illinois	18,075	7,812	8.12
Indiana	10,669	9,091	8.81
Michigan	9,906	5,371	11.99

Census Division and State	Net Electricity Production by State - All Sectors (Thousand Megawatt hours)	Net Electricity Production From Coal by State - All Sectors (Thousand Megawatt hours)	Average Retail Price of Electricity - All Sectors (Cents per Kilowatt hour)
	July 2013	July 2013	July 2013
Ohio	12,435	8,631	9.72
Wisconsin	6,602	4,302	11.13
West North Central	30,975	21,671	10.03
Iowa	5,195	3,509	9.10
Kansas	4,882	3,038	10.13
Minnesota	4,427	2,103	10.18
Missouri	8,922	7,467	10.77
Nebraska	3,480	2,581	9.79
North Dakota	3,131	2,627	8.61
South Dakota	939	256	9.40
South Atlantic	73,992	27,175	10.08
Delaware	977	220	10.80
District of Columbia	NM	0	12.01
Florida	21,014	4,701	10.36
Georgia	11,821	4,475	10.30
Maryland	3,923	1,865	12.02
North Carolina	12,394	4,974	9.56
South Carolina	9,088	2,178	9.57
Virginia	8,149	2,442	9.37
West Virginia	6,619	6,319	7.94
East South Central	34,191	15,395	9.21
Alabama	13,705	4,268	9.51
Kentucky	7,851	7,085	7.99
Mississippi	5,418	1,059	9.51
Tennessee	7,218	2,984	9.80
West South Central	66,300	23,589	8.94
Arkansas	5,671	3,207	8.31
Louisiana	9,747	1,957	8.43
Oklahoma	7,537	3,030	8.38
Texas	43,345	15,395	9.23
Mountain	37,007	18,329	10.02
Arizona	11,919	3,911	11.21
Colorado	5,127	3,136	10.40
Idaho	1,736	NM	8.22
Montana	2,327	1,083	8.85
Nevada	4,046	636	10.29
New Mexico	3,323	2,205	10.12
Utah	3,905	3,108	8.88
Wyoming	4,623	4,242	7.40
Pacific Contiguous	36,521	888	13.33
California	20,425	159	15.98
Oregon	4,819	6	8.43

Census Division and State	Net Electricity Production by State - All Sectors (Thousand Megawatt hours)	Net Electricity Production From Coal by State - All Sectors (Thousand Megawatt hours)	Average Retail Price of Electricity - All Sectors (Cents per Kilowatt hour)
	July 2013	July 2013	July 2013
Washington	11,277	723	6.98
Pacific Noncontiguous	1,349	178	26.87
Alaska	487	46	17.06
Hawaii	861	131	32.49
U.S. Total	393,753	153,330	10.71

Notes: Values for 2013 are preliminary. Totals may not equal sum of components because of independent rounding. Source: U.S. EIA, 2013g. Tables 1.6.A, 1.7.A, and 5.6.A; Electric Power Monthly, September 2013, with data for July 2013, U.S. Department of Energy.

NM = Not meaningful due to large relative standard error or excessive percentage change.

A review of data in Table 3.12-1 (above) shows that while the average retail price for electricity across all sectors of the U.S. economy was 10.71 cents per kilowatt hour, the regional and state variations in this price are quite wide. Washington is at the low end of the spectrum at 6.98 cents per kilowatt hour and Hawaii is at the high end at 32.49 cents per kilowatt hour. The data further reveals that on a state-by-state basis, coal is of widely varying importance to the production of electricity. On the low end of the spectrum, coal is not used to produce electricity in Vermont or Rhode Island while, on the high end of the spectrum, coal is used to produce 95 percent of the electricity generated in West Virginia.

3.12.2 Appalachian Basin Coal Region Transportation

The Appalachian Basin spans eight states: Maryland, Ohio, Pennsylvania, West Virginia, Kentucky, Virginia, Alabama, and Tennessee. It is subdivided into smaller coal regions: North, Central, and South, the distinguishing factor primarily being the sulfur content of the coal. Table 3.12-2 shows the number of short tons of coal originating in each of these states in the year 2012 (U.S. EIA, 2012d).

Table 3.12-2. Short Tons of Coal Originating in Appalachian Basin States in 2012

Short Tons by State (All Modes)	Total
Alabama	8,974,000
Kentucky (East)	35,598,000
Maryland	2,165,000
Ohio	28,702,000
Pennsylvania	39,071,000
Tennessee	1,276,000
Virginia	10,882,000
West Virginia	74,066,000
Total Short Tons Appalachian Basin	200,734,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-3 identifies the primary modes of coal transport and historic use of those modes within the Appalachian Basin, based on where the coal originates (U.S. EIA, 2012d).

Table 3.12-3. Primary Modes of Coal Transport by State – Appalachian Basin

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (approximate percentage of coal transported by mode by state of origin)
Appalachian Basin North		
Maryland	Rail	None
	Barge	None
	Road	100
	Other	None
Ohio	Rail	12
	Barge	70
	Road	18
	Other	0
Pennsylvania	Rail	54
	Barge	20
	Road	20
	Other	6
West Virginia	Rail	48
	Barge	39
	Road	5
	Other	8
Appalachian Basin Central North		
Kentucky (east)	Rail	85
	Barge	6
	Road	8
	Other	1
Virginia	Rail	75
	Barge	5
	Road	11
	Other	9
Appalachian Basin South		
Alabama	Rail	52
	Barge	28
	Road	20
	Other	None
Tennessee	Rail	97
	Barge	< 1
	Road	3
	Other	None

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

The eastern portion of Kentucky is considered to be part of the Appalachian Basin, while the western portion of Kentucky is considered to be part of the Illinois Basin (and the far western portion is in the Gulf region, but no coal is mined in that part of the state). For purposes of this report, transportation statistics have been generated by county. Statistics for Kentucky counties located within the Appalachian Basin are presented in this section, and statistics for Kentucky counties located within the Illinois Basin are presented below.

The transportation requirements of each mode within the Appalachian Basin are summarized below.

3.12.2.1 Rail Requirements

The *National Rail Freight Infrastructure Capacity and Investment Report* prepared for the Association of American Railroads by Cambridge Systematics (2007) provided an assessment of the long-term capacity expansion needs for continental U.S. freight railroads. The report included assessments of current and future demand for rail freight transportation through 2035. For the Appalachian Basin as a whole, train volumes from the year 2005 were below practical capacity (Level of Service (LOS) A, B, and C), with the exception of a small section of rail in northeastern Alabama/southern Tennessee that was near capacity (LOS D).

Without capital improvements, by 2035, it is estimated that the Appalachian Basin as a whole would be composed primarily of rail operating at LOS of A, B, and C (Cambridge Systematics, 2007). Without improvements, by 2035 some areas of west-central Pennsylvania and south-central Kentucky would be downgraded to LOS D (near capacity), and some areas in south-central Tennessee/northern Alabama would be downgraded to LOS F (over capacity). The study concluded that with improvements, the entire Appalachian Basin would be composed of rail operating at LOS A, B, and C, with the exception of a small section of rail in northeastern Alabama/southern Tennessee that would be operating at capacity (LOS E) (Cambridge Systematics, 2007).

The previously referenced the EIA's Quarterly Reports provide details of domestic distribution of U.S. coal by origin state, consumer, destination, and method of transport for the year 2012. Information provided in these reports included that quoted herein regarding usage of rail, barge, and roadway infrastructure for coal transportation in coal-producing regions. The information indicated that mines located in the eight states within the Appalachian Basin shipped nearly 105 million short tons of coal by rail in 2012. This represents approximately 17 percent of the total tonnage of coal shipped by rail nationwide in 2012.

3.12.2.2 Barge Requirements

Mines located in the eight states within the Appalachian Basin shipped nearly 62 million short tons of coal by river in 2012. This represents approximately 59 percent of the total short tons of coal shipped by river nationwide in 2012, making the Appalachian Basin the predominant user of river transportation.

3.12.2.3 Roadway Requirements

Mines located in the eight states within the Appalachian Basin shipped over 24 million short tons of coal by truck in 2012. This represents approximately 36 percent of the total short tons of coal shipped by truck nationwide in 2012.

3.12.3 Colorado Plateau Region Transportation

The Colorado Plateau spans four states: Arizona, Colorado, New Mexico, and Utah. Table 3.12-4 shows the number of short tons of coal originating in each of these states in the year 2012 (U.S. EIA, 2012d).

Table 3.12-4. Short Tons of Coal Originating in Colorado Plateau States in 2012

Short Tons by State (All Modes)	Total
Arizona	7,460,000
Colorado	20,595,000
New Mexico	22,941,000
Utah	15,264,000
Total Short Tons Colorado Plateau	66,260,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-5 identifies the primary modes of coal transport and historic use of those modes within the Colorado Plateau, based on where the coal originates (U.S. EIA, 2012d).

Table 3.12-5. Primary Modes of Coal Transport by State – Colorado Plateau

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (approximate percentage of coal transported by mode by state of origin)
Arizona	Rail	91
	Barge	None
	Road	9
	Other	None
Colorado	Rail	85
	Barge	0
	Road	14
	Other	1
New Mexico	Rail	40
	Barge	None
	Road	60
	Other	None
Utah	Rail	42
	Barge	None
	Road	44
	Other	14

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

The transportation requirements of each mode within the Colorado Plateau are summarized as follows.

3.12.3.1 Rail Requirements

Data within the *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) showed that within the Colorado Plateau as whole, train volumes for the year 2005 were below practical capacity (LOS A, B, and C) with the exception of northeastern Colorado, where rail was near capacity (LOS D).

Without capital improvements, it is estimated that the rail corridors bisecting New Mexico and Arizona and in northeastern Colorado and southwestern Utah will be operating at LOS F (over capacity) by 2035

(Cambridge Systematics, 2007). The study concluded that with improvements, the entire Colorado Plateau rail system would operate at LOS A, B, and C, with the exception of a small section of rail in southwestern New Mexico (outside the coal-producing region of New Mexico) that would be operating near capacity (LOS D).

Mines located in the four states within the Colorado Plateau shipped nearly 40 million short tons of coal by rail in 2012. This represents approximately seven percent of the total tonnage of coal shipped by rail nationwide in 2012. Within the Colorado Plateau, rail is the predominant mode of coal transport; more than 50 percent more coal is shipped by rail (40 million short tons) than by all other modes of transport in this region (26 million short tons).

3.12.3.2 Barge Requirements

Mines located in the four states within the Colorado Plateau did not record shipments of coal by river in 2012.

3.12.3.3 Roadway Requirements

Mines located in the four states within the Colorado Plateau shipped over 23 million short tons of coal by truck in 2012. This represents approximately 23 percent of the total short tons of coal shipped by truck nationwide in 2012.

3.12.4 Gulf Coast Region Transportation

Over 99 percent of current mining in the Gulf Coast region occurs within the states of Louisiana, Mississippi, and Texas. Table 3.12-6 shows the number of short tons of coal originating in each of these states in 2012.

Table 3.12-6. Short Tons of Coal Originating in Gulf Coast States in 2012

Short Tons by State (All Modes)	Total
Louisiana	3,961,000
Mississippi	3,185,000
Texas	43,215,000
Total Short Tons Gulf Coast	50,361,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-7 identifies the primary modes of coal transport and historic use of those modes within the Gulf Coast, based on where the coal originates (U.S. EIA, 2012d).

The transportation requirements of each mode within the Gulf Coast are summarized as follows.

Table 3.12-7. Primary Modes of Coal Transport by State – Gulf Coast

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (approximate percentage of coal transported by mode by state of origin)
Louisiana	Rail	None
	Barge	None
	Road	16
	Other	84
Mississippi	Rail	4
	Barge	None
	Road	96
	Other	None
Texas	Rail	35
	Barge	None
	Road	36
	Other	294

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

3.12.4.1 Rail Requirements

Data within the *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) showed that within the Gulf Coast, as a whole, train volumes from the year 2005 were already at capacity (LOS A, B, and C) or near capacity (LOS D). Areas of southwestern Texas and southwestern Louisiana contain the bulk of lines nearing capacity (LOS D). Areas in northern Mississippi/southwestern Tennessee are above capacity (LOS F).

Without capital improvements, it is estimated that most of the rail corridors along the Gulf Coast will be operating at LOS F (over capacity) by 2035 (Cambridge Systematics, 2007). The study concluded that with improvements, the entire Gulf Coast would be composed of rail operating at LOS A, B, and C (Cambridge Systematics, 2007).

Mines located in the three states top producing states within the Gulf Coast shipped over 15 million short tons of coal by rail in 2012, most of which originated in Texas. Approximately 120 thousand tons originated in Mississippi. This represents approximately two percent of the total tonnage of coal shipped by rail nationwide in 2012.

3.12.4.2 Barge Requirements

Mines located in the three top producing states within the Gulf Coast coal region did not record shipments of coal by river in 2012.

3.12.4.3 Roadway Requirements

Mines located in the three top producing Gulf Coast states shipped over 19 million short tons of coal by truck in 2012. This represents approximately 20 percent of the total short tons of coal shipped by truck nationwide in 2012. This is the preferred method of coal transportation in the Gulf Coast region.

3.12.5 Illinois Basin Region Transportation

The Illinois Basin spans three states: Illinois, Indiana, and western Kentucky. Table 3.12-8 shows the number of short tons of coal originating in each of these states in the year 2012.

Table 3.12-8. Short Tons of Coal Originating in Illinois Basin States in 2012

Short Tons by State (All Modes)	Total
Illinois	32,856,000
Indiana	34,983,000
Kentucky (West)	39,052,000
Total Short Tons Illinois Basin	106,891,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-9 identifies the primary modes of coal transport and historic use of those modes within the Illinois Basin, based on where the coal originates (U.S. EIA, 2012d).

The transportation requirements of each mode within the Illinois Basin are summarized as follows.

Table 3.12-9. Primary Modes of Coal Transport by State – Illinois Basin

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (Approximate percentage of coal transported by rail, barge, or road-sorted by state of origin)
Illinois	Rail	33
	Barge	47
	Road	10
	Other	10
Indiana	Rail	69
	Barge	13
	Road	19
	Other	<1
Kentucky (West)	Rail	35
	Barge	48
	Road	18
	Other	< 1

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

3.12.5.1 Rail Requirements

Data within the *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) showed that within the Illinois Basin as a whole, train volumes from the year 2005 were below capacity (LOS A, B, and C), with the exception of notable river crossings where they were

near or at capacity (LOS D and E). Rail transport within the northeast Illinois region was at capacity (LOS E).

Without capital improvements, by 2035 the study estimates that most of the Illinois Basin will be downgraded to at or above capacity (LOS E and F) (Cambridge Systematics, 2007). The study concluded that, with improvements, the entire Illinois Basin would be composed of rail operating at LOS A, B, and C (Cambridge Systematics, 2007).

Mines located in the three states within the Illinois Basin shipped over 48 million short tons of coal by rail in 2012. This represents approximately eight percent of the total tonnage of coal shipped by rail nationwide in 2012. Rail is the predominant mode of coal haul from Indiana.

3.12.5.2 Barge Requirements

Mines located in the three states within the Illinois Basin shipped slightly more than 38 million short tons of coal by river in 2012. This represents approximately 37 percent of the total short tons of coal shipped by river nationwide in 2012. Barge is the predominant mode of coal haul from Illinois and western Kentucky.

3.12.5.3 Roadway Requirements

Mines located in the three states within the Illinois Basin shipped slightly less than 17 million short tons of coal by truck in 2012. This represents approximately 17 percent of the total short tons of coal shipped by truck nationwide in 2012. In Illinois, approximately ten percent of the coal produced in the state is shipped over public roadways.

3.12.6 Northern Rocky Mountains and Great Plains Region Transportation

The Northern Rocky Mountains and Great Plains region spans Montana, North Dakota, and Wyoming. Table 3.12-10 shows the number of short tons of coal originating in each of these states in the year 2012.

Table 3.12-10. Short Tons of Coal Originating in Northern Rocky Mountains and Great Plains States in 2012

Short Tons by State (All Modes)	Total
Montana	20,147,000
North Dakota	27,720,000
Wyoming	402,671,000
Total Short Tons Northern Rocky Mountains and Great Plains	450,538,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-11 identifies the primary modes of coal transport and historic use of those modes within the Northern Rocky Mountains and Great Plains, based on where the coal originates (U.S. EIA, 2012d).

The transportation requirements of each mode within the Northern Rocky Mountains and Great Plains are summarized as follows.

Table 3.12-11. Primary Modes of Coal Transport by State – Northern Rocky Mountains and Great Plains

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (approximate percentage of coal transported by mode by state of origin)
Montana	Rail	59
	Barge	0
	Road	2
	Other	39
North Dakota	Rail	10
	Barge	None
	Road	41
	Other	49
Wyoming	Rail	96
	Barge	1
	Road	< 1
	Other	3

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

3.12.6.1 Rail Requirements

Data within the *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) showed that within the Northern Rocky Mountains and Great Plains region as a whole, train volumes from the year 2005 were below practical capacity (LOS A, B, and C), with the exception of a small section of rail in southeastern Montana that was near capacity (LOS D).

Without capital improvements, by 2035 it is estimated that the Northern Rocky Mountains and Great Plains will experience rail operations at or above capacity (LOS E and F) for much of the region (Cambridge Systematics, 2007). The 2007 study concluded that, with improvements, the entire Northern Rocky Mountains and Great Plains area would be composed of rail operating at LOS A, B, and C, with the exception of a portion of northeastern Wyoming that would operate near capacity (LOS D) (Cambridge Systematics, 2007).

Mines located in the three states within the Northern Rocky Mountains and Great Plains shipped over 401 million short tons of coal by rail in 2012. This represents approximately 66 percent of the total tonnage of coal shipped by rail nationwide in 2012. Wyoming is the predominant source of coal within the region (and the U.S.), with over 95 percent of coal originating in Wyoming shipping by rail.

The Powder River Basin in the Northern Rocky Mountains and Great Plains is the principal source of coal originating on both the BNSF and UP railroads. More than 90 percent of all BNSF's coal tons originate from the Powder River Basin. UP also ships coal from other coal regions, including the Colorado Plateau (Colorado and Utah) and the Illinois Basin (Illinois) (NETL, 2010).

3.12.6.2 Barge Requirements

Mines located in two of the three states within the Northern Rocky Mountains and Great Plains use barge transportation. Montana and Wyoming shipped coal by barge (4.1 million short tons). This represents approximately four percent of the total short tons of coal shipped by river nationwide in 2012.

3.12.6.3 Roadway Requirements

Mines located in the three states within the Northern Rocky Mountains and Great Plains shipped slightly less than 13 million short tons of coal by truck in 2012. This represents approximately 13 percent of the total short tons of coal shipped by truck nationwide in 2012.

3.12.7 Northwest Region Transportation

Although the Northwest region includes the states of Oregon, Washington, and Alaska, there are no currently producing mines in Oregon or Washington. Consequently, this discussion will focus on the state of Alaska. There is currently one coal-producing area in this region, which is located in Alaska. Table 3.12-12 shows the number of short tons of coal originating from the region in 2012.

Table 3.12-12. Short Tons of Coal Originating in Northwest Region in 2012

Short Tons by State (All modes)	Total
Alaska	956,000
Total Short Tons Northwest	956,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-13 identifies the primary modes of coal transport and historic use of those modes within the Northwest, based on where the coal originates (U.S. EIA, 2012d).

Table 3.12-13. Primary Modes of Coal Transport by State – Northwest

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (Approximate percentage of coal transported by mode by state of origin)
Alaska	Rail	87
	Barge	None
	Road	13
	Other	None

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

The transportation requirements of each mode within the Northwest region are summarized as follows.

3.12.7.1 Rail Requirements

Rail was the predominant mode of coal haul within the Northwest region. Mines located in the Northwest shipped 828,000 short tons of coal by rail in 2012. Coal was shipped by the Alaska Railroad Corporation to the coal loading facility in Seward, Alaska. Coal produced in this region represents less than 0.1 percent of the total short tons of coal shipped by rail nationwide in 2012. Rail congestion data for Alaska were not available in the Cambridge Systematics 2007 report, which covers only the lower 48 states.

3.12.7.2 Barge Requirements

Mines located within the Northwest region did not record shipments of coal by river in 2012.

3.12.7.3 Roadway Requirements

Mines located in the Northwest shipped 128,000 short tons of coal by truck in 2012. This represents less than 0.5 percent of the total short tons of coal shipped by truck nationwide in 2012.

The interstate shipment of coal produced in Yukon-Koyukuk County, Alaska, is limited by huge distances, difficult climate and topography, and numerous environmental, socioeconomic, and economic limitations. Yukon-Koyukuk County is roughly the same size as the relatively large state of Montana, and the population density is less than one person per 20 square miles. The only road connecting to the remainder of the state is State Route 11, with 40.6 miles of interstate and arterial road in the census area connecting south to Fairbanks and the Dalton Highway. Roads are gradually being built throughout Alaska, and coal extraction and truck transport is expected to be made more viable as road resources increase.

3.12.8 Western Interior Region Transportation

The Western Interior region spans four states: Arkansas, Kansas, Missouri, and Oklahoma. The region is subdivided into smaller coal regions, the distinguishing factor primarily being sulfur content of the coal. Table 3.12-14 shows the number of short tons of coal originating in each of these states in the year 2012.

Table 3.12-15 identifies the primary modes of coal transport and historic use of those modes within the Western Interior region, based on where the coal originates (U.S. EIA, 2012d).

Table 3.12-14. Short Tons of Coal Originating in Western Interior States in 2012

Short Tons by State (All Modes)	Total
Arkansas	106,000
Kansas	18,000
Missouri	310,000
Oklahoma	755,000
Total Short Tons Other Western Interior	1,189,000

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

Table 3.12-15. Primary Modes of Coal Transport by State – Other Western Interior

Originating Coal Haul State	Originating Mode of Transport	Statistics for Primary Modes of Coal Transport (approximate percentage of coal transported by mode by state of origin)
Arkansas	Rail	None
	Barge	None
	Road	100
	Other	None
Kansas	Rail	None
	Barge	None
	Road	100
	Other	None
Missouri	Rail	None
	Barge	None
	Road	100
	Other	None
Oklahoma	Rail	35
	Barge	None
	Road	65
	Other	None

Source: U.S. EIA, 2012d. Domestic Distribution of U.S. Coal by Origin States, Consumer, Destination and Method of Transportation, 2012 Quarterly Reports.

The transportation requirements of each mode within the Other Western Interior region are summarized as follows.

3.12.8.1 Rail Requirements

The Western Interior serves as a major junction of freight rail. Central sections are currently near or at capacity (LOS D and E). Without capital improvements, the *National Rail Freight Infrastructure Capacity and Investment Study* (Cambridge Systematics, 2007) has estimated that rail conditions in the Other Western Interior will continue to degrade, with central sections being downgraded to at or above capacity (LOS E and F) by 2035. The study concluded that, with improvements, Western Interior would operate at levels similar to those of the present day (Cambridge Systematics, 2007).

Mines located in the four states within the Western Interior shipped approximately 261,000 short tons of coal by rail in 2012. This represents less than 0.05 percent of the total tonnage of coal shipped by rail nationwide in 2012.

3.12.8.2 Barge Requirements

Mines located within the Western Interior region did not record shipments of coal by river in 2012.

3.12.8.3 Roadway Requirements

Mines located in the four states within the Western Interior shipped slightly less than one million short tons of coal by truck in 2012. This represents approximately one percent of the total short tons of coal shipped by truck nationwide in 2012. Truck transport was the predominant mode of coal haul with the region.

3.13 Archaeology, Paleontology and Cultural Resources

Historic and archaeological resources are sometimes broadly categorized as “cultural resources.” Cultural resources consist of prehistoric and historic districts, sites, structures, artifacts, and other physical evidence of human activities considered important to a culture, subculture, or community for scientific, traditional, religious, or other reasons. Prehistoric and historic archaeological resources are locations where human activity measurably altered the earth or left deposits of physical remains. Typical environments in which archaeological resources can be found include rock shelters, terraces, floodplains, and ridge tops. Architectural and historic period resources, which may include dams, bridges, and other structures having historic or aesthetic importance, generally must be older than 50 years to be considered for protection under existing federal cultural resource laws. Cultural resources that may be present within mine sites include cemeteries, historical sites and structures, archeological sites, public parks, Native American burial mounds, and other features of cultural significance to surrounding communities (U.S. EPA et al., 2003).

For the purposes of this discussion, “paleontological resources” are distinct from archaeological resources. Specifically, paleontological resources are “any fossilized remains, traces, or imprints of organisms, preserved in or on the earth’s crust, that are of paleontological interest and that provide information about the history of life on earth” (NPS, 2009a).

3.13.1 Appalachian Basin Region

3.13.1.1 Paleontology

The potential for paleontological resources is almost entirely dependent on the type and age of geological formations present in a specific region. A more thorough discussion of regional geology is presented in Section 3.2. Though regional geologic trends occur, each state, and even specific areas within each state can contain significantly different paleontological resources. The preservation of plant and animal fossils depends on a variety of circumstances. However, the speed with which they were covered and the nature of the covering materials often determine the quality of preservation, if any. Generally, the types of fossils encountered by coal mining include plants (such as ferns and trees) in the coal seams and scattered fossils of Tertiary age in the overburden. The following information on paleontological resources in each Appalachian Basin state was compiled from the Paleontology Portal Website (National Science Foundation et al., 2003).

3.13.1.1.1 Alabama

Paleontological resources in Alabama range from Late Cambrian to Quaternary in age, with gaps during the Precambrian, Jurassic, and Triassic. The first fossils of note in Alabama are Late Cambrian in age. Fossils from these periods can be found throughout northern Alabama and reflect the marine environment of Alabama at the time. The Devonian is less represented in Alabama’s fossil record. The Mississippian saw a return to life-filled seas, and crinoids and brachiopod fossils are common in rocks of this age. Broad coastal plains that developed during the Pennsylvanian resulted in a wealth of plant and terrestrial fossils that are found throughout the northern portion of the state.

3.13.1.1.2 Kentucky

Paleontological resources present in Kentucky range from Ordovician to Tertiary in age, with a gap from the Permian through the Jurassic. Shallow tropical seas covered most of Kentucky from the Ordovician to the Pennsylvanian. Pennsylvanian rocks are present in the Eastern and Western Coal fields and may have once covered much of the state. Peat deposits during this age are responsible for the coal beds, and the fluctuating sea levels resulted in a variety of both marine and terrestrial fossils.

3.13.1.1.3 Maryland

Paleontological resources in Maryland span nearly the entire known range for fossil remains, with the exception of the Precambrian and possibly the Permian. Beginning in the Cambrian and lasting through much of the Ordovician, much of Maryland was covered by a shallow warm sea. By the Late Paleozoic Mississippian and Pennsylvanian Periods, fluctuating sea levels and mountain building events had created extensive swamps, low coastal regions, and a continuation of shallow seas. Fossils from these ages are found predominantly in the extreme western edge of the panhandle, coincident with coal-bearing land. These fossils include brachiopods, bivalves, and bryozoans from the marine deposits and horsetail rushes and scale trees from the terrestrial deposits.

3.13.1.1.4 Ohio

The majority of paleontological resources from Ohio are Cambrian to Permian in age, with later Quaternary also known from the Ordovician through the Mississippian. Nearly the entire state was covered by a shallow sea, with fluctuating levels of mud as a result of mountain building to the east. Fossils from these periods are found in the eastern half of the state (including coal-bearing lands) and include a variety of marine organisms such as brachiopods, bryozoans, corals, crinoids, trilobites, gastropods, and cephalopods. Permian plant fossils in southern parts of the state commonly include horsetails and ferns.

3.13.1.1.5 Pennsylvania

Paleontological resources in Pennsylvania are similar to those in much of the Appalachian Basin. Paleozoic fossils are well represented, and include both marine and terrestrial plants and animals. Delta creation continued into the Pennsylvanian, and included the development of extensive swamps. Pennsylvanian age rocks are found extensively throughout the western half of the state and contain fossil deposits that include amphibians and plants such as scale trees, ferns, and horsetail rushes.

3.13.1.1.6 Tennessee

Tennessee's paleontological resources include fossils from Cambrian to Quaternary in age, with an erosional gap in the record in the Early Mesozoic. Devonian and Mississippian age rocks with a similar range of fossils are present in the western and central portions of the state, respectively. Beginning in the Pennsylvanian, mountain building to the east transformed the shallow seas that had covered most of the state into vast deltas and coastal swamps. Fossils from this period include scale trees, horsetail rushes, and other plants.

3.13.1.1.7 Virginia

The Virginia Department of Historic Resources provided updated cultural resource information in their August 14, 2015 DEIS comments. This information is included in the following discussions.

Archaeological evidence shows that people have been living in what is now Virginia as far back as 16-22,000 years ago. In the late 1500s, Euro-American explorers entered the area in search of rivers, a route to the sea, and trade possibilities. When the English first explored Virginia, they discovered that the paramount chiefdom controlled by Powhatan included over 30 tribes. Other tribes were identified outside of Powhatan's control, including the Patowomeck and Doeg/Taux in Northern Virginia plus the Monacans and Manahoacs west of the Fall Line. As explorations extended westward, colonists identified additional tribes such as the Tutelo, Saponi, Meherrin, Nottoway and Cherokee. Fur traders, government officials, and frontier settlers learned the distinctions between different groups, in order to negotiate for food, furs, land, and peace.

The Pamunkey Indian Tribe was acknowledged as a federally recognized Indian tribe in July 2015. The Pamunkey Indian Tribe has occupied a land base in southeastern King William County, Virginia shown on a 1770 map as “Indian Town” since the Colonial Era in the 1600s. The 1677 Treaty of Middle Plantation formalized a dedicated state reservation. Today's Pamunkey and Mattaponi reservations date back to that treaty, and some preceding agreements.

Virginia's modern day tribes were firmly established in ancestral lands long before the English arrived to settle at Jamestown. Jamestown, Virginia, settled in 1607, is America's first permanent English settlement, though the western portions of Virginia, including areas of Virginia with coal, were not settled until the 1700s. Architectural resources in the state reflect Virginia's history, beginning in the late 1600s, and encompass the many building and structural types built since.

3.13.1.1.8 West Virginia

The paleontological resources of West Virginia are almost exclusively Paleozoic and Quaternary in age.

Throughout the Carboniferous (Mississippian and Pennsylvanian), fluctuating sea levels and mountain building events to the east resulted in large deltas and swamps in addition to the shallow sea that covered much of West Virginia. Fossils from the Mississippian and Pennsylvanian are exposed over much of the state. They include marine brachiopods, gastropods, blastoids, and bryozoans, freshwater sharks, and terrestrial horsetail rushes and scale trees. Permian rocks are present across the western two-thirds of the state and indicate the development of extensive flood plains as a result of erosion during the mountain-building event that created the Appalachian Mountains. Permian fossils in West Virginia include *Calamites* (related to modern horsetail rushes), ferns, scale trees, amphibians, and tracks from the terrestrial reptile *Dimetrodon*.

3.13.1.2 Archaeology and Cultural Resources

Generally, the history of the various coal regions can be divided into broad categories or cultural manifestations. These divisions cut across state lines and in some cases cross-cut coal regions.

3.13.1.2.1 Prehistory

Within the Appalachian Basin, prehistoric peoples occupied various areas within the states of Alabama, Kentucky, Maryland, Ohio, Pennsylvania, Tennessee, Virginia, and West Virginia since at least ca. 10,000 B.C. and as early as ca. 13,000 B.C. (Fagan, 1991). Archaeologists have generally divided the prehistoric period into three broad periods: Paleo-Indian, Archaic, and Woodland. The exact timing of each period differs within each state, but the material manifestations are similar enough to warrant treating the region as a single resource area.

Generally, archaeological resources dating to the Paleo-Indian Period indicate that prehistoric peoples during this period were highly mobile. These people have occupied areas near several large waterways (Fagan, 1991). For example, Paleo-Indian sites clustered in northern Alabama, along the Tennessee River (University of Alabama, 2005) while in Tennessee, artifacts of this time period are found in the Cumberland and Lower Tennessee River valleys (Anderson and Sullivan, n.d.). Sites dating to this period are identified through the presence of such projectile points as Clovis and Folsom points. Other resource associations include isolated finds, simple tool scatters, and rock shelters, as well as some association with large extinct mammals and the occasional camp features (West Virginia Division of Culture and History, 2013a). It is generally believed that subsistence activities included the hunting of large game and gathering of local resources.

The next 7,000 years (8000 to 1500 BC) are characterized by the Archaic Period, in which archaeological sites are more numerous, larger, and more developed. Spring and summer camp sites are located in river valleys (University of Alabama, 2005). Larger base camp sites are found at the fall lines of streams and at estuaries (Maryland ACL, 2009). Archaic Period archaeological resources represent a shift in subsistence practices. This appears to be partly due to climactic shifts. Prehistoric peoples of this period employed a more diversified tool-kit and exploited a larger suite of resources than Paleo-Indian. Adaptive strategies shifted to those focusing on forest resources as woodlands expanded. In addition, hunter-gatherer groups increased in size and in number (Lewis, 1996). In some areas, mounds with burials and grave goods become more elaborate over time. As populations grew, foraging ranges became more restricted and peoples were more sedentary. The bow and arrow was introduced in the area and true farming began to develop (Fagan, 1991; Ohio History Central, n.d.). Pottery use becomes more common.

Evidence of human occupation and activity during the Eastern Woodland Period, lasting from approximately 1000 B.C. to A.D. 1650 is evident in West Virginia and much of the eastern U.S. and Canada (Fagan, 1991). Woodland Period peoples continued the trend toward fidelity to living in one place for a long time. Settlements were clustered along the banks of large and small rivers (University of Alabama, 2005; Fagan, 1991). Maize became the most important food crop, and most people lived in large, often stockaded settlements (Ohio History Central, n.d.). Village sites become common as did the use of bow and arrow and an increased reliance on agriculture. In addition, burials become more complex and earthen structures appeared. Woodland Period archaeological sites in Pennsylvania date from 1000 B.C. to A.D. 1550. From A.D. 1000 to 1600 in Tennessee, larger and more stable populations lived in organized villages and ruled through a strong structure of chiefdoms. They built large, flat-topped mounds, worked extensive agricultural fields, and completed other communal projects. Villages, frequently found on high ground on river and stream terraces, were large and included round, oval, and rectangular houses made of wooden post walls, with bark or mat roofing, and the settlements were sometimes palisaded (Pennsylvania Historical & Museum Commission, 2013a). These structures, in the

form of mounds and effigies, become more complex and common with time. Grave goods associated with burials indicate expansive trade networks and complex social structures. This culminated in the Mississippian cultures in the Late Woodland Period. The Mississippian Period flourished from A.D. 1000 to 1600 in Virginia with larger and more stable populations living in permanent villages. During this period, social complexity reached the level of low-level chiefdoms. However, the initial exploration of the new world by Euro-Americans and subsequent colonization disrupted and displaced many Late Woodland groups.

3.13.1.2.2 Protohistoric-Historic

In general, during the late prehistoric period into the protohistoric, Native Americans came into indirect contact with European goods followed by direct contact with people. At contact, many Indian tribes were in conflict with one another and in turn with the French and English explorers and colonists in the eastern U.S. and the Spanish in the south and west.

The protohistoric contacts (early Native American contact with Europeans) and the historic period development can be considered unique to each state. Beginning in the early 1500s in the eastern portions of North America and later in the west, European influences directed the development of the country. Broad patterns of exploration, settlement development, transportation development, agricultural and industrial development, and western expansion occurred. The American Revolutionary and Civil wars (as well as other regional wars and conflicts) contributed to formulation of state boundaries and characteristics. State specific protohistory and history overview discussions are presented below.

3.13.1.2.3 Alabama

The Alabama territory was occupied by seven different Native American tribes at the time of contact, which was in 1540 when the Spaniard De Soto traveled through the area. These were the Alabama, Biloxi, Cherokee, Chickasaw, Choctaw, Koasati, and Musogee (Creek) tribes (Access Genealogy, 2013a). As of the mid-1500s, the Alabama tribe inhabited a large area in central Alabama, focused on the upper Alabama River (Native Languages of the Americas, 2011a; Access Genealogy, 2013b). The Choctaw Tribe temporarily inhabited southwestern Alabama and hunted there, while most of their territory was in middle and southern Mississippi (Access Genealogy, 2013c). The Koasati lived in north-central and northeastern Alabama, along the Tennessee River. On contact with Europeans, many of the Koasati moved south, to settle along the Coosa and upper Alabama River. The Muscogee (Creek) Tribe lived throughout the eastern one-third of Alabama from at least the 1500s through the early 1800s on the Coosa, Tallapoosa, Chattahoochee, and Ocmulgee rivers (Access Genealogy, 2013d).

When the Spanish expeditions began in the area in the 1500s, occasional battles with the resident Native American tribes occurred. In the early 1700s, the French arrived (Access Genealogy, 2013d; Jackson, 2010). British and American colonial settlement followed. Every historic-period archaeological resource imaginable might be found. Such cultural resources will be frequently located adjacent to streams (Gamble, 1990). Architectural resources in Alabama reflect its history, beginning in the early 1700s, and encompass the many building and structural types built since that time. These include vernacular dwellings such as dogtrot houses, I-houses, Creole cottages, and Spraddle Roof houses, as well as high style Victorian types, Arts and Crafts, modern, and other styles of residential, commercial, industrial, governmental, and military buildings constructed through the mid-twentieth century (Gamble, 1990). In addition, many historic bridges over streams and rivers still stand.

3.13.1.2.4 Kentucky

As Euro-American explorers entered the area from Virginia, in search of rivers, Shawnee and Iroquois tribes were the Kentucky area occupants. Shawnee had been established along the Cumberland River since some unknown time before 1770 (Access Genealogy, 2013e; Lazzarini, 2005a). Euro-Americans built their first settlements in Kentucky in the mid 1770s. Kentucky is known for its frontier history and sites, reflecting its early settlement. In settlements, retail shops, churches, public spaces, government buildings, streets, and roads existed. Grist and other kinds of mills driven by water wheels are a particular resource for which streams were integral parts. Their remains will be frequently located adjacent to streams. Architectural resources in the state reflect Kentucky's history, beginning in the late 1600s, and encompass the many building and structural types built since that time. These include single- and double-household log cabins, plantation houses, with associated slave quarters, smokehouses, outhouses, warehouses, packing houses, various kinds of mills, blacksmith shops, workshops, small and middle-sized farm houses, barns, and other outbuildings (Lewis, 1996). Military forts, with associated battlements, trenches, and bridges from the American Revolutionary and Civil Wars may also be present (Lewis, 1996).

3.13.1.2.5 Maryland

Maryland's architectural resources reflect its history, beginning in the mid-1600s, and encompass the many building and structural types built since that time. These include vernacular dwellings such as I-houses, tobacco sheds, one-room planter's houses, log cabins, plantation houses, slave cabins, and outbuildings. Maryland also has high-style Colonial, Victorian, Arts and Crafts, modern and other style residential, commercial, industrial, governmental, and military buildings from the eighteenth to the mid-twentieth century (Upton, 1986).

3.13.1.2.6 Ohio

Historical and other cultural resources may date back to 1650 in Ohio, at which time French exploration began, quickly followed by the British (Ohio History Central, n.d.). Throughout the 1800s, farms and factories developed, as did transportation systems such as turnpike roads, canals, and railroads. These, plus larger towns and cities established from the late 1800s through the mid-1900s, provide a large body of historic cultural resources in Ohio. Ohio's architectural resources reflect its settlement history, beginning in the mid-1700s, and encompass the many building and structural types built since that time.

3.13.1.2.7 Pennsylvania

First contact between the Europeans and the Native Americans of Pennsylvania occurred around 1550 (Pennsylvania Historical & Museum Commission, 2013b). More than six agricultural tribes lived in the region at the time including: Honniasont, Huron, Iroquois (especially Seneca and Oneida), Leni Lenape, Munsee, Shawnee, Susquehannock (Access Genealogy, 2013f). The lifestyles of all the Pennsylvania tribes were similar, as all were village and town dwellers who practiced agriculture, hunting, and trade for their livelihoods.

Pennsylvania's historic period began in 1608, with the visit of Captain John Smith to the Susquehannock tribe. Settlement followed in 1643, with the establishment of two Swedish forts near present-day Philadelphia (U.S. History, 2013). For the past 200 years, every historic-period archaeological resource imaginable might be found, including log cabin foundations and ruins, Native American villages and

campsites, quarters, smokehouses, and outbuildings, small and middle-sized farm sites, barns, and towns, villages, roads, trails, bridges, industrial sites, fishing sites, canneries, military sites and battlegrounds from the French and Indian War, Revolutionary War, War of 1812, and Civil War. Such cultural resources will be frequently located adjacent to streams. Sites from the late 1700s to the mid-1900s will also include urban remains, coal- and iron-mining sites, and steel mills. Pennsylvania's architectural resources reflect its history, beginning in the mid-1600s, and encompass the many building and structural types built since that time.

3.13.1.2.8 Tennessee

Native Americans in Tennessee were first introduced to Europeans in 1540 (Tennessee4me, 2013). Six tribes occupied the area at the time of contact – the Cherokee, Chickasaw, Koasati, Quapaw, Shawnee, and Yuchi tribes (Native Languages of the Americas, 2011b). All Tennessee tribes were sedentary, farming groups. Archaeological resources from this period include remains of large, walled towns with or without mounds along major rivers. Tennessee's first permanent settlement by Euro-Americans occurred in the early 1770s (Thingstodo.com, 2012; Tennessee Department of State, 2011). Architectural resources reflect Tennessee's history, beginning in the late 1770s, and encompass the many building and structural types built since that time (Murray, 1995).

3.13.1.2.9 Virginia

The Virginia Department of Historic Resources provided updated cultural resource information in their August 14, 2015 DEIS comments. This information is included in the following discussions.

Archaeological evidence shows that people have been living in what is now Virginia as far back as 16-22,000 years ago. In the late 1500s, Euro-American explorers entered the area in search of rivers, a route to the sea, and trade possibilities. When the English first explored Virginia, they discovered that the paramount chiefdom controlled by Powhatan included over 30 tribes. Other tribes were identified outside of Powhatan's control, including the Patowomeck and Doeg/Taux in Northern Virginia plus the Monacans and Manahoacs west of the Fall Line. As explorations extended westward, colonists identified additional tribes such as the Tutelo, Saponi, Meherrin, Nottoway and Cherokee. Fur traders, government officials, and frontier settlers learned the distinctions between different groups, in order to negotiate for food, furs, land, and peace.

The Pamunkey Indian Tribe was acknowledged as a federally recognized Indian tribe in July 2015. The Pamunkey Indian Tribe has occupied a land base in southeastern King William County, Virginia shown on a 1770 map as "Indian Town" since the Colonial Era in the 1600s. The 1677 Treaty of Middle Plantation formalized a dedicated state reservation. Today's Pamunkey and Mattaponi reservations date back to that treaty, and some preceding agreements.

Virginia's modern day tribes were firmly established in ancestral lands long before the English arrived to settle at Jamestown. Jamestown, Virginia, settled in 1607, is America's first permanent English settlement. Some western portions of Virginia, including areas of Virginia with coal, were not settled until the 1700s.

Architectural resources in the state reflect Virginia's history, beginning in the late 1600s, and encompass the many building and structural types built since.

3.13.1.2.10 West Virginia

During the Late Prehistoric Period, native tribes began to come into indirect contact with European goods and people. At the time of contact, the Shawnee and the Delaware moved into the Ohio River Valley within West Virginia. Much of the 1600s and 1700s in West Virginia were dominated by warfare between the Iroquois Confederacy and the Shawnee and Delaware tribes. Warfare also existed between the Indian tribes and British, French, and other Colonists. After the Revolutionary War, most Native Americans moved out of West Virginia (West Virginia Division of Culture and History, 2013b). Land grants in West Virginia were first given to loyal supporters of King Charles II in 1669. After the Proclamation of 1763, settlement of West Virginia rapidly increased. During the 1700s most of Euro-American settlers in West Virginia were farmers (West Virginia Division of Culture and History, 2013c).

Architectural resources in the state reflect West Virginia's history, including single-family houses, plantation houses, slave quarters, smokehouses, outhouses, warehouses, packing houses, various kinds of mills, blacksmith shops, workshops, small and middle-sized farmhouses, barns, and other outbuildings.

3.13.2 Colorado Plateau Region

3.13.2.1 *Paleontology*

3.13.2.1.1 Arizona

The fossil record for Arizona begins in the Precambrian with stromatolites found in limestones deposited under shallow marine conditions. Most of the state was covered by shallow seas throughout the Devonian, Mississippian, and Pennsylvanian, and, as a result, a diverse and abundant fossil record is present for these periods.

Fossils for this portion of the Paleozoic include placoderms (armored fish), corals, crinoids, bryozoans, brachiopods, gastropods, and bivalves. Rare plant fossils can also be found in some Devonian age rocks, indicating that some terrestrial environments were present as well.

3.13.2.1.2 Colorado

Colorado was covered by a shallow sea through much of the Early and Middle Paleozoic. These seas expanded during the Carboniferous, and mountain building events resulted in the rise of the Ancestral Rockies and the Uncompahgre Range. A rich array of paleontological resources are known from this time, including sharks, trilobites, brachiopods, crinoids, conifers, lycopods, and the huge horsetail *Calamites*. The end of the Paleozoic is marked by a retreat of sea levels; the development of Permian Age fossils can be found in the western half of the state and include track ways from insects and reptiles.

3.13.2.1.3 New Mexico

Fossil resources in New Mexico range in age from Cambrian to Quaternary. During the Carboniferous, portions of the state were still covered by shallow seas, but a significant portion of the state was above sea level as an archipelago. Clams, brachiopods, and pelecypods are common marine fossils from this time, while seed ferns and amphibians represent the terrestrial environments.

3.13.2.1.4 Utah

Paleontological resources within Utah span the entirety of geologic time since the Precambrian. The Mississippian shales and sandstones in Utah are the most fossiliferous in the state and contain foraminiferans, corals, brachiopods, conodonts, bryozoans, snails, clams, cephalopods, and, more rarely, fish.

3.13.2.2 *Archaeology and Cultural Resources*

The Colorado Plateau includes Arizona's northeast quarter, the north and west portions of New Mexico, the southwest corner of Colorado and the southeast portion of Utah.

3.13.2.2.1 Prehistory

Current archaeological evidence shows that the Paleo-Indian were the first humans to occupy the Colorado Plateau region sometime around 13,000 years ago until about 7,500 years ago (11,000 B.C. to 5500 B.C.). New Mexico is home to both the Clovis and Folsom Paleo-Indian type sites and dozens of these sites have been identified across the region. Cultural resources associated with this period may include open lithic scatters, rock shelters, lake shore camps, and large game butchering sites (Alexander, 2013; Grahame and Thomas, 2002; New Mexico Office of the State Historian, 2013).

The southwestern Archaic Period on the Colorado Plateau begins around 7,500 years ago (5500 B.C.) and is characterized by nomadic hunter-gathers who followed seasonal food sources across the landscape. The Archaic Period persisted for approximately 6,000 years or until about A.D. 400. Potential cultural resources that may be encountered from this period include open lithic scatters, rock shelters, small village sites, pinyon nut gathering sites, and rock art (Grahame and Thomas, 2002). Prehistoric cultural resources in Utah share many characteristics with the rest of the Colorado Plateau as summarized above, and include important caves (Danger Cave, Cowboy Cave, and Hogup Cave), cliff dwellings, and rock art sites.

Following the Archaic is the Late Prehistoric Period, which was dominated by the Anasazi culture on the Colorado Plateau. In Arizona, Hohokam peoples established an agricultural society complete with canals and other irrigation features, and numerous villages such as those at Pueblo Grande, Mesa Grande, and Casa Grande in Coolidge (The Arizona Republic, 2011; Native American Netroots, 2010). To the north, the Anasazi built cliff dwellings and large pueblos such as those at Montezuma's Castle and Navajo National Monument. Prehistoric cultural resources for the states include a wide variety of agricultural and village sites. The Anasazi occupied the Colorado Plateau area from about A.D. 400 to about 1300. Some of the most well-known examples of Anasazi ruins include Chaco Canyon in New Mexico, Pueblo Grande in Arizona, and Mesa Verde in Colorado. Some of the anticipated cultural resources associated with this period include cliff dwellings, kivas, pithouses, large administrative centers, small villages, camps, agricultural fields, rock art, open lithic scatters, and road systems connecting settlements (Hurst, 2013).

Within the Colorado Plateau, five major tribes of Native Americans have occupied the region since the collapse of the Anasazi culture in circa A.D. 1300 to present. Among these five are the Zuni and the Hopi. Both groups are Pueblo people and are considered to be direct descendants of the Anasazi. The Zuni are primarily located in the northwestern portion of New Mexico and have occupied parts of that

area since A.D. 400. The Hopi are located in the northeastern portion of Arizona and have made this region their home since circa A.D. 500 (Grahame and Thomas, 2002; Hurst, 2013). The Navajo Indians have occupied most of northern New Mexico, portions of southern Utah, and part of northern Arizona since at least A.D. 1500. Anthropologists consider Navajos to be Apachean people who migrated into the area approximately 500 years ago. The Ute and the Southern Paiute tribes are Numic tribes who are said to have migrated from the southern California area between 500 and 1,000 years ago. At the time of contact with Europeans in the 1500s, the Utes occupied most of Utah and western Colorado. The Southern Paiutes entered the western Colorado Plateau region between 1100 and 1200 A.D. (Grahame and Thomas, 2002). Some of the cultural resources associated with the above ethnographic people include abandoned villages, pithouses, pueblos, agricultural fields, sheep herding camps (later period), pinyon nut gathering sites, resource use sites, and open lithic scatters.

3.13.2.2.2 Protohistoric – Historic

The Spanish were the first Europeans to make contact with native people on the Colorado Plateau, beginning in the mid-1500s. The Spanish were the dominant Euro-American influence of the area until the mid-1800s. Mormon settlement began in Utah in 1847. Mining booms gripped portions of southwestern Colorado from the 1870s through the 1890s. Sites expected from this period may include missions, forts, military camps, wagon roads, railroads, town sites, irrigation ditches, outhouse pits, abandoned houses, mill foundations, old mines, cemeteries, cowboy line camps, and telegraph lines (Bauman, 2013; Husband, 2006; Old and Sold, n.d.).

All manner of buildings associated with the history and prehistory of the area may be expected in the region. Architectural styles draw on the varied cultural influences of a given region, including the Spanish, Puebloan, and northern European influences.

3.13.2.2.3 Arizona

Spanish explorers, missionaries and settlers came into Arizona from Mexico throughout the sixteenth to nineteenth centuries, bringing with them missions, presidio, pueblos, and ranchos. Mexico controlled Arizona until the end of the Mexican-American War of 1846 to 1848. The railroad arrived in Arizona in 1881, and with it, mass settlement and development. The Roosevelt Dam completed in 1911, Hoover Dam completed in 1935, and the Glen Canyon Dam completed in 1966 typify the reclamation projects that helped develop Arizona desert lands for agricultural and urban uses. Historic age sites include the early missions and forts to more modern constructions.

3.13.2.2.4 Colorado

The historic period in Colorado begins with the first Spanish visitors in the late 1700s. Later they established the failed settlement of San Carlos in the south near the city of Pueblo (Ubbelohde et al., 2006). In 1803, the U.S. acquired the territory through the Louisiana Purchase; however, this conflicted with claims held by Spain (Ubbelohde et al., 2006). The early part of the 1800s saw the area that was to become Colorado explored and exploited by trappers and settlers. Trading forts were established near extant Native American populations. After defeating Mexico in the Mexican-American War of 1846 to 1848, the U.S. took control of the southern portion of the state, as well as portions of New Mexico and Arizona. In the late 1840s, gold discoveries fueled interest in the eastern slopes of Colorado. Colorado became a state in 1876. Mining continued to be of great import throughout the late 1800s and was the

stimulus for multiple labor disputes and violent uprisings due to working conditions. These disputes were most apparent at coal mining operations where several massacres, such as the one at Ludlow, occurred (Ubbelohde et al., 2006; Whiteside, 1990). Historic age sites may include missions, forts, military camps, wagon roads, railroads, town sites, irrigation ditches, outhouse pits, abandoned houses, mill foundations, old mines, cemeteries, cowboy line camps, and telegraph lines.

3.13.2.2.5 New Mexico

The historical period in New Mexico began with the exploration of this region by Francisco Vasquez de Coronado from 1540 to 1542 (World Atlas, 2013; Smithsonian Magazine, 2007; National Humanities Center, 2006). Over 50 years later, Juan de Oñate founded the first permanent European settlement: the San Juan colony on the Rio Grande. As part of New Spain, settlements and towns continued to grow, and during the Mexican War of Independence, the province of New Mexico passed to now-independent Mexico. The Spanish Trail, an important trade route from Los Angeles, California to Santa Fe, New Mexico, was established in 1829. Following the Mexican-American War in 1846 to 1848, portions of what would become the modern state of New Mexico was ceded to the U.S., and for the next 50 years the region saw much conflict between Native Americans, the U.S. government, cattle ranchers, sheepherders, homesteaders, and other settlers. New Mexico became a state in 1912. More modern history includes the establishment of the Los Alamos Research center in 1943, high altitude experiments near Roswell in 1947, and the development of extensive nuclear, solar, and geothermal energy industries. Historical cultural resources in the state range from settlements from the time of Spanish exploration and settlement, to sites related to the nuclear industry.

3.13.2.2.6 Utah

Historic age cultural resources in Utah are associated with early exploration and cross-continental travel, Mormon settlement, mining, and other industries. Spanish exploration of Utah began in 1776 with Fathers Silvestre Velez de Escalante and Francisco Atanasio Dominguez, but Euro-American settlement did not begin in earnest until the 1820s through the 1840s when fur trappers and traders moved into the region, and overland routes such as the Old Spanish Trail were established (State of Utah, 2013). Mormon settlement began in Utah in 1847. Manti, Utah was the first of numerous Mormon settlements on the Colorado Plateau, settled in 1849. Silver and lead were discovered in Bingham Canyon in 1863, though open pit mining did not begin until 1906. In 1869, the Union Pacific and Central Pacific Railroad Lines met at Promontory, and, in 1896, Utah became the 45th state.

3.13.3 Gulf Coast Region

3.13.3.1 *Paleontology*

3.13.3.1.1 Louisiana

Carboniferous age fossils from mollusks, crinoids, brachiopods, and trilobites are known to exist in gravels that eroded and were deposited in rivers. Shallow seas and coastal plains dominated the Tertiary landscape, and fossil camels, mastodons, and other mammal fossils are known to exist throughout the state.

3.13.3.1.2 Mississippi

Paleontological resources in Mississippi are known from the Late Devonian through the Quaternary, with significant gaps in the Late Paleozoic and Early Mesozoic.

Fossils from the Tertiary can be found throughout the central portion of the state. Marine fossils from this time include mollusks, whales, sharks, bony fish, and dugongs. Fossils of shells of various terrestrial and freshwater snails and other mollusks, and fossil of manatees, hippos, and the short-faced bear have been recovered from Quaternary loess deposits throughout the state.

3.13.3.1.3 Texas

Paleontological resources from Texas are known from the Cambrian to the Quaternary. During the Paleozoic, Texas was covered by a shallow sea. Cambrian rocks contain trilobites, brachiopods, bivalves, sponges, gastropods and bryozoans. Late Carboniferous (Pennsylvanian) fossils are exposed in north-central Texas and commonly contain brachiopods, trilobites, gastropods, corals, and other marine organisms. Rocks from the Permian are also well exposed in the north-central portion of the state and contain fossil evidence of marine invertebrates such as brachiopods, and terrestrial vertebrates such as *Dimetrodon* and other reptiles, amphibians, and sharks.

Mammalian diversity exploded in the Tertiary, and this can be seen in the fossil record from this time.

3.13.3.2 Archaeology and Cultural Resources

3.13.3.2.1 Prehistory

The archaeological pattern within the Gulf Coast region can be characterized by an increase in sedentism and material complexity. Several archaeological periods have been identified within the states of Louisiana, Mississippi, and Texas. All three states have Paleo-Indian sites dating to ca. 10,000 B.C. Due to decay, erosion and the changing geography and environment, Paleo-Indian sites are not common (Neuman and Hawkins, 1993). Following the Paleo-Indian Period, the archaeological record reflects a more diversified subsistence strategy.

In Louisiana, the archaeological record links to three overlapping periods: (1) Paleo-Indian (12,000 to 6000 B.C.), (2) Meso-Indian (6500 to 2000 B.C.), and (3) Neo-Indian (2500 to 1500 A.D.). The Meso-Indian culture lived in small nomadic hunter gatherer groups. According to radiocarbon dating, samples from Louisiana Meso-Indian mound sites are the earliest mounds in North America (Neuman and Hawkins, 1993).

The Neo-Indian culture (2000 B.C. to 1100 A.D.) is distinguished by population expansion, a more sedentary lifestyle, stone and ceramic vessels, and many decorative ceremonial objects (Neuman and Hawkins, 1993; Gregory and Webb, 1990). They produced refuse piles called shell middens, which is a very valuable and informative resource in the archaeological record (Gibson, 1996). Around, 2,000 years ago during the Woodland Period, the Hopewell (Mound building) culture dominates in the Mississippi area. The Mississippian Period is characterized by large temple mounds denoting ceremonial sites that appear, along with extensive villages, multi-level societies called chiefdoms, agriculture, trade and gradually increasing warfare (Morgan, 2002; Mississippi Department of Archives and History, n.d.). Within Texas, complexity is not as great. The Late Prehistoric Period (A.D. 700 to 1500) is particularly

noticeable in archaeological sites across the state, with the period more similar to the Plains Village site of the Western Interior region. Long distance trade, best reflected in the distribution of artifacts made of obsidian, a material that does not occur naturally in the region, is one distinctive aspect of the period (Thomas and Turner, 2013).

3.13.3.2.2 Protohistoric - Historic

3.13.3.2.2.1 *Louisiana*

The first descriptions of Louisiana Indians are contained in accounts kept by members of Hernando De Soto's Spanish expedition in the 1540s. The next recorders of Indian life were the French in the 1700s. Some of the historic tribes first encountered by Euro-Americans were the Caddo, the Tunica, the Natchez, the Houma, the Atakapa, the Choctaw, and the Chitimacha. Several of Louisiana's present-day Indian tribes, such as the Tunica-Biloxi, Choctaw, and Koasati entered the state in the second half of the eighteenth century (Gregory and Webb, 1990; KnowLA Encyclopedia of Louisiana, 2013).

In 1714, the town of Natchitoches (along the Red River in present-day northwest Louisiana) was established by Louis Juchereau de St. Denis, making it the oldest permanent European settlement in the Louisiana Purchase territory. Major historical conflicts affecting the development of the state of Louisiana include the War of 1812, the Seminole Indian War, the Mexican-American War (1846 to 1848) and the U.S. Civil War (1861 to 1865). These activities left a very rich historical archaeological record, including colonial French, English, and Spanish fortification and settlement, European/Native American trade (glass beads, salt, horses, etc.), Euro-American homesteading, railroading, logging, and petroleum activities (Gregory and Webb, 1990; KnowLA Encyclopedia of Louisiana, 2013). In Louisiana, historic buildings and examples of many classic and unusual architectural styles are abundant. Architectural styles throughout the state include French Creole, Spanish Colonial, Antebellum, Greek Revival, Gothic Revival, Italianate, East Lake, Queen Anne Revival, Beaux Arts, Neoclassical, Bungalow, Hispanic Revival, Empire and Art Deco. Some of the region's common house styles are the Planter's cottage, Dog Trot or Dog Run house, the Shotgun house, and wood plank or log cabins (Fricker et al., 1998; Reichard, 2013).

3.13.3.2.2.2 *Mississippi*

The first European contact with Native Americans in the present-day state of Mississippi occurred in 1540 when the Spanish explorer Hernando De Soto entered the region in a search for gold, wintering with the Chickasaw tribe. Next, in the late 1670s, French Canadians sailed down the Mississippi River and into the area from the north. By that time, disease had killed thousands of natives, and in the early 1700s the French encountered what may have been the last mound cultures in the Mississippi delta, the Natchez tribe (Lamendola, n.d.). High points in Mississippi history include the French and Indian War (1754 to 1763), the completion of Spanish withdrawal from Mississippi territory (1798), the War of 1812 (1812 to 1815), and statehood in 1817. This state has a rich historical archaeological context including Colonial French, Spanish, and English fortification and colonization, Euro-American homesteading (Territorial Period), railroading, and logging activities (Mississippi Department of Archives and History, 2010; Lamendola, n.d.; Mississippi Department of Archives and History, 2013). Mississippi architecture encompasses a wide spectrum of significant buildings ranging from pioneer log and plank cabins, Antebellum, to Art Deco skyscrapers (Lamendola, n.d.). The first permanent house form in Mississippi is

the Creole Cottage. Some of the region's other historic house styles are the Planter's Cottage, the Dog Trot or Dog Run house, and the Shotgun house (Sanders, 2009).

3.13.3.2.2.3 Texas

First contact of Native American and European peoples in the present day region of Texas was the result of European exploration of the Gulf area. Spanish and French parties accessed the region from the Gulf of Mexico on mapping and military expeditions. Later, throughout the 18th century, Spain continuously established Catholic missions throughout the region, which in many cases resulted in first contact with many Indian tribes who occupied the region between the Rio Grande to the south and the Red River to the north (Lone Star Junction, 2009).

The earliest documented settlements in present day Texas are the Spanish mission Isleta (1681) in modern day El Paso, followed by the French Fort St. Louis (1685) on the Gulf Coast. Approximately ten years after Texas won its independence from Mexico, it was annexed to the U.S. in 1846. The U.S.-Mexican war began shortly after because of disagreements about the definition of the boarder between Texas and Mexico. Two years later, the signing of the Treaty of Guadalupe-Hidalgo ended the war, and Mexico officially recognized Texas as part of the U.S. (Bullock Museam, 2015). Agriculture, logging, and ranching flourished throughout the 1800s, and oil was discovered in January of 1901 at the Spindletop field near Beaumont, adding considerably to the archaeological record (Lone Star Junction, 2009).

Historic Texas architecture reflects a variety of cultural influences from a long period of colonization and settlement, organized into six distinct periods from pre-colonial to modern (Robinson, 2013).

3.13.4 Illinois Basin Region

3.13.4.1 Paleontology

3.13.4.1.1 Illinois

Paleontological resources for Illinois range in age from Cambrian to Quaternary in age, with a gap in the fossil record of the Mesozoic. During the Mississippian, sea levels fluctuated across the state. In the Pennsylvanian, Illinois was covered by a large delta and extensive swamps. The fossils from this time include ferns, seed ferns, and extinct relatives of spiders, millipedes, giant dragonflies, jellyfish, shrimp, horseshoe crabs, clams, sharks, brachiopods, and bony fishes.

3.13.4.1.2 Indiana

Paleontological resources for much of the Paleozoic and Cenozoic are present within the state of Indiana. A shallow sea covered much of the state during the Early and Middle Paleozoic, with more terrestrial environments developing during the Carboniferous. Large reefs are common from the Silurian in Indiana. During the Carboniferous, swamps and deltas developed along with the shallow sea, allowing for the preservation of both marine and terrestrial fossils. These include crinoids, bryozoans, brachiopods, gastropods, bivalves, lycopods, Cordaites (conifer relatives), and seed ferns and are exposed in wide swaths across the northern and western portions of the state.

3.13.4.1.3 Kentucky

A description of the paleontological resources in Kentucky can be found in Section 3.13.2.1.

3.13.4.2 Archaeology and Cultural Resources

3.13.4.2.1 Prehistory

The prehistory of the Illinois Basin region can generally be separated into four major prehistoric traditions that are shared by much of the eastern U.S. These traditions are the Paleo-Indian Tradition, the Archaic Tradition, the Woodland Tradition, and the Mississippian Tradition. The oldest of these begins with the oldest human occupations in the area from at least 10,000 B.C., and lasts until about 8000 B.C. Sites in the Illinois Basin from this tradition are likely to be limited to isolated fluted points, often found on erosional surfaces and older landforms (Keller, 1993).

The Archaic Tradition (8000 B.C. to 1000 B.C.) is mostly characterized by widespread changes, particularly increased population, broadened subsistence strategies, increased technological sophistication, and greater residential stability (Keller, 1993). Sites from this period reflect these changes and commonly include rock shelters, shell mounds, cemetery areas, and residential campsites.

The greatest factors that distinguish the Archaic Tradition from the Woodland Tradition (1000 B.C. to A.D. 900) are the addition of pottery and the increase and spread of burial mounds and other ceremonial practices (Keller, 1993). Other important shifts during this period in the Illinois Basin region include the use of the bow and arrow by A.D. 700 and the emergence of agriculture, maize in particular, by A.D. 900 (Fowler and Hall, 1978). Most of the burial mounds in Indiana are associated with the Woodland Tradition (1000 B.C. to A.D. 900) (Kellar, 1998). Artifacts from this period reflect increased craft specialization and ceremonialism, as well as the expansion of trade networks (Keller, 1993).

The Mississippian Tradition (A.D. 900 to 1600) in the Illinois Basin is dominated by the influence of the Cahokia site in western Illinois, near St. Louis (Fowler and Hall, 1978; Keller, 1993). The Cahokia site was the cultural center for this area and dominated the development of the Mississippian Tradition (A.D. 900 to 1600) (Fowler and Hall, 1978; Keller, 1998). Cahokia covered nearly six square miles with population estimates ranging from 20,000 to 40,000. Many of the sites are confined to the broad floodplains of the Illinois Basin, possibly due to the presence of better farmland (Keller, 1993). In Indiana, the Mississippian Tradition includes settled town life in Indiana, expressed with the presence in some areas of flat-surfaced mounds on which were erected important structures. A distinctive pottery complex further defines this tradition (Kellar, 1998).

3.13.4.2.2 Protohistoric – Historic

The French were the first Europeans in the Illinois Basin in the late 1600s. The Illinois tribe's traditional territory included most of the state of Illinois, including a large area within the Mississippi River basin. The Chickasaw tribe occupied western Kentucky (Illinois State Museum, 2000). Rapid Euro-American population growth in the 1700s led to the establishment of Indiana territory in 1800 (which included Illinois and Indiana). Industries in the region included coal mining, railroads, steel manufacturing, and meat packing. Cultural resources expected from this period may include forts, houses, farmsteads, barns, trails/roads, canals, railroads, bridges, factories, mills, and mines (Center for History, 2010; Lazzerini, 2005a; Lazzerini, 2005b).

Architectural styles draw on the varied cultural influences of a given region. For this region those influences include the French and English on initial settlement. Later, a wide range of industries attracted

German, Jewish, Irish, Scandinavian, and Slavic immigrants to the area. Their influences are also apparent in the architectural styles of the region. Architectural resources of this region will include forts, cabins, farm houses, barns, covered bridges, schools, churches, courthouses, hospitals, libraries, theaters, high-rises, gas stations, commercial buildings, railroad stations, factories, and mills (Center for History, 2010; Lazznerini, 2005a; Lazznerini, 2005b).

3.13.4.2.3 Illinois

The first European explorers to reach Illinois were the French Jacques Marquette and Louis Jolliet in 1673 (Lazznerini, 2005b). Settlement began in earnest with the erection of Fort Crevecoeur in 1680 by Rene-Robert Cavalier, sieur de La Salle, though the fort fell to mutiny later that year. After the French and Indian War, the land that would become Illinois came under English control. Early historic-age sites in Illinois are related to seventeenth and eighteenth century French and English exploration and occupation of the region. They include forts, cabins and homesteads, trading posts, and other sites associated with exploration and the fur trade (Center for History, 2010; Lazznerini, 2005b). After the American Revolution, Illinois became a U.S. territory, and achieved statehood in 1818 (History, 2015).

3.13.4.2.4 Indiana

The first European explorer to reach Indiana was Rene-Robert Cavalier, sieur de La Salle in December 1679 (Center for History, 2010). The fur trade became important in Indiana throughout the eighteenth century, and forts and trading posts soon were constructed across the landscape. The end of the French and Indian War (1754 to 1763) resulted in Indiana being turned over to the English. By the end of the American Revolution in 1783, the Ohio Valley was part of the U.S. Historic-age sites in Indiana include forts and trading posts related to both the fur trade and the various wars associated with early American history. Other sites include cabins, schools, churches, homesteads and towns related to early and continued settlement, as well as a full range of more modern industrial and mining related activities (Center for History, 2010).

3.13.4.2.5 Kentucky

A description of the archaeological and architectural resources in Kentucky can be found in Section 3.13.2.2.

3.13.5 Northern Rocky Mountains and Great Plains Region

The coal-bearing counties in the intermountain region are within Colorado, Wyoming, Montana, and North Dakota. Physiographically, the coal-bearing counties in the intermountain region are within the northern Great Plains (portions of Colorado and Wyoming, and all counties in Montana and North Dakota), and northeastern Colorado Plateau (portions of Colorado and Wyoming) (Mehls, 1984; Schmidt and Vermeer, 2002).

3.13.5.1 *Paleontology*

3.13.5.1.1 Colorado

Paleontological resources from Colorado are described in Section 3.13.2.1.

3.13.5.1.2 Montana

Paleontological resources in Montana are known from nearly all periods of geologic time. Shallow seas covered much of Montana from the Precambrian through the Early Paleozoic. Fossil evidence of these seas include stromatolites, algae, trilobites, crinoids, bryozoans, brachiopods, gastropods, mollusks, conodonts and, later in time, over a hundred species of fish. During the Cenozoic, the environment in Montana ranged from hot and arid to more humid with seas, including the Cretaceous Interior Western Seaway, covering the state for portions of this era. Important fossil resources from this time include a wide range of plants and animals. Dinosaur fossils are perhaps the best known and include *Deinonychus*, *Tyrannosaurus rex*, and the state fossil *Maiasaura peeblesorum* (including evidence of their nests, eggs, and young). Fossils from the Quaternary reflect variable climate conditions and include titanotheres, dogs, mammoths, dire wolves, and musk ox. Carboniferous fossils in Montana are known from exposures in the central portion of the state. Because shallow-to-deep seas again covered Montana during the Mississippian, the fossils from this time include algae, sponges, worms, arthropods, bivalves, cephalopods, brachiopods, and nearly 100 species of fish.

3.13.5.1.3 Wyoming

The oldest fossils in Wyoming are Precambrian in age and consist of stromatolites. Fluctuating sea levels and periods of uplift and erosion were present from the Cambrian through the Paleozoic, leaving a range of paleontological resources that include trilobites, brachiopods, corals, sponges, pelycypods, conodonts, crinoids, algae, fish and trace fossils. Mesozoic paleontological resources are known from both marine and terrestrial environments and include oysters, belemnites and other marine invertebrates, and theropod dinosaur trackways. The sediments of the world-famous Jurassic-age Morrison Formation are known to contain many dinosaurs, including *Apatosaurus*, *Stegosaurus*, *Allosaurus*, *Diplodocus*, *Camarasaurus*, as well as the fossils of fish, frogs, salamanders, lizards, crocodiles, pterosaurs, and small mammals. Cretaceous age fossils can be found in rock exposures throughout the state and include a wide variety of animals such as fish, frogs, salamanders, turtles, crocodiles, pterosaurs, mammals, and birds. Well known dinosaur finds include *Tyrannosaurus*, *Triceratops*, *Ankylosaurus*, *Troodon*, *Edmontosaurus*, *Pachycephalosaurus*, *Edmontonia*, *Dromaeosaurus*, and *Ornithomimus*. Tertiary rocks and sediments cover much of the state and contain evidence of lush forests, some of which are the source of coal deposits in the state. Fossils from this age include the state fossil, the fish *Knightia eocaena*, as well as flamingos, crocodiles, boas, and bats. Quaternary deposits include fossils of mammoth, horse, camel, bison, and Pronghorn antelope, as well as fossil pollens.

3.13.5.1.4 North Dakota

The oldest fossils in North Dakota are Precambrian in age and consist of stromatolites. During the Pennsylvanian and Permian, the sea levels started to recede. Rocks of this age can be found throughout the state and contain brachiopods, sponges, horn corals, bryozoans, pelecypods, gastropods, belemnites, ostracods, conodonts, and fish. Jurassic rocks are exposed throughout the state and are rich in fossils. These paleontological resources include oysters, belemnites and other marine invertebrates. Theropod dinosaur trackways are also known. Tertiary rocks and sediments cover much of the state and contain evidence of lush forests, some of which are the source of coal deposits in the state. Fossils from this age include the state fossil, the fish *Knightia eocaena*, and flamingos, crocodiles, boas, and bats.

3.13.5.2 Archaeology and Cultural Resources

3.13.5.2.1 Prehistory

This section draws primarily from the *Handbook of North American Indians, Volume 13* (DeMallie and Sturtevant, 2001) and various state preservation plans (Gregg et al., 2008; Wyoming State Preservation Office, 2007) and historic contexts (Fraserdesign, 2006; Grady, 1984).

Archaeology within the region has been divided between the Paleoindian (10,000 to 8000 B.C.) and Archaic (8000 to 500 B.C.). At this point archeological patterns in the Great Plains and Colorado Plateau differ. The archaeology of the plains has been divided into the Plains Woodland (500 B.C. to 1000 A.D.), Plains Village (1,000 A.D. to contact), and historic period (contact to 1950). The archeology of the Colorado Plateau consists of the Formative (A.D. 300 to 1300) and Protohistoric (A.D. 1300 to contact) Periods (DeMallie and Sturtevant, 2001).

During the Paleoindian Period, distinct artifact types are representative such as Clovis Points, Folsom Points, Hell Gap/Agate Basin, and Cody points. More ancient Paleoindian sites and isolated artifacts have been associated with river basins where Pleistocene glaciers released their outwash, and in areas where Pleistocene landforms have been preserved. As glaciers melted, Paleoindians expanded their territory to take advantage of new environments. Beginning around 5500 B.C., patterning within the archaeological record of the region shifts, both in the tools present and spatial patterning (Grady, 1984). Within the Great Plains, perishable artifacts such as basketry, dart shafts, and digging sticks have been recovered from caves in Wyoming. Other features common during this period are stone circles, or tepee rings, pictographs and petroglyphs, and occasionally burials.

Starting at the end of the Archaic Period, the archeology of the plains diverges from that of the Colorado Plateau. From 500 B.C. to contact, the archaeologists have adopted the Eastern Woodlands and Plains Village Traditions. Ceramics first appear during the Plains Woodlands Period. Plains Village archaeological sites have many similarities with Woodland sites. Villages became semi-permanent, with large, rectangular houses. Villages were placed in defensible positions and often had palisades. Large tracts of land on flood plains were used for crop production, and horticulture was equally as important as hunting and gathering. In addition, buffalo were hunted in large numbers.

Prehistoric cultural resources in Montana reflect those found throughout the Northern Rocky Mountains and Great Plains region, as summarized above. Prehistoric cultural resources in North Dakota reflect those found throughout the Northern Rocky Mountains and Great Plains region, as summarized above, and include isolate finds, small campsites, and kill sites as well as larger camps and the important Knife River flint source. Prehistoric cultural resources in Wyoming reflect those found throughout the Northern Rocky Mountains and Great Plains region, as summarized above, and include perishable artifacts such as basketry, dart shafts, and digging sticks that have been recovered from caves within the state.

For Colorado, from A.D. 300 to contact, archaeologists have identified the Formative and Protohistoric Periods. The Formative Period is confined to the western portion of Colorado and southwestern Wyoming. Archaeological sites dating to this period indicate native peoples were more sedentary than during the Archaic Period. These groups are generally ascribed the term Fremont. As early as A.D. 900 the archaeological pattern of the Formative Period begins to be replaced by more mobile hunter-gatherers.

With the exploration of North America and its subsequent colonization, several old-world diseases were introduced to Native populations. This, along with encroachment by settlers, has resulted in the displacement of many Native American groups indigenous to the Great Plains and Colorado Plateau. Within the Intermountain region of the Great Plains, eight Native American groups have been identified. These are the Assinibonnie, Blackfoot, Crow, Gros Venture, Hidatsa, Mandan, Cheyenne, and Arapaho. Two Native American groups are present in the Colorado Plateau portion of the study area. These are the Eastern Shoshone and the Ute. Within Wyoming, the Eastern Shoshone occupied a territory which stretched the entire length of the state. The Ute occupied the western half of Colorado.

3.13.5.2.2 Protohistoric – Historic

3.13.5.2.2.1 *Colorado*

Archaeological and Cultural resources from Colorado are described in Section 3.13.3.2.

3.13.5.2.2.2 *Montana*

Historic resources reflect exploration, cattle ranching, railroads, and mining. The Lewis and Clark Expedition of 1804 to 1806 was the first group of American explorers to cross Montana. Fur trappers, traders, and Roman Catholic missionaries soon followed, as did the establishment of Saint Mary's Mission in the Bitterroot Valley, thought to be the first permanent settlement in Montana. Gold brought many prospectors into the area in the 1860s, and Montana became a territory in 1864. The rapid influx of people led to boomtowns that grew rapidly and declined just as quickly when the gold ran out. Cattle ranches flourished in the 1860s and 1870s, leading to conflicts with Native Americans, culminating in the 1876 Battle of the Little Bighorn. During the 1880s, railroads crossed Montana and the territory became a state in 1889. Hardrock mining also began at this time. Butte became famous when silver and copper were discovered. The Anaconda Copper Company, owned by Marcus Daly, became one of the world's largest copper mining companies and exercised inordinate influence in the state (State of Montana, 2010).

3.13.5.2.2.3 *North Dakota*

North Dakota was first visited by the French in 1738. In 1803, the territory was transferred to the U.S. through the Louisiana Purchase. Lewis and Clark explored this area in 1804 and 1806, and several Roman Catholic missions were established in the territory during the 1810s. Several trading posts were established in the subsequent years, and, in 1832, the first steam ship arrived in the territory, bringing settlers and trappers. In 1889, North Dakota was admitted into the union. Since statehood, North Dakota has been the scene of ranching and farming. Historic sites found in the state include ranches, homesteads, trading posts, and battle fields, among others.

3.13.5.2.2.4 *Wyoming*

Historic age resources are related to exploration, mining, and westward expansion. Wyoming was first visited by Europeans during the mid-1700s, but it was not until 1807 that the first American, John Colter, entered Wyoming. During the 1800s, settlers began crossing the area via the Oregon Trail, and by 1825, fur trapping and trading was a significant activity in the area. The first town, Ft. Supply, was established in 1853, and the construction of the transcontinental Telegraph in 1861 led to the establishment of several army forts and trading posts. In 1868, the Wyoming territory was created, and in 1872, Yellowstone National Park was established. Gold discoveries in the late 1860s also brought more settlers into the

territory. In 1890, Wyoming became a state. In the early 1900s, mining operations began extracting uranium and other minerals.

3.13.6 Northwest Region

Although the Northwest region includes the States of Oregon, Washington, and Alaska, there are no active or proposed mines in Oregon or Washington. Consequently, this discussion is limited to the State of Alaska.

3.13.6.1 Paleontology

3.13.6.1.1 Alaska

Paleontological resources in Alaska begin with finds from the Precambrian. Fossils from the Permian are entirely marine in nature and include brachiopods, ammonoids, and snails. Volcanic activity in the Triassic resulted in the formation of volcanic island arcs, around which reefs formed. Fossil evidence of these reefs can be found in the southern portion of the state, as can fossils of mollusks, ichthyosaurs, and early bony fish. Coastal swamps and shallow marine conditions during the Cretaceous resulted in a fossil record that includes dinosaurs and marine organisms. The Alaska state fossil, *Mammuthus primigenius*, is also from the Quaternary.

3.13.6.2 Archaeology and Cultural Resources

3.13.6.2.1 Prehistoric

3.13.6.2.1.1 Alaska

The Paleo Arctic Tradition (8000 to 6000 B.C.) is widespread throughout the state and is characterized by lithic artifact assemblages based on a core and blade/micro-blade technology, distinctive micro-cores, and burins (small engraving tools) (NPS, 2013c; Sturtevant and Damas, 1985). Numerous other cultural sequences followed, including traditions from the Pacific Coast, the Aleutian Region, the Pacific Eskimo Stages, Southwest Alaska Coastal, and Mainland (Totem and Potlatch People) (Alaska Native Heritage Center, 2013; Athropolis, 2005; Sturtevant and Damas, 1985).

3.13.6.2.2 Protohistoric - Historic

The known history of modern Alaska is short due to its relatively recent discovery by the developed world halfway through the 18th century (Alaska Public Lands Information Center, 2015). The first historic contact with Alaskan Native Americans was made by the fur trade expedition of the Russians Aleksei Chirikov and Vitus Bering in 1741. The major Alaskan Indian groups at the time consisted of the Athabaskan, Yup'ik, Cup'ik, Inupiaq, Aleut, Alutiiq, Eyak, Tlingit, Haida, and Tsimshian tribes (History Timelines, 2012; Athropolis, 2005; Sturtevant and Damas, 1985).

Other significant milestones in Alaskan history include the beginning of coal mining activities in 1857, the U.S. purchase of Alaska from Russia in 1867, construction of the Alaskan Rail Road from 1914 to 1923, salmon and other fish canneries beginning around 1882, and the influx of prospective miners in search of gold such as during the Klondike Gold Rush of 1897-1900.

All manner of buildings associated with the history and prehistory of the area may be expected in the region. Architectural styles draw on the varied cultural influences of a given region. More notable influences include the Russian American, Victorian, and later the Craftsman Movement (NPS, 2009b).

3.13.7 Western Interior Region

3.13.7.1 Paleontology

3.13.7.1.1 Arkansas

The fossil record in Arkansas begins in the Early Paleozoic. During this time, the state was covered by a shallow sea. The extensive seas of the Mesozoic were still present, but less extensive during the Cenozoic. As sea levels fell throughout the Tertiary, swamps formed throughout southern Arkansas. Fossils from this period are present in rocks in the southern and eastern portions of the state and include oysters and shark teeth.

3.13.7.1.2 Kansas

Paleontological resources in Kansas are absent for the Precambrian, the Early Paleozoic and the Early Mesozoic. However, the Carboniferous, Permian, Cretaceous, Tertiary, and Quaternary are well represented in the fossil record for the state. Shallow seas that likely covered much of the state during the Paleozoic experienced fluctuating levels during the Carboniferous, resulting in the formation of swamps along the coasts. Fossils from this period are exposed in a broad band of rocks covering the eastern edge of the state, and include crinoids, brachiopods, bryozoans, echinoids, bivalves, gastropods, corals, trilobites, amphibians, early reptiles, and many primitive plants. Sea levels continued to fluctuate during the Permian, and similar life forms persisted. The Tertiary in Kansas was marked by a wetter and milder climate than today, and a more savannah-like environment. Tertiary fossils are present in rocks in the western portion of the state and include rhinoceros, camel, and tortoise species.

3.13.7.1.3 Missouri

Paleontological resources in Missouri range from Paleozoic marine invertebrates to Quaternary mastodons. The most extensive fossil deposits from the Paleozoic are from the Carboniferous. Rocks of this age cover nearly the entirety of the northern and western portion of the state and include both marine and terrestrial fossils. The Missouri state fossil, the crinoid *Delocrinus missouriensis*, is from the early Carboniferous.

3.13.7.1.4 Oklahoma

The earliest fossils in Oklahoma are Cambrian in age. During most of the Paleozoic, a shallow sea covered much of the state, and the fossil resources for this period reflect that environment. Mississippian fossils are known from the northeastern portion of the state and include blastoids, brachiopods, echinoids, corals, trilobites, and other tropical marine invertebrates. Permian rocks cover much of the state and reflect a retreat of the shallow sea that had covered the state for much of the Paleozoic. Fossils from these rocks include rare amphibians and reptiles, and vertebrate footprints.

3.13.7.1.5 Texas

A description of the paleontological resources in Texas can be found in Section 3.13.4.1.

3.13.7.2 Archaeology and Cultural Resources

3.13.7.2.1 Prehistoric

The Western Interior region is in a transition zone between the Great Plains and the Eastern Woodlands called the Osage Plains. The Western Interior region includes the western edge of Arkansas, the eastern edge of Kansas, northwestern Missouri. In this region, the Paleo-Indian period begins roughly 13,500 years ago (11,500 B.C.) and transitions into the Archaic pPeriod around 7500 B.C. The people of this period practiced a hunter-gatherer subsistence pattern that emphasized a high degree of mobility and hunting of Pleistocene Mega Fauna and, later in the period, large game. Clovis, Folsom, and Dalton points are three of the projectile point types most closely associated with this period in this region. Because Paleo-Indian groups were highly mobile, isolated finds, small campsites, and kill sites are present in a variety of physiographic contexts throughout the larger Plains region, including the Osage Plains (Brown et al., 1987; Marchand, 1993). Paleo-Indian Period resources are present in Missouri in the form of isolated finds and cave sites. Sites such as Arnold Research Cave are located along near drainages. In Arkansas, temporary camps such as at La Crosse, and rock art sites such as at Rock House Cave evidence Paleo-Indian occupations. Sites such as La Crosse are located along river drainages. Paleo-Indian Period resources are present in Oklahoma in the form of isolated finds, open camps, and kill sites. Sites such as Jakes Bluff and the Domebo Canyon Site are located along rolling hills near drainages.

The Archaic Period begins approximately 9,500 years ago (7500 B.C.). Cultural materials from this period may include stone bowls, groundstone, dart-sized projectile points, knife blades, stone scrapers, drills, fish-hooks, stone sinkers, awls, and atlatls (Alex, 2002; Trubitt, 2010). Towards the end of the Archaic, some sites might include base camps, village sites, and mound sites (Alex, 2002; Trubitt, 2010).

The transition into the Woodland Period begins around 2,600 years ago (600 B.C.) and persists until about A.D. 1000. The construction and use of burial mounds and ceremonial complexes, the production and use of ceramic vessels, the development of exchange networks (i.e., importation of copper) and intensified use of agriculture are considered Woodland developments. Expected sites from this period include villages, lodges, smaller structures, burial mounds, ceremonial mounds, and small non-mound villages (Mainfort, 2011). Some of the more notable Arkansas Woodland sites include Nodena and Toltec Mound; while in Missouri, Fairfield Mound is a notable site.

Archaeologists designate the period from about A.D. 900 to 1600 as the Plains Village Tradition. This period is marked by extensive maize (corn) farming. After about A.D. 900, sites containing features such as earthen lodges, village sites, stockades, farmsteads, temples, platform mounds, and storage pits become common (Nebraskastudies.org, 2011; Nebraska State Historical Society, 1998). The Mississippian people lived in chiefdoms, traded for copper and marine shell, lived a sedentary lifestyle, built mounds, and conducted warfare. An example is the Duncan Site in Oklahoma.

3.13.7.2.2 Protohistoric – Historic

Native American groups from the contact period to the historic period in this region include at least ten different tribes. The Osage tribal territory encompasses most of the Western Interior region. The Quapaw is on at the southeastern edge of the region. The Wichita and Kiowa are just along the western edge of the region. The Kansa, Missouria, Otoe, and Iowa are clustered at the northern portion of this

region. The Omaha and Pawnee are located at the northwestern periphery of the region. At the time of European contact in the 1700s, these tribes and their neighbors were in a state of geographic flux.

3.13.7.2.2.1 Arkansas

Spanish explorer, Hernando De Soto was the first European to reach Arkansas in 1541. At the time its Native American population was peaking with thousands of people in villages along the Mississippi River. The first European settlement was established by the French in 1686. Arkansas became part of the U.S. in 1803 with the Louisiana Purchase, and gained statehood in 1836 (Arkansas Department of Parks & Tourism, 2015).

Historic sites date to as early as A.D. 1540. Sites within Arkansas include the Pakin Site, a village that many suspect was visited by de Soto, grist mills, settlements, Civil War battle fields, Civil Conservation Corps camps and projects, and buildings important to the civil rights movement such as Little Rock High School.

3.13.7.2.2.2 Kansas

The first European explorer to travel to this region was Francisco de Coronada in 1541. This area was claimed by France in 1682, ceded to Spain in 1763, reverted to France in 1800, and finally became part of the U.S. as a result of the Louisiana Purchase in 1803. After disagreements over the practice of slavery in the area Kansas' statehood became a national debate, but in 1861 Kansas was granted statehood (Information Please Database, 2014).

Historic sites date to as early as the 1540s. Historic era sites within Kansas include settlements, trading outposts, forts, ranches, and travel routes.

3.13.7.2.2.3 Missouri

In 1673, the French explorers Jaques Marquette and Louis Joliet were the first Europeans to explore this region. In the same manner as Kansas, Missouri was claimed by both Spain and France throughout the 17th and 19th centuries (Missouri Office of the Secretary of State, 2015). This area was acquired by the U.S. as a result of the Louisiana Purchase of 1803, and acquired statehood in 1821 as a result of the Missouri Compromise (History, 2015).

Historic sites date to as early as the early 1500s. Historic era sites within Missouri include settlements, trading outposts, forts, ranches, and travel routes.

3.13.7.2.2.4 Oklahoma

European explorer, Francisco Coronado, is believed to have reached Oklahoma in 1541 (History, 2015). Several Native American tribes populated the area but the Europeans did not settle in this region. Oklahoma became part of the U.S. as a result of the Louisiana Purchas in 1803, and gained statehood in 1907 (Information Please Database, 2014).

Historic sites date to as early as A.D. 1450. Historic era sites within Oklahoma include settlements, trading outposts, forts, ranches, and travel routes.

3.13.7.2.2.5 Texas

A description of the Archaeology and Cultural resources in Texas can be found in Section 3.13.4.2.

3.14 Socioeconomic Conditions

This section characterizes the socioeconomic features of the seven coal regions: the Appalachian Basin, the Colorado Plateau, the Gulf Coast, the Illinois Basin, the Northern Rocky Mountains and Great Plains, the Northwest, and the Western Interior. Within these geographic areas, a total of 285 counties were identified as coal-producing counties. This section describes the demography and regional economic profile of the coal-producing counties, organized by coal region. For context, the socioeconomic profiles of the coal regions are compared with those of the broader statewide and national economies.

Section 3.14.1 describes regional demography, including population, age, race, and ethnicity. Section 3.14.2 characterizes the regional economic environment, such as income and employment statistics by industry, including the coal mining industry, and coal-related severance tax rates and associated revenues. While this section contains some information on trends in coal production and related employment levels, a detailed description of recent trends in the coal mining industry is provided in Section 3.1. Section 3.14.3 focuses specifically on the economic profiles of potentially-affected tribal populations. This information informs the socioeconomic impact analysis in Section 4.3.1, as well as the Environmental Justice analysis in Section 4.4, which evaluates the extent to which the Action Alternatives may generate disproportionately high and adverse human health or environmental effects on minority and low-income populations. Section 4.3.1 includes a discussion of the coal industry's contribution to the quality of life within mining-dependent regions.

3.14.1 Demography

Demographic information is broken down into three specific areas of interest: population trends, ethnic composition, and age composition. This FEIS evaluates trends in these demographic characteristics using 1990, 2000, and 2010 U.S. Census data.

As described in Table 3.14-1, the populations of coal-producing counties experienced relatively low population growth compared to the U.S. as a whole between 1990 and 2010. Specifically, the rate of population growth in coal-producing counties was roughly half the nationwide growth during that time period. Approximately 6.4 percent of the nationwide population lived within coal-producing counties in 2010.

As highlighted in Table 3.14-2, coal-producing counties are generally less racially diverse than the nationwide population. Approximately 83.9 percent of the population living in coal-producing counties self-identifies as “white.” With the exception of American Indians and Alaska Natives, every reported minority is underrepresented in coal-producing counties compared to the broader U.S.

The age composition of coal-producing counties conforms closely to that of the broader country. Across the eight age groups described in Table 3.14-3, only one group (senior citizens) constitutes more than a single percentage-point difference from the age composition of the national population. The following sections provide more information on demography by coal region.

Table 3.14-1 Population Trends in Coal Regions, 1990 – 2010

Coal Region¹	Geography	Population Growth 1990 - 2000 (%)	Population Growth 2000 - 2010 (%)	2010 Population
Appalachian Basin	Coal-producing Counties	1.4	0.1	10,437,566
	Statewide – all counties	8.1	6.4	55,331,661
Colorado Plateau	Coal-producing Counties	26.3	14.3	743,834
	Statewide– all counties	32.2	20.5	16,244,277
Gulf Coast	Coal-producing Counties	21.3	15.0	885,209
	Statewide– all counties	18.4	15.9	32,646,230
Illinois Basin	Coal-producing Counties	6.4	6.6	4,208,144
	Statewide– all counties	9.1	4.9	23,653,801
Northern Rocky Mountains and Great Plains	Coal-producing Counties	27.7	21.4	1,109,303
	Statewide– all counties	22.2	14.4	7,254,828
Northwest²	Coal-producing Counties	***	-3.5	1,826
	Statewide– all counties	14.0	13.3	710,231
Western Interior	Coal-producing Counties	14.1	8.5	404,473
	Statewide– all counties	10.1	7.6	15,509,314
Total U.S.	Within All Coal Counties	5.5	4.1	17,713,505
	Nationwide – Coal and Non coal states	13.2	9.7	308,745,538

¹ Counties within a state (such as certain counties in Kentucky and Colorado) that cross regional boundaries are counted in the region where they fall.

² Northwest data includes only Alaska; no population data exists for Denali County, AK from the 1990 Census.

Source: U.S. Census Bureau, Census 1990, Census 2000, and Census 2010.

Figure 3.14-1. Population in the Seven Coal Regions, 1990, 2000, and 2010

Source: U.S. Census Bureau, 2013. 1990 Census, Census 2000 Gateway, and Census 2010. U.S. Department of Commerce.

Table 3.14-2 Race and Ethnicity in Coal Regions (Percent of Population), 2010

Coal Region¹	Geography	White	Black or African American	American Indian and Alaska Native	Asian, Native Hawaiian, Pacific Islander, or Other	Two or More Races	Hispanic Origin³
Appalachian Basin	Coal-producing Counties	88.4	8.0	0.2	2.0	1.4	2.2
	Statewide – All counties	76.9	15.8	0.3	4.9	2.1	5.1
Colorado Plateau	Coal-producing Counties	69.4	0.6	20.9	6.4	2.7	14.8
	Statewide– all counties	77.2	3.3	3.6	12.6	3.3	26.1
Gulf Coast	Coal-producing Counties	77.2	11.6	0.7	8.7	1.8	46.4
	Statewide– all counties	68.3	16.9	0.7	11.7	2.4	29.8
Illinois Basin	Coal-producing Counties	85.3	8.9	0.2	3.7	1.9	5.3
	Statewide– all counties	78.0	11.8	0.3	7.8	2.1	10.8
Northern Rocky Mountains and Great Plains	Coal-producing Counties	81.0	1.8	2.3	11.9	3.0	26.3
	Statewide– all counties	84.0	3.0	2.3	7.7	3.1	15.6
Northwest²	Coal-producing Counties	89.6	0.5	3.6	1.9	4.4	2.3
	Statewide– all counties	66.7	3.3	14.8	8.0	7.3	5.5
Western Interior	Coal-producing Counties	77.4	3.7	8.4	5.1	5.4	6.3
	Statewide– all counties	79.3	10.2	2.6	4.7	3.2	6.6
Total U.S.	Within All Regions	83.9	7.4	1.4	5.1	2.1	7.4
	Nationwide – Coal and Non coal states	72.4	12.6	0.9	11.1	2.9	16.3

Source: U.S. Census Bureau, 2013. Census 2010. U.S. Department of Commerce.

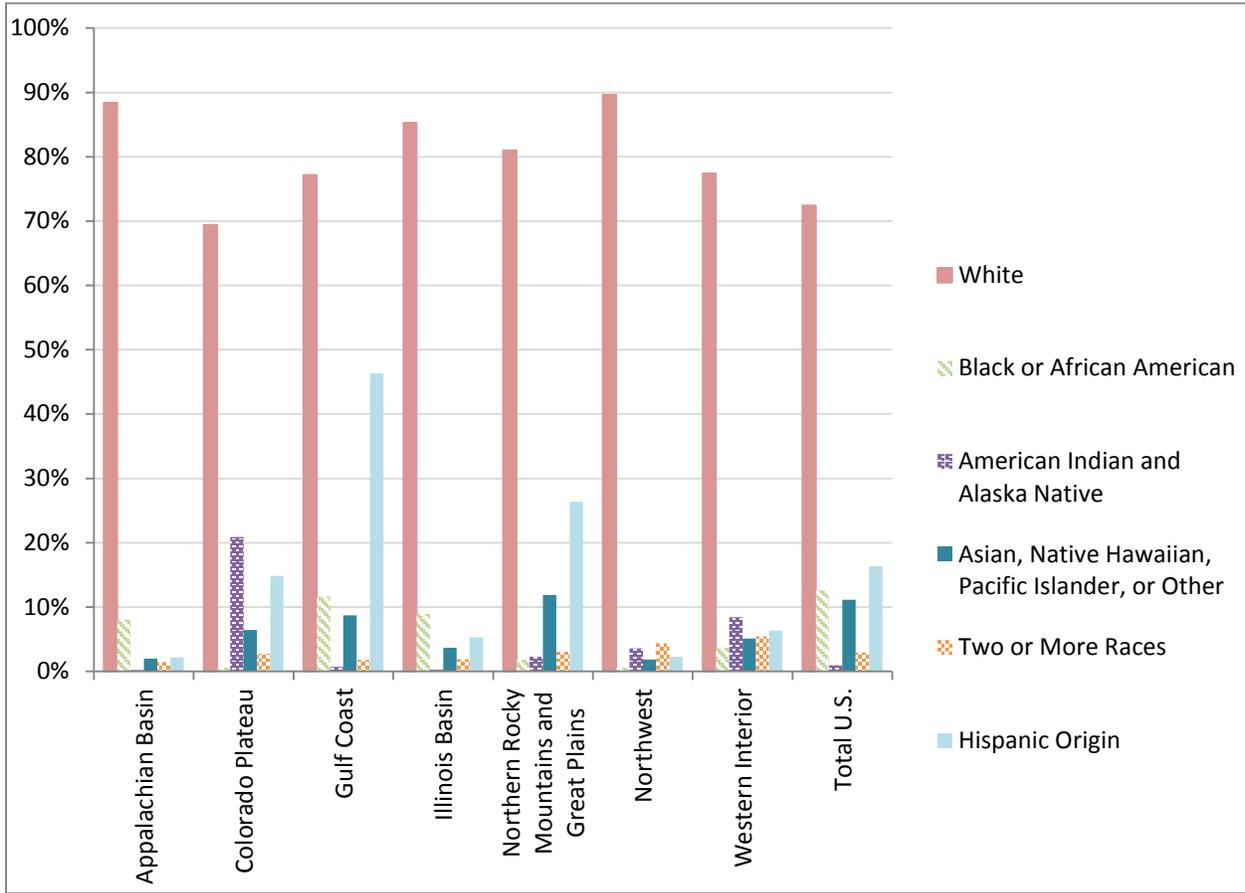
¹ Counties within a state (such as certain counties in Kentucky and Colorado) that cross regional boundaries are counted in the region where they fall.

² Northwest data includes only Alaska; no population data exists for Denali County, AK from the 1990 Census.

Source: U.S. Census Bureau, Census 1990, Census 2000, and Census 2010.

³ Hispanic origin is an ethnicity and not a race. Thus, an individual may self-identify as being both within a certain race and of Hispanic origin. The "Hispanic Origin" column of this table is, therefore, not additive with the other columns defining race.

Figure 3.14-2. Race and Ethnic Composition in the Seven Coal Regions, 2010



Source: U.S. Census Bureau, 2013. Census 2010. U.S. Department of Commerce.

Table 3.14-3. Age Composition in Coal Regions (Percent of Population), 2010

Coal Region¹	Geography	Under 5	5-14	15-24	25-34	35-44	45-54	55-64	65+
Appalachian Basin	Coal-producing Counties	5.6	11.8	13.6	11.7	12.6	15.1	13.5	16.2
	Statewide– all counties	6.2	12.8	13.8	12.6	13.2	15.1	12.5	13.8
Colorado Plateau	Coal-producing Counties	7.5	14.6	14.1	12.8	11.7	14.1	12.4	12.9
	Statewide– all counties	7.4	14.4	14.4	14.1	12.9	13.4	11.2	12.0
Gulf Coast	Coal-producing Counties	7.8	16.0	14.5	12.5	12.7	13.3	11.0	12.2
	Statewide– all counties	7.5	14.8	14.7	14.2	13.5	13.8	10.7	10.8
Illinois Basin	Coal-producing Counties	6.5	13.5	13.8	12.4	12.9	14.8	12.1	13.9
	Statewide– all counties	6.6	13.5	14.0	13.4	13.3	14.6	11.8	12.8
Northern Rocky Mountains and Great Plains	Coal-producing Counties	7.8	14.9	13.5	14.6	13.7	14.3	11.2	10.0
	Statewide– all counties	6.8	13.2	13.9	14.0	13.2	14.8	12.3	11.9
Northwest²	Coal-producing Counties	6.2	12.7	8.0	12.7	14.7	20.8	17.3	7.5
	Statewide– all counties	7.6	14.3	15.0	14.5	13.1	15.6	12.1	7.7
Western Interior	Coal-producing Counties	6.8	14.1	13.3	11.8	12.4	14.6	12.3	14.8
	Statewide– all counties	6.8	13.5	14.1	13.1	12.4	14.4	11.9	13.8
Total U.S.	Within All Regions	6.1	12.6	13.6	12.6	13.0	14.9	12.7	14.5
	Nationwide – Coal and Non coal states	6.5	13.3	14.1	13.3	13.3	14.6	11.8	13.0

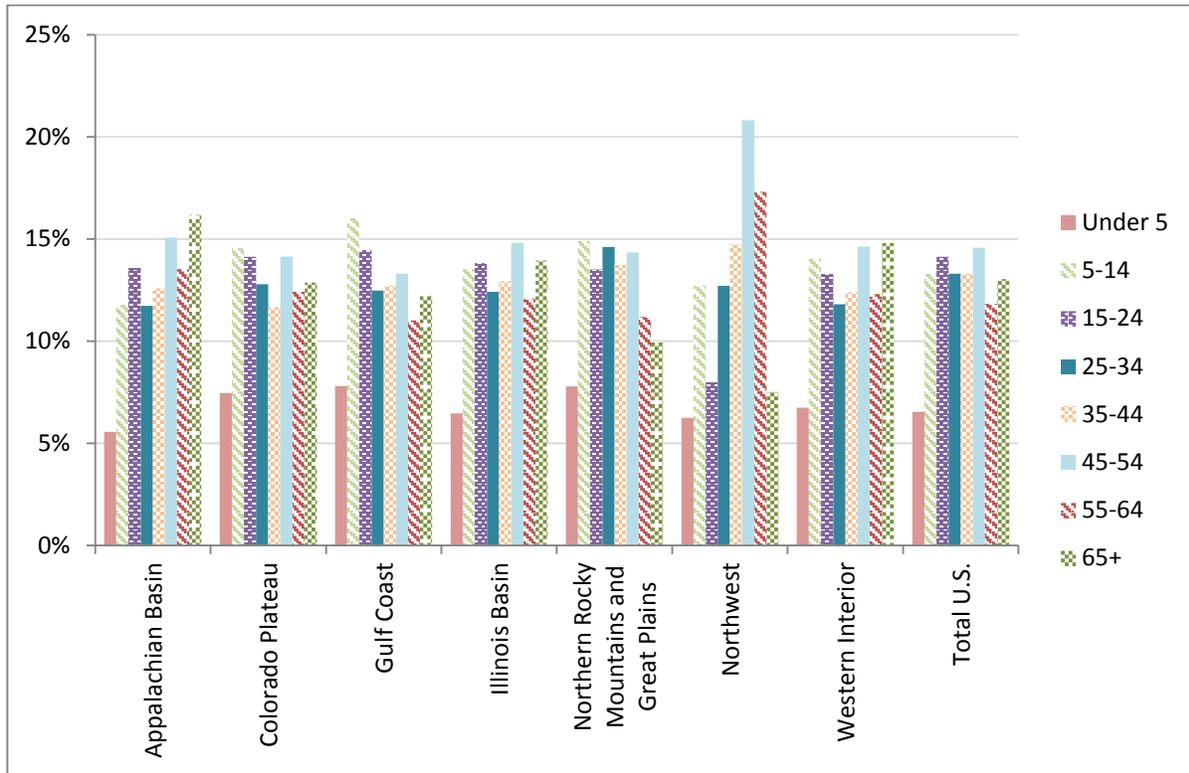
Source: U.S. Census Bureau, 2013. Census 2010. U.S. Department of Commerce.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. Three Colorado counties overlap both the Colorado Plateau and Northern Rocky Mountains and Great Plains regions. The data for these counties is therefore included in both regions.

² Northwest data includes only Alaska; no population data exists for Denali County, AK from the 1990 Census.

Source: U.S. Census Bureau, Census 1990, Census 2000, and Census 2010.

Figure 3.14-3. Age Distribution in the Seven Coal Regions, 2010



Source: U.S. Census Bureau, 2013. Census 2010. U.S. Department of Commerce.

3.14.1.1 Appalachian Basin

There are 145 coal-producing counties in the eight states that make up the Appalachian Basin region. More than half of the people living in coal-producing counties nationwide are located in the Appalachian Basin region. The population in these counties accounts for 18.9 percent of the population within the eight Appalachian Basin states. Among the seven coal regions, coal-producing counties within the Appalachian Basin experienced the lowest positive rates of population growth between 1990 and 2010 (Table 3.14-1). Population within these counties remained stable, growing by less than two percent between 1990 and 2000 and less than one percent between 2000 and 2010. The eight Appalachian Basin states likewise experienced less growth than the nationwide population; however, statewide growth rates are greater than those of coal-producing counties in the region.

The Appalachian Basin is the least racially diverse of the seven coal regions. Approximately 88.4 percent of the regional population is white. The largest minority population in the region is black or African-American, making up 8.0 percent of the total population. Statewide estimates more closely resemble the national racial composition, with greater percentages for every reported minority population. While 16.3 percent of the national population is of Hispanic origin, only 2.2 percent of people within coal-producing counties in the Appalachian Basin self-identify as Hispanic.

The Appalachian Basin population is older on average than the statewide and nationwide populations. Approximately 16.2 percent of the population in coal-producing counties is over 65 years of age. Age

groups below 45 years of age are all underrepresented when compared with statewide and national age distributions.

3.14.1.2 Colorado Plateau

There are 16 coal-producing counties in the 4 states that make up the Colorado Plateau coal region. Within these states, 4.6 percent of the population lives within a coal-producing county. The Colorado Plateau demonstrated the greatest rates of population growth among the seven coal regions. Population within these counties grew by 26.3 percent between 1990 and 2000, and by 14.3 percent between 2000 and 2010. This growth was greater than national population growth over the same time periods. The states encompassing the Colorado Plateau were subject to even greater rates of population growth than the coal-producing counties within this region.

Coal-producing counties in this region include a significant Hispanic population, approximately 14.8 percent. In addition, 20.9 percent of the population self-identifies as American Indian or Alaska Native, the greatest proportion among all seven coal regions. The black or African American population, both in coal-producing counties (0.6 percent) and in the states encompassing this region (3.3 percent), is disproportionately small when compared with the Nation as a whole (12.6 percent).

The Colorado Plateau population is slightly younger on average than the national population, with only 12.9 percent of the population over 65 years of age, as opposed to 13 percent nationwide. The population under 14 years of age is relatively great in coal-producing counties (22.1 percent), mirroring statewide age composition for the states encompassing the region (21.8 percent); in comparison, 19.8 percent of the national population is under 14.

3.14.1.3 Gulf Coast

There are 22 coal-producing counties in the three states that make up the Gulf Coast region. Coal-producing counties account for 2.7 percent of the population in the three states. States within the Gulf Coast region experienced high growth rates during the 1990 to 2000 timeframe and again from 2000 to 2010; the coal-producing counties experienced similar growth rates of 21.3 percent between 1990 and 2000 and 15.0 percent between 2000 and 2010. These rates were much higher than the nationwide rates over the same time periods.

The Gulf Coast region supports a significant Hispanic population, approximately 46.4 percent. This estimate is considerably greater than the corresponding statewide statistic (29.8 percent). Coal-producing counties in the Gulf Coast are also more predominantly white (77.2 percent) than the broader Gulf Coast states (68.3 percent).

The Gulf Coast population is younger on average than the national population; 23.8 percent of the population in the region is under 14 years of age, while 19.8 percent of the Nation as a whole is under 14.

3.14.1.4 Illinois Basin

There are 67 coal-producing counties in the three states that make up the Illinois Basin region. The population within coal-producing counties constitutes 17.8 percent of the total population of these three states. Coal-producing counties experienced stable but low growth, with growth rates of six to seven percent both between 1990 and 2000, as well as between 2000 and 2010. The coal-producing counties in

this region did not experience the slowdown in population growth experienced by both the Illinois Basin states and the country as a whole.

The Illinois Basin is less racially diverse than the country as a whole, with 85.3 percent of the population self-identifying as white. The largest minority group in the region is black or African-American (8.9 percent). As a whole, the three Illinois Basin states are more racially diverse than the coal-producing counties within them, with greater representation across all reported minority groups.

The age composition of the Illinois Basin population closely conforms to both statewide and national statistics.

3.14.1.5 Northern Rocky Mountains and Great Plains

There are 24 coal-producing counties in the four states that make up the Northern Rocky Mountains and Great Plains coal region. Within the four states, 15.3 percent of the population lives in coal-producing counties. The rate of population growth in this region was considerable between 1990 and 2010, growing 27.7 percent between 1990 and 2000 and 21.4 percent between 2000 and 2010. To a lesser degree, statewide populations also experienced an increase in population growth.

The Northern Rocky Mountains and Great Plains region is less racially diverse than the rest of the country. Approximately 81.0 percent of the population within coal-producing counties is white, and the region had the lowest percentage of black or African-American citizens (1.8 percent) within all seven coal regions. The region includes a relatively large population self-identifying as an Asian, Native Hawaiian, Pacific Islander, or “Other”; these groups make up 11.9 percent of the larger population.

The population of the Northern Rocky Mountains and Great Plains region is slightly younger on average than the national population. Coal-producing counties have a relatively great percentage of children under 14 years of age (22.7 percent compared to 19.8 percent nationally) and a relatively small percentage of senior citizens 65 years of age or older (10.0 percent compared to 13 percent nationally).

3.14.1.6 Northwest

The Northwest region includes one coal-producing county in Alaska (see Section 3.0.2 of this FEIS which discusses Northwest region). Denali County accounts for less than one percent of the population in the state. The population in this county experienced a decrease in population over the past ten years of negative 3.5 percent, while the rest of the country experienced population growth. Population growth calculated on a statewide basis in the northwest region was also greater than the growth in the region’s coal-producing counties. The Northwest region is the only coal region with a lesser percentage of the population self-identifying as white (66.7 percent) than the broader U.S. (72.4 percent). However, in the coal-producing county, an overwhelming majority identify as white (89.6 percent). The two other largest racial and ethnicity groups are either self-identified two or more races (4.4 percent) or American Indian and Alaska Native (3.6 percent). This racial distribution is not reflected in the statewide estimates for Alaska, which identify a greater percentage of people self-identifying as “white” or “American Indian and Alaska Native” than the coal-producing counties.

The middle-aged population in the Northwest coal region is relatively large, with age groups between 25 and 54 accounting for a greater portion of the population (43.2 percent) than in the broader U.S. (41.2

percent). Both the coal-producing county and the state encompassing the region support relatively small populations 65 years of age or older.

3.14.1.7 Western Interior

There are 11 coal-producing counties in the four states that make up the Western Interior region. Within these states, coal-producing counties support 2.6 percent of the population. Population growth in coal-producing counties was greater than that of the Western Interior states, but similar to the national trend, with 14.1 percent growth between 1990 and 2000, and 8.5 percent growth between 2000 and 2010.

The Western Interior region includes a significant population self-identifying as American Indian or Alaska Native (approximately 8.4 percent). Compared with the national racial composition, all other reported minority groups are underrepresented in the Western Interior, with the white population accounting for 77.4 percent of the total population. Coal-producing counties have relatively smaller white and black or African-American populations than the states encompassing the region.

The senior population (65 years or older) of the Western Interior region represents 14.8 percent of the total population (compared with a slightly less 13 percent nationwide). The age composition of this region generally conforms closely to that of the broader statewide and national populations.

3.14.2 Economic Conditions

This section describes per capita income, median household income, median home value, unemployment, employment and payroll by industry, severance tax rates, and severance tax revenues for each of the seven coal regions. The data are from the American Community Survey 2007-2011 Five-Year Estimates; the Bureau of Labor Statistics 2012 Annual Averages; the U.S. Census Bureau County Business Patterns 2001 and 2011 data releases; individual state tax codes and revenue reports; and the U.S. Census Bureau 2010 Annual Survey of State Government Tax Collections.

In general, the population in coal-producing counties is slightly less affluent than the broader U.S. population (Table 3.14-4). Per capita income and median household income are both slightly less in coal-producing counties than in the national population except in the Northwest region. Median home value in coal-producing counties, however, is 18.6 percent less than the national average. Table 3.14-5 provides statistics on poverty and unemployment. Poverty rates in coal-producing counties are generally comparable to poverty rates for the country as a whole, with 14.9 percent of the population in these counties living below the poverty line compared with 14.3 percent nationally. The unemployment rate across coal-producing counties was slightly below the national rate in 2011 (7.9 percent compared with 8.1 percent nationwide).

Figure 3.14-6 highlights 15-year trends in coal production within the seven coal regions. Most prominent among these trends are the long-term shifts away from production in the Appalachian Basin region and toward production in the Northern Rocky Mountains and Great Plains region. Table 3.14-6 describes employment and annual payroll in the coal mining industry for states with active mining. In 2014, coal mining accounted for 0.06 percent of national employment and 0.1 percent of national income (U.S. Census Bureau, 2014; U.S. EIA, 2016a). Between 2005 and 2015, 15 states experienced a reduction in coal mining sector employment and, at the national scale, coal mining sector employment decreased by 5.49 percent during this time. Coal mining employment trends, described in Figure 3.14-7, generally

corresponded with regional shifts in production, with the exception of the rise in coal mining employment in the Appalachian Basin between 2010 and 2011. As discussed below, a shift toward the more labor-intensive underground mining in the Appalachian region, combined with an overall depletion of the most readily accessible surface reserves, led to an offsetting increase in coal mining employment during this time. Figure 3.14-7 highlights that coal mining-related employment levels are significantly higher in the Appalachian Basin than in the other coal regions. As discussed in Table 3.14-6, this result is driven by the relatively high level of coal mining employment in West Virginia and Kentucky (these states account for approximately 25 percent and 16 percent of total nationwide coal mining employment, respectively). A detailed discussion of trends and existing conditions in the coal mining industry is provided in Section 3.1.

Tables 3.14-7 and 3.14-8 describe tax rates and revenues by source for coal-producing states. Policies on taxing the coal mining industry vary from state to state. Many states levy a direct severance tax on extracted minerals. Severance taxes are taxes levied by state and tribal governments on the value or volume of on non-renewable resources produced (including coal). Severance taxes in some states are levied in the form of a percent of the value of the resources removed or sold and, in other states, as a per-ton fee (National Conference of State Legislatures (NCSL), 2012). Revenues are often shared between state and local governments according to various formulas, with some revenues from severance taxes flowing to school districts, county and municipal governments, as well as local grant programs (Temte, 2010; West Virginia Department of Revenue, 2015). The states with the most coal production generally collect the most tax revenue on coal severance. Exhibit 6-8 reports recent coal severance tax revenues by state, for the most current year available. As shown, a total of \$935 million in coal severance taxes were collected in 2015, with the majority of tax revenue levied on coal severance in this year collected by West Virginia (\$376 million), Wyoming (\$270 million), and Kentucky (\$180 million). However, for most states that levy a severance tax on coal, coal severance taxes contributed less than one percent to total state tax revenues. That is not the case in all states, however. The contribution of severance taxes to total state taxes is larger in some coal producing states, with the largest dependence on severance taxes occurring in Wyoming (12 percent), West Virginia (seven percent), and Montana (two percent).

Local governments may also levy other taxes on coal production. In particular, counties in some states, including Colorado, Texas, and Wyoming, allow local governments to collect ad valorem (property) taxes on coal property, including the volume of coal produced and/or the value of the reserves (Kent, 2010). For example, in Wyoming, in addition to severance taxes, a county gross products tax (an ad valorem property tax) is collected based on the taxable value of the previous year's production. In FY 2015, Wyoming reports that approximately \$240 million was collected in ad valorem taxes, which was similar to the amount collected for severance taxes \$276 million (State of Wyoming Department of Revenue, 2015). Ad valorem taxes on coal property can be an important source of income for counties, accounting for 10 to 30 percent of their annual revenue, which is often used to fund education (Kent, 2010). For example, in Wyoming approximately 70 percent of statewide ad valorem property taxes were used for K-12 education in the 2009 tax year (Temte, 2010). Such taxes are not allowed in some states, for example, Maryland or North Dakota (Kent, 2010). Additional taxes, such as workers compensation taxes, corporate income taxes, sales and use taxes are also levied on coal companies.

In Western states, where coal is produced on federal lands, federal mineral royalties and coal lease bonuses can be important. Approximately 49 percent of federal mineral royalties from coal production on

federal lands is also returned to the states (Temte, 2010; Raimi and Newell, 2014). Local governments can also generate revenues by leasing public lands for coal (as well as oil and gas) development (Raimi and Newell, 2014).

In addition to the above, two coal-related excise taxes are currently imposed by the federal government (The Abandoned Mine Land Reclamation Fee—also known as the reclamation fee or AML fee—and the Black Lung Excise Tax) may also occur. Whether these taxes will continue to be imposed prior to and during the study period is uncertain (30 U.S.C. § 1232(a)).¹¹

The Abandoned Mine Reclamation Fund, also known as the Abandoned Mine Land (AML) Fund, is a federal tax that is financed by fees levied per ton of domestically produced coal. The reclamation fee currently imposes a tax of \$0.28 per ton of coal produced by surface mining, \$0.12 per ton of coal produced by underground mining, \$0.08 per ton for lignite (30 U.S.C. § 1232(a)).¹² The reclamation fee is deposited into the AML Fund, which is managed by the Secretary of the Interior. In general, the moneys in the AML Fund are distributed as grants to approved states and tribes, certain health care plans that are part of the United Mine Workers of America (UMWA) Health and Retirement Funds, and OSMRE for national programs to reclaim land and water impaired by coal mining activities prior to the implementation of SMCRA.

The Black Lung Excise Tax (BLET) is a federal tax that began in 1978 to finance the Black Lung Disability Trust Fund, which pays the medical costs for miners (including their survivors and dependents) plagued with black lung disease. Other than a few exceptions, BLET is taxed at a rate of \$1.10 on coal from underground mines, and \$0.55 on coal from surface mines, not to exceed 4.4 percent of coal sold by the producer (26 U.S.C. § 4121).¹³ The Internal Revenue Service (IRS) reports collecting \$574.4 million in 2014 for this fee (IRS, 2016). These taxes are further discussed in Section 4.3.1.6.

The following sections provide more information on the affected environment in terms of economic conditions for each of the seven coal regions.

¹¹ Collection of the reclamation fee is scheduled to end September 30, 2021.

¹² The reclamation fee may be based on a percentage of the value of the coal if that specified percentage is less than the per ton rate.

¹³ There are three exemptions from the BLET: lignite, imported, and exported coal.

Table 3.14-4. Per Capita Income, Median Household Income, and Median Home Value in Coal Regions, 2011

Coal Region¹	Geography	Per Capita Income³	Median Household Income³	Median Home Value⁴
Appalachian Basin	Coal-producing Counties	\$23,702	\$43,161	\$112,413
	Statewide– all counties	\$27,578	\$51,971	\$174,551
Colorado Plateau	Coal-producing Counties	\$22,854	\$48,050	\$193,367
	Statewide– all counties	\$26,690	\$53,311	\$209,077
Gulf Coast	Coal-producing Counties	\$18,756	\$40,660	\$96,084
	Statewide– all counties	\$24,855	\$48,860	\$125,170
Illinois Basin	Coal-producing Counties	\$25,648	\$52,951	\$130,478
	Statewide– all counties	\$26,887	\$51,728	\$163,414
Northern Rocky Mountains and Great Plains	Coal-producing Counties	\$25,781	\$57,375	\$199,665
	Statewide– all counties	\$29,502	\$55,129	\$213,776
Northwest²	Coal-producing Counties	\$38,669	\$69,587	\$394,197
	Statewide– all counties	\$31,944	\$69,014	\$235,100
Western Interior	Coal-producing Counties	\$22,050	\$43,566	\$104,353
	Statewide– all counties	\$24,534	\$45,794	\$122,718
Total U.S.	Within All Regions	\$25,469	\$48,760	\$151,493
	Nationwide – Coal and Non coal states	\$27,915	\$52,762	\$186,200

Source: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.
 Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the draft EIS (DEIS).

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. Three Colorado counties overlap both the Colorado Plateau and Northern Rocky Mountains and Great Plains regions. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

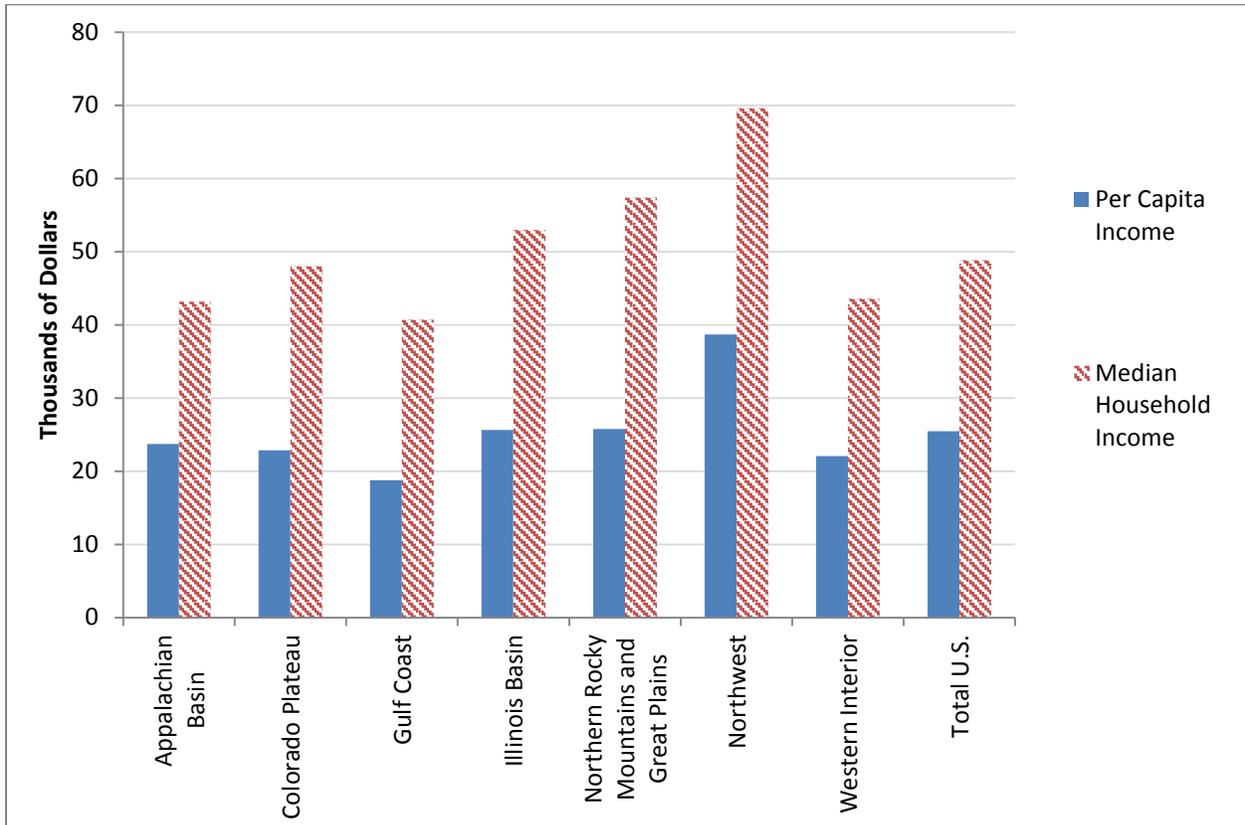
² Northwest data includes only Alaska; no population data exists for Denali County, AK from the 1990 Census.

Source: U.S. Census Bureau, Census 1990, Census 2000, and Census 2010.

³ Per capita income and median household income are reported in 2011 inflation adjusted dollars.

⁴ Median reported value of owner occupied housing units.

Figure 3.14-4. Household and Per Capita Income Levels in the Seven Coal Regions, 2011



Source: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.
Note: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Table 3.14-5. Poverty and Unemployment in Coal Regions, 2011

Coal Region ¹		Population Below the Poverty Line	Percent of Population Below the Poverty Line (%)	Unemployment Rate in 2012 (%)
Appalachian Basin	Coal-producing Counties	1,599,873	15.9	7.8
	Statewide– all counties	7,443,988	13.9	7.3
Colorado Plateau	Coal-producing Counties	124,242	17.3	8.9
	Statewide– all counties	2,293,728	14.6	7.6
Gulf Coast	Coal-producing Counties	186,470	22.0	7.2
	Statewide– all counties	5,538,611	17.6	6.9
Illinois Basin	Coal-producing Counties	527,257	13.0	8.6
	Statewide– all counties	3,282,525	14.3	8.6
Northern Rocky Mountains and Great Plains	Coal-producing Counties	136,505	12.8	8.0
	Statewide– all counties	881,419	12.6	7.1
Northwest²	Coal-producing Counties	208,299	10.7	7.0
	Statewide– all counties	65,111	9.5	7.0
Western Interior	Coal-producing Counties	66,021	16.8	6.9
	Statewide– all counties	2,278,667	15.3	6.4
Total U.S.	Within All Regions	2,840,397	14.9	7.9
	Nationwide – Coal and Non coal states	42,739,924	14.3	8.1

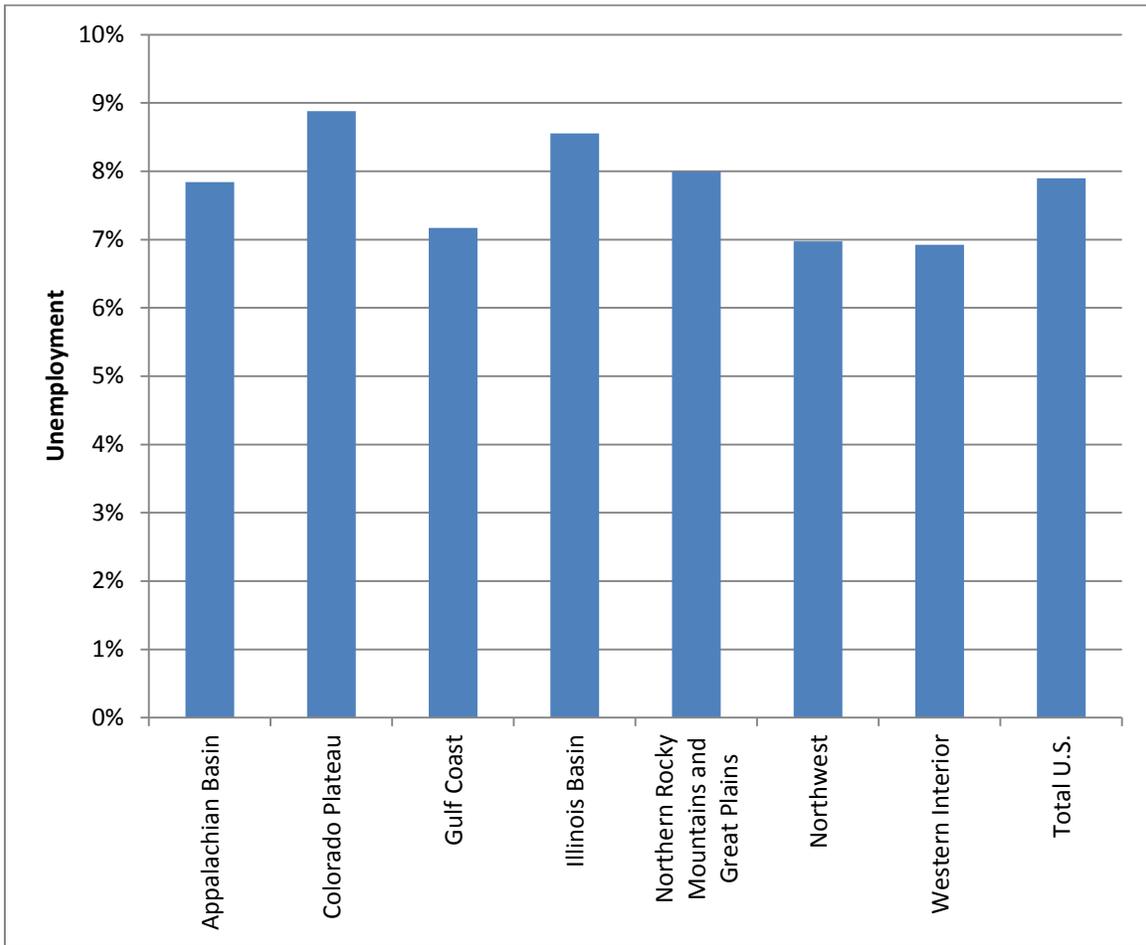
Sources: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.; U.S. Bureau of Labor Statistics, 2012. Local Area Unemployment Statistics 2012 Annual Averages.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. Three Colorado counties overlap both the Colorado Plateau and Northern Rocky Mountains and Great Plains regions. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

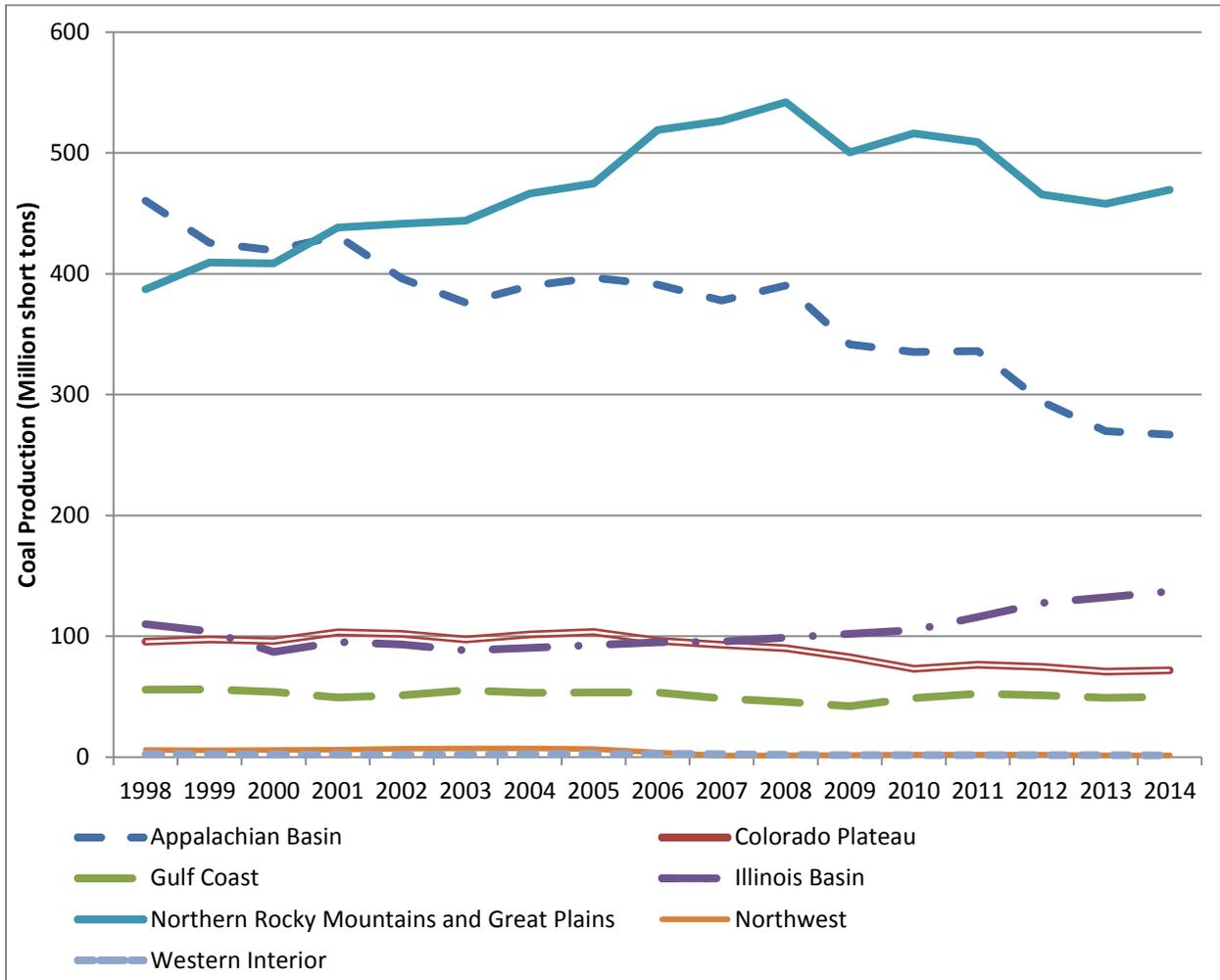
² Northwest data includes only Alaska; no population data exists for Denali County, AK from the 1990 Census. Source: U.S. Census Bureau, Census 1990, Census 2000, and Census 2010.

Figure 3.14-5. Unemployment Rates in the Seven Coal Regions, 2012



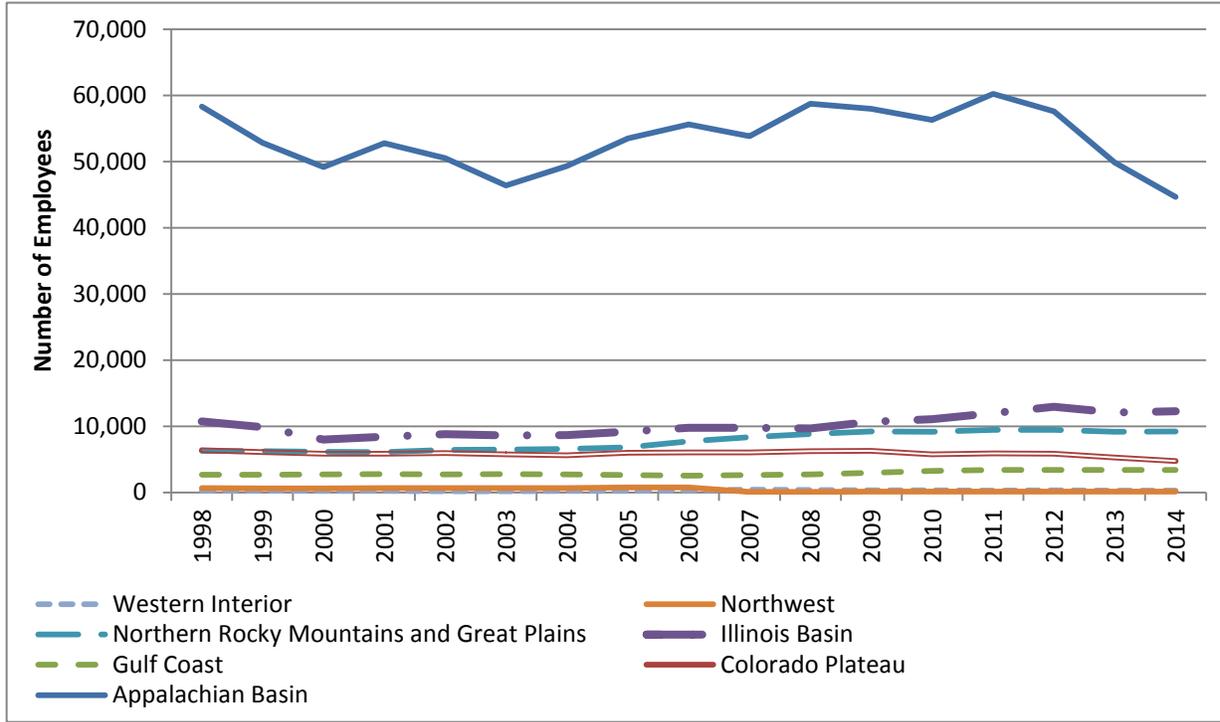
Source: U.S. Bureau of Labor Statistics, 2012. Local Area Unemployment Statistics 2012 Annual Averages.

Figure 3.14-6. Coal Production Trends in the Seven Coal Regions, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Figure 3.14-7. Coal Mining Employment Trends in the Seven Coal Regions, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers.

Table 3.14-6. Coal Mining Employment and Annual Payroll by State, 2014

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Appalachian Basin						
West Virginia	18,330	3.19%	-1.51%	1,537,723	6.96%	19.49%
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Pennsylvania	7,938	0.15%	4.32%	602,585	0.24%	9.15%
Virginia	3,627	0.11%	-29.35%	378,321	0.24%	0.85%
Alabama	3,694	0.23%	-10.73%	296,586	0.46%	4.22%
Ohio	2,923	0.06%	15.35%	240,877	0.12%	32.71%
Tennessee	222	0.01%	-67.87%	*	-	-
Maryland	386	0.02%	-23.11%	15,746	0.01%	-
Colorado Plateau						
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Utah*	1,393	0.12%	-23.34%	110,912	0.23%	-20.11%
New Mexico	1,149	0.19%	-18.39%	235,579	1.00%	55.94%
Arizona	387	0.02%	-31.75%	*	-	-
Gulf Coast						
Texas	2,806	0.03%	27.78%	191,895	0.04%	60.61%
Louisiana	299	0.02%	23.55%	*	-	-
Mississippi	324	0.04%	67.88%	*	-	-
Illinois Basin						
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Illinois	4,218	0.08%	10.51%	301,699	0.11%	-0.06%
Indiana	3,810	0.15%	42.01%	276,539	0.26%	37.78%
Northern Rocky Mountains and Great Plains						
Wyoming	6,624	3.01%	31.17%	577,732	5.57%	42.14%
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Montana	1,320	0.36%	58.08%	*	-	-
North Dakota	1,285	0.36%	38.62%	*	-	-
Northwest						
Alaska	120	0.04%	23.71%	*	-	-
Western Interior						
Oklahoma	179	0.01%	-8.67%	14,235	0.02%	15.43%
Kansas	10	0.00%	-60.00%	*	-	-
Missouri	20	0.00%	-16.67%	*	-	-
Arkansas	84	0.01%	4100.00%	*	-	-
Total U.S.	74,931	0.06%	-5.49%	6,173,417	0.10%	11.74%

Sources:

¹ U.S. Energy Information Administration (EIA), 2005 and 2015 Annual Coal Reports (EIA-0584);

² 2011 Employment from U.S. EIA, 2014 Annual Coal Report (EIA-0584); Total Employment from U.S. Census Bureau, County Business Patterns (CBP) 2014 Data Release.

³ U.S. Census Bureau, County Business Patterns 2005 and 2014 Data Releases. Annual payroll for 2005 converted to 2014 dollars using Bureau of Labor Statistics (BLS) 2005 and 2014 Average Consumer Price Index for All Urban Consumers (CPI-U).

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

^a Employee data for Kentucky is broken down by Eastern (Appalachia) and Western (Illinois Basin). Regional payroll data was unavailable from the CBP and are presented in aggregate for the entire state.

^b Both regional employment and payroll data for Colorado was unavailable from the EIA and CBP, respectively, and are presented in aggregate for the entire state.

*Annual payroll data were suppressed for states with low coal production in order to avoid disclosure of information about individual employers.

Table 3.14-6a. Coal Mining Employment and Annual Payroll by State, 2014 – Appalachian Basin

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
West Virginia	18,330	3.19%	-1.51%	1,537,723	6.96%	19.49%
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Pennsylvania	7,938	0.15%	4.32%	602,585	0.24%	9.15%
Virginia	3,627	0.11%	-29.35%	378,321	0.24%	0.85%
Alabama	3,694	0.23%	-10.73%	296,586	0.46%	4.22%
Ohio	2,923	0.06%	15.35%	240,877	0.12%	32.71%
Tennessee	222	0.01%	-67.87%	*	-	-
Maryland	386	0.02%	-23.11%	15,746	0.01%	-

Table 3.14-6b. Coal Mining Employment and Annual Payroll by State, 2014 – Colorado Plateau

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Utah*	1,393	0.12%	-23.34%	110,912	0.23%	-20.11%
New Mexico	1,149	0.19%	-18.39%	235,579	1.00%	55.94%
Arizona	387	0.02%	-31.75%	*	-	-

Table 3.14-6c. Coal Mining Employment and Annual Payroll by State, 2014 – Gulf Coast

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Texas	2,806	0.03%	27.78%	191,895	0.04%	60.61%
Louisiana	299	0.02%	23.55%	*	-	-
Mississippi	324	0.04%	67.88%	*	-	-

Table 3.14-6d. Coal Mining Employment and Annual Payroll by State, 2014 – Illinois Basin

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Illinois	4,218	0.08%	10.51%	301,699	0.11%	-0.06%
Indiana	3,810	0.15%	42.01%	276,539	0.26%	37.78%

Table 3.14-6e. Coal Mining Employment and Annual Payroll by State, 2014 – Northern Rocky Mountains and Great Plains

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Wyoming	6,624	3.01%	31.17%	577,732	5.57%	42.14%
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Montana	1,320	0.36%	58.08%	*	-	-
North Dakota	1,285	0.36%	38.62%	*	-	-

Table 3.14-6f. Coal Mining Employment and Annual Payroll by State, 2014 – Northwest

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Alaska	120	0.04%	23.71%	*	-	-

Table 3.14-6g. Coal Mining Employment and Annual Payroll by State, 2014 – Western Interior

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Oklahoma	179	0.01%	-8.67%	14,235	0.02%	15.43%
Kansas	10	0.00%	-60.00%	*	-	-
Missouri	20	0.00%	-16.67%	*	-	-
Arkansas	84	0.01%	4100.00%	*	-	-

Sources and Notes for Tables 3.14-6a-g:

¹ U.S. Energy Information Administration (EIA), 2005 and 2015 Annual Coal Reports (EIA-0584);

² 2011 Employment from U.S. EIA, 2014 Annual Coal Report (EIA-0584); Total Employment from U.S. Census Bureau, County Business Patterns (CBP) 2014 Data Release.

³ U.S. Census Bureau, County Business Patterns 2005 and 2014 Data Releases. Annual payroll for 2005 converted to 2014 dollars using Bureau of Labor Statistics (BLS) 2005 and 2014 Average Consumer Price Index for All Urban Consumers (CPI-U).

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

^a Employee data for Kentucky is broken down by Eastern (Appalachia) and Western (Illinois Basin). Regional payroll data was unavailable from the CBP and are presented in aggregate for the entire state.

^b Both regional employment and payroll data for Colorado was unavailable from the EIA and CBP, respectively, and are presented in aggregate for the entire state.

*Annual payroll data were suppressed for states with low coal production in order to avoid disclosure of information about individual employers.

Table 3.14-7a. Coal Severance Tax Rates by State, 2015 – Appalachian Basin

State	Severance Tax Type	Rate
Alabama (a)	State Coal Severance Tax	\$0.335 per ton for the state.
	Local Coal Severance Tax	\$0.20 per ton in Jackson and Marshall County.
Kentucky (b)	Coal Severance and Processing Tax	4.5% of gross value with a minimum tax of \$0.50 per ton. A credit is given to thin seam coal extraction on a scale from 2.25% to 3.75% of the coal value.
Maryland (c)	No Coal Severance Tax	-
Ohio (d)	Coal Severance Tax	Base rate of \$0.10 per ton, plus an additional \$0.012 per ton on surface mined coal. An additional \$0.12 to \$0.16 per ton is levied on operations without a full cost bond and changes based on the amount remaining in the state Reclamation Forfeiture Fund at the end of each state budget biennium.
Pennsylvania	No Coal Severance Tax	-
Tennessee (e)	Coal Severance Tax	\$0.75 per ton on entire production of coal products in the state, regardless of place of sale or outside-of-state delivery.
Virginia (f)	Local Coal Reclamation Tax	Any county or city may impose a severance tax on all coal within its jurisdiction. The rate of tax shall not exceed 1% of the gross receipts from such coal or gases.
West Virginia (g)	Natural Resources Severance Tax	5% of gross value, with the following reduced rates for thin seam underground mining: 2% of gross value for seams with thickness between 37 and 45 inches and 1% of gross value for seams with thickness less than 37 inches.

Table 3.14-7b. Coal Severance Tax Rates by State, 2015 – Colorado Plateau

State	Severance Tax Type	Rate
Arizona	No Coal Severance Tax	-
Colorado (h)	Coal Severance Tax	\$0.842 per ton.
New Mexico (i)	Coal Severance Tax	\$0.57 per ton on surface coal and \$0.55 per ton on underground coal. The state also imposes a surtax on coal, which is increased on July 1 each year. The surtax in effect in Fiscal Year (FY) 2009 was \$0.83 per ton. Post-2011 renegotiated contracts are not subject to the surtax.
Utah	No Coal Severance Tax	-

Table 3.14-7c. Coal Severance Tax Rates by State, 2015 – Gulf Coast

State	Severance Tax Type	Rate
Louisiana (j)	Natural Resources Severance Tax	\$0.12 per ton of lignite.
Mississippi	No Coal Severance Tax	-
Texas	No Coal Severance Tax	-

Table 3.14-7d. Coal Severance Tax Rates by State, 2015 – Illinois Basin

State	Severance Tax Type	Rate
Illinois	No Coal Severance Tax	-
Indiana	No Coal Severance Tax	-
Kentucky (b)	Coal Severance and Processing Tax	4.5% of gross value with a minimum tax of \$0.50 per ton. A credit is given to thin seam coal extraction on a scale from 2.25% to 3.75% of the coal value.

Table 3.14-7e. Coal Severance Tax Rates by State, 2015 – Northern Rocky Mountains and Great Plains

State	Severance Tax Type	Rate			
Colorado (h)	Coal Severance Tax	\$0.842 per ton	\$0.842 per ton	\$0.842 per ton	\$0.842 per ton
Montana (k)	Coal Severance Tax	Heat Content	Surface	Auger	Underground
		<7,000 BTU	10% of value	3.75% of value	3% of value
		7,000+ BTU	15% of value	5% of value	4% of value
North Dakota (l)	Coal Severance Tax	\$0.375 per ton plus \$0.02 per ton for the Lignite Research Fund. Reduced rates apply to coal used in cogeneration facilities. No tax on coal used for the following: (1) to heat state buildings; (2) used by the state or political subdivision of the state; or (3) agricultural processing. Counties may also grant a partial or complete exemption from the counties' 70% portion of the \$0.375 tax for coal shipped out of state.			
Wyoming (m)	Coal Severance Tax	7% of taxable valuation of surface coal and 3.75% of taxable valuation of underground coal, with a maximum tax of \$0.60 per ton of surface coal and \$0.30 per ton of underground coal.			

Table 3.14-7f. Coal Severance Tax Rates by State, 2015 – Northwest

State	Severance Tax Type	Rate
Alaska (n)	Mining License Tax on Net Income	No tax if net income is \$40,000 or less; \$1,200 plus 3% of net income over \$40,000; \$1,500 plus 5% of net income over \$50,000; and \$4,000 plus 7% of net income over \$100,000.
	Production Royalty on State Lands	3% on same net profits as license tax is based on.

Table 3.14-7g. Coal Severance Tax Rates by State, 2015 – Western Interior

State	Severance Tax Type	Rate
Arkansas (o)	Natural Resources Severance Tax	\$0.02 per ton of coal, lignite and iron ore plus an additional \$0.08 per ton on coal.
Kansas (p)	Minerals Severance Tax	\$1.00 per ton coal produced. Severance or production of the first 350,000 tons of coal at any mine is exempt from taxation.
Missouri	No Coal Severance Tax	-
Oklahoma	No Coal Severance Tax	-

Sources for Tables 3.14-7a-g:

(a) Alabama - §§40-13-50, 40-13-61, Code of Alabama, 1975

(b) Kentucky – Kentucky Revised Statutes (KRS) §143.020; KRS §143.010(13); KRS §143.010(14); KRS §143.021(3)

(c) Maryland - Annotated Code of Maryland §15-509 (Environment Article). Annotated Code of Maryland §15-615 (Environment Article)

(d) Ohio - Ohio Revised Code (ORC) §5749.02(A)(1); ORC §5749.02(A)(8); ORC §5749.02(A)(9)

(e) Tennessee – Tennessee Code 67-7-104

(f) Virginia - Virginia Code §58.1-3286

(g) West Virginia - West Virginia Code §11-13A; West Virginia Code §11-13V-4

(h) Colorado – Quarterly Final Tax Rate for most recent reported quarter, December 2012. Severance tax rate is adjusted quarterly and is based on the change in producer price index as published by Bureau of Land Statistics. Colorado Revised Statutes 39-29-106

(i) New Mexico – 2012 The State of New Mexico Continuing Disclosure: Annual Financial Information Filing for Fiscal Year 2012, p. 12.; 2010 New Mexico Statutes Annotated 1978 7-26-6; “Taxation of Coal and Other Energy Resources.” January 2009. New Mexico Taxation and Revenue Department.

(j) Louisiana – Revised Statutes 47:633

(k) Montana – Montana Code Annotated 15-35-103

(l) North Dakota - North Dakota Century Code §57-61-01.1

(m) Wyoming - Wyoming State Statutes §39-14-104

(n) Alaska - Mining License Tax Law: Alaska Statute 43.65; Alaska Statute 38.05.212

(o) Arkansas - Arkansas Code Annotated §26-58-101 et. seq.

(p) Kansas – Kansas Statutes Annotated 79-42

These collections do not include revenues collected by tribal governments.

**Table 3.14-8a. – Appalachian Basin
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Alabama*	\$4,982	\$9,293,754	0.05%
Kentucky**d	\$180,283	\$11,103,545	1.62%
Maryland ⁺	\$0	\$18,929,069	0.00%
Ohio**	\$4,910	\$27,020,625	0.02%
Pennsylvania ⁺	\$0	\$34,192,869	0.00%
Tennessee**	\$762	\$11,806,329	0.01%
Virginia ^f	\$0	\$18,949,272	0.00%
West Virginia**	\$375,558	\$5,379,937	6.98%

**Table 3.14-8b. – Colorado Plateau
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Arizona ⁺	\$0	\$13,084,043	0.00%
Colorado**d	\$8,012	\$11,755,394	0.07%
New Mexico ^e	\$8,339	\$5,757,432	0.14%
Utah** ⁺	\$0	\$6,312,489	0.00%

**Table 3.14-8c. – Gulf Coast
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Louisiana	\$426	\$9,695,281	0.00%
Mississippi** ⁺	\$0	\$7,574,515	0.00%
Texas ⁺	\$0	\$55,260,850	0.00%

**Table 3.14-8d. – Illinois Basin
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Illinois ⁺	\$0	\$39,182,894	0.00%
Indiana ⁺	\$0	\$16,846,961	0.00%
Kentucky ^{**d}	\$180,283	\$11,103,545	1.62%

**Table 3.14-8e. – Northern Rocky Mountains and Great Plains
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Colorado ^{**d}	\$8,012	\$11,755,394	0.07%
Montana ^{**}	\$61,840	\$2,655,553	2.33%
North Dakota ^{**}	\$11,294	\$6,120,435	0.18%
Wyoming	\$269,521	\$2,263,387	11.91%

**Table 3.14-8f. – Northwest
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Alaska ^{**a}	\$100	\$3,392,869	0.00%

**Table 3.14-8g. – Western Interior
 Coal Severance Tax Revenues in Coal-producing States, 2015**

State	State Coal Severance Tax Revenues (\$1,000s)	Total State Tax Revenues (\$1,000s)	Contribution of Coal Severance to Total Taxes
Arkansas ^b	\$13	\$8,936,781	0.00%
Kansas ^{**c}	\$8,488	\$7,334,481	0.12%
Missouri ⁺	\$0	\$11,240,657	0.00%
Oklahoma ⁺	\$0	\$9,103,302	0.00%
Total U.S.	\$934,528	\$372,640,623	0.25%

Sources for Tables 3.14.8a-g:

U.S. Census Bureau, 2014. 2015 Annual Survey of State Government Tax Collections; Individual state revenue reports.

* State Coal Severance Tax Revenues are reported for the FY ending September 30, 2015. Total State Tax Revenues are reported for the calendar year ending December 31, 2014. These tax values do not include revenues collected by tribal governments.

** State Coal Severance Tax Revenues are reported for the FY ending June 30, 2015. The Total State Tax Revenues are reported for the calendar year ending December 31, 2014. These tax values do not include revenues collected by tribal governments.

⁺ State does not collect coal severance taxes.

Notes for Tables 3.14.8a-g:

^a Alaska - Severance tax revenues listed are those by the Usibelli Coal Mine, the only active coal mine in the state (can be accessed at <http://www.usibelli.com/pdf/McDowell-Report-Statewide-Socioeconomic-Impacts-of-UCM-20151.pdf>).

^b Arkansas - Severance tax revenues were not available for FY 2015 and the values listed represent FY 2012.

^c Kansas - Coal severance tax revenues are calculated as the Special County Mineral Tax Production Fund, of which coal severance revenues are a percentage.

^d Kentucky and Colorado - Two coal mining regions are present in Kentucky (Appalachia and Illinois Basin) and Colorado (Northern Rocky Mountains and Great Plains). Severance tax revenues are reported as a statewide total. Therefore, it is not possible to determine the severance tax contributions by each of the two coal mining regions in these states.

^e New Mexico - Severance tax revenues listed are net of the Intergovernmental Tax Credits (ITC) afforded to taxed coal entities.

^f Virginia - While counties and municipalities may impose taxes on coal extracted, no coal severance tax revenues were reported in 2015.

3.14.2.1 Appalachian Basin

Both per capita income and median household income are relatively low in the Appalachian Basin. Under both measures of income, 2011 data for coal-producing counties in this region demonstrates slightly lesser income levels than the respective statewide and the national populations. The Appalachian Basin had a relatively low median home value of \$112,413 in 2011, which was 39.6 percent lower than the national median home value. Similarly, in 2011, 15.9 percent of the population of coal-producing counties was living below the poverty line. Poverty in this region was slightly more prevalent than in the broader statewide and national populations. The 2011 unemployment rate was comparable in this region with the broader U.S. (7.8 percent compared with 8.1 percent nationally).

Table 3.14-9 lists the industries contributing the most to employment and annual payroll in the Appalachian Basin. Healthcare and Social Assistance, Manufacturing, and Retail Trade are the top industries in this region. Mining (including but not limited to coal mining), Quarrying, and Oil and Gas Extraction made up 1.9 percent of employment in the region and 3.7 percent of regional income in 2011, significantly greater than statewide and national percentages. Employment and annual payroll increased in these industries between 2001 and 2011. As described in Table 3.14-6, of the Appalachian Basin states, coal mining contributes most to employment and annual payroll in West Virginia and Kentucky. In 2014, coal mining accounted for 3.19 percent of total employment and 6.96 percent of statewide annual payroll in West Virginia. In 2011 in Kentucky, employment related to coal mining accounted for 0.77 percent of statewide employment and 1.42 percent of statewide annual payroll. Coal mining contributed

less than one percent to employment and annual payroll in all other Appalachian Basin states in this same year. Between 1998 and 2012, coal production fell dramatically in the Appalachian Basin (Figure 3.14-8). Over this 15 year span, employment in the coal industry initially decreased due to less coal production. A shift toward underground mining led to an offsetting increase in coal mining employment through 2012. Between 2012 and 2014 coal mining employment decreased again as production continued to decline.

State governments in Alabama, Kentucky, Ohio, Tennessee, West Virginia, and Virginia require that direct severance taxes be paid on extracted coal. Severance tax rates are listed in Table 3.14-7. Table 3.14-8 shows severance tax revenue for state governments in 2015. In the Appalachian Basin, severance tax revenue as a fraction of total tax revenue was greatest in West Virginia and Wyoming, at 6.98 percent and 11.91 percent respectively. Maryland and Pennsylvania do not levy severance taxes on coal.

Table 3.14-9. Employment and Annual Payroll by Industry in the Appalachian Basin, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Health Care and Social Assistance	Coal-producing Counties:	694,916	18.9	15.5	28,808	19.8	5.1
	Statewide– all counties:	3,453,325	16.8	22.3	154,448	16.5	13.0
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Manufacturing	Coal-producing Counties:	408,526	11.1	-30.6	20,398	14.0	-33.8
	Statewide– all counties:	2,270,742	11.1	-31.8	121,338	13.0	-37.5
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3
Retail Trade	Coal-producing Counties:	518,210	14.1	-4.8	12,603	8.7	-17.4
	Statewide– all counties:	2,715,065	13.2	-4.4	70,258	7.5	-19.3
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Professional, Scientific, and Technical Services	Coal-producing Counties:	185,848	5.0	5.3	11,629	8.0	-2.0
	Statewide– all counties:	1,493,331	7.3	14.6	114,132	12.2	12.2
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8
Finance and Insurance	Coal-producing Counties:	164,582	4.5	-9.3	10,309	7.1	-8.8
	Statewide– all counties:	1,004,872	4.9	-9.3	72,550	7.7	-7.8
	Total U.S.:	5,886,602	5.2	-5.8	526,964	9.6	-9.6

Construction	Coal-producing Counties:	172,074	4.7	-14.4	9,035	6.2	-16.7
	Statewide– all counties:	947,260	4.6	-19.1	50,253	5.4	-23.7
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Wholesale Trade	Coal-producing Counties:	145,502	3.9	-17.1	7,955	5.5	-21.5
	Statewide– all counties:	903,489	4.4	-11.4	56,554	6.0	-13.9
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4
Management of Companies and Enterprises	Coal-producing Counties:	72,263	2.0	12.2	7,271	5.0	14.1
	Statewide– all counties:	533,930	2.6	4.1	52,329	5.6	4.5
	Total U.S.:	2,921,669	2.6	1.5	319,028	5.8	-4.1
Administrative and Support and Waste Management and Remediation Services	Coal-producing Counties:	197,836	5.4	-3.6	6,236	4.3	-6.9
	Statewide– all counties:	1,510,662	7.4	5.2	51,273	5.5	2.6
	Total U.S.:	9,389,950	8.3	3.6	348,329	6.3	0.8
Mining, Quarrying, and Oil and Gas Extraction	Coal-producing Counties:	68,589	1.9	28.4	5,423	3.7	39.5
	Statewide– all counties:	115,970	0.6	26.3	8,934	1.0	36.0
	Total U.S.:	651,204	0.6	34.1	58,990	1.1	51.1

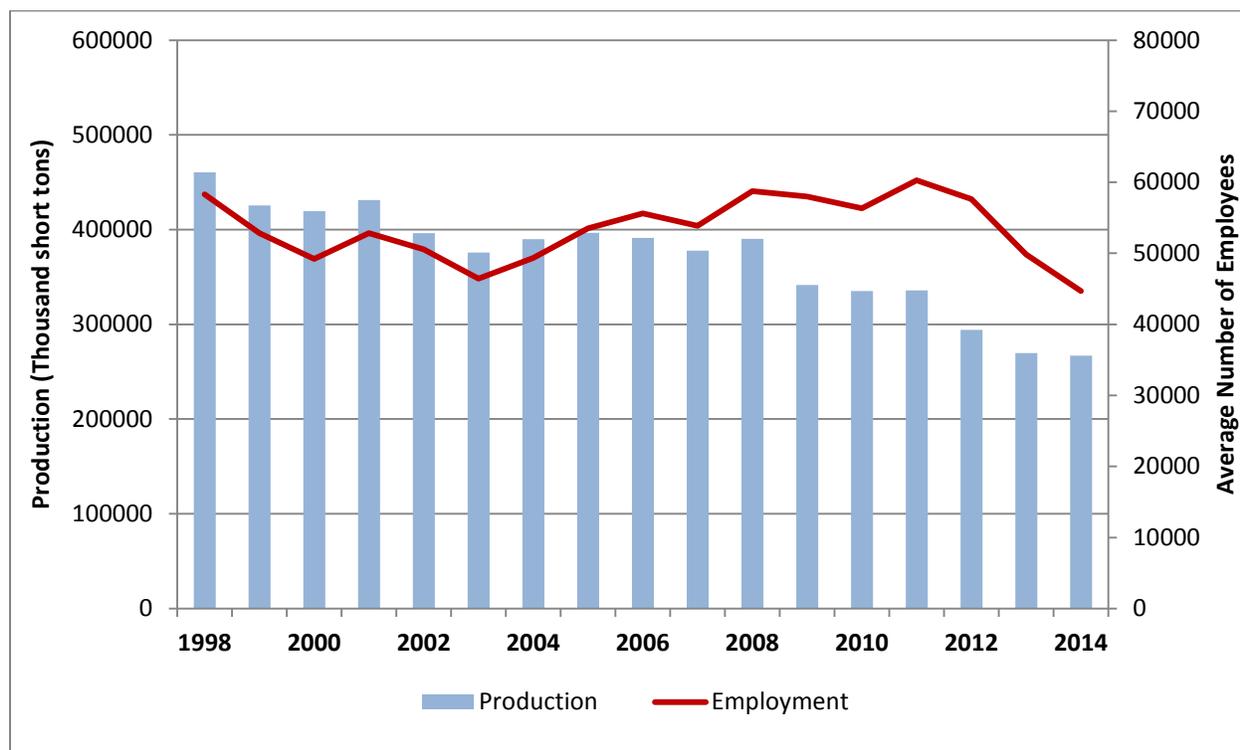
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-8. Coal Production and Employment Trends in the Appalachian Basin Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.2 Colorado Plateau

Income levels are slightly less in the Colorado Plateau than in the U.S. as a whole. The median household income for coal-producing counties in the region is about 1.5 percent less than the national average. Median home value in these counties (\$193,367) is on par with the broader U.S. (\$186,200), but less than in the four Colorado Plateau states (\$209,077). The Colorado Plateau has the greatest level of unemployment of all seven coal regions, with an unemployment rate of 8.9 percent. Poverty is also slightly more prevalent within coal-producing counties (17.3 percent below the poverty line) than in both the states encompassing the region (14.6 percent) and the broader U.S. (14.3 percent).

Table 3.14-10 lists the top industries in the Colorado Plateau. Healthcare and Social Assistance, Construction, and Retail Trade account for the highest contributions to annual payroll in this region; Healthcare and Social Assistance, Retail Trade, and Accommodation and Food Services account for the greatest employment levels. Employment and annual payroll in Mining, Quarrying, and Oil and Gas Extraction grew in this region between 2001 and 2011. These industries account for 4.2 percent of employment and 10.1 percent of annual payroll within coal-producing counties, more than double the

statewide percentages and four times the national contribution for employment from the same industries. Coal mining constitutes between 1.0 percent and 2.0 percent of statewide employment and income in each state within the region (Table 3.14-6). Both coal production and employment in the coal mining industry remained relatively stable between 1998 and 2008 but production declined from 2009-2012 and has increased slightly in recent years in the Colorado Plateau (Figure 3.14-9).

In Colorado and New Mexico, direct severance taxes are levied on extracted coal. Table 3.14-7 lists severance tax rates. Table 3.14-8 describes state severance tax revenues in 2015. Tax revenue from coal severance makes up 0.14 percent of total tax revenue in New Mexico. Colorado reports approximately 0.07 percent of total tax revenue from coal severance taxes. Arizona and Utah do not collect severance taxes on extracted coal.

Table 3.14-10. Employment and Annual Payroll by Industry in the Colorado Plateau, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Health Care and Social Assistance	Within Coal Counties:	36,325	17.7	37.8	1,603	21.5	29.9
	Statewide– all counties:	803,298	14.1	40.9	37,838	14.7	31.7
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Retail Trade	Coal-producing Counties:	37,510	18.2	1.1	987	13.3	-13.3
	Statewide– all counties:	779,287	13.7	5.1	20,841	8.1	-18.3
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Construction	Coal-producing Counties:	14,737	7.2	-25.7	768	10.3	-20.1
	Statewide– all counties:	328,078	5.7	-25.0	16,236	6.3	-31.7
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Mining, Quarrying, and Oil and Gas Extraction	Coal-producing Counties:	8,624	4.2	60.7	751	10.1	71.5
	Statewide– all counties:	63,564	1.1	41.5	5,768	2.2	63.8
	Total U.S.:	651,204	0.6	34.1	58,990	1.1	51.1
Accommodation and Food Services	Coal-producing Counties:	29,848	14.5	13.1	475	6.4	7.0
	Statewide– all counties:	647,190	11.3	15.0	11,166	4.3	4.5
	Total U.S.:	11,556,285	10.2	15.9	207,349	3.8	3.3
Professional, Scientific, and Technical Services	Coal-producing Counties:	8,430	4.1	20.6	409	5.5	19.9
	Statewide– all counties:	415,659	7.3	16.8	29,044	11.3	12.9
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Transportation and Warehousing	Coal-producing Counties:	7,910	3.8	56.6	383	5.1	61.7
	Statewide– all counties:	197,796	3.5	7.1	8,863	3.4	-8.6
	Total U.S.:	4,106,359	3.6	9.5	187,874	3.4	-7.1
Wholesale Trade	Coal-producing Counties:	7,065	3.4	2.3	364	4.9	-0.7
	Statewide– all counties:	254,215	4.5	0.5	16,631	6.5	-5.4
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4
Finance and Insurance	Coal-producing Counties:	6,581	3.2	18.9	317	4.3	14.8
	Statewide– all counties:	302,312	5.3	4.9	19,423	7.5	-3.0
	Total U.S.:	5,886,602	5.2	-5.8	526,964	9.6	-9.6
Manufacturing	Coal-producing Counties:	6,882	3.3	-38.8	298	4.0	-38.0
	Statewide– all counties:	389,641	6.8	-24.2	22,650	8.8	-27.5
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3

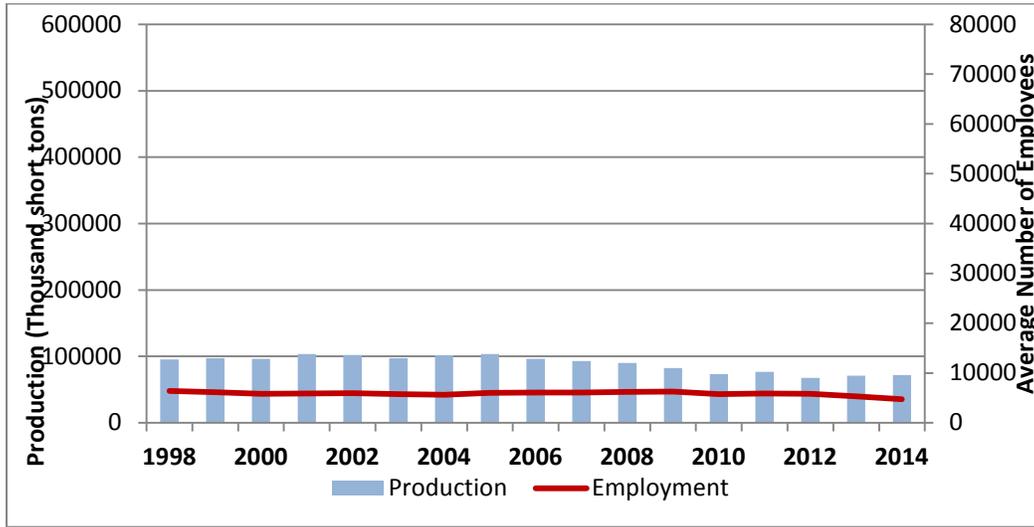
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. Three Colorado counties overlap both the Colorado Plateau and Northern Rocky Mountains and Great Plains regions. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-9. Coal Production and Employment Trends in the Colorado Plateau Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.3 Gulf Coast

The Gulf Coast region has the lowest income levels among all seven coal regions. Per capita income within coal-producing counties is \$18,756, approximately 33 percent less than per capita income of the broader U.S. Median household income (\$40,660) and median home value (\$96,084) in the coal-producing counties are also significantly lesser than the corresponding national estimates (\$52,762 and \$186,200, respectively). With 22.0 percent of the population in coal-producing counties living below the poverty line, the Gulf Coast has the highest prevalence of poverty among all seven coal regions. Despite these statistics, there is slightly less unemployment (7.2 percent) in the Gulf Coast region than in the U.S. as a whole (8.1 percent).

The top three industries contributing to annual payroll in the region are Manufacturing, Healthcare and Social Assistance, and Mining, Quarrying, and Oil and Gas Extraction (Table 3.14-11). In coal-producing counties, Mining, Quarrying, and Oil and Gas Extraction make up 5.1 percent of total employment and 12.7 percent of annual payroll, considerably more than statewide and national percentage contributions from coal mining. Employment and annual payroll in these industries grew between 2001 and 2011. As described in Table 3.14-6, the coal mining industry does not measurably contribute to statewide employment or annual payroll in any of the three states. Employment in the coal mining industry remained relatively stable between 1998 and 2005, but decreased in regional coal production toward the end of the 15 year span (Figure 3.14-10).

Louisiana levies a natural resource severance tax of \$0.12 per ton of extracted lignite coal (Table 3.14-7). Tax revenue on coal severance makes up approximately one hundredth of one percent of total tax revenue in Louisiana (Table 3.14-8). Mississippi and Texas do not levy severance taxes on coal.

Table 3.14-11. Employment and Annual Payroll by Industry in the Gulf Coast, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Health Care and Social Assistance	Coal-producing Counties:	36,073	17.3	49.8	1,078	16.3	32.1
	Statewide– all counties:	1,471,764	14.9	12.2	63,877	13.5	3.3
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Manufacturing	Coal-producing Counties:	21,040	10.1	-20.9	904	13.7	-27.4
	Statewide– all counties:	872,091	8.8	-33.3	50,226	10.6	-35.0
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3
Mining, Quarrying, and Oil and Gas Extraction	Coal-producing Counties:	10,555	5.1	148.6	839	12.7	176.6
	Statewide– all counties:	192,317	1.9	15.2	20,234	4.3	36.3
	Total U.S.:	651,204	0.6	34.1	58,990	1.1	51.1
Retail Trade	Coal-producing Counties:	34,331	16.5	11.1	818	12.4	-1.7
	Statewide– all counties:	1,291,050	13.1	-8.9	33,998	7.2	-25.9
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Transportation and Warehousing	Coal-producing Counties:	16,901	8.1	12.1	656	9.9	19.0
	Statewide– all counties:	390,634	4.0	-3.0	20,043	4.2	-15.9
	Total U.S.:	4,106,359	3.6	9.5	187,874	3.4	-7.1
Construction	Coal-producing Counties:	9,830	4.7	7.7	420	6.4	21.3
	Statewide– all counties:	574,588	5.8	-17.2	29,395	6.2	-19.5
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Wholesale Trade	Coal-producing Counties:	7,358	3.5	-14.6	329	5.0	-2.5
	Statewide– all counties:	492,473	5.0	-13.9	33,422	7.1	-12.7
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Accommodation and Food Services	Coal-producing Counties:	22,088	10.6	40.5	319	4.8	30.4
	Statewide– all counties:	1,052,370	10.7	7.3	17,338	3.7	-7.3
	Total U.S.:	11,556,285	10.2	15.9	207,349	3.8	3.3
Finance and Insurance	Coal-producing Counties:	5,931	2.8	-20.7	282	4.3	-11.5
	Statewide– all counties:	498,272	5.0	-1.7	35,034	7.4	-3.7
	Total U.S.:	5,886,602	5.2	-5.8	526,964	9.6	-9.6
Professional, Scientific, and Technical Services	Coal-producing Counties:	5,536	2.7	20.9	248	3.8	22.4
	Statewide– all counties:	608,478	6.2	3.5	47,262	10.0	1.8
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8

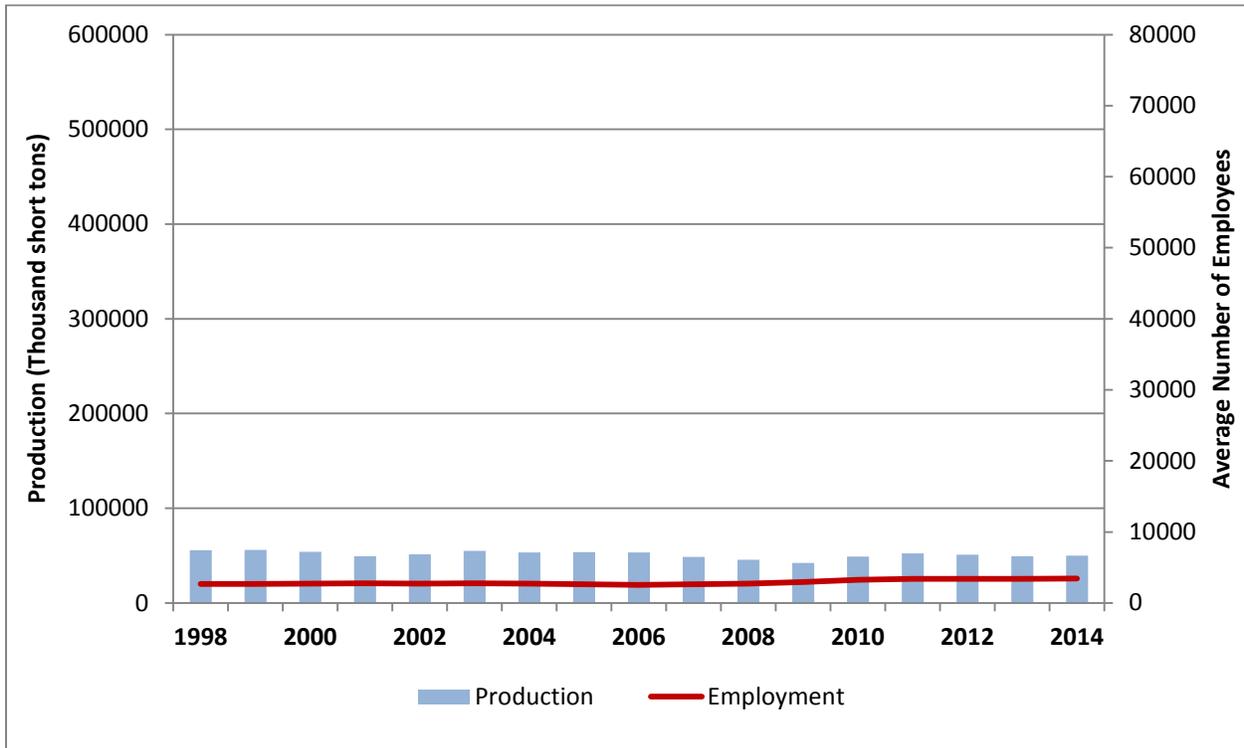
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

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¹ Counties within a state that cross regional boundaries are counted in the region where they fall. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-10. Coal Production and Employment Trends in the Gulf Coast Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.4 Illinois Basin

Income levels are relatively low in the Illinois Basin coal region. Per capita income and median home value are lower in coal-producing counties than in the broader Illinois Basin states (Table 3.14-4). Per capita income and median home value are all slightly less in coal-producing counties than in the country as a whole. The poverty rate is the same in coal-producing counties (8.6 percent) and Illinois Basin states (8.6 percent) and slightly greater than the rest of the country as a whole (8.1 percent). Unemployment is slightly more prevalent in this region than in the broader U.S. (8.6 percent compared with 8.1 percent).

Table 3.14-12 lists the top industries in the Illinois Basin. Healthcare and Social Assistance, Manufacturing, and Retail Trade contribute most to employment and annual payroll in this region. The Mining, Quarrying, and Oil and Gas Extraction industries account for 0.5 percent of employment and 1.2 percent of annual payroll in coal-producing counties. In Kentucky, coal mining constitutes 0.3 percent of statewide employment and 2.1 percent of statewide annual payroll (Table 3.14-6). Coal mining makes up less than 0.2 percent of employment and less than 0.3 percent of annual payroll in Indiana and Illinois. As shown in Figure 3.14-11, employment in the Illinois Basin coal mining industry decreased between 1998 and 2000 but increased steadily between 2000 and 2011, in response to a similar trend in regional coal production. Production and employment dropped again in 2012, but increased again in 2013 and 2014.

Kentucky levies a coal severance tax, collecting 4.5 percent of the gross value of extracted coal with a minimum of \$0.50 per ton (Table 3.14-7). As shown in Table 3.14-8, severance tax revenue makes up 1.62 percent of the total tax revenue collected by the state of Kentucky. Illinois and Indiana do not levy coal severance taxes.

Table 3.14-12. Employment and Annual Payroll by Industry in the Illinois Basin, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Health Care and Social Assistance	Coal-producing Counties:	234,715	17.0	14.1	9,488	18.7	5.0
	Statewide– all counties:	1,146,975	15.3	18.0	51,087	14.1	6.2
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Manufacturing	Coal-producing Counties:	178,089	12.9	-24.7	9,407	18.6	-32.9
	Statewide– all counties:	976,188	13.1	-31.5	54,468	15.0	-38.0
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3
Retail Trade	Coal-producing Counties:	199,194	14.4	-0.9	4,892	9.7	-16.0
	Statewide– all counties:	904,846	12.1	-5.7	23,620	6.5	-22.7
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Finance and Insurance	Coal-producing Counties:	70,767	5.1	-3.9	4,287	8.5	-6.5
	Statewide– all counties:	393,020	5.3	-12.5	35,066	9.7	-14.3
	Total U.S.:	5,886,602	5.2	-5.8	526,964	9.6	-9.6
Construction	Coal-producing Counties:	63,674	4.6	-19.2	3,658	7.2	-26.0
	Statewide– all counties:	287,545	3.8	-28.2	18,151	5.0	-35.3
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Wholesale Trade	Coal-producing Counties:	63,264	4.6	0.6	3,493	6.9	0.6
	Statewide– all counties:	410,544	5.5	-11.3	27,359	7.5	-16.9
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4
Professional, Scientific, and Technical Services	Coal-producing Counties:	51,463	3.7	16.5	2,710	5.4	11.0
	Statewide– all counties:	447,879	6.0	0.8	34,819	9.6	-7.2
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Transportation and Warehousing	Coal-producing Counties:	56,845	4.1	25.9	2,368	4.7	6.8
	Statewide– all counties:	333,083	4.5	8.6	15,116	4.2	-10.1
	Total U.S.:	4,106,359	3.6	9.5	187,874	3.4	-7.1
Administrative and Support and Waste Management and Remediation Services	Coal-producing Counties:	69,559	5.0	2.4	2,082	4.1	3.7
	Statewide– all counties:	635,960	8.5	2.1	20,127	5.5	-12.1
	Total U.S.:	9,389,950	8.3	3.6	348,329	6.3	0.8
Accommodation and Food Services	Coal-producing Counties:	138,638	10.0	9.7	1,974	3.9	3.8
	Statewide– all counties:	704,087	9.4	10.3	11,919	3.3	0.5
	Total U.S.:	11,556,285	10.2	15.9	207,349	3.8	3.3

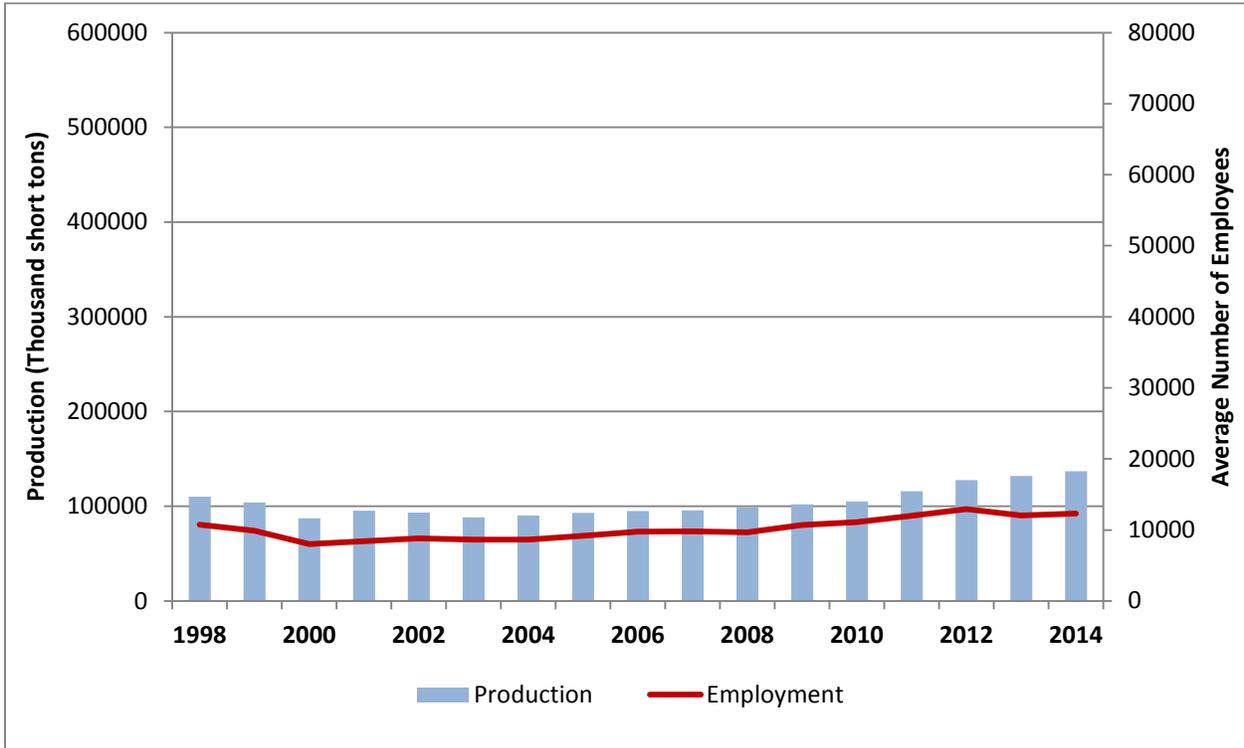
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Counties within a state that cross regional boundaries (such as in KY) are counted in the region where they fall. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-11. Coal Production and Employment Trends in the Illinois Basin Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.5 Northern Rocky Mountains and Great Plains

In the Northern Rocky Mountains and Great Plains region, per capita income in coal-producing counties is less than that of the states encompassing the region and national per capita income (Table 3.14-4). Median household income is greater in the coal-producing counties (\$57,375) than in the broader Northern Rocky Mountains and Great Plains states (\$55,129) and the U.S. as a whole (\$52,762). Median home value is less in this region (\$199,665) than in the states encompassing the region (\$213,776) and greater than the broader U.S. (\$186,200). Unemployment in this coal region is in line with the broader U.S. (Table 3.14-5). Poverty in the region and statewide (12.8 percent and 12.6 percent, respectively) are less than in the greater U.S.

Construction, Retail Trade, and Mining, Quarrying, and Oil and Gas Extraction are the major industries in the region (Table 3.14-13). Mining, Quarrying, and Oil and Gas Extraction in this region contributes a greater share of employment and annual payroll than in any other coal region, accounting for 5.7 percent of employment and 10.9 percent of total annual payroll in coal-producing counties. In Wyoming, employment related to coal mining makes up 3.4 percent of statewide employment and 6.5 percent of statewide annual payroll (Table 3.14-6). Coal mining employment accounted for less than 0.4 percent of statewide employment in Montana and North Dakota; coal mining related payroll data are not available

for these states. Between 1998 and 2012, mining related employment grew by more than 60 percent in the Northern Rocky Mountains and Great Plains region, corresponding with considerable growth in regional coal production (Figure 3.14-12).

All four states in the Northern Rocky Mountains and Great Plains region levy severance taxes on coal (see Table 3.14-7). Coal severance tax revenues make up a relatively great share of total tax revenue in this region, accounting for 11.91 percent of Wyoming tax revenue, 2.33 percent of Montana tax revenue, 0.18 percent of North Dakota tax revenue, and 0.07 percent of Colorado tax revenue (Table 3.14-8).

Table 3.14-13. Employment and Annual Payroll by Industry in the Northern Rocky Mountains and Great Plains, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Construction	Coal-producing Counties:	33,769	11.0	-9.3	1,932	14.3	-15.2
	Statewide– all counties:	55,438	6.5	18.3	3,111	8.9	22.3
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Health Care and Social Assistance	Coal-producing Counties:	38,046	12.4	50.2	1,833	13.6	63.4
	Statewide– all counties:	152,584	17.9	24.7	6,433	18.4	25.3
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Mining, Quarrying, and Oil and Gas Extraction	Coal-producing Counties:	17,361	5.7	39.1	1,468	10.9	36.9
	Statewide– all counties:	48,385	5.7	81.8	4,289	12.3	98.3
	Total U.S.:	651,204	0.6	34.1	58,990	1.1	51.1
Wholesale Trade	Coal-producing Counties:	22,121	7.2	7.4	1,331	9.8	-4.9
	Statewide– all counties:	42,037	4.9	8.0	2,315	6.6	19.0
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4
Manufacturing	Coal-producing Counties:	25,127	8.2	-7.9	1,291	9.5	-15.9
	Statewide– all counties:	49,459	5.8	-9.0	2,449	7.0	-9.3
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3
Retail Trade	Coal-producing Counties:	39,827	12.9	2.7	1,137	8.4	-13.8
	Statewide– all counties:	130,975	15.4	5.8	3,467	9.9	-2.0
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Transportation and Warehousing	Coal-producing Counties:	19,562	6.4	8.1	1,050	7.8	8.6
	Statewide– all counties:	34,695	4.1	48.2	1,677	4.8	59.9
	Total U.S.:	4,106,359	3.6	9.5	187,874	3.4	-7.1
Professional, Scientific, and Technical Services	Coal-producing Counties:	10,629	3.5	38.5	620	4.6	53.0
	Statewide– all counties:	36,793	4.3	15.1	1,896	5.4	22.8
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8
Administrative and Support and Waste Management and Remediation Services	Coal-producing Counties:	14,920	4.8	-5.4	559	4.1	-2.4
	Statewide– all counties:	37,382	4.4	26.5	1,112	3.2	32.5
	Total U.S.:	9,389,950	8.3	3.6	348,329	6.3	0.8
Accommodation and Food Services	Coal-producing Counties:	31,902	10.4	17.0	498	3.7	12.5
	Statewide– all counties:	102,170	12.0	12.9	1,636	4.7	13.8
	Total U.S.:	11,556,285	10.2	15.9	207,349	3.8	3.3

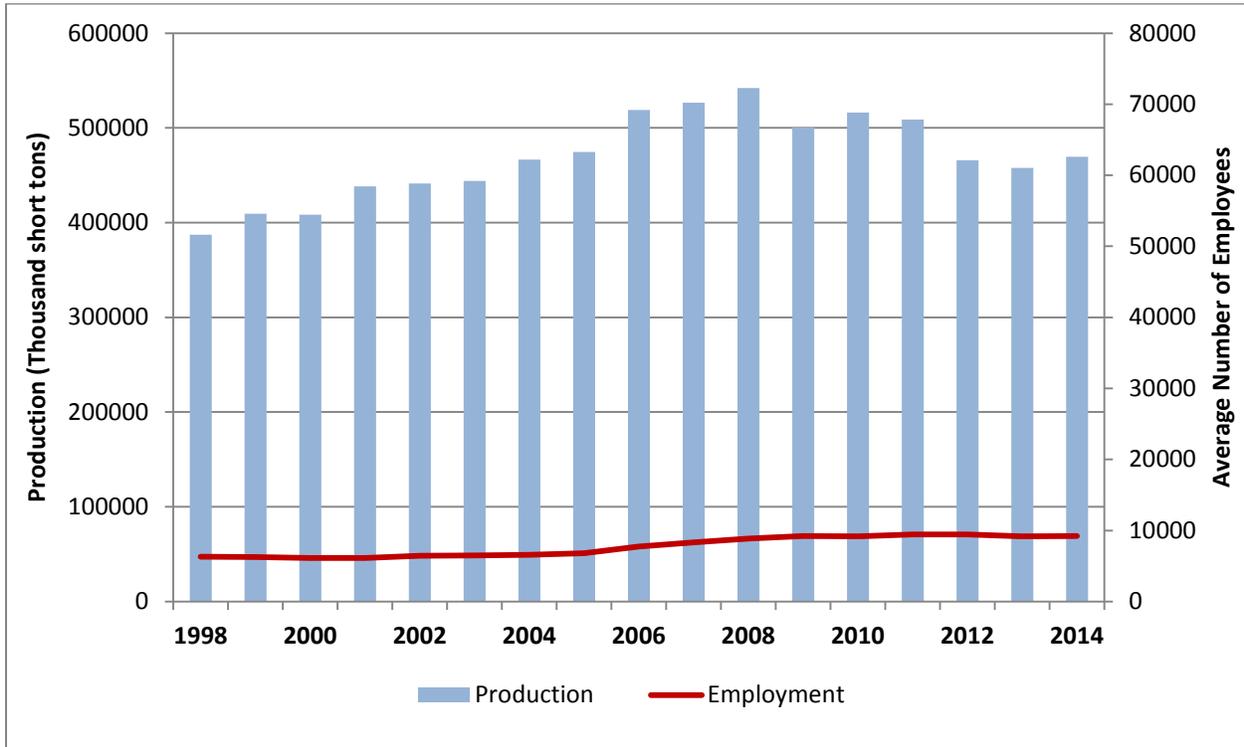
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-12. Coal Production and Employment Trends in the Northern Rocky Mountains and Great Plains Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.6 Northwest

This section describes the socioeconomic conditions of the current and reasonably foreseeable future coal mining activity in the region (i.e., Alaska). The socioeconomic metrics described in Tables 3.14-4 and 3.14-5 indicate that people living in the Northwest coal region are, on average, more affluent than the general population. Alaska is better off than the general population in terms of average income, home values, unemployment, and poverty rates. Per capita income in coal-producing counties is \$38,669, the greatest among all coal regions and more than 38 percent greater than national per capita income. Median household income is also the greatest in the Northwest coal region. Median home value in coal-producing counties is \$394,197, more than double the national median home value. These counties have a lower prevalence of poverty and unemployment than the rest of the country (Table 3.14-5).

Table 3.14-14 demonstrates that due to the small area in Alaska where coal operations take place, minimal data is available related to the employment and annual payroll of the region. Of available and reported data, Accommodations and Food Services, Transportation and Warehousing, and Retail Trade are the top contributors to annual payroll in the Northwest coal region. Transportation and Warehousing, Retail Trade and construction are the top industries contributing to employment in the region. The

Mining, Quarrying, and Oil and Gas Extraction industries did not report figures for the 2001 to 2011 time period in this region (Table 3.14-6). Additionally, as shown in Figure 3.14-13, regional coal production and employment dropped precipitously between 2006 and 2007, reflecting the termination of coal production in Washington.

Alaska levies a license tax on net income earned from mining. The tax rate varies based on the amount of income earned. Alaska also collects royalties from production on state land (Table 3.14-7). Less than 0.01 percent of total tax revenue collected by the state of Alaska comes from the mining license tax (Table 3.14-8).

Table 3.14-14. Employment and Annual Payroll by Industry in the Northwest, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Accommodation and Food Services	Coal-producing Counties:	**	**	**	25	**	**
	Statewide– all counties:	26,132	1.0	19.2	655	0.5	4.3
	Total U.S.:	11,556,285	10.2	15.9	207,349	3.8	3.3
Transportation and Warehousing	Coal-producing Counties:	23	**	130.0	2	0.0	72.4
	Statewide– all counties:	17,713	0.7	-2.2	1,245	0.9	-4.3
	Total U.S.:	4,106,359	3.6	9.5	187,874	3.4	-7.1
Retail Trade	Coal-producing Counties:	22	**	-8.3	1	0.0	45.8
	Statewide– all counties:	32,548	1.2	-2.6	1,043	0.7	-19.0
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Construction	Coal-producing Counties:	18	**	**	1	0.0	**
	Statewide– all counties:	16,923	0.6	11.5	1,565	1.1	15.9
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Arts, Entertainment, and Recreation	Coal-producing Counties:	**	**	**	1	0.0	**
	Statewide– all counties:	4,906	0.2	*	96	0.1	**
	Total U.S.:	2,003,129	1.8	12.5	67,871	1.2	-5.7
Health Care and Social Assistance	Coal-producing Counties:	**	**	**	1	0.0	**
	Statewide– all counties:	44,084	1.7	35.2	2,417	1.7	16.2
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Professional, Scientific, and Technical Services	Coal-producing Counties:	**	**	**	0	0.0	**
	Statewide– all counties:	17,417	0.7	52.7	1,219	0.9	32.8
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8
Administrative and Support and Waste Management and Remediation Services	Coal-producing Counties:	**	**	**	**	**	**
	Statewide– all counties:	20,335	0.8	80.6	1,029	0.7	80.5
	Total U.S.:	9,389,950	8.3	3.6	348,329	6.3	0.8
Agriculture, Forestry, Fishing and Hunting	Coal-producing Counties:	**	**	**	**	**	**
	Statewide– all counties:	1,297	0.0	-19.4	64	0.0	-34.6
	Total U.S.:	156,520	0.1	-14.7	5,854	0.1	-21.7
Educational Services	Coal-producing Counties:	**	**	**	**	**	**
	Statewide– all counties:	3,157	0.1	18.6	94	0.1	2.6
	Total U.S.:	3,386,047	3.0	29.6	122,960	2.2	17.4

Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

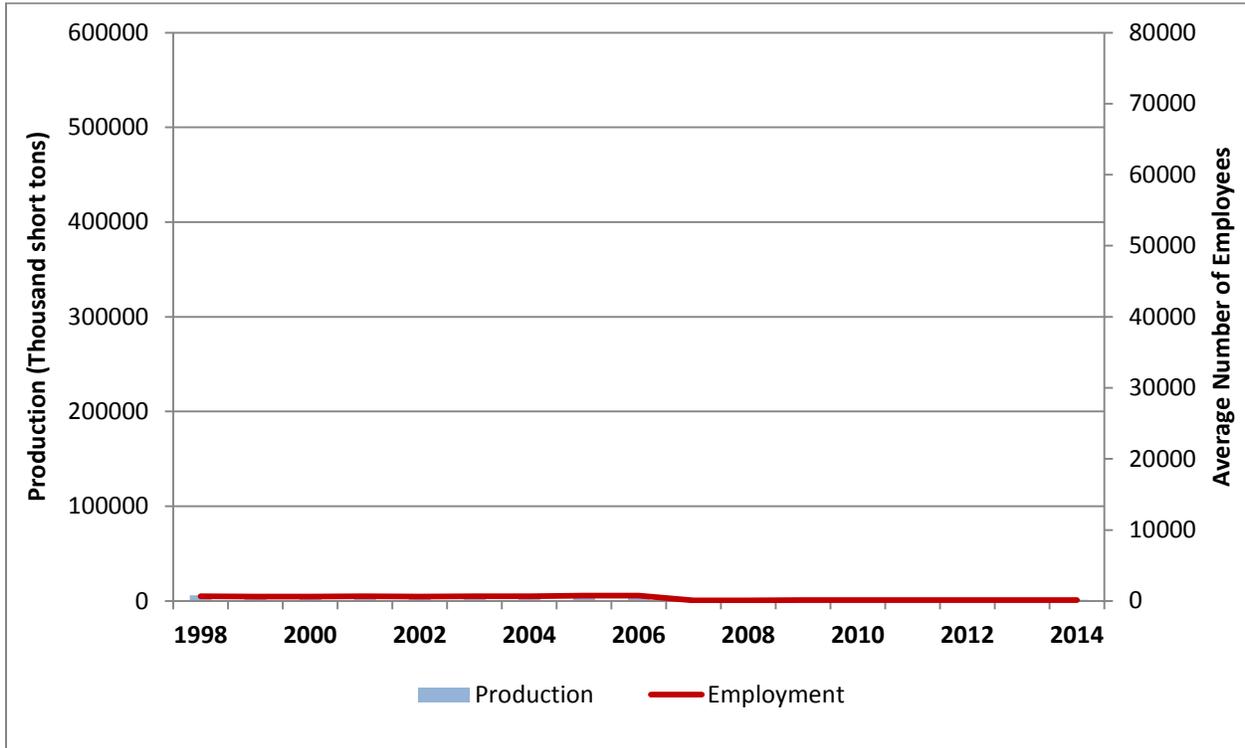
Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Northwest data includes only Alaska.

** Data not reported.

Figure 3.14-13. Coal Production and Employment Trends in the Northwest Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.2.7 Western Interior

The Western Interior coal region has the second lowest per capita income and second lowest median home value among all coal regions (Table 3.14-4). Per capita income within coal-producing counties is \$22,050. Median home value in these counties is \$104,353, approximately 44 percent less than the national median home value. Per capita income, median household income, and median home value are all less in coal-producing counties than Western Interior states and the broader U.S. The Western Interior has a greater prevalence of poverty than the national population, with 16.8 percent of the population in coal-producing counties living below the poverty line, compared with 14.3 percent nationwide. These counties have an unemployment rate (6.9 percent) comparable to the broader Western Interior states (6.4 percent) but less than the national rate (8.1 percent).

Table 3.14-15 lists the top industries in the Western Interior by employment and annual payroll. Manufacturing, Healthcare and Social Assistance and Retail Trade contribute most to employment and annual payroll in the region. The Mining, Quarrying, and Oil and Gas Extraction industries contribute more to employment and annual payroll in this region than in the states encompassing the region and in the broader U.S., making up 2.4 percent of employment and 4.2 percent of annual payroll in coal-producing counties. As described in Table 3.14-6, coal mining does not contribute measurably to

employment or annual payroll in any of the four states within the region. Between 1998 and 2011, employment in the coal mining industry varied, reaching a low point of 186 employees in 2002 and a high point of 403 employees in 2007 (Figure 3.14-14). Corresponding with a fall in regional coal production, coal mining employment fell between 2007 and 2009 but then rose significantly in 2012 in response to an increase in production.

Arkansas and Kansas levy severance taxes on extracted coal. Severance tax rates are listed in Table 3.14-7. Severance tax revenues for 2015 are listed in Table 3.14-8. Severance tax revenue makes up less than 0.1 percent of tax revenue in Arkansas and 0.12 percent in Kansas. Missouri and Oklahoma do not collect tax revenue from coal severance.

Table 3.14-15. Employment and Annual Payroll by Industry in the Western Interior, 2011

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Manufacturing	Coal-producing Counties:	24,016	19.6	-31.4	1,112	26.0	-34.1
	Statewide– all counties:	678,401	12.0	-26.1	33,753	14.3	-29.3
	Total U.S.:	10,984,361	9.7	-31.1	613,692	11.1	-36.3
Health Care and Social Assistance	Coal-producing Counties:	23,183	19.0	9.0	842	19.7	-9.4
	Statewide– all counties:	961,104	17.0	20.7	39,096	16.6	10.6
	Total U.S.:	18,059,112	15.9	24.2	832,892	15.1	14.6
Retail Trade	Coal-producing Counties:	17,344	14.2	-1.8	403	9.4	-15.9
	Statewide– all counties:	759,377	13.4	-2.0	19,083	8.1	-16.6
	Total U.S.:	14,698,563	13.0	-1.3	395,818	7.2	-19.4
Construction	Coal-producing Counties:	6,049	4.9	11.2	280	6.6	26.6
	Statewide– all counties:	264,211	4.7	-14.5	13,091	5.5	-22.1
	Total U.S.:	5,190,921	4.6	-20.0	283,149	5.1	-26.6
Management of Companies and Enterprises	Coal-producing Counties:	2,781	2.3	27.5	278	6.5	36.8
	Statewide– all counties:	160,254	2.8	20.8	15,335	6.5	16.3
	Total U.S.:	2,921,669	2.6	1.5	319,028	5.8	-4.1

Sector	Geography ¹	Number of Paid Employees	Contribution to Employment (%)	Employment Growth 2001 - 2011 (%)	Annual Payroll (\$ Millions, 2013\$)	Contribution to Income (%)	Payroll Growth 2001 - 2011 (%)
Wholesale Trade	Coal-producing Counties:	4,576	3.7	2.8	206	4.8	4.1
	Statewide– all counties:	281,849	5.0	-8.0	15,501	6.6	-9.8
	Total U.S.:	5,626,328	5.0	-8.4	381,331	6.9	-11.4
Mining, Quarrying, and Oil and Gas Extraction	Coal-producing Counties:	2,892	2.4	207.0	180	4.2	216.7
	Statewide– all counties:	71,614	1.3	60.8	6,076	2.6	106.9
	Total U.S.:	651,204	0.6	34.1	58,990	1.1	51.1
Finance and Insurance	Coal-producing Counties:	3,910	3.2	-19.1	175	4.1	-23.5
	Statewide– all counties:	277,979	4.9	0.3	16,905	7.2	-5.6
	Total U.S.:	5,886,602	5.2	-5.8	526,964	9.6	-9.6
Administrative and Support and Waste Management and Remediation Services	Coal-producing Counties:	5,653	4.6	-30.6	149	3.5	-16.5
	Statewide– all counties:	353,548	6.3	-4.6	11,537	4.9	-2.8
	Total U.S.:	9,389,950	8.3	3.6	348,329	6.3	0.8
Professional, Scientific, and Technical Services	Coal-producing Counties:	3,251	2.7	1.1	139	3.3	-2.9
	Statewide– all counties:	292,742	5.2	12.6	18,135	7.7	4.8
	Total U.S.:	7,929,910	7.0	10.8	606,446	11.0	3.8

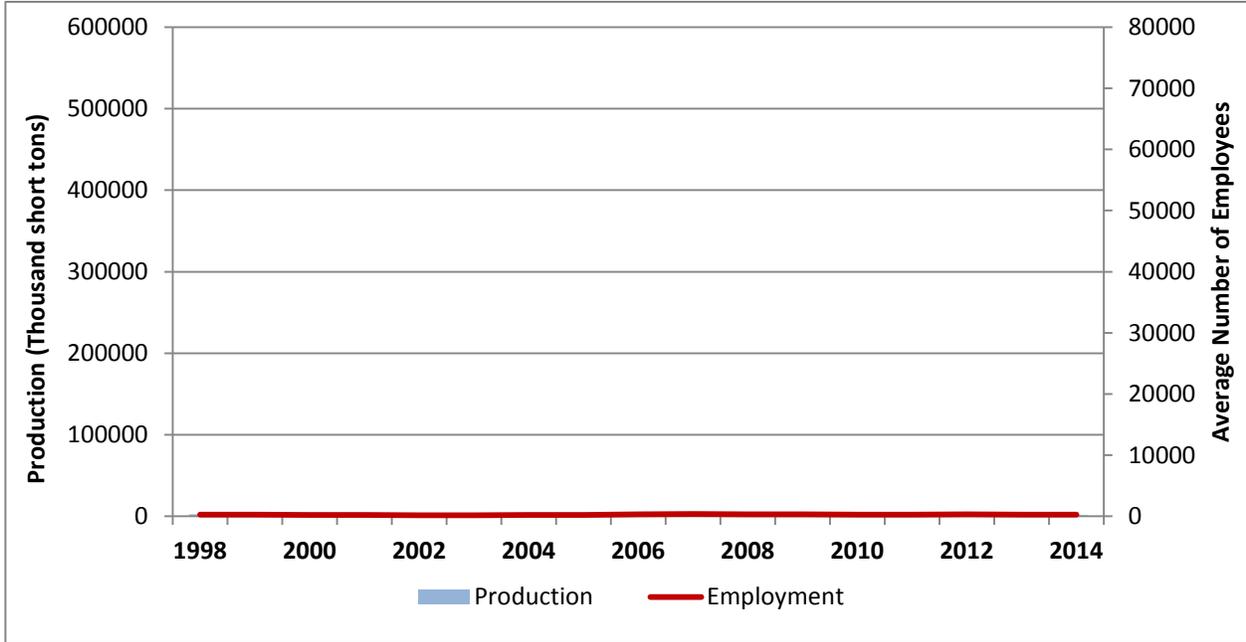
Source: U.S. Census Bureau, County Business Patterns 2001 and 2011 Data Releases.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Payroll figures are adjusted to 2013\$ using U.S. Bureau of Economic Analysis GDP Deflator.

¹ Counties within a state that cross regional boundaries are counted in the region where they fall. The data for these counties is therefore included in both regions and therefore the populations of each coal region do not sum to the total population within all coal regions.

Figure 3.14-14. Coal Production and Employment Trends in the Western Interior Region, 1998-2014



Source: U.S. Energy Information Administration, Annual Coal Reports 1998 – 2014 (EIA-0584).

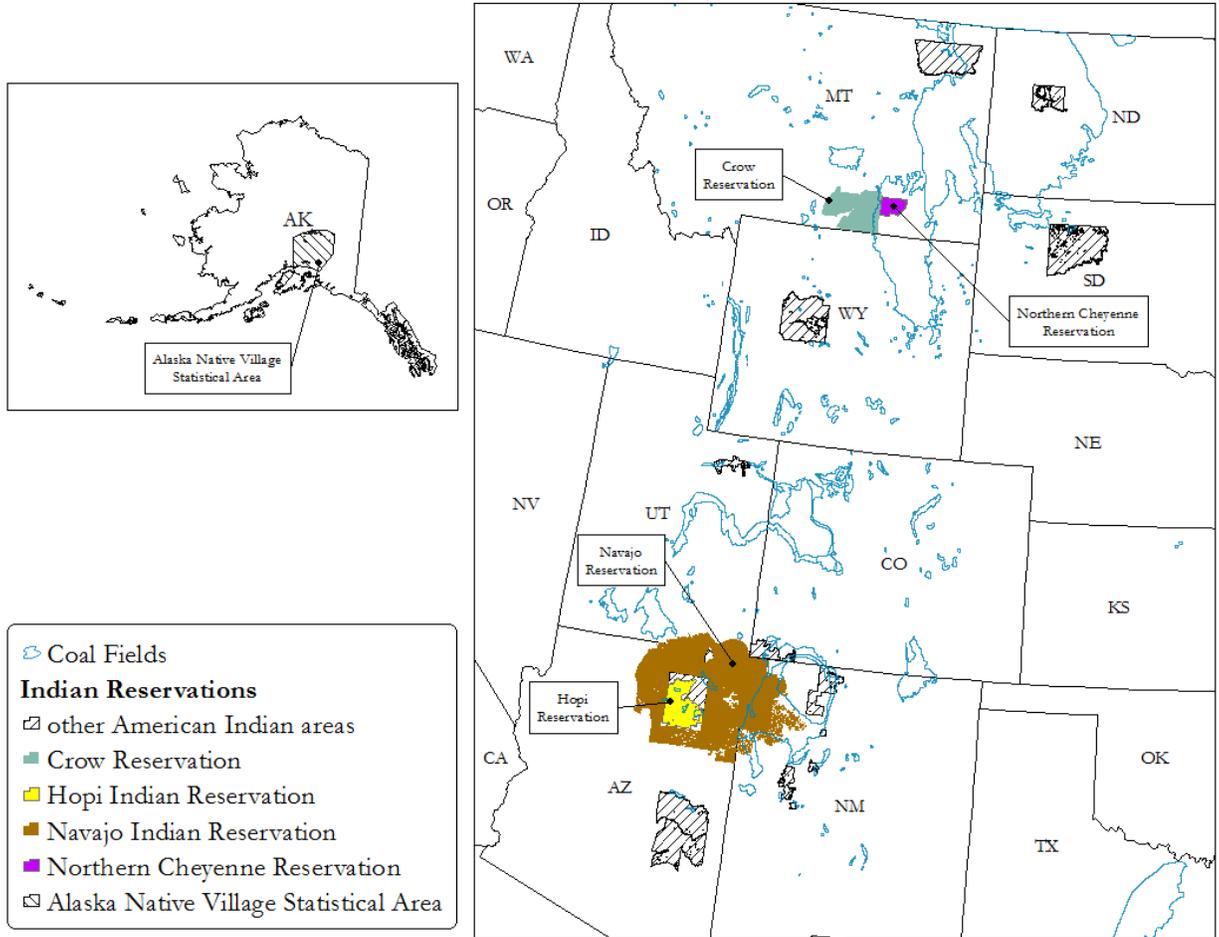
Note: Employment includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Employment excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

3.14.3 Tribal Populations

This section characterizes socioeconomic factors similar to the previous two sections but focuses more specifically on the Native American and Native Alaskan populations potentially affected by the Action Alternatives. Sections 4.3 (describing impacts on socioeconomic conditions) and 4.4 (environmental justice analysis) evaluate potential impacts of the Action Alternatives on Native American populations.

The U.S. Census identifies 20 “American Indian Areas” and six “Alaska Native Village Statistical Areas” (ANVSA) within the study area for this analysis. These include reservations, off reservation trust lands (ORTLs), and statistical areas that include populations of Native Americans and Alaska Natives. These areas, mapped in Figure 3.15-6, overlap potentially minable coal within coal-producing counties across the U.S. Socioeconomic data for the “American Indian Areas” and ANVSAs are from the 2000 and 2010 U.S. Censuses and the American Community Survey 2007-2011 Five Year Estimates. This characterization focuses on the “American Indian Areas” and ANVSAs; there may be additional tribal subdivisions that overlap the area of analysis but are not separately characterized in this report.

Figure 3.14-15. American Indian Areas Overlapping Coal Regions



Source: U.S. Census Bureau 2010a TIGER/Line® Shapefiles. U.S. Department of Commerce. <https://www.census.gov/geo/maps-data/data/tiger-line.html>; USGS, 2001a. Coal Fields of the United States: National Atlas of the United States, Reston, VA, Eastern Energy Team; John Tully (comp.), August 2001. <http://nationalatlas.gov/mld/coalfdp.html>

This discussion gives particular emphasis to the socioeconomic profiles of the Navajo, Hopi, Northern Cheyenne, and Crow Tribes, the four tribes listed in section 710(i) of SMCRA (30 U.S.C. § 1300(i)). The Navajo Nation Reservation occupies northeastern Arizona, southeastern Utah, and northwestern New Mexico. The Hopi Reservation lies entirely within the Arizona portion of the Navajo Reservation. The Northern Cheyenne Reservation and ORTL and Crow Reservation and ORTL lie adjacent to one another in southeastern Montana.

3.14.3.1 Demography

Population trends vary across Native American tribes between 2000 and 2010 (Table 3.14-16). Of all the “American Indian Areas” examined, the Mississippi Choctaw Reservation experienced the greatest population growth (43.3 percent), while the Adais Caddo State Designated Tribal Statistical Area experienced the greatest percent decline in population (93.6 percent). The Navajo Nation has, by far, the largest population of the four tribes listed in section 710(i) of SMCRA; the population living on the Navajo Nation Reservation and ORTL declined by 3.8 percent between 2000 and 2010. The population living on the Crow Reservation and ORTL remained stable, declining by less than one percent over the same time period. The populations of the Hopi Reservation and ORTL and Northern Cheyenne Reservation and ORTL increased by 3.4 percent and 7.1 percent respectively between 2000 and 2010.

The populations of the six ANVSAs experienced varying degrees of growth and decline. Between 2000 and 2010, the Knik ANVSA experienced the greatest population increase (105 percent), whereas the Tyonek ANVSA population declined (16.1 percent).

The populations of the Navajo Nation, the Hopi, the Northern Cheyenne, the Crow, Atqasuk, Chicaloon, Knik, Tyonek, and Wainwright are all typically younger than the general U.S. population, with relatively large segments of their respective populations making up the younger age groups. The age distributions for the 20 examined “American Indian Areas” and six ANVSAs are listed in Table 3.14-17.

Table 3.14-16. Population Trends in American Indian Areas in Coal Regions, 2000-2010

Coal Region	American Indian Area	Population Growth 2000 - 2010 (%)	2010 Population
Appalachian Basin	Echota Cherokee SDTSA ^a	-17.6	53,622
Colorado Plateau	Jicarilla Apache Nation AIR/ORTL ^b	18.1	3,254
	Uintah and Ouray AIR/ORTL ^b	27.0	24,369
	Southern Ute AIR ^c	8.9	12,153
	Ute Mountain AIR/ORTL ^b	3.3	1,742
	Fort Apache AIR ^c	7.9	13,409
	Zuni AIR/ORTL ^b	1.7	7,891
	Navajo Nation AIR/ORTL ^b	-3.8	173,667
Gulf Coast	Hopi AIR/ORTL ^b	3.4	7,185
	Mississippi Choctaw AIR ^c	43.3	7,436
	Kickapoo AIR ^c	-12.9	366
Northern Rocky Mountains and Great Plains	Adais Caddo SDTSA ^a	-93.6	2,517
	Fort Berthold AIR ^c	7.2	6,341
	Crow AIR/ORTL ^b	-0.4	6,863
	Northern Cheyenne AIR/ORTL ^b	7.1	4,789
Northern Rocky Mountains and Great Plains	Fort Peck AIR/ORTL ^b	-3.0	10,008
	Turtle Mountain AIR/ORTL ^b	4.1	8,669
Northwest	Atqasuk ANVSA ^c	14.8	233
	Chickaloon ANVSA ^c	39.3	23,087

Coal Region	American Indian Area	Population Growth 2000 - 2010 (%)	2010 Population
	Knik ANVSA ^e	105.0	65,768
	Ninilchik ANVSA ^e	9.4	14,512
	Tyonek ANVSA ^e	-16.1	177
	Wainwright ANVSA ^e	1.4	566
Western Interior	Choctaw OTSA ^d	3.9	233,126
	Creek OTSA ^d	7.7	758,622
	Cherokee OTSA ^d	9.2	505,021

Source: U.S. Census Bureau, Census 2010, Census 2000. U.S. Department of Commerce.

^a State Designated Tribal Statistical Area

^b American Indian Reservation (AIR) and Off-Reservation Trust Lands

^c American Indian Reservation

^d Oklahoma Tribal Statistical Area

^e Alaska Native Village Statistical Area

Table 3.14-17. Age Composition in American Indian Areas in Coal Regions (Percent of Population), 2010

Coal Region	American Indian Area	Under 5	5-14	15-24	25-34	35-44	45-54	55-64	65+
Appalachian Basin	Echota Cherokee SDTSA	6.5	13.7	12.6	11.4	14.3	17.0	12.1	12.4
Colorado Plateau	Jicarilla Apache Nation AIR/ORTL	10.3	17.8	18.2	14.1	12.4	12.1	8.0	7.1
	Uintah and Ouray AIR/ORTL	10.5	18.4	14.2	14.9	10.4	11.9	9.3	10.3
	Southern Ute AIR	6.3	12.9	11.0	10.4	12.2	17.9	16.4	12.9
	Ute Mountain AIR/ORTL	9.5	19.2	19.3	12.9	13.8	13.5	7.0	4.8
	Fort Apache AIR	12.6	19.0	19.6	12.9	11.7	11.4	7.2	5.5
	Zuni AIR/ORTL	9.1	15.1	18.0	12.8	14.2	14.5	9.1	7.2
	Navajo Nation AIR/ORTL	8.7	18.2	18.0	11.7	11.7	13.0	9.0	9.5
Hopi AIR/ORTL	9.0	17.3	15.5	11.9	10.9	13.6	10.8	11.0	
Gulf Coast	Mississippi Choctaw AIR	12.8	22.0	17.8	14.3	11.4	10.1	6.4	5.2
	Kickapoo AIR	13.1	25.1	15.3	15.8	10.4	9.0	4.9	6.3
	Adais Caddo SDTSA	6.4	14.9	11.5	10.8	13.2	13.0	14.3	16.1
Northern Rocky Mountains and Great Plains	Fort Berthold AIR	9.3	16.8	16.5	12.2	10.9	14.2	11.0	9.1
	Crow AIR/ORTL	10.8	18.1	16.0	11.4	10.1	13.5	10.9	9.1
	Northern Cheyenne AIR/ORTL	11.8	22.2	18.5	11.7	11.6	10.3	8.4	5.5
	Fort Peck AIR/ORTL	9.9	17.1	16.8	11.5	10.3	14.1	10.7	9.7
	Turtle Mountain AIR/ORTL	11.2	19.5	16.9	12.7	11.2	13.2	8.6	6.6
Northwest	Atqasuk ANVSA	11.2	21.9	17.6	13.8	14.1	8.6	6.4	6.4
	Chickaloon ANVSA	7.1	15.8	14.3	12.4	13.6	16.4	11.9	8.5
	Knik ANVSA	8.0	16.2	13.2	13.3	13.8	16.1	12.0	7.4
	Ninilchik ANVSA	5.5	12.4	11.0	10.1	11.4	17.7	19.0	12.9
	Tyonek ANVSA	9.0	16.4	9.0	16.4	10.8	19.8	11.3	7.3
	Wainwright ANVSA	9.9	18.7	17.8	15.7	9.9	14.4	8.3	5.3
Western Interior	Choctaw OTSA	6.7	13.2	13.1	11.9	11.8	13.9	12.9	16.5
	Creek OTSA	6.9	13.7	13.4	13.8	12.8	14.2	12.0	13.2
	Cherokee OTSA	6.9	14.5	13.4	11.8	12.4	14.4	12.1	14.6

Source: U.S. Census Bureau. 2013. Census 2010. U.S. Department of Commerce.

3.14.3.2 Economic Baseline

In general, the potentially affected Native American tribes are less affluent than the broader national population. Median household income is less than the national statistic in 18 of the 20 examined “American Indian Areas,” with the Southern Ute Reservation and Uinta and Ouray Reservation being the exceptions (Table 3.14-18). Per capita income falls between \$10,000 and \$12,000 on the Navajo Nation Reservation and ORTL and the Northern Cheyenne Reservation and ORTL, which is less than 57 percent of the national average. The Hopi Reservation and ORTL per capita income is slightly greater than \$12,000. Per capita income on the Crow Reservation and ORTL is approximately \$14,000, close to half the national figure. The Kickapoo Reservation is subject to the lowest median household income statistic, standing at \$22,941, whereas the Fort Apache Reservation has the lowest per capita income at \$9,738. The Navajo Nation reports a median household income of \$27,022, the lowest of the four tribes listed in section 710(i) of SMCRA. Median home value falls between \$60,000 and \$80,000 for the Navajo, Crow, and Northern Cheyenne. Median home value for the Hopi is \$108,600, still more than \$65,000 below the national median home value.

Table 3.14-18. Per Capita Income, Median Household Income, and Median Home Value in American Indian Areas in Coal Regions, 2011

Coal Region	American Indian Area	Per Capita Income ¹	Median Household Income ¹	Median Home Value ²
Appalachian Basin	Echota Cherokee SDTSA	\$24,030	\$50,806	\$124,400
Colorado Plateau	Jicarilla Apache Nation AIR/ORTL	\$15,882	\$42,214	\$59,600
	Uintah and Ouray AIR/ORTL	\$23,080	\$56,100	\$168,800
	Southern Ute AIR	\$27,777	\$58,855	\$263,100
	Ute Mountain AIR/ORTL	\$12,456	\$28,355	\$91,100
	Fort Apache AIR	\$9,738	\$26,134	\$78,600
	Zuni AIR/ORTL	\$10,575	\$31,050	\$55,500
	Navajo Nation AIR/ORTL	\$10,864	\$27,022	\$64,100
	Hopi AIR/ORTL	\$12,363	\$34,904	\$108,600
Gulf Coast	Mississippi Choctaw AIR	\$11,501	\$38,058	\$57,500
	Kickapoo AIR	\$10,782	\$22,941	\$44,300
	Adais Caddo SDTSA	\$16,835	\$31,058	\$62,400
Northern Rocky Mountains and Great Plains	Fort Berthold AIR	\$20,490	\$44,637	\$59,400
	Crow AIR/ORTL	\$13,998	\$43,846	\$78,100
	Northern Cheyenne AIR/ORTL	\$11,843	\$36,219	\$67,300
	Fort Peck AIR/ORTL	\$16,075	\$35,794	\$58,700
	Turtle Mountain AIR/ORTL	\$10,672	\$25,469	\$45,100
Northwest	Atkasuk ANVSA	\$18,747	\$56,500	\$141,700
	Chickaloon ANVSA	\$30,087	\$72,844	\$227,200
	Knik ANVSA	\$28,996	\$69,666	\$213,100
	Ninilchik ANVSA	\$29,039	\$53,886	\$215,100
	Tyonek ANVSA	\$20,976	\$28,750	\$87,200
	Wainwright ANVSA	\$20,651	\$67,596	\$115,400
Western Interior	Choctaw OTSA	\$18,894	\$36,070	\$77,200
	Creek OTSA	\$26,580	\$46,781	\$124,200
	Cherokee OTSA	\$21,048	\$41,530	\$99,400
Total U.S.	Nationwide – Coal and Non coal states	\$27,915	\$52,762	\$186,200

Source: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

¹ Per capita income and median household income are reported in 2011 inflation adjusted dollars.

² Median reported value of owner occupied housing units.

In the potentially affected ANVSAs, general economic characteristics are mixed. The Chicakloon, Knik, and Ninilchik populations are all generally more affluent than the broader national population, whereas the Atqasuk, Tyonek, and Wainwright populations are generally less affluent. The Chickaloon ANVSA per capita income exceeds \$30,000 with a median household income of nearly \$73,000. The median home value is also 22 percent greater than the broader nation. However, the Atqasuk, Tyonek and Wainwright ANVSAs have per capita incomes of approximately \$20,000, almost \$8,000 less than the national average. These three ANVSAs also have home values significantly less than the national average, ranging from \$87,200 to \$141,700.

The statistics listed in Table 3.14-19 also demonstrate relatively high poverty rates among Native Americans. In 16 of the 26 examined areas, more than 20 percent of the population lives below the poverty line. The poverty rate reaches as great as 46.8 percent and 43.4 percent in the Fort Apache and Turtle Mountain Reservations, respectively. The poverty rate falls between 25 percent and 40 percent for the Navajo Nation, the Hopi, and the Northern Cheyenne, and the Crow Reservation and ORTL. The unemployment rate varies widely across the examined American Indian Areas, ranging from 0.0 percent in the Kickapoo Reservation, to 33.8 percent in the Fort Apache Reservation. Unemployment is relatively prevalent among the four tribes listed in section 710(i) of SMCRA. Over 20 percent of the labor force is unemployed in the Crow and Northern Cheyenne Reservations and ORTLs. The unemployment rates for the Navajo Nation Reservation and ORTL and the Hopi Reservation and ORTL are 18.7 percent and 17.7 percent, respectively.

Table 3.14-20 describes employment by industry for the 20 American Indian areas and six ANVSAs. While specific data regarding employment in the coal mining industry is not available for these populations, the Agriculture, Forestry, Fishing, and Hunting, and Mining (including but not limited to coal mining) industries account for 18 percent of total employment in the Uintah and Ouray Reservation and ORTL. In the Navajo Nation and Northern Cheyenne Reservations and ORTLs, Agriculture, Forestry, Fishing, and Hunting, and Mining account for nearly four percent of total employment, respectively. In the Crow and Hopi Reservations and ORTLs, these industries make up 14.4 percent and 4.6 percent of total employment, respectively.

Table 3.14-19. Poverty and Unemployment in American Indian Areas in Coal Regions, 2011

Coal Region	American Indian Area	Percent of Population Below the Poverty Line (%)	Unemployment Rate (%)
Appalachian Basin	Echota Cherokee SDTSA	12.1	8.7
Colorado Plateau	Jicarilla Apache Nation AIR/ORTL	21.1	10.6
	Uintah and Ouray AIR/ORTL	11.2	5.7
	Southern Ute AIR	10.1	7.8
	Ute Mountain AIR/ORTL	29.4	9.1
	Fort Apache AIR	46.8	33.8
	Zuni AIR/ORTL	32.2	8.5
	Navajo Nation AIR/ORTL	38.1	18.7
	Hopi AIR/ORTL	32.5	17.7
Gulf Coast	Mississippi Choctaw AIR	29.1	9.1
	Kickapoo AIR	31.0	0.0
	Adais Caddo SDTSA	25.8	9.4
Northern Rocky Mountains and Great Plains	Fort Berthold AIR	25.6	8.5
	Crow AIR/ORTL	27.6	28.3
	Northern Cheyenne AIR/ORTL	37.2	23.7
	Fort Peck AIR/ORTL	27.6	8.2
	Turtle Mountain AIR/ORTL	43.4	5.5
Northwest	Atqasuk ANVSA	13.8	20.3
	Chickaloon ANVSA	7.4	9.2
	Knik ANVSA	10.4	9.9
	Ninilchik ANVSA	10.9	9.3
	Tyonek ANVSA	28.9	18.5
	Wainwright ANVSA	12.4	31.2
Western Interior	Choctaw OTSA	21.2	8.4
	Creek OTSA	14.6	6.3
	Cherokee OTSA	18.4	8.0

Source: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.

Note: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

Table 3.14-20. Employment by Industry in American Indian Areas, 2011

Coal Region	American Indian Area	Total Employment	Industry as Percent (%) of Total Employment												
			Agriculture, Forestry, Fishing, and Hunting, and Mining	Construction	Manufacturing	Wholesale Trade	Retail Trade	Transportation, Warehousing, and Utilities	Information	FIRE ¹ and Rental/Leasing	Professional, Scientific, and Administrative WMS ²	Educational Services and Health Care	Arts, Entertainment, and Recreation, and Accommodation and Food Services	Other Services, Except Public Administration	Public Administration
Appalachian Basin	Echota Cherokee SDTSA	23,894	1.7	9.1	19.6	2.6	10.2	4	1.8	3.6	11.2	17.2	7.1	5.1	6.8
Colorado Plateau	Jicarilla Apache Nation AIR/ORTL	1,338	4.4	6.8	0.9	0.5	5.5	1.5	2	2.2	2	22.3	10.1	1.9	40
	Uintah and Ouray AIR/ORTL	9,120	18.1	7.1	1.5	2.2	10.1	8.1	3	2.7	5.1	20.5	7.8	4.5	9.5
	Southern Ute AIR	6,401	9.5	15.8	3.6	2.2	11.3	5.6	1.2	3.3	8	16.4	12.6	4.5	5.9
	Ute Mountain AIR/ORTL	678	0.4	13.1	2.7	0	14.7	0	0.4	1.8	3.2	18	23.3	3.7	18.6
	Fort Apache AIR	3,446	4.9	6.9	5.3	0.4	7.3	1.7	0.5	3.9	1.2	31.3	19.8	3.3	13.6
	Zuni AIR/ORTL	4,628	4.1	5.7	17.4	1.1	16.7	0.1	0	2.9	0.2	33.7	3.1	4	11.1
	Navajo Nation AIR/ORTL	44,438	3.6	10.1	4.2	0.6	9.7	5.8	0.5	2.3	2.3	37	10.5	3	10.3
Hopi AIR/ORTL	2,783	4.6	2.4	10.3	2.8	8	3.5	1.9	2.4	3.2	35.5	7.4	1.1	16.7	
Gulf Coast	Mississippi Choctaw AIR	2,811	0.2	4.9	3.8	2.5	4.2	5.4	0.4	3.2	1.5	20.5	36.2	3	14.2
	Kickapoo AIR	150	0	0	0	0	0	0	0	0	0	16.7	66.7	0	16.7
	Adais Caddo SDTSA	1,070	15.2	7.9	12.1	3.5	10.4	6.6	0	3.5	5	27.2	3.3	2.6	2.7
Northern Rocky Mountains and Great Plains	Fort Berthold AIR	2,784	13.6	4	5.8	1.4	8.2	2.4	2.8	2.5	2.4	23.4	16.5	1.5	15.6
	Crow AIR/ORTL	2,356	14.4	4.3	0.3	1.9	7.3	4.2	0	2.9	3.6	22.5	7.7	3	28.1
	Northern Cheyenne AIR/ORTL	1,443	4.6	4.1	0	0	5.3	2.8	0.3	2.2	2.7	40.8	3.5	4.6	29
	Fort Peck AIR/ORTL	3,429	14.6	5.7	1.5	1.1	11.6	3.1	1.4	2.2	3	30.2	5.1	2.7	17.6
	Turtle Mountain AIR/ORTL	2,268	0	6.7	3.2	0	7.7	4.2	2.3	3.4	4.1	36.9	20.8	1.8	8.8

Coal Region	American Indian Area	Total Employment	Industry as Percent (%) of Total Employment												
			Agriculture, Forestry, Fishing, and Mining	Construction	Manufacturing	Wholesale Trade	Retail Trade	Transportation, Warehousing, and Utilities	Information	FIRE ¹ and Rental/Leasing	Professional, Scientific, and Administrative WMS ²	Educational Services and Health Care	Arts, Entertainment, and Recreation, and Accommodation and Food Services	Other Services, Except Public Administration	Public Administration
Northwest	Atqasuk ANVSA	94	0	14.9	0	0	6.4	23.4	0	0	0	39.4	2.1	7.4	6.4
	Chickaloon ANVSA	10,016	5.9	11.6	1.7	1.7	8.7	7.2	3.3	2.7	10.3	25.0	7.1	5.3	9.5
	Knik ANVSA	28,244	5.6	13.9	2.0	1.7	12.5	7.4	2.2	4.1	7.9	21.2	7.8	5.7	7.9
	Ninilchik ANVSA	6,231	10.9	10	3.1	1.0	10.0	8.8	1.3	3.3	6.6	24.4	9.6	5.0	6.0
	Tyonek ANVSA	110	6.4	22.7	0	0	2.7	9.1	0	4.5	8.2	8.2	1.8	17.3	19.1
	Wainwright ANVSA	262	2.3	19.1	0.8	0	7.3	14.5	0.8	9.9	0	24.4	10.3	2.3	8.4
Western Interior	Choctaw OTSA	90,278	8.2	8.2	10.8	2.9	11.2	6.1	1.1	4.1	4.9	23.6	7.4	4.6	6.9
	Creek OTSA	354,618	2.1	6.7	12	3.5	11.4	5.6	3	7.1	9.8	21.3	8.6	5.4	3.4
	Cherokee OTSA	213,475	3.3	8.2	15.3	3	11.1	6.5	1.8	4.5	7	21.4	8.2	5.1	4.6

Source: U.S. Census Bureau, 2011a. American Community Survey Five-Year Estimates, 2007-2011. U.S. Department of Commerce.

Notes: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

¹Finance, Insurance, and Real Estate.

²Waste Management Service.

Chapter 4. Environmental Consequences

4.0 Introduction

This chapter evaluates the effects of the Alternatives on the natural, social, and economic resources introduced in Chapter 3. The description of each Alternative is provided in Chapter 2. Specifically, the analysis of environmental consequences is organized by resource as follows:

- Mineral Resources and Mining
- Natural Resources
 - Water Resources
 - Biological Resources
 - Topography, Geology, and Soils
 - Air Quality, Greenhouse Gas Emissions, and Climate Change
- Social and Economic Resources
 - Socioeconomic Conditions
 - Land Use, Utilities, Infrastructure, Visual Resources and Noise
 - Recreation
 - Public Health and Safety
 - Archaeology and Cultural Resources
- Environmental Justice

4.0.1 Description

This chapter describes the potential effect of the Alternatives, including the No Action Alternative, on the natural and human environment. The White House Council on Environmental Quality’s (CEQ’s) National Environmental Policy Act (NEPA) regulations (40 CFR 1508) describe three categories of effects¹ to be measured in an Environmental Impact Statement (EIS):

- **Direct Effects** are effects that are caused by the action and which occur at the same time and place;
- **Indirect Effects** are effects that are caused by the action but which occur later in time or farther removed in space, but which are still reasonably foreseeable; and
- **Cumulative Effects** are the impacts on the environment which results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency (federal or non-federal) or person undertakes such other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time.

¹ As in NEPA regulations, the terms “effects” and “impacts” are used interchangeably throughout this chapter.

The definition of “effects” is broad, and can include ecological, aesthetic, historic, cultural, economic, social, or human health effects.

In accordance with NEPA regulations, Sections 4.1 through 4.7 of this chapter assess the direct, indirect, and cumulative effects of the Alternatives. Specifically, this chapter addresses the following requirements of an Environmental Consequences analysis as described by the CEQ NEPA regulations (40 CFR 1502 and 1508):

- **Environmental impacts of the Alternatives and their significance.** Environmental impacts are the focus of Sections 4.2 through 4.4.
- **Possible conflicts between the Proposed Action and objectives of federal, state, and local plans, policies, and controls.** Each resource-specific analysis considers the potential effects of every Alternative in the context of existing and planned actions and objectives within the study area.
- **Cumulative effects.** Section 4.5 examines the effects of the Alternatives when considered in combination with other past, present, and reasonably foreseeable actions.
- **Any irreversible or irretrievable commitments of resources which would be involved should the proposal be implemented.** A resource commitment is considered “irreversible” when impacts from its use limit future use options. A resource commitment is considered “irretrievable” when the use or consumption of the resource is neither renewable nor recoverable for use by future generations. Section 4.6 identifies those categories of impacts that constitute an irreversible and irretrievable commitment of resources.
- **Identification of any adverse impacts which cannot be avoided should the proposal be implemented.** Section 4.6 also identifies the categories of impacts described in Sections 4.2 through 4.4 for which adverse environmental effects cannot be avoided.
- The relationship between the short-term use of man’s environment and the maintenance and enhancement of long-term productivity. The resource-specific analyses of the significance of the impacts considers the duration of impact.

All of these analyses are developed in accordance with 43 CFR Part 46, which contain the Department of the Interior’s regulations for implementing NEPA.

In addition to addressing the NEPA requirements for the Environmental Consequences portion of an EIS, this chapter was developed in accordance with Executive Order (E.O.) 12866, which directs federal agencies to provide an assessment of both the social costs and benefits of proposed regulatory actions:

In deciding whether and how to regulate, agencies should assess all costs and benefits of available Alternatives, including the Alternative of not regulating. Costs and benefits should be understood to include both quantifiable measures (to the fullest extent that these can be usefully estimated) and qualitative measures of costs and benefits that are difficult to quantify, but nevertheless essential to consider.

In accordance with E.O. 12866, a detailed Regulatory Impact Analysis (RIA) has been developed for this rule and is provided under separate cover.

4.0.2 Analytic Framework

This section describes the study area for the environmental consequences analysis, summarizes the overarching method for the resource-specific impact analyses, and details the approach to evaluating the relative significance of impacts across the affected resources. The detailed approach to analysis of each resource is discussed in each respective section.

4.0.2.1 Study Area

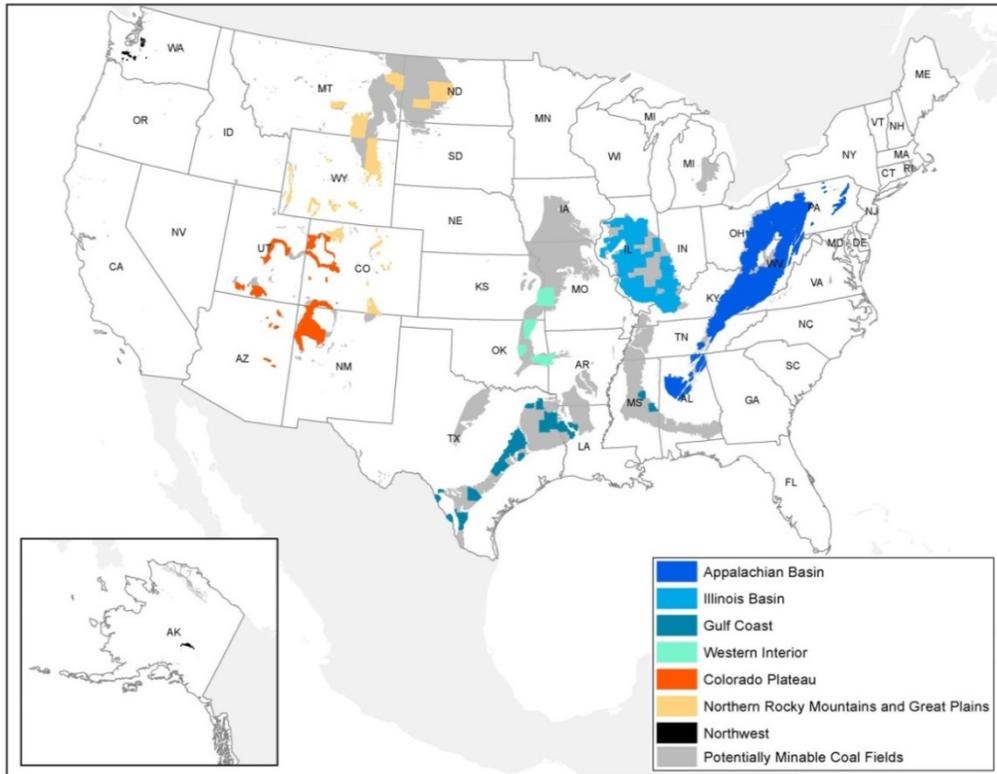
As described in Chapter 3 of this EIS, coal resources in the U.S. are widely distributed throughout the country. However, not all coal resources are accessible with current technologies. Further, some potentially mineable coal resources are unlikely to be mined in the near term because of economic conditions. To establish a reasonable boundary for the geographic areas likely to be affected by this rule, the geographic scope was defined as outlined below. In general, the geographic scope identified is likely to be over-inclusive; it may overestimate the areal extent of mining, unless otherwise noted.

- Spatial data compiled by the U.S. Geological Survey (USGS) Eastern Energy Resources Center on potentially minable coal fields defined the initial extent of the study area. Coal fields were identified as potentially minable if they contained coal of sufficient quality and energy content to justify extraction, based on existing data (USGS, 2001b).
- From the practicably minable coal fields data, areas considered likely to produce coal within the timeframe for this analysis include areas within counties that:
 - Reported coal production between 2007 and 2012 in Energy Information Administration (EIA) Annual Coal Reports;
 - Contain pending but administratively complete Surface Mining Control and Reclamation Act (SMCRA) permits in the Office of Surface Mining Reclamation and Enforcement (OSMRE) Applicant/Violator System (AVS) as of September 2011;
 - The Mine Safety and Health Administration (MSHA) reports as containing active coal mines as of April 2013 (MSHA, 2013b); or
 - State-level mining assessments, geographic data, or tabular data report as containing active coal mining activity as of August 2012. State-level information contributed additional counties in Colorado (Colorado Division of Reclamation Mining and Safety, 2010), Illinois (Illinois State Geological Survey, 2011), Kentucky (Kentucky Department of Natural Resources, 2011), Ohio (Ohio Department of Natural Resources, 2011), West Virginia (West Virginia Department of Environmental Protection, 2011), Texas (Railroad Commission of Texas, 2011), and Alaska (Alaska Department of Natural Resources, 2011).^{2, 3}
- Urban areas, lakes, and ponds were removed from the study area, as mining is not expected to take place in these areas (U.S. Census Bureau, 2002; USGS, 2011b). However, some mining may take place under or adjacent to lakes and ponds, so the study area may slightly under-represent the areal extent of mining in this respect.

² The program description for the Alaska Coal Regulatory Program states that active mining currently only occurs near Healy, AK, in the Denali Borough.

³ State-specific data for other states were examined where available, but contributed no additional counties beyond those listed by EIA.

Figure 4.0-1 Study Area for Analysis



Sources: USGS 2001a; USGS 2001b; MSHA 2013b; Colorado Division of Reclamation Mining and Safety, 2010; Illinois State Geological Survey, 2011; West Virginia Department of Environmental Protection, 2011; Railroad Commission of Texas, 2011; Alaska Department of Natural Resources, 2011; U.S. Census Bureau, 2002; and USGS, 2011b.

4.0.2.2 Method

The specific methods and data relevant to the impact analyses vary significantly by resource category. A detailed description of the methods applied in each impact analysis is provided for each relevant resource in Sections 4.2 through 4.4. Each resource-specific impact analysis in this chapter includes:

- A review of market and regulatory conditions and projected resource impacts under the No Action Alternative;
- A discussion of the Action Alternatives and key elements that may affect the resource;
- A description of the analytic methods;
- The results of quantitative and qualitative analyses;
- A summary of the effects of each Alternative; and
- A discussion of potential minimization and mitigation measures relevant to the impacts described.

For some resource categories, the analysis describes impacts in quantitative terms (e.g., dollars, number of jobs, stream miles impacted, acres affected). Where data limitations prevent reliable quantification of impacts to a given resource, potential impacts are discussed qualitatively. The quantitative analyses apply a common method to estimate the costs or benefits of changes in mine management methods due to the Alternatives, as follows:

Step 1: Estimate compliance costs and changes in coal production under each of the Alternatives, including the No Action Alternative. This step involves assessing how each Alternative results in change to mine operator behavior at typical mines (model) in each of the coal regions. This analysis includes changes in administrative and operational costs, as well as changes in the tonnage of coal expected to be produced (where relevant). The “model mine” analysis is discussed in Section 4.1.⁴

Step 2: Estimate the change in affected natural resources across Alternatives at a typical mine.

Parallel to the compliance cost analysis, this step involves estimating the changes in impacts to natural resources caused by the mining operations at typical mines in each coal region. This step includes estimating changes to forest cover, stream miles filled, and other relevant metrics. For the land use and water resources metrics in particular, historical GIS data on land cover and mine locations are combined with information on mining impacts from the model mine analysis for surface and underground mines to estimate the change in natural resource impacts by mine type (i.e., surface and underground) for each region.⁵

Step 3: Express the change in the affected natural resource parameters per ton of coal produced at each typical mine. Model mine analysis results are used to estimate the expected changes in impacts to resources per ton of coal produced. In some cases, data sources in addition to the model mines analysis are used to understand impacts of the proposed action on resources (e.g., analysis of employment impacts).

Step 4: Forecast regional shifts in coal production associated with compliance costs quantified in

Step 1. This FEIS relies on a complex integrated system of energy models to evaluate the impacts of the proposed action on quantity of coal demanded, coal supply, and prices. Employing detailed information on a multitude of factors that affect coal production and consumption, these models simulate how changes in market conditions may cascade through different parts of the coal market, affecting both supply of coal and quantity of coal demanded. Detailed assumptions and findings are presented in the RIA. Specifically, these behavior changes may: decrease coal production at a particular site or region; affect the mining method or techniques used (e.g., shift from surface to underground extraction methods); or change the cost-competitive nature of coal mining across coal regions (i.e., shift production between regions). Section 4.1 discusses the mine sector model analysis and results.

Step 5: Estimate total regional impacts. Multiply total expected coal production by the per-ton metrics developed in Step 3 to estimate total impacts of each Alternative by region.

Generally, environmental impacts of the rule may be generated via two pathways. First, mines may continue to extract coal, but operational changes may change how the mining affects environmental resources. Second, to the extent that coal production changes in a region, environmental effects are associated with the changed intensity of mining in the region. Comparing the anticipated coal production

⁴ For Alternative 2, the model mine analysis assumes that sufficient offsite storage is available to allow for continued operations at the two Central Appalachian Region surface model mines. See Regulatory Impact Assessment (RIA) Appendix B.

⁵ For the historical data analysis, the U.S. Geological Survey’s National Land Cover Database (NLCD) and the National Hydrography Dataset (NHD) are used for the land cover and streams analyses, respectively. The NLCD contains data from 1992 and includes 21 classes of land cover. The NHD’s data on streams by type (i.e., intermittent, perennial, ephemeral) across the nation allow analysis of the breakdown of identified streams in areas of potentially mineable coal.

and model mine operations-related environmental impacts for the No Action Alternative to the anticipated coal production and model mine operational environmental impacts for each Action Alternative captures both of the effects.

4.0.2.3 Categories of Impact

With respect to impacts evaluated as part of an EIS, CEQ defines “significantly” in terms of context (i.e., geographic scope of effect, as well as length of effect in terms of short-term versus long-term) and intensity (i.e., severity of effect) (40 CFR 1508.27). This determination refers to all types of effects of the Alternatives, including the direct, indirect, and cumulative effects.

Communicating the relative significance of impacts of the Alternatives across the diverse categories of affected resources presents unique challenges. For example, this chapter describes quantified effects on water quality in terms of stream miles impacted, effects on employment in terms of number of jobs, while effects on visual resources are described qualitatively. It may therefore be difficult to discern the relative effects of the Alternatives on these resources. To facilitate this comparison and promote understanding of the key impact categories of interest, all impacts in this analysis are summarized at the end of each section in common terms. These “impact categories” include both adverse and beneficial effects and consider three key factors:

- **Length of impact:** Short-term effects generally occur during active mining within the EIS study period of 2020 to 2040; long-term effects extend beyond the study period.⁶
- **Scope of impact:** This factor considers whether the impacts occur within a small, medium, or large geographic area (i.e., whether impacts are expected within or directly adjacent to mining activity or beyond and to what extent). In addition, this factor considers whether impacts occur within the context of small, medium, or large communities or economies.
- **Potential for offsetting the impact:** This factor considers the extent to which the application of best management practices (BMPs), restoration activities, or mitigation may change the net effect.

Based on these factors, Table 4.0-1 describes the impact categories referenced in each section of the analysis. For each impact described in Sections 4.1 through 4.3, the discussion supports the characterization of the impact as minor, moderate, or major by relating it to these definitions. For the purpose of the analysis, a short-term effect to a small geographic area, community or economy within the context of the affected resource would likely not be measureable, and therefore is categorized as negligible. Mitigation also works to decrease length or scope of impact to analyzed resource.

The analysis examines the impacts of the Action Alternatives and the extent to which they would reduce or increase coal mining-related impacts on resources as compared to the No Action Alternative. For example, an Alternative characterized as having a Major Beneficial impact on biology would reduce

⁶ The EIS study period begins in 2020, approximately three years after OSMRE’s anticipated publication of the final SPR. Development of average annual impacts requires forecasts of coal production over an extended time horizon. The study period ends in 2040 was selected to be in line with other existing energy market forecasts; for example, energy use forecasts produced by the EIA currently extend to 2040; beyond this point, reliable coal forecasts are assumed to be not be feasible due to volatility in the industry.

mining impacts in a way that would provide major benefits to biological resources as compared to the No Action Alternative. This finding is not equivalent to saying that mining activity itself would benefit biological resources. Rather, the incremental effects of the Action Alternative, compared with the No Action Alternative, are beneficial.

Table 4.0-1 General Impact Category Definitions¹

Impact Characterization	Definition
Negligible	<ul style="list-style-type: none"> • Minimal measurable impacts (adverse or beneficial) are expected; or • Short term effects to a small geographic area, community or economy within the context of the affected resource.
Minor Adverse	<ul style="list-style-type: none"> • Short-term effect to a medium geographic area, community or economy within the context of the affected resource; or • Long-term effect to a small geographic area, community or economy within the context of the affected resource; or • Short-term effect and the resource would recover completely without any offsetting activities (e.g., restoration activities) once the action is completed.
Moderate Adverse	<ul style="list-style-type: none"> • Short-term effect to a large geographic area, community or economy within the context of the affected resource; or • Long-term effect to a medium geographic area, community, or economy within the context of the affected resource; or • Effect occurs to a large geographic area, community, or economy within the context of the affected resource, but the resource likely recovers substantially through mitigation.
Major Adverse	<ul style="list-style-type: none"> • Long-term effect to a large geographic area, community, or economy within the context of the affected resource; and • Effects are irreversible, even if BMPs, restoration, or mitigation activities are undertaken.
Minor Beneficial	<ul style="list-style-type: none"> • Short-term benefit to a medium geographic area, community or economy within the context of the affected resource; or • Long-term benefit to a small geographic area, community or economy within the context of the affected resource.
Moderate Beneficial	<ul style="list-style-type: none"> • Short term benefit to a large geographic area, community or economy within the context of the affected resource; or • Long-term benefit to a medium geographic area, community, or economy within the context of the affected resource.
Major Beneficial	<ul style="list-style-type: none"> • Long-term benefit to a large geographic area, community, or economy within the context of the affected resource.
<p>¹ Determinations were made using criteria developed for each affected resource. These criteria are presented in section 4.0.3 as well as in resource-specific sections.</p>	

4.0.3 Summary of Results

This section summarizes the results of the resource-specific analyses presented in this chapter. Results are organized in two ways. The first set of tables (Tables 4.0-2 through 4.0-8) presents comparisons of impacts for each coal region under each Alternative and resource. The second set of tables (Tables 4.0-9 through 4.0-16) describes the impacts for each Alternative across all coal regions and resources. The determinations in these exhibits are detailed in the individual resource sections of this document (Section 4.3 and 4.4). Table 4.0-17 summarizes overall impacts of the Alternatives on all resources.

Mining under the No Action Alternative (i.e., continuation of existing regulations) has known effects on physical, biological, and human resources, and these effects vary by region. Impacts of the No Action Alternative (Alternative 1) are detailed in the resource-specific sections of this chapter. When assessing the Action Alternatives, all the impacts characterized throughout this chapter represent incremental effects relative to conditions realized under the No Action Alternative.

Finally, Table 4.0-17 summarizes overall impacts of the Action Alternatives by resource. In order to create summary determinations, analysts considered the variation in impacts across all the regions and reasoned that a major adverse impact at a regional scale would also apply at the national scale. As shown, Alternative 2 has the most intensely adverse impacts, which are anticipated for socioeconomic conditions, as well as the most intensely beneficial impacts, which occur for most other resources, when compared to impacts of the No Action Alternative. Alternative 9 shows Negligible impacts when compared to impacts of the No Action Alternative. The remaining Alternatives exhibit the same pattern of impacts as Alternative 2, but with varying degrees of adverse effects on socioeconomic conditions and benefits to natural resources. The following sections present the determination criteria and results of the analysis by resource in more detail.

4.0.3.1 Water Resources

Consistent with the purpose of the proposed action, the Action Alternatives (except Alternative 9) would result in benefits to water resources relative to the No Action Alternative at the national scale. Because impacts would be expected to extend beyond the period of active mining, these beneficial impacts are considered long-term. To evaluate the intensity of these beneficial impacts on water resources, OSMRE considered the results of the quantitative analysis of the impacts of the Action Alternatives on: (1) miles of streams filled, (2) increased restoration of ephemeral streams that are mined through, (3) stream miles downstream of mine sites experiencing improved water quality, and (4) stream miles that are preserved from adverse effects of mining, as well as qualitative assessments of the impacts on water quality associated with improvements to streamside habitat conditions. Specifically, determinations were made using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: Impacts expected to be small and localized were considered to result in minor benefits.
- **Moderate Beneficial**: Impacts expected to affect local and adjacent areas. The benefits could permanently improve the area's hydrology, including surface and ground water flows and water quality.
- **Major Beneficial**: Impacts to water quality anticipated to be widespread; permanent improvements to regional hydrologic patterns, water flows, wetlands, could occur.

In particular, the analysis finds that Action Alternatives would result in Major Beneficial impacts to water resources under Alternatives 2, 3, 4, and 8 (Preferred) at the national scale. Moderate Beneficial impacts to water resources would be expected under Alternatives 6 and 7, with Minor Beneficial impacts under Alternative 5 at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on water resources.

On a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin under Alternatives 2, 3, 4, 7 and 8 (Preferred) and in the Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Moderate Beneficial impacts are anticipated in the Appalachian Basin for Alternatives 5 and 6, in the Illinois Basin for Alternatives 6 and 7, and in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions for Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Other effects on water resources are anticipated to be Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.2 Biological Resources

To evaluate the impacts of Alternatives on biological resources, OSMRE considers potential changes to the quality and quantity of terrestrial and aquatic habitats occurring in coal-producing areas, vegetative cover for terrestrial systems, and features of flowing and ponded aquatic systems. Impacts on these habitats in turn affect the wildlife communities they support. Coal mining affects: (1) the biological composition, which is the number and proportion of habitat types (e.g., the amount of forest, length of stream habitat); (2) the biological structure, which is the geographical arrangement of the habitat types; and (3) the biological function, which is how these arranged habitat types interact with their respective plant and animal species. Thus, the extent to which the Action Alternatives would impact biological resources is in part dependent upon the extent to which the Action Alternatives would affect coal production. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, and also attempt to reduce adverse impacts to habitats, impacts on biological resources are generally anticipated to be beneficial, although the EIS does not quantify these benefits. Impacts are generally likely to extend beyond the period of active mining (long-term). Specifically, determinations were made using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: Benefits to native forest, streamside, and stream habitat could be detectable, but would likely be limited to localized areas. A reduction in infrequent or insignificant disturbances to locally suitable habitat could occur.
- **Moderate Beneficial**: Benefits to native forest, streamside, and stream habitat could be measureable but limited to local and adjacent areas. A reduction in occasional disturbances to local and adjacent areas could be expected. These reductions in disturbances could benefit the local habitat but would not be expected to affect regional stability. Some beneficial impacts could occur in key habitats, which would help local habitat retain function and maintain viability both locally and in adjacent areas.
- **Major Beneficial**: Benefits to native forest, streamside, and stream habitat could be measurable and widespread. Reduced frequency of disturbances to habitat could be expected, with benefits to both local and regional systems. These benefits could positively affect rangewide habitat stability. Some impacts could occur in key habitats, and impacts could positively affect the viability of the habitat both locally and throughout its range.

Action Alternatives are generally anticipated to benefit biological resources at the national scale when compared to the No Action Alternative, with Alternatives 2, 3, 4, 7, and 8 (Preferred) providing Moderate Beneficial impacts, and Alternatives 5 and 6 providing Minor Beneficial impacts at a national scale.

Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on biological resources.

On a regional scale, and similar to water resources, Major Beneficial impacts are anticipated in the Appalachian Basin and the Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 5. Moderate Beneficial impacts are anticipated in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin and the Illinois Basin under Alternative 7. Other effects on biological resources are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.3 Air Quality, Greenhouse Gas Emissions, and Climate Change

While, none of the Action Alternatives explicitly targets air quality resources, implementation of the elements of the Action Alternatives (excluding Alternative 9) may have both beneficial and adverse effects on air quality and greenhouse gas emissions. The predominant effect of the rule on air quality and greenhouse gas emissions that is quantified in this EIS is the reduction in carbon dioxide (CO₂) emissions associated with the overall reduction in coal activity due to increased costs of coal production.

Even accounting for increased energy generation from substitute sources (primarily natural gas), the Action Alternatives would generate a net reduction in greenhouse gas emissions over the timeframe of the analysis. The monetary value of this benefit reflects the anticipated effect of marginal reductions in emissions on a wide range of climate-related impacts, such as agricultural productivity, human health, and property damage from flooding. Additionally, the Action Alternatives may increase the terrestrial carbon sequestration potential of the landscape during and post-mining activities due to the reforestation and streamside vegetative corridor requirements of the Action Alternatives (except for Alternative 9), further generating reductions in climate-related damages. On the other hand, the Action Alternatives may also increase the use of equipment and vehicles to haul materials and therefore increase greenhouse gas emissions from these sources.

In contrast to the other categories of environmental and economic impacts evaluated in this analysis, the benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. This analysis accordingly considers the magnitude of these benefits, finding that the effects are beneficial across all Action Alternatives (excluding Alternative 9).

4.0.3.4 Topography, Geology, and Soils

The extent to which the Action Alternatives would impact topography, geology, and soils is in part dependent upon the extent to which the Action Alternatives would affect coal production because the process of coal mining necessarily disturbs the topography, geology, and soils of the mine site. Although the EIS does not quantify these benefits, OSMRE anticipates the impacts of the Action Alternatives on topography, geology, and soils to be beneficial because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, and would indirectly reduce adverse impacts on soils such as erosion and runoff. Impacts are generally likely to extend beyond the period of active mining (long-term). Specifically, determinations were made using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: Benefits to soil or geologic features could be detectable, but would be small and localized. Reduced erosion and/or subsidence could occur in localized areas.
- **Moderate Beneficial**: Benefits to geologic features or soils could be readily apparent and result in improvements to the soil character or local geologic characteristics in local and adjacent areas. Erosion and subsidence impacts could be reduced in local and adjacent areas.
- **Major Beneficial**: Benefits to geologic features or soils could be readily apparent and result in improvements over a widespread area. Erosion and subsidence impacts could be reduced over a widespread area. Improvements to geologic features or soils could be permanent.

The Action Alternatives are generally anticipated to benefit topography, geology, and soils when compared to the No Action Alternative, with Minor Beneficial impacts anticipated for Alternatives 2, 3, 4, 5, 7, and 8 (Preferred). Alternatives 6 and 9 are anticipated to result in Negligible effects on topography, geology, and soils at a national scale.

On a regional scale, Moderate Beneficial impacts are anticipated in the Appalachian Basin under Alternatives 2, 4, 5, 7, and 8 (Preferred). Impacts in other regions to topography, geology, and soils resources are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.5 Land Use, Utilities, Infrastructure, Visual Resources, and Noise

Section 4.3.2 considers the potential effects of the Alternatives on land use, utilities, infrastructure, visual resources, and noise. Some impacts of the Alternatives on these resources would be confined to the mining period (short-term), and other impacts would be expected to extend beyond the period of active mining (long-term). A number of impacts on these resources are anticipated to be beneficial (e.g., a reduction in the amount of surface coal mined may decrease the total area of affected land use and reduce adverse impacts on visual resources, infrastructure, and noise). Other impacts are anticipated to be neutral or negligible (e.g., because increased utility prices are expected to be passed through to consumers, impacts to utilities for all Action Alternatives are classified as negligible.) The impact determinations also considered the intensity of the potential impacts from each Action Alternative on each resource. Specifically, determinations were made using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: The action could reduce land disturbances in localized areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments. The action could improve public services or utilities, but the impact would be localized and within operational capacities. There could be an improvement in the viewshed that is readily apparent. The action could decrease noise, but its benefit to the soundscape would be localized and unlikely to affect current user activities.
- **Moderate Beneficial**: The action could reduce land disturbances in local and adjacent areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments in surrounding areas. The action could improve public services or utilities in local and adjacent

areas. Short service interruptions to roadway and railroad traffic could be reduced. There could be an improvement in the viewshed that is readily apparent. The changes would not markedly improve the viewshed, but could enhance current user activities or experiences. The action could decrease noise and the benefit could improve the soundscape in local and adjacent areas. User activities could be enhanced.

- **Major Beneficial:** The action could reduce land disturbances over widespread areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments in the region. The action could improve public services or utilities over a widespread area resulting in an increase of certain services or necessary utilities. Extensive service disruptions to roadways or railroad traffic could be reduced. There could be improvements to characteristic views of the region, which could enhance current user activities or experiences. The action could decrease noise and improve the soundscape over widespread areas. Noise levels could enhance user activities.

Alternative 2 is anticipated to result in Minor Beneficial results to land use, utilities, infrastructure, visual resources, and noise at the national scale when compared to the No Action Alternative. Other alternatives are anticipated to result in Negligible impacts at the national scale.

At a regional scale, Moderate Beneficial impacts to land use, utilities, infrastructure, visual resources, and noise are anticipated in the Appalachian Basin under Alternative 2, 3, 4, 5, 7, and 8 (Preferred). Other effects on land use, utilities, infrastructure, visual resources, and noise are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.6 Socioeconomic Conditions

For socioeconomic conditions, OSMRE evaluates impacts of the Action Alternatives on employment and income, tax revenues, property values, quality of life, and demographics. Some impacts of the Alternatives would be short-term on a per-mine basis, and others would extend beyond the period of active mining (long-term). Determinations of the intensity of impacts on socioeconomic resources considered the impacts of the Action Alternatives on employment and income, tax revenues, property values, quality of life, and demographics. Indicators of impacts on quality of life and demographics are likely to be linked to impacts on employment and income in each region and, to a lesser degree, impacts on tax revenues. Specifically, determinations were made using the following analytical categories:

- **Negligible:** Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Adverse or Beneficial:** A few individuals, groups, businesses, properties, or institutions could be affected. Impacts would be small and localized. The impacts are not expected to alter social and/or economic conditions.
- **Moderate Adverse or Beneficial:** Many individuals, groups, businesses, properties, or institutions could be affected. Impacts could be readily apparent and detectable in local and adjacent areas and could have a noticeable effect on social and/or economic conditions.
- **Major Adverse or Beneficial:** A large proportion of individuals, groups, businesses or other institutions would experience a change in economic or social conditions as an obvious result of an action. Impacts could extend over a widespread area. The effect could have a substantial influence on social and/or economic conditions.

At the national scale, Alternative 2 is anticipated to result in Moderate Adverse impacts on socioeconomic conditions including, in particular, employment and severance taxes when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Adverse impacts on socioeconomic conditions including employment and severance taxes at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on socioeconomic conditions.

At a regional scale, Major Adverse impacts on socioeconomic conditions including employment are anticipated in the Appalachian Basin under Alternative 2. Moderate Adverse impacts on socioeconomic conditions are anticipated in the Appalachian Basin under Alternatives 3, 4, 5, 7, and 8 (Preferred). Impacts in other regions to socioeconomic conditions are anticipated to be Minor Adverse or Negligible across alternatives at the regional scale when compared to the No Action Alternative.

4.0.3.7 Public Health and Safety

The public health and safety section focuses on understanding how the Alternatives may affect public health and safety. In particular, impacts of the Alternatives may affect water quality and air-quality, which has the potential to result in subsequent effects on public health conditions. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, and therefore reduced effects on water and air resources, impacts on public health conditions are generally anticipated to be beneficial, although the EIS does not attempt to quantify public health benefits. Impacts are generally likely extend beyond the period of active mining (long-term). Intensity determinations were made using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water in localized areas. These impacts to water quality are anticipated to be good indicators of the intensity of impacts to public health.
- **Moderate Beneficial**: The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water in localized and adjacent areas. These impacts to water quality are anticipated to be good indicators of the intensity of impacts to public health.
- **Major Beneficial**: The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water over widespread areas. These impacts to water quality are anticipated to be good indicators of the intensity of impacts to public health.

At the national scale, Alternatives 2, 3, 4, and 8 (Preferred) are anticipated to result in Major Beneficial impacts to public health and safety when compared to the No Action Alternative. Alternatives 6 and 7 are anticipated to result in Moderate Beneficial impacts to public health and safety. Alternative 5 is anticipated to result in Minor Beneficial impacts to public health and safety at the national scale.

Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on public health and safety.

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin and Illinois Basin regions under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 7. Moderate Beneficial impacts are expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin for Alternatives 5 and 6, and in the Illinois Basin for Alternatives 6 and 7. Impacts in other regions to public health and safety are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.8 Archaeology, Paleontology, and Cultural Resources

Nationally, all Alternatives are expected to have Negligible impacts on Archaeology, Paleontology, and Cultural Resources. At a regional level, Negligible impacts are expected in all regions under all Alternatives. To the extent that any particular rule element reduces the extent of ground disturbance associated with mining, it would also reduce the disturbance of cultural resources located within that area. Therefore, cultural resources may benefit from some or all of the rule elements.

4.0.3.9 Recreation

The recreation section focuses on understanding potential impacts of the Action Alternatives on land- and water-based recreational opportunities within each of the seven coal regions. These recreational activities include hunting, wildlife viewing, trail use, boating, and fishing, and occur on both public and private lands within the study area. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, impacts are generally anticipated to be beneficial, although the EIS does not attempt to quantify recreational benefits. Impacts are generally likely extend beyond the period of active mining (long-term). Intensity determinations were made by assuming a connection between recreational impacts and the extent of predicted benefits to water resources and terrestrial area vegetation from Action Alternatives using the following analytical categories:

- **Negligible**: Minimal impacts (adverse or beneficial) anticipated, e.g. short-term effects to a small geographic area, community, or economy, or no effects.
- **Minor Beneficial**: The action could improve local recreational opportunities but would affect relatively few users.
- **Moderate Beneficial**: The action could improve many recreational activities locally and in adjacent areas and could affect many users.
- **Major Beneficial**: The action could improve most recreational activities over a widespread area. Users could choose to pursue additional recreational activities in this area.

At the national scale, Alternative 2 is anticipated to result in Moderate Beneficial impacts to recreational activities when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Beneficial impacts to recreation. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on recreational activities.

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin under Alternative 2. Moderate Beneficial impacts are anticipated in the Appalachian Basin region under Alternatives 3, 4, 5, 7, and 8 (Preferred) and in the Colorado Plateau region under Alternatives 2, 3, 4, 7, and 8 (Preferred). Impacts in other regions to recreational activities are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.0.3.10 Environmental Justice

Environmental justice communities are those that meet the defined environmental justice criteria for minority, low-income, and American Indian populations. The environmental justice evaluation discusses the potential impacts of the Action Alternatives on these populations, including impacts on socioeconomic resources, public health and safety, biological resources, water resources, air quality, topography, land use, and recreation.

The affected area for this analysis is large and spans a variety of demographic conditions. In total, the affected area intersects with 286 counties in 24 states. The analysis was conducted at a county level to determine if any of the 286 counties contain populations that meet environmental justice criteria. Indian tribes are considered as a distinct category in the minority population environmental justice analysis.

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in the evaluated resources would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative).

Of the 286 counties in the study area, there are 190 counties that have populations that meet the previously specified low income and/or the minority population environmental justice thresholds. Of these 190 counties, 60 percent of them are in the Appalachian Basin. Of those counties in the Appalachian Basin, four have been identified as minority communities, 103 as low income communities, and nine as both low income and minority environmental justice communities.

Mining occurs in close proximity to or on a number of tribal reservations. The Northern Cheyenne Indian Reservation is situated in both Big Horn and Rosebud Counties in Montana where five active surface mines exist. In addition, the Crow Indian Reservation covers nearly 65 percent of Big Horn County. San Juan County overlaps both the Navajo Nation Reservation and the Ute Mountain Reservation where one active surface mine and one active underground mine exist. The Zuni Reservation is located primarily in McKinley County where two active surface mines exist. McKinley County also overlaps with the Navajo Nation Reservation. Navajo County in Arizona is comprised of the Navajo Nation Reservation, the Fort Apache Reservation, and the Hopi Reservation where one active surface mine exists.

Of particular note are mines located on (not just near) tribal land. For example, the Navajo Mine and the Kayenta Mine are operated on the Navajo Nation lands and produce about 15 million tons of coal annually (U.S. EIA, 2012c). An additional coal mine, the Absaloka Mine, is located on the Crow Reservation in Montana.

Table 4.0-2. Summary of Impacts in the Appalachian Basin Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Negligible						
Biological Resources	Major Beneficial	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Negligible
Water Resources	Major Beneficial	Major Beneficial	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Major Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Negligible
Socioeconomic Conditions	Major Adverse	Moderate Adverse	Moderate Adverse	Moderate Adverse	Minor Adverse	Moderate Adverse	Moderate Adverse	Negligible
Public Health and Safety	Major Beneficial	Major Beneficial	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Major Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible						
Recreation	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-3. Summary of Impacts in the Colorado Plateau Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Negligible
Biological Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible
Water Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Public Health and Safety	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible	Moderate Beneficial	Moderate Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-4. Summary of Impacts in the Gulf Coast Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Negligible						
Biological Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible
Water Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible						
Socioeconomic Conditions	Negligible	Negligible						
Public Health and Safety	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible						
Recreation	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial	Minor Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-5. Summary of Impacts in the Illinois Basin Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Negligible						
Biological Resources	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible
Water Resources	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial	Minor Beneficial	Negligible
Socioeconomic Conditions	Negligible	Negligible						
Public Health and Safety	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible						
Recreation	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-6. Summary of Impacts in the Northern Rocky Mountains and Great Plains Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Negligible
Biological Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible
Water Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Minor Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Public Health and Safety	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-7. Summary of Impacts in the Northwest Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Negligible						
Biological Resources	Negligible	Negligible						
Topography, Geology, and Soils	Negligible	Negligible						
Water Resources	Negligible	Negligible						
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible						
Socioeconomic Conditions	Negligible	Negligible						
Public Health and Safety	Negligible	Negligible						
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible						
Recreation	Negligible	Negligible						

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Table 4.0-8. Summary of Impacts in the Western Interior Region by Alternative, Relative to the No Action Alternative

Resources	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Negligible						
Biological Resources	Negligible	Negligible						
Topography, Geology, and Soils	Negligible	Negligible						
Water Resources	Negligible	Negligible						
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible						
Socioeconomic Conditions	Negligible	Negligible						
Public Health and Safety	Negligible	Negligible						
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible						
Recreation	Negligible	Negligible						

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Alternative 9 is not anticipated to have measurable impacts over and above the No Action Alternative and is considered Negligible.

Tables 4.0-9 through 4.0-16 compare the impacts of each Action Alternative across coal regions. Under Alternatives 2 through 9, for seven of the eight resource categories considered, every coal region experiences a Beneficial or Negligible impact. Adverse impacts are anticipated only for socioeconomic resources, where production decreases may trigger job losses. This effect is most pronounced in the Appalachian Basin under Alternative 2, where production decreases are predicted to be the greatest.

Table 4.0-9. Summary of Impacts of Alternative 2 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Water Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Socioeconomic Conditions	Major Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Major Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions.

Table 4.0-10. Summary of Impacts of Alternative 3 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Water Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions.

Table 4.0-11. Summary of Impacts of Alternative 4 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Water Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

Table 4.0-12. Summary of Impacts of Alternative 5 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Major Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Water Resources	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Public Health and Safety	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

Table 4.0-13. Summary of Impacts of Alternative 6 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Water Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Minor Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Minor Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

Table 4.0-14. Summary of Impacts of Alternative 7 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Water Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

Table 4.0-15. Summary of Impacts of Alternative 8 (Preferred) Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial
Biological Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Topography, Geology, and Soils	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible
Water Resources	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible
Public Health and Safety	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Moderate Beneficial	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

Table 4.0-16. Summary of Impacts of Alternative 9 Compared to the No Action Alternative

Resources	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Air Quality, Greenhouse Gas Emissions, and Climate Change	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Biological Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Topography, Geology, and Soils	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Water Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Public Health and Safety	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.

Table 4.0-17. Summary of the Overall Impacts of the Regulatory Alternatives Compared to the No Action Alternative

Resource	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Air Quality, Greenhouse Gas Emissions, and Climate Change ¹	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Beneficial	Negligible
Biological Resources	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Topography, Geology, and Soils	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Minor Beneficial	Minor Beneficial	Negligible
Water Resources	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	Minor Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Socioeconomic Conditions	Moderate Adverse	Minor Adverse	Minor Adverse	Minor Adverse	Minor Adverse	Minor Adverse	Minor Adverse	Negligible
Public Health and Safety	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Archaeology, Paleontology, and Cultural Resources	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Recreation	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see resource-specific sections.
¹ The benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions. Refer to Section 4.2.4 for monetized estimates of GHG impacts by Alternative.

4.0.4 Limitations and Uncertainties

Two primary limitations and uncertainties are present in this analysis. First, this FEIS relies on five inter-related models to evaluate the impacts of the Action Alternatives on coal demand, supply, and prices. Employing detailed information on a multitude of factors that affect coal production and consumption, these models simulate how changes in market conditions may cascade through different parts of the coal market, affecting both coal supply and quantity of coal demanded. Detailed assumptions and findings are presented in the RIA for the proposed action. Second, the study uses a model mine approach to determine the regional effects of the Action Alternatives. The fidelity of these model mines to the average regional mine characteristics determines the accuracy of the analysis. These key uncertainties and limitations and how they are addressed in this analysis are presented in Table 4.0-18. Resource-specific limitations and uncertainties are addressed in the appropriate resource-specific sections. Despite efforts to address heterogeneity in the effects of the SPR on various mine types, multiple baselines, and sensitivity analyses, significant sources of uncertainty remain given the numerous input assumptions in the engineering, market, and water quality analyses conducted for this FEIS.

Table 4.0-18. Summary of Key Uncertainties and Limitations

Limitation and Uncertainty	Explanation
Forecasts of Coal Supply and Coal Demand	Future coal supply and demand are not known with certainty. Numerous factors affect coal supply and demand, including electricity demand growth; installed generating capacity; relative prices of alternative fuel sources; U.S. coal exports; other coal demand from the domestic metallurgical and industrial markets; and existing and proposed environmental rules. The coal market has been volatile in the most recent years, and several major environmental regulations are being developed simultaneously with these Alternatives. The coal market modeling conducted for this analysis takes these factors into consideration, documents key assumptions, and compares OSMRE’s coal market forecast to other market forecasts. The coal market modeling has been updated to reflect 2016 conditions wherever possible from the 2015 DEIS.
Model Mine Approach	OSMRE developed a detailed description of each element of the rule, and conducted an engineering analysis of the expected impacts of the rule on mine operations. To capture the heterogeneity of the coal industry, the analysis employs 13 model mines across the U.S. Throughout the analysis, qualified mining engineers used their best judgment to develop the most appropriate cost assumptions for each model mine. Recognizing that assumptions in the engineering analysis are important to the overall results of the FEIS, a number of sensitivity analyses were conducted related to specific assumptions. These sensitivity analyses are described in RIA Appendix B, Part 6. Tested assumptions included assumptions related to hourly equipment costs for haulage costs, spoil handling percentage of overburden in haulage costs, per acre costs of reforestation in streamside vegetation corridors, production levels and stripping ratios. This approach strives to capture the overall scope and scale of potential changes under each Alternative, but is not likely to be accurate for any specific mining operation.

4.1 Mineral Resources and Mining

Chapter 3 describes general characteristics of mineral resources and mining. This section of Chapter 4 analyzes how mineral resources and mining are affected by the No Action Alternative and by the Action Alternatives under consideration for the SPR.

This section:

- Provides an overview of the current and forecasted coal industry, which forms the baseline for analysis of the No Action Alternative in the study period from 2020 to 2040;
- Presents the model mines approach used to analyze effects of Alternatives 2 through 9 relative to the No Action Alternative; and
- Presents forecasted changes in the distribution of industry compliance costs and overall coal production during the study period.

Subsequent sections present impacts of each Alternative on natural resources and socioeconomic conditions.

4.1.1 Effects of the Current Regulatory Environment (the No Action Alternative)

This section summarizes the conditions of the coal mining industry and market under the No action Alternative, including regional distribution of coal production, the quantity of coal produced by method of coal mining, and the coal industry market structure.

In 2015, 25 states reported coal mine production to MSHA (MSHA, 2015). OSMRE classifies coal-producing areas into regions, seven of which produced coal in 2015.

These regions are described below, and organized from largest volume of production to least production in 2015:

- **Northern Rocky Mountain and Great Plains** (including the Powder River Basin): Wyoming, Montana, Eastern Colorado, North Dakota, South Dakota⁷
- **Appalachian Basin**: West Virginia, Eastern Kentucky, Pennsylvania, Ohio, Virginia, Alabama, Tennessee, Maryland
- **Illinois Basin**: Illinois, Indiana, Western Kentucky
- **Colorado Plateau**: Western Colorado, New Mexico, Utah, Arizona
- **Gulf Coast**: Texas, Mississippi, Louisiana
- **Northwest**: Alaska, Washington⁸
- **Western Interior**: Oklahoma, Missouri, Kansas, Arkansas.

4.1.1.1 Overview of Current Coal Market Conditions

This section provides an overview of current coal market conditions. Additional information historical and current coal market conditions are provided in Chapter 3. Total U.S. coal production has fluctuated somewhat over time, with production from particular regions varying to a greater degree. Total U.S. coal production was 893 million tons in 2015, which was 17.5 percent less than production in 2010 (MSHA, 2015). Since the late 1990's, the two largest coal production regions in the U.S. have been the Northern Rocky Mountain and Great Plains, and the Appalachian Basin regions. In 2015, these two regions together accounted for approximately 75 percent of domestic coal production.

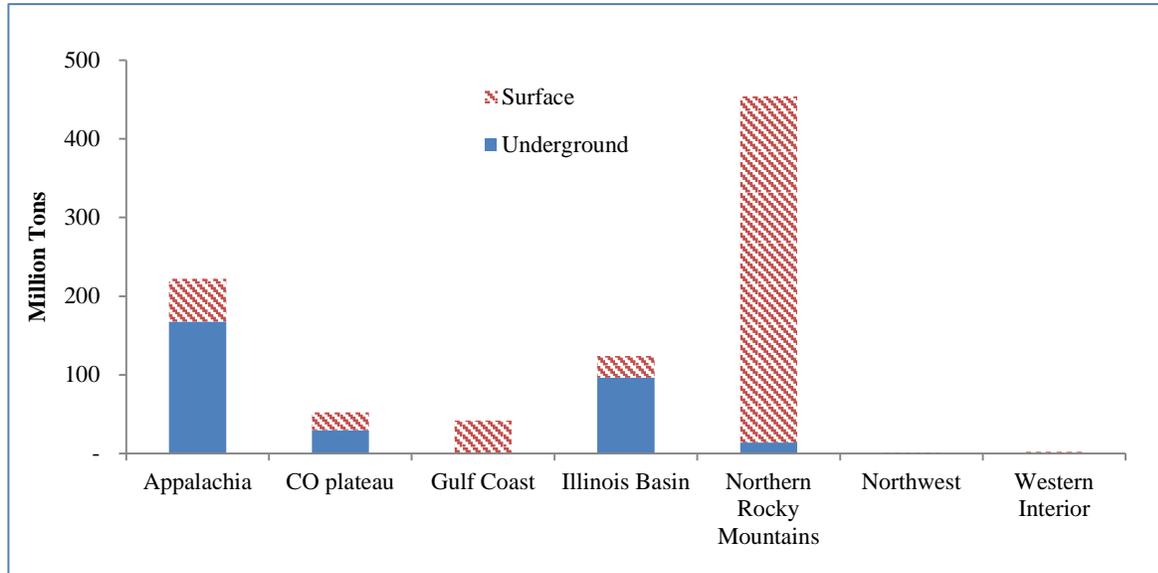
As shown in Figure 4.1-1, coal production tonnage and production tonnage by mine type varies across the regions. All regions have surface mining operations, but not all regions have underground mines. The Gulf Coast and the Northwest have no underground mines. Over the past 14 years, coincident with full development of the Powder River Basin, the mining industry has become dominated by surface mining. The Powder River Basin, which is part of the Northern Rocky Mountain and Great Plains Region, produces coal primarily from surface mines. Overall, surface coal mining comprised 66 percent of U.S.

⁷ South Dakota is included in the Northern Rocky Mountain and Great Plains region but did not produce any coal in 2015.

⁸ Washington is included in the Northwest region but did not produce any coal in 2015.

coal production by volume in 2015 (MSHA, 2015). As presented in Figure 4.1-1, coal production volume and production volume by mine type varies across the regions.

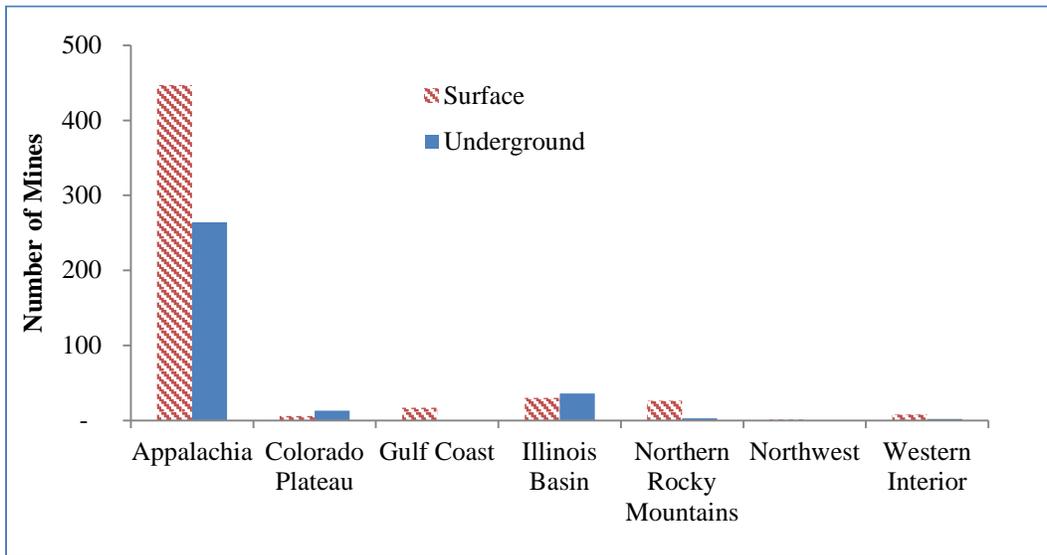
Figure 4.1-1. Coal Production by Mine Type by Region, Million Tons (2015)



Source: MSHA. 2015. MSHA Annual Coal Production Data 2015. Provided by OSMRE April 12, 2016.

In 2015, over 850 mines reported coal production to the Mine Safety and Health Administration (MSHA, 2015). Although the mines in Appalachia have relatively small average production levels compared to mines in other regions, the largest number of mines are found in that region, as shown in Figure 4.1-2 (MSHA, 2015). In fact, of the 853 producing surface mines and underground mines operating in 2015, over 700 were located in Appalachia. In contrast, the Northwest Region had only one producing mine in 2015.

Figure 4.1-2. Number of Coal Mines by Region, 2015



Source: MSHA. 2015. MSHA Annual Coal Production Data 2015.

4.1.1.1.1 Natural Gas

The supply of natural gas in the U.S. has increased significantly in the past five years due to production of shale gas. The growth in supply exceeded the growth in demand. As a result, the price of gas fell during this period as the only market that could absorb the additional supply was the power market, which had under-utilized capacity. The price for natural gas fell to the levels necessary for gas-fired combined cycle generating capacity to dispatch ahead of coal-fired generation. Natural gas accounted for 23 percent of power generation in 2010 and 32 percent in 2015.

4.1.1.1.2 Renewables, Energy Efficiency, Distributed Generation and Electricity Demand Growth

Electricity demand growth in the U.S. historically was driven by economic and industrial activity, and weather. This has changed. There has effectively been no growth in electricity demand since 2007. This is due to a number of factors including a decline in industrial electricity demand, which was largely offset by growth in demand from the residential and commercial sectors. Also significant is lower demand due to energy efficiency and distributed generation. Energy efficiency means using less energy to provide the same service. The most often used examples are fluorescent lighting instead of traditional incandescent bulbs. Distributed generation is small-scale technologies that produce electricity close to the end users of power. The technologies including modular and renewable-energy generators, such as roof-top solar, that are often behind the meter meaning they are not counted as retail sales. In addition, in many states the excess behind the meter power is sold into the grid.

In addition, there has been substantial growth in renewables, particularly wind in the western U.S. Between 2010 and 2015, 33 GWs of wind have been added to capacity. Electricity generation from wind increased from 94,654 GWH in 2010 to 190,926 GWH in 2015. The Production Tax Credits (PTC) incentivize wind producers to generate around the clock (when wind is available). As a result, wind power contributes to lower power prices as well. The PTC was extended in December 2015 until 2019

along with the Business Energy Tax Credit (ITC), which applies to construction and development of renewable technologies (U.S. Energy Department 2016a; U.S. Energy Department 2016b).

The net effect of low electricity demand growth is a diminished market for coal as hydropower, nuclear, and renewables regularly dispatch ahead of coal. The price of natural gas determines whether it dispatches ahead of coal. With a pie the same size, there is less left over for coal.

4.1.1.1.3 Demand for U.S. Coal

The largest market for U.S. coal has been the power sector, typically accounting for 80 to 90 percent of total production in recent years (U.S. EIA 2016f; U.S. EIA 2016g). The increase in gas and renewable generation directly reduced coal generation and the resulting demand for coal. Coal consumed in the power sector was 955 million tons in 2010 and 769 million tons in 2015 (U.S. EIA, 2016h). Estimates for coal consumed in the power sector for 2016 range between 650 and 700 million tons (U.S. EIA, 2016h). In addition, during the period 2010 through 2015, 45.5 GW of coal-fired capacity was retired (EVA, 2016).

The second largest market for U.S. coal has been exports. The export market has been cyclical, depending upon both the global supply and demand for coal and the relative strength of the U.S. dollar. During the 2011 to 2013 period, there was a substantial increase with U.S. coal exports exceeding 100 million tons in each of those years. The strong demand reflected growth in seaborne coal trade combined with a weak U.S. dollar which made U.S. coals competitive in the U.S. dollar-denominated global coal market. The positive outlook for exports of U.S. coals in 2011 and 2012 has faded due to several factors including supply growth from other countries to the global market, a relatively strong U.S. dollar which has reduced global coal prices, a weaker global market due to a slowdown in the Chinese economy, and the lack of progress in the development of west coast export terminals which would be required to increase in a meaningful way exports of western coal to Asian markets. The positive outlook could be restored if the U.S. dollar weakens relative to other currencies or there is reduced supply and/or increased demand in the global seaborne market for coal. The range in potential export levels is handled through the scenario analysis.

4.1.1.1.4 Coal Supply

As a result of the decline in demand for U.S. coals, the coal industry has reduced production. Production fell from 1.1 billion tons in 2010 to 0.9 billion tons in 2015 (Table 4.1-1). Based upon H1 2016 actual production to date, production in 2016 appears likely to be less than 0.8 billion tons.

With supply exceeding demand in most regions, prices fell through mid-2016, as shown in Exhibit 2-16. Prices strengthened over the summer of 2016 as a result of a warm summer which increased gas prices and coal burn. The increase also reflects curtailments in production (either through schedule changes or idling) were greater than underlying demand changes. The coal prices used in this analysis are based upon equilibrium coal prices consistent with the demand forecast.

During the difficult periods in 2015 and 2016, a significant portion of the industry filed for bankruptcy. All but one of the larger companies in bankruptcy has emerged from bankruptcy. Two of the five major supply regions (i.e., Central Appalachia and the Powder River Basin) have been affected the most as a result of declining demand.

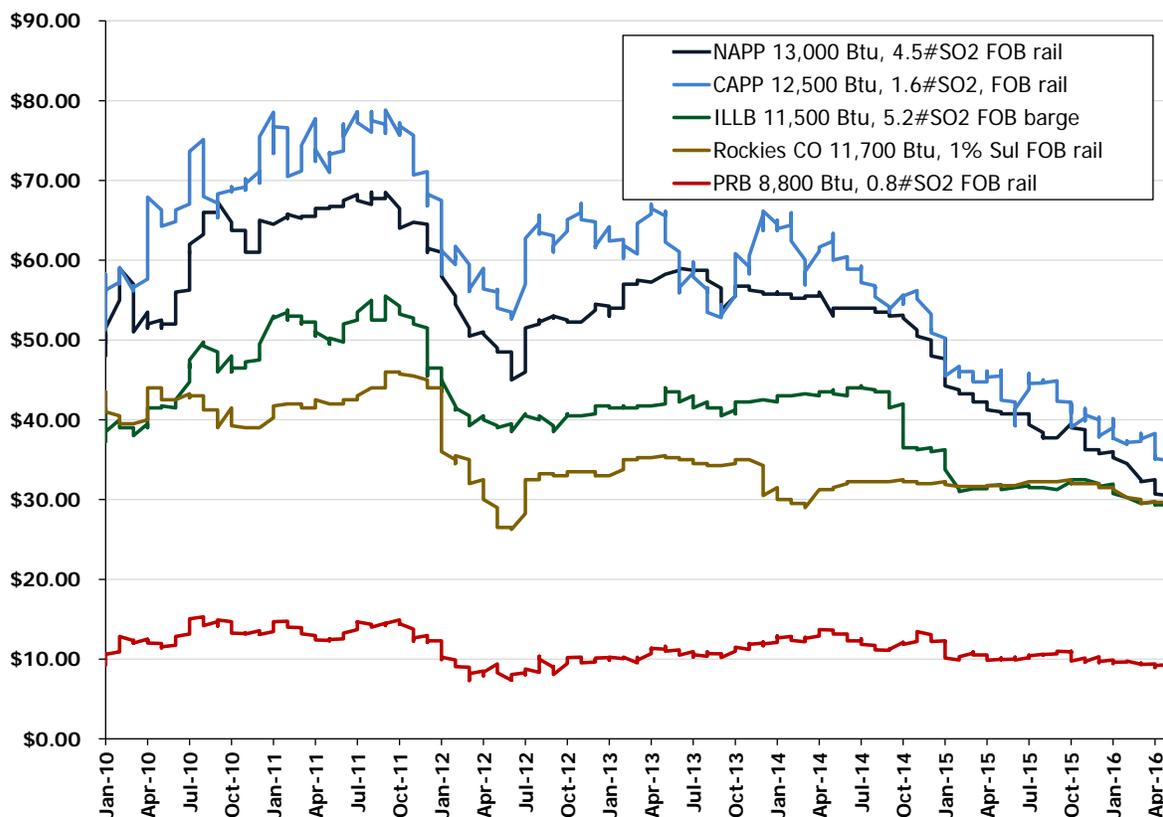
Table 4.1-1. Coal Production by Supply Region, 2010 and 2015

Region	Production (Million Tons) 2010	Production (Million Tons) 2015	Change from 2010 to 2015 MM Tons	Change from 2010 to 2015 Percent
Central Appalachia	129	116	(13)	-10%
Northern Appalachia	184	89	(95)	-52%
Southern Appalachia	20	15	(5)	-25%
Appalachia Total	333	220	(114)	-34%
Illinois Basin	105	131	26	25%
East Total	483	351	(133)	-27%
Powder River Basin	468	392	(76)	-16%
Rockies	71	62	(9)	-13%
Lignite	79	66	(13)	-16%
Southwest	21	19	(1)	-6%
Interior	2	2	(0)	-6%
West Total	640	540	(100)	-16%
U.S. Total	1083	893	(190)	-18%

Source: MSHA 2015 and Energy Ventures Analysis (EVA), 2016.

Coal production in Central Appalachia, which consists of coal production from Southern West Virginia, East Kentucky, Virginia and Tennessee, has fallen the most. Production was 184 million tons in 2010 and 88 million tons in 2015. Based upon first half 2016 production levels, 2016 production is expected to be well under 80 million tons. The reasons for the disproportionate bankruptcy impact are as follows. First, this region has been mined long and hard for decades. The geology of the remaining reserves has deteriorated from a mining perspective (i.e., higher ratios for surface mines and thinner, below-drainage seams for underground mines) resulting in higher costs compared to other coal supply regions. Second, the demand for lower sulfur steam coals has declined disproportionately with (1) the retrofit of scrubbers on power plants historically supplied by these coals and (2) plant retirements. Third, a significant amount of Central Appalachia coal production capacity was purchased at inflated prices due to the coal market spikes in 2009 and 2011. The buyers were burdened with high debt service significantly adding to their costs of production. The reorganizations allowed for a financial restructuring of their obligations.

Figure 4.1-3. Historical Coal Prices (Nominal \$/ton)



Source: EVA Analysis, 2016.

4.1.1.2 Overview of the Forecasted Coal Market Conditions

The demand for coal from a given region will be influenced by numerous exogenous factors (i.e., factors unrelated to this rulemaking), including:

- Electricity demand growth;
- Installed generating capacity;
- Relative prices of alternative fuel sources;
- U.S. coal exports;
- Other coal demand from the domestic metallurgical and industrial markets; and
- Existing and proposed environmental rules.

Each factor is discussed below.

4.1.1.3 Electricity Demand Growth

According to U.S. Energy Information Administration’s (EIA) *Annual Energy Outlook (AEO) 2016*, U.S. electricity energy demand is expected to grow at a 0.9 percent annual rate from 2015 to 2040 (U.S. EIA, 2016e). In 2015, coal was the source of approximately 33 percent of all electricity produced in the U.S. (U.S. EIA, 2016d). The first time in over a half century that coal’s market share fell below 40 percent was in 2012, where it has held since. The primary reasons for the low level of coal-fired power

generation has been the dramatic decline in natural gas prices during this period, giving natural gas-fired combined cycle power plants a cost advantage over coal-fired power plants in many parts of the country, along with environmental requirements and other market factors, as discussed below.

Because domestic power plants typically account for between 80 and 90 percent of the coal consumed in the U.S. (U.S. EIA 2016f; U.S. EIA 2016g), even small changes in the electricity market can influence both short and long-term demand for domestic coal. Thus, an accurate forecast of coal-fired electricity generation is critical to specification of baseline coal demand. The forecast of coal-fired electricity generation used in this EIS under the No Action Alternative is a function of electricity demand growth, the coal-fired generating capacity available to meet demand, environmental regulations that affect the dispatch of coal-fired power plants, natural gas prices, and generation from nuclear and renewables. The electricity demand growth forecast is derived from expectations for economic growth combined with the outlook for each sector. The forecast assumes continued but slower growth in demand in the residential and commercial sectors as a result of new lighting standards and improvements to energy efficiency in consumer electronics. After a modest rebound in industrial electricity demand, the forecast assumes slow growth for the remainder of the forecast period. The forecast electricity growth is anticipated to vary annually, with average changes ranging from an increase of 0.46 in 2021-2025 to 0.72 in 2036-2040 under the No Action Alternative.

4.1.1.4 Natural Gas Supply

The supply of natural gas in the U.S. has increased significantly due to production of shale gas. The growth in supply exceeded the growth in demand. As a result, the price of gas fell (Table 4.1-2) during this period as the only market which could absorb the additional supply was the power market which had under-utilized capacity. The price for natural gas fell to the levels necessary for gas-fired combined cycle generating capacity to dispatch ahead of coal-fired generation. Natural gas accounted for 23 percent of power generation in 2010 and 32 percent in 2015.

Table 4.1-2. Natural Gas Prices at Henry Hub Reference Case (\$2016/MMBTU)

2016	2020	2025	2030	2035	2040
\$2.16	\$4.05	\$4.56	\$5.06	\$5.72	\$6.43

Source: EVA Analysis, 2016.

4.1.1.5 U.S. Coal Exports

In 2015, international demand for U.S. coal, primarily metallurgical coal, represents approximately ten percent of total U.S. production (EVA, 2016). The U.S. has the potential to significantly increase its coal exports. Across the industry, the export market shows some signs of expansion, as detailed in *AEO 2012*, *2013*, and *2016*. A number of coal terminals have been proposed for the Pacific Northwest. These terminals are in the process of obtaining the necessary permits, which may or may not be granted. In 2015, total exports of coal exceeded 75 million tons, with projections for further growth to 94 million tons by 2040 (U.S. EIA, 2016e). Potential growth in exports is possible with a weaker U.S. dollar and additional terminal capacity.

The two largest determinants of U.S. coal exports are the global supply/demand balance for the seaborne market and the relative strength of the U.S. dollar, particularly against the Australian dollar. The base long-term forecast, which is based upon a moderation of the exchange rate differences between the U.S. and Australian dollars, assumes U.S. coal exports regain some strength through the forecast period. Exports in the reference base case, however, are not expected to move back to the levels achieved earlier in this decade. Therefore, an unexpected event, such as flooding in Queensland or a material weakening of the U.S. dollar, could change this outlook. Additional detail regarding modeling assumptions are provided in Chapter 5 and Appendix F of the Final RIA for the SPR.

4.1.1.6 Other Domestic Markets for Coal

Industries, such as steel, iron, and cement manufacture, rely on coal for energy. Thus, fluctuations in these markets can also cause changes in coal demand. Steel and iron production relies on metallurgical coal, which is relatively high-energy, low-sulfur, and low-ash coal and is primarily mined in the Appalachian and Illinois Basins. This coal is used for coking purposes or in direct coal injection into blast furnaces.

Although much smaller than the utility market, the domestic metallurgical and industrial/other coal markets are significant sources of U.S. coal demand. Domestic metallurgical coal demand is tied to coke oven capacity which is expected to decline over the forecast period as retirements of existing ovens exceed additions of new ones. The industrial/other market is expected to decline over the forecast period due to fuel switching and lost demand. The industrial/other and domestic metallurgical coal forecasts are assumed to be fixed in this analysis.

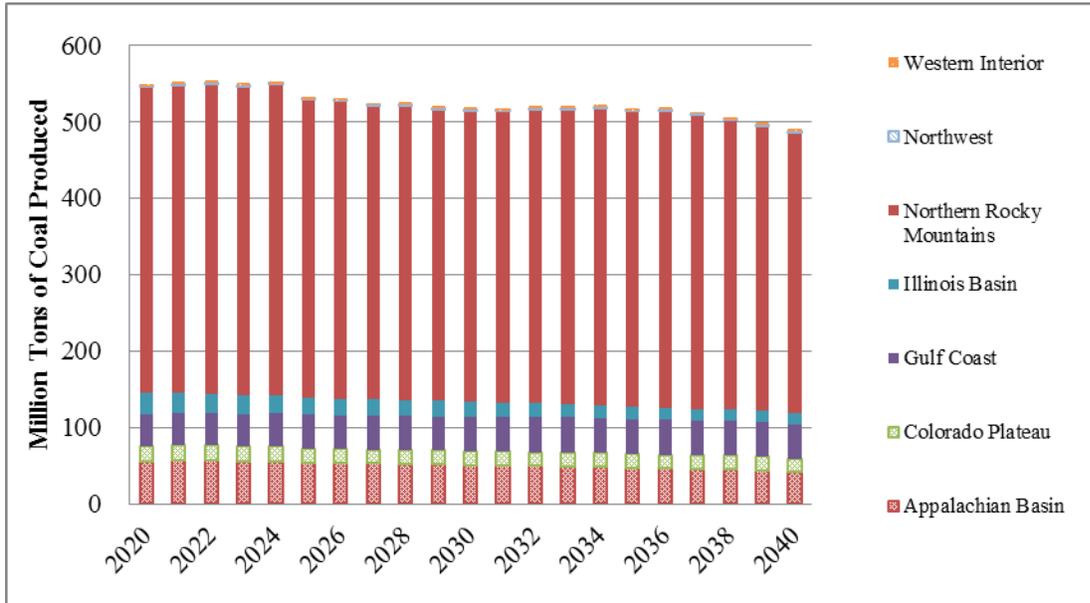
4.1.1.7 Existing and Proposed Environmental Regulations

Environmental regulations can also affect coal demand. Important to this discussion are regulations that affect water discharges under the clean water act, as well as regulations that affect coal combustion at coal fired power plants.

4.1.1.8 Forecasted Coal Production Under the No Action Alternative

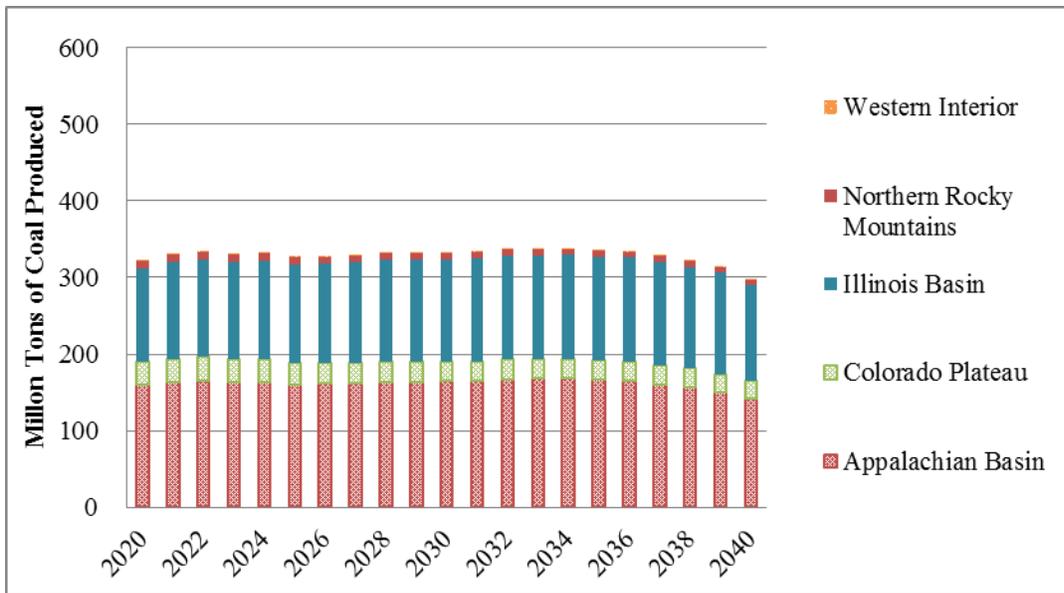
The coal mining industry is expected to continue to change, even under the No Action Alternative (i.e., absent the SPR). These changes will be driven by market conditions and the characteristics of remaining coal reserves. Over the study period of 2020 to 2040, the No Action Alternative as reflected by the baseline analysis conducted as part of the RIA anticipates a general decline in annual total surface and underground production of approximately 10 percent (84 million tons). Figures 4.1-4 and 4.1-5 summarize the projected changes in production for surface and underground mining by region.

Figure 4.1-4. Forecasted Surface Coal Production by Region under the No Action Alternative, Millions of Tons Produced, 2020 to 2040



Source: Energy Ventures Analysis (EVA) analysis, Primary Base Case 2016.

Figure 4.1-4. Forecasted Underground Coal Production under the No Action Alternative by Region, 2020 to 2040



Source: EVA analysis, Primary Base Case, 2016.

Under the No Action Alternative, declines in surface coal production are anticipated in nearly all coal regions between 2020 and 2040, with annual production falling from 550 million tons to 491 million tons over the time period. Most of the drop in total surface production (54 percent) is anticipated in the

Northern Rocky Mountains and Great Plains region where a decline of over 30 million tons in annual production between 2020 and 2040 is expected. The steepest declines in terms of the percent of regional production are expected in the Illinois Basin and Appalachian Basin regions, where declines of 52 percent and 23 percent of regional production, respectively, are anticipated between 2020 and 2040.

As with surface mining, declines in underground production are expected in nearly all coal regions between 2020 and 2040, with annual production falling from 323 million tons to 298 million tons over the study period (a reduction of 25 million tons in annual production). In the near term, however, underground production is expected to grow temporarily because of the addition of several new longwall mines, peaking in 2024. Most of the drop in total underground production (73 percent) is anticipated in the Appalachian Basin region, where a decline of approximately 18 million tons between 2020 and 2040 is expected. The steepest declines in terms of the percent of regional production are expected in the Northern Rocky Mountains and Great Plains and Colorado Plateau regions, where declines of 24 and 23 percent of regional production, respectively, are anticipated between 2020 and 2040.

4.1.2 Model Mine Approach to Understanding Coal Industry Impacts

This section provides an overview of the model mines approach used to analyze industry and environmental conditions under the various Alternatives. Coal mining operations vary substantially from region to region, within a region, and even within a mining type in a given region. Thus, OSMRE employs a model mines analysis to determine the likely changes that would be made by mine operators in response to the Action Alternatives.

The analysis in this FEIS uses a model mine approach to examine the impacts of elements under each Action Alternative. The goal of the analysis is to design mines representative of the operations located in each region and to identify and quantify the effects of each Action Alternative on mining operations. This analysis is not an evaluation of individual mining operations, which vary in practice due to factors such as topography, geology, and hydrology. Instead, the analysis approximates changes expected to occur in a region as a result of each of the Action Alternatives.

The model mines were created after evaluating the overall distribution of coal production by location, mine type, and controlling company. Each of the seven major coal-producing regions is evaluated to determine the type and size of mining operations that are representative of those providing the majority of production for the region.⁹ Using this information, specific model mines that capture the regional characteristics were developed.¹⁰ Future production trends were taken into account, most notably in the Illinois Basin, where increases in longwall mining production are anticipated. Overall, 13 model mines

⁹ Because the Western Interior region shares features with the Illinois Basin, the Illinois Basin surface model mine was applied to the Western Interior region. Similarly, the model developed for Colorado Plateau underground longwall mines was also used to evaluate impacts to the Northern Rocky Mountains and Great Plains underground mining activities. The analysis did not define a model mine specific to address anthracite mines due to its small contribution of this industry to the current level of U.S. coal production, the small average size of the anthracite mines, and the very site specific/proprietary mining methods used in anthracite mining, which make doing a model mine analysis problematic. However, we recognize that the impacts of the SPR on these mines may result in somewhat different impacts to these operations than other mining operations in the Northern Appalachian region.

¹⁰ Alaska's only operating mine is taken to be representative of coal production in Alaska.

were developed, which together represent over 90 percent of coal mining production nationwide. Table 4.1-3 shows the location, mining type, and typical annual production for each model mine.

After considering the locations, sizes, and mining methods for the 13 model mines, permit data on topography, geology, and stream characteristics are used to establish a realistic physical setting for each model mine. Surface topography from the USGS Seamless Server, GIS analysis, and AutoCAD software are used to develop contours, delineate watersheds and streams, and insert coal seams. Based upon the geology, topography, and mine size, a mineral removal boundary is created for each model mine. For more details on this analysis, please refer to Appendix B of the SPR RIA.

Recognizing that assumptions in the engineering analysis are important to the overall projected impact results, a number of sensitivity analyses were conducted related to specific assumptions. These sensitivity analyses are described in SPR RIA Appendix B, Part 6. Tested assumptions included assumptions related to hourly equipment costs for haulage costs, spoil handling percentage of overburden in haulage costs, per acre costs of reforestation in riparian zones, production levels and stripping ratios.

After designing the location and characteristics of each model mine, the effects of each Action Alternative relative to the No Action Alternative were assessed. This analysis is referred to throughout this document as the “model mines” or “engineering” analysis.

Table 4.1-3. Model Mine Analysis, Type and Estimated Annual Production

Region	Mine Type	Annual Production (Million tons)	Life of mine (years)	Total production over life of mine (million tons)	Disturbed Area (acres)
Appalachian Basin	CAPP Surface - Area	2.3	16.1	37	1,260
	CAPP Surface - Contour	0.5	10.0	5.0	448
	CAPP Underground (Room and Pillar) ¹	0.3	8.0	1.6	205
	NAPP Surface – Contour	0.2	12.0	3.0	12
	NAPP Underground (Longwall) ¹	4.6	15.1	69	145
Colorado Plateau	Surface – Area	3.3	27.9	92	3,311
	Underground (Longwall) ¹	3.0	6.8	20	44
Gulf Coast	Surface – Area	3.3	12.3	41	1,988
Illinois Basin	Surface – Area	1.0	12.4	12.4	1,067
	Underground (Room and Pillar) ¹	2.1	9.1	19.1	45
	Underground (Longwall) ¹	6.0	17.7	110	134
Northern Rocky Mountain and Great Plains²	Surface – Area	27.2	38.8	1,100	6,049
	Underground (Longwall) ¹	3.0	6.8	20	44
Northwest	Surface – Area	2.0	18.5	37	497
Western Interior³	Surface – Area	1.0	12.4	12	1,067
	Underground (Room and Pillar)	2.1	9.1	19	45

Notes: CAPP = Central Appalachia. NAPP = Northern Appalachia. A detailed description of each model mine is presented in Appendix B. Maps of each model mine are presented in Appendix C for the baseline as well as evaluated Alternatives. Appendix D describes potential impacts of the SPR on longwall mines in particular. The analysis did not define a model mine

Region	Mine Type	Annual Production (Million tons)	Life of mine (years)	Total production over life of mine (million tons)	Disturbed Area (acres)
<p>specific to address anthracite mines due to its small contribution of this industry to the current level of U.S. coal production, the small average size of the anthracite mines, and the very site specific/proprietary mining methods used in anthracite mining, which make doing a model mine analysis problematic.</p> <p>¹ The analysis also designed coal refuse facilities associated with these underground mining operations. Please refer to Appendix E for additional details. The results of that analysis are incorporated into the costs for model mines described in Appendix B.</p> <p>² The model developed for Colorado Plateau underground longwall mines was also used to evaluate impacts to the Northern Rocky Mountains and Great Plains underground mining activities.</p> <p>³ The models developed for Illinois Basin underground surface and room and pillar mines were also used to evaluate impacts to the Western Interior mining activities (Oklahoma, Missouri, Kansas, Arkansas).</p> <p>Source: Model mines analysis.</p>					

4.1.3 Total Compliance Costs

The compliance cost analysis estimates the incremental industry operational, industry administrative and regulatory authority costs anticipated to result from each Action Alternative (i.e., the changes in industry operational, industry administrative and regulatory authority costs expected as a result of each Action Alternative over and above costs that would be incurred under the No Action Alternative). To estimate the total compliance costs of an Action Alternative, the analysis first estimates the expected increase in industry operational costs for each of the thirteen model mines. The analysis then converts them to costs per ton of coal produced. These increases in per-ton costs of operations are then combined with estimates of industry administrative and regulatory authority costs and modeled to anticipated production impacts in each region.

Estimated increased industry operational costs related to the Action Alternatives are derived from the model mine analysis described above. The primary industry operational cost components include the following:

- Haulage costs – haulage costs are associated with moving mine spoils; these costs vary based on the requirements of each alternative, and only apply where valley fills occur;
- Stream restoration costs – the costs of returning to form and/or function streams disturbed due to mining;
- Reforestation/PMLU costs – costs associated with reforestation or return to premining land use (PMLU) requirements; and
- Bonding costs – costs associated with getting and maintaining a bond for reclamation efforts.

For purposes of this analysis, industry administrative costs are defined as the industry costs associated with time spent on permitting activities and requirements as well as related material costs (e.g. baseline data collection and monitoring, digital elevation modeling software). OSMRE estimated industry administrative efforts expected to result from compliance with Alternative 8 (Preferred) for purposes of meeting the requirements of the Paperwork Reduction Act (PRA). These efforts were calculated on an annual basis, per permit, for mine operators, based on experience and collaboration with the state regulators. OSMRE estimates of these costs, which were informed by public comments, are the basis for

the administrative costs calculated below. For more detailed information on OSMRE's effort calculations, please see the PRA analysis prepared by OSMRE.

In order to comply with the PRA, OSMRE estimated the aggregate administrative burden (in hours) for information collection for Alternative 8 (Preferred), along with associated industry wage rates. Specifically, OSMRE calculated the number of hours needed to comply with each element of the rule by 30 CFR sections. Wage costs were then applied to obtain an estimate of wage costs associated with administrative efforts. Non-wage costs due to Alternative 8 (Preferred) were then added to estimate the total industry administrative burden for each region. These costs were then divided by 2015 regional production to get industry administrative costs per ton. These costs were then adjusted by element to approximate differential administrative burdens for each Action Alternative.

Regulatory authority costs are additional costs that would be incurred by state regulatory authorities to review, administer, and enforce permits that would not otherwise be incurred absent the rule. Annual regulatory costs are influenced by a host of factors other than market factors. Because state regulatory authority costs have no direct bearing on the coal market, state regulatory authority costs would not drive market outcomes or the price of coal.

OSMRE assessed the expected additional regulatory burden of Alternative 8 (Preferred) for state regulatory authorities on a per permit basis. These cost estimates were then used to estimate the regulatory authority cost burden for the rest of the Action Alternatives. Although future coal production is expected to decrease during the time period for this analysis, regulatory costs incurred by state regulatory authorities are not expected to closely mirror this trend. As such, the analysis estimates an estimated average annual increase in these costs.

All of the Action Alternatives would result in increased compliance costs over the No Action Alternative, except for Alternative 9. The operational requirements of Alternative 9 were determined to be either the same as the No Action Alternative or achievable at comparable costs to baseline practices.

After calculating the revised coal production forecast that takes into consideration the implementation of the Action Alternatives, the total compliance costs are calculated. Tables 4.1-4a through present the regional annualized increased compliance costs by Action Alternative. Additional details about this analysis are presented in the Chapter 4 of the SPR RIA. Results are summarized as follows:

- Annualized compliance costs are highest in Alternative 2 at \$150 million. Compliance costs under Alternative 2 are greater than costs under the other Alternatives because of the stringency and broad applicability of the requirements. Appalachian Basin mines, especially surface mines, contribute most to the high costs under this Alternative. These costs are driven by requirements (limiting mining near streams, spoil management, approximate original contour (AOC) restoration) that increase haulage costs.
- Annualized compliance costs for Alternatives 3 and 4 are anticipated to be \$110 million. Like Alternative 2, these Alternatives apply nationally with varying requirements. Under Alternatives 3 and 4 the majority of costs are seen in the Appalachian Basin and the Illinois Basin.
- Annualized compliance costs for Alternative 5 are anticipated to be \$44 million. Under Alternative 5, costs accrue primarily in the Appalachian Basin because the requirements are limited to areas where mining operations place excess spoil outside of the mined area or where

coal mine refuse disposal occurs in in perennial or intermittent streams. These practices are largely restricted to the Appalachian Basin.

- Annualized compliance costs for Alternative 6 are anticipated to be \$61 million. Under Alternative 6, the mix of costs among regions is different. Specifically, surface mines in Illinois incur a proportionally larger share of costs (roughly 50 percent). Although the Alternative prohibits mining activities within 100 feet of intermittent or perennial streams, it allows regulatory authorities to approve placement of excess spoil or coal mine waste in an intermittent or perennial stream under certain conditions. These conditions are prevalent in the Appalachian Basin, lowering costs in this region.
- Annualized compliance costs for Alternative 7 are anticipated to be \$59 million. Although Alternative 7 applies only where enhanced permitting conditions exist, costs are still moderately high because these conditions exist throughout much of the Appalachian Basin.
- Annualized compliance costs for Alternative 8 (Preferred) are anticipated to be \$82 million. Alternative 8 (Preferred) costs are similar, but somewhat lower than those anticipated for Alternative 4 primarily because enhanced permitting conditions and land forming requirements do not apply under Alternative 8 (Preferred).
- As discussed previously, Alternative 9 is not anticipated to result in additional compliance costs over and above the No Action Alternative.

For context, EIA reports 2014 coal production of approximately one billion short tons and an average sales price of \$34.83 per short ton for approximate revenues of \$34.8 billion (U.S. EIA, 2016a). Annualized compliance costs as share of 2014 industry revenue would have ranged from approximately 0.13 percent (Alternative 5) to 0.43 percent (Alternative 2).

Table 4.1-4a. Regional and U.S. Compliance Costs under Alternative 2, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$62,000,000	\$14,000,000	\$76,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$3,500,000	\$38,000	\$3,500,000
	Underground	\$120,000	\$81,000	\$200,000
Gulf Coast	Surface	\$12,000,000	\$1,500,000	\$14,000,000
Illinois Basin	Surface	\$30,000,000	\$750,000	\$31,000,000
	Underground	\$0	\$3,400,000	\$3,400,000
Northern Rocky Mountains and Great Plains	Surface	\$8,600,000	\$800,000	\$9,400,000
	Underground	\$55,000	\$37,000	\$92,000
Northwest	Surface	\$140,000	\$1,100	\$140,000
Western Interior	Surface	\$4,400,000	\$6,100	\$4,400,000
	Underground	\$0	\$1,600	\$1,600
Total U.S. Compliance Cost Impacts	Surface	\$120,000,000	\$17,000,000	\$140,000,000
	Underground	\$1,700,000	\$8,600,000	\$10,000,000
	Regulatory Authority	NA	NA	\$510,000
	TOTAL	\$120,000,000	\$26,000,000	\$150,000,000

Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.

Table 4.1-4b. Regional and U.S. Compliance Costs under Alternative 3, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$33,000,000	\$14,000,000	\$48,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$3,300,000	\$38,000	\$3,300,000
	Underground	\$120,000	\$81,000	\$200,000
Gulf Coast	Surface	\$12,000,000	\$1,600,000	\$13,000,000
Illinois Basin	Surface	\$22,000,000	\$750,000	\$23,000,000
	Underground	\$0	\$750,000	\$750,000
Northern Rocky Mountains and Great Plains	Surface	\$8,200,000	\$800,000	\$9,000,000
	Underground	\$680,000	\$37,000	\$720,000
Northwest	Surface	\$130,000	\$1,100	\$130,000
Western Interior	Surface	\$3,300,000	\$6,100	\$3,300,000
	Underground	\$0	\$1,600	\$1,600
Total U.S. Compliance Cost Impacts	Surface	\$82,000,000	\$17,000,000	\$100,000,000
	Underground	\$2,300,000	\$6,000,000	\$8,300,000
	Regulatory Authority	NA	NA	\$510,000
	TOTAL	\$85,000,000	\$23,000,000	\$110,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

Table 4.1-4c. Regional and U.S. Compliance Costs under Alternative 4, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$31,000,000	\$14,000,000	\$45,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$3,800,000	\$38,000	\$3,800,000
	Underground	\$120,000	\$81,000	\$200,000
Gulf Coast	Surface	\$12,000,000	\$1,500,000	\$14,000,000
Illinois Basin	Surface	\$23,000,000	\$750,000	\$23,000,000
	Underground	\$0	\$3,500,000	\$3,500,000
Northern Rocky Mountains and Great Plains	Surface	\$8,700,000	\$800,000	\$9,500,000
	Underground	\$55,000	\$37,000	\$92,000
Northwest	Surface	\$130,000	\$1,100	\$130,000
Western Interior	Surface	\$3,300,000	\$6,100	\$3,300,000
	Underground	\$0	\$1,600	\$1,600
Total U.S. Compliance Cost Impacts	Surface	\$81,000,000	\$17,000,000	\$98,000,000
	Underground	\$1,700,000	\$8,700,000	\$10,000,000
	Regulatory Authority	NA	NA	\$510,000
	TOTAL	\$83,000,000	\$26,000,000	\$110,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

Table 4.1-4d. Regional and U.S. Compliance Costs under Alternative 5, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$22,000,000	\$14,000,000	\$37,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$0	\$0	\$0
	Underground	\$0	\$0	\$0
Gulf Coast	Surface	\$0	\$0	\$0
Illinois Basin	Surface	\$0	\$0	\$0
	Underground	\$0	\$0	\$0
Northern Rocky Mountains and Great Plains	Surface	\$0	\$0	\$0
	Underground	\$0	\$0	\$0
Northwest	Surface	\$0	\$0	\$0
Western Interior	Surface	\$0	\$0	\$0
	Underground	\$0	\$0	\$0
Total U.S. Compliance Cost Impacts	Surface	\$22,000,000	\$14,000,000	\$37,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
	Regulatory Authority	NA	NA	\$430,000
	TOTAL	\$24,000,000	\$19,000,000	\$44,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

Table 4.1-4e. Regional and U.S. Compliance Costs under Alternative 6, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$8,800,000	\$7,300,000	\$16,000,000
	Underground	\$0	\$5,100,000	\$5,100,000
Colorado Plateau	Surface	\$1,400,000	\$12,000	\$1,400,000
	Underground	\$0	\$75,000	\$75,000
Gulf Coast	Surface	\$5,800,000	\$520,000	\$6,300,000
Illinois Basin	Surface	\$21,000,000	\$250,000	\$21,000,000
	Underground	\$0	\$3,300,000	\$3,300,000
Northern Rocky Mountains and Great Plains	Surface	\$3,500,000	\$260,000	\$3,700,000
	Underground	\$0	\$35,000	\$35,000
Northwest	Surface	\$91,000	\$650	\$92,000
Western Interior	Surface	\$3,100,000	\$2,000	\$3,100,000
	Underground	\$0	\$1,500	\$1,500
Total U.S. Compliance Cost Impacts	Surface	\$44,000,000	\$8,300,000	\$52,000,000
	Underground	\$0	\$8,500,000	\$8,500,000
	Regulatory Authority	NA	NA	\$510,000
	TOTAL	\$44,000,000	\$17,000,000	\$61,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

Table 4.1-4f. Regional and U.S. Compliance Costs under Alternative 7, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$29,000,000	\$13,000,000	\$41,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$2,100,000	\$23,000	\$2,100,000
	Underground	\$71,000	\$49,000	\$120,000
Gulf Coast	Surface	\$2,200,000	\$310,000	\$2,500,000
Illinois Basin	Surface	\$2,900,000	\$75,000	\$2,900,000
	Underground	\$0	\$350,000	\$350,000
Northern Rocky Mountains and Great Plains	Surface	\$1,500,000	\$160,000	\$1,700,000
	Underground	\$33,000	\$22,000	\$55,000
Northwest	Surface	\$13,000	\$110	\$13,000
Western Interior	Surface	\$420,000	\$610	\$420,000
	Underground	\$0	\$160	\$160
Total U.S. Compliance Cost Impacts	Surface	\$38,000,000	\$13,000,000	\$51,000,000
	Underground	\$1,600,000	\$5,500,000	\$7,100,000
	Regulatory Authority	NA	NA	\$440,000
	TOTAL	\$39,000,000	\$19,000,000	\$59,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

Table 4.1-4g. Regional and U.S. Compliance Costs under Alternative 8, Annualized at a 7 Percent Real Discount Rate (2014 Dollars)

Region	Mine Type	Industry Operational Cost (Annualized)	Industry Administrative Cost (Annualized)	Total Cost (Annualized)
Appalachia	Surface	\$19,000,000	\$7,600,000	\$26,000,000
	Underground	\$1,500,000	\$5,100,000	\$6,600,000
Colorado Plateau	Surface	\$2,700,000	\$13,000	\$2,800,000
	Underground	\$120,000	\$81,000	\$200,000
Gulf Coast	Surface	\$10,000,000	\$550,000	\$11,000,000
Illinois Basin	Surface	\$21,000,000	\$260,000	\$21,000,000
	Underground	\$0	\$3,500,000	\$3,500,000
Northern Rocky Mountains and Great Plains	Surface	\$6,400,000	\$270,000	\$6,600,000
	Underground	\$55,000	\$37,000	\$92,000
Northwest	Surface	\$120,000	\$660	\$120,000
Western Interior	Surface	\$3,100,000	\$2,100	\$3,100,000
	Underground	\$0	\$1,600	\$1,600
Total U.S. Compliance Cost Impacts	Surface	\$62,000,000	\$8,700,000	\$71,000,000
	Underground	\$1,700,000	\$8,700,000	\$10,000,000
	Regulatory Authority	NA	NA	\$510,000
	TOTAL	\$64,000,000	\$17,000,000	\$82,000,000
Note: Totals may not sum due to rounding. Includes industry operational, industry administrative, and regulatory authority costs.				

4.1.4 Effects of Action Alternatives on Coal Production

The difference in compliance costs for each Action Alternative yields changes relative to the No Action Alternative’s projected coal production presented in Section 4.1.1. Table 4.1-5 presents the forecasted annual changes in coal production across the different Action Alternatives and regions; these are average annual production changes across the 21-year study period, relative to the forecasted baseline included within the No Action Alternative. Increased coal prices are expected to influence the demand for coal, the regional distribution of coal production, and the total tonnage of coal produced by various mine types.

For this analysis, compliance costs associated with implementation of the Action Alternatives are entered into a coal market model to examine industry-level effects of the Action Alternatives. The market model of forecast coal production is then used to calculate total compliance costs to industry. The detailed assumptions and results of this forecast are described in SPR RIA Appendix F.

All of the Action Alternatives, except Alternative 9, are expected to result in some net decrease in total national production, resulting from the anticipated decrease in surface production primarily from the Appalachian Basin. As discussed, the analysis found negligible incremental compliance costs for Alternative 9. Consequently, Alternative 9 would lead to the same level of coal production as in the No Action Alternative. This finding is consistent with the requirements of Alternative 9, which contains no absolute prohibitions on mining in or within streams. Therefore, Alternative 9 would not change coal production any more than the No Action Alternative.

As Alternative 9 forecasts no change in national production from the No Action Alternative, it will be excluded from the following discussion of Table 4.1-5. The average annual decreases range from a low 0.7 million tons (0.08 percent of baseline production) under Alternative 8, to a high of 1.3 million tons (0.16 percent of baseline production) under Alternative 2. Net production decreases are forecasted for all coal regions, with all Action Alternatives expected to experience similar changes. For context, annual coal production in the U.S. in 2014 was 1 billion short tons and Appalachia production was 267 million short tons (U.S. EIA, 2016a).

Table 4.1-5. Regional Forecast Changes in Average Annual Coal Production under the Action Alternatives Compared to the No Action Alternative (Millions of Tons)

Alternative	Mine Type	Appalachia	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains	Northwest	Western Interior	Total
Alternative 2	Surface	(0.3)	0	(0.0)	(0.1)	(0.1)	0	0	(0.5)
	Underground	(0.5)	0	-	(0.4)	(0)	-	0.0	(0.9)
	Net Change	(0.8)	0	(0.0)	(0.4)	(0.1)	0	0	(1.3)
Alternative 3	Surface	(0.2)	0	(0.0)	0	(0.0)	0	0	(0.2)
	Underground	(0.3)	0	-	(0.3)	0	-	0	(0.6)
	Net Change	(0.5)	0	(0.0)	(0.3)	0.0	0	0	(0.8)
Alternative 4	Surface	(0.1)	(0)	(0.0)	(0.1)	0.0	0	0	(0.2)
	Underground	(0.3)	(0)	-	(0.3)	(0)	-	0	(0.6)
	Net Change	(0.4)	(0)	(0.0)	(0.3)	0.0	0	0	(0.7)
Alternative 5	Surface	(0.1)	0	(0.0)	0	(0.0)	0	0	(0.1)
	Underground	(0.2)	0	-	0	0	-	0	(0.2)
	Net Change	(0.4)	0	(0.0)	0	(0.0)	0	0	(0.4)
Alternative 6	Surface	(0.1)	0	0.0	0	(0.0)	0	0	(0.1)
	Underground	(0.1)	0	-	(0.3)	0	-	0	(0.4)
	Net Change	(0.2)	0	0.0	(0.3)	(0.0)	0	0	(0.5)
Alternative 7	Surface	(0.1)	0	0.0	(0.1)	0.0	0	0	(0.2)
	Underground	(0.3)	0	-	(0.4)	0	-	0	(0.7)
	Net Change	(0.4)	0	0.0	(0.4)	0.0	0	0	(0.8)
Alternative 8	Surface	(0.1)	0	0	0	(0.1)	0	0	(0.2)
	Underground	(0.2)	0	-	(0.3)	0	-	0	(0.5)
	Net Change	(0.3)	0	0	(0.3)	(0.1)	0	0	(0.7)
Alternative 9	Surface	0	0	0	0	0	0	0	0
	Underground	0	0	-	0	0	-	0	0
	Net Change	0	0	0	0	0	0	0	0

Note: Totals may not sum due to rounding.

4.2 Natural Resources

4.2.1 Water Resources

As described in Chapter 1, the primary purpose of the proposed action is to anticipate and prevent adverse impacts to streams and related resources as a result of coal mining activities while balancing the Nation’s need for an adequate coal supply. To achieve this objective, meaningful protection of water resources is essential. Therefore, this section of Chapter 4 analyzes how water resources are currently impacted by the No Action Alternative and what is expected to occur if no changes are made as a result of this rulemaking effort. Following a synopsis of the No Action Alternative relative to water resources, the various effects of the Action Alternatives on water resources are analyzed. This analysis considers impacts upon both surface water and groundwater. The remaining subsections are structured as follows:

- Section 4.2.1.1 provides an overview of SMCRA and the Clean Water Act (CWA) to describe how implementing regulations under these laws interact to regulate water quality impacts related to coal mining. This subsection then describes mining-related effects on water quality that are occurring under these existing regulations, i.e., under the No Action Alternative;
- Section 4.2.1.2 describes how particular elements of the proposed action would likely affect water resources;
- Section 4.2.1.3 describes the analytic methods employed to evaluate potential effects to water resources;
- Section 4.2.1.4 presents results of the quantitative analysis of surface water impacts; and
- Section 4.2.1.5 presents a summary of the results that would be achieved from the Action Alternatives.

4.2.1.1 Effects of the Current Regulatory Environment (the No Action Alternative)

This subsection provides an overview of the existing regulatory environment governing water resources related to coal mining. The subsection begins with a discussion of important sections of the CWA as there is a high degree of interaction between the requirements of SMCRA and the requirements of the CWA. While a SMCRA permit addresses all parts of the mining activity, those activities affecting waters subject to jurisdiction under the CWA will also require a CWA permit. For example, a proposed surface coal mining operation requires a SMCRA permit to authorize the mining activity itself, a permit under section 404 of the CWA, and a state water quality certification under section 401 if the mining activity requires the discharge of fill material into the waters subject to jurisdiction under the CWA.

Each relevant CWA section is discussed below, followed by a water quality focused discussion of existing requirements under SMCRA.

4.2.1.1.1 Existing Regulatory Environment

4.2.1.1.1.1 Clean Water Act

Congress established the CWA with the goal of “restor[ing] and maintain[ing] the chemical, physical, and biological integrity of the Nation’s waters” (33 U.S.C. § 1251(a)). To achieve that objective, the CWA prohibits the discharge of pollutants from point sources into navigable waters unless consistent with the requirements of that act (*Id.* § 1311(a)). The CWA allows for the discharge of pollutants into navigable

waters under two permitting programs. Section 402 governs the discharge of pollutants other than dredged or fill material; section 404 governs the discharge of dredged or fill material. Congress charged EPA with oversight authority of state-authorized permit programs (*Id.* §§ 1342(b)-(e); 1344(g)(l), (n)) and provided EPA with other authorities in connection with section 404 permits issued by the U.S. Army Corps of Engineers (USACE) (*Id.* § 1344(b)-(c), (q), (n)). On July 29, 2015, the EPA and USACE finalized a rule to increase the clarity of waterway definitions under the Clean Water Act waters of the U.S. definition related to tributaries, adjacent wetlands/waters, “other” waters, and exclusions. This rule was stayed on October 9, 2015 by the U.S. Court of Appeals for the Sixth Circuit and is awaiting further action.

The CWA defines “navigable waters” to mean “waters of the United States, including the territorial seas” (*Id.* § 1362(7)). On June 29, 2015, following years of litigation over the term, the EPA and USACE issued a rule to clarify the scope of the definition of “waters of the United States” (80 FR 37053). The revised definition would narrow the scope of CWA jurisdiction such that fewer waters would be jurisdictional and would provide bright-line tests to reduce the number of instances where permitting authorities would need to make case-specific jurisdictional determinations (80 FR 37053). Multiple industry and environmental groups challenged the rule, and the Sixth Circuit issued an order staying the rule nationwide. The Sixth Circuit has set a briefing schedule that will conclude on February 17, 2017, and oral arguments will be scheduled as soon as practicable after briefing is complete (*OH, et al v. U.S. Army Corps of Engineers, et al*, Case No. 15-3799 (6th Cir 2015)). In response to the Sixth Circuit’s stay, the EPA and USACE resumed nationwide use of the agencies’ prior regulations (U.S. EPA, 2015). Thus, the agencies’ prior regulations form part of the existing regulatory environment for purposes of this EIS.

4.2.1.1.2 CWA Section 303 Water Quality Standards

Section 303 of the CWA requires states to adopt water quality standards applicable to their intrastate and interstate waters (33 U.S.C. § 1313). Water quality standards assist in maintaining the physical, chemical, and biological integrity of a waterbody by designating uses, setting criteria to protect those uses, and establishing provisions to protect water quality from degradation. Water quality standards established by states¹¹ are subject to EPA review (40 CFR 131.5; 33 § U.S.C. 1313(c)). EPA may object to state-adopted water quality standards and may require changes to the state-adopted water quality standards and, if the state does not respond to EPA’s objections, EPA may promulgate federal standards (33 U.S.C. § 1313(c)(3)-(4); 40 CFR 131.5, 131.21).

Water quality criteria may be expressed numerically and implemented in permits through specific numeric limitations on the concentration of a specific pollutant in the water (e.g., 0.1 milligrams of chromium per liter) or by more general narrative standards applicable to a wide set of pollutants. To assist states in adopting water quality standards that will meet with EPA’s approval, Congress authorized EPA to develop and publish recommended criteria for water quality that accurately reflect “the latest scientific knowledge” (33 U.S.C. § 1314(a)). Water quality standards are not self-implementing; they are implemented through permits, such as the section 402 permit or the section 404 permit (33 U.S.C. § 1311(b)(1)(C); 40 CFR 122.44(d), 230.10(b)).

¹¹ EPA may treat an eligible federally recognized Indian tribe in the same manner as a state for implementing and managing certain environmental programs, including under the Clean Water Act.

4.2.1.1.1.3 CWA Section 401 Water Quality Certification

State water quality standards are incorporated into all federal CWA permits through section 401, which requires each applicant to submit a certification from the affected state that the discharge will be consistent with state water quality requirements (33 U.S.C. § 1341(a)(1)). Thus, section 401 provides states with a veto over federal CWA permits that may allow exceedances of state water quality standards, and empowers states to impose and enforce water quality standards that are more stringent than those required by federal law (33 U.S.C. § 1370).

4.2.1.1.1.4 CWA Section 402 Permits

Section 402 of the CWA, 33 U.S.C. § 1342, governs discharges of pollutants other than dredged or fill material. Permits issued under the authority of section 402 are known as National Pollutant Discharge Elimination System (NPDES) permits, and typically contain numerical limits called “effluent limitations” that restrict the amounts of specified pollutants that may be discharged. NPDES permits must contain technology-based effluent limits, and any more stringent water quality-based effluent limits necessary to meet applicable state water quality standards (33 U.S.C. §§ 1311(b)(1)(A),(C), 1342(a); 40 CFR 122.44(a)(1), (d)(1)). Water quality-based effluent limitations are required for all pollutants that the permitting authority determines “are or may be discharged at a level [that] will cause, have the reasonable potential to cause, or contribute an excursion above any [applicable] water quality standard, including State narrative criteria for water quality” (40 CFR 122.44(d)(1)(i)). The procedure for determining the need for water quality-based effluent limits is called a reasonable potential analysis, or “RPA.”

Section 402 permits are issued by EPA, unless the state has an approved program whereby the state issues the permits, subject to EPA oversight (33 U.S.C. § 1342(b)(e); *Nat’l Ass’n of Home Builders v. Defenders of Wildlife*, 551 U.S. 644, 650-651 (2007)). The state must submit draft permits to EPA for review, and EPA may object to a proposed permit that is not consistent with the CWA and federal regulations (33 U.S.C. § 1342(d); 40 CFR 123.43 and 123.44). If the state does not adequately address EPA’s objections, EPA may assume the authority to issue the permit (33 U.S.C. § 1342(d)(4)). EPA’s procedures for the review of state-issued permits are set forth in regulations at 40 CFR 123.44 and in memoranda of agreement with the states.

Sediment control ponds and other sediment control structures, connected by various diversion channels and other conveyances, often form an integral part of the wastewater effluent treatment systems on coal mine sites. Section 402 authorizations (NPDES permits) consider the effectiveness of these systems on the mine site in ensuring that discharges leaving coal mining permit areas meet applicable water quality standards.

4.2.1.1.1.5 CWA Section 404 Permits

Section 404(a) of the CWA, 33 U.S.C. § 1344(a), authorizes the Secretary of the Army, acting through the USACE, to “issue permits ... for the discharge of dredged or fill material into the navigable waters at specified disposal sites” (33 U.S.C. § 1344(a)). By this authority, the USACE regulates discharges of dredged and fill material into waters of the United States in connection with surface coal mining activities. The USACE’s regulations governing section 404 permit procedures are set forth at 33 CFR Part 325.

Although the USACE is the permitting authority under section 404, EPA has an important role in the permitting process. Section 404(b) of the CWA requires that USACE’s permit decisions comply with guidelines developed by EPA in conjunction with the USACE, referred to as the “404(b)(1) Guidelines” (33 U.S.C. § 1344(b)(1)). Among other things, the 404(b)(1) Guidelines prohibit the discharge of fill if it would cause or contribute to a violation of a water quality standard or cause or contribute to significant degradation of the waters of the U.S. (40 CFR 230.10(b), (c)(1)-(3)). The 404(b)(1) Guidelines require the USACE to analyze more than 15 different factors that could be impacted by the proposed action, including substrate, suspended particulates, turbidity, water quality, water circulation, water level fluctuations, salinity gradients, threatened and endangered species, aquatic organisms in the food web, other wildlife special aquatic sites, water supplies, fisheries, recreation, aesthetics, and parks (40 CFR 230 (c)-(f)). The 404(b)(1) Guidelines provide that the USACE must ensure that the proposed discharges would not cause or contribute to significant adverse effects on human health or welfare, aquatic life, or aquatic ecosystems (40 CFR 230.10(c)(1)-(3)).

Before the USACE may issue a section 404 permit, it must provide notice to the public, EPA, and other resource agencies, which may all provide comments to the USACE for consideration (33 CFR 325.3(d)). In addition, the USACE and EPA have entered into a Memorandum of Agreement (MOA) as directed by section 404(q) of the CWA, 33 U.S.C. § 1344(q), that expressly recognizes that “the EPA has an important role in the Department of the Army Regulatory Program under the Clean Water Act[.]” The MOA provides that “[p]ursuant to its authority under section 404(b)(1) of the Clean Water Act, the EPA may provide comments to the Corps identifying its views regarding compliance with the section 404(b)(1) Guidelines” and USACE “will fully consider EPA’s comments when determining [compliance] with the National Environmental Policy Act, and other relevant statutes, regulations, and policies” (*Id.*).

In addition, and in recognition of “EPA’s expertise and concentrated concern with environmental matters,” (*James City County v. EPA*, 12 F.3d 1330, 1336 (4th Cir. 1993)), Congress gave EPA the authority in section 404(c) to prohibit, withdraw, deny, or restrict the specification of disposal sites that would otherwise be authorized by a section 404 permit--often referred to as EPA’s “veto” authority.

The USACE reviews “individual” permit applications on a case-by-case basis under section 404(a) (33 U.S.C. § 1344(a)). Individual permits may be issued or denied after a review involving, among other things, site specific documentation and analysis, opportunity for public hearing, public interest review, and a formal determination that the permit is lawful and warranted (33 CFR Parts 320, 323, 325).

Not every discharge is of such significance that an individual evaluation of the discharge’s environmental effects is necessary. Instead, section 404(e)(1) authorizes the Secretary of the Army to issue general permits for categories of activities involving discharges of dredged or fill material that, as a group, have only minimal impacts on the waters of the U.S. The USACE can issue these general permits (as well as individual permits) on a state, regional, or nationwide basis. The USACE refers to general permits issued on a nationwide basis as “Nationwide permits” (NWP). Current NWPs related to coal mining include NWP 21 related to surface mining, NWP 49 related to surface remining, and NWP 50 related to underground mining.

These NWPs provides USACE authorization for the discharge of dredged or fill material into waters of the U.S. associated with coal mining activities. The USACE review under these permits is focused on the individual and cumulative adverse effects to the aquatic environment, and on determining appropriate

mitigation should mitigation become necessary. The USACE review does not extend to the mining operation as a whole, unlike the SMCRA permit.

To qualify for NWP 21, for example, an activity must meet all of the following criteria:

- (1) The activities are already authorized or are currently being processed by a SMCRA-approved state program or an integrated permit processing procedure by the Department of the Interior;
- (2) The discharge will not cause the loss of more than ½ acre of non-tidal waters of the United States, including the loss of no more than 300 linear feet of stream bed, unless for intermittent and ephemeral stream beds the district engineer waives the 300 linear foot limit by making a written determination concluding that the discharge will result in minimal individual and cumulative adverse effects; and
- (3) The discharge is not associated with the construction of valley fills which are fill structures associated with surface coal mining activities that are typically constructed within valleys associated with steep, mountainous terrain.

Coal mining activities that impact waters of the U. S., and that do not meet the respective requirements of NWP 21 (surface coal mining), NWP 49 (surface coal remining), or NWP 50 (underground coal mining) would require an individual section 404 permit to proceed. Consideration of resources occurs under either an individual permit or a NWP, as required by the 404(b)(1) guidelines. The primary differences between the two processes are the extent of public review opportunities, the degree of administrative burden, and the amount of time involved in processing the permit.

4.2.1.1.1.6 CWA Compensatory Mitigation Requirements

In 2008, EPA and the U.S. Army Corps of Engineers issued a joint rulemaking expanding the CWA 404(b)(1) Guidelines regarding standards for compensatory mitigation for loss of aquatic resources. Compensatory mitigation refers to the restoration, establishment, enhancement, and/or preservation of wetlands, streams, or other aquatic resources conducted specifically for the purpose of offsetting authorized impacts to these resources. With respect to intermittent and perennial streams that are mined through during the course of mining operations, the CWA mitigation rule requires restoration. Compliance with these existing restoration standards will be required under the No Action Alternative.

4.2.1.1.1.7 Surface Mining Control and Reclamation Act

Congress enacted SMCRA for the purpose of, among other things, striking a balance between protecting the environment from the adverse effects of surface coal mining operations and meeting the Nation's energy requirements (30 U.S.C. § 1202(a), (d), (f)). SMCRA expressly provides that “[n]othing in this chapter shall be construed as superseding, amending, modifying, or repealing” the CWA or “any rule or regulation promulgated thereunder” (*Id.* § 1292(a)(3)). In addition, SMCRA requires that “[t]o the greatest extent practicable each federal agency shall cooperate with the Secretary and the States in carrying out” its provisions, and it directs the coordination of regulatory activities among departments and agencies responsible for implementation of identified statutes, including the CWA (*Id.* §§ 1292(c), 1303(a)).

As discussed previously in Chapter 1 of this FEIS, a state may assume primary jurisdiction (primacy) over the regulation of surface coal mining and reclamation operations within its borders by submitting a

program proposal to the Secretary for approval (*Id.* § 1253). Regardless of whether OSMRE is the regulatory authority or whether the state has an approved program, consideration and protection of surface and groundwater resources are required throughout the permitting, mining, and reclamation phases.

The regulations implementing SMCRA include extensive permitting requirements and performance standards intended to protect the hydrologic balance (see, e.g., 30 CFR Parts 780, 784, 785, 815, 816, and 817). For example, the regulatory authority may authorize mining activities in or adjacent to perennial or intermittent streams only when the permit applicant has successfully demonstrated that the “activities will not cause or contribute to the violation of State or Federal water quality standards, and will not adversely affect the water quantity or other environmental resources of the stream” (30 CFR 816.57(a)(1); 30 CFR 817.57(a)(1)).

Each SMCRA permit application must include an assessment of the probable hydrologic consequences of the proposed mining and reclamation operations (30 U.S.C. § 1257(b)(11) and 30 CFR 780.21(f) and 784.14(e)). The assessment must include a review of groundwater and surface-water quantity and quality, both on and off the mine site. Each permit application must include specific, detailed information concerning the hydrology and geology of the proposed permit and adjacent areas. Subsection 2.4.1 of Chapter 2 describes baseline data collection and analysis requirements under existing regulations.

The regulatory authority uses this assessment of the probable hydrologic consequences and other available information to prepare the cumulative hydrologic impact assessment and to determine if the permittee has designed the proposed operation appropriately to prevent material damage to the hydrologic balance (30 CFR 780.21 and 784.14). The regulatory authority cannot approve the permit application unless the applicant successfully shows that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area (30 U.S.C. § 1260(b)(3); 30 CFR 773.15(e)).

However, there are shortcomings in the current regulations implementing SMCRA. Insufficient baseline data can make it difficult or impossible for the regulatory authority to determine whether problems detected during and after mining are a result of the mining operation or are instead related to other sources. Although the regulations require baseline characterization they do not establish standard protocols for determining the placement and number of water sampling points. The regulations at 30 CFR 780.21(b) require water quality descriptions for pH, total iron, total manganese, and total dissolved solids or specific conductance, but they do not require monitoring of other constituents, such as selenium, that have also been scientifically linked to some coal mining activities. The existing SMCRA implementing regulations also do not expressly require baseline assessment of biological conditions in streams.

Although the statute and the regulations clearly prohibit material damage to the hydrologic balance outside the permit area, neither SMCRA nor the implementing regulations provide a definition of “material damage to the hydrologic balance outside the permit area.” Without a clear definition of this term, it is difficult for applicants to show that they have adequately designed their proposed mining operation to avoid damage, and the regulatory authority may have insufficient information to perform an objective review of the proposed design. The lack of a federal definition also contributes to variability among states, and even among permits, in what the regulatory authority might require of the applicant.

The lack of a federal definition for material damage to the hydrologic balance outside the permit area also complicates enforcement of permit conditions. SMCRA regulatory authorities have historically relied upon a qualitative approach when defining material damage to the hydrologic balance outside the permit area and have not specifically assigned numerical values to the point at which material damage to the hydrologic balance outside the permit area would occur. Absent a clearly defined threshold, it is difficult for operators to identify an impending problem and address it before damage occurs. It is also difficult for the regulatory authority to demonstrate that material damage to the hydrologic balance outside the permit area has occurred, or conversely that the operation is in fact in compliance or has been brought into compliance through the application of corrective measures.

Determining whether damage is occurring requires monitoring. Whereas baseline data provides a snapshot of conditions before mining, monitoring of conditions throughout the activity provides data on conditions resulting from the activity itself. There are gaps in OSMRE's current regulations regarding how the operator is to conduct the monitoring. As with the baseline data requirements, OSMRE's current regulations do not establish standard protocols for the number of water sampling points and they are not inclusive of all mining-related water quality concerns (see the list of analytes required above in the discussion of baseline data). For example, monitoring of selenium is not currently required (30 CFR 780.21(b)).

Vegetated buffer zones can slow overland water flow and allow sediment particles to settle out before they reach surface waters. SMCRA's implementing regulations at 30 CFR 816.57 and 817.57 require a 100-foot buffer along perennial and intermittent streams. However, the regulations allow the regulatory authority to grant an exception to this requirement, which they routinely do. The exception review and decision process is inconsistent among regulatory authorities.

4.2.1.2 Impacts of the No Action Alternative on Water Resources: Surface Water and Groundwater Effects

As explained in detail below, despite existing regulations, both surface and underground mining operations continue to produce adverse effects on the quality and quantity of surface water and groundwater outside the permit area. These effects are occurring for a variety of reasons related to the nature of the mining activity, the sensitivity of the resources, and the efficacy of current regulations.

4.2.1.2.1 Surface Water Effects

Both surface and underground mining operations have the potential to adversely affect surface water quantity and quality. These effects can be chemical (e.g., changes to the water chemistry and characteristics) or they can be physical (e.g., changes to the size, location, and flow characteristics). The effects are generally more pronounced in areas with a long history of mining, such as sites disturbed prior to the enactment of SMCRA in 1977, as compared to more current operations, as mining practices have improved over time.

However, as described in the studies presented below, mining under current regulations is continuing to result in physical and chemical effects on surface waters. Some effects of mining activities are unavoidable. For example, during the duration of the mining activity, vegetation is removed and surfaces remain exposed, topography is altered and surfaces are compacted, infiltration of rainwater and uptake of water into vegetation is reduced and consequently overland runoff of water is increased. The local

geology has a profound influence on the quantity and quality of surface water and groundwater. Mining activities break rock into smaller fragments, exposing previously unexposed minerals and increasing the amount of surface area available for weathering. As weathering commences, chemical constituents contained within the rock are released to the environment. In the mining environment, these constituents would be released into waters on the site, which would then make their way to water treatment structures, such as sedimentation ponds, before being discharged from the permit area. Despite treatment, discharge from sedimentation ponds can demonstrate elevated levels of total dissolved solids. Constituents also make their way into groundwater and then are discharged as groundwater baseflow into receiving streams.

4.2.1.2.1 Chemical Effects on Surface Waters

Under existing regulations, mining continues to affect downstream water chemistry. Studies have shown that mining-impacted waterways often contain elevated levels of arsenic, selenium, iron, aluminum, manganese, and sulfate. These waters typically have lower alkalinity concentrations and lower pH, while specific conductivity and total suspended solids are typically higher, as compared to streams unimpacted by mining (Wangness et al., 1981; Zuehls et al., 1984; Herlihy et al., 1990; Howard et al., 2001; Stauffer and Ferreri, 2002; Bryant et al., 2002; Hartman et al., 2005; Pond et al., 2008; Petty et al., 2010; U.S. EPA, 2011g; Presser, 2013; Skogerboe et al 1979).¹²

Acid mine drainage has historically been a primary concern associated with coal mining due to the effects of low pH on the viability of the system for aquatic life and human health (Dills and Rogers, 1974; Powell, 1988; Sams and Beer, 2000). The concern is relevant to mining nationwide, although contamination from acid mine drainage is more difficult to observe in western coal fields (e.g., Colorado Plateau, Northern Rocky Mountains and Great Plains), where the geology, soils and hydrology provide high buffering capacity (alkalinity), which neutralizes mine spoil and waste (Powell, 1988; Lowry et al., 1983).

Excess spoil fills constructed during large-scale mining operations in steep-slope areas may impact aquatic ecosystems by, among other things, increasing ion concentrations in receiving waters. These impacts may occur both during the mining activity and after reclamation. Palmer and Bernhardt (2009) found that streams impacted by valley fills often have 30- to 40-fold increases in sulfate concentrations and that sulfate concentrations in receiving waters continued to increase after mining activities ended. In addition, streams and rivers below valley fills receive elevated concentrations of calcium, magnesium,

¹² Despite more limited information on surface mining impacts in western streams than in eastern streams, some studies have shown evidence, as early as in the 1970's, that increased periods of flow in a stream adjacent to a mine site may be accompanied by a disproportionate increase in concentration of total dissolved solids. For example, Skogerboe et al 1979 found an increase in total dissolved solids that was attributed to weathering of exposed strata, which mobilizes calcium, magnesium, sodium, sulfate, and alkalinity constituents. At this site, spatial variations in the dissolved solids, specific conductivity, hardness, calcium, magnesium, sodium, potassium, sulfate, and chloride exhibit the same pattern as the stream progresses past the mine. Specifically, levels of these constituents are lowest at sites above the mine, increase to maxima at sites of maximum inflow from the mine, and decline at sites downstream of the mine. Seasonally, the highest impacts on the water quality occur during the spring snowmelt. Increases in manganese and selenium concentrations were detected in the recipient stream, however, remained below levels sufficient to impact biota. Surface water concentrations of other trace metals such as aluminum, arsenic, cadmium, copper, iron, lead, and zinc were not observed to be impacted by mining operations at this site (Skogerboe et al. 1979).

bicarbonate ions, and often trace metals, leading to elevated conductivity levels in these waterways. Conductivity levels in receiving streams below valley fills contribute to biological impairment of aquatic ecosystems (Palmer and Bernhardt, 2009). Biological impairment has been shown to occur in the form of, for example, shifts in the species diversity and reduced abundance and richness of *Ephemeroptera* taxa (Pond et al., 2008).

Direct impacts to streams from mining and reclamation activities also occur in association with the practice of mining through ephemeral, intermittent, and perennial streams. The impacts of large-scale mining operations upon the water quality of ephemeral, intermittent, and perennial streams in Central Appalachia are highlighted in Bernhardt and Palmer (2011). Research compiled in Bernhardt and Palmer (2011) demonstrated that multiple surface mines and valley fill activity within large watersheds resulted in increases in concentrations of sulfate, bicarbonate, calcium, and magnesium ions further downstream.

4.2.1.2.1.2 Physical Effects on Surface Waters

Physical effects on surface waters include all those effects that would change the size (width and or depth) and location of the surface water. These effects occur from mining activities that include mining through surface waters, placement of fill in surface waters to cross them with mining roads, and placement of spoil or refuse in surface waters. Each of these activities has difference consequences as discussed below.

Excess spoil placement into streams is allowable under longstanding interpretations of our current regulations and substantial effects of excess spoil generation on streams continue to occur, particularly in Appalachia. For example, a 2007, *Times West Virginian* article reported that surface mining permits issued between October 2001 and June 2005 affected approximately 535 miles of streams, including 367 miles of streams in the Appalachian coal fields. More specifically, the West Virginia Department of Environmental Protection completed a report titled; *Trends in Mining Fills and Associated Stream Loss in West Virginia 1984-2012*, in 2013 (Shank and Gebrelibanos, 2013). The authors of the report calculated stream loss due to spoil and refuse fill construction between 1984 and 2012. The analysis indicated the following:

- Completed or under construction fills included 1,932 spoil fills and 392 refuse fills;
- Fill acreage totaled 62,471 acres or approximately 97 square miles;
- Direct stream loss (under the fills) totaled 764.3 miles (297.5 miles of intermittent and 466.8 miles of perennial streams); and
- Indirect stream impacts above fills, including change in ecologic function, totaled 279.5 miles.

Activities that involve land disturbance, such as mining and reclamation, increase the risk of erosion and, therefore have the potential to affect the quantity of sediment that reaches waterways. Sediments are fragmented materials originating from the weathering and erosion of rocks or unconsolidated deposits, which are transported or deposited by or suspended in water. Sediments are a pollutant of waters because sediment particles can carry attached pollutants with them. They can also affect biological processes directly by burying or smothering aquatic organisms or their habitats, and reducing the amount of light available for photosynthesis or activities requiring visibility. Excessive sediment reduces stream depth, which increases water temperatures and reduces the dissolved oxygen content (Slagle et al., 1986).

An unintended consequence of the storage function provided by sediment ponds is that the impoundment of the waters affects the timing and volume of water received downstream from the pond; peaks and lows in the hydrograph are smoothed out due to the impoundment and controlled release of the water. This, in turn, affects the physical and biological characteristics downstream. Captured runoff released from impounding structures such as sediment ponds can be a source of downstream channel instability. The energy potential of the water that was once used to transport sediment is now available to erode the receiving channel (Leopold and Maddock, 1953; Smith et al, 2002). Limiting the frequency of flow and sediment delivered to streams below mined areas may initiate changes in channel form due to deposition of eroded sediment and mass wasting processes, altering the channel's capacity to convey flow and causing subsequent channel incision or widening.

When streams are filled for any reason, the water that once made its way to that stream will find a new pathway. Flooding or, conversely, water deprivation, scouring, and gullies are all possible consequences of poor water management. Additionally, changes in drainage divides, contributing area, and drainage density may affect how much runoff is contributed to the receiving stream system.

The quantity and rate of water flow are important hydrologic characteristics that help to determine the water that will be available to support aquatic life and other stream benefits. Mining activities have had documented impacts on hydrologic characteristics. Higher infiltration rates on mined areas increased stream baseflow. Further, increased storage capacity in replaced mine spoils reduced peak flow in streams receiving drainage from mine sites (Corbett and Agnew, 1968). Conversely, negative effects on streamflows have also been documented, particularly in the Appalachian Basin region. For example, there are documented cases of subsidence-induced stream dewatering caused by longwall mining operations in Pennsylvania and West Virginia (Wade, 2008; Rauch et al., 1984; Hobba, 1993; Stout, 2004). In some cases, the streamflow rebounded within months while other cases have shown the dewatering to persist for years.

Interpretation of the incremental impacts of the rule and Alternatives on stream fill, miles of mined through streams, and downstream stream degradation, would benefit from contextual information that describes impacts on streams from coal mining under the No Action Alternative. For instance, estimates of the total number of stream miles that are mined through, filled, and impaired annually by coal mining under current regulatory conditions would be helpful. While comprehensive contextual information is not generally available, the following studies and analytic observations provide some context:

- **Stream fills.** With respect to stream miles not filled (Table 4.2-10), five studies provide some context:
 - Shank (2010) and Shank and Gebrelibanos (2013) use GIS analysis to compile data on refuse fill in West Virginia between 1984 and 2012, and estimate linear stream loss due to fill construction over time. The more recent study estimated that 1,932 spoil fills and 392 refuse fills totaling 62,471 acres (97 square miles) were completed or under construction in West Virginia at the time. The fills resulted in a direct loss of 764.3 miles of perennial (466.8 miles) and intermittent (297.5 miles) streams from 1984 to 2012, which is an average fill rate of 28 miles per year. The study also documented a marked decrease in fill construction starting in approximately 2003. In 2012, stream miles filled decreased to approximately 18 miles in West Virginia for that year.

- The 2005 Mountaintop Mining EIS (U.S. EPA, 2005) included two studies that estimate the effect of mountaintop mining and valley fills in West Virginia, Kentucky, Tennessee, and Virginia. This first study estimated that between 1985 and 2001, 724 stream miles (1.2 percent of streams) were covered by valley fills (equating to 45 miles per year). This study, known as the fill inventory, includes a variety of information regarding valley fills constructed from 1985 to 2001, including the feet of stream under valley fill footprints. This study measured streams based on a synthetic stream network defined on a 30-acre watershed accumulation threshold over the National Elevation Dataset (NED). The NED for each state was processed to enforce hydrologic integrity. A flow accumulation grid was prepared and queried to define a drainage network over the entire region. The synthetic stream network represents all drainage for watersheds greater than 30 acres.
- The 2005 Mountaintop Mining EIS (U.S. EPA, 2005) also included a study that estimated impacts of mountaintop mining and valley fills between 1992 and 2002 of 1,200 stream miles (equating to approximately 110 per year), out of 58,998 streams in the study area. As with the previous study, this study also used GIS modeling of “synthetic streams” (in that they were not generated from existing maps, but instead were created by assuming that 30-acre areas generate a stream,) to estimate potential impacts. This estimate of filled or mined through streams represents 2.05 percent of the stream miles in the study area.
- In a 1998 study, U.S. FWS evaluated stream miles permitted or filled with excess spoil and other coal mining wastes in Kentucky, Pennsylvania, Virginia, and West Virginia between 1986 and 1998. This study found that at least 900 stream miles were permitted for filling in this time period (about 75 stream miles per year). The study did not evaluate actual stream miles filled, which are believed to be less than the number of miles permitted to be filled. Other uncertainties relating to the accuracy of this estimate are presented in study. Most notably, the study evaluated fills only for streams marked by USGS topographic maps as blue-line streams.

Additional information on surface water impacts is available from permit data provided by the U.S. Army Corps of Engineers (USACE). USACE issues nationwide permits (NWP) and individual permits (IP) to authorize certain activities under Section 404 of the Clean Water Act and Section 10 of the Rivers and Harbors Act. All IPs require application to USACE. In most cases, permittees may proceed with activities authorized by NWP without notifying USACE. However, the three NWP that pertain to coal mining activities, including number 21 for surface coal mining activities, number 49 for coal re-mining activities, and number 50 for underground coal mining activities, all require USACE to approve any impacts under the permits. As a result, USACE data on the permitting programs for these activities provide insight into the extent of planned and authorized impacts to wetlands and streams from coal mining activity.

USACE provided three years of data from the permitting program, covering the timeframe from the beginning of 2012 to the end of 2014 and showing authorized impacts for coal mining related activities under both NWP and IP in coal counties across the United States. Figures 4.2-1 and 4.2-2 below provide summarized information from this dataset. As the Exhibits demonstrate, the majority of permitted wetland and stream impacts occur within the Appalachian Basin, Illinois

Basin, and Gulf Coast regions. Without exception the data presented below are the impacts authorized through the permitting process, and are not a measurement of the actual extent of impacts that resulted from these operations. The actual extent of impacts may be less than the amount authorized for a number of reasons including the following: the operation may not have progressed to the phase of the operation where the impact will occur, the operation may have changed, or the extent of actual impacts may be less than originally predicted.

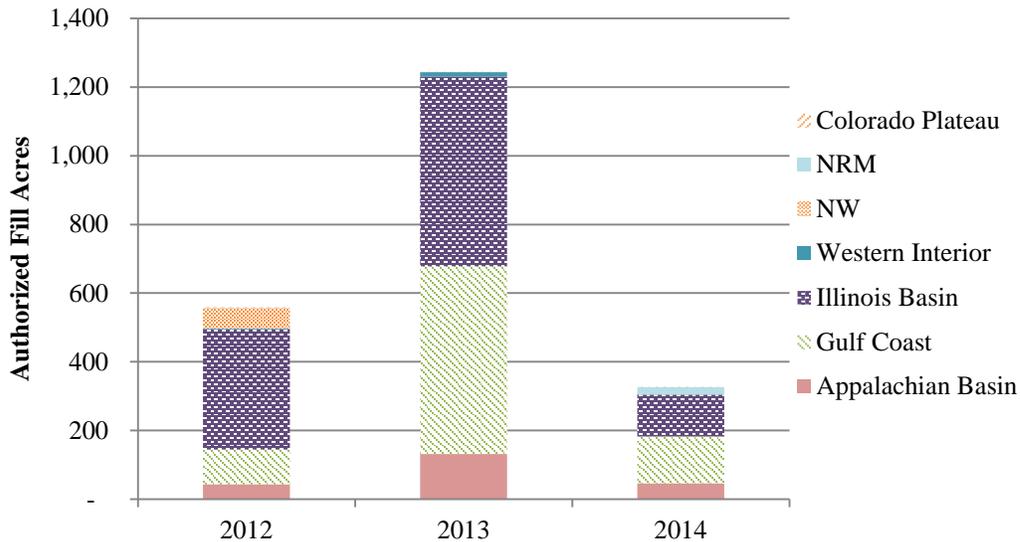
- **Mined through streams.** Few studies characterize the extent to which ephemeral streams are mined through currently, or under the No Action Alternative. Inputs used in the model mines analysis provide partial context to the estimated incremental impacts. For instance, a typical surface mine in the Illinois Basin is estimated to mine through and fill about nine miles of ephemeral stream. Likewise, a surface mine in the Northern Rocky Mountains and Great Plains region is estimated to fill nearly 35 miles of ephemeral streams (these assumptions are described in sections 4 and 5 in Appendix B of the RIA).
- **Streams degraded downstream of mining operations.** It is especially difficult to provide context to estimates of miles where water quality would be improved as a result of implementing rule Alternatives given the general nature of this indicator. Chapter 3 (Section 3.5) presents an overview of water resources in each of the coal-producing regions, including an analysis of the total miles of intermittent and perennial streams in each region. These figures suggest that the incremental downstream miles improved by the Action Alternatives represent a relatively small share of the overall water resources in affected regions. For instance, while several of the Alternatives could contribute to water quality improvements in roughly 171 stream miles in the Appalachian Basin, this can be compared to approximately 126,000 total stream miles in the region. A more focused point of comparison would be to examine the total stream miles degraded by coal mining activities. While state CWA section 303(d) water quality reports routinely identify coal mining as a pollution source, these data are not compiled at the regional level.

Figure 4.2-1. Historical USACE-Permitted Stream Impacts for Mining Activities by Region (2012-2014)



Source: USACE. (2014). Individual and Nationwide permit data under the Clean Water Act and Rivers and Harbors Act pertaining to coal mining activities, 2012 to 2014. Provided by USACE February 9, 2016.

Figure 4.2-2. Historical USACE-Permitted Wetland Impacts for Mining Activities by Region (2012-2014)



Source: USACE. (2014). Individual and Nationwide permit data under the Clean Water Act and Rivers and Harbors Act pertaining to coal mining activities, 2012 to 2014. Provided by USACE February 9, 2016.

4.2.1.2.2 Groundwater Effects

4.2.1.2.2.1 *Chemical Effects on Groundwater*

Mining can also affect groundwater. A USGS study (Eberle and Razem, 1985) investigating the effects of surface mining in small watersheds of the Allegheny Plateau in Ohio identified a change in upper aquifers associated with surface coal mining from calcium bicarbonate to calcium sulfate predominated water. Following reclamation dissolved sulfate, iron, and manganese in the upper aquifers generally exceeded U.S. and Ohio EPA drinking water standards. These water sources generally did not demonstrate these exceedances prior to reclamation. Middle and lower aquifers were generally not affected by surface mining. Another USGS study (Paybins et al., 2000) investigating groundwater water quality downgradient of reclaimed surface coal mines showed lowered pH and increased sulfate concentrations at sampling locations affected by mining. Paybins et al. (2000) showed higher sulfate concentrations in groundwater in shallow wells within 1,000 feet of reclaimed surface mines. This study also documented higher iron, manganese, and aluminum concentrations (1,800, 640, and 11 µg/L, respectively) within about 2,000 feet of reclaimed surface mines (Paybins et al., 2000). An additional USGS study focusing on groundwater resources in the Allegheny and Monongahela River Basins found groundwater in shallow private domestic wells near reclaimed surface coal mines had higher concentrations of sulfate, iron, and manganese compared to unmined areas, even after all mining and reclamation had been completed (Anderson et al., 2000).

4.2.1.2.2.2 *Physical Effects on Groundwater*

Mining activities can affect both the quantity and direction of groundwater flow. Water infiltration contributes to groundwater, and coal mining and reclamation activities can change overland flow and the amount of water that infiltrates the surface to ultimately recharge the groundwater system. Subsidence due to underground mining impacts the direction of groundwater flow as well because it changes the contour and infiltration capacity of the overlying surface (discussed in greater detail in the next section). According to the USGS Groundwater Atlas of the United States, HA 730-L (Trapp and Horn, 1997):

Underground mining of coal disturbs the natural groundwater flow system when the mines are active because artificial drains are constructed to dispose of unwanted water and mining activities can create new fractures and thus increase permeability. The regional water table can be lowered when the drains are effective and groundwater flow directions can be changed in some cases until flow moves across former groundwater divides into adjoining basins. Groundwater tends to flow toward mines, which are usually dewatered by pumping. Adverse effects of mine drainage on well yields are greatest where the mines are not much deeper than the bottoms of the wells and where vertical fractures connect the aquifers and the mines.

Overburden removal and coal excavation during surface coal mining results in a new groundwater static water level within the disturbance boundary. This consequently affects the hydraulic gradients surrounding the mined area, resulting in changes to direction of the groundwater flow. Although intact portions of the aquifer(s) may still exist beyond the extent of the coal removal area, water availability from within these aquifers will generally be reduced as the water flows towards the active pit in response to a lowering of hydraulic head values. As a result, water levels in existing wells installed in these aquifers may be lowered, potentially reducing the amount of water available for use (e.g., as drinking water) and the amount of water discharged downstream as baseflow.

Mines and preparation facilities may also need to use groundwater resources for their operations. Some mines must continuously pump water either from open pits or underground mine workings to facilitate mining operations. The interception of groundwater and continuous mine pumping lowers the surrounding groundwater table. The lowered groundwater table may affect springs, streams, or users of groundwater resources. In doing so, water levels in affected aquifers may be significantly lowered over long periods of time (OSMRE, 2007). These levels may recover over time once surface mining and reclamation activities are complete and the mine pits fill, saturating the backfilled spoil material.

Groundwater can also be affected when surface water is diverted underground through new fractures in underlying strata. Surface-water that flows into underground mine voids form mine pools, which are an underground accumulation of water where the water fills a void left after coal has been removed. Flooded mines can then induce artesian conditions where water from the flooded mine is higher (but still subsurface) than the surrounding materials that wells are drawing water from, creating a pressure situation where the water will be forced vertically upward in the well. This effect was seen at Spruce Laurel Fork, a perennial stream in Boone County, WV, which was adversely affected by both pre- and post-SMCRA underground mining operations, resulting in the formation of a mine pool. Downstream artesian effects on residential wells then occurred when pumping did not control the mine pool level (Galya, 2008).

4.2.1.2.3 Subsidence and Effects on Surface Water and Groundwater

Underground mining can have significant impacts upon surface waters and groundwater due to subsidence (downward vertical movement of the overlying land surface from the removal of underlying strata). With respect to surface hydrology, the major concern associated with subsidence is that it changes the shape of the overlying surface with commensurate impacts on surface-water flow and drainage. With respect to groundwater, the most common problem is dewatering aquifers above the mined-out coal seam, which most often affects the hydrologic balance outside the permit boundary by adversely impacting baseflow to intermittent and perennial streams. In addition, subsidence within the permit boundary can impact water-quality of the groundwater providing baseflow to the streams outside the permit boundary.

Several studies have documented subsidence-related impacts to hydrologic systems that continue to occur under our existing regulations. These studies are summarized below.

Subsidence from longwall mining continues to affect base flow in affected streams, despite the requirements contained in the current regulations. Carver and Rauch (1994) reported the following findings from a study looking at West Virginia streams affected by subsidence associated with longwall mining:

Subsidence from longwall mining typically reduced stream discharge for two to three years. Panels positioned beneath upland catchment areas and not under streams caused no apparent stream dewatering. ... Monitored stream reaches within the angle of draw zone of an adjacent panel did not normally become dewatered for panels older than 2.3 years. However, stream reaches in basins less than 200 acres in size often experienced dewatering for up to 3.1 years after undermining. ... After two to three years since mine subsidence occurred recovered streams displayed lower high base flow and higher low base flow discharge, or more uniform base-flow discharge, compared to unsubsided streams.

Subsidence impacts to hydrology are continuing to occur in other regions as well. The USGS conducted several studies describing the effects of longwall mining in Carbon and Emery Counties, Utah. The initial

study reported that subsidence had impacted the hydrologic system by loss of flow in reaches of perennial streams, and had increased dissolved solids content in streams and dewatering of the aquifer above the mine workings (Slaughter et al., 1995). The initial study also reported that there was not a clear relationship between mining subsidence and spring discharge. The follow-up study reported on hydrologic and water quality conditions thirteen years after longwall mining (Wilkowske et al., 2007). This study concluded that some of the previously reported impacts still remain, while others appear to have lessened. The persistent effects include increases in the dissolved solids and sulfate content in water samples, increased base flow, and a significant increase in spring discharge.

4.2.1.3 Action Alternatives and Potential Effects on Water Resources

As described in Chapter 2, the SPR Action Alternatives address multiple components of coal mining operations. Table 4.2-1 summarizes how specific SPR elements affect water resources relative to the No Action Alternative (Alternative 1). The comparison of each Action Alternative to the No Action Alternative determines whether and to what extent the Action Alternative creates beneficial or adverse effects on water resources. In general, the Action Alternatives have the potential to benefit water resources in one or more of the following ways:

- Reduce the miles of streams filled by coal mining activities or avoid impacts to streams;
- Increase the number of mined-through ephemeral streams that are restored.
- Improve surface- water quality downstream of coal mining activities; including improving the quality of interconnected surface waters within the watershed (i.e., lakes, ponds, wetlands).
- Preserve surface-water flow that would have been affected by mining activities;
- Increase restoration of streams; and
- Improve the quality and quantity of groundwater that may be affected by coal mining.

For each SPR element, Table 4.2-1 describes: how the requirements for that element vary by Alternative; the anticipated effect on water resources; and the explanation for the anticipated water resource impacts. We note that while the Action Alternatives include restoration to hydrologic form and function of intermittent and perennial streams, OSMRE was not able to identify a meaningful difference between how the Action Alternatives describe these activities and how they are currently being implemented under the No Action Alternative due to existing regulatory requirements, including requirements under the Clean Water Act. Thus, the “primary effects on water resources,” column of the table summarizes the impacts of the Action Alternatives with respect to water resources only where meaningful differences from the No Action Alternative are anticipated.

Alternative 9 considers a scenario in which the 2008 Stream Buffer Zone rule is repromulgated and fully implemented across the timeframe of this analysis. Engineering analysis of current coal industry practices finds that, during the period that the 2008 Stream Buffer Zone rule was in place, the permits issued in Appalachia changed in response to USACE, EPA, and state policies that are similar to the No Action Alternative. As a result, Alternative 9 is anticipated to have Negligible effects on water resources.

Table 4.2-1. Action Alternative Elements and Projected Effects on Water Resources

Action Alternative Element	Treatment in Action Alternatives¹	Primary Effects on Water Resources in Comparison to the No Action Alternative	Explanation
Baseline Data Collection and Analysis	<p>Alternatives 2, 3, 4, 5, and 8 (Preferred) require expanded data collection and analysis. Alternative 2 requires greater monitoring frequency of stream flow, groundwater levels, and rainfall using continuous recording devices. Alternatives 6 and 7 are similar to Alternative 2 with respect to baseline data collection. Alternatives 5, 6, and 7 are limited to specific scenarios. Alternative 9 is the same as the No Action Alternative.</p>	<p>Improve surface-water and groundwater quality Preserve surface-water flow and groundwater quantity Improve characterization of potential impacts to water resources</p>	<p>Enhanced baseline characterization of surface water and groundwater provide a better understanding of the premining hydrologic regime by 1) improving the probable hydrologic consequences determination and the cumulative hydrologic impact assessment; 2) extending the scope of water monitoring parameters to ensure impacts are consistently documented in SMCRA water quality monitoring programs Enhanced baseline monitoring results in a better understanding of the premining hydrology which allows the regulatory authority to ensure that the mining operations are designed to minimize or prevent impacts to water resources</p>
Monitoring During Mining and Reclamation	<p>Alternatives 2, 3, 4, 5, and 8 (Preferred) require expanded data collection and analysis. Alternative 2 requires greater monitoring frequency of stream flow, groundwater levels, and rainfall using continuous recording devices. Alternatives 6 and 7 are similar to Alternative 2 with respect to monitoring. Alternatives 5, 6, and 7 are limited to specific scenarios. Alternative 9 is the same as the No Action Alternative.</p>	<p>Improve surface-water and groundwater quality Preserve surface-water flow and groundwater quantity Improve documentation of impacts to water resources</p>	<p>Enhanced monitoring of surface water and groundwater quantity and quality during mining and reclamation operations allows operators to detect adverse impacts more readily before they cause material damage to the hydrologic balance outside the permit area. Enhanced monitoring extends the scope of water monitoring parameters to ensure impacts which occurred but were not documented under the No Action Alternative SMCRA water monitoring programs do not occur in the future.</p>
Definition of Material Damage to the Hydrologic Balance	<p>Alternatives 2, 3, 4, and 8 (Preferred) include a definition of material damage to the hydrologic balance outside the permit area. Alternatives 5, 6, 7, and 9 are the same as the No Action Alternative. Alternative 7 requires the regulatory authority to determine material damage to the hydrologic balance outside the permit area under enhanced permitting conditions.</p>	<p>Avoid impacts to surface-water and groundwater quality outside the permit areas of coal mines Avoid impacts to surface-water flow and groundwater quantity outside the permit areas of the coal mines</p>	<p>Establishing a definition for material damage to the hydrologic balance outside the permit area should improve protection of perennial and intermittent streams and groundwater outside the permit area and provide an early warning system to prevent adverse impacts from developing to the point that they cause material damage to the hydrologic balance outside the permit area.</p>
Evaluation Thresholds	<p>Alternatives 2, 3, 4, 7 and 8 (Preferred) require evaluation thresholds. Alternatives 5, 6 and 9 are same as the No Action Alternative. Alternative 7 is limited to specific scenarios.</p>	<p>Improve surface - water and groundwater quality Preserve surface-water flow and groundwater quantity</p>	<p>Establishing evaluation thresholds should improve protection of surface water and groundwater outside the permit area, by providing an objective early warning system that could prevent adverse impacts from developing to the point that they cause material damage to the hydrologic balance outside the permit area.</p>
Activities In or Near Streams, Including Excess Spoil	<p>All Action Alternatives require changes to fill placement and design to varying degrees. Alternative 9 is not expected to</p>	<p>Reduce miles of filled streams Improve surface-water and</p>	<p>Limiting activities in or near intermittent and perennial streams should minimize the number and length of intermittent and perennial stream segments disturbed by mining, minimize</p>

Action Alternative Element	Treatment in Action Alternatives¹	Primary Effects on Water Resources in Comparison to the No Action Alternative	Explanation
and Coal Refuse	lead to changes in mining operations.	groundwater quality	disturbance and adverse impacts to perennial and intermittent stream segments of high environmental value, protect surface and groundwater quality, and ensure that operations promote enhancement of fish, wildlife, and related environmental values wherever and whenever practicable.
Mining through Streams	All Action Alternatives (excluding Alternative 9) require restoration of hydrologic form and ecological function for intermittent and perennial streams and hydrologic form for ephemeral streams that are mined-through. ² Alternative 9 is not expected to lead to changes in mining operations.	Restore additional ephemeral streams Improve surface-water and groundwater quality Preserve surface-water flow and groundwater quantity	Potential for increase in miles of intermittent and perennial streams with restored hydrologic form and ecological function (not generally anticipated due to change activities anticipated under the No Action Alternative); increase in miles of ephemeral streams restored to hydrologic form after being mined through.
Approximate Original Contour (AOC) Variances	Alternative 2 prohibits AOC variances Alternatives 3, 4, and 5, require that the permittee demonstrate that watershed would be improved by the mining when compared with the condition of the watershed before mining <i>and</i> with its condition if the AOC were to be restored. Alternative 8 (Preferred) requires the permittee to demonstrate one or the other of these two conditions. Alternatives 3, 4, 5, and 8 (Preferred) prohibit approval of an AOC variance if it would result in placement of excess spoil in intermittent and perennial streams. Alternatives 6, 7, and 9 are unchanged from the No Action Alternative.	Reduce streams filled Improve surface-water and groundwater quality Preserve surface-water flow and groundwater quantity	Specific requirements for AOC restoration should result in a surface configuration that more closely resembles and functions like the premining landforms, with convex and concave patterns, and ephemeral channels. Reduce the number and length of intermittent and perennial streams reaches filled with excess spoil.
Surface Configuration and Fills	All Alternatives except Alternatives 6 and 9 require changes to surface configuration and fills to varying degrees. Alternatives 6 and 9 are unchanged from the No Action Alternative.	Reduce streams filled Improve surface-water and groundwater quality	These specific requirements should more completely implement the statutory requirement that the surface configuration of the reclaimed area closely resemble the general surface configuration of the land prior to mining, so that the reclaimed land functions as it did before mining and does not discharge substances that cause material damage to the hydrologic balance outside the permit area. Changes in fill practices are designed to reduce the miles of filled streams.
Revegetation, Topsoil Management, and Reforestation	All Action Alternatives except Alternatives 6 and 9 require changes to revegetation, topsoil management, and reforestation. Alternatives 6 and 9 are unchanged from the No Action Alternative.	Improve surface-water and groundwater quality	Improved revegetation and increased reforestation requirements improve the ability of the postmining landscape to filter pollutants from runoff as water travels across the landscape to receiving surface waters.

Action Alternative Element	Treatment in Action Alternatives¹	Primary Effects on Water Resources in Comparison to the No Action Alternative	Explanation
Fish and Wildlife Protection and Enhancement	All Action Alternatives, except Alternative 9, require varying protections of streamside vegetative corridors and fish and wildlife.	Improve surface-water quality	Improved ability of the postmining landscape to filter pollutants from runoff as water travels across the landscape to receiving streams because of the enhanced streamside vegetative corridors. Improved surface-water quality and more abundant streamside areas would improve habitat and contribute to survival and abundance of fish and wildlife.

Notes: In addition to the effects discussed above, elements that together or separately have the collective effect of reducing coal mining may also result in the avoidance of adverse downstream impacts of coal mining to streams.

¹Chapter 2 includes a more complete description of the specific differences in rule elements across the No Action and Action Alternatives. Key points include the following: Alternative 5 applies only to operations that dispose of excess spoil or coal mine waste; Alternative 6 applies only to operations within 100 feet of intermittent or perennial streams; Alternative 7 applies only to operations where conditions warrant enhanced permitting requirements.

² While the Action Alternatives additionally specify that mined-through intermittent and perennial streams be restored to form and function, this is also required under the No Action Alternative due to Clean Water Act requirements. This analysis accordingly does not assume restoration of intermittent and perennial streams as a benefit of the Action Alternatives.

Some of the rule elements in Table 4.2-1 would have indirect implications for surface water and groundwater quality that may not be readily measurable or quantifiable. For example, Action Alternatives that require expanded baseline monitoring would help establish a surface water and groundwater baseline that industry and regulatory authorities can use to better assess impacts of mining and the effectiveness of the reclamation. Expanded monitoring programs would also incorporate new pollutants and water quality indicators not previously tracked consistently from mine to mine. This would ensure that impacts from water quality parameters which are not included consistently under SMCRA water monitoring programs throughout the country would be documented in the future. Water quality parameters monitored under approved SMCRA water monitoring programs may be vastly different from mine to mine and state to state due to various reasons. Depending on the quality of the overburden, coal seams, and interburden, different pollutants of concern may be identified for each unique coal mining region or on a mine specific basis. However, some state SMCRA programs have outdated guidance documents dictating the terms of water monitoring programs which do not adequately capture all the possible pollutants resulting from coal mining operations in their areas or at specific mines. In other cases mine operators may submit acid/toxic forming materials testing reports for coal mining permits which do not adequately identify all possible pollutants in the coal seams, overburden, and interburden (between coal seams) which could impact water quality. Expanded water quality monitoring would increase the consistency of SMCRA water monitoring programs and ensure that all impacts occurring or likely to occur are identified and addressed.

Alternative 2 would require more frequent monitoring, which may increase the likelihood that water quality problems are detected early, when more effective and less costly corrective measures are possible. Finally, improved monitoring may enhance the ability to prevent unintended adverse impacts to surface water and groundwater. For example, increased groundwater monitoring may detect changes in groundwater levels, allowing appropriate action to decrease the risk of dewatering the aquifer, and avoiding reduced baseflow to surface waters. Although these types of impacts are indirect and site-

specific, they have the potential to provide additional impacts to the more readily quantifiable impacts of elements such as riparian buffers.

The remainder of this section focuses on the expected improvements to water resources described in Table 4.2-1. As described in Table 4.2-1, the effects of the Action Alternatives on water resources fall under five categories: 1) reduce stream fills; 2) improve surface-water quality; 3) preserve surface-water flow; 4) improve groundwater quality and quantity; and 5) increase stream restoration.

4.2.1.3.1 Reduce Miles of Filled Streams

The Action Alternatives have the potential to reduce the miles of filled streams from surface and underground mining activities. Table 4.2-2 identifies the rule elements that relate to stream miles filled relative to the No Action Alternative. In general, the Action Alternatives would restrict fill amounts or the type of fills allowed to varying degrees. All the Action Alternatives require the minimization of excess spoil volume and, except for Alternative 9, the Action Alternatives would prohibit end-dumping techniques in constructing durable rock fills. Alternative 2, 4, 5, 6, 7, and 8 (Preferred) prohibit flat decks on top of excess spoil fills, which are allowed under the No Action Alternative and Alternatives 3 and 9. Alternative 2 would prohibit fills within 100 feet of intermittent and perennial streams. The other Action Alternatives would impose differing restrictions on fills in these areas.

Table 4.2-2. Action Alternatives with Elements that Related to Miles of Filled Streams

Alternative	Contains Proposed Limitation On Activities in or Near Streams	Contains Proposed Requirements for Excess Spoil or Fills
2	●	●
3	●	●
4	●	●
5	●	●
6	●	●
7	●	●
8 (Preferred)	●	●
9	●	●

4.2.1.3.2 Improve Surface Water Quality

The Action Alternatives (excluding Alternative 9) are expected to generate improvements to downstream water quality as compared to the No Action Alternative. Improvements to surface water quality are not limited to onsite streams, but persist downstream of the mine sites as the water flows through and off of the mine. The primary rule elements expected to generate improvements in downstream water quality for each Action Alternative are identified in Table 4.2-3. In general, Alternatives 2, 3, 4, and 8 (Preferred) would provide similar protections to downstream water quality by increasing the amount of monitoring required, defining material damage to the hydrologic balance, and establishing evaluation thresholds to

determine when preventative actions are required to avoid material damage to the hydrologic balance. Increased monitoring would provide better information for early identification of water quality impacts. Alternative 2 would require more frequent monitoring than the other Action Alternatives, possibly allowing earlier detection of water quality degradation.¹³ Defining material damage to the hydrologic balance, and establishing evaluation thresholds to determine when preventative actions are required to avoid material damage to the hydrologic balance, sets protective limits on downstream water quality and creates a mechanism for correcting problems before damage has occurred, which would improve downstream water quality. Alternative 7 includes no standard material damage to the hydrologic balance definition but defines material damage to the hydrologic balance on a permit-specific basis. Alternative 8 (Preferred) includes similar provisions as 2, 3, and 4.

Riparian buffers would also benefit surface water quality as riparian vegetation decreases flow velocity, limiting the mobility of sediment to receiving surface water bodies. One recent study in the Illinois Basin documents the effectiveness of riparian buffers in reducing water quality impacts from coal mining (Willard et al., 2013). The Alternatives differ with respect to the size of the riparian buffers prescribed and the type of streams where streamside vegetative corridors are required. Specifically, Alternatives 2, 5, 6, 7, and 8 (Preferred) specify a 100-foot streamside vegetative corridor for all streams, whereas Alternatives 3 and 4 specify a larger streamside vegetative corridor (300 feet) for a narrower set of streams (only intermittent and perennial, but not ephemeral streams). The wider stream corridor under Alternatives 3 and 4 would provide increased protection against sediment and chemical runoff to streams, but ephemeral streams would have no buffer-based protections.

Additionally, for approximate original contour (AOC) exceptions for mountaintop removal operations, Alternatives 3, 4, and 5 (Alternative 5 only applies to operations that dispose of excess spoil or coal mine waste) would generate improvements to surface-water quality by requiring a demonstration of (1) no damage to natural watercourses within the proposed permit and adjacent areas; (2) no increase in parameters of concern in discharges to surface water or ground water; (3) no change in size or frequency of peak flow as compared to what would occur if the operator returned the site to AOC; and (4) no variance in total flow volume during any season of the year. Similarly, for steep-slope operations, these Alternatives would require demonstration that AOC variances would improve surface water flow and limit aquatic ecological impacts. Alternative 2 would prohibit all variances from the requirements to return the mined area to its AOC. This should ensure that postmine surface configuration resembles and functions more like the premining landforms, reducing stream fills, improving stream-water and groundwater quality, and preserving streamflow and groundwater-flow quantity

Alternatives 5, 6, and 7 would not require all of the elements described above and would apply to fewer mining operations. Alternative 5 applies to limited areas of steep-slope mining with excess spoils and does not contain either a definition of “material damage to the hydrologic balance outside the permit area”

¹³ Peer reviewers noted that increased monitoring may not translate directly into better environmental protection if regulatory authorities are not sufficiently staffed to handle the added data review workload. Reviewers noted that some kinds of pollution (e.g., storm-related runoff events) that could be missed by monitoring are better addressed preventatively, i.e., through carefully prepared and implemented reclamation plans. Communication from Jack Nawrot, “OSMRE Proposed Stream Rules: Comments – Water and Biological Resources.” Potential additional burden on the regulatory authorities of compliance with Alternatives is discussed in section 4.1.

or evaluation thresholds. Alternative 7 applies only when enhanced permitting conditions exist, as described in Chapter 2, and does not contain either a definition of “material damage to the hydrologic balance outside the permit area” or additional surface-water protections for AOC exceptions. Alternative 6 provisions apply only to activities inside stream buffer zones, does not contain a definition of “material damage to the hydrologic balance outside the permit area,” evaluation thresholds or additional surface water protections for AOC exceptions. Consequently, these three Action Alternatives provide a lesser benefit to downstream water quality than Alternatives 2, 3, 4, and 8 (Preferred).

Table 4.2-3. Elements Benefiting Downstream Water Quality

Alternative	Additional Monitoring	Definition of Material Damage to the Hydrologic Balance	Evaluation Thresholds	Streamside vegetative corridor for Ephemeral, intermittent, and perennial Streams (100 feet on either side of streams)	Riparian Buffer for intermittent and perennial Streams (300 feet on either side of streams)	Additional Surface Water Protections in Issuing AOC Variances
2	●	●	●	●		(prohibits AOC variances)
3	●	●	●		●	●
4	●	●	●		●	●
5	●			●		●
6	●			●		
7	●		●	●		
8 (Preferred)	●	●	●	●		●
9						

Other rule elements may contribute indirectly to improvements in downstream water quality by reducing negative effects of mining activities on water resources on the mine site. These include reduced stream filling on mine sites and improved postmining reforestation and revegetation practices. For example, Alternatives 2 through 8 (Preferred) would reduce filling of streams from surface mines. In addition, all Action Alternatives, except Alternative 6 and 9, would improve revegetation, topsoil management, and reforestation practices, changes which benefit water quality through reduced sedimentation.

While not attributable to a particular element, the Action Alternatives (excluding Alternative 9) would collectively yield slight changes in the amount of coal produced in particular coal regions, as described in Section 4.1. Decreases in coal production in a given region would reduce the effects of coal mining on downstream water quality.¹⁴ The impacts of reduced mining activity would reduce effects on water

¹⁴ Note that reduced mining impacts resulting from decreased coal production are realized only during the study period of this analysis. Ultimately, market forces will lead to the extraction of all minable coal resources, even if new environmental requirements are imposed.

quality across all relevant mining processes. In addition, some Alternatives could lead to a reduction in the size of the disturbed area in surface mines, further preserving water quality in the relevant regions. The quantitative analysis discussed later in this section estimates the miles of streams where water quality would be preserved (i.e., adverse effects avoided) due to the reduction in intensity of coal mining activity under each Alternative.

Under each Alternative, water quality in receiving water bodies, such as lakes, ponds, and wetlands, is expected to vary in a manner consistent with changes in connected water resources. For instance, if a stream experiences improved water quality, the pond into which it feeds may experience improved water quality (all else equal). The magnitude of the improvement is uncertain as it depends on many other factors, such as the size of the pond, the rate of inflow and outflow, and other factors.

4.2.1.3.3 Preserve Stream Flow

Multiple rule elements contribute to the Action Alternatives' focus on preserving stream flow, as described in Table 4.2-4, which in turn should protect regional groundwater levels due to the hydrologic connectivity between surface and groundwater. Additional monitoring related to surface water flow and groundwater levels is required under Alternatives 2, 4, 6, and 8 (Preferred) and also to 7 (when enhanced permitting requirements apply); this monitoring enables early identification of impacts of the mining activity on hydrologic conditions. Documentation of stream hydrologic form and function is not required under the No Action Alternative, but it is required under all Action Alternatives (with the exception of Alternative 9). This reflects the additional focus of the Action Alternatives on addressing effects of mining on stream flow and hydrology.

Alternatives 2, 3, 4, and 8 (Preferred) additionally require defining material damage to the hydrologic balance outside the permit area. By directing regulatory authorities to demonstrate that the operation has prevented material damage to the hydrologic balance outside the permit area would enhance efforts to preserve stream flow. Alternatives 2, 3, 4, 7, and 8 (Preferred) additionally require that regulatory authorities develop evaluation thresholds that are less than the thresholds the regulatory authority developed for material damage to the hydrologic balance outside the permit area. This would help to ensure that mining operations avoid material damage to the hydrologic balance outside the permit area and further serves to preserve stream flow.

The Action Alternatives would additionally serve to protect stream flow by imposing new requirements for restoration to form of mined-through ephemeral streams (as described below). In addition, Alternatives 2, 3, 4, 5, and 8 (Preferred) would all limit the extent with which AOC variances will be approved. Alternative 2 would prohibit AOC variances altogether, whereas Alternatives 3, 4, 5, and 8 (Preferred) would require a permit applicant to demonstrate improvement in the condition of the watershed given restoration of the AOC. The purpose of these requirements would be to minimize effects of mining on surface water flows.

In summary, Alternative 2 is the most protective of surface water flows as it is associated with the greatest levels of additional monitoring and documentation, establishment of evaluation thresholds, restoration to hydrologic form of mined through ephemeral streams, and prohibitions on AOC variances. Alternative 4 is similarly protective while allowing the possibility of some AOC variances. Alternative 3 is similar to Alternative 4 without the additional monitoring requirements for stream flow and

groundwater levels. Next most protective is Alternative 8 (Preferred). Alternatives 5, 6, and 7 are less protective of stream flow and Alternative 9 does not include additional protections beyond the No Action Alternative.

Table 4.2-4. Elements Benefiting Surface Water Flows

Alternative	Additional Monitoring	Documentation of Hydrologic Form and Function	Definition of Material Damage to the Hydrologic Balance	Evaluation Thresholds	Mined Through Streams	AOC Variances
2	●	●	●	●	●	(prohibits AOC variances)
3		●	●	●	●	●
4	●	●	●	●	●	●
5		●			●	●
6	●	●			●	
7	●	●		●	●	
8 (Preferred)	●	●	●	●	●	●
9						

4.2.1.3.4 Increase Stream Restoration

The No Action Alternative requires restoration of intermittent and perennial streams that are mined through, predominantly as a result of existing CWA requirements. In general, mining is allowed through intermittent and perennial streams upon demonstration by the applicant that the reclamation plan would achieve restoration in accordance with standards established by the CWA permitting authority. As such, this analysis finds that the Action Alternatives would not generally affect the manner in which restoration would have occurred under the No Action Alternative for these intermittent and perennial streams.

The Action Alternatives do, however, all include requirements for restoration of the hydrologic form of mined-through ephemeral streams, which would not be expected to be universally practiced under the No Action Alternative. Specifically, Alternatives 2 and 4 would require restoration of all mined-through ephemeral streams in form. Alternatives 3, 5, and 6 would require restoration of mined-through ephemeral streams to form as well, but with the added stipulation that this applies only to the extent required by geomorphic reclamation. Alternative 8 (Preferred) would also require restoration of mined-through ephemeral streams to form but not using geomorphic reclamation.

4.2.1.3.5 Improve Groundwater Quality and Quantity

The Action Alternatives (excluding Alternative 9) would generate improvements to groundwater quality and/or quantity as compared to the No Action Alternative. The relevant rule elements of each Action Alternative are identified in Table 4.2-5.

In general, Alternatives 2, 3, 4, and 8 (Preferred) would provide similar protections to groundwater quality and quantity by: (1) increasing monitoring requirements in order to detect material damage to the hydrologic balance outside the permit area; (2) requiring a definition of when material damage to the hydrologic balance outside the permit area occurs; and (3) establishing evaluation thresholds to determine when an evaluation would be undertaken to determine if action is needed to avoid material damage to the hydrologic balance outside the permit area. Alternative 7 defines material damage to the hydrologic balance outside the permit area on a case-by-case basis whenever enhanced permitting conditions (e.g., the presence of unique hydrologic environments) exist. Alternatives 5 and 6 require additional monitoring but do not require a definition of material damage to the hydrologic balance or establish evaluation thresholds. As a result, Alternatives 5, 6, and 7 present less potential for alerting regulators to groundwater quality and quantity issues and contain less clear standards for restoring groundwater quality.

Additionally, Alternatives 3, 4, 5, and 8 (Preferred) would improve groundwater quality through AOC variance conditions. Specifically, for AOC variances for mountaintop removal operations, Alternatives 3, 4, 5, and 8 (Preferred) would require a demonstration of no increase in parameters of concern in discharges to groundwater. Alternative 2 disallows AOC variances altogether. As a result of more frequent monitoring and the ban on AOC variances, Alternative 2 is anticipated to generate the greatest improvements to groundwater quantity and quality.

Alternatives 5, 6, and 7 would not include blanket provisions for material damage to the hydrologic balance outside the permit area definition and evaluation thresholds, but would pertain to a more specialized segment of mining activity: Alternative 5 applies only to operations that dispose of excess spoil or coal mine waste; Alternative 6 applies only to operations within 100 feet of intermittent or perennial streams; Alternative 7 applies only to operations where conditions warrant enhanced permitting requirements (e.g., steep slope areas, and riparian areas). These Action Alternatives, therefore, would provide a lesser benefit to groundwater than Alternatives 2, 3, 4 and 8 (Preferred) because of more limited application and fewer concrete standards against which to judge compliance with permit conditions. Alternative 9 has provisions similar to the No Action Alternative, so it would likely provide no incremental benefit to groundwater.

Table 4.2-5. Elements Benefitting Groundwater Quality or Quantity

Alternative	Additional Monitoring	Definition of Material Damage to the Hydrologic Balance	Evaluation Thresholds	Additional Groundwater Quality Protections in AOC Variances
2	●	●	●	(prohibits AOC variances)
3	●	●	●	●
4	●	●	●	●
5	●			●
6	●			
7	●		●	
8 (Preferred)	●	●	●	●
9				

Other rule elements may also contribute indirectly to improvements in or preservation of groundwater quality or quantity by reducing negative effects of mining activities on water resources on the mine site. These include elements that reduce filling of streams on the mine site and improve postmining reforestation and revegetation practices.

4.2.1.4 Analytic Methods for Surface Water Resources

This section describes the methods used to characterize the impact of the Action Alternatives on surface water resources. Overall, the approach involves quantifying the linear extent of streams (measured in stream miles) affected within each region under each Action Alternative. The quantified factors include:

- **Reduction in streams filled.** These are streams that would have been filled under the No Action Alternative, but are not filled due to the implementation of the Action Alternative.
- **Increased restoration of ephemeral streams that are mined through.** These are streams that would have been mined through and not restored under the No Action Alternative, but are restored due to the implementation of the Action Alternative. Because intermittent and perennial streams are generally already restored under the No Action Alternative, this metric applies to ephemeral streams.
- **Stream miles downstream of mine sites experiencing improved water quality.** These are streams that would have been impaired due to mining activities under the No Action Alternative, but would have less impairment due to implementation of the Action Alternative.
- **Stream miles that are preserved from adverse effects of mining.** These are streams that would have been impaired due to mining activities, but does not experience water quality impacts due to reduced mining activity associated with the Action Alternative.

Table 4.2-6 describes the steps involved in estimating the impacts of the Alternatives on each of these quantified factors. The subsequent text describes the methods in greater detail.

4.2.1.4.1 Reduction in Miles of Streams Filled and Increased Restoration of Ephemeral Streams

As described in Table 4.2-6, the method to quantify the reduction in stream miles filled and in ephemeral stream miles restored is a direct extrapolation from the model mine analysis described in Section 4.1. That is, the model mine analysis determines how mines in each coal region would implement the Action Alternatives, and how these practices would affect stream fill and stream restoration. As such, for each model mine and for each Alternative, engineers altered the design in order to take into consideration each Alternative requirement. In most cases, the revised mine designs did not show changes in the lengths of intermittent or perennial streams filled as a result of existing baseline requirements. However, the Action Alternatives resulted in a reduction in the number of ephemeral streams filled in many areas compared to the No Action Alternative because existing requirements were found to be generally less protective of ephemeral streams.

To quantify the broader benefits of the Action Alternatives, the analysis translates the reduction in streams filled and the increase in stream miles restored into an average change in impacts per ton of coal that would be produced for each modeled mines in each region. Then the analysis applies this multiplier (streams filled per ton of coal produced) to the estimated production (tons of coal produced) in each region under each Alternative.

Table 4.2-6. Methods for Quantification of Benefits to Water Resources

Step	Reductions in Miles of Perennial, Intermittent, and Ephemeral Streams Filled	Additional Miles of Ephemeral Streams Restored	Perennial and Intermittent Stream Miles Downstream of Mine Sites Experiencing Improved Water Quality	Perennial and Intermittent Stream Miles Downstream of Mine Sites that are Preserved from Adverse Effects of Mining
1	For each Alternative, including No Action, determine number of stream miles filled by region based on conditions at the “typical mine”	For each Alternative, including No Action, determine number of ephemeral stream miles restored by region based on conditions at the “typical mine”	Based on scientific literature, determine how far downstream of a mine site negative effects of coal mining persist. Limited data require use of a national average rather than mine-specific figures.	Analyze, by region and mine type (i.e., surface versus underground), the number of streams that flow off of a mine site, on average
2	For each Alternative, convert to impact per million tons of coal produced by region/mine type, i.e., divide “typical mine” miles of streams filled by total “typical mine” coal production	For each Alternative, convert to impact per million tons of coal produced by region/mine type, i.e., divide “typical mine” miles of ephemeral streams restored by total “typical mine” coal production.	Analyze, by region and mine type (i.e., surface versus underground), the number of streams that flow off of a mine site, on average	Determine how far downstream of a mine site negative effects of coal mining persist, on average
3	For each Alternative, multiply the figure on stream miles filled per million tons (Step 2) by total regional coal production in each year of analysis	For each Alternative, multiply the estimated stream miles restored per million tons (Step 2) by total regional coal production in each year of analysis	Multiply the number of streams crossing the mines (Step 2) by the average extent of downstream water quality effects (Step 1) to estimate the “typical mine” downstream miles affected	Multiply the number of streams crossing the mines (Step 2) by the average extent of downstream water quality effects (Step 1) to estimate the “typical mine” downstream miles affected
4	For each Alternative, sum miles of stream filled across the 21-year time frame	For each Alternative, sum miles of ephemeral streams restored across the 21 year time frame	For each Alternative, convert to impact per million tons of coal produced by region/mine type, i.e., divide “typical mine” downstream miles affected by total “typical mine” coal production	For each Alternative, convert to impact per million tons of coal produced by region/mine type, i.e., divide “typical mine” downstream miles affected by total “typical mine” coal production
5	For each Alternative, estimate average annual stream miles filled, i.e., divide total stream miles filled by years in study period	For each Alternative, estimate average annual ephemeral stream miles restored, i.e., divide total ephemeral stream miles restored by years in study period	For each Alternative, multiply the downstream miles affected per million tons by the expected coal production for the relevant mine type/region for each year in the study period	For each Alternative, multiply the downstream miles affected per million tons by the expected coal production for the relevant mine type/region for each year in the study period

6	Estimate benefit of Action Alternatives by subtracting Action Alternative annual average miles from No Action Alternative annual average miles	Estimate benefit of Action Alternatives by subtracting No Action Alternative annual average from Action Alternative annual average	For each Alternative, sum downstream miles affected across the study period	For each Alternative, sum downstream miles affected across the study period
7			For each Alternative, estimate average annual downstream miles affected by dividing total downstream miles affected (Step 6) by years in study period	For each Alternative, estimate average annual downstream miles affected by dividing total downstream miles affected (Step 6) by years in study period
8			For each Action Alternative, total downstream miles improved is equal to the downstream miles affected (i.e., water quality in these streams is improved as compared to the No Action Alternative)	Estimate benefit of Action Alternatives by subtracting Action Alternative annual average miles from No Action Alternative annual average miles

4.2.1.4.2 Stream Miles Downstream of Mine Sites Experiencing Water Quality Improvements

The analysis uses the following method to estimate the number of improved stream miles downstream of mine sites. First, the analysis incorporates findings from the scientific literature to estimate how far downstream of a mine site negative effects of coal mining persist. The scientific literature addressing effects of coal mining on water resources primarily focuses on how coal mining affects surface water quality, as summarized in Table 4.2-7. As described below, the studies find evidence of elevated levels of arsenic, selenium, iron, aluminum, sulfate, and manganese, as well as increased acidity and elevated conductivity in downstream waters from coal mining sites, and demonstrate the need for additional regulation focused on reducing these impairments.

The history and extent of mining in the Appalachian Basin makes it the subject in the majority of the water quality studies (e.g., Lindberg et al., 2011, Merriam et al., 2011, Petty et al., 2010, Pond et al., 2008, Fulk et al., 2003). In addition, authors have also noted that due to the arid climate and high mineralization of surface water, analyses of this type are more difficult in western regions (Powell, 1988). In general, these studies describe coal mining’s effects on stream quality but do not specify the particular management practices at mine operations (e.g., blasting, spoil movement, coal stockpiling, reclamation practices, etc.) that generate the adverse effects. As such, the studies do not support an explicit analysis of the Action Alternative elements’ impact on downstream water quality as the effects are significantly variable and site-specific. Data are also currently insufficient to develop a model that forecasts a specific level of water quality improvement expected from the Action Alternatives (e.g., a specific reduction in the presence of a particular pollutant or improvement in stream health metrics, such as EPT richness) downstream of each future mine site. In other words, no scientific studies or data allow us to forecast the beneficial effects of the SPR on water quality with certainty. In light of current data limitations and in order to provide perspective on the level of downstream water quality benefit generated by the rule alternatives, this analysis estimates the downstream stream distance over which adverse effects of mining may occur absent Action Alternatives, and which may benefit from implementation of these Alternatives.

Table 4.2-7. Selected Scientific Literature Regarding the Extent and Legacy of Coal Mining Impacts on Downstream Water Quality

Study Authors and Title	Publication	Study Location	Study Subject
Pond et al., 2014. Long-term impacts on macroinvertebrates downstream of reclaimed mountaintop mining valley fills in central Appalachia	Environmental Management	Central Appalachia (eastern Kentucky, northeastern Tennessee, southwestern Virginia, and southern West Virginia)	Analysis of ecological conditions in headwater mountaintop mining streams following reclamation. Study collected chemical, habitat, and benthic macroinvertebrate data and found sustained ecological damage in headwater streams after reclamation was complete.

Palmer and Hondula, 2014. Restoration as mitigation: Analysis of stream mitigation for coal mining impacts in southern Appalachia	Environmental Science and Technology	Southern Appalachia	Synthesis of the outcomes of over 400 stream mitigation projects. Though less than a third of the projects provided biotic or chemical data, most were impaired with conductivity exceeding federal water quality criteria and demonstrated selenium levels known to impair biota. In addition, most streams demonstrated biotic indices lower than state standards.
Presser, 2013. Selenium in ecosystems within the mountaintop coal mining and valley fill region of southern West Virginia – assessment and ecosystem scale modeling and Presser and Luoma, 2010. A method for ecosystem-scale modeling of selenium	USGS publication and Integrated Environmental Assessment and Management	Southern West Virginia	Ecosystem modelling study of the impacts of selenium in mountaintop coal mining drainage streams using common fish and aquatic insect species.
Lindberg et al., 2011. Cumulative impacts of mountaintop mining on an Appalachian watershed	Proceedings of the National Academy of Sciences Early Edition	Upper Mud River, southwest West Virginia	Analysis of areal extent of mining in watersheds and use of physical water quality metrics, including conductivity, and concentrations of sulfate, selenium, and magnesium; assessed these metrics upstream and downstream of mine sites, as well as in reference streams
Merriam et al., 2011. Additive effects of mining and residential development on stream conditions in a Central Appalachian watershed	Journal of North American Benthological Society	Pigeon Creek watershed, southern West Virginia	Analysis of mining intensity in a watershed and correlation with metrics of stream health, including EPT richness
Petty et al., 2010. Landscape indicators and thresholds of stream ecological impairment in an intensively mined Appalachian watershed	Journal of North American Benthological Society	Lower Cheat River basin Northern West Virginia	Analysis of mining intensity in a watershed and correlation with metrics of stream health, including EPT richness
Pond et al., 2008. Downstream effects of mountaintop coal mining: comparing biological conditions using family- and genus-level macroinvertebrate bioassessment tools	Journal of North American Benthological Society	37 small West Virginia streams	Analysis of mining effects judged by specific conductance correlated with four measures of biological health, including Ephemeroptera richness, but not EPT richness
Fulk et al., 2003. Ecological assessment of streams in the coal mining region of West Virginia using data collected by the U.S. EPA and environmental consulting firms ¹	Mountaintop Mining/Valley Fills in Appalachia Final Programmatic Environmental Impact Statement	Five watersheds: Mud River, Spruce Fork, Clear Fork, Twentymile Creek, & Island Creek Watersheds	Analysis of water quality and biota metrics in watersheds rated as unmined, mined, filled, and filled/residential
Powell, 1988. Origin and influence of coal mine drainage on streams of the United States.	Environmental Geology and Water Sciences	Streams in or near eastern and western coal fields	Degraded water quality in streams associated with coal mining is readily detectable in the eastern U.S. because of the low mineralization of natural water. Effects of coal mining in western U.S. streams are more difficult to detect due to the arid climate and high mineralization in the waterways.
1. Study not published in the peer reviewed literature.			

Specifically, this analysis relies on the scientific literature and GIS data on locations of existing and historical coal mines and USGS data on stream locations in order to estimate the linear extent of the

waters downstream of mines in each region that are expected to benefit (i.e., experienced reduced levels of impairments) from the protection measures described in the Alternatives. These adversely affected streams are expected to benefit from improved management practices as part of the Action Alternatives. The region-specific multipliers estimating the downstream distance of impairments applied in the analysis integrate information on: the average size of mine sites in each region; the number of intermittent and perennial streams that have crossed mine sites on average in each region (ephemeral streams were not included in the analysis of downstream water quality benefits); and the distance over which the negative water quality effects persist. The steps of this analysis are described below.

4.2.1.4.3 Step 1: Analyze, by region and mine type (i.e., surface mining versus underground mining), the number of streams that flow off of a mine site, on average

This analysis employs GIS data identifying locations of historical mines in each region by mine type.¹⁵ As the GIS data are only points identifying locations of historical mines, the analysis estimates the size of each mine site relying on the size of the “disturbed area” for typical mines, as estimated in the model mines analysis described in Section 4.1. After mapping the location and size of historical surface and underground mines in each region, the analysis references the U.S. Geological Survey’s high resolution National Hydrography Dataset to estimate the average number of intermittent and perennial streams flowing off of surface and underground mines in each region.¹⁶

For these historical surface mines, between one and seven streams cross each mine site, and the average varies by region. An average of one stream flows through the surface portion of underground mines (consistent with the structure of coal preparation facilities at underground mines). Exhibit 4.2-8 presents the results of the GIS analysis quantifying number of streams crossing mine sites. Of note, while the Northern Rocky Mountains region surface mines are associated with the greatest number of intermittent and perennial crossings, this is due to the relatively large disturbed area covered by these mines. In fact, the stream density in the Northern Rocky Mountains region is significantly less than in Appalachia.

¹⁵ National Mine Map Repository. Provided by OSMRE on June 5, 2013; U.S. Plants and Impoundments Point Shapefile. Provided by Morgan Worldwide Consultants, Inc. on July 26, 2013; [Arkansas Department of Environmental Quality. Facility and Permit Summary. http://www.adeq.state.ar.us/home/pdssql/pds.aspx](http://www.adeq.state.ar.us/home/pdssql/pds.aspx); Colorado Division of Reclamation Mining and Safety. 2010. GIS Data. Department of Natural Resources. <http://mining.state.co.us/Reports/Pages/GISData.aspx>; Illinois State Geological Survey. 2011. Coal Maps and Data. <https://www.isgs.illinois.edu/research/coal/maps>; Indiana Geological Survey. Coal Mine Information System. <http://igs.indiana.edu/CMIS/Downloads.cfm>; and Railroad Commission of Texas, Surface Mining and Reclamation Division. 2011. Active Coal Mines. <http://www.rrc.state.tx.us/about-us/organization-activities/divisions-of-the-rrc/surface-mining-reclamation-division/>

¹⁶ To estimate the average number of streams flowing off of the mine site, this analysis counts the number of times perennial and intermittent streams intersect the mine site and divides this by two. This method assumes that each stream crosses the mine site once upstream of the mine and once downstream of the mine. Due to data limitations related to the streams data available ephemeral streams are not included in these calculations. As a result, these estimates could underestimate stream lengths that may benefit from rule alternatives, to the extent that ephemeral streams are present downstream of mine sites. The analysis uses USGS classifications to differentiate streams.

Table 4.2-8. Number of Stream Crossings at Mine Sites

Region/Mine Type	Average Number of Streams Crossing Mine Site
Central Appalachia -- Contour and Surface Area	3.1 streams ^a
Northern Appalachia -- Surface	1.3 streams
Colorado Plateau -- Surface	3 streams ^b
Gulf Coast -- Surface	4.3 streams
Illinois Basin -- Surface	3.4 streams
Northern Rocky Mountains -- Surface	7.2 streams
Western Interior -- Surface	3.3 streams
Northwest -- Surface	5 streams ^c
<p>Notes:</p> <p>The analysis of downstream benefits to water quality is assumed to be associated with improvements to mining practices at surface mines, which is where the majority of anticipated changes to mining practices are anticipated. To the extent that underground mines also change practices that would improve water quality, benefits could be underestimated. This exhibit provides the estimated number of intermittent and perennial streams that cross mine sites in each of the regions, on average. These averages were generated by conducting a USGS analysis of data on intermittent and perennial stream locations to the locations and extents of current and historical mines in each region. Due to data limitations related to the streams data available ephemeral streams are not included in these calculations. As a result, these estimates could underestimate stream lengths that may benefit from rule alternatives, to the extent that ephemeral streams are present downstream of mine sites.</p> <p>^a The number of stream crossings for all mines does not change across alternatives, with the exception of Alternative 2, where the Central Appalachian surface mine is anticipated to disturb a smaller area and therefore intersects fewer intermittent and perennial streams. For this mine under Alternative 2, the number of stream crossings is 2.7.</p> <p>^b The Colorado Plateau surface mine figure is the average of the number of streams leaving the mine site from the one surface mine site in the GIS database for the Colorado Plateau and the Colorado Plateau surface mine site in the engineering analysis.</p> <p>^c The Northwest surface mine figure is the number of streams leaving the Northwest surface mine site in the engineering analysis as there are no sites that meet the criteria for the GIS analysis.</p> <p>Sources: GIS analysis using estimated disturbed acreage for model mines, historical mine site locations, and USGS National Hydrography Dataset. National Mine Map Repository. Provided by OSMRE on June 5, 2013; U.S. Plants and Impoundments Point Shapefile. Provided by Morgan Worldwide Consultants, Inc. on July 26, 2013; Arkansas Department of Environmental Quality. Facility and Permit Summary. http://www.adeq.state.ar.us/home/pdssql/pds.aspx; Colorado Division of Reclamation Mining and Safety. 2010. GIS Data. Department of Natural Resources. http://mining.state.co.us/Reports/Pages/GISData.aspx; Indiana State Geological Survey. 2011. Coal Maps and Data. https://www.isgs.illinois.edu/research/coal/maps; Indiana Geological Survey. Coal Mine Information System. http://igs.indiana.edu/CMIS/Downloads.cfm; and Railroad Commission of Texas, Surface Mining and Reclamation Division. 2011. Active Coal Mines. http://www.rrc.state.tx.us/about-us/organization-activities/divisions-of-the-rrc/surface-mining-reclamation-division/</p>	

4.2.1.4.4 Step 2: Determine how far downstream of a mine site negative effects of coal mining persist, on average

Limited literature exists evaluating how far downstream of coal mines negative water quality impacts persist. One study, Petty, et al. (2010), indicates that the downstream effects of mining may extend approximately 6.2 miles from the mine site on average in Appalachia. The Petty, et al. (2010) research includes stream sampling from both underground and surface mining and includes both pre- and post-SMCRA mining activities in the Appalachian coal region. While this study is focused on Appalachia, absent comparable studies in other regions, this finding is applied across the coal regions for the purposes of this analysis and to compare projected impacts of each action alternative. OSMRE recognizes that transferring this study to other regions generates uncertainty for the downstream water quality

improvements results outside of Appalachia. However, because this analysis finds that the vast majority of downstream water quality impacts occur in Appalachia due to the combination of the geologic and hydrologic environments associated with the coal mines, OSMRE feels that using the 6.2 miles determined in the 2010 Petty et al., study was acceptable as a standardized assumption metric to bring forward into the mine model.

The adversely affected downstream reaches (6.2 miles for intermittent and perennial streams downstream of coal mines) are expected to benefit from improved management practices as part of the Action Alternatives, as described above.

4.2.1.4.5 Step 3: Multiply the number of streams crossing the mines (Step 2) by the average extent of downstream water quality effects (Step 1) to estimate the “typical mine” downstream miles affected

The third step of the analysis multiplies the average number of streams crossing the mines by the average spatial extent of downstream water quality effects (6.2 miles) to estimate the total number of downstream stream miles affected by coal mining for each region/mine type under the baseline for this analysis.

Note that the estimate of total downstream stream miles affected at a given mine implicitly assumes no downstream convergence. This assumption allows for a comparison across regions that reflects the stream density of different regions. However, it is likely that, streams crossing the mine sites ultimately converge. In such cases, the total number of stream miles experiencing improved water quality may be overestimated. On the other hand, the level of the water quality improvement may be greater downstream of the convergence of two improved streams.

4.2.1.4.6 Step 4: Estimate downstream impact per million tons of coal produced by region/mine type

To estimate region-specific multipliers for linear downstream extent affected per unit of coal production, OSMRE divides the total downstream miles affected by coal mining activity (Step 3) by the forecasted total volume of coal production at each typical mine site over the life of the mine. This calculation yields an estimate of average miles of surface water quality affected per million tons of coal produced.

After factoring in the region-specific information on average mine size, number of streams affected, and coal production levels, the multipliers are larger for Appalachia than for other regions. Specifically, the analysis finds that on the order of 0.5 to 4.8 stream miles are impaired per million tons of coal produced in Appalachia, compared to 0.04 stream miles impaired per million tons of coal produced in the Northern Rocky Mountains and Great Plains region. The difference is due to the greater production levels and larger aerial extent of mines in the Northern Rocky Mountains and Great Plains region, and to the greater density of intermittent and perennial streams in Appalachia (see Table 4.2-9).

4.2.1.4.7 Step 5: Multiply the downstream miles affected per million tons by the expected coal production for the relevant mine type/region over the study period (Table 4.2-9, Column G); and Step 6: Sum downstream miles affected across the study period

In this step, the estimated coal production forecast over the study period for each region is multiplied by the multiplier calculated in Step 4 (impacts per-million-ton downstream effects). Because there are three surface mines types designed in Appalachia, the forecast regional coal production was weighted by the

volume of coal production for each of these mine types as follows: CAPP Area mine= 20 percent; CAPP Contour mine=54 percent; NAPP Contour=26 percent.

4.2.1.4.8 Step 7: Estimate average annual downstream miles affected by dividing total downstream miles affected over the entire study period (Step 6) by the number of years in study period (21 years)

Dividing the total miles of downstream water quality affected over the study period by the number of years of analysis (21) yields an average annual downstream water quality impact in miles.

4.2.1.4.9 Step 8: Estimate benefit of the Action Alternatives by subtracting anticipated annual average miles from No Action Alternative annual average miles

The analysis calculates these results for each region and mine type, for the No Action Alternative and each of the Action Alternatives. As the Action Alternatives improve the management of mining operations to mitigate effects on water quality (as described above), the stream reaches downstream of the mine sites would experience some amount of improvement in water quality as compared to the No Action Alternative. Improvement in water quality does not mean that an impaired stream is returned to premining conditions; rather, improvement is considered an incremental betterment of water quality.

These analytic steps and results are provided in Table 4.2-9 for Alternative 8 (Preferred) in order to illustrate the methods used to calculate the downstream improved intermittent and perennial stream miles. Downstream improved intermittent and perennial stream miles for other Action Alternatives are calculated in the same manner.

Table 4.2-9. Calculations for Downstream Improved Intermittent and Perennial Stream Miles under Alternative 8 (Preferred)

Region and Surface Mining Type (Model Mine) ¹	Average number of I&P streams crossing mine site ² (Step 1)	Downstream reach over which negative effects of mining persist ³ (Step 2)	Total length of stream adversely affected by mining per mine site (Step 3)	Recoverable coal per mine site (over life of mine, million tons (MT)) ⁴	Downstream reach over which negative effects of mining persist per million tons (MT) of coal produced (Step 4)	Average annual production in region for this mining method/type ⁵	Average annual stream miles experiencing water quality improvements in region (over 21 years, Steps 5-8)
Central App. Surface Area	3.1 streams	6.2 miles	19.2 miles	37 MT	0.5 miles/MT	10.3 MT	5 miles
Central App. Contour	3.1 streams	6.2 miles	19.2 miles	5 MT	3.8 miles/MT	27.5 MT	104 miles
Northern App. Surface Contour	1.0 miles	6.2 miles	7.8 miles	1.6 MT	4.8 miles/MT	13.4 MT	65 miles
Colorado Plateau Area Surface	3.0 streams	6.2 miles	18.6 miles	92.2 MT	0.2 miles/MT	18.2 MT	4 miles
Gulf Coast Area Surface	4.3 streams	6.2 miles	26.6 miles	40.7 MT	0.7 miles/MT	44.7 MT	29 miles
Illinois Basin Area Surface	3.4 streams	6.2 miles	20.9 miles	12.4 MT	1.7 miles/MT	19.7 MT	33 miles
Northern Rocky Mountains Area Surface	7.2 streams	6.2 miles	44.5 miles	1,056 MT	0.04 miles/MT	388 MT	16 miles
Northwest Area Surface	5 streams	6.2 miles	31.0 miles	37 MT	0.8 miles/MT	1.0 MT	1 mile
Western Interior Area Surface	3.3 streams	6.2 miles	20.2 miles	12.4 MT	1.6 miles/MT	2.8 MT	4 miles

¹ The analysis of downstream benefits to water quality is assumed to be associated with improvements to mining practices at surface mines, which is where the majority of anticipated changes to mining practices are anticipated. To the extent that underground mines also change practices that would improve water quality, benefits could be underestimated.

2. Analysis includes only estimated number of intermittent and perennial streams that cross mine sites in each of the regions, on average. These averages were generated by comparing USGS data on intermittent and perennial stream locations to the locations and extents of mines in each region. Due to data limitations related to the streams data available ephemeral streams are not included in these calculations. As a result, these estimates could underestimate stream lengths that may benefit from rule alternatives, to the extent that ephemeral streams are present downstream of mine sites. Please refer to Exhibit 4.2-8 for additional details.

3. Limited literature exists evaluating how far downstream of coal mines negative water quality impacts persist. One study, Petty, et al. (2010), indicates that the downstream effects of mining may extend approximately 6.2 miles from the mine site on average in Appalachia. The Petty, et al. (2010) research includes stream sampling from both underground and surface mining and includes both pre- and post-SMCRA mining activities in the Appalachian coal region. While this study is focused on Appalachia, absent comparable studies in other regions, this finding is applied across the coal regions for the purposes of this analysis and to compare projected impacts of each action alternative. OSMRE recognizes that transferring this study to other regions generates uncertainty for the downstream water quality improvements results outside of Appalachia. However, because ultimately this analysis finds that the vast majority of downstream water quality impacts occur in Appalachia are due to the combination of the geologic and hydrologic environments associated with the coal mines, which are also found in the other coal regions, OSMRE feels that using the 6.2 miles determined in the 2010 Petty et al., study was

Region and Surface Mining Type (Model Mine) ¹	Average number of I&P streams crossing mine site ² (Step 1)	Downstream reach over which negative effects of mining persist ³ (Step 2)	Total length of stream adversely affected by mining per mine site (Step 3)	Recoverable coal per mine site (over life of mine, million tons (MT)) ⁴	Downstream reach over which negative effects of mining persist per million tons (MT) of coal produced (Step 4)	Average annual production in region for this mining method/type ⁵	Average annual stream miles experiencing water quality improvements in region (over 21 years, Steps 5-8)
<p>acceptable as a standardized assumption metric to bring forward into the mine model.</p> <p>4. From the model mines analysis, each model mine is assigned a volume of annual coal production and total volume of recoverable coal based on local geological conditions.</p> <p>5. While production varies from year to year over the 21 year study period, this column reflects average annual production for each mine type across the study period. Because there are three surface mines types designed in Appalachia, the forecast regional coal production was weighted by the volume of coal production for each of these mine types as follows: CAPP Area mine= 20 percent; CAPP Contour mine=54 percent; NAPP Contour=26 percent.</p> <p>Sources: GIS analysis using estimated disturbed acreage for model mines, historical mine site locations, and USGS National Hydrography Dataset. National Mine Map Repository. Provided by OSMRE on June 5, 2013; U.S. Plants and Impoundments Point Shapefile. Provided by Morgan Worldwide Consultants, Inc. on July 26, 2013; Arkansas Department of Environmental Quality. Facility and Permit Summary. http://www.adeq.state.ar.us/home/pdssql/pds.aspx; Colorado Division of Reclamation Mining and Safety. 2010. GIS Data. Department of Natural Resources. http://mining.state.co.us/Reports/Pages/GISData.aspx; Illinois State Geological Survey. 2011. Coal Maps and Data. https://www.isgs.illinois.edu/research/coal/maps; Indiana Geological Survey. Coal Mine Information System. http://igs.indiana.edu/CMIS/Downloads.cfm; and Railroad Commission of Texas, Surface Mining and Reclamation Division. 2011. Active Coal Mines. http://www.rrc.state.tx.us/about-us/organization-activities/divisions-of-the-rrc/surface-mining-reclamation-division/; Petty et al, 2010; Refer to Appendix B of the RIA for additional discussion of the model mine design.</p>							

4.2.1.4.10 Stream Miles Downstream of Mine Sites Preserved from Adverse Effects of Mining

This analysis estimates the downstream miles for which adverse effects are avoided. This change in stream miles affected would derive from changes in the volume of coal that is mined from surface mines, and assumes that reducing coal production would reduce the extent of downstream impacts on streams that would have occurred under the No Action Alternative. For each Action Alternative, the difference between the length of downstream affected stream miles in the No Action Alternative minus the length of downstream affected stream miles estimated for the Action Alternative represents the length of miles preserved for that Alternative. The No Action Alternative calculation follows the same steps as the Action Alternatives, except the results are for stream miles *affected by coal mining (i.e., avoided)*, rather than stream miles *improved*. In cases where production increases for a particular region and mine type, the downstream stream miles preserved can be negative, reflecting an increase in downstream stream miles affected by mining in a given year.

4.2.1.5 Results of Quantitative Analysis of Surface Water Impacts

4.2.1.5.1 Streams

The results of the quantitative analysis are presented in Tables 4.2-10 through 4.2-13. Discussion of the results follows.

- **Reductions in streams filled:** The quantified reduction in the miles of filled streams varies across regions and Action Alternatives (Table 4.2-10). The Appalachian Basin is the only region where excess spoil fills are common, making it the only region where a change in stream filling practices is anticipated.¹⁷ Reduced fill benefits of the Action Alternatives (other than Alternative 9, which does not have these benefits) on surface mining are accordingly limited to this region.
- **Increase in ephemeral stream restoration:** Ephemeral stream restoration also varies by region and Action Alternative (Table 4.2-11). Under the No Action Alternative, ephemeral stream restoration practices are limited; specifically occurring only in the Colorado Plateau and Northern Rocky Mountains regions. Review of existing permits in these regions identified that the actual number of ephemeral streams that were restored was small (approximately 10 to 20 percent). Elsewhere, ephemeral stream restoration is generally not expected to occur under the No Action Alternative. As more ephemeral streams occur in the Colorado Plateau, Gulf Coast, Illinois Basin, and Northern Rocky Mountains and Great Plains regions, the benefits of ephemeral stream restoration requirements are concentrated in these regions. Alternative 5 applies specifically to steep slope mining areas (primarily, the Appalachian region), where there are generally fewer ephemeral streams than in the more westerly regions, thus benefits of additional stream restoration for this Alternative are limited.
- **Downstream miles experiencing improved water quality:** The majority of improved stream miles occur in Appalachia, as small mine size and high stream density leads to high per-ton effects on downstream stream miles (Table 4.2-12). The level of improvement would vary across the Action Alternatives in a manner that would be consistent with the stringency of the

¹⁷ Illinois Basin ephemeral streams are sometimes used in the construction of sediment basins or slurry impoundments.

requirements. As detailed in Table 4.2-1, rule elements related to monitoring and the definition of material damage to the hydrologic balance have the potential to improve water quality at and downstream of surface mine sites. Changes in mine site practices related to stream restoration and fills are intended to benefit downstream water quality. The engineering analysis (model mines analysis) found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from underground mines are not quantified. However, rule elements related to monitoring and the definition of material damage to the hydrologic balance may improve water quality at underground mine sites.

- **Downstream miles preserved:** The length of downstream miles preserved varies across Action Alternatives primarily due to changes in coal production (see Section 4.1) expected as a result of the Action Alternatives (Table 4.2-13). The production changes generally influence between one and two percent of total affected downstream miles. Only in Alternative 2 does a production change result in a significant change in preserved miles. The vast majority of preserved stream miles occur in Appalachia, the region anticipated to experience the greatest reduction in surface coal mining activity under the Action Alternatives.

Table 4.2-10. Regional Annual Stream Intermittent and Perennial Miles Not Filled, Relative to the No Action Alternative: 2020 to 2040

Region	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Appalachia	9	0	4	4	4	4	4	0
Colorado Plateau	0	0	0	0	0	0	0	0
Gulf Coast	0	0	0	0	0	0	0	0
Illinois Basin	0	0	0	0	0	0	0	0
Northern Rocky Mountain	0	0	0	0	0	0	0	0
Northwest	0	0	0	0	0	0	0	0
Western Interior	0	0	0	0	0	0	0	0
Total	9	0	4	4	4	4	4	0

Table 4.2-11. Regional Annual Ephemeral Stream Miles Restored, Relative to the No Action Alternative: 2020 to 2040

Region	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Appalachia	1	1	1	1	1	1	1	0
Colorado Plateau	5	2	2	0	3	3	2	0
Gulf Coast	10	6	6	0	6	2	6	0
Illinois Basin	13	7	7	0	7	1	7	0
Northern Rocky Mountain	10	5	5	0	6	2	5	0
Northwest	0	0	0	0	0	0	0	0
Western Interior	2	1	1	0	1	0	1	0
Total	41	22	22	1	24	10	22	0

Table 4.2-12. Regional Annual Downstream Intermittent and Perennial Stream Miles Improved, Relative to the No Action Alternative: 2020 to 2040

Region	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Appalachia	161	174	174	174	175	159	174	175
Colorado Plateau	4	4	4	0	4	2	4	4
Gulf Coast	29	29	29	0	29	6	29	29
Illinois Basin	33	33	33	0	33	3	33	33
Northern Rocky Mountain	16	16	16	0	16	3	16	16
Northwest	1	1	1	0	1	0	1	1
Western Interior	5	5	5	0	5	1	5	5
Total	249	263	263	174	263	174	263	263

Table 4.2-13. Regional Annual Intermittent and Perennial Downstream Stream Miles Preserved, Relative to the No Action Alternative: 2020 to 2040

Region	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Appalachia	14	1	0	0	0	0	0	0
Colorado Plateau	0	0	0	0	0	0	0	0
Gulf Coast	0	0	0	0	0	0	0	0
Illinois Basin	0	0	0	0	0	0	0	0
Northern Rocky Mountain	0	0	0	0	0	0	0	0
Northwest	0	0	0	0	0	0	0	0
Western Interior	0	0	0	0	0	0	0	0
Total	14	1	1	0	0	1	0	0

Note: Estimates may not sum to the totals reported due to rounding error.

4.2.1.5.2 Characterization of Impacts of Rule Alternatives on Other Water Resources

4.2.1.5.2.1 Groundwater and Drinking Water

The Action Alternatives (excluding Alternative 9) require, to varying degrees, additional monitoring of groundwater quality and quantity before, during, and after mining activities; in addition, some of these Alternatives require groundwater protections when considering AOC variances. In addition to benefits to groundwater, improvements in water quality may benefit public drinking water suppliers by reducing pollutant levels and therefore costs of water treatment. Overall, Alternatives 2, 3, 4, 7, and 8 (Preferred) are more protective of groundwater. Of these, Alternative 2 is the most protective due to more frequent monitoring, which may allow earlier detection of emerging water quality issues. Alternatives 3, 4, and 8 (Preferred) have similar elements (e.g., groundwater protection in material damage to the hydrologic balance definitions) and therefore may affect groundwater to a roughly equal degree. Ultimately, Alternatives 4 and 8 (Preferred) may be more protective due to increased monitoring of groundwater. Alternatives 5, 6, and 7 are less protective of groundwater because they lack a definition of material damage to the hydrologic balance and because of their limited geographic applicability.¹⁸ Alternative 9 is expected to have a negligible effect on groundwater resources as it is found to be functionally similar to current practices.

To characterize the relative effect of the Alternatives on groundwater by region, this analysis identified regions where groundwater is most often used for private water supplies.¹⁹ Groundwater usage for public and private water supplies by coal region is presented in Table 4.2-14. Groundwater supplies are also used for agriculture and commercial/industrial purposes among other uses. Groundwater usage for private supplies is susceptible to changes in water quality and quantity because wells may not be monitored consistently and treated accordingly. The Appalachian Basin has the greatest percentage of withdrawn groundwater used for private supply. Given the limited level of coal mining activity on private land in the Western Interior region, this analysis suggests that the benefits of the Action Alternatives on groundwater are most likely concentrated in the Appalachian Basin.

¹⁸ Alternative 5 applies only to operations that dispose of excess spoil or coal mine waste; Alternative 6 applies only to operations within 100 feet of intermittent or perennial streams; Alternative 7 applies only to operations where conditions warrant enhanced permitting requirements (e.g., steep slope areas, riparian areas).

¹⁹ Private water supplies receive less detailed and frequent monitoring than municipal supplies, and therefore represent a more significant pathway for potential exposure to groundwater pollution. Municipal and other public water suppliers may also benefit from reduced pollution in their water sources.

Table 4.2-14. Percent of Regional Groundwater Withdrawals used for Public Supply Utilities and Private Supply for Domestic Use

Coal Region	Public Supply Utilities	Private Supply for Domestic Use	Supply for Agricultural Use
Appalachian Basin	45%	20%	13%
Colorado Plateau	19%	2%	75%
Gulf Coast	13% ¹	3%	74%
Illinois Basin	46%	0.1%	23%
Northern Rocky Mountains and Great Plains	11%	3%	81%
Northwest	None	None	None
Western Interior	42%	5%	42%
Sources: USGS, 2010b; Maupin et al., 2014			
Notes: ¹ The percent reported for public supply utilities in the Gulf Coast region comes from the USGS, 2010 report; all other numbers in this table are from Maupin et al., 2014.			

4.2.1.5.2.2 Wetlands, Lakes, and Ponds

While the elements of the Action Alternatives do not specifically target wetlands, lakes, and ponds, these water resources would be influenced by the changes in the quality and quantity of surface water and groundwater within watersheds. Improved surface-water quality downstream of mine sites may improve inflow to lakes, ponds, wetlands, and the overall hydrologic balance.²⁰

4.2.1.6 Summary of Effects

Consistent with the purpose of the proposed action, the Action Alternatives (except Alternative 9) are anticipated to result in benefits to water resources relative to the No Action Alternative. Table 4.2-15 summarizes the anticipated effects of the Action Alternatives on water resources compared to the No Action Alternative for each region. In applying the criteria used to define major, moderate, and minor effects (see discussion in Section 4.0) this analysis considers: (1) the length of the impact (i.e., during mining activity or beyond the life of the mine); and (2) the geographic scope of impact (to what extent impacts are expected to be limited to the mine site or extend beyond it). Beneficial effects associated with reductions in filled streams, downstream and groundwater quality improvements, and ephemeral stream restoration, are all considered long-term as they are anticipated to extend beyond the period of active mining. Determinations of the intensity of these beneficial impacts on water resources were made considering the quantified results of the analysis of the impacts of the Action Alternatives on miles of streams filled, increased restoration of ephemeral streams that are mined through, stream miles downstream of mine sites experiencing improved water quality, and stream miles that are preserved from adverse effects of mining, as well as qualitative assessments of the impacts on water quality associated with improvements to riparian habitat conditions. Specifically, determinations were made using the following analytical categories:

²⁰ Hydrologic Balance is defined at 30 CFR 701.5 as follows: “Hydrologic Balance means the relationship between the quality and quantity of water inflow to, water outflow from, and the water storage in a hydrologic unit such as a drainage basin, aquifer, soil zone, lake, or reservoir. It encompasses the dynamic relationships among precipitation, runoff, evaporation, and changes in ground and surface water storage.”

- **Negligible:** Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- **Minor Beneficial:** Impacts expected to be small and localized were considered to result in minor benefits.
- **Moderate Beneficial:** Impacts expected to affect local and adjacent areas. The benefits could permanently improve the area’s hydrology, including surface and ground water flows and water quality.
- **Major Beneficial:** Impacts to water quality anticipated to be widespread; permanent improvements to regional hydrologic patterns, water flows, wetlands, could occur.

Consistent with the intent of the regulations to reduce adverse impacts of mining activities on perennial and intermittent streams, the Action Alternatives (except Alternative 9) would result in benefits to water resources relative to the No Action Alternative at the national scale. In particular, the analysis finds that Action Alternatives would result in Major Beneficial impacts to water resources under Alternatives 2, 3, 4, and 8 (Preferred) at the national scale. Moderate Beneficial impacts to water resources would be expected under Alternatives 6 and 7, with Minor Beneficial impacts under Alternative 5 at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on water resources.

On a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin and Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Moderate Beneficial impacts are anticipated in the Appalachian Basin for Alternatives 5, 6, and 7, in the Illinois Basin for Alternatives 6 and 7, and in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions for Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Other effects on water resources are anticipated to be Negligible at the regional scale when compared to the No Action Alternative.

For all Action Alternatives, the benefits to water resources in the Western Interior and Northwest coal regions are Negligible due to the limited coal mining activity expected in these regions. For all other regions, specific findings are discussed below.

4.2.1.6.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, mining practices would remain unchanged and no further regulations or corrective measures in addition to those already in place would be implemented. As such, the impact of surface and underground mining operations would continue to produce adverse effects on water resources outside the permit area. Some examples of the impacts of mining include, but are not limited to, reduced stream and groundwater pH from acid mine drainage; elevated concentrations of iron, aluminum, manganese, and sulfate in surface water; increased sedimentation in the water column; flow alteration and stream elimination as a result of mining through streams and spoil management practices; drawdown of groundwater levels; and degradation of groundwater through increased concentrations of sulfate, iron, and other pollutants (see Subsection 4.2.1.1).

Ideally, this analysis would describe the impacts on water resources under the No Action Alternative using estimates of the total number of stream miles that are mined through, filled, and impaired annually by coal mining under current regulatory conditions. While such comprehensive baseline data are not readily available, there are some data and studies that can provide some context on the baseline impact of

coal mining activities on water resources. For example, and as noted in Subsection 4.2.1.1, the 2005 Mountaintop Mining EIS estimated that approximately 45 stream miles were filled per year between 1985 and 2001 in West Virginia, Kentucky, Tennessee, and Virginia. Another study estimated that approximately 18 stream miles were filled in West Virginia from mining activities in 2012, which was down from approximately 28 miles per year from 1984 to 2012 (Shank and Gebrelibanos, 2013).²¹ These studies provide some information to suggest that the rate of stream filling is declining under the No Action Alternative.

Additional information on surface water impacts of mining activities is available by examining USACE permitting activities under Section 404 of the CWA. Between 2012 and 2014, USACE permitted coal mining impacts to between 257 and 115 stream miles across four coal regions (Illinois Basin, Gulf Coast, Appalachian Basin and Northern Rocky Mountains and Great Plains). Of these stream miles, the majority (between 39 and 50 percent during the same timeframe) occurred in Illinois Basin.

As discussed in Section 4.1, the annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on water resources under the No Action Alternative. The number of valley fills in the Appalachian region seems to be declining; this trend is expected to also continue under the No Action Alternative.

Water resources may also be affected by cumulative impacts under the No Action Alternative. Population growth is a primary driver of water quality changes associated with land clearing and development, as well as overall resource use. The socioeconomic section of Chapter 3 describes demographic trends in the coal-producing regions. In the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase adverse impacts to water resources under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect water resources. Approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost

²¹ Subsection 4.2.1.1 also presents some additional studies estimating the numbers of stream miles filled due to mining activities. These data do provide some context for understanding the history of stream filling from coal mining and is relevant when considering the cumulative effects of streams filled by mining activities.

exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and riparian vegetation removal.

Trends in agriculture also influence water quality within the study area. Relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to improve water quality conditions under other OSMRE rules, such as the OSMRE's Abandoned Mine Lands Program, CWA, Wild and Scenic Rivers Act, NRCS water protection program, as well as regional, state, local water quality improvement efforts, may continue to decrease ongoing adverse effects associated with coal mining, agriculture, forestry and residential and other commercial development activities on water resources under the No Action Alternative.

Table 4.2-15. Summary of Effects of the Action Alternatives on Water Resources Compared to the No Action Alternative

Coal Region	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6	Alternative 7	Alternative 8 (Preferred)	Alternative 9
Appalachian Basin	Major Beneficial	Major Beneficial	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Major Beneficial	Negligible
Colorado Plateau	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Gulf Coast	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Illinois Basin	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Northern Rocky Mountains / Great Plains	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Northwest	Negligible	Negligible						
Western Interior	Negligible	Negligible						
National	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible

Note: See Section 4.0 for a definition of Negligible, Minor, and Moderate effect terms used above. These effect categories consider the length of effect, geographic scope of effect, and potential for offsetting the effect. For a discussion of the impacts of the No Action Alternative (Alternative 1), see Subsection 4.2.1.1 above.

4.2.1.6.2 Alternative 2

Alternative 2 would provide the greatest level of protection and associated benefits to water resources due to the stringency of the requirements, its broad applicability and long-term effects. In the Appalachian Basin, rule elements prohibiting mining operations in or through perennial streams as well as the placement of excess spoil in intermittent or perennial streams, would avoid the filling of nine streams annually, more than any other Action Alternative. The Appalachian Basin is the only region where excess spoil fills are common; making Appalachian Basin the only region where a change in stream filling practices is anticipated. When compared to the 18 stream miles filled in 2012 in West Virginia, the incremental stream miles not filled in the Appalachian Basin due to Alternative 2 appear to represent a potentially substantial share of the overall stream miles filled annually in roughly the same area under current regulations. For this reason, impacts of Alternative 2 on water resources in the Appalachian Basin are considered Major Beneficial.

Alternative 2 also includes a number of rule elements that would improve surface-water quality in addition to limitations on in stream mining activities. In particular, Alternative 2 requires more frequent monitoring than other Action Alternatives, which should improve understanding of the premining hydrology as well as the probable hydrologic consequences of mining activities, possibly allowing earlier detection of water quality degradation during mining operations and mining reclamation activities. In addition to enhanced monitoring, rule elements defining material damage to the hydrologic balance and establishing evaluation thresholds serve as an additional preventative measure designed to increase the likelihood that water quality problems are detected early, when more effective and less costly corrective measures are possible. Collectively these rule elements are expected to generate annual water quality improvements across approximately 249 stream miles of intermittent and perennials streams relative to the No Action Alternative. The majority of improved stream miles occur in Appalachia (161 of 249 stream miles annually), as small mine size and high stream density leads to high per-ton effects on downstream stream miles (Table 4.2-12). Downstream water quality improvements are also expected in a moderate number of stream miles in the other four coal regions of Illinois Basin, Gulf Coast, Northern Rocky Mountain/Great Plains and Colorado Plateau, where Alternative 2 is expected to generate improvements in 33, 29, 16 and 4 stream miles respectively.

While the total number of stream miles currently degraded annually by coal mining activities under the No Action Alternative is not readily available, in one point of comparison, USACE permitted coal mining activities to occur on a range of 257 to 115 stream miles between 2012 and 2014 across four coal regions. The majority (between 69 and 86 percent over the three year timeframe) of these affected stream miles occurred in Illinois Basin followed by Appalachian Basin, with a collective, three-year average in these two coal regions of 146 stream miles per year. These data suggest the water quality improvements generated under Alternative 2 in the Appalachian and Illinois Basins may represent a potentially substantial share of the overall stream miles affected by coal mining activities annually under current regulations. For this reason, impacts of Alternative 2 on downstream intermittent and perennial streams in the Appalachian and Illinois Basin are considered Major Beneficial.

Another rule element unique to Alternative 2 is the prohibition of variances from the requirements to return mined areas to its AOC. As discussed in Section 4.2.1.4, this rule element in combination with the other rule elements is expected to contribute to the restoration of approximately 41 miles of ephemeral streams annually. The majority of the increases in restored ephemeral streams are anticipated in the

Illinois Basin, where 13 ephemeral stream miles would be restored annually. Moderate gains are also expected in three other regions where Alternative 2 is anticipated to improve ephemeral streams, including the Northern Rocky Mountain and Great Plains region with 10 stream miles, the Gulf Coast region with 10 stream miles and the Colorado Plateau with five stream miles.

While not attributable to a particular rule element, as discussed in Section 4.1, Alternative 2 is expected to result in a relatively large reduction in surface coal mining activity in the Appalachian Basin, approximately 0.5 million tons annually, or 0.09 percent of baseline production. As a result of these reductions in the amount of coal produced, Alternative 2 is also expected to result in the preservation of 14 additional downstream miles in the Appalachian Basin annually, substantially greater than any of the other Action Alternatives.

Of the Action Alternatives, Alternative 2 is the most protective of groundwater and drinking water due to more frequent monitoring, which may allow earlier detection of emerging water quality issues. Also contributing to protection of groundwater and drinking water quality is the rule element prohibiting all AOC variances. Groundwater usage for private supplies is susceptible to changes in water quality and quantity because wells may not be monitored consistently and treated accordingly. The Appalachian Basin has the greatest percentage of withdrawn groundwater used for private supply (20 percent), suggesting a relatively high potential for groundwater benefits in this region. Groundwater benefits may be more moderate in the Western Interior where the percent of withdrawn groundwater used for private supply is more modest at five percent annually. The share of groundwater withdrawals used for private supply is even lower in the Northern Rocky Mountains/Great Plains and Gulf Coast (three percent), the Colorado Plateau (two percent) and in the Illinois Basin (0.1 percent of groundwater withdrawals is used for private supply).

In summary, Alternative 2 is expected to provide Major Benefits to water resources over an indefinite period of time, in the large coal regions of the Appalachian and Illinois Basins. As stated above, in these regions, Alternative 2 is expected to avoid the filling of nine stream miles annually and the restoration of 14 ephemeral streams. Water quality improvements are also expected to accrue across a wide geographic area in these two coal regions, a total of 194 stream miles annually. The water resource benefits of Alternative 2 are classified as Moderate in the Colorado Plateau, Gulf Coast and Northern Rocky Mountains/Great Plains regions because the alternative would improve a moderate number of intermittent, perennial and ephemeral streams in these regions relative to the No Action Alternative.

At the national level, Alternative 2 is classified as Major Beneficial because it is expected to generate long-term positive benefits on water resources across an extensive geographic region, specifically Major Benefits in the two large coal-producing regions and Moderate Benefits in an additional three coal regions.

4.2.1.6.3 Alternative 3

Alternative 3 is very similar to Alternative 2 except that Alternative 3 would allow the placement of excess spoil in intermittent streams and lacks a categorical prohibition on mining activities in, near or through perennial streams. As a result of these differences, stream filling practices are expected to remain unchanged under Alternative 3.

Similar to Alternative 2, Alternative 3 includes a number of rule elements that are expected to improve downstream water quality as compared to the No Action Alternative. Improvements to surface water quality are not limited to onsite streams, but are expected to persist downstream of the mine sites as the water flows through and off of the mine. In particular, Alternative 3 requires enhanced monitoring before and during mining activities relative to the No Action Alternative, which should improve understanding of the premining hydrology as well as the probable hydrologic consequences of mining activities, possibly allowing earlier detection of water quality degradation during mining operations and mining reclamation activities. In addition to enhanced monitoring, rule elements defining material damage to the hydrologic balance and establishing evaluation thresholds serve as an additional preventative measure designed to increase the likelihood that water quality problems are detected early, when more effective and less costly corrective measures are possible. Requirements for AOC restoration should further reduce stream filling and improve water quality and preserve water flow by requiring permit applicants to restore the surface configuration to a condition that more closely resembles and functions like the premining landforms, with convex and concave patterns, and ephemeral channels. Alternative 3 would also require a 300-foot streamside vegetative corridor for intermittent and perennials streams; streamside vegetative corridors improve water quality by decreasing flow velocity and capturing sediment, thereby limiting the mobility of sediment to receiving surface water bodies.

Collectively these rule elements are expected to generate annual water quality improvements across approximately 263 stream miles of intermittent and perennials streams relative to the No Action Alternative. The majority of improved stream miles are expected to occur in Appalachia (174 of 263 stream miles annually), as small mine size and high stream density leads to high per-ton effects on downstream stream miles (Table 4.2-12). Downstream water quality improvements are also expected in a moderate number of stream miles in the other four coal regions of Illinois Basin, Gulf Coast, Northern Rocky Mountain/Great Plains and Colorado Plateau, where Alternative 3 is expected to generate improvements in 33, 29, 16 and 4 stream miles respectively.

While the total number of stream miles currently degraded annually by coal mining activities under the No Action Alternative is not readily available; in one point of comparison, USACE permitted coal mining activities to occur on a range of 257 to 115 stream miles between 2012 and 2014 across four coal regions. The majority (between 69 and 86 percent over the three year timeframe) of these affected stream miles occurred in Illinois Basin followed by Appalachian Basin, with a collective, three-year average in these two coal regions of 146 stream miles per year. These data suggest the water quality improvements generated under Alternative 3 in the Appalachian and Illinois Basins may represent a potentially substantial share of the overall stream miles affected by coal mining activities annually under current regulations. For this reason, impacts of Alternative 3 on the water quality of downstream intermittent and perennial streams in the Appalachian and Illinois Basin are considered Major Beneficial.

Ephemeral streams are also anticipated to benefit under Alternative 3 due to rule elements that require the permittee to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation. Specifically, these rule elements are expected to result in the restoration of 22 miles of ephemeral streams annually. The majority of these ephemeral stream miles are expected to occur in three coal regions, the Illinois Basin, Gulf Coast and the Northern Rocky Mountains/Great Plains regions where Alternative 3 is expected to result in the annual restoration of seven, six and five ephemeral stream miles, respectively.

As a result of more frequent monitoring, Alternative 3 is also expected to allow earlier detection of emerging groundwater and drinking water quality issues as compared to the No Action Alternative. Additionally, Alternative 3 would improve groundwater quality through AOC variance conditions. Specifically, for AOC variances for mountaintop removal operations, Alternative 3 would require a demonstration of no increase in parameters of concern in discharges to groundwater. Groundwater usage for private supplies is susceptible to changes in water quality and quantity because wells may not be monitored consistently and treated accordingly. The Appalachian Basin has the greatest percentage of withdrawn groundwater used for private supply (20 percent), suggesting a relatively high potential for groundwater benefits in this region. Groundwater benefits may be more moderate in the Western Interior where the percent of withdrawn groundwater used for private supply is more modest at five percent annually. The share of groundwater withdrawals used for private supply is even lower in the Northern Rocky Mountains/Great Plains and Gulf Coast (three percent), the Colorado Plateau (two percent) and in the Illinois Basin (0.1 percent of groundwater withdrawals is used for private supply).

In summary, Alternative 3 is expected to provide Major Benefits to water resources over an indefinite period of time, in the large coal regions of the Appalachian and Illinois Basins. As stated above, in these regions, Alternative 3 is expected to improve the water quality across a wide geographic area in these two coal regions, a total of 207 stream miles annually. The water resource benefits of Alternative 3 are classified as Moderate in the Colorado Plateau, Gulf Coast and Northern Rocky Mountains/Great Plains regions because the alternative would improve a moderate number of intermittent, perennial and ephemeral streams in these regions relative to the No Action Alternative.

At the national level, Alternative 3 is classified as Major Beneficial because it is expected to generate long-term positive benefits on water resources across an extensive geographic region, specifically Major Benefits in the two large coal-producing regions and Moderate Benefits in an additional three coal regions.

4.2.1.6.4 Alternative 4

Similar to Alternatives 2 and 3, Alternative 4 includes a number of rule elements that are expected to improve downstream water quality as compared to the No Action Alternative. Improvements to surface water quality are not limited to onsite streams, but are expected to persist downstream of the mine sites as the water flows through and off of the mine. In particular, Alternative 4 requires enhanced monitoring before and during mining activities relative to the No Action Alternative, which should improve understanding of the premining hydrology as well as the probable hydrologic consequences of mining activities, possibly allowing earlier detection of water quality degradation during mining operations and mining reclamation activities. In addition to enhanced monitoring, rule elements defining material damage to the hydrologic balance and establishing evaluation thresholds serve as an additional preventative measure designed to increase the likelihood that water quality problems are detected early, when more effective and less costly corrective measures are possible. Requirements for AOC restoration should further reduce stream filling and improve water quality and preserve water flow by requiring permit applicants to restore the surface configuration to a condition that more closely resembles and functions like the premining landforms, with convex and concave patterns, and ephemeral channels. Alternative 4 would also require a 300-foot streamside vegetative corridor for intermittent and perennials streams; streamside vegetative corridors improve water quality by decreasing flow velocity and capturing suspended sediment, thereby limiting the mobility of sediment to receiving surface water bodies.

Collectively these rule elements are expected to generate annual water quality improvements across approximately 263 stream miles of intermittent and perennial streams relative to the No Action Alternative. The majority of improved stream miles are expected to occur in Appalachia (174 of 262 stream miles annually), as small mine size and high stream density leads to high per-ton effects on downstream stream miles (Table 4.2-12). Downstream water quality improvements are also expected in a moderate number of stream miles in the other four coal regions of Illinois Basin, Gulf Coast, Northern Rocky Mountain/Great Plains and Colorado Plateau, where Alternative 4 is expected to generate improvements in 33, 29, 16 and 4 stream miles respectively.

While the total number of stream miles currently degraded annually by coal mining activities under the No Action Alternative is not readily available; in one point of comparison, USACE permitted coal mining activities to occur on a range of 257 to 115 stream miles between 2012 and 2014 across four coal regions. The majority (between 69 and 86 percent over the three year timeframe) of these affected stream miles occurred in Illinois Basin followed by Appalachian Basin, with a collective, three-year average in these two coal regions of 146 stream miles per year. These data suggest the water quality improvements generated under Alternative 4 in the Appalachian and Illinois Basins may represent a potentially substantial share of the overall stream miles affected by coal mining activities annually under current regulations. For this reason, impacts of Alternative 4 on the water quality of downstream intermittent and perennial streams in the Appalachian and Illinois Basin are considered Major Beneficial.

Alternative 4 would also reduce the filling of streams by prohibiting surface mining activities in or within 100 feet of intermittent and perennial streams unless the applicant demonstrates that the activity would not: (1) preclude premining stream uses; (2) have more than a minimal adverse impact on the premining biological condition of the stream segment; or (3) cause material damage to the hydrologic balance outside the permit area. In the Appalachian Basin, these limitations are expected to avoid the filling of four streams annually, a modest amount when compared to one study which estimated coal mining activities filled 18 streams in 2012 in West Virginia.

Ephemeral streams are also anticipated to benefit under Alternative 4 due to rule elements that require the permittee to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation. Specifically, these rule elements are expected to result in the restoration of 22 miles of ephemeral streams annually. The majority of these ephemeral stream miles are expected to occur in three coal regions, the Illinois Basin, Gulf Coast and the Northern Rocky Mountains/Great Plains regions where Alternative 4 is expected to result in the annual restoration of seven, six and five ephemeral stream miles, respectively.

As a result of more frequent monitoring, Alternative 4 is also expected to allow earlier detection of emerging groundwater and drinking water quality issues as compared to the No Action Alternative. Additionally, Alternative 4 would improve groundwater quality through AOC variance conditions. Specifically, for AOC variances for mountaintop removal operations, Alternative 4 would require a demonstration of no increase in parameters of concern in discharges to groundwater. Groundwater usage for private supplies is susceptible to changes in water quality and quantity because wells may not be monitored consistently and treated accordingly. The Appalachian Basin has the greatest percentage of withdrawn groundwater used for private supply (20 percent), suggesting a relatively high potential for groundwater benefits in this region. Groundwater benefits may be more moderate in the Western Interior

where the percent of withdrawn groundwater used for private supply is more modest at five percent annually. The share of groundwater withdrawals used for private supply is even lower in the Northern Rocky Mountains/Great Plains and Gulf Coast (three percent), the Colorado Plateau (two percent) and in the Illinois Basin (0.1 percent of groundwater withdrawals is used for private supply). In summary, Alternative 4 is expected to provide Major Benefits to water resources over an indefinite period of time, in the large coal regions of the Appalachian and Illinois Basins. As stated above, in these regions, Alternative 4 is expected to improve the water quality across a wide geographic area in these two coal regions, a total of 207 stream miles annually. The water resource benefits of Alternative 4 are classified as Moderate in the Colorado Plateau, Gulf Coast and Northern Rocky Mountains/Great Plains regions because the alternative would improve a moderate number of intermittent, perennial and ephemeral streams in these regions relative to the No Action Alternative.

At the national level, Alternative 4 is classified as Major Beneficial because it is expected to generate long-term positive benefits on water resources across an extensive geographic region, specifically Major Benefits in the two large coal-producing regions and Moderate Benefits in an additional three coal regions.

4.2.1.6.5 Alternative 5

The benefits accruing to water resources under Alternative 5 are concentrated in the Appalachian Basin areas because this alternative limits the applicability of the rule to areas where mining operations place excess spoil outside of the mined area or where coal mine refuse disposal occurs in in perennial or intermittent streams. These practices are restricted to the Appalachian Basin and as such, Alternative 5 is not expected to generate quantitative benefits to water resources in any of the other coal regions (see Tables 4.2-10 to 4.2-13).

Alternative 5 is expected to be Moderately Beneficial to water resources in the Appalachian Basin because of rule elements that avoid the filling of four intermittent and perennial streams annually, the restoration of one ephemeral stream per year and water quality improvements across 174 downstream intermittent and perennial stream miles annually. Although, the magnitude of any water quality improvements realized under Alternative 5 is likely constrained because this alternative does not contain a definition of “material damage to the hydrologic balance outside the permit area,” preserves the existing definitions for ephemeral, intermittent and perennial streams and would not require evaluation thresholds.

In summary, while Alternative 5 is expected to provide Moderate Benefits to the Appalachian Basin, the rule’s limited applicability in other coal regions suggests this alternative provides only a Minor Benefit at the national level.

4.2.1.6.6 Alternative 6

Alternative 6 is limited to mining activities conducted in intermittent or perennial streams or within 100 feet of those streams. In these areas, Alternative 6 would prohibit all mining activities unless the regulatory authority makes specific findings concerning the environmental impacts of the proposed operation. In the Appalachian Basin, these limitations are expected to avoid the filling of four streams annually, a modest amount when compared to one study which estimated coal mining activities filled 18 streams in 2012 in West Virginia. Notably, rule elements requiring restoration of ephemeral streams generate quantitative benefits across all but one coal region, with the greatest benefits accruing to

ephemeral streams in the three coal regions of Illinois Basin, the Gulf Coast and the Northern Rocky Mountains/Great Plains.

As highlighted in Table 4.2-12, Alternative 6 is expected to generate improvements to 263 miles of intermittent and perennial streams annually, the majority of which occur in the Appalachian Basin. A number of rule elements contribute to water quality improvements under Alternative 6, including but not limited to limitations on mining activities (or the impacts thereof) in or within 100 feet of intermittent and perennial streams, requirements to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation principles, requirements to establish streamside vegetative corridors at least 100 feet in width along the entire reach of all streams, including ephemeral streams, within the permit area after completing mining and regulatory authority to prohibit mining of high-value habitats within the proposed permit area.

While these water quality improvements are expected to be long-term in nature (i.e., extending beyond the life of the mine), the geographic extent of such improvements would be limited within coal mining permit boundaries. The rules for mining activities in all other areas of the permit remain relatively unchanged from the No Action Alternative. In addition, while Alternative 6 does require some incremental baseline data collection and monitoring, the alternative does not require a definition of material damage to the hydrologic balance or establish evaluation thresholds. As a result, the potential for alerting regulators to groundwater quality and quantity issues is lower and the alternative contains less clear standards for restoring groundwater quality under Alternative 6. For these reasons, across the four coal-producing regions and at the national level, Alternative 6 is anticipated to provide Moderate Beneficial impacts to water resources.

4.2.1.6.7 Alternative 7

Under Alternative 7, additional permitting requirements are focused on a smaller subset of mining operations involving factors that OSMRE has determined pose additional risk to the environment and warrant enhanced permitting requirements (e.g., steep slope areas, and riparian areas). Because the conditions warranting enhanced permitting requirements exist throughout most of the Appalachian Basin, Alternative 7 is expected to generate Major Benefits to water resources in this coal region. As discussed in Section 4.2.1.4, Alternative 7 would avoid the filling of approximately four miles of intermittent and perennial miles per year, the restoration of one mile of ephemeral streams per year and the preservation of one mile of intermittent and perennial streams per year. These water resources benefits appear modest when compared to one study which estimated coal mining activities filled 18 streams in 2012 in West Virginia. In addition to these benefits, the Alternative would also result in improvements to the water quality of 159 miles of downstream intermittent and perennial streams in the Appalachian Basin. For these streams, water quality improvements are expected to be significant and long-term in nature (i.e., extending beyond the life of the mine) because the stringency of additional permitting requirements are the same as Alternative 2, with one exception; Alternative 7 includes no standard material damage to the hydrologic balance definition but rather defines material damage to the hydrologic balance on a permit-specific basis.

While the benefits to water resources in Appalachian Basin may be substantial under Alternative 7, the applicability of Alternative 7 in other coal regions is more limited. For example, in all other coal regions, the geographic extent of water quality improvements to downstream intermittent and perennial streams is

relatively modest with six stream miles affected annually in the Gulf Coast and between one to three stream miles affected annually in the other five coal regions of Colorado Plateau, Illinois Basin, Northern Rocky Mountains/Great Plains and the Western Interior. In most of these regions, conditions that warrant enhanced permitting exist in only ten (Illinois Basin, Northwest and Western Interior) to 20 percent (Gulf Coast and Northern Rocky Mountains) of mines in these regions. While Alternative 7 is expected to affect up to 60 percent of mines in Colorado Plateau, this translates to a modest two miles of intermittent and perennial streams per year.

Because of the stringency of the additional permitting required, Alternative 7 is expected to generate benefits to water resources that are long-term in nature (i.e., extending beyond the life of the mine). The geographic extent of such benefits, however, is concentrated in the Appalachian Basin with limited applicability in the other coal regions. As such, the benefits to water resources are considered Major Beneficial in the Appalachian Basin and only Moderately Beneficial in the other coal regions of Colorado Plateau, Gulf Coast, Illinois Basin and Northern Rocky Mountains/Great Plains. Because of the limited geographic extent of Alternative 7, this alternative is classified as Moderately Beneficial at the national level.

4.2.1.6.8 Alternative 8 (Preferred)

Alternative 8 (Preferred) includes a number of rule elements that are expected to improve downstream water quality as compared to the No Action Alternative. Improvements to surface water quality are not limited to onsite streams, but are expected to persist downstream of the mine sites as the water flows through and off of the mine. In particular, Alternative 8 (Preferred) requires enhanced monitoring before and during mining activities relative to the No Action Alternative, which should improve understanding of the premining hydrology as well as the probable hydrologic consequences of mining activities, possibly allowing earlier detection of water quality degradation during mining operations and mining reclamation activities. In addition to enhanced monitoring, rule elements defining material damage to the hydrologic balance and establishing evaluation thresholds should serve as an additional preventative measure designed to increase the likelihood that water quality problems are detected early, when more effective and less costly corrective measures are possible. Requirements for AOC restoration should further reduce stream filling and improve water quality and preserve water flow by requiring permit applicants to restore the surface configuration to a condition that more closely resembles and functions like the premining landforms, with convex and concave patterns, and ephemeral channels. Alternative 8 (Preferred) would also require a 100-foot streamside vegetative corridor (on either side of stream banks) for all stream types, ephemeral, intermittent and perennial; streamside vegetative corridors improve water quality by decreasing flow velocity, thereby limiting the mobility of sediment to receiving surface water bodies.

Collectively these rule elements are expected to generate annual water quality improvements across approximately 263 stream miles of intermittent and perennials streams relative to the No Action Alternative. The majority of improved stream miles are expected to occur in Appalachia (174 of 263 stream miles annually), as small mine size and high stream density leads to high per-ton effects on downstream stream miles (Table 4.2-12). Downstream water quality improvements are also expected in a moderate number of stream miles in the other four coal regions of Illinois Basin, Gulf Coast, Northern Rocky Mountain/Great Plains and Colorado Plateau, where Alternative 8 (Preferred) is expected to generate improvements in 33, 29, 16 and 4 stream miles respectively.

While the total number of stream miles currently degraded annually by coal mining activities under the No Action Alternative is not readily available; in one point of comparison, USACE permitted coal mining activities to occur on a range of 257 to 115 stream miles between 2012 and 2014 across four coal regions. The majority (between 69 and 86 percent over the three year timeframe) of these affected stream miles occurred in Illinois Basin followed by Appalachian Basin, with a collective, three-year average in these two coal regions of 146 stream miles per year. These data suggest the water quality improvements generated under Alternative 8 (Preferred) in the Appalachian and Illinois Basins may represent a potentially substantial share of the overall stream miles affected by coal mining activities annually under current regulations. For this reason, impacts of Alternative 8 (Preferred) on the water quality of downstream intermittent and perennial streams in the Appalachian and Illinois Basin are considered Major Beneficial.

Alternative 8 (Preferred) would also reduce the filling of streams by setting limitations on the type of mining activities that can occur within 100 feet of intermittent and perennial streams. In the Appalachian Basin, these limitations are expected to avoid the filling of four streams annually, a modest amount when compared to one study which estimated coal mining activities filled 18 streams in 2012 in West Virginia.

Ephemeral streams are also anticipated to benefit under Alternative 8 (Preferred) due to rule elements that require the permittee to restore the hydrologic function of ephemeral streams to the extent required by geomorphic reclamation. Specifically, these rule elements are expected to result in the restoration of 22 miles of ephemeral streams annually. The majority of these ephemeral stream miles are expected to occur in three coal regions, the Illinois Basin, Gulf Coast and the Northern Rocky Mountains/Great Plains regions where Alternative 8 (Preferred) is expected to result in the annual restoration of seven, six and five ephemeral stream miles, respectively.

As a result of more frequent monitoring, Alternative 8 (Preferred) is also expected to allow earlier detection of emerging groundwater and drinking water quality issues as compared to the No Action Alternative. Additionally, Alternative 8 (Preferred) would improve groundwater quality through AOC variance conditions. Specifically, for AOC variances for mountaintop removal operations, Alternative 8 (Preferred) would require a demonstration of no increase in parameters of concern in discharges to groundwater. Groundwater usage for private supplies is susceptible to changes in water quality and quantity because wells may not be monitored consistently and treated accordingly. The Appalachian Basin has the greatest percentage of withdrawn groundwater used for private supply (20 percent), suggesting a relatively high potential for groundwater benefits in this region. Groundwater benefits may be more moderate in the Western Interior where the percent of withdrawn groundwater used for private supply is more modest at five percent annually. The share of groundwater withdrawals used for private supply is even lower in the Northern Rocky Mountains/Great Plains and Gulf Coast (three percent), the Colorado Plateau (two percent) and in the Illinois Basin (0.1 percent of groundwater withdrawals is used for private supply). In summary, Alternative 8 (Preferred) is expected to provide Major Benefits to water resources over an indefinite period of time, in the large coal regions of the Appalachian and Illinois Basins. As stated above, in these regions, Alternative 8 (Preferred) is expected to improve the water quality across a wide geographic area in these two coal regions, a total of 207 stream miles annually. The water resource benefits of Alternative 8 (Preferred) are classified as Moderate in the Colorado Plateau, Gulf Coast and Northern Rocky Mountains/Great Plains regions because the alternative would improve a

moderate number of intermittent, perennial and ephemeral streams in these regions relative to the No Action Alternative.

At the national level, Alternative 8 (Preferred) is classified as Major Beneficial because it is expected to generate long-term positive benefits on water resources across an extensive geographic region, specifically Major Benefits in the two large coal-producing regions and Moderate Benefits in an additional three coal regions.

4.2.1.6.9 Alternative 9

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on water resources.

4.2.1.7 *Potential Minimization and Mitigation Measures*

The effects of Action Alternatives on water resources are beneficial, themselves comprising minimization and mitigation measures in many cases. Thus, potential minimization and mitigation measures are not relevant to this evaluation.

4.2.2 Biological Resources

This section evaluates the potential effects of the Alternatives on biological resources in each of the coal mining regions. Chapter 3 provides an overview of the terrestrial and aquatic habitats occurring in coal-producing areas, describing vegetative cover for terrestrial systems as well as the features of flowing and ponded aquatic systems. Changes to the quality and quantity of these resources in turn affect the wildlife communities they support.

This chapter assesses the potential impacts of the Action Alternatives on these biological resources by comparing relative levels of protection afforded by the Action Alternatives as compared to the No Action Alternative (Alternative 1) at typical (model) mine sites within each coal region. The section is organized as follows:

- It first describes the existing regulatory environment to assist the reader in understanding the impacts of the No Action Alternative on biological resources.
- Second, the discussion identifies the biological resources most likely to be affected by implementation of the Action Alternatives and the rationale for these findings.
- It then describes the methods for assessing the expected magnitude of impact of the Action Alternatives on these resources.

- Next, the results of the quantitative analysis are presented, along with additional qualitative evaluation of other potential impacts.
- The section concludes with a summary of the expected effects of the Action Alternatives, characterizing the impacts by coal region and Alternative.

4.2.2.1 Effects of the Current Regulatory Environment (the No Action Alternative)

Coal mining alters the surface landscape by changing its configuration and physical properties. The short- and long-term disturbance created by surface and underground coal extraction significantly changes the biological resources of surface lands. Specifically, coal mining affects: (1) the biological composition, which is the number and proportion of habitat types (e.g., the amount of forest, length of stream habitat); (2) the biological structure, which is the geographical arrangement of the habitat types; and (3) the biological function, which is how these arranged habitat types interact with their respective plant and animal species. These effects vary in temporal and spatial scale; in some instances, these effects extend past the coal mining permit boundary and after final bond release.

Several existing laws and regulations address protection of the terrestrial and aquatic biological resources that occur near coal mining areas. The following discussion identifies the laws and regulations protecting fish, terrestrial fauna, and endangered species, with a focus on key aspects of SMCRA and the Endangered Species Act.

4.2.2.1.1 SMCRA

Section 515 of SMCRA requires that, “to the extent possible using the best technology currently available,” surface coal mining operations “minimize disturbances and adverse impacts of the operation on fish, wildlife, and related environmental values, and achieve enhancement of such resources where practicable” (30 U.S.C. §1265(b)(24)). This provision applies to any fish, wildlife, or related environmental values identified during the permitting process that could benefit from protective measures to minimize disturbances and adverse impacts or enhancement of such resources.

Fish, wildlife, and related environmental values are addressed directly within the implementing regulations of SMCRA. To achieve the mandate of section 515, OSMRE regulations include specific requirements for these resources at the permit application stage, during mining through the requirement for enhancement measures, and during consideration and implementation of the post mining land use.

The implementing regulations for SMCRA require the permit application to contain information on fish and wildlife resources within the permit and adjacent area (30 CFR 780.16(a)). The regulatory authority determines the required scope and level of detail for such information in consultation with state and federal agencies responsible for fish and wildlife. Each application must include a description of how, to the extent possible using the best technology currently available (BTCA), the operator would minimize disturbances and adverse impacts on fish and wildlife and related environmental values, including compliance with the Endangered Species Act. This is the protection and enhancement plan specifically required by 30 CFR 780.16(b).

The protection and enhancement plan is required to be consistent with applicable performance standards at 30 CFR 816.97 and 817.97 that require the operator to include protective measures for use during active phases of the mining operation, and to include proactive measures to minimize or avoid impacts.

For example, 30 CFR 816.97(e) and 817.97(e) require that each operator shall, to the extent possible using the BTCA:

- Ensure that electric power lines and other transmission facilities used for, or incidental to, surface mining activities on the permit area are designed and constructed to minimize electrocution hazards to raptors, except where the regulatory authority determines that such requirements are unnecessary;
- Locate and operate haul and access roads so as to avoid or minimize impacts on important fish and wildlife species or other species protected by state or federal law;
- Design fences, overland conveyors, and other potential barriers to permit passage for large mammals, except where the regulatory authority determines that such requirements are unnecessary; and
- Use fencing, covers, or other appropriate methods to exclude wildlife from ponds that contain hazardous concentrations of toxic-forming materials.

The regulations at 30 CFR 816.97(f) and 817.97(f) provide additional protections for wetlands and habitats of unusually high value for fish and wildlife. The operator must avoid disturbances to, enhance where practicable, restore, or replace, wetlands and streamside vegetation along rivers and streams and bordering ponds and lakes. Surface mining activities must avoid disturbances to, enhance where practicable, or restore, habitats of unusually high value for fish and wildlife.

The regulations also require an applicant who intends to select certain postmining land uses to incorporate specific measures to the benefit of fish and wildlife resources. The regulations at 30 CFR 816.97(g) and 817.97(g) require that, where fish and wildlife habitat would be part of the postmining land use, the reclamation plan must include plant species selected on the basis of the following criteria:

- Their proven nutritional value for fish or wildlife;
- Their use as cover for fish or wildlife; and
- Their ability to support and enhance fish or wildlife habitat after the release of performance bonds. The selected plants must be grouped and distributed to optimize edge effect, cover, and other benefits to fish and wildlife.

The regulations at 30 CFR 816.97(h) and 817.97(h) require that, where cropland would be the postmining land use, and where appropriate for wildlife- and crop-management practices, the operator must intersperse fields with trees, hedges, or fence rows throughout the harvested area to break up large blocks of monoculture and to diversify habitat types for birds and other animals. Likewise, 30 CFR 816.97(i) and 817.97(i) require that, where residential, public service, or industrial uses are to be the postmining land use, and where consistent with the approved postmining land use, the operator must intersperse reclaimed lands with greenbelts using species of grass, shrubs, and trees useful as food and cover for wildlife.

Beyond these specific requirements that pertain to consideration and protection of fish, wildlife and related environmental values there are many aspects of the implementing regulations that affect the mining operation and in turn affect the impacts of this operation on biological resources. For example, current SMCRA implementing regulation requirements for spoil placement, activities in and within streams, and reclamation all have impacts either directly or indirectly on biological resources by allowing

activities to occur in certain habitats, and by restricting them in others. Scientific findings on impacts to biological resources under the full suite of existing regulations are discussed more thoroughly below in the section entitled “Documented Impacts under the No Action Alternative.”

4.2.2.1.2 ESA Consultations Related to this Rulemaking

Prior to the enactment of SMCRA, Congress, in 1973, enacted the ESA to, among other purposes, “provide a means whereby the ecosystems upon which endangered species and threatened species depend may be conserved [and] to provide a program for the conservation of such endangered species and threatened species...” (16 U.S.C. § 1531(b)). Through the ESA, Congress declared “that all Federal departments and agencies shall seek to conserve endangered species and threatened species and shall utilize their authorities in furtherance of the purposes of the [ESA]” (16 U.S.C. § 1531(c)).

To carry out these purposes and the policies, ESA section 7(a)(1) requires all federal agencies, in consultation and with the assistance of the U.S. Fish & Wildlife Service (U.S. FWS), to exercise their authorities to carry out programs for the conservation of endangered and threatened species (16 U.S.C. § 1536(a)(1)). Section 7(a)(2) requires each federal agency, in consultation with the U.S. FWS, “to insure that any action authorized, funded, or carried out...is not likely to jeopardize the continued existence of any endangered species or threatened species or result in the destruction or adverse modification of [critical] habitat” (16 U.S.C. § 1536(a)(2)). Section 7(a)(4) requires federal agencies to confer with the U.S. FWS on any agency action that is likely to jeopardize the continued existence of any species proposed for listing or result in adverse modification of proposed critical habitat (16 U.S.C. § 1536(a)(4)). Each agency is required to use and provide the U.S. FWS with the best scientific and commercial data available when undergoing consultation in order to determine the effects of its action upon listed species or critical habitat (*Id.*; 50 CFR 402.14(d)(2)). The ESA regulations outlining the substantive and procedural requirements for section 7(a)(2) consultation are codified at 50 CFR Part 402. The regulations require the federal agency taking the action to formally consult with the U.S. FWS if its action “may affect” a listed species (50 CFR 402.14(a)).

On September 24, 1996, the U.S. FWS issued a biological opinion (BO) and conference report to OSMRE (OSMRE, 1996) on the continuation and approval and conduct of surface coal mining and reclamation operations under state and federal regulatory programs adopted pursuant SMCRA where such operations may adversely affect species listed as threatened or endangered or designated critical habitat under the ESA. After reviewing SMCRA, its implementing regulations, the effects of the proposed action, and the cumulative effects of future state, tribal, local or private actions that are reasonably certain to occur, the U.S. FWS concluded in the 1996 BO that surface coal mining and reclamation operations conducted in accordance with properly-implemented regulatory programs under SMCRA are not likely to jeopardize the continued existence of ESA-listed or proposed species or future listed species and are not likely to result in the destruction or adverse modification of designated or proposed critical habitat. The Incidental Take Statement (ITS) in the 1996 BO exempted OSMRE or the state regulatory authority from the prohibitions of section 9 of the ESA if it complied with the terms and conditions included in the ITS.

The terms and conditions are as follows:

1. The regulatory authority, acting in accordance with the applicable SMCRA regulatory program, must implement and require compliance with any species-specific protective measures developed

by the U.S. FWS field office and the regulatory authority (with the involvement, as appropriate, of the permittee and OSMRE).

2. Whenever possible, the regulatory authority must quantify the take resulting from activities carried out under this program. Whenever a dead or impaired individual of a listed species is found, the local U.S. FWS office must be notified within one (1) working day of the discovery.
3. Whenever the regulatory authority decides not to implement one or more of the species-specific measures recommended by the U.S. FWS, it must provide a written explanation to the U.S. FWS. If the U.S. FWS does not concur, the issue must be elevated through the chain of command of the regulatory authority, the U.S. FWS, and (to the extent appropriate) OSMRE for resolution.

The “fish, wildlife, and related environmental values” described in section 515 of SMCRA clearly encompass threatened or endangered species or their critical habitats. Existing OSMRE regulations require that applicants for surface coal mining operations provide sufficient fish and wildlife resources information for the proposed permit area and adjacent area to design a protection and enhancement plan (PEP) that complies with sections 7 and 9 of the ESA and minimizes disturbances and adverse impacts on fish, wildlife, and related environmental values, and enhances those resources where practicable (30 CFR 780.16). Before the regulatory authority can approve the permit application, the regulatory authority must find that the “operation would not affect the continued existence of endangered or threatened species or result in destruction or adverse modification of their critical habitats, as determined under the Endangered Species Act of 1973” (30 CFR 773.15(j)).

U.S. FWS field staff provides technical assistance and recommendations to OSMRE and the appropriate regulatory authority. The regulatory authority ensures that any listed species or designated critical habitats are considered as the application is developed. As part of the process of ensuring full compliance with SMCRA and the ESA, OSMRE and state regulatory authorities have worked with U.S. FWS to develop comprehensive protection and enhancement plans/guidelines (PEPs) for commonly encountered threatened and endangered species. As of 2013, PEPs were developed for the Indiana Bat (*Myotis sodalist*) and the blackside dace (*Chrosomus cumberlandensis*) (OSMRE, 1996; U.S. FWS, 2004b).

As provided in 50 CFR 402.16, reinitiation of formal consultation is required when discretionary federal agency involvement or control over the action has been maintained (or is authorized by law) and if (1) new information reveals that the agency action may affect listed species or critical habitats in a manner or to an extent not considered in the original opinion, or (2) the agency action is modified in a manner that causes an adverse effect to listed species or critical habitat that was not considered in the original opinion.

4.2.2.1.3 Documented Impacts under the No Action Alternative

Under the existing regulations (the No Action Alternative), scientific studies have found a correlation between the effects of SMCRA permitted mining operations on the hydrologic balance and adverse impacts to biological resources downstream of the mine site. These impacts to biological resources include habitat loss and habitat degradation. Documented downstream effects to the hydrologic balance on biological resources include:

- Effects to the thermal regime (the fluctuation of water temperature throughout the year);
- Effects to the flow regime (the baseline flow, or minimum flow of water throughout the year, and the pulses of water due to significant precipitation events);

- Effects to downstream chemistry (e.g., water pH and conductivity); and
- Changes in downstream sedimentation (e.g., the amount and particle size of sand, silt, and decaying organic matter deposited onto streambeds).

Adverse impacts on ecological communities continue to occur in coal mining regions, as documented in studies discussed below. Many of the available studies were conducted in the Appalachian Basin region (e.g., U.S. EPA et al., 2003; Pond et al., 2008; Palmer et al., 2010; Presser and Luoma, 2010; Woody et al., 2010; Bernhardt et al., 2012; Pond, 2012; Presser, 2013; Pond et al., 2014). However, studies are available from other coal-producing areas, e.g., Big Black River tributaries in Mississippi (Rohasliney and Jackson, 2009), Hocking River drainage basin in southeastern Ohio (Verb and Vis, 2000), and streams in British Columbia (Harding et al., 2005). Two other states, Colorado and Indiana, have studies reporting directly on stream effects of coal mining; however, these studies were performed before 1983 (Canton and Ward, 1981; and Wangsness, 1982) and may not be representative of impacts that are occurring under existing regulations.

The discussion below reviews key studies that have documented adverse mining impacts on biological resources under current regulations. The first subsection reviews literature examining how activities in or near streams have affected aquatic ecosystems; the second subsection focuses on postmining land use and reclamation, and its influence on biological systems.

4.2.2.1.4 Documented Impacts Related to Activities in or Near Streams

Under the No Action Alternative, the regulatory authority may authorize mining activities within 100 feet of a stream, including placement of spoil, only after finding that the proposed activities would not cause or contribute to a violation of applicable federal or state water quality standards under the Clean Water Act and would not adversely affect the water quantity and quality or other environmental resources of the stream (30 CFR 816.57). However the studies presented in the paragraphs below show that mining continues to have effects on aquatic habitats due to chemical effects to the water column itself, removal of streamside vegetation which then can cause thermal effects to the water, diversion of the waters of the stream, or changes to the texture and composition of the stream substrates. The studies described below also indicate that mining related degradation of aquatic habitats may cause shifts in species composition, changes in demographics and dynamics of aquatic populations, and loss of taxa.

Changes in the aquatic biological community as a result of mining have been demonstrated through surveys of macroinvertebrate communities. Macroinvertebrates are organisms that are large (macro) enough to be seen with the naked eye and lack a backbone (invertebrate). They inhabit all types of running waters, and most live part or most of their life cycle attached to submerged rocks, logs, and vegetation. Examples of aquatic macroinvertebrates include insects in their larval or nymph form, crayfish, clams, snails, and worms.

Water quality assessment relies so heavily on macroinvertebrate surveys because these organisms:

- Are affected by the physical, chemical, and biological conditions of the stream;
- Cannot escape pollution and thus do show the effects of short- and long-term pollution events;
- May show the cumulative impacts of pollution;
- May show the impacts from habitat loss not detected by traditional water quality assessments; and

- Differ by genus and species in their tolerance of pollution.

The abundance and diversity of macroinvertebrate species can, therefore, be a first order indicator of the relative health of a stream. In general, a stream that contains robust populations of pollution-sensitive macroinvertebrate species may imply a healthier system relative to a stream dominated by pollution-tolerant species.

Mining has impacts on downstream water chemistry conditions even when done in compliance with existing regulations (Palmer and Hondula, 2014; Pond et al., 2014). Under existing federal regulations, measurement of conductivity in water discharged from mine sites is not required. At elevated levels of conductivity stream water can be directly toxic to freshwater aquatic organisms by disrupting osmoregulation (Pond et al., 2008). Further, elevated levels of stream conductivity have been correlated with the lower abundance and diversity of macroinvertebrates, diatoms, and fish species (Chambers and Messinger, 2001; Hartman et al., 2005; Carlisle et al., 2008; Smucker and Vis, 2009; Kimmel and Argent, 2010; and Bernhardt et al., 2012).

Pond et al. (2008) characterized macroinvertebrate communities in 37 streams in West Virginia (10 unmined sites and 27 sites near coal mining activity) and found that coal mining affected the condition of streams in the following four respects: shifts in species assemblages; losses of Ephemeroptera (mayfly) taxa; and changes in water chemistry. Additionally, Pond, et al. (2008) showed that benthic macroinvertebrate communities in streams below valley fills in West Virginia were impaired at conductivity levels as low as 500 $\mu\text{S}/\text{cm}$. More recently, EPA has proposed a year round benchmark for aquatic life in the Appalachian region of 300 $\mu\text{S}/\text{cm}$, above which conductivity can be directly toxic to aquatic life. Pond et al. (2008) also found a nearly complete absence of mayflies in streams below mined sites. Pond (2010) showed that relative mayfly abundance was negatively correlated with specific conductance. A follow-up study published by Pond (2012) studied headwater stonefly (Plecoptera) and caddisfly (Trichoptera) assemblages in reference, mined, residential, and residential/mined areas. Much like Ephemeropteran declines seen by Pond, et al. (2008), Plecopteran and Trichopteran communities were radically altered in streams near mining and residential areas. In West Virginia, Green, et al. (2000) found that median conductivity was strongly negatively correlated with the condition of streams assessed under the West Virginia Stream Condition Index. Howard, et al. (2001) found a strong negative correlation between conductivity and biological condition in streams in Kentucky. Pond (2004) showed a strong negative correlation between conductivity and biological condition as well as wholesale loss of mayflies (Ephemeroptera) below mined sites in Kentucky.

In addition, Palmer, et al. (2010) reported that several metals known to be stressors of aquatic life (e.g., selenium, aluminum, and iron) were associated with sulfate, which is highly correlated with conductivity. Streams with selenium-impacted mine runoff have exhibited decreased abundance of salamander, fish, and bird populations (Patnode et al., 2005; U.S. EPA, 2011b; Hitt and Chambers, 2014). Aluminum is also toxic to invertebrates and fish, and can occur in higher concentrations downstream of mine runoff (Chambers and Messinger, 2001). These and other metal pollutants have the potential to bioaccumulate and biomagnify in ecosystem-based food webs (e.g., Presser and Luoma, 2010; Presser, 2013). Because the main route of selenium exposure is dietary, even low concentrations in stream waters have the potential to bioaccumulate in the food web through the consumption of contaminated food. Thus, EPA has recommended expanded selenium criteria to include biomass tissue-based thresholds as indicators of

aquatic life toxicity (U.S EPA, 2014i). EPA released updated aquatic life ambient water quality criteria in 2016 to reflect the importance of toxicity through contaminated food (U.S. EPA, 2016).

Current OSMRE regulations require baseline data and monitoring but are not preventing all impacts to water quality. Only some of these adverse impacts are linked to pollutants (substances at levels high enough to cause damage to biological resources) that must be identified as part of the water quality and quantity measurements required by the regulations implementing SMCRA and the CWA. As discussed above, coal mining is known to change the concentration of total dissolved solids downstream to an extent sufficient to adversely affect downstream biological communities (e.g., Locke et al., 2006; U.S. EPA et al., 2003; Hartman et al., 2005; Pond et al., 2008; Palmer et al., 2010). In addition, high levels of total suspended solids (i.e., sediment) below mining operations have also been shown to be predictive of downstream biological communities. For example, high levels of total suspended solids have been shown to be correlated to lower species diversity of macroinvertebrates (e.g., aquatic insects and mussels), and reduced abundance of salamanders and fish downstream of mining operations (Chambers and Messinger, 2001; Wood and Williams, 2013).

Under current federal regulations, mining through streams requires the complete reconstruction of the streambed. Mining-related effects to biological resources occur both directly (at the mine and fill sites during mining and after final reclamation) and indirectly (impairing downstream water quality and quantity, more thoroughly described in Subsection 4.2.2.1). Generally, when streams are mined through, a majority of the biota is lost (OSMRE, 2008; Pond et al., 2008). In many cases where streams are buried by overburden, the streams are eliminated along with the biota that once inhabited them (U.S. EPA et al., 2003; Pond et al., 2008; Palmer et al., 2010). Reclamation of the stream often focuses only on its return to form (e.g., the length of the stream, how it interacts with surface and groundwater) and does not include restoration of the stream function (e.g., returning the streambed habitat to a state where wildlife present before mining can return) (OSMRE, 2008; Pond et al., 2008; Northington et al., 2011; Petty, et al., 2013). The species composition of aquatic systems in areas surrounding mining activities has been shown to become more homogenized and dominated by generalist species more tolerant of disturbance as a result (Weed and Rutschky, 1971; Chapin et al., 1997; Walters et al., 2003; Carlisle et al., 2008; Pond et al., 2008; Pond, 2012).

Macroinvertebrates are often the most directly damaged by these downstream effects (e.g., U.S. EPA, et al., 2003; Pond, et al., 2008; Fritz, et al., 2010; Palmer, et al., 2010; Woody, et al., 2010; Bernhardt, et al., 2012; Pond, 2012; Pond, et al., 2014). Macroinvertebrates provide an important food source to amphibians, fish, bats, and other wildlife, have an important influence on nutrient cycling within streams, and serve as valuable indicators of stream degradation (U.S. EPA, 2005; U.S. EPA, 2011b). Wildlife that feed on macroinvertebrates (fish, bats, birds, etc.) may be indirectly affected through reduced prey populations or through the bioaccumulation of pollutants from feeding on contaminated prey (Woodward, et al., 1997; Harding, et al., 2005; Kimmel and Argent, 2010; Hopkins, et al., 2013). Loss of diversity and abundance of macroinvertebrates and contamination of these organisms is important therefore not only as an indication that a stream is degraded but also because of the implications for other important functions these organisms perform.

Valley fills are currently permitted under the existing regulations implementing SMCRA as part of certain mining methods (notably area mines and mountaintop removal mines), and in several coal basins these

fills permanently bury ephemeral, intermittent, and perennial streams (U.S. EPA, et al., 2003; Pond, et al., 2008). Under the current regulations, operators can be allowed to place spoil directly into streams under certain circumstances. Spoil placed directly into streams (a notably common practice at area mines, mountaintop removal mines, and when using durable rock fills) permanently buries sections of streams (U.S. EPA, et al., 2003; Pond, et al., 2008). Organisms that cannot escape may experience immediate mortality or may experience longer-term mortality or stress as they are subjected to unsuitable habitat conditions.

Valley fills also affect aquatic systems through contamination; precipitation and groundwater percolate through the unconsolidated overburden and dissolve minerals until they discharge from the bottom of the fills as surface water (Pond et al., 2008). The dissolved minerals are then transported into the on-site and downstream surface waters and can alter water quality and the corresponding biological resources. Pollutants originating from valley fills can affect aquatic organisms as toxic substances in the water or as toxins in the food chain (Woodward et al., 1997; Kimmel and Argent, 2010; Hopkins et al., 2013). These effects can last for decades (Pond et al., 2014).

4.2.2.1.5 Documented Impacts Related to Land Alteration, Vegetation, and Wildlife

During the process of site preparation prior to coal mining the site must be cleared of vegetation to provide access to the materials below. This activity unavoidably results in the loss of terrestrial species habitat throughout the duration of the mining activity, which continues until reclamation of the site has successfully occurred. Postmining management of the land influences the habitat value of the reclaimed land. Under the No Action Alternative, vegetative cover must be established following mining activity, in accordance with the approved permit and reclamation plan (30 CFR 816.116 and 817.116). While this protection emphasizes revegetation with native species, the existing regulations do allow the use of introduced species (30 CFR 816.111 and 817.111). Permittees often choose to replant trees on mined sites, and this is promoted by OSMRE's Appalachian Regional Reforestation Initiative (ARRI); however, reforestation is not required under the No Action Alternative. Restoration of full habitat value within these replanted forests occurs over a long time frame. Under favorable growth conditions and management, forest canopy closure can occur within 15 to 20 years after mine closure (Groninger et al., 2007). Succession of mined lands to native forest may take hundreds of years (Angel et al., 2005).

The No Action Alternative contains minimal requirements for creating favorable growth conditions to return forest land to its premining condition. For instance, the regulations do not require the operator to salvage and redistribute all soil horizons (30 CFR 816.22 and 817.22). As a result the seed bank contained within the topsoil is not returned to the site to facilitate reestablishment of vegetation; and the loss of soil organic matter reduces the quality of the soil for vegetative regrowth, as does the compaction of the soil during filling and grading. The return to full site productivity may be delayed as a result (Angel, et al., 2005; Zipper, et al., 2011).

The existing implementing regulations at 30 CFR Part 810 establish the permanent program performance standards, including requirements that pertain to stockpiling of materials, site disturbance and revegetation to address and prevent erosion. Erosion remains a commonly encountered concern on mine sites despite these regulations, as it would with any activity that requires intensive land disturbance. When land is cleared for mining, the exposed and disturbed surface can result in erosion of particles from the land surface and increased runoff of these particles to downstream bodies of water. Sediment can

have adverse impacts on the quality of receiving streams. For example, the diversity and population size of fish species, mussels, and benthic macroinvertebrates associated with coarse substrates can be greatly reduced if the substrates are covered with sand and silt (Appendix C of Berry et al., 2003). Amphibians are reported to avoid areas in streams with excessive siltation (Humphries and Pauley, 2005).

Other ways in which suspended sediments can interfere with ecosystem processes include: reduction of water clarity, impairment of food capture for sight-feeding fish and invertebrate species; absorption of sunlight and associated reduction in plant photosynthesis; warming of the stream; and filling of interstitial spaces that would otherwise provide shelter and foraging habitat for aquatic invertebrates (Bernhardt and Palmer, 2011). Impacts of sediment release are not always limited to near-field habitats (Chambers and Messinger, 2001). Sediments can be transported downstream, and large influxes of sediment can impair many miles of a stream system. Excess fine sediment runoff from mining activities has been shown to increase in the downstream reaches of streams below valley fills and decrease habitat quality for species that are sensitive to higher levels of turbidity (Wiley and Brogan, 2003; Pond et al., 2008).

As discussed previously the regulatory authority must consider fish, wildlife, and related environmental resources during the review of proposed mining operations, and the proposed operation would be required to address associated concerns including proposed destruction of riparian habitat (30 CFR 816.97). Removal of riparian vegetation and alteration of valley contours adversely impact aquatic ecosystems. These activities alter the patterns by which water flows through the affected valleys and change how water is delivered to streams below valley fills (Palmer et al., 2010). Riparian buffers are important for the nesting, movement, and feeding behaviors of some species. Narrowing the width of these buffers can also have adverse effects on the quality of the habitat (e.g., Klapproth and Johnson, 2000). Mining activities in the stream also result in the removal or alteration of components (substrate composition and particle size, riparian vegetation, temperature, and organic matter) of the stream and riparian zone that are important to the quality of the habitat for the organisms that use that habitat (Feminella, 1996).

Under existing regulations of the No Action Alternative surface water degradation through water contamination continues to occur, as described in the preceding section (4.2.1) on impacts of activities in and near streams. Water contamination can affect terrestrial wildlife that relies on aquatic systems for at least some of their life cycle requirements. Wildlife that feed on fish and other aquatic organisms may be indirectly affected through reduced prey or directly affected through food chain bioaccumulation of pollutants with potential to produce adverse impacts (Harding et al., 2005). Selenium is a pollutant of concern in association with coal mining, and has also been shown to accumulate in live animal tissues (U.S. EPA, 2016, Palmer et al., 2010). Selenium is known to be toxic to wildlife and livestock (Merck Sharp & Dohme Corp, 2008).

The existing regulations (No Action Alternative) allow mining through intermittent and perennial streams when the regulatory authorities makes a finding that diversion of the stream will not adversely affect water quantity, water quality, and related environmental resources of the stream (see 30 CFR 816.43(b) and 817.43(b)). The No Action Alternative requires that a permanent stream-channel diversion or a restored stream channel be designed and constructed so as to approximate the premining characteristics of the original stream channel, including riparian vegetation (30 CFR 816.43(a)(3)), but it does not require restoration of the stream's biological condition or ecological function. Fragmentation of stream channels has resulted from coal mining (U.S. EPA et al., 2003). Direct stream fragmentation occurs on permitted

sites when roads, culverts, fills, dams, and other built features impede organisms from moving upstream and downstream and cause an interruption in the natural connections within a stream network (i.e., reduce stream connectivity) (Freeman et al., 2007). This stream fragmentation may cause distinct patch formation within a stream and may produce negative effects to both the abiotic and biotic factors of the stream (Kirkham and Fischer, 2004). Stream fragmentation can strongly influence population dynamics and species survival in spatially structured populations (Smucker and Vis, 2009; Letcher et al., 2007).

The requirements of 30 CFR 816.43 provide for restoration of stream flow and riparian vegetation, but do not require restoration of biological communities. Studies have shown that it can be difficult to restore biological characteristics in an engineered stream channel (e.g., Northington et al., 2011). In another example, Fritz, et al. (2010) compared ephemeral, intermittent, and perennial streams at reclaimed valley fills to naturally occurring forested streams. They detected significant differences in leaf litter breakdown (a critical process that provides nutrients and energy to the stream ecosystem beyond the mine site) and invertebrate assemblage when comparing valley fill reclaimed (constructed) perennial and intermittent streams to naturally occurring forested perennial and intermittent streams. The study also detected significant differences in coarse benthic organic matter and invertebrate assemblage (important parts of the foundation to the stream ecosystem) between reclaimed and natural ephemeral streams.

Finally, current regulations contain requirements for the construction of siltation and discharge structures to prevent additional contributions of suspended solids outside the permit area to the extent possible (30 CFR 816/817.46 and 816/817.47). When present, these engineered features retain water, by design, until sediments have settled out to allow the effluent from the structure to meet state and federal effluent limitations. As a result, while these structures are in place, they alter the timing and amount of water that reaches streams, which in turn adversely impacts downstream habitats (U.S. EPA et al., 2003; Woody et al., 2010). The creation of artificial water bodies alters flow dynamics and flood regimes, promotes the biotic homogenization of in-channel environments, and can alter the influx of allochthonous organic materials that are essential to the energy flow and biological productivity in stream ecosystems (Jackson, 2005; Rohasliney and Jackson, 2009; Fritz et al., 2010; Palmer et al., 2010).

4.2.2.1.5.1 Documented Impacts on Forest and Other Ecosystems

Mining activities can greatly influence forests and other terrestrial habitats due to the necessity to initially clear vegetation from the site to accommodate the mining activity. Land clearing for any activity, including coal mining, results in localized reduction in the extent of natural forest, shrubland, grassland, and arid (e.g., cryptobiotic soil) communities, and may reduce populations of locally important medicinal and culturally sensitive plants. Those reductions become long-term if the use of the land changes after mining is complete, or if the restoration of the impacted environmental component itself occurs only over a long timeframe, as with cryptobiotic soils.

Mining activity under existing regulations frequently leads to a changed land use on the reclaimed site in comparison to the use of the land prior to mining. When mining occurs on federal lands the federal land managing agency determines the postmining land use and OSMRE as the regulatory authority is required to consult with the managing agency to determine any special requirements related to achieving the postmining land use (30 CFR 740.4(c)(2)). Otherwise the permanent program performance standards at 30 CFR 816.33 and 817.133 require that all disturbed areas be restored to a condition capable of supporting the uses they were capable of supporting before the mining, or to support a higher or better

use. The regulatory authority may approve a change to a “higher or better use” if the landowner or land management agency successfully demonstrates the proposed change would be safe, compliant with other state and federal laws and reasonably certain to be achievable. Mining can facilitate conversion of land by making it economically feasible to clear and recontour a site, since these activities would transpire as a matter of course during the mining activity.

Land transformation reduces the availability of habitats for some species, and increases the availability for others. The conversion of a site from forest to grassland for example is positive for grassland bird species, but negative for forest-dwelling bird species. Habitat loss is a leading cause of decline of some organisms (Vitousek et al., 1997) including salamanders in West Virginia (Wood and Williams, 2013), and mining activities cause acute changes to the landscape that often create unsuitable conditions for a variety of species (e.g., Carlisle et al., 2008).

In addition to the reduction in the acreage of premining habitats, this land transformation produces discontinuous patches, or fragments, within the original habitat that remains. Where continuous habitat once existed, patches of premining and postmining (i.e. transformed) habitat now exists, and in general the size of a habitat is the primary determinant of the number of species it can support (Rosenzweig, 1995). This habitat fragmentation often does not provide sufficient continuous cover, forage, or area to support the original wildlife populations that existed before mining, which can threaten local species (Rosenzweig, 1995). Bird, mammal, and insect species of forest interiors may be unable to cross even very short distances of open areas (e.g., land transformed by mining), thus reducing their ability to feed, reproduce, and maintain healthy populations (Primack, 2002).

As species of plants and animals are often adapted to narrow ranges of environmental conditions, changes in those conditions may make the habitat unsuitable once it is fragmented. Habitat fragmentation also produces more edge habitat where interior habitat once existed. These edge habitats are of reduced quality for some species due to changes in light, temperature, humidity, and wind, as well as increases in the incidence of fire (Stevens and Husband, 1998). Nests located along the edge may be more vulnerable to discovery and predation. Each effect can significantly influence the vitality and composition of species within the fragment (Primack, 2002). Shade-tolerant plant species and humidity-sensitive animals, such as amphibians, are often rapidly eliminated in edge habitats; invasive plants along the habitat edge can disperse seeds into the habitat interior where they may become established (Primack, 2002).

As with any type of land clearing activity, land clearing for mining increases the likelihood that invasive species can take hold within the cleared areas and encroach into surrounding intact habitats (Hobbs and Humphries, 1995). Surface mining techniques (such as area mining) involve more surface disturbance and therefore a higher potential for encouraging encroachment of invasive species. Land clearing continues in phases through the active operation of the mine; invasive plant species that colonize one area then become established and spread to other areas as mining progresses (Richardson et al., 2000).

Because many invasive species are aggressive early colonizers of disturbed areas, even temporary spoil/overburden piles can offer invasive plants a foothold for establishment (Richardson et al., 2000). This is not universally true, however. In a study of terrestrial plant populations of forested and reclaimed sites, Handel (2003) found few invasive species on mined sites within the study area. The magnitude of the adverse impacts of invasive species may differ among coal extraction methods, depending on their methods of disposal. The dragline method of area mining has relatively lower potential for adverse

impacts, as the excess spoil is placed in the cut or strip, reducing the area required for disposal, which in turn reduces the area available for invasive species to become established. Other mining methods, such as open-pit mining, and mountaintop removal mining may have moderate to high adverse impacts related to the spread of invasive species, as they often require larger areas for spoil disposal compared to other coal extraction methods.

Generally, it is a combination of threats that leads to a species population being threatened, endangered, or extinct. That is, it is typically not the case that an individual activity, such as coal mining, is a sole cause of adverse impacts to a species or its habitat. However, coal mining is described as a threat to the existence of multiple sensitive species, including both aquatic species (e.g., it is described as a threat to multiple listed mussel and fish species) and terrestrial species. For example, coal mining in Kentucky and West Virginia has resulted in large-scale forest habitat loss for the cerulean warbler, potentially leading to a significant effect on the species' total population size (71 FR 70725). While the federal listing status of some affected species would trigger requirements to avoid adverse effects (e.g., via ESA section 7 consultation) even absent the SPR, managing coal mines to reduce adverse water quality impacts and forest habitat loss will reduce the potential for coal mining to be a contributing factor in species and habitat decline. Furthermore, the guidance provided by the SPR regarding water quality management and forest reclamation informs coal mining interests how to best manage mine site to avoid the potential for adverse impacts on listed species.

In summary, existing regulations under the No Action Alternative contain many mechanisms for ensuring protection of fish, wildlife, and related environmental resources but coal mining practices occurring under these regulations continue to have adverse effects on aspects of the biological, chemical, and physical environment. These adverse impacts include: fragmentation of habitats; degradation of habitat quality; exposure of biota to changed chemical conditions in aquatic environments; and permanent loss of terrestrial and aquatic habitat. Adverse impacts would continue to occur, as described above, with all mining methods and in all coal regions under the No Action Alternative.

4.2.2.2 Action Alternatives and Potential Effects on Biological Resources

The Action Alternatives include elements intended to reduce the adverse effect of coal mining activities on biological resources. Table 4.2-16 describes the specific elements of the Alternatives that may affect biological resources. The discussion below describes how the rule elements vary by Alternative, and how they may affect the biological resources described in Table 4.2-16.

4.2.2.2.1 Protection of the Hydrologic Balance

As described more fully in Chapter 2, the rule elements described under this functional group are related to direct water sampling procedures, collection and review of stream hydrologic parameters, clarifying a federal definition for *material damage to the hydrologic balance*, and establishing the early detection of impending material damage to the hydrologic balance to promote prevention (i.e., evaluation thresholds). These elements focus on reducing the effect of mining activities on water quality at and in the vicinity of mine sites.

Table 4.2-16. Action Alternative Elements and Potential Effects on Biological Resources in Coal Mining Regions

Action Alternative Element	Forest Land Cover/ Habitat	Streamside Habitat	Fish and Wildlife, Including T&E Species
Baseline Data Collection and Analysis		■	■
Monitoring During Mining and Reclamation		■	■
Definition of Material Damage to the Hydrologic Balance		■	■
Evaluation Thresholds		■	■
Mining Through Streams	■	■	■
Activities In or Near Streams Including Placement of Excess Spoil and Coal Refuse	■	■	■
Revegetation, Topsoil Management, and Reforestation	■	■	■
Fish and Wildlife Protection and Enhancement	■	■	■

Under the No Action Alternative, regulatory authorities have approved stream-channel diversions and reconstructed stream channels that focus primarily on creation of a stable channel instead of the restoration of stream form and function. Consequently, reconstructed streams often neither look nor act in the way they did before mining. Frequently, these reconstructed stream channels no longer support the same abundance or diversity of benthic organisms and aquatic communities after mining.

The rule elements related to protection of the hydrologic balance have implications for biological resources that may not be readily apparent. These benefits derive primarily from the water quality described in Section 4.2.1. Protection of the hydrologic balance is achieved through several interrelated elements. For instance, newly collected monitoring data on selenium may show elevated concentrations in water. A clearly defined evaluation threshold may facilitate prompt changes in the mining operation to limit selenium contamination. This action may help avoid bioaccumulation of selenium in fish and in wildlife that consume fish (e.g., raptors). In this way, rule elements that improve water quality are also likely to benefit aquatic and streamside fish and wildlife communities.

4.2.2.2.2 Activities in or Near Streams: Mining Through Streams

The No Action Alternative allows for exemptions from general prohibitions against mining in or through streams. While it is feasible to restore the form and function of stream segments that are mined through or permanently diverted as a result of mining, there is no requirement to restore ecologic function. In addition it may be difficult to restore ecologic function of certain high gradient streams and or high quality streams. As a result, biological resources may be negatively impacted.

The Action Alternatives limit the circumstances under which streams may be mined through and increase ephemeral stream restoration, providing benefits to biological resources. Specifically:

- Alternatives 2 and 7 (in areas warranting enhanced permitting requirements) would prohibit all mining activities in or within 100 feet of a perennial stream and require hydrologic form and ecologic function restoration for all perennial and intermittent streams. Ephemeral streams would be restored in form only. These additional requirements would result in increased protection of in-stream and streamside habitat, ensuring that fewer streams are negatively affected by mining

activities. Where forest land cover occurs within 100 feet of a perennial stream, this rule element would also reduce deforestation within a coal region. With less disruption of the aquatic resources at the mine site, there may be improved water quality, greater similarities between premining and postmining stream flow, and reduced impacts on aquatic habitat downstream. While this benefit applies to all mine sites under Alternative 2, Alternative 7 would only be applicable to a limited subset of mines, as described in Chapter 2. As a result, Alternative 2 restrictions on mining through streams and additional stream restoration requirements are expected to generate the greatest benefits to biological resources. The benefits would accrue primarily in the Appalachian Basin, with other regions realizing more limited benefits.

- Alternatives 3, 4, 5, 6, and 8 (Preferred) would implement additional protections to all streams, including requiring that at least some ephemeral streams be restored in form. These additional protections would likely improve water quality and positively impact downstream biological communities as described above for Alternatives 2 and 7, but to a lesser extent. In addition, Alternative 5 pertains specifically to the Appalachian region and therefore generates benefits in a more limited geographic region than Alternatives 3, 4, 6, and 8 (Preferred).
- Alternatives 7 (in areas not warranting enhanced permitting requirements) and 9 are identical to the No Action Alternative with respect to mining through streams and would in these circumstances continue the same degree of impact.

4.2.2.2.3 Activities In or Near Streams: Excess Spoil and Coal Refuse

Mining activities in and within 100 feet of streams, and the treatment of excess spoil and coal refuse, may adversely affect onsite streamside and downstream habitat. Excluding Alternative 9, all of the Action Alternatives (Alternatives 2 through 8) increase the stringency of the historic requirements that guide mining activities near streams and the placement of excess spoil and refuse. In particular, under Alternative 2, mining operations would be prohibited from filling perennial streams. In special circumstances (see section 2.4.7), Alternative 7 would also prohibit these activities. All Alternatives would also restrict mining activities within 100 feet of perennial and intermittent streams, providing benefits for both water quality and wildlife.²²

4.2.2.2.4 Postmining Land Use and Enhancement: Revegetation, Topsoil Management, and Reforestation

This rule element dictates the types and levels of postmining revegetation, including reforestation, required under each of the Action Alternatives. As such, this rule element most directly influences the quantity and quality of forest land cover and other vegetative communities within the coal mining regions. The loss of forest and other habitat at mine locations under the No Action Alternative has a direct adverse effect on wildlife by reducing the total quantity of available habitat, as well as an indirect effect through habitat fragmentation. Impaired habitat conditions adversely affect the ability of a coal mining region to support particular species and may in turn negatively affect wildlife-related recreational activities, including hunting and wildlife viewing (as described in Section 4.3.3). Forest and other vegetated lands

²² Peer reviewers emphasized the importance of stream buffers and habitat enhancement. In particular, the Galum Creek forested riparian corridor documented by Willard, et al. (2013) exemplifies a successful postmining wildlife habitat restoration effort. Communication from Jack Nawrot, “OSM Proposed Stream Rules: Comments – Water and Biological Resources.”

also provide benefits by increasing the terrestrial carbon sequestration potential of the landscape (i.e., reducing the amount of carbon in the atmosphere). This benefit is described in the “Potential Climate Stabilization Benefits of Reforestation” text box below, and is detailed in Section 4.2.4.

In addition, reduced forest land cover and streamside vegetation impairs water quality, as described in Section 4.2.1. Specifically, the vegetation provides a filter for pollutants as runoff travels across the landscape to receiving water bodies. This rule element focuses on increasing forest and vegetative habitat following mining, but may also benefit the quality of adjacent streamside and aquatic habitats.

The Action Alternatives propose a mix of regulatory changes with respect to revegetation, topsoil management, and reforestation:

- Alternatives 2, 3, 4, 5, 6, 7 (in areas subject to the enhanced permitting requirements), and 8 (Preferred) require reforestation of previously forested areas and of lands that would revert to forest under conditions of natural succession (with an exception for prime farmland). Reforestation would be implemented in a manner that expeditiously enhances the recovery of the native forest ecosystem.
- Alternatives 2, 3, 4, 5, 7, and 8 (Preferred) additionally specify that the revegetation be completed using only native species unless the postmining land use is actually implemented before the end of the revegetation responsibility period. To promote vegetation growth, these Action Alternatives also require the salvage and redistribution of all topsoil (A and E soil horizons) and of the B and C soil horizons to the extent necessary to achieve optimal rooting depths to restore premining land use capability or comply with revegetation requirements.
- Alternatives 2, 4, and 7 require salvage and redistribution or reuse of all vegetative organic materials above the A soil horizon to promote reestablishment of diverse native vegetation and prohibits burning or burying of vegetation or other organic materials. However, Alternatives 3 and 5 require salvage and redistribution of materials from native vegetation above the A soil horizon and root balls only to the extent determined necessary by a qualified ecologist or similar expert. Under those alternatives, the remaining debris from native vegetation could be buried, but not burned. Alternative 8 (Preferred) is similar to Alternatives 3 and 5, but it also prohibits burying of native vegetation.
- Alternatives 7 (in areas not subject to the enhanced permitting requirements) and 9 are identical to the No Action Alternative with respect to revegetation, topsoil management, and reforestation.

4.2.2.2.5 Postmining Land Use and Enhancement: Wildlife Protection and Enhancement

The Action Alternatives contain elements that would improve the quality and/or quantity of habitat within a permit boundary, increasing wildlife species richness and abundance within the permit boundary and on adjacent lands. These benefits to wildlife species may improve wildlife-related recreational experiences in the coal regions, as described in Section 4.3.3.

4.2.2.2.6 ESA Consultation

OSMRE requested formal consultation with the U.S. FWS on the preferred Alternative. Further details on this consultation will be provided in the Biological Assessment and Biological Opinion for the Stream Protection Rule, which will be available at www.osmre.gov and on www.regulations.gov under the SPR docket upon issuance of the Record of Decision. These documents will contain the final species lists on

which the consultations were based, as well as the terms under which this consultation would be reinitiated.

Because implementation of the rule would potentially take several years, OSMRE and the U.S. FWS have agreed that in the interim period between finalization of the rule and its implementation nationwide, the 1996 biological opinion on OSMRE's regulatory program is still valid and will remain in effect. OSMRE and the U.S. FWS are in the process of finalizing a MOU (referred to as the "ESA MOU" elsewhere in this FEIS) to provide guidance to OSMRE, the U.S. FWS, and regulatory authorities for demonstrating compliance with the terms and conditions of the Incidental Take Statement accompanying the 1996 biological opinion, which provides incidental take coverage for any take resulting from a proposed coal mining and reclamation operation.

The OSMRE has determined that adoption of the proposed rule (or any of the Action Alternatives) would have no direct or indirect effects on species and would not impact any proposed or designated critical habitat under the jurisdiction of the National Marine Fisheries Service (NMFS). This finding is based on OSMRE's analysis that found that direct and indirect effects of the proposed action would occur far enough from any listed or proposed species or designated or proposed critical habitat under NMFS jurisdiction that any potential direct or indirect effects from our proposed would be undetectable and would therefore have no impact on those species or habitats.

POTENTIAL CLIMATE STABILIZATION BENEFITS OF REFORESTATION

Carbon dioxide and other greenhouse gases released into the atmosphere contribute to climate change. Carbon sequestered by and stored in soils and vegetative biomass reduces the total amount of carbon present in the atmosphere, mitigating adverse effects of climate change (e.g., crop damage, coastal protection costs, land value changes, and human health effects). In other words, the value of carbon sequestered reflects the avoided damage generated by that carbon if it is present in the atmosphere. Where forest land cover is lost or is less productive, the carbon storage potential of the landscape is reduced.

Changes in carbon storage potentials are not quantified in this analysis due to the significant uncertainty surrounding carbon sequestration rates over time for forests according to varying revegetation and forest management practices. As forest and other vegetated land is cut for the purpose of coal mining, there is a loss in the carbon storage capacity of the landscape. This is the case under the No Action and Action Alternatives. As the revegetation practices of the Action Alternatives are focused on expeditiously returning productive forest land cover postmining, however, some level of associated benefit in terms of increased carbon sequestration rates on improved acres is likely.

A number of studies have measured carbon sequestration rates for forests and soils at reclaimed mine sites, particularly in the Midwest and Appalachia (e.g., Amichev et al., 2008; Chaudhuri et al., 2012; Zipper et al., 2011; and Midwest Regional Carbon Sequestration Partnership (MRCSP), 2011). These studies generally found that reforestation, as opposed to revegetation to grass or pastureland, increases carbon sequestration rates at the reclamation sites. Carbon sequestration rates of reforested mine sites were, however, less than non-mined forested stands. For example, non-mined hardwood stands in Appalachia contained 62 percent more carbon than the average mined and reforested stands (Amichev et al., 2008). This indicates that reforestation practices in the region have increased carbon sequestration rates as compared to other revegetated land cover, but did not recover the premining carbon sequestration potential of the forested sites.

More recently, the ARRI has encouraged the creation of more valuable timber stands on reclaimed forest land through voluntary implementation of soil management and planting practices referred to as the Forestry Reclamation Approach (FRA). The soil management and planting practices described by OSMRE's Action Alternatives are similar to FRA practices. The objective is to promote more productive forest stands postmining, which would increase carbon sequestration potential of the reclaimed stands. While implementation of FRA practices is increasing, the approach is still relatively new. The oldest FRA-reclaimed sites are less than a decade old. As a result, additional time and study are required to determine the extent to which these practices are restoring forest ecosystem services, including carbon sequestration, to mined sites (Zipper et al., 2011).

With respect to wildlife protection and enhancement, the Action Alternatives differ in the following ways:

- Under Alternatives 2, 6, and 8 (Preferred) all stream reaches within or adjacent to coal mining operations require a 100-foot streamside vegetative corridor (whereas the No Action Alternative provides qualitative guidance on activities bordering waterways). By implementing specific criteria to be met during and after coal mining operations, the likelihood of disrupting habitats and associated wildlife is decreased. This buffer benefits not only the flora and fauna occupying the streamside habitat, but also the connected terrestrial and aquatic communities beyond the permitted site.
- Alternatives 3 and 4 require establishment of a 300-foot streamside vegetative corridor along intermittent and perennial streams. These Alternatives also specifically detail the scenarios in which enhancement measures for fish and wildlife resources would be mandatory, including the long-term loss of native forest or plant communities, or the filling of perennial or intermittent streams. Similar to Alternative 2, Alternatives 3 and 4 decrease the probability that wildlife habitat, both aquatic and terrestrial, would be negatively impacted as a result of mining activity. While the benefit to intermittent and perennial streams is greater due to the 300-foot as opposed to 100-foot streamside vegetative corridor, Alternatives 3 and 4 do not include a streamside vegetative corridor requirement for ephemeral streams. The relative benefits of Alternative 2, 6, and 8 (Preferred) as compared with 3 and 4 accordingly depend on the types of streams present at a mine site. For example, where ephemeral streams are abundant and intermittent and perennial streams scarce, Alternative 2 likely provides a greater benefit.
- Alternatives 5 and 7 require a 100-foot streamside vegetative corridor for all streams, similar to Alternatives 2, 6, and 8 (Preferred). However, because the regulations under Alternatives 5 and 7 would only apply under specific circumstances (as described in Chapter 2), associated benefits to streamside and aquatic biological communities apply to a more limited geographic region.
- Alternative 9 would make no changes and bring no additional benefits to these resources in comparison to the No Action Alternative. Under these alternatives the regulatory authority could authorize activities within 100 feet of perennial and intermittent streams, and there would be no additional specific requirement to create a preserved buffer along the length of the stream.

With respect to federally listed threatened and endangered species, all Action Alternatives but Alternatives 6 and 9 also require the fish and wildlife protection and enhancement plan in the SMCRA permit application to include any steps taken to comply with the Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., including any biological opinions developed under section 7 of that law and any species-specific habitat conservation plans developed in accordance with section 10 of that law. The regulatory authority may not approve the permit application before there is a demonstration of compliance with the Endangered Species Act of 1973.

4.2.2.3 Analytic Methods for Assessing Forest Land Cover

The Action Alternatives benefit forest resources to varying degrees. Available data allow characterization of potential impacts for two quantitative indicators:

- The number of improved forest acres; and
- The number of preserved forest acres.

The subsections below present the data and analytic methods used to evaluate the Action Alternatives with respect to these two quantitative indicators.

In addition, the Action Alternatives have the potential to benefit streamside habitat as well as fish and wildlife (including threatened and endangered species). Data are not sufficient to support a quantitative analysis of these impacts, so the results discussion evaluates them qualitatively, allowing comparison of the Alternatives.

4.2.2.3.1 Methods for Assessing Improved Forest Acres

The improved forest acres metric quantifies the amount of land that would benefit from improved postmining forest land cover due to the Action Alternatives, either because: (a) the land would have been restored to grassland, pastureland, or an alternative postmining land use under the No Action Alternative; or (b) the land would have been reforested under the baseline without practices that promote expeditious growth of healthier forest (e.g., Forestry Reclamation Approach (FRA) with practices similar to those reforestation practices described under Alternative 2 in Chapter 2).

The volume of forest acreage that typically exists before mining at mine sites is a useful starting point for assessing how the Action Alternatives differ with respect to reforestation benefits and for identifying regions where reforestation benefits may be greatest. To estimate this acreage, this analysis uses available historical land cover data (the oldest comprehensive dataset was 1992) at sites that have since been mined. The oldest comprehensive dataset is used to represent premining vegetative cover as closely as possible. As such, Table 4.2-17 summarizes the land cover in 1992 that was present at mine sites that were developed after 1992 in each of the coal regions in order to understand premining land cover conditions in each region. This analysis of land cover at mine sites relies upon the following information for each coal region: (1) GIS-based 1992 land cover data;²³ (2) GIS data describing locations of mines;²⁴ and (3) the size of the typical disturbed area for surface and underground model mines in each region, as determined by the engineering analysis (i.e., model mine analysis) described in Section 4.1. This acreage was used as a buffer around the mine site locations to understand the typical land cover at study sites.

²³ This analysis applies historical land cover data from the USGS's National Land Cover Dataset (NLCD). The NLCD identifies land cover data from 1992 accordingly to 21 land cover classes.

²⁴ GIS data on historic mines (mine sites developed after 1992) are from: the National Mine Map Repository; Arkansas Department of Environmental Quality; Colorado Division of Reclamation Mining and Safety; Illinois State Geological Survey; Indiana Geological Survey; and Texas Railroad Commission.

Table 4.2-17. Premining Land Cover at Historic Mine Sites

Land Cover Category	Appalachia Central - Surface	Appalachia Northern - Surface	Appalachia Central - Under- ground	Appalachia Northern - Under-ground	Colorado Plateau Surface	Colorado Plateau Under- ground	Gulf Coast Surface	Illinois Basin Surface	Illinois Basin Under- ground	Northern Rocky Mountains Surface and Underground	Western Interior Surface
Water	0%	2%	1%	3%	1%	0%	1%	1%	6%	0%	1%
Developed	1%	2%	4%	14%	4%	0%	0%	0%	2%	0%	0%
Barren	4%	6%	14%	14%	0%	0%	13%	2%	10%	6%	2%
Deciduous Forest	84%	54%	71%	45%	3%	28%	18%	17%	15%	2%	84%
Evergreen Forest	1%	3%	1%	5%	51%	27%	3%	2%	2%	3%	1%
Mixed forest	8%	6%	5%	4%	0%	0%	5%	0%	1%	0%	3%
Agricultural	2%	27%	2%	13%	1%	14%	31%	75%	59%	4%	10%
Grass/Shrubs	0%	0%	0%	0%	39%	30%	25%	0%	1%	84%	0%
Woody wetlands	0%	0%	0%	0%	0%	0%	2%	1%	4%	0%	0%
Emergent Herbaceous Wetlands	0%	0%	0%	1%	0%	0%	1%	0%	0%	0%	0%
Total forests	93%	63%	78%	55%	55%	55%	26%	19%	17%	5%	88%
Number of Reference Points*	49	18	117	55	1	4	24	95	44	17	2

Notes: Due to limited historic mining activity in the Northwest region, data are not available to describe typical land cover at historic mining locations in the Northwest region.

* The number of reference points describes the number of relevant mines site for which GIS data were available to describe locations and land cover.

Source: USGS, 2011c.

The overlap between minable coal and forested landscapes is most prevalent in the Appalachian Basin, as demonstrated in Table 4.2-17.²⁵ Total premining forested land cover ranges between 55 percent (for underground mines in Northern Appalachia) to 93 percent (for surface mines in Central Appalachia). Premining forest cover is also prevalent at Colorado Plateau mining sites.

This analysis quantifies “improved” forest acres according to the following methods:

4.2.2.3.1.1 Step 1: Estimate the acres of forest cut per million tons of coal produced at surface and underground mines in each region and under each Alternative.

- a) Determine the land area (in acres) disturbed by surface and underground mines at model mines in each region under each Alternative.

This first step references the estimated “disturbed area” at each of the Model Mines under each of Alternatives 1 through 9, as described in the engineering analysis in Section 4.1. The disturbed areas vary only slightly across Alternatives. Specifically, under Alternative 2 in Appalachia the disturbed area for surface mines is slightly decreased reflecting a change in the design of mines to comply with the Alternative 2 rule elements (e.g., avoiding streams and reducing fills).

- b) Determine proportion of the disturbed area likely to be forest land cover premining for each mine type (surface and underground) in each region.

To accomplish this, this analysis references the premining “typical” land cover types for surface and underground mines in each region based on historical precedence, as described in Table 4.2-17. This information is summarized in Column A of Table 4.2-18 (discussed below). The analysis assumes that the percentage of forested land cover at a mine site does not vary across Alternatives.

- c) Calculate the number of forest acres disturbed by typical mines for each mine type and region under each Alternative.

Multiply the total disturbed area (Step 1a) by the proportion likely to be forest (Step 1b). The result is the number of forest acres cut at typical surface and underground mines in each region.

- d) Calculate disturbed (i.e., cut) forest acres per million tons of coal produced for each mine type and region.

Divide the total disturbed forest acres at each Model Mine calculated in Step 1c by the level of coal produced at each Model Mine as determined by the engineering Model Mine analysis (described in Section 4.1).

The result of this step is a series of multipliers used to calculate the amount of forest cut per million tons of coal produced by surface and underground mining methods in each region. There is limited variation in these multipliers across Alternatives because the disturbed areas and tons of coal produced at the

²⁵ While this analysis focuses specifically on forested lands, mines may affect multiple types of vegetative cover, as described in Table 4.2-17 (including grass, shrub, and cropland). However, the Action Alternatives do not identify explicit differences with regard to revegetation practices for other land cover types beyond native species requirements and soil management practices.

Model Mines do not vary measurably across Alternatives. The exception is an estimated reduction in the disturbed area for Central Appalachian surface mines under Alternative 2 (resulting in a slightly lower multiplier for forested acres disturbed per volume of coal produced under this Alternative). These multipliers are described in Column B of Table 4.2-18 below.

4.2.2.3.1.2 Step 2: Establish reforestation practices under the No Action Alternative.

- a) Determine level of reforestation occurring under the No Action Alternative in each region.

Although not required, reforestation of mine sites is occurring in some regions. In particular, in Appalachia reforestation has become increasingly practiced in recent years. Based on this recent experience, OSMRE estimates that approximately 70 percent of all mining permits are being reclaimed as forestland in the Appalachian Basin region.²⁶ According to OSMRE’s postmining land use data for 2007 through 2010, reforestation is occurring to a lesser extent in the Gulf Coast (approximately four percent of reclaimed acreage) and the Illinois Basin (approximately 11 percent). All other regions are implementing reforestation at negligible rates.²⁷ The level of baseline reforestation occurring under the No Action Alternative is provided in Column C of Table 4.2-18.

- b) Determine level of reforestation occurring under the No Action Alternative in each region that complies with the “improved” reforestation practices (i.e., revegetation, topsoil management, and reforestation elements) described by the Action Alternatives.

With the exception of some sites in Appalachia, the improved reforestation practices (i.e., FRA practices) required in Alternatives 2, 3, 4, 5, 7 and 8 are generally not being implemented under the No Action Alternative. The improved reforestation practices were first implemented at limited sites in Appalachia beginning in about 2006 (Zipper, et al., 2011). According to data gathered by the ARRI, approximately 37 percent of the trees planted at reclaimed mine sites in Appalachia in 2012 were planted according to the improved reforestation methods.²⁸ As described in Column D of Table 4.2-18, this analysis assumes that 37 percent of disturbed forest in Appalachia is being reclaimed according to improved reforestation practices.

4.2.2.3.1.3 Step 3: Determine expected reforestation levels under the Action Alternatives.

Action Alternatives 2, 3, 4, 5, 7, and 8 (i.e., all except Alternative 6 and 9) require that reforestation be implemented according to improved reforestation practices to varying degrees (as described in Subsection

²⁶ Information provided by OSMRE forestry staff to IEC on July 26, 2013.

²⁷ Information on reforestation rates for all coal regions except Appalachia is derived from OSMRE data on postmining land use (PMLU) by state and region for 2007 through 2010; these data are compiled from OSMRE’s Annual Oversight Reports. Note that the PMLU figures are not directly equivalent to reforestation rates. Specifically, while the reforestation rate is the percent of premining forest land that is reforested, the PMLU forestry rate is the percent of all mined land on which forests are planted. The PMLU forestry rate will be less than the true reforestation rate to the extent that forest land is returned to another use (e.g., agriculture). The PMLU rates are presented to acknowledge that mine operators in some regions appear to implement modest reforestation efforts as part of postmining land use programs.

²⁸ ARRI data provided by OSMRE on August 13, 2013, “ARRI FRA Data: 2012 Appalachian Region Tree Planting Numbers.” While these data reference the percentage of trees planted according to FRA practices, this analysis relies on this percentage as a proxy for the share of the reforested acres planted according to FRA practices.

4.2.2.2). In addition to specifying reforestation practices, these Alternatives also require that all previously forested acres and lands that would revert to forest under conditions of natural succession be reforested. All of the Action Alternatives include an exception for prime farmland. Absent specific information on the share of previously forested area that would be eligible for exception, this analysis conservatively assumes that 70 percent of the previously forested acres would be forested in each region under the Action Alternatives (Column E of Table 4.2-18). This assumption likely leads to an understatement of potential benefits in terms of improved forest acres, as less than 30 percent of the mine sites may be eligible for exceptions to reforestation requirements.

4.2.2.3.1.4 Step 4: Calculate number of reforested acres according to improved reforestation practices under the No Action Alternative from 2020 to 2040.

- a) Calculate the estimated forest cut by surface mine activity in each region.

Multiply surface coal production under the No Action Alternative across the timeframe of the analysis by the forested acres cut per million tons of coal produced by surface mines (Step 1, Column B of Table 4.2-18). This calculation yields an estimate of the amount of forest cut in each region due to surface mine activity.

- b) Calculate the estimated forest cut in each region by underground mine activity.

Repeat step 4a for underground mine activity.

- c) Estimate the total regional forest cut.

Sum the expected forest cut due to surface and underground coal mining activity to estimate total forest cut at the regional level across the timeframe of the analysis.

- d) Calculate the acres reforested according to improved practices under the baseline.

Multiply the acres of forest cut by the baseline improved reforestation rate for each region (Column D of Table 4.2-18). This represents acres reforested according to improved practices, similar to the FRA, under the baseline. For example, in Appalachia this estimate is 37 percent but is zero in all other regions.

4.2.2.3.1.5 Step 5: Calculate number of reforested acres according to improved reforestation practices under the Action Alternatives (Alternatives 2, 3, 4, 5, 7, and 8) from 2020 to 2040.

Repeat Step 4 using the coal production, forest acres cut per million tons of coal produced (Step 1, Column B of Table 4.2-18), and reforestation rates (Column E of Table 4.2-18) for each of the relevant Action Alternatives (Alternatives 6 and 9 are the same as the No Action Alternative).

4.2.2.3.1.6 Step 6: Calculate total forest acres improved.

Subtract the No Action Alternative reforested acres (Step 4) from the Action Alternative reforested acres (Step 5) to determine the number of forest acres that are improved due to implementation of each Action Alternative in each region.

4.2.2.3.1.7 Step 7: Calculate average annual forest acres improved.

To estimate average annual acres improved in each region, divide the total improved acres (2020 to 2040) by the 21-year timeframe of the analysis.

Table 4.2-18. Assumptions of Land Cover and Reforestation Practices for the Improved Forest Acres Analysis

Mine Region (Type)		A	B	C	D	E
		Percent Premining Forest Land Cover	Forest Acres Cut per Million Tons of Coal Produced	No Action: Percent Reforested (Postmining Land Use)	No Action and Alternatives 6 and 9: Percent Reforested Applying Improved Methods	Alternatives 2, 3,4,5,7, 8: Percent Reforested Applying Improved Methods
SURFACE MINES						
Appalachia	North	63%	73.1 (63.8 for Alt 2) ^b	70%	37%	70%
	Central	93%				
Colorado Plateau		55%	19.8	0%	0%	70%
Gulf Coast		26%	12.7	4%	0%	70%
Illinois Basin		19%	16.4	11%	0%	70%
Northern Rocky Mountains and Great Plains		5%	0.3	0%	0%	70%
Northwest		5% ^a	0.7	0%	0%	70%
Western Interior		88%	75.7	0%	0%	70%
UNDERGROUND MINES						
Appalachia	North	55%	6.9	70%	37%	70%
	Central	78%	6.9	70%	37%	70%
Colorado Plateau		55%	1.2	0%	0%	70%
Illinois Basin		17%	0.7	11%	0%	70%
Northern Rocky Mountains and Great Plains		5%	0.4	0%	0%	70%
Western Interior		88%	0.6	0%	0%	70%

Notes:

^a Absent specific information on land cover at typical surface mines in the Northwest region, this analysis relies on the Northern Rocky Mountains and Great Plains region as a proxy.

^b The cut forest acres per ton of coal produced is slightly less under Alternative 2 as mines in this region under this Alternative disturb less total acreage but produce the same total amount of coal.

4.2.2.3.2 Methods for Assessing Preserved Forest Acres

Preserved forest areas are forest areas that are left undisturbed because of a decrease in surface coal mining activity.²⁹ Implementation of the Action Alternatives may benefit forest habitat in the coal regions by reducing overall levels of coal production or by shifting coal production from surface methods (which require cutting more forest) to underground methods.

This analysis quantifies “preserved” forest acres according to the following methods:

4.2.2.3.2.1 Step 1: Estimate the acres of forest cut per million tons of coal produced at surface and underground mines under the No Action Alternative.

Similar to Step 1 of the methods for calculating improved acres, the evaluation of preserved acres first requires calculating region-specific multipliers describing the amount of forest cut per million tons of coal produced by surface and underground mining methods.

- a) Determine the land area (in acres) disturbed by surface and underground mines at the Model Mines in each region under the No Action Alternative.

This first step references the estimated “disturbed area” at each of the Model Mines under the No Action Alternative (Alternative 1).

- b) Determine proportion of the disturbed area likely to be forest land cover premining for each mine type (surface and underground) in each region.

This information is summarized in Column A of Table 4.2-18 above.

- c) Calculate the number of forest acres disturbed by the Model Mines for each mine type and region under the No Action Alternative.

Multiply the total disturbed area (Step 1a) by the proportion likely to be forest (Step 1b). The result is the number of forest acres cut at the model surface and underground mines in each region under the No Action Alternative.

- d) Calculate disturbed (i.e., cut) forest acres per million tons of coal produced for each mine type and region.

Divide the total disturbed forest acres at each Model Mine calculated in Step 1c by the level of coal produced (in terms of millions of tons) at each Model Mine as determined by the engineering Model Mine analysis (described in Section 4.1).

²⁹ In this analysis, “preserved” forest acres are those areas not cleared for mining during the study period. The forests are not preserved in perpetuity, i.e., they may be cleared for other purposes at some point in the more distant future.

4.2.2.3.2.2 Step 2: Calculate forest acres cut under the No Action Alternative in each region across the timeframe of the analysis.

Multiply surface coal production under the No Action Alternative across the timeframe of the analysis by the relevant region-specific cut forest acres multiplier for surface mining from Step 1. Undertake the same calculation for underground coal production. Sum the total acres of forest cut to accommodate surface and underground coal production from 2020 to 2040 to estimate total acres of forest cut for coal mining under the No Action Alternative.

4.2.2.3.2.3 Step 3: Calculate forest acres cut under the Action Alternatives in each region across the timeframe of the analysis.

Conduct the same calculation as in Step 2, but rather than basing acres cut on baseline production, base acres cut on estimated coal production (by region and surface or underground mine methods) for each of the Action Alternatives.

4.2.2.3.2.4 Step 4: Calculate total and average annual forest acres preserved due to implementation of the Action Alternatives.

Subtract the total forest acres cut under the Action Alternatives (2020 to 2040) from the total forest acres cut under the No Action Alternative (2020 to 2040). The difference reflects forest acres preserved due to implementation of the Alternatives. Divide the total number of preserved acres by the 21-year timeframe of the analysis to estimate average annual forest acres preserved by region.

4.2.2.4 Analytic Results for Assessing Forest Land Cover

4.2.2.4.1 Estimate of Improved Forest Acres

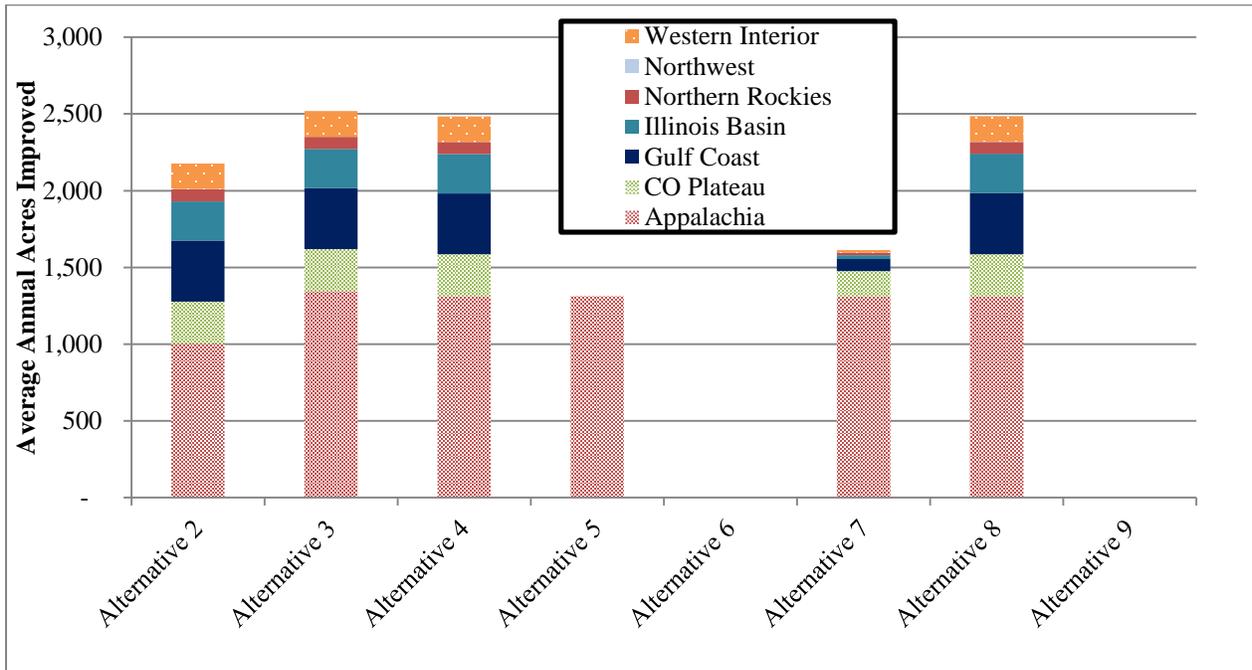
Table 4.2-19 and Figure 4.2-3 present the results of the analysis of improved forest acres. While Alternative 2 prescribes similar reforestation improvements to several other Action Alternatives (Alternatives 3, 4, and 8 (Preferred)), the benefits associated with Alternative 2 in terms of acres of improved forest are less. This result occurs because the estimated amount of coal produced under Alternative 2 is less than under the other Action Alternatives. As a result, fewer forest acres are cut under Alternative 2, which results in more preserved forest acres and fewer improved forest acres. Moreover, as noted above, typical surface mines in Central Appalachia designed according to the requirements of Alternative 2 are associated with a reduced disturbed area compared to the other Alternatives. The amount of forest acres cut per million tons of coal produced under Alternative 2 in Appalachia is therefore slightly less than under the other Action Alternatives.

Alternatives 5 and 7 apply to a more limited geographic area and therefore benefit fewer acres than Alternatives 3, 4, and 8 (Preferred). Alternatives 6 and 9 do not require reforestation of previously forested areas; therefore, they generate no additional forest improvement benefits in comparison to the No Action Alternative.

Table 4.2-19. Average Annual Improved Forest Acres Analysis Results (2020 to 2040)

Alternative	Appalachian Basin	CO Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	Total Over the 21 Year Study Period (2020 to 2040)
Alternative 2	1,004	274	397	256	78	0	166	45,713
Alternative 3	1,346	274	397	256	78	0	166	52,890
Alternative 4	1,312	274	397	256	78	0	166	52,179
Alternative 5	1,313	0	0	0	0	0	0	27,567
Alternative 6	0	0	0	0	0	0	0	0
Alternative 7	1,311	164	79	26	16	0	17	33,880
Alternative 8 (Preferred)	1,313	274	397	257	78	0	166	52,211
Alternative 9	0	0	0	0	0	0	0	0

Figure 4.2-3. Improved Forest Acres Analysis Results by Coal Region and Alternative



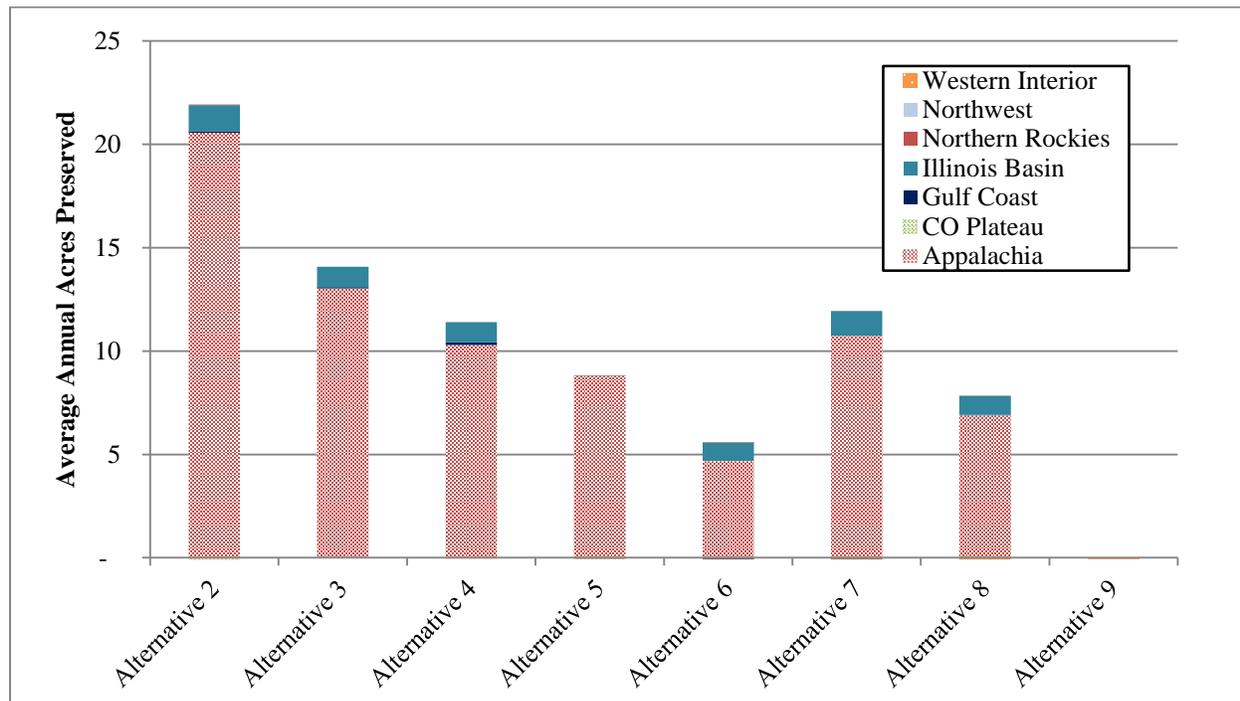
4.2.2.4.2 Estimate of Preserved Forest Acres

Table 4.2-20 and Figure 4.2-4 present the results of the preserved forest acres analysis. The benefits are largely limited to the Appalachian coal region for two reasons. First, coal mining (particularly surface production methods) requires cutting more forest in Appalachia than in other regions (see forest acres cut per million tons of coal produced multipliers in Table 4.2-18). Second, implementation of the Action Alternatives affects overall coal production levels in Appalachia to a greater degree than in the other regions. As a result of these factors, implementation of the Action Alternatives in Appalachia reduces the amount of forest cut. The effect is generally zero or Negligible in the other coal mining regions. Alternative 2 generates the greatest benefit in terms of preserving forest land cover as this Alternative is associated with the greatest reduction in surface coal production.

Table 4.2-20. Average Annual Estimate of Preserved Forest Acres (2020 to 2040)

Alternative	Appalachian Basin	CO Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	Total Over the 21 Year Study Period (2020 to 2040)
Alternative 2	21	0	0	1	0	0	0	458
Alternative 3	13	0	0	1	0	0	0	295
Alternative 4	10	0	0	1	0	0	0	239
Alternative 5	9	0	0	0	0	0	0	184
Alternative 6	5	0	0	1	0	0	0	116
Alternative 7	11	0	0	1	0	0	0	249
Alternative 8 (Preferred)	7	0	0	1	0	0	0	163
Alternative 9	0	0	0	0	0	0	0	0

Figure 4.2-4. Estimate of Preserved Forest Acres, by Coal Region and Alternative



4.2.2.5 Qualitative Analysis of Effects on Streamside Habitat

A key focus of the Action Alternatives is also to improve streamside habitat. As discussed in Subsection 4.2.2.2, multiple elements within each Action Alternative benefit the quantity and quality of streamside habitat in the coal regions, most directly the establishment of streamside vegetative corridors. In addition, rule elements that benefit water quality on and downstream of mine sites (e.g., protection of hydrologic balance and limitations on activities in or near streams) may likewise reduce the effects of mining on streamside habitats surrounding streams.

Requirements to implement streamside vegetative corridors with native, non-invasive vegetation provide the most direct benefit to streamside habitat. The purpose of the streamside vegetative corridors is to support restoration of the ecological function of streams impaired by mining activities. Consequently, in addition to increasing the overall availability of streamside habitat and supporting streamside species, these buffers protect water quality downstream and the aquatic communities contained within them.

Requirements for streamside vegetative corridors vary across the Action Alternatives. Alternatives 2, 5, 6, 7, and 8 (Preferred) require that all restored or permanently diverted stream reaches (perennial, intermittent, or ephemeral) implement a minimum 100-foot streamside vegetative corridor. On the other hand, Alternatives 3 and 4 specify a minimum 300-foot buffer comprising native, woody species but limit this requirement to restored or permanently diverted intermittent and perennial streams. While the benefit to intermittent and perennial streams is likely greater under Alternatives 3 and 4 due to the 300-foot, as opposed to 100-foot buffer, these two Alternatives do not include a buffer requirement for ephemeral

streams. Alternative 9 would require a 100-foot buffer with allowable exceptions, and would be functionally similar to the No Action Alternative.

It is difficult to predict at a given mine site, or at the regional level, whether the larger, but more geographically limited buffers under Alternatives 3 and 4, or the smaller but more broadly implemented buffers under Alternatives 2, 5, 6, 7, and 8 (Preferred) would generate greater streamside and aquatic community benefits. The effects of the streamside vegetative corridors would depend on the relative presence of intermittent, perennial, and ephemeral streams. At sites, where limited or no ephemeral stream reaches are affected by mining, Alternatives 3 and 4 provide a greater benefit to the streamside and aquatic habitats within and downstream of the site. Conversely, where the majority of affected streams are ephemeral at a given site, Alternatives such as Alternative 2 provide the greater benefit.

Alternatives 5, 6, and 7 require a 100-foot streamside vegetative corridor for all streams, similar to Alternative 2. However, because the requirements under Alternatives 5 and 7 apply only under specific circumstances (as described in Chapter 2), the associated benefits to streamside and aquatic biological communities would be more limited than under Alternative 2, which is applicable to all surface coal mining operations. Likewise, Alternative 6 effects would be more limited. Under Alternative 6, streamside vegetative corridors (on any type of stream) would be required only for the portions of those streams within the 100-foot streamside vegetative corridor of an intermittent and perennial stream impacted by the mining activity. For example, Alternative 6 would require a 100-foot streamside vegetative corridor around the ephemeral stream segments occurring within 100 feet in any direction (including upstream) from impacted intermittent or perennial stream segments.

Although not directly quantifiable, each of the Action Alternatives (except Alternative 9) provide some benefit to streamside habitat above and beyond the protections of the No Action Alternative. As discussed below, the expected level of benefit (Negligible, Minor, Moderate, or Major) for each Alternative is based on the number of potential affected streams at the regional level (as quantified in Section 4.2.1) and the particular streamside vegetative corridor requirement of the rule elements.

4.2.2.6 Qualitative Analysis of Effects on Fish and Wildlife, and Threatened and Endangered Species

The negative effects of mining on specific features of habitats (soils, topography, water quality, and vegetation) may make it more difficult for wildlife species to reestablish after a mining disturbance and may increase the proliferation of non-native species on reclaimed landscapes. It follows that elements of the Action Alternatives that require the reestablishment of these landscape characteristics are likely to benefit associated fish and wildlife species. To evaluate impacts of the Action Alternatives on fish and wildlife species, this analysis accordingly considers effects on vegetation, topography, water quality, and soils on which the fish and wildlife depend.

Most elements of the Action Alternatives (e.g., water quality and quantity protection, revegetation/reforestation, topography, soils, and streamside vegetative corridors) influence habitat quality and quantity at the regional level either directly or indirectly. For example, undisturbed soils contain a seed bank that promotes rapid re-establishment of native species. By returning topsoil to mined areas (as required by Alternatives 2, 3, 4, 5, 7, and 8), some of the original seed bank material may be returned and promote regrowth (though some of the natural seed bank may be destroyed during surface

mining). Natural topographical features addressed by rule elements related to approximate original contour variances and surface configuration (as described in Chapter 2) also support multiple species and habitats necessary for diverse ecosystem functioning; restoring these topographical features is therefore expected to benefit species diversity and habitat. Furthermore, physical characteristics that may influence habitat suitability (e.g., erosion, runoff, rainfall infiltration, level of soil compaction) are themselves affected by changes to topography, soils, and the vegetation characteristics. Thus improvements to topography, soils, and vegetation characteristics may improve habitat suitability. Other sections of this chapter provide a more in-depth discussion of the impacts of the Action Alternatives on these features.

The potential for coal mining to adversely affect fish and other wildlife is most directly addressed by the elements of the Action Alternatives designed to protect and enhance the fauna inhabiting the mine site and adjacent areas, including downstream aquatic life. As described in Subsection 4.2.2.1, under the No Action Alternative, disturbances to fish and wildlife resources must be avoided and habitats restored or replaced. The enhancement of these resources is required where practicable under the No Action Alternative. These protections, however, offer only general guidance for treatment of habitats of unusually high value.

Current regulations specify that no surface or underground mining activity “shall be conducted which is likely to jeopardize the continued existence of endangered or threatened species listed by the Secretary or which is likely to result in the destruction or adverse modification of designated critical habitats of such species in violation of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.)” (30 CFR 816.97(b) and 817.97(b)). Under the No Action Alternative, a species-specific protection and enhancement plan is recommended under the 1996 BO. As stated above, Action Alternatives 2, 3, 4, 5, 7, and 8 are the same as the No Action Alternative except that they also *require* under SMCRA regulations the development of a species-specific protection and enhancement plan. It is difficult to forecast physical impacts to threatened and endangered species that would result from changing the protection and enhancement plan recommendation to a requirement. While the No Action Alternative provides extensive protection of federally listed species (i.e., federal regulations already protect listed species and their critical habitats, and recommend development of a species plan), the Action Alternatives further benefit these species in terms of improved quality and quantity of stream, streamside, and forest habitats within the coal regions. As the conservation and recovery of threatened and endangered species is inextricably linked to the quality and quantity of their habitats, this analysis finds that the Action Alternatives likely benefit listed species to an extent commensurate with their relative benefits to stream, streamside, and forest habitats.

4.2.2.7 Summary of Effects

Table 4.2-21 summarizes the impacts to biological resources under each of the Action Alternatives as compared to the No Action Alternative.

To evaluate the impacts of Action Alternatives on biological resources, OSMRE considered potential changes to the quality and quantity of terrestrial and aquatic habitats occurring in coal-producing areas, vegetative cover for terrestrial systems, and features of flowing and ponded aquatic systems. Impacts on these habitats in turn affect the wildlife communities they support. Coal mining adversely affects: (1) the biological composition, or the number and proportion of habitat types (e.g., the amount of forest, length of stream habitat); (2) the biological structure, or the geographical arrangement of the habitat types; and

(3) the biological function, or how these arranged habitat types interact with their respective plant and animal species. Thus, the extent to which the Action Alternatives would impact biological resources is in part dependent upon the extent to which the Action Alternatives would affect coal production. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, and also attempt to reduce adverse impacts to habitats, impacts on biological resources are generally anticipated to be beneficial, although the EIS does not quantify these benefits. Impacts are generally likely to extend beyond the period of active mining (long-term). Specifically, determinations were made using the following analytic categories:

- Negligible: Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- Minor Beneficial: Benefits to native forest, streamside, and stream habitat could be detectable, but would likely be limited to localized areas. A reduction in infrequent or insignificant disturbances to locally suitable habitat could occur.
- Moderate Beneficial: Benefits to native forest, streamside, and stream habitat could be measureable but limited to local and adjacent areas. A reduction in occasional disturbances to local and adjacent areas could be expected. These reductions in disturbances could benefit the local habitat but would not be expected to affect regional stability. Some beneficial impacts could occur in key habitats, which would help local habitat retain function and maintain viability both locally and in adjacent areas.
- Major Beneficial: Benefits to native forest, streamside, and stream habitat could be measurable and widespread. Reduced frequency of disturbances to habitat could be expected, with benefits to both local and regional systems. These benefits could positively affect rangewide habitat stability. Some impacts could occur in key habitats, and impacts could positively affect the viability of the habitat both locally and throughout its range.

Under the No Action Alternative current trends of mining impacts on these resources are expected to continue. In general, the effects of the Action Alternatives on biological resources are expected to be Negligible or beneficial across all coal regions.

With respect to biological resources, the following broad observations guide the summary and categorization of impacts:

- Impacts to forest land cover are expected to be long-term. While forest land cover may naturally return to reclaimed mine sites absent improved forest practices, available literature suggested this transition can take decades (e.g., Angel, et al., 2005). Accordingly, preserved and improved forests resulting from the Action Alternatives are more productive. Preserved and improved forests result in increased carbon storage potential, improved habitat quality, and improved conditions for recreational and aesthetic benefits (Stephenson, et al., 2014). For four of the regions, the reforestation impacts are relatively limited in geographic scope due to the naturally low level of forest land cover premining. The benefits vary by Alternative, depending on where the reforestation benefits apply. In general, however, for Alternatives that apply the reforestation requirements, benefits to forest land cover are expected to be Moderate Beneficial in the Colorado Plateau, Illinois Basin, Gulf Coast, and Northern Rocky Mountains and Great Plains regions. In the Northwest and Western Interior regions, benefits associated with Action

Alternatives are Negligible due to the very limited level of mining activity and associated affected forest expected to be improved. Only in Appalachia are benefits likely to be Major Beneficial. This region features the most forested land cover and a significant level of mining activity.

- Benefits to streamside habitat are expected to persist long-term. Establishing streamside vegetative corridors ensures expanded streamside habitat and enhances the quality of adjacent stream waters by buffering and filtering pollutants. As described in Chapter 3 and Section 4.2.1, negative effects of mining on water quality can persist beyond the life of the mine. It follows then that reduced water quality impairments supported by the streamside vegetative corridors generate long-term benefits to fish and wildlife.
- The characterization of impacts to fish and wildlife are informed by the broader improvements to ecological conditions (i.e., water quality, forest and other vegetative land cover, and topography/soils).

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in biological resources would continue.

The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on biological resources under the No Action Alternative.

Population growth is a primary driver of changes in biological resources associated with land clearing and development, as well as overall resource use. The socioeconomic section of Chapter 3 describes demographic trends in the coal-producing regions. In the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase adverse impacts to biological resources under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect biological resources. As noted above, approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect biological resources, among other resources. For example,

Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

Trends in agriculture also affect biological resources within the study area. As noted above, relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to improve biological conditions, such as, water quality programs; mining rules intended to improve or expedite restoration activities; habitat conservation and management initiatives; and forestry and agricultural programs designed to conserve watershed integrity may continue to improve conditions under the No Action Alternative.

The following summaries of Action Alternatives 2 through 9 discuss impacts relative to the No Action Alternative. Action Alternatives are generally anticipated to benefit biological resources at the national scale when compared to the No Action Alternative, with Alternatives 2, 3, 4, 7, and 8 (Preferred) providing Moderate Beneficial impacts, and Alternatives 5 and 6 providing Minor Beneficial impacts at a national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on biological resources.

On a regional scale, and similar to water resources, Major Beneficial impacts are anticipated in the Appalachian Basin and the Illinois Basin under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 5. Moderate Beneficial impacts are anticipated in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin and the Illinois Basin under Alternative 7. Other effects on biological resources are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

Table 4.2-21. Summary of Impacts of the Action Alternatives on Biological Resources Compared to the No Action Alternative

Coal Region	Alt. 2	Alt. 3	Alt. 4	Alt. 5	Alt. 6	Alt. 7	Alt. 8 (Preferred)	Alt. 9
Appalachian Basin	Major Beneficial	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Colorado Plateau	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Gulf Coast	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Illinois Basin	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Northern Rocky Mountains and Great Plains	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Northwest	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Western Interior	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
National	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible

Note: For a discussion of the impacts of the No Action Alternative (Alternative 1), see Subsection 4.2.2.1 above.

4.2.2.7.1 Alternative 2

Alternative 2 includes many protective elements for biological resources. With respect to forest land cover, benefits are determined to be Major Beneficial in Appalachia; Moderate Beneficial in Colorado Plateau, Illinois Basin, Gulf Coast, and Northern Rocky Mountains and Great Plains regions; and Negligible in the Northwest and Western Interior regions.

The stream (water quality) benefits of this Alternative, as described in Section 4.2.1, reflect similar findings as the forest land cover, with the exception that benefits are Major in Illinois Basin. This conclusion results from the large number of streams and associated streamside habitat present in the region. As such, the fish and wildlife species dependent upon these habitats would experience similar levels of benefit in the Illinois Basin region.

Benefits are Negligible in the Northwest and Western Interior regions, where mining activity generally has fewer impacts on forest, streamside, and stream habitat.

4.2.2.7.2 Alternative 3

Alternative 3 includes a number of elements that would reduce the adverse effect of coal mining activities on biological resources, both aquatic and terrestrial. For example, Alternative 3 would implement a number of additional protections that will improve water quality in intermittent, perennial and ephemeral streams currently affected by coal mining activities. Rule elements that improve water quality are also likely to generate improvements in downstream aquatic and streamside fish and wildlife communities that depend on the habitat provided by these streams.

Alternative 3 also includes a mix of elements related to revegetation, topsoil, management and reforestation that collectively would improve the quantity and quality of forest land cover and other

vegetative communities within coal mining regions. With respect to forest land cover, benefits under Alternative 3 are determined to be Major Beneficial in Appalachia; Moderate Beneficial in Colorado Plateau, Illinois Basin, Gulf Coast, and Northern Rocky Mountains and Great Plains regions; and Negligible in the Northwest and Western Interior regions.

The stream (water quality) benefits of this Alternative, as described in Section 4.2.1, reflect similar findings as the forest land cover, with the exception that benefits are Major in Illinois Basin. This conclusion results from the large number of streams and associated streamside habitat present in the region. As such, the fish and wildlife species dependent upon these habitats would experience similar levels of benefit in the Illinois Basin region.

Benefits are Negligible in the Northwest and Western Interior regions, where mining activity generally has fewer impacts on forest, streamside, and stream habitat.

4.2.2.7.3 Alternative 4

Similar to Alternatives 2 and 3, Alternative 4 also includes a number of elements that would reduce the adverse effect of coal mining activities on biological resources, both aquatic and terrestrial. Rule elements that improve water quality are also likely to generate improvements in downstream aquatic and streamside fish and wildlife communities that depend on the habitat provided by these streams. Proposed changes related to revegetation, topsoil, management and reforestation would collectively improve the quantity and quality of forest land cover and other vegetative communities within coal mining regions.

With respect to forest land cover, benefits under Alternative 3 are determined to be Major Beneficial in Appalachia; Moderate Beneficial in Colorado Plateau, Illinois Basin, Gulf Coast, and Northern Rocky Mountains and Great Plains regions; and Negligible in the Northwest and Western Interior regions. The stream (water quality) benefits of this Alternative, as described in Section 4.2.1, reflect similar findings as the forest land cover, with the exception that benefits are Major in Illinois Basin. This conclusion results from the large number of streams and associated streamside habitat present in the region. As such, the fish and wildlife species dependent upon these habitats would experience similar levels of benefit in the Illinois Basin region. Benefits are Negligible in the Northwest and Western Interior regions, where mining activity generally has fewer impacts on forest, streamside, and stream habitat.

4.2.2.7.4 Alternative 5

As explained in Chapter 2, Alternative 5 has little effect on any coal region other than the Appalachian Basin. As such, the predicted impacts for the other six coal regions are Negligible. For the Appalachian Basin region, however, the predicted level of biological resource protection is Major Beneficial. This designation reflects the abundance of forest, streamside, and stream habitat present in the region.

4.2.2.7.5 Alternative 6

Under Alternative 6, the analysis of water quality (Section 4.2.1) indicates that benefits to stream habitat are Moderate in the Appalachian Basin, Colorado Plateau, Gulf Coast, Illinois Basin, and Northern Rocky Mountains and Great Plains regions, and Negligible in the Northwest and Western Interior regions. However, Alternative 6 does not incorporate the same reforestation and revegetation requirements as other Action Alternatives. As a result, overall biological resource benefits are generally Minor Beneficial

(Appalachian Basin, Colorado Plateau, Gulf Coast, Illinois Basin, and Northern Rocky Mountains and Great Plains) or Negligible (Northwest and Western Interior).

4.2.2.7.6 Alternative 7

Alternative 7 applies to a more limited number of mine sites (i.e., those sites where enhanced permitting requirements apply). As a result, benefits to forest, stream, and streamside habitats are more geographically limited relative to the Alternatives with a broader geographic range. Specifically, biological resource benefits are Moderate (Appalachian Basin, Colorado Plateau, Gulf Coast, Illinois Basin, and Northern Rocky Mountains and Great Plains) or Negligible (Northwest and Western Interior) for Alternative 7.

4.2.2.7.7 Alternative 8 (Preferred)

Alternative 8 (Preferred) is very similar to Alternatives 3 and 4 in terms of the level of protection afforded to streams. For example, Alternatives 3, 4 and 8 (Preferred) require enhanced baseline characterization and monitoring before and during mining activities. In addition to limits on the types of activities that can occur in, near and through streams, Alternative 8 (Preferred) includes a definition of material damage to the hydrologic balance outside the permit area and establishes evaluation thresholds. Both of these rule elements provide an objective early warning system that further protects biological resources by preventing adverse impacts from developing to the point that they cause material damage to the hydrologic balance of affected streams. Alternative 8 (Preferred) is also similar to Alternatives 3 and 4 in terms of forest benefits, although the estimate of preserved forest acres is slightly lower given the slightly lower decreases in coal production. Despite these minor differences, the impact classifications for Alternative 8 (Preferred) are the same as those under Alternatives 3 and 4 with respect to biological resources.

4.2.2.7.8 Alternative 9

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on biological resources.

4.2.2.8 *Potential Minimization and Mitigation Measures*

The Action Alternatives of the Stream Protection Rule are not expected to result in adverse environmental consequences in the context of biological resources. Therefore, identifying potential minimization and mitigation measures is not applicable for this analysis.

4.2.3 Topography, Geology, and Soils

Chapter 3 describes general characteristics of topography, geology, and soils at the regional level. This section of Chapter 4 analyzes how the Action Alternatives under consideration for the SPR would affect topography, geology, and soils. The extent to which the Action Alternatives would impact topography, geology, and soils is in part dependent upon the extent to which the Action Alternatives would affect coal production because the process of coal mining necessarily disturbs the topography, geology, and soils of the mine site.

The discussion is organized as follows:

- It first describes the existing regulatory environment to assist the reader in understanding the impacts of the No Action Alternative on topography, geology, and soils.
- Second, the discussion identifies the aspects of topography, geology, and soil resources most likely to be affected by implementation of the Action Alternatives and the rationale for these findings.
- It then describes the method for assessing the expected magnitude of quantified impacts of the Action Alternatives on these resources.
- Next, it presents the results of the quantitative analysis.
- The section concludes with a summary of the expected effects of the Action Alternatives, including additional qualitative evaluation of other beneficial impacts, and characterizes the impacts by coal region and Alternative.

4.2.3.1 *Effects of the Current Regulatory Environment (the No Action Alternative)*

4.2.3.1.1 Topography

Coal mining alters the landscape by removing coal resources and changing the configuration and physical properties of rock and other earthen materials overlying the coal seam. Depending on the original topography, the thickness of the coal seam, the relative thickness of overburden, and mining method, significant changes in topography can result. Under SMCRA, mined land must be backfilled and graded to restore its approximate original contour (AOC), with limited exceptions.

4.2.3.1.1.1 *Current AOC Requirements*

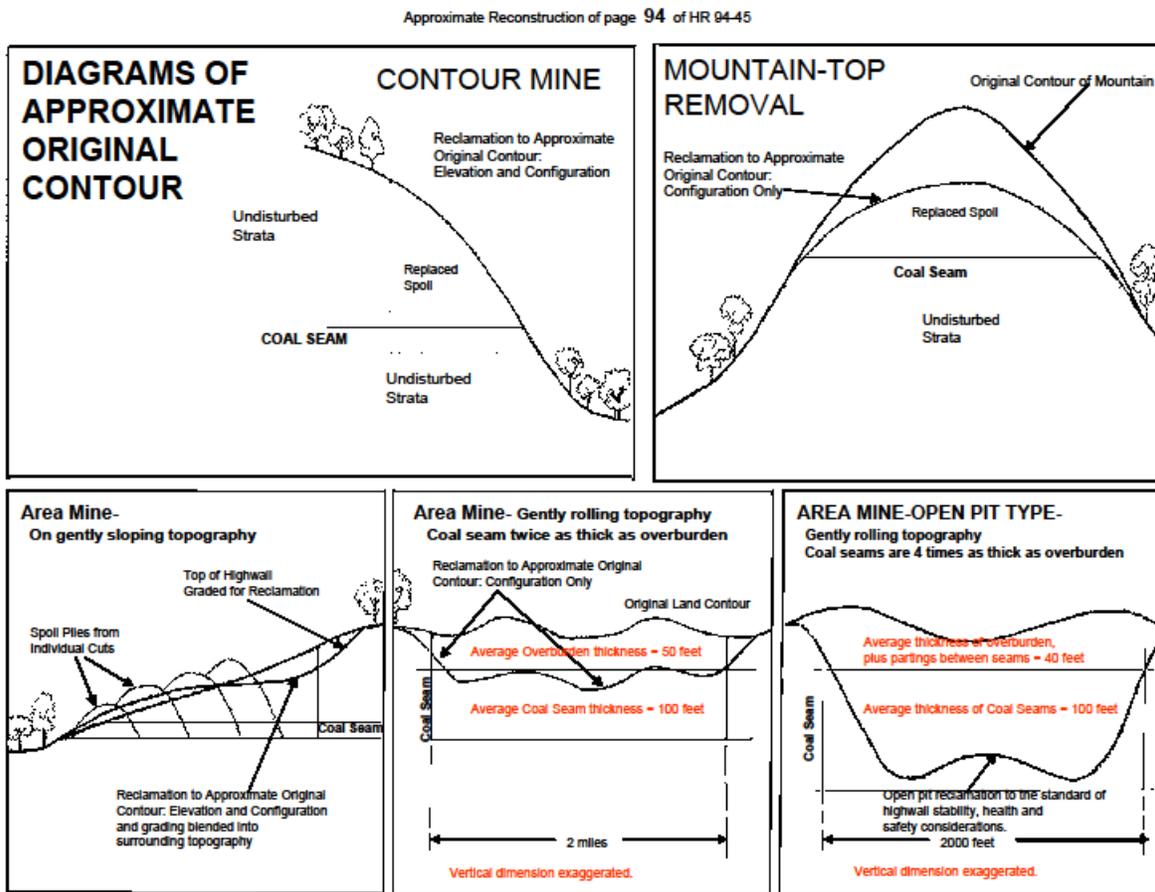
Section 515(b)(3) of SMCRA (30 U.S.C §1265(b)(3)) requires that mined lands be backfilled and graded to restore the AOC, with certain exceptions. The implementing regulations at 30 CFR 816.102 and 817.102 require that areas disturbed by mining operations be backfilled and graded to achieve AOC, with the exception of sites with thin or thick overburden, mountaintop removal mining operations, those portions of steep-slope operations for which the regulatory authority has granted a variance from AOC restoration requirements, previously mined areas for which complete highwall elimination is not required, and, for underground mines, settled and revegetated fills. The regulations at 30 CFR 701.5 define AOC as follows:

Approximate original contour (AOC) means that surface configuration achieved by backfilling and grading of the mined areas so that the reclaimed area, including any terracing or access roads, closely resembles the general surface configuration of the land prior to mining and blends into and complements the drainage pattern of the surrounding terrain, with all highwalls, spoil piles and coal refuse piles

eliminated. Permanent water impoundments may be permitted where the regulatory authority has determined that they comply with 30 CFR 816.49[,] 816.56, [and] 816.133 or 817.49, 817.56, and 817.133.

Figure 4.2-5 contains a reconstruction of an illustration in the legislative history of SMCRA that demonstrates how the authors of SMCRA envisioned implementation of the backfilling and grading requirements of section 515(b)(3), both for operations required to restore the approximate original contour and for certain operations that are exempt from that requirement (mountaintop removal mining and sites with thin or thick overburden).

Figure 4.2-5. Legislative History Schematic of Backfilling and Grading Scenarios



Source: Committee on Interior and Insular Affairs. (1975). House Report No. 94-45 on HR 25, 94th congress 1st session, House of Representatives.

4.2.3.1.1.2 State and Regional AOC Studies

In the Appalachian Basin, OSMRE conducted special oversight studies in the late 1990s in Kentucky, Virginia, and West Virginia (OSMRE, 1999a) to determine how these state regulatory authorities were

implementing their approved regulatory programs with respect to AOC restoration, exceptions to AOC restoration, and the postmining land uses needed to justify certain exceptions to AOC restoration. After examining permit files and reclaimed mine sites, OSMRE found that it was difficult to distinguish between the final surface configuration of operations for which AOC restoration was required and the final surface configuration of those operations with an approved exception to AOC restoration. There was no clear difference in the number and size of excess spoil fills on sites that had been reclaimed to AOC and those that had not. Furthermore, operators could have retained more spoil on the mined-out area under applicable AOC restoration requirements instead of placing it in excess spoil fills that were designed to be larger than necessary. The larger size of these fills meant that operations were disturbing more land outside the mined-out area than was necessary.

OSMRE and state regulatory authorities in Kentucky, West Virginia, and Virginia (along with industry and environmental representatives) subsequently developed guidance on restoration of AOC and excess spoil management. Guidance documents produced include: Kentucky Department of Natural Resources (KY DNR) Reclamation Advisory Memorandum # 145 (KY DNR, 2009); Virginia Department of Mines, Minerals and Energy Guidance Memoranda 4-02 (VA DMME, 2002); and West Virginia's Final AOC Document Guidance Policy commonly referred to as AOC-Plus (WV DEP, 1999). Additionally, OSMRE's Knoxville Field Office developed a guidance document titled *Engineering Procedure 2.1: Steep Slope Mining: AOC and Excess Spoil Determination* for the federal program in Tennessee (OSMRE, 2001). These policy documents are not part of those states' approved programs, and they do not have the force of law or regulation.

Each policy guidance document provides a systematic and objective process for achieving AOC in certain steep-slope areas. The documents focus on calculating the volume of spoil that can be returned to the mined-out area and minimizing both the total volume of excess spoil that the operation generates and the footprints of excess spoil fills, while choosing the most efficient excess spoil disposal location. The policies also contain guidelines addressing stability and drainage control. They promote the construction of excess spoil fills with flat top decks rather than placing additional excess spoil on that deck and regrading it to resemble the ridge-and-valley topography that is predominant in the region. Overall, these fill minimization policies are designed to retain more spoil on the mined-out area, produce fewer and smaller fills, and promote contemporaneous reclamation.

In evaluation year 2010, OSMRE conducted a nationwide evaluation of how states were implementing the AOC restoration provisions of their approved programs. The areas studied included AOC interpretation, documentation of AOC-related permitting decisions, the process for on-the-ground verification of the premining surface configuration, and field verification that backfilling and grading are following the approved plan. Detailed permit file reviews of selected sites were performed and the premining and postmining topography of the sites were compared to determine how well the surface configuration of the reclaimed site matched the topographic restoration plan approved in the permit. OSMRE also evaluated other AOC-related factors, including reestablishment of premining drainage patterns. These evaluations found that most states have a satisfactory process for determining the premining surface configuration and ensuring that the postmining surface configuration closely resembles the configuration before mining (OSMRE, 2010a). However, many states do not have written policies outlining how the regulatory authority is to determine whether AOC restoration has been achieved.

Furthermore, some states do not verify or document that the final grading of disturbed areas complies with the plan approved in the permit (OSMRE, 2010a).

In some states, where no formal method or reproducible process was available for evaluating AOC, implementation of AOC requirements was found to be inconsistent and highly variable. In addition, in several states OSMRE noted that readily available electronic data and technology could be used more efficiently and precisely to ensure the return of mined land to AOC. Applicable technologies include digital terrain modeling or the use of GPS data to more precisely evaluate premining and postmining topography (OSMRE, 2010a).

In Oklahoma, the AOC study determined that three of the five mine permits investigated were not being reclaimed to AOC and/or had serious flaws in the approved reclamation plan or field implementation. At one mine site, an after-the-fact revision to a reclamation plan that originally required restoration of AOC approved the creation of a long narrow spoil ridge with side slopes of approximately 25 percent in an area where premining topography was generally flat with slopes of 3.5 percent or less. On another mine site, a reclamation plan change resulted in the creation of a long remnant spoil ridge immediately adjacent to a minimally backfilled and graded mine pit. The originally approved plan required the backfilling of the mine pit with graded spoil. This change resulted in the creation of a non-AOC postmining configuration with excessive slopes compared to the premining topography and the originally approved plan. At a third site, the reclamation plan approved by the regulatory authority allowed the placement of a large, steeply sloped spoil ridge adjacent to a large final pit, which was approved as a permanent impoundment despite the lack of documentation that it would hold sufficient water for that purpose. OSMRE reviewers could not fully determine whether the pit would fill with water. Ultimately, the pit remained dry. However, even if the pit had held water, the existence of a large final pit impoundment immediately adjacent to a large spoil ridge does not meet AOC restoration requirements. All three cases were in the administrative review process at the time of preparation of this FEIS.

Conversely, some companies elsewhere in the country are applying innovative technology, geomorphic reclamation techniques, and landforming principles in a manner that improves upon conventional AOC restoration techniques. Landforming is a design and grading technique that attempts to replicate the appearance of the natural terrain as well as the water transport and water retention functions of that terrain by constructing slopes, drainage ways, and other surface features that blend with the natural surroundings in an environmentally compatible fashion while meeting any relevant stability requirements (Schor and Gray, 2007).

The use of landforming principles to reclaim mined lands results in greater topographic diversity and stability than conventional backfilling and grading techniques; as such, it is compatible with stream restoration. In the past, conventional techniques have resulted in the creation of long, continuous, uniform, linear slopes that often required terracing and conveyance structures like diversions and downdrains to control surface runoff. However, terraces and diversions are of limited long-term function and stability and ultimately require maintenance. The use of landforming principles also enhances vegetative diversity, fish and wildlife habitat, and aesthetic values (see Figure 4.2-6).

Figure 4.2-6. Reclaimed Landscape Designed and Constructed Using Landforming Reclamation Principles



Source: OSMRE, [n.d.], *LaPlata Mine, New Mexico*, U.S. Department of the Interior.

4.2.3.1.1.3 Exceptions to Approximate Original Contour Restoration Requirements

Both SMCRA and its implementing regulations allow exceptions to AOC restoration requirements. For example, the surface mining regulations at 30 CFR 816.102(a)(1) provide that the disturbed area must be backfilled and graded to achieve AOC, except as provided in paragraph (k), which states that the postmining slope may vary from the AOC when—

- (1) The standards for thin overburden in 30 CFR 816.104 are met;
- (2) The standards for thick overburden in 30 CFR 816.105 are met; or
- (3) Approval is obtained from the regulatory authority for
 - (i) Mountaintop removal operations in accordance with 30 CFR 785.14;
 - (ii) A variance from approximate original contour requirements in accordance with 30 CFR 785.16 [variances to AOC requirements for steep-slope mining]; or
 - (iii) Incomplete elimination of highwalls in previously mined areas in accordance with 30 CFR 816.106.

In addition, the underground mining regulations at 30 CFR 817.102(l) contain an exception for settled and revegetated “fills” containing spoil from the face-up of the underground mine and nontoxic-forming and non-acid-forming underground development waste, provided those fills meet specified conditions.

These variations and exceptions are discussed in detail below.

4.2.3.1.1.4 Thin and Thick Overburden

Thin overburden exists chiefly in the Powder River Basin of the Northern Rocky Mountains and Great Plains region. Thick overburden most commonly occurs in parts of the Appalachian Basin, although it may exist to a very limited extent in other regions.

The federal regulations at 30 CFR 816.104(a) define thin overburden as follows:

Thin overburden means insufficient spoil and other waste materials available from the entire permit area to restore the disturbed area to its approximate original contour. Insufficient spoil and other waste materials occur where the overburden thickness times the swell factor, plus the thickness of other available waste materials, is less than the combined thickness of the overburden and coal bed prior to removing the coal, so that after backfilling and grading the surface configuration of the reclaimed area would not:

- (1) Closely resemble the surface configuration of the land prior to mining; or
- (2) Blend into and complement the drainage pattern of the surrounding terrain.

Paragraph (b) of 30 CFR 816.104 provides that, where thin overburden occurs, the permittee must use all spoil and other waste materials available from the entire permit area to attain the lowest practicable grade, but not more than the angle of repose. In addition, the permittee must comply with the backfilling and grading requirements of 30 CFR 816.102 (a)(2) through (j); i.e., all requirements other than AOC restoration.

The federal regulations at 30 CFR 816.105 define thick overburden as follows:

Thick overburden means more than sufficient spoil and other waste materials available from the entire permit area to restore the disturbed area to its approximate original contour. More than sufficient spoil and other waste materials occur where the overburden thickness times the swell factor exceeds the combined thickness of the overburden and coal bed prior to removing the coal, so that after backfilling and grading the surface configuration of the reclaimed area would not:

- (1) Closely resemble the surface configuration of the land prior to mining; or
- (2) Blend into and complement the drainage pattern of the surrounding terrain.

Paragraph (b) of 30 CFR 816.105 provides that, where thick overburden occurs, the permittee must restore AOC and then use the remaining spoil and other waste materials to attain the lowest practicable grade, but not more than the angle of repose. In addition, the permittee must comply with the backfilling and grading requirements of 30 CFR 816.102 (a)(2) through (j); i.e., all requirements other than AOC restoration, and must dispose of any excess spoil in accordance with 30 CFR 816.71 through 816.74.

4.2.3.1.1.5 Mountaintop Removal Mining Operations and Steep-Slope Mining AOC Variances

Section 515(c)(1) of SMCRA (30 U.S.C. 1265(c)(1)) provides that each state program may and each federal program must allow mountaintop removal mining operations. Paragraph (c)(2) defines mountaintop removal mining as an operation that “will remove an entire coal seam or seams running through the upper fraction of a mountain, ridge, or hill...by removing all of the overburden and creating a level plateau or a gently rolling contour with no highwalls remaining.” The postmining surface configuration must be capable of supporting an industrial, commercial, agricultural, residential or public facility (including recreational facilities) postmining land use. The remainder of paragraph (c) establishes additional permit application requirements and performance standards for mountaintop removal mining operations. Among other things, the application must include specific plans for the proposed postmining land use, the postmining surface configuration must drain inward except at specified points, and the operation must not damage natural watercourses. The federal regulations include corresponding permitting requirements and performance standards in 30 CFR 785.14 and 824.11.

Section 515(e) of SMCRA (30 U.S.C. § 1265(e)) also allows the regulatory authority to approve a variance from AOC restoration requirements for non-mountaintop removal mines in steep-slope terrain if the variance will render the reclaimed land suitable for an industrial, commercial, residential, or public use (including recreational facilities). Unlike for mountaintop removal mining operations, an agricultural postmining land use is not an acceptable basis for a steep-slope AOC variance.

SMCRA and the implementing regulations at 30 CFR 785.16 also impose other requirements and limitations on the AOC variance. For example, the highwall must be completely eliminated in a stable fashion and the variance must improve watershed control of the area relative to the premining condition or the condition that would exist if AOC was restored. Only that amount of spoil necessary to achieve the postmining land use, ensure stability of the spoil retained on the mine bench, and meet other applicable SMCRA requirements may be placed off the mine bench. All spoil not retained on the bench must be placed in accordance with the regulations governing excess spoil disposal (30 CFR 816.71-816.74).

4.2.3.1.1.6 Previously Mined Areas

The existing regulations at 30 CFR 816.106 and 817.106 (the No Action Alternative) apply where remining operations occur on previously mined areas that contain a pre-existing highwall. As defined in 30 CFR 701.5, the term “remining” refers to surface coal mining and reclamation operations that affect previously mined areas. Under 30 CFR 816.106 and 817.106, a remining operation must eliminate all highwalls that the operation re-affects unless the volume of all reasonably available spoil is demonstrated to be insufficient to completely backfill the re-affected highwall. In that case, the operator must eliminate the highwall to the maximum extent technically practicable. The operator must use all reasonably available spoil in the immediate vicinity of the remining operation, grading to a slope that provides adequate drainage and long-term stability, and ensuring that any highwall remnant is stable and does not pose a hazard to public health and safety or to the environment.

4.2.3.1.1.7 Excess Spoil

Surface mining methods involve the fracturing of the rock strata overlying the coal to facilitate excavation of the overburden and extraction of the coal. Fracturing formerly solid rock into multiple fragments

increases its overall volume because of the numerous void spaces between the rock fragments. This increase in volume is known as “swell” or “bulking.”

In areas with steep slopes, the swell factor commonly results in the generation of excess spoil because the volume of overburden removed, after swell, is greater than the volume that can be safely returned to the mined-out area or used to blend the mined-out area with the surrounding terrain. Re-establishment of the premining topography is limited by the physical properties of the spoil material, the associated angle of repose, and regulatory requirements related to angle of repose and stability. Typically, excess spoil is placed in fills constructed in valleys adjacent to the mined-out area. More than 98 percent of excess spoil fills are located in Central Appalachia (eastern Kentucky, southwest Virginia, West Virginia, and northern Tennessee) (OSMRE, 2008).

In non-steep slope areas, mines seldom generate excess spoil. Instead, it is possible to return the spoil to the mined-out area and grade it to closely resemble the premining topography. Because of the increase in volume caused by the swell factor, the backfilled and graded area generally will have a higher elevation than it did before mining, but the edges can be graded to blend with the surrounding terrain, consistent with the definition of AOC.

4.2.3.1.1.8 Types of Excess Spoil Fills

Prior to the passage of SMCRA, excess spoil fills generally were constructed with minimal engineering and placed at locations that were most convenient and least costly to the mining operation. Sometimes spoil was simply pushed over the slope below the mine bench. Section 515(b)(22) of SMCRA (30 U.S.C. 1265(b)(22)) established standards for excess spoil fill construction that focus on engineering and safety, with a goal of ensuring long-term fill stability. Among other things, SMCRA requires that excess spoil be placed within the permit area in a controlled manner to prevent mass movement and to assure mass stability. In addition, the operation must comply with drainage requirements to prevent spoil erosion and movement. The design of the excess spoil fill must be certified by a registered professional engineer in conformance with professional standards.

A study published in 2005 found that excess spoil fills in Appalachia are quite stable, with fewer than 20 reported slope movements out of more than 6,800 fills constructed since 1985 (U.S. EPA et al., 2005). However, the fills studied were constructed prior to the implementation of fill minimization and optimization requirements; they also were generally constructed lower in the watershed and on flatter foundation slopes than fills being constructed today. Fill minimization policies adopted in Kentucky and West Virginia since the completion of the study require fill placement higher in the watershed and on steeper slopes, thus creating the potential for greater instability. Fills placed on steeper foundations would inherently have a lower slope stability factor of safety.

The federal regulations at 30 CFR 816.71 through 816.74 and 817.71 through 817.74 expand upon the statutory requirements. General requirements for constructing excess spoil fills are contained in 30 CFR 816.71 and 817.71. The fill must be designed to achieve a minimum long-term static safety factor of 1.5 and a qualified registered professional engineer with appropriate experience must certify the design. The design must include underdrains constructed of durable rock or perforated pipe if the footprint of the fill contains springs, natural or man-made water courses, or wet weather seeps. Excess spoil must be transported and placed in a controlled manner and concurrently compacted in horizontal lifts that do not

exceed 4 feet unless the design engineer certifies that the design will ensure the stability of the fill and meet all other applicable requirements. A qualified registered professional engineer (or other qualified professional specialist under the direction of the engineer) must inspect the fill at least quarterly throughout construction. The engineer must provide a certified report to the regulatory authority after each inspection describing how the fill is being constructed and maintained in accordance with the approved design and regulatory requirements.

The federal regulations at 30 CFR 816.72 and 817.72 contain special requirements applicable to “valley fills” and “head-of-hollow fills,” which are two types of fills constructed in steep-slope areas (existing valleys with side slopes greater than 20 degrees) or where the average slope of the profile of the existing valley from the toe of the fill to the top of the fill is greater than 10 degrees. A head-of-hollow fill differs from a valley fill in that the top surface of the fill, when completed, is at approximately the same elevation as the adjacent ridgelines, which means that there is no significant area of natural drainage above the fill. By way of comparison, valley fills are constructed further down the valley and therefore have significant surface drainage from the watershed above the fill that must be diverted around the fill. The regulations allow both valley fills and head-of-hollow fills to use a specially constructed rock-core chimney drain in place of the underdrains and surface diversions that otherwise would be required under 30 CFR 816.71 and 817.71. However, a rock-core chimney drain may only be constructed where the fill is not located in an intermittent or perennial stream. In addition, if the fill is a valley fill, the volume of the fill may not exceed 250,000 cubic yards and upstream drainage must be diverted around the fill.

Durable rock fills are the most commonly constructed excess spoil fill in the Appalachian Basin. The federal regulations at 30 CFR 816.73 and 817.73 require that 80 percent of the spoil volume in a durable rock fill consist of durable, non-acid, and non-toxic-forming rock that does not slake in water and will not degrade to soil material. Durable rock fills are constructed by end-dumping excess spoil into valleys, generally in single lifts, but occasionally in multiple lifts. This construction technique relies upon gravity segregation of the end-dumped material to naturally form an underdrain concurrent with fill placement because the larger rocks roll to the base of the fill. Typically, this process results in a highly permeable zone of large-sized durable rock in the lower one-third of the fill. Existing durable rock fills generally contain single lifts ranging in size from 30 to over 400 feet in thickness. Following completion of spoil placement, the face of the fill typically is graded to a terraced configuration that may not exceed a 2h:1v slope ratio. Durable rock fills must be designed to attain a minimum long-term static safety factor of 1.5 and a seismic safety factor of 1.1.

Both state and federal regulatory programs have recognized that proper drainage control, including the construction of a functioning permeable underdrain, is critical to the long-term stability of durable rock fills. Hence, Kentucky and OSMRE have developed permitting and inspection guidance to address these concerns. See KY DNR’s “Reclamation Advisory Memorandum #141, Review of Durable Rock Fill Designs” (2002); and OSMRE’s *Final Environmental Impact Statement on Excess Spoil Minimization, Stream Buffer Zones* (2008).

Durable rock fills are susceptible to saturation and severe erosion of fill material, with consequent downslope flooding or mudflows, during significant rainfall events, particularly during the final stages of construction. Lack of contemporaneous reclamation of durable rock fills has been a contributing factor to severe erosion and flooding. One of the most notable significant flooding events associated with durable

rock fill construction occurred in Lyburn, West Virginia in 2002. While researching other failures following the event, WVDEP concluded that 49 excess spoil fill washouts had occurred in the 5 years preceding the Lyburn event (Pierce, 2004). To prevent or minimize offsite impacts, West Virginia Department of Environmental Protection (WVDEP) began requiring that durable rock fills be constructed in lifts of no more than 100 feet in thickness. Alternatively, fills may be constructed with an erosion protection zone, which is a free-draining durable rock bench extending downstream from the toe of the fill. It is intended to trap any fill material eroding, sliding, or flowing from an end-dumped fill during construction or final reclamation. Leaving fills with unreclaimed exposed surfaces increases the likelihood for mass soil movement and flooding.

The thick lifts and lack of mechanical compaction of spoil placed in durable rock fills results in greater void spaces and increased infiltration of both surface water and groundwater. These factors result in discharges containing elevated levels of total dissolved solids. Sections 3.5 and 4.2.1 of this FEIS discuss the effects of mining activities on water quality.

The final type of excess spoil fill is the disposal of excess spoil on pre-existing mine benches. Placement of excess spoil on these benches both assists in the reclamation of abandoned mine lands and reduces the number and size of excess spoil fills in areas that have not been previously impacted by mining. The federal requirements at 30 CFR 816.74 and 817.74 regarding placement of excess spoil on pre-existing benches are similar to the requirements for backfilling and grading, more so than the requirements that apply to construction of excess spoil fills on previously undisturbed terrain.

4.2.3.1.1.9 Trends in Excess Spoil Disposal

Since January 2000, at least 2,343 excess spoil fills have been authorized in Kentucky, Virginia, Tennessee, and West Virginia. The majority of these fills were authorized by Kentucky, which approved the construction of 1,488 valley fills through July 30, 2008. West Virginia authorized 511 excess spoil fills through the same time period. Virginia authorized 327 excess spoil fills through August 17, 2009, while Tennessee authorized 17 excess spoil fills through December 31, 2008. Between October 1, 2001 and June 30, 2005, five excess spoil fills were authorized in the Colorado Plateau and four excess spoil fills were authorized in Washington and Alaska.

However, not all excess spoil fills that are authorized are actually constructed. For example, in Virginia, 97 of the 327 excess spoil fills authorized between January 2000 and August 2009 were completed, 103 were under construction, 90 had not begun construction, and 37 were either unnecessary or not constructed as of August 2009 (U.S. GAO, 2009).

From 2002 to 2005, the number of fills that Kentucky approved each year declined from 262 to 92 (65 percent reduction) and the number of fills that West Virginia approved each year declined from 86 to 56 (35 percent reduction). In addition, the average fill footprint in Kentucky declined from 19 to 7 acres (63 percent reduction) (OSMRE, 2008).

4.2.3.1.1.10 Relationship between AOC and Excess Spoil

AOC restoration requirements do not apply to excess spoil fills because section 701(2) of SMCRA (30 U.S.C. § 1291(2)) defines “approximate original contour” as “that surface configuration achieved by backfilling and grading of the mined area.” The construction of excess spoil fills does not involve

backfilling of the mined area; instead, it involves disposal of spoil that is not needed to restore the approximate original contour of the mined area (OSMRE, 2008).

The federal regulations at 30 CFR 701.5 define “excess spoil” as “spoil material disposed of in a location other than the mined-out area; provided that spoil material used to achieve the approximate original contour or to blend the mined-out area with the surrounding terrain in accordance with §§ 816.102(d) and 817.102(d) of this chapter in non-steep slope areas shall not be considered excess spoil.” Thus, spoil used to achieve AOC is not considered excess spoil. Moreover, under the excess spoil minimization policies adopted by Central Appalachian states, spoil that can be returned to the mined-out area without either creating slope instability or a non-AOC surface topography does not qualify as excess spoil. The proviso in the definition means that spoil from box cuts or first cuts in non-steep slope areas would not be excess spoil when that spoil is used to blend the mined-out area into the surrounding terrain.

4.2.3.1.1.11 Coal Mine Waste

The federal regulations at 30 CFR 701.5 define “coal mine waste” as having two components: coal processing waste and underground development waste. Coal produced by either surface mining or underground mining methods may contain non-coal mineral matter (clay, shale, etc.). These impurities may make the coal unsuitable for immediate use by the consumer so the coal is processed to remove impurities or blended with higher quality coal before delivery to the shipping point. The impurities removed during processing are known as “coal processing waste.” Underground mining methods also generate underground development waste; i.e., waste rock that must be removed from the underground workings to facilitate the mining process.

Coal mine waste may be disposed of permanently in refuse piles. Coal processing waste also may be stored in impounding structures, which must be dewatered and modified as necessary to meet the standards for refuse piles after they are no longer needed for coal processing purposes. Refuse piles are subject to regulations similar to those for excess spoil fills in terms of design, location, and construction. They are not subject to AOC restoration requirements because they are placed outside the mined area. Coal mine waste disposal regulations may be found at 30 CFR 780.25, 784.16, 784.19, 816.81 through 816.84, and 817.81 through 817.84.

Coal mine waste storage and disposal facilities (slurry impoundments and refuse piles) traditionally have been constructed for individual underground mines and associated coal preparation plants. Many currently active storage and disposal facilities have evolved to accept coal mine waste from other mines and preparation plants. In Central Appalachia, the slurry resulting from the coal preparation process typically is stored in a large impoundment formed by constructing an embankment across an existing hollow or valley. In areas with very flat topography, such as the Illinois Basin, the embankment completely encircles the impoundment. In either situation, the embankment typically is constructed in stages using coarse refuse that is also a waste product of the coal preparation process. In both cases, the fine coal refuse resulting from the coal preparation process is pumped as slurry into the impoundment, from which the water typically is decanted or pumped to be reused. When slurry pumping ceases, the embankment typically is breached so that the basin can no longer impound standing water. The structure then must be reclaimed as a refuse pile.

Few new slurry impoundments have been permitted in the last 15 years. In 2001, there were 713 freshwater and coal mine waste impoundments associated with coal processing facilities in the U.S. (Greb et al., 2006; OSMRE, 2008). Many existing impoundments provide decades of storage capacity and are expanded in stages,³⁰ which may have minimized the need for new facilities during this time.

Another method of handling fine coal refuse involves partially dewatering the slurry at the preparation plant. The resulting semi-solid material is then disposed of separately or mixed and placed with the coarse refuse material as combined refuse. Transporting and placing the material has been problematic because of the relatively high moisture content of the partially dewatered fine refuse. Recent research suggests that one option may be to transport the fine refuse as a paste (thickened tailings) that can be pumped to a disposal location (MSHA, 2009b).

Most coal mined by underground methods is processed in preparation plants to control ash and, where applicable, to reduce pyritic sulfur.³¹ Increased market specifications for higher quality coal initially led to greater percentages of material being considered waste; approximately 20 to 50 percent of the mine production was rejected during processing according to some studies (Lucas, et al., 1979; OSMRE, 2008). More recently, preparation plants have improved, resulting in considerably higher Btu yields; i.e., fewer Btu's lost in the preparation process, and therefore less reject per ton of coal processed.

4.2.3.1.1.12 Underground Mining

Face-up areas of underground mines typically have impacts analogous to those of a similarly situated surface mine of the same size. However, underground mining does have one unique potential impact on topography in that longwall mining will—and other methods of underground mining may, depending on the competence of the overlying rock and the extent of pillars left as support—result in the collapse of overlying strata after the coal is removed, a process known as subsidence. Subsidence may reach the surface, depending upon the depth of the mine and the competence of rock strata between the underground workings and the surface. Subsidence that reaches the surface will alter the surface configuration and topography. Subsidence also can dewater streams in whole or in part. Subsidence mechanisms are more fully discussed in Section 3.1 of this FEIS.

Underground mining also can dewater streams or diminish flows by fracturing strata that support perched aquifers or by draining aquifers to facilitate mining.

Face-up areas and disturbed areas associated with support facilities are subject to the backfilling and grading requirements of 30 CFR 817.102 through 817.107, including the requirement to restore the land to its AOC. However, 30 CFR 817.102(l) provide an exception for settled and revegetated fills that result from the creation of the face-up of underground mines or from underground development waste. If such fills meet certain environmental, safety, stability, and postmining land use criteria, the regulation does not require that the operator use of the material in the fills to restore the AOC.

³⁰ In the Appalachian Basin region, existing slurry impoundments typically are expanded vertically by raising the coarse refuse embankment in stages, thus covering more of the upper reaches of the valley. In the Illinois Basin region, operators may raise the height of the encircling embankment, but, more typically, they expand horizontally with construction of an adjacent cell or cells in series with the existing impoundment.

³¹ The Clean Air Act Amendments of 1990 required power plants to lower their emissions of sulfur dioxide, which, in some cases, resulted in modification of the coal preparation process to reduce its sulfur content.

4.2.3.1.2 Soils

Soils comprise the thin, weathered surface layer that overlies rock or other parent material. They are the medium in which most plant growth occurs, and their thickness, fertility, and structure are significant determinants of plant and ecosystem productivity. Soils are affected by underlying geologic material, climate, topography, biological factors, and time. Under 30 CFR 816.22(a), the operator must remove all topsoil, which 30 CFR 701.5 defines as consisting of the A and E soil horizons, before otherwise disturbing the land. If the topsoil is less than 6 inches in thickness, the operator must remove the top 6 inches of unconsolidated material. The topsoil must be either redistributed on a portion of the mine site upon which backfilling and grading has been completed or stockpiled until redistribution can occur. Under 30 CFR 816.22(d), the topsoil must be redistributed in a manner that achieves an approximately uniform, stable thickness when consistent with the postmining land use, contours, and surface-water drainage systems. Soil thickness may be varied to the extent that such variations would help meet specific revegetation goals identified in the permit.

If the soil is prime farmland historically used for cropland, 30 CFR 823.12(b) requires salvage and redistribution of not only all topsoil, but also enough material from the B and C soil horizons to reconstruct a soil with a depth of at least 48 inches, unless the premining soil contains a subsurface horizon at a lesser depth that inhibits or prevents root penetration. Paragraph (e) of 30 CFR 816.22 also allows the regulatory authority to require salvage and redistribution of the B and C soil horizons for non-prime farmland if those horizons are necessary to meet revegetation requirements.

Soils reconstructed after mining differ biologically, physically, and chemically from their premining counterparts. They are more uniform in texture, organic matter content, and thickness. Historically, soils on reclaimed mine sites are more compacted and contain higher amounts of rock fragments than unmined soils (Bussler, et al., 1984). However, specialized soil handling techniques can minimize compaction and reduce the adverse impacts of compaction on soil productivity and the hydrologic regime.

Prior to the implementation of SMCRA, coal mining activities often destroyed or degraded the topsoil. In addition, erosion of soil and mine spoil has caused serious sedimentation problems with resultant negative impacts to water quality and aquatic organisms. The legacy of these past practices can be seen today on pre-SMCRA abandoned coal mine sites. Mining operations removed or mishandled large amounts of soil at both surface and underground mining operations. Soils were lost or compacted during mining and construction of ancillary facilities such as buildings and roads. Operations were frequently conducted without regard to protection of the soil resource.

Subsequent to the enactment of SMCRA, topsoil handling improved, but the methods used to remove and redistribute topsoil sometimes resulted in excessive compaction, which reduces the pore space for air and water and impedes root growth, making revegetation with desirable species more difficult and the reclaimed site less productive. Long-term storage of soil can adversely alter texture and structure. In addition, mycorrhizae, soil organisms, and organic matter do not persist long in stockpiled topsoil.

The regulations implementing SMCRA are intended to minimize the impacts of mining on topsoil. In particular, 30 CFR 779.21, 780.18, 784.13, 816.22, and 817.22 require that the topsoil be removed as a separate layer from the area to be disturbed, and then segregated. If the topsoil is less than six inches thick, the topsoil and the unconsolidated materials immediately below the topsoil must be removed and

the mixture treated as topsoil. In cases where the topsoil is of insufficient quantity or poor quality for sustaining vegetation, the operator may use selected overburden materials as a topsoil substitute or supplement. However, before doing so, the operator must demonstrate to the regulatory authority that the resulting soil medium will be equal to or more suitable for sustaining vegetation than the existing topsoil, and that the resulting soil medium is the best available in the permit area to support revegetation. The operator must recover these substitute or supplemental materials as a separate layer from the area to be disturbed and then segregate them.

The regulations require that the operator segregate and stockpile topsoil and topsoil substitutes and supplements after removal when it is impractical to redistribute those materials promptly on regraded areas. Stockpiled materials must be selectively placed on a stable site within the permit area and protected from pollutants, unnecessary compaction, and wind and water erosion that could interfere with revegetation. A quick-growing vegetative cover or other measures may be used for protection.

The operator must redistribute topsoil and topsoil substitutes and supplements in a manner that achieves an approximately uniform, stable thickness when consistent with the approved postmining land use, contours, and surface-water drainage systems. However, the thickness of the redistributed materials may vary to the extent necessary to meet the specific revegetation goals identified in the permit. In addition, redistribution must be done in a manner that prevents excess compaction of the materials and protects them from wind and water erosion before and after seeding and planting.

The regulations at 30 CFR 785.17 and Part 823 establish special requirements for prime farmland. The operator must salvage and redistribute the A, E, B, and C soil horizons to (1) an aggregate depth of at least 48 inches, (2) a lesser depth equal to the depth to a subsurface horizon in the natural soil that inhibits or prevents root penetration, or (3) a greater depth if determined necessary to restore the original soil productive capacity. The regulations also require use of soil reconstruction specifications developed by the U.S. Natural Resources Conservation Service (NRCS).

4.2.3.1.3 Geology

Coal mining permanently alters the geological structure of the mined area because of the removal of coal and, for surface mines, overburden. Factors that determine the level of geological disturbance are the elevation of the lowest coal seam mined, the depth of overburden above this seam, and the area mined. Surface mining completely alters the geologic structure above the lowest coal seam mined in that previously discrete strata of rock and soil, each stratum with its own distinctive characteristics, are converted to a more or less uniform fragmented mixture of rubble. Typically referred to as spoil, this rubble consists of mixtures of the parent rocks, with percentages of rock types varying at different locations across the site. Overburden analysis is also conducted to identify the presence of acid-forming rocks. These acid-forming/toxic materials are isolated in the backfill.

Underground mining has a lesser impact on geology because the strata overlying the coal seam remain discrete. However, subsidence may affect the elevation, continuity, and capability of individual strata to function as an aquifer.

4.2.3.2 Action Alternatives and Potential Effects on Topography, Soils, and Geology

Table 4.2-22 summarizes the effects of various elements of the Action Alternatives on topography, geology, and soil resources. The text below further characterizes potential effects, organizing the discussion according to each Action Alternative element.

Table 4.2-22. Action Alternative Elements and Potential Effects on Topography, Geology, and Soils in Coal Mining Regions

Action Alternative Element	Topography	Geology	Soils	Indirect Impact
Baseline Data Collection and Analysis				■
Monitoring During Mining and Reclamation				■
Definition of Material Damage to the Hydrologic Balance Outside the Permit Area				■
Evaluation Thresholds				■
Stream Definitions				■
Mining Through Streams	■	■	■	
Activities In or Near Streams Including Excess Spoil and Coal Refuse	■		■	■
AOC Exceptions	■			■
Surface Configuration	■		■	■
Revegetation, Soil Management, and Reforestation			■	
Fish and Wildlife Protection and Enhancement				■

4.2.3.2.1 Protection of the Hydrologic Balance

None of the alternatives under consideration for the Protection of the Hydrologic Balance functional group would have any direct impacts on topography, geology, or soils. However, they could have an indirect effect on whether and where mining occurs, which in turn would determine whether and where mining-related impacts to topography, geology, and soils would occur. For example, after reviewing baseline data, analyzing monitoring results, or preparing the cumulative hydrologic impact assessment, the regulatory authority may decide either that the proposed operation cannot be approved or that the existing operation needs to be modified to prevent material damage to the hydrologic balance outside the permit area.

4.2.3.2.2 Activities In or Near Streams

4.2.3.2.2.1 Stream Definitions

All of the Action Alternatives include definitions of perennial, intermittent, and ephemeral streams; these definitions formally delineate the key natural resource addressed by the proposed action. Current regulations classify all watersheds one square mile or larger in size as intermittent streams; some of the Action Alternatives would delete this provision. To the extent that this change would result in some streams (mostly in the arid and semiarid regions of the West) now protected as intermittent streams being

reclassified as ephemeral streams, which lack the protections afforded to perennial and intermittent streams, there could be a direct adverse effect on topography and an indirect adverse effect on geology and soils.

4.2.3.2.2.2 Mining Through Streams

The existing regulations (No Action Alternative) allow mining through intermittent and perennial streams when the regulatory authority makes a finding that diversion of the stream will not adversely affect water quantity, water quality, and related environmental resources of the stream (see 30 CFR 816.43(b) and 817.43(b)). The No Action Alternative requires that a permanent stream-channel diversion or a restored stream channel be designed and constructed so as to approximate the premining characteristics of the original stream channel, including streamside vegetation, but it does not require restoration of the stream's biological condition or ecological function.

Under each Action Alternative (excluding Alternative 9), specific standards would guide stream restoration, such as the requirement to restore natural hydrologic form and ecological function for intermittent and perennial streams and restoration of natural hydrologic form for ephemeral streams. Alternatives 2 and 7 would explicitly prohibit mining through or within 100 feet of perennial streams. Alternatives 4, 5, 6, and 8 would require that applicants demonstrate that complete restoration of the hydrologic form and ecological function of intermittent and perennial streams can be accomplished. The requirement to restore form and function should minimize alterations in stream configuration and hydrological characteristics under these alternatives. The requirement to avoid effects on intermittent and perennial streams, or to apply a higher reclamation standard to some or all types of streams, would minimize the effect of mining through streams and any resultant impacts on topography and soils. Perennial and intermittent streams would be less likely to be mined through and, if they are mined through, the stream and its resources must be restored or replaced in most cases.

4.2.3.2.2.3 Activities In or Near Streams, Including Placement of Excess Spoil and Coal Refuse

The existing regulations at 30 CFR 816.57 and 817.57 (the No Action Alternative) prohibit disturbance of the land surface by mining activities within 100 feet of an intermittent or perennial stream unless the regulatory authority specifically authorizes activities closer to or through the stream. That authorization requires a finding that the mining activities will not cause or contribute to the violation of applicable state or federal water quality standards and will not adversely affect the water quantity or quality or other environmental resources of the stream. See 30 CFR 816.57(a)(1) and 817.57(a)(1). Historically, some regulatory authorities have applied this regulation in a manner that allows construction of excess spoil fills and coal mine waste disposal facilities in streams within the permit area, as long as the findings can be made with respect to the remaining portion of the stream below the toe of the fill or facility.

The Action Alternatives would increase the stringency of the requirements governing mining activities near streams as well as the placement of excess spoil and coal refuse at these locations. All Action Alternatives would require minimization of excess spoil creation. Likewise, all would require that the permit applicant identify and analyze a reasonable range of alternatives and select the alternative that results in the least adverse overall impact on fish, wildlife, and related environmental values.

Alternative 2 would prohibit all mining activity in or within 100 feet of a perennial stream; it also would prohibit placement of excess spoil in intermittent streams. Alternative 3 would prohibit placement of excess spoil or coal mine waste in a perennial stream.

Alternatives 4, 5, 6, 7, and 8 (Preferred) would allow construction of excess spoil fills and coal mine waste structures in or near perennial and intermittent streams, but they would place new restrictions on excess spoil fill construction techniques. These restrictions include a ban on fills constructed by end-dumping (durable rock fills); a ban on flat-topped fills (instead, the surface configuration of the top of the fill must be graded to resemble the surrounding topography); a requirement for construction of aquitards within the fill; a requirement for offsets of any long-term adverse impacts on fish and wildlife; and increased monitoring during fill construction. Alternative 9 would allow construction of excess spoil fills and coal mine waste structures in or within 100 feet of perennial and intermittent streams, but it would not place any significant new restrictions on excess spoil construction techniques.

To the extent that the Action Alternatives reduce mining in or near streams and reduce the footprint of excess spoil fills and coal mine waste structures, there would be fewer or less extensive alterations to the topography, geology, and soils of those areas. Likewise, requirements that the top decks of excess spoil fills be graded to resemble surrounding landforms would reduce adverse impacts on topography, at least in terms of visual impact.

4.2.3.2.2.4 Approximate Original Contour

4.2.3.2.2.5 AOC Exceptions

As discussed in Section 4.2.3.1, SMCRA and the existing regulations (the No Action Alternative) provide several exceptions to the requirement to restore mined land to AOC. Those exceptions include operations with thin or thick overburden, certain remining operations, mountaintop removal mining operations, and steep-slope mining operations. The latter two exceptions apply only when the mountaintop removal mining operation or the AOC variance for a steep-slope mining operation will facilitate one or more specified postmining land uses and certain other requirements are met. These two exceptions apply only to operations consisting primarily of steep slopes (slopes in excess of 20 degrees), a situation that occurs almost exclusively in Central Appalachia.

Under the No Action Alternative, the most visible impact of AOC exceptions on topography would be the continued limited creation of flat or gently rolling terrain in areas that previously contained primarily steep slopes. Alternative 2 would prohibit all AOC exceptions and would likely require amendment of SMCRA. Alternatives 3, 4, 5, and 8 (Preferred) likely would result in the approval of fewer operations with AOC exceptions. Therefore, Alternatives 2, 3, 4, 5, and 8 (Preferred) should result in fewer permanent effects on topography than would be expected under the No Action Alternative. Alternatives 6, 7, and 9 are similar to the No Action Alternative in terms of AOC exceptions and, thus, would have similar impacts.

4.2.3.2.2.6 Surface Configuration

As discussed in Section 4.2.3.1, SMCRA requires that the permittee backfill and grade the mined area to its AOC, which means a surface configuration that closely resembles the premining surface configuration and that blends into and complements the drainage pattern of the surrounding terrain. The existing

regulations (the No Action Alternative) contain similar provisions. Alternatives 6, 8 (Preferred), and 9 would not alter the existing regulations with respect to surface configuration requirements.

Alternatives 2, 3, and 4 would require that almost all surface mining operations use digital terrain analysis techniques to determine whether AOC restoration requirements have been met. Alternatives 5 and 7 would require use of digital terrain analysis techniques for a smaller subset of operations; e.g., operations that dispose of excess spoil or coal mine waste.

Alternatives 2, 3, and 4 would require use of landforming principles as part of backfilling and grading to prevent the creation of uniform slopes vulnerable to erosion and to promote restoration of topographical features that will re-create microclimates and ecological niches present prior to mining. However, Alternative 3 would not apply those principles to excess spoil fills.

Alternatives 2 and 4 would require that the thickness of backfilled material at any point in the backfilled area not differ from the combined premining thickness of the coal seam and overburden strata at that point by more than ± 20 percent.

Alternatives 2, 3, and 4 would have the greatest impact on topography because they are most likely to ensure that the final surface configuration and landscape features more closely match the premining configuration and landscape features. The greatest impact would occur in regions with highly variable premining topography, such as mountainous terrain. Alternatives 5 and 7 would have a lesser impact on topography than Alternatives 2, 3, and 4, but a greater impact than the No Action Alternative. Alternatives 6, 8, and 9 would not differ in impact from the No Action Alternative.

4.2.3.2.3 Postmining Land Use and Enhancement

4.2.3.2.3.1 *Revegetation, Soil Management, and Reforestation*

The existing regulations (the No Action Alternative) at 30 CFR 816.111 through 816.116 and 817.111 through 817.116 require use of native species in revegetation, although introduced species are permitted under certain conditions. As described in Section 4.2.3.1 of this FEIS, salvage, storage, and redistribution of topsoil (the A and E soil horizons) is required for all operations, with the proviso that operations on prime farmland historically used for cropland typically must salvage, store, and redistribute the B and C soil horizons to the extent needed to provide a minimum of 48 inches of soil on the reclaimed area. Selected overburden materials may be used in place of the topsoil and subsoil if they meet specified criteria and are approved by the regulatory authority.

Under conditions of natural succession, establishment of a forest on bare soil would take 15 to 20 years (Groninger et al., 2007), or longer. The initial loss of forest habitat because of mining activities would be expected to have a negative impact on soils in these forested areas (Belnap and Eldridge, 2001).

Alternatives 2, 3, 4, 5, 7, and 8 (Preferred) require—

- Revegetation of reclaimed lands using only native species unless those species are incompatible with an approved postmining land use that is implemented during the revegetation responsibility period.

- Salvage and redistribution of topsoil, subsoil, and other suitable materials (not just topsoil as in the No Action Alternative) necessary to create the root zone needed to support revegetation (especially trees) and restore premining capability.
- Overburden materials used as a topsoil substitute or supplement must result in a growing medium that is more suitable for vegetation than the original topsoil or the topsoil alone.
- Salvage of organic matter (tree tops, root balls, duff, and other native vegetative debris). These materials must be mixed with the topsoil, redistributed over the re-soiled area, or used for fish and wildlife enhancement purposes.
- Reforestation of previously forested areas or areas that would revert to forest under natural succession unless reforestation is inconsistent with an approved postmining land use that is implemented before the end of the revegetation responsibility period.
- Revegetation success standards must be developed to demonstrate that the permittee has restored the land's capability to support all uses it was capable of supporting before any mining, not just the approved postmining land use as under the No Action Alternative.

These alternatives would enhance fish, wildlife, and related environmental values and ensure that the reclaimed site can support the uses it was capable of supporting before any mining, including the vegetation that it would support in the absence of human influence. These alternatives would restore previously forested areas to a native forest ecosystem as quickly as possible, except where doing so would conflict with the approved postmining land use and that use is implemented before the end of the revegetation responsibility period. Alternatives 2, 3, 4, 5, 7, and 8 (Preferred) would beneficially impact soil quality and productive capability both directly in the form of improved soil reconstruction requirements and indirectly in the form of improved revegetation requirements. Alternatives 6 and 9 would have the same impacts as the No Action Alternative.

4.2.3.2.4 Fish and Wildlife Protection and Enhancement

Section 515(b)(24) and 516(b)(11) of SMCRA (30 U.S.C. §§ 1265(b)(24) and 1266(b)(11)) require that surface coal mining and reclamation operations minimize disturbances and adverse impacts of the operation on fish, wildlife, and related environmental values to the extent possible using the best technology currently available; they also require enhancement of those resources where practicable. The existing regulations (the No Action Alternative) at 30 CFR 773.15(j), 816.97(b), and 817.97(b) prohibit the approval of a permit or the conduct of mining activity likely to jeopardize endangered or threatened species or result in the destruction or adverse modification of designated critical habitat. The existing regulations at 30 CFR 780.16 and 784.21 require that each permit application include fish and wildlife resource information and a fish and wildlife protection plan. The existing regulations at 30 CFR 816.97(a) and (e) and 817.97(a) and (e) contain corresponding performance standards requiring enhancement of fish, wildlife, and related environmental values where practicable; they also require implementation of protective measures during mining in all cases. The remainder of existing 30 CFR 816.97 and 817.97 require additional protective measures for fish and wildlife, including avoidance of disturbances to, restoration, or replacement of wetlands, riparian vegetation, and other habitats of unusually high value for fish and wildlife.

The Action Alternatives would improve implementation of sections 515(b)(24) and 516(b)(11) of SMCRA by further protecting fish, wildlife, and related environmental resources through measures such

as mandatory enhancement measures to offset any long-term environmental impacts as well as a requirement for establishment or restoration of a minimum 100-foot (Alternatives 2, 5, 6, 7, and 8) or 300-foot (Alternatives 3 and 4) streamside vegetative corridor comprised of native species along intermittent, perennial, and (sometimes) ephemeral streams. None of the alternatives, including the No Action Alternative, would have a direct impact on topography, geology, or soils, although all alternatives may have an indirect impact to the extent that they might encourage operators to avoid mining areas of high habitat value.

4.2.3.3 Assessment of Quantified Impacts to Topography, Geology, and Soils

The analysis considers three indicators for characterizing the quantified impacts of the alternatives on topography, geology, and soils:

- First, impacts are directly dependent on the amount of coal mined. Hence, changes in coal production forecasted for the alternatives provide a rough indicator of potential changes in adverse impacts to topography, geology, and soils.
- Second, some of the requirements under the alternatives affect the intensity of land disturbance, i.e., the number of acres disturbed per ton of coal mined.
- Third, an analysis of likely premining and postmining slope ranges provides a measure of how the alternatives may affect topographical changes associated with mining.

Each of these indicators is discussed below.

4.2.3.3.1 Coal Production Impacts

Mining itself constitutes a disturbance to topography, geology, and soils. Thus, the Action Alternatives will impact topography, geology, and soils to the extent they influence the quantity of coal produced in a particular region. Table 4.2-23 reviews the average annual change in coal production projected for each Action Alternative relative to the No Action Alternative, averaged over the period from 2020 to 2040.

Key observations include the following:

- To varying extents, all Action Alternatives (except Alternative 9) would decrease coal production.
- Under all Action Alternatives, coal production would decrease the most in the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains regions.
- In other regions, the Action Alternatives would have negligible effects on future coal production.
- Alternative 2 would result in the largest reduction in coal production. Hence, it likely would have the least adverse impact on topography, geology, and soils of all the alternatives.
- Underground mining typically causes less disturbance to topography, geology, and soils than surface mining.

Table 4.2-23. Regional Forecasted Change in Average Annual Coal Production under the Actions Alternatives Compared to the No Action Alternative, 2020 to 2040 (Millions of Tons)

Alternative	Mine Type	Appalachia	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains	Northwest	Western Interior	Total
Alternative 2	Surface	(0.3)	0	(0.0)	(0.1)	(0.1)	0	0	(0.5)
	Underground	(0.5)	0	-	(0.4)	0	-	0.0	(0.9)
	Net Change	(0.8)	0	(0.0)	(0.4)	(0.1)	0	0	(1.3)
Alternative 3	Surface	(0.2)	0	(0.0)	0	(0.0)	0	0	(0.2)
	Underground	(0.3)	0	-	(0.3)	0	-	0	(0.6)
	Net Change	(0.5)	0	(0.0)	(0.3)	0.0	0	0	(0.8)
Alternative 4	Surface	(0.1)	0	(0.0)	(0.1)	0.0	0	0	(0.2)
	Underground	(0.3)	0	-	(0.3)	0	-	0	(0.6)
	Net Change	(0.4)	0	(0.0)	(0.3)	0.0	0	0	(0.7)
Alternative 5	Surface	(0.1)	0	(0.0)	0	(0.0)	0	0	(0.1)
	Underground	(0.2)	0	-	0	0	-	0	(0.2)
	Net Change	(0.4)	0	(0.0)	0	(0.0)	0	0	(0.4)
Alternative 6	Surface	(0.1)	0	0.0	0	(0.0)	0	0	(0.1)
	Underground	(0.1)	0	-	(0.3)	0	-	0	(0.4)
	Net Change	(0.2)	0	0.0	(0.3)	(0.0)	0	0	(0.5)
Alternative 7	Surface	(0.1)	0	0.0	(0.1)	0.0	0	0	(0.2)
	Underground	(0.3)	0	-	(0.4)	0	-	0	(0.7)
	Net Change	(0.4)	0	0.0	(0.4)	0.0	0	0	(0.8)
Alternative 8	Surface	(0.1)	0	0	0	(0.1)	0	0	(0.2)
	Underground	(0.2)	0	-	(0.3)	0	-	0	(0.5)
	Net Change	(0.3)	0	0	(0.3)	(0.1)	0	0	(0.7)
Alternative 9	Surface	0	0	0	0	0	0	0	0
	Underground	0	0	-	0	0	-	0	0
	Net Change	0	0	0	0	0	0	0	0

Note: Parentheses indicate a negative change in forecasted coal production. Totals may not sum due to rounding. Please refer to Section 4.1 for a more detailed discussion of these forecasted changes.

Section 4.1 of this EIS provides a more detailed discussion of the forecasted change in coal production under each alternative.

4.2.3.3.2 Disturbed Area

Another key component of this analysis concerns changes in the size of the area disturbed by coal mining. The analysis quantifies these changes based on estimated rates of acreage disturbed per million tons of coal mined, as determined in the model mines analysis (see Section 4.1). Disturbed areas include all areas from which mining-related activities remove vegetation, topsoil, or overburden, and all areas upon which the operation places spoil, coal mine waste, or other mining-related materials.

The analysis indicates that impacts would be concentrated in Central Appalachia and would primarily affect surface mines. The Action Alternatives would not result in changes in disturbed area in any other region. Therefore, this section discusses only the impacts on Central Appalachia.

Table 4.2-24 shows changes in the acreage disturbed per million tons of coal mined for the Central Appalachian region surface mines. The table presents both the absolute acreage as well as the change in disturbed acreage relative to the No Action Alternative. The general finding is that under certain Action

Alternatives, less land is disturbed per million tons of coal mined by surface methods in Central Appalachia. The decrease in the disturbance rate likely would reduce adverse impacts on topography, geology, and soils. Specific observations include the following:

- For Central Appalachian surface mines (excluding contour mines), Alternatives 3 through 9 disturb the same amount of land per million tons of coal mined as under the No Action Alternative. Alternative 2, however, has a slightly lower disturbance rate.
- For Central Appalachian surface contour mines, Alternatives 3 and 9 disturb land at the same rate as the No Action Alternative. Alternative 2 significantly reduces land disturbance rates for surface contour mines. The other Action Alternatives (4 through 8) disturb slightly less land per million tons of coal mined as under the No Action Alternative.

Table 4.2-24. Disturbed Area, Minable Coal, and Disturbed Area per Million Tons of Coal Mined for Central Appalachian Surface Model Mines: Potential Total Acreage Compared to the No Action Alternative

	Disturbed area per mine (acres)	Volume of mineable coal per mine (million tons)	Disturbed area per million tons mined (acres/million tons)
Central Appalachian Surface Area Mine			
No Action, Alternatives 3 through 9	1,260	37	34
Alternative 2	1,116	37	31
Central Appalachian Surface Contour Mine			
No Action, Alternatives 3 and 9	458	5	92
Alternative 2	371	5	74
Alternatives 4 through 8	448	5	90
Note: Totals may not sum due to rounding.			

Finally, the shift to underground mining under Alternative 2 in the Appalachian Basin would further decrease the negative effects on topography, soils, and, to a lesser extent, geology, given that underground mines disturb significantly less area per million tons of coal produced than surface mines.

4.2.3.3.3 Slope Changes and Topographical Impacts

A comparison of premining and postmining slopes using the model mines analysis indicates that all alternatives would result in no more than a one percent change in slope, with the exception of the Central Appalachian and Northern Appalachian regions. The change in slope is used as an indicator of the severity of the change in topography.³² The objective is to determine whether the Action Alternatives reduce the topographical moderation (i.e., the change from steeper slopes before mining to more moderate slopes after mining) often associated with mining.

³² This is an oversimplification because topography represents the three-dimensional arrangement of physical attributes (shape, elevation, and volume), and typically includes an analysis of aspect (direction of slope) of a land's surface and elevation. While important, aspect and elevation are more difficult to characterize across a large area and many model mines. Therefore, they were not included in this analysis.

Surface mines in the Northern Appalachian region exhibited no clear trends with respect to topographical moderation. However, Tables 4.2-25 and 4.2-26 and Figures 4.2-7 and 4.2-8 indicate that Alternatives 2, 4, and 8 would result in measurable differences between premining and postmining slopes for surface mines in the Central Appalachian region compared to the differences between premining and postmining slopes that would exist under the No Action Alternative. Specifically, Alternatives 2, 4, and 8 (Preferred) would result in a lower proportion of flatter postmining slopes and a higher proportion of steeper postmining slopes relative to the other Action Alternatives.

Table 4.2-25. Analysis of Slope Change for Central Appalachian Surface Area Mines⁴

Alternative	Slope Range	Acreage ²	Percentage ³	Difference from No Action Alt. (acres)
No Action Alternative ¹	<5%	202	14.3%	0
	5%-10%	16	1.2%	0
	10%-15%	26	1.8%	0
	15%-35%	136	9.6%	0
	35%-45%	156	11.1%	0
	45%-55%	754	53.5%	0
	>55%	119	8.4%	0
Alternative 2	<5%	154	10.9%	-48
	5%-10%	20	1.4%	3
	10%-15%	24	1.7%	-2
	15%-35%	148	10.5%	13
	35%-45%	119	8.4%	-37
	45%-55%	737	52.3%	-17
	>55%	207	14.7%	88
Alternatives 4 and 8	<5%	129	9.2%	-73
	5%-10%	19	1.4%	3
	10%-15%	29	2.1%	3
	15%-35%	268	19.0%	132
	35%-45%	137	9.7%	-19
	45%-55%	708	50.3%	-46
	>55%	118	8.3%	-1

Notes:

¹ Alternatives 3, 5, 6, 7, and 9 would have slope changes comparable to those that would occur under the No Action Alternative.

² Mine area acres within designated slope range category.

³ Percent of total mine area within designated slope range category.

⁴ Based on model mine analysis.

Figure 4.2-7. Analysis of Slope Change for Central Appalachian Surface Area Mines

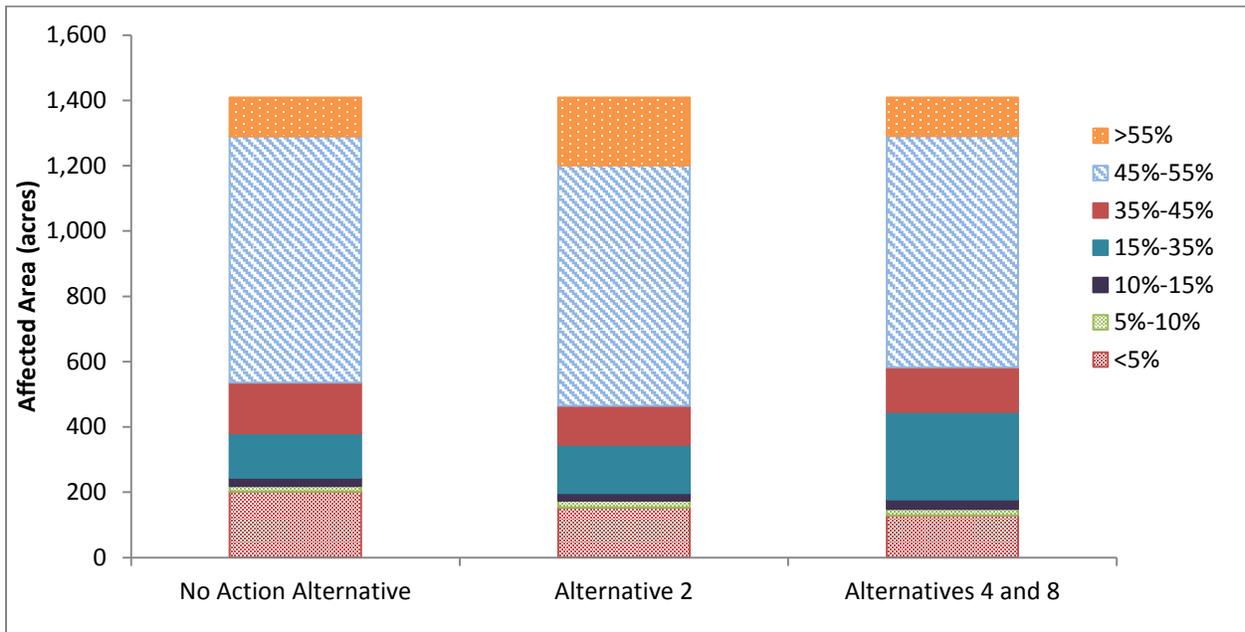


Table 4.2-26. Analysis of Slope Change for Central Appalachian Surface Contour Mines⁴

Alternative	Slope Range	Acreage ²	Percent ³	Difference from No Action (acres)
No Action Alternative ¹	<5%	54	8.3%	0
	5%-10%	3	0.4%	0
	10%-15%	4	0.6%	0
	15%-35%	99	15.1%	0
	35%-45%	151	23.0%	0
	45%-55%	97	14.8%	0
	>55%	248	37.9%	0
Alternative 2	8.3%	41	6.2%	-13
	0.4%	12	1.8%	9
	0.6%	8	1.2%	4
	15.1%	45	6.8%	-54
	23.0%	101	15.4%	-50
	14.8%	109	16.7%	13
	37.9%	340	51.9%	92
Alternatives 4 and 8	<5%	41	6.2%	-13
	5%-10%	12	1.8%	9
	10%-15%	8	1.3%	5
	15%-35%	70	10.7%	-29
	35%-45%	103	15.7%	-48
	45%-55%	129	19.7%	32
	>55%	292	44.7%	44

Notes:

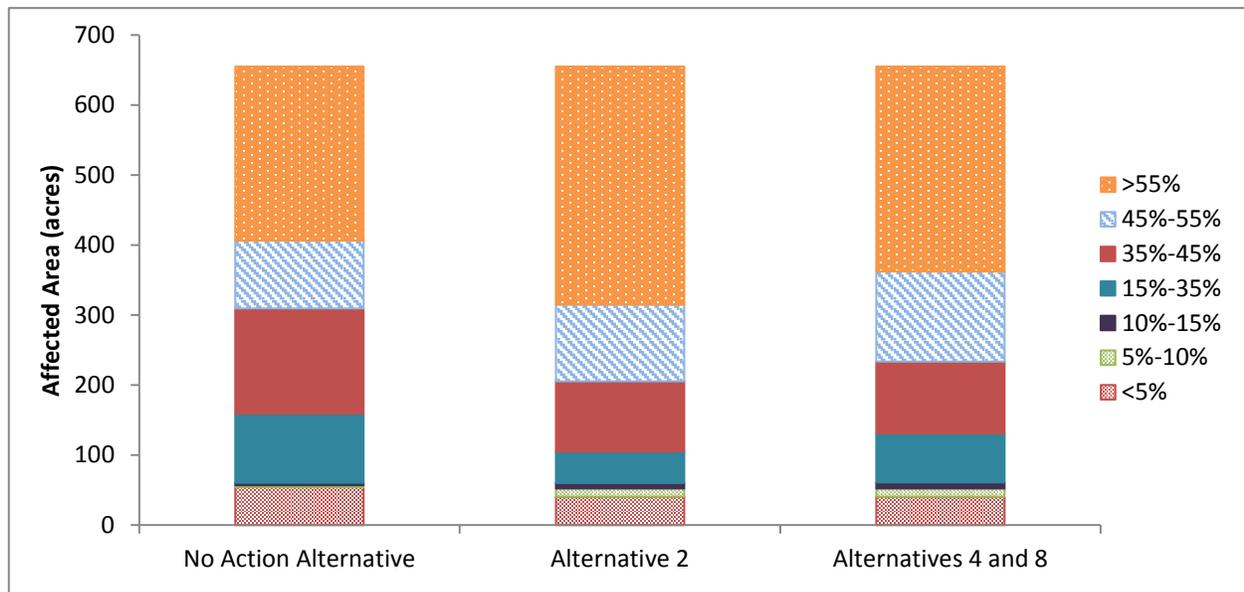
¹ Alternatives 3, 5, 6, 7, and 9 would have slope changes comparable to those that would occur under the No Action Alternative.

² Mine area acres within designated slope range category.

³ Percent of total mine area within designated slope range category.

⁴ Based on model mine analysis.

Figure 4.2-8. Analysis of Slope Change for Central Appalachian Surface Contour Mines



4.2.3.4 Summary of Effects

Table 4.2-27 summarizes the impacts to topography, geology, and soils under each of the Action Alternatives as compared to the No Action Alternative.

The extent to which the Action Alternatives would impact topography, geology, and soils is in part dependent upon the extent to which the Action Alternatives would affect coal production because the process of coal mining necessarily disturbs the topography, geology, and soils of the mine site. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, and also attempt to reduce erosion and runoff, as well as other adverse impacts on soils, impacts are generally anticipated to be beneficial, although the EIS does not attempt to quantify these benefits. Impacts are generally likely to extend beyond the period of active mining (long-term). Specifically, determinations were made using the following analytical categories:

- **Negligible:** Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- **Minor Beneficial:** Benefits to soil or geologic features could be detectable, but would be small and localized. Reduced erosion and/or subsidence could occur in localized areas.
- **Moderate Beneficial:** Benefits to geologic features or soils could be readily apparent and result in improvements to the soil character or local geologic characteristics in local and adjacent areas. Erosion and subsidence impacts could be reduced in local and adjacent areas.
- **Major Beneficial:** Benefits to geologic features or soils could be readily apparent and result in improvements over a widespread area. Erosion and subsidence impacts could be reduced over a widespread area. Improvements to geologic features or soils could be permanent.

In general, the effects of the Action Alternatives on these resources are expected to be Negligible or Beneficial across all coal regions. Coal mining is both geographically widespread and of major economic importance in the Appalachian Basin region, so each Alternative that would apply to all mining operations is rated as having an impact of at least medium geographic scope for that region.

4.2.3.4.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in geology, soils, and topography would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing geology, soils, and topography under the No Action Alternative.

Population growth is a primary driver of disturbances to topography, geology, and soils associated with land clearing and development. As stated above, in the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase disturbances to topography, geology, and soils under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect topography, geology, and soils, particularly as forest cover influences runoff. Approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

Trends in agriculture also influence topography, geology, and soils within the study area. Relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to decrease runoff of topsoil, actions such as erosion control programs, watershed protection programs, and habitat conservation programs seek to reduce adverse impacts of land development activities under the No Action Alternative.

4.2.3.4.2 Alternative 2

4.2.3.4.2.1 *Topography*

Alternative 2 would eliminate the AOC exception for mountaintop removal mining operations. It also would eliminate all steep-slope AOC variances, prohibit placement of excess spoil or coal mine waste in perennial streams, and prohibit placement of excess spoil in intermittent streams.

Alternative 2 would require use of digital terrain models to document premining and postmining surface configurations of the mined area, with the exception of remining operations and non-contiguous permits no more than 40 acres in size. The final thickness of backfilled and graded spoil placed in the mined-out area could not vary from the combined premining thickness of overburden and the coal seam by more than ± 20 percent at any point on the backfilled area. Landforming principles would apply to both backfilled and graded areas and to excess spoil fills. These requirements should reduce mining-related topographic changes. Alternative 2 also would establish more stringent approval criteria for mining

through intermittent streams and would require restoration of the ecological function of intermittent streams that are mined through.

4.2.3.4.2.2 Geology

Alternative 2 would prohibit mining within 100 feet of a perennial stream, which should prevent impacts to the geology of those areas. The benefits of reduced coal production would be largest under this alternative, but would remain small compared to the overall level of coal production anticipated.

4.2.3.4.2.3 Soils

Alternative 2 would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to restore premining land use capability or to comply with revegetation requirements. Alternative 2 also would require salvage of all native vegetation and other organic materials, including root balls, which must be incorporated into the topsoil, redistributed on the surface of topsoiled areas, or used for stream restoration or fish and wildlife enhancement purposes.

Alternative 2 would allow use of selected overburden materials as substitutes for (or supplements to) topsoil or subsoil, but only if the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed. The operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation, and that the selected overburden materials are the best available within the permit area for that purpose. The operator would be required to redistribute soils in a manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and the lack of a suitable root zone and growing medium for reforestation that can result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed in the following sections.

Table 4.2-27. Summary of Impacts of the Action Alternatives on Topography, Geology and Soil Resources As Compared to the No Action Alternative

Alternative	Metric	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	National
Alternative 2	Classification	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	LT, SS	LT, SS	LT, SS	LT, SS	MMI	MMI	
Alternative 3	Classification	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	LT, SS	LT, SS	LT, SS	LT, SS	MMI	MMI	
Alternative 4	Classification	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	LT, SS	LT, SS	LT, SS	LT, SS	MMI	MMI	
Alternative 5	Classification	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	MMI	MMI	MMI	MMI	MMI	MMI	
Alternative 6	Classification	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Rationale	MMI	MMI	MMI	MMI	MMI	MMI	MMI	
Alternative 7	Classification	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	MMI	MMI	MMI	MMI	MMI	MMI	
Alternative 8 (Preferred)	Classification	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	LT, SS	LT, SS	LT, SS	LT, SS	MMI	MMI	
Alternative 9	Classification	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Rationale	MMI	MMI	MMI	MMI	MMI	MMI	MMI	

Notes:

LT = Long-term impact; LS = Large scope impact; MS = Medium scope impact; SS = Small scope impact; MMI = Minimal measurable impact.

For a discussion of the impacts of the No Action Alternative (Alternative 1), see Section 4.2.3.1 above.

4.2.3.4.2.4 Appalachian Basin Region

Alternative 2 would cause a decrease in total coal production for this region, which should decrease the total area disturbed by mining each year. In addition, the area disturbed per million tons of coal mined would decrease. With respect to topography, this alternative is the only alternative that would prohibit mountaintop removal mining operations and steep-slope AOC variances. It also would require use of landforming principles to design and construct the postmining surface configuration and the final thickness of backfilled and graded spoil placed in the mined-out area could not vary from the combined premining thickness of overburden and the coal seam by more than ± 20 percent at any point on the backfilled area. The slope analysis indicates a decrease in topographic moderation, which results in a reclaimed surface topography that more closely resembles the premining surface configuration. This alternative would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. Therefore, Alternative 2 would have long-term positive impacts of a medium geographic scope that would have a Moderate Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.2.5 Colorado Plateau and Gulf Coast Regions

Alternative 2 would have qualitative benefits to topography, geology, and soils in the Colorado Plateau and Gulf Coast regions, but substantially fewer topographical benefits than in the Appalachian Basin region because the former regions have a much flatter premining topography than the Appalachian Basin region. This alternative would have long-term benefits, primarily in terms of soil reconstruction and stream restoration, of a relatively small geographic scope. Therefore, Alternative 2 would have a Minor Beneficial effect on the topography, geology, and soils of these regions.

4.2.3.4.2.6 Illinois Basin Region

Alternative 2 would result in a slight decrease in total coal production in this region, thereby decreasing the total acreage disturbed by mining each year. This decrease in acreage, combined with qualitative benefits in terms of landforming and soil restoration requirements, would have long-term positive impacts of a relatively small geographic scope. Therefore, Alternative 2 would have a Minor Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.2.7 Northern Rocky Mountains and Great Plains Region

Alternative 2 would result in a slight decrease in total coal production in this region, thereby decreasing the total acreage disturbed by mining each year. This decrease in acreage, combined with qualitative benefits in terms of soil salvage and reconstruction and streamside vegetative corridors, would have long-term positive impacts of a relatively small geographic scope. Therefore, Alternative 2 would have a Minor Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.2.8 Northwest and Western Interior Regions

Alternative 2 would have long-term qualitative benefits in terms of soil salvage and reconstruction and protection and establishment of streamside vegetative corridors, mostly in the Western Interior region. There is very little active mining in the Northwest region and mining is very limited in geographic scope in the Western Interior region. Therefore, Alternative 2 would have a Negligible effect on the topography, geology, and soils of the Western Interior and Northwest regions.

4.2.3.4.3 Alternative 3

4.2.3.4.3.1 *Topography*

Alternative 3 would allow mountaintop removal mining operations and steep-slope AOC variances, provided that they do not damage natural watercourses on or off the permit area. It would prohibit approval of a steep-slope AOC variance if the variance would result in placement of excess spoil in an intermittent or perennial stream. It also would require that mountaintop removal mining sites and sites with a steep-slope AOC variance be restored to AOC if the approved postmining land use is not implemented during the revegetation responsibility period.

Alternative 3 would require that landforming principles be applied to the surface configuration created by backfilling and grading, but they need not be applied to excess spoil fills. It would require use of digital terrain models to document premining and postmining surface configurations of the mined area, with the exception of reining operations and non-contiguous permits no more than 40 acres in size. Alternative 3 also would establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through.

These requirements should reduce some of the adverse topographic disturbances that result from mining.

4.2.3.4.3.2 *Geology*

Changes in coal production expected due to Alternative 3 are small relative to the overall level of mining activity. Alternative 3 would not differ significantly from the No Action Alternative in terms of geologic impacts.

4.2.3.4.3.3 *Soils*

Alternative 3 would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to restore premining land use capability or to comply with revegetation requirements. It also would require salvage of all native vegetation and other organic materials, including root balls, which must be redistributed in accordance with an approved plan developed by a qualified ecologist or similar expert. The expert would determine the amounts needed to promote reestablishment of native vegetation and soil flora and fauna.

Alternative 3 would allow use of selected overburden materials as substitutes for (or supplements to) topsoil or subsoil, but only if the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed. The operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soil materials in a manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that can result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed separately below.

4.2.3.4.3.4 Appalachian Basin Region

Alternative 3 would cause a decrease in total coal production for this region, which should decrease the total area disturbed by mining each year. In terms of qualitative impacts, this Alternative would require use of landforming principles to design and construct the postmining surface configuration. It also would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. Therefore, Alternative 3 would have long-term positive impacts of a medium geographic scope with a Minor Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.3.5 Colorado Plateau, Gulf Coast, Illinois Basin, Northern Rocky Mountains and Great Plains, and Western Interior Regions

Alternative 3 would result in a slight decrease in total coal production in the Illinois Basin region, thereby decreasing the total acreage disturbed by mining each year. The other regions listed above would experience no measurable change in coal production under this Alternative. Qualitative benefits from landforming, soil salvage and restoration, and streamside vegetative corridor requirements would have long-term positive impacts of a small geographic scope with a Minor Beneficial effect on the topography, geology, and soils of these regions.

4.2.3.4.3.6 Northwest Region

There is very little active mining in the Northwest region. Therefore, Alternative 3 would have a Negligible effect on the topography, geology, and soils of this region.

4.2.3.4.4 Alternative 4

4.2.3.4.4.1 Topography

Alternative 4 would allow mountaintop removal mining operations and steep-slope AOC variances, provided that they do not damage natural watercourses on or off the permit area. It would prohibit approval of a steep-slope AOC variance if the variance would result in placement of excess spoil in an intermittent or perennial stream. It also would require that mountaintop removal mining sites and sites with a steep-slope AOC variance be restored to AOC if the approved postmining land use is not implemented during the revegetation responsibility period.

Alternative 4 would require that landforming principles be applied to the surface configuration created by backfilling and grading. It would require use of digital terrain models to document premining and postmining surface configurations of the mined area, with the exception of remining operations and non-contiguous permits no more than 40 acres in size. The final thickness of backfilled and graded spoil placed in the mined-out area could not vary from the combined premining thickness of overburden and the coal seam by more than ± 20 percent at any point on the backfilled area. Alternative 4 also would

establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through.

These requirements should reduce some of the adverse topographic disturbances that result from mining.

4.2.3.4.4.2 Geology

Changes in coal production expected due to Alternative 4 are small relative to the overall level of mining activity. Alternative 4 would not differ significantly from the No Action Alternative in terms of geologic impacts.

4.2.3.4.4.3 Soils

Alternative 4 would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to restore premining land use capability or to comply with revegetation requirements. Alternative 4 also would require salvage of all native vegetation and other organic materials, including root balls, which must be incorporated into the topsoil, redistributed on the surface of topsoiled areas, or used for stream restoration or fish and wildlife enhancement purposes.

Alternative 4 would allow use of selected overburden materials as substitutes for (or supplements to) either topsoil or subsoil, provided that the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed.

The operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation, and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soil materials in a manner that limits compaction, and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that would result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed separately below.

4.2.3.4.4.4 Appalachian Basin Region

Alternative 4 would cause a decrease in total coal production for this region, which should decrease the total area disturbed by mining each year. In terms of qualitative impacts, this alternative would require use of landforming principles to design and construct the postmining surface configuration and would place restrictions on how much the postmining elevation may differ from the premining elevation at any point in the backfilled and graded area. It also would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. These rule elements would result in readily apparent benefits to geologic features and soils such as re-creating

microclimates and ecological niches that were present prior to mining activities and may reduce erosion impacts in local and adjacent areas by preventing the creation of uniform slopes. Therefore, Alternative 4 would have long-term positive impacts of a medium geographic scope with a Moderate Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.4.5 Colorado Plateau, Gulf Coast, Illinois Basin, and Northern Rocky Mountains and Great Plains Regions

Alternative 4 would result in a slight decrease in total coal production in the Illinois Basin region, thereby decreasing the total acreage disturbed by mining each year. The other regions listed above would experience no measurable change in coal production under this alternative. In terms of qualitative benefits, this alternative would result in readily apparent benefits to geologic features and soils from requirements such as landforming, soil salvage and restoration that would have long-term positive impacts by returning mined areas to a condition that was present prior to mining activities. The geographic scope of Alternative 4, however, is small and therefore, this Alternative is expected to have a Minor Beneficial effect on the topography, geology, and soils of these regions.

4.2.3.4.4.6 Northwest and Western Interior Regions

There is very little active mining in the Northwest and Western Interior regions. Changes in coal production expected due to Alternative 4 are small relative to this level of mining activity. Thus, Alternative 4 would have a Negligible effect on the topography, geology, and soils of these regions.

4.2.3.4.5 Alternative 5

Alternative 5 would apply only to surface and underground mining activities that result in placement of excess spoil outside the mined area or disposal of coal mine waste material in perennial or intermittent streams. These conditions predominantly exist in the Appalachian Basin region.

4.2.3.4.5.1 Topography

Alternative 5 would allow mountaintop removal mining operations and steep-slope AOC variances, provided that they do not damage natural watercourses on or off the permit area. It would prohibit approval of a steep-slope AOC variance if the variance would result in placement of excess spoil in an intermittent or perennial stream. It also would require that mountaintop removal mining sites and sites with a steep-slope AOC variance be restored to AOC if the approved postmining land use is not implemented during the revegetation responsibility period. It would require use of digital terrain models to document premining and postmining surface configurations of the mined area, with the exception of remining operations and non-contiguous permits no more than 40 acres in size. For those operations to which it applies, Alternative 5 also would establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through. These requirements should reduce some of the adverse topographic disturbances that result from mining by increasing the amount of mined areas that are returned to a condition that more closely resembles the general surface configuration of the land prior to mining and blends into and complements the drainage pattern of the surrounding terrain.

4.2.3.4.5.2 Geology

Changes in coal production expected due to Alternative 5 are small relative to the overall level of mining activity. Thus, Alternative 5 would not differ significantly from the No Action Alternative in terms of geologic impacts.

4.2.3.4.5.3 Soils

Alternative 5 would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to restore premining land use capability or to comply with revegetation requirements. It also would require salvage of all native vegetation and other organic materials, including root balls, which must be redistributed in accordance with an approved plan developed by a qualified ecologist or similar expert. The expert would determine the amounts needed to promote reestablishment of native vegetation and soil flora and fauna.

Alternative 5 would allow use of selected overburden materials as substitutes for (or supplements to) either topsoil and/or subsoil, provided that the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed.

The mine operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soils in a manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that can result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed separately below.

4.2.3.4.5.4 Appalachian Basin Region

Alternative 5 would cause a slight decrease in total coal production for this region, which should decrease the total area disturbed by mining each year. In addition, the area disturbed per million tons of coal mined would decrease. The slope analysis indicates no change in topographic moderation compared to the results of the No Action Alternative. For those operations to which it applies, Alternative 5 would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. Alternative 5 would have some long-term qualitative positive impacts, primarily in the area of soil salvage and reconstruction and streamside vegetative corridors, but the geographic scope of those impacts would be limited because the alternative would not apply to all operations. Therefore, it would have a Moderate Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.5.5 Other Regions

Alternative 5 would have a Negligible effect on the topography, geology, and soils of regions other than the Appalachian Basin region because very few operations in those regions dispose of excess spoil or coal mine waste outside the mined area, which means that very few operations in those regions would be subject to the requirements of this alternative.

4.2.3.4.6 Alternative 6

Alternative 6 would apply only to mining-related activities within 100 feet of an intermittent or perennial stream. It would establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through. The model mines analysis indicates that this alternative would have little impact on coal production, disturbance per million tons of coal removed, or postmining slope conditions relative to the No Action Alternative. In addition, Alternative 6 would not differ from the No Action Alternative with respect to requirements for soils and AOC restoration. Therefore, this alternative would have a Negligible effect on the topography, geology, and soils of all regions.

4.2.3.4.7 Alternative 7

Alternative 7 would apply only when certain conditions exist that warrant enhanced permitting conditions. For purposes of this FEIS, the model mines analysis assumes that this alternative would apply only to operations in steep-slope areas and to operations that place excess spoil or coal mine waste in perennial or intermittent streams.

4.2.3.4.7.1 Topography

Alternative 7 is identical to the No Action Alternative with respect to exceptions to AOC restoration requirements. For those operations to which Alternative 7 would apply, this alternative would require application of landforming principles to design and create the final surface configuration of the reclaimed mined area. It would require use of digital terrain models to document the premining and postmining surface configurations of the mined area, with the exception of re-mining operations and non-contiguous permits no more than 40 acres in size. The final thickness of backfilled and graded spoil placed in the mined-out area could not vary from the combined premining thickness of overburden and the coal seam by more than ± 20 percent at any point on the backfilled area. Alternative 7 also would establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through.

These requirements should reduce some of the adverse topographic disturbances that results from mining.

4.2.3.4.7.2 Geology

Changes in coal production expected due to Alternative 7 are small relative to the overall level of mining activity. Alternative 7 would not differ significantly from the No Action Alternative in terms of geologic impacts.

4.2.3.4.7.3 Soils

Alternative 7 would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to

restore premining land use capability or to comply with revegetation requirements. To the extent that this alternative would apply to an operation; i.e., to the extent that enhanced permitting conditions are required, Alternative 7 would require salvage of all native vegetation and other organic materials, including root balls, which must be incorporated into the topsoil, redistributed on the surface of topsoiled areas, or used for stream restoration or fish and wildlife enhancement purposes.

Alternative 7 would allow use of selected overburden materials as substitutes for (or supplements to) either topsoil and/or subsoil, provided that the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed.

The mine operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soils in a manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that can result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed separately below.

4.2.3.4.7.4 Appalachian Basin Region

Alternative 7 would cause a slight decrease in total coal production for this region, which should decrease the total area disturbed by mining each year. In addition, the area disturbed per million tons of coal mined would decrease. The model mines slope analysis indicates no change in topographic moderation compared to the results of the No Action Alternative. For those operations to which it applies, Alternative 7 would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. Alternative 7 would have some long-term qualitative positive impacts, primarily in the area of soil salvage and reconstruction and streamside vegetative corridors, but the geographic scope of those impacts would be limited because the alternative would not apply to all operations. Therefore, it would have a Moderate Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.7.5 Other Regions

Alternative 7 would have a Negligible effect on the topography, geology, and soils of regions other than the Appalachian Basin region because very few operations in those regions dispose of excess spoil or coal mine waste outside the mined area, which means that very few operations in those regions would be subject to the requirements of this alternative.

4.2.3.4.8 Alternative 8 (Preferred)

4.2.3.4.8.1 *Topography*

Alternative 8 (Preferred) would allow mountaintop removal mining operations, provided that they do not damage natural watercourses on or off the permit area. It would define damage in terms of parameters of concern, peak flows, and total flow volumes. This alternative would allow steep-slope AOC variances needed to achieve specified postmining land uses, but prohibit approval of a steep-slope AOC variance if the variance would result in placement of excess spoil in an intermittent or perennial stream. It also would require that mountaintop removal mining sites and sites with a steep-slope AOC variance be restored to AOC if the approved postmining land use is not implemented during the revegetation responsibility period.

Alternative 8 (Preferred) would require that landforming principles be applied to the surface configuration of the top deck of excess spoil fills. While use of landforming principles would not be required for reclamation of the mined area itself, this alternative would require that the postmining drainage pattern of perennial, intermittent, and ephemeral streams restored after mining be similar to the premining drainage pattern, with exceptions for stability, fish and wildlife enhancement, and prevention of downcutting of stream channels. Alternative 8 (Preferred) also would establish more stringent approval criteria for mining through streams and would require restoration of the ecological function of perennial and intermittent streams that are mined through.

These requirements should reduce some of the adverse topographic disturbances that result from mining.

4.2.3.4.8.2 *Geology*

Changes in coal production expected due to Alternative 8 are small relative to the overall level of mining activity. Alternative 8 (Preferred) would not differ significantly from the No Action Alternative in terms of geologic impacts.

4.2.3.4.8.3 *Soils*

Alternative 8 (Preferred) would require salvage and redistribution of all topsoil (the A and E horizons) and sufficient quantities of subsoil (B and C horizons) or other suitable materials to provide optimal rooting depths to restore premining land use capability or to comply with revegetation requirements. It also would require salvage of all native vegetation and other organic materials, including root balls, which must be incorporated into the topsoil, redistributed on the surface of topsoiled areas, or used for stream restoration or fish and wildlife enhancement purposes.

Alternative 8 (Preferred) would allow use of selected overburden materials as substitutes for (or supplements to) either topsoil and/or subsoil, provided that the operator demonstrates that: (1) the quality of the existing topsoil and subsoil is inferior to that of other overburden materials; or (2) the quantity of the existing topsoil and subsoil is insufficient to provide the optimal rooting depth or meet other plant growth requirements. In the latter case, all existing topsoil and favorable subsoil must be salvaged and redistributed.

The mine operator also must demonstrate that the resulting soil medium would be more suitable than the existing topsoil and subsoil to sustain vegetation and that the selected overburden materials are the best available within the permit area for that purpose. The operator would have to redistribute soils in a

manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that can result from mining under the No Action Alternative.

These requirements should reduce some of the adverse soil effects, particularly those related to compaction and lack of an adequate root zone and suitable growing medium for reforestation that can result from mining under the No Action Alternative.

Impacts on topography, geology, and soils would vary by region. Each region is discussed separately below.

4.2.3.4.8.4 Appalachian Basin Region

Alternative 8 (Preferred) would cause a slight decrease in total coal production for this region, which should result in a slight decrease in the total area disturbed by mining each year. In addition, the analysis indicates that the area disturbed per million tons of coal mined would decrease. With respect to topography, the model mines slope analysis indicates that this alternative would result in less topographic moderation than the No Action Alternative, which means that the postmining surface configuration would more closely resemble the premining configuration. This Alternative also would require that postmining soils be reconstructed with a root zone adequate to restore premining land use capability and fully support reforestation. Therefore, Alternative 8 (Preferred) would have long-term positive impacts of a medium geographic scope with a Moderate Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.8.5 Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains Regions

The regions listed above would experience no measurable change in coal production under Alternative 8 (Preferred). Qualitative benefits from landforming, soil salvage and restoration, and streamside vegetative corridor requirements would have long-term positive impacts of a small geographic scope with a Minor Beneficial effect on the topography, geology, and soils of these regions.

4.2.3.4.8.6 Illinois Basin Region

Alternative 8 (Preferred) would result in a slight decrease in total coal production in this region, thereby decreasing the total acreage disturbed by mining each year. Qualitative benefits from landforming, soil salvage and restoration, and streamside vegetative corridor requirements would have long-term positive impacts of a small geographic scope with a Minor Beneficial effect on the topography, geology, and soils of this region.

4.2.3.4.8.7 Northwest and Western Interior Regions

There is very little active mining in the Northwest and Western Interior regions. Therefore, Alternative 8 (Preferred) would have a Negligible effect on the topography, geology, and soils of these regions.

4.2.3.4.9 Alternative 9

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on topography, geology, and soils.

4.2.3.5 *Potential Minimization and Mitigation Measures*

The Action Alternatives are not expected to result in any negative environmental consequences for topography, geology, and soils. Therefore, identifying potential minimization and mitigation measures is not applicable for this analysis.

4.2.4 Air Quality, Greenhouse Gas Emissions, and Climate Change

This section characterizes the impacts of the Alternatives on air quality. The discussion is organized as follows:

- Section 4.2.4.1 describes the existing regulatory environment and its implications for the No Action Alternative;
- Section 4.2.4.2 describes key elements of Action Alternatives and their effect on air quality and greenhouse gas emissions;
- Section 4.2.4.3 describes the methods employed to evaluate potential effects to air resources;
- Section 4.2.4.4 presents the results of this evaluation;
- Section 4.2.4.5 summarizes results across Action Alternatives; and
- Section 4.2.4.6 describes potential minimization and mitigation measures.

This section does not detail public health and safety associated with coal mining-related air pollution; health effects are discussed in Section 4.3.4 of this document.

OSMRE is limited in its ability to regulate air quality. Air emissions permits for coal mines fall under the authority of the Clean Air Act (CAA) and are not issued under SMCRA. The decision discussed in *In re Permanent Surface Min. Regulation Litig. I, Round II*, 1980 U.S. Dist. LEXIS 17660 at *43-44 (D.D.C., May 16, 1980), 19 Env't Rep. Cas. (BNA) 1477, clarifies that OSMRE does not have jurisdiction over industrial emissions, and that its jurisdiction is limited to air pollution attendant to wind and water erosion (e.g., exposing soil to wind causing particulates to become airborne). The decision clarifies that all other mining-related emissions are generally regulated under the CAA and not SMCRA.

The following discussion examines air quality as a resource within the human environment, focusing on the specific components that coal mining operations can influence, and does not limit the discussion to what OSMRE is specifically authorized to regulate (i.e., erosion-related air pollution). In addition, the following discussion describes expected changes in greenhouse gas emissions from reductions in overall levels of coal mining and combustion activities. These evaluations provide the required basis (40 CFR 1502.16) for a scientific and analytic comparison between the Alternatives.

This section focuses primarily on the potential air quality and greenhouse gas emissions impacts of coal mining operations according to the Alternatives being considered (including the No Action Alternative). The Alternatives may influence air quality in the following ways:

- Changes in overall emissions levels that may result from shifts in coal production levels. The predominant effect of the Action Alternatives on greenhouse gas emissions is the reduction in carbon dioxide (CO₂) emissions from coal combustion. In addition, reductions in coal production generates changes in methane (CH₄) emissions released when coal is extracted (i.e., “fugitive emissions”), as well as changes in emissions associated with activities undertaken through the course of operations (i.e., emissions from vehicle use and release of toxics from explosives detonation).
- Additional requirements for reforestation, revegetation, and streamside vegetative corridors may increase the terrestrial carbon sequestration potential of the postmining landscape
- Changes in the extent equipment and vehicles are used affects combustion engine emissions from coal mining; and
- Changes in dust or particulates from burning or wind erosion of materials used and/or soil being exposed on site during coal mining.

4.2.4.1 Effects of the Current Regulatory Environment (the No Action Alternative)

As discussed in Chapter 3, air emissions emanate from vehicle engines associated with the mining activity, from emissions released during explosives detonation, from the erosion and wind transport of dust and particulate matter, and from the release of greenhouse gases as coal is exposed. Under the No Action Alternative, the effects of coal mining on air quality, with the exception of erosion-related pollution, are regulated primarily under the CAA. Implementation of performance standards for blasting, however, also falls under the purview of SMCRA. Compliance with these standards reduces human exposure to toxic air pollutants that may otherwise result from blasting.

Pollutants released from combustion engines include five of the six EPA defined criteria pollutants: carbon monoxide, sulfur dioxide, nitrogen oxides, volatile organic compounds (VOCs), and particulate matter (PM₁₀ and PM_{2.5}). EPA regulates toxic emissions from mobile sources through standards on fuels and engine efficiency; however, mobile sources do not require permitting under the CAA and methane emissions from mobile sources are not subject to performance standards.

The detonation of explosives under ideal field conditions releases nitrogen gas, carbon dioxide (CO₂), and water vapor. In the case that field conditions are not ideal, or the explosives product formulation is incorrect, the blast may yield nitric oxide, nitrogen dioxide, or carbon monoxide in addition to the gases listed above. Section 515 of SMCRA (30 U.S.C. § 1265(b)(15)) includes a general performance standard

that requires limitation of the type and size of explosives and detonating equipment, and timing of the detonation, to prevent injury to persons and damage to property (e.g. livestock) outside the permit area.

The regulations implementing this section of SMCRA are included in the performance standards at 30 CFR 816/817.67. Specifically, 30 CFR 816/817.67(a) provides general regulatory requirements for control of adverse effects from conducting blasting operations, including the requirement to prevent injury to persons and damage to property. Subsequent subsections address specific adverse effects of blasting which include airblast, flyrock, and ground vibrations; however, fumes are not addressed. In addition, 30 CFR 780.13 requires that blast plans describe how blasting will be conducted to meet the performance standards. In the case that concern exists regarding potential danger from fumes to people or property, the regulatory authority may require that blasting be conducted to minimize fume generation or blast area security be expanded to ensure exposure is avoided.

While ground vibrations, airblast, and flyrock are commonly identified in the blast plan, blasting fumes are only addressed under certain circumstances, by a handful of state regulatory authorities. If not addressed in the blast plan, any visible fumes observed during an inspection or reported by a citizen that approach people or living property are considered “imminent harm” (30 CFR 843.11). Industry practice is to never enter a reddish-orange cloud as it is considered toxic and thus poses an imminent danger. Historically, though infrequent, RAs have issued Notices of Violation and imminent harm Cessation Orders through the state counterpart regulations to 30 CFR Part 843.

On April 18, 2014, OSMRE received a petition for rulemaking from WildEarth Guardians requesting that OSMRE “promulgate a rule prohibiting the production of visible nitrogen oxide emissions during blasting at surface coal mining operations in order to protect public and mine worker health, welfare, and safety, and prevent injury to persons, as required by the Surface Mining Control and Reclamation Act of 1977 (SMCRA).” On July 25, 2014, OSMRE published the petition in the Federal Register (79 FR 43326). On February 20, 2015, the Director’s decision to grant the petition in principle was published (80 FR 9256). OSMRE is currently developing a proposed rule that would require the regulatory authority to consider protections for persons and private property with regard to fume generation from blasting operations.

Coal mining may also affect particulate matter concentrations in air, specifically fugitive dust. Dust may be released or spread through operations due to wind during mining activities such as blasting; operation of drag lines; hauling overburden and mined coal; and road grading as well as in general from earthmoving activities (Lashof et al., 2007). As noted previously, if related to erosion and wind transport, fugitive dust is regulated under SMCRA, otherwise it is regulated under the CAA or local ordinances. This type of dust is generally coarse (PM₁₀ classification). Surface mining produces more PM₁₀ emissions in comparison to underground mining as a result of the increased percentage of disturbance occurring aboveground (Lashof et al., 2007).

Section 515 of SMCRA (30 U.S.C. § 1265(b)) contains provisions related to prevention of windborne erosion from stockpiled and transported materials, as well as provisions related to handling vegetative debris. Moreover, SMCRA’s implementing regulations at 30 CFR -816.95(a) and 817.95(a) require that all exposed surface areas be protected and stabilized to control erosion. Likewise, §§ 816.150(b)(1) and 817.150(b)(1) require the control or prevention of erosion (including road dust) through measures such as

vegetating, watering, using chemical or other dust suppressants, or otherwise stabilizing all exposed surfaces.

However, neither SMCRA nor the implementing regulations specifically require reincorporation of plant debris accumulated from site clearing (for example non-merchantable trees, tree limbs, stumps and branches). As a result these materials are often burned on-site, which may impact local air quality from the addition of particulate matter into the air. SMCRA and the implementing regulations require reforestation of previously forested mine sites unless the permittee has sought and received authorization to implement an alternative post mining land use. Coal regions are currently experiencing a net loss of forested area due to coal mining. This reduction in forested acreage impacts the environment in many ways; specific to air quality it results in the loss of oxygen production potential from the vegetation, and the net loss of sequestered carbon stocks. That is, forest-based carbon is reintroduced to the atmosphere as greenhouse gases from burning of the wood, rather than reincorporated into other stable uses (such as building materials), returned to the soil, or disposed of in ways that prevent carbon decay (e.g., landfilling).

In addition to the air quality impacts from operations at coal mines (from vehicles, blasting, and dust), the greenhouse gas methane may be released as the overburden is removed and coal and rock layers are broken as part of the mining process. Underground coal mining releases more fugitive methane than surface mining because of the higher gas content of deeper seams (Irving and Tailakov, 1999). Methane released from underground mines may be captured and used as an energy source. The objective of the U.S. EPA Coalbed Methane Outreach Program is to promote the recovery and use of coal mine methane by working with industry. Future voluntary involvement in this activity on the part of coal operations is uncertain. However, to the extent that participation grows over time, methane emissions associated with coal mining may decrease in the future under the No Action Alternative.

Finally, coal mining activity under the No Action Alternative reduces the terrestrial carbon sequestration potential of the landscape by reducing vegetative biomass, at least in the short term. The No Action Alternative requires the establishment of vegetative cover, but not reforestation. As a result, mined areas experience a net loss of forestland. In comparison to other vegetation, forested areas contain more biomass both above and below ground. This increased biomass represents additional carbon storage, additional CO₂ consumption during photosynthesis, and increased production of oxygen. The reduction in forested landscapes under the No Action Alternative reduces the level of carbon that is removed from the atmosphere, thus contributing to climate change.

Under the No Action Alternative, air emissions and air quality impacts from coal mining would continue to be regulated under the CAA, and to a lesser extent SMCRA, and would continue to fluctuate with coal mining methods and activity levels. For a more complete discussion of the CAA, please refer to Section 3.6.

4.2.4.1.1 Emissions from Coal Combustion

In 2015, electrical power generation accounted for approximately 91 percent of U.S. coal consumption, with the remainder used in a variety of industrial applications.³³ Electrical power generation includes public utilities that feed electricity to the general power grid, as well as dedicated power plants that generate electricity for specific industrial operations and other commercial facilities. At each generating facility, coal is burned to produce steam (coal combustion), which is used to rotate turbines and generate power. In 2015, coal-fired sources generated approximately 33 percent of all electricity produced in the U.S. (U.S. EIA, 2016d). This has declined 15 percent since 2011, due to the declining price of natural gas, a competing fuel source, environmental regulatory compliance, and other market factors (U.S. EIA, 2016c).

In general, coal combustion generates several principal pollutants that have been linked to adverse air quality impacts:

- **Carbon Dioxide:** Coal combustion produces CO₂, the primary greenhouse gas emission from the burning of fossil fuels (coal, oil, and natural gas). According to the U.S. EPA Greenhouse Gas Inventory Report, fossil fuel combustion accounted for 94 percent of total CO₂ emissions in the U.S. (approximately 5.7 billion short tons) in 2014. In the same year, coal combustion accounted for approximately 32 percent of total CO₂ emissions from fossil fuels (1.8 billion short tons) (U.S. EPA, 2016b).
- **Sulfur Dioxide:** EPA estimates that about 73 percent of sulfur dioxide (SO₂) emissions derive from combustion of fossil fuels at power plants. In addition to being one of the primary causes of acid rain, SO₂ can have negative health impacts from acute or chronic over exposure. Some health impacts include adverse respiratory effects, including bronchoconstriction and increased asthma symptoms. SO₂ inhalation has been shown to result in irritation of mucous membranes of the eyes and nose and may also affect the mouth, trachea, and lungs (VCAPCD, 2003).
- **Nitrogen Oxides:** Power generation is the second largest anthropogenic source (behind mobile sources) of nitrogen dioxide (NO₂) and other related nitrogen oxides (NO_x). NO_x is a key constituent in the formation of ground-level ozone, the main component of smog and has adverse effects on respiratory systems, causing or aggravating respiratory illnesses such as bronchitis and asthma but also increasing breathing difficulty even in healthy persons (VCAPCD, 2003).
- **Mercury:** EPA estimates that coal-fired power plants accounted for over half of all anthropogenic mercury emissions in the U.S. in 2005. After being emitted into the air, mercury can be deposited to land and eventually water, where it can enter the food chain. Birds and mammals that eat fish are more exposed to mercury than other animals, and mercury can bioaccumulate at higher levels of the food chain. At high levels of exposure, methyl mercury causes harmful effects on animals include death, reduced reproduction, slower growth and development, and abnormal behavior. In humans, mercury exposure at high levels can harm the brain, heart,

³³ Nearly all coal burned in the U.S. is produced domestically. The EIA reports that in 2014, only one percent of all coal consumed in the U.S. was imported. U.S. coal exports, however, have grown significantly in recent years. The EIA reports that from 2000 to 2010, coal producers exported about five percent of their product; in 2012, exports had grown to 12 percent, or 126 million short tons. In 2013 exports declined to 118 million short tons and in 2014 exports declined further to 97 million tons.

kidneys, lungs, and immune system. Research shows that moderate fish consumption is not a health concern. However, high levels of methyl mercury in the bloodstream of unborn babies and young children may harm the developing nervous system, impairing cognitive functions (U.S. EPA, 2014d).

Recent regulatory efforts have focused on the need to control emissions from power plants. The Mercury and Air Toxics Standards (MATS) rule establishes emission limits for mercury and other air pollutants from U.S. power plants. The Cross-state Air Pollution Rule (CSAPR) requires power plants in 27 states to reduce emissions that contribute to ambient ozone and/or fine particle pollution; EPA finalized the rule in 2011, and implementation began in 2012. In September 2016, the EPA finalized an update to the Cross-State Air Pollution Rule (CSAPR) for the 2008 ozone National Ambient Air Quality Standards (NAAQS); this rule will reduce summertime nitrogen oxides emissions from power plants in 22 states in the eastern U.S. Recent Supreme Court decisions verified EPA's authority to regulate greenhouse gas emissions. In August 2015, the EPA promulgated the Carbon Pollution Standards which limit emissions of CO₂ from new, modified, and reconstructed fossil-fuel fired power plants. At the same time, it promulgated the Clean Power Plan, which established guidelines for reducing CO₂ emissions from existing fossil-fuel fired power plants. States are charged with developing plans that will meet the emission performance rates (or equivalent state goals). EPA and industry analysts anticipate that many of the reductions will be met through shifting generation to less carbon-intensive sources of energy. On February 9, 2016 the Supreme Court stayed implementation of the Clean Power Plan pending judicial review.

4.2.4.2 Action Alternatives and Potential Effects on Air Quality, Greenhouse Gas Emissions, and Climate Change

This section identifies the aspects of the Action Alternatives expected to affect air emissions as a result of coal mining and related activities. While the elements of the Action Alternatives as described in Chapter 2 do not directly address air emissions from coal mining activities, implementation of the Action Alternatives may indirectly affect air quality. The requirements of Alternative 9 are not functionally different than the No Action Alternative; most current mining practices are consistent with the now-vacated 2008 SBZ rule and, accordingly, effects of Alternative 9 on air quality are anticipated to be Negligible. All other Action Alternatives have the potential to affect air quality in the following ways:

- Changes in the amount of earth moving (haulage) required may affect the extent of wind transport of dust (PM_{2.5} and PM₁₀), as well as emissions from mobile sources (combustion engines): For instance, some Alternatives may require additional movement of surface material around a site, which would be expected to increase vehicle use on some sites. Vehicles are sources of nitrogen oxides (NO_x), CO₂, and particulate matter emissions. Thus, rule elements found in some Action Alternatives may result in increases in air emissions on a per-mine basis. On the other hand, some Action Alternatives reduce overall levels of coal production, which may reduce the generation of dust and emissions from mobile sources.
- Revegetation and reforestation requirements, as well as requirements to reduce burning of vegetation and other organic materials may reduce the wind transport of dust and increase the terrestrial carbon sequestration potential of the landscape: More stringent requirements for reforestation and revegetation of the postmining landscape reduce the extent to which materials

are exposed to wind transport and increase the availability of biomass to sequester carbon from the atmosphere. Increased terrestrial carbon sequestration may have a mitigating effect on the level of greenhouse gases in the atmosphere contributing to climate change. In addition, prohibitions on burning of vegetation and organic matter under the Action Alternatives reduce airborne particulates. Section 4.2.2.2 describes the potential climate stabilization benefits associated with reforestation requirements of the Action Alternatives.

Although the Action Alternatives do not directly regulate coal combustion, the collective effects of the elements of the Alternatives on the costs of coal mining are expected to marginally reduce coal production levels and have a consequent benefit in terms of a reduction in greenhouse gas emissions from coal burning. To provide perspective on the potential effects of the Alternatives on climate change, this analysis evaluates changes in greenhouse gas emissions as follows:

1. **Changes in CO₂ emissions:** Although the effect of the Action Alternatives on coal production is minor (less than one percent), this generates a net reduction in CO₂ emissions from fossil fuel combustion nationally. This analysis estimates how reductions in coal combustion, and increased production of substitute sources (e.g., natural gas), affects greenhouse gas emissions.
2. **Changes in CH₄ emissions:** The quantified change in methane emissions accounts for fugitive emissions from field production of coal (associated with reduced production) and natural gas (associated with increased production), as well as emissions from vehicle and equipment use, and transportation and storage.

Table 4.2-28 summarizes the various rule elements incorporated into the Action Alternatives that may affect air quality. The remainder of this section describes the potential direction and magnitude of the expected impacts in each of the coal regions.

Table 4.2-28. Action Alternative Elements and Potential Effects on Air Quality, Greenhouse Gases, and Climate Change

Action Alternative Element	Criteria Pollutants and Greenhouse Gases
Baseline Data Collection and Analysis	
Monitoring During Mining and Reclamation	
Definition of Material Damage to the Hydrologic Balance	
Evaluation Thresholds	
Stream Definitions	
Mining Through Streams	■
Activities In or Near Streams Including Excess Spoil and Coal Refuse	■
AOC Variances	■
Surface Configuration	■
Revegetation, Topsoil Management, and Reforestation	■
Fish and Wildlife Protection and Enhancement	■

The “Criteria Pollutants and Carbon Dioxide” column identifies Action Alternative elements that may: 1) result in additional earthmoving activities, thereby increasing the production of particulate matter and emissions of criteria pollutants from operation of vehicles and other equipment; and/or 2) result in additional vegetated land cover (e.g., reforestation) thereby reducing wind erosion of materials and increasing the terrestrial carbon sequestration potential of the landscape. In addition to the direct effects of the Action Alternative elements on criteria pollutants and greenhouse gas emissions, indirect impacts on CO₂, methane, and other emissions are also expected. While not associated with any particular rule element, the collective cost burden of implementing the Alternatives may change overall levels of coal production, thus affecting the levels of greenhouse gases and other air pollutants emitted through the course of coal mining activities and coal combustion. The predominant change in greenhouse gas emissions is due to the anticipated reduction in coal combustion. As previously noted, coal combustion is a significant source of emissions of CO₂ nationally. To the extent that decreased coal production results in decreased coal combustion, and increased energy production from substitute sources with lower emissions rates, such as natural gas, this could result in a net national reduction in CO₂ emissions as compared to the No Action Alternative. In addition, removing overburden to extract coal results in fugitive methane emissions. Consequently, increasing or reducing the level of mining activity likewise increases or reduces fugitive methane emissions. The EPA inventory of underground mine greenhouse gas emissions indicates that methane accounts for nearly all greenhouse gas emissions from underground mines; specifically fugitive methane emissions are significantly greater than CO₂ and nitrous oxide emissions from vehicles and equipment (U.S. EPA, 2013e). Reductions in coal production levels may also reduce toxic pollutant emissions from blasting activities.

4.2.4.2.1 Protection of the Hydrologic Balance

The elements of the Action Alternatives that are focused on the protection of the hydrologic balance are not expected to directly affect air quality for the reasons described below. As noted previously, however, the collective burden of implementing all of the elements of the Action Alternatives (other than Alternative 9), including those related to protection of the hydrologic balance, is expected to change the overall level of coal mining activity (i.e., increased costs of coal production decreases overall production levels). In addition, in the case of Alternative 2, the cost of surface mining methods results in a slight shift toward additional underground mining methods, which emit more methane than surface methods. As a result, the Action Alternatives (excluding Alternative 9) may all affect greenhouse gas emissions, primarily methane, and other emissions (e.g., from vehicles and blasting) released through the course of coal mining.

4.2.4.2.2 Baseline Data Collection and Analysis

Baseline data collection and analysis are focused on water sampling procedures and are not expected to affect air resources under the Action Alternatives.

4.2.4.2.3 Monitoring During Mining and Reclamation

Additional monitoring requirements are focused on water quality effects and are not expected to influence air resources under the Action Alternatives.

4.2.4.2.4 Definition of Material Damage to the Hydrologic Balance

The lack of definition of *material damage to the hydrologic balance* under the No Action Alternative, and the implementation of the proposed definition under Action Alternatives 2, 3, 4, and 8 (Preferred) is not expected to affect air quality effects of mining activities.

4.2.4.2.5 Evaluation Threshold

Evaluation thresholds are monitoring standards set lower than those for material damage to the hydrologic balance and are designed to act as a type of early warning system to prevent material damage from being reached. These evaluation thresholds would not impact air quality directly as they do not establish thresholds related to air emissions.

4.2.4.2.6 Activities In or Near Streams

The elements of the Action Alternatives focused on activities in or near streams may affect air pollutant emissions from coal mining both directly through their implementation and indirectly as their implementation contributes to overall shifts in coal production levels. The indirect effect here again refers to the Action Alternatives (excluding Alternative 9) increasing the cost of coal production such that overall production levels, and associated air pollutant emissions, change. The following text describes how the elements regulating activities in or near streams more directly affect air quality.

4.2.4.2.7 Stream Definitions

Alternatives 2, 4, 7, and 8 (Preferred) specify a change in how streams are defined as intermittent, ephemeral, or perennial, and therefore, what mining activities may occur in or near a given stream. This rule element is not expected to itself affect air quality impacts of coal mining.

4.2.4.2.8 Mining through Streams

The No Action Alternative allows diversion of intermittent and perennial streams where the regulatory authority finds that the diversion will not adversely affect the water quality and quantity and related environmental resources of the stream. The No Action Alternative also requires restoration of perennial and intermittent streams to restore or approximate the premining characteristics of the original stream channel, including natural streamside vegetation. The Action Alternatives further specify how mining through streams and associated stream restoration should be implemented. Related to air quality, this element dictates establishment of 100-foot forested or appropriately-vegetated stream corridors (Alternatives 2, 7, and 8 (Preferred)). Additional vegetated land cover has the potential to increase the terrestrial carbon sequestration potential of the landscape, thereby mitigating potential effects of climate change. In addition, additional vegetated land cover reduces the amount of material vulnerable to wind transport.

4.2.4.2.9 Activities In or Near Streams, Including Excess Spoil and Coal Refuse

The Action Alternatives address mining activities, such as placement of excess spoil and coal mine waste, in or within 100 feet of streams. In limiting placement of excess spoil fills and refuse piles, the Action Alternatives (excluding Alternative 9) may increase the hauling distance for, and therefore air pollutant emissions associated with, the vehicles transporting excess spoil. The degree to which emissions are affected is difficult to quantify as it depends upon site-specific and permit-specific factors. In general,

however, longer distances and additional operating time may increase emissions of nitrous oxide, CO₂, and particulate matter emissions from mining-related haulage vehicles, relative to the No Action Alternative. This effect, however, may be mitigated by overall reductions in coal production levels under the Action Alternatives, which may produce a countervailing effect of reducing the use of equipment and vehicles.

4.2.4.2.10 Approximate Original Contour

The elements of the Action Alternatives related to AOC variance and surface configuration may affect air quality by increasing emissions from equipment and vehicles. As with the other rule elements, they also contribute to increasing the costs of coal mining activities and the consequent shifts in coal production levels and methods. As previously described emissions associated with coal mining may change proportionally to the overall levels of surface and underground production.

4.2.4.2.11 AOC Variances

SMCRA generally requires the return of the landscape to AOC and the original configuration. Variances to AOC are allowed for mountaintop removal and steep slope mining, common practices in the Appalachian Basin region. Under the No Action Alternative, for both mountaintop removal and steep-slope mining, beneficial postmining land use (PMLU) must be achieved, with equal or better use demonstrated. For steep-slope mining, requests to deviate from AOC do not currently require demonstration that deviations from AOC are necessary for the identified PMLU.

Fewer allowed variances from AOC could occur under the Action Alternatives (excluding Alternatives 6, 7, and 9), which would result in increased need for material handling and movement on the mine site. This would increase heavy equipment and vehicle use, and therefore the associated vehicle-related air emissions. Additional handling of the materials could also result in increased wind-born particulates during landforming.

4.2.4.2.12 Surface Configuration

Premining surface configuration guides topography reclamation requirements, both during mining and during postmining reclamation. This entails the use of landform measurements and terrain modeling to confirm premining topography adherence. Some Action Alternatives require that the backfilled areas of a mine not vary from their premining elevation/slope by ± 20 percent (the difference between premining surface elevation and the bottom elevation of the lowest coal seam mined). Conditions would be documented by digital terrain models, both before mining and during backfilling. The relevant Action Alternatives may allow the placement of excess spoil in streams only with stringent provisions.

Similar to the AOC variances element, the proposed landforming requirements may result in increased use of equipment and vehicles on mine sites to create the required postmining topography. While the magnitude of this effect would be site-specific, emissions would increase with increased vehicle use. However, reductions in overall levels of coal production under the Action Alternatives may serve to offset this potential effect by reducing the level of equipment and vehicle use.

4.2.4.2.13 Revegetation, Soil Management, and Reforestation

Requirements for reforestation, vegetation, and topsoil management, may benefit air quality by increasing the terrestrial carbon sequestration potential of the landscape and by reducing the amount of time materials are exposed to wind erosion, thereby reducing particulate matter. In addition, these elements contribute to the increased cost of coal mining activities, affecting mining-related emissions by shifting coal production levels or methods.

Postmining land cover is directed by the revegetation, topsoil management, and reforestation elements of the Alternatives. As described under the No Action Alternative, while establishing vegetative cover is required after mining, reforestation is not currently universally required. Under the Action Alternatives except for Alternatives 6 and 9, the revegetation of reclaimed lands must be completed using only native species; the use of overburden materials as a replacement for, or as a supplement to, topsoil requires greater justification; available organic materials must be incorporated into the revegetation process; and reforestation of previously forested areas is required. These changes serve primarily to return the postmining land to a native forest ecosystem as quickly as possible. This has two effects on air quality by: 1) potentially limiting particulate matter by reducing the time materials are exposed to wind erosion, and 2) increasing the terrestrial carbon sequestration capacity of the landscape. In addition, Alternatives 2, 3, 4, 5, and 7 all include some level of prohibition on burning of vegetation and other organic materials, reducing the amount of airborne particulate matter from mining operations.

4.2.4.2.14 Fish and Wildlife Protection and Enhancement

Fish and Wildlife Protection and Enhancement elements related to air quality include the provisions for establishing streamside vegetative corridors. Requirements for fish and wildlife protection and enhancement may benefit air quality by increasing the terrestrial carbon sequestration potential of the landscape and by reducing the amount of time materials are exposed to wind erosion, thereby reducing particulate matter. In addition, these elements contribute to the increased cost of coal mining activities, affecting mining-related emissions by shifting coal production levels or methods.

Specifically, the Action Alternatives (excluding Alternative 9) include a specified width requirement for streamside vegetative corridors. Alternatives 2, 5, 6, 7 and 8 (Preferred) require creation of a 100-foot streamside vegetative corridor comprising native, non-invasive species along ephemeral, intermittent, or perennial streams restored or permanently diverted. Alternatives 3 and 4 generally require establishment of a 300-foot streamside vegetative corridor of native species along restored or permanently diverted intermittent and perennial (but not ephemeral) streams. Similar to the reforestation and revegetation requirements, the additional biomass along streams prescribed by the streamside vegetative corridors increases the terrestrial carbon sequestration potential of the mine landscape.

4.2.4.3 Analytic Methods for Estimating Impacts to Air Quality, Greenhouse Gas Emissions, and Climate Change

To evaluate the potential effects of the Action Alternatives on air quality, greenhouse gas emissions, and climate change, this analysis weighs the multiple relevant effects of implementing the Action Alternative elements. Specifically, it is important to consider the potential direction and magnitude of the following potential effects described above:

- 1) Changes in emissions from equipment and vehicles due to changes in haulage activities and in overall coal production levels;
- 2) Effects of reforestation and revegetation requirements on wind transport of materials;
- 3) Effects of reforestation and revegetation on terrestrial carbon sequestration;
- 4) Effects of reduced coal production on toxic emissions from blasting and fugitive methane emissions; and
- 5) Effects of reduced coal production and combustion on greenhouse gas emissions.

The focus of the quantitative analysis in this section is on the effect of the Action Alternatives on greenhouse gas emissions, in particular CO₂ emissions from coal and natural gas combustion, and methane emissions from coal and natural gas production activities. The assessment of impacts in Section 4.2.4.4 additionally includes a qualitative assessment of potential effects on vehicle and equipment emissions, wind transport of materials, terrestrial carbon sequestration, and emissions from blasting. This analysis is based on careful consideration of information on the potential direction and magnitude of these effects. The quantitative information related to benefits of greenhouse gas emissions reductions from coal combustion is only one factor in determining the net effect of the Action Alternatives on air quality. Of note, the monetized benefits of greenhouse gas emission reductions reflect avoided worldwide damages as greenhouses gases contribute to climate change and related damages globally.

As noted, the most significant quantified effect of the Action Alternatives on greenhouse gas emissions is associated with reductions in CO₂ emissions from coal combustion. Approximately 30 percent of total U.S. CO₂ emissions, 1.8 billion short tons, were generated by coal combustion in 2014 (U.S. EPA, 2016b). With respect to coal mining activities (as opposed to coal combustion), however, methane is the predominant greenhouse gas emissions source, accounting for the significant majority of greenhouse gas emissions. In 2013, the EPA's Greenhouse Gas Reporting Program (GHGRP) estimated that reporting mines produced 41.3 million tons of CO₂ equivalents (MMtCO₂e) of methane, compared to 0.2 MMtCO₂e of CO₂ and less than 0.05 MMtCO₂e of nitrous oxide (U.S. EPA, 2014h).

4.2.4.3.1 Method for Estimating Benefits of Reductions in Greenhouse Gas Emissions

As noted above, the quantified changes in emissions are not associated with changes in the management of coal mining operations as prescribed by the Action Alternatives. They are instead associated with the overall reduction in coal mining activity due to the increased cost of coal production. Consistent with the Council on Environmental Quality (CEQ) guidance to quantify both the direct and indirect GHG emissions from the proposed action, this analysis considers overall changes in coal combustion nationally due to implementation of the Action Alternatives. This analysis of greenhouse gas emissions reductions applies to the following steps.

4.2.4.3.2 CO₂ Emissions Reductions

- 1) **Quantify changes in CO₂ emissions from electricity sector associated with the Action Alternatives, as well as the No Action Alternative in year 2020.** The EVA model applied in the coal production analysis described in Section 4.1 additionally projects the associated CO₂ emissions from the electric power sector for the contiguous U.S. (the lower 48 states, exclusive of Washington, D.C.) for each year of the analysis. These estimates are inclusive of emissions from

coal combustion, as well as other sources, including natural gas combustion and renewable sources.

- 2) **Subtract CO₂ emissions for each Action Alternative from CO₂ emissions for the No Action Alternative to determine the net reduction CO₂ emissions.** For each Action Alternative, this subtraction reflects the change in CO₂ emissions reflecting the combined effect of reductions in emissions from coal combustion and increased emissions from natural gas combustion and other substitute sources of electricity production. The EVA model finds the lost generation from coal is made up for with increased natural gas fired plants. Given the relatively minor reduction in energy production from coal, the EVA model does not find an increase in generation from renewable sources nor does it project a net reduction in demand for energy.
- 3) **Monetize the reduction in CO₂ emissions applying well-accepted estimates of the social cost of carbon dioxide (SC-CO₂).** Reduced greenhouse gas emissions contribute to climate stabilization. To the extent that the Action Alternatives influence emissions, they also influence a variety of socioeconomic outcomes related to climate change, including agricultural productivity, human health, flooding damages, and various ecosystem services. The SC-CO₂ is applied in this analysis to estimate the benefits associated with CO₂ reductions. The SC-CO₂ is the monetized value of future worldwide damages associated with a one-ton increase in CO₂ emissions in a particular year discounted to the present. Alternatively, it represents the benefit of a one-ton CO₂ reduction. The Interagency Working Group (IWG) on the Social Cost of Greenhouse Gases issued guidelines to help agencies assess the climate change-related benefits of reducing carbon emissions and integrate these estimates into their assessments of regulatory impacts (IWG, 2016a). The Interagency guidance provides a social cost of carbon (SC-CO₂) dollar value based on the average of three specific models. The monetized climate-related benefits resulting from a reduction in CO₂ emissions is calculated by multiplying the estimated decrease in CO₂ emissions in a particular year by the SC-CO₂ value appropriate for that year and discounting to determine the present value in the analysis year.³⁴

4.2.4.3.3 CH₄ Emissions Reductions

The quantified change in methane emissions in this analysis accounts for fugitive emissions from field production of coal (associated with reduced production) and natural gas (associated with increased production), as well as emissions from vehicle and equipment use, and transportation and storage.

- 1) **Estimate the reduction in coal production associated with each of the Action Alternatives.** As summarized in Section 4.1, the EVA model reports coal production levels under the baseline No Action Alternative and each of the Action Alternatives. The reduction in coal production is the difference between production under the Baseline and under the Action Alternatives
- 2) **Estimate reduction in energy generation from coal (in gigawatt-hours (Gwh)).** This step relies on estimates of total Gwh of energy generated from coal production nationally divided by

³⁴ Alternatively, the SC-CO₂ can be used to estimate the impacts of emission increases. The methodology is the same as that to estimate benefits of emission reductions—multiplying the SC-CO₂ estimates for a specific year by emission changes in that same year—but represent the value of damages or costs associated with the increase in emissions rather than the benefits of avoiding those damages through reductions.

the amount of coal burned to produce that energy to determine an average emissions factor of Gwh/million tons of coal produced. This is then multiplied by the reduction in coal production (Step 4) for each alternative to calculate the reduction in Gwh of energy generated from coal.

- 3) **Calculate change in methane emissions from coal.** This analysis applies an emissions factor calculated based on a well-accepted and publicly available source of emissions information, the U.S. EPA April 2016 *Inventory of U.S. Greenhouse Gas Emissions and Sinks*, to estimate the change in methane emissions from reductions in coal production for the purposes of electric power generation (U.S. EPA, 2016b). The emissions factor is approximately 11.5 tons of CO₂ equivalents of methane per Gwh of energy production from coal. Multiplying this by the reduction in Gwh from coal energy calculates the reduction in methane emissions from coal. The methane emissions reductions reflect fugitive emissions from field production of coal, emissions from vehicle and equipment use, and transportation and storage.
- 4) **Estimate increase in energy generation from natural gas.** As identified by the EVA model, the total reduction in Gwh from coal is made up via additional production from natural gas.
- 5) **Calculate change in methane emissions from natural gas.** Similar to the coal methane emissions analysis, this step applies an emissions factor calculated based on the U.S. EPA, April 2016 *Inventory of U.S. Greenhouse Gas Emissions and Sinks* to estimate the change in methane emissions from an increase in energy generation from natural gas (U.S. EPA, 2016). The emissions factor is approximately 17.9 tons of CO₂ equivalents of methane per Gwh of energy production from natural gas. Multiplying this by the increase in Gwh from natural gas energy calculates the increase in methane emissions from natural gas. Again, the methane emissions increases here reflect fugitive emissions from field production of natural gas, emissions from vehicle and equipment use, and transportation and storage.
- 6) **Estimate net effect on methane emission.** The net effect on methane emissions takes into account the reduction from coal and the increase from natural gas. Because the emissions factor for natural gas methane emissions is greater, the net effect of the Action Alternatives is an increase in methane emissions.
- 7) **Monetize the change in CH₄ emissions applying estimates of the social cost of methane.** Similar to the monetization of the benefits from CO₂ emission reductions analysis described above, this step relies on valuing the climate damages associated with the CH₄ emissions increase with IWG recommended estimates of the social cost of methane (IWG 2016b), which similarly reflects climate change-related damages per additional unit of methane in the atmosphere. The monetized climate damages related to a specific proposed action is calculated by multiplying the estimated increase in CH₄ in emissions in a particular year by the social cost of methane value appropriate for that year and discounting to estimate the present value in the analysis year.

The results of this analysis are included in Table 4.2-30 and 4.2-31.

4.2.4.4 Assessment of Impacts to Air Quality, Greenhouse Gas Emissions, and Climate Change

The assessment of overall impacts to air quality, greenhouse gas emissions, and climate change considers the magnitude of the factors described in Table 4.2-29, as well as their combined effect under each Action Alternative.

Table 4.2-29. Adverse and Beneficial Effects of the Action Alternatives on Air Quality, Greenhouse Gas Emissions, and Climate Change

Factor	Potential Adverse Impacts of the Action Alternatives	Potential Beneficial Impacts of the Action Alternatives
Greenhouse Gas Emissions	Increased emissions of methane related to substitute energy production from natural gas Increased emissions (CO ₂ , N ₂ O) due to increased haulage.	Decreased CO ₂ emissions associated with reduced coal combustion Decreased levels of CO ₂ in the atmosphere due to increased terrestrial carbon sequestration potential of landscape given reforestation requirements.
Vehicle and Equipment Emissions	Increased emissions due to increased haulage.	Decreased emissions due to overall reductions in coal production levels.
Wind Transport of Dust	Increased due to increased haulage.	Decreased due to revegetation and reforestation requirements. Decreased due to overall reductions in coal production levels.
Release of Toxic Pollutants from Blasting	None.	Decreased due to overall reductions in coal production levels.

Notes: This table references the national level adverse and beneficial effects of these factors. There are limited differences from these findings at the regional scale under some Action Alternatives.

4.2.4.4.1 Potential Impacts on Vehicle and Equipment Emissions

The Action Alternatives may influence the emissions levels of criteria pollutants and greenhouse gases from vehicles and equipment (e.g., criteria pollutants include carbon monoxide, sulfur dioxide, nitrogen oxides, VOCs, and particulate matter (PM₁₀ and PM_{2.5}), greenhouse gases including CO₂ and nitrous oxide) both positively and negatively. As reduced coal mining activity levels are expected under the Action Alternatives (excluding Alternative 9), it is possible that vehicle and equipment use and associated air pollution would likewise be reduced. On the other hand, some elements of the Action Alternatives, such as requirements to filling streams with excess spoil, may increase the use of equipment and vehicles for hauling materials on the mine site, which would increase related emissions. While information limitations preclude quantifying this potential effect, the combined effect on equipment and vehicle emissions is most likely a minor, if any, difference from the No Action Alternative. The changes in levels of coal production are relatively minor across the Action Alternatives (each Action Alternative results in an average annual decrease of less than 0.5 percent of coal production, relative to projected baseline production). Furthermore, while the engineering analysis determined that placement of excess spoil outside of streams may potentially require additional travel for haulage, it is not anticipated to result in a substantial additional vehicle miles traveled and therefore not account for a substantial increase in associated emissions.

4.2.4.4.2 Potential Impacts on Particulate Matter and Wind Transport of Dust

Reforestation and vegetation requirements of the Action Alternatives may reduce the extent to which materials are exposed to wind erosion, reducing particulate matter concentrations in air. This benefit is likely a shorter term benefit, as under the No Action Alternative most postmining landscapes would eventually return to vegetated states. Reduced wind transport of dust is expected to be a relatively minor benefit in most regions, and a potentially greater benefit in Appalachia, which has a greater premining forest land cover profile, as described in Section 4.2.2. In addition, Alternatives 2, 4, and 7 prohibit burning of all vegetation or other organic materials, whereas Alternatives 3, 5, and 8 (Preferred) prohibit burning of aboveground debris from native vegetation. Reductions in the extent of burning that occurs on the mine site reduces the amount of airborne particulate matter, thus benefitting air quality at a local level.

4.2.4.4.3 Potential Impacts on Release of Toxic Pollutants from Blasting

None of the rule elements directly reduces or changes blasting practices. The overall reductions in coal production associated with the implementation of the Action Alternatives may, however, reduce overall levels of blasting activity. This benefit is likely negligible, however, as the reductions in coal production levels are modest and it is unclear whether these reductions would be associated with a reduced need for blasting.

4.2.4.4.4 Potential Impacts on Greenhouse Gas Emissions/Levels

In 2016, the Council on Environmental Quality (CEQ) released Final Guidance for Federal Departments and Agencies on Consideration of Greenhouse Gas Emissions and the Effects of Climate Change in National Environmental Policy Act Reviews. This section addresses key topics and concepts recommended in the CEQ guidance, as they relate to the Action Alternatives and their effect on greenhouse gas emissions and climate change. The findings draw on the conclusions discussed throughout this section.

The net impact of the Action Alternatives on emissions of greenhouse gases is difficult to predict with precision. As noted above, hauling vehicles, other heavy equipment, and blasting emit greenhouse gases such as CO₂, nitrous oxides, and methane. While the Action Alternatives are expected to have a Negligible effect on vehicle emissions and blasting, as described above, the Action Alternatives may affect greenhouse gas emissions in other ways. Predominantly, a reduction in coal combustion associated with the decreased coal mining activity generates a reduction in combustion-related CO₂ emissions. Second, the substitute energy generation from natural gas results in a net increase in methane emissions. Finally, reforestation and streamside vegetative corridor requirements of the Action Alternatives increase the terrestrial carbon sequestration potential of the landscape, reducing the level of greenhouse gases in the atmosphere. In these ways, the Action Alternatives (except Alternative 9) may result in climate change benefits due to net reduction in GHGs.

4.2.4.4.5 Estimated Changes in Greenhouse Gas Emissions

Table 4.2-30 summarizes the results of the greenhouse gas emissions analysis in terms of the benefit of the Action Alternatives in reducing greenhouse gases emitted into the atmosphere. The effect varies across the timeframe of the analysis based on the variation in impacts on coal production. Table 4.2-30 presents the results for the first year of the analysis (2020). This analysis does not quantify changes in emissions across the full timeframe of the analysis. This is because of the significant uncertainty with

respect to how GHG emissions may change in the baseline due to emerging regulations, voluntary programs, and industry initiatives. Instead, this analysis presents the results for a single year to demonstrate the magnitude of the potential beneficial effect. Overall, the Action Alternatives reduce CO₂ emissions from combustion and slightly increase methane emissions as production of the substitute natural gas generates greater methane emissions than coal production. However, the methane emissions increases (expressed as carbon dioxide equivalents for comparison purposes) are minor compared with the CO₂ emissions reductions.

Table 4.2-30. Potential Effects of Action Alternatives on Greenhouse Gas Emissions

Alternative	Change in CO ₂ Emissions in 2020 (short tons)	Change in CH ₄ Emissions in 2020 (short tons of CO ₂ equivalents)
2	(4,900,000)	28,000
3	(2,900,000)	20,000
4	(2,600,000)	18,000
5	(890,000)	8,600
6	(2,000,000)	14,000
7	(2,700,000)	18,000
8 (Preferred)	(2,600,000)	17,000
9	0	0
<p>Notes: Estimates are rounded to two significant digits and therefore may not sum to totals reported due to rounding. Parenthesis indicate negative numbers. The negative numbers for CO₂ represent a reduction in CO₂ and the positive numbers for methane represent an increase in methane emissions under the Action Alternatives. This analysis relies on emissions estimates from the U.S. EPA Greenhouse Gas Inventory, which reports CH₄ emissions from coal and natural gas production in terms of CO₂ equivalents applying a global warming potential (GWP) factor for methane of 25.</p> <p>Sources: CO₂ emissions estimates from Energy Ventures Analysis (EVA) Analysis, September 2016. CH₄ emissions rate information derived from: U.S. EPA, April 2016. Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2014. Tables 3-29 and 3-46.</p>		

While this table reflects the most significant sources of greenhouse gas emissions associated with coal production (and substitute natural gas production), multiple technological and economic factors complicate a precise accounting of the net effects of the Action Alternatives on greenhouse gas emissions from mining and fuel combustion:

- Data are not available to quantify the magnitude or direction (positive or negative) of all emissions-related changes at a given mine site. Elements of the Alternatives may generate counteracting effects for the coal that continues to be produced (e.g., while reductions in combustion may decrease emissions, increased use of vehicles and other equipment use may increase them). However, these types of emissions effects are likely to be minor when compared with the quantified changes in emissions from combustion. Furthermore, rule elements related to reforestation and revegetation may increase the terrestrial carbon sequestration potential of the postmining landscape, additionally reducing the negative effects of climate change.

- Significant uncertainty exists related to the regulation and management of greenhouse gas emissions from fossil fuel production. For example, to the extent that there is an increase in the practice of capture and reuse of greenhouse gases as part of natural gas or coal production, our baseline estimates of emissions could lead to an underestimate or overestimate of the climate benefits of the Action Alternatives.
- The analysis finds that foregone coal-generated energy is primarily substituted with natural gas (the level of substitute with renewables is insignificant). To the extent there are unexpected differences in the supply of natural gas over the timeframe of the analysis, the market could respond by substituting other fuels for coal and that overall change in coal production could be greater or lower.
- Data describing CO₂ (associated with coal mining as opposed to coal combustion) and nitrous oxide emissions are more limited than for methane; thus, this analysis is not able to quantify how emissions of these greenhouse gases would change in response to the Action Alternatives. While the GHGRP requires underground mines that emit more than 36.5 MMCF of natural gas annually to report CO₂ and nitrous oxide emissions, such a small percentage of mines are required to report that these data do not support generalized estimates of emissions factors. However, the available information from the GHGRP indicates that methane accounts for the vast majority of greenhouse gas emissions from coal mining. Specifically, in 2013, as noted above, reporting mines produced 41.3 MMtCO₂e of methane, compared to 0.2 MMtCO₂e of CO₂ and less than 0.05 MMtCO₂e of nitrous oxide. Given the relatively low emissions levels of these other pollutants, and assuming that emission trends are similar for surface mines and smaller underground mines (smaller than those reporting emissions), any changes in CO₂ and nitrous oxide emissions resulting from the Action Alternatives are likely to be Negligible.

For these reasons, a specific reduction in greenhouse gas emissions resulting from decreased coal production is difficult to predict with confidence. The results of this analysis, however, provide an order-of-magnitude perspective on the potential benefit.

4.2.4.4.6 Potential Effects on Terrestrial Carbon Sequestration

Each of the Action Alternatives (excluding Alternative 9) specifies additional reforestation/ revegetation and streamside vegetative corridor requirements. These changes expedite the return of postmining land to a native forest ecosystem and maintain streamside vegetative. While a primary objective of these requirements is reduction of erosion and sedimentation, trees and other vegetation remove CO₂ from the atmosphere and transform the carbon into biomass. This type of terrestrial carbon sequestration is enhanced by improved and expedited reforestation. Section 4.2.2 evaluates the benefits of the Action Alternative in terms of preserved (forest that is preserved from cutting for mining) and improved (better forest management practices) forest land. The evaluation of the terrestrial carbon sequestration benefits in this section accordingly reference the reforestation analysis described in Section 4.2.2, as increased forest results in increased terrestrial carbon sequestration potential.

4.2.4.4.7 Social Cost of Carbon

The monetized climate benefits of the Action Alternatives described in Table 4.2-31 are calculated by applying the net changes in emissions of each gas (CO₂ and CH₄), described in Table 4.2-30, to the estimates of the social cost of carbon and the social cost of methane, respectively, recommended by the

IWG (IWG 2016a, 2016b). The table describes the impacts within the first year of the analysis due to uncertainty regarding emissions profiles of the electricity sector in the future under the No Action and Action Alternatives (e.g., due to emerging regulations and voluntary programs).

The IWG guidance (IWG 2016a, IWG 2016b) relies on the use of three integrated assessment models (IAMs), three discount rates, and four scenarios to represent distributions for the global social cost of GHGs. Consistent with this guidance, Table 4.2-31b presents the results of the analysis for four valuation scenarios: three values are based on the average social cost of GHGs (i.e., CO₂ and CH₄) across models and socio-economic-emissions scenarios with discount rate assumptions of 2.5 percent, 3 percent, and 5 percent. The fourth valuation scenario reflects “higher-than-expected” economic impacts from climate change discounted at the 3 percent rate (the 95th percentile scenario). Due to uncertainty regarding the impacts of climate change and the social cost of GHGs, the IWG emphasizes the importance of presenting all of the scenarios in an analysis of changes in GHG emissions. The 3 percent discount rate average scenario represents the central estimate.

It is important to note that the social cost of greenhouse gas estimates applied in this analysis reflect the avoided worldwide damages from climate change. The IWG determined that consideration of a global measure of benefits from reducing U.S. GHG emissions is appropriate because anthropogenic climate change involves a global externality: emissions of most greenhouse gases contribute to damages around the world even when they are emitted in the United States, and conversely, greenhouse gases emitted elsewhere contribute to damages in the United States. Consequently, to address the global nature of the problem, estimates of the social cost of greenhouse gases must incorporate the full (global) damages caused by emissions. In addition, climate change presents a problem that the United States alone cannot solve. Other countries will also need to take action to reduce GHG emissions if significant changes in the global climate are to be avoided. Furthermore, adverse impacts on other countries can have spillover effects on the United States, particularly in the areas of national security, international trade, public health, and humanitarian concerns. Thus, the IWG concluded that a global measure of the benefits from reducing U.S. emissions is preferable.

Table 4.2-31a. Estimated Benefits of Greenhouse Gas Emissions Changes Resulting from the Action Alternatives

Alternative	Change in Worldwide Damages due to Reduction in CO₂ Emissions in 2020 (2014\$, 3% discount rate)	Change in Worldwide Damages due to Increase in CH₄ Emissions in 2020 (2014\$, 3% discount rate)
2	(\$210,000,000)	\$2,400,000
3	(\$130,000,000)	\$1,700,000
4	(\$110,000,000)	\$1,500,000
5	(\$38,000,000)	\$740,000
6	(\$85,000,000)	\$1,200,000
7	(\$120,000,000)	\$1,600,000
8 (Preferred)	(\$110,000,000)	\$1,500,000
9	\$0	\$0

Notes: Estimates are rounded to two significant digits and therefore may not sum to totals reported due to rounding. Parenthesis indicate negative numbers.
 The negative values for CO₂ emissions-related damage reductions reflect a benefit (i.e., a reduction in climate-related damages) whereas the positive values for increased CH₄ emissions represent a net cost (i.e., an increase in climate related damages).

Sources: CO₂ emissions estimates from Energy Ventures Analysis (EVA) Analysis, September 2016, as described in Table 4.2-29.

CH₄ emissions data from: U.S. EPA, April 2016. Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2014. Tables 3-29 and 3-46, as described in Table 4.2-29.

Social Cost of Carbon from: Interagency Working Group on Social Cost of Greenhouse Gases, United States Government. August, 2016. Technical Support Document: Technical Update of the Social Cost of Carbon for Regulatory Impact Analysis under Executive Order 12866.

Social Cost of Methane from: Interagency Working Group on Social Cost of Greenhouse Gases, United States Government. August, 2016. Addendum to Technical Support Document for Social Cost of Carbon: Application of Methodology to Estimate the Social Cost of Methane and the Social Cost of Nitrous Oxide.

Table 4.2-31b. Estimated Benefits of Greenhouse Gas Emissions Changes Resulting from the Action Alternatives Applying Alternative Discount Rate and Climate Change Scenario Assumptions

Alternative	Change in Worldwide Damages due to Reduction in CO ₂ Emissions in 2020 (millions, 2014\$)				Change in Worldwide Damages due to Increase in CH ₄ Emissions in 2020 (millions, 2014\$)			
	2.5% Discount Rate, Average	CENTRAL ESTIMATE 3% Discount Rate, Average	5% Discount Rate, Average	3% Discount Rate, 95 th Percentile	2.5% Discount Rate, Average	CENTRAL ESTIMATE 3% Discount Rate, Average	5% Discount Rate, Average	3% Discount Rate, 95 th Percentile
2	(\$324)	(\$210)	(\$54)	(\$620)	\$3.3	\$2.4	\$0.98	\$6.5
3	(\$190)	(\$130)	(\$32)	(\$370)	\$2.4	\$1.7	\$0.70	\$4.6
4	(\$170)	(\$110)	(\$29)	(\$330)	\$2.1	\$1.5	\$0.62	\$4.1
5	(\$58)	(\$38)	(\$9.8)	(\$110)	\$1.0	\$0.74	\$0.30	\$2.0
6	(\$130)	(\$85)	(\$22)	(\$250)	\$1.7	\$1.2	\$0.49	\$3.2
7	(\$180)	(\$120)	(\$30)	(\$350)	\$2.1	\$1.6	\$0.63	\$4.2
8 (Preferred)	(\$170)	(\$110)	(\$29)	(\$330)	\$2.4	\$1.5	\$0.60	\$4.0
9	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Notes: Estimates are rounded to two significant digits. Parenthesis indicate negative numbers. The negative values for CO₂ emissions-related damage reductions reflect a benefit (i.e., a reduction in climate-related damages) whereas the positive values for increased CH₄ emissions represent a net cost (i.e., an increase in climate related damages).

Sources: CO₂ emissions estimates from Energy Ventures Analysis (EVA) Analysis, September 2016, as described in Table 4.2-29. CH₄ emissions data from: U.S. EPA, April 2016. Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2014. Tables 3-29 and 3-46, as described in Table 4.2-29. Social Cost of Carbon from: Interagency Working Group on Social Cost of Greenhouse Gases, United States Government. August, 2016. Technical Support Document: Technical Update of the Social Cost of Carbon for Regulatory Impact Analysis under Executive Order 12866. Social Cost of Methane from: Interagency Working Group on Social Cost of Greenhouse Gases, United States Government. August, 2016. Addendum to Technical Support Document for Social Cost of Carbon: Application of Methodology to Estimate the Social Cost of Methane and the Social Cost of Nitrous Oxide.

4.2.4.5 Summary of Effects

The overall finding with respect to the impacts of the Action Alternatives on air quality, GHG emissions and climate change are based on both the qualitative and quantitative findings discussed above. While none of the Action Alternatives explicitly targets air quality resources, implementation of the elements of the Action Alternatives (except Alternative 9) have beneficial effects on air quality, greenhouse gas emissions, and climate change, in particular by reducing the overall level of coal production. In addition, the Action Alternatives (except Alternative 9) increase terrestrial carbon sequestration potential of the landscape due to reforestation and streamside vegetative corridor requirements of Alternatives. The Alternatives may also increase methane emissions from natural gas, and increase the use of equipment and vehicles to haul materials, and therefore increase other emissions from these sources. While data are not available to quantify with precision these potential effects of the Action Alternatives on emissions or

ambient air quality, the net effects to air quality, greenhouse gas emissions, and climate change are Beneficial (except under Alternative 9).

4.2.4.5.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in air quality, greenhouse gas emission, and climate change would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts on air quality and climate change associated with coal mining activities under the No Action Alternative.

A multitude of other past, present, and reasonably foreseeable future actions affect air quality and greenhouse gas emissions. Coal mining generally negatively affects air quality due to air emissions emanating from vehicle engines or explosives detonation, erosion and wind transport of dust, and release of fugitive methane emissions during mining activities. In a national-scope rulemaking such as the SPR, however, numerous other regulatory and non-regulatory actions influence air quality. While some air quality issues are local (toxic releases during blasting activities), others, such as greenhouse gas emissions and their relationship to climate change, have implications at the global scale. Air pollutant emissions are generally regulated and managed at both national and local scales, to minimize the effects of coal mining activity on air quality and global climate change. The effects of coal mining and coal combustion on air pollutant emissions are primarily regulated under the Clean Air Act; additionally, performance standards targeting reducing toxic emissions from blasting is managed under section 515 of SMCRA (30 U.S.C. §1265). Furthermore, permit programs for stationary sources, including federal requirements and state variations on those requirements, affect emissions of a range of pollutants. Regulations are also emerging to address limiting carbon emissions from power plants. Additional programs focused on promoting the recovery and use of coal mine methane may further reduce mining-related air pollutant emissions. On the other hand, continued population and economic trends will greatly affect air quality in any given region. Increased economic growth, population growth, expansion of road and highway systems, residential and commercial construction, and numerous other factors will affect air quality outcomes. A comprehensive accounting of factors affecting air quality in the coal regions under the No Action Alternative is beyond the scope of this analysis.

4.2.4.5.2 Alternative 2

The two key considerations for all Action Alternatives are effects of the Alternative on greenhouse gas emissions and on terrestrial carbon sequestration. Alternative 2 affects both emissions and terrestrial carbon sequestration to the greatest extent as it generates the greatest reduction in coal production and has the most significant reforestation and streamside vegetative corridor requirements.

4.2.4.5.3 Alternative 3

Alternative 3 will have a beneficial effect on air quality and climate change by reducing greenhouse gas emissions commensurate with the reduction in coal production projected for this Alternative.

4.2.4.5.4 Alternative 4

Alternative 4 will have a beneficial effect on air quality and climate change by reducing greenhouse gas emissions commensurate with the reduction in coal production projected for this Alternative.

4.2.4.5.5 Alternative 5

As explained in Chapter 2, Alternative 5 has little effect on any coal region other than the Appalachian Basin. Alternative 5 is projected to reduce coal production, however, as well as improve terrestrial carbon sequestration potential due to reforestation requirements in Appalachia. This Alternative is, therefore, anticipated to have a beneficial effect on air quality and climate change.

4.2.4.5.6 Alternative 6

Alternative 6 does not incorporate the same reforestation and revegetation requirements as other Action Alternatives and therefore is unlikely to generate terrestrial carbon sequestration benefits. The key effect of Alternative 6 on air quality and climate change is the reduction in GHG emissions from coal combustion. As with the other Action Alternatives, Alternative 6 is projected to have a beneficial effect on air quality and climate change.

4.2.4.5.7 Alternative 7

Alternative 7 applies to a more limited number of mine sites (i.e., those sites where enhanced permitting requirements apply). Alternative 7 will have a beneficial effect on air quality and climate change by reducing greenhouse gas emissions commensurate with the reduction in coal production projected for this Alternative.

4.2.4.5.8 Alternative 8 (Preferred)

Alternative 8 (Preferred) is similar to Alternatives 3 and 4 in terms of the potential terrestrial carbon sequestration benefits, although the estimate of preserved forest acres is slightly lower under Alternative 8 (Preferred) given the slightly lesser decrease in coal production. Alternative 8 is also similar to Alternatives 3 and 4 with respect to the projected impact on coal production, and therefore on GHG emissions. The overall effect of Alternative 8 is beneficial with respect to air quality and climate change.

4.2.4.5.9 Alternative 9

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on air quality, greenhouse gas emission, and climate change.

4.2.4.6 Potential Minimization and Mitigation Measures

While the overall effect of the Action Alternatives on air quality and climate change is beneficial, the Action Alternatives have some adverse effects. In particular, a slight increase on reliance on natural gas

for energy production due to reductions in coal production results in a net increase in methane emissions. Increase use of methane capture and reuse may reduce this adverse effect. However, the net effect of the Action Alternatives on total greenhouse gas emissions is positive; thus, increased recovery and reuse of methane emissions would result in even greater climate stabilization benefits of the Alternatives.

4.3 Social and Economic Resources

4.3.1 Socioeconomic Conditions

This section evaluates the potential impacts of the Action Alternatives on socioeconomic characteristics of the seven coal regions. Section 4.1 describes impacts specifically to the coal mining industry, while this section focuses on the effects of the Alternatives on the broader regional socioeconomic environment. This section:

- Describes the existing environment with respect to socioeconomic resources. For more details on the socioeconomic characteristics of the seven coal regions please refer to Section 3.14;
- Describes the potential effects of the Action Alternatives on employment, regional income, property value, tax revenues, and quality of life;
- Details the analysis conducted to determine the potential impacts to these resources under each Action Alternative and results of these analyses;
- Describes the uncertainty and limitations inherent in the analyses; and
- Describes potential minimization and mitigation measures that could be taken to offset potential adverse impacts.

4.3.1.1 Effects of the Current Environment (the No Action Alternative)

Section 3.14 characterizes the socioeconomic resources in each coal region, including demographics, employment, income, property values, tax revenues, and the quality of life. This section briefly discusses this information in the context of the No Action Alternative.

4.3.1.1.1 Demographics

None of the Action Alternatives are expected to produce economic or social impacts on a scale large enough to trigger demographic shifts on a regional basis, such as increasing the relative percentage of any age group.

4.3.1.1.2 Employment and Income

As noted in Chapter 3, coal mining accounts for 0.1 percent of national employment and 0.1 percent of national income. Coal mining-related employment is significantly higher in the Appalachian Basin than in the other coal regions. The general trends in coal market employment and income are anticipated to follow the expected trends in coal production between 2020 and 2040. As described in Section 4.1, total coal production is anticipated to decline over the study period, with annual production falling from 1.1 billion tons (1,106 million tons) in 2020 to 917 million tons in 2040 (a reduction of 162 million tons of coal). The decline in the Colorado Plateau is expected to be about 26 percent of its annual coal production in 2020. In the Appalachian Basin, the change in coal production represents 18 percent of 2020 coal production. In the Northern Rocky Mountains and Great Plains region, the expected decline amounts to about 15 percent of 2020 coal production. Declines in the Illinois Basin and the Western

Interior are 10 and seven percent of 2020 production, respectively. Last, the declines expected in the Gulf Coast and the Northwest both represent less than one percent of 2020 production in their respective regions.

4.3.1.1.3 Tax Revenues

As noted in Chapter 3, policies on taxing the coal mining industry vary from state to state. Many states levy a direct severance tax on extracted minerals. Severance taxes in some states are levied in the form of a percent of the value of the resources removed or sold and in other states as a per-ton fee. Severance taxes collected would be expected to follow a trend that is generally consistent with the future volume of coal produced under the No Action Alternative, as described above.

4.3.1.1.4 Property Values

Mining activities may suppress the value of surrounding properties through noise, aesthetic disturbance, and impacts to air and water quality under the No Action Alternative. For example, the presence of coal dust attributed to nearby coal mining activity has been shown to adversely affect property value in parts of Appalachia (Stockman, 2003). As coal production declines over time under the No Action Alternative, the associated water quality, air quality, and landscape aesthetic improvements may benefit nearby property values. In contrast, to the extent that employment opportunities are reduced due to reductions in coal mining activities under the No Action Alternative, demand for living in coal mining-dependent communities may decrease, which may reduce the value of residential properties in those communities under the No Action Alternative.

4.3.1.1.5 Quality of Life

Coal mining plays an important role in the culture and history of certain regions within the U.S. The industry has played a crucial role in the development and support of communities across the U.S. and often provides the nexus for social networks within these communities. As coal mining declines over time, the social fabric of particular communities may therefore be negatively affected. The quality of life in coal mining communities is also dependent on a reliable employment source. Where coal mining is a key employment opportunity, quality of life may be negatively affected by reductions in mining activity levels, depending on the level of alternative emerging industries and re-employment opportunities.

4.3.1.2 Action Alternatives and Potential Effects on Socioeconomic Conditions

This section focuses on the effects of the Alternatives on regional employment, regional income, property values, tax revenues, and the quality of life in coal-producing regions.

4.3.1.2.1 Employment

Forecast shifts in the geographic distribution of coal production, the manner in which coal is produced (e.g., surface versus underground), and the total quantity of coal produced, are expected to lead to changes in regional coal industry employment, even absent the implementation of the Action Alternatives. This section considers the potential for the Action Alternatives to affect employment in the coal mining industry (i.e., result in direct employment impacts), as well as employment in the broader regional economy (i.e., result in indirect and induced employment impacts to related economic sectors). The Action Alternatives (excluding Alternative 9) have the potential to result in both adverse as well as

beneficial effects on employment, varying by industry and region. Employment in the coal mining industry is expected to change as a result of several factors. The applicability of these factors varies by region. For example, a change in the costs of coal production across regions would shift the regional distribution of coal production or may decrease coal production overall. In these cases, regional reductions in mining employment or an overall decrease in mining-related job opportunities will occur. Individual coal regions may experience either an increase or decrease in mining-related employment, depending on how production levels shift between coal regions. All else equal, if Action Alternatives led to a shift from surface mining to underground mining, the number of mining jobs would increase as underground mining is generally more labor-intensive than surface mining.

Certain elements of the Action Alternatives may also increase employment demand within or, in some cases, outside of the mining sector through the introduction of compliance measures. First, some rule elements included under the Action Alternatives may increase employment demand for conducting additional environmental analysis, data collection, or sampling (Baseline Data Collection and Analysis; Monitoring During Mining and Reclamation; Mining Through Streams). Other rule elements may also require labor-intensive field practices (Activities In or Near Streams; Surface Configuration; Revegetation, Topsoil Management, and Reforestation; Wildlife Protection and Enhancement). The extent to which these elements would affect employment demand varies by Action Alternative, both because of differences in the scope of the elements and in the applicability of the elements under each Action Alternative. For example, under Alternatives 2, 3, 4, and 8 (Preferred), the rule elements defined for each Alternative apply to all mining activities, whereas under Alternatives 5, 6, and 7, the applicability of rule elements is more limited.³⁵ Alternative 9 is not expected to affect employment.

In addition to the direct employment effects within the mining industry, a change in the regional distribution of coal production may also affect employment in industries that provide goods and services to the coal industry or that otherwise rely on coal mining. To the extent that coal production decreases in a particular region, employment in these secondary industries may also be reduced. In contrast, employment in other energy sector industries could increase due to a shift toward substitute fuels (e.g., natural gas) to generate electricity. While increased natural gas demand could result in increased regional economic activity, the magnitude and location of these effects are uncertain, and thus are not quantified in this analysis.

The relationship between environmental regulation and employment is a subject debated within the academic literature. As developed in this chapter and as supported by economic theory, environmental regulation can increase production costs, which according to economic theory should raise prices, reduce demand, and ultimately put downward pressure on employment within a given industry. However, compliance with environmental regulation also typically introduces additional labor requirements. Several studies on the relationship between compliance with environmental regulation and employment topic have found that environmental regulation has a slightly positive overall impact, if any, on employment (Berman and Bui, 2001; Morgenstern, et al. 2002; Bezdek, et al. 2008, Belova, et al. 2013).

³⁵ As described in previous sections, under Alternative 5, the application of element components is limited to mining activities that result in placement of excess spoil outside the mined area or coal refuse disposal in perennial or intermittent streams. For Alternative 6, the application of components is limited to stream buffer zones. Under Alternative 7, the rule elements apply when certain conditions exist that warrant enhanced permitting requirements.

It should be noted, however, that the literature does not specifically address the relationship between environmental regulation and labor demand in extractive industries such as coal mining. Therefore, the precise relationship examined in this analysis has not been examined in available published literature. Subsection 4.3.1.3 quantifies direct employment impacts to the mining industry.

4.3.1.2.2 Income

The income effects of the Action Alternatives are associated with employment effects, as described above, and may be either beneficial or adverse, depending on the Action Alternative and potential for shifts in coal production between regions or mining methods. Regions that experience a decrease in coal production may experience lower employment and associated income in both the mining industry and in industries providing goods and services to mining operations or that otherwise rely on coal mining. Industry implementation-related requirements imposed on mine operations by the Action Alternatives may result in some increased demand for employment. Some additional jobs created by the Action Alternatives may differ in skill requirements from the production-oriented jobs that would be reduced due to decreased coal production. It is not certain whether particular individuals would seek job retraining. Note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons. See the above discussion of employment effects for the specific elements of the Action Alternatives that introduce new industry implementation-related work requirements to mine operations. Subsection 4.3.1.3 quantifies direct labor income impacts to the mining industry.

4.3.1.2.3 Tax Revenue

Where implementation of an Action Alternative generates changes in volume of coal mined or in the location of coal mining activity, severance tax revenues as well as other taxes levied by state and/or tribal governments on coal extraction/production may be affected.³⁶ That is, a decrease in coal production as a result of an Action Alternative would result in collection of less revenue from coal severance and other related taxes in jurisdictions where such a change in production occurs.³⁷ Depending on the scale of the reductions in coal production, reduced tax collections could have important implications, particularly for local governments. On the other hand, some reductions in tax revenue associated with reduced coal production may also be replaced by new tax revenues collected on the extraction of substitute fuels, such as natural gas. However the locations for extraction of substitute fuels may differ from those of coal production; thus, the increases in taxes collected from natural gas may not be experienced by the communities which lose tax revenues from coal production. These effects would be less pronounced at the state or regional level. A net reduction in tax revenues could affect the level of funding for public services supported by the lost tax revenue. The demand for some public services, such as road maintenance, may also decrease if mining activity decreases. Conversely, the demand for other services,

³⁶ Severance taxes are taxes levied on non-renewable resources upon extraction.

³⁷ Some states base their coal severance taxes on the gross value of coal. Therefore, to the extent that production decreases lead to higher coal prices, tax impacts may be mitigated.

such as certain social services, may increase as mining activity decreases. Depending on the severity of the changes in tax collections, reductions in localized tax revenue collection could lead to declining quality of life conditions and ensuing population declines.

Subsection 4.3.1.5 evaluates the potential effects of the Action Alternatives on coal tax revenue in coal-producing states.

4.3.1.2.4 Property Values

As noted above, mining activities may suppress the value of surrounding land through increased noise, aesthetic disturbance, and impacts to air and water quality. To the degree that the Action Alternatives result in benefits to local water quality, forested acreage, and available recreational resources in and around the mine site, property values may be positively impacted.

Water quality improvements in particular may contribute to improved aesthetic conditions, increased recreational opportunities, and real or perceived human health and ecological risk reductions. These benefits may be realized as increases in property values. The economics literature demonstrates that water quality improvements can positively affect nearby property values. For example, properties may benefit from: improved views if the water quality improvements repair visual disamenities in the water (such as abundant algae); greater quality of water-related recreational opportunities; and/or healthier aquatic ecosystem habitats. The majority of the economics literature valuing water quality improvements considers how improvements in water clarity or turbidity affect property values near water bodies (see e.g., Walsh, et al., 2011; Ara, et al., 2006; Kashian, et al., 2006; Krysel, et al., 2003; Gibbs, et al., 2002). However, some studies demonstrate that other water quality characteristics also affect property values, including algal blooms, level of dissolved inorganic nitrogen, and total suspended solids (TSS) (Leggett and Bockstael, 2000; Poor, et al., 2007). Thus, to the extent that Action Alternatives benefit water quality, property values could also benefit.

In addition, to the extent that coal regions experience a reduction in coal mining activity or a shift from surface to underground mining, localized impacts on property value may occur. Some rule elements also may also improve property amenities, such as requiring mining operations to incorporate improvements in the aesthetics of mined land or to improve the quality of the reclaimed land.

In contrast to the potentially beneficial effects, adverse impacts to property values could also occur if the Action Alternative results in decreased coal employment in communities that are particularly dependent on it. The extent to which Action Alternatives would result in changes to property values when compared to the No Action Alternative varies across regions and Action Alternatives. Subsection 4.3.1.4 evaluates the potential effects of the Action Alternatives on property values in coal-producing regions.

4.3.1.2.5 Quality of Life

As noted above, coal mining plays an important role in the culture and history of certain regions within the U.S. The industry has played a crucial role in the development and support of communities across the U.S. and can provide the nexus for social networks within these communities. To people living in areas where coal mining is deeply entrenched within the culture, a reduction in mining activity may represent not only reductions in income but also a loss of identity and culture.

In addition, in areas that rely heavily on coal mining employment, reduced mining activity may affect the livelihood of the community. Individuals and families may rely on the availability of mining jobs to provide income and benefits important to their well-being, such as health insurance. To the extent that impacts of the proposed action are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity. In addition, coal companies may have a philanthropic presence in communities; reduced mining could adversely affect these philanthropic activities. Depending on the severity of the observed changes, declining quality of life in coal-dependent communities could lead to population declines in those communities.

Many elements of the Action Alternatives may also offer quality of life benefits. For example, to the extent that implementation of certain elements of the Alternatives result in improved water and air quality, aesthetic benefits, and increased wildlife populations. Regional populations also may benefit from improved conditions and/or opportunities for recreational activities and health benefits (as described in other sections of this Chapter). Subsection 4.3.1.6 discusses the potential effects of the Action Alternatives on quality of life in coal-producing regions.

4.3.1.3 Employment Impact Analysis

4.3.1.3.1 Approach to Employment Analysis

The analysis of employment impacts estimates the effect of the Action Alternatives on employment in each of the coal regions for the 21-year period of study, from 2020 to 2040. For each Action Alternative, two primary factors drive the overall changes in employment: changes in coal production and additional work required to achieve compliance with the new requirements (referred to here as “industry implementation-related” effects on employment).

Direct effects are those brought about by production changes or additional work required by the Alternative. Indirect effects arise from the “ripple” effect of changes in coal production on local industries that provide goods and services to the coal industry. Induced effects arise from changes in household consumption due to changes in employment and associated income in a region. This analysis focuses on measurement of direct effects, though indirect and induced impacts may also occur. In this analysis, direct effects are measured in two categories:

- **Production-related employment effects:** These effects include changes in industry employment demand associated with changes in coal production that are associated with implementation of the Action Alternatives. Except for Alternative 9, where no changes are anticipated relative to the No Action Alternative, coal production-related employment effects associated with the Action Alternatives are generally anticipated to be negative, as overall coal production is expected to decline.
- **Industry Implementation-related employment effects:** These effects are changes in employment demand in the coal mining sector and other affected industries (not including government) that would occur due to proposed new requirements (e.g., additional employment demand needed to meet new landforming requirements on-site). The new requirements would generate additional need for labor and equipment to conduct hauling of materials; landforming; stream restoration and enhancement;

reforestation; information gathering for enhanced permitting; and various administrative activities. Specific requirements vary across the Action Alternatives. In general, these employment effects are positive, as the Action Alternatives, while experienced as a cost to the coal industry, generate demand for local goods and services.

This analysis presents results for each region that show the range of each Action Alternative’s potential incremental impacts (over and above what would be expected under the No Action Alternative), given current economic conditions, on these factors in a given year over the timeframe for the analysis.

The Action Alternatives (excluding Alternative 9) may generate indirect and induced effects. However, these are not reported here because of the uncertainty associated with these calculations.

4.3.1.3.2 Labor Intensity

Employment in the coal mining industry would adjust according to shifts in coal production across regions and mine types. The size of the employment impact depends on both the change in coal production and the labor effort required to achieve that production. Table 4.3-1 lists coal production by coal region and mine type in 2015. Regions where coal mining is more labor-intensive would experience a greater employment impact than areas where coal is more easily extracted, given the same shift in coal production. Labor requirements vary widely across regions and mine type. Table 4.3-2 describes average employment in 2015 for both surface and underground mining in the seven coal regions. Table 4.3-3 highlights the variation across regions and mine types in terms of mine operator full-time equivalents (FTE) per million tons of coal produced. These employee numbers are collected by MSHA from “reports by operators of mines for personnel directly engaged in production, cleaning, milling, shipping, development, and maintenance and repair work, including direct supervisory and technical personnel and contract mining services” (MSHA, 2015c). This statistic is reported as the standard measure of coal mine labor productivity, average production per employee per hour, in Table 4.3-4.

Extraction of coal from surface mines in the Appalachian Basin is relatively labor-intensive (i.e., requiring a high level of labor per ton of coal produced). As such, a small change in coal production would lead to a relatively large change in employment in this region. Surface mines in the Colorado Plateau and Northern Rocky Mountains and Great Plains regions require less labor per ton of coal produced; thus, a change in coal production would generate a relatively lower change in mining-related employment. Underground mining generally is more labor-intensive than surface mining. In particular, the Western Interior and Appalachian Basin regions are the most labor-intensive regions for underground coal mining.

Table 4.3-1. Coal Production by Region, 2015 (Million short tons)

Coal Region	Surface	Underground	Total
Appalachian Basin	54.8	167	222
Colorado Plateau	22.5	29.6	52.1
Gulf Coast	41.8	NA	41.8
Illinois Basin	27.5	96.4	124
Northern Rocky Mountains and Great Plains	440	13.6	454

Northwest	1.19	NA	1.19
Western Interior	1.44	0.48	1.62
Total	589	308	897

Source: MSHA. 2015. MSHA Annual Coal Production Data 2015. Provided by OSMRE April 12, 2016.
Note: Estimates may not sum to the totals reported due to rounding.

**Table 4.3-2. Average Employment by Coal Region and Mine Type, 2015
(Number of Employees)**

Coal Region	Surface	Underground	Total
Appalachian Basin	8,599	25,355	33,954
Colorado Plateau	1,318	2,420	3,738
Gulf Coast	3,230	0	3,230
Illinois Basin	2,148	8,195	10,343
Northern Rocky Mountains and Great Plains	8,804	969	9,773
Northwest	112	0	112
Western Interior	205	147	352
Total	24,416	37,086	61,502

Source: MSHA. 2015. MSHA Annual Coal Production Data 2015. Provided by OSMRE April 12, 2016.
Note: Includes all employees engaged in production, preparation, processing, development, maintenance, repair shop, or yard work at mining operations, including office workers. Excludes preparation plants with fewer than 5,000 employee hours per year, which are not required to provide data.

Table 4.3-3. Employment in Coal Industry per Million Tons of Coal Production, 2015

Coal Region	Surface	Underground
Appalachian Basin	276.3	282.5
Colorado Plateau	76.5	118.4
Gulf Coast	120.8	NA
Illinois Basin	116.9	146.4
Northern Rocky Mountains and Great Plains	31.7	97.5
Northwest	102.8	NA
Western Interior	264.8	372.8

Source: MSHA. 2015. MSHA Annual Coal Production Data 2015. Provided by OSMRE April 12, 2016
Note: This figure is calculated using 2015 estimates of the employment per million tons produced. To be conservative (i.e., more likely to overstate than understate impacts), the average of the least productive mines in each region that comprise at least 25 percent of total production in that region is used.

**Table 4.3-4. Worker Productivity (Average Production per Operator Employee Hour)
(short tons), 2015**

Coal Region	Surface	Underground
Appalachian Basin	1.7	1.7
Colorado Plateau	6.3	4.1
Gulf Coast	4.0	NA
Illinois Basin	4.1	3.3
Northern Rocky Mountains and Great Plains	15.2	4.9
Northwest	4.7	NA
Western Interior	1.8	1.3

Source: MSHA. 2015. MSHA Annual Coal Production Data 2015. Provided by OSMRE April 12, 2016.
Note: Derived from 2015 average workers per million tons of coal production. Assumes a single employee works 2080 hours per year.

4.3.1.4 Results of Employment Impacts Analysis

Estimated employment impacts vary from year to year and across regions and Alternatives. Tables 4.3-5 through 4.3-12 present the average annual impacts and the maximum and minimum annual impacts for each Alternative and region. Alternative 9 is not expected to result in production changes or employment effects and is therefore excluded from this discussion.

Definitions of the metrics presented in the tables are as follows:

- “Average over 21 years” is the average effect of the Alternative over the study period for the analysis on employment.
- “Range in any year” is the minimum and maximum effect on employment in any year in the study period.
- “Production-related employment effects” are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated the Alternatives.
- The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.
- The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.
- The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the combined impact is not always equal to the sum of the Surface and Underground ranges.
- “Industry implementation-related employment effects” are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related job effects are a function of all coal that is produced in any year in each region.

As shown, year to year variation reflects changes in coal production over the study period. In general, as U.S. coal production declines over the time period for the analysis, costs of compliance and associated reductions in production-related employment also decline. In general, regional employment impacts from changes in production are greatest in the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains regions. Industry implementation-related employment impacts occur more evenly across the regions, but are typically largest in the Appalachian and Illinois Basin regions. Forecasted changes in employment demand are both positive and negative. Decreases in expected employment demand appear in parentheses. The range in production-related employment values reported is driven by annual variability in proportions of mining by type (surface versus underground) and in the overall volume of coal produced.

4.3.1.4.1 Production-related Employment Effects

The volume of coal production nationally is expected to decrease under all the Action Alternatives (except Alternative 9), which would reduce employment in the coal industry as well as industries that process mined coal or provide goods and services to mining operations throughout the production process. Affected entities could include coal processing facilities, power plants, mining and construction equipment manufacturers, the coal transportation industry, and a variety of other local businesses located near mining operations in coal-producing regions. Decreased coal production would lower demand for these goods and services provided, which, in turn, decreases income and employment in these supporting industries. As stated above, to the extent that coal production is replaced by extraction of another domestic fuel supply, employment impacts could be mitigated at the regional or national level by increasing employment in industries that extract substitute fuels, such as natural gas.

As shown in Tables 4.3-5 through 4.3-12, production-related employment impacts for mine operations are greatest in Alternative 2, with a reduction of 270 full time equivalents (FTEs) per year on average. Alternatives 3 and 7 are expected to reduce FTEs by approximately 170 per year on average. Alternatives 4, 5, and 8 (Preferred) would cause smaller reductions in FTEs by approximately 150, 100, and 120 FTEs per year on average, respectively. Except for Alternative 9, Alternative 6 is expected to have the smallest reduction in FTEs with approximately 90 FTEs lost per year on average.

4.3.1.4.2 Industry implementation-related employment effects

As discussed in Section 4.3.1.2, increases in employment demand due to work requirements imposed on mining operations by the Action Alternatives could occur. These additional work requirements include performing inspections, conducting biological surveys, constructing digital elevation models, and other tasks that require specific expertise in these fields. Individual workers that are currently involved in coal production may require additional training to perform and benefit from these new work requirements. Other increased work requirements associated with elements contained in the Action Alternatives are expected to require similar skills as currently used by the industry (e.g., bulldozer operations).

Industry implementation-related employment effects would occur primarily in regions with the largest increases in compliance costs, particularly in the Appalachian and Illinois Basin regions. As shown in Tables 4.3-5 through 4.3-12, impacts to industry implementation-related employment is greatest under Alternative 2 with employment increasing by approximately 620 FTEs per year on average. Alternative 3 has impacts on industry implementation-related employment of 419 FTEs per year on average. Alternatives 5 and 6, which have more limited compliance areas, lead to 193 and 272 FTEs in industry implementation-related employment per year on average, respectively. Under Alternative 5, effects occur in the Appalachian Basin while under Alternative 6 effects are clustered in the Appalachian and Illinois Basin regions. Alternative 7, which also has targeted compliance efforts, leads to 252 FTEs in industry implementation-related employment per year on average. Alternative 8 (Preferred) is expected to have implementation-related employment increase of 280 FTEs per year on average. Alternative 4 has the lowest increase in implementation-related employment with an expected increase of 105 FTEs per year on average.

Table 4.3-5. Estimated Changes in Annual Employment under Alternative 2 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects ⁵ Underground ⁵	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(100)	(140)	(210)	400
	Range in any year: ²	(248) - (4)	(447) - (0,017)	(694) - (21)	339 - 439
Colorado Plateau	Average over 21 years:	0	0	0	10
	Range in any year:	0 - 1	0 - 1	(1) - 2	12 - 15
Gulf Coast	Average over 21 years:	(1)	0	(1)	48
	Range in any year:	(8) - 13	0 - 0	(8) - 13	45 - 50
Illinois Basin	Average over 21 years:	(8)	(44)	(52)	110
	Range in any year:	(30) - 0	(130) - 0	(159) - 0	81 - 148
Northern Rocky Mountains and Great Plains	Average over 21 years:	(4)	0	(4)	31
	Range in any year:	(12) - 6	0 - 0	(12) - 6	29 - 32
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	14
	Range in any year:	0 - 1	0 - 0	0 - 1	14 - 14
U.S. Total	Average over 21 years:	(100)	(180)	(270)	620
	Range in any year:	(278) - (11)	(576) - (17)	(854) - (28)	525 - 686

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² "Range in any year" is the minimum and maximum effect on employment in any year in the study period.

³ "Production-related employment effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

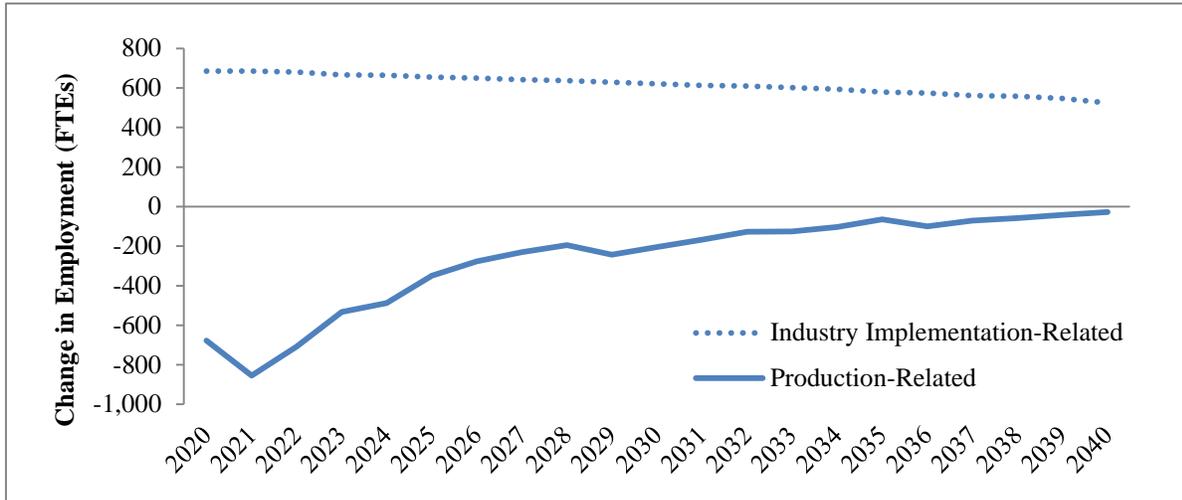
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related employment effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-1. Estimated Changes in Annual Employment under Alternative 2 Compared to the No Action Alternative, 2020-20140 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 2. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 2. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 2 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-6. Estimated Changes in Annual Employment under Alternative 3 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³	Production-Related Employment Effects	Production-Related Employment Effects	Industry implementation-related Employment Effects ⁷
		Surface ⁴	Underground ⁵	Surface and Underground Combined ⁶	
Appalachian Basin	Average over 21 years: ¹	(47)	(91)	(138)	246
	Range in any year: ²	(181) - (1)	(351) - 0	(531) - (1)	209 - 270
Colorado Plateau	Average over 21 years:	0	0	0	13
	Range in any year:	(1) - 1	(1) - 1	(2) - 2	11 - 14
Gulf Coast	Average over 21 years:	(1)	0	(1)	45
	Range in any year:	(9) - 4	0 - 0	(9) - 4	42 - 47
Illinois Basin	Average over 21 years:	(6)	(34)	(40)	77
	Range in any year:	(23) - 0	(102) - (1)	(121) - (1)	57 - 100
Northern Rocky Mountains and Great Plains	Average over 21 years:	0	0	0	29
	Range in any year:	(5) - 5	0 - 0	(5) - 5	27 - 30
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	9
	Range in any year:	0 - 0	0 - 0	0 - 0	9 - 9
U.S. Total	Average over 21 years:	(54)	(125)	(178)	419
	Range in any year:	(209) - (2)	(445) - 0	(654) - (2)	360 - 460

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² "Range in any year" is the minimum and maximum effect on employment in any year in the study period.

³ "Production-related employment effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

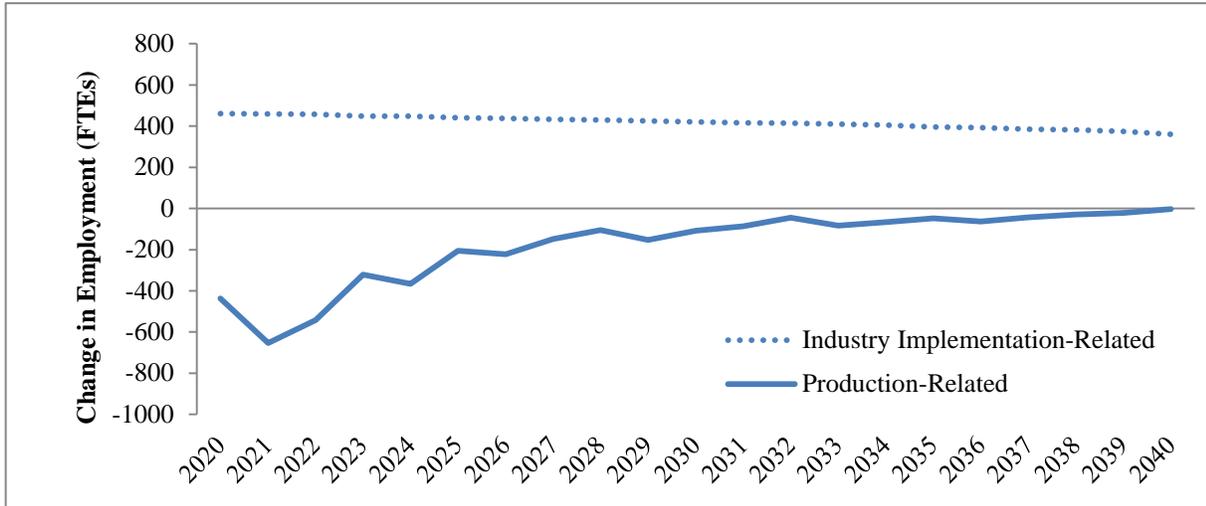
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related employment effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-2. Estimated Changes in Total Annual Employment under Alternative 3 Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 3. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 3. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 3 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-7. Estimated Changes in Annual Employment under Alternative 4 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects Underground ⁵	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(37)	(77)	(114)	14
	Range in any year: ²	(150) - (2)	(314) - (3)	(463) - (5)	12 - 16
Colorado Plateau	Average over 21 years:	0	0	0	5
	Range in any year:	(1) - 1	(1) - 0	(2) - 1	4 - 6
Gulf Coast	Average over 21 years:	(1)	0	(1)	21
	Range in any year:	(16) - 10	0 - 0	(16) - 10	20 - 22
Illinois Basin	Average over 21 years:	(6)	(34)	(40)	46
	Range in any year:	(22) - 0	(103) - 0	(125) - 0	31 - 64
Northern Rocky Mountains and Great Plains	Average over 21 years:	1	0	1	12
	Range in any year:	(5) - 8	0 - 0	(5) - 8	11 - 12
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	7
	Range in any year:	0 - 0	0 - 0	0 - 0	7 - 7
U.S. Total	Average over 21 years:	(44)	(111)	(154)	105
	Range in any year:	(161) - (2)	(417) - (3)	(579) - (11)	88 - 124

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² "Range in any year" is the minimum and maximum effect on employment in any year in the study period.

³ "Production-related employment effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

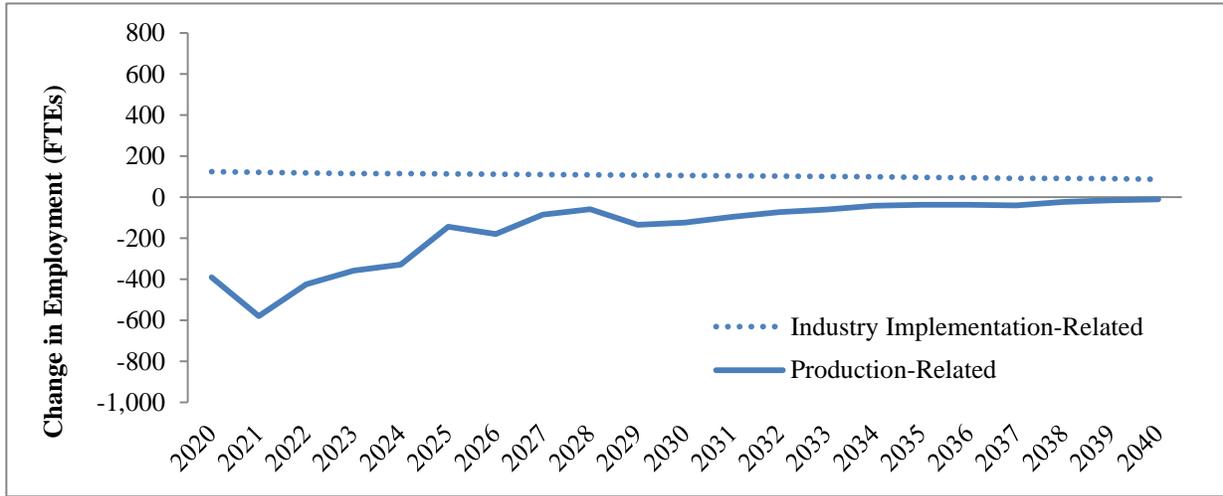
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related employment effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-3. Estimated Changes in Total Annual Employment under Alternative 4 Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 4. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 4. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 4 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-8. Estimated Changes in Annual Employment under Alternative 5 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects Underground ⁵	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(31)	(67)	(99)	193
	Range in any year: ²	(125) - (1)	(257) - 0	(381) - (2)	164 - 212
Colorado Plateau	Average over 21 years:	0	0	0	0
	Range in any year:	(1) - 1	0 - 1	(1) - 2	0 - 0
Gulf Coast	Average over 21 years:	0	0	0	0
	Range in any year:	(6) - 7	0 - 0	(6) - 7	0 - 0
Illinois Basin	Average over 21 years:	0	0	0	0
	Range in any year:	(1) - 1	(7) - 7	(8) - 8	0 - 0
Northern Rocky Mountains and Great Plains	Average over 21 years:	(1)	0	(1)	0
	Range in any year:	(8) - 4	0 - 0	(8) - 4	0 - 0
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
U.S. Total	Average over 21 years:	(32)	(67)	(99)	193
	Range in any year:	(125) - (4)	(263) - 0	(388) - (5)	164 - 212

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² "Range in any year" is the minimum and maximum effect on employment in any year in the study period.

³ "Production-related employment effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

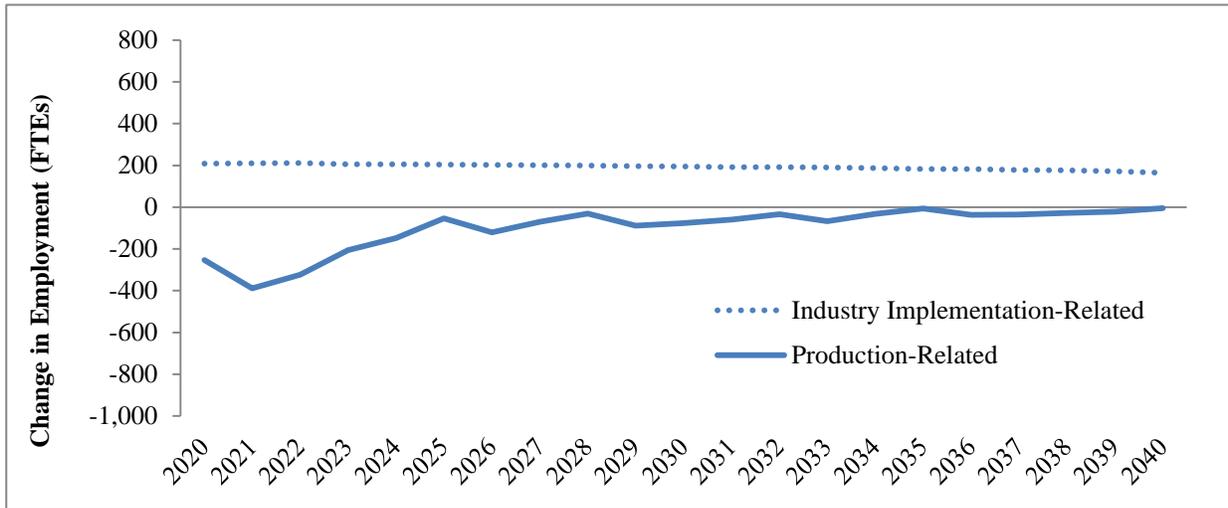
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related employment effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-4. Estimated Changes in Total Annual Employment under Alternative 5 Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 5. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 5. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 5 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-9. Estimated Changes in Annual Employment under Alternative 6 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects Underground ⁵	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(17)	(33)	(49)	88
	Range in any year: ²	(81) - 0	(163) - 14	(244) - 12	75 - 95
Colorado Plateau	Average over 21 years:	0	0	0	8
	Range in any year:	(1) - 1	0 - 0	(1) - 1	7 - 8
Gulf Coast	Average over 21 years:	1	0	1	31
	Range in any year:	(12) - 13	0 - 0	(12) - 13	29 - 33
Illinois Basin	Average over 21 years:	(6)	(31)	(36)	114
	Range in any year:	(24) - 0	(104) - 0	(128) - 0	82 - 152
Northern Rocky Mountains and Great Plains	Average over 21 years:	(1)	0	(1)	17
	Range in any year:	(10) - 5	0 - 0	(10) - 5	16 - 18
Northwest	Average over 21 years:	0	0	0	1
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 1
Western Interior	Average over 21 years:	0	0	0	15
	Range in any year:	0 - 0	0 - 0	0 - 0	15 - 15
U.S. Total	Average over 21 years:	(23)	(63)	(86)	272
	Range in any year:	(88) - 4	(247) - 14	(335) - 7	227 - 315

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² "Range in any year" is the minimum and maximum effect on employment in any year in the study period.

³ "Production-related employment effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

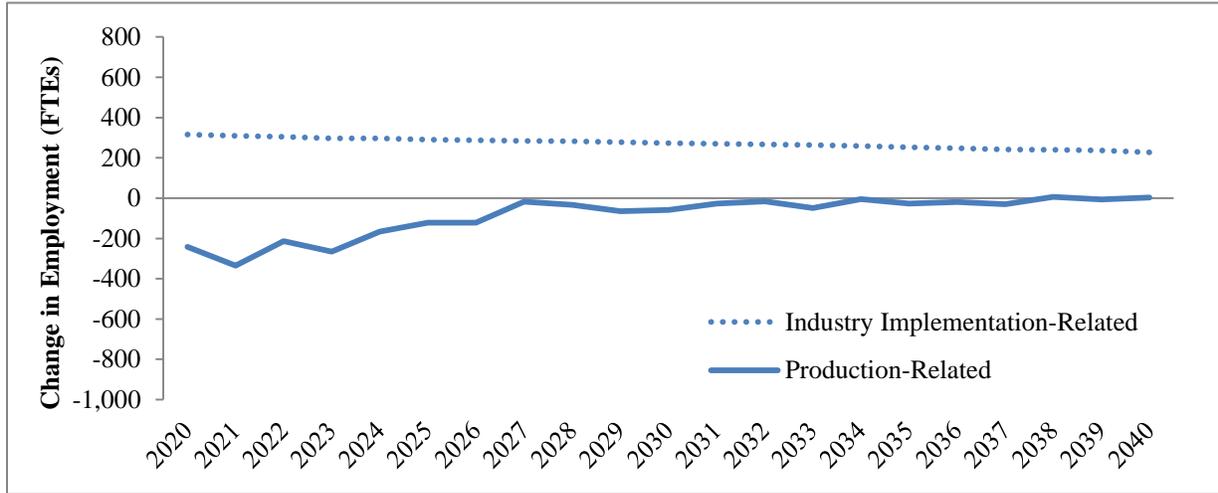
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related employment effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-5. Estimated Changes in Total Annual Employment under Alternative 6 Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 6. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 6. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 6 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-10. Estimated Changes in Annual Employment under Alternative 7 Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects Underground ⁵	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(38)	(82)	(121)	218
	Range in any year: ²	(147) - 0	(298) – 2	(445) - 1	185 - 239
Colorado Plateau	Average over 21 years:	0	0	0	8
	Range in any year:	(1) - 1	(1) – 1	(2) - 2	7 - 9
Gulf Coast	Average over 21 years:	0	0	0	8
	Range in any year:	(8) - 8	0 – 0	(8) - 8	8 - 9
Illinois Basin	Average over 21 years:	(8)	(42)	(50)	11
	Range in any year:	(26) - 0	(131) – 0	(157) - 0	8 - 14
Northern Rocky Mountains and Great Plains	Average over 21 years:	1	0	1	5
	Range in any year:	(7) - 7	0 – 0	(7) - 7	5 - 6
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 – 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	1
	Range in any year:	0 - 0	0 – 0	0 - 1	1 - 1
U.S. Total	Average over 21 years:	(45)	(124)	(169)	252
	Range in any year:	(166) - 1	(413) – 1	(580) - 1	215 - 275

¹“Average over 21 years” is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

²“Range in any year” is the minimum and maximum effect on employment in any year in the study period.

³“Production-related employment effects” are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

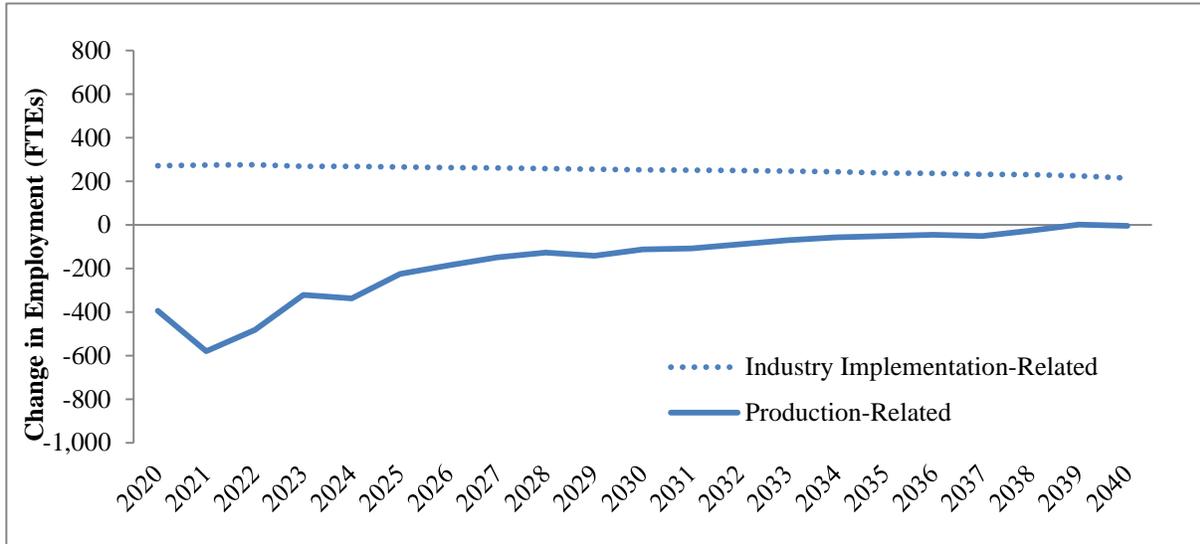
⁴The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷“Industry implementation-related employment effects” are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-6. Estimated Changes in Total Annual Employment under Alternative 7 Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 7. These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 7. This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 7 follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-11. Estimated Changes in Annual Employment under Alternative 8 (Preferred) Compared to the No Action Alternative, 2020-2040 (FTEs)

Region	Metric	Production-Related Employment Effects ³	Production-Related Employment Effects	Production-Related Employment Effects Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
		Surface ⁴	Underground ⁵		
Appalachian Basin	Average over 21 years: ¹	(25)	(52)	(77)	143
	Range in any year: ²	(122) - 0	(256) – 2	(378) - 3	122 - 156
Colorado Plateau	Average over 21 years:	0	0	0	10
	Range in any year:	(1) - 1	(1) – 1	(3) - 2	9 - 11
Gulf Coast	Average over 21 years:	0	0	0	32
	Range in any year:	(14) - 7	0 – 0	(14) - 7	30 - 33
Illinois Basin	Average over 21 years:	(6)	(39)	(45)	69
	Range in any year:	(22) - 0	(120) – 0	(140) - 0	52 - 88
Northern Rocky Mountains and Great Plains	Average over 21 years:	(2)	0	(2)	18
	Range in any year:	(9) - 4	0 – 0	(9) - 4	17 - 19
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 – 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	8
	Range in any year:	0 - 0	0 – 0	0 - 0	8 - 8
U.S. Total	Average over 21 years:	(33)	(92)	(124)	280
	Range in any year:	(136) - (1)	(374) – 1	(511) - (3)	240 - 309

¹ “Average over 21 years” is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

² “Range in any year” is the minimum and maximum effect on employment in any year in the study period.

³ “Production-related employment effects” are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

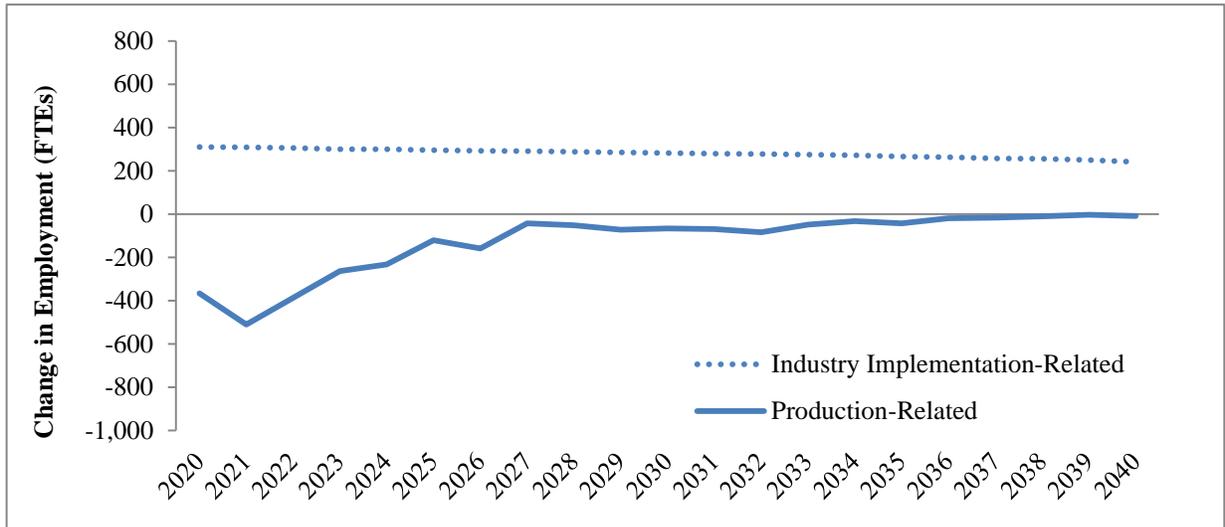
⁴ The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ “Industry implementation-related employment effects” are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

Figure 4.3-7. Estimated Changes in Total Annual Employment under Alternative 8 (Preferred) Compared to the No Action Alternative, 2020-2040 (FTEs)



Notes: “Production-related” are effects on employment associated with changes to coal production that are expected as a result of Alternative 8 (Preferred). These are calculated using assumptions related to employment per ton of coal produced. The production-related job losses are associated only with the coal that is not produced because of changes associated with Alternative 8 (Preferred). This volume also becomes smaller over time given that the industry is getting smaller over time. “Industry implementation-related” are effects on employment calculated as effects associated with changes to expenditures related to industry implementation of the Alternative and are calculated using assumptions related to employment demand per dollar spent on compliance. The industry implementation-related employment effects are a function of all coal that is produced in any year in each region. Thus, the level of industry implementation-related job effects of Alternative 8 (Preferred) follow the pattern of overall forecasted coal production. As shown, both the industry implementation-related and the production-related impacts of the Alternative are reduced over time. However, the slopes of these curves are not the same.

Table 4.3-12. Estimated Changes in Annual Employment (FTEs) under Alternative 9 Compared to the No Action Alternative

Region	Metric	Production-Related Employment Effects ³ Surface ⁴	Production-Related Employment Effects ³ Underground ⁵	Production-Related Employment Effects ³ Surface and Underground Combined ⁶	Industry implementation-related Employment Effects ⁷
Appalachian Basin	Average over 21 years: ¹	0	0	0	0
	Range in any year: ²	0 - 0	0 - 0	0 - 0	0 - 0
Colorado Plateau	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Gulf Coast	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Illinois Basin	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Northern Rocky Mountains and Great Plains	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Northwest	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
Western Interior	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0
U.S. Total	Average over 21 years:	0	0	0	0
	Range in any year:	0 - 0	0 - 0	0 - 0	0 - 0

¹“Average over 21 years” is the average annual effect of the Alternative over the study period for the analysis on employment (2020-2040).

²“Range in any year” is the minimum and maximum effect on employment in any year in the study period.

³“Production-related employment effects” are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced.

⁴The range of effects to Surface Employment represents the minimum and maximum effect in any year in the study period.

⁵The range of effects to Underground Employment represents the minimum and maximum effect in any year in the study period.

⁶The range of effects to Surface and Underground Combined employment represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷“Industry implementation-related employment effects” are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance.

4.3.1.5 Regional Income Impacts Analysis

The employment impacts from the Action Alternatives may affect regional income in some areas. These effects would be felt most in areas where coal mining contributes heavily to overall employment. Table 4.3-13 reports 2014 coal mining employment and annual payroll by state. Compared to other states, coal mining employment and payroll contributes most to employment in West Virginia and Wyoming, rendering these states most closely tied to shifts in coal production in those states. Income effects may also be felt most heavily in areas experiencing a relatively large shift in production as a result of an Action Alternative. In some parts of the Appalachian Basin region, the coal mining industry provides some of the highest-paying jobs in poor, rural communities. A decrease in mining-related employment may cut off an important source of income in areas that are primarily dependent on coal mining. This analysis estimates effects of each Action Alternative on labor income in coal regions based on the expected regional shifts in production and employment when compared to the No Action Alternative. Labor income is a measure of the employment income received in coal regions as part of the demand for employment, and includes wages, benefits, and proprietor income.

The analysis undertakes the following steps to estimate effects on labor income:

1. **Derive annual salaries:** The first step involves using the IMPLAN model to estimate typical annual salaries for workers for each region across all Alternatives.
2. **Apply salary coefficients to employment impacts:** The second step involves applying the estimated annual salaries to estimates of employment impacts by region. This generates estimates of the effects on labor income associated with employment effects of the Action Alternative. Impacts to labor income under the Action Alternatives represent the difference from labor income projections under the No Action Alternative, and may be adverse or beneficial. The analysis examines labor income effects from both production-related impacts and industry implementation-related impacts to employment.

Some increases in employment demand due to work requirements imposed on mining operations by the Action Alternatives could occur. These additional work requirements include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists) as part of compliance with some elements of the Action Alternatives. Other increased work requirements associated with elements contained in the Action Alternatives are expected to require similar skills as currently used by the industry (e.g., bulldozer operations). In general, while some of the increased employment demand may use existing mining labor skills (e.g., requirements that require additional earth moving), other employment demand from Action Alternatives may require other types of labor (e.g., biological monitoring, lab testing, paperwork). As noted above, some additional jobs created by the Action Alternatives may differ in skill requirements from the production-oriented jobs that would be reduced due to decreased coal production.

Estimated effects on labor income are directly associated with estimated effects on employment. Impacts to labor income may be beneficial in some years due to additional labor required for mine operations to achieve compliance with the Action Alternatives. Table 4.3-14 through Table 4.3-21 report the ranges of estimated impacts to annual labor income expected to result from the Action Alternatives. In sum:

- Under Alternative 2, production-related impacts to annual labor income are expected to range from an adverse impact of \$71 million to an adverse impact of \$2.4 million nationally. Industry implementation-related impacts are expected to range from an increase of \$44 million to \$57 million nationally;
- Alternative 3 is expected to result in production-related impacts to annual labor income ranging from negative \$54 million to negative \$0.2 million. Industry implementation-related impacts are expected to range from an increase of \$30 million to \$38 million nationally;
- Alternative 4 is expected to lead to production-related impacts to annual labor income nationwide, ranging from an adverse impact of \$48 million to an adverse impact of \$1.0 million. Industry implementation-related impacts are expected to range from an increase of \$7.4 million to \$10 million nationally;
- The production-related impacts to annual labor income under Alternative 5 range from negative \$32 million to negative \$0.4 million. Industry implementation-related impacts are expected to range from an increase of \$14 million to \$18 million nationally;
- Under Alternative 6, the production-related impacts are expected to range from a negative \$28 million to a positive \$0.5 million. Industry implementation-related impacts are expected to range from an increase of \$19 million to \$26 million nationally;
- Under Alternative 7, production-related impacts to annual labor income were determined to range from an adverse impact of \$48 million to a positive impact of \$0.1 million. Industry implementation-related impacts are expected to range from an increase of \$18 million to \$23 million nationally;
- Under Alternative 8 (Preferred), production-related impacts to annual labor income were determined to range from an adverse impact of \$42 million to an adverse impact of \$0.3 million. Industry implementation-related impacts are expected to range from an increase of \$20 million to \$26 million nationally;
- Finally, under Alternative 9, impacts to annual labor income are equivalent to the No Action Alternative. For comparison, Table 4.3-13 presents 2014 coal mining industry payroll at over \$7 billion.

Table 4.3-13. Coal Mining Employment and Annual Payroll by State, 2014

Geography	Number of Coal Industry Employees ¹	Contribution of Coal Industry Employees to Total Employment (%) ²	Coal Industry Employment Growth 2005 - 2014 (%) ¹	Coal Industry Annual Payroll 2014 (\$1000)	Coal Industry Contribution to Total State Annual Payroll (%) ⁴	Coal Industry Payroll Growth 2005 - 2014 (%) ³
Appalachian Basin						
West Virginia	18,330	3.19%	-1.51%	1,537,723	6.96%	19.49%
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Pennsylvania	7,938	0.15%	4.32%	602,585	0.24%	9.15%
Virginia	3,627	0.11%	-29.35%	378,321	0.24%	0.85%
Alabama	3,694	0.23%	-10.73%	296,586	0.46%	4.22%
Ohio	2,923	0.06%	15.35%	240,877	0.12%	32.71%
Tennessee	222	0.01%	-67.87%	*	-	-
Maryland	386	0.02%	-23.11%	15,746	0.01%	-
Colorado Plateau						
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Utah*	1,393	0.12%	-23.34%	110,912	0.23%	-20.11%
New Mexico	1,149	0.19%	-18.39%	235,579	1.00%	55.94%
Arizona	387	0.02%	-31.75%	*	-	-
Gulf Coast						
Texas	2,806	0.03%	27.78%	191,895	0.04%	60.61%
Louisiana	299	0.02%	23.55%	*	-	-
Mississippi	324	0.04%	67.88%	*	-	-
Illinois Basin						
Kentucky ^a	11,834	0.77%	-30.35%	857,223	1.42%	-14.62%
Illinois	4,218	0.08%	10.51%	301,699	0.11%	-0.06%
Indiana	3,810	0.15%	42.01%	276,539	0.26%	37.78%
Northern Rocky Mountains and Great Plains						
Wyoming	6,624	3.01%	31.17%	577,732	5.57%	42.14%
Colorado ^b	1,822	0.08%	-18.15%	171,535	0.15%	2.10%
Montana	1,320	0.36%	58.08%	*	-	-
North Dakota	1,285	0.36%	38.62%	*	-	-
Northwest						
Alaska	120	0.04%	23.71%	*	-	-
Western Interior						
Oklahoma	179	0.01%	-8.67%	14,235	0.02%	15.43%
Kansas	10	0.00%	-60.00%	*	-	-
Missouri	20	0.00%	-16.67%	*	-	-
Arkansas	84	0.01%	4100.00%	*	-	-
Total U.S.	74,931	0.06%	-5.49%	6,173,417	0.10%	11.74%

Sources: U.S. Energy Information Administration, 2005 and 2014 Annual Coal Reports (EIA-0584); U.S. Census Bureau, County Business Patterns 2005 and 2014 Data Releases.

*Annual payroll data were suppressed for states with low coal production in order to avoid disclosure of information about individual employers.

Table 4.3-14. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 2 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Industry implementation-related Labor Income Effects ⁷
		Surface ⁴	Underground ⁵	Surface and Underground Combined ⁶	
Appalachian Basin	Average over 21 years: ¹	(\$6.1)	(\$12.0)	(\$18.0)	\$33.0
Appalachian Basin	Range in any year: ²	(\$21.0) - (\$0.4)	(\$37.0) - (\$1.4)	(\$58.0) - (\$1.7)	\$28.0 - \$37.0
Colorado Plateau	Average over 21 years:	\$0	\$0	\$0	\$1
Colorado Plateau	Range in any year:	(\$0.0) - \$0.1	(\$0.0) - \$0.1	(\$0.1) - \$0.2	\$0.9 - \$1.2
Gulf Coast	Average over 21 years:	(\$0)	\$0	(\$0)	\$4
Gulf Coast	Range in any year:	(\$0.7) - \$1.1	\$0.0 - \$0.0	(\$0.7) - \$1.1	\$3.7 - \$4.1
Illinois Basin	Average over 21 years:	(\$1)	(\$4)	(\$4)	\$9
Illinois Basin	Range in any year:	(\$2.5) - \$0.0	(\$11.0) - (\$0.0)	(\$13.0) - (\$0.0)	\$6.7 - \$12.0
Northern Rocky Mountains and Great Plains	Average over 21 years:	(\$0)	(\$0)	(\$0)	\$3
Northern Rocky Mountains and Great Plains	Range in any year:	(\$1.2) - \$0.6	(\$0.0) - \$0.0	(\$1.2) - \$0.6	\$2.8 - \$3.1
Northwest	Average over 21 years:	\$0	\$0	\$0	\$0
Northwest	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0	\$0	\$0	\$1
Western Interior	Range in any year:	\$0.0 - \$0.1	\$0.0 - \$0.0	\$0.0 - \$0.1	\$1.2 - \$1.2
U.S. Total	Average over 21 years:	(\$7)	(\$15)	(\$22)	\$52
U.S. Total	Range in any year:	(\$23.0) - (\$1.0)	(\$48.0) - (\$1.4)	(\$71.0) - (\$2.4)	\$44.0 - \$57.0

¹“Average over 21 years” is the average annual effect of the Alternative over the study period for the analysis on labor income.

²“Range in any year” is the minimum and maximum effect on labor income in any year in the study period.

³“Production-Related Labor Income Effects” are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴The range of effects to labor income related to “Surface” represents the minimum and maximum effect in any year in the study period.

⁵The range of effects to labor income related to “Underground” represents the minimum and maximum effect in any year in the study period.

⁶The range of effects to labor income related to “Surface and Underground Combined” represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷“Industry implementation-related Labor Income Effects” are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-15. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 3 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³ Surface ⁴	Production-Related Labor Income Effects ³ Underground ⁵	Production-Related Labor Income Effects ³ Surface and Underground Combined ⁶	Industry implementation-related Labor Income Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(\$3.9)	(\$7.5)	(\$11.0)	\$20.0
	Range in any year: ²	(\$15.0) - (\$0.1)	(\$29.0) - \$0.0	(\$44.0) - (\$0.1)	\$17.0 - \$22.0
Colorado Plateau	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$1.0
	Range in any year:	(\$0.1) - \$0.1	(\$0.1) - \$0.1	(\$0.2) - \$0.1	\$0.9 - \$1.1
Gulf Coast	Average over 21 years:	(\$0.0)	\$0.0	(\$0.0)	\$3.7
	Range in any year:	(\$0.7) - \$0.4	\$0.0 - \$0.0	(\$0.7) - \$0.4	\$3.5 - \$3.8
Illinois Basin	Average over 21 years:	(\$0.5)	(\$2.8)	(\$3.3)	\$6.4
	Range in any year:	(\$1.9) - (\$0.0)	(\$8.4) - (\$0.1)	(\$10.0) - (\$0.1)	\$4.7 - \$8.3
Northern Rocky Mountains and Great Plains	Average over 21 years:	(\$0.0)	\$0.0	\$0.0	\$2.7
	Range in any year:	(\$0.4) - \$0.4	\$0.0 - \$0.0	(\$0.4) - \$0.4	\$2.6 - \$2.9
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.8
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.7 - \$0.8
U.S. Total	Average over 21 years:	(\$4.5)	(\$10.0)	(\$15.0)	\$35.0
	Range in any year:	(\$17.0) - (\$0.2)	(\$37.0) - (\$0.0)	(\$54.0) - (\$0.2)	\$30.0 - \$38.0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-16. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 4 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³ Surface ⁴	Production-Related Labor Income Effects ³ Underground ⁵	Production-Related Labor Income Effects ³ Surface and Underground Combined ⁶	Industry implementation-related Labor Income Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(\$3.1)	(\$6.4)	(\$9.5)	\$1.2
	Range in any year: ²	(\$12.0) - (\$0.2)	(\$26.0) - (\$0.2)	(\$39.0) - (\$0.4)	\$1.0 - \$1.3
Colorado Plateau	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	(\$0.1) - \$0.1	(\$0.1) - \$0.0	(\$0.1) - \$0.1	\$0.4 - \$0.4
Gulf Coast	Average over 21 years:	(\$0.0)	\$0.0	(\$0.0)	\$0.0
	Range in any year:	(\$1.3) - \$0.8	\$0.0 - \$0.0	(\$1.3) - \$0.8	\$1.6 - \$1.8
Illinois Basin	Average over 21 years:	(\$0.5)	(\$2.8)	(\$3.3)	\$3.8
	Range in any year:	(\$1.8) - \$0.0	(\$8.5) - (\$0.0)	(\$10.0) - (\$0.0)	\$2.6 - \$5.3
Northern Rocky Mountains and Great Plains	Average over 21 years:	\$0.1	(\$0.0)	\$0.1	\$1.1
	Range in any year:	(\$0.5) - \$0.8	\$0.0 - \$0.0	(\$0.5) - \$0.8	\$1.0 - \$1.2
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.6
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.6 - \$0.6
U.S. Total	Average over 21 years:	(\$3.6)	(\$9.2)	(\$13.0)	\$8.8
	Range in any year:	(\$13.0) - (\$0.1)	(\$35.0) - (\$0.3)	(\$48.0) - (\$1.0)	\$7.4 - \$10.0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-17. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 5 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³ Surface ⁴	Production-Related Labor Income Effects ³ Underground ⁵	Production-Related Labor Income Effects ³ Surface and Underground Combined ⁶	Industry implementation-related Labor Income Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(\$2.6)	(\$5.6)	(\$8.2)	\$16.0
	Range in any year: ²	(\$10.0) - (\$0.1)	(\$21.0) - (\$0.0)	(\$32.0) - (\$0.1)	\$14.0 - \$18.0
Colorado Plateau	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	(\$0.1) - \$0.1	(\$0.0) - \$0.1	(\$0.1) - \$0.2	\$0.0 - \$0.0
Gulf Coast	Average over 21 years:	(\$0.0)	\$0.0	(\$0.0)	\$0.0
	Range in any year:	(\$0.5) - \$0.6	\$0.0 - \$0.0	(\$0.5) - \$0.6	\$0.0 - \$0.0
Illinois Basin	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	(\$0.1) - \$0.1	(\$0.6) - \$0.6	(\$0.7) - \$0.7	\$0.0 - \$0.0
Northern Rocky Mountains and Great Plains	Average over 21 years:	(\$0.1)	\$0.0	(\$0.1)	\$0.0
	Range in any year:	(\$0.8) - \$0.4	\$0.0 - \$0.0	(\$0.8) - \$0.4	\$0.0 - \$0.0
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
U.S. Total	Average over 21 years:	(\$2.7)	(\$5.6)	(\$8.3)	\$16.0
	Range in any year:	(\$10.0) - (\$0.4)	(\$22.0) - \$0.0	(\$32.0) - (\$0.4)	\$14.0 - \$18.0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-18. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 6 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³ Surface ⁴	Production-Related Labor Income Effects ³ Underground ⁵	Production-Related Labor Income Effects ³ Surface and Underground Combined ⁶	Industry implementation-related Labor Income Effects ⁷
Appalachian Basin	Average over 21 years: ¹	(\$1.4)	(\$2.7)	(\$4.1)	\$7.3
	Range in any year: ²	(\$6.7) - \$0.0	(\$14.0) - \$1.2	(\$20.0) - \$1.0	\$6.2 - \$7.9
Colorado Plateau	Average over 21 years:	(\$0.0)	(\$0.0)	(\$0.0)	\$0.6
	Range in any year:	(\$0.1) - \$0.1	(\$0.0) - \$0.0	(\$0.1) - \$0.1	\$0.5 - \$0.7
Gulf Coast	Average over 21 years:	\$0.1	\$0.0	\$0.1	\$2.6
	Range in any year:	(\$1.0) - \$1.1	\$0.0 - \$0.0	(\$1.0) - \$1.1	\$2.4 - \$2.7
Illinois Basin	Average over 21 years:	(\$0.5)	(\$2.5)	(\$3.0)	\$9.4
	Range in any year:	(\$2.0) - \$0.0	(\$8.6) - (\$0.0)	(\$11.0) - (\$0.0)	\$6.8 - \$13.0
Northern Rocky Mountains and Great Plains	Average over 21 years:	(\$0.1)	(\$0.0)	(\$0.1)	\$1.6
	Range in any year:	(\$0.9) - \$0.5	\$0.0 - \$0.0	(\$0.9) - \$0.5	\$1.5 - \$1.7
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$1.3
	Range in any year:	(\$0.0) - \$0.0	\$0.0 - \$0.0	(\$0.0) - \$0.0	\$1.3 - \$1.3
U.S. Total	Average over 21 years:	(\$1.9)	(\$5.2)	(\$7.2)	\$23.0
	Range in any year:	(\$7.2) - \$0.3	(\$20.0) - \$1.1	(\$28.0) - \$0.5	\$19.0 - \$26.0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-19. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 7 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Industry implementation-related Labor Income Effects ⁷
		Surface ⁴	Underground ⁵	Surface and Underground Combined ⁶	
Appalachian Basin	Average over 21 years: ¹	(\$3.2)	(\$6.9)	(\$10.0)	\$18.0
	Range in any year: ²	(\$12.0) - (\$0.0)	(\$25.0) - \$0.1	(\$37.0) - \$0.1	\$15.0 - \$20.0
Colorado Plateau	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.6
	Range in any year:	(\$0.1) - \$0.1	(\$0.1) - \$0.1	(\$0.1) - \$0.2	\$0.6 - \$0.7
Gulf Coast	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.7
	Range in any year:	(\$0.7) - \$0.6	\$0.0 - \$0.0	(\$0.7) - \$0.6	\$0.6 - \$0.7
Illinois Basin	Average over 21 years:	(\$0.6)	(\$3.5)	(\$4.1)	\$0.9
	Range in any year:	(\$2.1) - \$0.0	(\$11.0) - (\$0.0)	(\$13.0) - (\$0.0)	\$0.6 - \$1.2
Northern Rocky Mountains and Great Plains	Average over 21 years:	\$0.1	(\$0.0)	\$0.1	\$0.5
	Range in any year:	(\$0.7) - \$0.7	(\$0.0) - \$0.0	(\$0.7) - \$0.7	\$0.5 - \$0.5
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.1
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.1	\$0.1 - \$0.1
U.S. Total	Average over 21 years:	(\$3.7)	(\$10.0)	(\$14.0)	\$21.0
	Range in any year:	(\$14.0) - \$0.1	(\$34.0) - \$0.1	(\$48.0) - \$0.1	\$18.0 - \$23.0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-20. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 8 (Preferred) Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Production-Related Labor Income Effects ³	Industry implementation-related Labor Income Effects ⁷
		Surface ⁴	Underground ⁵	Surface and Underground Combined ⁶	
Appalachian Basin	Average over 21 years: ¹	(\$2.0)	(\$4.3)	(\$6.4)	\$11.9
	Range in any year: ²	(\$10.2) - \$0.0	(\$21.3) - \$0.2	(\$31.5) - \$0.2	\$10.1 - \$13.0
Colorado Plateau	Average over 21 years:	(\$0.0)	(\$0.0)	(\$0.0)	\$0.8
	Range in any year:	(\$0.1) - \$0.1	(\$0.1) - \$0.1	(\$0.2) - \$0.2	\$0.7 - \$0.8
Gulf Coast	Average over 21 years:	(\$0.0)	\$0.0	(\$0.0)	\$2.6
	Range in any year:	(\$1.1) - \$0.6	\$0.0 - \$0.0	(\$1.1) - \$0.6	\$2.5 - \$2.7
Illinois Basin	Average over 21 years:	(\$0.5)	(\$3.2)	(\$3.7)	\$5.7
	Range in any year:	(\$1.8) - \$0.0	(\$9.9) - \$0.0	(\$11.6) - \$0.0	\$4.3 - \$7.3
Northern Rocky Mountains and Great Plains	Average over 21 years:	(\$0.2)	(\$0.0)	(\$0.2)	\$1.7
	Range in any year:	(\$0.9) - \$0.4	(\$0.0) - \$0.0	(\$0.9) - \$0.3	\$1.7 - \$1.8
Northwest	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.0
	Range in any year:	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0	\$0.0 - \$0.0
Western Interior	Average over 21 years:	\$0.0	\$0.0	\$0.0	\$0.7
	Range in any year:	(\$0.0) - \$0.0	\$0.0 - \$0.0	(\$0.0) - \$0.0	\$0.7 - \$0.7
U.S. Total	Average over 21 years:	(\$2.8)	(\$7.6)	(\$10.4)	\$23.4
	Range in any year:	(\$11.3) - (\$0.1)	(\$31.1) - \$0.1	(\$42.4) - (\$0.3)	\$20.1 - \$25.8

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

Table 4.3-21. Estimated Changes in Annual Labor Income (Millions of dollars) Under Alternative 9 Compared to the No Action Alternative

Region	Metric	Production-Related Labor Income Effects³ Surface⁴	Production-Related Labor Income Effects³ Underground⁵	Production-Related Labor Income Effects³ Surface and Underground Combined⁶	Industry implementation-related Labor Income Effects⁷
Appalachian Basin	Average over 21 years: ¹	\$0	\$0	\$0	\$0
	Range in any year: ²	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Colorado Plateau	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Gulf Coast	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Illinois Basin	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Northern Rocky Mountains and Great Plains	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Northwest	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
Western Interior	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0
U.S. Total	Average over 21 years:	\$0	\$0	\$0	\$0
	Range in any year:	\$0 - \$0	\$0 - \$0	\$0 - \$0	\$0 - \$0

¹ "Average over 21 years" is the average annual effect of the Alternative over the study period for the analysis on labor income.

² "Range in any year" is the minimum and maximum effect on labor income in any year in the study period.

³ "Production-Related Labor Income Effects" are calculated as effects associated with changes to coal production that are expected as a result of the Alternative. These are calculated using assumptions related to employment per ton of coal produced and labor income per FTE.

⁴ The range of effects to labor income related to "Surface" represents the minimum and maximum effect in any year in the study period.

⁵ The range of effects to labor income related to "Underground" represents the minimum and maximum effect in any year in the study period.

⁶ The range of effects to labor income related to "Surface and Underground Combined" represents the minimum and maximum impact in any year in the study period when the surface and underground mining effects are considered together. Because the minimum and maximum effects of the Alternative on surface and underground mining do not always occur in the same year, the Combined impact is not always equal to the sum of the Surface and Underground ranges.

⁷ "Industry implementation-related Labor Income Effects" are calculated as effects associated with changes to expenditures on industry implementation-related activities and are calculated using assumptions related to employment demand per dollar spent on compliance and labor income per FTE.

4.3.1.6 Property Value Impacts Analysis

Table 4.3-22 reports median home value in the coal regions in recent years. With the exception of the Northwest region, the median home value is lower within coal regions than in states as a whole.³⁸ Section 4.1 describes shifts in coal production expected under each of the Action Alternatives. A number of factors could contribute to property value effects associated with Action Alternatives at localized scale. These could include the following:

- More stringent requirements regarding topography and revegetation of reclaimed lands in the Action Alternatives may result in landscapes that resemble premining conditions more than would have been expected under the No Action Alternative. To the extent that buyers prefer a more natural landscape, property value benefits could occur in localized areas.³⁹
- Improved water quality near particular properties may also benefit property values (Poor, et al., 2007). These improvements may also benefit property values by increasing the quality or quantity of recreational opportunities that are available.
- If the rule results in reduced coal employment in a region, communities that are dependent on coal production (e.g., in the Appalachian Basin), could see demand for housing decline, with associated property value reductions on a local scale.
- When approximate original contour (AOC) variances are obtained, land is sometimes flattened in preparation for farming or development. If requirements of some Action Alternatives lead to fewer AOC variances, then they may reduce these opportunities, decreasing the resale value of the land.

Given the site-specific and contrasting potential effects of the Action Alternatives on property values, it is not possible to predict the direction of any impacts on property values at a regional or national scale.

³⁸ Statewide home values include urban and rural areas. Because coal mining largely occurs in rural areas, statewide home values may be an imperfect point of comparison, i.e., part of the differential attributed to coal mining may reflect a more general urban/rural disparity.

³⁹ These changes are similar to impacts achieved by low-impact development techniques, which have been demonstrated to improve property values (Ward, et al., 2008).

Table 4.3-22. Median Home Value in Coal Regions, 2011

Coal Region ¹	Geography	Median Home Value ²
Appalachian Basin	Within Region	\$112,413
	Statewide	\$174,551
Colorado Plateau	Within Region	\$193,367
	Statewide	\$209,077
Gulf Coast	Within Region	\$96,084
	Statewide	\$125,170
Illinois Basin	Within Region	\$130,478
	Statewide	\$163,414
Northern Rocky Mountains and Great Plains	Within Region	\$199,665
	Statewide	\$213,776
Northwest	Within Region	\$394,197
	Statewide	\$278,629
Western Interior	Within Region	\$104,353
	Statewide	\$122,718
U.S. Total	Within All Regions	\$151,493
	Nationwide	\$186,200

Source: U.S. Census Bureau, 2011a. American Community Survey 2007-2011 Five-Year Estimates.

¹ Statistics presented in the non-shaded rows account only for coal-producing counties within the coal region. Statewide statistics account for all states intersecting the coal region.

² Median reported value of owner-occupied housing units.

Note: This information is provided as background information in support of the discussions contained within the EIS. OSMRE has not updated this information since publication of the DEIS.

4.3.1.7 Tax Revenue Impacts Analysis

Severance tax revenue is directly related to the level of coal mining production activity. Thus, regulatory alternatives that reduce production in a given region will result in reduced severance tax revenues. Conversely, increased coal production would generate increased severance tax revenues. The precise relationship between coal production and severance tax revenues is complicated in some states. For example, some states only tax certain types of coal extracted or offer credits for particular extraction methods. For this reason, this analysis undertakes the following method to estimate impacts of the Action Alternatives on state tax revenues:

- 1. Derive effective tax rates.** The first step involves examining state tax codes for coal severance taxation rates. For some states, the severance tax rate is a simple dollar-per-ton multiplier, but many states vary the tax rate for different types of coal mining or provide tax credits and exemptions for certain types of mining. Some states calculate severance tax based on the gross value of severed coal.
- 2. Apply effective tax rates to production forecasted.** The second step involves multiplying the effective tax rates by estimates of future production for each state. The difference between estimated severance tax revenues under the Action Alternatives and baseline revenue forecasts represents the impact of the Final Rule to state severance tax revenues.
- 3. Derive annualized impacts.** The final step involves calculating the present value of tax revenue impacts in 2014 dollars, and annualizing the present value over the entire period of study. The analysis uses discount rates of three and seven percent (see RIA Appendix G).

Table 4.3-24 presents tax rates on coal severance by state. In order to create a forecast of potential impacts of the Action Alternatives on coal severance tax collections, an attempt was made to use reported tax rates to estimate actual total state severance tax collections. For states where estimates were accurate within a ten percent error bound, the analysis uses reported tax rates to estimate future severance tax revenues based on coal production projections.

In four states, the method for estimating severance tax revenues using reported tax rates resulted in estimates that differed by more than ten percent from actual reported revenues. These states (West Virginia, Arkansas, Montana, and Colorado) have tax provisions that make it difficult to forecast future revenues based on reported tax rates. For these states, the analysis uses an alternate production-to-tax-revenue multiplier to estimate future coal severance tax collections. This multiplier was calculated by dividing recent (2012) severance tax revenues by coal production levels in the same year. Table 4.3-24 presents the estimated tax rates used in this analysis for these states.

Table 4.3-23 reports recent severance tax revenues by state. In total, \$935 million was collected in severance tax revenues across all coal-producing states. West Virginia and Kentucky are estimated to bear over 95 percent of the lost severance tax revenues. These estimates are conservative for West Virginia and Kentucky as they are based on historic per-ton tax revenues while West Virginia and Kentucky severance taxes are based on the price of coal. As coal prices are expected to increase during the study period due to the Final Rule, the coal severance tax impacts of the Final Rule may be less than estimated here for West Virginia and Kentucky.

Estimated state coal severance tax impacts depend both on the severance tax rate and the magnitude of estimated production impacts. Tables 4.3-25 through Table 4.3-27 report estimated coal severance tax impacts by state and region. Impacts are reported as a total present value (in 2014 dollars) of all impacts over the study period, as well as annualized over 2020 to 2040 with a seven percent discount rate. Nationally, Alternative 2 is expected to result in an annualized decrease in state coal severance tax revenues of \$2.4 million. Under Alternative 3, the decrease in coal severance tax revenues is expected to be \$1.6 million annually. Alternative 4 is expected to result in an annualized decrease in coal severance tax revenues of \$1.3 million. Annualized decreases in national coal severance tax under Alternatives 5, 6, and 7 were calculated to be approximately \$0.9 million, \$0.7 million, and \$1.0 million, respectively. Under Alternative 8 (Preferred), the decrease in national coal severance tax revenues is also expected to be nearly \$1.0 million. Severance tax revenues are not expected to change from the base case under Alternative 9.

Table 4.3-23. Recent Coal Severance Tax Revenues Collected by State, (Millions of dollars)

State	Coal Severance Tax Revenues	Total State Tax Revenues	Contribution of Coal Severance to Total Taxes
Appalachian Basin			
Alabama ¹	\$5.0	\$9,294	0.05%
Kentucky ³	\$180	\$11,104	1.62%
Maryland ⁴	\$0	\$18,929	0.00%
Ohio ²	\$4.9	\$27,021	0.02%
Pennsylvania ⁴	\$0	\$34,193	0.00%
Tennessee ²	\$0.76	\$11,806	0.01%
Virginia	\$0	\$18,949	0.00%
West Virginia ³	\$376	\$5,380	6.98%
Colorado Plateau			
Arizona ⁴	\$0	\$13,084	0.00%
Colorado ²	\$8.0	\$11,755	0.07%
New Mexico	\$8.3	\$5,757	0.14%
Utah ^{2, 4}	\$0	\$6,312	0.00%
Gulf Coast			
Louisiana	\$0.43	\$9,695	0.00%
Mississippi ^{2, 4}	\$0	\$7,575	0.00%
Texas	\$0	\$55,261	0.00%
Illinois Basin			
Illinois ⁴	\$0	\$39,183	0.00%
Indiana ⁴	\$0	\$16,847	0.00%
Kentucky ³	\$180	\$11,104	1.62%
Northern Rocky Mountains and Great Plains			
Colorado ²	\$8.0	\$11,755	0.07%
Montana ²	\$62	\$2,656	2.33%
North Dakota ²	\$11	\$6,120	0.18%
Wyoming ²	\$270	\$2,263	11.91%
Northwest			
Alaska ²	\$0.10	\$3,393	0.00%
Western Interior			
Arkansas ³	\$0.01	\$8,937	0.00%
Kansas ²	\$0	\$7,334	0.00%
Missouri ⁴	\$0	\$11,241	0.00%
Oklahoma ⁴	\$0	\$9,103	0.00%
Total U.S.	\$935	\$372,641	0.25%

Sources: U.S. Census Bureau 2014 Annual Survey of State Government Tax Collections; Individual state revenue reports.

¹ State Coal Severance Tax Revenues are reported for the FY ending September 30, 2015. Total State Tax Revenues are reported for the calendar year ending December 31, 2014. These tax values do not include revenues collected by Tribal governments.

² State Coal Severance Tax Revenues are reported for the FY ending June 30, 2015. The Total State Tax Revenues are reported for the calendar year ending December 31, 2014. These tax values do not include revenues collected by Tribal governments.

³ State Coal Severance Tax Revenues are reported for the FY ending June 30, 2012. Total State Tax Revenues are reported for the calendar year ending December 31, 2014. These tax values do not include revenues collected by Tribal governments.

⁴ State does not collect coal severance taxes.

Notes:

Alaska - Severance tax revenues listed are those by the Usibelli Coal Mine, the only active coal mine in the state (accessed at <http://www.usibelli.com/pdf/McDowell-Report-Statewide-Socioeconomic-Impacts-of-UCM-20151.pdf>).

Arkansas - Severance tax revenues were not available for FY 2015 and the values listed represent FY 2012.

Kansas - Coal severance tax revenues are reported as a percentage of the Special County Mineral Tax Production Fund, which also includes oil and gas. Kansas collected \$8.5 million in this tax in the FY ending on June 30, 2015, but no tax revenues have been attributed to coal in recent years (Kansas Department of Revenue Annual Report, 2016).

Kentucky and Colorado - Two coal mining regions are present in Kentucky (Appalachia and Illinois Basin) and Colorado (Northern Rocky Mountains and Great Plains). Severance tax revenues are reported as a statewide total. Therefore, it is not possible to determine the severance tax contributions by each of the two coal mining regions in these states. As such, severance tax collections for Kentucky and Colorado are presented as being split evenly between the two coal regions they overlap, respectively.

New Mexico - Severance tax revenues listed are net of the Intergovernmental Tax Credits (ITC) afforded to taxed coal entities.

Virginia - While counties and municipalities may impose taxes on coal extracted, no coal severance tax revenues were reported in 2015.

Table 4.3-24. Reported Coal Severance Tax Rates by State

State	Severance Tax Type	Rate	Assumed Rate
Appalachian Basin			
Alabama¹	State Coal Severance Tax	\$0.335 per ton for the state.	\$0.335 per ton
	Local Coal Severance Tax	\$0.20 per ton in Jackson and Marshall County.	\$0.335 per ton
Kentucky¹	Coal Severance and Processing Tax	4.5% of gross value with a minimum tax of \$0.50 per ton. A credit is given to thin seam coal extraction on a scale from 2.25% to 3.75% of the coal value.	\$3.00 per ton for surface production and \$2.88 per ton for underground production.
Maryland	No Coal Severance Tax	NA	
Ohio¹	Coal Severance Tax	Base rate of \$0.10 per ton, plus an additional \$0.012 per ton on surface mined coal. An additional \$0.12 to \$0.16 per ton is levied on operations without a full cost bond and changes based on the amount remaining in the state Reclamation Forfeiture Fund at the end of each state budget biennium.	\$0.252 per ton for surface production and \$0.24 per ton for underground production.
Pennsylvania	No Coal Severance Tax	NA	
Tennessee¹	Coal Severance Tax	\$0.75 per ton on entire production of coal products in the state, regardless of place of sale or outside-of-state delivery.	\$0.75 per ton
Virginia	Local Coal Reclamation Tax	Any county or city may impose a severance tax on all coal within its jurisdiction. The rate of tax shall not exceed 1% of the gross receipts from such coal or gases.	
West Virginia²	Natural Resources Severance Tax	5% of gross value, with the following reduced rates for thin seam underground mining: 2% of gross value for seams with thickness between 37 and 45 inches and 1% of gross value for seams with thickness less than 37 inches.	\$3.757 per ton
Colorado Plateau			
Arizona	No Coal Severance Tax	NA	
Colorado²	Coal Severance Tax	\$0.842 per ton.	\$0.542 per ton.
New Mexico¹	Coal Severance Tax	\$0.57 per ton on surface coal and \$0.55 per ton on underground coal. The state also imposes a surtax on coal, which is increased on July 1 each year. The surtax in effect in Fiscal Year 2009 was \$0.83 per ton. Post-2011 renegotiated contracts are not subject to the surtax.	\$1.40 per ton for surface production and \$1.38 per ton rate for underground production (\$0.57/\$0.55 per ton rate plus \$0.83 per ton surtax).*
Utah	No Coal Severance Tax	NA	
Gulf Coast			
Louisiana¹	Natural Resources Severance Tax	\$0.12 per ton of lignite.	\$0.12 per ton
Mississippi	No Coal Severance Tax	NA	
Texas	No Coal Severance Tax	NA	
Illinois Basin			

Illinois	No Coal Severance Tax	NA				
Indiana	No Coal Severance Tax	AN				
Kentucky¹	Coal Severance and Processing Tax	4.5% of gross value with a minimum tax of \$0.50 per ton. A credit is given to thin seam coal extraction on a scale from 2.25% to 3.75% of the coal value.				\$3.00 per ton for surface production and \$2.88 per ton for underground production.
Northern Rocky Mountains and Great Plains						
Montana²	Coal Severance Tax	Heat Content	Surface	Auger	Underground	\$01.437 per ton
	Coal Severance Tax	<7,000 BTU	10% of value	3.75% of value	3% of value	\$01.437 per ton
	Coal Severance Tax	7,000 BTU	15% of value	5% of value	4% of value	\$01.437 per ton
North Dakota¹	Coal Severance Tax	\$0.375 per ton plus \$0.02 per ton for the Lignite Research Fund. Reduced rates apply to coal used in cogeneration facilities. No tax on coal used for the following: (1) to heat state buildings; (2) used by the state or political subdivision of the state; or (3) agricultural processing.				\$0.395 per ton
Wyoming¹	Coal Severance Tax	7% of taxable valuation of surface coal and 3.75% of taxable valuation of underground coal, with a maximum tax of \$0.60 per ton of surface coal and \$0.30 per ton of underground coal.				7% of gross value with \$0.60 per ton tax ceiling for surface production; 3.75% of gross value with \$0.30 per ton tax ceiling for underground production.
Northwest						
Alaska¹	Mining License Tax on Net Income	No tax if net income is \$40,000 or less; \$1,200 plus 3% of net income over \$40,000; \$1,500 plus 5% of net income over \$50,000; and \$4,000 plus 7% of net income over \$100,000.				Assumes a single mining operation in the highest tax bracket with net income greater than \$100,000. Estimates taxes based on gross value over \$100,000 rather than net income over \$100,000.
	Production Royalty on State Lands	NA				
Western Interior						
Arkansas²	Natural Resources Severance Tax	\$0.02 per ton of coal, lignite and iron ore plus an additional \$0.08 per ton on coal.				\$0.1325 per ton
Kansas	Minerals Severance Tax	\$1.00 per ton coal produced. Severance or production of the first 350,000 tons of coal at any mine is exempt from taxation.				Assumes all mining falls under small mine exemption, as no revenues were collected in 2009 or 2010.
Missouri	No Coal Severance Tax	-				
Oklahoma	No Coal Severance Tax	-				

Notes:

NA Not applicable

¹ Assumed tax rate for analysis is derived from reported tax rate.

² Assumed tax rate for analysis is derived by dividing 2012 coal severance tax revenues by 2012 coal production for West Virginia, Arkansas, Montana, and Colorado.

Sources: Alabama - §40-13-50, 40-13-61, Code of Alabama, 1975; Kentucky - KRS §143.020. KRS §143.010(13). KRS §143.010(14). KRS §143.021(3); Ohio - Ohio Revised Code (ORC) §5749.02(A)(1); ORC §5749.02(A)(8); ORC §5749.02(A)(9); Tennessee – Tennessee Code 67-7-104; West Virginia - West Virginia Code §11-13A; West Virginia Code §11-13V-4; Colorado – Quarterly Final Tax Rate for most recent reported quarter January 2010. Colorado Revised Statutes Regulations 39-29-106; New Mexico - 2010 New Mexico Statutes Annotated 1978 7-26-6; “Taxation of Coal and Other Energy Resources.” January 2009. New Mexico Taxation and Revenue Department; Louisiana - R.S. 47:633; Montana - Montana Code Annotated 15-35-103; North Dakota – North Dakota Century Code §57-61-01.1; Wyoming - Wyoming State Statutes §39-14-104; Alaska - Alaska Statute 43.65; Alaska Statute 38.05.212; Arkansas - Arkansas Code Annotated §26-58-101 et. seq.; Kansas – Kansas Statutes Annotated Chapter 79: Taxation, Article 42: Mineral Severance Tax.

Table 4.3-25 Estimated Changes in Coal Severance Tax Revenue under Action Alternatives 2-4 Compared to the No Action Alternative (\$2014), 2020 to 2040, Seven Percent Discount Rate

Region	Alternative 2 Net Present Value	Alternative 2 Annualized (2020-2040)	Alternative 3 Net Present Value	Alternative 3 Annualized (2020-2040)	Alternative 4 Net Present Value	Alternative 4 Annualized (2020-2040)
Appalachian Basin						
Alabama	(\$14,700)	(\$1,360)	(\$13,000)	(\$1,200)	(\$12,500)	(\$1,150)
Kentucky ¹	(\$4,530,000)	(\$418,000)	(\$3,120,000)	(\$288,000)	(\$2,690,000)	(\$249,000)
Ohio	(\$54,700)	(\$5,040)	(\$43,900)	(\$4,050)	(\$47,900)	(\$4,420)
Tennessee	(\$50,300)	(\$4,640)	(\$31,600)	(\$2,920)	(\$24,100)	(\$2,220)
West Virginia	(\$16,300,000)	(\$1,510,000)	(\$10,600,000)	(\$983,000)	(\$8,720,000)	(\$805,000)
Regional Total:	(\$21,000,000)	(\$1,930,000)	(\$13,900,000)	(\$1,280,000)	(\$11,500,000)	(\$1,060,000)
Colorado Plateau						
Colorado	(\$562)	(\$52)	\$1,090	\$100	(\$1,130)	(\$104)
New Mexico	\$34,200	\$3,160	\$11,700	\$1,080	(\$3,460)	(\$320)
Regional Total:	\$33,600	\$3,110	\$12,800	\$1,180	(\$4,590)	(\$424)
Gulf Coast						
Louisiana	(\$640)	(\$59)	(\$910)	(\$84)	(\$1,300)	(\$120)
Regional Total:	(\$640)	(\$59)	(\$910)	(\$84)	(\$1,300)	(\$120)
Illinois Basin						
Kentucky ¹	(\$4,530,000)	(\$418,000)	(\$3,120,000)	(\$288,000)	(\$2,690,000)	(\$249,000)
Regional Total:	(\$4,530,000)	(\$418,000)	(\$3,120,000)	(\$288,000)	(\$2,690,000)	(\$249,000)
Northern Rocky Mountains and Great Plains						
Montana	(\$78,400)	(\$7,240)	\$28,900	\$2,670	\$64,900	\$5,990
North Dakota	(\$55,500)	(\$5,120)	(\$58,500)	(\$5,400)	(\$58,200)	(\$5,370)
Wyoming	(\$340,000)	(\$31,400)	\$126,000	\$11,600	\$280,000	\$25,800
Regional Total:	(\$474,000)	(\$43,700)	\$96,300	\$8,890	\$286,000	\$26,400
Northwest						
Alaska	\$0	\$0	\$0	\$0	\$0	\$0
Regional Total:	\$0	\$0	\$0	\$0	\$0	\$0
Western Interior						
Arkansas	\$20	\$2	\$12	\$1	\$13	\$1
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Regional Total:	\$20	\$2	\$12	\$1	\$13	\$1
TOTAL	(\$25,900,000)	(\$2,390,000)	(\$16,900,000)	(\$1,560,000)	(\$13,900,000)	(\$1,280,000)

¹Production in Kentucky is evenly divided between the Appalachian Basin and Illinois Basin regions.

Note: All numbers rounded to three significant figures.

Table 4.3-26. Estimated Changes in Coal Severance Tax Revenue under Action Alternatives 5-7 Compared to the No Action Alternative (\$2014), 2020 to 2040, Seven Percent Discount Rate

Region	Alternative 5 Net Present Value	Alternative 5 Annualized (2020-2040)	Alternative 6 Net Present Value	Alternative 6 Annualized (2020-2040)	Alternative 7 Net Present Value	Alternative 7 Annualized (2020-2040)
Appalachian Basin						
Alabama	\$65	\$6	(\$14,800)	(\$1,370)	(\$16,800)	(\$1,550)
Kentucky ¹	(\$1,130,000)	(\$104,000)	(\$1,900,000)	(\$175,000)	(\$3,040,000)	(\$281,000)
Ohio	(\$42,500)	(\$3,920)	(\$17,100)	(\$1,580)	(\$52,800)	(\$4,870)
Tennessee	(\$20,800)	(\$1,920)	(\$11,400)	(\$1,060)	(\$24,500)	(\$2,260)
West Virginia	(\$7,600,000)	(\$701,000)	(\$3,900,000)	(\$360,000)	(\$9,050,000)	(\$835,000)
Regional Total:	(\$8,790,000)	(\$811,000)	(\$5,840,000)	(\$539,000)	(\$12,200,000)	(\$1,120,000)
Colorado Plateau						
Colorado	\$2,130	\$196	\$528	\$49	(\$1,820)	(\$168)
New Mexico	\$16,500	\$1,530	(\$9,780)	(\$902)	\$14,400	\$1,330
Regional Total:	\$18,700	\$1,720	(\$9,250)	(\$854)	\$12,600	\$1,160
Gulf Coast						
Louisiana	(\$95)	(\$9)	\$217	\$20	\$19	\$2
Regional Total:	(\$95)	(\$9)	\$217	\$20	\$19	\$2
Illinois Basin						
Kentucky ¹	(\$1,130,000)	(\$104,000)	(\$1,900,000)	(\$175,000)	(\$3,040,000)	(\$281,000)
Regional Total:	(\$1,130,000)	(\$104,000)	(\$1,900,000)	(\$175,000)	(\$3,040,000)	(\$281,000)
Northern Rocky Mountains and Great Plains						
Montana	(\$25,800)	(\$2,380)	(\$30,900)	(\$2,850)	\$72,100	\$6,650
North Dakota	\$1,300	\$120	(\$25,100)	(\$2,320)	(\$51,900)	(\$4,790)
Wyoming	(\$110,000)	(\$10,100)	(\$133,000)	(\$12,300)	\$310,000	\$28,600
Regional Total:	(\$134,000)	(\$12,400)	(\$189,000)	(\$17,500)	\$330,000	\$30,500
Northwest						
Alaska	\$0	\$0	\$0	\$0	\$0	\$0
Regional Total:	\$0	\$0	\$0	\$0	\$0	\$0
Western Interior						
Arkansas	\$2	\$0	\$7	\$1	\$15	\$1
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Regional Total:	\$2	\$0	\$7	\$1	\$15	\$1
TOTAL	(\$10,000,000)	(\$927,000)	(\$7,940,000)	(\$733,000)	(\$14,900,000)	(\$1,370,000)

¹Production in Kentucky is evenly divided between the Appalachian Basin and Illinois Basin regions.

Note: All numbers rounded to three significant figures.

Table 4.3-27. Estimated Changes in Coal Severance Tax Revenue under Action Alternatives 8-9, Compared to the No Action Alternative (\$2014), 2020 to 2040, Seven Percent Discount Rate

Region	Alternative 8 (Preferred) Net Present Value	Alternative 8 (Preferred) Annualized (2020-2040)	Alternative 9 Net Present Value	Alternative 9 Annualized (2020-2040)
Appalachian Basin				
Alabama	(\$13,200)	(\$1,220)	\$0	\$0
Kentucky ¹	(\$2,200,000)	(\$203,000)	\$0	\$0
Ohio	(\$33,200)	(\$3,060)	\$0	\$0
Tennessee	(\$16,400)	(\$1,510)	\$0	\$0
West Virginia	(\$5,950,000)	(\$549,000)	\$0	\$0
Regional Total:	(\$8,200,000)	(\$757,000)	\$0	\$0
Colorado Plateau				
Colorado	(\$2,940)	(\$272)	\$0	\$0
New Mexico	(\$12,700)	(\$1,170)	\$0	\$0
Regional Total:	(\$15,600)	(\$1,440)	\$0	\$0
Gulf Coast				
Louisiana	(\$586)	(\$54)	\$0	\$0
Regional Total:	(\$586)	(\$54)	\$0	\$0
Illinois Basin				
Kentucky ¹	(\$2,200,000)	(\$203,000)	\$0	\$0
Regional Total:	(\$2,200,000)	(\$203,000)	\$0	\$0
Northern Rocky Mountains and Great Plains				
Montana	(\$61,100)	(\$5,640)	\$0	\$0
North Dakota	(\$40,600)	(\$3,750)	\$0	\$0
Wyoming	(\$267,000)	(\$24,600)	\$0	\$0
Regional Total:	(\$369,000)	(\$34,000)	\$0	\$0
Northwest				
Alaska	\$0	\$0	\$0	\$0
Regional Total:	\$0	\$0	\$0	\$0
Western Interior				
Arkansas	\$9	\$1	\$0	\$0
Kansas	\$0	\$0	\$0	\$0
Regional Total:	\$9	\$1	\$0	\$0
TOTAL	(\$10,800,000)	(\$995,000)	\$0	\$0

¹Production in Kentucky is evenly divided between the Appalachian Basin and Illinois Basin regions.

Note: All numbers rounded to three significant figures

Outside of state taxes, two excise taxes are imposed by the federal government: The Abandoned Mine Lands Reclamation Tax (also known as the reclamation fee or AML fee) and the Black Lung Excise Tax (BLET). Whether these taxes will continue to be imposed prior to and during the study period is uncertain.⁴⁰ If either or both taxes are collected during the study period, revenue from them would be less than under the No Action Alternative because of reductions in coal production. The reclamation fee imposes a tax of \$0.28 per ton of coal produced by surface mining, \$0.12 per ton of coal produced by underground mining, \$0.08 per ton for lignite (30 U.S.C. § 1232).⁴¹ The Black Lung Excise Tax is taxed at a rate of \$1.10 on coal from underground mines, and \$0.55 on coal from surface mines, not to exceed 4.4 percent of coal sold by the producer (26 U.S.C. § 4121). The expected revenue from these taxes would vary because of differences in tax rates for surface and underground and from the differences in declines in coal tonnages for surface and underground mining. Less revenue would not necessarily result in short-falls for miner's compensation fund because the incidence of Black Lung would likely be reduced with reduced exposure to underground mining.

To the extent that taxes other than severance taxes, (such as ad valorem taxes, workers compensation taxes, corporate income taxes, sales and use taxes, AML fee, and BLET), are related to the level of coal production, regulatory alternatives that reduce production in a given region will result in reduced tax revenues. The precise relationship between coal production and tax revenues varies by tax type, and the overall impacts of the Action Alternatives on the revenues collected from these taxes would be difficult to track with certainty, as taxes are levied differently within states, often varying by County. However, as forecast elsewhere in this analysis, Action Alternatives are anticipated to reduce coal production. On average, the annual reduction in coal production across the Action Alternatives ranges from a decrease of 0.0 million tons (under Alternative 9) to a decrease of 1.3 million tons (under Alternative 2). , this decrease represents less than one percent of current (2015) U.S production. Thus, as is the case with severance tax impacts, the scale of impacts of changes to coal production is anticipated to be small. While some county and local governments rely heavily on the revenues from taxes on coal and other natural resource extraction, expected impacts of the Action Alternatives on these revenue streams will be relatively small. To illustrate the potential impacts of the Action Alternatives on these other taxes, OSMRE uses the example of ad valorem taxes in Wyoming and Alternative 8 (Preferred). Wyoming collected approximately \$240 million in ad valorem taxes on coal production in Fiscal Year 2015, with an average tax per unit of \$0.59 for surface coal, and \$1.78 for underground coal.⁴² Under Alternative 8 (Preferred), the average annual projected decrease in coal production in Wyoming is anticipated to be 0.7 million tons of coal between 2020 to 2040 (in the primary base case). As such, Alternative 8 (Preferred) would be expected to result in an annual reduction in ad valorem tax revenue across all recipient Wyoming counties of approximately \$23,000 annually (\$2014, seven percent discount rate). This would represent a decrease, when compared with Wyoming ad valorem tax revenues collected in 2015, of approximately 0.01 percent.

⁴⁰ Collection of the reclamation fee is scheduled to end September 30, 2021 (30 U.S.C. § 1232(a)).

⁴¹ The reclamation fee may be based on a percentage of the value of the coal if that specified percentage is less than the per ton rate (30 U.S.C. 1232(a)).

Additional taxes are contingent on the value of coal sales or coal property rather than on the value or level of production. These taxes include property taxes, workers compensation taxes, corporate income taxes, and sales and use taxes. These taxes would be reduced when corporate revenues and/or employment is reduced. Impacts on these taxes are not quantified in the analysis, but are anticipated to be small given the overall scale of the anticipated impacts of the Action Alternatives on coal production.

4.3.1.8 Quality of Life Impacts Analysis

The coal mining industry has historically brought high-paying jobs to rural areas, particularly in parts of Central Appalachia. The Action Alternatives may impact the quality of life in coal-producing regions either through regional shifts in coal production or overall reduction of coal produced when compared to the No Action Alternative. A decrease in overall coal production caused by the Action Alternatives would contribute to the recent downward trend in coal industry production, putting further stress on communities already experiencing economic distress. A decrease in coal mining activity may threaten not only the primary source of income and health insurance in some areas, but also the sense of community and identity associated with the mining culture. After generations of working in coal mines, many Appalachian Basin communities still maintain social and cultural connections with the coal industry, even as the number of mining jobs has decreased. A reduction in coal production may weaken social networks in rural areas that have traditionally depended on coal mining. Depending on the severity of the observed changes, declining quality of life in coal-dependent communities could lead to population declines in those communities.

Some Action Alternatives also introduce new restrictions on postmining land use (see Section 4.3.2). With more reclaimed land returned to its AOC and vegetation, developers in coal-producing regions may have reduced access to sources of flat, developable land, which can be a scarce resource in mountainous coal-producing areas. This decrease in developable land has the potential to restrict future economic growth in parts of the country already undergoing economic hardship.

A decrease in coal mining may also improve the quality of life in some areas by reducing some of the adverse impacts associated with coal mining. Decreased prevalence of mining and construction operations would decrease the amount of traffic and noise affecting residents of coal-producing areas. More land in coal-producing regions would be left in its original state, improving landscape ecology and visual aesthetics. Finally, reduced coal mining activity may lessen anxiety over possible adverse health impacts attributed to living near coal mining.

4.3.1.9 Summary of Effects

Table 4.3-28 presents the impacts of the Action Alternatives relative to the No Action Alternative. Impact determinations consider the length of impact, geographic scope of impact, and potential for offsetting the impact. As described in Section 4.0.3, for socioeconomic conditions, impacts of the Action Alternatives on employment and income, tax revenues, property values, quality of life, and demographics are evaluated. Some impacts of the Alternatives would be short-term on a per-mine basis, and other impacts would be expected to extend beyond the period of active mining (long-term). Determinations of the intensity of impacts on socioeconomic resources considered the impacts of the Action Alternatives on employment and income, tax revenues, property values, quality of life, and demographics. Indicators of impacts on quality of life and demographics are likely to be linked to impacts on employment and income

in each region and, to a lesser degree, impacts on tax revenues. Specifically, determinations were made using the following analytical categories:

- Negligible: Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- Minor adverse or beneficial: A few individuals, groups, businesses, properties, or institutions could be affected. Impacts would be small and localized. The impacts are not expected to substantively alter social and/or economic conditions.
- Moderate adverse or beneficial: Many individuals, groups, businesses, properties, or institutions could be affected. Impacts could be readily apparent and detectable in local and adjacent areas and could have a noticeable effect on social and/or economic conditions.
- Major adverse or beneficial: A large proportion of individuals, groups, businesses or other institutions would experience a change in economic or social conditions as an obvious result of an action. Impacts could extend over a widespread area. The effect could have a substantial influence on social and/or economic conditions.

In order to be conservative, i.e., more likely to overstate impacts than understate them, the analysis determines impacts to employment focusing on the anticipated changes in production-related employment in each region.⁴³ The “Overall Impact to Socioeconomics” is the expected overall effect on socioeconomic resources, combining the expected impacts to employment income, quality of life and expected impacts to taxes on coal production.⁴⁴

At the national scale, Alternative 2 is anticipated to result in Moderate Adverse impacts on socioeconomic conditions including, in particular, employment and severance taxes when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Adverse impacts on socioeconomic conditions, including employment, and severance taxes at the national scale. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on socioeconomic conditions.

At a regional scale, Major Adverse impacts on socioeconomic conditions, including employment, are anticipated in the Appalachian Basin under Alternative 2. Moderate Adverse impacts on socioeconomic conditions are anticipated in the Appalachian Basin under Alternatives 3, 4, 5, 7, and 8 (Preferred). Impacts to other regions to socioeconomic conditions are anticipated to be Minor Adverse or Negligible across Alternatives at the regional scale when compared to the No Action Alternative.

4.3.1.9.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in socioeconomic conditions would continue. Mining under the No Action Alternative would continue to provide employment, income and tax revenues at current levels and would only change due to normal market conditions that are applicable to all the Alternatives.

⁴³ Potential increases in employment demand related to compliance activities are also anticipated.

⁴⁴ “Overall Impact to Socioeconomics” is the expected overall effect on socioeconomic resources, combining the expected impacts to employment, income, quality of life and taxes on coal production

The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). In particular, the Colorado Plateau, Appalachian Basin, and Northern Rocky Mountain and Great Plains regions are forecasted to have the largest production decreases in coal production, respectively. This reduction in production would be expected to have adverse impacts on localized socioeconomic conditions, to the extent that reductions in coal production also reduce coal mining employment and associated income. Reduced coal production volume would also reduce tax collections by regional governments. These decreases could result in depressed localized property values, and could result in adverse impacts to quality of life in communities that are dependent on coal production. However, property values also have the potential to increase as aesthetics improve in localized areas. Reduced noise and impacts to visual resources could also lead to benefits to quality of life in some areas, particularly if some areas become more attractive for recreational activities under the No Action Alternative.

4.3.1.9.2 Alternative 2

Due to the stringency and broad applicability of the requirements under Alternative 2, this alternative is expected to have the largest effect on coal production across the examined Action Alternatives and is therefore generally expected to result in the greatest impacts to socioeconomic resources, including employment, income, and coal severance tax revenues. These impacts are greatest in the Appalachian Basin, which bears the majority of costs under Alternative 2. Because of the importance of the coal mining industry to the Appalachian Basin and because of its current economic distress due to a recent downward trend in coal production regionally, the incremental adverse impacts on socioeconomic resources, such as employment, income and tax revenues, due to Alternative 2 may further reduce the quality of life. For these reasons, the Appalachian Basin is expected to experience Major Adverse impacts to socioeconomic resources under Alternative 2. Impacts on coal production are significantly lower in the Illinois Basin and the Northern Rocky Mountains and Great Plains regions, and therefore impacts to socioeconomic resources are expected to be minor relative to the No Action Alternative. Because the amount of coal produced is not expected to materially change in the other regions of Colorado Plateau, Gulf Coast, Northwest, and Western Interior, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible in these regions.

At the national level, Alternative 2 is classified as Moderate Adverse because it is expected to generate impacts that could be readily apparent and detectable in local and adjacent areas and could have a noticeable effect on social and/or economic conditions across many individuals, groups, businesses, properties, or institutions, particularly in the Appalachian Basin. In the following sections, the impacts of Alternative 2 on socioeconomic resources are discussed in more detail by region.

4.3.1.9.2.1 *Appalachian Basin*

Appalachian mines, especially surface mines, incur the highest costs under Alternative 2 and as a result, Alternative 2 is expected to result in the largest reduction in coal mining activity in the Appalachian Basin (when compared to the other Action Alternatives), an average of approximately 0.8 million tons annually, or 0.4 percent of baseline production in this region (MSHA, 2015). As a result of these reductions in the amount of coal produced, Alternative 2 is also expected to decrease regional employment, labor income,

and coal severance tax revenues relative to the No Action Alternative. For example, in the Appalachian Basin under Alternative 2, adverse impacts to socioeconomic resources include potential reductions in coal industry employment and severance tax revenues. Expected production-related employment effects are anticipated to comprise 0.5 percent of 2014 Appalachian Basin coal mining employment (U.S. EIA, 2016a), and projected reductions in severance tax revenues represent 0.7 percent of recent severance taxes collected annually in this region (see Table 4.3-23 for sources). Additional permitting requirements of the rule may increase demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions.

As discussed previously, the coal mining industry has historically brought high-paying jobs to rural areas, particularly in parts of Central Appalachia. A decrease in overall coal production under Alternative 2 may place further stresses on communities already experiencing economic distress from the recent downward trend in coal industry production. Of note, in some parts of the Appalachian Basin coal mining provides one of few sources of income. Given the historical social and cultural connections between the Appalachian Basin and the coal industry, further reductions in employment, income and tax collections under Alternative 2 may weaken social networks in rural areas that have traditionally depended on coal mining. Depending on the severity of the observed changes within a community, declining quality of life in coal-dependent communities could lead to population declines in those communities. For these reasons, the impacts of Alternative 2 on socioeconomic resources in the Appalachian Basin are considered Major Adverse.

4.3.1.9.2.2 Illinois Basin and Northern Rocky Mountains and Great Plains

The implementation of Alternative 2 is expected to generate moderate reductions in coal mining activity in the Illinois Basin region and minor reductions in the Northern Rocky Mountains and Great Plains region. In the Illinois Basin, Alternative 2 is expected to reduce coal mining activity by an average of 0.4 million tons annually, equal to approximately 0.4 percent of baseline production in this region (MSHA, 2015). In the Northern Rocky Mountains and Great Plains, coal production is expected to fall by an average of 0.1 million tons per year under Alternative 2, equal to approximately 0.03 percent of baseline regional production (MSHA, 2015). Accordingly, production-related employment losses are expected to be relatively minor under this alternative, accounting for 0.4 and 0.04 percent of coal mining employment in the Illinois Basin, and Northern Rocky Mountains and Great Plains regions, respectively (U.S. EIA, 2016a). Impacts on coal severance tax revenues are expected to be relatively Negligible in both regions. For these reasons, the impacts of Alternative 2 on socioeconomic resources in the Illinois Basin, and Northern Rocky Mountains and Great Plains regions are expected to be Minor Adverse.

4.3.1.9.2.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 2 is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northwest, and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change by a significant amount, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.3 Alternative 3

Alternative 3 is expected to result in a moderate decrease in coal production. Compared to Alternative 2, the general direction of impacts remains the same, but the scope is diminished, due primarily to the more moderate decrease in coal production, specifically in the Appalachian Basin. Accordingly, at the national level, Alternative 3 is classified as Minor Adverse because the adverse impacts are expected to be localized to the Appalachian Basin and impacts to socioeconomic resources in the remaining regions are classified as either Minor Adverse or Negligible. In the following sections, the impacts of Alternative 3 on socioeconomic resources are discussed in more detail by region.

4.3.1.9.3.1 *Appalachian Basin*

Under Alternative 3, the Appalachian Basin accounts for the majority (nearly 50 percent) of compliance costs and as a result, Alternative 3 is expected to result in moderate reductions in coal mining activity in the Appalachian Basin, an average of approximately 0.5 million tons annually, or 0.2 percent of baseline regional production (MSHA, 2015). As a result of these reductions in the amount of coal produced, Alternative 3 is also expected to decrease regional employment, labor income and coal severance tax revenues relative to the No Action Alternative. For example, in the Appalachian Basin region under Alternative 3, adverse impacts to socioeconomic resources also include potential reductions in coal industry employment and severance tax revenues. Expected production-related employment effects are anticipated to comprise 0.3 percent of 2014 regional coal mining employment (U.S. EIA, 2016a), and projected reductions in severance tax revenues represent 0.5 percent of recent regional severance taxes collections annually (see Table 4.3-23 for sources). Additional permitting requirements of the rule may increase demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions.

As discussed previously, the coal mining industry has historically brought high-paying jobs to rural areas, particularly in parts of Central Appalachia. Further decreases in employment, income and tax collections under Alternative 3 may place further stresses on coal-dependent communities in the Appalachian Basin already experiencing economic distress from the recent downward trend in coal industry production. Such adverse socioeconomic impacts could in turn precipitate an overall decline in the quality of life in these communities. For these reasons, the impacts of Alternative 3 on socioeconomic resources in the Appalachian Basin are expected to be Moderate Adverse.

4.3.1.9.3.2 *Illinois Basin*

The implementation of Alternative 3 is expected to generate moderate reductions in coal mining activity in the Illinois Basin region. In the Illinois Basin, Alternative 3 is expected to reduce coal mining activity by an average of 0.3 million tons annually, equal to approximately 0.3 percent of baseline regional production (MSHA, 2015). Accordingly, expected production-related employment losses are expected to be relatively minor under this alternative, accounting for 0.3 percent of coal mining employment in the Illinois Basin region (U.S. EIA, 2016a). Impacts to coal severance tax revenues are expected to be relatively Negligible in both regions. For these reasons, the impacts of Alternative 3 on socioeconomic resources in the Illinois Basin, and Northern Rocky Mountains and Great Plains regions are expected to be Minor Adverse.

4.3.1.9.3.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 3 is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northern Rocky Mountains and Great Plains, Northwest and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.4 Alternative 4

Alternative 4 is expected to result in moderate reductions in coal production, and is therefore generally expected to result in similar impacts to socioeconomic resources across the Action Alternatives as described for Alternative 3. Similar to Alternative 3, at the national level, Alternative 4 is classified as Minor Adverse because the adverse impacts are expected to be localized to the Appalachian Basin and impacts to socioeconomic resources in the remaining regions are classified as either Minor Adverse or Negligible. In the following sections, the impacts of Alternative 4 on socioeconomic resources are discussed in more detail by region.

4.3.1.9.4.1 Appalachian Basin

The costs of implementing Alternative 4 in the Appalachian Basin are similar to Alternative 3. Specifically, Alternative 4 is expected to produce moderate reductions in coal mining activity in the Appalachian Basin, an average of approximately 0.4 million tons annually, or 0.2 percent of baseline regional production (MSHA, 2015). Under Alternative 4, adverse impacts to socioeconomic resources from reduced mining activities also include potential reductions in coal industry employment and severance tax revenues. Expected production-related employment effects are anticipated to comprise 0.3 percent of regional coal mining employment (U.S. EIA, 2016a), and projected reductions in severance tax revenues represent 0.4 percent of recently collected annual coal severance tax revenues in this region (see Table 4.3-23 for sources). Additional permitting requirements of the rule may increase demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions.

As discussed previously, the coal industry has played a crucial role in the development and support of communities in the Appalachian Basin. As a result, decreases in employment, income and tax collections under Alternative 4 may further diminish property values and precipitate a decline in the overall quality of life in these communities. For these reasons, the effects of Alternative 4 in the Appalachian Basin are expected to be Moderate Adverse.

4.3.1.9.4.2 Illinois Basin and Northern Rocky Mountains and Great Plains

The implementation of Alternative 4 is expected to generate moderate reductions in coal mining activity in the Illinois Basin region and minor reductions in the Northern Rocky Mountains and Great Plains region. In the Illinois Basin, Alternative 4 is expected to reduce coal mining activity by an average of 0.3 million tons annually, equal to approximately 0.3 percent of baseline regional production (MSHA, 2015). In the Northern Rocky Mountains and Great Plains, coal production is expected to change by an annual average of less than 0.1 million tons per year under Alternative 4, equal to approximately 0.01 percent of baseline regional production (MSHA, 2015). Accordingly, production-related employment losses are

expected to be relatively minor under this alternative, accounting for 0.3 and 0.01 percent of coal mining employment in the Illinois Basin, and Northern Rocky Mountains and Great Plains regions, respectively. Impacts on coal severance tax revenues are expected to be relatively Negligible in both regions. For these reasons, the Illinois Basin, and Northern Rocky Mountains and Great Plains regions are expected to experience Minor Adverse impacts on socioeconomic resources under Alternative 4.

4.3.1.9.4.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 4 is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northwest, and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.5 Alternative 5

Alternative 5 is expected to result in the least reductions in coal production across the Action Alternatives (with the exception of Alternative 9 in which no reductions are expected). At the national level, Alternative 5 is classified as Minor Adverse because the adverse impacts are expected to be localized to the Appalachian Basin and impacts to socioeconomic resources in the remaining regions are classified as Negligible. In the following sections, the impacts of Alternative 5 on socioeconomic resources are discussed in more detail, by region.

4.3.1.9.5.1 Appalachian Basin

Similar to Alternatives 2, 3, and 4, the costs of implementing Alternative 5 is expected to produce moderate reductions in surface and underground coal mining activities in the Appalachian Basin, an average of 0.4 million tons annually, or 0.2 percent of baseline regional production (MSHA, 2015). Reduced mining would decrease regional employment, labor income and coal severance tax revenues relative to the No Action Alternative. Specifically, adverse impacts to production-related employment are anticipated to comprise 0.2 percent of regional coal mining employment (U.S. EIA, 2016a), and projected reductions in annual severance tax revenues represent 0.3 percent of recently collected revenues in this region under Alternative 5. Additional permitting requirements of the rule may increase demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions.

As discussed previously, the coal industry has played a crucial role in the development and support of communities in the Appalachian Basin. As a result, expected decreases in employment, income and tax collections under Alternative 5 may further diminish property values and precipitate a decline in the overall quality of life in these communities. For these reasons, the Appalachian Basin region is predicted to experience a Moderate Adverse impact under Alternative 5.

4.3.1.9.5.2 Northern Rocky Mountains and Great Plains

The implementation of Alternative 5 is expected to generate minor reductions in coal mining activity in the Northern Rocky Mountains and Great Plains region. Under Alternative 5, coal production is expected to fall by an average of less than 0.1 million tons annually, equal to approximately 0.01 percent of

baseline regional production (MSHA, 2015). These reductions translate to relatively minor employment losses, accounting for 0.01 percent of coal mining employment in this region (U.S. EIA, 2016a). Impacts on coal severance tax revenues are expected to be Negligible. For these reasons, the Northern Rocky Mountains and Great Plains region is anticipated to incur Minor Adverse impacts to socioeconomic resources under Alternative 5.

4.3.1.9.5.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 5 is expected to generate negligible changes in the amount of coal produced in the Illinois Basin, Colorado Plateau, Gulf Coast, Northwest, and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.6 Alternative 6

Alternative 6 is expected to result in moderate reductions in coal production, slightly less than those expected to occur under Alternative 4. Accordingly, at the national level, Alternative 6 is classified as Minor Adverse because, across all coal regions, only a few individuals, groups, businesses, properties, or institutions would be affected and impacts would be small and localized. Accordingly, the impacts are not expected to substantively alter social and/or economic conditions. In the following sections, the impacts of Alternative 6 on socioeconomic resources are discussed in more detail, by region.

4.3.1.9.6.1 Appalachian Basin

Although Alternative 6 prohibits mining activities within 100 feet of intermittent or perennial streams, it allows regulatory authorities to approve placement of excess spoil or coal mine waste in an intermittent or perennial stream under certain conditions. As these conditions are prevalent in the Appalachian Basin, the overall costs of complying with Alternative 6 are relatively lower in this region. For example, Alternative 6 is estimated to reduce coal mining by an average of approximately 0.2 million tons annually in the Appalachian Basin, equivalent to nearly 0.1 percent of baseline regional production (MSHA, 2015). These reductions translate to only minor impacts to socioeconomic resources. For example, impacts to production-related employment under Alternative 6 are anticipated to comprise 0.1 percent of regional coal mining employment (U.S. EIA, 2016a), and projected reductions in annual severance tax revenues represent 0.2 percent of recently collected regional coal severance tax revenues (see Table 4.3-23 for sources). Accordingly, Alternative 6 is not expected to substantively alter social and/or economic conditions impacts on property values and quality of life. For these reasons, the effects of Alternative 6 in the Appalachian Basin are expected to be Minor Adverse.

4.3.1.9.6.2 Illinois Basin and Northern Rocky Mountains and Great Plains

Impacts to socioeconomic resources under Alternative 6 in the Illinois Basin and Northern Rocky Mountains and Great Plains regions are expected to be of a similar magnitude as under the other Alternatives. Coal production is expected to fall by an average of 0.3 million tons annually in the Illinois Basin, equal to approximately 0.3 percent of regional baseline production (MSHA, 2015). This level of reduced mining activity translates to production-related employment losses comprising 0.3 percent of coal mining employment in this region (U.S. EIA, 2016a). In the Northern Rocky Mountains and Great Plains

region, coal production is expected to fall by an average of less than 0.1 million tons annually. This reduction results in minor impacts to employment, comprising 0.01 percent of regional coal mining employment (U.S. EIA, 2016a). Severance tax revenue impacts are expected to be Negligible in these regions. For these reasons, the impacts of Alternative 6 on socioeconomic resources in these regions are considered Minor Adverse.

4.3.1.9.6.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 6 is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northwest, and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.7 Alternative 7

Alternative 7 is expected to result in moderate reductions in coal production. At the national level, Alternative 7 is classified as Minor Adverse because the adverse impacts are expected to be localized to the Appalachian Basin and impacts to socioeconomic resources in the remaining regions are classified as either Minor Adverse or Negligible. In the following sections, the impacts of Alternative 7 on socioeconomic resources are discussed in more detail, by region.

4.3.1.9.7.1 Appalachian Basin

Under Alternative 7, additional permitting requirements are focused on a smaller subset of mining operations involving factors that OSMRE has determined pose additional risk to the environment and warrant enhanced permitting requirements (e.g., steep slope areas, and riparian areas). Because the conditions warranting enhanced permitting requirements exist throughout most of the Appalachian Basin, Alternative 7 is predicted to generate Moderate Adverse impacts to socioeconomic resources in this region. Specifically, Alternative 7 is expected to produce moderate reductions in coal mining activity in the Appalachian Basin, an average of approximately 0.4 million tons annually, or 0.2 percent of regional baseline production (MSHA, 2015). Additional permitting requirements of the rule may increase demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions. Adverse impacts to production-related employment are anticipated to comprise 0.3 percent of regional coal mining employment (U.S. EIA, 2016a), and projected reductions in annual severance tax revenues represent 0.4 percent of recently collected regional coal severance tax revenues under Alternative 7 (see Table 4.3-23 for sources).

As discussed previously, the coal industry has played a crucial role in the development and support of communities in the Appalachian Basin. As a result, decreases in employment, income and tax collections under Alternative 7 may weaken the identity and culture in these communities that have traditionally depended on coal mining. Such adverse impacts may reduce demand for living in these communities which can in turn reduce property values and precipitate a decline in the overall quality of life in these communities.

4.3.1.9.7.2 Illinois Basin and Northern Rocky Mountains and Great Plains

The implementation of Alternative 7 is expected to generate moderate reductions in coal mining activity in the Illinois Basin and minor reductions in Northern Rocky Mountains and Great Plains regions. In the Illinois Basin, Alternative 7 is expected to reduce coal mining activity by an average of approximately 0.4 million tons annually, equal to approximately 0.3 percent of regional baseline production (MSHA, 2015). In the Northern Rocky Mountains and Great Plains, coal production is expected to change by an average of less than 0.1 million tons per year under Alternative 7, equal to less than 0.01 percent of baseline regional production. Accordingly, in both the Illinois Basin and Northern Rocky Mountains and Great Plains regions, employment is expected to experience only a minor decrease over the No Action Alternative. Specifically, impacts to production-related employment are expected to comprise 0.4 and 0.01 percent of regional coal mining employment, respectively (U.S. EIA, 2016a). Impacts to coal severance tax revenues are expected to be Negligible in both the Illinois Basin and the Northern Rocky Mountains and Great Plains region. For these reasons, the overall impact assessment of socioeconomic resources is Minor Adverse for both regions.

4.3.1.9.7.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 7 is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northwest, and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.8 Alternative 8 (Preferred)

Alternative 8 (Preferred) is expected to result in moderate reductions in coal production, and is therefore generally expected to result in similar impacts to socioeconomic resources across the Action Alternatives as described for Alternative 4. At the national level, Alternative 8 (Preferred) is classified as Minor Adverse because the adverse impacts are expected to be localized to the Appalachian Basin and impacts to socioeconomic resources in the remaining regions are classified as either Minor Adverse or Negligible. In the following sections, the impacts of Alternative 8 (Preferred) on socioeconomic resources are discussed in more detail, by region.

4.3.1.9.8.1 Appalachian Basin

Under Alternative 8 (Preferred), the Appalachian Basin accounts for the majority (approximately 40 percent) of compliance costs and as a result, Alternative 8 (Preferred) is expected to result in a moderate reduction in coal mining activity in the Appalachian Basin, an average of approximately 0.4 million tons annually, or 0.1 percent of baseline regional production (MSHA, 2015). As a result of these reductions in mining activity, Alternative 8 (Preferred) is also expected to decrease regional employment, labor income and coal severance tax revenues relative to the No Action Alternative. For example, under Alternative 8 (Preferred), adverse impacts to production-related employment are anticipated to comprise nearly 0.2 percent of regional coal mining employment (U.S. EIA, 2016a), and projected reductions in annual severance tax revenues represent nearly 0.3 percent of recently collected regional severance taxes collected (see Table 4.3-23 for sources). Additional permitting requirements of the rule may increase

demand for employment, though some of the requirements may require different skills than those in traditional coal mining positions.

As discussed previously, the coal mining industry has historically brought high-paying jobs to rural areas, particularly in parts of Central Appalachia. Further decreases in employment, income and tax collections under Alternative 8 (Preferred) may place further stresses on coal-dependent communities in the Appalachian Basin which are already experiencing economic distress from the recent downward trend in coal industry production. Such adverse socioeconomic impacts may weaken the identity and culture in these communities that have traditionally depended on coal mining leading to an overall decline in the quality of life in these communities. For these reasons, the impacts of Alternative 8 (Preferred) on socioeconomic resources in the Appalachian Basin are expected to be Moderate Adverse.

4.3.1.9.8.2 Illinois Basin and Northern Rocky Mountains and Great Plains

As under Alternative 4, decreases in coal production under Alternative 8 (Preferred) are expected to be moderate in the Illinois Basin region and minor in the Northern Rocky Mountains and Great Plains region relative to the No Action Alternative. In the Illinois Basin, Alternative 8 (Preferred) is expected to reduce coal mining activity by an average of approximately 0.3 million tons annually, equal to approximately 0.3 percent of regional baseline production (MSHA, 2015). In the Northern Rocky Mountains and Great Plains, coal production is expected to fall an average of less than 0.1 million tons per year under Alternative 8 (Preferred), equal to approximately 0.02 percent of regional baseline production (MSHA, 2015). As a result of these relatively minor reductions in coal production, socioeconomic resources in the Illinois Basin and Northern Rocky Mountains and Great Plains regions are expected to experience Minor Adverse impacts under Alternative 8 (Preferred). This overall impact is driven by minor employment impacts in the Northern Rocky Mountains and Great Plains region and is a combination of minor impacts to both employment and severance tax revenues in the Illinois Basin.

4.3.1.9.8.3 Other Regions

As discussed in Section 4.1, implementation of Alternative 8 (Preferred) is expected to generate negligible changes in the amount of coal produced in the Colorado Plateau, Gulf Coast, Northwest and Western Interior regions, a loss no greater than 0.1 million tons annually. Because the amount of coal produced is not expected to change significantly, changes to socioeconomic resources, including employment, income, coal-based tax revenues, property values and quality of life, are expected to be Negligible as well in these regions.

4.3.1.9.9 Alternative 9

4.3.1.9.9.1 All Regions

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS

have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on socioeconomic resources evaluated in this FEIS.

Table 4.3-28 presents the overall impacts to socioeconomic resources across regions and Action Alternatives.

Table 4.3-28. Summary of Impacts of the Action Alternatives on Socioeconomics Compared to the No Action Alternative

Alternative	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	National
Alternative 2	Major Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Moderate Adverse
Alternative 3	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Minor Adverse
Alternative 4	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Minor Adverse
Alternative 5	Moderate Adverse	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Minor Adverse
Alternative 6	Minor Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Minor Adverse
Alternative 7	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Minor Adverse
Alternative 8 (Preferred)	Moderate Adverse	Negligible	Negligible	Minor Adverse	Minor Adverse	Negligible	Negligible	Minor Adverse
Alternative 9	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible

4.3.1.10 Uncertainties and Limitations

There are a variety of uncertainties and limitations inherent in this analysis, which have been discussed in the text above and are summarized below:

- Current volatility in the coal market makes anticipating future coal industry employment difficult, and also makes anticipation of future coal severance tax collection more difficult to anticipate. Our analysis uses a conservative labor productivity assumption to reflect that labor productivity in the coal mining industry has decreased steadily in recent years, especially in the Appalachian Basin region. Specifically, to be conservative (i.e., more likely to overstate than understate impacts), the average labor productivity of the least productive mines in each region in 2015 that comprise at least 25 percent of total production in each region is used. This means that for a given change in future production, our forecast will anticipate more labor demand decreases than if the current average productivity for each region was used. However, if labor productivity continues to substantially decrease, effects on employment may be greater than those reported above.

- IMPLAN (and input-output models in general) provides a static set of results that does not account for technological shifts, price changes, sectoral growth, or other factors. As such, the coefficient for estimating compliance employment impacts, and labor income impacts are constant over the period of study. Changes to the factors listed above could change behavior and affect the long-term impacts of the Action Alternatives on employment gains associated with compliance.
- In the severance tax analysis, an attempt was made to test the accuracy of using reported tax rates to estimate actual severance tax revenue. Severance tax revenue estimates were compared with actual severance tax revenues by state to determine the accuracy of the reported tax rate. For states where revenue estimates differed from actual revenues by more than 10 percent, the tax rate used to forecast future revenues was calculated as revenues divided by production.

4.3.1.11 Impacts to coal-related taxes (other than coal severance taxes) are not quantified.

The amount of tax revenue received from these other coal-related taxes varies greatly by state. Additionally, the precise relationship between coal production and tax revenues varies by tax type, and impacts to the revenues collected from these taxes are difficult to gather for the large area covered by this rule, as these taxes often are collected at the county level and have varying reporting requirements depending on the state.

4.3.1.12 Potential Minimization and Mitigation Measures

Impacts to employment and associated income could be mitigated by initiating programs aimed at diversifying employment opportunities in areas that rely heavily on coal mining as a source of employment and income. Mine operators could also re-train current employees to fill positions that have been created by complying with the Action Alternatives (excluding Alternative 9). Impacts to state severance tax revenues could be offset by shifting to extraction of other taxed fuel sources within the United States, such as natural gas. Even if this entirely counterbalanced losses in revenue due to decreased coal production, however, a shift to substitute fuel sources would likely affect the state-by-state distribution of tax revenue collected from extractive industries. Thus, a shift to substitute fuel sources would not necessarily mitigate effects within the same locality or region. OSMRE is also authorized to provide Small Operator Assistance Program (SOAP) funding to small coal mine operators (30 U.S.C. § 1257(c)). SOAP grants can provide financial assistance to mine operators in obtaining the scientific and technical information required to apply for a coal mining permit. This program has the potential to help minimize the burden of the costs of compliance with the Action Alternatives on small mine operators, perhaps decreasing potential employment impacts of the Action Alternatives.

4.3.2 Land Use, Utilities, Infrastructure, Visual Resources, and Noise

This section considers the potential effects of the Alternatives on changes in land use, utilities, infrastructure, visual resources, and noise. Recreation is treated separately in Section 4.3.3.

Chapter 3 describes general characteristics of the coal regions in relation to land use, utilities, infrastructure, visual resources, and noise at the regional level. This section of Chapter 4 analyzes how these resources are affected by the No Action Alternative and by the Action Alternatives under

consideration for the SPR. Various elements of the Action Alternatives may indirectly affect aspects of these topics in the coal mining regions, particularly to the extent that this rule proposed action affects coal production in a particular region.

The discussion is organized as follows:

- It first describes the existing regulatory environment to assist the reader in understanding the impacts of the No Action Alternative on land use, utilities, infrastructure, visual resources, and noise.
- Second, the discussion identifies the aspects of these topics that are most likely to be affected by implementation of the Action Alternatives and the rationale for these findings.
- It then describes the method for assessing the expected magnitude of impact of the Action Alternatives on these resources.
- Next, the results of the quantitative analysis are presented, along with additional qualitative evaluation of other beneficial impacts.
- The section concludes with a summary of the expected effects of the Action Alternatives, characterizing the impacts by coal region and Alternative.

4.3.2.1 Effects of the Current Regulatory Environment (the No Action Alternative)

Section 3.7 characterizes land use, Section 3.11 characterizes visual resources and noise, and Section 3.12 characterizes utilities and infrastructure in each coal region. This section briefly discusses this information in the context of the No Action Alternative.

4.3.2.1.1 Land Use

Section 515(b)(2) of SMCRA requires the mining operation to restore affected lands to a condition capable of supporting the uses they were capable of supporting prior to mining, or to a higher or better use if certain criteria are met. 30 U.S.C. 1265(b)(2). The implementing regulations are located at 30 CFR 780.23, 784.15, 816.133, and 817.133.

4.3.2.1.2 Postmining Land Use

Paragraphs (a)(2) through (a)(4) of section 508 of SMCRA provide that each reclamation plan submitted as part of a permit application must include a statement of the condition of the land prior to any mining. As implemented through the regulations at 30 CFR 780.23, the application must describe the existing conditions and capabilities of the land under high levels of management. The reclamation plan also must include detailed descriptions of any proposed alternative uses and how they relate to existing land use policies and plans, and must be supported by comments from the surface owner of the permit area.

Section 515(b)(2) of SMCRA (30 U.S.C. § 1265(b)(2)) requires that all surface coal mining and reclamation operations:

Restore the land affected to a condition capable of supporting the uses which it was capable of supporting prior to any mining, or higher or better uses of which there is reasonable likelihood, so long as such use or uses do not present any actual or probable hazard to public health or safety or pose any actual or probable threat of water diminution or pollution, and the permit applicants' declared proposed land use following

reclamation is not deemed to be impractical or unreasonable, inconsistent with applicable land use policies and plans, involves unreasonable delay in implementation, or violates federal, state, or local law.

The regulations at 30 CFR 816.133 and 817.133 essentially restate the statutory provisions and add language defining how the premining land uses must be determined; i.e., the premining land uses to which the postmining land use is compared must be those uses that the land previously supported if the land has not been previously mined and has been properly managed. For previously mined land that has not been reclaimed, the premining land use must be the land use that existed before any mining. If the previously mined land cannot be reclaimed to the land use that existed before any mining, the postmining land use must be the highest and best use that can be achieved, that is compatible with surrounding areas, and that does not require the disturbance of areas previously unaffected by mining.

In addition, the regulations at 30 CFR 701.5 define land uses as “specific uses or management-related activities, rather than the vegetation or cover of the land. Land uses may be identified in combination when joint or seasonal uses occur and may include land used for support facilities that are an integral part of the use.” The regulations also define “higher or better uses” as “postmining land uses that have a higher economic value or nonmonetary benefit to the landowner or the community than the premining land uses.”

If an alternative postmining land use is proposed, the application must contain the information required for approval of that use pursuant to 30 CFR 816.133(c) or 817.133(c), including demonstrations that the proposed use is achievable in a reasonable amount of time, that it would not present any public health or water pollution concerns, that it would be otherwise consistent with applicable land use policies and laws at the federal, state or local level.

4.3.2.1.3 Utilities and Infrastructure

Under the No Action Alternative, the existing SMCRA regulatory program provides a number of provisions intended to protect utilities and infrastructure, including features such as public roads, railroads, water and sewage lines, wells (oil, gas, and water), pipelines (oil, gas, and coal slurry), electric and telephone lines, and water supplies (drinking, domestic, or residential)(30 CFR 816.180 and 817.180).

In enacting SMCRA in 1977, Congress specifically mandated that, except under limited circumstances, surface coal mining operations may not be conducted within 100 feet, measured horizontally, of the outside right-of-way of any public road (30 U.S.C. § 1272(e)(4)). The exceptions to this prohibition are described at 30 CFR 761.14. These regulations allow an exception for circumstances where a mine access or haul road joins a public road, lands where an entity can show that it has “valid existing rights” as set forth in 30 CFR 761.16, or where the lands are associated with an operation that was existing prior to the road. Otherwise, regulatory authorities may only approve operations that would propose to relocate or close a public road to accommodate surface coal mining operations after providing for public notice and comment, and making a finding that the interests of the public and affected landowners would be protected. 30 CFR 761.14(c).

Under 30 CFR 816.180 and 817.180, all coal mining operations must be conducted in a manner that minimizes damage, destruction, or disruption of services provided by oil, gas, and water wells; oil, gas, and coal-slurry pipelines; railroads; electric and telephone lines; and water and sewage lines that pass

over, under, or through the permit area, unless otherwise approved by the owner of those facilities and the regulatory authority. These regulations do not apply to the area located above underground mining activities if that area is not included within the permit area.

Under 30 CFR 816.62 and 817.62, the owner of any dwelling or structure (including pipelines, cables, transmission lines, and cisterns, wells, and other water systems) located within a half-mile radius of the permit area may request a preblasting survey of surface conditions, which the operator must complete before the initiation of blasting.

Transportation capacity issues are outside the regulatory reach of SMCRA, other than the public road requirements discussed above. For purposes of this FEIS, Section 3.12 provides an overview of each region's transportation methods and also assesses the potential future need for infrastructure expansion.

4.3.2.1.4 Visual Resources

The visual quality of areas surrounding coal mining is considered as a resource in this discussion because the visual appeal of surroundings affects the public's quality of life and how people feel about the area in which they live and work, and where they choose to recreate. The analysis described in the following sections assumes that the public would prefer that natural premining conditions be reproduced during reclamation. The analysis takes into account the extent to which reclamation using landforming principles can create greater opportunities to restore the site to its approximate premining condition and decrease adverse impacts on visual resources. The visual impacts that occur during mining are an understood consequence of the activity that the surrounding community weighs in comparison to the benefits of mining to the local economy. Neither SMCRA nor its implementing regulations specifically require the permit applicant to address the visual impacts of proposed operations.

During the active mining process, alterations to the existing vegetation and topography are often visually dramatic. Earthen materials overlying the coal are excavated and moved to various locations around the mine site. Vegetation is removed and portions of the mine site may remain without vegetation for long periods of time.

Once mining is completed, surface mining companies are, with limited exceptions, required to restore the mine site to its AOC via backfilling and regrading. However, in some (steep-slope) terrain, the increase in volume of spoil relative to solid rock results in excess spoil fills outside the mined area, even when the mined area is returned to AOC. In addition, AOC variances are available that can result in altered postmining topography on the mined areas, as well as excess spoil fills outside the mined areas. Access roads and drainage control ponds may be approved as permanent features, altering the visual resources of an area. With the exception of mined areas returned to AOC, all of these features, if present, change the landscape in ways not consistent with the natural topography.

Use of non-native species is often a consequence of conversion of land to new postmining land uses; for example, the conversion of forest to agricultural land and forested areas to grassland grazing areas. The converted site looks visually different and is different in terms of recreational opportunities, land use, and wildlife habitat value.

Visual resource impacts are often considered during preparation of NEPA analysis for mining on federal lands or for mining of coal for which the U.S. holds the mineral rights. The Secretary of the Department

of the Interior is responsible for authorizing the mining plan for federal coal leased by the U.S. Bureau of Land Management (U.S. BLM). The requirement for an approved mining plan is set forth under the federal Mineral Leasing Act, which states that before any entity can take action on a federal leasehold that “might cause a significant disturbance to the environment,” an operation and reclamation plan must be submitted to the Secretary of the Interior for approval (30 U.S.C. § 207(c)). OSMRE is charged to “prepare and submit to the Secretary a decision document recommending approval, disapproval, or conditional approval of the mining plan” (30 CFR 746.13).

Surface mining results in greatly disturbed landscapes. Reclamation of these landscapes is achieved with varying degrees of success with regard to previous visual character. Regional variations in rainfall and topography require different approaches to reclamation, and affect the amount of effort required to achieve successful reclamation and restoration of the premining appearance of the site. How well the land is returned to the premining condition depends on the regulatory authority’s AOC requirements, as well as regional and site-specific conditions.

Impacts to visual resources do occur under the No Action Alternative; they are not completely avoidable unless mining is precluded altogether.

4.3.2.1.5 Noise

Mining activities cause noise in and around the mine site. Surface coal mining operations often employ large earth-moving vehicles and other machinery which can produce noise during the mine operation. Surface mining, which relies on blasting to remove overburden, generally creates more noise than underground mining. Underground mining operations often have large ventilation systems that produce noise during mine operation. Depending on the location of the mining activity and its proximity to noise sensitive areas, mining related noise can interfere with human enjoyment of areas immediately surrounding the mining activity.

Blasting operations are sporadic events, but they are of particular concern because of potentially damaging low-frequency noise and pressure waves. Therefore, the regulations require careful planning, control, and monitoring of blasting events to ensure that blasting occurs under safe conditions. Setback requirements from dwellings, public buildings, schools, and churches reduce noise impacts to sensitive receptors under the No Action Alternative, as do existing requirements to conduct blasting between sunrise and sunset unless nighttime blasting is approved by the regulatory authority upon a determination that the public will be protected from adverse noise and other impacts. See 30 CFR 816.61 through 816.68 and 817.61 through 817.68.

As noted above, underground mines involve a number of noise-making processes and equipment, most of which produce noise solely underground. However, surface noise from underground mining does result from the use of large intake and exhaust fans that vent methane from underground mine operations, and from conveyor belts or trains, trucks, and dozers used to transport coal and coal mine waste.

The primary responsibility for addressing construction noise, noise from power equipment operated by individuals, and unmuffled industrial noise penetrating residential areas, rests with states and local governments. Thousands of U.S. cities have implemented noise ordinances that give noise control officers and police the power to investigate noise complaints and enforcement power to abate the offending noise source through shutdowns and fines. A typical noise ordinance sets forth clear definitions

of acoustic nomenclature and defines categories of noise generation; then numerical standards are established so that enforcement personnel can take the necessary steps of warnings, fines, or other municipal police action to rectify unacceptable noise generation. Under the No Action Alternative, coal mining would continue to produce noise as described above. Noise from coal mining may then affect surrounding communities and wildlife. As seen in Table 4.3-29 below, there are no additional measures proposed under any Alternative that would affect the production of noise in comparison to the No Action Alternative to a measurable degree.

4.3.2.2 Action Alternatives and Potential Effects on Land Use, Utilities, Infrastructure, Visual Resources, and Noise

Various elements of the Alternatives may affect land use, utilities, infrastructure, visual resources, and/or noise associated with areas disturbed by mining activities. Each of the rule elements is discussed below. Table 4.3-29 summarizes the effects of various elements of the Action Alternatives on these resources.

Table 4.3-29. Action Alternatives Elements and Potential Effects on Land Use, Utilities, Infrastructure, Visual Resources, and Noise in Coal Mining Regions

Action Alternatives Element	Land Use	Utilities	Infrastructure	Visual Resources	Noise	Indirect Impacts Expected
Baseline Data Collection and Analysis						■
Monitoring During Mining and Reclamation						■
Definition of Material Damage to the Hydrologic Balance						■
Evaluation Thresholds						■
Stream Definitions						■
Mining Through Streams	■			■		
Activities In or Near Streams Including Excess Spoil and Coal Refuse	■			■		
AOC Variances	■			■		
Surface Configuration	■			■		
Revegetation, Topsoil Management, and Reforestation	■			■		
Fish and Wildlife Protection and Enhancement	■			■		

Note: No elements are expected to change noise conditions, utilities, or infrastructure. Impacts to these resources are related changes in coal production that could result from the Action Alternatives.

4.3.2.2.1 Protection of the Hydrologic Balance

4.3.2.2.1.1 Baseline Data Collection and Analysis

The elements of the Action Alternatives associated with baseline data collection and analysis serve to direct water sampling procedures. Under the No Action Alternative, the current requirements for the baseline data that must be collected and analyzed will continue, and no impact on the current trends of coal mining, land use, utilities, infrastructure, visual resources, or noise are expected.

The rule elements associated with baseline data collection and analysis serve to specify water sampling and analysis procedures.

- Under the No Action Alternative (Alternative 1) some requirements for baseline data collection and analysis exist, as described in Chapter 2. Data characterizing premining conditions allow mine operators and regulators to identify the incremental effects of the mining activity on monitored water quality parameters.
- The Action Alternatives (excluding Alternative 9) standardize the sampling protocol and increase the assessment and monitoring activities for baseline data collection and analysis, as described in

Chapter 2. These changes are not expected to directly affect land use activities but may lead to indirect effects on land uses to the extent that they promote improved water quality in the region.

- The requirements of Alternative 9 with respect to this element are the same as the No Action Alternative and, as such, their effects on land use are the same as the No Action Alternative.

4.3.2.2.1.2 *Monitoring During Mining and Reclamation*

As with the collection of baseline data described above, improved monitoring would not alter land use, utilities, infrastructure, visual resources, and noise resources directly. However, the Action Alternatives establish timeframes for data monitoring and review and include additional metrics to be collected. Such changes may, in some cases, have an indirect benefit to land use, utilities, infrastructure, visual resources, and noise resources if planned mining operations are changed. For instance, baseline data collection may highlight a stream segment that contains rock that contains selenium before mining commences. This area could be avoided for mining purposes, reducing the likelihood of release of the pollutant into surface waters, thus minimizing the potential for impacts, particularly with regard to land use.

While the phrase “material damage to the hydrologic balance outside the permit area” appears in SMCRA and implementing regulations, no federal definition currently exists. Thus, under the No Action Alternative, although surface coal mining operations are required to be designed and performed in a way that prevents material damage to the hydrologic balance outside the permit area, the regulation lacks specificity as to what constitutes material damage to the hydrologic balance outside the permit area. This has led to difficulties in the enforcement of this requirement (Reis, 2010). Without a formal definition of material damage to the hydrologic balance outside the permit area, it may not be possible to prevent its occurrence through regulation and enforcement. Adding a definition would not in and of itself be expected to alter the subject resources; however, its inclusion in some of the Action Alternatives may have indirect effects related to the likelihood of avoidance of adverse impacts to land use.

4.3.2.2.1.3 *Evaluation Threshold*

Evaluation thresholds are standards set at lower levels than those for material damage to the hydrologic balance and are designed to act as a warning system to prevent material damage to the hydrologic balance from being reached. Under the No Action Alternative, because no formal definition of material damage to the hydrologic balance exists, no evaluation thresholds exist. Consequently, current coal mining impacts on land use, utilities, infrastructure, visual resources, and noise would be expected to continue. Evaluation thresholds associated with a definition of material damage to the hydrologic balance, as applied in some of the Action Alternatives should have no direct effect on land use, utilities, infrastructure, visual resources, or noise; however, their inclusion in the Action Alternatives may be expected to have indirect effects on coal production siting, which may affect land use.

4.3.2.2.2 Activities In or Near Streams

4.3.2.2.2.1 *Stream Definitions*

Stream definitions are central to the water quality protection objectives of the Action Alternatives. The No Action Alternative enumerates the elements used to define a general stream as well as an intermittent stream. Retention of the current stream definitions is anticipated to continue current mining effects on land use, utilities, infrastructure, visual resources, and noise. Changes in stream definitions associated with some of the Action Alternatives are expected to have an indirect effect on the respective resources.

4.3.2.2.2 Mining Through Streams

Restoration of streams using natural channel design techniques is currently required following coal mining activity, but no requirement to restore stream ecological function is presently in place. Natural channel design does not consider many biophysical factors that determine the stream's ability to support biological resources. In fact, evidence suggests that the natural channel design strategy may decrease biodiversity following restoration and which may continue to decrease over time (see review of Bernhardt and Palmer (2011) for additional information regarding adverse ecological impacts associated with natural channel design). For all Action Alternatives, specific performance standards would be required to guide stream restoration, such as the requirement to restore natural hydrologic form and biological function for intermittent and perennial streams and natural hydrologic form for ephemeral streams. Alternatives 2 and 7 explicitly prohibit all mining activities in or within 100 feet of a perennial stream and require that all perennial, intermittent, and ephemeral streams be restored to form. Alternatives 3, 4, 5, and 6 require restoration of the hydrologic form and ecological function of all intermittent and perennial streams and restoration of the form of ephemeral streams to the extent required by geomorphic reclamation. Alternative 8 (Preferred) requires restoration of both the hydrologic form and ecological function of intermittent and perennial streams and requires restoration of the hydrologic form of ephemeral streams. Alternative 9 requires stream restoration using natural channel design techniques. The requirements to limit mining through streams and restore streamside areas would have a beneficial effect on land use and visual resources which would vary by region and Alternative, depending on the extent of the requirements, the extent of mining activity, and any protective actions already anticipated to occur under the No Action.

4.3.2.2.3 Activities In or Near Streams, Including Excess Spoil and Coal Refuse

Under the No Action Alternative, mining activities in or within 100 feet of perennial or intermittent streams is prohibited unless the regulatory authority finds that the mining activities will not cause or contribute to the violation of state or federal water quality standards and will not adversely affect the quantity or quality or other environmental resources of the stream.

The Action Alternatives (excluding Alternative 9) increase the stringency of the requirements that guide mining activities near streams as well as the placement of excess spoil and refuse. The Action Alternatives (except Alternative 9) also add provisions for allowable fill construction techniques and increased monitoring during fill construction. To the extent that mining avoids areas near streams that otherwise would be affected by mining, benefits to those areas would be expected. Alternative 2 prohibits excess spoil in intermittent and perennial streams. Alternatives 3, 4, and 5 add requirements to mining activities within 100 feet of intermittent and perennial streams. Alternatives 6 and 8 (Preferred) include additional requirements: restoration of ecological function of perennial and intermittent streams; offset of long-term effects in same or adjacent drainage; prohibition of adverse effects to water quality or other environmental resources of the stream when mining activities occur within the buffer zone, but not the stream; and a 100 foot wide streamside vegetative corridor along all streams. Alternative 7 prohibits excess spoils in perennial streams. The requirements of Alternative 9 match those of the No Action Alternative. Overall, land use would benefit from prevention of stream degradation because water-dependent land uses would continue. Visual resources would benefit because healthy streams are visually appealing, which provide benefits to nearby populations and can be attractive for recreators.

4.3.2.2.3 Approximate Original Contour

4.3.2.2.3.1 *AOC Variances*

As discussed in Section 4.2.3.1, SMCRA and the existing regulations (the No Action Alternative) provide several exceptions to the requirement to restore mined land to AOC. Those exceptions include operations with thin or thick overburden, certain remaining operations, mountaintop removal mining operations, and steep-slope mining operations. The latter two exceptions apply only when the mountaintop removal mining operation or the AOC variance for a steep-slope mining operation will facilitate one or more specified postmining land uses and certain other requirements are met. These two variances apply only to operations consisting primarily of steep slopes (slopes in excess of 20 degrees), a situation that occurs almost exclusively in Central Appalachia.

Under the No Action Alternative, the most visible impact of AOC variances would be the continued limited creation of flat or gently rolling terrain in areas that previously contained primarily steep slopes. More moderate slopes also may reduce surface runoff because of higher infiltration rates. Alternative 2 would prohibit all AOC variances and would likely require amendment of SMCRA. Alternatives 3, 4, 5, and 8 (Preferred) likely would result in the approval of fewer operations with AOC variances. Therefore, Alternatives 2, 3, 4, 5, and 8 (Preferred) should result in fewer permanent visual effects than would be expected under the No Action Alternative. Alternatives 6, 7, and 9 are similar to the No Action Alternative in terms of AOC variances and, thus, would have similar impacts.

4.3.2.2.3.2 *Surface Configuration*

As discussed in Section 4.2.3.1, SMCRA requires that the permittee backfill and grade the mined area to its AOC, which means a surface configuration that closely resembles the premining surface configuration and that blends into and complements the drainage pattern of the surrounding terrain. The existing regulations (the No Action Alternative) contain similar provisions. Alternatives 6, 8 (Preferred), and 9 would not alter the existing regulations with respect to surface configuration requirements.

Alternatives 2, 3, and 4 would require that almost all surface mining operations use digital terrain analysis techniques to determine whether AOC restoration requirements have been met. Alternatives 5 and 7 would require use of digital terrain analysis techniques for a smaller subset of operations; e.g., operations that dispose of excess spoil or coal mine waste.

Alternatives 2, 3, and 4 would require use of landforming principles as part of backfilling and grading to prevent the creation of uniform slopes vulnerable to erosion and to promote restoration of topographical features that will re-create microclimates and ecological niches present prior to mining. However, Alternative 3 would not apply those principles to excess spoil fills.

Alternatives 2 and 4 would require that the thickness of backfilled material at any point in the backfilled area not differ from the combined premining thickness of the coal seam and overburden strata at that point by more than ± 20 percent.

Alternatives 2, 3, and 4 would have the greatest impact on topography because they are most likely to ensure that the final surface configuration and landscape features more closely match the premining configuration and landscape features. The greatest impact would occur in regions highly variable premining topography, such as mountainous terrain. Alternatives 5 and 7 would have a lesser impact on

topography than Alternatives 2, 3, and 4, but a greater impact than the No Action Alternative. Alternatives 6, 8, and 9 would not differ in impact from the No Action Alternative.

4.3.2.2.4 Postmining Land Use and Enhancement

4.3.2.2.4.1 Revegetation, Topsoil Management, and Reforestation

Postmining land cover is influenced by the revegetation, topsoil management, and reforestation elements of the Alternatives. Under the No Action Alternative, reforestation is not required, although the establishment of vegetative cover is required. Species native to the area are emphasized for revegetation although introduced species are permitted. Provided the mining operator demonstrates compliance with the regulations, selected overburden materials may be used in place of the topsoil removed from the disturbed area. Finally, use of all available organic materials available within the disturbed areas is not required.

Some beneficial effects on revegetation, topsoil management, and reforestation are anticipated under Action Alternatives 2, 3, 4, 5, 7, and 8 (Preferred). Specifically, the revegetation of reclaimed lands must be completed using only native species unless specifically required to achieve the approved postmining land use; the use of overburden materials as a replacement for, or as a supplement to, topsoil requires greater justification; available organic materials must be incorporated into the revegetation process; and reforestation of previously forested areas is required. In addition, soil handling and redistribution must be done in a manner that limits compaction and provides optimal root development to support the approved revegetation plan and postmining land use. These changes serve primarily to return the postmining land to a native forest ecosystem as quickly as possible. By enhancing the return of the native forest ecosystem expeditiously, this element would be expected to beneficially impact land use and visual resources; it would not be expected to impact infrastructure or noise resources. Alternatives 6 and 9 keep the same requirements as under the No Action Alternative and no change is anticipated for revegetation, topsoil management, and reforestation; hence, no impacts on land use, utilities, infrastructure, visual resources, or noise are expected.

4.3.2.2.4.2 Fish and Wildlife Protection and Enhancement

The Alternatives contain some elements designed to protect and enhance the fauna inhabiting the mine site and adjacent areas, including downstream aquatic life. Under the No Action Alternative and Alternative 9, quantifiable enforcement guidance is lacking (with perhaps the exception of the prohibition of surface mining activity likely to jeopardize endangered or threatened species). The other Alternatives provide qualitative goals, including the enhancement of fish and wildlife resources whenever long term losses result from the mining operations and the avoidance of disturbances to wetlands and streamside vegetation. To the extent that this element discourages disturbance of particular areas of high habitat value, land use and visual resources may be less affected.

4.3.2.3 *Assessment of Impacts to Land Use, Utilities, Infrastructure, Visual Resources, and Noise*

A qualitative assessment of impacts stemming from the Alternatives is based on the premise that mining itself constitutes a disturbance to land use, utilities, infrastructure, visual resources, and noise. Changes in the quantity of mining will change impacts to land use, utilities, infrastructure, visual resources, and noise. The No Action Alternative, as it leaves current regulations in place, is expected to continue trends

of coal mining impacts on these resources. Table 4.2-23 in the Topography, Geology, and Soils section of this FEIS presents coal production projections across Alternatives and regions between the years 2020 and 2040. In sum, the following effects are expected:

- There is a decrease in coal production projections for Alternative 2 in the Appalachian and Illinois Basin regions. For all other regions, Alternative 2 is expected to have negligible effects on future coal production;
- Alternatives 3, 4, 5, 7, and 8 (Preferred) are similar to Alternative 2 in their impacts across regions, but with smaller decreases in coal production;
- In the Appalachian Basin under Alternative 2, there would be a minor shift in production from surface mining to underground mining; and
- Alternatives 6 and 9 have negligible effects on coal production across all regions, and as such, would not appreciably affect land use, utilities, infrastructure, visual resources, or noise when compared with the No Action Alternative.

Changes in the area disturbed by coal mining are central to characterizing effects on land use, utilities, infrastructure, visual resources, and noise. The analysis quantifies these changes based on estimated rates of land disturbance per million tons of coal mined. As described in Section 4.2.3, although the techniques applied in the Action Alternatives may have other beneficial environmental impacts, only Appalachian Basin mines exhibit decreased area disturbed per ton mined under the Alternatives. Other regions showed no significant changes in this metric across the Action Alternatives, relative to the No Action Alternative. The general finding is that only Alternative 2 will result in less land disturbed per million tons of coal mined, and that will occur only in Central Appalachia.

4.3.2.3.1 Land Use

The lack of data on specific areas that will be mined in the future makes a quantitative assessment of changes in land use resulting from the Action Alternatives difficult. Under the No Action Alternative, coal mining operations are a short-term use of the land, which must be restored to a condition capable of supporting the uses which it was capable of supporting prior to any mining or to higher or better uses of which there is a reasonably likelihood. (30 U.S.C. § 1265(b)(2)).

Land use trends are dependent on a number of factors, including prevailing macroeconomic conditions and existing and planned land use regulations and initiatives at the federal, state, and local levels. The Action Alternatives have the potential to reduce impacts to land use by reducing land disturbance from mining activities. However, in some cases, if mining activity is shifted among coal regions, impacts on land use would also be shifted rather than reduced.

The impact of changes from the Action Alternatives on land use also depends on the impacts on the type of mining. If an Action Alternative results in a shift from surface to underground mining, a smaller portion of surface land would be affected, so any change in land use on the disturbed area would affect a smaller site.

Decisions to construct residential land use developments could be affected by the Action Alternatives. Development of surface coal production cannot occur in existing residential areas or other prohibited areas unless homeowners agree to waive the minimum set back distances. Future development plans,

however, may suffer if visual noise and disturbances exist from adjacent mining. To the extent that surface mining shifts to underground mining, surface land in a region may benefit from the improved viewscape and/or reduced ambient noise. Conversely, fortified requirements for restoring AOC (as in Alternative 2) may hamper future development by limiting the extent to which mining operations can prepare postmining landscapes that facilitate development.

The changes proposed in the Action Alternatives (excluding Alternative 9) would improve compliance with the conditions for approval of higher or better uses under section 515(b)(2) of SMCRA (30 U.S.C. § 1265(b)(2)) and the AOC restoration requirements of section 515(b)(3) of SMCRA (30 U.S.C. § 1265(b)(3)). Specifically, all of the Action Alternatives (except Alternative 9) would require that the applicant document a reasonable likelihood of achieving the higher or better use through submission of real estate and construction contracts, plans for installation of any necessary infrastructure, procurement of any necessary zoning approvals, landowner commitments, economic forecasts, and studies by land use planning agencies, as applicable.

An assessment of impacts stemming from the Action Alternatives was conducted for land cover and land use using Geographic Information Systems (GIS) land cover data.⁴⁵ Specifically, the study area for analysis, which includes areas that produced coal in the past five years and which fall within potentially mineable coal reserves (see Section 3.7.2), was overlaid with National Land Cover Dataset data (2011). From these data, the total percent of major land cover types across the seven coal regions in the study area were determined.⁴⁶ Table 4.3-30 provides a brief summary of land cover types across coal regions in the study area.

⁴⁵ This analysis also borrows from the same dataset and method as the analysis done in 4.2.2 Biological Resources. USGS's National Land Cover Dataset (2011) was used for the land cover analysis.

⁴⁶ Section 3.7 and Section 4.2.2 discuss the variations in land cover among the seven different regions outlined in the study. Coal-producing counties were identified from the MSHA Coal Production 2012 dataset (2008-2012).

Table 4.3-30. Land Cover Types by Coal Region in Study Area

Coal Region	Forest	Grass	Shrub	Cropland	Other ¹	Total
Appalachian Basin	91%	0.1%	0.0%	8%	0.6%	100%
Colorado Plateau	39%	6%	52%	1%	1%	100%
Gulf Coast	41%	41%	8%	9%	0.6%	100%
Illinois Basin	12%	0.2%	0.4%	86%	1%	100%
Northern Rocky Mountains	3%	49%	31%	17%	0.4%	100%
Northwest	41%	28%	21%	4%	5%	100%
Western Interior	18%	7%	0.3%	73%	2%	100%

Source: USGS, 2011c.

¹ The “Other” category includes the following categories from the original land use dataset: “Consolidated Rock Sparse Vegetation”, “Unconsolidated Material Sparse Vegetation (old burnt or other disturbance)”, “Urban and Built-up”, “Water bodies”, and “Wetlands”. Areas unlikely to be mined, such as urban areas, national parks, and lakes and ponds were excluded from the study area; however, the land use data comes from a different dataset than the datasets used for this exclusion process, and there is therefore some residual error generated by this process such that these calculations show some area under these categories.

Land cover indicates the vegetative cover found in any particular area and often indicates the land use of that particular area. Unless clearly beneficial, to the degree that coal mining alters the premining land use, it is assumed to have an adverse effect. Insofar as the Action Alternatives improve mine site restoration, they are assumed to reduce the adverse land use impacts on agriculture and residential and commercial development. If Action Alternatives reduce mining or shift mining underground, they are assumed to reduce the adverse impacts of mining on land use.

4.3.2.3.2 Utilities

Among utilities, the Action Alternatives are expected to primarily affect electric utilities.⁴⁷ Since coal is used throughout the U.S. in electricity generation, analysis of the Action Alternatives requires a national perspective. The U.S. Energy Information Administration (U.S. EIA) provides monthly electricity production and price data by generation source. The contribution of coal to electricity generation varies across regions and states. Section 3.12 outlines the relative dependence of each coal region on coal as an energy source. As described, states vary in terms of dependence on coal from as little as zero percent in Rhode Island and Vermont to as much as 95.3 percent in West Virginia (U.S. EIA, 2013f). Similarly, electricity prices within the 48 contiguous states vary from a low of \$7.44 per kilowatt hour (kWh) in Louisiana to a high of \$17.16 per kWh in Connecticut (U.S. EIA, 2016c). If states that are heavily dependent on coal for electricity production lose supply due to the implementation of the Action Alternatives, costs per kilowatt hour may rise. Cost effects, however, would also be influenced by other market factors, such as the ability to substitute competitively priced alternative electricity generation sources and coal production changes amongst the regions. However, in the context of the total coal supply and demand for utilities, the forecasted changes in production are expected to have a minimal measurable impact on utilities across the Action Alternatives.

Some of the Action Alternatives would affect utilities if there is a change to the cost or availability of coal in a particular region. For instance, if states dependent on coal for electricity generation face decreased

⁴⁷ Improvements in water quality may benefit public drinking water suppliers by reducing pollutant levels and therefore costs of water treatment. This is discussed further in Section 4.2.1 and 4.3.4.

coal supply due to the Action Alternatives, electricity costs per kilowatt hour may rise. In addition to the influence of coal availability, electricity costs would also be influenced by other market factors such as the availability of substitute electricity sources and trends in consumer demand and conservation.

4.3.2.3.3 Infrastructure

Transportation infrastructure projects in certain regions may benefit from various elements of the Action Alternatives. As discussed earlier, effects of the Action Alternatives on transportation infrastructure are expected to follow the trends associated with changes to coal production. If mining operations shift regionally because of the Action Alternatives, new infrastructure development may be necessary in some regions. For example, as outlined in Section 3.12, if production increases in the Northern Rocky Mountains and Great Plains region, the region would likely require investment in railroad projects to transport additional reserves to market without delay from congestion.⁴⁸ Currently, areas such as the Northern and Central Appalachian areas are estimated to be operating at near to full capacity, and would require rail and road infrastructure development in the event more mining occurs. The Illinois Basin would also require improvements in rail capacity in the event mining increases within the region. However, in any of these regions, roads and railways may suffer less wear in the event that Action Alternatives limit or shift coal production away from the area as shown in Table 4.2-23.

4.3.2.3.4 Visual Resources

Effects on visual resources are influenced by the extent of mining, the prevalence of surface mining, and postmining reclamation. Alternatives 2, 3, 4, 5, 7, and 8 (Preferred) require reforestation of previously forested land and decrease postmining impacts to visual resources. Alternatives 2, 3, 4, 5, and 8 (Preferred) require more stringent reforming of AOC than Alternatives 6, 7, or 9, leading to a greater reduction in postmining impacts to visual resources relative to those Alternatives. Overall changes in the volume of regional coal extraction and the ratio of surface to underground mining, as shown in Table 4.2-23, also influence visual resources.

4.3.2.3.5 Noise

Short-term impacts from noise are assumed to be directly related to the total volume of coal mining (Section 4.1 describes forecasted production under the Alternatives). As such, noise impacts would likely decrease under all the Action Alternatives, but to varying degrees. The greatest noise reductions would likely be realized under Alternative 2, followed by Alternatives 3 and 7. In addition, Alternative 2 involves shifts from surface to underground mining in the Appalachian Basin region; this change could also reduce noise impacts.

4.3.2.4 Summary of Effects

As discussed in Section 4.0.3, this section considers the potential effects of the Alternatives on land use, utilities, infrastructure, visual resources, and noise. Some impacts of the Alternatives on these resources would be confined to the mining period (short-term), and other impacts would be expected to extend beyond the period of active mining (long-term). A number of impacts on these resources are anticipated to be beneficial (e.g., a reduction in the amount of surface coal mined may decrease the total area of

⁴⁸ See Section 3.12 for a full discussion of current and future projections of transportation infrastructure.

affected land use and reduce adverse impacts on visual resources, infrastructure, and noise). Other impacts are anticipated to be neutral or negligible (e.g., because increased utility prices are expected to be passed through to consumers, impacts to utilities for all Action Alternatives are classified as Negligible.) Determinations of the intensity of impacts on these resources considered the impacts of the Action Alternatives on each of these resources. Specifically, determinations were made using the following analytical categories:

- **Negligible:** Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- **Minor Beneficial:** The action could reduce land disturbances in localized areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments. The action could improve public services or utilities, but the impact would be localized and within operational capacities. There could be an improvement in the viewshed that is readily apparent. The action could decrease noise, but its benefit to the soundscape would be localized and unlikely to affect current user activities.
- **Moderate Beneficial:** The action could reduce land disturbances in local and adjacent areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments in surrounding areas. The action could improve public services or utilities in local and adjacent areas. Short service interruptions to roadway and railroad traffic could be reduced. There could be an improvement in the viewshed that is readily apparent. The changes would not markedly improve the viewscape, but could enhance current user activities or experiences. The action could decrease noise and the benefit could improve the soundscape in local and adjacent areas. User activities could be enhanced.
- **Major Beneficial:** The action could reduce land disturbances over widespread areas. The impacts could affect decisions to construct residential, commercial, and agricultural developments in the region. The action could improve public services or utilities over a widespread area resulting in an increase of certain services or necessary utilities. Extensive service disruptions to roadways or railroad traffic could be reduced. There could be improvements to characteristic views of the region, which could enhance current user activities or experiences. The action could decrease noise and improve the soundscape over widespread areas. Noise levels could enhance user activities.

As noted throughout, the No Action Alternative, which leaves current regulations unchanged, is expected to continue current trends of coal mining impacts on the resources discussed in this section. Also, in the context of the total coal supply and demand for utilities, the forecasted changes in production due to the Action Alternatives are expected to have a minimal impact, resulting in an average change in electricity costs ranging from a 0.06 percent increase under Alternative 2 to a zero percent change under Alternative 9, nationally. However, because increased utility prices are expected to be passed through to consumers, impacts to utilities for all Action Alternatives are classified as Negligible. The analyses of the impacts of the No Action Alternative are presented in Sections 4.3.2.1 through 4.3.2.4.

Alternative 2 is anticipated to result in Minor Beneficial results to land use, utilities, infrastructure, visual resources, and noise at the national scale when compared to the No Action Alternative. Other Alternatives are anticipated to result in Negligible impacts at the national scale.

At a regional scale, Moderate Beneficial impacts to land use, utilities, infrastructure, visual resources, and noise are anticipated in the Appalachian Basin under Alternatives 2, 3, 4, 5, 7, and 8 (Preferred). Effects on land use, utilities, infrastructure, visual resources, and noise are anticipated to be Minor Beneficial or Negligible in other regions when compared to the No Action Alternative.

4.3.2.4.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in land use, utilities, infrastructure, visual resources, and noise would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on land uses under the No Action Alternative.

Reduced coal production would reduce adverse impacts to land use, reduce demands on utilities, and infrastructure, reduce adverse impacts to visual resources, and reduce noise in coal mining regions under the No Action Alternative.

In concert with the above, efforts to improve land use, utilities, infrastructure, visual resources, and noise conditions under the EPA Noise Control Regulations, the Emergency Watershed Protection (EWP), the Forest Service Manual (FSM), and regional growth and development trends, as well as regional, state, local mine reclamation efforts, may continue to improve land use conditions in localized areas under the No Action Alternative.

4.3.2.4.2 Alternative 2

4.3.2.4.2.1 Appalachian Basin Region

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region, coupled with a minor shift towards underground mining. Such changes would decrease the total area of affected land use and reduce adverse impacts on visual resources, infrastructure, and noise. This would be largely due to a reduction in the area disturbed per million tons of coal mined. Improved reforestation under Alternative 2 would create beneficial impacts on land use and visual resources in areas that are disturbed, as would strengthening requirements to achieve AOC. Taken together, this Alternative is anticipated to have long-term, and medium scope beneficial impacts on land use, visual resources, and noise. It is therefore classified as having an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.2.2 Colorado Plateau Region and Gulf Coast Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.2.3 Illinois Basin Region

Analysis indicates that this Alternative would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have

long term and small scope impacts and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.2.4 Northern Rocky Mountains and Great Plains Region

Analysis indicates that this Alternative would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have long term and small scope impacts, and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.2.5 Northwest Region and Western Interior Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.3 Alternative 3

4.3.2.4.3.1 Appalachian Basin Region

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region. Such changes would decrease the total area of affected land use, reduce infrastructure demands, and lessen adverse impacts on visual resources and noise. In addition, the area disturbed per million tons of coal mined would decrease. Improved reforestation under Alternative 3 would create beneficial impacts on land use and visual resources. Taken together, this Alternative is anticipated to have a long term and medium scope impact and, thus, is classified as an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.3.2 Colorado Plateau Region and Gulf Coast Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.3.3 Illinois Basin Region

The analysis indicates that this Alternative would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have long term and small scope impacts and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.3.4 Northern Rocky Mountains and Great Plains Region, Northwest Region, Western Interior Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions, and, therefore, Negligible impacts are anticipated.

4.3.2.4.4 Alternative 4

4.3.2.4.4.1 *Appalachian Basin Region*

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region, coupled with a minor shift towards underground mining. Such changes would decrease the total area of affected land use, reduce infrastructure demands, and lessen adverse impacts on visual resources and noise. In addition, the area disturbed per million tons of coal mined would decrease. Improved reforestation would create beneficial impacts on land use and visual resources. Taken together, this Alternative is anticipated to have a long term and medium scope impact and, thus, is classified as an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.4.2 *Colorado Plateau Region and Gulf Coast Region*

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.4.3 *Illinois Basin Region*

Analysis indicates that this Alternative would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have long term and small scope impacts, and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.4.4 *Northern Rocky Mountains and Great Plains Region, Northwest Region, Western Interior Region*

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.5 Alternative 5

4.3.2.4.5.1 *Appalachian Basin Region*

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region, coupled with a minor shift towards underground mining. Such changes would decrease the total area of affected land use, reduce infrastructure demands, and lessen adverse impacts on visual resources and noise. In addition, the area disturbed per million tons of coal mined would decrease. Improved reforestation would create beneficial impacts on land use and visual resources. Taken together, this Alternative is anticipated to have a long term and medium scope impact and, thus, is classified as an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.5.2 *All Other Regions*

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.6 Alternative 6

4.3.2.4.6.1 *All Regions*

The analysis for this Alternative shows minimal measurable impacts to the resources examined and therefore, Negligible impacts are anticipated.

4.3.2.4.7 Alternative 7

4.3.2.4.7.1 *Appalachian Basin Region*

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region, coupled with a minor shift towards underground mining. Such changes would decrease the total area of affected land use, reduce infrastructure demands, and lessen adverse impacts on visual resources and noise. In addition, the area disturbed per million tons of coal mined would decrease. Improved reforestation would create beneficial impacts on land use and visual resources. Taken together, this Alternative is anticipated to have a long term and medium scope impact and, thus, is classified as an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.7.2 *Colorado Plateau Region and Gulf Coast Region*

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.7.3 *Illinois Basin Region*

Analysis indicates that this Alternative would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have long term and small scope impact, and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.7.4 *Northern Rocky Mountains and Great Plains Region, Northwest Region, Western Interior Region*

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.8 Alternative 8 (Preferred)

4.3.2.4.8.1 *Appalachian Basin Region*

Analysis suggests that this Alternative would cause a slight decrease in total coal production for this region. Such changes would decrease the total area of affected land use, reduce infrastructure demands, and lessen adverse impacts on visual resources and noise. In addition, the area disturbed per million tons of coal mined would decrease. Improved reforestation would create beneficial impacts on land use and visual resources. Taken together, this Alternative is anticipated to have a long term and medium scope impact and, thus, is classified as an overall Moderate Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.8.2 Colorado Plateau Region and Gulf Coast Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.8.3 Illinois Basin Region

Analysis indicates that this Alternative would decrease total coal production in this region, thereby decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative would likely have long term and small scope impacts, and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise.

4.3.2.4.8.4 Northern Rocky Mountains and Great Plains Region, Northwest Region, Western Interior Region

The analysis for this Alternative shows minimal measurable impacts to the resources examined within these regions and therefore, Negligible impacts are anticipated.

4.3.2.4.9 Alternative 9

4.3.2.4.9.1 All Regions

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zonerule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible on land use, utilities, infrastructure, visual resources, and noise evaluated in this FEIS.

Table 4.3-31. Summary of Impacts of the Action Alternatives on Land Use, Utilities, Infrastructure, Visual Resources, and Noise Compared to the No Action Alternative

Alternative	Metric	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	National
Alternative 2	Classification	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial
	Rationale	LT, MS	MMI	MMI	LT, SS	LT, SS	MMI	MMI	
Alternative 3	Classification	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible	Negligible
	Rationale	LT, MS	MMI	MMI	LT, SS	MMI	MMI	MMI	
Alternative 4	Classification	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible	Negligible
	Rationale	LT, MS	MMI	MMI	LT, SS	MMI	MMI	MMI	
Alternative 5	Classification	Moderate Beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Rationale	LT, MS	MMI	MMI	MMI	MMI	MMI	MMI	
Alternative 6	Classification	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Rationale	MMI	MMI	MMI	MMI	MMI	MMI	MMI	
Alternative 7	Classification	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible	Negligible
	Rationale	LT, MS	MMI	MMI	LT, SS	MMI	MMI	MMI	
Alternative 8 (Preferred)	Classification	Moderate Beneficial	Negligible	Negligible	Minor Beneficial	Negligible	Negligible	Negligible	Negligible
	Rationale	LT, MS	MMI	MMI	LT, SS	MMI	MMI	MMI	
Alternative 9	Classification	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Rationale	MMI	MMI	MMI	MMI	MMI	MMI	MMI	

Notes:

LT = Long-term impact; MS = Medium scope impact; SS = Small scope impact; MMI = Minimal measurable impact.

Please see Section 4.0 for a definition of Negligible, Minor, and Moderate impact terms used above.

For a discussion of the impacts of the No Action Alternative (Alternative 1), see Section 4.2.3.1.

4.3.3 Recreation

Recreational resources are those features that support activities pursued for enjoyment, leisure, pleasure, or relaxation. For example, rivers and streams may support boating and fishing, and forested landscapes may provide opportunities for hunting or hiking. Changes to these resources alter the recreational activities they sustain. While such activities vary extensively, recreation is characterized, in the context of this analysis, in terms of outdoor activities occurring within a natural landscape. Specifically, this chapter explores the impacts of the Action Alternatives on land- and water-based recreational opportunities within each of the seven coal regions compared to the No Action Alternative. These recreational activities, including hunting, wildlife viewing, trail use, boating, and fishing, may occur on both public and private lands within the study area.

The discussion of recreational impacts is organized as follows:

- The first subsection reviews the existing regulatory environment and its implications for recreation. It identifies specific elements of the Action Alternatives that could affect recreational opportunities, contrasting these elements to requirements under the No Action Alternative.
- Next, the discussion considers existing recreational resources in the region and quantifies the extent to which the Action Alternatives could enhance or degrade those resources.
- The final subsection summarizes the impacts of the Action Alternatives, characterizing these impacts by region.

4.3.3.1 *Effects of the Current Regulatory Environment (the No Action Alternative)*

4.3.3.1.1 Current Restrictions on Coal Mining Location

Section 522(e) of SMCRA (30 U.S.C. § 1272(e)) requires that certain recreational resources not be disturbed by mining. Specifically, surface coal mining operations must not be permitted “within the boundaries of units of the National Park System, the National Wildlife Refuge Systems, the National System of Trails, the National Wilderness Preservation System, the Wild and Scenic Rivers System, including study rivers designated under section 5(a) of the Wild and Scenic Rivers Act and National Recreation Areas designated by Act of Congress” (30 U.S.C. § 1272(e)(1)). In addition, mining is not allowed on any federal lands within the boundaries of any national forest; in areas that would adversely affect any publicly owned park or place on the National Register of Historic Places; or within 300 feet of a public park (30 U.S.C. § 1272(e)(2), (3), and (5); *see also* 30 CFR Part 761. The exception would be where the operation qualifies as existing under 30 CFR 761.12 or an applicant has valid existing rights under 30 CFR 761.16. However, since the enactment of SMCRA over three decades ago, the frequency of valid existing rights claims is declining.

30 CFR 761.11(c) specifies that if a proposed surface coal mining operation would have an adverse impact on a publicly owned park or place in the National Register of Historic Places, the proposed operation cannot be authorized unless both the SMCRA regulatory authority and the agency with jurisdiction over the park or place jointly approve the operation. In essence, if adverse impacts are identified, under 30 CFR 780.31(a) or 784.17(a) the applicant must prepare a plan to prevent adverse impacts, or (if approved by both agencies) to minimize adverse impacts.

Section 522 of SMCRA also establishes a process for designating areas as unsuitable for surface coal mining operations. For example, areas may be designated unsuitable if the operations would “affect fragile or historic lands in which such operations could result in significant damage to important historic, cultural, scientific, and esthetic values and natural systems” (30 U.S.C. § 1272(a)(3)(B); *see also* 30 CFR Part 762). Such “fragile or historic lands” might include recreational resources. Under Section 522(b) of SMCRA, before mining is allowed to occur, all federal lands must be evaluated using the unsuitability criteria (30 U.S.C. § 1272(b)). Finally, SMCRA allows anyone with an interest that is or may be adversely affected to petition the appropriate SMCRA regulatory authority to have certain lands, including fragile or historic lands, designated unsuitable for mining under the unsuitability criteria (30 U.S.C. § 1272(c) *see also* 30 CFR Parts 764 and 769). Numerous petitions have been filed under this process. Some have been denied, some approved and some partially approved. Most of the petitions have been filed in primacy states, and OSMRE does not maintain records of the number of petitions nationwide, the primary concerns that form the basis of these petitions, or the number of acres ultimately designated as a result.

4.3.3.1.2 Potentially Affected Recreational Resources

Understanding recreational resources and the existing level of recreational activity in each of the coal regions provides context for assessing the Action Alternatives. Public lands, including federal, state, and locally managed lands, are often popular destinations for recreators due to the relatively natural and undeveloped quality of those lands. In addition, private lands are also used for recreation. Table 4.3-30 characterizes the areas of federal, state, municipal, protected lands within the study area by state, as well as lands owned by non-governmental organizations and private landowners which have been reported as being set aside for conservation or protection. These figures were estimated using USGS’ Protected Areas Database of the U.S. (PAD-US), which includes public land ownership and conservation lands, including voluntarily provided privately protected areas, for the continental United States, Alaska, Hawaii and Puerto Rico. (USGS, 2016).

Hunting is not allowed on all areas classified as protected. For example, although hunting is permitted on some National Park Service (NPS) lands, hunting is not generally allowed on NPS lands. However, National Forests, U.S. Bureau of Land Management (BLM) lands, and National Recreation Areas often allow hunting. Approximately 60 percent of U.S. Fish and Wildlife Service (U.S. FWS) land allows hunting.

The 2011 edition of the U.S. FWS’s *National Survey of Fishing, Hunting, and Wildlife-Associated Recreation* (USFWS 2011 survey) provides comprehensive data at the state level characterizing participation in hunting, wildlife viewing, and fishing. The survey reports the total number of hunter-days, wildlife viewing-days, and fishing-days for each state.⁴⁹ According to the USFWS survey, 13.7 million people hunted in the U.S. in 2011. Of these, 4.9 million hunters, or 36 percent of all hunters in the U.S., hunted on public lands and 1.7 million, or 13 percent of all hunters, exclusively hunted on public lands. Almost a third of the U.S. population over the age of 16, or nearly 72 million residents, took part

⁴⁹ Activity levels are measured as the activity of one participant over the course of one day. For example, two individuals hunting for a total of three days together would generate six hunter-days.

in wildlife viewing activities and 33.1 million residents over the age of 16 participated in fishing activities in 2011 (USFWS 2011).

Table 4.3-33 shows the USFWS 2011 survey estimates of total annual hunting, wildlife viewing, and fishing days for each state that intersects the study area.

Table 4.3-32. Protected Land Ownership Within the Study Area, by State

Geography	Percent Study Area Protected	Federal (thousands of acres)¹	State/ Local Government (thousands of acres)²	Private (thousands of acres)³	Total Protected in Study Area (thousands of acres)
Appalachian Basin	10%	1500	1800	130	3400
West Virginia	8%	340	280	13	640
Kentucky	13%	600	170	4.8	780
Pennsylvania	11%	93	700	46	830
Virginia	8%	77	6.1	0.17	83
Alabama	5%	12	130	5.7	150
Ohio	8%	250	210	50	510
Tennessee	17%	81	250	6.4	330
Maryland	21%	13	41	2.1	56
Colorado Plateau	81%	6300	300	27	6600
Colorado	76%	1700	37	27	1800
Utah	78%	1300	94	0.00049	1400
New Mexico	84%	3100	170	0	3200
Arizona	99%	220	0.025	0	220
Gulf Coast	2%	74	44	16	130
Texas	1%	28	22	3.6	54
Louisiana	4%	29	8	0	37
Mississippi	8%	17	15	13	44
Illinois Basin	4%	330	320	140	790
Kentucky	4%	9.6	77	0.76	87
Illinois	4%	270	170	130	570
Indiana	4%	51	76	2.8	130
Northern Rocky Mountains and Great Plains	25%	2400	600	44	3000
Wyoming	29%	840	190	2.9	1000
Colorado	18%	130	130	4.8	270

Geography	Percent Study Area Protected	Federal (thousands of acres)1	State/ Local Government (thousands of acres)2	Private (thousands of acres)3	Total Protected in Study Area (thousands of acres)
Montana	31%	910	200	33	1100
North Dakota	17%	510	83	2.8	590
Northwest	98%	40	210	1.5	250
Alaska	98%	35	190	0	220
Western Interior	10%	350	43	40	440
Oklahoma	14%	260	39	4.8	300
Kansas	4%	18	4.4	4.8	27
Missouri	4%	14	0.015	30	44
Arkansas	24%	60	0	0.0056	60

Source: Acres of protected lands calculated using GIS analyses on databases provided by USGS (USGS National Gap Analysis Program, May 5, 2016. Available at <http://gapanalysis.usgs.gov/padus/data/>)

Note: Recreational resources listed above are restricted to those that fall within the study area in each region. As detailed in Section 4.0, the study area represents areas where future coal mining is expected to occur based on past mining activity. Values have been rounded to two significant figures.

Includes lands that are jointly managed.

Includes lands that are managed by regional agencies.

Includes lands that are owned/managed by non-governmental organizations, as well as a small amount of protected lands with “unknown” ownership.

For this analysis, the study area encompasses all geographic areas likely to be affected by the Action Alternatives. The total value of recreational activity in states which intersect each coal region is estimated based on average, per-day value parameters in the economics literature and the 2011 FWS survey estimates of the frequency of hunting, fishing, and wildlife viewing reported above. Adjusted to 2014 dollars,⁵⁰ these consumer surplus⁵¹ values per person, per day of activity are estimated at \$57.16, \$51.61, and \$57.46 for hunting, wildlife viewing, and fishing, respectively (Loomis, 2005).⁵² By multiplying these value estimates by the total activity level for each recreational opportunity in all states, the total annual economic value of the recreational activities is determined. The results generated for hunting, wildlife viewing, and fishing in each of the seven coal regions are provided in Tables 4.3-34 and 4.3-34. Specifically, these tables characterize the relative importance of the states within the coal mining

⁵⁰ Values were adjusted using the Bureau of Labor Statistics’ CPI Inflation Calculator. www.data.bls.gov/cgi-bin/cpicalc.pl.

⁵¹ Consumer surplus is the difference between the maximum amount that an individual would be willing to pay for a day of recreation and the price that the individual actually pays (in the form of recreational expenditures). Natural resource economists use consumer surplus as a measure of the net economic welfare that an individual enjoys as a result of a recreational experience.

⁵² In his report, Loomis summarizes thirty years of the literature on net economic value of outdoor recreation on public lands at the national level. It is likely that these value estimates for recreational activities vary by region; however, the literature does not currently provide more geographically specific per-day values.

regions in providing recreational opportunity using two indicators: (1) the level of recreational activity on the public lands within the states; and (2) the total value of these recreational opportunities.

Table 4.3-33. Annual Recreational Activity Levels, for States with Active Coal Mining that Intersect the Study Area (2011)

Geography	Percent of State in the Study Area	Annual Hunting Days (millions)	Annual Wildlife Viewing Days (millions)	Annual Freshwater Fishing Days (millions)	Total Activity Days (millions)
Appalachian Basin	18%	74	39	85	200
West Virginia	49%	3.2	3.6	4.5	11
Kentucky	23%	12	2.9	10	25
Pennsylvania	27%	18	9.6	10	38
Virginia	4%	10	4.6	11	25
Alabama	9%	11	1.5	11	23
Ohio	25%	9	6.3	17	32
Tennessee	7%	9.8	6.4	17	33
Maryland	4%	1	4.5	4.7	10
Colorado Plateau	3%	8.5	30	23	62
Colorado	3%	2.2	6.9	8.4	18
Utah	3%	2.7	5.2	6	14
New Mexico	5%	0.93	6	3.9	11
Arizona	0%	2.6	12	4.8	19
Gulf Coast	4%	35	21	58	110
Texas	4%	20	12	31	63
Louisiana	3%	5.2	4.9	18	28
Mississippi	2%	9.1	3.9	9.2	22
Illinois Basin	24%	31	12	44	88
Kentucky	9%	12	2.9	10	25
Illinois	41%	7.8	6.4	13	28
Indiana	13%	11	2.9	21	35
Northern Rocky Mountains and Great Plains	4%	7.7	12	15	34
Wyoming	6%	1.7	3.1	3.1	8
Colorado	2%	2.2	6.9	8.4	18
Montana	4%	2.5	1.4	2.5	6.3
North Dakota	8%	1.3	0.26	0.95	2.6
Northwest	0%	1.3	5.2	4.4	11

Geography	Percent of State in the Study Area	Annual Hunting Days (millions)	Annual Wildlife Viewing Days (millions)	Annual Freshwater Fishing Days (millions)	Total Activity Days (millions)
Alaska	0%	1.3	5.2	4.4	11
Western Interior	2%	31	14	43	88
Oklahoma	5%	5	3.1	8.5	17
Kansas	1%	5.2	1	4.2	10
Missouri	2%	10	8.2	15	33
Arkansas	1%	11	1.4	16	28

Source: U.S. FWS's *National Survey of Fishing, Hunting, and Wildlife-Associated Recreation* 2011 edition. For states that intersect more than one coal region, reported activity values are split evenly.

Table 4.3-34. Annual Value of Recreational Activity for States with Active Coal Mining that Intersect the Study Area (2011)

Geography	Percent of State/Region in the Study Area	Value of Annual Hunting Activity (millions of 2014\$)	Value of Annual Wildlife Viewing Activity (millions of 2014\$)	Value of Annual Freshwater Fishing Activity (millions of 2014\$)	Total Value of Recreational Activity (millions of 2014\$)
Appalachian Basin	18%	\$4,200	\$2,000	\$4,900	\$11,000
West Virginia	49%	\$180	\$190	\$260	\$630
Kentucky	23%	\$700	\$150	\$590	\$1,400
Pennsylvania	27%	\$1,000	\$490	\$580	\$2,100
Virginia	4%	\$580	\$230	\$600	\$1,400
Alabama	9%	\$600	\$79	\$620	\$1,300
Ohio	25%	\$510	\$320	\$970	\$1,800
Tennessee	7%	\$560	\$330	\$970	\$1,900
Maryland	4%	\$59	\$230	\$270	\$560
Colorado Plateau	3%	\$480	\$1,500	\$1,300	\$3,400
Colorado	3%	\$120	\$360	\$480	\$970
Utah	3%	\$160	\$270	\$340	\$770
New Mexico	5%	\$53	\$310	\$220	\$580
Arizona	0%	\$150	\$610	\$280	\$1,000
Gulf Coast	4%	\$2,000	\$1,100	\$3,300	\$6,400
Texas	4%	\$1,200	\$610	\$1,800	\$3,500
Louisiana	3%	\$300	\$250	\$1,000	\$1,600
Mississippi	2%	\$520	\$200	\$530	\$1,300
Illinois Basin	24%	\$1,800	\$630	\$2,500	\$4,900
Kentucky	9%	\$700	\$150	\$590	\$1,400
Illinois	41%	\$450	\$330	\$770	\$1,500
Indiana	13%	\$620	\$150	\$1,200	\$2,000
Northern Rocky Mountains and Great Plains	4%	\$440	\$600	\$860	\$1,900
Wyoming	6%	\$99	\$160	\$180	\$440
Colorado	2%	\$120	\$360	\$480	\$970
Montana	4%	\$140	\$72	\$140	\$360
North Dakota	8%	\$77	\$14	\$55	\$150
Northwest	0%	\$76	\$270	\$250	\$590
Alaska	0%	\$76	\$270	\$250	\$590
Western Interior	2%	\$1,800	\$710	\$2,500	\$5,000
Oklahoma	5%	\$280	\$160	\$490	\$930

Geography	Percent of State/Region in the Study Area	Value of Annual Hunting Activity (millions of 2014\$)	Value of Annual Wildlife Viewing Activity (millions of 2014\$)	Value of Annual Freshwater Fishing Activity (millions of 2014\$)	Total Value of Recreational Activity (millions of 2014\$)
Kansas	1%	\$300	\$53	\$240	\$590
Missouri	2%	\$580	\$420	\$850	\$1,900
Arkansas	1%	\$630	\$74	\$900	\$1,600

Source: Activity values taken from John Loomis’s 2005 publication Updated Outdoor Recreation Use Values on National Forests and Other Public Lands.

Note: Value of annual hunting, fishing, and wildlife viewing activity for each coal region is the sum of the state totals within the region. All values have been rounded to two significant figures. For states that intersect more than one coal region, reported values are split evenly.

These available data support the following comparison or recreational resource availability by coal region:

- **Appalachian Basin Region:** The study area comprises approximately 18 percent of the land area in the Appalachian Basin (ranging from four to 49 percent depending on the state). Protected lands in this region are predominantly split between state and federal land managers. Notably, 49 percent of the State of West Virginia falls within the study area. Approximately ten percent of the overall study area in this region is protected (3.4 million acres of protected lands). Fishing is a relatively popular activity in the Appalachian Basin region in comparison to the other coal regions, partly due to the extent of rivers and streams. In fact, the FWS 2011 survey reports that the states in the Appalachian Basin coal region had the highest annual hunting, wildlife viewing, freshwater fishing days, and associated recreational values of all coal regions in 2011, with a combined total of 200 million recreation days valued at \$11 billion.
- **Colorado Plateau Region:** The study area comprises approximately three percent of the land area in the Colorado Plateau (ranging from less than one to five percent depending on the state). While the study area is small relative to the overall land area of the region, this region has the largest overall protected acreage of all coal regions (6.6 million acres). Of the study area lands, approximately 81 percent is protected, primarily in New Mexico, Colorado, and Utah. The FWS 2011 survey reports that the states in the Colorado Plateau Region had a total of 62 million total hunting, wildlife viewing, and fishing days in 2011, ranging from 11 million days in New Mexico to 19 million days in Arizona.
- **Gulf Coast Region:** The study area comprises four percent of the land area in the Gulf Coast region (ranging from two to four percent depending on the state). Approximately two percent of the study area (130 thousand acres) is protected. While the study area and protected area in this region is small relative to the overall land area of the region, of all states in coal regions Texas had the highest annual hunting, wildlife viewing, and freshwater fishing days in 2011, with a combined total of 63 million days. All states in the Gulf Coast Region had a total of 110 hunting, wildlife viewing, and fishing days in 2011.

- **Illinois Basin Region:** The study area comprises approximately 24 percent of the Illinois Basin. Notably, 41 percent of the State of Illinois is included in the study area. Approximately four percent of the study area in the Illinois Basin is protected (790 thousand acres of protected lands). The total hunting, fishing, and wildlife viewing days in the states in the Illinois Basin was 88 million in 2011. Fishing was a particularly popular activity in the study area's states with the second highest annual fishing days among all regions' states in 2011.
- **Northern Rocky Mountains and Great Plains Region:** The study area comprises approximately four percent of the land area in the Northern Rocky Mountains and Great Plains Region (ranging from two to six percent by state). Approximately 25 percent of the study area is protected, most of which is federally owned. Notably, approximately 31 percent of the study area in Montana is currently protected. The total hunting, wildlife viewing, and fishing days in the region's states was 34 million in 2011, ranging from 2.6 million days in North Dakota to 18 million days in Colorado. Among all states in the coal study regions, North Dakota had the lowest annual wildlife viewing and freshwater fishing days.
- **Northwest Region:** The study area comprises less than one percent of the land area in the Northwest region which is represented only by a small area within the State of Alaska (230 thousand acres are in the study area. Of the small area in the study area, approximately 98 percent is protected (220,000 acres of protected lands). There were a total of 11 million hunting, wildlife viewing, and fishing days in Alaska in 2011. Note that, while Alaska has abundant pristine, natural land that is optimal for recreational activities, very little coal mining currently occurs in this State or in the Northwest Region, and therefore the recreational resources within the study area that could be affected by the Action Alternatives are limited.
- **Western Interior Region:** The study area comprises approximately two percent of the land area in the Western Interior Region (ranging from one to five percent by state). Approximately ten percent of the study area is protected (440 thousand acres of protected lands). Notably, 24 percent of Arkansas is protected. The hunting, wildlife viewing, and fishing days in the region's states was approximately 88 million in 2011, ranging from 10 million days in Kansas to 33 million days in Missouri. The total value of the recreational activity in the region's states was five billion dollars in 2011.

4.3.3.1.3 Caveats and Uncertainties

Several constraints limit the analysis of potentially affected recreational resources and activities across regions.

- The U.S. FWS recreation survey only tracks hunting, wildlife viewing, and fishing. Other recreational activities also dependent on forest or water, such as hiking, ATV use, boating, and swimming, may also benefit from the Action Alternatives. No systematic, national data exist to characterize activity levels for these recreational pursuits.
- Currently, SMCRA prohibits mining on certain categories of federal lands, including lands within the National Park System. However, these protected areas may experience indirect affects by mining activities on adjacent lands, through habitat disruption and visual and noise impairment.

- Additional private lands not captured in the PAD-US data which support mining currently or in the future may also provide recreational opportunities. While not accounted for in the analysis of recreational land, this recreation on private land could be affected by the Action Alternatives.
- Public commenters have pointed out that many state wildlife agencies in coal-producing states collect very good data on hunter harvest of deer, elk, bears, and turkeys on public and private lands. Commenters also point out that state wildlife agencies have some formal hunter access agreements with large corporate landowners in coal-producing counties. Unfortunately, the data on recreational visitation to private lands are inconsistent on a national scale, and do not provide sufficient data to understand the extent and quality of private land habitats and how these characteristics could be affected by the alternatives considered. The metrics captured in hunter harvest data (e.g. hunter success rates, days of effort per harvested animal, total days of effort, number of licenses sold) present a valuable snapshot of historic and current hunter activity. However this data provides no additional insight on expectations for future management of private lands or expectations of future hunter harvests with or without implementation of any particular alternative. Management of public lands is more predictable and subject to overarching goals that are established under public review.
- The quantified results do not specifically capture the occasional practice that occurs under the No Action Alternative, in which reclaimed land is designated specifically for recreational uses. This practice may be accompanied by a conveyance of private reclaimed land to the public. Designating reclaimed land for recreational purposes may increase recreational use after mining relative to premining conditions. No systematic data exist for assessing the frequency of this practice. If mining decreases, however, some land that under the No Action Alternative would have been mined, reclaimed, and converted to a public recreational resource may remain unmined and in private control, unavailable to recreators.
- Finally, the use of national or coal region averages for consumer surplus values or recreational activity levels, respectively, can mask more nuanced variation. For example, the per-day use value of hunting may be greater in the Appalachian Basin than in the Gulf Coast; however, this difference would not be captured in the application of the national average for hunting value to both coal regions.

4.3.3.2 Action Alternatives and Potential Effects on Recreational Resources

Various elements of each Alternative may affect either the quantity or quality of recreational activities within the coal mining regions. Quantity refers to the number of recreational outings taken, while quality refers to the utility (defined by economists as a sense of well-being) that individuals derive from a recreational experience. For example, an increase in the abundance of wildlife may lead to more wildlife viewing excursions (quantity) and may also lead to a greater diversity of species observed during each excursion (quality).

Table 4.3-35 summarizes the recreational activities potentially affected by the various elements of the Action Alternatives. The following discussion describes how each of the elements may affect recreation within the coal regions, and why certain elements are not expected to affect recreation. Full descriptions of the elements and how they vary across Alternatives can be found in Chapter 2.

Table 4.3-35. Action Alternatives Elements and Potential Effects on Recreational Activities

Action Alternatives Element	Hiking	ATV Use	Hunting	Wildlife Viewing	Fishing	Swimming	Boating
Baseline Data Collection and Analysis					■	■	■
Monitoring During Mining and Reclamation					■	■	■
Definition of Material Damage to the Hydrologic Balance					■	■	■
Evaluation Thresholds					■	■	■
Mining Through Streams					■	■	■
Activities In or Near Streams Including Excess Spoil and Coal Refuse	■			■	■	■	■
AOC Variances	■	■		■	■	■	■
Surface Configuration	■			■			
Revegetation, Topsoil Management, and Reforestation	■	■	■	■			
Wildlife Protection and Enhancement			■	■	■		

Table 4.3-35 links the elements with popular outdoor activities, both land- and water-based. Land-based recreational activities include hunting, wildlife viewing, hiking, and all-terrain vehicle (ATV) use.⁵³ These activities may be affected by the Action Alternatives to the extent that the Alternatives: (1) reduce or increase the number of trails or the land area available to support them; (2) result in degraded or improved wildlife habitat and populations; or (3) generate more or less natural, aesthetically-pleasing landscapes. Common water-based activities include boating, swimming, and fishing. These activities may be altered by elements of the Alternatives that affect the quality, quantity, or accessibility of water resources.⁵⁴ In addition, to the extent an Alternative reduces coal mining activity in a region recreational areas may be preserved resulting in an indirect benefit to some areas.

⁵³ Use of all-terrain vehicles is an outdoor activity which has become increasingly popular, particularly in rural areas (Cribari, 2002).

⁵⁴ The link between water quality and value of water-based recreational activity has been established in the literature (Koteen, et al., 2002; Hayes, et al., 1992).

4.3.3.2.1 Protection of the Hydrologic Balance

4.3.3.2.1.1 *Baseline Data Collection and Analysis*

The rule elements associated with baseline data collection and analysis serve to specify water sampling and analysis procedures.

- Under the No Action Alternative (Alternative 1) some requirements for baseline data collection and analysis exist, as described in Chapter 2. Data characterizing premining conditions allow mine operators and regulators to identify the incremental effects of the mining activity on monitored water quality parameters.
- The Action Alternatives (excluding Alternative 9) standardize the sampling protocol and increase the assessment and monitoring activities for baseline data collection and analysis, as described in Chapter 2. These changes are not expected to directly affect recreational activities but may lead to indirect effects on recreational resources to the extent that they promote improved water quality in the region.
- The requirements of Alternative 9 with respect to this element are the same as the No Action Alternative and, as such, their effects on recreational resources are the same as the No Action Alternative.

4.3.3.2.1.2 *Monitoring During Mining and Reclamation*

The Alternatives require the collection and review of stream hydrologic parameters, both during mining and following mining.

- Under the No Action Alternative, the metrics required for monitoring are limited, and the frequencies with which data should be collected are undefined.
- The Action Alternatives establish timeframes for data monitoring and review and include additional metrics to be collected. Such changes are not expected to have a direct effect on recreational opportunities, but could lead to indirect improvements in recreational resources to the extent that they promote improved water quality in the region.
- The requirements of Alternative 9 with respect to this element are the same as the No Action Alternative and, as such, their effects on recreational resources are the same as the No Action Alternative.

4.3.3.2.1.3 *Definition of Material Damage to the Hydrologic Balance*

Both SMCRA and the implementing regulations use the phrase “material damage to the hydrologic balance outside the permit area,” but they do not define this term.

- The No Action Alternative represents the status quo, which regulates material damage to the hydrologic balance but does not define the term. Without a formal definition of the term or enforcement of the protection of it, negative impacts to either quantity or quality of aquatic recreational resources may occur. Stream loss may directly cause a reduction in boating, swimming, and fishing due to the loss of the recreational resource for streams that supported such

uses. Diminished water quality or biological condition (as described in previous sections of this chapter) may adversely affect aquatic habitats and the fish which live in them leading to a reduction in fishing activity or a diminished fishing experience (e.g., due to reduced catch rates). Similarly, such contamination of surface water may lead to a waterway failing to meet the water quality requirements for the designated use of swimming, resulting in fewer swimming trips.

- Alternatives 2, 3, 4, and 8 (Preferred) require a formal definition of material damage to the hydrologic balance outside the permit area. Under this more precise definition, adverse impacts to the quantity or quality of off-site groundwater and surface water would be more quantifiable and demonstrable. As such, water quality impacts could be more readily avoided and water quality standards could be more easily enforced. This increases the likelihood that surface waters would maintain their designated uses under the Clean Water Act, allowing for increased fishing and swimming opportunities as compared to the No Action Alternative. The definition may also help preserve the existence of water bodies, increasing the availability of recreation that is not directly dependent upon water quality, e.g., boating.
- Alternatives 5, 6, and 9 are identical to the No Action Alternative and do not require a formal definition of material damage to the hydrologic balance. As such, the effects on recreational resources are the same as the No Action Alternative.
- Alternative 7 requires a formal definition of material damage to the hydrologic balance on a case-by-case basis whenever enhanced permitting conditions are required.⁵⁵ As such, adverse impacts to water resources may be reduced but only at some mining sites under certain circumstances.

4.3.3.2.1.4 Evaluation Threshold

Evaluation thresholds are standards set lower than those for material damage to the hydrologic balance and are designed to act as a type of early detection system to prevent material damage to the hydrologic balance from occurring.

- Under the No Action Alternative, permit specific monitoring data are used to estimate hydrologic conditions and to determine the need for evaluation. No requirement for specific evaluation thresholds exists.
- Under Alternatives 2, 3, 4, and 8 (Preferred), the evaluation thresholds may improve the likelihood that material damage to the hydrologic balance is avoided. As discussed above for material damage to the hydrologic balance, the avoidance of impacts to off-site ground and surface water would help maintain and preserve water based recreational opportunities such as fishing, swimming, and boating.
- Alternatives 5, 6, and 9 are the same as the No Action Alternative and do not establish evaluation thresholds. Therefore, the effects on recreational resources are the same as the No Action Alternative.

⁵⁵ See Chapter 2 for an explanation of enhanced permit conditions.

- Alternative 7 is similar to Alternatives 2 through 4 but the evaluation thresholds and the adaptive management plan drafted to avoid material damage to the hydrologic balance apply only to the designated enhanced permit areas. In some cases, Alternative 7 may result in effects to recreational resources as compared to the No Action Alternative. As described for Alternatives 2 through 4 above, however, impacts stemming from evaluation thresholds are considered to be indirect because the purpose of the thresholds is to avoid material damage to the hydrologic balance.

4.3.3.2.2 Activities In or Near Streams

4.3.3.2.2.1 *Stream Definitions*

Alternatives 2, 4, 7 and 8 (Preferred) would change the regulatory definition of streams. Alternatives 3, 5, 6, and 9 would retain the current definition of the No Action Alternative. Current regulations classify all watersheds one square mile or larger in size as intermittent streams; some of the Action Alternatives would delete this provision. To the extent that this change would result in some streams (mostly in the arid and semiarid regions of the West) now protected as intermittent streams being reclassified as ephemeral streams, which lack the protections afforded to perennial and intermittent streams, there could be a direct effect on the water resources and therefore an indirect effect on recreational use of those streams.

4.3.3.2.2.2 *Mining through Streams*

Under the No Action Alternative, water-dependent recreational activities may be negatively impacted in the event that mining occurs through a stream. Although the quantity of water may remain unchanged in the long-term when mining occurs through a stream,⁵⁶ short-term diversions of the stream may change flow volume, limiting the opportunity for and/or utility of downstream boating and swimming. The aquatic habitat of downstream waters may also be negatively altered due to the temporary disruption and diversion of the waterway, leading to suppressed numbers of fish.

Alternatives 2 through 8 (Preferred) add restrictions to the approval process for mining through streams. For each of these Alternatives, specific performance standards would be required to guide stream restoration.⁵⁷ The return of natural hydrologic form and biological condition and function of the stream would be required by such restoration.

- Alternative 2 explicitly prohibits all mining activities in or within 100 feet of a perennial stream and require that all ephemeral streams be restored in form. Alternative 7 has the same requirements when enhanced permit requirements are imposed. Under these Alternatives, not only would the restored streams be expected to be of better quality than those under the No Action Alternative, but also fewer streams would be expected to be affected overall. Less disruption of the aquatic resource on-site may yield improved water quality, greater stream flow,

⁵⁶ Section 404 of the Clean Water Act calls for no net loss of aquatic resources.

⁵⁷ For Alternative 5, the application of all element components, including these performance standards, is limited to mining activities that result in placement of excess spoil outside the mined area or coal refuse disposal in perennial or intermittent streams. For Alternative 6, the application of components is limited to stream buffer zones.

and reduced impacts on aquatic habitat downstream, leading to more boating, swimming, and fishing trips.

- Alternatives 3, 4, 5, 6, and 8 (Preferred) allow mining through streams as long as a reclamation plan achieving complete restoration of the hydrologic form and ecological function of perennial and intermittent streams is approved in advance of mining. Further, some ephemeral streams will require restoration of stream form. These regulations would likely improve water quality and positively impact downstream recreational activities as described above for Alternatives 2 and 7, but not to the same extent as those two Alternatives.
- The requirements for this element under Alternative 9 are not expected to be functionally different from those under the No Action Alternative. Therefore, the effects on recreational resources are the same as the No Action Alternative.

As described above, under the No Action Alternative, restoration occurs for perennial and intermittent streams. The Action Alternatives would require restoration of ephemeral streams as well. Because ephemeral streams are defined by their lack of continuous flow, their value for recreational resources may be less than that of more permanent waterways. Therefore, restoring an ephemeral stream may have less impact recreational resources than restoration of an intermittent or perennial stream.

4.3.3.2.2.3 Activities In or Near Streams, Including Excess Spoil and Coal Refuse

Mining activities in and within 100 feet of streams and the treatment of excess spoil and coal refuse possess the potential to adversely affect the quantity and quality of downstream water. These adverse impacts may result from the diversion of streams as part of the mining process (leading to a change in stream flow as described above) or from the discharge of pollutants into the downstream waters from the placement of spoil and/or refuse near streams.

- Under the No Action Alternative, mining activities within 100 feet of a stream are prohibited unless authorized by the appropriate regulatory authorities. OSMRE and most state regulatory authorities allow the construction of spoil fills in intermittent and perennial streams within the permit area. Additionally, flat decks on top of fills are allowed and there is no requirement for final configuration to incorporate appropriate topography.
- Alternatives 2 through 8 (Preferred) increase the stringency of the requirements that guide mining activities near streams and the placement of excess spoil and refuse. Some of these Alternatives also add provisions for allowable fill construction techniques, increased monitoring during fill construction, and the incorporation of appropriate topography when constructing the fill.⁵⁸ Alternatives 2, 3, and 7 specifically reduce the amount of streams filled from underground mining by prohibiting filling of perennial streams. Such standards serve to decrease the likelihood that a stream would be diverted during coal mining, changing its flow and the probability that higher concentrations of pollutants would adversely affect

⁵⁸ The consequences of AOC regulations on recreational resources are discussed in detail in the AOC Variances section below.

downstream fish populations. With more reliable flow volume and less pollutants, water-based recreational activities such as boating, swimming, and fishing may be enhanced.

- The requirements for this element under Alternative 9 are not expected to be functionally different from those under the No Action Alternative. Therefore, the effects on recreational resources are the same as the No Action Alternative

4.3.3.2.3 Approximate Original Contour (AOC)

4.3.3.2.3.1 AOC Variances

AOC variances allow exceptions to the requirement that the landscape be returned to its near original configuration; therefore, this element is particularly relevant for mountaintop removal and steep-slope mining. Currently, for both mountaintop removal and steep-slope mining, beneficial postmining land use (PMLU) must be proposed, with a demonstration of equal or better use. Neither type of mining operation, however, is required to reforest the permitted area during reclamation.⁵⁹ For mountaintop removal mining, the natural watercourses below the lowest coal seam mined must remain undamaged. For steep-slope mining, deviations from AOC are limited to circumstances when lands will be improved by issuing the variance. The components encompassed by this element most directly affect land quality available for trails and habitat.

- Under the No Action Alternative, the most discernible consequence of the allowable AOC variances is the alteration of visual resources associated with PMLUs. The utility, or well-being that recreating individuals, be they hikers or wildlife-viewers, derive from the land is diminished after postmining activity. Mountain landscapes are preferred as an environmental land type for recreational opportunities because of their appeal to the aesthetic senses (Raitz and Dakhil, 1988).
- Alternative 2 eliminates AOC variances entirely. This Alternative maintains original mountain and steep-slope landforms.⁶⁰ As such, land-based recreational activities, such as hiking, wildlife viewing, and possibly ATV use would be expected to have greater utility for participants. In addition, Alternative 2 would result in fewer impacts to streams which should benefit recreational users. Aesthetic benefits may also result.
- Alternatives 3, 4, 5, and 8 (Preferred) increase the requirements for an AOC variance approval. This means that fewer AOC variances would be granted, reducing adverse impacts to natural watercourses (mountaintop removal), surface water flow (steep-slope), and aquatic ecology (steep-slope), which would result in greater opportunities for boating, swimming, and fishing downstream. This should also result in fewer permanent effects on land use and visual resources.
- Alternatives 6, 7, and 9 do not differ from the No Action Alternative and thus would have the same effects as the No Action Alternative.

⁵⁹ The anticipated effects of reforestation on recreational resources are covered in the Revegetation, Topsoil Management, and Reforestation section below.

⁶⁰ Landforms are the natural physical features that comprise the terrain of the land, described in terms of elevation, slope, orientation, exposed rock, soil type, water bodies, wetlands, surface drainage pattern, drainageway characteristics, and other physical attributes of the land surface.

In some cases, limitation of AOC variances may curtail the potential for recreational activities that are not nature-based. For instance, leveling the grade of the land may allow for recreational opportunities that would not have otherwise been available in the area, particularly in areas where sloping terrain characterizes the un-mined land area. To the extent that AOC variances are used to prepare land for golf courses or soccer fields, for example, some of the Action Alternatives may reduce recreational opportunities (Minerals Education Coalition, 2014).

4.3.3.2.3.2 Surface Configuration

Surface configuration guides topography requirements both during mining activity and for postmining reclamation. As with the AOC element described above, the effects of reforming the land may most prominently include the aesthetic consequence on appreciative outdoor activities, including hiking and wildlife viewing.

- Under the No Action Alternative, few provisions are in place to guide landscape formation following mining: for example, digital terrain analyses and the use of land forming principles are not required and limits on final elevations are absent.⁶¹
- Alternatives 2 and 4 add elevation limitations (the backfilled area must not vary from the premining elevation by ± 20 percent) and may result in greater beneficial impacts than from the scenarios described for the No Action Alternative. These regulations may more strongly influence the final landforms, leading to better matching of the premining landscape, particularly for sites with topographic variability. This may, in turn, improve hiking and wildlife viewing experiences for recreating individuals.
- Alternatives 2, 3, and 4 would provide the most benefit to recreation from restoration of topography, as described in section 4.2.3, because they are most likely to ensure that the final surface configuration and landscape features more closely match the premining configuration and landscape features. The greatest benefit would occur in regions with highly variable premining topography, such as mountainous terrain. Alternatives 5 and 7 would produce less benefits to topography and consequently aesthetic benefits than Alternatives 2, 3, and 4, but more than the No Action Alternative.
- Alternatives 6, 8 (Preferred), and 9 are identical to the No Action Alternative and would have the same effects.

It should be noted that, for this rule element, the Alternatives 2, 3, 4, 5 and 7 would likely have the greatest impact in regions where the premining topography is highly irregular, such as in the Appalachian Basin. The effect of the Action Alternatives on topography is analyzed in Section 4.2.3 Topography, Geology, and Soils.

⁶¹ Land-forming is a design and grading technique that attempts to replicate the appearance of the natural terrain, as well as the water transport and water retention functions of that terrain, by constructing slopes, drainageways, and other surface features that blend with the natural surroundings in an environmentally compatible fashion while meeting any relevant stability requirements.

4.3.3.2.4 Postmining Land Use and Enhancement

4.3.3.2.4.1 Revegetation, Topsoil Management, and Reforestation

Postmining land cover is directed by the revegetation, topsoil management, and reforestation regulations. Under the No Action Alternative, reforestation is not required, though the establishment of vegetative cover is. Species native to the area are emphasized for use in revegetation, although introduced (non-native) species are permitted. Provided the mining operator demonstrates compliance with the regulations, selected overburden materials may be used in place of the topsoil removed from the disturbed area. Finally, the use of organic materials available within the disturbed areas is not required.

The absence of a reforestation requirement in the No Action Alternative may be the most pronounced of these conditions in contributing to adverse impacts on recreational opportunities. The natural succession of land cover from bare land to a forest can take between 15 to 20 years (Groninger, et al., 2007); at mining sites, succession can be further delayed by soil conditions, especially compaction. The initial loss of forest habitat or alterations to forest habitat (as the land advances through various successional stages) may have an adverse impact on the wildlife inhabiting these areas.⁶² A loss in wildlife may negatively impact the recreational activities reliant on fauna, including hunting and wildlife viewing. Furthermore, during the extended period required for mature forest cover to develop, the utility derived by appreciative outdoor activities such as hiking may be diminished. Indeed, mountain forest landscapes have been demonstrated to be highly ranked in terms of scenic preference (Hammitt, et al., 1994). Reestablishing vegetative cover may benefit terrestrial recreational activities, including wildlife-viewing, hunting, and hiking. Conversely, such activities may be adversely impacted if previously forested land is not reforested following mining activity.

- Under the No Action Alternative, vegetative cover must be established following mining activity in accordance with the approved permit and reclamation plan. This vegetation may include introduced species. Not all soil horizons (i.e., underlying layers) are required to be salvaged and redistributed, and overburden materials may be used as a substitute for topsoil. Additionally, previously cleared land that had returned to forest through natural succession prior to mining activity does not have to be reforested. Under conventional practices, many mined lands are restored to grassland but are not used for hay or pasture. Natural succession of these lands to native forest may take hundreds of years (Angel, et al., 2005). Under favorable growth conditions, forest canopy closure often occurs 15 to 20 years after mine closure (Groninger, et al., 2007).
- Alternatives 2, 4, and 8 (Preferred) specify that the revegetation of reclaimed lands must be completed using only native species; the use of overburden materials as a replacement for, or as a supplement to, topsoil requires greater justification. In addition, the best available organic materials must be incorporated into the revegetation process, and reforestation of previously forested areas is required. These changes serve primarily to return the postmining land area to a native forest ecosystem as quickly as possible. By enhancing the return of the native forest ecosystem expeditiously, the requirements for this rule element could enhance hiking, hunting,

⁶² In exceptional cases, changes in vegetation may enhance recreational opportunities. For instance, a habitat change may facilitate the return of a species that is appealing for hunting and viewing.

and wildlife viewing. The more rapid return of mature trees and abundant wildlife may enhance the quality of recreational activities that depend on such resources.

- Alternatives 3 and 5 are very similar to Alternatives 2, 4, and 8 (Preferred), except that salvage and redistribution of organic materials would be in accordance with an approved plan. As such, these Action Alternatives would also be expected to affect recreational activities enhanced by the existence of the native forest ecosystem.
- Alternatives 6 and 9 are identical to the No Action Alternative and no additional impacts are expected.
- Alternative 7 resembles Alternative 2 for this rule element, but applies only where designated enhanced permitting areas are proposed for mining. Therefore, the recreational impacts described for Alternative 2 apply, but under more limited circumstances.

4.3.3.2.4.2 Wildlife Protection and Enhancement

The Action Alternatives contain provisions to enhance fauna inhabiting the mine site and adjacent areas, including downstream aquatic life. Currently, no explicit, quantifiable guidance exists for wildlife protection (with perhaps the exception of the prohibition of surface mining activity likely to jeopardize endangered or threatened species). Current regulations provide qualitative goals, including the enhancement of fish and wildlife resources where practicable and the avoidance of disturbances to wetlands and streamside vegetation. The ambiguity in these standards means that mining activities may affect the aquatic and terrestrial habitats of non-endangered or non-threatened wildlife. Compromised habitat or complete loss of habitat may reduce the species abundance that the habitat can support. Recreational opportunities dependent on wildlife, including hunting, fishing, and wildlife viewing, may be adversely impacted as a result, either from reduced number of trips taken to areas with low population densities of the desired animals, or from diminished utility of each trip due to lower catch rates, bag rates, and sightings.

- Under the No Action Alternative, disturbances to fish and wildlife resources should be avoided and habitats restored or replaced where practicable. Enhancement of these resources is also required where practicable. In practice, these disturbances have often not been adequately restored, replaced, or enhanced. These requirements offer only general guidance for treatment of streamside habitats and habitats of unusually high value for fish and wildlife; no specific regulations guide these actions.
- Under Alternative 2, enhancement would be required if Clean Water Act mitigation was required, and the mitigation would be incorporated as a condition of the SMCRA permit. This alternative would require enhancement of fish and wildlife resources as well as habitats of unusually high value. For all stream reaches within or adjacent to coal mining operations, a 100-foot streamside vegetative corridor would be established. By implementing direct criteria to be met during and after coal mining operations, the likelihood of disrupting habitats and the wildlife that populates them is decreased. As such, the recreational resources supporting hunting, fishing, and wildlife viewing (i.e., fish and wildlife populations) may grow, leading to more trips taken and greater utility gained from each trip (e.g., as a result of increased wildlife sightings).

- Alternatives 3 and 4 would require enhancement measures to offset impacts, but unlike Alternative 2 these requirements would not necessarily have any direct bearing on the Clean Water Act mitigation and vice versa. These alternatives would require a 300-foot buffer zone around intermittent and perennial streams. These Alternatives also specifically detail the scenarios in which enhancement measures for fish and wildlife resources would be mandatory.⁶³ Similar to Alternative 2, Alternatives 3 and 4 decrease the probability that wildlife habitat, both aquatic and terrestrial, would be negatively impacted as a result of mining activity, though possibly not as strongly. As above, undisturbed habitats may lead to greater numbers of fish and wildlife which in turn would draw greater numbers of recreating individuals interested in hunting, fishing, or wildlife viewing. The quality of visits may also improve as the fulfillment of these activities is necessarily dependent on wildlife abundance.
- Alternative 8 (Preferred) would call for the same 100-foot streamside vegetative corridor as Alternative 2. It would also have wildlife enhancement requirements similar to those under Alternative 4, although it would not introduce the authority to prohibit mining of high-value habitats.
- Alternatives 5, 6 and 7 combine components of Alternatives 2 and 4, resulting in more protective measures for fish and wildlife. As detailed above, any action taken to promote or protect wildlife may indirectly improve the wildlife-related recreational activities by fostering improved species populations. Because the regulations under Alternatives 5, 6, and 7 apply only under defined circumstances, their overall impacts may be smaller than those expected under Alternatives 2, 3, 4, and 8 (Preferred).
- The requirements of Alternative 9 with respect to this element are the same as the No Action Alternative and, as such, their effects on recreational resources are the same as the No Action Alternative.

4.3.3.3 Assessment of Impacts to Recreational Activities

Without precise knowledge of future mine locations in relation to land-based recreational areas, modeling mining impacts on wildlife populations and related activities (e.g., hunting, viewing) is difficult. Similarly, the effect that landscape changes will have on the visual component of hiking cannot be characterized without understanding the precise spatial relationship between future mine locations and hiking opportunities. As such, a robust quantitative assessment of effects on land-based recreation is problematic.

Furthermore, sparse data exist to describe the effects of a coal mine, surface or underground, on wildlife populations. In the short term, reducing the availability of habitat through mining activities may result in a greater concentration of wildlife in adjacent hunting areas. The scale of the anthropogenic disruption associated with a coal mine, however, would also be expected to disrupt nearby wildlife, leading to a longer-term reduction in the abundance of animals for hunting. This is especially true for surface mines,

⁶³ These include the long-term loss of native forest, loss of native plant communities, or filling of a segment of a perennial or intermittent stream.

which generally pose a greater disruption to terrestrial habitat. Ultimately, without precise information describing the locations of mines in relation to existing recreational lands (especially private lands), and without data on how coal mining affects wildlife densities, a quantitative analysis would be highly speculative.

Beyond understanding the prevalence and value of recreational activities across the seven coal regions, it is also necessary to analyze if/how the Action Alternatives would affect recreational resources. The Action Alternatives can affect mining activities, and their associated consequences on recreational resources, in two ways. First, the Action Alternatives can improve mining and reclamation practices as described in Chapter 2, reducing effects on environmental resources such as streamside habitats and streams. Second, the Action Alternatives can change the level of coal production in a given region. Understanding this shift in mining activity allows for a more accurate assessment of the expected effects on recreation (i.e., increased mining may negatively impact recreational resources while decreased mining may have the opposite effect).

Forest loss and impaired stream miles are key examples of mining-related recreational losses. Therefore, a rough analysis of recreational impacts can proceed from an assessment of how the Action Alternatives influence these resources. Forest loss can reduce habitat for existing wildlife species, which in turn can impact the number of trips and quality of those trips taken to hunt or view the wildlife. Forest loss can also directly remove land available for hiking, ATV use, hunting, and wildlife viewing. For appreciative activities, such as hiking, forest loss can negatively impact aesthetic enjoyment. Impaired stream miles can decrease opportunities for fishing, swimming, and boating by decreasing fish populations, decreasing stream flow, and increasing pollution.

Table 4.3-36 illustrates the projected changes to forest and stream miles based on anticipated coal production under each of the Action Alternatives and for each coal region, as initially presented in Sections 4.2.1 and 4.2.2, respectively. These estimates provide an additional point of reference for the summary of impacts in the following subsection.

Table 4.3-36. Projected Average Annual Effects of the Action Alternatives on Forest Acreage and Stream Miles, Compared to the No Action Alternative

Coal Region	Affected Recreational Resource	Alt. 2	Alt. 3	Alt. 4	Alt. 5	Alt. 6	Alt. 7	Alt. 8	Alt. 9
Appalachian Basin	Forest ¹	1,025	1,359	1,322	1,322	5	1,322	1,320	0
Appalachian Basin	Stream ²	184	175	180	180	179	165	180	0
Colorado Plateau	Forest	274	274	274	0	0	164	274	0
Colorado Plateau	Stream	8	6	6	0	7	5	6	0
Gulf Coast	Forest	397	397	397	0	0	79	397	0
Gulf Coast	Stream	39	35	35	0	35	8	35	0
Illinois Basin	Forest	258	257	257	0	1	27	257	0
Illinois Basin	Stream	47	41	41	0	41	5	41	0
Northern Rocky Mountains and Great Plains	Forest	78	78	78	0	0	16	78	0
Northern Rocky Mountains and Great Plains	Stream	26	21	21	0	23	6	21	0
Northwest	Forest	0	0	0	-	-	0	0	0
Northwest	Stream	1	1	1	0	1	0	1	0
Western Interior	Forest	166	166	166	0	0	17	166	0
Western Interior	Stream	7	6	6	0	6	1	6	0

Source: Adapted from results presented in Tables 4.2-10 thru 4.2-13 and Tables 4.2-19 and 4.2-20 in Sections 4.2.1 (Water Resources) and 4.2.2 (Biological Resources), respectively.

¹Forest refers to acres of forest preserved or improved on an annual basis.

²Stream refers to perennial, intermittent, and ephemeral stream miles not filled, ephemeral stream miles restored, and downstream perennial, intermittent, and ephemeral stream miles preserved or improved on an annual basis.

4.3.3.4 Summary of Effects

Table 4.3-37 summarizes the impacts to recreational resources under each of the Action Alternatives as compared to the No Action Alternative and classifies the likely impacts on recreational resources based on the considerations discussed above. For each Action Alternative and region, the expected impacts within each of the coal regions are based on relative levels of coal mining activity, relative recreational resource availability, recreational activity levels, and the extent of predicted benefits to water resources and terrestrial area vegetation. As described in Section 4.0.3, the recreation section focuses on understanding potential impacts of the Action Alternatives on land- and water-based recreational opportunities within each of the seven coal regions. These recreational activities include hunting, wildlife viewing, trail use, boating, and fishing, and occur on both public and private lands within the study area. Because the Action Alternatives generally result in minor reductions in the volume of coal anticipated to be mined, impacts are generally anticipated to be beneficial, although the EIS does not attempt to quantify recreational benefits. Impacts are generally likely to extend beyond the period of active mining (long-term). Specifically, intensity determinations were made by assuming a connection between recreational impacts and the extent of predicted benefits to water resources and terrestrial area vegetation from Action Alternatives using the following analytical categories:

- Negligible: Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- **Minor Beneficial**: The action could improve local recreational opportunities but would affect relatively few users.
- **Moderate Beneficial**: The action could improve many recreational activities locally and in adjacent areas and could affect many users.
- **Major Beneficial**: The action could improve most recreational activities over a widespread area. Users could choose to pursue additional recreational activities in this area.

At the national scale, Alternative 2 is anticipated to result in Moderate Beneficial impacts to recreational activities when compared to the No Action Alternative. Alternatives 3, 4, 5, 6, 7, and 8 (Preferred) are anticipated to result in Minor Beneficial impacts to recreation. Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on recreational activities.

Table 4.3-37. Recreational Resource Impacts of the Action Alternatives on Recreational Resources Compared to the No Action Alternative

Alternative	Analysis	Metric	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
Alternative 2	Environmental Impacts	Forest	Moderate	Moderate-Small	Moderate-Small	Moderate-Small	Small	Negligible	Small
		Stream	Moderate	Small	Small	Small	Negligible	Small	Small
	Scope of Effect	Duration of Effects	Long term	Long term	Long term	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Large scope	Small scope	Small scope	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
	Impacts to Recreation	Overall Classification	Major beneficial	Moderate beneficial	Minor beneficial	Minor beneficial	Negligible	Negligible	Negligible
Alternative 3	Environmental Impacts	Forest (ac)	Moderate	Moderate-Small	Moderate-Small	Moderate-Small	Small	Negligible	Small
		Stream (mi)	Moderate	Small	Small	Small	Small	Negligible	Negligible
	Scope of Effect	Duration of Effects	Long term	Long term	Long term	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Large scope	Small scope	Small scope	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
	Impacts to Recreation	Overall Classification	Moderate beneficial	Moderate beneficial	Minor beneficial	Minor beneficial	Negligible	Negligible	Negligible
Alternative 4	Environmental Impacts	Forest (ac)	Moderate	Moderate-Small	Moderate-Small	Moderate-Small	Small	Negligible	Small
		Stream (mi)	Moderate	Small	Small	Small	Small	Negligible	Negligible
	Scope of Effect	Duration of Effects	Long term	Long term	Long term	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Large scope	Small scope	Small scope	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts

Alternative	Analysis	Metric	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior
	Impacts to Recreation	Overall Classification	Moderate beneficial	Moderate beneficial	Minor beneficial	Minor beneficial	Negligible	Negligible	Negligible
Alternative 5	Environmental Impacts	Forest (ac)	Moderate	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
		Stream (mi)	Moderate	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
	Scope of Effect	Duration of Effects	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Large scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
	Impacts to Recreation	Overall Classification	Moderate beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Alternative 6	Environmental Impacts	Forest (ac)	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
		Stream (mi)	Moderate	Small	Small	Small	Small	Negligible	Negligible
	Scope of Effect	Duration of Effects	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
	Impacts to Recreation	Overall Classification	Minor beneficial	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Alternative 7	Environmental Impacts	Forest (ac)	Moderate	Moderate-Small	Moderate-Small	Small	Small	Negligible	Small
		Stream (mi)	Moderate	Small	Negligible	Negligible	Negligible	Negligible	Negligible
	Scope of Effect	Duration of Effects	Long term	Long term	Long term	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
		Area of Effect	Large scope	Small scope	Small scope	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts

Alternative	Analysis	Metric	Appalachian Basin	Colorado Plateau	Gulf Coast	Illinois Basin	Northern Rocky Mountains and Great Plains	Northwest	Western Interior	
	Impacts to Recreation	Overall Classification	Moderate beneficial	Moderate beneficial	Minor beneficial	Negligible	Negligible	Negligible	Negligible	
Alternative 8 (Preferred)	Environmental Impacts	Forest (ac)	Moderate	Moderate-Small	Moderate-Small	Moderate-Small	Small	Negligible	Small	
		Stream (mi)	Moderate	Small	Small	Small	Small	Negligible	Negligible	
	Scope of Effect	Duration of Effects	Long term	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts				
		Area of Effect	Large scope	Small scope	Small scope	Small scope	Small scope	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts
	Impacts to Recreation	Overall Classification	Moderate beneficial	Moderate beneficial	Minor beneficial	Minor beneficial	Negligible	Negligible	Negligible	
Alternative 9	Environmental Impacts	Forest (ac)	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	
		Stream (mi)	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	
	Scope of Effect	Duration of Effects	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts				
		Area of Effect	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts	Minimal measureable impacts				
	Impacts to Recreation	Overall Classification	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin region under Alternative 2. Moderate Beneficial impacts are anticipated in the Appalachian Basin region under Alternatives 3, 4, 5, 7, and 8 (Preferred) and in the Colorado Plateau region under Alternatives 2, 3, 4, 7, and 8 (Preferred). Other effects on to recreational activities are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

4.3.3.4.1 No Action Alternative (Alternative 1)

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in recreation would continue. Specifically, coal mining may negatively impact recreational resources and the activities they support through disruption of terrestrial and aquatic ecosystems. The nature of these impacts is described in Section 4.3.3.1 above. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on recreational activities under the No Action Alternative.

Recreational activities, including hunting, wildlife viewing, trail use, boating, and fishing, may occur on both public and private lands within the study area. Public lands, including federal, state, and locally managed lands, are often popular destinations for recreators due to the relatively natural and undeveloped quality of those lands. In addition, private lands are also used for recreation. The Illinois Basin and Appalachian Basins have the highest percent of the study protected in federal, state, local, or private conservation areas 24 and 18 percent of land area protected respectively. The states intersecting the coal regions with the highest visitation rates in terms of hunting, fishing, and wildlife viewing days were Indiana and Pennsylvania.

Future reductions in production may allow for or encourage increases in recreational activities, as well as increase the relative value that is placed on recreation activities as the quality of natural land may improve. In concert with the above, efforts to improve recreation conditions under the CWA, Wild and Scenic Rivers Act Forest Service Manual, National Wildlife Refuge System Administration Act, National Trail Systems Act, as well as regional, state, local recreation improvement efforts, may continue to improve conditions in localized areas related to causes such as park management and water quality under the No Action Alternative.

The following summaries of the Alternatives 2 through 9 describe impacts relative to the No Action Alternative.

4.3.3.4.2 Alternative 2

Alternative 2 incorporates many elements that provide relatively extensive protection of recreational resources such as forests and streams. For example, Alternative 2 prohibits all mining activities within 100 feet of perennial streams; prohibits filling of perennial streams; eliminates AOC variances; specifies fish and wildlife enhancement metrics; and establishes a 100-foot streamside vegetative corridor for all streams. While fewer acres are categorized as improved under Alternative 2 compared with Alternatives 3, 4, 5, 7, and 8 (Preferred), Alternative 2 generates the greatest extent of preserved forest benefits compared with all other Action Alternatives. Preserved forest refers to natural forest landscapes that are untouched by mining and therefore the quantity and quality of recreational opportunities are uninterrupted

by mining activity. The preserved acres therefore provide greater benefit to recreational activities than the improved acres, which refers to forest that is cut for the purposes of mining and then reforested. Recreational opportunities on improved acres may be reduced or of lesser quality until the restored forest matures. High levels of recreational activity and high values placed on such activity in the Appalachian Basin region suggest a Major Beneficial impact in that region. In regions where level of recreational activity and the associated value of this activity are lower, either Moderate Beneficial (in the case of Colorado Plateau) or Minor Beneficial (in the case of Gulf Coast and Illinois Basin) effects are expected. In regions where the elements do not directly influence recreational resources, the impacts are likely Negligible.

4.3.3.4.3 Alternative 3

Alternative 3 has the potential for greater protection of forests and streams than the No Action Alternative but does not deliver protection to the same extent as Alternative 2. For instance, Alternative 3 has less explicit stream restoration requirements and allows mining through streams under certain conditions. For coal regions in which recreational activities are highly valued and activity is extensive, the predicted impact is Moderate Beneficial. For regions where activities have less value and occur with less frequency (Gulf Coast and Illinois Basin), the effects are classified as Minor Beneficial. Negligible effects are anticipated for the coal regions where Alternative 3 provisions suggest minimal change in forest or river protection.

4.3.3.4.4 Alternative 4

Alternative 4 is very similar to Alternative 3 in terms of the level of protection afforded to forests and streams. As such, the findings under Alternative 4 are identical to those for Alternative 3, described above.

4.3.3.4.5 Alternative 5

By definition, Alternative 5 has little effect on any coal region other than the Appalachian Basin. As such, the predicted impacts for the other six regions are Negligible. For the Appalachian Basin region, however, the anticipated level of recreational resource protection coupled with the high values placed on recreational activity in this region result in predicted effects that are Moderate Beneficial.

4.3.3.4.6 Alternative 6

Like Alternative 5, Alternative 6 primarily affects the Appalachian Basin region. The findings are similar to those described above for Alternative 5, except that the level of protection of the recreational resources is somewhat lower than that predicted under Alternative 5. In particular, reforestation requirements under Alternative 6 are less extensive than those under Alternative 5. As such, the predicted impact relative to the No Action Alternative is Minor Beneficial.

4.3.3.4.7 Alternative 7

Alternative 7 requirements apply only under enhanced permitting conditions. As such, it results in non-negligible protective measures for forests and streams for only three coal regions: Appalachian Basin, Colorado Plateau, and Gulf Coast. Combined with the relative extent of recreational resources and the

estimated value of recreational activities in these regions, the expected impacts are Moderate Beneficial (for the Appalachian Basin and Colorado Plateau) or Minor Beneficial (for the Gulf Coast).

4.3.3.4.8 Alternative 8 (Preferred)

Alternative 8 (Preferred) offers forest and water quality protections similar to Alternatives 3 and 4. As such, it is classified as having Moderate Beneficial impacts in the Appalachian Basin and Colorado Plateau, and Minor Beneficial impacts in the Illinois Basin and Gulf Coast regions. Impacts in other regions are classified as Negligible.

4.3.3.4.9 Alternative 9

Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this FEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on recreation.

Table 4.3-38 summarizes the impacts of the Action Alternatives on recreational resources.

4.3.3.5 Potential Minimization and Mitigation Measures

The Action Alternatives are not expected to result in adverse environmental consequences in the context of recreational resources. Therefore, identifying potential minimization and mitigation measures is inapplicable for this analysis.

Table 4.3-38. Summary of Impacts of the Action Alternatives on Recreational Resources Compared to the No Action Alternative

Coal Region	Alt. 2	Alt. 3	Alt. 4	Alt. 5	Alt. 6	Alt. 7	Alt. 8	Alt. 9
Appalachian Basin	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Colorado Plateau	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Negligible	Moderate Beneficial	Moderate Beneficial	Negligible
Gulf Coast	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Minor Beneficial	Minor Beneficial	Negligible
Illinois Basin	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible	Negligible	Negligible	Minor Beneficial	Negligible
Northern Rocky Mountains and Great Plains	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Northwest	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
Western Interior	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible	Negligible
National	Moderate Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Minor Beneficial	Negligible

Note: Please see Table 4.0-1 for a definition of Negligible, Minor, and Moderate impact terms used above. These impact categories consider the length of impact, geographic scope of impact, available resources to impact, and potential for offsetting the impact.

4.3.4 Public Health and Safety

This section characterizes the impacts to public health and safety under each Action Alternative when compared to the No Action Alternative. The discussion is organized as follows:

- The first subsection discusses the existing regulatory environment relevant to public health and safety under the No Action Alternative;
- The second subsection identifies how key elements of the Action Alternatives could influence health and safety;
- The discussion then reviews qualitative information characterizing health and safety impacts of air and water quality changes, both for miners and for the general public; and
- The final subsection summarizes the overall impacts of each Action Alternative on health and safety.

4.3.4.1 Effects of the Current Regulatory Environment (the No Action Alternative)

Many naturally occurring trace elements can be mobilized during the surface mining process. If not adequately controlled, these trace elements can be released into surface water and groundwater (Water Resources sections of Chapters 3 and 4). Over the past few years, several studies about the relationship between mining operations in West Virginia and the health of nearby residents have been published in peer-reviewed journals. The results of these studies suggest an association between living near mining operations and increased risk of illness and premature death. To better understand the potential implications of these studies, OSMRE has requested that the National Academy of Sciences review this literature.

Studies conducted to date indicate that damage from pollutants released by surface mining persists for decades (Bernhardt and Palmer, 2011; Lindberg, et al., 2011; Palmer, et al., 2010; Pond, et al., 2008). Key elements may include, but are not limited to, iron, aluminum, nickel, copper, manganese, selenium, arsenic, lead, mercury, cadmium, beryllium, potassium, calcium, magnesium, lithium, rubidium, uranium, and strontium (Lindberg, et al., 2011; Pumure, et al., 2010; Palmer, et al., 2010; West Virginia Geological and Economic Survey (WVGES), 2012). The level of contamination in surface waters downstream of coal mining activity depends on site-specific factors, such as the composition of parent rock, interactions between elements, presence of other pollutants associated with mine runoff (e.g., sulfates (SO₄)), and other physicochemical characteristics of the site such as pH or total organic carbon (TOC) content (Pumure, et al., 2010; Hopkins, et al., 2013).

Coal mining can also introduce pollutants into the air through removal of parent rock and subsequent generation of ambient particulate matter (PM) (Aneja, et al., 2012; Ahern, et al., 2011; Hendryx, 2009). A substantial literature base indicates that increases in ambient PM concentrations (from any source) can adversely affect the health of nearby residents (U.S. EPA, 2009c).

Humans may be exposed to coal mining-related pollutants through several different exposure pathways. For example, after they have been mobilized into air, surface water or groundwater, pollutants can be transported to nearby sources of drinking water and air in residential areas, leading to potential ingestion exposure to pollutants dissolved in water and inhalation exposure to contaminated particles in air.

4.3.4.1.1 Health Impacts of Mining-Related Water Quality Changes

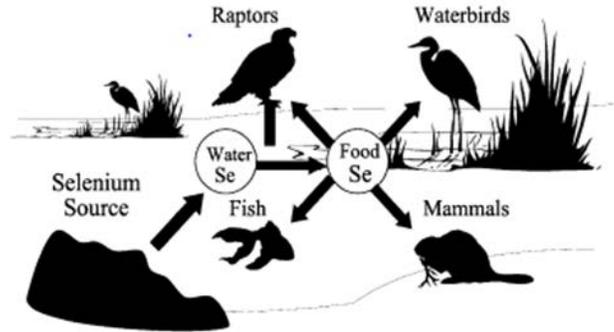
The discussion below examines how mining-related water pollution may potentially affect human health under the No Action Alternative. The discussion first focuses on the example of selenium. It begins by considering the fate and transport of selenium in the environment, illustrating how the risk posed by certain pollutants can magnify over time in the aquatic environment. The discussion also considers specific health effects associated with selenium exposure. Subsequent subsections consider risks posed by other pollutants such as sulfates and arsenic.

4.3.4.1.1.1 Effects of Selenium on Public Health

4.3.4.1.1.1.1 Fate and Transport of Selenium

Selenium represents a potential human health hazard around coal mines because of its persistence in the environment. Once in the aquatic environment, some coal mining-related selenium can quickly build up (bioaccumulate) and reach levels that are toxic to fish and wildlife (see Figure 4.3-8) (Lemly, 2004; Presser, 2013; Presser and Luoma, 2010). Because of bioaccumulation, even a low concentration of selenium in water has the potential to increase by several orders of magnitude in fish and wildlife (Lemly, 2008; Presser, 2013). This poses additional risk to recreational or subsistence anglers who may consume fish from contaminated waters. A 2011 U.S. EPA report on the effects of surface mining in Appalachia lists elevated selenium concentrations (to levels that are sufficient to cause toxic effects in fish and birds) as one of its major findings (U.S. EPA, 2011b).

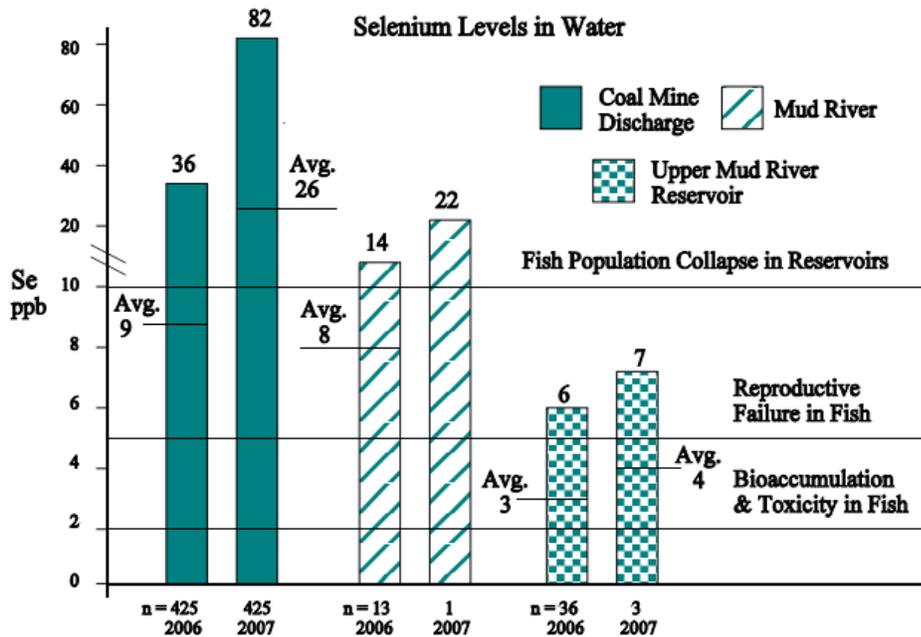
Figure 4.3-8. Bioaccumulation pathway of Selenium



Source: Lemly 2004, pg. 45.

The most extensive research on selenium and coal mining has been conducted in West Virginia. In 2007, the West Virginia Department of Environmental Protection (WVDEP) initiated an aquatic monitoring program as a result of frequent violations of EPA's 1999 and recently revised 2016 surface water quality criterion for aquatic life for selenium (U.S. EPA, 2016, WVDEP 2007a). The program is aimed at evaluating the extent and severity of pollution from coal mining (WVDEP, 2007b). This water quality monitoring was conducted as part of mine wastewater discharge permit requirements under the U.S. EPA's National Pollutant Discharge Elimination System (NPDES). Results of this effort in the Mud River watershed in West Virginia indicated that selenium levels in samples of water, fish tissue, and invertebrate food organisms exceeded toxic thresholds for fish (see Figure 4.3-9) (Lemly, 2008).

Figure 4.3-9. Selenium concentrations ($\mu\text{g/L}$ or parts-per-billion) measured in coal mine discharges and surface waters of the Mud River ecosystem, West Virginia, relative to levels that can bioaccumulate and become toxic to fish.

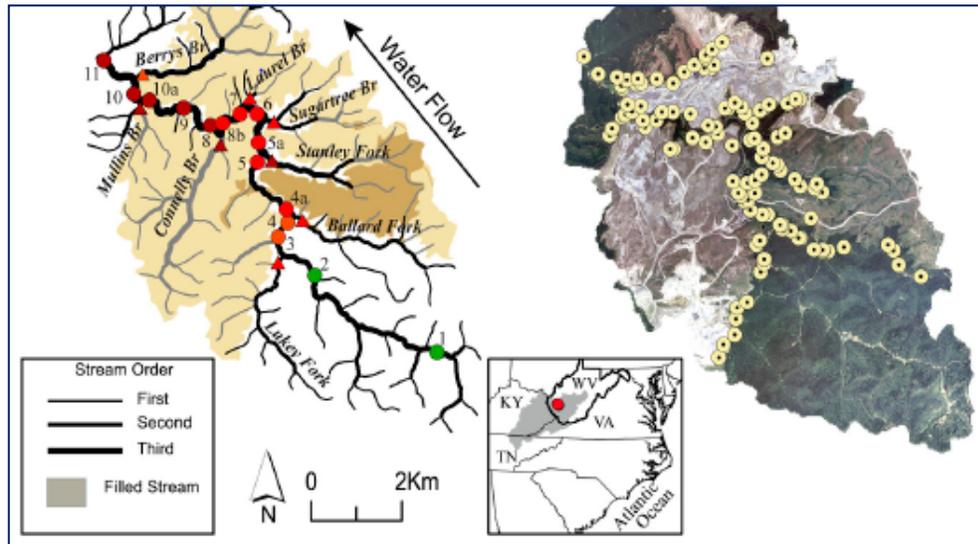


Source: Lemly, 2008, pg. 173.

The monitoring conducted by WVDEP suggests that coal mining can lead to human exposure to selenium through fish ingestion. State advisories are in effect for excessive human consumption of fish from waters downstream of coal mining activities in some areas of West Virginia (Palmer, et al., 2010). The 2012 sport fish consumption advisory press release for West Virginia states that “Low levels of chemicals like PCBs, mercury, selenium and dioxin have been found in some fish from certain waters” (WVDHHR, 2012b). The document “West Virginia Fish Consumption Advisories Available for 2012” indicates that measurable levels of selenium were detected in samples from water bodies that include Upper Mud Lake and Pinnacle Creek (WVDHHR, 2012b), both of which are in watersheds that are heavily mined. Although these state advisories are in effect, exposure through fish consumption may still occur if anglers are unaware of or disregard the advisories.

There are additional studies that have found toxic levels of selenium in surface water near coal mining areas. In 2011, Lindberg, et al. published a study of selenium levels along the Upper Mud River and its tributaries (see Figure 4.3-10). The headwaters of the Mud River begin in Boone County, West Virginia, and flow northwest into Lincoln County to the Mud River Reservoir, approximately 25 km downstream. By the time the Upper Mud River exits the active surface mining area following its confluence with Berry’s Branch, it has received mining effluent from eight tributaries that contain 68 NPDES permitted discharge points, all of which are for coal mining activities.

Figure 4.3-10. Map of study area depicting Upper Mud River and associated tributaries with aerial photo on right

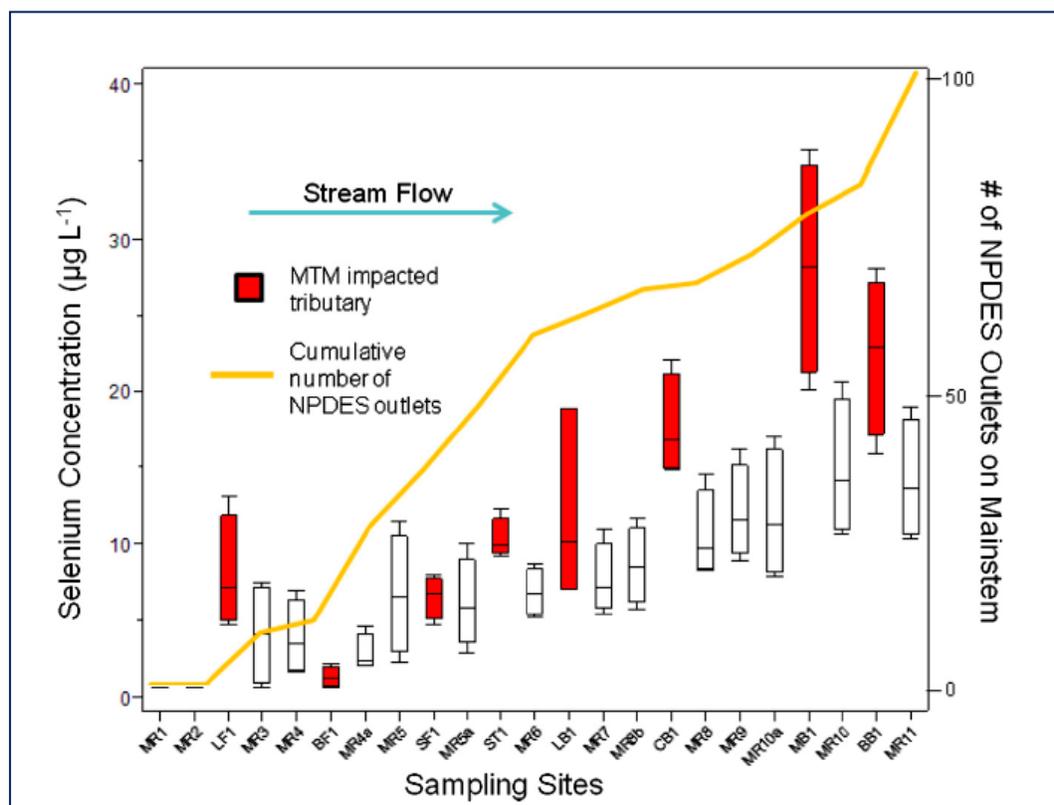


Note: Sampling sites consisted of 15 mainstream (circles) and eight named tributary locations (triangles). Sites 1 and 2 were located upstream of current and historic coal mining activity. The remaining sites were chosen so as to bracket each confluence of the Upper Mud River and a tributary affected by coal mining. Aerial photo on right shows location of 105 active surface-mining-related outlets within the watershed that are regulated through eight NPDES permits.

Source: Lindberg et al. 2011, Figure 1, p. 2.

Prior to the initiation of surface coal mining in the Lukey Fork watershed, EPA water quality data (see Figure 4.3-11) recorded no detectable selenium in the stream (EPA detection limit of $3.0 \mu\text{gL}^{-1}$). During the Lindberg, et al. (2011) study, however, selenium concentrations were found in Lukey Fork at levels up to $13.1 \mu\text{gL}^{-1}$ (see Figure 4.3-11). Additional coal mining-impacted tributaries further contributed to selenium contamination, and downstream of the confluence with Berry Branch, selenium concentrations averaged $14.1 \mu\text{gL}^{-1}$. The investigators measured selenium concentrations of 6.5 and $4.0 \mu\text{gL}^{-1}$ in samples taken in September and December of 2010 from an area well below the Hobet mine complex, despite the fact that surface water at that point receives input from multiple unmined tributaries (including the Left Branch of the Mud River) (Lindberg, 2011, p. 4).

Figure 4.3-11. Box plot showing range and mean stream selenium concentrations during four surveys in 2010 on the Upper Mud River



Note: The cumulative number of active NPDES permitted outlets is represented by a yellow line with the scale on the right side of the graph. The red box plots denote the selenium concentrations for coal mining-impacted tributaries, with the remainder representing mainstream sampling sites.

Source: Lindberg et al. 2011, Figure S4, p. S2.

A study published by USGS in 2006, entitled “Ground-Water Quality in Unmined Areas and Near Reclaimed Surface Coal Mines in the Northern and Central Appalachian Coal regions, Pennsylvania and West Virginia” (McAuley and Kozar, 2006), provides additional evidence of long-lasting adverse impacts on water quality in surface coal mining areas. This study examined the transport of selenium generated during surface mining activity, and found that under the current regulations, even after mine-site reclamation, groundwater samples from domestic supply wells have higher levels of mine-derived chemical constituents than well water from unmined areas (McAuley and Kozar, 2006). A study published in 2012 sampled the groundwater in 58 wells and springs in West Virginia. The study found elevated levels of selenium in general, and three of the samples tested exceeded EPA’s 1999 and 2016 surface water quality selenium criteria for aquatic life (U.S. EPA, 2016, Brantley, 2012). A number of additional studies that sampled drinking water supplies found metals, including selenium, in domestic wells in coal mining areas at levels that pose human health concerns (groundwater and drinking water concentrations exceeding the EPA standard of 50 µgL⁻¹) (Wigginton, et al., 2008;; Stout and Papillo, 2004).

4.3.4.1.1.1.2 Effects on Public Health

Although selenium is an essential nutrient for humans, it can have toxic effects as dosage increases. Excessive intake of selenium can result in a condition called selenosis. Clinical signs of selenosis include a characteristic "garlic odor" of excess selenium excretion in the breath and urine, thickened and brittle nails, hair and nail loss, lowered hemoglobin levels, mottled teeth, skin lesions and central nervous system abnormalities (e.g., peripheral anesthesia and pain in the extremities) (ATSDR, 2003; U.S. EPA, 1991). A recent study of patients with colorectal polyps also identified a significantly higher concentration of selenium in polyp versus control tissue (Alimonti, et al., 2008).

While intake levels may not be representative of U.S. populations, studies of populations in China living in an area with naturally occurring but unusually high environmental concentrations of selenium found that "chronic dietary exposure to excess levels of selenium has been associated with diseased nails and skin and hair loss, as well neurological problems, including unsteady gait and paralysis" (ATSDR, 2003, pg. 15). In 1989, Yang et al. conducted a follow-up study in these areas of China (three geographical areas with low, medium and high selenium levels in the soil and food supply were chosen for comparison). The investigators found that selenium "levels in soil and approximately 30 typical food types commonly eaten by the exposed population demonstrated a positive correlation with blood and tissue selenium levels" (U.S. EPA, 1991). Selenium concentrations of various tissues were associated with alterations in biochemical parameters that are indicative of possible selenium- induced liver dysfunction, as well as clinical signs of selenosis (U.S. EPA, 1991).

According to ATSDR's toxicological profile of selenium, "some evidence for effects on the endocrine system in humans and rats has also been found following long-term oral exposure to elevated levels of dietary selenium" (ATSDR, 2003). These studies suggest that subsistence anglers and recreational anglers who frequently consume fish from contaminated areas could potentially be at risk from excessive ingestion of selenium.

4.3.4.1.1.2 Effects of Sulfates on Public Health

In recent decades, policymakers have expressed concern over the buildup of sulfates in streams as a result of surface coal mining. The oxidation of pyrite or other iron-sulfide minerals with water yields sulfuric acid, which can increase stream acidity if coal mine drainage is not buffered by stream alkalinity. This drainage from coal mines, also called acid mine drainage (AMD), can contribute to the degradation of streams in coal regions, affecting both drinking and industrial water. Acid mine drainage in streams can also result in increased levels of sulfates and total dissolved solids, in addition to potentially lowered pH, in stream water. An increase in the acidity of stream water may consequently result in higher concentrations of zinc, aluminum, and manganese through mineralization (USGS, 2000c).

Elevated stream water acidity may adversely impact public health in historic coal mining regions. For example in the Appalachian region, Williams et al. (1996) collected water samples from 270 mine discharges in the Stonycreek River Basin (Pennsylvania), and found high concentrations of aluminum and sulfates; many of the samples had pH levels less than 3.0, which is sufficiently acidic to cause irritation to skin and eyes (WHO, 2003). The health effects of elevated levels of sulfates in drinking water have not been extensively studied. The primary effect of increased sulfates in drinking water is an increase in diarrhea, particularly in infants and transients. EPA conducted a study that measured the impact of high sulfate levels on infants and pregnant women and found a weak increase in reports of diarrhea with higher

doses of sulfate in drinking water. Further, WHO conducted a survey in North Dakota and found a slight increase in the percentage of people who had a laxative effect with water containing 500-1000 mg/L of sulfate (28 percent of those surveyed) as compared to those exposed to drinking water containing less than 500 mg/L of sulfate (21 percent of those surveyed). For both studies, the researchers were unable to identify a sulfate concentration in drinking water that leads to serious human health effects (WHO, 2004).

4.3.4.1.1.3 Effects of Arsenic on Public Health

Surface mining has resulted in elevated levels of arsenic in drinking water in coal mining areas. Arsenic is a mineral that occurs naturally in rocks and coal. Similar to the occurrence of sulfuric acid in stream water, the major source of arsenic is pyrite, which is composed of iron and sulfur. Data collected by the USGS suggest that the average arsenic concentration for U.S. coal is approximately 24 parts per million (USGS, 2005b). High levels of arsenic may affect public health, and this problem has been most prevalent in domestic well waters. Studies have demonstrated that inorganic arsenic in drinking water may play a significant role in cancers, primarily bladder cancers (Borak, 2007; Shiber, 2005). Shiber (2005) measured the various levels of arsenic in domestic water in the Central Appalachian region, citing coal mining as the major source. The results of this study indicate that over half of the samples collected from tap water in the region contain one part per billion (ppb) or more of arsenic, an amount that is greater than the standards for many other carcinogens found in drinking water. Of the 13 counties studied in Kentucky, the average arsenic level was found to be approximately 2.99 ppb (Shiber, 2005), which is within EPA drinking water guidelines. The National Research Council's 2001 report to EPA reported that the lifetime risk of bladder and lung cancer from water arsenic exposure at three ppb is one in 1,000 (Shiber, 2005).

It is possible that some areas may experience reductions in arsenic exposure in drinking water as coal production decreases. Although public tap water is regulated for arsenic concentrations, users of private wells may benefit from reductions in arsenic concentrations. Chapter 3.5.3 reports the percentage of private well users in each of the coal regions, but proximity of these sources to coal production is not examined. However, any decrease in the concentration of arsenic in private wells may decrease lifetime risks of bladder and lung cancer for well water consumers.

4.3.4.1.1.4 Other Evidence of Potential Public Health Effects of Surface Mining

There is a small but growing body of epidemiological research that suggests an association between adverse health effects and proximity of residence to a coal mining region in the Appalachian Basin. Hendryx, et al. (2008) studied the elevated rates of cancer mortality in the coal mining regions in Appalachia and found that, after controlling for socioeconomic factors including education, smoking rates, and poverty, coal mining in Appalachia was associated with elevated rates of cancer mortality. In a study published in 2010, Hitt and Hendryx extended the work published in 2008 (Hendryx, 2008) by assessing the relationship between the ecological integrity of streams, calculated through environmental quality gradients, and human cancer incidence. The 2010 study found a statistically significant inverse relationship between ecological integrity of streams and mortality rates from certain types of cancer (digestive, breast, respiratory and urinary), and a positive correlation between coal mining intensity and rates of certain types of cancer, including respiratory cancer (Hitt and Hendryx, 2010). In 2010, a cross-sectional retrospective analysis of mothers in West Virginia found that residence in coal mining areas posed a risk of low birth weight, even after controlling for level of coal mining, mother's age, marriage

status, drinking during pregnancy, smoking during pregnancy, medical risk, years of education, late prenatal care, no prenatal care, and number of previous pregnancies (Ahern, et al., 2010). A separate 2010 study showed that “proficiency rates for schools in coal-mining counties versus non-coal mining counties were significantly lower in all subject areas . . . and remained significantly lower ($p < 0.0008$ or better) after adjusting for county high school education rates, percent of low-income students, percent of highly qualified teachers, number of students tested, and county smoking rates” (Cain and Hendryx, 2010).

A 2012 retrospective cross-sectional study of county-level cancer mortality rate data from the Center for Disease Control (CDC) compared age-adjusted cancer mortality rates in Central Appalachian mountaintop mining counties versus Central Appalachian counties with other types of mining and counties with no mining.⁶⁴ After controlling for covariates, the study found that lung cancer mortality rates were significantly associated with the presence of mountaintop mining in a community. The study also found evidence that mortality from leukemia, lung, bladder, and colorectal cancer were higher in mountaintop-mining areas compared to other mining areas, although the associations were not statistically significant. The magnitude of the association between mountaintop mining activity and cancer mortality was greater in more recent years (Ahern and Hendryx, 2012), suggesting that some adverse health effects may observed be until years after exposure. In a 2011 retrospective analysis of 2006 self-reported data on health-related quality of life indicators, residents of mountaintop mining communities in Appalachia reported significantly more days of poor physical, mental, and activity limitation and poorer self-rated health, when compared to residents of counties with other types of coal mining and to residents of non-mining counties (Zullig and Hendryx, 2011). Other recent epidemiological studies have also found associations between adverse health effects (such as increased incidence of birth defects and increased adult mortality from cancer, heart, respiratory, and kidney disease) and residence in coal mining counties in Appalachia, after controlling for other risk factors (Ahern, et al., 2011; Esch and Hendryx, 2011; Hendryx, et al., 2010; Hendryx, 2009; Hendryx and Ahern, 2009; Hendryx and Ahern, 2008).

Although these studies do not control for occupational exposure, the authors assert that because they have found positive associations in both men and women between proximity to mining operations and adverse health impacts, the effects are not strictly due to direct occupational exposure of coal miners, who are predominantly male (Ahern, et al., 2010; Hendryx, 2009; Hendryx and Ahern, 2008). This assertion is supported by a U.S. Department of Labor 2011 report which states that of the 94,000 people employed by the coal mining industry in 2010, only six percent were women (U.S. BLS, 2011b).

A retrospective study by Borak et al., highlights the complexity of disentangling causal relationships in an environment where economic factors impact the health of residents (Borak et al. 2012). This study, which looked at all-cause mortality rates for residents of Appalachia during the years 2000 to 2004, found that coal mining was not per se an independent risk factor for increased mortality in Appalachia. The authors found that increased mortality was significantly associated with greater poverty, lower median household income, fewer high school graduates, rural location, obesity rate, and demographic factors

⁶⁴ Mountaintop Mining (MTM) is defined as a surface mining site crossing a ridge or mountain peak, and either (a) spanning a minimum of 210 acres including 40 acres of removed ridge top, or (b) spanning 40 to 320 acres and containing a minimum of 10 to 40 acres of ridge top.

including sex and race. Lower college graduate rate was nearly significant, but no significant associations were found for coal mining, smoking, physician supply, and diabetes.

Studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.

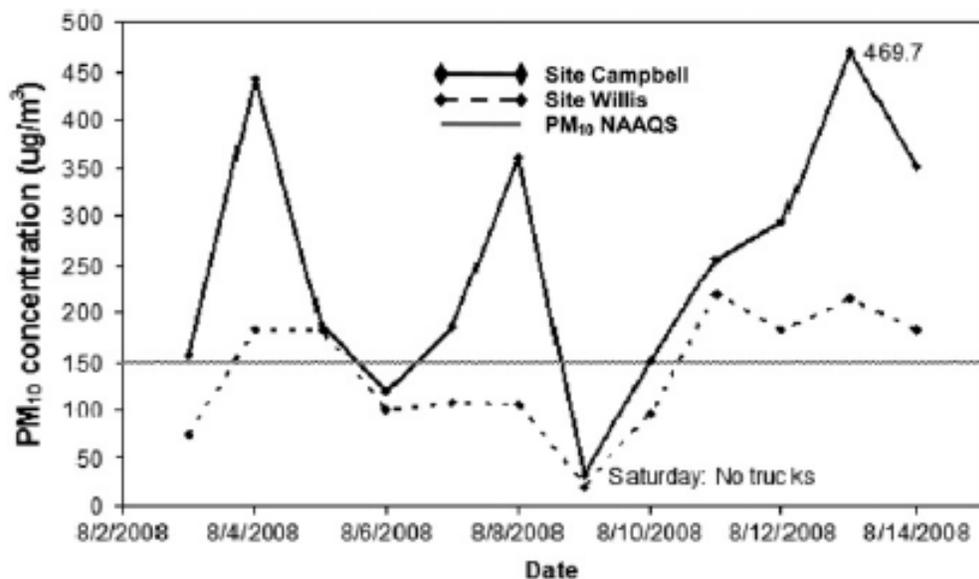
4.3.4.1.2 Health Effects of Mining-Related Air Quality Changes

Less empirical evidence is available with respect to the effects of coal mining on air quality, although this topic is a focus of current research. One recently published study of surface coal mining in the Appalachian Basin provides quantitative evidence of adverse effects on air quality in residential areas. The study was done in Roda, Virginia, in close proximity to surface coal mining operations where residents reported a high volume of truck traffic and significant dust problems (Aneja, et al., 2012). In August 2008, for a period of twelve days, two PM₁₀ (i.e., particulate matter with particle size of ten micrometers or less) air samplers were placed on residential properties located near a road that terminates at the entrances to several mines.⁶⁵ The sites were selected to be representative of exposure for local residents. One residence, (“Site Campbell”) was located very close to the entrance to the coal mines, and the other, (“Site Willis”) was located one mile away. Results of this study suggest that residents of Roda may frequently be exposed to PM₁₀ concentrations that exceed EPA’s 24-hour health-based national ambient air quality standard (Figure 4.3-12).

Analysis of the composition of the air samples in this study identified the presence of antimony, arsenic, beryllium, cadmium, chromium, cobalt, lead, manganese, mercury, nickel, and selenium, which are all known components of coal. While ambient background concentrations of selenium in the air were below 10 ng/m³ (ASTDR, 2003), Aneja, et al. (2012) found levels five to six times higher. These data indicate that activities related to the coal mines are a major contributor to the local air pollution, and that this is likely a chronic problem not only for Roda, but for other similarly situated Appalachian Basin communities.

⁶⁵ Inhalation of small particulates like PM₁₀ is hazardous because the particles can transport toxins that lodge deep in the lung tissue. Studies have linked particulate exposure to premature mortality (especially in individuals with pre-existing heart or lung disease), heart problems, asthma, and other respiratory conditions (U.S. EPA, 2014f).

Figure 4.3-12. Measurements of PM₁₀ 24-h concentration at two locations (Site Campbell and Site Willis) in Roda, Virginia, during August 2008.



Source: Aneja et al., 2012, Figure 2, p. 498.

Studies of mining practices in other countries also indicate that levels of particulate matter released from surface mines are greater than those released from underground mining operations. Consequently, in addition to occupational exposure to miners, community-level exposure to increased particulate matter concentrations may occur as a result of increased surface coal mining activity (Ghose and Majee, 2007; Ghose, 2007). Under Alternative 2, there would be a shift from surface mining to underground mining, adverse public health effects from poor air quality resulting from coal mining activity could potentially be reduced on a community level.

Due to anticipated decreases in production levels as a result of the Action Alternatives, air quality for adjacent communities may improve due to a lower overall exposure to coal dust and particulate matter. Underground mining, however, exposes miners to large amounts of coal dust and may have adverse health effects on miners where production methods shift from surface to underground. Extensive exposure to dust may increase miners' risk to various malignant and nonmalignant lung and bladder diseases. A common and well-documented disease, coal workers' pneumoconiosis, is largely caused by exposure to coal dust. The components of coal dust may include various carcinogenic organic and inorganic compounds, including chrysene, benzo(a)pyrene, and silica (Swaen, et al., 1995). These impacts are discussed further below.

4.3.4.1.2.1 Nonmalignant Lung Diseases

While not apparent in overall production forecasts developed for this FEIS, any shift of extraction methods from surface to underground mining in the Appalachian Basin region may increase the risk of disease and lung cancer for miners due to elevated exposure to carcinogenic coal dust. Kuempel, et al. (1995) studied the quantitative relationship between exposure to coal mine dust and mortality from nonmalignant respiratory disease and found that miners who were exposed for a working lifetime to dust levels below the U.S. standard of two milligrams per cubic meter (mg/m^3) are subject to a greater risk of dying from pneumoconiosis, chronic bronchitis, or emphysema. Of the 8,878 miners medically examined from 1969 to 1971 in Kuempel, et al.'s 1995 study, approximately 207 died from pneumoconiosis and 76 died from chronic bronchitis or emphysema. Kuempel, et al.'s 1995 study results show an upper-bound death rate of approximately 1.16 percent from pneumoconiosis, and 0.43 percent from chronic bronchitis or emphysema per year for miners on average. The study calculated lower-bound death rates of 0.087 percent from pneumoconiosis, and 0.012 percent from bronchitis or emphysema. Of note, however, this study relies on miners' exposure and respiratory illnesses from more than four decades ago. Subsequent regulations focused on miner safety may have since reduced the calculated rates.

4.3.4.1.2.2 Lung Cancer

Prolonged exposure to dust particles increases cancer risks for miners. Many studies have demonstrated that poorly soluble particles of low toxicity (such as coal mine dust) have caused lung cancer in rats (Borm, et al., 2004). Although these studies are not conclusive regarding the susceptibility of humans to lung cancer as a result of prolonged exposure to coal dust, there is concern over this issue, especially for underground mining. It is currently difficult to quantify the exact impact of changes in production level or mine type on the risk of lung cancer, however. This is due to the lack of data on the differences in coal mine dust exposure for underground and surface mines, as well as the lack of a clear relationship between production level and dust exposure.

4.3.4.1.2.3 Gastric Cancer

Studies have also explored the relationship between gastric health and underground coal mining. The coal dust to which underground miners are exposed may contain carcinogenic elements and these compounds may enter the miners' digestive systems. The coal dust may interact with agents in the acidic environment of the stomach, such as nitrite, to form mutagenic compounds (Swaen, et al., 1995). Swaen, et al. (1995) studied a sample of 3,790 coal miners that had abnormal chest x-ray films (suggesting pneumoconiosis) and found that deaths from gastric cancer were higher than expected, at 120 deaths. The fatality rate of pneumoconiotic coal miners due to gastric cancer resulted in a standardized mortality ratio of 147.5 (point estimate). Overall, their results suggest that pneumoconiotic coal miners have an approximately 22.5 percent to 76.3 percent higher gastric cancer fatality rate than the general population.

4.3.4.1.2.4 Other Public Health Effects

Coal mining contributes to greenhouse gas emission levels and releases large amounts of coal dust particles into the air. The release of particulates and other emissions that deteriorate ambient air quality may affect public health. As underground mining contains (i.e., controls) most of the dust particle byproducts from mining, one possible consequence of a shift in surface mining to underground mining is a decrease in dust release.

Hendryx and Ahern (2008) found that residential proximity to coal mining areas was associated with a higher risk for hypertension, kidney disease, chronic lung disease, and cardiopulmonary disease. Previous studies have found that exposure to coal byproducts is linked to kidney disease and hypertension (Hendryx and Ahern, 2008). While the study highlights the potential for public health impacts with increases in coal production volume in the future, it does not establish a clear causal relationship between coal production and these health effects. Studies of mining practices in other countries, however, indicate that levels of particulate matter released from surface mines are higher than those released from underground mining operations. Consequently, in addition to occupational exposure to miners, community-level exposure to increased particulate matter concentrations may occur as a result of increased surface coal mining activity (Ghose, 2007; Ghose and Majee, 2007). With any shift from surface mining to underground mining, adverse public health effects from poor air quality resulting from coal mining activity could potentially be reduced on a community level. However, additional research addressing differences in mining practices in the U.S. versus practices in other countries would be needed to better understand the potential for health improvements related to improved air quality.

As a greenhouse gas, methane emissions contribute to the creation of ozone, potentially affecting global climate patterns. Higher methane emissions due to an increased number of underground mines or an increased level of production may also affect the air quality of surrounding communities. Both global warming and deteriorating air quality may have public health implications, as discussed in Section 4.2.4.

4.3.4.2 Action Alternatives and Potential Effects on Public Health and Safety

The Action Alternatives may yield water quality improvements relative to the No Action Alternative. Nearly all the elements of the Action Alternatives (except Alternative 9) have potential to benefit water quality, including improved baseline data and monitoring; material damage to the hydrologic balance definitions and evaluation thresholds; limitations on fill placement and mining through streams; improved reforestation; and introduction of streamside vegetative corridors. Section 4.2.1 describes all of these elements and potential benefits in greater detail. In addition, reduced coal production and shifts in the balance of surface and underground production may have coincident benefits to air quality (as described in Section 4.2.4).

4.3.4.2.1 Qualitative Analysis of Public Health and Safety Impacts

As stated above, the Action Alternatives may affect public health and safety by improving water quality and air quality relative to the No Action Alternative. Nearly all the elements of the Action Alternatives (except Alternative 9) have potential to benefit water quality, including improved baseline data and monitoring; material damage to the hydrologic balance definitions and evaluation thresholds; limitations on fill placement and mining through streams; improved reforestation; and introduction of streamside vegetative corridors. Section 4.2.1 describes all of these elements and potential benefits in greater detail. In addition, reduced coal production and shifts in the balance of surface and underground production may have coincident benefits to air quality (as described in Section 4.2.4).

The evaluation of potential impacts on public health relies on qualitative information regarding potential effects of the Action Alternatives. This analysis finds that the primary public health benefits of the Action Alternatives are associated with the expected improvements to water resources, as described in Section 4.2.1. By improving baseline monitoring, establishing evaluation thresholds to prevent damage,

requiring mandatory evaluation of monitoring data, and improving techniques to better restore sites to premining conditions, the Action Alternatives may benefit water quality. In addition to benefits to individuals, these improvements in water quality may benefit public drinking water suppliers by reducing pollutant levels and therefore costs of water treatment. Ideally, this analysis would combine information on the expected water quality benefits in each region, with information on the potentially vulnerable population (e.g., exposed via the pathways described in Section 4.3.4). Absent specific information on the locations of future mines, this analysis is not able to forecast the size of the population benefitting from improved water quality via the exposure pathways described (i.e., groundwater consumption, fish and wildlife consumption, etc.). In addition to water quality benefits, the Action Alternatives may result in indirect benefits to air quality, primarily as a result of reducing coal production and subsequent coal combustion.

4.3.4.3 Summary of Effects

This section summarizes impacts to public health and safety by Action Alternative and region as compared to the No Action Alternative. Impacts are forecasted from 2020 to 2040. As described in Section 4.0, this analysis categorized impacts as either Negligible, Minor, Moderate, or Major, and either Beneficial or Adverse.

Generally, major effects are expected to result from significant changes in water quality that are persistent over the long-term, and cover a broad geographic area. Moderate effects are less significant water quality improvements that persist over the long-term but cover a more limited geographic area. Minor effects are when there are limited changes to water quality, and when these effects pertain to a limited geographic area. More specifically, as presented in Section 4.0.3, intensity determinations were made using the following analytical categories:

- **Negligible:** Minimal measurable impacts (adverse or beneficial) are expected; or short term effects to a small geographic area, community or economy.
- **Minor Beneficial:** The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water in localized areas.
- **Moderate Beneficial:** The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water in localized and adjacent areas.
- **Major Beneficial:** The action could reduce 1) soil, groundwater, and/or surface water contamination; 2) exposure of contaminated media as occupational hazard; and/or 3) mobilization and migration of pollutants currently in the soil, ground water, or surface water over widespread areas.

Table 4.3-39 describes the rationale used to classify the effects of each Alternative and region. Table 4.3-40 summarizes this information for overall public health and safety impacts. As identified in Table 4.3-40, at the national scale, Alternatives 2, 3, 4, and 8 (Preferred) are anticipated to result in Major Beneficial impacts to public health and safety when compared to the No Action Alternative. Alternatives 6 and 7 are anticipated to result in Moderate Beneficial impacts to public health and safety. Alternative 5 is anticipated to result in Minor Beneficial impacts to public health and safety at the national scale.

Alternative 9 is anticipated to be functionally similar to the No Action Alternative and is anticipated to result in Negligible effects on public health and safety.

At a regional scale, Major Beneficial impacts are anticipated in the Appalachian Basin and Illinois Basin regions under Alternatives 2, 3, 4, and 8 (Preferred). Major Beneficial impacts are also anticipated in the Appalachian Basin under Alternative 7. Moderate Beneficial impacts are expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains regions under Alternatives 2, 3, 4, 6, 7, and 8 (Preferred). Moderate Beneficial impacts are also anticipated in the Appalachian Basin for Alternatives 5 and 6, and in the Illinois Basin for Alternatives 6 and 7. Other effects on public health and safety are anticipated to be Minor Beneficial or Negligible at the regional scale when compared to the No Action Alternative.

The subsections below discuss each Action Alternative individually.

Table 4.3-39a. Impacts of Alternative 2 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Colorado Plateau Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Gulf Coast Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Illinois Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Northwest Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity
Western Interior Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity

Table 4.3-39b. Impacts of Alternative 3 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Colorado Plateau Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Gulf Coast Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Illinois Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Northwest Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity
Western Interior Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity

Table 4.3-39c. Impacts of Alternative 4 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Colorado Plateau Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Gulf Coast Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Illinois Basin Region	Major Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively great water quality change • Broad geographic scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Northwest Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity
Western Interior Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity

Table 4.3-39d. Impacts of Alternative 5 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Colorado Plateau Region	Negligible	<ul style="list-style-type: none"> • Imperceptible effect
Gulf Coast Region	Negligible	<ul style="list-style-type: none"> • Imperceptible effect
Illinois Basin Region	Negligible	<ul style="list-style-type: none"> • Imperceptible effect
Northern Rocky Mountains and Great Plains Region	Negligible	<ul style="list-style-type: none"> • Imperceptible effect
Northwest Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity • Imperceptible effect
Western Interior Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity, • Imperceptible effect

Table 4.3-39e. Impacts of Alternative 6 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Colorado Plateau Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Gulf Coast Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Illinois Basin Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	<ul style="list-style-type: none"> • Long-term • Relatively moderate water quality change • Limited scope
Northwest Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity
Western Interior Region	Negligible	<ul style="list-style-type: none"> • Limited coal mining activity

Table 4.3-39f. Impacts of Alternative 7 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Major Beneficial	Long-term Relatively great water quality change Broad geographic scope
Colorado Plateau Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Gulf Coast Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Illinois Basin Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Northwest Region	Negligible	Limited coal mining activity
Western Interior Region	Negligible	Limited coal mining activity

Table 4.3-39g. Impacts of Alternative 8 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Major Beneficial	Long-term Relatively great water quality change Broad geographic scope
Colorado Plateau Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Gulf Coast Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Illinois Basin Region	Major Beneficial	Long-term Relatively great water quality change Broad geographic scope
Northern Rocky Mountains and Great Plains Region	Moderate Beneficial	Long-term Relatively moderate water quality change Limited scope
Northwest Region	Negligible	Limited coal mining activity
Western Interior Region	Negligible	Limited coal mining activity

Table 4.3-39h. Impacts of Alternative 9 on Public Health Compared to the No Action Alternative

Regulatory Alternative and Coal Region	Impact to Public Health and Safety	Rationale
Appalachian Basin Region	Negligible	Imperceptible change
Colorado Plateau Region	Negligible	Imperceptible change
Gulf Coast Region	Negligible	Imperceptible change
Illinois Basin Region	Negligible	Imperceptible change
Northern Rocky Mountains and Great Plains Region	Negligible	Imperceptible change
Northwest Region	Negligible	Imperceptible change
Western Interior Region	Negligible	Imperceptible change

Table 4.3-40. Summary of Impacts of the Regulatory Alternatives on Public Health and Safety

Coal Region	Alt. 2	Alt. 3	Alt. 4	Alt. 5	Alt. 6	Alt. 7	Alt. 8	Alt. 9
Appalachian Basin	Major Beneficial	Major Beneficial	Major Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Major Beneficial	Negligible
Colorado Plateau	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Gulf Coast	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Illinois Basin	Major Beneficial	Major Beneficial	Major Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible
Northern Rocky Mountains and Great Plains	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible	Moderate Beneficial	Moderate Beneficial	Moderate Beneficial	Negligible
Northwest	Negligible	Negligible						
Western Interior	Negligible	Negligible						
National Effect	Major Beneficial	Major Beneficial	Major Beneficial	Minor Beneficial	Moderate Beneficial	Moderate Beneficial	Major Beneficial	Negligible

Note: See Table 4.0-1 for a definition of Negligible, Minor, and Moderate impact terms used above. These impact categories consider the length of impact, geographic scope of impact, and potential for offsetting the impact.

4.3.4.3.1 Alternative 1 (No Action Alternative)

Under the No Action Alternative, no further regulations or corrective measures in addition those already in place would be implemented. Therefore, ongoing public health and safety trends would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on water resources under the No Action Alternative.

Water and air quality are primary drivers of public health changes in coal mining regions. Arsenic, selenium, and sulfates are drinking water pollutants found to be elevated near mining regions. Of these pollutants, arsenic appears to be the most concerning as studies have demonstrated that inorganic arsenic in drinking water may play a significant role in cancers, primarily bladder cancers (Borak, 2007; Shiber, 2005). Surface mining has resulted in elevated levels of arsenic in drinking water in some coal mining areas. It is possible that some areas may experience reductions in arsenic exposure in drinking water as coal production decreases under the No Action Alternative. Although public tap water is regulated for arsenic concentrations, users of private wells may benefit from reductions in arsenic concentrations.

Trends in air quality under the No Action Alternative would also affect overall public health in coal mining regions. In particular, coal dust may be associated with nonmalignant lung disease, lung cancer, and gastric cancer. Additionally, coal mining contributes to rising greenhouse gas emissions, which has negative public health impacts from both ambient air quality and global warming. Due to forecasted decreases in production levels, air quality for adjacent communities may improve due to a lower overall exposure to coal dust and particulate matter.

In concert with the above, efforts to improve public health under the Federal Mine Safety and Health Act, Abandoned Mine Lands Program, state mining and water quality regulations, the CWA, as well as

regional, state, local mine safety and pollution improvement efforts, may continue to improve conditions in localized areas related to causes such mining safety, drinking and surface water quality, and mine reclamation.

4.3.4.3.2 Alternative 2

As described in more detail in Section 4.2.1, Alternative 2 provides major benefits to water resources. This finding is driven by expected improvements to water resources in the Appalachian Basin, and to a slightly less extent, in the Illinois Basin. These benefits extend beyond the mine sites and are expected to persist over time due to the improved water quality management practices at the mines under Alternative 2. Absent information on the magnitude of the population benefitting from this improvement, this analysis assumes the relative effect of the Alternative on water quality (i.e., minor, moderate, major) similarly benefits public health within the region.

4.3.4.3.3 Alternative 3

For similar reasons to Alternative 2, Section 4.2.1 indicates that Alternative 3 provides major benefits to water resources, and therefore supports improvements in public health. This finding is driven by expected improvements to water resources in the Appalachian Basin, and to a slightly less extent, in the Illinois Basin. Moderate benefits are also expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains, where improvements are moderate but pertain to smaller geographic areas.

4.3.4.3.4 Alternative 4

Alternative 4 provides major benefits to water resources and, by extension, conditions to support public health improvements, in the Appalachian and the Illinois Basins. As with Alternative 3, moderate benefits are also expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains.

4.3.4.3.5 Alternative 5

Water quality benefits under Alternative 5 are likely moderate in the Appalachian Basin, due to a relatively limited geographic scope as compared with Alternatives 2, 3, and 4. This Alternative is not expected to benefit water quality (and public health) in other coal regions.

4.3.4.3.6 Alternative 6

Benefits to water resources (and public health) are Moderate Beneficial across all regions except the Northwest and Western Interior, where effects of the Alternative are Negligible.

4.3.4.3.7 Alternative 7

Impacts on water resources are beneficial and concentrated in the Appalachian Basin, consistent with the findings in Section 4.2.1. Benefits to water resources (and public health) are moderate across all other regions, except the Northwest and Western Interior where the Alternative has Negligible effects.

4.3.4.3.8 Alternative 8 (Preferred)

Alternative 8 (Preferred) provides major benefits to water resources (and public health) in the Appalachian and the Illinois Basins. As with Alternative 3, Moderate Beneficial effects are also expected in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains and Great Plains.

4.3.4.3.9 Alternative 9

Alternative 9 considers a scenario in which the 2008 Stream Buffer Zone rule is re-promulgated and fully implemented across the timeframe of this analysis. Engineering analysis of current coal industry practices finds that, during the period that the 2008 rule was in place, the permits issued in the Appalachian Basin changed in response to EPA review of Clean Water Act permits such that they serve as models for best practices for future permits. Accordingly, Alternative 9, which is effectively limited to Appalachia, would now not be expected to be functionally different than the No Action Alternative. Alternative 9 is therefore anticipated to have Negligible effects on public health and safety as evaluated in this FEIS.

4.3.4.4 Potential Minimization and Mitigation Measures

As the expected effects of the rule are generally beneficial, minimization and mitigation measures are not necessary.

4.3.5 Archaeology, Paleontology, and Cultural Resources

This section of Chapter 4 analyzes how the No Action Alternative and the Action Alternatives would affect paleontological and cultural resources. The discussion in this section is brief because none of the Action Alternatives include any proposed changes within the regulations that directly address these resources. Any effects would be indirect and would occur only as a result of effects on other resources, specifically to geology and soils, and then only if paleontological and cultural resources are present in the disturbed area. Therefore much of the subsequent discussion in this section relies on the analysis of soil and geology impacts contained in section 4.2.3 and the potential for additional effects from the proposed action is very limited.

The following content is structured as follows:

- It begins with a description of the existing regulatory environment to assist the reader in understanding the impacts of the No Action Alternative on paleontological and cultural resources.
- It concludes with a summary of the expected effects of the elements of the Action Alternatives. All effects would be Negligible so the discussion does not provide a by Alternative comparison in relation to these resources.

4.3.5.1 Effects of the Current Regulatory Environment (the No Action Alternative)

This section provides an overview of the major federal statutes and implementing regulations relating to paleontological and cultural resources to provide an understanding of the coordination and oversight that currently exists when impacts would occur. Many of the existing regulations apply only to federal actions, actions on federal lands, or actions occurring on lands held in trust by the federal government. OSMRE is a regulatory authority on Indian lands.

Section 3.13 describes generally where and under what conditions cultural and paleontological resources are expected to occur within the coal-bearing regions. These resources do not occur in all areas that are mined, and where they do occur it is also possible that the permit applicant would choose to avoid mining in the specific area to avoid the resources and associated regulatory requirements for coordination and mitigation.

Coal mining can affect cultural resources in a number of ways. Mining can impact archaeological artifacts and fossils (paleontological resources) due to the disturbance of the materials in which they lay. This disturbance can occur during earth moving activities associated with removal of the vegetation and roots prior to mining, or during removal of the materials (overburden) overlying the coal seam. Subsidence from underground mining can also impact cultural resources by disrupting the vertical position and alignment of artifacts; this can cause some of the information associated with the site to be lost. Subsidence is typically predictable and adverse effects can be planned for and mitigated in advance. Coal mining activities may also require the removal of historic properties during site preparation. Disturbance of these resources can destroy them or adversely affect their integrity to the extent where they are no longer significant on a national, state, or local level. As described below, statutes and regulations are in place to address these impacts during the permit process through identification of resources and coordination to develop and implement required protective measures. However, it is still possible that undiscovered resources may exist and be disturbed by mining activity.

4.3.5.1.1 Paleontological Resources

Existing federal laws that may affect the consideration and management of paleontological resources specifically during mining include SMCRA, NEPA, the Antiquities Act, and the Paleontological Resources Preservation Act. The discussion below focuses on the federal laws with most impact and applicability to surface mining effects on paleontological resources.

4.3.5.1.1.1 Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. 1201 et seq.)

As discussed in Section 3.13, coal mining activities are known to coincide with areas known for fossil remains. Paleontological resources are not afforded specific protection under our existing regulations and OSMRE does not collect data on impacts to paleontological resources from coal mining. Given the intensive site disturbance associated with surface coal mining it is reasonable to assume that scientifically insignificant sites are impacted when they coincide with mining activity. Impacts to paleontological resources from coal mining would include physical damage, destruction, or other loss of fossils, or alteration or loss of contextual information. On the other hand, it is well documented that the excavation activities and subsidence associated with coal mining have resulted in the discovery of important paleontological sites. Mining exposes sediments that often have preserved organisms or casts within them (Parker and Balsley, 1989). Requirements to reclaim the site after mining can in fact conflict with the opportunity to leave the site open for further investigation. This was the case at the Steven C. Minkin Paleozoic Footprint Site in Alabama, formerly the Union Chapel Mine Site, at which more than 4000 fossil specimens have been collected (Geological Survey of Alabama State Oil and Gas Board, 2006).

Nothing in existing SMCRA regulations would preclude issuance of a permit to conduct mining that would impact paleontological resources, except where the SMCRA regulatory authority has designated the area as unsuitable for mining as discussed below or where a state with primacy has implemented additional regulations. Existing federal laws that may affect the consideration and management of

paleontological resources during mining are summarized in the text below. Some states may have additional requirements, such as those in Montana, to consider impacts to paleontological resources on state lands.

The regulatory authority is authorized by section 522(e) of the SMCRA (30 U.S.C. § 1272(e)) to prohibit or limit surface coal mining operations on or near certain private, federal, and other public lands, subject to valid existing rights and except for those operations which existed on August 3, 1977. The implementing regulations require the regulatory authority, upon petition, to designate an area unsuitable for surface coal mining if mining there would affect fragile or historic lands in which the operations could result in significant damage to important historic, cultural, scientific, or esthetic values of natural systems (30 CFR 762.11). The definition of “fragile lands” per 30 CFR 762.5 specifically includes paleontological sites as an example. To date, OSMRE is unaware of any petition decisions that have designated areas as unsuitable for coal mining based partially or entirely on the need to protect paleontological resources.

Otherwise, neither SMCRA nor the current implementing regulations contain any requirement to identify, inventory, avoid, protect, or mitigate paleontological resources on federal or non-federal lands. On federal lands, the Antiquities Act applies, and, in practice, the regulatory authority sometimes addresses paleontological resources as part of the National Historic Preservation Act (NHPA) consultation where those resources are considered important as cultural markers in the discussion of traditional cultural value.

4.3.5.1.1.2 National Environmental Policy Act of 1969, as amended (42 U.S.C 4321, 4331-4335)

NEPA requires consideration of adverse effects to significant scientific, cultural or historical resources (40 CFR 1508.27(b)(8)). As such federal agencies are required to consider effects to scientifically or culturally important paleontological resources in evaluating actions to determine if the action would significantly impact the human environment. Paleontological resources are often included as a resource for consideration when federal agencies prepare NEPA documentation. Impacts to paleontological resources may differ between alternatives and in these instances these differences would be part of the information the decision maker has available for comparison of the reasonable alternatives and to determine the significance of impacts of the alternatives on the environment. However, NEPA applies only to federal actions (40 CFR 1500.1).

OSMRE would prepare NEPA documentation when the proposed mining activity would occur on federal or Indian lands, and for mining on all lands in the coal-producing states where OSMRE retains the role of regulatory authority (Tennessee and Washington). NEPA does not apply to state actions, including state permitting for mining on private lands. However individual states may have regulations and guidance that apply to actions affecting paleontological resources on state lands.⁶⁶

4.3.5.1.1.3 Antiquities Act of 1906 as amended (54 U.S.C. §§ 320301 - 320303)

The Antiquities Act protects sensitive cultural resources on land owned or controlled by the federal government, and criminal penalties have been established for the removal, damage, or destruction of “any historic or prehistoric ruin or monument, or any object of antiquity that is situated on lands owned or

⁶⁶ Montana, for example, requires state agencies to include consideration of adverse effects on paleontological resources within state Environmental Impact Statements prepared for actions on state lands (MT Code § 22-3-433).

controlled by the federal Government, without the permission of the head of the federal agency having jurisdiction over the lands on which the object is situated” (18 U.S.C. § 1866). Though paleontological resources are not specifically mentioned, “objects of antiquity” has often been interpreted to include fossils and other paleontological resources (Harmon, et al., 2006). If the paleontological resource was considered to be an “object of antiquity”, the removal of any objects would require a permit under the Antiquities Act (43 CFR 3.1).

4.3.5.1.1.4 Paleontological Resources Preservation Act of 2009 (16 U.S.C §§ 470aaa-470aaa-11)

In 2009, the Paleontological Resources Preservation Act (PRPA) was signed into law as part of the Omnibus Public Land Management Act. The requirements of the law have limited applicability to our responsibilities and authorities under SMCRA. The PRPA requires, in part, the Secretary of the Interior to manage and protect paleontological resources on lands “controlled or administered by the Secretary of the Interior, except Indian land” (16 U.S.C. §470aaa-1). The PRPA therefore applies to lands managed by the Bureau of Land Management, National Park Service, Bureau of Reclamation and the Fish and Wildlife Service. OSMRE is under the Department of the Interior but does not control or administer land.

The PRPA prohibits collection of paleontological resources from federal land without a permit, with some exceptions (16 U.S.C. § 470aaa-3), and prescribes civil penalties for acts such as damaging or removing paleontological resources located on federal lands (16 U.S.C. § 470aaa-5). However, the PRPA specifically clarifies that nothing in the law should be construed as invalidating, modifying, or imposing any additional restrictions or permitting requirements on any activities permitted at any time under the general mining laws, or laws providing for the management or regulation of these activities including SMCRA (16 U.S.C. § 470aaa-10). Under existing SMCRA regulations OSMRE (or a delegated state regulatory authority) would continue to be responsible for consulting with the federal land management agency with respect to any special requirements necessary to protect non-coal resources (such as paleontological resources) in the areas affected by surface coal mining and reclamation operations (30 CFR 740.4(c)(2)).

4.3.5.1.2 Cultural Resources

Existing federal laws that may affect the consideration and management of archaeological and historic resources specifically during mining include SMCRA; the NHPA; the Archaeological Resources Protection Act of 1979, as amended (16 U.S.C. § 470aa-470mm) (ARPA); the Antiquities Act, as amended (54 U.S.C. §§ 320301 – 320303); the Historic Sites Act of 1935, as amended (54 U.S.C. §§ 32101) (HSA); NEPA; the Historic and Archaeological Preservation Act of 1974, as amended (16 U.S.C. § 469; 54 U.S.C. §§ 312501-312508); the American Indian Religious Freedom Act of 1978, as amended (42 U.S.C. §§ 1996 and 1996a) (AIRFA); and the Native American Graves Protection and Repatriation Act of 1996 (25 U.S.C. §§ 3001-3013) (NAGPRA). The discussion below focuses on the federal laws with most impact and applicability to surface mining effects on cultural resources.

4.3.5.1.2.1 Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. § 1201 et seq.)

As discussed in previous sections, most coal mining states have approved state programs for those states to regulate coal exploration and surface coal mining and reclamation operations on non-federal and non-Indian lands within their boundaries. The state, and not OSMRE, issues the mine permit where there is an

applicable approved state regulatory program. State-issued permits under SMCRA are not federal undertakings for purposes of the NHPA.

While state issued permits are not federal undertakings afforded consideration under the NHPA, existing SMCRA-implementing regulations in 30 CFR 731.14(g)(17) require that state programs seeking federal approval include a process for consulting with state, federal and local agencies having responsibilities for historic, cultural, and archaeological resources. OSMRE's role in accordance with 30 CFR Part 732 is to ensure that implementation of approved state programs is no less effective than federal regulations.

Additionally, cultural resources are considered during review of amendments to state regulatory programs. The states are required to provide their proposed amendments to the State Historic Preservation Officer (SHPO) and Advisory Council on Historic Preservation (ACHP) for comment if those amendments would have an effect on historic properties (30 CFR 732.17(h)(4)).

Information regarding cultural resources is also required of permit applicants for specific proposed operations. For example, permit application packages for surface coal mining must contain descriptions of any cultural or historical sites listed on the National Register of Historic Places (NRHP) within the permit and adjacent areas of the proposed surface coal mining and reclamation operation (30 CFR 779.12(b)(1) and 783.12(b)). The regulatory authority may require the applicant to protect historic or archaeological properties on or eligible for listing on the NRHP through appropriate mitigation and treatment measures (30 CFR 780.31(b)).

Where OSMRE is the regulatory authority (e.g., on Indian lands, and in states without approved programs) or where federal lands are involved, the full federal agency requirements of the NHPA would apply in addition to the requirements of SMCRA. Where the proposed mining would occur on Indian lands the permit must also address compliance with federal laws aimed at protecting cultural resources on Indian lands in addition to compliance with the NHPA. On Indian lands, OSMRE is responsible for determining if the materials provided in the application are sufficient to determine possible adverse impacts on cultural resources (30 CFR 750.12(c)(3)(ii)(B)).

Gathering this information is important for the protection of these resources and also to determining whether existing prohibitions of 30 CFR 761.11(c) apply. With the exception of areas subject to valid existing rights (valid and existing rights are described at 30 CFR 761.16), surface coal mining is prohibited on any lands where mining will adversely affect any publicly owned park or any places included in the NRHP, unless jointly approved by the regulatory authority and the federal, state, or local agency with jurisdiction over the park or place (30 CFR 761.11(c)). Surface coal mining operations are also prohibited within 100 feet of cemeteries, although the regulations do allow for relocation of cemeteries to allow mining if authorization is granted by applicable state law or regulations (30 CFR 761.11(g)).

The information required in application packages can include information from the SHPO or Tribal Historic Preservation Officer (THPO) and from local archaeological, historical, and cultural preservation agencies. The regulatory authority can require the applicant to provide additional information including through further field investigation (30 CFR 779.12(b)).

Upon agreement of all parties that the operation can move forward despite adverse effects on listed or eligible historic or archaeological properties, the regulatory authority may require the applicant to protect historic or archaeological properties listed on or eligible for listing on the NRHP through appropriate mitigation and treatment measures (30 CFR 784.17(b)). Appropriate mitigation and treatment measures may be implemented after permit issuance, provided that the required measures are completed before the properties are affected by any mining operation (30 CFR 780.31(b) and 784.17(b)).

As discussed above, the regulatory authority can designate lands where mining would have an adverse effect on a publically owned park or any place included on the NRHP (not just eligible for it) as unsuitable for mining in coordination with the federal, state, or local agency with jurisdiction over the park or place (30 CFR 761.11(c)). However, permit applications that involve adverse impacts on these resources are not uncommon, and regulatory authorities routinely grant approval of these operations once consultation requirements are successfully completed.

Under all regulatory programs, consultations with the SHPO or THPO during the permit process help to avoid impacts to these resources where possible, and where not possible, identifies requirements for minimization and mitigation if the mining is allowed to move forward. Applicants sometimes choose to avoid the effect so that there is no need to pursue approval or to bear the cost or time delay associated with implementing mitigation required to resolve the effect.

4.3.5.1.2.2 National Historic Preservation Act of 1966 (54 U.S.C. § 300101 et seq.)

The NHPA requires federal agencies to take into account the effects of their undertakings on historic properties,⁶⁷ and to afford the ACHP a reasonable opportunity to comment 36 CFR 800.1(a). This procedure is commonly known as the “Section 106” process and the goal of consultation under this section is to identify historic properties potentially affected by the undertaking, assess its effects and seek ways to avoid, minimize or mitigate any adverse effects on historic properties (*Id.*). For specific properties, the federal agency taking the action determines eligibility of the resource in consultation with the appropriate SHPO or THPO (36 CFR 800.4).

The criteria for evaluation are broad so that a diversity of resources may meet eligibility requirements. Properties must display significance in American history, architecture, archaeology, engineering, and culture, while possessing integrity of location, design, setting, materials, workmanship, feeling and association (36 CFR 60.4). Additionally, in determining eligibility, NRHP considers the following criteria (36 CFR 60.4):

- **Criterion A:** Properties associated with the events that have made a significant contribution to the broad patterns of American history; or
- **Criterion B:** Properties associated with the lives of persons significant in our past; or

⁶⁷ Historic properties as defined under the NHPA are any prehistoric or historic district, site, building, structure, or object included in or eligible for inclusion on the NRHP (36 CFR 800.16(l)). Historic properties under the NHPA may also include traditional cultural properties listed on the NRHP. This term “historic properties” corresponds to the phrase used in SMCRA and the implementing regulations “historic or archaeological resources listed or eligible for listing” (30 CFR 779.12b(1)).

- **Criterion C:** Properties that embody the distinctive characteristic of a type, period, or method of construction, or that represent the work of a master, or that possess high artistic value, or that represent a significant or distinguishable entity whose components may lack individual distinction; or
- **Criterion D:** Properties that have yielded or may likely yield information important in prehistory or history.

The responsibilities of the SHPO or THPO under the NHPA extend to undertakings funded in whole or in part under the direct or indirect jurisdiction of a federal agency, including those carried out by or on behalf of a federal agency; those carried out with federal financial assistance; and those requiring a federal permit, license or approval (36 CFR 800.16(y)). The ACHP recognizes that federal agency influence on activities that take place on non-federal lands is generally limited to conditioning the assistance, permit, or license with stipulations setting what the recipient will do, not necessarily how the applicant will do it (ACHP, 2006).

The NHPA requires federal agencies to consult with federally recognized Indian tribes that attach religious or cultural significance to historic properties (54 U.S.C. § 302706(b)). The NHPA requires tribal consultation not only for tribal lands but also for ancestral homelands of an Indian tribe or tribes (36 CFR 800.2(c)(2)). Properties with traditional cultural significance may be eligible for inclusion in the NRHP. The National Register Bulletin 38 (Parker and King, 1992) justifies their inclusion by defining a traditional cultural property (TCP) as one that is “eligible for inclusion in the National Register because of its association with cultural practices or beliefs of a living community that (a) are rooted in that community’s history, and (b) are important in maintaining the continuing cultural identity of the community.” Such properties may be a simple, unmodified location, a mountain peak, a rural community, an urban neighborhood, or any other place that holds important meaning for a community. TCPs may be encountered across the country. States with extant Native American lands and populations might be expected to contain more TCPs than other parts of the country. The extremely variable nature of TCPs, and their often secret nature and poor documentation, makes it impracticable to learn or describe the TCP resources of each state here in this FEIS.

Methodologies for cultural resource evaluations and treatment of artifacts retrieved from archaeological sites are contained in the implementing regulations for the NHPA at 36 CFR Part 63 (Determination of Eligibility for Inclusion in the National Register) and 36 CFR Part 79 (Curation of Federally-Owned and Federally Administered Archaeological Collections). Artifacts recovered from private lands during archaeological surveys and excavation during the course of Section 106 review are usually the property of the landowner, unless state or local law mandates otherwise. Human remains are generally covered under specific laws. On federal land, human remains are addressed under NAGPRA (43 CFR Part 10); on non-federal lands, state laws would apply.

The NHPA requires resolution of adverse effects only for impacts to resources listed or eligible for listing on the NRHP, as discussed in the implementing regulations at 36 CFR 800.6. Despite data from cultural resources inventories, sites and resources remain unknown, and it is therefore possible that inadvertent impacts could occur to previously unidentified sites during mining. The NHPA recognizes this possibility and includes procedures to address post discovery situations as they arise (36 CFR 800.13).

4.3.5.1.2.3 Archaeological Resources Protection Act (16 U.S.C. § 470aa-470mm)

The ARPA and its implementing regulations at 43 CFR Part 7, address legitimate archaeological investigation on public lands and provide for enforcement actions against vandals and looters of these resources. Section 9 of ARPA specifically prohibits the release of information concerning the nature and location of archaeological sites excavated or removed under an ARPA permit unless the federal land manager determines that releasing the information furthers the purposes of ARPA and will not create a risk of harm to the resources (16 U.S.C. § 470hh). The purposes of ARPA as set out at 16 U.S.C. § 470aa are: “to secure, for the present and future benefit of the American people, the protection of archaeological resources and sites which are on public lands and Indian lands, and to foster increased cooperation and exchange of information between governmental authorities, the professional archaeological community, and private individuals. . .” Therefore, information from archaeological sites on private lands or non-federal public lands is protected under ARPA.

4.3.5.2 Action Alternatives and Potential Effects on Archaeological, Paleontological and Cultural Resources

Additional impacts to cultural and paleontological resources from the rule elements would only occur if the element increases the area of ground disturbance related to the mining operation or shifts the operation from one area to another area of differing probability for containing these resources. Therefore, the majority of the discussion of impacts of the rule elements on topography, geology and soils also applies to the discussion of impacts on cultural and paleontological resources. To the extent that any particular rule element reduces the extent of ground disturbance associated with mining, it would also reduce the disturbance of cultural resources located within that ground. Therefore cultural resources may benefit from some or all of the rule elements.

4.3.5.2.1 Protection of the Hydrologic Balance

4.3.5.2.1.1 Baseline Data Collection and Analysis

Baseline data collection has the potential to affect cultural and paleontological resources under all of the Alternatives under consideration; collection of this data is required under the No Action Alternative and would be expanded under most (all but Alternative 9) of the Action Alternatives. However, the likelihood of effects of this activity on cultural and paleontological resources is reduced due to the fact that direct impacts would be limited to the area of disturbance associated with the sampling, and in order for a direct impact to occur the sampling location would have to coincide with the location of the resources themselves. The regulatory authority would review these proposed activities during the permit process. The permit application package must identify cultural resources, and the location of the baseline data sampling activity could be adjusted to avoid impacts in most instances.

4.3.5.2.1.2 Monitoring During Mining and Reclamation

It is unlikely that activities related to this element would affect paleontological or cultural resources. Hydrologic monitoring itself requires little or no ground disturbance other than the installation of monitoring wells as discussed above, and avoidance of important resources should be possible in almost all circumstances.

Groundwater data is required under existing regulations of the No Action Alternative; several of the Action Alternatives (all but Alternative 9) would increase the list of analytes required and the frequency of data collection. Increasing the list of analytes and the frequency of collection would not increase the number of wells installed. However, the proposed revisions would also further clarify the findings that must be made based on this data, and as a result the number of wells installed on any particular mine site may increase under these Action Alternatives. The area of disturbance associated with a monitoring well is generally small-consisting of the roadway used to haul equipment to the well site, the area used during drilling, and the final installed well.

Increased monitoring requirements would also potentially increase impacts to paleontological and cultural resources as a result of changes to the mining operation that the improved data may show as necessary. The remedy to the problem may require a change to the ongoing mining operation, such as the rerouting of a drainage system or the construction of a new treatment pond, which would increase the area of disturbance. However, as with existing regulations, the regulatory authority would review these changes to the mining plan under existing SMCRA regulations that require identification of impacts to cultural resources and allow the regulatory authority to require mitigation.

4.3.5.2.1.3 Definition of Material Damage to the Hydrologic Balance

As with the collection of baseline data and subsequent monitoring, the result of the definition of material damage to the hydrologic balance could induce indirect effects on the area of disturbance. Alternatives 2, 3, 4, and 8 (Preferred) would therefore have a slightly increased risk of disturbance of these resources in comparison to Alternatives 1 (No Action), 5, 6, 7 and 9. However the requirements of the existing regulations pertaining to consideration of impacts at the permitting stage would continue to apply regardless; the regulatory authority would review these changes to the mining plan under existing SMCRA regulations, and would require mitigation identified through consultation as required.

4.3.5.2.1.4 Evaluation Threshold

Evaluation thresholds are impact standards set lower than those established for material damage to the hydrologic balance and are designed to act as a warning system to prevent material damage to the hydrologic balance outside the permit area. Under the No Action Alternative, no evaluation thresholds exist and also are not proposed in Alternatives 5, 6, and 9. The establishment of evaluation thresholds, as proposed in Alternatives 2, 3, 4, 8 (Preferred) and, in certain circumstances, Alternative 7, could trigger a redesign in the mining operation. As described above for the other components of the elements related to protection of the hydrologic balance, the additional requirement of an evaluation threshold may introduce additional potential for ground disturbance and additional risk of impacts to cultural and paleontological resources.

4.3.5.2.2 Activities in Or Near Streams

4.3.5.2.2.1 Stream Definitions

Modifying the definition of streams may affect paleontological or cultural resources. Our existing regulations (the No Action Alternative) classify all watersheds one square mile or larger in size as intermittent streams. Alternatives 3, 5, 6 and 9 would make no change to this definition. However, Alternatives 2, 4, 7 (when warranted by the operation) and 8 (Preferred) would replace the watershed component of the definition with other determining characteristics. To the extent that this change would

result in some streams (mostly in the arid and semiarid regions of the West) now protected as intermittent streams being reclassified as ephemeral streams, which lack the protections afforded to perennial and intermittent streams, there could be a direct effect on aquatic resources and the streamside area associated with the stream through increased disturbance (as discussed in the other sections of this chapter). If those newly disturbed areas also contained paleontological or cultural resources this redefinition could result in an effect.

4.3.5.2.2.2 Mining through Streams

The predominant interpretation of the existing regulations (No Action Alternative) allows diversion and mining through intermittent and perennial streams when the regulatory authority makes a finding that diversion of the stream would not adversely affect water quantity, water quality, and related environmental resources of the stream (30 CFR 816.43(b) and 817.43(b)). Alternatives 2 and 7 (when enhanced permitting conditions apply) explicitly prohibit all mining activities in or within 100 feet of perennial streams but, with certain additional requirements as described elsewhere, allow mining through intermittent and ephemeral streams. However each of the Action Alternatives includes additional requirements related to restoration of mined through streams, and these additional requirements may deter some applicants from proposing these activities and therefore reduce the amount of disturbance of resources through avoidance.

Impacts to paleontological and cultural resources would occur during the excavation of the streambed for the mining through activity, and due to the disturbance associated with creating a diversion channel to receive the water that would otherwise have flowed through the mined through stream. Mining through streams may have a higher risk of impact on cultural resources in comparison to mining in upland areas. Streams and stream side areas are attractive for many human uses and cultural practices; these areas may have a higher probability of containing artifacts than other areas that are farther from water. However, this probability must be evaluated carefully on a case-by-case basis because erosion and human manipulation may have changed the location and course of the water body substantially over time. As with the No Action Alternative, if proposed impacts to the stream would affect NRHP eligible resources, consultation requirements under NHPA and SMCRA would apply.

4.3.5.2.2.3 Activities in or Near Streams, Including Placement of Excess Spoil and Coal Refuse

Under the No Action Alternative, mining activities within 100 feet of intermittent or perennial streams are prohibited unless the regulatory authority specifically authorizes activities closer to or through the stream. Such authorization requires a finding that the mining activities would not cause or contribute to the violation of applicable state or federal water quality standards and would not adversely affect the water quantity or quality or other environmental resources of the stream.

The Action Alternatives would increase the stringency of the requirements governing mining activities near streams as well as the placement of excess spoil and coal refuse at these locations. All Action Alternatives would require minimization of excess spoil creation. The proposed new requirements would potentially indirectly benefit paleontological and cultural resources because the requirements to minimize excess spoil creation would result in less area needed to accept the excess spoil, thereby potentially reducing the likelihood of impacted areas containing cultural or paleontological resources. The benefits may be minor; not all areas contain these resources, and existing regulations already contain requirements for identification and protection as described previously.

These impacts would continue under all of the Action Alternatives; all of the Action Alternatives allow mining through streams to some extent although Alternatives 2 and 4 (and 7 when special conditions exist) would prohibit mining through perennial streams. However each of the Action Alternatives (excluding Alternative 9) includes additional requirements related to restoration of mined through streams, and these additional requirements may deter some applicants from proposing these activities and therefore reduce the amount of disturbance of resources through avoidance.

4.3.5.2.3 Approximate Original Contour (AOC) Variances and Surface Configuration

SMCRA requires that the permittee backfill and grade the mined area to its AOC, which means a surface configuration that closely resembles the premining surface configuration and that blends into and complements the drainage pattern of the surrounding terrain. However, the No Action Alternative contains no numerical standards for use in determining when this requirement has been achieved. SMCRA and the existing regulations (the No Action Alternative) provide for a number of exceptions to the requirement to restore mined land to AOC. Those exceptions include operations with thin or thick overburden, certain remining operations, mountaintop removal mining operations, and steep-slope mining operations.

While the Action Alternatives (excluding Alternative 9) propose changes to these regulations this topic has little relevance to paleontological or cultural resources since it pertains to the return of the land to specified conditions after the mining has occurred; any disturbance of paleontological or cultural resources would have occurred before this point in the operation (e.g., during site preparation and overburden removal).

4.3.5.2.4 Postmining Land Use and Enhancement

4.3.5.2.4.1 Revegetation, Soil Management, and Reforestation

This rule element pertains to the handling of soils during overburden removal for the purposes of salvaging their potential as a growing medium during reclamation, and requirements for revegetating after the mining activity. The No Action Alternative emphasizes use of native species in revegetation, although introduced species are permitted under certain conditions. Salvage, storage, and redistribution of topsoil (the A and E soil horizons) are required for all operations with exceptions for prime farmland.

Additional requirements under the Action Alternatives (excluding Alternative 9) for salvage of organic materials and soils (as described in Chapter 2) may have a Minor Beneficial impact on paleontological and cultural resources by increasing the amount of handling of the soil and therefore the potential for discovery of unearthed artifacts that were not known to be in the area. The Action Alternatives (excluding Alternative 9) would pose no additional negative risks because these specific proposed requirements would not increase the area of disturbance. Temporary storage of these materials typically occurs in the areas already disturbed, through phasing of the mining and reclamation activities.

4.3.5.2.4.2 Fish and Wildlife Protection and Enhancement

The No Action Alternative prohibits mining activity likely to jeopardize endangered or threatened species. Likewise, current regulations require the enhancement of fish and wildlife resources where practicable, and contain specific provisions applicable to power lines, haul and access roads, fences, and toxic industrial ponds. Existing regulations also require avoidance of disturbances to, restoration, or replacement of wetlands, streamside vegetation, and other habitats of unusually high value for fish and wildlife.

The Action Alternatives (excluding Alternative 9) contain elements designed to further protect and enhance fish, wildlife, and related environmental resources. The new requirements include establishment or restoration of a minimum 100-foot (Alternatives 2, 5, 6, 7, and 8 (Preferred)) or 300-foot (Alternatives 3 and 4) streamside vegetative corridor comprised of native species along intermittent, perennial, and (sometimes) ephemeral streams. To the extent that this element reduces the overall spatial extent of mining it could also in turn reduce the potential for disturbance of paleontological or cultural resources if the avoided areas contain these resources.

4.3.5.3 Summary of Effects

The discussion in this section is brief because none of the Action Alternatives include any proposed changes within the regulations that directly address these resources. Any effects would be indirect and would occur only as a result of effects on other resources, specifically to geology and soils, and then only if paleontological and cultural resources are present in the disturbed area.

4.4 Environmental justice

This section of Chapter 4 identifies communities that meet defined environmental justice criteria and explains the potential effects of the Action Alternatives on these communities.

This section:

- Identifies sensitive minority, low-income, and American Indian populations; and
- Discusses the potential impacts of the Action Alternatives on these populations, including impacts on socioeconomic resources, public health and safety, biological resources, water resources, air quality, topography, land use, and recreation.

Environmental justice requires the balanced treatment of all individuals with respect to the development, implementation, and enforcement of environmental regulations, laws, and policies. Likewise, it calls for the meaningful inclusion and representation of all parties in the decision-making process of new environmental statutes (U.S. EPA, 1998). In accordance with Executive Order 12898, the purpose of considering environmental justice in the context of implementing a new regulation is to ensure that adverse human health and environmental effects are not disproportionately experienced by minority and low-income populations. This section addresses potential environmental justice effects emanating from the Action Alternatives as compared to the No Action Alternative.

The intent of an environmental justice evaluation under Executive Order 12898, “Federal Actions to Address Environmental Justice in Minority and Low Income Populations” (1994), is to identify

communities and groups that meet environmental justice criteria, and suggest strategies to reduce potential adverse impacts of projects on affected groups. The purpose of Executive Order 12898 is to identify and address the disproportionate placement of adverse environmental, economic, social, or health impacts from federal actions and policies on minority and/or low-income communities. This order requires lead agencies to evaluate impacts on minority or low-income populations during preparation of environmental and socioeconomic analyses of projects or programs that are proposed, funded, or licensed by federal agencies.

4.4.1 Identification of Sensitive Minority, Low-Income, and American Indian Populations

According to the Council on Environmental Quality (CEQ) and EPA guidelines established to assist federal and state agencies, a minority population is present in a project area if (1) the minority population of the affected area exceeds 50 percent, or (2) the minority-population percentage of the affected area is meaningfully greater⁶⁸ than the minority-population percentage in the general population or other appropriate unit of geographic analysis. By the same rule, a low-income population exists if the project area consists of 50 percent or more people living below the poverty threshold, as defined by the U.S. Census Bureau, or is meaningfully greater⁶⁹ than the poverty percentage of the general population or other appropriate unit of geographic analysis.

Per Executive Order 12898, minorities are defined as individuals of the following population groups: American Indian or Alaskan Native; Asian or Pacific Islander; Black, not of Hispanic origin; or Hispanic. This analysis also considered the minority groups “Two or More Races” and “Other.”

The CEQ guidance indicates that when agencies determine whether environmental effects are “disproportionately high and adverse,” they are to consider whether there is or would be an impact on the natural or physical environment (as defined by NEPA) that would adversely affect a minority population or low-income population. None of the published guidelines define the term “disproportionately high and adverse,” but CEQ includes a non-quantitative definition stating that an effect is disproportionate if it appreciably exceeds the risk or rate to the general population (CEQ, 1997).

The affected area for this analysis is large and spans a variety of demographic conditions. In total, the affected area contains seven coal regions encompassing 286 counties in 24 states. The analysis was conducted at a county level to determine if any of the 286 counties contain populations that meet environmental justice criteria. Indian Tribes are considered as a distinct category in the minority population environmental justice analysis (see Section 4.4.3).

⁶⁸ The term “meaningfully greater” is not quantitatively defined and is therefore interpreted independently for each federal analysis that considers environmental justice populations. A survey of eight recent analyses, including several environmental impact statements for coal projects, revealed thresholds for “meaningfully greater” populations ranging from 1.2 to three times larger than the general geographic area. This analysis uses a threshold within this range to identify meaningful environmental justice populations. In the context of this study, a minority population in a study area was considered meaningfully greater if it was greater than or equal to two times (double) the minority population percentage at the state level.

⁶⁹ In the context of this study, a low-income population in a study area was considered meaningfully greater if it was greater than or equal to the low-income population percentage at the state level.

Table 4.4-1 presents those counties that have a minority population that meets the environmental justice criteria. A county was included in Table 4.4-1 if (1) the minority population was greater than 50 percent of the county population, or if (2) the minority population in the county made up a percent of the population that was at least double the percent of the minority population at the statewide level.

Table 4.4-1a. Black or African American Minority Populations meeting the Environmental Justice Criteria within Coal-Producing Counties

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority Population
McDowell	West Virginia	Appalachian Basin	9.5%	3.4%	2.79
Christian*	Kentucky	Illinois Basin	21.2%	7.8%	2.72
Raleigh	West Virginia	Appalachian Basin	8.2%	3.4%	2.41
Kanawha	West Virginia	Appalachian Basin	7.3%	3.4%	2.15
St. Clair	Illinois	Illinois Basin	30.5%	14.5%	2.10
Kemper*	Mississippi	Gulf Coast	60.1%	37.0%	1.62

Source: U.S. Census Bureau, 2013. Census 2010; adapted from Table 3.14-2.

*County appears twice in the table set because they meet the criteria for more than one minority group.

Table 4.4-1b. American Indian and Alaskan Native Minority Populations meeting the Environmental Justice Criteria within Coal-Producing Counties

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority Population
Big Horn	Montana	Northern Rocky Mountains and Great Plains	64.3%	6.3%	10.21
Navajo	Arizona	Colorado Plateau	43.4%	4.6%	9.43
McKinley	New Mexico	Colorado Plateau	75.5%	9.4%	8.03
Kemper*	Mississippi	Gulf Coast	3.7%	0.5%	7.40
Rosebud	Montana	Northern Rocky Mountains and Great Plains	34.7%	6.3%	5.51
La Plata	Colorado	Colorado Plateau	5.8%	1.1%	5.27
San Juan	New Mexico	Colorado Plateau	36.6%	9.4%	3.89

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority Population
McCreary	Kentucky	Appalachian Basin	0.7%	0.2%	3.50
Barbour	West Virginia	Appalachian Basin	0.6%	0.2%	3.00
Christian*	Kentucky	Illinois Basin	0.6%	0.2%	3.00
Huerfano	Colorado	Northern Rocky Mountains and Great Plains	3.2%	1.1%	2.91
Sebastian*	Arkansas	Western Interior	1.9%	0.8%	2.38
Craig	Oklahoma	Western Interior	20.4%	8.6%	2.37
De Kalb*	Alabama	Appalachian Basin	1.4%	0.6%	2.33
Jackson	Alabama	Appalachian Basin	1.4%	0.6%	2.33
Nowata	Oklahoma	Western Interior	19.1%	8.6%	2.22
Las Animas*	Colorado	Northern Rocky Mountains and Great Plains	2.4%	1.1%	2.18
Crittenden	Kentucky	Illinois Basin	0.4%	0.2%	2.00
Gallia	Ohio	Appalachian Basin	0.4%	0.2%	2.00
Jackson	Ohio	Appalachian Basin	0.4%	0.2%	2.00
Martin	Kentucky	Appalachian Basin	0.4%	0.2%	2.00
Vinton	Ohio	Appalachian Basin	0.4%	0.2%	2.00

Source: U.S. Census Bureau, 2013. Census 2010; adapted from Table 3.14-2.

*County appears twice in the table set because they meet the criteria for more than one minority group.

Table 4.4-1c. Asian, Native Hawaiian or Other Pacific Islander Minority Populations meeting the Environmental Justice Criteria within Coal-Producing Counties

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority
Monongalia	West Virginia	Appalachian Basin	3.1%	0.7%	4.43
Sebastian*	Arkansas	Western Interior	4.2%	1.4%	3.00

Source: U.S. Census Bureau, 2013. Census 2010; adapted from Table 3.14-2.

*County appears twice in the table set because they meet the criteria for more than one minority group.

Table 4.4-1d. Hispanic Origin Minority Populations meeting the Environmental Justice Criteria within Coal-Producing Counties

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority Population
De Kalb*	Alabama	Appalachian Basin	13.6%	3.9%	3.49
Maverick	Texas	Gulf Coast	95.7%	37.6%	2.55
Webb	Texas	Gulf Coast	95.7%	37.6%	2.55
Blount	Alabama	Appalachian Basin	8.1%	3.9%	2.08
Las Animas*	Colorado	Northern Rocky Mountains and Great Plains	41.6%	20.7%	2.01
Atascosa	Texas	Gulf Coast	61.9%	37.6%	1.65

Source: U.S. Census Bureau, 2013. Census 2010; adapted from Table 3.14-2.

*County appears twice in the table set because they meet the criteria for more than one minority group.

Table 4.4-1e. Other Minority Populations meeting the Environmental Justice Criteria within Coal-Producing Counties

County	State	Region	Percent Population Minority (County)	Percent Population Minority (State)	Ratio of County to State Minority Population
De Kalb*	Alabama	Appalachian Basin	9.9%	2.0%	4.95
Sebastian*	Arkansas	Western Interior	7.4%	3.4%	2.18
Carbon	Wyoming	Northern Rocky Mountains and Great Plains	6.5%	3.0%	2.17
Sweetwater	Wyoming	Northern Rocky Mountains and Great Plains	6.4%	3.0%	2.13
Blount	Alabama	Appalachian Basin	4.1%	2.0%	2.05
Adams	Colorado	Northern Rocky Mountains and Great Plains	14.6%	7.2%	2.03

Source: U.S. Census Bureau, 2013. Census 2010; adapted from Table 3.14-2.

*County appears twice in the table set because they meet the criteria for more than one minority group.

American Indians have a greater representation within several coal region counties than they do within the rest of the state in which those counties are located. Most notably, Big Horn and Rosebud counties in the Northern Rocky Mountains and Great Plains region, Navajo, McKinley, and La Plata counties in the Colorado Plateau region, and Kemper County in the Gulf Coast region all have American Indian populations that are at least five times greater, as a percent of the population, than American Indian populations for the states in which the counties are located.

Five counties appear in Table 4.4-1 for at least two different minorities. These are Christian County in Kentucky (Black/African-American and American Indian), De Kalb County in Alabama (American Indian, Hispanic Origin, and Other), Kemper County in Mississippi (Black/African-American and

American Indian), Las Animas County in Colorado (American Indian and Hispanic Origin), and Sebastian County in Arkansas (American Indian, Asian, and Other).⁷⁰

Table 4.4-2 presents those counties that have a low-income population that meets the environmental justice criteria. A county was included in Table 4.4-2 if the low-income population in the county was greater than or equal to the percent of the low-income population at the statewide level. As shown, of the 286 counties in the study area, 185 counties have a higher percent of the population living below the poverty line than the average of the state in which the county occurs. Unlike the minority populations discussed above, the low-income populations of concern are geographically concentrated: of the 185 counties, 112 are located in the Appalachian Basin region (61 percent), while 31 are located in Illinois Basin (11 percent), 14 in Gulf Coast, 10 each in Colorado Plateau and Northern Rocky Mountains and Great Plains regions (5 percent each), seven in Western Interior (4 percent), and one in the Northwest region (1 percent). Within the 112 counties in Appalachia with poverty rates that are higher than state averages within the study area, 28 counties are in Kentucky, 22 counties are in West Virginia, 21 counties are in Pennsylvania, 18 counties are in Ohio, and 23 counties are in other states in the region (Alabama, Tennessee, Virginia, and Maryland).

Table 4.4-2a. Low-Income Populations in Coal-Producing Counties in the Appalachian Basin

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Cullman	Alabama	17.6%	17.6%
De Kalb	Alabama	19.8%	17.6%
Fayette	Alabama	19.7%	17.6%
Marion	Alabama	20.3%	17.6%
Tuscaloosa	Alabama	19.9%	17.6%
Walker	Alabama	19.7%	17.6%
Winston	Alabama	21.2%	17.6%
Bell	Kentucky	32.5%	18.1%
Boyd	Kentucky	19.1%	18.1%
Breathitt	Kentucky	30.0%	18.1%
Clay	Kentucky	36.5%	18.1%
Elliott	Kentucky	31.9%	18.1%

⁷⁰ It should be noted that “Hispanic Origin” is classified as an ethnicity and not a race. On the U.S. Census form, an individual may self-identify as both a particular race and of Hispanic origin. As such, duplicate representation of counties in the table may be due, in part, to multiple answers supplied by a single individual.

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Estill	Kentucky	27.3%	18.1%
Floyd	Kentucky	27.3%	18.1%
Harlan	Kentucky	31.1%	18.1%
Jackson	Kentucky	35.6%	18.1%
Johnson	Kentucky	21.5%	18.1%
Knott	Kentucky	24.5%	18.1%
Knox	Kentucky	36.4%	18.1%
Laurel	Kentucky	20.6%	18.1%
Lawrence	Kentucky	25.8%	18.1%
Lee	Kentucky	31.5%	18.1%
Leslie	Kentucky	23.2%	18.1%
Letcher	Kentucky	26.0%	18.1%
Magoffin	Kentucky	30.1%	18.1%
Martin	Kentucky	37.6%	18.1%
McCreary	Kentucky	30.9%	18.1%
Morgan	Kentucky	25.8%	18.1%
Owsley	Kentucky	39.3%	18.1%
Perry	Kentucky	26.4%	18.1%
Pike	Kentucky	22.2%	18.1%
Pulaski	Kentucky	23.2%	18.1%
Rockcastle	Kentucky	29.2%	18.1%
Whitley	Kentucky	26.8%	18.1%
Wolfe	Kentucky	42.1%	18.1%
Allegany	Maryland	14.9%	9.0%
Garrett	Maryland	12.7%	9.0%
Athens	Ohio	31.5%	14.8%
Columbiana	Ohio	15.9%	14.8%

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Coshocton	Ohio	16.7%	14.8%
Gallia	Ohio	20.2%	14.8%
Guernsey	Ohio	17.1%	14.8%
Harrison	Ohio	20.1%	14.8%
Jackson	Ohio	23.2%	14.8%
Jefferson	Ohio	16.9%	14.8%
Lawrence	Ohio	17.4%	14.8%
Mahoning	Ohio	17.1%	14.8%
Meigs	Ohio	21.3%	14.8%
Monroe	Ohio	18.1%	14.8%
Morgan	Ohio	19.5%	14.8%
Muskingum	Ohio	16.9%	14.8%
Noble	Ohio	16.3%	14.8%
Perry	Ohio	17.7%	14.8%
Vinton	Ohio	20.8%	14.8%
Washington	Ohio	15.1%	14.8%
Bedford	Pennsylvania	13.1%	12.6%
Blair	Pennsylvania	13.8%	12.6%
Cambria	Pennsylvania	14.2%	12.6%
Cameron	Pennsylvania	14.0%	12.6%
Centre	Pennsylvania	18.9%	12.6%
Clarion	Pennsylvania	15.8%	12.6%
Clearfield	Pennsylvania	14.8%	12.6%
Columbia	Pennsylvania	15.2%	12.6%
Fayette	Pennsylvania	19.2%	12.6%
Greene	Pennsylvania	15.9%	12.6%
Indiana	Pennsylvania	18.6%	12.6%

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Jefferson	Pennsylvania	14.1%	12.6%
Lackawanna	Pennsylvania	13.3%	12.6%
Lawrence	Pennsylvania	13.5%	12.6%
Luzerne	Pennsylvania	14.1%	12.6%
Lycoming	Pennsylvania	14.2%	12.6%
Mercer	Pennsylvania	12.8%	12.6%
Northumberland	Pennsylvania	13.7%	12.6%
Somerset	Pennsylvania	12.8%	12.6%
Tioga	Pennsylvania	15.7%	12.6%
Venango	Pennsylvania	15.7%	12.6%
Campbell	Tennessee	23.1%	16.9%
Claiborne	Tennessee	22.6%	16.9%
Fentress	Tennessee	25.2%	16.9%
Grundy	Tennessee	30.6%	16.9%
Morgan	Tennessee	20.7%	16.9%
Rhea	Tennessee	20.3%	16.9%
Scott	Tennessee	26.0%	16.9%
Buchanan	Virginia	24.0%	10.7%
Dickenson	Virginia	21.3%	10.7%
Lee	Virginia	22.7%	10.7%
Russell	Virginia	20.1%	10.7%
Scott	Virginia	18.3%	10.7%
Tazewell	Virginia	17.3%	10.7%
Wise	Virginia	21.6%	10.7%
Barbour	West Virginia	18.2%	17.5%
Boone	West Virginia	18.9%	17.5%
Braxton	West Virginia	21.3%	17.5%

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Clay	West Virginia	27.8%	17.5%
Fayette	West Virginia	21.2%	17.5%
Greenbrier	West Virginia	19.3%	17.5%
Harrison	West Virginia	18.2%	17.5%
Lewis	West Virginia	20.9%	17.5%
Lincoln	West Virginia	28.2%	17.5%
Logan	West Virginia	21.0%	17.5%
Mason	West Virginia	17.8%	17.5%
McDowell	West Virginia	33.3%	17.5%
Mercer	West Virginia	21.1%	17.5%
Mingo	West Virginia	23.4%	17.5%
Monongalia	West Virginia	21.8%	17.5%
Nicholas	West Virginia	18.6%	17.5%
Randolph	West Virginia	18.4%	17.5%
Tucker	West Virginia	17.5%	17.5%
Upshur	West Virginia	18.8%	17.5%
Wayne	West Virginia	19.7%	17.5%
Webster	West Virginia	24.3%	17.5%
Wyoming	West Virginia	19.4%	17.5%

Table 4.4-2b. Low-Income Populations in Coal-Producing Counties in the Colorado Plateau

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Navajo	Arizona	26.2%	16.2%
Delta	Colorado	14.1%	12.5%
Gunnison	Colorado	13.8%	12.5%
Mesa	Colorado	12.7%	12.5%
Moffat	Colorado	13.3%	12.5%
Montrose	Colorado	12.6%	12.5%
McKinley	New Mexico	30.7%	19.0%
San Juan	New Mexico	19.7%	19.0%
Carbon	Utah	13.6%	11.4%
Sevier	Utah	12.4%	11.4%

Table 4.4-2c. Low-Income Populations in Coal-Producing Counties in the Gulf Coast

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
De Soto	Louisiana	19.6%	18.4%
Natchitoches	Louisiana	28.4%	18.4%
Red River	Louisiana	20.1%	18.4%
Choctaw	Mississippi	22.4%	21.6%
Kemper	Mississippi	28.8%	21.6%
Atascosa	Texas	17.7%	17.0%
Camp	Texas	18.6%	17.0%
Hopkins	Texas	18.2%	17.0%
Leon	Texas	17.5%	17.0%
Limestone	Texas	19.1%	17.0%
Maverick	Texas	31.5%	17.0%
Robertson	Texas	22.1%	17.0%
Titus	Texas	18.3%	17.0%
Webb	Texas	30.6%	17.0%

Table 4.4-2d. Low-Income Populations in Coal-Producing Counties in the Illinois Basin

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Christian	Illinois	15.8%	13.1%
Franklin	Illinois	18.5%	13.1%
Fulton	Illinois	13.7%	13.1%
Gallatin	Illinois	18.2%	13.1%
Jackson	Illinois	29.1%	13.1%
Jefferson	Illinois	17.2%	13.1%
Macon	Illinois	15.0%	13.1%
Madison	Illinois	13.3%	13.1%
Marion	Illinois	16.5%	13.1%
McDonough	Illinois	23.0%	13.1%
McLean	Illinois	13.4%	13.1%
Montgomery	Illinois	14.6%	13.1%
Morgan	Illinois	15.0%	13.1%
Peoria	Illinois	15.4%	13.1%
Perry	Illinois	17.0%	13.1%
Saline	Illinois	17.0%	13.1%
Sangamon	Illinois	13.4%	13.1%
Schuyler	Illinois	15.2%	13.1%
St. Clair	Illinois	16.3%	13.1%
Vermilion	Illinois	18.8%	13.1%
White	Illinois	15.1%	13.1%
Williamson	Illinois	16.9%	13.1%
Crawford	Indiana	18.5%	14.1%
Knox	Indiana	16.0%	14.1%
Vigo	Indiana	18.5%	14.1%
Christian	Kentucky	21.1%	18.1%
Crittenden	Kentucky	18.4%	18.1%
Hopkins	Kentucky	19.6%	18.1%
Muhlenberg	Kentucky	20.5%	18.1%
Ohio	Kentucky	20.7%	18.1%
Union	Kentucky	23.2%	18.1%

Table 4.4-2e. Low-Income Populations in Coal-Producing Counties in the Northern Rocky Mountains

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Adams	Colorado	14.0%	12.5%
Fremont	Colorado	15.9%	12.5%
Huerfano	Colorado	22.5%	12.5%
Las Animas	Colorado	18.1%	12.5%
Moffat	Colorado	13.3%	12.5%
Weld	Colorado	13.8%	12.5%
Big Horn	Montana	26.7%	14.6%
Musselshell	Montana	16.9%	14.6%
Rosebud	Montana	18.0%	14.6%
Hot Springs	Wyoming	14.0%	10.1%

Table 4.4-2f. Low-Income Populations in Coal-Producing Counties in the Northwest

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Lewis	Washington	13.5%	12.5%

Table 4.4-2g. Low-Income Populations in Coal-Producing Counties in the Western Interior

County	State	Percent Population Below Poverty Line (County)	Percent Population Below Poverty Line (State)
Sebastian	Arkansas	19.5%	18.4%
Bourbon	Kansas	15.9%	12.6%
Bates	Missouri	16.7%	14.3%
Vernon	Missouri	22.0%	14.3%
Le Flore	Oklahoma	20.9%	16.3%
Nowata	Oklahoma	16.3%	16.3%
Okmulgee	Oklahoma	19.4%	16.3%

Source: U.S. Census Bureau, 2013. Census 2010.

Of the 286 counties in the study area, there are 190 counties that have populations that meet the previously specified low income and/or the minority population environmental justice thresholds. Of these 190 counties, 60 percent of them are in the Appalachian Basin. Of those counties in the Appalachian Basin, four have been identified as minority communities, 103 as low income communities, and nine as both low income and minority environmental justice communities. The minority communities identified as potentially affected environmental justice populations in this region are as follows: Black or African American; American Indian and Alaskan Native; Asian, Native Hawaiian or Other Pacific Islander; Hispanic Origin; and Other.

There were six counties in the Colorado Plateau identified as potentially affected low income populations and four counties identified as both low income and minority environmental justice communities. Minority populations included American Indian and Alaskan Native. In the Gulf Coast region, three counties had populations that met the criteria for environmental justice low income and minority populations, 11 counties were identified as only low income communities, and one county was identified as a minority community (Black or African American, American Indian and Alaskan Native, and Hispanic Origin).

In the Illinois Basin, 28 counties met the criteria for low income populations and three counties met environmental justice thresholds for both low-income and minority populations (Black or African American; and American Indian and Alaskan Native). In the Northern Rocky Mountains and Great Plains region, three counties were identified as minority communities, six as low income communities, and four as both low income and minority environmental justice communities. The minority communities identified as potentially affected environmental justice populations in this region are as follows: American Indian and Alaskan Native; Hispanic Origin; and Other. In the Northwest, one county was identified as a low income environmental justice community. In the Western Interior, one county was identified as both low income community and minority population. Six counties met environmental justice low income population thresholds only and two counties met minority population thresholds only. Three counties identified for minority populations met environmental justice criteria for American Indian and Alaskan Native minority populations. One of the counties also has minority populations of Asian, Native Hawaiian or Other Pacific Islander and Other that meet environmental justice criteria.

Mining occurs in close proximity to or on a number of tribal reservations. The Northern Cheyenne Indian Reservation is situated in both Big Horn and Rosebud Counties in Montana where five active surface mines exist. In addition, the Crow Indian Reservation covers nearly 65 percent of Big Horn County. San Juan County overlaps both the Navajo Nation Reservation and the Ute Mountain Reservation where one active surface mine and one active underground mine exist. The Zuni Reservation is located primarily in McKinley County where two active surface mines exist. McKinley County also overlaps with the Navajo Nation Reservation. Navajo County in Arizona is comprised of the Navajo Nation Reservation, the Fort Apache Reservation, and the Hopi Reservation where one active surface mine exists.

Of particular note are mines located on (not just near) tribal land. For example, the Navajo Mine and the Kayenta Mine are operated on the Navajo Nation lands and produce about 15 million tons of coal annually (U.S. EIA, 2012c). An additional coal mine, the Absaloka Mine, is located on the Crow Reservation in Montana.

4.4.2 Discussion of Potential Impacts to Minority, Low-Income, and American Indian Populations

As stated previously, the purpose of Executive Order 12898 is to identify and address the disproportionate placement of adverse environmental, economic, social, or health impacts from federal actions and policies on minority and/or low-income communities. Impacts disproportionately experienced by minority and low-income populations may be environmental, economic, social, or human health related. This analysis examines any negative or positive impacts on these parameters resulting from changes to coal mining under the Action Alternatives as compared to the No Action Alternative. In particular, the analysis considers the manner in which impacts of the Action Alternatives may interact with existing cultural,

social, occupational, historical, or economic factors defining minority, low-income, and Indian Tribe groups such that the adverse effects are amplified and experienced disproportionately by these environmental justice populations.

4.4.2.1 Socioeconomic Conditions

Overall, coal production is expected to decrease under the implementation of the Action Alternatives (excluding Alternative 9) as compared to the No Action Alternative.⁷¹ The negative economic impacts resulting from this reduced coal production may be disproportionately experienced by the minority, low-income, and American Indian environmental justice populations previously identified. However, the adverse economic effects are not expected to be uniform across coal regions. Section 4.3.1 provides a sense of the socioeconomic impacts of the Action Alternatives by region. Economic impacts would be expected to be especially notable in places in which the identified environmental justice population is particularly dependent on the revenue streams associated with coal production. There may also be more direct effects where the coal mine is owned and operated by the minority population. For instance, the Navajo Transitional Energy Company (NTEC), a Navajo Company, is the owner and operator of the Navajo surface coal mine in San Juan County New Mexico.

- Under Alternatives 2, 3, 6, 7 and 8 (Preferred): the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains are expected to incur adverse socioeconomic effects; Negligible effects are expected for all other regions. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities and four for minority populations, with nine counties falling into both categories. In the Illinois Basin, four counties have an American Indian and Alaskan Native environmental justice population. In seven counties in the Northern Rocky Mountains and Great Plains region there are three environmental justice minority populations: Asian, Native Hawaiian, Pacific Islander, or Other; Hispanic Origin; and Other. Negligible effects on socioeconomic conditions are expected for all other regions.
- Under Alternative 4: the Appalachian Basin and Illinois Basin are expected to incur Moderate and Minor Adverse socioeconomic effects. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities and four for minority populations, with nine counties falling into both categories. In the Illinois Basin, four counties have an American Indian and Alaskan Native environmental justice population. The Northern Rocky Mountains and Great Plains region is expected to experience Minor Beneficial socioeconomic effects. Negligible effects on socioeconomic conditions are expected for all other regions.
- Under Alternative 5: the Appalachian Basin is expected to incur Moderate Adverse Socioeconomic effects. In the Appalachian Basin, 103 counties have populations that meet the criteria for low-income environmental justice communities, four meet the criteria for minority populations, and nine counties fall into both categories. Minor Adverse socioeconomic effects are expected in the Northern Rocky Mountains and Great Plains region, and there are three

⁷¹ Coal production is unchanged under Alternative 9 when compared to the No Action Alternative.

environmental justice minority populations in that region (as mentioned previously). Negligible effects on socioeconomic conditions are expected for all other regions.

- Under Alternative 9: Negligible effects on socioeconomic conditions are expected for all regions.

4.4.2.2 Public Health and Safety

Across all regions and Alternatives, health impacts are expected to range from Negligible to Major Beneficial; no adverse health impacts are expected. Beneficial impacts to health, such as reduced exposure to pollutants in drinking water would generate an overall beneficial effect on health and safety.

4.4.2.3 Biological Resources, Water Resources, and Air Quality

Under the Action Alternatives, environmental effects, including water quality and forest land restoration are generally expected to be positive (other than under Alternative 9). Depending on the specific environmental resource and the Alternative, the beneficial effects are anticipated to range from minor to major. Under all of the Action Alternatives and across all regions, effects on air quality, greenhouse gas emissions, and climate change are expected to be Beneficial or Negligible. Therefore, effects on identified environmental justice communities are expected to be beneficial or negligible with respect to biological resources, water resources, and air quality.

4.4.2.4 Topography and Land Use

Topography, geology, and soils are expected to experience Beneficial or Negligible impacts under the Action Alternatives. Similar impacts are expected for land use, utilities, infrastructure, visual resources, and noise. Across all Action Alternatives and regions, no adverse impacts are expected for these resources. Therefore, effects on identified environmental justice communities are expected to be Beneficial or Negligible with respect to topography and land use.

4.4.2.5 Recreation

Recreational resources are also predicted to experience beneficial impacts as a result of the Action Alternatives (other than Alternative 9). Participation in hunting, fishing, and wildlife viewing is high among American Indians (U.S. FWS, 2006a), suggesting that positive impacts to such recreational opportunities may be amplified within these communities. Additionally, frequent hunting is closely tied to food consumption in rural Appalachia (Wenrich et al., 2010). To the extent that these communities use areas that benefit from the Action Alternatives, these communities may experience greater positive impacts on wildlife and hunting.

4.4.3 Discussion of Other Effects Specific to Native American Tribes

The U.S. Census identifies 20 “American Indian Areas” and six “Alaska Native Village Statistical Areas” (ANVSA) within the coal-producing regions studied in this FEIS. These include reservations, off-reservation trust lands, and statistical areas that include populations of Native Americans and Alaska Natives. These areas, mapped in Section 3.14, overlap potentially minable coal within coal-producing counties across the U.S., and coal mining often occurs on or in close proximity to a number of reservations.

As mentioned previously and discussed in Section 3.14, this analysis gives particular emphasis to the Navajo, Hopi, Northern Cheyenne, and Crow Tribes, the four tribes listed in the Surface Mining Control and Regulation Act (SMCRA) (30 U.S.C. § 1300(i)). The Navajo Nation Reservation occupies northeastern Arizona, southeastern Utah, and northwestern New Mexico. The Hopi Reservation lies entirely within the Arizona portion of the Navajo Reservation. The Northern Cheyenne Reservation and Off-Reservation Trust Land and Crow Reservation and Off-Reservation Trust Land lie adjacent to one another in southeastern Montana.

In general, the potentially affected Native American tribes are less affluent than the broader national population. Median household income is less than the national statistic in 18 of the 20 examined “American Indian Areas.” Employment by industry for the 20 American Indian areas and six ANVSAs is presented in Table 3.14-20 in Section 3.14. While specific data regarding employment in the coal mining industry is not available for these populations, the Agriculture, Forestry, Fishing, and Hunting, and Mining (including but not limited to coal mining) industries account for 18 percent of total employment in the Uintah and Ouray Reservation and Off-Reservation Trust Land. In the Navajo Nation and Northern Cheyenne Reservations and Off-Reservation Trust Lands, Agriculture, Forestry, Fishing, and Hunting, and Mining account for nearly four percent of total employment. In the Crow and Hopi Reservations and Off-Reservation Trust Lands, these industries make up 14.4 percent and 4.6 percent of total employment. To the extent that the proportion of American Indians working in the coal industry is greater than that of the statewide population, the projected reduction in coal production, under all the Action Alternatives (excluding Alternative 9), would have a disproportionate burden on these environmental justice communities.

There are four primary federal laws applicable to protection of all cultural resources on federal lands: the Antiquities Act of 1906, the National Historic Preservation Act (NHPA), the National Environmental Policy Act (NEPA), and the Paleontological Resources Preservation Act. Nothing in the Action Alternatives alters the protections offered by these public laws or their implementing rules and regulations. Together these four laws and their accompanying rules provide a strong basis for protection for any cultural properties that may be encountered when coal mining occurs on federal lands.

In addition, for all coal mining permit applications (including those on private lands), SMCRA regulations under 30 CFR 761.11(g) require permit applications, reclamation plans, and operations plans to prohibit mining within 100 feet of any cemetery. The identification of important historic and archaeological resources are covered under 30 CFR 779.12(b)(2) and 783.12(b)(2). Lastly, under 30 CFR sections 780.31 (surface mining) and 784.17 (underground mining), for any publicly owned parks or any places listed on the National Register of Historic Places that may be adversely affected by the proposed operation, each reclamation and operation plan must describe the measures to be used to prevent adverse impacts.

Nothing in the Alternatives proposes to alter or change regulations that are protective of archaeological and paleontological resources in any way. Any effects from the Alternatives on cultural, archaeological, or paleontological properties would be indirect and Negligible (see Section 4.3.5) and would therefore have minimal potential for additional impacts to any sensitive environmental justice population.

4.5 Cumulative Impacts

This section of Chapter 4 presents projected cumulative impacts for the Action Alternatives. This section:

- Describes the background and scope of cumulative impact analyses;
- Identifies and describes past, present, and reasonably foreseeable future actions that could interact with the Alternatives; and
- Presents an assessment of the cumulative impacts by resource and Alternative.

4.5.1 Background and Scope

NEPA requires all environmental impact statements for proposed federal actions to include a cumulative effects analysis that examines the impact of the actions in conjunction with other factors that affect the physical, biological, and socioeconomic resource components of the affected environment (40 CFR 1508.25). NEPA defines a cumulative impact as an “impact on the environment which results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency or person undertakes such other actions” (40 CFR 1508.7). Guidelines for evaluating cumulative effects, prepared by the Council on Environmental Quality (CEQ), emphasize the growing evidence that “the most devastating environmental effects may result not from the direct effect of a particular action, but from the combination of individually minor effects of multiple actions over time” (CEQ, 1997).

The previous sections of Chapter 4 have examined direct and indirect impacts of the Alternatives. This chapter assesses cumulative impacts by considering the direct/indirect impact of the Alternatives in combination with past, present, and reasonably foreseeable future actions as of 2014. Specifically, cumulative impacts are assessed with respect to each of the major resource categories, including:

- Water resources;
- Biological resources;
- Geology, soils, and topography;
- Air quality, greenhouse gas emissions, and climate change;
- Socioeconomic conditions;
- Land use, utilities, infrastructure, visual resources, and noise;
- Public health and safety;
- Archaeological, paleontological, and cultural resources; and
- Recreation.

As described in Chapter 4.0 of this EIS, coal resources in the U.S. are widely distributed throughout the country. However, not all coal resources are accessible with current technologies. Further, some potentially mineable coal resources are unlikely to be mined in the near term because of economic conditions. To establish a reasonable boundary for the geographic areas likely to be affected by this rule, the geographic scope was defined as outlined below. In general, the geographic scope identified is likely to be over-inclusive; it may overestimate the areal extent of mining, unless otherwise noted.

Spatial data compiled by the U.S. Geological Survey (USGS) Eastern Energy Resources Center on potentially minable coal fields defined the initial extent of the study area. Coal fields were identified as

potentially minable if they contained coal of sufficient quality and energy content to justify extraction, based on existing data (USGS, 2001b).

From the practicably minable coal fields data, areas considered likely to produce coal within the timeframe for this analysis include areas within counties that:

- Reported coal production between 2007 and 2012 in Energy Information Administration (EIA) Annual Coal Reports;
- Contain pending but administratively complete Surface Mining Control and Reclamation Act (SMCRA) permits in the Office of Surface Mining Reclamation and Enforcement (OSMRE) Applicant/Violator System (AVS) as of September 2011;
- The Mine Safety and Health Administration (MSHA) reports as containing active coal mines as of April 2013 (MSHA, 2013b); or
- State-level mining assessments, geographic data, or tabular data report as containing active coal mining activity as of August 2012. State-level information contributed additional counties in Colorado (Colorado Division of Reclamation Mining and Safety, 2010), Illinois (Illinois State Geological Survey, 2011), Kentucky (Kentucky Department of Natural Resources, 2011), Ohio (Ohio Department of Natural Resources, 2011), West Virginia (West Virginia Department of Environmental Protection, 2011), Texas (Railroad Commission of Texas, 2011), and Alaska (Alaska Department of Natural Resources, 2011).^{72, 73}
- Urban areas, lakes, and ponds were removed from the study area, as mining is not expected to take place in these areas (U.S. Census Bureau, 2002; USGS, 2011b). However, some mining may take place under or adjacent to lakes and ponds, so the study area may slightly under-represent the areal extent of mining in this respect.

As described below, within the general study area, the analysis identifies a spatial and temporal boundary for considering cumulative impacts to each resource. Within that boundary, the analysis identifies past, present and reasonably foreseeable future actions that affect the same resources. Finally, the analysis summarizes the impacts of these actions in combination with the proposed action and considers their context and expected intensity in order to characterize potential cumulative impacts.

As established earlier in this document, the overall geographic scope for the analysis in this FEIS includes the seven major U.S. coal mining regions. The spatial boundary for cumulative impact analysis is defined by considering the point where the resource is no longer affected or the effects are no longer significant. This approach facilitates examination of actions that would impact the resources within a resource-specific, meaningful boundary, instead of an arbitrarily defined geographic boundary. The geographic

⁷² The program description for the Alaska Coal Regulatory Program states that active mining currently only occurs near Healy, AK, in the Denali Borough.

⁷³ State-specific data for other states were examined where available, but contributed no additional counties beyond those listed by EIA.

scope of this analysis is at the coal region level.⁷⁴ Within this scope, the analysis determines the characteristics of each of the following resources as follows:

- **Water resources:** The analysis evaluates cumulative impacts within a “typical watershed” in each region. For example, considering number and types of streams, and the existing regulatory environment in each region.
- **Biological resources:** The analysis evaluates cumulative impacts at the regional level considering the typical land cover profile of a watershed in the region and the suite of species, including federally listed species, potentially present in any given watershed.
- **Geology, soils, and topography:** This cumulative impact analysis considers typical geologic, soil, and topographic characteristics at a regional level. For example, the existing regulatory environment (e.g., approximate original contour (AOC) requirements), the disposal of coal mine waste, and the treatment of excess spoil are among the factors considered to determine what is typical within a region.
- **Air quality:** The predominant effect of the rule on air quality and greenhouse gas emissions that is quantified in this EIS is the reduction in carbon dioxide (CO₂) emissions associated with the overall reduction in coal activity due to increased costs of coal production. In contrast to the other categories of environmental and economic impacts evaluated in this analysis, the benefits of reduced greenhouse gas emissions represent worldwide climate-related damages, independent of the geographic source of the emissions.
- **Socioeconomic impacts:** Socioeconomic conditions are characterized at the regional level based on county and state specific data on demography, income and employment, and taxes. Evaluation of cumulative impacts considers regional and national trends in these variables.
- **Land use, visual resources, and noise:** To evaluate the cumulative impacts this analysis considers typical land uses, visual resources, and noise levels existing before, during, and after mining operations at a regional scale.
- **Public health and safety:** Potential public health and safety impacts are characterized at regional and national levels for both water-quality and air-quality.
- **Archaeology, paleontology, and cultural resources:** In practice, evaluation of these resources occurs at the site-specific level. This analysis considers archaeological, paleontological, and cultural resources at a regional level, and notes that these would be relevant to the extent that these resources exist in mining locations.

⁷⁴ Where the spatial boundary is defined as regional, this refers to the seven major U.S. coal mining regions discussed previously. Where the spatial boundary is defined at a smaller scale, e.g., the watershed, local, or site-specific scale, the analysis was based on a general interpretation of normal circumstances and activities expected to occur in these areas rather than on any specific location. Air resources are evaluated at the national scale.

- **Recreation impacts:** Cumulative impacts consider types and levels of recreation occurring in typical watersheds in each region.

The temporal scope of the cumulative effects analysis was also determined based on the resource under consideration. The analysis presented here seeks to identify past, present, and reasonably foreseeable future actions that interact with the current actions. In some cases, relevant past actions may have been introduced in previous decades, but still have an enduring impact on the management or condition of the resource. The analysis considers only future actions that are reasonably foreseeable, i.e., those that have been explicitly proposed or which are approved but have not yet begun. The analysis generally avoids speculating on the trajectory or impact of rules and actions that are in formative stages of development.

The diverse set of affected resources, combined with the broad geographic and temporal scope of the SPR, makes cumulative impact analysis highly challenging. Indeed, simply identifying the full suite of past, present, and future actions affecting water resources in coal mining areas in the U.S. under the No Action Alternative and Action Alternatives is not feasible. For example, dozens, if not hundreds, of federal, state, and local laws and regulations could be perceived as being relevant to protecting the quality of water resources in streams affected by mining. Furthermore, an array of individual projects (e.g., dam construction, dredging), permitting decisions, and economic trends could further influence water quality. Identifying and accounting for all of these factors is not practical, and prediction of cumulative impacts based on such an approach would be speculative. Because it is practically infeasible to characterize every potentially relevant cumulative action in all coal-producing areas in the U.S., the analysis focuses on identifying the primary actions – particularly those that may combine with the Alternatives to produce cumulative effects. This approach is consistent with CEQ guidance, which states that “a cumulative effects analysis should ‘count what counts,’ not produce superficial analyses of a long laundry list of issues that have little relevance to the effects of the proposed action on eventual decisions” (CEQ, 1997).

4.5.2 Past, Present, and Reasonably Foreseeable Actions

A large set of past, present, and reasonably foreseeable future actions could interact with the Alternatives. These include:

- Past mining at sites that have not subsequently been reclaimed (e.g., abandoned mine lands);
- Regulatory actions directly related to mining and surface (e.g., stream) water quality;
- Rules that affect power plants that could affect coal demand;
- Overall trends in the coal mining industry and energy markets;
- Other trends that affect resources in the study area and that may alter the cumulative impacts of the proposed actions; and
- Other secondary regulatory actions.

Each of these actions has the potential to affect multiple resources. The subsections that follow review these actions and trends and associate them with each of the resource categories under consideration.

4.5.2.1 Regulatory Actions Related to Mining and Surface Water Quality

Several major federal and state laws and regulations currently protect streams from impacts associated with coal mining. First, the Surface Mining Control and Reclamation Act of 1977 (SMCRA) forms the legal backdrop to analyses in this FEIS. A description of relevant SMCRA provisions can be found in

Chapter 2, and specific aspects of SMCRA have been discussed here in Chapters 3 and 4. Apart from SMCRA, several additional statutes and regulations figure directly into the discussion of mining and its influence on surface water quality:

4.5.2.1.1 Past and Present Actions

- **SMCRA:** Title IV of the SMCRA (as implemented through regulations contained at 30 CFR Parts 870 – 887) establishes the abandoned mine reclamation program. This program provides for reclamation and restoration of land and water resources adversely affected by past coal mining, including but not limited to reclamation and restoration of abandoned surface mine areas, abandoned coal processing areas, and abandoned coal refuse disposal areas; sealing and filling abandoned deep mine entries and voids; planting of land adversely affected by past coal mining to prevent erosion and sedimentation; prevention, abatement, treatment, and control of water pollution created by coal mine drainage including restoration of stream beds, and construction and operation of water treatment plants; prevention, abatement, and control of burning coal refuse disposal areas and burning coal in situ; prevention, abatement, and control of coal mine subsidence; and establishment of self-sustaining, individual state administered programs to insure private property against damages caused by land subsidence resulting from underground coal mining in those states which have approved programs.
- **Clean Water Act:** Congress passed the Clean Water Act (CWA) in 1972 as amendments to the Federal Water Pollution Control Act. It is the primary legal foundation for restoring and maintaining the chemical, biological, and physical integrity of U.S. waters. Three components of the CWA are most relevant to coal mining operations:
 - **Section 303** of the CWA establishes water quality standards and calls for EPA and the states to identify impaired water bodies not attaining these standards. Those listed waters are subject to Total Maximum Daily Load (TMDL) procedures through which point and nonpoint pollutant sources are assigned allowable loadings of key pollutants. If near a listed stream, any new mining operation must demonstrate that proposed mining activity will not result in exceedance of the applicable TMDL.
 - **Section 402** of the CWA establishes the National Pollutant Discharge Elimination System (NPDES). The NPDES program issues permits to industrial point source and other pollutant dischargers (e.g., municipal stormwater systems). The permits contain numerical limits on the allowed concentration of pollutants; if monitoring indicates that the permit holder has exceeded the concentration (or overall loadings limits), the permit holder is subject to monetary penalties. Existing and new coal mines must obtain a NPDES permit.
 - **Section 404** of the CWA establishes the permit provisions governing dredging and filling of streams and wetlands. Under the program, any discharge of fill or dredge material must be authorized by a permit issued by the U.S. Army Corps of Engineers. Coal mining operations that place spoils in streams or wetlands must hold a Section

404 permit.⁷⁵ Section 404(e)(1) authorizes the Secretary of the Army to issue general permits for categories of activities involving discharges of dredged or fill material that, as a group, have only minimal impacts on the waters of the U.S. The USACE can issue these general permits (as well as individual permits) on a state, regional, or nationwide basis. The USACE refers to general permits issued on a nationwide basis as “Nationwide permits” (NWP). Current NWPs related to coal mining include NWP 21 related to surface mining, which the USACE reissued on February 21, 2012 (77 FR 10184), NWP 49 related to surface reining, and NWP 50 related to underground mining. These NWPs provides USACE authorization for the discharge of dredged or fill material into waters of the U.S. associated with coal mining activities. The USACE review under these permits is focused on the individual and cumulative adverse effects to the aquatic environment, and on determining appropriate mitigation should mitigation become necessary. The USACE review does not extend to the mining operation as a whole, unlike the SMCRA permit. Coal mining activities that impact waters subject to jurisdiction under CWA, and that do not meet the respective requirements of NWP 21 (surface coal mining), NWP 49 (surface coal reining), or NWP 50 (underground coal mining) would require an individual section 404 permit to proceed. Consideration of resources occurs under either an individual permit or a NWP, as required by the 404(b)(1) guidelines. The primary differences between the two processes are the extent of public review opportunities, the degree of administrative burden, and the amount of time involved in processing the permit.

- The CWA defines “navigable waters” to mean “waters of the United States, including the territorial seas” (*Id.* § 1362(7)). On June 29, 2015, following years of litigation over the term, the EPA and USACE issued a rule to clarify the scope of the definition of “waters of the United States.” (80 Fed. Reg. 37053 (June 29, 2015)). The revised definition would narrow the scope of CWA jurisdiction such that fewer waters would be jurisdictional and would provide bright-line tests to reduce the number of instances where permitting authorities would need to make case-specific jurisdictional determinations (80 Fed. Reg. 37053 (June 29, 2015)). Multiple industry and environmental groups challenged the rule, and the Sixth Circuit issued an order staying the rule nationwide. The Sixth Circuit has set a briefing schedule that will conclude on February 17, 2017, and oral arguments will be scheduled as soon as practicable after briefing is complete.⁷⁶ In response to the Sixth Circuit’s stay, the EPA and USACE resumed nationwide use of the agencies’ prior regulations.⁷⁷ Thus,

⁷⁵ EPA issued guidance on implementation of the surface coal mining activities in Appalachia in 2011. The guidance was intended to clarify EPA’s roles and expectations in permitting surface coal mining operations under Section 402 and 404 of the CWA. However, in 2013, this guidance was repealed and is not considered in this analysis.

⁷⁶ *OH, et al v. U.S. Army Corps of Engineers, et al*, (6th Cir. Case No. 15-3799, Case Management Order No. 2 dated 6/14/16).

⁷⁷ See EPA and Department of the Army Clean Water Rule Litigation Statement, <https://www.epa.gov/cleanwaterrule/clean-water-rule-litigation-statement> (last visited September 16, 2016).

the agencies' prior regulations form part of the existing regulatory environment for purposes of this EIS.

- **State Regulatory Authorities:** State regulatory programs implementing the CWA and SMCRA play an important role in managing the water quality impacts of mining. In areas where coal mining occurs outside of federal programs, state programs exist that manage coal mining activities and issue SMCRA permits. Some states (e.g., West Virginia) have developed policies that provide protections that may be more stringent than current SMCRA requirements.⁷⁸ Some state regulatory authorities currently have clauses in their programs directing authorities to adopt laws or regulations that are “no more stringent than” the federal SMCRA program. EPA authorizes state environmental agencies to administer components of the CWA. For example, nearly all states where coal mining occurs have approval to issue NPDES permits, or have a program in place that is pending approval.

4.5.2.1.2 Reasonably Foreseeable Future Actions

- **Coal Combustion Residues Rule:** OSMRE is currently developing a proposed rule that would establish specific regulations governing the placement of coal combustion residues on minesites, either as part of a mining operation regulated under SMCRA or as part of an abandoned mine land reclamation project approved under SMCRA. The rule responds to a 2006 National Research Council report that recommended the establishment of enforceable federal standards that provide explicit authority and minimum safeguards for the placement of coal combustion residues in mines.
- **Temporary Cessation of Operations Rule:** OSMRE is currently developing a proposed rule that would require regulatory authority approval of long-term temporary cessations of mining operations. The proposed rule also would establish a maximum duration of the temporary cessation.
- **Toxic Gases and Blasting Rule:** On February 20, 2015, the Director of OSMRE granted a petition from WildEarth Guardians requesting that OSMRE “promulgate a rule prohibiting the production of visible nitrogen oxide emissions during blasting at surface coal mining operations in order to protect public and mine worker health, welfare, and safety, and prevent injury to persons.” See 80 FR 9256. OSMRE is currently developing a proposed rule.
- **Dam Safety Rule:** OSMRE is currently developing a proposed rule that would require development and maintenance of emergency action plans for impoundments classified as high hazard or significant hazard. The proposed rule also would revise the bond release requirements for coal slurry impoundments to ensure that the slurry has solidified to a nonflowable state before final bond release.

⁷⁸ In 2000, West Virginia developed its own policy on AOC and Excess Spoil Disposal (known as the “AOC+” policy), and Kentucky followed suit in 2009 with its Reclamation Advisory Memorandum (RAM) regarding the “Fill Placement Optimization Process” (known as the RAM 145 policy).

- **Self-Bonding Rule:** On September 7, 2016, the Director of OSMRE granted a petition from WildEarth Guardians requesting that OSMRE amend its self-bonding regulations to ensure that companies with a history of financial insolvency, and their subsidiary companies, are not allowed to self-bond coal mining operations. See 81 FR 61612. OSMRE is currently drafting a proposed rule that will better ensure the completion reclamation plans, guarantee that a self-bond applicant demonstrates a history of financial solvency and continuous operation sufficient for authorization to self-insure, and assure that surface coal mining operations are conducted to protect the environment.

4.5.2.2 Rulemakings Related to Coal-Fired Power Plants

Federal and state regulators have promulgated or are currently engaged in developing several rulemakings that will directly affect coal-fired electricity generating units (EGUs) (as well as other EGUs that are not coal fired). To the extent that these rules result in substitution of natural gas and other alternative fuels for coal, future coal demand could be less than it would have been in the absence of these rules. Such changes, in combination with other regulations that affect coal production directly or indirectly, including those affecting worker safety and others, may adversely impact coal jobs while benefiting the environment (as a result of decreased coal mining). As reviewed later in this section, these effects may combine with the Action Alternatives to produce noteworthy cumulative effects. While EPA has published a formal proposal for some of these rulemakings, others are at an earlier stage of development. These rules and their status as of 2016 are as follows:

4.5.2.2.1 Past Actions

- **Mercury and Air Toxics Standards:** Intended as a replacement for the Clean Air Mercury Rule, which was vacated in 2008, this rule establishes emission standards for mercury and other hazardous air pollutants from U.S. power plants.
- **Cross-state Air Pollution Rule (CSAPR):** The Cross-state Air Pollution Rule (CSAPR) requires power plants in 27 states to reduce emissions that contribute to ambient ozone and/or fine particle pollution; EPA finalized the rule in 2011, and implementation began in 2012. In September 2016, the EPA finalized an update to the Cross-State Air Pollution Rule (CSAPR) for the 2008 ozone National Ambient Air Quality Standards (NAAQS); this rule will reduce summertime nitrogen oxides emissions from power plants in 22 states in the eastern U.S. Recent Supreme Court decisions verified EPA’s authority to regulate greenhouse gas emissions.
- **Cooling Water Intake Structures Rule:** EPA developed regulations under Section 316(b) of the CWA to limit injury and death of fish and other aquatic life caused by cooling water intake structures at existing power plants.

4.5.2.2.2 Present Actions

- **Coal Combustion Residuals (CCRs) Rule:** In June 2010, EPA proposed regulations under Resource Conservation and Recovery Act (RCRA) to address the risks from the disposal of CCRs generated from coal combustion at electric utilities and independent power producers. EPA published a final rule in the *Federal Register* on April 17, 2015 (80 FR 21302).

4.5.2.2.3 Reasonably Foreseeable Future Actions

- **Carbon Pollution New Source Performance Standards (NSPS) for Energy Generating Units (EGUs):** In August 2015, the EPA promulgated the Carbon Pollution Standards, which limit emissions of CO₂ from new, modified, and reconstructed fossil-fuel fired power plants.
- **Clean Power Plan:** In August 2015, the EPA promulgated Clean Power Plan, which established guidelines for reducing CO₂ emissions from existing fossil-fuel fired power plants. States are charged with developing plans that will meet the emission performance rates (or equivalent state goals). EPA and industry analysts anticipate that many of the reductions will be met through shifting generation to less carbon-intensive sources of energy. On February 9, 2016, the Supreme Court stayed implementation of the Clean Power Plan pending judicial review.

As is discussed below, most of these rulemakings focus on air quality improvement; the residuals rule and the cooling water rule target water and biological resource protection. In addition, all of them may have implications for the competitiveness of coal in broader energy markets.

4.5.2.3 Non-Regulatory Trends

Factors affecting the resources are not restricted to laws and regulations, but may also include economic trends, market factors, and litigation outcomes in the coal industry and other industries with intensive land uses.

4.5.2.3.1 Trends in Coal Markets and the Coal Industry

The Action Alternatives would interact with ongoing developments in the coal industry, possibly producing noteworthy cumulative effects on the resources under consideration. Importantly, industry employment impacts associated with the Action Alternatives would occur in the context of other industry trends.

Section 4.1 of this FEIS reviews the coal mining industry and discusses trends in production and markets. Major points include the following:

- Small changes in the electricity market can influence both short and long-term demand for domestic coal. As described in section 4.1, electricity demand growth in the U.S. historically was driven by economic and industrial activity, and weather. This has changed. There has effectively been no growth in electricity demand since 2007. This is due to a number of factors including a decline in industrial electricity demand, which was largely offset by growth in demand from the residential and commercial sectors. Also significant is lower demand due to energy efficiency and distributed generation. Energy efficiency means using less energy to provide the same service. The most often used examples are fluorescent lighting instead of traditional incandescent bulbs. Distributed generation is small-scale technologies that produce electricity close to the end users of power. The technologies including modular and renewable-energy generators, such as roof-top solar, that are often behind the meter meaning they are not counted as retail sales. In addition, in many states the excess behind the meter power is sold into the grid. In addition, there has been substantial growth in renewables, particularly wind in the western U.S. Between 2010 and 2015, 33 GWs of wind have been added to capacity. Electricity generation from wind

increased from 94,654 GWH in 2010 to 190,926 GWH in 2015. The Production Tax Credits (PTC) incentivize wind producers to generate around the clock (when wind is available). As a result, wind power contributes to lower power prices as well. The PTC was extended in December 2015 until 2019 along with the Business Energy Tax Credit (ITC), which applies to construction and development of renewable technologies.

- The largest market for U.S. coal has been the power sector, typically accounting for 80 to 90 percent of total production in recent years (U.S. EIA 2016f; U.S. EIA 2016g). The increase in gas and renewable generation directly reduced coal generation and the resulting demand for coal. Coal consumed in the power sector was 955 million tons in 2010 and 769 million tons in 2015 (U.S. EIA, 2016h). Estimates for coal consumed in the power sector for 2016 range between 650 and 700 million tons (U.S. EIA, 2016h). In addition, during the period 2010 through 2015, 45.5 GW of coal-fired capacity was retired (EVA, 2016).
- The second largest market for U.S. coal has been exports. The export market has been cyclical, depending upon both the global supply and demand for coal and the relative strength of the U.S. dollar. During the 2011 to 2013 period, there was a substantial increase with U.S. coal exports exceeding 100 million tons in each of those years. The strong demand reflected growth in seaborne coal trade combined with a weak U.S. dollar which made U.S. coals competitive in the U.S. dollar-denominated global coal market. The positive outlook for exports of U.S. coals in 2011 and 2012 has faded due to several factors including supply growth from other countries to the global market, a relatively strong U.S. dollar which has reduced global coal prices, a weaker global market due to a slowdown in the Chinese economy, and the lack of progress in the development of west coast export terminals which would be required to increase in a meaningful way exports of western coal to Asian markets. The positive outlook could be restored if the U.S. dollar weakens relative to other currencies or there is reduced supply and/or increased demand in the global seaborne market for coal. The range in potential export levels is handled through the scenario analysis.
- Industries, such as steel, iron, and cement manufacture, rely on coal for energy. Thus, fluctuations in these markets can also cause changes in coal demand.
- Coal company consolidation has been a trend in recent years within the coal industry, and additional consolidation is possible, particularly in regions with declining production. The implications of consolidation are unclear.
- Throughout history, the regulatory environment surrounding the coal industry has fluctuated. This environment is likely to continue to experience changes in the coming years. Future regulations may impact production and demand in ways that are impossible to predict.

4.5.2.3.2 Other Land Use Trends

Trends in non-mining industries with intensive land uses also represent important actions that could affect cumulative outcomes. The importance of these land use trends for the subject resources is highly region-specific. Drawing on findings presented in Chapter 3, the analysis considers three key land use trends: forestry, agriculture/grazing, and growth/development.

4.5.2.3.2.1 Forestry Trends

Coal mining occurs in a variety of settings, but watersheds affected by coal mining are also commonly affected by forestry activities, particularly in the eastern U.S. (Louisiana Forestry Association, 2011; Piva and Cook, 2011; Texas Almanac, 2014). It is not uncommon for forestry and coal mining activities to occur in the same location as timber often needs to be removed to allow transport of coal mining equipment. Thus, trends in commercial forestry represent land use changes that could interact with the Alternatives to influence cumulative impacts on key resources. Most notably, forestry practices can affect water quality through pollutant runoff and sedimentation of streams. Likewise, the intensity and method of the forestry activities can influence the availability and quality of terrestrial wildlife habitat.

Coal regions where forestry is a significant land use include the following:

- Approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011).
- In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011.

State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

4.5.2.3.2.2 Agriculture Trends

Agriculture, including crop cultivation and livestock operations, is a significant contributor to water quality impairment. In EPA's 2000 National Water Quality Inventory, states reported that agricultural nonpoint source pollution was the leading source affecting water quality in rivers and lakes. Runoff of nutrients from cropland can cause eutrophication and oxygen depletion in receiving waters, and excessive pesticide use can also contaminate surface and groundwater. Improperly managed livestock operations can allow nutrients and pathogens to contaminate surface and groundwater. Likewise, excessive grazing can lead to soil erosion and sedimentation of surrounding surface waters.

Coal regions where agriculture and grazing have the greatest potential to interact with mining to affect cumulative impacts include the following:

- Relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014).
- Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

4.5.2.3.2.3 Land Use Change

Economic growth can introduce environmental stress that could affect resources in coal-producing regions. Most notably, population growth typically brings increased land clearing and conversion of unimproved lands or croplands to buildings, roads, and other infrastructure. These changes in the landscape reduce the habitat available for wildlife, thereby influencing biological resources. In addition, growth can greatly alter natural water cycles as surface and groundwater is withdrawn for consumptive use, treated, and discharged. Furthermore, urban land uses typically increase impervious surfaces, leading to increased stormwater runoff. This runoff can produce increased loadings of pollutants such as nutrients, sediment, and metals in waterways. As such, growth and urbanization has the potential to interact with coal mining practices to place greater stress on many resources, particularly surface water, groundwater, and biological resources.

Development can also occur through conversion of land that has been farmed for crops or livestock. Because agricultural runoff from agricultural practices may have degraded water quality in these areas, conversions of this type may have fewer adverse effects on local water quality than would conversions of unimproved land.

Population growth is the driver for the land use and water quality changes described above. The socioeconomic section of Chapter 3 describes demographic trends in the coal-producing regions. In the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin.

4.5.2.4 Other Regulatory Actions

In addition to the major actions and trends described above, numerous other actions have the potential to produce the types of additive or countervailing effects relevant to assessing cumulative effects. In particular, these may include state and local regulations and ordinances, which vary by location, as well as other federal actions that apply to particular activities at particular geographic locations. As noted, the geographic, temporal, and policy scope of the Alternatives is so great that care must be taken to ensure that additional laws and regulations are considered, while properly bounding the analysis. Relevant laws and regulations were identified through review of past coal mining EISs, other EISs, and on-line resources compiling laws and regulations applicable to coal mining (BIA, 2014). A brief description of

each law or regulation is provided in the Table 4.5-1, which summarizes the actions and trends considered in the cumulative effects analysis.

4.5.2.5 Summary of Actions

Table 4.5-1 briefly summarizes all the actions and trends, both major and secondary, and identifies the resources that each action affects most directly. For each relevant resource/action combination, the table uses a positive sign (“+”) to indicate that the action generally tends to benefit the resource, or a negative sign (“-“) if the action is more likely to affect the resource adversely. It is important to note that the impact of each action/trend is complex and may have adverse as well as beneficial impacts on resources depending on the particular project or site. Thus, assigning a single positive or negative sign to an action will not fully capture the more nuanced effects of these actions/trends. For example, implementation of CWA initiatives may not universally result in beneficial impacts to biological resources; however, the general conclusion that improvements in water quality should benefit biological resources as well is reasonable for purposes of this analysis. Likewise, CWA initiatives may have negative effects on socioeconomic resources including employment demand. However, these initiatives may also have beneficial socioeconomic effects through increases in industry implementation-related employment demand and reduced pollution.

Table 4.5-1. Actions and Trends Considered in Cumulative Effects Analysis

Action/Trend	Status ¹	Relevance	Water	Bio-logical	Geo-logy	Air	Socio-economic	Land Use	Recrea-tion	Archeo-logical	Health
Clean Water Act, Section 303	P	Establishes water quality standards and identifies impaired waters.	+	+			-		+		+
Clean Water Act, Section 402	P	Establishes NPDES permit program for point source discharges to surface waters.	+	+			-		+		+
Clean Water Act, Section 404	P	Establishes permit system governing dredging and filling of streams and wetlands.	+	+			-				
Clean Water Rule	F	Increases the clarity of waterway definitions under the Clean Water Act waters of the U.S. definition related to tributaries, adjacent wetlands/waters, “other” waters, and exclusions.	+	+							
State Mining Regulations and Programs	P	State regulations may supplement SMCRA regulations.					-			+	+
OSMRE Coal Combustion Residue Rules	F	Would establish environmental protections when coal combustion residues are disposed at mines.	+	+							
OSMRE Temporary Cessation of Operations Rule	F	Would better define cessation of mining operations and limit delays in reclamation.	+	+	+			+	+		+
OSMRE Self-bonding Rule	P	Will better ensure the completion reclamation plans, guarantee that a self-bond applicant demonstrates a history of financial solvency and continuous operation sufficient for authorization to self-insure, and assure that surface coal mining operations are conducted to protect the environment.	+	+	+		-				
Clean Power Plan	P	Standards for limiting carbon dioxide emissions at power plants. Could affect coal demand.	+	+		+	-				
Carbon Pollution New Source Performance Standards (NSPS) for EGUs	P	Promulgated in August, 2015. Limits emissions of CO ₂ from new, modified, and reconstructed fossil-fuel fired power				+	-				

Action/Trend	Status ¹	Relevance	Water	Bio-logical	Geo-logy	Air	Socio-economic	Land Use	Recrea-tion	Archeo-logical	Health
		plants.									
Mercury and Air Toxics Standards (MATS)	P	Establishes emission standards for mercury and other hazardous air pollutants from U.S. power plants. Could affect coal demand.				+	-				
Cross-State Air Pollution Rule (CSAPR)	P	Requires power plants to reduce emissions of particulates and ozone precursors. Could affect coal demand.				+	-				
Coal Combustion Residuals Rule (EPA)	P	Would establish new rules for disposal of ash from coal-fired power plants. Could affect coal demand.	+	+			-				
Cooling Water Intake Structures Rule	P	Establishes rules to limit injury to aquatic species during cooling water intake. Could affect coal demand.		+			-				
Coal Market Trends	P, F	Economic trends and market factors that may affect demand for coal. See text for details.					-				
Forestry Trends	P, F	Commercial timber harvesting can affect water quality, terrestrial wildlife habitat, and soil and erosion patterns.	-	-	-		+		-	-	
Agriculture and Grazing Trends	P, F	Cropping and livestock operations can affect soil erosion, nonpoint source runoff, and water quality.	-	-	-				-	-	
Land Use Change	P, F	Demographic changes and urban land uses can affect wildlife habitat, stormwater runoff, and water quality.	-	-			+	-	-	-	
Mine Improvement and New Emergency Response Act (2006)	P	Calls for mine-specific emergency response plans at underground mines. Could mitigate potential risk associated with increased underground mining.					-				+
Emergency Watershed Protection (EWP) Program administered by the Natural Resource Conservation Service under section 216 of P.L. 81-516	P	Undertakes emergency measures when flood, fire, drought, erosion, etc. cause a sudden impairment of the watershed. 2005 rule expanded the program to include procedures for sediment deposition restoration and conservation.	+	+	+			+	+	+	+
Endangered Species Act (ESA) of 1973	P	Provides for the protection and recovery of imperiled species and their habitat.		+							

Action/Trend	Status ¹	Relevance	Water	Bio-logical	Geo-logy	Air	Socio-economic	Land Use	Recrea-tion	Archeo-logical	Health
		Permitting and conduct of coal mining under SMCRA must be coordinated with ESA requirements.									
Federal Mine Safety and Health Act of 1977	P	Requires the Department of Labor’s Mine Safety and Health to inspect mines for worker safety.					-				+
Safe Drinking Water Act (SDWA)	P	Main federal law that ensures the quality of drinking water in the U.S. EPA sets standards for regulating specific pollutants. Part 141 establishes health standards, maximum pollutant levels (MCLs) and MCL goals for public water systems. Part 143 establishes secondary MCLs for aesthetic standards for public waterway systems.	+								+
OSMRE’s Abandoned Mine Land Reclamation Program		The Abandoned Mine Land Reclamation Program is OSMRE’s largest program and one of OSMRE’s primary responsibilities under SMCRA. Since SMCRA’s enactment in 1977, the AML program has collected over \$10.1 billion in fees from present-day coal production and distributed more than \$7.6 billion in grants to states and tribes, mandatory distributions to three health care plans within the UMWA Funds and OSMRE’s operation of the national program to reclaim land and waters damaged by coal mining before the law’s passage.	+	+				+	+	+	+
BLM’s Abandoned Mine Lands Program	P	Administered by the Bureau of Land Management, protects public safety and water quality by reducing the effects of abandoned hardrock mines. Objectives include restoration of fish and wildlife habitat.	+	+				+	+	+	+
Soil and Water Resources Conservation Act of 1977	P	Provides for the U.S. Department of Agriculture to possess information, technical expertise, and a system for	+	+	+			+		+	

Action/Trend	Status ¹	Relevance	Water	Bio-logical	Geo-logy	Air	Socio-economic	Land Use	Recrea-tion	Archeo-logical	Health
		conservation and use of soils, plants, woodlands, and watersheds.									
Wild and Scenic Rivers Act (WSR)	P	Protects rivers and riparian areas that possess important scenic, recreational, fish and wildlife, and geologic values.	+	+	+			+	+		
Forest Service Manual (FSM) 2520, Watershed Protection and Management	P	USFS's program for maintaining or improving watershed conditions in National Forests. Activities include monitoring, riparian management, floodplain management, and emergency response.	+	+	+				+	+	
Forest Service Manual (FSM) 2380, Forest Service Scenery Management System of 2003	P	Any long term impacts on USFS visual resources fall under these standards which require the use of best management practices (BMPs) to mitigate impacts; USFS may require that some areas be returned to planned visual quality objectives within a certain time frame.						+	+	+	
National Trail System Act	P	Provides for preservation of, public access to, travel within, and enjoyment of outdoor areas through a national trail system. Jointly managed by the Bureau of Land Management, National Park Service, and USFS.						+	+		
National Wildlife Refuge System Administration Act (NWRSA)	P	Legislation establishing the National Wildlife Refuge System overseen by the U.S. Fish and Wildlife Service.		+					+		
Wilderness Act of 1964	P	Legislation establishing the National Wilderness Preservation System managed by the Bureau of Land Management.	+	+	+				+	+	
Noise Control Act of 1972 and EPA Noise Control Regulations	P	Federal legislation for regulation of noise pollution in order to protect human health. Administered through noise control regulations originally promulgated by EPA and now overseen by state and local governments.						+			+

Action/Trend	Status ¹	Relevance	Water	Bio-logical	Geo-logy	Air	Socio-economic	Land Use	Recrea-tion	Archeo-logical	Health
State Water Quality Regulations (examples)											
Pennsylvania’s “The Clean Streams Law” Act of 1937, P.L. 1987	P	Protects public health, animal and aquatic life, industrial use, and recreational use of water by regulating supply and quality of Pennsylvania waters.	+	+					+		+
Kentucky Wild Rivers Act of 1972	P	Establishes the Wild Rivers Program to protect and preserve the scenic, fish and wildlife, geological, cultural and recreational values of Kentucky rivers.	+	+					+	+	+
Ohio Coastal Nonpoint Pollution Control Program Plan 2000	P	Ohio’s plan to reduce runoff from cropland, parking lots, lawns, mines, and septic systems into surface and groundwater.	+	+					+		+

1. "P" = Past/Present/Ongoing; "F" = Future.

It is essential to note that these designations do not indicate long-term anticipated trends in the quality or health of the resource. For instance, while a permit program such as NPDES may be designed to improve long-term water quality, the permits themselves explicitly allow the discharge of pollutants to water bodies. While the NPDES program may produce a long-term benefit relative to a scenario where discharges occur without regulatory controls, some pollution of surface water will continue. This same observation is true for several of the regulatory programs identified as past and present actions, including those related to filling and dredging (CWA Section 404), and air emissions.

4.5.3 Assessment of Cumulative Impacts by Resource

The following discussion describes the cumulative impacts of the Action Alternatives when combined with other past, present, and reasonably foreseeable future actions. Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. The analysis recognizes that in most cases the contribution to the cumulative impacts for a given resource from implementing the Action Alternatives would be difficult to discern, at a broad programmatic level across the U.S., given the context and intensity of impacts from the other past, present, and future actions. In most situations, implementation of one of the Action Alternatives would likely help reduce long-term adverse impacts on the resource by providing a certain level of offsetting benefits. This is especially true when the Action Alternatives are considered in combination with other actions of similar intent (e.g., point source discharge permitting, river conservation initiatives, etc.).

Given the scope of the Action Alternatives, their cumulative effects are best considered in a qualitative framework. Table 4.5-2 addresses each affected resource, summarizing the likely cumulative effects. First, the table notes the direct and indirect effects that each Action Alternative has on the identified resources, as determined in the resource-specific sections of Chapter 4. The table then identifies the relevant set of past, present, and future actions associated with the resource, as discussed above. Finally, the table designates, for each Action Alternative, the likely cumulative effect. Essentially, the cumulative impact designation can be considered as the outcome of adding the direct and indirect effects of the Action Alternative to the impacts of a set of past, present, and reasonably foreseeable actions relevant to the resource. Adding these effects yields a basic characterization of potential cumulative impacts of all relevant actions on the resource. Each of the resource-specific subsections below applies this structure in considering cumulative effects.

The analysis designates several cumulative effect classifications:

- “Beneficial or countervailing cumulative effect” means that, in combination with other actions and trends, the Alternative is expected to result in either a net increase in beneficial impacts or a net reduction in adverse impacts to the resource.
- “Negative cumulative effect” means that, in combination with other actions and trends, the Alternative is expected to result in a net increase in adverse effects to the resource.

Table 4.5-2a. Cumulative Effects on Water Resources

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • OSMRE Temporary Cessation of Operations Rule • Clean Water Act, Section 303 • Clean Water Act, Section 402 • Clean Water Act, Section 404 • OSMRE Self-bonding Rule • Clean Power Plan • Clean Water Rule • Safe Drinking Water Act • Abandoned Mine Lands Program • Soil and Water Resources Conservation Act • Wild and Scenic Rivers Act (WSR) • Forest Service Manual (FSM) 2520, Watershed Protection and Management • NRCS Emergency Watershed Protection (EWP) Program • OSMRE Coal Combustion Residue Rules • Wilderness Act • State water quality regulations • Market trends in the energy sector • Regional forestry trends • Regional agriculture and grazing trends • Regional growth and development trends 	Neutral cumulative effect
2	Major Beneficial	See above See above	Beneficial or countervailing cumulative effect
3	Major Beneficial	See above	Beneficial or countervailing cumulative effect
4	Major Beneficial	See above	Beneficial or countervailing cumulative effect
5	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
6	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
7	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Major Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2b. Cumulative Effects on Biological Resources

Alternative	Direct and Indirect Effects ¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • OSMRE Temporary Cessation of Operations Rule • Clean Water Act, Section 303 • Clean Water Act, Section 402 • Clean Water Act, Section 404 • OSMRE Self-bonding Rule • Clean Water Rule • Clean Power Plan • OSMRE Coal Combustion Residue Rules • NRCS Emergency Watershed Protection (EWP) Program • Endangered Species Act • Cooling Water Intake Structures Rule • Abandoned Mine Lands Program • Soil and Water Resources Conservation Act • Wild and Scenic Rivers Act (WSR) • Forest Service Manual (FSM) 2520, Watershed Protection and Management • National Wildlife Refuge System Administration Act • Wilderness Act • State water quality regulations • General coal market trends • Regional forestry trends • Regional agriculture and grazing trends • Regional growth and development trends 	Neutral cumulative effect
2	Moderate Beneficial	<ul style="list-style-type: none"> • See above 	Beneficial or countervailing cumulative effect
3	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
4	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
5	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
6	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
7	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2.c Cumulative Effects on Topography, Geography, and Soils

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • OSMRE Temporary Cessation of Operations Rule • OSMRE Self-bonding Rule • NRCS Emergency Watershed Protection (EWP) Program • Soil and Water Resources Conservation Act of 1977 • Wild and Scenic Rivers Act (WSR) • Forest Service Manual (FSM) 2520, Watershed Protection and Management • Wilderness Act • General coal market trends • Regional forestry trends • Regional agriculture and grazing trends 	Neutral cumulative effect
2	Minor Beneficial	<ul style="list-style-type: none"> • See above 	Beneficial or countervailing cumulative effect
3	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
4	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
5	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
6	Negligible	See above	Neutral cumulative effect
7	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2d. Cumulative Effects on Air Quality, Greenhouse Gas Emissions, and Climate Change

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • Clean Power Plan • Carbon Pollution New Source Performance Standards (NSPS) for EGUs • Mercury and Air Toxics Standards • Cross-State Air Pollution Rule • Market trends in the energy sector 	Neutral cumulative effect
2	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
3	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
4	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
5	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
6	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
7	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2e. Cumulative Effects on Social and Economic Resources

Alternative	Direct and Indirect Effects ¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • Clean Water Act, Section 303 • Clean Water Act, Section 402 • Clean Water Act, Section 404 • Wild a Scenic Rivers Act (WSR) • State mining regulations • Clean Power Plan • Proposed New Source Performance Standards for Greenhouse Gas Regulation • Mercury and Air Toxics Standards • Cross-State Air Pollution Rule • Coal Combustion Residuals Rule • Cooling Water Intake Structures Rule • Mine Improvement and New Emergency Response Act • Federal Mine Safety and Health Act • General coal market trends • Regional growth and development trends • Regional forestry trends 	Neutral cumulative effect
2	Moderate Adverse	See above	Negative cumulative effect ²
3	Minor Adverse	See above	Negative cumulative effect ²
4	Minor Adverse	See above	Negative cumulative effect ²
5	Minor Adverse	See above	Negative cumulative effect ²
6	Minor Adverse	See above	Negative cumulative effect ²
7	Minor Adverse	See above	Negative cumulative effect ²
8 (Preferred)	Minor Adverse	See above	Negative cumulative effect ²
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2f. Cumulative Effects on Land Use, Utilities, Infrastructure, Visual Resources, and Noise

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • OSMRE Temporary Cessation of Operation Rule • Emergency Watershed Protection (EWP) Program • Soil and Water Resources Conservation Act of 1977 • Wild and Scenic Rivers Act (WSR) • Forest Service Manual (FSM) 2380, Forest Service Scenery Management System of 2003 • National Trail System Act • Noise Control Act of 1972 and EPA Noise Control Regulations • Abandoned Mine Lands Program • Regional growth and development trends 	Neutral cumulative effect
2	Minor Beneficial	<ul style="list-style-type: none"> • See above 	Indeterminate cumulative effect
3	Negligible	See above	Indeterminate cumulative effect
4	Negligible	See above	Indeterminate cumulative effect
5	Negligible	See above	Indeterminate cumulative effect
6	Negligible	See above	Indeterminate cumulative effect
7	Negligible	See above	Indeterminate cumulative effect
8 (Preferred)	Negligible	See above	Indeterminate cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2g. Cumulative Effects on Public Health and Safety

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • OSMRE Temporary Cessation of Operation Rule • State mining regulations • Mine Improvement and New Emergency Response Act • Federal Mine Safety and Health Act • Safe Drinking Water Act • Abandoned Mine Lands Program • Noise Control Act and associated regulations (federal and local) • State water quality regulations • Clean Water Act Section 303 permitting • Clean Water Act Section 402 permitting • NRCS Emergency Watershed Protection (EWP) Program • General coal market trends 	Neutral cumulative effect
2	Moderate Major Beneficial	<ul style="list-style-type: none"> • See above 	Beneficial or countervailing cumulative effect
3	Major Beneficial	See above	Beneficial or countervailing cumulative effect
4	Major Beneficial	See above	Beneficial or countervailing cumulative effect
5	Minor Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
6	Moderate Beneficial	See above	Beneficial or countervailing cumulative effect
7	Moderate Major Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Major Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2h. Cumulative Effects on Archaeological, Paleontological, and Cultural Resources

Alternative	Direct and Indirect Effects ¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • Antiquities Act of 1906 • Paleontological Resources Preservation Act of 2009 • National Historic Preservation Act • Historic Sites Act of 1935 • Historic and Archaeological Preservation Act of 1974 • Archaeological Resources Protection Act of 1979 • American Indian Religious Freedom Act of 1978 • Native American Graves Protection and Repatriation Act of 1996 • NRCS Emergency Watershed Protection (EWP) Program • Abandoned Mine Lands Program • Forest Service Manual (FSM) 2520, Watershed Protection and Management • Forest Service Manual (FSM) 2380, Forest Service Scenery Management System of 2003 • Wilderness Act • Regional growth and development trends • Regional forestry trends • Regional agriculture and grazing trends • State mining regulations 	Neutral cumulative effect
2	Negligible	<ul style="list-style-type: none"> • See above 	Neutral cumulative effect
3	Negligible	See above	Neutral cumulative effect
4	Negligible	See above	Neutral cumulative effect
5	Negligible	See above	Neutral cumulative effect
6	Negligible	See above	Neutral cumulative effect
7	Negligible	See above	Neutral cumulative effect
8 (Preferred)	Negligible	See above	Neutral cumulative effect
9	Negligible	See above	Neutral cumulative effect

Table 4.5-2i. Cumulative Effects on Recreation

Alternative	Direct and Indirect Effects¹	Past, Present, and Reasonably Foreseeable Future Actions	Cumulative Effect
1	None	<ul style="list-style-type: none"> • Wild and Scenic Rivers Act • Forest Service Manual (FSM) 2520, Watershed Protection and Management • Forest Service Manual (FSM) 2380, Forest Service Scenery Management System of 2003 • National Trail System Act • National Wildlife Refuge System Administration Act • State water quality regulations • Clean Water Act Section 303 permitting • Clean Water Act Section 402 permitting • OSMRE Temporary Cessation of Operation Rule • Wilderness Act • Abandoned Mine Lands Program • NRCS Emergency Watershed Protection (EWP) Program • Regional forestry trends • Regional agriculture and grazing trends • Regional land use changes 	Neutral cumulative effect
2	Moderate Beneficial	<ul style="list-style-type: none"> • See above 	Beneficial or countervailing cumulative effect
3	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
4	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
5	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
6	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
7	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
8 (Preferred)	Minor Beneficial	See above	Beneficial or countervailing cumulative effect
9	Negligible	See above	Neutral cumulative effect

Notes for tables a through i:

¹ These findings are consistent with those reported in previous sections of this chapter.

² Negative effects anticipated from the Alternative in combination with other mining regulations, regulations on coal-fired power plants, and overall energy market trends. This finding includes an implicit assumption that increased environmental regulations may have an adverse impact on employment, which may not always be the case.

- “Neutral cumulative effect” means that, in combination with other actions and trends, the Alternative is expected to produce little or no discernible effect on the resource.
- “Indeterminate cumulative effect” means that the combined effect of the Alternative, in combination with other actions and trends, is difficult to characterize with confidence given the mix of countervailing influences.

4.5.3.1 Water Resources

4.5.3.1.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in water resources would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on water resources under the No Action Alternative.

Population growth is a primary driver of water quality changes associated with land clearing and development, as well as overall resource use. The socioeconomic section of Chapter 3 describes demographic trends in the coal-producing regions. In the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase adverse impacts to water resources under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect water resources. Approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee’s BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

Trends in agriculture also influence water quality within the study area. Relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to improve water quality conditions under other OSMRE rules, such as the OSMRE's Abandoned Mine Lands Program, the CWA, the Wild and Scenic Rivers Act, the Natural Resources Conservation Service (NRCS) water protection program, as well as regional, state, local water quality improvement efforts, may continue to decrease ongoing adverse effects associated with coal mining, agriculture, forestry and residential and other commercial development activities on water resources under the No Action Alternative.

4.5.3.1.2 Action Alternatives

As discussed in Section 4.2.1, the direct and indirect effects of the Action Alternatives on water resources are expected to be beneficial (except in the case of Alternative 9 where impacts are Negligible). These benefits occur as a result of improved baseline data collection; the use of enhanced water quality monitoring; improved definitions of material damage to the hydrologic balance; identification of evaluation thresholds; reduced stream filling; improved streamside vegetative corridor practices; and limitations on approximate original contour (AOC) variances. While the mix and nature of these requirements varies across the Action Alternatives, all are designed to yield benefits to water quality.

Second, as discussed above, the suite of other relevant past, present, and reasonably foreseeable future actions is complex, but the past, ongoing, and reasonably foreseeable future regulatory and conservation initiatives generally represent measures designed to benefit water resources. These include CWA permit programs; mining rules intended to improve or expedite restoration activities; stream conservation and management initiatives; and forestry and agricultural programs designed to limit water quality impacts. Water quality also is influenced by non-regulatory factors, such as trends in commercial forestry, crop cultivation, livestock operations, and urbanization associated with population and economic growth. The cumulative impact assessment incorporates these trends and acknowledges that they could run counter to the beneficial influence of regulatory and conservation initiatives. This is particularly true at a regional or local level where a particular trend (e.g., rapid growth in commercial forestry) is especially pronounced. However, at a national level, the past, ongoing, and reasonably foreseeable future regulatory and conservation initiatives may mitigate the effect of specific trends adversely affecting water resources.

The Action Alternatives (excluding Alternative 9), in combination with other actions and trends, are likely to reduce adverse cumulative impacts on water resources. Therefore, Table 4.5-2 identifies the Alternatives as having a beneficial or countervailing cumulative effect, depending on local, regional, and site-specific factors. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.2 Biological Resources

4.5.3.2.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in biological resources would continue.

The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on biological resources under the No Action Alternative.

Population growth is a primary driver of changes in biological resources associated with land clearing and development, as well as overall resource use. The socioeconomic section of Chapter 3 describes demographic trends in the coal-producing regions. In the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase adverse impacts to biological resources under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect biological resources. As noted above, approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect biological resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

Trends in agriculture also affect biological resources within the study area. As noted above, relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion

(USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to improve biological conditions, such as, water quality programs; mining rules intended to improve or expedite restoration activities; habitat conservation and management initiatives; and forestry and agricultural programs designed to conserve watershed integrity may continue to improve conditions under the No Action Alternative.

4.5.3.2.2 Action Alternatives

As discussed in Section 4.2.2, the direct and indirect effects of the Action Alternatives on biological resources are expected to be beneficial (except in the case of Alternative 9 where impacts are Negligible). Requirements related to expanded data collection, improved monitoring, materials damage definitions, and evaluation levels are expected to benefit instream and streamside habitat, as well as the species dependent upon that habitat. Furthermore, restrictions on activities in or near streams as well as improvements to postmining restoration would benefit terrestrial and aquatic habitat.

As noted above, the suite of past, present, and reasonably foreseeable future actions affecting biological resources is similar to those noted for water resources. These include water quality programs; mining rules intended to improve or expedite restoration activities; habitat conservation and management initiatives; and forestry and agricultural programs designed to conserve watershed integrity. Biological resources are influenced by non-regulatory factors, such as trends in commercial forestry and land use changes associated with increased population and urbanization. The cumulative impact assessment incorporates these trends and acknowledges that they could run counter to the beneficial influence of regulatory and conservation initiatives. This is particularly true at a regional or local level where a particular trend (e.g., rapid growth in commercial forestry) may be especially pronounced. However, at a national level, the past, ongoing, and reasonably foreseeable future regulatory and conservation initiatives likely mitigate the effect of specific trends affecting biological resources.

The Action Alternatives (excluding Alternative 9), in combination with other actions and trends, are likely to reduce anticipated adverse cumulative impacts on biological resources. Therefore, the analysis designates the Alternatives as having a beneficial or countervailing cumulative effect, depending on local, regional, and site-specific factors. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.3 *Geology, Soils, and Topography*

4.5.3.3.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in geology, soils, and topography would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing geology, soils, and topography under the No Action Alternative.

Population growth is a primary driver of disturbances to topography, geology, and soils associated with land clearing and development. As stated above, in the period from 2000 to 2010, the coal regions seeing the greatest growth tended to be those in western states. The Northern Rocky Mountains and Great Plains region showed a 21 percent growth in population during this period, making it the fastest growing coal region. Other rapidly growing regions include the Colorado Plateau and the Gulf Coast regions. In terms of 2010 population, the most populous coal regions are the Appalachian Basin and the Illinois Basin. These population growth pressures are likely to increase disturbances to topography, geology, and soils under the No Action Alternative.

Trends in forestry under the No Action Alternative would also affect topography, geology, and soils, particularly as forest cover influences runoff. Approximately 60 percent of land in the Appalachian Basin is deciduous forest and several large National Forests exist in the region. While trends vary by sub-region, some portions of the Appalachian Basin have seen increased timber harvests in recent years. For instance, West Virginia production of industrial roundwood roughly doubled from 1979 to 2007, totaling nearly 190 million cubic feet (Piva and Cook, 2011). In the Gulf Coast region, Mississippi and Louisiana have extensive commercial forestry operations. Forest products were the highest value crop harvested in Louisiana in 2010, worth over three billion dollars (Louisiana Forestry Association, 2011). In Mississippi, the timber harvest was valued at \$1.1 billion in 2013 (Mississippi State University, 2014). In addition, the Texas timber industry is concentrated almost exclusively in the northeast portion of the state (near Louisiana), meaning that it is almost fully contained in the Gulf Coast coal region (Texas Almanac, 2014). The delivered value of Texas timber was roughly \$500 million in 2011. State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the proposed action, these BMPs could reduce forestry impacts such as sedimentation and streamside vegetation removal.

Trends in agriculture also influence topography, geology, and soils within the study area. Relative to the other coal-producing regions, the Illinois Basin has the greatest amount of cultivated cropland. Cropland accounts for over 48 percent of the land use in this coal region. Illinois had approximately 22 million acres of harvested cropland in 2012, roughly unchanged from 2007. The total value of all agricultural products sold in 2012 was about \$17.2 billion, up significantly from 2007 when sales totaled \$13.3 billion (USDA, 2014). Livestock grazing is common in several coal-producing regions. In the Western Interior region, pasture and grazing operations account for over 38 percent of the land use in Kansas and Oklahoma. Likewise, the Gulf Coast region is over 26 percent pastureland.

In concert with the above, efforts to decrease runoff of topsoil, actions such as erosion control programs, watershed protection programs, and habitat conservation programs seek to reduce adverse impacts of land development activities under the No Action Alternative.

4.5.3.3.2 Action Alternatives

As discussed in Section 4.2.3, the direct and indirect effect of the Action Alternatives on topography, geology, and soils is expected to be beneficial, except in the case of Alternatives 6 and 9 for which impacts are Negligible. Restrictions on activities in or near streams (e.g., mining through streams, spoil management) as well as limitations on AOC variances and improved surface configuration techniques

would have direct benefits for natural topography and geological resources under most of the Action Alternatives. Likewise, requirements for improved topsoil management and revegetation would benefit this resource category directly. Requirements related to improved monitoring, material damage to the hydrologic balance definitions, and evaluation thresholds are expected to indirectly benefit geology and soil resources.

As stated above, for geological resources, the relevant past, present, and reasonably foreseeable future actions include erosion control programs, watershed protection programs, and habitat conservation programs. Geological resources also are influenced by non-regulatory factors, such as land use activities with extensive impacts on soils; these include commercial forestry, agriculture, and livestock grazing. In some coal-producing regions, these non-regulatory activities may partially counteract the beneficial influence of regulatory and soil conservation initiatives.

Overall, most of the Action Alternatives, in combination with other past, present, and reasonably foreseeable future actions and trends, are likely to reduce adverse cumulative impacts on geology, soils, and topography. Therefore, the analysis designates the Alternatives as having a beneficial or countervailing cumulative effect, depending on local, regional, and site-specific factors. Alternatives 6 and 9 are anticipated to have Negligible direct implications for geology, soils, and topography; therefore, the analysis classifies the cumulative impact as neutral.

4.5.3.4 Air Quality, Greenhouse Gas Emissions, and Climate Change

4.5.3.4.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in air quality, greenhouse gas emissions, and climate change would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020, even without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of air impacts associated with coal mining activities under the No Action Alternative.

A multitude of other past, present, and reasonably foreseeable future actions affect air quality and greenhouse gas emissions. Coal mining generally negatively affects air quality due to air emissions emanating from vehicle engines or explosives detonation, erosion and wind transport of dust, and release of fugitive methane emissions during mining activities. In a national-scope rulemaking such as the SPR, however, numerous other regulatory and non-regulatory actions influence air quality. While some air quality issues are local (toxic releases during blasting activities), others, such as greenhouse gas emissions and their relationship to climate change, have implications at the global scale. Air pollutant emissions are generally regulated and managed at both national and local scales, to minimize the effects of coal mining activity on air quality and global climate change. The effects of coal mining and coal combustion on air pollutant emissions are primarily regulated under the Clean Air Act; additionally, performance standards targeting reducing toxic emissions from blasting is managed under section 515 of SMCRA (30 U.S.C. §1265). Furthermore, permit programs for stationary sources, including federal requirements and state variations on those requirements, affect emissions of a range of pollutants. Regulations are also emerging to address limiting carbon emissions from power plants. Additional programs focused on promoting the

recovery and use of coal mine methane may further reduce mining-related air pollutant emissions. On the other hand, continued population and economic trends will greatly affect air quality in any given region. Increased economic growth, population growth, expansion of road and highway systems, residential and commercial construction, and numerous other factors will affect air quality outcomes. A comprehensive accounting of factors affecting air quality in the coal regions under the No Action Alternative is beyond the scope of this analysis.

4.5.3.4.2 Action Alternatives

As discussed in Section 4.2.4, the Action Alternatives are anticipated to have Minor Beneficial (Alternatives 2 through 8) or Negligible (Alternative 9) implications for air quality at the national scale. Implementation of individual elements of the Action Alternatives may have either beneficial or adverse effects on air quality. On the beneficial side, the Action Alternatives may increase terrestrial carbon sequestration potential due to reforestation and streamside vegetative corridor requirements of Action Alternatives (except for Alternative 9) and reduce fugitive methane emissions from coal extraction due to reductions in overall production levels (with the exception of Alternatives 2 and 9). However, requirements for improved spoils management and surface configuration, as well as limits on AOC variances, may increase the use of equipment and vehicles to haul materials and therefore marginally increase greenhouse gas emissions from these sources. These potential adverse effects are, however, most likely neutral to minor and outweighed by the benefits of increased terrestrial carbon sequestration and reduced methane emissions. While data are not available to quantify the net effect of the Action Alternatives on emissions or ambient air quality, the net effects to air quality, greenhouse gas emissions, and climate change are likely to be Minor Beneficial at the national scale (with the exception of Alternative 9).

As stated above, a multitude of other past, present, and reasonably foreseeable future actions affect air quality and greenhouse gas emissions. The effects of coal mining and coal combustion on air pollutant emissions are primarily regulated under the Clean Air Act; additionally, performance standards targeting reducing toxic emissions from blasting is managed under section 515 of SMCRA (30 U.S.C. §1265). Furthermore, permit programs for stationary sources, including federal requirements and state variations on those requirements, affect emissions of a range of pollutants. Regulations are also emerging to address limiting carbon emissions from power plants. Additional programs focused on promoting the recovery and use of coal mine methane may further reduce mining-related air pollutant emissions. On the other hand, continued population and economic trends will greatly affect air quality in any given region. Increased economic growth, population growth, expansion of road and highway systems, residential and commercial construction, and numerous other factors will affect air quality outcomes. A comprehensive accounting of factors affecting air quality in the coal regions is beyond the scope of this analysis.

Overall, the cumulative air quality impact of the Action Alternatives, in combination with other past, present, and reasonably foreseeable future actions and trends such as those described above, is beneficial or countervailing, depending on local, regional, and site-specific factors. While the Action Alternatives (excluding Alternative 9) have Minor Beneficial impacts, the complexity of other actions and trends make it difficult to predict with confidence the combined effect on air resources. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.5 Socioeconomic Conditions

4.5.3.5.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in socioeconomic conditions would continue.

The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). In particular, the Colorado Plateau, Appalachian Basin, and Northern Rocky Mountain and Great Plains regions are forecasted to have the largest production decreases in coal production, respectively. This reduction in production would be expected to have adverse impacts on localized socioeconomic conditions, to the extent that reductions in coal production also reduce coal mining employment and associated income. Reduced coal production volume would also reduce tax collections by regional governments. These decreases could result in depressed localized property values, and could result in adverse impacts to quality of life in communities that are dependent on coal production. However, property values also have the potential to increase as aesthetics improve in localized areas. Reduced noise and impacts to visual resources could also lead to benefits to quality of life in some areas, particularly if some areas become more attractive for recreational activities under the No Action Alternative.

4.5.3.5.2 Action Alternatives

As discussed in Section 4.3.1, at the national level, the Action Alternatives (excluding Alternative 9) are expected to produce Minor or Moderate Adverse impacts on the coal mining industry and the communities that depend upon it. Alternative 9 is expected to have Negligible impacts on socioeconomic conditions. The adverse effects primarily stem from anticipated job losses associated with decreased production, particularly in the Appalachian Basin, the Illinois Basin, and the Northern Rocky Mountains and Great Plains regions. Furthermore, the analysis shows the potential for reduced growth in severance tax collections over time. While these impacts are forecasted for all the Action Alternatives (except Alternative 9), they are most prevalent under Alternative 2.

The cumulative effects analysis considers the direct socioeconomic impacts of the rule and its alternatives in combination with various other trends and actions. Relevant actions include regulations with a direct effect on coal mining, as well as actions and trends that are likely to affect the demand for coal over time. For instance, established mining safety rules may continue to affect the profitability of mining while forthcoming rules on greenhouse gas emissions from coal-fired power plants may encourage a transition away from coal to substitute fuels. These changes are occurring in the context of other energy sector trends such as decreasing natural gas prices resulting from growth in domestic production. On balance, the coal mining industry faces economic and regulatory challenges in the domestic market.

As discussed in Section 3.14 coal mining accounts for 0.06 percent of national employment and 0.1 percent of national income (U.S. Census Bureau, 2014; U.S. EIA, 2015a). For context, EIA estimates that 2014 coal industry employment was approximately 75,000 employees (U.S. EIA, 2016a). This analysis projects that coal industry employment will decrease by over 7,000 full-time equivalents (FTEs) under baseline conditions from 2020 to 2040. This decrease in employment demand is consistent with the

declining demand for U.S. coal from retiring coal-fired power plants and is expected to occur primarily in the Appalachian Basin, the Illinois Basin, and the Northern Rocky Mountains and Great Plains regions. The following summary of expected effects helps to illustrate anticipated impacts:

- Under Alternative 2, annual impacts to production-related employment are expected to range from a reduction in demand for 850 FTEs to a reduction of 28 across all regions, with an average reduction in annual demand of 270 FTEs.⁷⁹ Annual impacts to industry implementation-related employment are expected to range from a gain of 530 FTEs to a gain of 690 across all regions, with an average increase in annual demand of 620 FTEs;
- Under Alternative 3, annual impacts to production-related employment are expected to range from a reduction in demand for 650 FTEs to a reduction of two across all regions, with an average reduction in annual demand of 180 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 360 FTEs to a gain of 460 across all regions, with an average increase in annual demand of 420 FTEs;
- Under Alternative 4, annual impacts to production-related employment are expected to range from a reduction in demand for 580 FTEs to a reduction of 11 across all regions, with an average reduction in annual demand of 150 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 88 FTEs to a gain of 120 across all regions, with an average increase in annual demand of 100 FTEs;
- Under Alternative 5, annual impacts to production-related employment are expected to range from a reduction in demand for 390 FTEs to a reduction of five across all regions, with an average reduction in annual demand of 100 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 160 FTEs to a gain of 210 across all regions, with an average increase in annual demand of 190 FTEs;
- Under Alternative 6, annual impacts to production-related employment are expected to range from a reduction in demand for 340 FTEs to an increase of seven across all regions, with an average reduction in annual demand of 86 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 230 FTEs to a gain of 320 across all regions, with an average increase in annual demand of 270 FTEs;
- Under Alternative 7, annual impacts to production-related employment are expected to range from a reduction in demand for 580 FTEs to an increase of one across all regions, with an average reduction in annual demand of 170 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 220 FTEs to a gain of 280 across all regions, with an average increase in annual demand of 250 FTEs;
- Under Alternative 8 (Preferred), annual impacts to production-related employment are expected to range from a reduction in demand for 510 FTEs to a reduction of three across all regions, with an average reduction in annual demand of 120 FTEs. Annual impacts to industry implementation-related employment are expected to range from a gain of 240 FTEs to a gain of 310 across all regions, with an average increase in annual demand of 280 FTEs; and

⁷⁹ The range of annual impacts to employment represents the minimum and maximum effect in any year in the study period. The average effect is the average annual effect on employment of the Alternative over the 21 year study period.

- Under Alternative 9, no changes in either production-related or industry implementation-related annual employment are expected.

While the socioeconomic implications of the Action Alternatives range from minor to major depending on the region and alternative, these impacts would be added to existing and anticipated adverse conditions in the coal mining industry and could exacerbate these declining conditions. Therefore, the cumulative impact of the Action Alternatives (excluding Alternative 9), in combination with other actions and trends, is classified as negative. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.6 Land Use, Utilities, Infrastructure, Visual Resources, and Noise

4.5.3.6.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in land use, utilities, infrastructure, visual resources, and noise would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on land uses under the No Action Alternative.

Reduced coal production would reduce adverse impacts to land use, reduce demands on utilities, and infrastructure, reduce adverse impacts to visual resources, and reduce noise in coal mining regions under the No Action Alternative.

In concert with the above, efforts to improve land use, utilities, infrastructure, visual resources, and noise conditions under the EPA Noise Control Regulations, the EWP, the FSM, and regional growth and development trends, as well as regional, state, local mine reclamation efforts, may continue to improve land use conditions in localized areas under the No Action Alternative.

4.5.3.6.2 Action Alternatives

As discussed in Section 4.3.2, the Action Alternatives are anticipated to have either Minor Beneficial or Negligible impacts on land use, utilities, infrastructure, visual resources, and noise. Minor Beneficial outcomes are anticipated for all Action Alternatives except 6 and 9 and are achieved primarily as a result of forecasted reductions in coal production and/or increased underground production. These changes could limit land clearing, landscape alteration, and noise impacts to a minor degree, particularly in the Appalachian Basin and Illinois Basin regions.

As with air impacts, a multitude of other past, present, and future actions could affect land use, utilities, infrastructure, visual resources, and noise in the coal-producing regions. This analysis explicitly accounts for several national conservation programs and noise control regulations that could influence cumulative effects. However, the scope of the Action Alternatives and the diverse collection of landscape and aesthetic considerations in this resource category render a full accounting of possible influences impossible. For instance, local land use and noise ordinances will influence key outcomes. Furthermore, the land clearing and construction activities that influence land use, infrastructure, and visual resources are themselves the result of complex local trends. Increased economic growth, population growth,

transportation demand, housing demand, and numerous other factors play a role in overall impacts on this category of resources.

While the Action Alternatives have Negligible or Minor Beneficial direct impacts, the complexity of other past, present, and reasonably foreseeable future actions and trends make it difficult to predict with confidence the combined effect on land use, utilities, infrastructure, visual resources, and noise. Therefore, the analysis designates the cumulative effect as indeterminate. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.7 Public Health and Safety

4.5.3.7.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing public health and safety trends would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on water resources under the No Action Alternative.

Water and air quality are primary drivers of public health changes in coal mining regions. Arsenic, selenium, and sulfates are drinking water pollutants found to be elevated near mining regions. Of these pollutants, arsenic appears to be the most concerning as studies have demonstrated that inorganic arsenic in drinking water may play a significant role in cancers, primarily bladder cancers (Borak, 2007; Shiber, 2005). Surface mining has resulted in elevated levels of arsenic in drinking water in some coal mining areas. It is possible that some areas may experience reductions in arsenic exposure in drinking water as coal production decreases under the No Action Alternative. Although public tap water is regulated for arsenic concentrations, users of private wells may benefit from reductions in arsenic concentrations.

Trends in air quality under the No Action Alternative would also affect overall public health in coal mining regions. In particular, coal dust may be associated with nonmalignant lung disease, lung cancer, and gastric cancer. Additionally, coal mining contributes to rising greenhouse gas emissions, which has negative public health impacts from both ambient air quality and global warming. Due to forecasted decreases in production levels, air quality for adjacent communities may improve due to a lower overall exposure to coal dust and particulate matter.

In concert with the above, efforts to improve public health under the Federal Mine Safety and Health Act, the Abandoned Mine Lands Program, state mining and water quality regulations, the CWA, as well as regional, state, local mine safety and pollution improvement efforts, may continue to improve conditions in localized areas related to causes such mining safety, drinking and surface water quality, and mine reclamation.

4.5.3.7.2 Action Alternatives

Potential public health benefits from improved drinking water for all Action Alternatives, except for Alternative 9, lead to the net direct effects to be classified as beneficial or countervailing for Alternatives 2 through 8 (Preferred).

A variety of other actions influence outcomes with respect to mining safety and public health. State and federal mining safety regulations are designed to limit both the risk of chronic illness (e.g., respiratory conditions) as well as catastrophic outcomes (e.g., mine collapse). Litigation focusing on miner health and safety may further refine and extend existing regulations. Rules and actions governing general public health are obviously numerous, with the most relevant focusing on drinking water protection, surface water quality protection, and reclamation of abandoned mines. Beyond these actions, numerous other public health programs exist (e.g., vaccination programs, smoking cessation programs, counseling programs, etc.) and would affect the well-being of citizens living in the coal-producing regions.

The Action Alternatives, in combination with other past, present, and reasonably foreseeable future actions and trends, are likely to reduce adverse cumulative impacts on public health and safety. Therefore, this analysis identifies the Alternatives (excluding Alternative 9) as having a beneficial or countervailing cumulative effect. Alternative 9 is anticipated to have a neutral cumulative effect.

4.5.3.8 Archaeology, Paleontology, and Cultural Resources

4.5.3.8.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in archaeology, paleontology and cultural resources would continue. For example, adverse effects to cultural resources that occur as part of development activities would continue under the No Action. Under the No Action Alternative, a fairly stringent set of regulations are in place which attempt to avert and mitigate impacts to these resources where they occur.

4.5.3.8.2 Action Alternatives

As presented in Section 4.3.5, all Action Alternatives are expected to have Negligible impacts on archaeology, paleontology, and cultural resources on both the regional and national level. However, to the extent that any particular element of an Alternative reduces the extent of ground disturbance associated with mining, it would also reduce the disturbance of cultural resources located within that area. Therefore cultural resources may benefit from some or all of the rule elements.

Other regulatory actions that occurred in the past, present, or are expected to occur in the future may also affect the archaeological, paleontological, and cultural resources of a specific area. A number of federal regulations have been put in place to protect these resources, such as the Antiquities Act of 1906, the Paleontological Resources Preservation Act of 2009, and the National Historic Preservation Act. Additionally, state mining regulations and programs that supplement SMCRA may benefit these resources to the extent that they identify areas which contain these resources as unsuitable for mining practices.

When considered together, the Negligible direct effect of all the Action Alternatives and the other past, present, and reasonably foreseeable future actions and trends that affect cultural resources are anticipated to have a neutral cumulative effect on these resources, across all Alternatives.

4.5.3.9 Recreation

4.5.3.9.1 No Action Alternative

Under the No Action Alternative, no further regulations or corrective measures in addition to those already in place would be implemented. Therefore, ongoing trends in recreation would continue. The annual quantity of coal demanded and associated production is anticipated to be approximately 10 percent lower in 2040 than in 2020 without implementation of the Alternatives (i.e., under the No Action Alternative). This reduction in production would reduce adverse impacts of ongoing coal mining activities on recreational activities under the No Action Alternative.

Recreational activities, including hunting, wildlife viewing, trail use, boating, and fishing, may occur on both public and private lands within the study area. Public lands, including federal, state, and locally managed lands, are often popular destinations for recreators due to the relatively natural and undeveloped quality of those lands. In addition, private lands are also used for recreation. The Illinois Basin and Appalachian Basins have the highest percent of the study protected in federal, state, local, or private conservation areas with 24 and 18 percent of land area protected respectively. The states intersecting the coal regions with the highest visitation rates in terms of hunting, fishing, and wildlife viewing days were Indiana and Pennsylvania.

Future reductions in production may allow for or encourage increases in recreational activities, as well as increase the relative value that is placed on recreation activities as the quality of natural land may improve. In concert with the above, efforts to improve recreation conditions under the CWA, the Wild and Scenic Rivers Act, the Forest Service Manual, the National Wildlife Refuge System Administration Act, and the National Trail Systems Act, as well as regional, state, local recreation improvement efforts, may continue to improve conditions in localized areas related to causes such as park management and water quality under the No Action Alternative.

4.5.3.9.2 Action Alternatives

The analysis presented in Section 4.3.3 determined that the Action Alternatives would likely have beneficial implications for recreational resources (except in the case of Alternative 9 which has Negligible impacts). These beneficial impacts accrue to instream recreational activities such as fishing and swimming, which are enhanced as a result of anticipated water quality improvements. Terrestrial recreational resources are also enhanced through proposed improvements in spoil management, surface configuration, reforestation, and wildlife protection.

Other past, present, and future actions to protect and enhance recreational resources are myriad. Conservation programs such as Wild and Scenic Rivers, the National Trails System, and the National Wildlife Refuge system have explicit recreational objectives. Likewise, water quality regulations recognize recreational objectives and expressly classify waters as fishable or swimmable. Apart from these relatively recent actions, the U.S. has a long historical tradition of designating, protecting, and enhancing recreational resources through the National Park System and National Forests; likewise, states have designated numerous other recreational areas through state parks systems. Collectively, these actions work to preserve and expand access to recreational resources.

The Action Alternatives, in combination with other past, present, and reasonably foreseeable future actions and trends, are likely to reduce adverse cumulative impacts on recreational resources. Therefore, this analysis identifies the Alternatives (excluding Alternative 9) as having a beneficial or countervailing cumulative effect. Alternative 9 is anticipated to have a neutral cumulative effect.

4.6 Irreversible and Irrecoverable Commitments of Resources and Adverse Environmental Effects Which Cannot Be Avoided

This section of Chapter 4 identifies resource commitments that could be irreversible or irretrievable as a result of the Action Alternatives, and it describes potential adverse environmental effects which cannot be avoided. This section is organized as follows:

- First it describes the NEPA requirements of “irreversible or irretrievable commitments of resources” and “adverse environmental effects which cannot be avoided”;
- Then it identifies and explains each type of potential effect by resource and Alternative.

NEPA regulations require a discussion of “any irreversible or irretrievable commitments of resources which would be involved in the proposal should it be implemented” (40 CFR Part 1502.16). An irreversible or irretrievable commitment of resources refers to impacts on or losses to resources that cannot be recovered or reversed. Irreversible is a term that describes the loss of future options where the loss is permanent. It applies primarily to the effects of use of nonrenewable resources, such as minerals or cultural resources, or to those factors, such as soil productivity, that are renewable only over long periods of time. Irrecoverable is a term that applies to the loss of production, harvest, or use of natural resources. For example, some or all of the timber production from an area is lost irretrievably while an area is serving as a winter sports site. The timber production lost is irretrievable, but the action is not irreversible; if the use changes, it is possible to resume timber production.

NEPA regulations also require a discussion of “any adverse environmental effects which cannot be avoided should the proposal be implemented” (40 CFR 1502.16). Unavoidable adverse impacts are those that would occur after implementation of any of the Action Alternatives as compared to the No Action Alternative as well as after the implementation of all existing mitigation measures and best management practices. Unavoidable adverse impacts do not include temporary or permanent impacts which would be mitigated. Instead, unavoidable adverse impacts are defined as those that meet the following two criteria:

- There are no reasonably practicable mitigation measures to eliminate the impacts; and
- There are no reasonable alternatives to the proposed project that would meet the purpose and need of the action, eliminate the impact, and not cause other or similar adverse impacts.

Under the Action Alternatives, changes in future coal production are anticipated as a result of changes in the costs of production and associated changes in coal prices. This analysis also considers the potential for coal “stranding” (also referred to as “reserve sterilization”). “Stranding” of coal refers to the situation in which coal that would be economical to mine and technically feasible to mine is made unavailable for extraction as a result of the requirements of the rule. This analysis indicates that there will be no increase in stranded reserves under any of the Alternatives. Under Alternative 2, it is possible that reserves could be stranded in Central Appalachia if disposal capacity is unavailable for excess spoils. No information

suggests that adequate disposal capacity would be unavailable; therefore this analysis assumes no stranding of reserves will occur under Alternative 2.

Tables 4.6-1 through 4.6-8 describe the irreversible, irretrievable, and unavoidable adverse environmental effects of the Action Alternatives on each affected resource, as compared to the No Action Alternative. The reader is referred to the appropriate resource-specific section of Chapter 4 for more details in support of the rationale for the findings.

Alternative 2: Irretrievable and unavoidable adverse effects (short-term and long-term) are expected for socioeconomic conditions under Alternative 2. No irreversible, irretrievable, or unavoidable impacts are expected for the following resources—air quality, greenhouse gas emission, and climate change; biological resources; topography, geology, and soils; water resources; land use, utilities, infrastructure, visual resources, and noise; and recreation.

Alternatives 3 through 8: Irretrievable and unavoidable adverse effects (short-term and long-term) are expected for socioeconomic conditions under these Alternatives. No irreversible, irretrievable, or unavoidable impacts are expected for the following resources—air quality, greenhouse gas emission, and climate change; biological resources; topography, geology, and soils; water resources; land use, utilities, infrastructure, visual resources, and noise; public health and safety; and recreation.

Alternative 9: Alternative 9 considers a scenario in which the 2008 Stream Buffer Zone rule is repromulgated and fully implemented across the timeframe of this analysis. Engineering analysis of current coal industry practices finds that, during the period that the 2008 rule was in place, the permits issued in many state programs including those in the Appalachian Basin changed in response to EPA review of Clean Water Act permits such that Alternative 9 would no longer be expected to be functionally different than the No Action Alternative. Alternative 9 is therefore anticipated to have no irreversible, irretrievable, or unavoidable impacts evaluated in this FEIS.

Table 4.6-1. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 2 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be generally beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources are expected. While the production shift to underground mining in Appalachia could cause some short-term or long-term impacts to groundwater, these are not expected to be irreversible or irretrievable. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.
Public Health and Safety	Yes	Yes	Short-term and Long-term	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-2. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 3 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to this resource are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources to surface water, wetlands or groundwater are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-3. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 4 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-4. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 5 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-5. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 6 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources to surface water, wetlands or groundwater are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-6. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 7 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of this resource, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-7. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 8 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.
Water Resources	No	No	No	Negligible irreversible or irretrievable impacts for water resources are expected. Impacts are anticipated to be beneficial to these resources. This Alternative is not expected to result in unavoidable adverse environmental effects on these resources. Impacts are anticipated to be beneficial to these resources.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	Yes	Short-term and Long-term	Adverse impacts to employment and associated income resulting from decreased coal production represent an irretrievable commitment of socioeconomic resources and an unavoidable adverse effect. Impacts to employment and income may be beneficial in some areas, where benefits to employment from new industry implementation-related work requirements more than offset production-related employment impacts. Adverse impacts to severance tax revenue resulting from decreased coal production represent an irretrievable and unavoidable loss in revenue for local and state governments.

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources, such as reduced exposure to pollutants in drinking water.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts are anticipated to be beneficial to these resources.

Table 4.6-8. Irreversible and Irretrievable Commitment of Resources and Adverse Environmental Effects under Alternative 9 Compared to the No Action Alternative

Resource	Irreversible	Irretrievable	Unavoidable	Explanation
Air Quality, Greenhouse Gas Emissions, and Climate Change	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be beneficial.
Biological Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Topography, Geology, and Soils	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Water Resources	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Land Use, Utilities, Infrastructure, Visual Resources, and Noise	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Socioeconomic Conditions	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Public Health and Safety	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.
Recreation	No	No	No	This Alternative is not expected to result in irreversible or irretrievable commitment of these resources, or in unavoidable adverse effects on these resources. Impacts to these resources are expected to be negligible.

Chapter 5. Consultation and Coordination

5.1 Introduction

To comply with the National Environmental Policy Act (NEPA), Council on Environmental Quality (CEQ), and Department of the Interior regulations implementing NEPA, the Office of Surface Mining Reclamation and Enforcement (OSMRE) has consulted and coordinated with federal and state agencies, organizations, tribes, interested groups, and individuals during the development of the proposed action and both the Draft and Final Environmental Impact Statements (DEIS and FEIS). This chapter provides a summary of the coordination that has occurred up to the publication of this FEIS. Public participation and interagency coordination/consultation efforts were ongoing throughout this process to ensure that the best available data is used in developing and analyzing the alternatives developed in this FEIS; and that agency and public concerns and comments are identified, addressed, and incorporated into the planning and decision making process for the Final Rule.

Discussion of the consultation conducted under the ESA section 7(a)(1) is not included in this chapter. Please see the Biological Resources sections of Chapters 3 and 4 for discussion regarding species addressed and of the resulting Biological Opinion. The entire Biological Opinion is available on www.osmre.gov.

5.2 Rulemaking Coordination

Memorandum of Understanding – June 2009

On June 11, 2009, the Department of the Interior entered into a Memorandum of Understanding (MOU) with the Environmental Protection Agency (EPA) and the U.S. Army (representing the U.S. Army Corps of Engineers). The MOU can be viewed on the OSMRE website at: <http://www.osmre.gov/resources/mou/ASCM061109.pdf>. The MOU established an Interagency Action Plan (IAP) to reduce the environmental impacts of mountaintop coal mining in the six Appalachian states of Kentucky, Ohio, Pennsylvania, Tennessee, Virginia, and West Virginia. The IAP elements included short term actions to minimize the adverse environmental effects of Appalachian surface coal mining; a commitment to undertake longer term regulatory actions related to Appalachian surface coal mining; coordinated reviews of permit applications under the Clean Water Act (CWA) and the Surface Mining Control and Reclamation Act (SMCRA); and a commitment to engage in robust public participation. The proposed action, the Stream Protection Rule, addresses one objective of the MOU, which was for the signing agencies to consider revisions to key provisions of current SMCRA regulations, including those provisions related to buffer zones around streams and approximate original contour (AOC) requirements.

Advance Notice of Proposed Rulemaking – November 2009

On November 30, 2009, OSMRE published an Advance Notice of Proposed Rulemaking (ANPR) soliciting comments on ten potential rulemaking alternatives (74 FR 62664). OSMRE also invited the

public to identify other rules that it should consider revising and announced its intent to prepare an environmental impact statement to supplement the 2008 Stream Buffer Zone Rule EIS. OSMRE received approximately 32,750 comments during the 30-day comment period for the ANPR.

After evaluating the comments, the OSMRE determined that development of a comprehensive stream protection rule was needed, and that the scope of the proposed action required a new environmental impact statement rather than a supplement to the one prepared for the 2008 Stream Buffer Zone Rule.

5.3 Interagency Consultation and Coordination on NEPA Process

OSMRE is the lead agency (see 40 CFR 1508.16) for the NEPA process. In 2010, OSMRE invited all state SMCRA regulatory authorities, tribal governments with an interest in coal lands, and various other state and federal agencies with special expertise or jurisdiction by law to participate in the NEPA process as a cooperating agency (40 CFR 1508.5). Many invitees declined to participate, primarily due to lack of funding and staff or due to other higher priority workload. The U.S. Army Corps of Engineers was one of the federal agencies that declined to participate formally as a cooperating agency. Nevertheless, OSMRE has conducted briefings with the Corps of Engineers to assist in the development of the proposed rule.

The following federal and state agencies accepted invitation to participate as cooperating agencies during the NEPA process:

Federal Agencies:

- U.S. Environmental Protection Agency
- U.S. Fish and Wildlife Service

State SMCRA Regulatory Authorities:

- Utah Division of Oil, Gas and Mining
- New Mexico Mining and Minerals Division
- Kentucky Department for Natural Resources
- Railroad Commission of Texas
- Montana Industrial & Energy Minerals Bureau
- Wyoming Department of Environmental Quality
- West Virginia Department of Environmental Protection
- Alabama Surface Mining Commission
- Indiana Department of Natural Resources
- Virginia Department of Mines, Minerals and Energy
- Ohio Division of Mineral Resources Management

State Historic Preservation Offices:

- Virginia Department of Historic Resources

State Wildlife Agency:

- West Virginia Department of Natural Resources

OSMRE met with the federal cooperating agencies in August 2010 and with several of the state cooperating agencies in September 2010 to discuss the roles of cooperating agencies and development of the DEIS. OSMRE and the cooperating agencies subsequently developed an MOU outlining each agency's role in the NEPA process and identifying specific points of contact within OSMRE and the cooperating agencies.

In late 2010 and early 2011, OSMRE provided all of the cooperating agencies listed above, and the Council on Environmental Quality, the opportunity to review and comment on Chapters 2 through 4 of the first working draft of the DEIS that had been developed by OSMRE's consultant. In October 2010, OSMRE hosted a conference call with the cooperating agencies to discuss their comments on the draft of Chapter 2. A similar conference call was held in January 2011 to discuss comments received on Chapters 3 and 4, with particular emphasis on Chapter 4.

As a result of the preliminary reviews, comments, and coordination with the cooperating agencies through early 2011 that questioned the quality of the analysis and the accuracy of information, OSMRE determined that the preliminary DEIS was insufficient and in need of significant revisions. OSMRE began the revisions to the DEIS in Fall 2011. OSMRE retained the comments received previously from the cooperating agencies and ensured that they were considered during the preparation of the revised DEIS. These comments were very informative as to the scope and content of the analysis needed for the DEIS, including the alternatives, methodologies, and content. The revised DEIS, (published in July 2015) reflects comments and suggestions on the original 2011 preliminary draft, with extensive improvements in the content and analysis over the preliminary draft.

On February 23, 2015, OSMRE received a letter signed by the eleven state regulatory authority cooperating agencies, expressing concern that OSMRE did not provide the cooperating agencies with adequate opportunities to participate in the development of the DEIS since the spring 2011. Further the letter notified OSMRE of the intent of several states to terminate their participation, and several states subsequently did so—Alabama, Kentucky, West Virginia, Utah and New Mexico. Shortly before we announced the availability of the DEIS for public comment, all of the state regulatory authorities except the Wyoming DEQ, voluntarily terminated their role as cooperating agencies.

In a letter dated October 7, 2015, prior to the close of the public comment period on October 26, 2015, we invited the former cooperating state agencies to re-engage as cooperating agencies under NEPA. None accepted this invitation. The Department's Assistant Secretary for Land and Minerals Management, the Director of OSMRE, and other OSMRE officials continued to meet with representatives of states after the close of the comment period, consistent with congressional direction in a report accompanying the Consolidated Appropriations Act of 2016, Pub. L. 114-113. In addition to meetings with state SMCRA regulatory authorities in conjunction with Interstate Mining Compact Commission meetings, the Department of the Interior and OSMRE representatives have either met with or held telephone or video conferences with 14 different state regulatory authorities since the proposed rule was published. We also scheduled meetings for OSMRE and state technical personnel to discuss the scientific studies and other reference documents on two dates (April 14 and 21, 2016). The meetings were held simultaneously in Denver, Colorado; Alton, Illinois; and Pittsburgh, Pennsylvania. Staff from six state regulatory authorities participated in the meeting on April 14, 2016, and staff from five state regulatory authorities participated in the meeting on April 21, 2016.

In a letter dated May 18, 2016, OSMRE provided a set of comments received on the DEIS to the Wyoming DEQ and requested their input on the OSMRE proposed corresponding responses. OSMRE selected the comments sent to the Wyoming DEQ based on their relevance to its specific authority and expertise. The criteria for selection of these comments included whether the comment pertained specifically to resources within the western region or Wyoming and whether the comment pertained to something within the SMCRA oversight responsibilities of the agency. Under these criteria, comments that were more general in nature, for example, on the definition of material damage to the hydrologic balance outside the permit area and how it would be implemented nationwide under any selected alternative, were not included. The selected comments also did not include those received on the proposed rule, or on the Regulatory Impact Analysis, since these comments were addressed separately and these processes were not included within the NEPA cooperating agency relationship. In a return letter dated June 3, 2016, the Wyoming DEQ expressed concern that the comments that OSMRE selected were not inclusive of all the comments provided on the DEIS.. Their letter provided no further input or comments on the proposed corresponding responses provided by OSMRE. In a subsequent letter dated September 30, 2016 OSMRE provided the affected environment chapter of the FEIS to the Wyoming DEQ for review. The Wyoming DEQ responded with comments in a letter dated October 18, 2016; OSMRE made edits where necessary prior to finalizing this FEIS.

In September 2016, OSMRE similarly provided materials associated with the preparation of this FEIS to the non-regulatory authority state cooperating agencies, the West Virginia DNR and Virginia SHPO. Again these materials included comments selected based on the receiving agencies specific authority and expertise and did not include comments and our corresponding responses made on materials other than the DEIS.

OSMRE understands that the state regulatory authorities wanted more input, not only in the DEIS, but also in the rule and the Regulatory Impact Analysis. However, we have sought the input from state regulatory authorities at crucial junctures in the development of the rule—early in the rulemaking process and after publication of the proposed rule. These are the points where the insights of these agencies could best shape the proposal and refine the final rule without impinging on our deliberative process and our ability to craft a rule to meet our purpose and need. Through this extensive outreach, we received input from cooperating agencies and state regulatory authorities at these crucial junctures in the development of the rule. The final version of the preferred alternative has been shaped by this direct input as well as by the information we have gleaned through our oversight of the state programs.

5.4 Tribal Consultation

The Department of the Interior Policy on Consultation with Indian Tribes (DOI, 2011) and OSMRE Directive Reg-18 (OSMRE, 2013), set forth considerations and guidelines for consultation and collaboration between the U.S. government and American Indian and Alaska Natives. Due to the extensive coal reserves on tribal lands, OSMRE invited the Hopi, Navajo, Crow, and Ute Mountain Ute Tribes to be cooperating agencies in the NEPA process; the tribes declined opting instead to provide fulfill their responsibilities in government to government consultation rather than as cooperating agencies under NEPA.

As of this FEIS, we have evaluated the potential effects of this proposed rule on federally-recognized Indian tribes and have determined that its provisions would not have substantial direct effects on the

relationship between the federal government and Indian tribes or on the distribution of power and responsibilities between the federal government and Indian tribes. On May 12, 2010, the Director of OSMRE met with the Chairmen of the Hopi and Crow Tribes and the President of the Navajo Nation to initiate consultation on the stream protection rulemaking and development of the DEIS. The tribes in attendance requested that they be kept informed of the rulemaking process and DEIS development. The Director of OSMRE again met with tribal leaders in Washington, DC on December 1, 2011. At that time, OSMRE provided additional information on the elements under consideration for the alternatives in the DEIS and discussed the expected impacts to the SMCRA regulatory program for Indian lands. On August 28, 2015, the Director of OSMRE sent letters to the Hopi Tribe, Crow Tribe, and Navajo Nation notifying them of the publication of our proposed stream protection rule, DEIS and Draft RIA. The letters included an offer to meet with the Tribes and further discuss the proposed rule and DEIS. On November 6, 2015, OSMRE requested government-to-government consultation with the Hopi Tribe, Crow Tribe and Navajo Nation.

At the request of the Navajo Nation, OSMRE Director Pizarchik conducted government-to-government consultation with Tribal leaders in Window Rock, Arizona on January 13, 2016. During the meeting the tribal leaders were briefed on the proposed stream protection rule. Subsequent to that meeting, OSMRE offered to continue government-to-government consultation, on an on-going basis at the request of the tribe. Final consultation occurred on June 15, 2016, during which the tribe indicated they supported a letter previously sent by the Western States and beyond that they had no further comments on the stream protection rule.

OSMRE conducted its final consultation with the Hopi Tribe on June 28, 2016, at which time the Tribal representative indicated that the Hopi Tribe had no further comments on the stream protection rule.

OSMRE also sent letters to the Southern Ute Indian Tribe, Ute Mountain Ute Tribe and Northern Cheyenne Tribe on March 7, 2016 requesting government-to-government consultation on the stream protection rule. The three Tribes did not respond to this request.

OSMRE sent three letters to the Crow Tribe requesting government-to-government consultation on the stream protection rule. These letters were sent in October 2011, August 2015 and November 2015. The Tribe did not respond to these requests. In October 2016 OSMRE made several additional offers to meet for government-to-government consultation but the Tribe did not accept.

5.5 Public Involvement Specific to this NEPA Process

On April 30, 2010, OSMRE published notice of its intent (NOI) to prepare an environmental impact statement to analyze the effects of potential revisions to its rules and regulations under SMCRA to improve the protection of streams from the adverse impacts of surface coal mining operations (75 FR 22723). In this notice, OSMRE set forth eleven principal elements under consideration as part of its revisions to various SMCRA regulations. OSMRE received 25 comments during the 30-day comment period ending June 1, 2010.

On June 18, 2010, OSMRE re-opened the scoping period in order to offer the public additional opportunities to provide comment (75 FR 34666). The reopening allowed an additional 45 days for scoping, which then ended on July 30, 2010. The reopened NOI expanded on the eleven principal

elements by including possible alternatives for each element. At that time, the NOI also announced OSMRE’s intent to hold public scoping meetings and provided information on how the public could provide comments. OSMRE held nine scoping open houses in coal-producing regions across the U.S.

Scoping Open Houses

Because of the complex nature of the issues for which OSMRE sought input, OSMRE elected to use an open house format for the scoping opportunities rather than a public meeting or public hearing format. OSMRE selected nine cities for the open houses based on their location in or near 95 percent of the coal-producing regions of the U.S. The open houses were held in Beckley, WV; Birmingham, AL; Carbondale, IL; Evansville, IN; Fairfield, TX; Farmington, NM; Gillette, WY; Hazard, KY; and Morgantown, WV between July 19-29, 2010. Open house venues were selected based on estimated interest in the area, facility size, ease of access and parking, availability, and recommendations of the local OSMRE field office.

In addition to the *Federal Register* notice, OSMRE announced the open houses on OSMRE’s website (www.osmre.gov) and published display ads in local and regional newspapers for two to four days, two weeks before each open house. The open houses were set up as 12 poster stations that depicted the NEPA process and the eleven principal elements of the proposed action and possible Alternatives, as described in the June NOI. Handouts of the poster, along with a brief introductory explanation, were positioned at each poster station. Comment forms that stated the various mechanisms for submitting comments were made available at each open house. These forms were also set out at each poster station and centrally located to facilitate public participation. OSMRE personnel were available to answer questions and hear attendees concerns. A court reporter was available to take oral comments at all locations, and, in Farmington, NM, a Navajo translator was also available to assist.

Results of Public Scoping

The number of comments received by source is summarized in Table 5.5-1.

Table 5-1. Distribution of Comments Received by Source

Source	Number
Open House – Written	374
Open House – Oral	71
Email at sra-eis@osmre.gov	20,011
Courier or Surface Mail	111
Electronically at www.regulations.gov	4
Total	20,571

Most commenters provided specific comments regarding each of the principal elements and possible Alternatives set out in the June 18, 2010 NOI. Some commenters recommended clarifications to existing rules instead of a new rulemaking, made suggestions pertaining to specific elements or Alternatives within the proposed rulemaking, or raised new issues or rule elements for consideration.

Comments were generally divided into two categories: (1) comments in support of rule revisions that would provide greater environmental protection for streams and other natural resources; and (2) comments that support the adequacy of the existing regulations.

Some commenters favoring greater environmental protections advocated interpretation of the 1983 Stream Buffer Zone Rule as an absolute prohibition on stream impacts. This group of comments described the 1983 rules as a bright-line prohibition against any adverse impacts within the stream buffer zone. Other comments suggested that the DEIS assess the effects of an Alternative that would ban surface mining of coal.

Commenters from the Midwest and West also questioned the efficacy of promulgating a nationwide rule when regional differences made many provisions inapplicable or potentially cumbersome, costly, or impractical to apply across the country. They noted that the impetus for OSMRE’s action grew from concerns about surface mining operations in the Appalachian region. Table 5.5-2 depicts the numbers of commenters by principal element, as well as by other issues raised.

Table 5.5-2. Distribution of Comments by Principal Element and Other Issues

Principal Element/Other Topics	Number of Comments
Collection of Baseline Data	10,622
Definition of “Material Damage to Hydrologic Balance”	18,628
Mining Activities in or near Streams	10,943
Additional Monitoring Requirements	9,137
Corrective Action Thresholds	583
Landforming and Fill Optimization	10,340
Approximate Original Contour Exceptions	164
Reforestation	304
Financial Assurances for Long-Term Discharges of Pollutants	18,543
Permit Coordination	9,739
Stream Definitions	18,583
NEPA Process	9,114
Justification for Stream Protection Rule (SPR) Lacking	28
Overreaches Statutory Authority	36
Regulations Will Adversely Affect Jobs/Economy/Energy Costs	1,328
Enforcement and Monitoring	18,575
Longwall Mining	5
Additional Research Needed	5
Mining Destroys Cultural Resources	2
Impact of Invasive Species on Ecosystem	3
National Security Concerns	6
Mountaintop Removal Mining Concerns	1

Substantive comments collected during the scoping process were assessed by the NEPA team and incorporated into the scope and content of the DEIS.

Publication of Proposed Rule, DEIS and Draft RIA

On July 16, 2015, we announced that the proposed rule, DEIS, and Draft RIA were available for review at www.regulations.gov, on our website (www.osmre.gov), and at selected OSMRE offices. On July 17, 2015, we published a notice in the *Federal Register* announcing the availability of the DEIS for the proposed rule. See 80 FR 42535-42536. The notice reiterated that the DEIS was available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice.

The comment period for the DEIS was originally scheduled to close on September 15, 2015. On July 27, 2015, we also published the proposed stream protection rule in the *Federal Register*. See 80 FR 44436-44698. That document reiterated that the proposed rule, DEIS, and draft RIA were available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the proposed rule and Draft RIA was also originally scheduled to close on September 25, 2015. In response to requests for additional time to review and prepare comments on all three documents, we extended the comment period for the proposed rule, DEIS, and Draft RIA through October 26, 2015. See 80 FR 54590-54591 (Sept. 10, 2015). Interested parties, therefore, received a total of 102 days to review the proposed rule and supporting documents. During that time, we also held six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia, and West Virginia. We received approximately 95,000 comments from all sources on the proposed rule, DEIS, and draft RIA.

The comment period we provided fully complies with NEPA as well as the Administrative Procedure Act, 5 U.S.C. 553, which does not set a minimum public comment period for a proposed rule. We also exceeded the 60-day minimum comment period recommended by Section 6(a)(1) of Executive Order 12866 for meaningful public participation. This time is comparable to the comment periods for similar regulations that we have issued in the past. For example, the now-vacated 2008 stream buffer zone rule was subject to a 90-day comment period, while the comment period for the 1978 proposed rule containing most of the original permanent regulatory program regulations was 71 days. It is also noteworthy that many commenters, primarily environmental groups, opposed our 30-day extension of the comment period. They maintained that 60 days was sufficient to review the materials and provide meaningful comment. These and other commenters, including state regulatory authorities, were able to provide extensive, detailed, meaningful comments on the proposed rule, DEIS, and Draft RIA in the comment period provided.

Appendix K of this FEIS provides responses to all comments received during the DEIS comment period. Some topics generated numerous comments that were identical or similar in nature, such that they warranted grouping together. For example, comments on the alternatives we considered or how we calculated certain cost impacts were grouped together. These comments and our responses are contained within Section K.2 of the Appendix, in the Master Responses. Section K.3 provides individual responses to comments.

Chapter 6. Preparers and Contributors

6.1 Introduction

Chapter 6 contains the list of persons involved in the preparation of this Final Environmental Impact Statements (FEIS). The list includes Office of Surface Mining Reclamation and Enforcement (OSMRE) staff and contractors and is found below.

6.2 List of Preparers: Office of Surface Mining Reclamation and Enforcement

Name	Title	Education	Experience
John Ahlbrandt	Surface Mining Reclamation Specialist	B.S. Wildlife Conservation and Management, University of Wyoming	18 years with BLM; 3 years with OSMRE
Arielle Avishai	Physical Scientist, Appalachian Region	B.A. Environmental Studies, GIS, University of Pittsburgh	8 years with OSMRE (GIS and Technology Transfer)
Alex Birchfield	Ecologist, Western Region	B.S. Zoology, magna cum laude; M.S. Restoration Ecology, both from Colorado State University; A.S. Business from Community College of the AF	Over 16 years of experience: 3 years with OSMRE; 8 years with BLM; 5 years with NPS and private consulting
Frank Bartlett	Program Analyst (GIS/Environmental Protection)	B.S. Range Management, Chadron State College; M.S. Range Ecology and Watershed Management, University of Wyoming	2 years with OSMRE; 2 years with Bureau of Land Management
Marcelo Calle	Hydrologist, Western Region	B.S. Watershed Science, Colorado State University	2 years with OSMRE; 6 years with State of Wyoming Abandoned Mine Land and Coal Regulatory Programs
Paul Clark	Hydrogeologist, Western Region	B.A. Geology 1995 Hanover College, M.S. Hydrogeology Wright State University	Panterra Corp, Dayton OH; Tetra Tech EMI, Denver CO; OSMRE
Jeffrey A. Coker	Physical Scientist	B.S. Forest Resource Management, University of Tennessee	25 years with OSMRE; 9 years with the State of Tennessee
Keith Closson	Geographic Information System Specialist, Headquarters	M.A., Geography, The University of Toledo B.A., Psychology, Walsh University	6 years college instructor in geography, 2 years with OSMRE, 1 year with Ohio state government in Planning
Debbie Dale	Hydrologist, Mid-Continent Region	B.S. Geology, Nicholls State University; M.S. Geoscience, Univ. of Nevada, Las Vegas	14 years state/federal SM CRA experience; Private environmental consulting

Scott Eggerud	Forester, Appalachian Regional Reforestation Initiative, State and Federal Programs Branch, Appalachian Region	B.S. Forestry and Integrated Natural Resources Univ. of WI - Stevens Point	3 years OSMRE; 22 years WVDEP and WVDOF
Flynn Dickinson	Hydrologist, Indian Program Branch, Program Support Division, OSMRE Western Region	B.S. Landuse Geology, Metropolitan State University of Denver M.S. Environmental Science and Engineering, Colorado School of Mines	5 years with OSMRE
Paul Ehret	Chief, Technical Services Branch, Mid-Continent Region	B.S. and M.S.: Southern Illinois University - Edwardsville	7 years with OSMRE; 29 years with state SMCRA programs in Illinois, Indiana and Kentucky
Robin Ferguson	Environmental Protection Specialist, Headquarters	B.S. Virginia Polytechnic Institute and State University	3 years with OSMRE, 15 years with the Department of the Navy in National Environmental Policy Act work
Kevin Garnett	Mining Engineer P.E.,	B.S. Univ. of Missouri - Rolla	8 years OSMRE Mid-Continent Engineer
Nicholas Grant	Natural Resources Specialist, Mid-Continent Region	B.S. Biology, Southern Illinois Univ. - Edwardsville	6 years with OSMRE Mid-Continent
Thomas Galya	Physical Scientist, Hydrology, Appalachian Region	B.S. West Virginia University, M.S. University of Louisiana, PhD Miami University	11 years with OSMRE; 10 years with WVDEP; 15 years in Industry
Mark Gehlhar	Senior Economist, Headquarters	PhD, Economics, Purdue M.S. Purdue B.S. University of Wisconsin	5 years with OSMRE, 16 with USDA
Dale Herbort	AML Program Specialist	B.A., M.A. Anthropology/Archeology, Kent State University	4 years with OSMRE; 18 years in Montana AML program; 10 years in private consulting
Jeremy Iliff	Anthropologist, Western Region	B.A. Anthropology from Metropolitan State College of Denver 2004.	2 years with OSMRE. Eleven years in the field working for various offices within the USDA Forest Service, Bureau of Land Management and private cultural resource management firms
William Joseph	Chief, Program Support Division, Mid-Continent Region	B.S. Reclamation, University of Wisconsin - Platteville	21 years with OSMRE; 6 years with Kansas Department of Health and Environment
Foster Kirby	Archaeologist, Western Region	B.A. Anthropology Washington State, B.A. & M.A. University of Calgary	30 years with OSMRE
Dave Kovaluk	Visual Information Specialist (Intern)	B.A. Photographic and Electronic Media	2 years with OSMRE Mid-Continent
Brent Means	Hydrologist	M.S. Hydrogeology, Wright State University	13 years with OSMRE; time with USGS and Consulting to Coal Industry

Daniel McKinnon	Natural Resources Specialist, Western Region	B.S. in Biology, Wake Forest University; M.S. in Ecology, Colorado State University	4 years with OSMRE
Amy McGregor	Soil Scientist	B.S. Agronomy-Kansas State University, Secondary Major: Environmental Science -Kansas State University, M.S. Soil Science-University of Idaho	6 years with OSMRE-Denver; 5 years USDA-ARS
Harry Payne	Chief, Regulatory Support Division, Headquarters	A.S. Wildlife Management, Hocking College, Ohio	29 years State of Ohio SMCRA Regulatory Authority; 9 years with OSMRE
Ken Peacock	Natural Resources Specialist	B.S., Michigan State University, M.S. Water Resources, University of Wyoming	20 years with federal government; 5 years with State of Wyoming
George Popper	Geologist, Physical Scientist	B.S., Geology, CCNY M.S., Geology, Univ. Mass. Ph.D., Geology, Lehigh University	College Professor (3 years); Israeli Geologic Survey (2 years); Bendix Field Eng. (5 years); Bureau Mines (2 years); OSMRE (28 years)
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Mike Richmond	Civil Engineer	B.S. Civil Engineering, West Virginia Institute of Technology	6 years with OSMRE Charleston Field Office
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Lois Uranowski	Chief, Ecological Services and Technology Transfer Branch	M.S. Civil and Environmental Engineering	24 years engineering experience with OSMRE and private consulting company with hydraulics, geotechnical, mine subsidence & water treatment
Craig Walker	Ecologist	B.S., Forestry; M.S., Ecology, Evolution, and Biology	25 years with OSMRE
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Mychal Yellowman	Civil Engineer P.E	B.S. Civil Engineering, Colorado State University	ears with OSMRE

6.3 List of Preparers: Industrial Economics, Incorporated (IEc)

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Leslie Genova	Principal Consultant	M.A. Environmental Studies, Brown University; B.A. Earth and Environmental Science, Wesleyan	15 years
Maura Flight	Senior Associate Consultant	M.S. Economics and B.S. Environmental Science, Rensselaer Polytechnic Institute	14 years
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Robert Black	Special Consultant	M.S. Public Policy and B.A. Political Science, University of Michigan	27 years
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David Henry	Associate Consultant	M.E.Sc., Yale University; B.A. Mathematics and Economics, Haverford College	5 years
Mary McGee	Senior Research Analyst	B.A. Environmental Economics, Colgate University	2 years

Name	Title	Education	Experience
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6.4 List of Preparers: RESPEC (Formerly, Morgan Worldwide)

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Nathan Rouse	Mining and Explosives Engineer	Ph.D. Mining/Explosives Engineering and M.S. Explosives Engineering, Missouri University of Science and Technology; B.S. Mining Engineering, University of Missouri Rolla	5 years
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6.5 List of Preparers: Energy Ventures Analysis

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Anthony Petruzzo	Associate Consultant	B.S. Business Economics, Miami University	7 years
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John Grubb	Adjunct Professor; Colorado School of Mines	Ph.D. Mining and Earth Systems Engineering, Colorado School of Mines; M.S. Engineering Administration, University of Tennessee; B.S. Mining Engineering, Virginia Polytechnic Institute and State University	39 years
Jack Randall Nawrot	Senior Scientist, Cooperative Wildlife Research Laboratory; Southern Illinois University	M.A. Zoology, Southern Illinois University; B.A. Biology, Blackburn College	41 years
Raja Ramani	Emeritus Professor, Mining and Geo-Environmental Engineering; The Pennsylvania State University	Ph.D. and M.S. Mining Engineering, The Pennsylvania State University; B.Sc. Mining Engineering, Ranchi University	45 years
W. Douglass Shaw	Professor, Department of Agricultural Economics; Texas A&M University	Ph.D. Economics, University of Colorado; B.A. Geography, University of Colorado	36 years

6.7 Other Contractors

Polu Kai Services, LLC worked on previous drafts of the EIS between June 15, 2010 and February 10, 2011.

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Chapter 8. Acronyms

ACA	Alabama Coal Association
ACHP	Advisory Council for Historic Preservation
ACW	Alpha Coal West, Inc.
A.D.	Anno Domini
ADA	Americans with Disabilities Act
ADD	Area Development Districts
ADHS	Appalachian Development Highway System
ADNR	Alaska Department of Natural Resources
AFB	Air Force Base
AFC	Armored Face Conveyor
AIRFA	American Indian Religious Freedom Act
AKCA	Alaska Coal Association
ALOSH	Appalachian Laboratory for Occupational Safety and Health
AMA	Alaska Miners Association
AMD	Acid Mine Drainage
AMEC	AMEC America Limited
AML	Abandoned Mine Lands
AMSL	Above Mean Sea Level
ANFO	Ammonium Nitrate and Fuel Oil
ANPR	Advance Notice of Proposed Rulemaking
ANVSA	Alaska Native Village Statistical Areas
AOC	Approximate Original Contour
AP	Associated Press
APTA	American Public Transportation Association
ARA	Alabama Rivers Alliance

ARPA	Archaeological Resources Protection Act
ARRI	Appalachian Region Reforestation Initiative
ASCE	American Society of Civil Engineers
ASLM	Assistant Secretary, Land and Minerals Management
ASMR	American Society for Surface Mining and Reclamation
ATSDR	Agency for Toxic Substances and Disease Registry
ATTAINS	Assessment TMDL Tracking and Implementation System
ATV	All Terrain Vehicle
AWF	Appalachian Wildlife Federation
AWQC	Ambient Water Quality Criteria
BACT	Best Available Control Technology
B.C.	Before Christ
BEA	Bureau of Economic Analysis
BGEPA	Bald and Golden Eagle Protection Act
BHP	BHP Billiton
BIA	Bureau of Indian Affairs
BLM	Bureau of Land Management
BLM	Biotic Ligand Model
BLS	Bureau of Labor Statistics
BMI	Benthic Macroinvertebrate Index
BMP	Best Management Practice
BNSF	Burlington Northern Santa Fe
BO	Biological Opinion
BOM	Bureau of Mines
BOR	Bureau of Reclamation
BTU	British Thermal Unit

BWRk	Black Warrior Riverkeeper
CAA	Clean Air Act
CASPR	Cross-state Air Pollution Rule
CAT	Commercial Activity Tax
CCC	Criteria Continuous Concentration
CCR	Coal Combustion Residual
CDA	Conservation and Development Areas
CDC	Center for Disease Control and Prevention
CEC	Commission for Environmental Cooperation
CEDS	Comprehensive Economic Development Strategies
CEQ	Council on Environmental Quality
CFR	Code of Federal Regulations
CHIA	Cumulative Hydrologic Impact Assessment
CIA	Cumulative Impact Area
CMA	Colorado Mining Association
CMC	Criteria Maximum Concentration
CMD	Coal Mine Drainage
CMOP	Coalbed Methane Outreach Program
CMR	Compensatory Mitigation Rule
CN	Curve Number
CO ₂ e	Carbon Dioxide Equivalent
COI	Conflict of Interest
CSX	CSX Corporation (Railroad)
CWA	Clean Water Act
CWHSP	Coal Workers' Health Surveillance Program
CWP	Coal Workers' Pneumoconiosis

DA	Drainage Area
DBPs	Disinfection By-Products
DCRT	Department of Culture Recreation and Tourism
DEC	Department of Environmental Conservation
DED	Department of Economic Development
DEIS	Draft Environmental Impact Statement
DEP	Department of Environmental Protection
DMC	Dana Mining Company
DMLW	Division of Mining, Land, and Water
DNR	Department of Natural Resources
DOC	Dissolved Organic Carbon
DOD	Department of Defense
DOF	Division of Forestry
DOH	Department of Highways
DPC	Desirable Plant Community
DPEIS	Draft Programmatic Environmental Impact Statement
DPT	Department of Parks and Tourism
DRB	Demonstrated Reserve Base
DRDS	Division of Respiratory Disease Studies
DT	Department of Travel
DTD	Department of Tourist Development
DWPT	Department of Wildlife, Parks, and Tourism
EA	Environmental Analysis
ECSI	Engineering Consulting Services, Inc.
EGU	Electricity Generating Unit
Eh	Anaerobic or of Low Oxidation/Reduction Potential

EIS	Environmental Impact Statement
EMT	Emergency Medical Technicians
EO	Executive Order
EPT	Ephemeroptera, Plecoptera and Tricoptera
ERR	Estimated Recoverable Reserve
ESA	Endangered Species Act
ESAL	Equivalent Single Axle-Load
ESRI	Environmental Systems Research Institute
FACES-FL	Federation for American Coal, Energy, and Security (FACES) Form Letter
FC	City of Fairfield, Fairfield, TX
FCC	Fairfield Chamber of Commerce, Fairfield, TX
FCLAA	The Federal Coal Leasing Amendments Act
FCMSA	The Federal Coal Mine Safety Act
FEIS	Final Environmental Impact Statement
FMSHRC	Federal Mine Safety and Health Administration
FPOP	Fill Placement Optimization Process
FR	Federal Register
FRA	Forestry Reclamation Approach
GBCC	Greater Bluefield Chamber of Commerce, Bluefield, WV
Gg	Gigagrams
GHG	Greenhouse Gases
GHGRP	Greenhouse Gas Reporting Program
GIS	Geographic Information Systems
GMP	Growth Management Plan
GPS	Global Positioning Systems
GVW	Gross Vehicle Weight

GW	Groundwater
GWP	Global Warming Potential
HACC	Henderson Area Chamber of Commerce, Henderson, TX
HAP	Hazardous Air Pollutant
HAPA	Historic and Archeological Preservation Act
HBI	Hilsenhoff Biotic Index
HEDC	Henderson Economic Development Corporation, Henderson, TX
HSA	Historic Sites Act
HUC	Hydrologic Unit Code
HUD	Housing and Urban Development
IAP	Interagency Action Plan
IARC	International Agency for Research on Cancer
ICA	Illinois Coal Association
ICC	Indiana Coal Council
ICG	International Coal Group, Inc.
IDNR	Indiana Department of Natural Resources
ILM-FL	I Love Mountains.org Form Letter
IMC	Interwest Mining Company
IMDA	Indian Minerals Development Act of 1982
IRMA	Intensive Recreation Management Area
KCA	Kentucky Coal Association
KDFWR	Kentucky Department of Fish and Wildlife Resources
KDOW	Kentucky Department of Water
KDP	Kentucky Division of Planning
KFTC	Kentuckians for the Commonwealth
Km	Kilometer

KRC	Kentucky Resources Council
KWA	Kentucky Waterways Alliance
KYDNR	Kentucky Department of Natural Resources
LA	Louisiana
LAER	Lowest Achievable Emission Rate
LBA	Lease-by-Application
LC	Limestone County, TX
LC50	Lethal to 50% of Test Organisms
LLC	Limited Liability Company
LOS	Level of Service
LTER	Long Term Ecological Research
LUM	Luminant
MATS	Mercury and Air Toxics Standards
MBTA	Migratory Bird Treaty Act
MC	Mincorp, Inc. (Severstal)
MC-FL	Amfire Mining Company et. al Form Letter
MCLs	Maximum Contaminant Levels
MEC	Murray Energy Corporation
MESA	Mining Enforcement and Safety Administration
MGD	Millions of Gallons per Day
mg/L	milligrams per liter
MINER	Mine Improvement and New Emergency Act
MLA	Mineral Leasing Act for Acquired Lands of 1947
MM	Million
MMCF	Million Cubic Feet
MMI	Multi-Metric Index

MMton	Million Short Tons
MMtCO ₂ e	Million Tons of Carbon Dioxide Equivalents
MOA	Memorandum of Agreement
MOU	Memorandum of Understanding
MPDD	Mine Plan Decision Document
MSA	Metropolitan Statistical Area
MSHA	Mine Safety and Health Administration
MSL	Mean sea Level
MTM	Mountaintop Mining
MTR	Mountaintop Removal Mining
NAAQS	National Ambient Air Quality Standards
NAGPRA	Native American Graves Protection and Repatriation Act
NAICS	North American Industry Classification System
NAMD	Neutral/Alkaline Mine Drainage
NCSU	North Carolina State University
NED	National Elevation Dataset
NEPA	National Environmental Policy Act
NESHAP	National Emission Standards for Hazardous Air Pollutants
NETL	National Energy Technology Laboratory
NHD	National Hydrography Dataset
NHPA	National Historic Preservation Act
NIOSH	National Institute for Occupational Safety and Health
NMA	National Mining Association
NMA-FL	National Mining Association Form Letter
NMFS	National Marine Fisheries Service
NOAA	National Oceanic and Atmospheric Administration

NOI	Notice of Intent
N-PAH	Nitro-Polycyclic Aromatic Hydrocarbons
NPDES	National Pollutant Discharge Elimination System
NPR	National Public Radio
NPS	National Park Service
NRA	National Recreation Area
NRC	National Research Council
NRCS	Natural Resource Conservation Service
NRHP	National Register of Historic Places
NS	Norfolk Southern
NSR	New Source Review
NWI	National Wetland Inventory
NWP	Nationwide Permits
NWRS	National Wildlife Refuge System
NWS	National Weather Service
OCA	Ohio Coal Association
ODFW	Ohio Department of Fish and Wildlife
OEDT	Office of Economic Development and Tourism
OHEPA	Ohio Environmental Protection Agency
OHICI	Ohio's Invertebrates Community Index
ONRR	Office of Natural Resources Revenue
OSHA	Occupational Safety and Health Administration
OSMRE	Office of Surface Mining Reclamation and Enforcement
OSRW	Outstanding State Resource Waters
OT	Office of Tourism
OTD	Office of Tourism Development

PAC	Pennsylvania Anthracite Council
PA DCED	Pennsylvania Department of Community and Economic Development
PA DEP	Pennsylvania Department of Environmental Protection
PAHs	Polycyclic Aromatic Hydrocarbons
PC	Private Citizens
PCA	Pennsylvania Coal Association
PEP	Protection and Enhancement Plan
PHC	Probable Hydrologic Consequences
PM	Particulate Matter
PM _{2.5}	Fine Particulate Matter
PM ₁₀	Course Particulate Matter
PMLU	Postmining Land Use
PNC	Potential Natural Communities
PRB	Powder River Basin
PRBRC	Powder River Basin Resource Council
PRD	Parks and Recreation Department
PRPA	Paleontological Resources Preservation Act
PSD	Prevention of Significant Deterioration
RA	Regulatory Authority
RAM	Reclamation Advisory Memorandum
RBP	Rapid Bioassessment Protocols
RCRA	Resource Conservation and Recovery Act
RDPC	Reclaimed Desired Plant Community
RIA	Regulatory Impact Assessment
RISD	Rockdale Independent School District
RMP	Resource Management Plan

ROM	Run-of-Mine
RRC	Railroad Commission
SBZ	Stream Buffer Zone
SC-FL#1	Sierra Club Sponsored Form Letter #1
SC-FL#2	Sierra Club Sponsored Form Letter #2
SCS	Soil Conservation Service
SC-WV	Sierra Club – West Virginia Chapter
SDI	Slake Durability Index
SDWA	Safe Drinking Water Act
SDWIS	Safe Drinking Water Information System
SEDCAD	Sediment, Erosion, Discharge by Computer Aided Design
SELC	Southern Environmental Law Center
SF	Safety Factor
SH	State Highway
SHPO	State Historic Preservation Officer
SIP	State Implementation Plan
SMCRA	Surface Mining Control and Reclamation Act
SPR	Stream Protection Rule
SRA	State Regulatory Authority
SW	Surface Water
SWROA	Surface Water Runoff Analysis
TBEL	Technology Based Effluent Limitation Guideline
TCP	Traditional Cultural Property
TCR	Total Coliform Rule
TDEC	Tennessee Department of Environment and Conservation
TDS	Total Dissolved Solids

TFG	Teacher-Friendly Guide
THPO	Tribal Historic Preservation Officer
TMA	Tennessee Mining Association
TMDL	Total Maximum Daily Load
TMRA	Texas Mining and Reclamation Association
TPWD	Texas Parks and Wildlife Department
TRD	Tourism and Recreation Department
TSP	Total Suspended Particles
TSS	Total Suspended Solids
TWDB	Texas Water Development Board
TWF	Tennessee Wildlife Federation
TWRA	Tennessee Wildlife Resources Agency
UCM	Usibelli Coal Mine
UP	Union Pacific
USACE	United States Army Corps of Engineers
U.S.C.	United States Code
USCB	United States Census Bureau
USDA	United States Department of Agriculture
U.S. DHEW	United States Department of Health, Education, and Welfare
U.S. DOC	United States Department of Commerce
U.S. DOE	United States Department of Energy
U.S. DOI	United States Department of the Interior
U.S. DOL	United States Department of Labor
U.S. DOT	United States Department of Transportation
U.S. EIA	United States Energy Information Administration
U.S. EPA	United States Environmental Protection Agency

USFS	United States Forest Service
U.S. FWS	United States Fish and Wildlife Service
U.S. GAO	United States Government Accountability Office
USGS	United States Geological Survey
UV	Ultraviolet
VDGIF	Virginia Department of Game and Inland Fisheries
VER	Valid Existing Rights
VF	Valley Fills
VMA	Virginia Mining Association
VOCs	Volatile Organic Compounds
VPD	Vehicles Per Day
VRAP	Visual Resource Assessment Procedure
VRM	Visual Resource Management
WBR	Western Business Roundtable
WDEQ	Wyoming Department of Environmental Quality
WHO	World Health Organization
WKU	Western Kentucky University
WMA	Wyoming Mining Association
WOTUS	Waters of the U.S.
WQBEL	Water Quality Based Effluent Limitation Guideline
WRCC	Western Regional Climate Center
WRP	Wetland Reserve Program
WSA	Wadeable Streams Assessment
WVCA	West Virginia Coal Association
WVDCH	West Virginia Division of Culture and History
WVDEP	West Virginia Division of Environmental Protection

WVDHHR	West Virginia Department of Health and Human Resources
WVDNR	West Virginia Division of Natural Resources
WVDOH	West Virginia Department of Highways
WVGES	West Virginia Geological and Economic Survey
WVSCI	West Virginia Stream Condition Index
µg/L	micrograms per liter
µmhos/cm	micromhos per centimeter

Chapter 9. Glossary

Affected Environment: In the context National Environmental Policy Act (NEPA), the environment of the area(s) to be affected or created by the Alternatives under consideration (40 CFR 1502.15).

Allochthonous: Refers to something formed elsewhere rather than its present location.

Alluvial: Pertaining to or composed of alluvium, or deposited by a stream or running water.

Alluvium: A general term for clay, silt, sand, gravel, or other similar material deposited in a streambed, on a flood plain, delta, or at the base of a mountain during comparatively recent geologic time.

Alternative: A combination of management prescriptions applied in specific amounts and locations to achieve a desired management emphasis as expressed in goals and objectives. One of several policies, plans, or projects proposed for decision-making. An Alternative need not substitute for another in all respects.

Alternative, No Action: An Alternative that maintains established trends or management direction.

Anadromous Fish: Fish that are born in fresh water, spend most of their life in the sea, and which return to fresh water to spawn. Common examples include salmon, smelt, shad, striped bass, and sturgeon.

Anaerobic: A situation in which molecular oxygen is virtually absent from the environment.

Angle of repose: Angle between the horizontal and the maximum slope that a particular soil or geologic material assumes through natural processes.

Annual Plants: Plants living for only one growing season and then seeding to form the next generation.

Anthracite Coal: A hard, black lustrous coal containing a high percentage of fixed carbon and a low percentage of volatile matter. Commonly referred to as hard coal, it is mined in the United States, mainly in eastern Pennsylvania, although in small quantities in other states.

Anthropogenic: Of or relating to anthropogenesis; caused by humans.

Anticline: A fold, generally convex upward, whose core contains the stratigraphically older rocks.

Approximate Original Contour (AOC): The surface configuration achieved by backfilling and grading of the mined area so that the reclaimed area, including any terracing or access roads, closely resembles the general surface configuration of the land prior to mining and blends into and complements the drainage pattern of the surrounding terrain, with all highwalls and spoil piles eliminated (SMCRA Section 701(2)). All mined areas are to be returned to AOC, unless they receive a variance from the AOC requirement (SMCRA Sections 515(b) (3) and (c)).

Approximate Original Contour (AOC) Variance: A regulatory authority may grant a variance or waiver from the requirement to restore a site to AOC if certain specified conditions are satisfied.

Aquifer: (a) A layer of geologic material that contains water. (b) A zone, stratum, or group of strata that can store and transmit water in sufficient quantities for a specific use.

Area Mining: Area mining takes place over a ridge or mountainside and is not restricted, as is contour mining, to the side of a mountain. Area mining occurs in locations where lower slopes and the presence of multiple coal seams produce mining ratios that allow for coal extraction across topography rather than around it (as in contour mining). Although area mining may affect a larger area than contour mining, with coal extraction across an entire ridge or mountaintop, it is not considered “mountaintop removal mining”, because all the coal seams may not be recovered and the mining area must be restored to AOC.

Augering: A method of mining coal at a cliff or highwall by drilling holes into an exposed coal seam from the highwall and transporting the coal along an auger bit to the surface.

Autochthonous: Formed in its present position.

Backfill: Refilling an excavation. Also, the material placed in an excavation in the process of backfilling.

Badlands: A type of dry terrain where softer sedimentary rocks and clay-rich soils have been extensively eroded by wind and water.

Bank Cubic Yards: The volume of overburden material in the ground before it has been excavated and expanded by swell.

Baseflow: That portion of a stream’s discharge that comes from groundwater; ground water seepage into a stream channel.

Bench: Specific to surface mining, this refers to the floor(s) of mining excavation areas where backfilling will occur.

Benthic: Relating to or occurring at the bottom of a body of water.

Best Technology Currently Available: Equipment, devices, systems, methods, or techniques which will (a) prevent, to the extent possible, additional contributions of suspended solids to stream flow or runoff outside the permit area, but in no event result in contributions of suspended solids in excess of requirements set by applicable state or federal laws; and (b) minimize, to the extent possible, disturbances and adverse impacts on fish, wildlife and related environmental values, and achieve enhancement of those resources where practicable. The term includes equipment, devices, systems, methods, or techniques which are currently available anywhere as determined by the Director, even if they are not in routine use. The term includes, but is not limited to, construction practices, siting requirements, vegetative selection and planting requirements, animal stocking requirements, scheduling of activities and design of sedimentation ponds in accordance with 30 CFR parts 816 and 817. Within the constraints of the permanent program, the regulatory authority shall have the discretion to determine the best technology currently available on a case-by-case basis, as authorized by the Act and this chapter (30 CFR 701.5).

Biological Diversity: The relative abundance of wildlife species, plant species, communities, habitats, or habitat features per unit of area.

Biological Opinion: Document stating the U.S. Fish and Wildlife Service (U.S. FWS) and/or the National Marine Fisheries Service opinion as to whether a federal action is likely to jeopardize the continued existence of a threatened or endangered species or result in the destruction or adverse modification of critical habitat.

Bituminous Coal: (1) Coal that ranks between subbituminous coal and anthracite and that contains more than 14 percent volatile matter (on a dry, ash-free basis) and has a calorific value of more than 11,500 Btu/lb. (moist, mineral-matter-free) or more than 10,500 Btu/lb. if agglomerating (American Society for Testing and Materials classification). It is dark brown to black in color and burns with a smoky flame. Bituminous coal is the most abundant rank of coal; much is Carboniferous in age.

Blackwater Stream: Streams that do not carry sediment, are tannic in nature, and which often flow through peat-based areas. Black waters are much more acidic than that of the more neutral waters.

Blanket Drain: Porous zone of large rock formed beneath a valley fill by rolling segregation during wing dumping.

Boreal: Relating to or characteristic of the climatic zone south of the Arctic, esp. the cold temperate region dominated by taiga and forests of birch, poplar, and conifers.

Box Cut: A mining cut excavated into the slope of a hillside, resulting in highwalls on three sides of the cut, or through a mountaintop or ridge crest, resulting in highwalls on two sides of the cut. This type of cut is used to initially open a hillside or mountaintop or ridge crest to all initiation of spoil casting by equipment or explosives.

Bryophyte: Refers to all land plants that do not have true vascular tissue and are therefore also called non-vascular plants.

British Thermal Unit (BTU): A measure of the heat content; the heat required to raise the temperature of one pound of water by one degree Fahrenheit.

Buffer Zone: An area between two different land uses that is intended to resist, absorb, or otherwise preclude developments or intrusions between the two use areas.

Bulking Factor: The net expansion of overburden material resulting from excavation and subsequent backfilling, usually referred to in the mining industry as the swell factor.

Center Ditch: Rock-lined ditch used to carry runoff from the surface of a valley fill down its face to its toe.

Cumulative Hydrologic Impact Assessment (CHIA): Before a Surface Mining Control and Reclamation Act (SMCRA) permit can be approved, an assessment of the cumulative hydrologic impacts of all anticipated mining on the hydrologic balance in the cumulative impact area is performed. Before a SMCRA permit can be approved, the CHIA must find that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area. CHIA preparation is an

integrated process which embodies a specific application of hydrologic information management at each step of the process. The scope of a CHIA may initially include all components of the ground water and surface-water systems in the cumulative impact area. This initial scope can be systematically and logically reduced to those concerns of quantity and quality considered significant to maintaining the hydrologic balance of the area. The process focuses on those aspects of the hydrologic balance that are likely to affect designated uses of water. A sample is available at the Office of Surface Mining Reclamation and Enforcement website.

Coal Seam: A layer, vein, or deposit of coal.

Coal Mine Waste: Coal processing waste and underground development waste (30 CFR 701.5).

Coal Processing Waste: Earth materials which are separated and wasted from the product coal during cleaning, concentrating, or other processing or preparation of coal (30 CFR 701.5).

Colluvium: Earth material that has accumulated at the base of a hill, through the action of gravity, as piles of talus, avalanche debris, and sheets of detritus moved by soil creep or frost action.

Confining layer: A layer of earth material that restricts the movement of ground water; material of low hydraulic conductivity.

Coniferous: Of or relating to, or part of, trees or shrubs bearing cones and having evergreen leaves.

Conglomerate: A coarse-grained clastic sedimentary rock, composed of rounded to subangular fragments larger than two millimeters in diameter set in a fine grained matrix of sand or silt, and commonly cemented by calcium carbonate, iron oxide, silica, or hardened clay.

Contour Mining: Surface mining that progresses in a narrow zone following the outcrop of a coal seam in mountainous terrain, and in which the overburden, removed to gain access to the mineral commodity, is immediately placed in the previously mined area, so that reclamation is carried out contemporaneously with extraction.

Core Drain: Central column of porous large rocks in a valley fill formed by rolling segregation and convergence of materials at the valley fill center during wing dumping.

Council on Environmental Quality (CEQ): An advisory council to the President established by the National Environmental Policy Act of 1969. It reviews federal programs for their effort on the environment, conducts environmental studies, and advises the President on environmental matters.

Cover Type: The plant species of a given area, usually described in terms of the dominant species (e.g., oak-hickory, northern hardwood, maple-birch, etc.).

Cross Ridge Mining: Surface mining associated with ridges in steep slope terrain in which the entire coal is extracted by parallel cuts that progress perpendicular to topographic contour and spoil is returned to the mined out area to simulate the approximate premining topography.

Cultural Landscape: A cultural landscape is a geographic area, including both cultural and natural resources and the wildlife and domestic animals therein, associated with a historic event, activity, or

person or exhibiting other cultural or aesthetic values. There are four general types of cultural landscapes, not mutually exclusive: historic sites, historic designed landscapes, historic vernacular landscapes, and ethnographic landscapes.

Cultural Resources: For purposes of historic preservation, all of the physical manifestations of archeology and history. Cultural resources include archeological sites, structures and objects significant to American history and prehistory. They may include battlefields, ships, places where treaties were signed, places of significant events. They are important for their representation of cultures, lifestyles, people, architecture, engineering, arts and events, or for the information they contain, or for associations they have with past people or events. Cultural resources are considered fragile and nonrenewable resources, because once they are removed, lost, or destroyed, they are gone forever.

Cumulative Impact: The impact on the environment which results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency (federal or non-federal) or person undertakes such other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time (40 CFR 1508.7).

Cut: An excavation, generally applied to surface mining; to make an incision in a block of coal; in underground mining, that part of the face of coal that has been undercut.

Cyanobacteria: A division of microorganisms that are related to bacteria but are capable of photosynthesis.

Cyclothem: A series of beds deposited during a sedimentary cycle of the type that prevailed during the Pennsylvanian Period. Non-marine sediments often including bituminous coal commonly occur in the lower half of a cyclothem, marine sediments in the upper half.

Deciduous: A tree, shrub, or plant that sheds its leaves annually.

Deltaic: Pertaining to or characterized by a delta.

Demographics: Statistical data characterizing the population of a region and the culture of the people, including such information as age, race, gender, income, education, employment status, etc.

Dendritic: The dendritic drainage pattern is characterized by irregular branching in all directions with the tributaries joining the main stream at all angles. Resembling the vein patterns in a tree leaf.

Detritus: Waste or debris.

Diatom: A major group of algae which are one of the most common types of phytoplankton.

Digital Terrain Model (DTM): A topographic surface or computer representation of terrain stored in a digital data file as a set of three-dimensional (x, y, z) coordinates. The image may be displayed on a computer monitor or portrayed on a map.

Disturbed Area: An area where vegetation, topsoil, or overburden is removed or upon which topsoil, spoil, coal processing waste, underground development waste, or noncoal waste is placed by surface coal

mining operations. Those areas are classified as disturbed until reclamation is complete and the performance bond or other assurance of performance is released.

Durable Rock: Naturally formed aggregates that will not slake in water or degrade to soil material. Federal law provides that durable-rock fills must consist of at least 80 percent durable rock (30 CFR 816.73 and 817.73).

Ecological Province: Distinct subdivisions of the landscape containing ecologically related sub-basins. The provinces are distinguished primarily on patterns related to hydrology, climate and regional geology.

Ecohydrological Season: For the purpose of this rule, means a regional specific, annually reoccurring period in which major hydrological and consequent ecological events take place. Specifically in reference to seasonal stream flow, an ecohydrological season is marked by the beginning and end of prolonged periods of presence or absence of flowing water, (i.e. wet and dry seasons) which perpetuate considerable and predictable changes in stream flora and fauna. These periods vary in duration and frequency with respect to region but are always predictable within a typical year.

Effects: Effects include direct effects and indirect effects. Direct effects are caused by the action and occur at the same time and place. Indirect effects are caused by the action and are later in time or farther removed in distance, but are still reasonably foreseeable. Indirect effects may include growth inducing effects and other effects related to induced changes in the pattern of land use, population density or growth rate, and related effects on air and water and other natural systems, including ecosystems. Effect and impacts . . . are synonymous. Effects includes ecological such as the effects on natural resources and on the components, structures and functioning of affected ecosystems, aesthetic, historic, cultural, economic, social or health, whether direct, indirect, or cumulative. Effects may also include those resulting from actions which may have both beneficial and detrimental effects; even if in balance the agency believes that the effect will be beneficial (40 CFR 1508.8).

Effluent: Partially or completely treated wastewater flowing out of a treatment facility, reservoir, or basin.

Endangered Species: Federally listed endangered species include any species of animal or plant in danger of extinction throughout all or a significant portion of its range; state (group I): species whose prospect of survival or recruitment in the state are in jeopardy in the foreseeable future; state (group II): species whose prospect of survival or recruitment within the state may become jeopardized in the near future.

Endemic Species: Being unique to a particular geographic location, such as a specific island, habitat type, nation or other defined zone. To be endemic to a place or area means that it is found only in that part of the world and nowhere else.

Environmental Assessment (EA): A concise public document prepared to provide sufficient evidence and analysis for determining whether to prepare an Environmental Impact Statement (EIS) or a Finding of No Significant Impact. An EA includes a brief discussion of the need for a proposal, the Alternatives considered, the environmental impacts of the proposed action and Alternatives, and a list of agencies and individuals consulted.

Environmental Impact Statement (EIS): A document prepared to analyze the impacts on the environment of a proposed project or action and released to the public for comment and review. An EIS must meet the requirements of NEPA, CEQ, and the directives of the agency responsible for the proposed project or action.

Ephemeral Stream: A stream which flows only in direct response to precipitation in the immediate watershed or in response to the melting of a cover of snow and ice, and which has a channel bottom that is always above the local water table (30 CFR 701.5).

Epilithic Algae: Algae that grows on rock or stone surfaces.

Escarpment: A cliff or steep slope that separates two level or gently sloping areas. Cliff or steep slope edging higher land.

Eutrophic: Of a lake or other body of water. Rich in nutrients and so supporting a dense plant population, the decomposition of which kills animal life by depriving it of oxygen.

Evapotranspiration: The sum of evaporation and transpiration.

Excess Spoil: (1) Spoil in excess of that necessary to backfill and grade affected areas to the approximate original contour. The term may include box-cut spoil where it has been demonstrated for the duration of the mining operation, that the box-cut spoil is not needed to restore the approximate original contour. (2) Overburden material that is disposed of in a location other than the mine pit (30 CFR 701.5).

Extirpated Species: A species that has become extinct in a given area, although it may exist elsewhere.

Exotic: Those species that occupy habitats in which they did not evolve and in which they often have no natural enemies to limit their reproduction and spread frequently at the expense of native plants and animals and, sometimes, of entire ecosystems. The words exotic, invasive, and non-indigenous are often used synonymously.

Face: The working surface of a coal seam where it is being excavated, usually applied to underground mining. Also, the front of the downstream end of a valley fill.

Factor of Safety: Engineering term expressed in a ratio, used to evaluate slope stability in valley fills with regard to rotational sliding and failure; greater values for a factor of safety indicate greater slope stability.

Fauna: The animals of a particular region or habitat.

Fills: Fill structures that are created by the placement of excess spoil in valleys, on hill sides, or on preexisting benches. Although most excess-spoil fills are commonly referred to as valley fills, most mountaintop-removal and steep-slope mining operations today involve the construction of durable-rock fills (30 CFR Sections 816.71 and 817.71).

Fines: Very fine-grained coal materials or dust typically generated as residue from coal processing facilities.

Flood frequency: Refers to the probability (in percent) that a flood will occur in a given year.

Floodplain: The land adjacent to a stream that is periodically flooded by high water.

Flora: The plants of a particular region or habitat.

Flow Regime: The pattern of stream discharge over time.

Flume: see Core Drain.

Fluvial: Of or pertaining to rivers; produced by the action of a stream or river.

Footwall: The mass of rock beneath a fault, orebody, or mine working; especially the wall rock beneath an inclined vein or fault.

Forb: Any herbaceous plant that is not a grass or grass-like in nature; leafy soft-stemmed plants.

Fragile Lands: Means areas containing natural, ecologic, scientific, or esthetic resources that could be significantly damaged by surface coal mining operations. Examples of fragile lands include valuable habitats for fish or wildlife, critical habitats for endangered or threatened species of animals or plants, uncommon geologic formations, paleontological sites, National Natural Landmarks, areas where mining may result in flooding, environmental corridors containing a concentration of ecologic and esthetic features, and areas of recreational value due to high environmental quality.

Fragipan: A loamy, brittle subsurface horizon low in porosity and content of organic matter and low or moderate in clay but high in silt or very fine sand. A fragipan appears cemented and restricts roots. When dry, it is hard or very hard and has a higher bulk density than the horizon or horizons above. When moist, it tends to rupture suddenly under pressure rather than to deform slowly.

Fugitive Dust: The particulate matter not emitted from a duct or stack that becomes airborne due to the forces of wind or surface coal mining and reclamation operations or both. During surface coal mining and reclamation operations it may include emissions from haul roads; wind erosion of exposed surfaces, storage piles, and spoil piles; reclamation operations; and other activities in which material is either removed, stored, transported, or redistributed.

Geomorphic: Of or relating to the form or shape of the earth.

Geomorphology: The study of landscapes and the processes that change them

Glaciated: Said of an area that is: (1) scoured and worn down by glacial action, or strewn with ice-laid drift; or (2) covered by and subjected to the action of a glacier.

Glacial Deposits: Earth materials deposited as a result of glacial activity.

Glaciation: Alteration of the Earth's solid surface through erosion and deposition by glacier ice.

Glochidium: A parasitic larva of certain freshwater bivalve mollusks, which attaches itself by hooks and suckers to the fins or gills of fish.

Graminoid: Herbaceous plants with narrow leaves growing from the base. They include the "true grasses", of the Poaceae (or Gramineae) family, as well as the grasslike plants such as the sedges (Cyperaceae) and the rushes (Juncaceae).

Groin Ditch: Rock-lined ditch used to carry runoff from slopes surrounding a valley fill to the toe of the valley fill.

Ground Water: Subsurface water that fills available openings in rock or soil materials to the extent that they are considered water saturated.

Hanging Wall: The overlying side of an orebody, fault, or mine working; especially the wall rock above an inclined vein or fault.

Haul Road: (1) A road built to carry heavily loaded trucks at a good speed. The grade is limited on this type of road and usually kept to less than 17 percent of climb in direction of load movement. (2) Road from pit to loading dock, tippel, ramp, or preparation plant used for transporting mined material by truck.

Head (hydraulic): Differential of pressure causing flow in a fluid system, usually expressed in terms of the height of a liquid column that pressure will support. The difference, usually measured in feet, between two water surface elevations; height of water above a specified point.

Head-of-Hollow Fill: A fill structure consisting of any materials, other than a coal processing waste or organic material, placed in the uppermost reaches of a hollow where side slopes of the existing hollow measured at the steepest point are greater than 20 degrees, or the average slope of the profile of the hollow from the toe of the fill to the top of the fill is greater than ten degrees. In fills with less than 250,000 cubic yards of material, associated with steep slope mining, the top surface of the fill will be at the elevation of the coal seam. In all other head-of-hollow fills, the top surface of the fill will be at approximately the same elevation as the adjacent ridge line, and no significant area of natural drainage will occur above the fill, draining into the fill areas.

Headwater: The source (or sources) and upper part of a stream, including the upper drainage basin.

Herbaceous: Term for soft-stemmed grass and forb plant species.

Herpetofauna: A collective term used to describe both amphibians (e.g. frogs, toads, salamanders, newts) and reptiles (e.g. snakes, lizards, turtles).

Higher or Better Uses: Means postmining land uses that have a higher economic value or nonmonetary benefit to the landowner or the community than the premining land uses (30 CFR 701.5).

Historic Property or Historic Resource: Any prehistoric or historic district, site, building, structure, or object included in, or eligible for inclusion in, the National Register of Historic Places. The term "eligible for inclusion in the national Register of Historic Places" includes both properties formally determined as such by the Secretary of the Interior and all other properties that meet the National Register listing criteria.

Highwall: The unexcavated face of exposed overburden and coal or ore in an opencast mine; or the face or bank on the uphill side of a contour strip mine excavation.

Highwall Limits: The maximum economical mining depth for a coal seam as established by its stripping ratio and market value.

Highwall Mining: Removal of coal from beneath a standing highwall without excavation of the overburden, using augers or continuous highwall mining machines.

Historic Lands: Means areas containing historic, cultural, or scientific resources. Examples of historic lands include archeological sites, properties listed on or eligible for listing on a State or National Register of Historic Places, National Historic Landmarks, properties having religious or cultural significance to Native Americans or religious groups, and properties for which historic designation is pending.

Hummock: A general geological term referring to a small knoll or mound above ground. The term hummock, or hummocky, is also applied to extremely irregular surfaces. An earlier use of this term also refers to lumpy terrain; or land that has an irregular shape.

Hydraulic Conductivity: A coefficient of proportionality describing the rate at which water can move through a permeable medium.

Hydric Soil: A soil that is sufficiently wet in the upper part to develop anaerobic conditions during the growing season.

Hydrologic Balance: The relationship between the quality and quantity of water inflow to, water outflow from, and water storage in a hydrologic unit such as a drainage basin, aquifer, soil zone, lake, or reservoir. It encompasses the dynamic relationships among precipitation, runoff, evaporation, and changes in ground and surface-water storage (30 CFR 701.5).

Hydrology: The science that relates to the water systems of the earth, or the principles of water flow, or the presence of surface or ground water.

Hypolimnion: The lower layer of water in a thermally stratified lake, typically cooler than the water above, noncirculating, and thus relatively stagnant and perpetually cold.

Hyporheic Zone: A region beneath and alongside a stream bed, where there is mixing of shallow groundwater and surface water.

Impounding Structure: A dam, embankment or other structure used to impound water, slurry, or other liquid or semi-liquid material (30 CFR 701.5).

Impoundments: All water, sediment, slurry or other liquid or semi-liquid holding structures and depressions, either naturally formed or artificially built (30 CFR 701.5).

Interburden: Rock strata between two coal seams to be mined. Both interburden and overburden are often referred to collectively as overburden.

Interfluve: A region between the valleys of adjacent watercourses, especially in a dissected upland.

Intermittent Stream: (a) A stream or reach of a stream that drains a watershed of at least one square mile, or (b) A stream or reach of a stream that is below the local water table for at least some part of the year, and obtains its flow from both surface runoff and ground-water discharge (30 CFR 701.5).

Invasive: Those species that colonize natural or semi-natural ecosystems, are agents of change, and threats to native biodiversity. The words exotic, invasive, and non-indigenous are often used synonymously.

Karst: A type of topography that is formed over limestone, dolomite, or gypsum by dissolution, and that is characterized by sinkholes, caves, and underground drainage.

Lacustrine: Pertaining to, produced by, or inhabiting a lake or lakes.

Land Use: means specific uses or management-related activities, rather than the vegetation or cover of the land. Land uses may be identified in combination when joint or seasonal uses occur and may include land used for support facilities that are an integral part of the use. Changes of land use from one of the following categories to another shall be considered as a change to an alternative land use which is subject to approval by the regulatory authority.

- Cropland - Land used for the production of adapted crops for harvest, alone or in rotation with grasses and legumes, that include row crops, small grain crops, hay crops, nursery crops, orchard crops, and other similar crops.
- Pastureland or land occasionally cut for hay - Land used primarily for the long-term production of adapted, domesticated forage plants to be grazed by livestock or occasionally cut and cured for livestock feed.
- Grazingland - Land used for grasslands and forest lands where the indigenous vegetation is actively managed for grazing, browsing, or occasional hay production.
- Forestry - Land used or managed for the long-term production of wood, wood fiber, or wood-derived products.
- Residential - Land used for single-and multiple-family housing, mobile home parks, or other residential lodgings.
- Industrial/Commercial - Land used for:
 - Extraction or transformation of materials for fabrication of products, wholesaling of products, or long-term storage of products. This includes all heavy and light manufacturing facilities.
 - Retail or trade of goods or services, including hotels, motels, stores, restaurants, and other commercial establishments.
 - Recreation - Land used for public or private leisure-time activities, including developed recreation facilities such as parks, camps, and amusement areas, as well as areas for less intensive uses such as hiking, canoeing, and other undeveloped recreational uses.
 - Fish and wildlife habitat - Land dedicated wholly or partially to the production, protection, or management of species of fish or wildlife.

- Developed water resources - Land used for storing water for beneficial uses, such as stock ponds, irrigation, fire protection, flood control, and water supply.
- Undeveloped land or no current use or land management - Land that is undeveloped or, if previously developed, land that has been allowed to return naturally to an undeveloped state or has been allowed to return to forest through natural succession (30 CFR 701.5).

Land Reclamation (Mining): The process of creating useful landscapes that meet a variety of goals, typically creating productive ecosystems (or sometimes industrial or municipal land) from mined land. It includes all aspects of this work, including material placement, stabilizing, capping, regrading, placing cover soils, revegetation, and maintenance.

Land Restoration: The process of ecological restoration of a site to a natural landscape and habitat, safe for humans, wildlife, and plant communities.

Lentic: Non-flowing aquatic systems such as ponds.

Lignite Coal: Often referred to as brown coal, this soft brown fuel with characteristics that put it somewhere between sub-bituminous coal and peat. It is considered the lowest rank of coal. In British Thermo Units (BTU's) lignite coal generally ranges between 4,300 to 8,600 BTU's per pound. In the United States, it is mined primarily in the Gulf Coast coal region and in the state of North Dakota in the North Rocky Mountain and Great Plains coal region.

Lithology: The description of rocks, especially in hand section and in outcrop, on the basis of such characteristics as color, mineralogic composition, and grain size.

Littoral Zone: That part of a sea, lake or river that is close to the shore. In coastal environments the littoral zone extends from the high water mark, which is rarely inundated, to shoreline areas that are permanently submerged.

Longwall Mining: A form of underground coal mining where a long wall of coal is mined in a single slice (typically one to two meters thick). The longwall *panel* (the block of coal that is being mined) is typically three to four kilometers long and 250 - 400 meters wide.

Loose Cubic Yards: The volume of overburden material after it has been excavated.

Lotic: Flowing aquatic systems such as streams.

Macroinvertebrate: Animals without backbones, generally visible with the naked eye and associated with freshwater systems. Common examples include insect larvae and crayfish.

Macrophyte: Aquatic plants, growing in or near water that are either emergent, submergent, or floating.

Mesophytic: Being or growing in or adapted to a moderately moist environment.

Mesic: A type of habitat with a moderate or well-balanced supply of moisture.

Metallurgical: Bituminous coal used in a beehive coke oven.

Mine Mouth: The entrance to a mine, or the point of shipping of raw coal from a surface or deep mine operation.

Mineral Extraction Area: Portion of a mine permit where coal will actually be extracted.

Mitigation: Mitigation includes: (a) Avoiding the impact altogether by not taking a certain action or parts of an action. (b) Minimizing impacts by limiting the degree or magnitude of the action and its implementation. (c) Rectifying the impact by repairing, rehabilitating, or restoring the affected environments. (d) Reducing or eliminating the impact over time by preservation and maintenance operations during the life of the action. (e) Compensating for the impact by replacing or providing substitute resources or environments (40 CFR 1508.20).

Morphology: The science of form and structure.

Mountaintop Mining/Valley Fill (MTM/VF) Mining: Surface coal mining occurring on mountaintops, ridges, and other steep slopes (by definition those of 20 degrees or more). Removal of overburden from coal on mountaintop mining sites may result in generation of excess mine spoil in quantities that may not allow regrading of a mine site to its approximate original topographic contours or that must otherwise be disposed of to allow for regrading of a mine site to its approximate original topographic contours or that must otherwise be disposed of to allow for efficient and economical coal extraction. One method of disposing of this excess spoil is to place it the heads of hollows or valleys of streams, a practice often referred to as valley fill. For the purposes of this EIS, steep slope surface coal mining operations that produce excess spoil and dispose of it in heads of hollows or valleys of streams shall be referred to collectively as mountaintop mining/valley fill (MTM/VF) operations, in recognition that repetitive discussion of individual mining methods would be cumbersome.

Mountaintop-Removal Operation: According to SMCRA, a type of surface-mining operation that extracts an entire coal seam or seams running through the upper fraction of a mountain, ridge, or hill. Coal extraction must be accomplished by removing all of the overburden and creating a level plateau or a gently rolling contour that both has no highwalls remaining and is capable of supporting certain postmining land uses.

Mudstone: An indurated mud having the texture and composition of shale but lacking its fissility; a blocky fine-grained sedimentary rock in which the proportions of clay and silt are approximately equal.

Multiple Seam Mining: Surface mining in areas where several seams are recovered from the same hillside.

National Pollutant Discharge Elimination System (NPDES): The national program for issuing, modifying, revoking, and reissuing, terminating, monitoring and enforcing permits, and imposing and enforcing pretreatment requirements, under Sections 307, 402, 318, and 40 of the CWA (40 CFR 122.2).

Nationwide Permits: A type of general permit giving authorization under 33 CFR Part 330 for specified activities nationwide. If certain conditions are met, the activities can take place without the need for an individual or regional permit (33 CFR 325.5(c) (2)).

NeoTropical: Of, relating to, or denoting a zoogeographical region comprising Central and South America, including the tropical southern part of Mexico and the Caribbean.

The National Environmental Policy Act (NEPA) of 1969: Declares the national policy to encourage a productive and enjoyable harmony between man and his environment. Section 102 of that Act directs that "to the fullest extent possible: (1) The policies, regulations, and public laws of the United States shall be interpreted and administered in accordance with the policies set forth in this Act, and (2) all agencies of the federal government shall insure that presently unquantified environmental amenities and values may be given appropriate consideration in decision-making along with economic and technical considerations" (42 U.S.C. §§ 4321-4347; See 33 CFR Part 325, Appendix B).

Noxious Weeds: An invasive species of plant that has been designated by country, state or provincial, or national agricultural authorities as one that is injurious to agricultural and/or horticultural crops, natural habitats and/or ecosystems, and/or humans or livestock.

Oligotrophic: Of a lake or other body of water. Relatively low in plant nutrients and containing abundant oxygen in the deeper parts.

Ordinary High Water Mark: That line on the shore established by the fluctuations of water and indicated by physical characteristics such as clear, natural line impressed on the bank, shelving, changes in the character of soil, destruction of terrestrial vegetation, the presence of litter and debris, or other appropriate means that consider the characteristics of the surrounding areas (33 CFR 328.3(e)).

Outcrop: (a) The part of a rock formation that appears at the surface of the ground. (b) A term used in connection with a vein or lode as an essential part of the definition of apex. It does not necessarily imply the visible presentation of the mineral on the surface of the earth, but includes those deposits that are so near to the surface as to be found easily by digging. (c) The part of a geologic formation or structure that appears at the surface of the earth; also, bedrock that is earth's surface; to crop out.

Outslope: The face of the spoil or embankment sloping downward from the highest elevation to the toe (30 CFR 701.5).

Overburden: Material of any nature, consolidated or unconsolidated, that overlies a coal deposit, excluding topsoil (30 CFR 701.5).

Oviposition: The process of laying eggs by oviparous animals.

Palustrine: Of or pertaining to, or living in, a marsh or swamp; marshy.

Perennial Plants: Plants that live for more than one growing season.

Perennial Stream: A stream or part of a stream that flows continuously during all of the calendar year as a result of ground-water discharge or surface runoff. The term does not include intermittent streams or ephemeral streams.

Periphyton: Freshwater organisms attached to or clinging to plants and other objects projecting above the bottom sediments.

Permeability: The measure of the flow of water through soil. The ease (or measurable rate) with which gasses, liquids, or plant roots penetrate or pass through a layer of soil or porous media. The capacity or ability of a porous rock, sediment, or soil to allow the movement of water through its pores.

Permit: Authorization to conduct surface coal mining and reclamation operations issued by the State Regulatory Authority (SRA) pursuant to a state program or by the Secretary pursuant to a federal program. For purposes of the federal lands program, permit means a permit issued by the SRA under a cooperative agreement or by the Office of Surface Mining Reclamation and Enforcement (OSMRE) where there is no cooperative agreement.

Permit Area: The area of land, indicated on the approved map submitted by the operator with his or her application, required to be covered by the operator's performance bond which includes the area of land upon which the operator proposes to conduct surface coal mining and reclamation operations under the permit, including all disturbed areas; provided that areas adequately bonded under another valid permit may be excluded from the permit area.

Physiographic Province: A region of which all parts are similar in geologic structure and climate and which has had a unified geomorphic history.

Playa: An area of flat, dried-up land; especially a desert basin from which water evaporates quickly.

PM_{2.5}: Fine particulate matter which are two and a half micrometers in diameter and smaller.

PM₁₀: Course particulate matter which are smaller than ten micrometers and larger than two and a half micrometers.

Potable Water: Water fit or suited for drinking.

Prime Farmland: Those lands which are defined by the Secretary of Agriculture in 7 CFR part 657 (Federal Register Vol. 4 No. 21) and which have historically been used for cropland (30 CFR 701.5).

Probable Hydrologic Consequences (PHC): A determination of PHC consists of the following steps, repeated as many times as necessary to mitigate adverse impacts: Data collection; Characterization of the premining hydrologic balance; Prediction of mining disturbances; Design of measures to mitigate mining disturbances; and Documentation of residual impacts on the hydrologic balance remaining after implementation of mitigative measures. Any remaining unmitigated impacts must be documented in the PHC determination. The PHC determination process is intended to reduce the predicted adverse impacts on the hydrologic balance to an acceptable level. A sample outline for the PHC determination is available for downloading at the Office of Surface Mining Reclamation and Enforcement website.

Pit: In surface mining, the void left after removal of overburden to expose the coal in a cut.

Plateau: In geology and earth science, also called a high plain or tableland, is an area of highland, usually consisting of relatively flat terrain. A highly eroded plateau is called a dissected plateau. A volcanic plateau is a plateau produced by volcanic activity.

Preparation Plant: A facility where coal is subjected to chemical or physical processing or cleaning, concentrating, or other processing or preparation. A preparation plant's facilities include, but are not

limited to, the following: loading facilities; storage and stockpile facilities; sheds, shops, and other buildings; water-treatment and water-storage facilities; settling basins and impoundments; and coal processing and other waste disposal areas.

Production Equipment: Heavy equipment used for primary spoil movement and coal excavation, usually draglines, shovels, hydraulic excavators, or large loaders, the latter three working with haul trucks; also large dozers in the case of cast blasting.

Recharge: In hydrologic terms, rainfall that adds to the residual moisture of the basin in order to help recharge the water deficit (i.e. water absorbed into the soil that does not take the form of direct runoff).

Recovery Rate: The net percentage of the total coal in a reserve that is recovered by mining and not left in the ground. Term can be applied either to the total reserve or to working areas within a reserve.

Reference Area: A land unit maintained under appropriate management for the purpose of measuring vegetation ground cover, productivity and plant species diversity that are produced naturally or by crop production methods approved by the regulatory authority. Reference areas must be representative of geology, soil, slope, and vegetation in the permit area (30 CFR 701.5).

Relief: Difference in elevation between the highest mountaintop, ridge, or hill and the lowest valley within a permit area.

Required Findings: Specific findings that a regulatory authority must make prior to granting a mountaintop-removal or steep-slope AOC variance (Subsections 515(c) and (e) of SMCRA).

Reserve: That portion of the demonstrated coal reserve base that is estimated to be recoverable at the time of determination. The reserve is derived by applying a recovery factor to that component of the identified coal resource designated as the demonstrated reserve base.

Residuum: Material resulting from the decomposition of rocks in place and consisting of the nearly insoluble material left after all the more readily soluble constituents of the rocks have been removed.

Revegetation: Plants or growth that replaces original ground cover following land disturbance.

Rift Zone: A long narrow continental trough bounded by normal faults.

Riparian; Zone, Habitat or Area: Is the interface between land and a river or stream. Riparian is also the proper nomenclature for one of the fifteen terrestrial biomes of the earth. Plant habitats and communities along the river margins and banks are called riparian vegetation, characterized by hydrophilic plants. Riparian zones are significant in ecology, environmental management, and civil engineering because of their role in soil conservation, their habitat biodiversity, and the influence they have on fauna and aquatic ecosystems, including grassland, woodland, wetland or even non-vegetative. In some regions the terms riparian woodland, riparian forest, riparian buffer zone, or riparian strip are used to characterize a riparian zone. The riparian is an important feature of a wetland because it allows characterization of the wetland's overall health.

Room and Pillar: is a mining system in which the mined material is extracted across a horizontal plane while leaving "pillars" of untouched material to support the roof overburden leaving open areas or

"rooms" underground. It is usually used for relatively flat-lying deposits, such as those that follow a particular stratum.

Runoff: That portion of the rainfall that is not absorbed by the deep strata, is used by vegetation or lost by evaporation, or that may find its way into streams as surface flow.

Sandstone: A clastic sedimentary rock composed of sand size set in a matrix of silt or clay and more or less firmly united by a cementing material.

Scope: The range of actions, Alternatives, and impacts to be considered in an environmental impact statement. The scope of an individual statement may depend on its relationships to other statements (40 CFR 1502.20 and 1508.28). To determine the scope of environmental impact statements, agencies shall consider three types of action, three types of Alternatives, and three types of impacts. They include:

- Actions, other than unconnected single actions, which may be: 1) Connected actions, which means that they are closely related and therefore should be discussed in the same impact statement. Actions are connected if they: (i) Automatically trigger other actions which may require environmental impact statements. (ii) Cannot or will not proceed unless other actions are taken previously or simultaneously. (ii) Are interdependent parts of a larger action and depend on the larger action for their justification. 2) Cumulative actions, which when viewed with other proposed actions have cumulatively significant impacts and should therefore be discussed in the same impact statement. 3) Similar actions, which when viewed with other reasonably foreseeable or proposed agency actions, have similarities that provide a basis for evaluating their environmental consequences together, such as common timing or geography. An agency may wish to analyze these actions in the same impact statement. It should do so when the best way to assess adequately the combined impacts of similar actions or reasonable alternatives to such actions is to treat them in a single impact statement.
- Alternatives, which include: 1) "No Action" Alternative. 2) Other reasonable courses of actions. 3) Mitigation measures (not in the proposed action).
- Impacts, which may be: 1) Direct; 2) Indirect; 3) Cumulative (40 CFR 1508.25).

Sediment: Solid material, both mineral and organic, that is in suspension, is being transported, or has been moved from its site of origin by air, water, gravity, or ice and has come to rest on the earth's surface either above or below sea level.

Sediment Channel/Ditch: See Perimeter Ditch.

Sedimentary Rock: A layered rock resulting from the consolidation of sediment. Examples of such rocks include shale, siltstone, limestone, and sandstone.

Sedimentation: The process of depositing sediments carried by water.

Sedimentation Pond: A reservoir for the confinement and retention of silt, gravel, rock, or other debris from a sediment-producing area.

Severance Tax: A tax levied against coal as it is mined, based either on the value of the coal or at a flat rate per ton, used to compensate federal, state, and sometimes local governments for the value of the portion of the reserve that is extracted.

Shrinkage Factor: Percent decrease in loose material volume resulting from backfilling and subsequent compression by overlying material.

Significantly: “Significantly” as used in NEPA requires consideration of both context and intensity:

- Context - This means that the significance of an action must be analyzed in several contexts, such as society as a whole (human, national), the affected region, the affected interests, and the locality. Significance varies with the setting of the proposed action. For instance, in the case of a site-specific action, significance would usually depend upon the effects in the locale rather than in the world as a whole. Both short- and long-term effects are relevant.
- Intensity - This refers to the severity of impact. Responsible officials must bear in mind that more than one agency may make decisions about partial aspects of a major action. The following should be considered in evaluating intensity:
 - Impacts that may be both beneficial and adverse. A significant effect may exist even if the federal agency believes that on balance the effect will be beneficial.
 - The degree to which the proposed action affects public health or safety.
 - Unique characteristics of the geographic area such as proximity to historic or cultural resources, park lands, prime farmlands, wetlands, and wild and scenic rivers, or ecologically critical areas.
 - The degree to which the effects on the quality of the human environment are likely to be highly controversial.
 - The degree to which the possible effects on the human environment are highly uncertain or involve unique or unknown risks.
 - The degree to which the action may establish a precedent for future actions with significant effects or represents a decision in principle about a future consideration.
 - Whether the action is related to other actions with individually insignificant but cumulatively significant impacts. Significance exists if it is reasonable to anticipate a cumulatively significant impact on the environment. Significance cannot be avoided by terming an action temporary or by breaking it down into small component parts.
 - The degree to which the action may adversely affect districts, sites, highways, structures, or objects listed in or eligible for the listing in the National Register of Historic Places, or may cause loss or destruction of significant scientific, cultural, or historic resources.
 - The degree to which the action may adversely affect an endangered or threatened species or its habitat that has been determined to be critical under the Endangered Species Act of 1973.

- Whether the action threatens a violation of federal, state, or local law or requirements imposed for the protection of the environment (40 CFR 1508.27).

Siltstone: An indurated silt having the texture and composition of shale but lacking its fine laminations or fissility.

Sinuosity (of a stream): The degree of curvature of a stream.

Slake Durability: The ability of rock or spoil materials to resist dissolution or breakdown in water; used for assessing the suitability of spoil material for use in valley fill construction.

Socioeconomic: Relating to social and economic factors of a population or geographic region, such as income, industry structure, employment, health, and general well-being.

Soil: The unconsolidated mineral or organic material on the immediate surface of the earth that serves as a natural medium for the growth of land plants. (ii) The unconsolidated mineral or organic matter on the surface of the earth that has been subjected to and shows effects of genetic and environmental factors of: climate (including water and temperature effects), and macro- and microorganisms, conditioned by relief, acting on parent material over a period of time. A product-soil differs from the material from which it is derived in many physical, chemical, biological, and morphological properties and characteristics. Please refer to the Natural Resources Conservation Service website (<http://soils.usda.gov/>) for more detailed information regarding a specific soil taxa or regime.

Soil Horizons: Contrasting layers of soil parallel or nearly parallel to the land surface. Soil horizons are differentiated on the basis of field characteristics and laboratory data. The four master soil horizons are:

- A horizon - The uppermost mineral layer, often called the surface soil, is the part of the soil in which organic matter is most abundant, and leaching of soluble or suspended particles is typically the greatest;
- E horizon - The layer is commonly near the surface below an A horizon and above a B horizon. An E horizon is most commonly differentiated from an overlying A horizon by lighter color and generally has measurably less organic matter than the A horizon. An E horizon is most commonly differentiated from an underlying B horizon in the same sequence by color or higher value or lower chroma, by coarser texture, or by a combination of these properties;
- B horizon - The layer that typically is immediately beneath the E horizon and often called the subsoil. This middle layer commonly contains more clay, iron, or aluminum than the A, E, or C horizons; and
- C horizon - The deepest layer of soil profile consists of loose material or weathered rock that is relatively unaffected by biologic activity.

Special Handling: General term for methods of blending, isolation, or encapsulation of toxic materials within the backfill to prevent adverse impacts to chemical water quality.

Species Richness: The number of different species in a given area.

Spoil Bank: An accumulation of overburden. Also, underground mine refuse piled outside.

State Program: A program established by a state and approved by the Secretary pursuant to Section 503 of the Act to regulate surface coal mining and reclamation operations on non-Indian and non-federal lands within that State, according to the requirements of the Act and this chapter. If a cooperative agreement under part 745 has been entered into, a state program may apply to federal lands, in accordance with the terms of the cooperative agreement (30 CFR 701.5).

Steep Slope: Any slope of more than 20 degrees or such lesser slope as may be designated by the regulatory authority after consideration of soil, climate, and other characteristics of a region or state (30 CFR 701.5).

Steep-Slope Mining: Type of surface-mining operation where the natural slope of the land within the proposed permit area exceeds an average of 20 degrees.

Storage Capacity: The amount of water that can be store in a specific volume of rock.

Stratigraphic Classification: The arrangement of the sequence of rock strata of the earth's crust into units with reference to the many different characteristics, properties, or attributes which the strata possess.

Stratigraphy: Geology that deals with the origin, composition, distribution, and succession of strata. Study or description of layered or stratified rocks.

Stratum: Geologic term for a sedimentary rock bed, plural strata.

Stripping Ratio: The unit amount of spoil or overburden that must be removed to gain access to a unit amount of coal. It is generally expressed in cubic yards of overburden to raw tons of mineral material.

Sub-Bituminous Coal: Coal of rank intermediate between lignite and bituminous. In the specifications adopted jointly by the American Society for Testing and Materials (D388-38) and the American Standards Association (M20.1-1938), subbituminous coals are those with calorific values in the range 8,300 to 13,000 Btu's calculated on a moist, mineral-mater-free basis, which are both weathering and non-agglomerating according to criteria in the classification.

Support Areas: Portions of a mine permit that are maintained to support the production and development areas, such as haul roads, building facilities, and erosion and sedimentation control facilities.

Substrate: The material that composes the bed or bottom of a stream or lake.

Swale: A low place in a tract of land. A wide, shallow ditch, usually grassed or paved. A wide open drain with a low center line.

Swell: The tendency of soils and bedrock, on being removed from their natural, compacted beds, to increase or swell owing to the creation of voids or spaces between soil or rock particles. The volumetric increase, normally expressed as a percentage that occurs as the consequence of changing undisturbed overburden (bank) into loose (excavated) material.

Swell Factor: The percentage increase in the volume of rock material as it is broken to form spoil, resulting from the creation of voids between the broken rock fragments that were not present in the

original unbroken rock. Also used in industry as the equivalent to the term “bulking factor,” or the net percentage increase between the volume of rock material and its resultant spoil after compaction in backfill.

Syncline: A fold in rocks in which the strata dip inward from both sides towards the axis.

Tableland: A broad, high, level region; a plateau.

Taxonomy: The science of categorization, or classification, of things based on a predetermined system.

Terrace: A level or nearly level plain, generally narrow in comparison with its length, from which the surface slopes upward on one side and downward on the other side. Terraces and their bounding slopes are formed in a variety of ways, some being aggradational and others degradational.

Threatened Waters: Waters rated by the states as "threatened" currently support all of their designated uses, but one or more of those uses may become impaired in the future (i.e., water quality may be exhibiting a deteriorating trend) if pollution control actions are not taken.

Thrust Fault: A fault with a dip of 45 degrees or less over much of its extent, on which the hanging wall appears to have moved upward relative to the footwall.

Topography: The general configuration of a land surface, including its relief and the position of its natural and man-made features.

Topsoil: The A, O, and E soil horizon layers of the four master soil horizons.

Toxic Material: Specific to coal mining, this includes overburden strata or coal materials that have been identified as containing materials that may result in adverse impacts to chemical water quality if exposed to air and water.

Transmissivity: The ability of an aquifer to transmit water.

Transpiration: The process by which plants give off water vapor through their leaves.

Underground Mining: Also known as deep mining, a process by which coal is extracted by excavating within the horizon of a coal seam and without removing the overlying overburden for reasons other than primary seam access.

Valid Existing Rights: Means a set of circumstances under which a person may, subject to regulatory authority approval, conduct surface coal mining operations on lands where 30 U.S.C. §§ 1272(e) and 761.11 would otherwise prohibit such operations.

Valley Fill: A fill structure consisting of any material other than coal waste and organic material that is placed in a valley where side slopes of the existing valley measured at the deepest point are greater than 20 degrees, or the average slope of the profile of the valley from the toe of the fill to the top of the fill is greater than ten degrees.

Vascular Plant: Also known as tracheophytes or higher plants. Those plants that have lignified tissues for conducting water, minerals, and photosynthetic products through the plant. Vascular plants include the clubmosses, *Equisetum*, ferns, gymnosperms (including conifers) and angiosperms (flowering plants).

Vector Data: A data model based on the representation of geographical object by Cartesian coordinates, commonly used to represent linear features. Each feature is represented by a series of coordinates which define its shape, and which can have linked information.

Waters of the United States: Those waters included in this term pursuant to 33 CFR Part 328. For purposes of this EIS, OSMRE assumes that this term includes: intrastate lakes, rivers, streams (including intermittent streams), mudflats, sandflats, wetlands, sloughs, prairie potholes, wet meadows, playa lakes, or natural ponds. Final authority regarding determinations as to the status of waters as “waters of the United States” pursuant to the Clean Water Act remains with the U.S. Environmental Protection Agency.

Watershed: An area drained by a single river or river system, defined by a ridgeline

Wetland: Those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions (Section 404 of the Clean Water Act). For resource mapping purposes, the U.S. FWS (Cowardin et al., 1979) has also defined wetlands as follows: Lands transitional between terrestrial and aquatic systems where the water table is usually at or near the surface or the land is covered by shallow water. For purposes of this classification, wetlands must have one or more of the following three attributes: (1) At least periodically, the land supports predominantly hydrophytes; (2) The substrate is predominantly undrained hydric soils; and (3) The substrate is non-soil and is saturated with water or covered by shallow water at some time during the growing season of each year.

Wing Dumping: End dumping of spoil from haul trucks on opposite sides of a valley fill area to create blanket and core drains beneath the fill.

Xeric: Of an environment or habitat containing little moisture; very dry.

Zero-Order Stream: Swales and hollows that lack distinct stream banks but serve as conduits of water, sediment, nutrients, and other materials during rainstorms and snowmelt.

Appendices

Appendix A. Common Coal Mine Effluent Standards (NPDES, 40 CFR 434)¹

40 CFR Part 434 governs coal mine discharges and is broken into various sub-categories. Each category has four types of effluent standards based on the industry’s ability to treat the associated effluent and the age of the facility.

Best Practicable Control Technology Currently Available (BPT): Effluent limitations guidelines representing the degree of effluent reduction attainable by the application of the best practicable control technology currently available.

Best Available Technology Economically Achievable (BAT): Effluent limitations guidelines representing the degree of effluent reduction attainable by application of the best available technology economically achievable.

Best Conventional Pollutant Control Technology (BCT): Effluent limitations guidelines representing the degree of effluent reduction attainable by the application of the best conventional pollutant control technology.

New Source Performance Standards (NSPS): Technology-based standards for facilities that qualify as new sources under 40 CFR 122.2 and 40 CFR 122.29. Standards consider that the new source facility has an opportunity to design operations to more effectively control pollutant discharges.

Table A-1.

BPT standards for coal preparation plants and associated areas all with effluent pH < 6.0 S. U. prior to treatment, and acid or ferruginous mine drainage from active mining areas including underground mines until the Surface Mining Control and Reclamation Act of 1977 (SMCRA) bond release

Pollutant or Pollutant Property	Maximum for any 1 day (mg/l)	Average of Daily Values for 30 Consecutive Days (mg/l)
Iron, total	7.0	3.5
Manganese, total	4.0	2.0
Total Suspended Solids (TSS)	70	35
pH	6 to 9 S. U. at all times	6 to 9 S. U. at all times

S. U. = Standard Units

¹ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Table A-2.

BPT standards for coal preparation plants and associated areas all with effluent pH > 6.0 S. U. prior to treatment, acid or ferruginous mine discharges from active mining areas, alkaline mine discharges from active mining areas including underground mines, and reclaimed underground mines with alkaline discharges

Pollutant or pollutant property	Maximum for any 1 day (mg/l)	Average of daily values for 30 consecutive days (mg/l)
Iron, total	7.0	3.5
TSS	70	35
pH	6 to 9 S. U. at all times	6 to 9 S. U. at all times

S. U. = Standard Units

Table A-3.

BPT standards for reclaimed areas until SMCRA bond release

Pollutant or pollutant property	Limitations
Settleable Solids	0.5 ml/l maximum not to be exceeded
pH	6 to 9 S. U. at all times

S. U. = Standard Units

Table A-4.

BPT standards for coal remining operations

Pollutant	Requirement
Iron, total	May not exceed baseline loadings
Manganese, total	May not exceed baseline loadings
Acidity, net	May not exceed baseline loadings
TSS	May not exceed baseline loadings

Table A-5.

BAT standards for coal preparation plants and associated areas all with effluent pH < 6.0 S. U. prior to treatment, acid or ferruginous mine discharges from active mining areas, and reclaimed underground mines with acid or ferruginous discharges

Pollutant or pollutant property	Maximum for any 1 day (mg/l)	Average of daily values for 30 consecutive days (mg/l)
Iron, total	7.0	3.5
Manganese, total	4.0	2.0

Table A-6.

BAT standards for coal preparation plants and associated areas all with effluent pH > 6.0 S. U. prior to treatment, alkaline mine discharges from active mining areas, and reclaimed underground mines

Pollutant or pollutant property	Maximum for any 1 day (mg/l)	Average of daily values for 30 consecutive days (mg/l)
Iron, total	7.0	3.5

**Table A-7.
BAT standards for mine drainage from reclaimed areas until SMCRA bond release**

Pollutant or pollutant property	Limitations
Settleable solids	0.5 ml/l maximum not to be exceeded

**Table A-8.
BAT standards for coal remining operations**

Pollutant	Requirement
Iron, total	May not exceed baseline loadings
Manganese, total	May not exceed baseline loadings
Acidity, net	May not exceed baseline loadings

**Table A-9.
BCT standards for coal remining operations**

Pollutant	Requirement
TSS	May not exceed baseline loadings

**Table A-10.
NSPS for coal preparation plants and associated areas all with effluent pH < 6.0 S. U. prior to treatment, and acid or ferruginous mine discharges from active and reclaimed underground mined areas**

Pollutant or pollutant property	Maximum for any 1 day	Average of daily values for 30 consecutive days
Iron, total	6.0	3.0
Manganese, total	4.0	2.0
TSS	70	35
pH	6 to 9 S. U. at all times	6 to 9 S. U. at all times

S. U. = Standard Units

**Table A-11.
NSPS for coal preparation plants and associated areas all with effluent pH > 6.0 S. U. prior to treatment, alkaline mine discharges from active mining areas, and reclaimed underground mined areas**

Pollutant or pollutant property	Maximum for any 1 day	Average of daily values for 30 consecutive days
Iron, total	6.0	3.0
TSS	70	35
pH	6 to 9 S. U. at all times	6 to 9 S. U. at all times

S. U. = Standard Units

Table A-12.
NSPS for reclaimed areas for all mines until SMCRA bond release

Pollutant or pollutant property	Limitations
Settleable Solids	0.5 ml/l maximum not to be exceeded
pH	6 to 9 S. U. at all times

S. U. = Standard Units

Table A-13.
NSPS for coal remining operations

Pollutant	Requirement
Iron, total	May not exceed baseline loadings
Manganese, total	May not exceed baseline loadings
Acidity, net	May not exceed baseline loadings
TSS	May not exceed baseline loadings

Figure A-1.
Alternative Storm Limitations for Acid and Ferruginous Mine Drainage

	Dry weather	1yr, 24 hr	2 yr, 24 hr	10 yr, 24 hr
Discharges from underground workings - not comingled	TSS, pH, Fe, Mn	(No Alternative Limitations)		
Discharges from underground workings - comingled		TSS, pH, Fe, Mn		pH
Controlled surface mine drainage		TSS, pH, Fe, Mn		pH
Non-controlled surface mine drainage (except steep slope and mountaintop removal)	TSS, pH, Fe, Mn	SS, pH, Fe	SS, pH	pH
Discharges from coal refuse disposal piles	TSS, pH, Fe, Mn	SS, pH, Fe		pH
Discharges from steep slope and mountaintop removal areas	TSS, pH, Fe, Mn	SS, pH, Fe		pH
Discharges from Preparation Plants and associated areas (excluding coal refuse piles)	TSS, pH, Fe, Mn	SS, pH, Fe		pH
Discharges from reclaimed areas		SS, pH		pH

Appendix B. Biological Assessment of Streams²

B.1 Introduction

Streams have long been used as a measuring stick to determine ecological health. Reasons behind this choice are due to the intimate connection streams have with wildlife, the landscape, and their role within surface and ground water systems. Aquatic bioassessments evaluate the condition of a water body using biological surveys and other direct measurements to the resident biota (Gibson et al., 1996). Bio-monitoring is the systematic use of biological responses to evaluate changes in the environment with the intent to use this information in a quality control program (Rosenberg and Resh, 1993). Stream bioassessments and biomonitoring programs are used throughout the world to evaluate and monitor stream health as well as degradation and/or recovery in response to disturbance. The most common group of organisms used for biological assessment is macroinvertebrates; however, assessment methods are available which incorporate fish and algae as well (Barbour et al., 1999).

B.2 Stream Bioassessment Methods

Throughout the U.S. streams have been given varying degrees of protection from direct and indirect impacts. Impacts can be temporary, such as non-permanent structures (e.g., access roads or sediment ponds that will be reclaimed) or these impacts can be permanent (e.g., significant stream subsidence, stream fills, or stream relocations). The mining of coal can impact streams both directly and indirectly. Mining can contribute indirectly by producing off-site impacts to streams via chemical contamination and directly by producing significant changes to the physical attributes of streams (Barbour et al., 1996; Pond et al., 2008).

Section 303(c) of the Clean Water Act (CWA) outlines the water quality standards program which includes the states' requirement to protect biological integrity. To accomplish this, many states have used the guidance and methods outlined in the U.S. Environmental Protection Agency's (EPA) Rapid Bioassessment Protocol (RBP) (Barbour et al., 1999) for their biological assessment program. The updated RBP is designed to be quick, affordable, understandable, and adaptable to regional differences in the physical and biological structure of streams. The RBP contains single habitat (riffle/run) and multihabitat approaches to sampling which includes surveys of stream biology (e.g., taxa richness, identification of sensitive and tolerant species, number of individuals, critical habitat elements, and observed pathologies) for the biological assessment of aquatic resource quality (Barbour et al., 1999; Gerritsen et al., 2000). Many states have also established numeric biocriteria defining a score that represents the expected biological community of a reference stream. The biocriteria that are used in these assessments are typically based on metrics.

² This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Metrics allow the investigator to use indicator attributes to assess the status of assemblages or communities in response to impacts. Each metric is a characteristic of the organism(s) that changes in a predictable way to disturbance. These relate to the abundance and types of aquatic organisms found in the streams, and the connections between certain groups of organisms.

Individual metrics are often combined to produce multi-metric indices (MMIs), which are single numerical characterizations of communities. MMIs combine metrics from different categories and are sensitive to a wider range of pollution and environmental stressors. MMIs can provide a more accurate indication of biological integrity than individual metrics by capturing a wider range of elements and processes. Metrics and MMIs are further described below.

B.2.1 Biocriteria

The fish, insects, algae, aquatic plants and other biota in a waterbody provide effective information about the condition of that waterbody because the aquatic biota is continuously exposed to the various stressors present (e.g., water quality, clarity, and temperature). Chemical measurements alone only provide information on the condition at the time of sampling, and cannot assess the mid- and long-term effects of habitat degradation. Biological information not only reflects current status but also provides a relevant way to evaluate changes in conditions over time and can help assess cumulative impacts (Barbour et al., 1999). Therefore, biological assessments have become common supplementary information to chemical and physical assessments of water quality.

Biocriteria provide benchmark measurements that describe the desired condition of a system and can serve as a direct comparison of the condition of the biota that lives in the observed aquatic systems to the desired condition. Biological assessment indices are developed as an aggregation of individual metrics that are the most informative and relevant to the ecology of the streams within the area of study or are the most sensitive to a particular stressor of interest. Numeric biocriteria scores may be used depending upon the region, and what questions are being asked within the assessment (Barbour et al., 1999).

Under the CWA, biocriteria are defined as numerical values or narrative statements that define a desired biological condition for a waterbody and are part of the water quality standards. Most state biocriteria were developed according to EPA guidance in the RBP.

According to the RBP, biocriteria development:

- Is developed using data collection at a range of reference sites (which represent the natural range of variation in “minimally” disturbed water chemistry, habitat, and biological conditions) and non-reference (or “test”) sites;
- Uses the classification of streams based on physical, chemical and biological attributes;
- Develops appropriate metrics (indicators) that best discriminate between reference and streams with identified anthropogenic stressors. Candidate metrics should be the most informative and relevant to the ecology of the streams within the ecoregion; and
- Establishes a threshold to differentiate between impaired and non-impaired streams (Barbour et al., 1999).

B.2.2 Metrics

Biocriteria are developed based on biological metrics, which generally fall into five categories; taxa richness, relative abundance, tolerance/intolerance, feeding group, and habit. The most valuable metrics are those that respond predictably to the environmental stressor(s) of interest. When developing biocriteria for stream monitoring programs most states have selected metrics that respond best to general perturbation or anthropogenic disturbance. However, metrics which respond well to specific stressors are also used to more closely examine and monitor a particular impact.

Taxa richness is the number of unique taxa in a standard sample and is a measure of diversity. High levels of diversity suggest that niche space, habitat, and food sources are adequate to support a diverse biological community (Barbour et al., 1999). Examples of taxa richness metrics include total species richness and the number of species found within the insect orders Ephemeroptera, Plecoptera, and Trichoptera (EPT), i.e., the number of mayfly, stonefly, and caddisfly species.

Relative abundance (or composition) metrics provide information on the relative contribution of the various taxa to the total community. For example, the dominance of pollution tolerant taxa (e.g., high value for the Percent Chiromidae metric), suggests stream impairment (Barbour et al., 1999). Other examples of relative abundance metrics include Percent Top Dominant Species and Percent Ephemeroptera.

Tolerance/intolerance metrics are intended to represent the sensitivity of the biological assemblage to disturbance and/or different stressors. Measurements include numbers of pollution tolerant and intolerant taxa and/or their percent abundance. Examples of tolerance metrics include percent intolerant taxa and the Hilsenhoff Biotic Index (HBI). The HBI is based on categorizing macroinvertebrates depending on their response to organic pollution. Macroinvertebrates have an assigned pollution tolerance value ranging from zero to ten (ten being the most tolerant reading). The HBI is calculated as the total sum of the number of specimens in each taxonomic group (n_i) multiplied by its pollution tolerance score (a_i), divided by the total number of organisms in the sample (N): $HBI = \sum n_i a_i / N$. Although the HBI is calibrated for organic pollution, by adjusting tolerance values it may be adapted to examine biological responses to other stressors such as elevated conductivity and sedimentation (Hilsenhoff, 1987).

Feeding group measures (or trophic dynamic metrics) provide information on the balance of feeding strategies and mechanisms that a macroinvertebrate uses to acquire food (Merritt and Cummins, 1996). Scrapers (e.g., scraping algae from hard surfaces), shredders (e.g., feeding on leaf litter falling into a stream), collectors (e.g., filter feeders and collectors), and predators (e.g., hunters) are common feeding strategies in benthic environments. Stressors that cause instability in food dynamics will cause an alteration in the composition of functional feeding groups from the least disturbed or reference condition (Barbour et al., 1999).

Metrics related to habit (or modes of existence) evaluate the composition of morphological adaptations that allow organisms to attach, move, and/or conceal themselves in their environment (Merritt and Cummins, 1996). Changes in habit metrics can indicate changes in available habitat niches. For example, an increase in the Percent Herptobenthos (i.e., organisms adapted to living in soft substrates such as sand or mud) metric and decrease in Percent Haptobenthos (i.e., organisms adapted to living on

hard substrates such as cobble) metric is an expected response to a stream receiving increasing inputs of excessive sedimentation.

A list of commonly used macroinvertebrate metrics is provided in Table B-1 below.

**Table B-1.
Commonly Used Macroinvertebrate Metrics**

Category	Metric	Explanation	Expected Response to Perturbation
Richness Measures	Taxa Richness	Number of macroinvertebrate families	-
	EPT Index	Number of mayfly (Ephemeroptera), stonefly (Plecoptera), and caddisfly (Trichoptera) families	-
	Number of Ephemeroptera (mayfly) Taxa	Number of mayfly families	-
Abundance Measures	Percent EPT	Percent abundance of mayfly nymphs, stonefly nymphs, and caddisfly larvae and pupae.	-
	Percent Dominant Taxon	Percent abundance of the single most abundant taxon	+
	Percent Five Dominant Taxa	Percent abundance of the five most abundant taxa combined	+
	Percent Chironomidae	Percent abundance of larvae and pupae in the non-biting midge family Chironomidae	+
	Simpson Diversity Index	Integrates richness and evenness into a measure of general diversity $\lambda = 1 - \sum_{k=1}^S P_k^2$ Where: S = number of taxa P _k = proportion of individuals in taxa k	-
	HBI (Hilsenhoff Biotic Index)	Weighted sum of the total taxa by pollution tolerance $HBI = \sum \frac{x_i t_i}{n}$ Where: x _i = number of individuals within a taxon t _i = tolerance value of a taxon n = total number of organisms in the sample	+
Tolerance Measures	Percent Intolerant	Percent abundance of macroinvertebrates with tolerance values of three or less	-
	Percent Tolerant	Percent abundance of macroinvertebrates with tolerance values of seven or higher	+
	Number of Intolerant Taxa	Number of macroinvertebrate families, genera, species, or combination of these, with tolerance values of three or less	-
	Number of Tolerant Taxa	Number of macroinvertebrate families, genera, species, or combination of these, with tolerance values of seven or higher	+

Habitat Measures	Percent Haptobenthos	Percent abundance of macroinvertebrates requiring clean, coarse, firm substrates (assigned habitat of clinger or crawler).	-
	Percent Herptobenthos	Percent abundance of macroinvertebrates adapted to living in or on fine, soft substrate or substrate covered with thick, slippery films of algae, bacteria, or fungi (assigned habitat of sprawler or burrower).	+
Trophic (feeding group) Measures	Percent Scrapers	Percent abundance of macroinvertebrates scraping and feeding upon periphyton	-

B.2.3 Development of Multi-metric Indices and Bioassessment Protocols

Metrics can be reviewed either independently or as multi-metric indices (MMIs). Several state water quality programs have developed numeric biocriteria and threshold standards for impairment based on a MMI calibrated and verified for their region(s). Examples of state MMIs include the West Virginia’s Stream Condition Index (WVSCI) and Ohio’s Invertebrate Community Index (OHICI) (Gerritsen et al., 2000; WVDEP, 2010; OHEPA, 1989; OHEPA, 2013).

Though the details differ, most state water quality programs use calibrated MMIs to establish biocriteria and meet the requirements of the CWA to monitor and protect the biological integrity of its waters. Calibrated indices require strict adherence to designated protocol. Deviating from a specified bioassessment protocol can impact the results greatly and invalidate the resulting data. Accurate application of an index is typically limited by both a specific sampling season and a specific region (e.g., state, ecoregion, or watershed). Adhering to the correct collection method is also important. The WVSCI protocol requires semi-quantitative sampling of riffle habitat using a dip net. In comparison, the OHICI uses quantitative sampling by collecting macroinvertebrates via Hester-Dendy multiple-plate artificial substrate samplers submerged in the run of a target stream for minimum of six weeks (Gerritsen et al., 2000; WVDEP, 2010; OHEPA, 1989; OHEPA, 2013).

Most state CWA Section 303(c) biomonitoring programs use regional reference sites to establish biocriteria for their state’s streams. Regional reference data are collected from a population of relatively unimpaired sites within a relatively homogeneous region. The advantages of using regional reference sites for biomonitoring include: broad comparability and extrapolation of measurements; use of a large dataset provides an accurate estimate of variance; and once established, the reference sites should not require continuous sampling. However, establishment of a regional reference standard requires a substantial short-term effort and the measurements may prove too broad to adequately address specific questions about the biological integrity of a particular location. Many state programs and independent researchers often supplement regional reference data with a site-specific reference. A site-specific reference is typically a location upstream of a pollution point source or a nearby “paired” watershed that is not subjected to the point source. If properly selected, the general ecology (minus the source of impairment) of the two sites should be nearly identical, thereby strengthening conclusions about cause and effect. However, the data collected is very site specific and requires continuous sampling of the reference location(s). Additionally, studies employing site-specific reference locations typically have few replicates, so estimates of variance may prove less accurate than necessary (Barbour et al., 1999).

The required sample size and taxonomic precision (i.e., family vs. genus level assessments) varies widely between protocols. Many protocols may use subsampling methods to achieve a roughly standardized sample size and/or assist with making the field collected samples smaller and more manageable for sorting and identification. The WVSCI requires a subsample of 200 individuals identified to family level taxonomy. In comparison, the OHICI protocol requires identification of the entire field collected sample (i.e., no subsampling) to genus level taxonomy. Identification to family level requires less time, less training, is less prone to misidentifications, and produces data with lower variance often making statistical analyses more revealing. Genus level identification requires specialized training, additional equipment, and more time, but provides increased sensitivity to detecting impaired biological conditions and the causes of impairment (Pond et al., 2008; Bailey et al., 2001).

Each MMI is composed of several individual metrics from several categories standardized into a single score designed to represent the condition of the sampled stream community. For example, the WVSCI is composed of six family-level macroinvertebrate metrics from four categories (i.e., Total Taxa, EPT Taxa, Percent EPT, Percent Chironomidae, Percent Top Two Dominant Taxa, and HBI). These six metrics were chosen from a selection of 24 candidate metrics based on their efficiency to discern between known reference sites and known impaired sites. Each metric is converted to a standardized score of 0 (most impacted) to 100 (least impacted). The six scores are then averaged to commute a final single multi-metric index score. Scores greater than 78 are considered highly comparable to reference streams whereas a score of 68 has been established as the threshold for impairment. However, to allow the highest degree of confidence a threshold of 60.6 is used for the purposes of identifying biological impairment within West Virginia's 303(d) list (Gerritsen et al., 2000; WVDEP, 2010).

Bioassessment and biomonitoring methods provide a holistic approach to gauge and monitor the conditions of a stream. A stream's biological community reflects the ecological integrity of the stream and its surrounding watershed. Biological communities integrate the effects of multiple stressors to provide an aggregate measurement of their impact. When properly used, biomonitoring methods can assist stream restoration and reconstruction projects by insuring the re-establishment of the stream's ecological integrity (i.e., the chemical, physical, and biological integrity).

Appendix C. Aquatic Systems In Coal Mining Regions³

C.1 Lotic (Flowing) Aquatic Systems

Lotic or flowing aquatic systems are common landscape features in areas where coal mining is conducted. Lotic systems include creeks, springs, streams, rivers, etc. This section will discuss the various lotic systems and their features and functions within the study area. The descriptions provided here in this section are based on the generally accepted physical and ecological characteristics that define these systems; these definitions will not necessarily be identical to the regulatory definitions used in SMCRA the CWA or elsewhere.

C.1.1 Physical Characteristics

Various physical factors such as stream gradient, light, precipitation, flow volume, substrate, and water chemistry influence the biota of lotic systems (Allan and Castillo, 2007). These physical factors are determined by relief of the landscape, climate, lithology, elevation, and land use in the area within a particular segment of stream.

C.1.2 Stream Classification

Stream ordering has been a traditional method of classifying streams (Strahler, 1957). This classification system uses the size and position of a stream within a drainage network to assign a particular order. A first-order stream does not have tributaries. A confluence of two streams of the same order promotes the system to the next stream order. For example, the union of two first-order streams produces a second-order stream; a joining of two second-order streams creates a third-order stream, and so on. There is no formal definition of a *headwater stream*, but it is often referred as a first- to third-order stream that occurs at the top of a watershed (e.g., U.S. EPA et al., 2003; Levick et al., 2008). Many headwater streams do not show up on 1:24,000 topographic maps published by the U.S. Geological Survey. Cartographers have difficulty seeing and interpreting the character of small streams on aerial photos, especially in forested areas. In addition, cartographers have used different methods and relied on aerial photos of varying quality to determine these first and second order streams. (Colson, et al, 2008). Headwater streams may be perennial, intermittent, or ephemeral (Nadeau and Rains, 2007; Levick et al., 2008).

C.1.3 Ephemeral and Intermittent Streams

A generally accepted way to define an ephemeral stream is as stream or reach of a stream that flows only during and shortly after discrete precipitation events or in response to the melting of snow and ice. The

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channel bottom is always above the local water table; thus, groundwater is not a source of streamflow in an ephemeral stream. An ephemeral stream typically lacks the biological, hydrological, and physical characteristics commonly associated with the continuous or seasonal conveyance of water; organisms with very short or aestivating aquatic life stages may be present.

Intermittent streams and intermittent stream reaches are below the local water table for part of the year and obtains their flow from both surface runoff and groundwater discharge. An intermittent stream possesses the biological, hydrological, and physical characteristics commonly associated with the seasonal conveyance of water. The biological communities of intermittent streams include species that are aquatic during a part of their life cycle, are capable of diapause or other dormancy periods, or move to perennial water sources in dry conditions.

Often, ephemeral and intermittent streams serve as the headwaters and tributaries for many higher-order streams, but their location and the amount of flow that occurs within them varies among precipitation events (Levick et al., 2008). In addition, ephemeral streams have poorly developed banks or lack them, whereas intermittent streams tend to have moderately developed banks. Literature that discusses the ecological functions of ephemeral and intermittent streams is limited but state that ephemeral and intermittent streams move water, nutrients, sediment, and debris downstream, collect and store water, and provide connectivity within watersheds (Levick et al., 2008; Bernhardt and Palmer, 2011). Ephemeral and intermittent streams also provide habitat for a variety of flora and fauna (Molles, 2005). Many organisms found in ephemeral and intermittent streams live in the streambed substrate, even when surface water is not running (Boulton et al., 1998).

Levick et al. (2008) discussed the functions of ephemeral and intermittent streams:

Ephemeral and intermittent streams are responsible for a large portion of basin groundwater recharge in arid and semi-arid regions through channel infiltration and transmission losses. These stream systems contribute to the biogeochemical functions of the watershed by storing, cycling, transforming, and transporting elements and compounds. Ephemeral and intermittent streams support a wide diversity of plant species, and serve as seed banks for these species. Because vegetation is more dense than in surrounding uplands, ephemeral and intermittent streams provide habitat, migration pathways, stop-over places, breeding locations, nesting sites, food, cover, water, and resting areas for mammals, birds, invertebrates, fish, reptiles and amphibians. In arid and semi-arid regions, the variability of the hydrological regime is the key determinant of both plant community structure in time and space and the types of plants and wildlife present.

C.1.4 Perennial Streams

A perennial stream is a stream or reach of a stream that flows continuously during the entire calendar year as a result of groundwater discharge or surface runoff. A perennial stream exhibits biological, hydrological, and physical characteristics commonly associated with the continuous conveyance of water. The biological communities of perennial streams support aquatic organisms year-round and may support major fisheries. The term does not include any stream or reach of a stream that meets the definition of an intermittent stream or an ephemeral stream. Perennial streams maintain continuous flow by groundwater discharge (baseflow) to the streambed. Flow in first- and second-order perennial streams is relatively low

compared to higher order perennial streams. The starting points of perennial streams may fluctuate due to annual precipitation fluctuations. In years with drought, seemingly perennial reaches of a stream can be separated by ephemeral or intermittent segments of flow because of differences in geographic composition along the stream.

C.1.5 Higher-order Streams/Rivers

Higher-order streams tend to be perennial streams classified as fourth-order and above. The U.S. Environmental Protection Agency (EPA) describes fourth-order streams to sixth-order streams as mid-sized, and seventh-order streams and above as larger streams or rivers (U.S. EPA et al., 2003). Nevertheless, higher order streams perform the same critical hydrologic functions as lower order streams: they move water, sediment, nutrients, and debris and provide connectivity within the watershed (Levick et al., 2008).

C.1.6 Habitats in Streams

One of the most influential factors determining the habitat and biota of streams is stream gradient. Stream velocity is directly controlled by gradient and discharge, which also, in part, influences: the types of substrate that occur on the streambed; dissolved oxygen levels in water; and water and terrestrial temperatures. Streams can be divided vertically into three zones: the surface, the water column, and the benthic zone (Molles, 2005). The benthic zone includes the bottom substrates and the depths at which a significant amount of surface water still flows, i.e. the river bed. Below the benthic zone, a transitional area between surface water flow and groundwater flow exists; this is called the hyporheic zone. The area below the hyporheic zone where groundwater flows is called the phreatic zone; during periods of no visible streamflow, interstitial water flows through the material below the stream into the hyporheic zone (U.S. EPA et al., 2003; Molles, 2005). Levic et al. 2003 states, “during hyporheic flow, stream water and groundwater mix in the beds and banks of ephemeral, intermittent, and perennial streams and sometimes in regions surrounding stream channels.”

The interstitial spaces among sediment particles in the hyporheic zones of streams are occupied by a diverse array of aquatic invertebrates including crustaceans, flatworms, rotifers, aquatic mites, and larval and juvenile stages of insects (Boulton et al., 1998). Stream alluvium is often looser than the soils or the colluvium of surrounding uplands, which enhances the potential for exploitation by specialized burrowing species (Levick et al., 2008). For example, some macroinvertebrates burrow into the hyporheic zone to continue their life cycles during times of drought (U.S. EPA et al., 2003). Boulton et al. (1998) noted that species of surface invertebrates have been documented to use the hyporheic zone as refugia from floods, droughts, predation, and deterioration of water quality. Some macroinvertebrates are specialized to live solely within the hyporheic zones of streams (Hynes, 1970). Biofilms that accumulate organisms and organic materials on the surface of bottom-substrates are an important source of food for the organisms in the hyporheic zones. Hyporheic organisms also are important in that they break down detritus trapped in the sediment and serve as important links in the food chain (Boulton et al., 1998).

In hyporheic zones, there is substantial biogeochemical cycling of nutrients and trace elements that are essential to aquatic life (Valett et al., 1994; Boulton et al., 1998; Hibbs, 2008; Levick et al., 2008). Boulton et al. (1998) noted that in streams where the flowing water exchanges with the hypoheic zone, nutrient exchange between the zones can promote high levels of productivity. Upwelling of water in desert streams can promote algal growth, thus promoting the uptake of nitrogen (Grimm, 1987).

Ephemeral and intermittent stream channels provide important habitat because they commonly have a higher moisture content and more abundant vegetation than the surrounding areas. In some areas, these streams may have perennial segments or permanent pools, thus retaining the only available water within a catchment area (Levick et al., 2008). These isolated perennial waters can support life not found in an otherwise ephemeral system.

Streams can be divided into the following general characteristics: pools, riffles, runs, and rapids. Pools are depositional areas where flow is slow or stagnant, allowing finer particulate matter to settle onto the stream bottom. Riffles often occur in higher gradient habitats where relatively shallow surface water flows over coarser substrate, creating turbulence within the water column and disturbance on the surface of the water. This increases levels of dissolved oxygen by encouraging the mixing of oxygen in the air with the flowing water. Runs are moderately fast sections of streams where the water surface is not as turbulent as riffles. Rapids are characterized by steep gradients, high water velocity, and turbulence over substrate resistant to erosion. Headwater streams typically consist of alternating riffles and runs; small depositional pools may be present and represent an important microhabitat. Mid-sized and larger rivers typically contain all four features because increased width, depth, and length allow for more variation in flow.

Overhanging vegetation, submerged and floating leaf packs, in-stream vegetation, large woody debris, undercut banks, and exposed tree roots all contribute to the habitat diversity for macroinvertebrates, amphibians, reptiles, mammals, and fish (U.S. EPA et al., 2003; Allan and Castillo, 2007). Levick et al. (2008) noted that ephemeral and intermittent stream channels provide important wildlife movement corridors in arid and semi-arid regions because they contain continuous chains of vegetation that wildlife can use for cover and food. Stream bank and buffer zone material provides shelter for numerous species of wildlife, including reptiles, amphibians, birds, mammals and invertebrates (Levick et al., 2008). Stream features such as littoral areas (zones close to the shore where light may penetrate to the streambed) provide cover and nursery habitat for macroinvertebrates and fish, as well as provide feeding areas for wildlife; these features exist most prominently in depositional systems such as larger-order rivers (U.S. EPA et al., 2003).

Wetlands and riparian zones are transitions between terrestrial and aquatic habitats, and occur along streams and lentic systems (U.S. EPA et al., 2003). Wetlands and riparian zones are used by some stream biota during periods of elevated flow. The Army Corps of Engineers (USACE, 1987) define wetlands as:

Areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.

Riparian wetlands can typically be found on floodplains along higher order streams. Typical steep geomorphology of headwater streams usually prohibits the formation of a floodplain, so wetlands are usually restricted to small depression areas (U.S. EPA et al., 2003). As stream gradient decreases, the presence of wetlands usually increases. Wetlands associated with streams are forested wetlands, emergent marshes, wet meadows, and small ponds; they all function as habitat for aquatic flora and fauna and other terrestrial wildlife. The unique characteristics and vegetative composition of wetlands provide habitat for a variety of organisms, including amphibians, migratory birds, and smaller organisms such as macroinvertebrates.

C.1.7 Ecological Functions

The ecological functioning of streams is interconnected to the land immediately adjacent to the stream—the riparian buffer zone. Riparian buffer zones provide a number of functions including: sediment control from upland areas; stream-bank stabilization; nutrient addition and extraction; wildlife habitat; temperature moderation; and flood control.

C.1.7.1 Sediment Control from Upland Areas

Natural and anthropogenic erosion from upland areas contributes to sediment in surface water runoff. Generally, as this runoff passes through the riparian buffer zone, increased friction with vegetation and organic litter slows its velocity, thereby allowing increased water infiltration into the soil, larger sediment particles to settle, and an increase in the adhesion of finer clay-like particles to the riparian vegetation and litter. The efficacy to trap sediment is dependent upon many factors, including the: size distribution of incoming sediments; water depth relative to vegetation height; vegetation type; slope; width; and flow characteristics. A more detailed discussion of these factors follows.

As the velocity of runoff entering a riparian buffer zone slows, coarse particles falling from suspension are deposited in the first few feet of the riparian zone, so long as sheet flow is maintained and channelization is avoided. Finer particles are carried further into the riparian zone. While rapid deposition is beneficial in the short term, it may ultimately render the riparian buffer zone ineffective if the sediment buries the riparian vegetation or if a natural barrier forms at the upland area-riparian zone interface. In these situations, channelized flow, as opposed to sheet wash flow, would likely occur and would considerably reduce the efficiency to trap sediment. A riparian buffer zone of a sufficient width is necessary to slow the water velocity enough to allow fine sediment deposition.

More sediment is deposited in the riparian buffer zone when water depths are lower than the height of the riparian buffer zone vegetation. For example, a study of the Black Creek in Indiana found that when surface water flow was lower than grass height, as much as 54 percent reduction in sediment loads were recorded, but when vegetation is clipped to below the surface water level, filtering efficiency ultimately declines to zero (Karr and Schlosser, 1978). In other studies, the interaction between groundwater level and vegetation height seemed to be more complex, with vegetation height, soil type, and type of sediment being significant factors of sediment filtration from shallow flow (e.g., Pearce et al., 1998).

Natural forest buffers are also effective in removing sediments, but, in general when comparing riparian buffer zones of same width, grass filters (and other dense herbaceous vegetation) are more effective in sediment removal than woody vegetation (Neibling and Alberts, 1979; Young et al., 1980; Osborne and Kovacic, 1993; Parsons et al., 1994; Gilliam et al., 1997). Still, the efficiency of forested buffers to control sediment is high. Cooper et al. (1987) found a forested buffer removed 84 to 90 percent of the sediment from cropland runoff. Also, Lowrance et al. (1995) reported similar trapping efficiencies (80 to 90 percent) in forested buffer zones in a Coastal Plain.

Efficiency in trapping sediments is generally greater on gentle slopes than steeper slopes (Karr and Schlosser, 1978; Peterjohn and Correll, 1984; Jordan et al., 1993; and Dillaha and Inamdar, 1997). Steeper topography promotes greater velocities of overland flow, increasing the ability of the flow to transport higher concentrations of sediment and reducing water infiltration time into the ground. Gentle slopes generally have more uniform cover characteristics than steeper slopes, and consequently overland

flow on steeper slopes tends to concentrate and form channels whereas gentle slopes tend to create sheet flow. These factors may contribute to less sediment trapping efficiency on steeper slopes. Some researchers believe that certain slopes are too steep to be effective sediment traps; however, there is no consensus on this critical angle, which is thought to generally range from ten to 40 percent (McNaught et al., 2003). After an extensive review of the literature, Wenger (1999) suggested that the critical angle for an effective buffer was 25 percent.

Early research by the EPA on environmental protection in surface coal mining (Grim and Hill, 1974) suggested a minimum riparian buffer zone width of 100 feet to efficiently trap most of the sediment from an upland area, although the researchers conceded that the required width varies with steepness and length of the outslope between the toe and the drainage channel. More recently, researchers for the Chesapeake Bay Program suggested that as long as sheet wash flow is maintained, a buffer width of 50 to 100 feet is adequate for the removal of sediment (Palone and Todd, 1998). Peterjohn and Correll (1984) studied the effectiveness of a 164-foot riparian zone with a five percent slope in the Mid-Atlantic Coastal Plain and found 94 percent efficiency in sediment removal but also found 90 percent of the sediment was removed in the first 62 feet. Based on research in the 1950s by the U.S. Forest Service in the White Mountains in New Hampshire (Trimble and Sartz, 1957), a simple formula, which included adjustment for slope, was developed as a means to establish a sediment buffer between forest roads and streams:

25 feet + 2.0 feet (slope percent).

Work by Swift (1986) in Nantahala National Forest in North Carolina suggested that slope distance should be adjusted using the following formula:

43 feet + 1.39 feet (slope percent).

Swift also suggested that if a brush barrier was present the formula should be further adjusted to the following:

32 feet + 0.40 feet (slope percent).

After a review of numerous studies and recognizing that vegetated buffer zones as narrow as 15 feet were found to efficiently trap sediment, Wenger (1999) stated that a 100 foot buffer zone is generally adequate for the removal of sediment.

Buffers are most effective when uniform sheet flow through the buffer zone is maintained. Dillaha et al. (1988) studied the efficiency of orchardgrass (*Dactylis glomerata*) plots for controlling sediment and nutrients from feedlots on slopes of 11 to 16 percent. They found that in plots with uniform sheet flow, 81 to 91 percent of sediment and soluble solids were effectively trapped, but the efficiency was much less where concentrated (channel) flow occurred. Channelization of surface runoff is a natural process and has a tendency to occur with increased precipitation, reduced infiltration, lack of or reduced ground cover, increased slope, and distance. Once flow becomes channelized, the ability to trap sediment is significantly reduced (Karr and Schlosser, 1978; Dillaha et al., 1989; Osborne and Kovacic, 1993; Daniels and Gilliam, 1996).

Channelized flow reduces the efficiency of vegetation and litter to slow the runoff velocity to promote suspended particles to settle. It also reduces the time for surface flow to infiltrate into the buffer zone,

hindering the filtering of very fine particles. Daniels and Gilliam (1996) reported that ephemeral channels are ineffective sediment traps during high-flow. Lowrance et al. (1995) concluded that buffer zones are most effective in trapping sediment in ephemeral and headwater streams because there is a greater proportion of surface runoff that enters the buffer zone as shallow sheet wash.

C.1.7.2 Stream Bank Stabilization

Another potential source of sediment is from the stream bank. A study by Grissinger et al. (1991) found that more than 80 percent of the total sediment yield for a stream in northern Mississippi originates from channel erosion. Rabeni and Smale (1995), Cooper et al. (1993), and Lowrance et al. (1985) also found that stream channels can be a significant source of sediment.

One of the most important roles of riparian buffer zones is to stabilize stream banks. Beeson and Doyle (1995) found that non-vegetated banks were more than 30 times as likely to suffer severe erosion as fully vegetated banks. Barling and Moore (1994) note that buffers can prevent the formation of rills and gullies in riparian areas that are otherwise highly susceptible to erosion. Vegetation in the riparian area exerts a strong control over the condition and stability of the stream and its banks (Palone and Todd, 1998). In the eastern U.S. trees often define the physical characteristics of stream channels. Trees anchor stream bank soils through dense root masses, and large roots provide physical resistance to water flow. Woody debris anchors channel substrate and determines bar formation, stores large amounts of streambed sediment and gravel, helps control sinuosity, and provides channel structure through pool/riffle or step formation. Until recently, the value of large woody debris was misunderstood and much was removed throughout the country. It is likely that the direct effect of buffer width on this function is limited. Only vegetation within 25 feet of the stream channel would provide a powerful role in stabilization. However, increasing buffer width would indirectly enhance stream stability by providing additional protection during extreme flood events, channel migration, and as a physical barrier to human impact (Palone and Todd, 1998).

To be effective, bank vegetation should have a good, deep root structure which holds soil (Wenger, 1999). Shields et al. (1995) tested different configurations of vegetation and structural controls in stabilizing banks. They found that native woody species, especially willow, are best adapted to re-colonizing and stabilizing banks. Wenger (1999) noted that the persistent exotic vine kudzu is likely the most serious barrier to vegetation restoration because it can out-compete native vegetation, although kudzu can provide some stabilization via its root structure. Artificial methods of stream bank stabilization, such as applying riprap or encasing the channel in cement, are effective in reducing bank erosion on site but could increase erosion downstream and have negative impacts on other stream functions. Artificially stabilized banks lack the habitat benefits of forested banks and are expensive to build and maintain (Wenger, 1999).

Relatively narrow vegetative buffers are effective in the short term (USACE, 1991). As long as banks are stabilized and damaging activities are kept away from the channel, width of the riparian buffer zone would not appear as a major factor in preventing bank erosion. However, it is important to recognize that some erosion is inevitable and stream channels would migrate laterally; therefore, a buffer zone wide enough to permit channel migration is recommended (Wenger, 1999).

C.1.7.3 Nutrient Removal

Riparian buffer zones may also perform the function of removing nutrients, such as nitrates and phosphates, which would otherwise enter streams, rivers, and lakes. Excessive nutrient loads imbalance

natural aquatic systems and can produce algal blooms and conditions with little or no oxygen dissolved in the water, leading to fish kills. Removing nutrients is especially important on mine reclamation, agricultural lands, and urban settings where fertilizer is used. In addition, the buffer zones may also help reduce sulfate (Correll and Weller, 1989; Jordan et al., 1993), which is often associated as a pollutant when coal or overburden contains pyrite.

Nutrients may be in suspension or dissolved in water. In suspension, nutrients are often affixed to sediment. As previously discussed, riparian buffer zones are effective in reducing the amount of particulate matter that enters a stream, so these same processes would apply when speaking about the amelioration of nutrients. In a dissolved form, nutrients enter the buffer zone in surface water and/or groundwater. Riparian buffer zones effectively remove nutrients in the dissolved form, but there is no consensus on which mechanisms are most responsible. The mechanisms most often mentioned include: denitrification (microbial reduction of nitrate to nitrogen gas); assimilation and retention by the vegetation; and transformation to ammonium and organic nitrogen followed by retention in the soils of the riparian buffer zones. Few studies have accurately measured the amount of nitrate removed by any of these mechanisms at a given site and no study has measured the removal rate by all of three mechanisms (Correll, 1997). Denitrification is most often invoked as the primary mechanism of nitrate removal; however, the extreme spatial and temporal variability of denitrification rates in riparian buffer zones make it very difficult to determine accurate fluxes (Correll, 1991; Weller et al., 1994). Phosphates are not effectively removed by this process because of the lack of an analogous microbial activity (Lowrance et al., 1997).

Some studies conclude that assimilation by the vegetation is the primary mechanism of nitrate removal (e.g., Fail et al., 1986); this mechanism would also account for the uptake of phosphorus. Studies have shown that the total amount of nitrogen in the biomass only accounts for 30 percent of the nitrate removal (Peterjohn and Correll, 1984; Correll and Weller, 1989). Correll (1997) suggests that the assimilation by vegetation and recycling to the forest floor as litter is important in unraveling the primary mechanism of nitrate removal. This flux of organic nitrogen delivered to the forest floor as litter could be gradually mineralized and denitrified at the soil surface. While vegetation may be very important in explaining nutrient removal within the riparian buffer zone, nutrient removal continues in the winter at sites where hardwood deciduous forests are dormant (Correll, 1997).

Some scientists believe that nitrate removal is accomplished by chemical rather than biological denitrification (Mariotti et al., 1988). The below ground conditions in riparian buffer zones are often anaerobic or of low oxidation/reduction potential (Eh) for portions of the year. The below-ground processes that result in this low Eh are composed of a series of biogeochemical reactions that occur in a defined order (Billen, 1976). These reactions transfer electrons from organic matter, released from the plants, to various terminal electron acceptors. The availability of terminal electron acceptors determines which level in the series would dominate below-ground processes at any one time and place in the riparian zone. Some of the more commonly important reactions are manganate ion reduction, denitrification, ferric iron reduction, sulfate reduction, and methanogenesis. None of these reactions can take place in the presence of molecular oxygen. Despite the relative ease of measuring soil Eh, few studies have reported this critical parameter (Correll, 1997).

Nutrients, especially phosphorus, are likely in solid form and are subjected to the same processes and limitations as other suspended solids. The long-term efficacy of riparian buffer zones to trap phosphorus is highly questionable. Whereas nitrate can be denitrified and released to the atmosphere, phosphorus is taken up by vegetation, adsorbed into the soil or organic matter, precipitated with metals, or released into the stream or groundwater (Lowrance, 1998).

The effectiveness of the riparian buffer zone to trap dissolved nutrients is highly dependent on the hydrology, soils, and vegetation. To illustrate, the volume and pathway of the groundwater passing through the riparian buffer zone would influence its ability to effectively retain nutrients. If the local groundwater passes beneath the riparian buffer zone or the whole system is at too great a depth, the riparian zone and groundwater cannot interact to trap nutrients (Correll, 1997). In diverse topography, in gentle slope areas and broad alluvial floodplains, the depth of groundwater is near the surface where nutrient trapping can be accomplished, but, in steep terrain, the water table in the riparian zone typically is much deeper. In the latter case, the interaction between the saturated zone and the root zone is quite small (Lowrance et al., 1995).

Along with hydrology, soil characteristics are important in determining the potential for removal of nitrogen and pollutants (e.g., phosphorus, pesticides) carried by sediment. Primary considerations are soil texture, depth to water table, microbial activity, and organic matter content. Moderate- to well-drained soils have the greatest permeability and intercept large amounts of water that may enter the buffer zone as surface flow, thus promoting deposition of sediment and related pollutants. Conversely, moderate- to fine-textured soils have superior potential to create conditions favorable for extensive denitrification (Palone and Todd, 1998). Soil microorganisms have the capacity to process nitrate at high concentrations. Riparian buffer zones support a variety of microbial degradation mechanisms, though the specific conditions that promote them are not well understood. Dissolved organic carbon promotes denitrification, and many soils are carbon limited or become carbon limited at high nitrate levels (Wenger, 1999).

Both grass and forested riparian buffer zones are effective at reducing nutrients but there is very little agreement among researchers regarding which is more effective. In situations where groundwater flow is relatively deep, trees would appear to be more effective because their roots would be more likely to penetrate into the zone of lateral groundwater flow.

C.1.7.4 Nutrient Supply

Leaf litter is the base food source in most stream ecosystems and streamside trees are critical in establishing this for the aquatic food web. Leaf litter and other organic matter from riparian forests, including terrestrial invertebrates that drop into the water, are an important source of food and energy to stream systems (Wenger, 1999). Small fish, some amphibians, and most aquatic insects rely primarily on leaf detritus (dead leaf material) from trees as food. Studies have shown that when streamside trees are removed, many aquatic insects decline or even disappear, and with them, the native fish, birds, and other species that may depend on them. Some insects are adapted to specific plant species and are unable to reproduce or even survive when fed the leaves from non-native or exotic species (Palone and Todd, 1998).

C.1.7.5 Flood Control

Palone and Todd (1998) provide a good analysis on this topic. Stream corridors and natural forest vegetation help to reduce the downstream effects of floods by dissipating stream energy, temporarily storing flood waters, and helping to remove sediment loads through their incorporation into the flood plain. A vegetated buffer that resists channelization is effective in decreasing the rate of flow, and in turn, increases infiltration. Forests provide as much as 40 times the water storage of a cropped field and 15 times that of grass turf. These increases in storage are largely due to the forest's ability to: capture rainfall on the vast surface area of the leaves, stems, and branches; the porosity and water holding capacity of organic material stored on the forest floor and in the soil; and the greater transpiration rates common to the community of forest vegetation. Increasing width to incorporate the flood plain also increases the potential efficiency of water storage from upstream flow during storm events. Providing flood storage buffers where possible along smaller streams in a watershed may provide a valuable approach to downstream flood reduction. However, once the entire flood plain is included within the buffer area, the effect of buffer width on flood peak reductions is negligible (Palone and Todd, 1998).

C.1.8 Headwater Streams

Headwater streams can vary in appearance, composition, and biota given their geographical location and position on the landscape. In most cases, headwater streams originate at high elevations and usually consist of alternating riffles and runs through small depositional pools. Boulders, cobble, rubble, and bedrock comprise the larger riffle substrates of headwater streams. The substrate of the small pools of headwater streams is usually finer sediment. Large, woody debris commonly contributes to the substrate complexity in headwater streams. The combination of substrate characteristics, varying flow rates, and other flow characteristics, such as hydrologic cycles, flow patterns, load transport and storage, produces the riffles, runs, and pools than can be found in the channels of headwater streams (U.S. EPA et al., 2003).

Headwater streams are generally shaded by riparian vegetation, and in some cases this vegetation may be so thick that the cover prohibits photosynthesis by aquatic primary producers (Molles, 2005). The extent of shading progressively decreases downstream as stream width increases (Molles, 2005). Data from Stout and Wallace (2005) found that biological communities in the study area's streams were present as soon as there was flowing water. Although intermittent headwater streams tend to go dry for a portion of the year, macroinvertebrate life can exist within their channels. In a study of intermittent and perennial streams in Alabama, macroinvertebrate assemblages of normally intermittent streams did not differ greatly from those of nearby permanent or perennial streams (Feminella, 1996).

C.1.8.1 Function of Headwater Streams

Headwater streams serve numerous ecological functions including attenuating floods, maintaining water supplies, and improving water quality (Levick et al., 2008). A primary function of headwater streams is to ensure continuous flow of water to downstream ecosystems. The water level in headwater streams is often higher than the water table which allows water to flow through the channel bed and banks into the soil and groundwater (Levick et al., 2008). During periods of low to no precipitation (e.g., drought), the flows of some downstream reaches of headwater streams are supported by water flowing from the soil and groundwater through the channel banks and bed of the stream (Levick et al., 2008). This exchange of water from the soil and groundwater into the stream maintains stream flow. However, headwater streams

are more prone to drying out than downstream segments because they have smaller drainage areas with less recharge potential and occur at higher elevations (McMahon and Finlayson, 2003; Fritz et al., 2008). Headwater streams provide cover, food, and spawning/breeding habitat for various species and provide cover for species that are colonists when downstream ecosystems are experiencing disturbance (Meyer and Wallace, 2001).

The major functions of headwater streams can be summarized into two categories: physical and biological (U.S. EPA et al., 2003). These functions are described below:

Physical

- Headwater streams tend to moderate the hydrograph, or flow rate, downstream.
- They serve as a major area of nutrient transformation and retention.
- They provide a moderate thermal regime compared to downstream waters—cooler in summer and warmer in winter.
- They provide for physical retention of organic material.

Biological

- Biota in headwater streams influence the storage, transportation, and export of organic matter.
- Biota convert organic matter to fine particulate and dissolved organic matter.
- They enhance downstream transport of organic matter.
- They promote less accumulation of large and woody organic matter in headwater streams.
- They enhance sediment transport downstream by breaking down the leaf material.
- They enhance nutrient uptake and transformation.

C.1.8.2 Energy Sources and Primary Production of Headwater Streams

Headwater streams are primary locations of input, storage, transformation, and export of detritus to downstream reaches (Meyer and Wallace, 2001). The interaction between water and sediments in headwater streams supports nutrient and organic matter storage and processing. The bacteria and fungi in headwater streams are the driving force behind leaf decomposition and are sources of food for benthic invertebrates (Meyer, 1994; Meyer and Wallace, 2001). In headwater streams, leaves and other plant materials (i.e., allochthonous inputs) are the primary sources of energy available to the stream ecosystem. Upon entering the stream, the plant material is broken down by microbes and fungi, which are in turn sources of food for shredding and collecting macroinvertebrates (Meyer and Wallace, 2001; Molles, 2005). Although fungi have higher productivity and often contribute more biomass than bacteria in headwater streams, bacteria are also an important source of carbon for aquatic insects (Meyer and Wallace, 2001). Dissolved organic carbon (DOC) from the catchment and channel supports the growth of bacteria in headwater streams (Meyer et al., 1998; Meyer and Wallace, 2001). Fisher and Likens (1973) explain that over 99 percent of the annual energy inputs to a small forested stream can be attributed to leaf detritus and DOC from the terrestrial environment. Given the unidirectional flow of streams, downstream areas are dependent on upstream areas for portions of their energy (per “River Continuum Concept,” Vannote et al., 1980). Production of both primary and secondary consumers is connected to the supply of leaf litter from riparian forests and its retention in the channels of headwater streams (Meyer and Wallace, 2001).

Plant communities of higher-gradient streams live in a physically challenging environment. Overall, floras in close proximity to high-gradient streams are subjected to greater current velocities than downstream plant communities, and the surroundings of high-gradient streams are usually densely shaded. Plant communities occurring in high-gradient streams contain species uniquely adapted to survive in this type of environment. The lack of direct anthropogenic (human-induced) disturbance to watersheds of high-gradient streams likely prolonged the persistence of the endemic flora in these areas (Wilcove et al., 1998). Limitations on the availability of water in arid environments results in patchy, sparse vascular plant cover (Levick et al., 2008). As a result, algal and soil microbial activity is important for nutrient cycling in these environments (Belnap et al., 2005).

The ecological functions of plant communities within ephemeral and intermittent streams are poorly understood (Levick et al., 2008). Plant communities along ephemeral and intermittent streams provide structural elements of food, cover, nesting, and breeding habitat, and movement/migration corridors for wildlife that are often not as readily available in the adjacent uplands. Vegetation in ephemeral stream channels plays a key role in resource retention by protecting soils from wind and water erosion, slowing floodwater velocity, and moderating temperatures (Levick et al., 2008). Ephemeral stream vegetation also influences biogeochemical cycles by providing leaf litter, food, and cover for wildlife. In some cases, vegetation can intercept rainfall, preventing it from infiltrating into the soil, thereby influencing the local water balance and ecosystem processes (Owens et al., 2006; Miller, 2005). Vegetation structure and diversity influence wildlife species diversity and abundance; changes in the abundance of plant species or the composition of the plant community may affect an array of ecosystem functions and processes. Functions of these communities include: moderating soil and air temperatures; stabilizing channel banks and interflaves; seed banking and trapping of silt and fine sediment favorable to the establishment of diverse floral and faunal species; and dissipating stream energy which aids in flood control (e.g., Levick et al., 2008).

C.1.9 The River Continuum Concept

U.S. EPA et al. (2003) provided a detailed description of the River Continuum Concept developed by Vannote et al. (1980); that description is included here because it is relevant to the streams and rivers distributed throughout the coal regions of the U.S.

The River Continuum Concept (Vannote et al., 1980) is a theory that details how differing energy sources are processed efficiently, progressing from headwater streams to large rivers. This theory explains that energy sources are dependent upon geomorphological, chemical, and biological factors that have evolved within the surface water ecosystem to create a balanced energy transport. The general metabolism for the river ecosystem uses energy that is transported downstream from upstream reaches within the system. From the headwaters to the mouth of the river, the river ecosystem is comprised of a balanced, efficient, longitudinal gradient of energy sources and processing in which the particle size of organic matter becomes more refined as the river becomes larger.

In each portion of a river ecosystem, some organic matter is processed, some stored, and some released (Vannote et al., 1980). Organic matter is conditioned by microbes (fungi and bacteria), and some is respired (to carbon dioxide) by microbes and animals, some converted to smaller particles and dissolved organic matter which is exported to downstream communities (Vannote et al., 1980). Macroinvertebrate communities at each section of the river ecosystem have become specifically adapted to maximize the

processing of energy available in the form of organic matter. Because macroinvertebrate communities serve as a food base for higher trophic organisms (e.g., fish) in the food web, these higher trophic organisms have also evolved to fit available niches in the stream ecosystem.

Headwater streams harbor primarily benthic macroinvertebrate communities who are specialized to feed on the coarse particulate organic matter (CPOM) deposited in the system. Examples of benthic macroinvertebrates include crayfish, worms, snails and flies. The majority of benthic macroinvertebrates in headwater streams are classified as shredders and collectors who feed on the CPOM and fine particulate organic matter (FPOM), and predators who feed on other macroinvertebrates. Typical benthic macroinvertebrates found in headwater streams include insects such as mayflies (Ephemeroptera), stoneflies (Plecoptera), caddisflies (Trichoptera), dragonflies and damselflies (Odonata), beetles (Coleoptera), dobsonflies and alderflies (Megaloptera), true bugs (Hemiptera), springtails (Collembola), and true flies (Diptera). Other macroinvertebrates may include crayfish (Decapoda), isopods (Isopoda), worms (Oligochaeta and Annelida) and snails (Gastropoda).

In the southern Appalachian Mountains, macroinvertebrates of several orders including Ephemeroptera, Plecoptera, and Trichoptera have been found to be rich in species, including many endemic species and species considered to be rare. This diversity and unique assemblage of species has been attributed to the unique geological, climatological, and hydrological features of this region (Morse et al., 1993; Morse et al., 1997). Many biologists agree that the presence of a biotic community with such unique and rare populations should be considered a critical resource. Stream macroinvertebrates are typically classified on the basis of their functional feeding group (Cummins, 1973; Cummins and Klug, 1979; Merritt and Cummins, 1984). Insects within a functional feeding group share similarities in their morphology, feeding behavior, and feeding mechanisms (e.g., scraping, collecting, shredding, filtering). Typical functional feeding groups are described below.

C.1.9.1 Scrapers

Scrapers are adapted to scrape materials, such as algae or periphyton and its associated microflora, from rock or organic substrates, such as leaves (Wallace et al., 1992). Typically scrapers include certain taxa of snails, mayflies, caddisflies, beetles, and fly larvae.

C.1.9.2 Shredders

Shredders chew primarily large pieces of decomposing vascular plants (≥ 1 mm or 0.039 inch in diameter) along with its associated microflora and fauna. They may also feed directly on living vascular hydrophytes or gouge decomposing wood submerged in streams (Wallace et al., 1992). In addition to aquatic insects, many omnivorous crayfish are facultative shredders. Shredders are important because their mode of feeding causes the generation of large quantities of small organic particles. These particles are more easily transported downstream and may be acted on by microbes more easily due to the increase in the surface area to volume ratio. Common shredders are certain taxa of stoneflies, caddisflies, and fly larvae.

C.1.9.3 Collector-gatherers

Collector-gatherers feed primarily on fine pieces of decomposing particulate organic matter (less than or equal to one millimeter or 0.039 inch diameter) deposited within streams (Wallace et al., 1992). Many Chironomidae larvae are collector-gatherers.

C.1.9.4 Collector-filterers

Collector-filterers have specialized anatomical structures (setae, mouthbrushes, fans, etc.) or silk and silk-like secretions that act as sieves to remove particulate matter from suspension (Jorgensen, 1966; Wallace and Merritt, 1980; Wallace et al., 1992). Some mayflies, caddisflies, and fly larvae are collector-filterers.

C.1.9.5 Predators

Predators feed on animal tissues by either engulfing their prey or by piercing prey and sucking body contents (Wallace et al., 1992). Predators include dragonflies, hellgrammites, crayfish, and some taxa of stoneflies, caddisflies, beetles, and fly larvae.

C.1.10 Primary Production Within Headwater Streams

U.S. EPA et al. (2003) provided the following information about primary production within headwater streams of one coal region; it is included here because of its continued relevance to the coal regions covered in this DEIS.

Primary production is the input of energy into a system by the growth of flora living in the system. Primary production in streams is often measured as mass of carbon or ash free dry mass, which is largely carbon, per unit area, per year. Primary production rates in Appalachian streams have been shown to vary with stream order, season, degree of shading, nutrients, and water hardness (Wallace et al., 1992). Although under some circumstances, gross primary production can be high (Hill and Webster, 1982; Wallace et al., 1992), typical primary production inputs appear to range from approximately nine to 446 pounds of carbon per acre of stream per year (Keithan and Lowe, 1985; Rodgers et al., 1983; Wallace et al., 1992).

Levick et al. (2008) noted that plant productivity in arid and semi-arid regions, which include multiple coal regions, is often low most of the year and punctuated by bursts of activity following rain and runoff events. Variations of the patterns of primary productivity and evapotranspiration by plant communities are dependent on their main sources of water: direct precipitation, channel flow, or stored water (de Soyza et al., 2004; Leenhouts et al., 2006; Levick et al., 2008). When stored water is accessible, productivity and evapotranspiration of plant species can be high for much of the growing season (Atchley et al., 1999). De Soyza et al. (2004) found that plants along an ephemeral stream channel responded more to channel flow than direct precipitation, indicating the importance of maintaining intact channel networks throughout a watershed.

C.1.11 Vascular Plants and Bryophytes

Vascular plants (ferns and higher plants) and bryophytes (mosses and liverworts) are common in areas surrounding headwater streams, but the structure and composition is dependent on the relief of the landscape, climate, size of stream, soil chemistry, substrate, and flow patterns (U.S. EPA et al., 2003). In ephemeral and intermittent streams, the structure and composition of the vegetation is related to the size

of the stream and patterns of flow, although most of the diversity is comprised of herbaceous species (Bagstad et al., 2005; Levick et al., 2008). Vascular plants found in or near high-gradient streams typically have adventitious roots, rhizomes, flexible stems, and streamlined narrow leaves (Westlake, 1975; U.S. EPA et al., 2003). In contrast, bryophytes contribute the majority of the biomass of primary producers in small streams, and they attach to rocks and boulders and are smaller in size, lack flowering parts, and reproduce by releasing spores. Given their dominance within these areas, bryophytes also provide habitat that supports many aquatic invertebrate species (Meyer et al., 2007). Mosses are most diverse and abundant in headwater streams and seeps, and they can exclusively use carbon dioxide in photosynthesis (Meyer et al., 2007). Heino et al. (2005) noted that bryophyte species richness ranged from 0 to 14 species in small boreal streams. Glime (1968) found that four species dominate the bryophyte flora of small, high-gradient Appalachian streams and that *Fontinalis dalecarlica* and *Hygroamblystegium fluviatile* are most abundant in first through third-order streams.

In regions subjected to seasonal precipitation, depth to groundwater is particularly important because groundwater is closely coupled with stream flow that maintains a water supply to riparian vegetation (Groeneveld and Griepentrog, 1985; Levick et al., 2008). The species composition of ephemeral and intermittent streams within the arid and semi-arid southwestern U.S. is dependent on species composition of the watershed and floristic province, as well as with drainage size, climatic regime, latitude, longitude, elevation, aspect, and soil characteristics (Levick et al., 2003). As the hydrologic regime shifts from perennial to ephemeral, vegetation composition shifts towards more drought-tolerant species, vegetation cover declines, riparian woodlands give way to riparian shrublands, and canopy height and upper canopy vegetation volume decline (Leenhouts et al., 2006; Stromberg et al., 2007; Levick et al., 2008).

C.1.12 Algae

Algae are prevalent in headwater streams, and multiple species are endemic to specific streams in the U.S. (U.S. EPA et al., 2003; Meyer et al., 2007). As summarized in Wallace et al. (1992), the algae of high-gradient streams are limited to species capable of anchoring to stable substrates, preferably large stationary objects (U.S. EPA et al., 2003). In systems where the headwaters are shaded and low in nutrients, 30 to 60 algal species are commonly encountered (Meyer et al., 2007). During periods of low flow, algae may temporarily colonize smaller objects (U.S. EPA et al., 2003).

C.1.13 Woody Material

Woody material is not just an energy source but also provides other important stream functions involving hydrology and habitat structure. Such functions of woody debris in streams include: contributing to stair-step stream bed profiles that result in rapid dispersion of the stream's energy; forming micro-pools or sieve-like structures that retain other particulate organic material which may influence trophic and nutrient dynamics; providing habitat for aquatic organisms; and functioning as a food source for xylophagous organisms (Wallace et al., 2001; U.S. EPA et al., 2003).

C.1.14 Organic Matter Processing and Nutrient Cycling

The headwater stream (first- through third-order) is the origin for energy processing within the river ecosystem. Headwater streams located in forested areas are characterized by a dense canopy and low photosynthetic production. Allochthonous (coming from outside the system) materials derived from the terrestrial environment are the primary sources of energy for headwater streams. As summarized in U.S.

EPA et al. (2003), most allochthonous material arrives in the form of CPOM (greater than one millimeter or 0.039 inch in size). Smaller amounts of other allochthonous materials that are transported to the stream include FPOM (50 μm to one μm in size or 0.0019 to 0.000039 inches in size) and Dissolved Organic Matter (DOM) traveling in surface-water and groundwater flows. Microbes and specialized macroinvertebrates living in headwater streams, called shredders, feed on CPOM, converting it into FPOM and DOM. The FPOM and DOM are carried downstream to mid-sized streams (U.S. EPA et al., 2003).

Because mid-sized streams (fourth- through sixth-order) are wider than headwater streams, the canopy is usually more open and more light is able to penetrate to the stream bottom. As a result, a greater abundance of algae and aquatic plants are able to grow here. In general, the proportion of allochthonous material derived from terrestrial vegetation in mid-sized streams is less than in the headwater streams. Autochthonous material (material that is derived from within the stream) becomes an important component of the energy budget in mid-sized streams. Consequently, mid-sized streams may exhibit a shift from a heterotrophic to an autotrophic system, or one that generates its own energy through photosynthesis. The biological community of mid-sized streams differs somewhat from that in headwater streams in part because of the more diverse types of energy sources that are available. Specialized macroinvertebrates called collectors-filterers and collector-gatherers break down the FPOM carried from upstream reaches into Ultra-fine Particulate Organic Matter (UPOM) (0.5 to 50 nm in size or 0.019 to 1.97×10^{-6} inches in size). These macroinvertebrates, as well as microbes, also consume living plant matter (algae and aquatic plants) converting it into additional forms of energy. The UPOM derived from these energy sources is then carried downstream to larger rivers. Interestingly, collectors can also increase particle sizes in some cases by feeding on material in the several micron range and defecating compacted feces of a much larger particle size. These larger particles then become available to larger particle feeding detritivores (Wallace et al., 1992).

As summarized in U.S. EPA et al. (2003), larger rivers (seventh- through twelfth-order) have different biological communities from lower order streams. The increased width, depth, and suspended mineral and organic matter prohibit much light penetration and consequent growth of algae and plants within the main channel. Collectors again become the primary macroinvertebrate community to process the particulate organic material. Larger rivers tend to be heterotrophic systems. Several models have been developed to describe the movement of energy and nutrients in rivers. These theories include the River Continuum Concept (Vannote et al., 1980) and the concept of nutrient spiraling (e.g., Webster, 1975). The development of the River Continuum Concept greatly improved the scientific communities' understanding of the ecosystem-level functions of rivers and provided direction for lotic ecosystem research over the last 30 years.

C.1.15 Invertebrates

Invertebrates form a major portion of Earth's animal diversity, and the emergence of aquatic invertebrates from streams is a significant part of the food chain (Levick et al., 2008). Invertebrate inhabitants of headwater streams are sources of food to fish, mammals, and amphibians within the headwater reach (Meyer et al., 2007). Emerging and flying adults of aquatic insects are often sources of food for terrestrial animals (e.g., spiders, birds, and bats), and they represent an important reciprocal link between streams and terrestrial biota (Baxter et al., 2005; Meyer et al., 2007).

The communities found within streams are dependent upon the stream type and order. Headwater streams harbor primarily benthic macroinvertebrate communities (e.g., crayfish, worms, snails, and insects), which are specialized to feed on CPOM (U.S. EPA et al., 2003). Ephemeral and intermittent streams also harbor diverse invertebrate communities because of their array of microhabitats (Levick et al., 2008). Disturbances caused by intermittent flows may facilitate high food quality and consequently high levels of insect production in warm-temperate desert streams (Fisher and Gray, 1983; Jackson and Fisher, 1986; Grimm and Fisher, 1989; Huryn and Wallace, 2000). Most benthic macroinvertebrates in headwater streams are classified as shredders and collectors that feed on the CPOM and FPOM, and predators that feed on the other macroinvertebrates (U.S. EPA et al., 2003). For example, common benthic macroinvertebrates found in headwater streams of Appalachia include insects such as mayflies (Ephemeroptera), stoneflies (Plecoptera), caddisflies (Trichoptera), dragonflies and damselflies (Odonata), beetles (Coleoptera), dobsonflies and alderflies (Megaloptera), true bugs (Hemiptera), springtails (Collembola), and true flies (Diptera) (U.S. EPA et al., 2003). Other macroinvertebrates may include crayfish (Decapoda), isopods (Isopoda), worms (Oligochaeta and Annelida) and snails (Gastropoda).

Mollusks have been receiving more research attention, and their importance as a part of stream communities is receiving greater recognition. Mollusks tend to be more diverse in larger, perennial streams but can persist and be present and abundant in headwaters (Meyer et al., 2007). Mollusks such as bivalves and gastropods are common in lotic systems. Mussels are among one of the most diverse groups in North America, especially in the southeast U.S.; however, they are among the most threatened as a result of habitat loss, degradation, and invasive species. Crustaceans, such as amphipods, isopods and crayfish, are prevalent in headwaters. The southeast U.S. also has the greatest crayfish diversity in the world, but many of these species are facing similar dangers to that of freshwater mussels. Microcrustaceans, such as cladocerans, ostracods, and copepods, also live in headwaters, where their populations can attain high densities ($>10,000 \text{ m}^2$) (Galassi et al., 2002; Meyer et al., 2007). Small streams support many invertebrate taxa other than insects, mollusks and crustaceans, but these groups have not received much study. A typical headwater stream might contain 30 to 300 species and 20,000 to 2,000,000 / m^2 of these other taxa, such as turbellarians, gastrotrichs, and nematodes (Meyer et al., 2007). Species richness in these groups may be as high in headwaters as in larger streams and many can be found in intermittent streams (Meyer et al., 2007).

C.1.16 Vertebrates

Fish and amphibians are the major groups of vertebrates that inhabit streams, and multiple headwater streams serve as habitat to species that are endemic to specific areas. Fish species present in headwater streams tend to be representative of cold water species (e.g., darters, sculpins, salmonids, cyprinids) and are primarily sustained by a diet of invertebrates (Vannote et al., 1980). Fish populations can be abundant in headwater streams, but their diversity generally increases with increasing stream size, habitat heterogeneity, pool development, and habitat volume. Although fish tend to occupy larger streams, multiple species can use ephemeral and intermittent streams as habitat. Many fishes found in headwaters are unique and likely contribute to network-wide diversity and play a critical role in the genetics of fish populations (Meyer et al., 2007; Palmer, 2009). In mid-sized streams, a shift in the fish community from cold-water to more warm-water fish species usually occurs. Furthermore, the fish community becomes more diverse and more piscivorous species are present (Vannote et al., 1980).

Amphibians, in regions where present, play a critical role in the biodiversity of stream communities. In streams where fish are absent, amphibians tend to be the most common vertebrate and dominant aquatic predators (Bernhardt and Palmer, 2011). Salamanders are the most common amphibians in headwaters (Davic and Welsh Jr., 2004), but frogs, toads, and reptiles (e.g., snakes and lizards) can also be abundant (Meyer et al., 2007). Predation by fish is believed to restrict amphibians to the smaller streams or the banks of large streams (Wallace et al., 1992; Bernhardt and Palmer, 2011). Ephemeral and intermittent streams serve as crucial habitat for amphibians, perhaps because they offer freedom from predators; some of these species are state and/or federally threatened or endangered (Davic and Welsh Jr., 2004; Bernhardt and Palmer, 2011). Amphibian production in first and second order streams is often greater than production within higher-order streams (Wallace et al., 1992). Multiple specialized stream salamanders require headwater seeps and small streams in forested habitats to maintain viable populations (Petranka, 1998). Plethodontids, or lungless salamanders, use small headwater streams as their principal larval habitat, where they spend from a few months to five years (Beachy and Bruce, 1992). Salamander populations from headwater streams influence insect population dynamics by predation, regulate detritus food webs, and link stream and terrestrial food webs (Davic and Welsh Jr., 2004). Reptiles also contribute to the biodiversity of streams. Multiple species of turtles, lizards, and snakes use streams to obtain food. In headwaters, snakes and turtles primarily comprise the reptilian communities (Meyer et al., 2007). Although reptiles are not usually restricted to or most abundant in these habitats (Buhlmann and Gibbons, 1997), species in several genera (e.g., *Nerodia*, *Farancia*, and *Regina*) specialize on aquatic prey items (Meyer et al., 2007).

C.2 LENTIC (Non-Flowing) Aquatic Systems

Lentic aquatic systems are defined as non-flowing water bodies such as natural lakes and ponds or artificial impoundments such as a reservoir. Lentic systems are also referred to as lacustrine habitats, which may include palustrine habitats as described below. Lentic water bodies can be permanently flooded, intermittent (e.g., playa lakes), or have a tidal influence where ocean-derived salinities are below 0.5 percent (Cowardin et al., 1979). Some lentic systems may be fresh water bodies, while others have varying levels of salinity (e.g., Great Salt Lake).

Lakes are generally differentiated from ponds based on their size, with lakes being larger; however, the usage of terminology can differ. Another distinction that can be made between lakes and ponds would be the type of mixing that occurs. Water bodies may be considered lakes when the wind plays the dominant role in mixing (Menzel and Cooper, 1992). Cowardin et al. (1979) indicates that lakes typically have extensive areas of deep water and considerable wave action. In ponds, gentler convective mixing predominates. Ponds can include pools of water such as ephemeral or vernal pools which are formed by winter and spring rains and/or snow melt, and that typically dry up by summer months.

Lacustrine water bodies differ from palustrine (inland wetlands and marshes) in that they are larger (generally greater than 20 acres), deeper (generally deeper than 6.6 feet at low water), and vegetation does not exceed 30 percent aerial coverage. Palustrine systems consist of non-tidal wetlands dominated by trees, shrubs, and other vegetation, and where ocean-derived salinities are below 0.5 percent (Cowardin et al., 1979). Many wetland types are generally grouped within lentic systems where wetlands have constant soil saturation or inundation with distinct flora and faunal communities. Cowardin et al. (1979) distinguishes deepwater habitats from wetlands; however, shallow and permanent or intermittent ponds/pools can be considered to be a type of palustrine wetland.

C.2.1 Physical Characteristics

Natural lakes are formed by many different processes to include catastrophic phenomena (glacial, volcanic, and tectonic forces), rivers, waves, and rock solution. Human constructed lakes are created by dams or excavation of basins. Lake classifications are determined by the method in which they formed and include glacial lakes, tectonic basins, volcanic lakes, landslide solution lakes, plunge pools, oxbow lakes, and beaver-made or human-made lakes (U.S. EPA, 2008). In geological terms, most natural lentic systems are young, dating from the last glacial period (Thorp and Covich, 2001). The source of water for many lentic systems is dependent on surface runoff and by groundwater input; groundwater may provide the majority of the water to some ponds (Menzel and Cooper, 1992). Most natural and man-made lentic systems have average depths of less than 20 meters (Wetzel, 2001).

The structure of a lake or pond is defined by physical, chemical, and biological characteristics. In some instances, landscape position of the watershed basin, characteristics of the watershed, and morphometry of the basin are a more important than basin formation for describing the biological features of a lake (U.S. EPA, 2008). Watershed conditions can greatly affect lakes, ponds, and impoundments to include allochthonous (organic material produced outside the stream such as leaves, wood) and autochthonous (primary production by plants and algae present within the system) material depending on the type of setting. Further, any changes to energy sources (i.e., terrestrial detritus versus algae in more open water bodies) can influence the food base and community structure (Menzel and Cooper, 1992).

Environmental conditions of lentic systems differ greatly with that of lotic systems. Unidirectional water flow is minimal, and lentic waters tend to be warmer than streams and rivers. Oxygen levels in lentic systems are generally lower than lotic systems, but some standing waters may contain enough dissolved oxygen to support the growth of some lotic adapted organisms (Mayer and Laudenslayer, 1988).

The limnology of lakes is dominated by vertical gradients. The vertical distribution of lake organisms is influenced by gradations of oxygen, light, and temperature in addition to currents and seiches (oscillating waves). Light penetration of lentic systems is dependent on turbidity. Temperatures will vary seasonally and with depth. Oxygen content of lakes and ponds is low compared to systems with flowing water as a smaller proportion of surface water is in direct contact with the atmosphere and because decomposition is taking place and using significant portions of the oxygen supply within the system (Mayer and Laudenslayer, 1988). However, lakes and reservoirs may retain some river-like qualities such as longitudinal gradients in channel morphology, flow velocity, water temperatures, bottom substrate type, and biotic community composition. Many biological, chemical, and physical processes in lakes and reservoirs are similar to rivers (Menzel and Cooper, 1992).

C.2.2 Ecological and Biological Functions

Lentic systems provide many functions: providing habitat for organisms, providing drinking water, waste removal, agricultural irrigation, industrial activity, and recreation (Hairston and Fussmann, 2002). Ecological functions of larger lakes and ponds may include flood control and improved water quality of riparian systems downstream through the temporary removal of nutrients and toxic materials by allowing these compounds to settle out of the water column. Ecosystem-level functions occurring within lentic systems include energy flow relationships. Small lentic systems, such as ponds, have a limited ability to cycle nutrients on a watershed scale (Menzel and Cooper, 1992). Due to the high ratio of drainage area to

surface area, reservoirs have high annual nutrient loads compared to natural lakes. The movement of nutrients and energy in reservoirs is a major function of these systems and is closely tied to the physical environment (Soballe et al., 1992).

Organic matter inputs enter the reservoir system, which support the growth of bacteria, fungi, and detritivores. Phytoplankton production dominates most impoundments as changing water levels inhibit development of littoral macrophyte and periphyton communities. Sedimentation of detrital aggregates and zooplankton fecal pellets provide an energy source to benthic decomposers which in turn are used by higher level consumers. Nutrient regeneration occurs at most levels of this food web (Soballe et al., 1992).

Lake ecosystems are influenced by their watersheds including the geological, chemical, and biological processes that occur on the surrounding land and within its associated waterways. The open water system, shoreline systems, and upper watershed systems are interrelated and interdependent (Campbell et al., 2006). Lakes are connected to the watershed by the movement of surface water, groundwater, and living organisms. Rivers and streams supply lakes with water and nutrients, and provide spawning and nursery areas for anadromous fish. The health and biodiversity of a lentic system is directly related to the health of each component of the ecosystem. For example, a lentic system can be adversely affected by riparian vegetation removal in the upper watershed, resulting in increased sediment loads and degradation or destruction of anadromous fish spawning habitats (Campbell et al., 2006).

Lentic systems can be divided into several abiotic zones based on distance from shore, light penetration, and temperature change; these zones include photic, aphotic or profundal, and littoral. The photic zone extends to a depth where light penetration is at or above one percent (i.e., the zone where photosynthesis can occur) and where primary producers and most animals live (Thorp and Covich, 2001). The near-shore and shallow area of the photic zone where rooted macrophytes establish is termed the littoral zone. The littoral zone is where the light reaches all the way to the bottom of the lake. Cowardin et al. (1979) defined the littoral zone as the zone that extends from the shoreward boundary to a depth of two meters (6.6 feet) below low water or to the maximum extent of non-persistent emergent vegetation if growing at depths greater than two meters. The littoral zone typically occurs at the edges of lakes and is found throughout most ponds. The photic zone also contains the limnetic zone or open water zone. All water located away from the shore and littoral zone is termed the limnetic or pelagic zone. The limnetic zone is shallower in turbid water than in clear and is a more prominent feature of lakes than of ponds. Below the limnetic zone is the aphotic or profundal zone. The profundal zone has depths beyond which primary producers can live.

Because there is no single, directional flow in a lentic system, stratification may occur. The limnetic zone of a lentic system is classified into thermal layers, depending on the degree of mixing that occurs. The density of water changes with temperature causing lakes to become layered, or stratified, into temperature zones (Dodson, 2005). The temperature of the upper layers will drop as air temperatures drop. As these upper water layers cool they become denser, eventually they becoming dense enough to sink. As the dense layer sinks, it displaces the water at the bottom of the water body, which forces the lower water layers to the surface.

Most lentic systems in North America become stratified during warmer seasons with a layer of lighter water called the epilimnion, which floats over a denser layer (the hypolimnion). During the warmer

months the epilimnion is warmer. The two zones are separated by another layer (the metalimnion) where rapid temperature changes occur. Where the shift in temperature changes most rapidly, this layer is called the thermocline (Dodson, 2005).

Oxygen concentrations and stratification depend on the thermal stratification and biological activity within a lentic system and results in three major patterns variation associated with depth: orthograde, clinograde, and heterograde. Oxygen saturation throughout a lake results in an orthograde pattern. Less oxygen is present where water is warmer; oxygen content will be higher in the hypolimnion when the epilimnion is warmer. Bacterial decomposition of organic material in the hypolimnion results in a clinograde pattern where oxygen has been depleted from the hypolimnion layer and respiration and decomposition have increased as lake productivity increases. This is due to the contribution of oxygen to the epilimnion layer from phytoplankton and the removal of oxygen from the hypolimnion layer from decomposition. Algal growth during the summer months will increase productivity which results in turbid conditions, less light penetration, and additional organic material in the hypolimnion layer. The bacterial metabolism of this organic material can reduce oxygen levels in the hypolimnion. The heterograde patterns result from maximum oxygen concentrations at an intermediate depth. This anomaly is found in lakes with low productivity, in which light penetrates into the hypolimnion and algae flourish (Dodson, 2005). Seasonal mixing, as described above, can redistribute these patterns of oxygen concentrations.

Water chemistry plays an important role in lake dynamics, as nutrients influence algal productivity and higher trophic levels (Thorp and Covich, 2001). In deep lakes, the bottom layer has little oxygen when it is not mixing, and few organisms survive there. Similarly, very salty lakes contain only a few highly specialized zooplankton. The hypolimnion is lower in oxygen, higher in nutrients, and has different chemical concentrations due to the minimal exchange of water during stratification. Lake turnover occurs when this stratification breaks down and much or all of the water mass re-circulates (Thorp and Covich, 2001). Some lakes never mix completely, resulting in a circulation only in the upper zones, leaving the lower zones devoid of oxygen where nutrients accumulate over time. Wind easily mixes shallow lakes, so these layers either do not persist or do not develop.

C.2.2.1 Plant Communities, Energy Sources, and Primary Production

Plant communities in ponds and lakes consist of submerged, floating and emergent vascular plants, phytoplankton, and periphyton. Autotrophic bacteria may also occur in lentic systems and contribute to the primary production of these systems. Bacteria and fungi are the major decomposers in smaller lentic systems such as ponds, and, although these organisms may occur as part of the planktonic community, the vast majority of bacteria and fungi are found in or on the sediment layer (Menzel and Cooper, 1992). Phytoplanktons (predominantly filamentous algae) carry on photosynthesis in open water and form the base of a lake's food chain (Mayer and Laudenslayer, 1988). These primary producers fall into five major categories: diatoms (Bacillariophyta); green algae (Chlorophyta); golden algae (Chrysophyta); blue-green algae (Cyanobacteria); and dinoflagellates (Dinophyta). Very productive lakes are much less clear due to abundant algal blooms (Menzel and Cooper, 1992).

Species distribution of small ponds generally differs from that of large impoundments and lakes. Blue-green algae are often dominant in small lentic systems where nutrient levels are high. In small ponds, benthic algae and periphyton may detach and become part of the planktonic community, and

phytoplankton can be reduced greatly depending on surface area coverage by floating macrophytes. This shading effect can also suppress periphyton growth attached to macrophytes or bottom surfaces (Menzel and Cooper, 1992).

Vascular plants in small lentic systems include species with submergent, floating-leaved, or emergent growth forms. Submergent macrophytes are found rooted in benthic sediments at depths from three to 12.5 feet depending on light penetration and may occur in patches or may cover the entire bottom of ponds. Floating or floating-leaved vascular plants may be very abundant in small ponds/impoundments if nutrients are present. Where these plants are found in abundance, they may reduce the photosynthesis in the hypolimnion resulting in an increase in water column respiration. This may result in anoxic conditions (low amounts of oxygen) in the water column, leading to the elimination of fish in the pond (Menzel and Cooper, 1992).

Emergent aquatic and semi-aquatic plants are common along the shoreline of many smaller lentic systems where water depths are shallow (less than one meter) and where sediments have accumulated over time. Common emergent species include cattails (*Typha* spp.), willows (*Salix* spp.), rushes (*Juncus* spp.), and sedges (*Carex* spp.). Emergent plants provide food and habitat for numerous vertebrate wildlife species and are an important energy source for small impoundments (Menzel and Cooper, 1992).

C.2.2.2 Animal Communities

Animal communities in lentic systems live in either the benthos or water column zone and may transition between these two zones during their lifecycle. Groupings of animal communities include invertebrates (e.g., zooplankton, worms, mussels, crustaceans, and insects) and vertebrates (e.g., fish, reptiles, and birds). These groups may heavily use vegetated portions of the benthos for feeding and breeding. Many aquatic animals exhibit complex life cycles and use separate habitats at different stages of their life history (Wilbur, 1980). For example, stream-dwelling fishes may migrate between lotic and lentic habitats to enhance growth or reduce mortality (Dempson et al., 1996; Erkinaro et al., 1998). Movements of stream-dwelling fishes and crayfish between habitats can be affected by various environmental factors such as water levels and temperatures. Movement between habitats can strongly modify population structure, overall density, and the probability of local extinction in both lotic and lentic habitats (Schlosser, 1995).

Major zooplankton assemblages in freshwater systems include rotifers, cladocerans, and copepods (Thorp and Covich, 2001). Rotifers and protozoans comprise a small fraction of total biomass but are numerically abundant and can contribute substantially to energy flow in smaller lentic ecosystems. Zooplankton occupy the regions of high light intensities (i.e., on the surfaces of the pelagic and the littoral zones), feeding on single-celled or small colonial algae. In clear, relatively unproductive lakes, zooplankton consume much of the algae. Some of the zooplankton members also inhabit the benthic zone feeding on detritus and sinking phytoplankton. Zoobenthos greatly increase the secondary productivity in ponds through high growth rates (Menzel and Cooper, 1992).

There are a number of benthic macroinvertebrates found in lentic systems including oligochaetes, crustaceans, and a variety of insects. Macroinvertebrates can be abundant in littoral zones. Those found in the pelagic zone are typically confined to the benthic zone, but some may feed in the water column (Menzel and Cooper, 1992). Small crustaceans, hydras, and snails live in or on surface sediments (Mayer

and Laudenslayer, 1988). Macroinvertebrates can greatly increase secondary production in smaller lentic systems (Menzel and Cooper, 1992).

Fishes occupy the littoral, pelagic, and occasionally profundal zones when the dissolved oxygen content is sufficient. Vertebrates in lentic systems may also include various species of frogs, turtles, and water snakes. Survival of many anuran populations depends upon the temporary nature of smaller breeding pools and ponds. Some species do well in relatively deep, permanent ponds (e.g., *Rana catesbeiana*, *Rana palustris*), whereas others require relatively shallow, temporary ponds (e.g., *Bufo* spp., *Hyla chrysoscelis*) (Jansen et al., 2003).

Appendix D. Migratory Birds⁴

D.1 Introduction

It is estimated that 500 species of birds annually migrate from North American breeding grounds for warmer climates and favorable food conditions farther south. Some species travel only as far as the southern U.S., while others continue to Central or South America. The U.S. Fish and Wildlife Service (FWS) administers a variety of laws protecting wildlife and plant species, including the Migratory Bird Treaty Act, 16 U.S.C. §§ 703-712. Because all coal regions lie within migratory bird pathways, the Office of Surface Mining Reclamation and Enforcement (OSMRE) is entering into a Memorandum of Understanding (MOU) with FWS to strengthen migratory bird conservation through enhanced collaboration. This MOU, in support of Executive Order 13186, focuses on avoiding or minimizing avian stressors on migratory birds with an emphasis on species of concern and their habitats, and by identifying areas of cooperation. The goal of this MOU is to promote migratory bird conservation by incorporating conservation measures into agency actions and planning processes whenever possible.

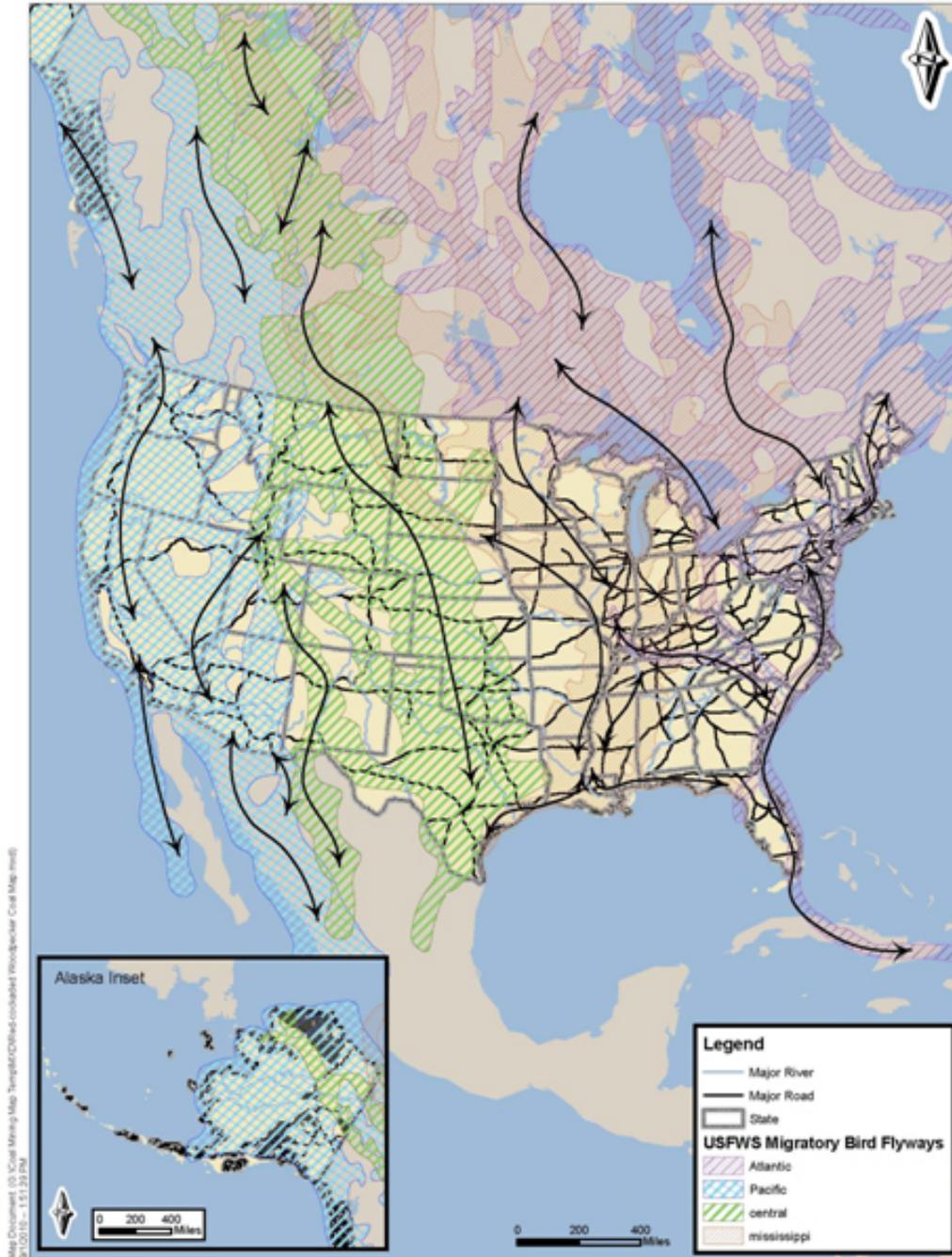
D.2 Migratory Flyways

As depicted in Figure D-1, there are four major North American flyways (Lincoln, 1935):

- Atlantic;
- Mississippi;
- Central; and
- Pacific.

⁴ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Figure D-1. North American Flyways



Some of the coal regions are located within more than one flyway. The flyways often overlap in the northern breeding and the southern wintering grounds. Table D-1 reflects the U.S. coal regions and the flyways that occur in each region.

Table D-1. Occurrence of U.S. Coal Regions in Migratory Bird Flyways

COAL REGIONS	Atlantic Flyway	Mississippi Flyway	Central Flyway	Pacific Flyway
Appalachian Basin	X	X		
Colorado Plateau			X	X
Gulf Region	X	X	X	
Illinois Basin		X		
Northern Rocky Mountains and Great Plains			X	X
Northwest				X
Western Interior		X	X	

The four major North American flyways are discussed in greater detail in the following paragraphs.

D.2.1 Atlantic Flyway

Two coal regions are located within the Atlantic Flyway: the Appalachian Basin and the Gulf Region. The Atlantic Flyway can be described as extending from the offshore waters of the Atlantic Coast west to the Allegheny Mountains, then curving northwestward across northern West Virginia and northeastern Ohio, continuing to Canada (the Northwest Territories) and to the Arctic Coast of Alaska. The flyway contains several primary migration routes. The coastal route of the Atlantic Flyway follows the Atlantic shoreline, originating from the north in the eastern Arctic islands and the coast of Greenland. This route from the northwest is important to migratory waterfowl and other birds, including ring-necked ducks (*Aythya collaris*), canvasbacks (*Aythya valisineria*), redheads (*Aythya americana*), and lesser scaups (*Aythya affinis*) (Montalbano et al., 1985). During migration, studies have found that the coastal migration route is predominantly used by many species of songbirds as well as 80% of juvenile raptors and the Appalachian mountains route is used by predominately adult birds although not inclusively, but both routes are of great importance as migration pathways.

D.2.2 Mississippi Flyway

Four coal regions are located within the Mississippi Flyway: the Appalachian Basin, the Gulf Region, the Illinois Basin, and the Western Interior.

The Mississippi Flyway is an important route used by large numbers of ducks, geese, shorebirds, blackbirds, sparrows, warblers, and thrushes. The eastern boundary of the Mississippi Flyway runs through the peninsula of southern Ontario to western Lake Erie, then southwest across Ohio and Indiana and south to the mouth of the Mississippi (U.S. FWS, 2012b). The western boundary is less precise than the eastern boundary of the Flyway and merges into the Central Flyway. The longest migration route of any in the Western Hemisphere is found within the Mississippi Flyway; the northern terminus is on the Arctic coast of Alaska and its southern end is located in Patagonia, Argentina. For more than 3,000 miles, from the mouth of the Mackenzie River in northern Canada to the delta of the Mississippi, this route is uninterrupted by mountains; the greatest elevation above sea level is less than 2,000 feet. The presence of the two rivers (oriented north-south) and the well-timbered land provide ideal conditions to support migrating birds (Weitzell et al., 2003). The Mississippi Flyway is important to the declining American black duck (*Anas rubripes*) population (Brook et al., 2009), the recovering wood duck (*Aix sponsa*) population (Bellrose, 1976), mallards (*Anas platyrhynchos*) (Green and Kremetz, 2008), and many other waterfowl and bird species.

D.2.3 Central Flyway

Four coal regions are located in the Central Flyway: the Northern Rocky Mountains and Great Plains, the Colorado Plateau, the Western Interior, and the Gulf Region.

It may be called “the flyway of the Great Plains” as the Central Flyway encompasses the vast central region of the U.S. lying between the valley of the Mississippi River and the Rocky Mountains (U.S. FWS, 2012b). The Central Flyway is relatively simple, as the majority of the birds that use it make direct north and south journeys from breeding grounds in the north to winter quarters in the south. The Central Flyway enters the northern U.S. in Montana and birds travel in the central part of the U.S. (Montana, Wyoming, South Dakota, Nebraska, Kansas, Oklahoma, Colorado, New Mexico and Texas). The Central Flyway then follows the coast of the Gulf of Mexico southward. The western boundary closely follows the eastern side of the Rocky Mountains. However, in western Montana, the continental divide is crossed and the line passes through the Great Salt Lake Valley. The northern end of the Great Salt Lake is also an important breeding area for waterfowl. Waterfowl breeding in Canada and in much of the north central U.S. use the Central Flyway for migratory stopover sites and wintering habitat.

D.2.4 Pacific Flyway

Three coal regions are located within the Pacific Flyway: the Northern Rocky Mountains and Great Plains, the Colorado Plateau, and the Northwest.

The Pacific Flyway enters the U.S. from Alaska through Canada via Washington, Idaho, and Montana, and migratory birds travel through Washington, Idaho, Montana, California, Nevada, Utah, and Arizona (U.S. FWS, 2012b). At the U.S. / Canada border, the flyway routes branch: large flights continue southeastward along the foothills of the Rocky Mountains and into the Central and Mississippi flyways, while other migratory birds turn southwestward across northwestern Montana and the panhandle of Idaho, following the Snake and Columbia River valleys to the interior valleys of California. Suitable winter quarters for birds are found in California from the Sacramento Valley south to Salton Sea and in the tidal marshes near San Francisco Bay. The Central Valley is an important stopover site for migrating shorebirds and waterfowl in the Pacific Flyway (Shuford et al., 1998). The Central Valley supports 20 percent of waterfowl wintering in the U. S. and 60 percent wintering in the Pacific Flyway (Shuford et al., 1998).

D.3 Discussion

Migrating birds require places along the way that provide an adequate food supply for the quick replenishment of fat reserves, rest and shelter from predators, and water for rehydration. These places are often referred to as stopover sites. A few important general land types that are important are: riparian woodlands and corridors; shelter belts and hedgerows in agricultural areas; desert oases; and mountain meadows. A primary characteristic of these stopover sites is the presence of a water body, which are sometimes on Surface Mining Control and Reclamation Act of 1977 (SMCRA) permitted land. This Draft Environmental Impact Statement (DEIS) covers a broad area which includes many of these stopover sites and other migratory bird habitat; therefore a comprehensive discussion of migratory birds is not realistic. Below, this discussion provides a description of just one species example of many species of migratory birds that use one of the four described land types.. Birds from

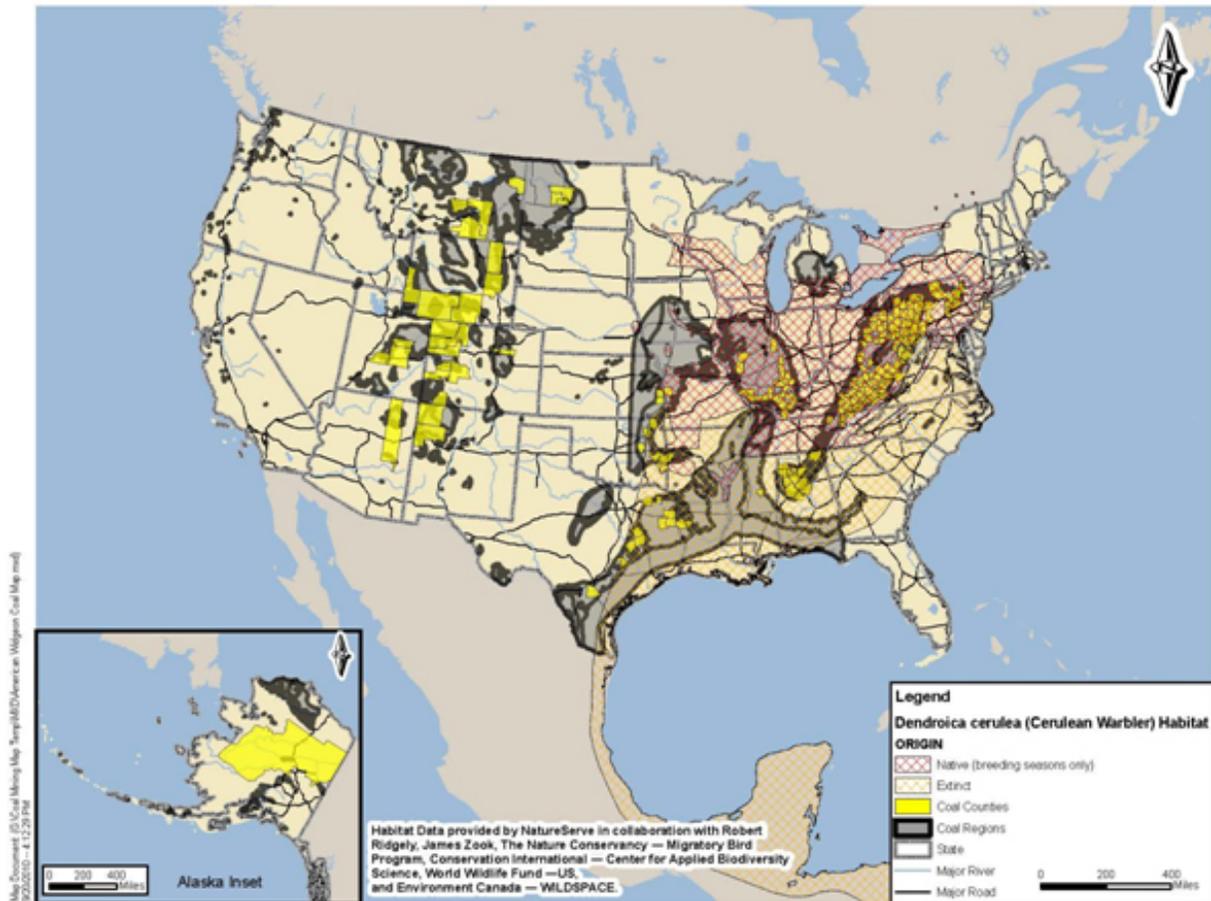
these groups have different habitat types, are protected under the Migratory Bird Treaty Act, and represent a diversity of migratory bird issues.

D.3.1 Songbird - Cerulean warbler (*Dendroica cerulea*)

Unless otherwise referenced, this description comes from U.S. FWS (2007a).

The cerulean warbler is a FWS Species of Special Concern. During migration, cerulean warblers pass through the southern U.S. and then fly across the Gulf of Mexico to Central America and on to South America (Figure D-2) (Ridgely et al., 2003). Their summer range includes the Appalachian Basin, Gulf Coast, Illinois Basin, and Western Interior coal regions. Much of the core breeding area for the cerulean warbler is located within or near the Appalachian coal region (Figure D-2).

Figure D-2. Cerulean Warbler Habitat and Migratory Path



Cerulean warblers are considered area-sensitive because they prefer breeding in large forested tracts. Cerulean warblers nest and raise young in areas with large tracts of mature deciduous hardwood trees. A diversity of vertical structure in the forest canopy and gaps in the forest canopy, or small forest openings, are desired habitat features. Cerulean warblers nest in uplands, wet bottomlands, moist slopes, and mountains from less than 100 feet to more than 3,500 feet in elevation. During the breeding season,

males sing high in mature trees, and females build open-cup nests on the middle and upper branches of deciduous forest trees. Habitats for migratory and winter seasons are not well known but appear to be similar to this warbler's breeding habitat (multiple layers of vegetation in the forest canopy being important characteristics).

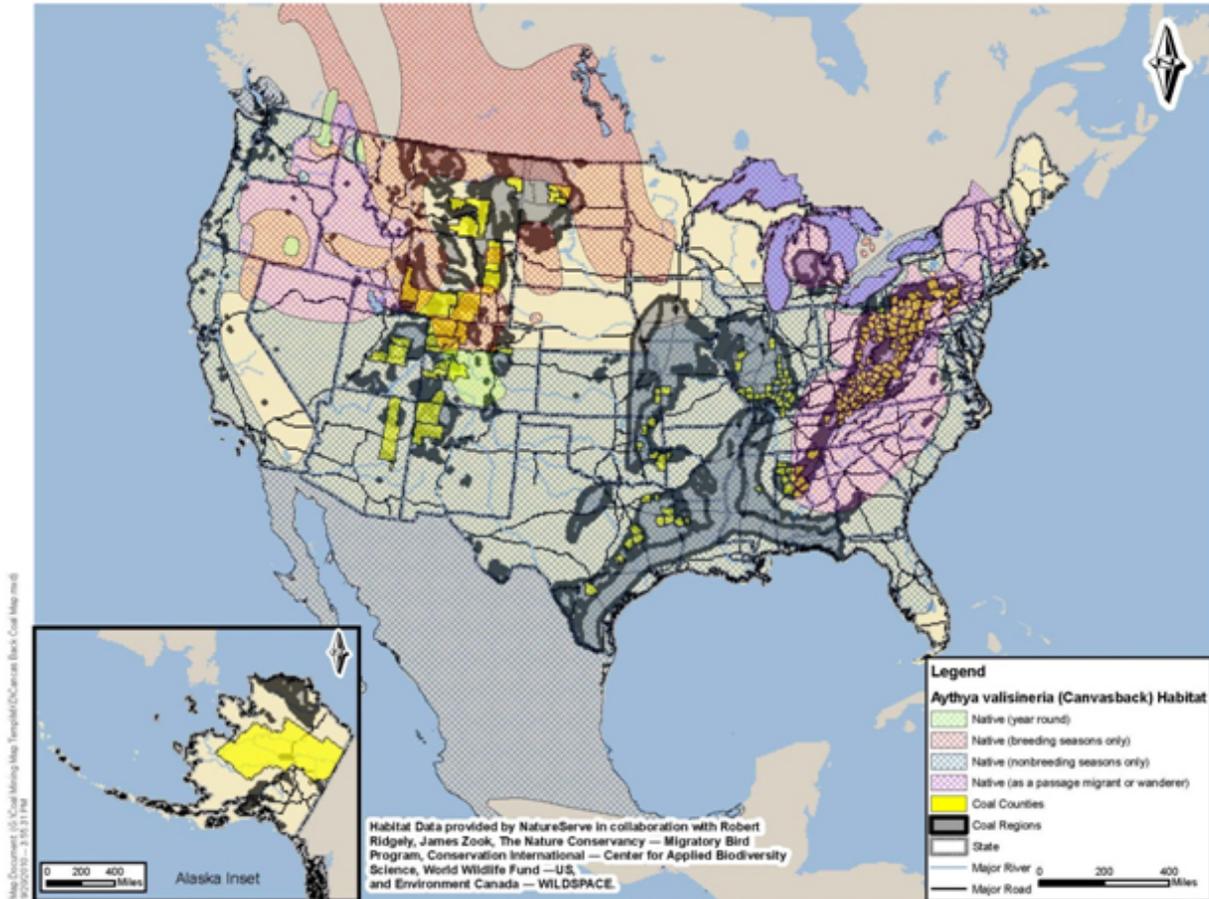
The population of the cerulean warbler has steadily declined at a rate of about three percent per year since 1966. Habitat loss is one of the primary factors contributing to the decrease of the cerulean warbler population. The forests along the Gulf of Mexico used during migration continue to be cleared for coastal development. Within its breeding range, many of the historical forests have been cleared and replaced with farms, cities and suburbs, and many forests tracts that remain are not mature or large enough to support viable populations. Forest management by the removal of the largest trees eliminates the structurally diverse canopy that cerulean warblers prefer, and second-growth stands of similar-sized and relatively young trees do not offer enough structural diversity. Small wooded tracts within a mostly cleared landscape are also unsuitable habitat.

D.3.2 Ground Nester - Mountain plover (*Charadrius montanus*)

The mountain plover is known to occur in Arizona, California, Colorado, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, and Wyoming (Andres and Stone, 2009). The Northern Rocky Mountains and Great Plains, the Colorado Plateau, and the Western Interior coal regions are located within these states. The mountain plover is native during its breeding season in Montana, Wyoming, Colorado, New Mexico, and Texas (Figure D-3) (Ridgely et al., 2003).

The mountain plover is a long distance migrant, and its preferred nesting habitat is relatively specialized, characterized by very short vegetation with significant areas of dry bare ground (e.g., sagebrush/blue gramma habitats in central Montana). Established prairie dog towns offer significant areas of bare ground. The preferred winter habitat of the mountain plover is similar to the nesting habitat: short-grass plains and fields, plowed agricultural fields, sandy deserts, and commercial sod farms. Plovers are also attracted to recent burns (Knopf and Wunder, 2006).

Figure D-3. Mountain Plover (*Charadrius montanus*) Habitat



Although there is no chance of restoration to historical population levels due to development of the western Great Plains and California, stewardship habitat management of this species concentrates on maintaining short and sparse vegetation (including the use of grazing), prescribed burning, and protection of prairie dog towns. This type of management will allow for stabilization of the declining population across North America.

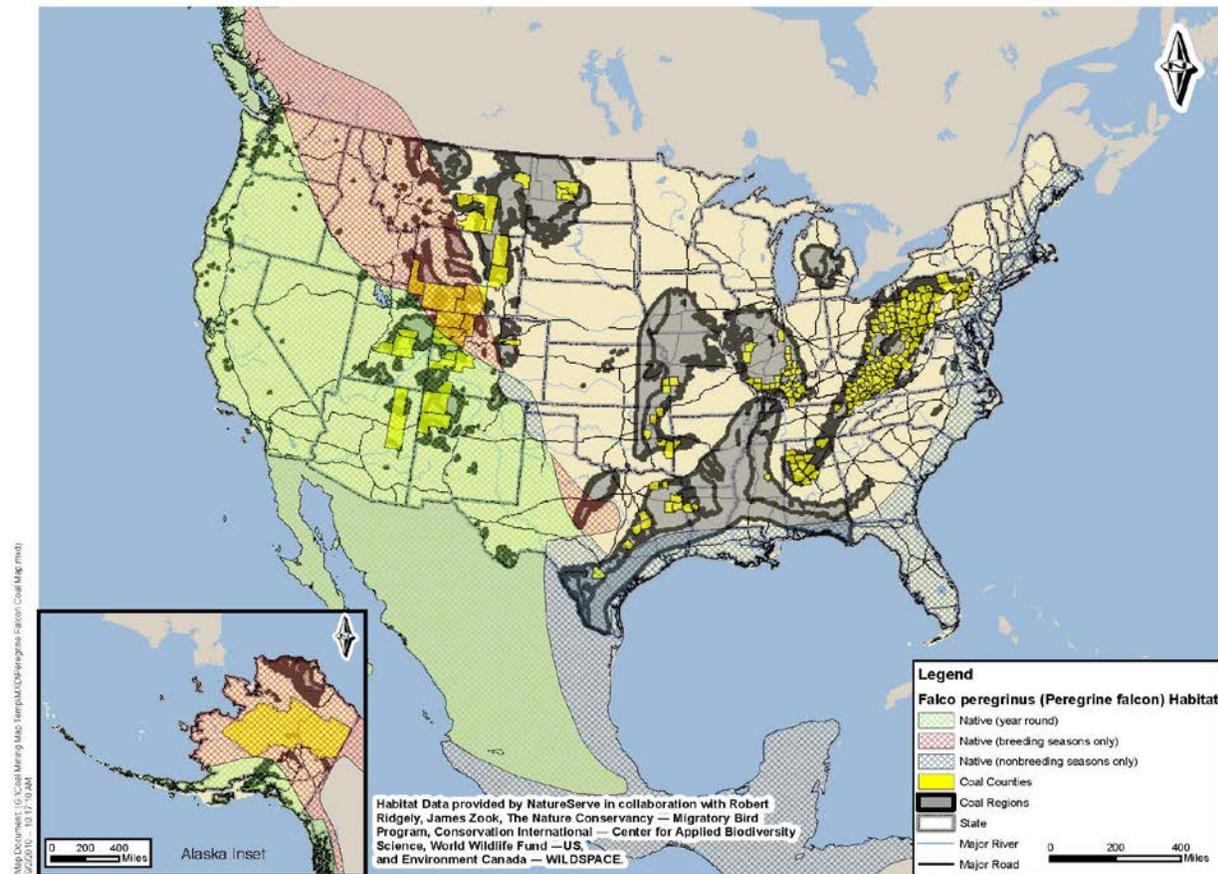
An example of stewardship occurs at the Antelope Mine in the Powder River Basin of northeast Wyoming. Surface coal mines have been present in the Powder River Basin since the early 1970s; mines in this area are located within the Northern Rocky Mountains and Great Plains Coal Region and within the Central Migratory Bird Flyway. The Antelope Mine is the only surface mine in that region known to regularly support nesting mountain plovers; nesting pairs have been monitored there annually since 1982 (McKee, 2007). In 2002, the FWS agreed to the restoration of at least 975 acres of mountain plover habitat to mitigate the habitat loss from mining that occurred from 1982 through 2003. Over 20 years of observations have documented that mountain plovers in the vicinity of the mine are most common in black-tailed prairie dog (*Cynomys ludovicianus*) colonies. In 2000, the Antelope Mine proactively initiated a pilot program to establish prairie dogs in reclaimed mining lands to recreate mountain plover

habitat. This program was enhanced in 2002 and 2003 to include the construction of artificial colonies in reclamation to support translocated prairie dogs with the purpose of creating mountain plover habitat per the 2002 agreement with the FWS (McKee, 2007).

D.3.3 Raptor - Peregrine falcon (*Falco peregrinus*)

The peregrine falcon was delisted from the Endangered Species List due to recovery in 1999, although it remains listed by some states. The peregrine falcon occurs throughout the continental U.S. (U.S. FWS, 2006b) and, therefore, could be present in all eight coal regions as a native year round (primarily the western U.S.), native during the breeding season (northwestern U.S. and northern Canada), native during the non-breeding (winter) season (Atlantic and Gulf coasts), or as migrants, as reflected in Figure D-4 (Ridgely et al., 2003).

Figure D-4. Peregrine Falcon (*Falco peregrinus*) Habitat



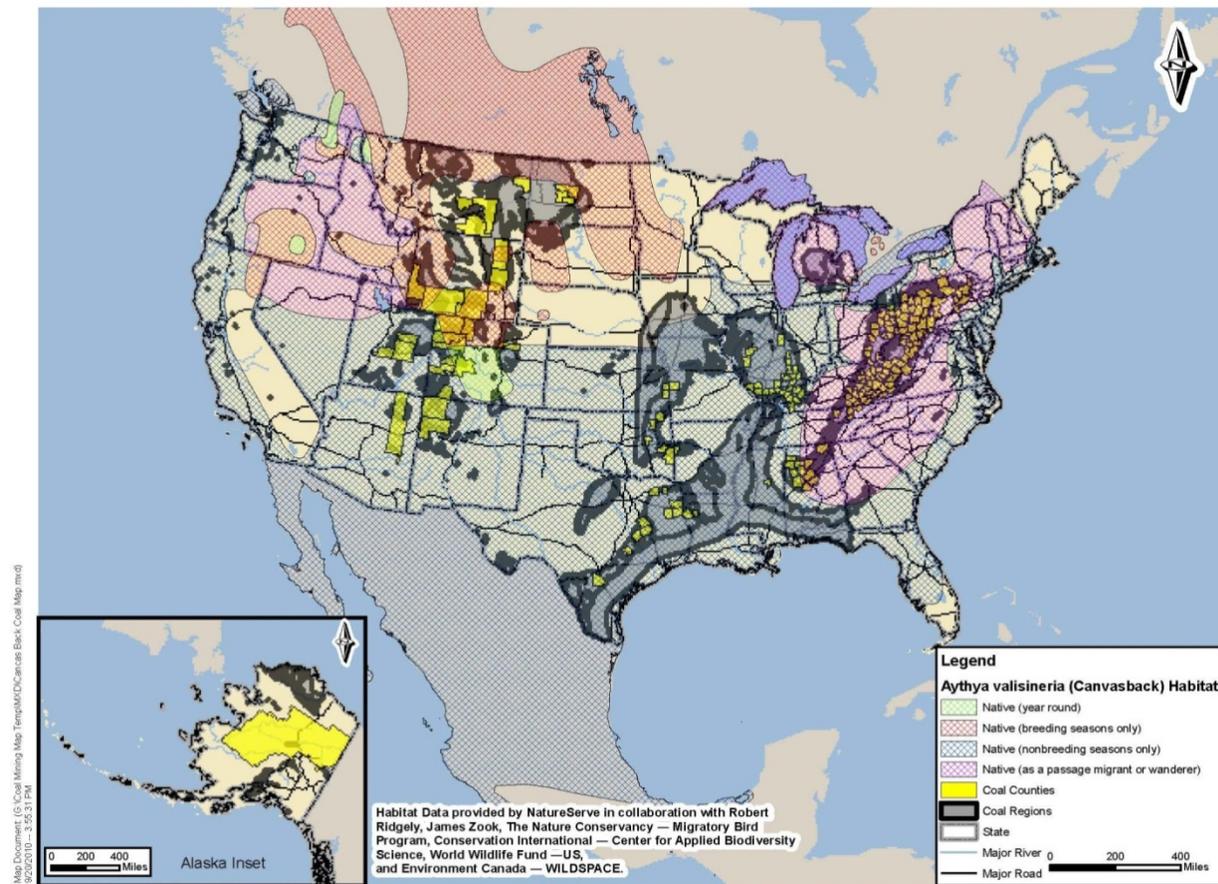
Preferred habitat for the peregrine falcon includes: mountains, forests, tundra, coastlines, and even cities. This bird can live from the tundra to the seacoast, from the high mountains and open forest to the flat savanna. Steep cliffs and rocky ledges are often used as nesting sites. Their nests are shallow scrapes in soil, sometimes taken over from other species. The peregrine wanders widely after the nesting season, regularly following its migrating prey to South America (Alsop III, 2006).

D.3.4 Waterfowl - Canvasback (*Aythya valisineria*)

The canvasback is a duck that uses and is native to areas of the Pacific, Central, and Atlantic flyways. The following U.S. coal regions are located within the flyways traversed by the canvasback for migration: Appalachian Basin, Colorado Plateau, Gulf Coast, Illinois Basin, Northwest, and Western Interior.

The canvasback nests in the prairies of North America, from Minnesota and the Dakotas in the U.S. through Manitoba, Saskatchewan, and Alberta in Canada (Bellrose, 1976; Schroeder, 1984) (Figure D-5) (Ridgely et al., 2003). The prairie wetlands (or potholes) of North America are vital to the canvasbacks, as the open water habitat of this region is its preferred nesting habitat. The female canvasbacks typically occupy floating nests in water six to 24 inches deep, vegetated by bulrush and cattail (Kruse and Takekawa, 1998).

Figure D-5. Canvasback (*Aythya valisineria*) Habitat



As winter approaches and lakes and ponds begin to freeze and harsh weather across the prairies limits food availability, the canvasback migrates to warmer climates using the Pacific Flyway, the Mississippi Flyway, and the Atlantic Flyway. During migration, canvasbacks gather in large groups in the Chesapeake and San Francisco Bays, the Mississippi Delta region and the adjacent Gulf Coast, and interior Mexico (Bellrose, 1976). Twenty five percent of that population uses the Pacific Flyway (Kruse

and Takekawa, 1998). Winter habitat for the canvasback in the Mississippi Flyway occurs in the Mississippi River delta and delta lakes in southern Louisiana (Mowbray, 2002). In the Atlantic Flyway, canvasbacks are attracted to flats areas such as the Susquehanna Flats of the Chesapeake Bay. The Susquehanna Flats offer one of the canvasback's preferred food, wild celery.

According to Kruse and Takekawa (1998), the continental population of canvasbacks has fluctuated around 580,000 individuals. A more recent report by the FWS describes the canvasback population in decline, with habitat degradation (wintering, migratory, and summer nesting grounds) the factor with the greatest adverse impact (U.S. FWS, 2011b).

Appendix E. Invasive Species and Noxious Weeds in the Coal States⁵

Over 6,500 nonindigenous species of plants and animals have become established in the U.S. (Williams and Meffee, 1998). Most of these introductions are a result of human activities. They include not only the exotic species that have arrived or been introduced from continents other than North America but also species native to North America that have been introduced to or have colonized locations on the continent outside their native ranges.

Invasive species are a significant threat to natural systems in the U.S. They have adverse economic, environmental, and ecological effects on the habitats and bioregions they invade. While all species compete to survive, invasive species have specific traits or combinations of traits that allow them to out-compete native species. Any non-native species has the ability to become invasive if it can out-compete native species for resources such as nutrients, light, physical space, water, or food. Land clearing and human habitation put significant pressure on local species, and these and other disturbed habitats are prone to invasions that can have adverse effects on local ecosystems and can change ecosystem functions. Disturbed ecosystems may afford invasive species a chance to establish themselves with less competition from native species, which tend to be less adept at competing in these changing ecosystems.

A noxious weed is a term for an invasive plant that is designated and regulated by state and federal laws, such as the federal Plant Protection Act, 7 U.S.C. § 7701 et seq. These noxious weeds are generally detrimental to agriculture, commerce, and/or public health and are recognized as a major threat to ecosystems. Noxious weeds have biological traits that enable them to colonize new areas and successfully out-compete native species. They can transform the structure and function of ecosystems through: direct competition; changes in nutrient cycling, succession, and disturbance regimes; and shifts in evolutionary selection pressures (Mack and D’Antonio, 1998). The spread of noxious weeds threatens the structure and function of many ecosystems worldwide, and certain species have the ability to spread over large areas or acutely threaten an ecosystem over its continental range (Hobbs and Humphries, 1995).

Noxious weeds occur in all states with coal reserves and can be quick to establish on disturbed sites, including land cleared for mining. The following table is a list of federally listed noxious weeds, updated by the U.S. Department of Agriculture on September 30, 2014. Most states also have established their own list of noxious weeds.

⁵ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Table E-1. Aquatic Noxious Weeds

Latin Name	Common Name(s)
<i>Azolla pinnata</i>	Mosquito fern, water velvet
<i>Caulerpa taxifolia</i> (Mediterranean strain)	Killer algae
<i>Eichhornia azurea</i>	Anchored waterhyacinth, rooted, waterhyacinth
<i>Hydrilla verticillata</i>	Hydrilla
<i>Hygrophila polysperma</i>	Miramar weed
<i>Ipomoea aquatica</i>	Water-spinach, swamp morning glory
<i>Lagarosiphon major</i>	African elodea
<i>Limnophila sessiliflora</i>	Ambulia
<i>Melaleuca quinquenervia</i>	Broadleaf paper bark tree
<i>Monochoria hastata</i>	Arrowleaf false pickerelweed
<i>Monochoria vaginalis</i>	Heartshape false pickerelweed
<i>Ottelia alismoides</i>	Duck lettuce
<i>Sagittaria sagittifolia</i>	Arrowhead
<i>Salvinia auriculata</i>	Giant salvinia
<i>Salvinia biloba</i>	Giant salvinia
<i>Salvinia herzogii</i>	Giant salvinia
<i>Salvinia molesta</i>	Giant salvinia
<i>Solanum tampicense</i>	Wetland nightshade
<i>Sparganium erectum</i>	Exotic bur-reed
Parasitic Noxious Weeds	Parasitic Noxious Weeds
<i>Aeginetia</i> spp.	Varies by species
<i>Alectra</i> spp.	Varies by species
<i>Cuscuta</i> spp.(except for natives)	Dodders
<i>Orobanche</i> spp. (except for natives)	Broomrapes
<i>Striga</i> spp.	Witchweeds
Terrestrial Noxious Weeds	Terrestrial Noxious Weeds
<i>Acacia nilotica</i>	Prickly acacia
<i>Ageratina adenophora</i>	Crofton weed
<i>Ageratina riparia</i>	Mistflower, spreading snakeroot
<i>Alternanthera sessilis</i>	Sessile joyweed
<i>Arctotheca calendula</i>	Capeweed
<i>Asphodelus fistulosus</i>	Onionweed
<i>Avena sterilis</i>	Animated oat, wild oat
<i>Carthamus oxyacantha</i>	Wild safflower
<i>Chrysopogon aciculatus</i>	Pilipiliula
<i>Commelina benghalensis</i>	Benghal dayflower
<i>Crupina vulgaris</i>	Common crupina
<i>Digitaria scalarum</i>	African couchgrass, fingergrass
<i>Digitaria velutina</i>	Velvet fingergrass, annual couchgrass
<i>Drymaria arenariodes</i>	Lightning weed
<i>Emex australis</i>	Three-corned jack
<i>Emex spinosa</i>	Devil's thorn
<i>Euphorbia terracina</i>	False caper, Geraldton carnation weed
<i>Galega officinalis</i>	Goatsrue
<i>Heracleum mantegazzianum</i>	Giant hogweed
<i>Imperata brasiliensis</i>	Brazilian satintail
<i>Imperata cylindrica</i>	Cogongrass
<i>Inula britannica</i>	British yellowhead

Latin Name	Common Name(s)
<i>Ischaemum rugosum</i>	Murainoglass
<i>Leptochloa chinensis</i>	Asian sprangletop
<i>Lycium ferocissimum</i>	African boxthorn
<i>Lygodium flexuosum</i>	Maidenhair creeper
<i>Lygodium microphyllum</i>	Old world climbing fern
<i>Melastoma malabathricum</i>	Malabar melastome
<i>Mikania cordata</i>	Mile-a-minute
<i>Mikania micrantha</i>	Bittervine
<i>Mimosa invisa</i>	Giant sensitive plant
<i>Mimosa pigra</i>	Catclaw mimosa
<i>Moraea collina</i>	Cape tulip
<i>Moraea flaccida</i>	One leaf cape tulip
<i>Moraea miniata</i>	Two leaf cape tulip
<i>Moraea ochroleuca</i>	Apricot tulip
<i>Moraea pallida</i>	Yellow tulip
<i>Nassella trichotoma</i>	Serrated tussock
<i>Onopordum acaulon</i>	Stemless thistle
<i>Onopordum illyricum</i>	Illyricum thistle
<i>Opuntia aurantiaca</i>	Jointed prickly pear
<i>Oryza longistaminata</i>	Red rice
<i>Oryza punctata</i>	Red rice
<i>Oryza rufipogon</i>	Red rice
<i>Paspalum scrobiculatum</i>	Kodo-millet
<i>Pennisetum clandestinum</i>	Kikuyugrass
<i>Pennisetum macrourum</i>	African feathergrass
<i>Pennisetum pedicellatum</i>	Kyasumagrass
<i>Pennisetum polystachion</i>	Missiongrass, thin napiergrass
<i>Prosopis alpataco</i>	Mesquite
<i>Prosopis argentina</i>	Mesquite
<i>Prosopis articulata</i>	Velvet mesquite
<i>Prosopis burkartii</i>	Mesquite
<i>Prosopis caldenia</i>	Calden
<i>Prosopis calingastana</i>	Cusqui
<i>Prosopis campestris</i>	Mesquite
<i>Prosopis castellanosi</i>	Mesquite
<i>Prosopis denudans</i>	Mesquite
<i>Prosopis elata</i>	Mesquite
<i>Prosopis farcta</i>	Syrian mesquite
<i>Prosopis ferox</i>	Mesquite
<i>Prosopis fiebrigii</i>	Mesquite
<i>Prosopis hassleri</i>	Mesquite
<i>Prosopis humilis</i>	Algaroba
<i>Prosopis kuntzei</i>	Mesquite
<i>Prosopis pallida</i>	Kiawe, algarroba
<i>Prosopis palmeri</i>	Mesquite
<i>Prosopis reptans</i>	Tornillo
<i>Prosopis rojasiana</i>	Mesquite
<i>Prosopis ruizlealii</i>	Mesquite
<i>Prosopis ruscifolia</i>	Mesquite
<i>Prosopis sericantha</i>	Mesquite
<i>Prosopis strombulifera</i>	Argentine screwbean
<i>Prosopis torquata</i>	Mesquite

Latin Name	Common Name(s)
<i>Rottboellia cochinchinensis</i>	Itchgrass
<i>Rubus fruticosus</i>	Wild blackberry
<i>Rubus moluccanus</i>	Wild raspberry
<i>Saccharum spontaneum</i>	Wild sugarcane
<i>Sagittaria sagittifolia</i>	Arrowhead
<i>Salsola vermiculata</i>	Wormleaf salsola
<i>Senecio inaequidens</i>	South African ragwort
<i>Senecio madagascariensis</i>	Fireweed
<i>Setaria pumila</i> ssp. <i>pallidefusca</i> (Now ssp. <i>subtesselata</i>)	Cattail grass
<i>Solanum torvum</i>	Turkeyberry
<i>Solanum viarum</i>	Tropical soda apple
<i>Spermacoce alata</i>	Winged false buttonweed
<i>Tridax procumbens</i>	Coat buttons
<i>Urochloa panicoides</i>	Liverseed grass

Appendix F. State and Federally Listed Species from 193 Coal Counties in the U.S.⁶

Table F-1. Species Potentially Affected By These Actions⁷

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild
Amphibians	Jemez Mountains salamander	<i>Plethodon neomexicanus</i>	LE, CH	CP	Salamanders
Amphibians	Cheat Mountain salamander	<i>Plethodon nettingi</i>	LT	AP	Salamanders
Amphibians	Chiricahua leopard Frog	<i>Lithobates chiricahuensis</i>	LT,CH	CP	Frogs
Birds	Gunnison sage-grouse	<i>Centrocercus minimus</i>	LT, CH	CP	Grouse
Birds	Southwestern willow flycatcher	<i>Empidonax traillii extimus</i>	LE,CH	CP, NRM,	Passerines
Birds	Red-cockaded woodpecker	<i>Picoides borealis</i>	LE	AP, G	Woodpeckers
Birds	California condor	<i>Gymnogyps californianus</i>	XN	CP	Raptors
Birds	Mexican spotted owl	<i>Strix occidentalis lucida</i>	LT,CH	CP, NRM	Raptors
Birds	Piping plover	<i>Charadrius melodus</i>	LE,CH	AP, CP, I, NRM,	Shorebird
Birds	Interior least tern	<i>Sternula antillarum</i>	LE	CP, G, I, NRM, WI	Shorebird
Birds	Yellow billed cuckoo	<i>Coccyzus americanus</i>	LT	CP, NRM	Other
Crustaceans	Illinois cave amphipod	<i>Gammarus acherondytes</i>	LE	I	Amphipod
Crustaceans	Kentucky cave shrimp	<i>Palaemonias ganteri</i>	LE	I	Freshwater shrimp
Crustaceans	Big Sandy crayfish	<i>Cambarus callainus</i>	PE	AP	Crayfish
Crustaceans	Guyandotte River crayfish	<i>Cambarus veteranus</i>	PE	AP	Crayfish
Fishes	Diamond darter	<i>Crystallaria cincotta</i>	LE, CH	AP, I	Darters
Fishes	Bluemask darter	<i>Etheostoma akatulo</i>	LE	AP	Darters
Fishes	Vermilion darter	<i>Etheostoma chermocki</i>	LE	AP, WI	Darters
Fishes	Relict darter	<i>Etheostoma chienense</i>	LE	G	Darters
Fishes	Watercress darter	<i>Etheostoma nuchale</i>	LE	AP	Darters
Fishes	Duskytail darter	<i>Etheostoma percnum</i>	LE	AP	Darters
Fishes	Rush darter	<i>Etheostoma phytophilum</i>	LE, CH	AP	Darters
Fishes	Bayou darter	<i>Etheostoma rubrum</i>	LT	G	Darters
Fishes	Kentucky arrow darter	<i>Etheostoma spilotum</i>	PLT	AP	Darters
Fishes	Cumberland darter	<i>Etheostoma susanae</i>	LE, CH	AP	Darters
Fishes	Goldline darter	<i>Percina aurolineata</i>	LT,CH	AP	Darters
Fishes	Snail darter	<i>Percina tanasi</i>	LT	AP	Darters
Fishes	Yellowfin madtom	<i>Noturus flavipinnis</i>	LT,CH	AP	Madtom

⁶ As contained in the 2016 Biological Assessment on the Stream Protection Rule

⁷ Coal Basin: AP – Appalachian; CP- Colorado Plateau; G – Gulf; I – Illinois; NRM – Northern Rocky Mountains; WI – Western Interior. Federal Status: LE – Listed Endangered; LT – Listed Threatened; XN – Experimental Non-essential; DL – Delisted; NL – Not listed; CH – Critical Habitat designated; P – Proposed

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild
Fishes	Neosho madtom	<i>Noturus placidus</i>	LT	WI	Madtom
Fishes	Laurel dace	<i>Chrosomus saylora</i>	LE,CH	AP	Minnnows
Fishes	Spotfin chub	<i>Erimonax monachus</i>	LT, XN, XN,CH	AP	Minnnows
Fishes	Slender chub	<i>Erimystax cahni</i>	LT,CH	AP	Minnnows
Fishes	Humpback chub	<i>Gila cypha</i>	LE,CH	CP, NRM	Minnnows
Fishes	Bonytail	<i>Gila elegans</i>	LE,CH	CP, NRM	Minnnows
Fishes	Rio Grande silvery minnow	<i>Hybognathus amarus</i>	LE,CH	CP	Minnnows
Fishes	Little Colorado spinedace	<i>Lepidomeda vittata</i>	LE,CH	CP	Minnnows
Fishes	Palezone shiner	<i>Notropis albizonatus</i>	LE	AP	Minnnows
Fishes	Cahaba shiner	<i>Notropis cahabae</i>	LE,	AP	Minnnows
Fishes	Blackside dace	<i>Phoxinus cumberlandensis</i>	LT	AP	Minnnows
Fishes	Colorado pikeminnow	<i>Ptychocheilus lucius</i>	LE,CH	CP, NRM	Minnnows
Fishes	Loach minnow	<i>Tiaroga cobitis</i>	LE	CP	Minnnows
Fishes	Gulf sturgeon	<i>Acipenser oxyrinchus (=oxyrhynchus) desotoi</i>	LT, CH	G	Sturgeon
Fishes	Pallid sturgeon	<i>Scaphirhynchus albus</i>	LE	G, I, NRM, WI	Sturgeon
Fishes	Alabama sturgeon	<i>Scaphirhynchus suttkusi</i>	LE, CH	AP	Sturgeon
Fishes	Zuni bluehead sucker	<i>Catostomus discobolus yarrowi</i>	LE, PCH	CP	Suckers
Fishes	Razorback sucker	<i>Xyrauchen texanus</i>	LE,CH	CP, NRM	Suckers
Fishes	Apache trout	<i>Oncorhynchus apache</i>	LT	CP	Trout
Fishes	Greenback cutthroat trout	<i>Oncorhynchus clarki stomias</i>	LT	CP, NRM	Trout
Fishes	Gila trout	<i>Oncorhynchus gilae</i>	LT	CP	Trout
Insects	Mitchell's satyr butterfly	<i>Neonympha mitchellii mitchellii</i>	LE	AP	Butterfly
Insects	American burying beetle	<i>Nicrophorus americanus</i>	LE	AP, WI	Beetle
Mammals	Virginia big-eared bat	<i>Corynorhinus townsendii virginianus</i>	LE, CH	AP	Bat
Mammals	Gray bat	<i>Myotis grisescens</i>	LE	AP, G, I, WI	Bat
Mammals	Northern long-eared bat	<i>Myotis septentrionalis</i>	LT	AP, G, I,WI	Bat
Mammals	Indiana bat	<i>Myotis sodalis</i>	LE,CH	AP, G, I	Bat
Mammals	Black-footed ferret	<i>Mustela nigripes</i>	LE, XN	CP, NRM	Ferret
Mammals	Utah prairie dog	<i>Cynomys parvidens</i>	LT	CP	Other
Mammals	New Mexico meadow jumping mouse	<i>Zapus hudsonius luteus</i>	LE, PCH	CP, NRM	Jumping mouse
Mammals	Preble's meadow jumping mouse	<i>Zapus hudsonius preblei</i>	LT, CH	NRM	Jumping mouse
Mollusks	Oauchita rock pocketbook	<i>Arkansia wheeleri</i>	LE	G	Mussel
Mollusks	Cumberland elktoe	<i>Alasmidonta atropurpurea</i>	LE, CH	AP	Mussel
Mollusks	Spectaclecase	<i>Cumberlandia monodonta</i>	LE	AP, G, I, WI	Mussel
Mollusks	Fanshell	<i>Cyprogenia stegaria</i>	LE	AP, G, I	Mussel
Mollusks	Dromedary pearlymussel	<i>Dromus dromas</i>	LE	AP	Mussel
Mollusks	Cumberlandian combshell	<i>Epioblasma brevidens</i>	LE, CH	AP	Mussel
Mollusks	Oyster mussel	<i>Epioblasma capsaeformis</i>	LE, CH	AP	Mussel
Mollusks	Tan riffleshell	<i>Epioblasma florentina walkeri</i>	LE	AP	Mussel
Mollusks	Purple cat's paw pearly mussel	<i>Epioblasma obliquata obliquata</i>	LE	AP, I	Mussel
Mollusks	Northern riffleshell	<i>Epioblasma torulosa rangiana</i>	LE	AP, I	Mussel
Mollusks	Snuffbox	<i>Epioblasma triquetra</i>	LE	AP, I	Mussel
Mollusks	Shiny pigtoe	<i>Fusconaia cor</i>	LE	AP	Mussel
Mollusks	Finerayed pigtoe	<i>Fusconaia cuneolus</i>	LE	AP	Mussel

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild
Mollusks	Finelined pocketbook	<i>Hamiota altilis</i>	LT, CH	AP	Mussel
Mollusks	Orangenacre mucket	<i>Hamiota perovalis</i>	LT, CH	AP, G	Mussel
Mollusks	Cracking pearlymussel	<i>Hemistena lata</i>	LE	AP	Mussel
Mollusks	Pink mucket	<i>Lampsilis abrupta</i>	LE	AP, G, I	Mussel
Mollusks	Arkansas fatmucket	<i>Lampsilis powelli</i>	LT	G	Mussel
Mollusks	Neosho mucket	<i>Lampsilis rafinesqueana</i>	LE, CH	WI	Mussel
Mollusks	Alabama lampmussel	<i>Lampsilis virescens</i>	LE	AP	Mussel
Mollusks	Birdwing pearlymussel	<i>Lemiox rimosus</i>	LE	AP	Mussel
Mollusks	Louisiana pearlshell	<i>Margaritifera hembeli</i>	LT	G	Mussel
Mollusks	Alabama moccasinshell	<i>Medionidus accutissimus</i>	LT, CH	AP, G	Mussel
Mollusks	Ring pink	<i>Obovaria retusa</i>	LE, XN	G, I	Mussel
Mollusks	Littlewing pearlymussel	<i>Pegias fabula</i>	LE	AP	Mussel
Mollusks	Orangefoot pimpleback	<i>Plethobasus cooperianus</i>	LE	G, I	Mussel
Mollusks	Sheepnose	<i>Plethobasus cyphus</i>	LE	AP, G, I	Mussel
Mollusks	Clubshell	<i>Pleurobema clava</i>	LE	AP, G, I	Mussel
Mollusks	Southern clubshell	<i>Pleurobema decisum</i>	LE, CH	AP, G	Mussel
Mollusks	Dark pigtoe	<i>Pleurobema furvum</i>	LE, CH	AP	Mussel
Mollusks	Southern pigtoe	<i>Pleurobema georgianum</i>	LE, CH	AP	Mussel
Mollusks	Cumberland pigtoe	<i>Pleurobema gibberum</i>	LE	AP	Mussel
Mollusks	Ovate clubshell	<i>Pleurobema perovatum</i>	LE, CH	AP	Mussel
Mollusks	Rough pigtoe	<i>Pleurobema plenum</i>	LE	AP, G, I,	Mussel
Mollusks	Slabside pearlymussel	<i>Pleurobema dolabellodes</i>	LE, CH	AP	Mussel
Mollusks	Fat pocketbook	<i>Potamilus capax</i>	LE	G, I	Mussel
Mollusks	Inflated heelsplitter	<i>Potamilus inflatus</i>	LT	AP, G	Mussel
Mollusks	Triangular kidneyshell	<i>Ptychobranhus greenii</i>	LE, CH	AP	Mussel
Mollusks	Fluted kidneyshell	<i>Ptychobranhus subtentum</i>	LE, CH	AP	Mussel
Mollusks	Rabbitsfoot	<i>Quadrula cylindrica cylindrica</i>	LT, CH	AP, G, I, WI	Mussel
Mollusks	Rough rabbitsfoot	<i>Quadrula cylindrica strigillata</i>	LE, CH	AP	Mussel
Mollusks	Winged mapleleaf	<i>Quadrula fragosa</i>	LE	G	Mussel
Mollusks	Cumberland monkeyface	<i>Quadrula intermedia</i>	LE	AP	Mussel
Mollusks	Appalachian monkeyface	<i>Quadrula sparsa</i>	LE	AP	Mussel
Mollusks	Pale lilliput	<i>Toxolasma cylindrellus</i>	LE	AP	Mussel
Mollusks	Rayed bean	<i>Villosa fabalis</i>	LE	AP	Mussel
Mollusks	Purple bean	<i>Villosa perpurpurea</i>	LE, CH	AP	Mussel
Mollusks	Cumberland bean	<i>Villosa trabalis</i>	LE	AP	Mussel
Mollusks	Anthony's riversnail	<i>Athearnia anthonyi</i>	LE, XN	AP	Aquatic snail
Mollusks	Round rocksnail	<i>Leptoxis ampla</i>	LT	AP	Aquatic snail
Mollusks	Plicate rocksnail	<i>Leptoxis plicata</i>	LE	AP	Aquatic snail
Mollusks	Flat pebblesnail	<i>Lepyrium showalteri</i>	LE	AP	Aquatic snail
Mollusks	Cylindrical lioplax	<i>Lioplax cyclostomaformis</i>	LE	AP	Aquatic snail
Mollusks	Flat-spined three-toothed snail	<i>Triodopsis platysayoides</i>	LT	AP	Terrestrial snail
Plants	Northern monkshood	<i>Aconitum noveboracense</i>	LT	AP	Hydric plants
Plants	Decurrent false aster	<i>Boltonia decurrens</i>	LT	I	Hydric plants
Plants	Navajo sedge	<i>Carex specuicola</i>	LT,CH	CP	Hydric plants

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild
Plants	Morefield's leather-flower	<i>Clematis morefieldii</i>	LE	AP	Hydric plants
Plants	Cumberland rosemary	<i>Conradina verticillata</i>	LT	AP	Hydric plants
Plants	Pecos sunflower	<i>Helianthus paradoxus</i>	LT	CP	Hydric plants
Plants	Louisiana quillwort	<i>Isoetes louisianensis</i>	LE	G	Hydric plants
Plants	Pondberry	<i>Lindera melissifolia</i>	LE	G	Hydric plants
Plants	White fringeless orchid	<i>Platanthera integrilabia</i>	PT	AP, G	Hydric plants
Plants	Eastern prairie fringed orchid	<i>Platanthera leucophaea</i>	LT	AP, I	Hydric plants
Plants	Harperella	<i>Ptilimum nodosum</i>	LE	AP	Hydric plants
Plants	Kral's water plantain	<i>Sagittaria secundifolia</i>	LT	AP	Hydric plants
Plants	Green pitcher plant	<i>Sarracenia oreophila</i>	LE	AP	Hydric plants
Plants	Northeastern bulrush	<i>Scirpus anchistrochaetus</i>	LE	AP	Hydric plants
Plants	Virginia spiraea	<i>Spiraea virginiana</i>	LT	AP	Hydric plants
Plants	Ute ladies' tresses	<i>Spiranthes diluvialis</i>	LT	NRM	Hydric plants
Plants	Alabama streak-sorus fern	<i>Thelypteris pilosa var. alabamensis</i>	LT	AP	Hydric plants
Plants	Tennessee yellow-eyed grass	<i>Xyris tennesseensis</i>	LE	AP	Hydric plants
Plants	Price's potato-bean	<i>Apios priceana</i>	LT	AP, G, I	Mesic plants
Plants	Georgia rockcress	<i>Arabis georgiana</i>	LT	AP, G	Mesic plants
Plants	Cumberland sandwort	<i>Arenaria cumberlandensis</i>	LE	AP	Mesic plants
Plants	Mead's milkweed	<i>Asclepias meadii</i>	LT	I, WI	Mesic plants
Plants	American hart's-tongue fern	<i>Asplenium scolopendrium</i>	LT	AP	Mesic plants
Plants	Alabama leather flower	<i>Clematis socialis</i>	LE	AP	Mesic plants
Plants	Leafy prairie clover	<i>Dalea foliosa</i>	LE	I	Mesic plants
Plants	Earthfruit	<i>Geocarpon minimum</i>	LT	G, WI	Mesic plants
Plants	Pagosa skyrocket	<i>Ipomopsis polyantha</i>	LE	CP	Mesic plants
Plants	Small whorled pogonia	<i>Isotria medeoloides</i>	LT	AP, I	Mesic plants
Plants	Prairie bush clover	<i>Lespedeza leptostachya</i>	LT	I	Mesic plants
Plants	Mohr's Barbara button	<i>Marshallia mohrii</i>	LT	AP	Mesic plants
Plants	Short's bladderpod	<i>Physaria globosa</i>	LE, CH	AP	Mesic plants
Plants	Western prairie fringed orchid	<i>Platanthera praeclara</i>	LT	NRM, WI	Mesic plants
Plants	Gentian pinkroot	<i>Spigelia gentianoides</i>	LE	AP	Mesic plants
Plants	Running buffalo clover	<i>Trifolium stoloniferum</i>	LE	AP	Mesic plants
Plants	Welsh's milkweed	<i>Asclepias welshii</i>	LT, CH	CP	Xeric plants
Plants	Mancos milk-vetch	<i>Astragalus humillimus</i>	LE	CP	Xeric plants
Plants	Zuni fleabane	<i>Erigeron rhizomatus</i>	LT	CP	Xeric plants
Plants	Dudley Bluffs bladderpod	<i>Lesquerella congesta</i>	LT	CP	Xeric plants
Plants	San Rafael cactus	<i>Pediocactus despainii</i>	LE	CP	Xeric plants
Plants	Knowlton's cactus	<i>Pediocactus knowltonii</i>	LE	CP	Xeric plants
Plants	Peebles Navajo cactus	<i>Pediocactus peeblesianus var. peeblesianus</i>	LE	CP	Xeric plants
Plants	Winkler pincushion cactus	<i>Pediocactus winkleri</i>	LT	CP	Xeric plants
Plants	Parachute beardtongue	<i>Penstemon debilis</i>	LT, CH	CP	Xeric plants
Plants	Clay phacelia	<i>Phacelia argillacea</i>	LE	CP	Xeric plants
Plants	North Park phacelia	<i>Phacelia formosula</i>	LE	NRM	Xeric plants
Plants	Debeque phacelia	<i>Phacelia submutica</i>	LT, CH	CP	Xeric plants
Plants	Dudley Bluffs twinpod	<i>Physaria obcordata</i>	LT	CP	Xeric plants

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild
Plants	Colorado hookless cactus	<i>Sclerocactus glaucus</i>	LT	CP	Xeric plants
Plants	Mesa Verde cactus	<i>Sclerocactus mesae-verdae</i>	LT	CP	Xeric plants
Plants	Wright fishhook cactus	<i>Sclerocactus wrightiae</i>	LE	CP	Xeric plants
Reptiles	Northern Mexican garter snake	<i>Thamnophis eques megalops</i>	LT	CP	Snake
Reptiles	Narrow-headed garter snake	<i>Thamnophis rufipunctatus</i>	LT, PCH	CP	Snake
Reptiles	Black pine Snake	<i>Pituophis melanoleucus lodingi</i>	LT, PCH	G	Snake
Reptiles	Eastern massasauga	<i>Sistrurus catenatus</i>	PLT	AP, I, WI	Snake
Reptiles	Yellow-blotched map turtle	<i>Graptemys flavimaculata</i>	LT	G	Turtle
Reptiles	Ringed map turtle	<i>Graptemys oculifera</i>	LT	G	Turtle
Reptiles	Flattened musk turtle	<i>Sternotherus depressus</i>	LT	AP	Turtle
Reptiles	Bog turtle	<i>Clemmys muhlenbergii</i>	LT	AP	Turtle

Table 2. Species Range and Critical Habitat Overlap with Mineable Coal

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild	Total Range Area Overlapping Mineable Coal (%)	Total Critical Habitat Overlapping Mineable Coal (%)
Amphibians	Chiricahua leopard frog	<i>Rana chiricahuensis</i>	LT,CH	CP	Frogs	0.7	
Amphibians	Jemez Mountains salamander	<i>Plethodon neomexicanus</i>	LE, CH	CP	Salamanders	11.1	
Amphibians	Cheat Mountain salamander	<i>Plethodon nettingi</i>	LT	AP	Salamanders	20.8	
Birds	Whooping crane	<i>Grus americana</i>	LE, CH	NRM, WI	Cranes/Storks	12.9	
Birds	Wood stork	<i>Mycteria americana</i>	LE	AP, G	Cranes/Storks	6.6	
Birds	Yellow-billed cuckoo	<i>Coccyzus americanus</i>	LT	CP, NRM	Cuckoos	3.5	1.4
Birds	Gunnison sage-grouse	<i>Centrocercus minimus</i>	LT, CH	CP	Grouse	6.6	1.9
Birds	Southwestern willow flycatcher	<i>Empidonax traillii extimus</i>	LE,CH	CP, NRM,	Passerines	4.1	0.3
Birds	California condor	<i>Gymnogyps californianus</i>	XN	CP	Raptors	2.2	
Birds	Mexican spotted owl	<i>Strix occidentalis lucida</i>	LT,CH	CP, NRM	Raptors	5.2	4.2
Birds	Piping plover	<i>Charadrius melodus</i>	LE,CH	AP, CP, I, NRM,	Shorebirds	12.0	32.3
Birds	Interior least tern	<i>Sternula antillarum</i>	LE	CP, G, I, NRM, WI	Shorebirds	16.2	
Birds	Red-cockaded woodpecker	<i>Picoides borealis</i>	LE	AP, G	Woodpeckers	12.1	
Crustaceans	Illinois cave amphipod	<i>Gammarus acherondytes</i>	LE	I	Amphipods	52.4	
Crustaceans	Kentucky cave shrimp	<i>Palaemonias ganteri</i>	LE	I	Freshwater shrimp	8.7	
Crustaceans	Big Sandy crayfish	<i>Cambarus callainus</i>	PLE	AP	Crayfish	98.0	
Crustaceans	Guyandotte River crayfish	<i>Cambarus veteranus</i>	PLE	AP	Crayfish	100.0	
Fishes	Diamond darter	<i>Crystallaria cincotta</i>	LE, CH	AP, I	Darters	92.6	22.9
Fishes	Bluemask darter	<i>Etheostoma akatulo</i>	LE	AP	Darters	44.2	
Fishes	Vermilion darter	<i>Etheostoma chermocki</i>	LE	AP, WI	Darters	45.6	
Fishes	Relict darter	<i>Etheostoma chienense</i>	LE	G	Darters	72.6	
Fishes	Watercress darter	<i>Etheostoma nuchale</i>	LE	AP	Darters	56.1	
Fishes	Duskytail darter	<i>Etheostoma percunurum</i>	LE	AP	Darters	34.4	
Fishes	Rush darter	<i>Etheostoma phytophilum</i>	LE, CH	AP	Darters	35.3	9.5
Fishes	Bayou darter	<i>Etheostoma rubrum</i>	LT	G	Darters	7.1	
Fishes	Kentucky arrow darter	<i>Etheostoma spilotum</i>	PLT	AP	Darters		
Fishes	Cumberland darter	<i>Etheostoma susanae</i>	LE, CH	AP	Darters	84.4	Has CH, is not in GIS
Fishes	Goldline darter	<i>Percina aurolineata</i>	LT,CH	AP	Darters	28.1	
Fishes	Snail darter	<i>Percina tanasi</i>	LT	AP	Darters	11.9	
Fishes	Yellowfin madtom	<i>Noturus flavipinnis</i>	LT,CH	AP	Madtoms	8.1	
Fishes	Neosho madtom	<i>Noturus placidus</i>	LT	WI	Madtoms	21.9	
Fishes	Laurel dace	<i>Chrosomus saylori</i>	LE,CH	AP	Minnnows	60.6	100.0
Fishes	Spotfin chub	<i>Erimonax monachus</i>	LT, XN, XN,CH	AP	Minnnows	21.5	54.5
Fishes	Slender chub	<i>Erimystax cahni</i>	LT,CH	AP	Minnnows	23.0	2.4
Fishes	Humpback chub	<i>Gila cypha</i>	LE,CH	CP, NRM	Minnnows	6.5	9.0

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild	Total Range Area Overlapping Mineable Coal (%)	Total Critical Habitat Overlapping Mineable Coal (%)
Fishes	Bonytail	<i>Gila elegans</i>	LE,CH	CP, NRM	Minnnows	5.3	2.1
Fishes	Rio Grande silvery minnow	<i>Hybognathus amarus</i>	LE,CH	CP	Minnnows	2.1	
Fishes	Little Colorado spinedace	<i>Lepidomeda vittata</i>	LE,CH	CP	Minnnows	1.2	
Fishes	Palezone shiner	<i>Notropis albizonatus</i>	LE	AP	Minnnows	44.7	
Fishes	Cahaba shiner	<i>Notropis cahabae</i>	LE,	AP	Minnnows	43.0	
Fishes	Blackside dace	<i>Phoxinus cumberlandensis</i>	LT	AP	Minnnows	62.5	
Fishes	Colorado pikeminnow	<i>Ptychocheilus lucius</i>	LE,CH	CP, NRM	Minnnows	9.6	6.1
Fishes	Loach minnow	<i>Tiaroga cobitis</i>	LE	CP	Minnnows	1.3	
Fishes	Gulf sturgeon	<i>Acipenser oxyrinchus (=oxyrhynchus) desotoi</i>	LT, CH	G	Sturgeon	7.8	8.6
Fishes	Pallid sturgeon	<i>Scaphirhynchus albus</i>	LE	G, I, NRM, WI	Sturgeon	27.1	
Fishes	Alabama sturgeon	<i>Scaphirhynchus suttkusi</i>	LE, CH	AP	Sturgeon	24.9	14.4
Fishes	Zuni bluehead sucker	<i>Catostomus discobolus yarrowi</i>	LE, PCH	CP	Suckers	24.3	18.2
Fishes	Razorback sucker	<i>Xyrauchen texanus</i>	LE,CH	CP, NRM	Suckers	5.0	0.6
Fishes	Apache trout	<i>Oncorhynchus apache</i>	LT	CP	Trout	0.3	
Fishes	Greenback cutthroat trout	<i>Oncorhynchus clarki stomias</i>	LT	CP, NRM	Trout	6.2	
Fishes	Gila trout	<i>Oncorhynchus gilae</i>	LT	CP	Trout	0.5	
Insects	Mitchell's satyr butterfly	<i>Neonympha mitchellii mitchellii</i>	LE	AP	Butterflies	9.6	
Insects	American burying beetle	<i>Nicrophorus americanus</i>	LE	AP, WI	Carrion Beetles	18.4	
Mammals	Virginia big-eared bat	<i>Corynorhinus (=Plecotus) townsendii virginianus</i>	LE, CH	AP	Bats	27.6	
Mammals	Gray bat	<i>Myotis grisescens</i>	LE	AP, G, I, WI	Bats	21.3	
Mammals	Northern long-eared bat	<i>Myotis septentrionalis</i>	PLE	AP, G, I, WI	Bats	18.8	
Mammals	Indiana bat	<i>Myotis sodalis</i>	LE,CH	AP, G, I	Bats	31.6	
Mammals	New Mexico meadow jumping mouse	<i>Zapus hudsonius luteus</i>	LE, PCH	CP, NRM	Jumping Mice	7.2	9.0
Mammals	Preble's meadow jumping mouse	<i>Zapus hudsonius preblei</i>	LT, CH	NRM	Jumping Mice	3.9	4.4
Mammals	Utah prairie dog	<i>Cynomys parvidens</i>	LT	CP	Other Mammals	13.0	
Mammals	Black-footed ferret	<i>Mustela nigripes</i>	LE, XN	CP, NRM	Other Mammals	10.7	
Mollusks	Anthony's riversnail	<i>Athearnia anthonyi</i>	LE, XN	AP	Aquatic Snails	22.8	
Mollusks	Round rocksnail	<i>Leptoxis ampla</i>	LT	AP	Aquatic Snails	37.6	
Mollusks	Interrupted rocksnail	<i>Leptoxis foremani</i>	LE, CH	AP	Aquatic Snails	3.4	
Mollusks	Plicate rocksnail	<i>Leptoxis plicata</i>	LE	AP	Aquatic Snails	45.6	
Mollusks	Flat pebblesnail	<i>Lepyrium showalteri</i>	LE	AP	Aquatic Snails	23.2	
Mollusks	Cylindrical lioplax	<i>Lioplax cyclostomaformis</i>	LE	AP	Aquatic Snails	37.6	
Mollusks	Cumberland elktoe	<i>Alasmidonta atropurpurea</i>	LE, CH	AP	Mussels	64.5	82.4

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild	Total Range Area Overlapping Mineable Coal (%)	Total Critical Habitat Overlapping Mineable Coal (%)
Mollusks	Oauchita rock pocketbook	<i>Arkansia wheeleri</i>	LE	G	Mussels	20.5	
Mollusks	Spectaclecase	<i>Cumberlandia monodonta</i>	LE	AP, G, I, WI	Mussels	12.3	
Mollusks	Fanshell	<i>Cyprogenia stegaria</i>	LE	AP, G, I	Mussels	40.7	
Mollusks	Dromedary pearlymussel	<i>Dromus dromas</i>	LE	AP	Mussels	9.9	
Mollusks	Cumberlandian combshell	<i>Epioblasma brevidens</i>	LE, CH	AP	Mussels	20.8	26.9
Mollusks	Oyster mussel	<i>Epioblasma capsaeformis</i>	LE, CH	AP	Mussels	12.8	26.9
Mollusks	Tan riffleshell	<i>Epioblasma florentina walkeri</i> (= <i>E. walkeri</i>)	LE	AP	Mussels	28.4	
Mollusks	Purple cat's paw pearly mussel	<i>Epioblasma obliquata obliquata</i>	LE	AP, I	Mussels	40.6	
Mollusks	Northern riffleshell	<i>Epioblasma torulosa rangiana</i>	LE	AP, I	Mussels	11.9	
Mollusks	Snuffbox	<i>Epioblasma triquetra</i>	LE	AP, I	Mussels	17.5	
Mollusks	Shiny pigtoe	<i>Fusconaia cor</i>	LE	AP	Mussels	15.1	
Mollusks	Finerayed pigtoe	<i>Fusconaia cuneolus</i>	LE	AP	Mussels	12.0	
Mollusks	Finelined pocketbook	<i>Lampsilis altilis</i>	LT, CH	AP	Mussels	14.5	10.7
Mollusks	Orangenacre mucket	<i>Lampsilis perovalis</i>	LT, CH	AP, G	Mussels	21.9	19.6
Mollusks	Cracking pearlymussel	<i>Hemistena lata</i>	LE	AP	Mussels	17.0	
Mollusks	Pink mucket	<i>Lampsilis abrupta</i>	LE	AP, G, I	Mussels	18.6	
Mollusks	Arkansas fatmucket	<i>Lampsilis powellii</i>	LT	G	Mussels	21.0	
Mollusks	Neosho mucket	<i>Lampsilis rafinesqueana</i>	LE, CH	WI	Mussels	23.5	27.0
Mollusks	Alabama lampmussel	<i>Lampsilis virescens</i>	LE	AP	Mussels	17.9	
Mollusks	Birdwing pearlymussel	<i>Lemiox rimosus</i>	LE	AP	Mussels	16.9	
Mollusks	Louisiana pearlshell	<i>Margaritifera hembeli</i>	LT	G	Mussels	4.0	
Mollusks	Alabama moccasinshell	<i>Medionidus acutissimus</i>	LT, CH	AP, G	Mussels	20.6	16.6
Mollusks	Ring pink	<i>Obovaria retusa</i>	LE, XN	G, I	Mussels	14.1	
Mollusks	Littlewing pearlymussel	<i>Pegias fabula</i>	LE	AP	Mussels	26.9	
Mollusks	Orangefoot pimpleback	<i>Plethobasus cooperianus</i>	LE	G, I	Mussels	6.5	
Mollusks	Sheepnose	<i>Plethobasus cyphyus</i>	LE	AP, G, I	Mussels	22.1	
Mollusks	Clubshell	<i>Pleurobema clava</i>	LE	AP, G, I	Mussels	17.6	
Mollusks	Southern clubshell	<i>Pleurobema decisum</i>	LE, CH	AP, G	Mussels	20.3	10.1
Mollusks	Dark pigtoe	<i>Pleurobema furvum</i>	LE, CH	AP	Mussels	42.2	25.8
Mollusks	Southern pigtoe	<i>Pleurobema georgianum</i>	LE, CH	AP	Mussels	12.5	1.0
Mollusks	Cumberland pigtoe	<i>Pleurobema gibberum</i>	LE	AP	Mussels	20.1	
Mollusks	Ovate clubshell	<i>Pleurobema perovatum</i>	LE, CH	AP	Mussels	18.3	14.0
Mollusks	Rough pigtoe	<i>Pleurobema plenum</i>	LE	AP, G, I,	Mussels	17.4	
Mollusks	Slabside pearlymussel	<i>Pleuronaia dolabelloides</i>	LE, CH	AP	Mussels	12.1	3.9
Mollusks	Fat pocketbook	<i>Potamilus capax</i>	LE	G, I	Mussels	21.4	
Mollusks	Inflated heelsplitter	<i>Potamilus inflatus</i>	LT	AP, G	Mussels	21.8	
Mollusks	Triangular kidneyshell	<i>Ptychobranchus greenii</i>	LE, CH	AP	Mussels	24.0	17.8
Mollusks	Fluted kidneyshell	<i>Ptychobranchus subtentum</i>	LE, CH	AP	Mussels	30.3	11.5

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild	Total Range Area Overlapping Mineable Coal (%)	Total Critical Habitat Overlapping Mineable Coal (%)
Mollusks	Rabbitsfoot	<i>Quadrula cylindrica cylindrica</i>	LT, CH	AP, G, I, WI	Mussels	22.9	5.8
Mollusks	Rough rabbitsfoot	<i>Quadrula cylindrica strigillata</i>	LE, CH	AP	Mussels	26.0	8.6
Mollusks	Winged mapleleaf	<i>Quadrula fragosa</i>	LE	G	Mussels	19.8	
Mollusks	Cumberland monkeyface	<i>Quadrula intermedia</i>	LE	AP	Mussels	6.3	
Mollusks	Appalachian monkeyface	<i>Quadrula sparsa</i>	LE	AP	Mussels	27.6	
Mollusks	Pale lilliput	<i>Toxolasma cylindrellus</i>	LE	AP	Mussels	26.3	
Mollusks	Rayed bean	<i>Villosa fabalis</i>	LE	AP	Mussels	4.2	
Mollusks	Purple bean	<i>Villosa perpurpurea</i>	LE, CH	AP	Mussels	38.5	13.2
Mollusks	Cumberland bean	<i>Villosa trabalis</i>	LE	AP	Mussels	40.3	
Mollusks	Flat-spired three-toothed snail	<i>Triodopsis platysayoides</i>	LT	AP	Terrestrial Snails	70.3	
Plants	Northern monkshood	<i>Aconitum noveboracense</i>	LT	AP	Hydric Plants	8.5	
Plants	Decurrent false aster	<i>Boltonia decurrens</i>	LT	I	Hydric Plants	44.3	
Plants	Navajo sedge	<i>Carex specuicola</i>	LT,CH	CP	Hydric Plants	1.1	
Plants	Morefield's leather-flower	<i>Clematis morefieldii</i>	LE	AP	Hydric Plants	32.8	
Plants	Cumberland rosemary	<i>Conradina verticillata</i>	LT	AP	Hydric Plants	84.1	
Plants	Pecos sunflower	<i>Helianthus paradoxus</i>	LT	CP	Hydric Plants	1.5	
Plants	Louisiana quillwort	<i>Isoetes louisianensis</i>	LE	G	Hydric Plants	11.4	
Plants	Pondberry	<i>Lindera melissifolia</i>	LE	G	Hydric Plants	3.6	
Plants	White fringeless orchid	<i>Platanthera integrilabia</i>	PT	AP, G	Hydric plants	21.4	
Plants	Eastern prairie fringed orchid	<i>Platanthera leucophaea</i>	LT	AP, I	Hydric Plants	37.9	
Plants	Harperella	<i>Ptilimnium nodosum</i>	LE	AP	Hydric Plants	6.8	
Plants	Kral's water plantain	<i>Sagittaria secundifolia</i>	LT	AP	Hydric Plants	15.9	
Plants	Green pitcher plant	<i>Sarracenia oreophila</i>	LE	AP	Hydric Plants	25.6	
Plants	Northeastern bulrush	<i>Scirpus ancistrochaetus</i>	LE	AP	Hydric Plants	5.2	
Plants	Virginia spiraea	<i>Spiraea virginiana</i>	LT	AP	Hydric Plants	43.5	
Plants	Ute ladies' tresses	<i>Spiranthes diluvialis</i>	LT	NRM	Hydric Plants	6.3	
Plants	Alabama streak-sorus fern	<i>Thelypteris pilosa var. alabamensis</i>	LT	AP	Hydric Plants	4.8	
Plants	Tennessee yellow-eyed grass	<i>Xyris tennesseensis</i>	LE	AP	Hydric Plants	11.8	
Plants	Price's potato-bean	<i>Apios priceana</i>	LT	AP, G, I	Mesic plants	9.9	
Plants	Georgia rockcress	<i>Arabis georgiana</i>	LT	AP, G	Mesic plants	12.7	
Plants	Mead's milkweed	<i>Asclepias meadii</i>	LT	I, WI	Mesic plants	48.9	
Plants	American hart's-tongue fern	<i>Asplenium scolopendrium</i>	LT	AP	Mesic plants	10.2	
Plants	Alabama leather flower	<i>Clematis socialis</i>	LE	AP	Mesic plants	13.3	
Plants	Leafy prairie clover	<i>Dalea foliosa</i>	LE	I	Mesic plants	6.4	
Plants	Earthfruit	<i>Geocarpon minimum</i>	LT	G, WI	Mesic plants	53.7	
Plants	Pagosa skyrocket	<i>Ipomopsis polyantha</i>	LE	CP	Mesic plants	17.2	
Plants	Small whorled pogonia	<i>Isotria medeoloides</i>	LT	AP, I	Mesic plants	3.7	
Plants	Prairie bush clover	<i>Lespedeza leptostachya</i>	LT	I	Mesic plants	23.1	

Category	Common Name	Scientific Name	Federal Status	Coal Basin	Guild	Total Range Area Overlapping Mineable Coal (%)	Total Critical Habitat Overlapping Mineable Coal (%)
Plants	Mohr's Barbara button	<i>Marshallia mohrii</i>	LT	AP	Mesic plants	26.4	
Plants	Cumberland sandwort	<i>Arenaria cumberlandensis</i>	LE	AP	Mesic plants	85.1	
Plants	Parachute beardtongue	<i>Penstemon debilis</i>	LT, CH	CP	Mesic plants	11.2	
Plants	Clay phacelia	<i>Phacelia argillacea</i>	LE	CP	Mesic plants	1.5	
Plants	Short's bladderpod	<i>Physaria globosa</i>	LE, CH	AP	Mesic plants	7.5	0.5
Plants	Western prairie fringed orchid	<i>Platanthera praeclara</i>	LT	CP	Mesic plants	16.1	
Plants	Pinkroot gentian	<i>Spigelia gentianoides</i>	LE	AP	Mesic plants	26.0	
Plants	Running buffalo clover	<i>Trifolium stoloniferum</i>	LE	AP	Mesic plants	18.2	
Plants	Welsh's milkweed	<i>Asclepias welshii</i>	LT, CH	CP	Xeric Plants	4.2	
Plants	Mancos milk-vetch	<i>Astragalus humillimus</i>	LE	CP	Xeric Plants	38.3	
Plants	Zuni fleabane	<i>Erigeron rhizomatus</i>	LT	CP	Xeric Plants	14.7	
Plants	Dudley Bluffs bladderpod	<i>Lesquerella congesta</i>	LT	CP	Xeric Plants	33.6	
Plants	San Rafael cactus	<i>Pediocactus despainii</i>	LE	CP	Xeric Plants	10.5	
Plants	Knowlton's cactus	<i>Pediocactus knowltonii</i>	LE	CP	Xeric Plants	44.8	
Plants	Pebbles Navajo cactus	<i>Pediocactus peeblesianus</i> var. <i>peeblesianus</i>	LE	CP	Xeric Plants	3.5	
Plants	Winkler pincushion cactus	<i>Pediocactus winkleri</i>	LE	CP	Xeric Plants	12.0	
Plants	North Park phacelia	<i>Phacelia formosula</i>	LE	NRM	Xeric Plants	3.5	
Plants	DeBeque phacelia	<i>Phacelia submutica</i>	LT, CH	CP	Xeric Plants	15.8	1.1
Plants	Dudley Bluffs twinpod	<i>Physaria obcordata</i>	LT	CP	Xeric Plants	33.6	
Plants	Colorado hookless cactus	<i>Sclerocactus glaucus</i>	LT	CP	Xeric Plants	15.1	
Plants	Mesa Verde cactus	<i>Sclerocactus mesae-verdae</i>	LT	CP	Xeric Plants	38.3	
Plants	Wright fishhook cactus	<i>Sclerocactus wrightiae</i>	LE	CP	Xeric Plants	12.0	
Reptiles	Black pine snake	<i>Pituophis melanoleucus lodingi</i>	LT, PCH	G	Snakes	9.1	10.4
Reptiles	Northern Mexican garter snake	<i>Thamnophis eques megalops</i>	LT	CP	Snakes	0.6	
Reptiles	Narrow-headed garter snake	<i>Thamnophis rufipunctatus</i>	LT, PCH	CP	Snakes	0.8	
Reptiles	Eastern massasauga	<i>Sistrurus catenatus</i>	PLT	AP, I, WI	Snakes	24.3	
Reptiles	Bog turtle	<i>Clemmys muhlenbergii</i>	LT	AP	Turtles	0.7	
Reptiles	Yellow blotched map turtle	<i>Graptemys flavimaculata</i>	LT	G	Turtles	11.7	
Reptiles	Ringed map turtle	<i>Graptemys oculifera</i>	LT	G	Turtles	30.3	
Reptiles	Flattened musk turtle	<i>Sternotherus depressus</i>	LT	AP	Turtles	43.0	

Appendix G. Land Use and Land Covers in the U.S.⁸

G.1 Terrestrial Cover Types of the Appalachian Basin

G.1.1 Oak-Hickory Cover Type

Vegetation. The oak-hickory cover type varies from open to closed woods with a strong to weak understory of shrubs, vines, and herbaceous plants. By definition, oak (*Quercus* sp.) and hickory (*Carya* sp.) must make up 50 percent of the stand, singly or in combination. Sweetgum (*Liquidambar styraciflua*) and red cedar (*Juniperus virginiana*) are close associates in the southern region of this cover type. Maple (*Acer* sp.), elm (*Ulmus Americana*), yellow-poplar (*Liriodendron tulipifera*), and black walnut (*Juglans nigra*) often are close associates in eastern and northern parts of the oak forest and the oak-hickory-bluestem mosaic. The major shrubs are blueberry (*Vaccinium* sp.), *Viburnum*, dogwood (*Cornus* sp.), *Rhododendron*, and sumac (*Rhus* sp.). The major vines are woodbine (*Parthenocissus* sp.), grape (*Vitis* sp.), poison ivy (*Rhus radicans*), greenbrier (*Smilax* sp.), and blackberry (*Rubus* sp.). Important herbaceous plants are sedge (*Carex* sp.), *Panicum*, bluestem (*Andropogon* sp.), *Lespedeza*, tick clover (*Desmodium* sp.), goldenrod (*Solidago* sp.), pussytoes (*Antennaria* sp.), and *Aster*; many more are abundant locally. Numerous benefits are provided by the oak-hickory land cover type, including wildlife, timber, watershed protection, recreation, and wilderness and achieving a desirable mix of these benefits requires careful management (Skeen et al., 1993).

Fauna. The fauna of the oak-hickory cover type is similar to that of other eastern hardwood and hardwood-conifer areas and varies somewhat from north to south. Important animals in the cover type include the white-tailed deer (*Odocoileus virginianus*), black bear (*Ursus americanus*), bobcat (*Felis* = *Lynx rufus*), gray fox (*Urocyon cinereoargenteus*), raccoon (*Procyon lotor*), gray squirrel (*Sciurus carolinensis*), fox squirrel (*Sciurus niger*), eastern chipmunk (*Tamias striatus*), white-footed mouse (*Peromyscus leucopus*), pine vole (*Microtus* sp.), short-tailed shrew (*Blarina brevicauda*), and cotton mouse (*Peromyscus gossypinus*).

Bird populations are large. The turkey (*Meleagris gallopavo*), ruffed grouse (*Bonasa umbellus*), bobwhite (*Colinus virginianus*), and mourning dove (*Zenaida macroura*) are game birds in various parts of the cover type. Breeding bird populations average about 225 pairs per 100 acres and include some 24 or 25 species. The most abundant breeding birds include the cardinal (*Cardinalis* sp.), tufted titmouse (*Parus bicolor*), wood thrush (*Hylocichla mustelina*), summer tanager (*Piranga rubra*), red-eyed vireo (*Vireo olivaceus*), blue-gray gnatcatcher (*Potoptila caerulea*), hooded warbler (*Wilsonia citrine*), and

⁸ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Carolina wren (*Thryothorus ludovicianus*). The box turtle (*Terrapene sp.*), common garter snake (*Thamnophis sirtalis*), and timber rattlesnake (*Crotalus horridus*) are characteristic reptiles.

G.1.1.1 Oak-Pine Cover Type

Vegetation. The Oak-Pine cover type is characterized by forests in which 50 percent or more of the stand is hardwoods, usually upland oaks, but in which southern pines, mainly shortleaf pine (*Pinus echinata*), make up 25 to 49 percent of the stand. Common associates include sweetgum, hickory, and yellow-poplar.

Fauna. The fauna is similar to that of the adjacent oak-hickory cover type. Animals include the white-tailed deer, fox squirrel, and cottontail (*Sylvilagus sp.*), and birds include the mourning dove, bobwhite, and turkey. Many small mammals are present, and the avian fauna is quite varied.

G.1.1.2 Maple-Beech-Birch Cover Type

Vegetation. A forest is classified as being of the Maple-Beech-Birch cover type when 50 percent or more of the stand is maple, beech (*Fagus sp.*), or yellow birch (*Betula alleghaniensis*), singly or in combination. Common associates include hemlock (*Tsuga sp.*), elm, basswood (*Tilia Americana*), and white pine (*Pinus strobes*). In Virginia and West Virginia, specific species may include: Sugar Maple (*Acer saccharum*), American Beech (*Fagus grandifolia*), Yellow Birch, Yellow buckeye (*Aesculus octandra*), Striped Maple (*Acer pensylvanicum*), Mountain Maple (*Acer spicatum*), Smooth Blackberry (*Rubus canadensis*), and Hobblebush (*Viburnum lantanoides*).

Herb layers are moderately sparse to moderately dense, with graminoid-rich patches tending to occur on the drier slope convexities (Fleming et al., 2010).

Fauna. The white-tailed deer occurs throughout much of the maple-beech-birch cover type. The hardwood forest and the openings and farms within it provide food and cover for a varied fauna. The black bear is present in many areas. The wolf (*Canis sp.*) is no longer common, but the red fox (*Vulpes vulpes*) and gray fox are rather widespread, as is the bobcat. Several species of squirrels are in the forest, and a number of smaller rodents inhabit the forest floor. The ruffed grouse is widespread, and the bobwhite inhabits the interspersed farmlands and forest openings. Songbirds include the ovenbird (*Seiurus aurocapillus*), red-eyed vireo (*Vireo olivaceus*), hermit thrush, scarlet tanager (*Piranga olivacea*), blue jay (*Cyanocitta cristata*), black-capped chickadee (*Poecile atricapilla*), wood pewee (*Contopus virens*), and magnolia warbler (*Dendroica magnolia*).

G.1.1.3 Aspen-Birch Cover Type

Vegetation. This cover type is characterized by forest in which 50 percent or more of the stand is aspen (*Populus tremuloides*), balsam poplar (*Populus balsamifera*), paper birch (*Betula papyrifera*), or gray birch (*Betula populifolia*), singly or in combination. Common associates include maple and balsam fir (*Abies balsamea*). Other species include *Sassafras*, various maples, and various cherries (*Prunus sp.*) (Fike, 1999).

Fauna. The fauna of the aspen-birch cover type is similar to those of the spruce-fir and white-red-jack pine cover types, with which this cover type is intermingled. The white-tailed deer and black bear are common. The coyote (*Canis latrans*), bobcat, great horned owl (*Bubo virginianus*), and other predators

feed on a variety of small mammals. The ruffed grouse is present. Among the songbirds are the tufted titmouse (*Parus bicolor*), blue jay (*Cyanocitta cristata*), hairy woodpecker (*Picoides villosus*), downy woodpecker (*Picoides pubescens*), wood thrush (*Hylocichla mustelina*), eastern wood pewee (*Contopus virens*), goldfinch (*Carduelis tristis*), catbird (*Dumetella carolinensis*), and red-eyed vireo (*Vireo olivaceus*).

G.1.1.4 White-Red-Jack Pine Cover Type

Vegetation. Forests in which 50 percent or more of the stand is eastern white pine, red pine, or jack pine, singly or in combination, represent the White-Red-Jack Pine cover type. Common associates include oak, eastern hemlock (*Tsuga Canadensis*), aspen, birch, northern white-cedar (*Thuja occidentalis*), and maple.

Fauna. The white-tailed deer and black bear are the most common larger mammals in this cover type, and the moose (*Alces alces*) inhabits the extreme northern portion. The coyote, bobcat, great horned owl, and hawks are among current predators. The snowshoe rabbit (*Lepus americanus*) and other small forest mammals are the main food source of the predators already mentioned. Porcupines (*Hystrix cristata*) inhabit parts of the cover type and become a problem in forest management when they are overly abundant. Breeding bird populations average about 153 pairs per 100 acres. The Blackburnian and black-throated green warblers (*Dendroica fusca* and *Dendroica virens*, respectively) are the most abundant. Other birds include the spruce grouse (*Falcapennis canadensis*), ruffed grouse, whippoorwill (*Caprimulgus vociferous*), crested flycatcher (*Myiarchus crinitus*), wood pewee, white-breasted nuthatch (*Sitta carolinensis*), veery (*Catharus fuscescens*), tanagers (*Piranga sp.*), pileated woodpecker (*Dryocopus pileatus*), hairy woodpecker, downy woodpecker, blue jay, chickadees, red-eyed vireo, black-and white warbler (*Mniotilta varia*), ovenbird (*Seiurus aurocapillus*), redstart (*Setophaga ruticilla*), black-throated blue warbler (*Dendroica caerulescens*), hermit thrush, magnolia warbler, Canada warbler (*Wilsonia canadensis*), yellow-bellied sapsucker (*Sphyrapicus varius*), olive-sided flycatcher (*Contopus cooperi*), red-breasted nuthatch (*Sitta Canadensis*), brown creeper (*Certhia Americana*), winter wren (*Troglodytes sp.*), blue-headed vireo (*Vireo solitaries*), myrtle warbler (*Dendroica coronata*), slate-colored junco (*Junco hyemalis hyemalis*), and white-throated sparrow (*Zonotrichia albicollis*).

G.1.1.5 Loblolly-Shortleaf Pine Cover Type

Vegetation. Loblolly-Shortleaf Pine cover type is characterized by forests in which 50 percent or more of the stand is loblolly pine (*Pinus taeda*), shortleaf pine (*Pinus edulis*), or other southern yellow pines (*Pinus palustris*), singly or in combination. Common associates include oak, hickory, sweetgum, blackgum (*Nyssa sylvatica*), red maple (*Acer rubra*), and winged elm (*Ulmus alata*). The main grasses are bluestems, panicums, and longleaf uniola (*Chasmanthium sessilliflorum*). Dogwood, viburnum, blueberry, American beautyberry (*Callicarpa Americana*), yaupon (*Ilex vomitoria*), and numerous woody vines are common.

Fauna. The fauna varies with the age and stocking of the timber stand, the percentage of deciduous trees, and the proximity to openings, bottom-land forest types, etc. The white-tailed deer is widespread, as is the cottontail. When deciduous trees are present, the fox squirrel is common on uplands. Gray squirrels are found along intersecting drainages. Raccoon and fox are found throughout the cover type and are hunted in many areas.

The eastern wild turkey, bobwhite, and mourning dove are widespread. The most common birds include the pine warbler (*Dendroica pinus*), cardinal, summer tanager (*Piranga rubra*), Carolina wren (*Thryothorus ludovicianus*), ruby-throated hummingbird (*Archilochus colubris*), blue jay, hooded warbler (*Wilsonia citrine*), eastern towhee (*Pipilo erythrophthalmus*), and tufted titmouse.

G.1.2 Terrestrial Cover Types for Colorado Plateau

G.1.2.1 Pinyon-Juniper Cover Type

Vegetation. The name “pygmy forest” characterizes the pinyon pine (*Pinus edulis*) and juniper (*Juniperus sp.*) woodlands of this cover type. The trees occur as dense to open woodland and savanna woodland. Herbaceous production is determined to a large extent by the amount of tree canopy.

Fauna. The major mammalian influents in the pinyon-juniper cover type are mule deer (*Odocoileus hemionus*), mountain lion (*Puma (Felis) concolor*), coyote, and bobcat. Elk (*Cervus Canadensis*) are locally important. The less important influents include the wood rat (*Neotoma sp.*), white-footed mouse (*Peromyscus leucopus*), cliff chipmunk (*Neotamias dorsalis*), jackrabbit (*Lepus sp.*), cottontail, rock squirrel (*Spermophilus sp*), porcupine, and gray fox. The ring-tailed cat (*Bassariscus astutus*) and spotted skunk (*Spilogale putorius*) occur rarely.

The most abundant resident birds in the pinyon-juniper cover type are the black-billed magpie (*Pica hudsonia*), black-capped chickadee (*Poecile atricapillus*), titmouse, Woodhouse’s jay (*Aphelocoma woodhousei*), western red-tailed hawk (*Buteo jamaicensis*), golden eagle (*Aquila chrysaetos*), red-shafted flicker (*Colaptes auratus*), pinyon jay (*Gymnorhinus cyanocephalus*), lead-colored bush tit (*Psaltriparus sp.*), and rock wren (*Salpinctes obsoletus*). Summer residents include the western chipping sparrow (*Spizella passerine*), night hawk (*Chordeiles sp*), black-throated gray warbler (*Dendroica nigrescens*), northern cliff swallow (*Hirundo sp.*), western lark sparrow (*Chondestes grammacus*), Rocky Mountain grosbeak (*Pheucticus melanocephalus*), desert sparrow (*Passer simplex*), and western mourning dove. The common winter residents are the pink-sided junco (*Junco hyemalis mearnsi*), Shufeldt’s junco (*Junco hyemalis shufeldti*), gray-headed junco (*Junco hyemalis caniceps*), red-backed junco (*Junco hyemalis dorsalis*), Rocky Mountain nuthatch (*Sitta sp*), mountain bluebird (*Sialia corrucoides*), western robin (*Turdus sp*), and long-crested or Steller’s jay (*Cyanocitta stelleri*). Turkeys are locally abundant during the winter.

Among the common reptiles are the horned lizard (*Phrynosoma sp.*), sagebrush swift (*Sceloporus graciosus graciosus*), collared lizard (*Crotaphytus collarix*), and Great Basin rattlesnake (*Crotalus oreganus lutosus*).

G.1.2.2 Desert Grasslands Cover Type

Vegetation. The grass life form predominates on these plateaus at intermediate elevations, and shrub life forms are dominant at higher and lower elevations. In transition zones, shrubs give way to galleta (*Pleuraphis jamesii*) to black grama (*Bouteloua eriopoda*) and to blue grama (*Bouteloua gracilis*). Consociations of these species occur, but almost pure stands are the rule. Tobosa replaces galleta in the southern extensions in Texas of this cover type, and three-awn (*Aristida sp.*) becomes the dominant in the northern extensions in Utah. In its northern extensions, this cover type is more open grassland with low shrubs.

Fauna. Pronghorn (*Antilocapra americana*), or antelope, are the primary larger mammals in the desert grasslands cover type. Mule deer also occur. The coyote and bobcat are among the chief animal predators. They prey on blacktailed jackrabbits (*Lepus californicus*), cottontails, wood rats, and a large number of small rodent species, such as the kangaroo rat (*Dipodomys deserti*) and the deer mouse (*Peromyscus maniculatus*). Scaled quail (*Callipepla squamata*) range into the grasslands, especially where brush has made an invasion. Among the smaller birds of the cover type are the horned lark (*Eremophila alpestris*), several sparrows, the loggerhead shrike (*Lanius ludovicianus*), and nighthawks (Chordellinae). Avian predators include the golden eagle, great horned owl, and various hawks.

G.1.2.3 Ponderosa Pine Cover Type

Vegetation. By definition, ponderosa pine forest is 50 percent or more of one of these pines: ponderosa pine (*Pinus ponderosa*), Jeffrey pine (*Pinus jeffreyi*), sugar pine (*Pinus lambertiana*), limber pine (*Pinus flexilis*), Arizona ponderosa pine (*Pinus arizonica*), Apache pine (*Pinus engelmannii*), or Chihuahua pine (*Pinus leiophylla*). The exceptions are those situations where western white pine or sugar pine comprises 20 percent or more of the stand; then these species control the name of the forest. This cover type is idealized as open and park-like, with an excellent ground cover of grasses, sedges, and forbs or with an understory of shrubs of low to medium height.

Fauna. In the ponderosa pine cover type, the major mammalian influents are the Rocky Mountain elk (*Cervus Canadensis nelson*), mule deer, mountain lion, and coyote. Animals of less importance include the bushy-tailed wood rat (*Neotoma cinerea*), white-footed mouse, bobcat, rock squirrel (*Otospermophilus variegates*), cottontail, porcupine, mantled ground squirrel (Sciuridae), and chipmunks (Sciuridae).

The most abundant and important resident birds in the ponderosa pine cover type include the pygmy nuthatch (*Sitta pygmaea*), long-crested jay, sharpshinned hawk (*Accipiter striatus*), Rocky Mountain nuthatch (*Sitta carolinensis nelsoni*), mountain chickadee (*Poecile gambeli*), Cassin's purple finch (*Carpodacus sp*), redshafted flicker (*Colaptes auratus cafer*), red-backed junco, western goshawk (*Accipiter atricapillus striatulus*), and western red-tailed hawk. Birds that are common during the summer include the chestnut-backed bluebird (*Sialia mexicana bairdi*), Audubon's warbler (*Dendroica coronate auduboni*), Natalie's sapsucker (*Sphyrapicus thyroids nataliae*), western chipping sparrow (*Spizella passerine*), horned owl, and band-tailed pigeon (*Patagioenas fasciata*).

G.1.2.4 Sagebrush Cover Type

Vegetation. The sagebrush cover type is characterized by shrubs, principally of the genus *Artemisia*, which are usually one to seven feet high. In some situations, other shrubs are part of the vegetation. In other places, grasses such as those of the genera *Agropyron*, *Festuca*, *Poa*, and *Bromus*, as well as broadleaved herbs, are found in the understory.

Fauna. Pronghorn use parts of this cover type as rangeland throughout the year, whereas mule deer prefer to use sagebrush rangeland only as winter or transition range. Other wild mammals that are principal inhabitants of this cover type are the Great Basin coyote, black-tailed jackrabbit, pygmy cottontail, Ord's kangaroo rat (*Dipodomys ordii*), and Great Basin kangaroo rat (*Dipodomys microps*).

Bird populations are low during the breeding season, averaging only about 25 pairs per 100 acres. The major influent birds include the marsh hawk (*Circus cyaneus*), red-tailed hawk (*Buteo jamaicensis*), Swainson's hawk (*Buteo swainsoni*), golden eagle, bald eagle (*Haliaeetus leucocephalus*), Cooper's hawk (*Accipiter cooperii*), prairie falcon (*Falco mexicanus*), burrowing owl (*Athene cunicularia*), and long-eared owl (*Asio otus*). The sage grouse (*Centrocercus urophasianus*) and chukar (*Alectoris chukar*) are important game birds. More than 50 additional species of birds nest within the cover type.

G.1.2.5 Western Hardwoods Cover Type

Vegetation. This cover type is characterized by forests in which 50 percent or more of the stand is hardwood species, except where western white pine, sugar pine, or redwood (*Sequoia sempervirens*) comprises 20 percent or more of the stand (in such cases the cover type is classified as western white pine or redwood). The vegetation is a forest of low to medium tall, broadleaved deciduous or evergreen trees, sometimes with an admixture of low to medium tall needle-leaved evergreens, often with an understory of grass and shrubs.

The widely scattered Rocky Mountain and Plains states "hardwood" portion of the cover type consists primarily of quaking aspen stands with an understory of grasses, forbs, and shrubs. In many places where the aspen stands are inclusions within areas of sagebrush or conifers, they are important sources of food and cover for wildlife. Cottonwood (*Populus sp.*) becomes dominant on plains, more or less replacing aspen, or in riparian corridors

Fauna. An occasional black bear comes down from forests at higher elevations. Mountain lions are no longer numerous; the largest numerous predatory animals are the coyote and the bobcat. The striped skunk (*Mephitis mephitis*) is widespread. Among the more common small mammals are the kangaroo rat, pocket gopher (Geomyidae), and a number of types of mice. Also occurring in this part of this cover type are additional animal species found in the annual grasslands cover type.

Deer are common. The fauna of the aspen portion of the cover type throughout the Rocky Mountain area is essentially that of the adjacent or surrounding cover types, but the aspen stands serve as important areas of food and shelter for many species of wildlife. Where hardwood stands occur on river bottoms in the plains, they are a home for many arboreal and forest-edge species that are not present in the surrounding open country.

The western aspen hardwood forest provides habitat for large numbers of bird species. Over 100 species of songbirds are known to use these forests (DeGraaf et al., 1991). Raptors and avian predators include eagles, falcons, turkey vulture, many species of owl and hawks (DeGraaf et al., 1991). California quail (*Callipepla californica*) are often abundant at lower elevations, and mountain quail (*Oreortyx pictus*) winter at the higher elevations (McNab et al., 2005). Other game birds in these forests include other species of grouse and quail as well as wild turkey (DeGraaf et al., 2005).

G.1.2.6 Douglas-Fir Cover Type

Vegetation. This cover type is characterized by forest consisting of 50 percent or more Douglas fir (*Pseudotsuga menziesii*), except where redwood, sugar pine, or western white pine comprise 20 percent or more of the stand. Common shrubs in the cover type are of the genera of maple, rock spirea (*Holodiscus dumosus*), filbert (*Corylus*), blueberry (*Vaccinium*), snowberry (*Symphoricarpos albus*), barberry

(*Berberis sp.*), currant (*Ribes sp.*), blackberry (*Rubus sp.*), ninebark (*Physocarpus sp.*), rose (*Rosa sp.*), and spirea (*Spiraea sp.*). Herbage includes grass and other vegetation having a grass-like growth form, especially in the stands in interior states. Here, pinegrass (*Calamagrostis sp.*) and *Carex concinoides* are present.

Fauna. Common large mammals in this cover type include elk, deer, and black bear. Grizzly bear (*Ursus arctos horribilis*) and moose are in the northern Rockies. Blue and ruffed grouse are present. Most of the northwestern part of the cover type has hawks and owls. Mammalian predators include mountain lions and bobcats. Small mammals include mice, squirrels, marten (*Martes americana*), chipmunks, and bushy-tailed wood rats (*Neotoma cinerea*). Some of the more common birds are the chestnut-backed chickadee (*Poecile refescens*), red-breasted nuthatch, gray jay (*Perisoreus canadensis*), and Steller's jay.

G.1.2.7 Lodgepole Pine Cover Type

Vegetation. This cover type is characterized by forests in which 50 percent or more of the stand is lodgepole pine (*Pinus contorta*). Ecologically, lodgepole pine stands are seral to some of the western interior coniferous forests. “Doghair” stands (tree stands of densities greater than those that are optimum for rapid tree growth and shorter rotations) often develop after fires. Understory species, if present, are of about the same genera as found in stands of western larch (*Larix occidentalis*), spruce-fir, and interior Douglas fir.

Fauna. The lodgepole pine cover type has about the same fauna as Douglas-fir, larch, and spruce-fir forests of the same elevational zone. Low productivity of understory flora in many cases limits the number of animals that can be supported. Islands of uncut lodgepole pine provide excellent escape routes and protective refuges or cover for big game animals.

The lodgepole pine forest provides habitat for large numbers of bird species. Over 70 species of songbirds are known to utilize these forests (DeGraaf et al., 1991). Raptors and predators include bald eagles, falcons, turkey vulture, many species of owl, and hawks (DeGraaf et al., 1991). Grouse, mountain quail, doves, and wild turkey are the major game birds (DeGraaf et al., 2005).

G.1.2.8 Fir-Spruce Cover Type

Vegetation. The fir-spruce cover type is characterized by open to dense forests of low to tall needle-leaved evergreen trees and patches of shrubby undergrowth and scattered herbs. Fifty percent or more of the stand is silver fir (*Abies amabilis*), subalpine fir (*Abies lasiocarpa*), red fir (*Abies magnifica*), white fir (*Abies concolor*), mountain hemlock (*Tsuga mertensiana*), Engelmann spruce (*Picea engelmannii*), or blue spruce (*Picea pungens*), singly or in combination, except where western white pine comprises 20 percent or more of the stand (in which case the cover type would be classified as western white pine). Because of the dense overstory and limited understory, heavily stocked stands are usually not considered a forage resource for domestic livestock unless timber is harvested by patch clearcuts.

Fauna. Seasonally, the fir-spruce cover type and, in particular, the interspersed openings and stream bottoms with broadleaved woody species such as aspen and willows, are used by moose, elk, mule deer, and white-tailed deer. Mountain caribou (*Rangifer tarandus caribou*) originally wintered in Idaho, Washington, and Montana; a few still do. The wolverine (*Gulo gulo*), lynx, black bear, mountain lion,

coyote, and wolf (*Canis lupus*) occur in the cover type. The grizzly bear is present, though in a fraction of its original numbers.

Several species that have been mentioned use the fir-spruce cover type only seasonally, primarily as cover or in following migratory routes. This is the case with the mountain sheep and the mountain goat, which occur more commonly in steep rocky areas. Among the birds in the cover type are several blue grouse and spruce grouse groups, ruffed grouse, and various chickadees, nuthatches, bluebirds, robins, and jays. Among the more common rodents and lagomorphs are the porcupine, beaver, snowshoe hare, squirrels, flying squirrels, pocket gophers, chipmunks, and various species of mice.

G.1.2.9 Alpine Tundra Cover Type

Vegetation. Grasses and grass-like species of rather low stature predominate, but the number of associated forbs is large. Dwarf willows occur in some places on the moist soils of protected slopes and valleys.

Fauna. The pika (*Ochotona sp.*), pocket gopher, and yellow-bellied marmot (*Marmota flaviventris*) are the only permanent mammalian residents of the alpine cover type. Summer visitors include mule deer, elk, mountain sheep (*Ovis canadensis*), weasels (*Mustela*), marten, chipmunks, and the golden-mantled ground squirrel. The only nesting birds are the horned lark, water pipit (*Anthus spinoletta*), black rosy finch (*Leucosticte atrata*), rock wren (*Salpinctes obsoletus*), white-tailed ptarmigan (*Lagopus leucura*), and robin (*Turdus migratorius*).

G.1.2.10 Chaparral Mountain Shrub Cover Type

Vegetation. The vegetation of the cover type consists of dense to open brush or low trees. Deciduous, semi-deciduous, and evergreen species are represented. Some of the brush types are so dense that understory vegetation is practically eliminated, while other types support a highly productive understory. Recent activities of man have altered the types of vegetation to such a degree that reconstruction of their original state would be difficult.

Fauna. The fauna is quite diverse from north to south in the chaparral-mountain shrub cover type; however, some species are quite widespread. Mule deer throughout the cover type and white-tailed deer in the south are the most important large mammals. Other large mammals, such as the coyote, mountain lion, bobcat, black-tailed jackrabbit, ringtail, striped skunk, and spotted skunk, are widespread in the cover type. Some important species, such as the javelina and the band-tailed pigeon (*Patagioenas fasciata*), are found only in the southern part of the cover type. The wood rat is one of the most characteristic animals of the cover type. Other small mammals include ground squirrels and mice.

Birds are very numerous in the brush types of the cover type throughout the year. More than a hundred species were identified in the scrub oak type in Utah. More than 40 resident birds were noted in the oak-juniper community. Among the birds in the oak-juniper areas are the golden-fronted woodpecker (*Melanerpes aurifrons*), turkey, and bobwhite. Reptile species are quite numerous in the southern portion of the cover type.

G.1.2.11 Desert Shrub Cover Type

Vegetation. The vegetation of the cover type is characterized by xeric shrubs varying in height from four inches to many feet. Stands are generally open, with a large amount of bare soil and desert pavement exposed. Some stands, however, may be relatively dense. Understory vegetation is generally sparse. During years of above-average rainfall, annuals may be conspicuous for a short time.

Fauna. There is a great diversity of habitats in the desert shrub cover type. Consequently, the species of the fauna are quite varied. Dominant animals, however, are characteristically species of rats and pocket mice. In the saltbush-greasewood community, the pale kangaroo mouse (*Microdipodops pallidus*) and little pocket mouse (*Perognathus longimembris*) are common. Animals associated with black sagebrush (*Artemisia nova*) are the desert wood rat (*Neotoma lepida*) and Nuttall's cottontail (*Sylvilagus nuttallii*). The black-tailed jackrabbit is most numerous in the greasewood (*Sarcobatus sp.*) sites. The cactus mouse (*Peromyscus eremicus*) and desert kangaroo rat (*Dipodomys deserti*) are abundant in the saltbush desert. Merriam's kangaroo rat (*Dipodomys merriami*) is strongly associated with creosotebush. Other important species in the cover type are the long-tailed pocket mouse (*Chaetodipus formosus*) and antelope ground squirrel (*Ammospermophilus sp.*).

Common larger mammals in the desert shrub cover type are the desert kit fox (*Vulpes macrotis*), coyote, and western spotted skunk (*Spilogale gracilis*). Many desert birds are very selective in their type of habitat. Greasewood may furnish a permanent residence for the loggerhead shrike (*Lanius ludovicianus*). Areas where tall cactus is plentiful furnish homes for many birds, including the Gila woodpecker (*Melanerpes uropygialis*), several species of owl, and the purple martin (*Progne subis*). Gambel's quail (*Callipepla gambelii*), the cactus wren (*Campylorhynchus brunneicapillus*), and the roadrunner (*Geococcyx californianus*) are common in the southern part of the cover type. Reptiles include numerous species of snakes and lizards, including the Gila monster (*Heloderma suspectum*) of the tall cactus areas.

G.1.3 Terrestrial Cover Types for the Gulf Coast

G.1.3.1 Oak-Hickory Cover Type

A summary of the Oak-Hickory Cover type is described in Appalachian Basin.

G.1.3.2 Oak-Pine Cover Type

A summary of the Oak-Pine Cover type is described in Appalachian Basin.

G.1.3.3 Great Plains Grasslands Cover Type

Vegetation. Short, warm-season grasses predominate in this cover type, and there is a minor interspersions of forbs and shrubs. Vast stretches are dominated almost exclusively by blue grama, buffalo grass being a companion in many areas. The eastern part of the cover type, however, is dominated by grasses of medium stature, such as western wheatgrass (*Pascopyrum smithii*) and needlegrass. The occasional shrubs include juniper, silver sagebrush (*Artemisia cana*), silver buffalo berry (*Shepherdia argentea*), and skunk bush sumac (*Rhus trilobata*) in the northern reaches and rabbit brush (*Chrysothamnus sp.*) and mesquite in the southern part. Forbs are generally quite common, but many are ephemerals.

Fauna. Huge herds of American bison once migrated with the seasons across the central plains. Currently, the pronghorn, or antelope, is probably the most abundant large mammal, but mule deer and

white-tailed deer are often abundant where brush cover is available, as along stream courses. The white-tailed jackrabbit occupies the northern part of the cover type and the black-tailed jackrabbit can be found in the area south of Nebraska. The desert cottontail is widespread. The lagomorphs, the prairie dogs, and a variety of small rodents are preyed upon by the coyote and a number of other mammalian and avian predators.

Sage grouse, greater prairie chickens, and sharptailed grouse are present in the area. Among the many smaller birds are the horned lark (*Eremophila alpestris*), lark bunting (*Calamospiza melanocorys*), and western meadowlark (*Sturnella neglecta*).

G.1.3.4 Prairie Cover Type

Native cover types in highly altered landscapes can be rare. Prairie cover is one such example.

Vegetation. The prairie cover type is known to many as the tall-grass or true prairie. Bluestem grasses constitute about 70 percent of the vegetation and reach heights of five to six feet in lowland areas. Large numbers of flowering forbs are present but are usually overshadowed by the grasses. Most of the plants are classified as warm-season plants. Woody vegetation is rare. Willow occurs in some places in exceptionally moist areas of the northern part of the cover type, and needle-leaved evergreens and broadleaved deciduous trees are scattered in the southern part. Deciduous trees are common along permanent streams in the eastern portion.

Fauna. Bison (*Bison bison*) once grazed at the western margin of the tall-grass prairie, and the pronghorn, or antelope, is still present there. Jackrabbits are common residents of the prairie, and cottontails are present where there are streams and cover. Burrowing rodents include ground squirrels, prairie dogs (*Cynomys sp.*), pocket gophers, and many smaller rodents. Burrowing predators include the badger (Mustelidae) and the black-footed ferret (*Mustela nigripes*). The coyote is still common.

The northern portion of the prairie cover type is an important breeding area for a number of species of migrating waterfowl. Many migratory species over-winter on the coastal plains of Texas and Louisiana. Mourning doves have become abundant as shelterbelt plantings have developed. Among the gallinaceous birds, the sharp-tailed grouse, greater prairie chicken (*Tympanuchus cupido*), and bobwhite (*Colinus virginianus*) are present in fair numbers.

G.1.3.5 Loblolly-Shortleaf Pine Cover Type

A summary of Loblolly-Shortleaf Cover type is described in Appalachian Basin.

G.1.3.6 Oak-Gum-Cypress Cover Type

Vegetation. The vegetation of this cover type varies considerably, but the dominants are of tree life form. It is made up of bottom-land forests in which 50 percent or more of the stand is tupelo, blackgum, sweetgum, oak, and bald cypress, singly or in combination—except where pines comprise 25 to 49 percent of the stand (in which case the cover type is oak-pine). Common associates include willow (*Salix sp.*), maple, sycamore (*Platanus sp.*), cottonwood, and beech. Most species are broadleaved deciduous trees. Trees of the mangrove swamp are mainly black mangrove (*Avicennia germinans*) and red mangrove (*Rhizophora mangle*). The vegetation of the cypress savanna is dominated by needle-leaved deciduous trees and some broadleaved evergreen or deciduous trees and shrubs. The trees and shrubs

occur in groves surrounded by open grassland dominated mainly by three-awn species. Mangrove swamps are often flooded by tidewater; the cypress savanna is flooded less frequently and only by fresh water. These forests are important in providing mitigating effects to land use activities in upland areas outside of the forest boundaries (Sharitz and Mitsch, 1993).

Fauna. This cover type is the most fertile and productive of southern habitats for wildlife. In times past, large animals, such as the deer, elk, black bear, mountain lion, bobcat, and wolf, inhabited the forest. Presently, the white-tailed deer is common in most areas. Other mammals include the gray fox, gray squirrel, fox squirrel, raccoon, opossum (*Didelphis virginiana*), striped skunk, eastern cottontail, swamp rabbit (*Sylvilagus aquaticus*), and many small rodents and shrews.

Birds include wild turkeys and, in the flooded areas, ibises (Threskiornithidae), cormorants (*Phalacrocorax sp.*), herons (Ardeidae), egrets (Ardeidae), and kingfishers (Alcedinidae). Common mammals in the mangrove area are the fox squirrel and raccoon. Nesting birds include the mangrove cuckoo (*Coccyzus minor*) and various herons and egrets.

G.1.3.7 Longleaf-Slash Pine Cover Type

Vegetation. This cover type is characterized by forests dominated by longleaf pine (*Pinus palustris*) or slash pine (*Pinus elliottii*), singly or in combination. Common associates include oak, sweetgum, and southern pines. The main grasses are bluestems, panicums, *Paspalum sp.*, and dropseeds (*Sporobolus sp.*). Saw palmetto (*Serenoa repens*), gallberry (*Ilex glabra*), wax myrtle (*Myrica cerifera*), and sumac (*Rhus sp.*) are important shrubs. (McNab et al., 2005)

Fauna. The fauna varies with the age of the timber stand, and other characteristics. The white-tailed deer is widespread. A variety of small mammals are present including: raccoon, opossum, squirrels, rabbits and small rodents.

The eastern wild turkey and bobwhite are widespread. Migratory waterfowl are present in the area. The American alligator (*Alligator mississippiensis*) is an important reptile.

G.1.3.8 Texas Savanna Cover Type

Vegetation. This is a high-shrub savanna cover type with a dense to very open synusia of broadleaved, deciduous and evergreen low trees and shrubs and needle-leaved, evergreen low trees and shrubs. The grass varies from short to medium tall, and the herbaceous vegetation varies from dense to open. Mesquite is the most widespread woody plant. Others are *Acacia spp.*, oaks, juniper, and ceniza (*Agave colorata*) along the Rio Grande valley and bluffs. *Opuntia* cactus species are widespread. The herbaceous plants are mainly bluestems, three-awns, buffalo grass (*Bouteloua dactyloides*), grammas, and curly mesquite and tobosa (*Hilaria mutica*) on the Edwards Plateau.

Fauna. The Texas savanna cover type is noted for the abundance of white-tailed deer and wild turkeys. The collared peccary is common in some areas along the Rio Grande, where several species of Mexican or tropical distribution make their only entry into the U.S. (*Tayassu sp.*). Examples are the chachalaca and the coatimundi. The armadillo (*Dasypus novemcinctus*) is present. The fox squirrel is present in wooded areas along streams. Among the fur bearers are the ringtail and the raccoon.

DeGraaf et al. (2005) summarized birds occurring in Great Plains habitats, including those reported from the East Texas prairies, cross timbers, piney woods and post oak savannah. They report that: a variety of waterfowl are known to use these habitats; major upland game birds are the turkey, bobwhite and various doves; over 100 songbird species are known to utilize these habitats; and a wide variety of raptors and avian predators are found in these habitats including vultures, kite, eagles, numerous species of hawks and owls.

G.1.4 Terrestrial Cover Types of the Illinois Basin

G.1.4.1 Oak-Hickory Cover Type

Vegetation. The oak-hickory cover type varies from open to closed woods with a strong to weak understory of shrubs, vines, and herbaceous plants. By definition, oak and hickory must make up 50 percent of the stand, singly or in combination. The cover type includes multiple vegetation communities, including the Coastal Plain in Alabama and Mississippi, the oak-hickory forest and the mosaic of the oak-hickory forest and bluestem prairie communities of the Ozark Plateaus and interior low plateaus and their extensions, the oak forest of the Appalachians, and the Cross Timbers area of Texas.

Sweetgum and red cedar are close associates in the southern region of the cover type. Maple, elm, yellow-poplar, and black walnut often are close associates in eastern and northern parts of the oak forest and the oak-hickory-bluestem mosaic. The major shrubs are blueberry, viburnum, dogwood, rhododendron, and sumac. The major vines are woodbine, grape, poison ivy, greenbrier, and blackberry. Important herbaceous plants are sedge, panicum, bluestem, lespedeza, tick clover, goldenrod, pussytoes, and aster; many more are abundant locally.

The canopy can be dominated by white oak (*Quercus alba*) and mockernut hickory (*Carya alba*), with pignut hickory (*Carya glabra*) and eastern black oak (*Quercus velutina*). Northern red oak (*Quercus rubra*) may be found in the subcanopy of some examples, particularly on north- and east-facing slopes. The subcanopy may also contain red maple (*Acer rubrum*), sugar maple (*Acer saccharum*), serviceberry (*Amelanchier arborea*), American hornbeam (*Carpinus caroliniana*), blackgum (*Nyssa sylvatica*), and sourwood (*Oxydendrum arboretum*). Hillside blueberry (*Vaccinium pallidum*) may be a prominent low shrub in some examples, along with deerberry (*Vaccinium stamineum*) and maple-leaved viburnum (*Viburnum acerifolium*). The herb dominance may be quite variable depending on aspect. Some other herbs which may be found include slender toothwort (*Cardamine angustata*), wild comfrey (*Cynoglossum virginianum* var. *virginianum*), and ebony spleenwort (*Asplenium platyneuron*).

Numerous benefits are provided by the oak-hickory land cover type, including wildlife, timber, watershed protection, recreation, and wilderness, and achieving a desirable mix of these benefits requires careful management (Skeen et al., 1993).

Fauna. The fauna of the oak-hickory cover type is similar to that of other eastern hardwood and hardwood-conifer areas and varies somewhat from north to south. Important animals in the cover type include the white-tailed deer, black bear, bobcat, gray fox, raccoon, gray squirrel, fox squirrel, eastern chipmunk, white-footed mouse, pine vole, short-tailed shrew, and cotton mouse.

Bird populations are large. The turkey, ruffed grouse, bobwhite, and mourning dove are game birds in various parts of the cover type. Breeding bird populations average about 225 pairs per 100 acres and

include some 24 or 25 species. The most abundant breeding birds include the cardinal, tufted titmouse, wood thrush, summer tanager, red-eyed vireo, blue-gray gnatcatcher, hooded warbler, and Carolina wren. The box turtle and common garter snake are characteristic reptiles.

G.1.4.2 Elm-Ash-Cottonwood Cover Type

Vegetation. The vegetation of this cover type is a tree life form of low to tall broadleaved deciduous trees, varying from open to dense and often accompanied by vines. Cottonwood species usually dominate the cover type and often occur in pure stands. Cottonwood is most common along the streams. Swamp cottonwood (*Populus heterophylla*) is more common in other places. Common associates in the north are willow species and green and white ash (*Fraxinus pennsylvanica* and *F. Americana*). Sycamore and sugarberry (*Celtis laevigata*) are common associates in the south. Other common associates are willow, sycamore, beech, and maple. The cottonwood-willow stage is short lived. This stage is followed by the river birch (*Betula nigra*) and silver maple-American elm types in the north and by the sycamore-pecan-American elm or sugarberry-American elm-green ash types in the south.

In Illinois, this cover type includes sugar maple (*Acer saccharinum*), cottonwood (*Populus deltoides*), sycamore (*Platanus occidentalis*), American elm (*Ulmus Americana*), slippery elm (*Ulmus rubra*), black willow (*Salix nigra*), boxelder (*Acer negundo*), river birch (*Betula nigra*), hackberry (*Celtis occidentalis*), and green ash (*Fraxinus pennsylvanica*). Species that may be present in the shrub layer include American beautyberry (*Sambucus Canadensis*) or spicebush (*Lindera benzoin*). Woody and herbaceous vines can be prominent, including, among the woody vines, Virginia creeper (*Parthenocissus quinquefolia*) and riverbank grape (*Vitis riparia*). Herbaceous vines species include groundnut (*Apios americana*), American hogpeanut (*Amphicarpaea bracteata*), and wild cucumber (*Echinocystis lobata*). Herbaceous grasses, forbs, and ferns dominate the ground layer, including calico aster (*Symphotrichum lateriflorum*), false nettle (*Boehmeria cylindrical*), Virginia wildrye (*Elymus virginicus*), pale touch-me-not (*Impatiens pallida*), Canadian woodnettle (*Laportea canadensis*), ostrich fern (*Matteuccia struthiopteris*), sensitive fern (*Onoclea sensibilis*), Canadian clearweed (*Pilea pumila*), and stinging nettle (*Urtica dioica*) (Faber-Langendoen, 2001).

Fauna. Because this cover type is far flung and is in the main flood plains of rivers dissecting a number of other, quite different cover types, the fauna is varied and, in many cases, influent from the surrounding cover types. Forest-edge animals and birds are common, and numerous ones include the cottontail, bobwhite, white-tailed deer, raccoon, red fox, coyote, striped skunk, spotted skunk, meadow jumping mouse (*Zapus hudsonius*), fox squirrel, and ground squirrels. Other birds include the catbird (*Dumetella carolinensis*), goldfinch (*Spinus tristis*), yellow-billed cuckoo (*Coccyzus americanus*), indigo bunting (*Passerina cyanea*), cardinal, lark sparrow (*Chondestes grammacus*), mockingbird (*Mimus polyglottos*), common crow (*Corvus brachyrhunchos*), blue jay, robin, ruby-throated hummingbird, ruffed grouse and Cooper's hawk.

G.1.4.3 Oak-Pine Cover Type

A summary of the Oak-Pine Cover type is included under the Appalachian Basin.

G.1.4.4 Maple-Beech-Birch Cover Type

A summary of the Maple-Beech-Birch Cover type is included under the Appalachian Basin.

G.1.4.5 Aspen-Birch Cover Type

A summary of the Aspen-Birch Cover type is included above in the Appalachian region.

G.1.4.6 Prairie Cover Type

A summary of the Prairie Cover type is included above in Northern Rocky Mountains and Great Plains.

G.1.4.7 Oak-Gum-Cypress Cover Type

A summary of the Oak-Gum-Cypress Cover type is included in Gulf Coast.

G.1.4.8 Agriculture Cover Type

The agriculture cover type includes land used mainly for production of food crops, such as wheat, corn, soybeans, or commodities such as cotton. This cover type is not restricted to a particular climate, physiography, or soils, but occurs where economic conditions are favorable. The best examples of this type are the former prairies of the Midwestern U.S., which have been replaced with corn and wheat, the Central Valley of California where vegetable crops are grown, and the Mississippi basin where soybeans and other agricultural crops are produced. In other areas, the agriculture cover type is intermixed with natural cover, which provides an idea of natural vegetation that is characteristic of the section.

G.1.5 Terrestrial Cover Types for Northern Rocky Mountains and Great Plains

G.1.5.1 Mountain Grasslands Cover Type

Vegetation. Although the mountain grasslands cover type ranges from foothills at northerly latitudes to high mountain sites, it is characterized throughout by bunchgrasses of the fescue and wheatgrass groups.

Fauna. In the foothills portion of the mountain grasslands cover type, pronghorn, or antelope, are resident and mule deer are winter visitors. Where there is an interface with the sagebrush cover type, common animals are the black-tailed jackrabbit, pygmy cottontail, and various mice. At low to medium elevations, various subspecies of ground squirrels are present, as well as the badger. At medium to high elevations, the grasslands seasonally support Rocky Mountain elk and mule deer. The pocket gopher is well distributed throughout the cover type. Predators, which are well distributed at high elevations, are the bobcat, black bear, and coyote. Two of the more common birds present are the robin and horned lark. Marsh hawks, sparrow hawks, and golden eagles are common raptors.

G.1.5.2 Aspen-Birch Cover Type

A discussion of the Aspen-Birch Cover type is provided above in the Appalachian Region.

G.1.5.3 Prairie Cover Type

Vegetation. The prairie cover type is known to many as the tall-grass or true prairie. Bluestems constitute about 70 percent of the vegetation and reach heights of five to six feet in lowland areas. Large numbers of flowering forbs are present but are usually overshadowed by the grasses. Most of the plants are classified as warm-season plants. Woody vegetation is rare. Willow occurs in some places in exceptionally moist areas of the northern part of the cover type, and needleleaved evergreens and broadleaved deciduous trees are scattered in the southern part. Deciduous trees are common along permanent streams.

Fauna. Bison once grazed at the western margin of the tall-grass prairie, and the pronghorn, is still present there. Jackrabbits are common residents of the prairie, and cottontails are present where there are streams and cover. Burrowing rodents include ground squirrels, prairie dogs, pocket gophers, and many smaller rodents. Burrowing predators include the badger. The coyote is still common.

The northern portion of the prairie cover type is an important breeding area for a number of species of migrating waterfowl. Mourning doves have become abundant as shelterbelt plantings have developed. Among the gallinaceous birds, the sharp-tailed grouse, greater prairie chicken, and bobwhite are present in fair numbers.

G.1.5.4 Pinyon-Juniper Cover Type

A summary of the Pinyon-Juniper Cover type is provided in Colorado Plateau.

G.1.5.5 Ponderosa Pine Cover Type

A description of the Ponderosa Pine cover type is provided in Colorado Plateau.

G.1.5.6 Sagebrush Cover Type

A description of the Sagebrush cover type is provided above in Colorado Plateau.

G.1.5.7 Douglas-fir Cover Type

A description of the Douglas-fir cover type is provided in Colorado Plateau.

G.1.5.8 Lodgepole Pine Cover Type

A description of the Lodgepole Pine cover type is provided in Colorado Plateau.

G.1.5.9 Fir-Spruce Cover Type

A description of the Fir-Spruce cover type is provided in Colorado Plateau.

G.1.5.10 Alpine Tundra Cover Type

A description of the Alpine Tundra cover Type is provided in Colorado Plateau.

G.1.5.11 Great Plains Grasslands Cover Type

A description of the Great Plains Grasslands cover type is provided in Gulf Coast.

G.1.5.12 Chaparral Mountain Shrub Cover Type

A description of the Chaparral Mountain Shrub cover type is provided in Colorado Plateau.

G.1.5.13 Desert Shrub Cover Type

A description of the Desert Shrub cover type is provided in Colorado Plateau.

G.1.6 Terrestrial Resources for Northwest Basin

G.1.6.1 Cover Types in the Alaska Range Humid Tayga-Tundry-Meadow and Coastal Humid Tayga-Meadow Provinces

Vegetation. Vertical vegetational zonation characterizes the Alaska Range and Wrangell Mountains, beginning with dense bottom-land stands of white spruce and cottonwood on the floodplains and low terraces of the Copper and Susitna Rivers. Above the terraces, poorly drained areas up to 1,000 feet support stands of black spruce. Upland spruce-hardwood forests of white spruce, birch, aspen, and poplar, with an undergrowth of moss, fern, grass, and berry, extend to timberline at about 2,500 to 3,500 feet. Tundra systems of low shrubs and herbaceous plants form discontinuous mats among the rocks and rubble above timberline. White mountain-avens may cover entire ridges in the Alaska Range, associated with moss campion, black oxytrope, arctic sandwort, lichens, grasses, and sedges. These tundra systems stop short of the permanent ice caps on the highest peaks.

Throughout the Cook Inlet lowlands, lowland spruce-hardwood forests are abundant. Bottom land spruce-poplar forest adjoins the larger river drainages, along with thickets of alder and willow. Wet tundra communities exist along the Cook Inlet coastline. The Copper River lowland is characterized by black spruce forest interspersed with large areas of brushy tundra. White spruce forests occur on south-facing gravelly moraines, and cottonwood-tall bush communities are common on large floodplains.

Fauna. Caribou and introduced bison inhabit the area, and Dall sheep (*Ovis dalli*) are found in the high mountains. Moose (*Alces alces*), brown bear (*Ursus arctos*), and black bear (*Ursus americanus*) are common to the area. Upland furbearers, such as marten (*Martes americana*), mink (*Neovison vison*), and shorttail (*Mustela ermine*) and least weasels (*Mustela nivalis*), are common. Hoary marmots (*Marmota caligata*) populate mountainous areas, and woodchucks (*Marmota monax*) are found in the lower open woodlands. There is prime habitat for arctic ground squirrels (*Spermophilus parryii*) and northern flying squirrels (*Glaucomys sabrinus*). The range of the longtail (*Microtus longicaudus*) and yellow-cheeked (*M. xanthognathus*) voles in interior Alaska corresponds closely to this region.

G.1.7 Terrestrial Resources for Western Interior Region

G.1.7.1 Oak-Hickory Cover Type

A description of the Oak-Hickory Cover type is included in Illinois Basin.

G.1.7.2 Oak-Pine Cover Type

A summary of the Oak-Pine Cover type is included in Appalachian Basin.

G.1.7.3 Prairie Cover Type

A summary of the Prairie Cover type is included in Northern Rocky Mountains and Great Plains.

G.1.7.4 Great Plains Grasslands Cover Type

A description of the Great Plains Grasslands cover type is provided in Gulf Coast.

G.1.7.5 Loblolly-Shortleaf Cover Type

A summary of the Loblolly-Shortleaf Cover type is included in Appalachian Basin.

G.1.7.6 Elm-Ash-Cottonwood Cover Type

A summary of the Elm-Ash-Cottonwood Cover type is included in Illinois Basin.

G.1.7.7 Aspen-Birch Cover Type

A summary of the Aspen-Birch Cover type is included in Appalachian Region

Appalachian Basin Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
AL	0.39%	2.83%	33.82%	0.04%	17.63%	3.02%	0.26%	2.15%	0.72%	9.55%	5.41%	1.94%	11.53%	0%	7.68%	3.03%
Bibb	0.15%	0.88%	27.63%	0.02%	8.45%	1.92%	0.01%	0.27%	0.10%	15.09%	3.45%	0.74%	6.11%	0.00%	7.45%	4.31%
Cullman	0.26%	5.75%	24.90%	0.02%	19.11%	3.42%	0.21%	1.98%	0.58%	6.26%	5.27%	2.16%	33.22%	0.00%	6.79%	0.74%
Fayette	0.13%	4.46%	36.83%	0.08%	10.36%	1.26%	0.03%	0.26%	0.11%	14.22%	3.68%	0.34%	3.76%	0.00%	9.76%	5.95%
Franklin	0.22%	2.02%	37.99%	0.13%	3.91%	0.68%	0.09%	1.17%	0.26%	5.37%	3.60%	1.93%	18.37%	0.00%	16.39%	1.41%
Jackson	0.07%	6.80%	49.24%	0.02%	18.60%	1.83%	0.13%	0.98%	0.27%	6.03%	2.73%	4.43%	16.99%	0.00%	4.45%	2.11%
Jefferson	0.76%	1.04%	32.37%	0.00%	18.98%	3.67%	1.24%	8.64%	2.98%	6.24%	12.94%	1.29%	5.46%	0.00%	3.87%	0.91%
Marion	0.10%	2.08%	35.82%	0.05%	19.69%	1.99%	0.12%	1.21%	0.49%	7.82%	5.02%	0.53%	9.56%	0.00%	14.29%	1.93%
Shelby	1.08%	3.11%	36.25%	0.00%	19.28%	6.03%	0.24%	3.05%	0.88%	4.89%	6.82%	1.89%	10.17%	0.00%	3.18%	2.73%
Tuscaloosa	0.44%	2.13%	31.53%	0.10%	24.64%	2.24%	0.21%	1.50%	0.64%	14.03%	4.66%	2.20%	5.36%	0.00%	8.00%	7.69%
Walker	0.59%	0.85%	28.01%	0.02%	26.27%	6.04%	0.06%	0.73%	0.35%	11.82%	4.47%	1.59%	10.49%	0.00%	8.19%	2.14%
Winston	0.18%	1.17%	25.37%	0.00%	31.87%	3.91%	0.05%	0.81%	0.12%	15.22%	3.83%	2.72%	11.54%	0.00%	7.35%	1.46%
KY	1.08%	0.21%	72.55%	0.00%	0.66%	8.64%	0.06%	1.73%	0.44%	4.06%	4.39%	0.51%	5.48%	0%	0.20%	0.00%
Bell	0.66%	0.00%	75.66%	-	0.45%	9.20%	0.10%	1.74%	0.53%	5.24%	5.19%	0.34%	0.74%	0.00%	0.15%	-
Breathitt	0.77%	0.03%	78.67%	-	0.61%	8.14%	0.02%	1.03%	0.16%	3.86%	3.75%	0.15%	2.68%	0.00%	0.12%	0.02%
Clay	0.13%	0.09%	78.93%	-	0.25%	5.72%	0.03%	1.39%	0.23%	2.19%	4.74%	0.36%	5.28%	0.00%	0.66%	0.01%
Elliott	0.04%	0.32%	69.54%	0.00%	2.08%	4.60%	-	0.77%	0.04%	6.11%	4.35%	0.44%	11.41%	0.00%	0.29%	0.00%
Floyd	0.65%	0.18%	76.68%	-	0.26%	8.79%	0.10%	2.31%	0.77%	1.16%	4.36%	0.53%	4.17%	0.00%	0.03%	-
Harlan	0.84%	0.00%	82.88%	-	0.22%	5.10%	0.04%	1.49%	0.44%	3.39%	4.87%	0.24%	0.38%	0.00%	0.11%	-
Jackson	0.22%	0.06%	67.81%	-	0.39%	8.27%	0.01%	1.56%	0.09%	3.60%	5.07%	0.13%	12.39%	0.00%	0.42%	0.01%
Johnson	0.35%	0.28%	74.80%	-	0.62%	6.08%	0.09%	2.08%	0.66%	4.07%	4.35%	0.66%	5.91%	0.00%	0.06%	0.00%
Knott	3.06%	0.01%	75.42%	-	0.16%	12.52%	0.03%	1.23%	0.30%	1.88%	4.43%	0.27%	0.67%	0.00%	0.03%	-
Knox	0.31%	0.12%	72.19%	-	0.44%	7.32%	0.09%	2.35%	0.53%	2.03%	5.31%	0.21%	8.62%	0.00%	0.48%	0.00%
Laurel	0.67%	0.09%	38.84%	0.00%	1.06%	9.32%	0.26%	4.43%	1.11%	14.42%	5.54%	1.67%	22.42%	0.00%	0.16%	0.00%
Lawrence	0.15%	0.37%	76.91%	-	2.32%	5.23%	0.04%	1.18%	0.45%	2.20%	4.57%	1.12%	5.28%	0.00%	0.17%	0.00%
Leslie	1.00%	0.05%	84.58%	-	0.11%	5.32%	0.01%	0.65%	0.12%	2.35%	4.58%	0.35%	0.32%	0.00%	0.56%	0.00%
Letcher	2.74%	0.00%	74.66%	-	0.19%	10.44%	0.05%	1.62%	0.40%	4.51%	5.20%	0.08%	0.08%	0.00%	0.02%	-
Magoffin	0.24%	0.62%	82.59%	0.00%	0.31%	7.49%	0.01%	0.84%	0.09%	2.17%	2.52%	0.02%	3.08%	0.00%	0.03%	0.01%
Martin	4.13%	0.68%	67.30%	-	0.36%	16.79%	0.03%	1.83%	0.41%	1.75%	3.80%	0.39%	2.42%	0.00%	0.12%	0.00%
Morgan	0.07%	0.78%	68.51%	-	1.54%	5.45%	0.01%	1.13%	0.07%	7.68%	2.71%	0.53%	11.46%	0.00%	0.05%	0.01%
Owsley	0.08%	0.08%	74.90%	-	0.66%	6.71%	0.00%	1.17%	0.07%	3.32%	4.61%	0.27%	7.86%	0.00%	0.25%	0.01%
Perry	3.65%	0.07%	69.41%	-	0.47%	13.64%	0.10%	1.99%	0.62%	3.34%	5.03%	0.43%	1.10%	0.00%	0.16%	-
Pike	2.34%	0.40%	74.54%	-	0.11%	13.48%	0.11%	1.97%	0.75%	1.66%	2.58%	0.49%	1.50%	0.00%	0.08%	0.00%
Whitley	0.26%	0.21%	57.94%	-	1.81%	9.16%	0.08%	2.51%	0.71%	8.53%	5.90%	1.41%	11.25%	0.00%	0.23%	0.00%
MD	0.90%	1.57%	69.92%	0.13%	4.47%	0%	0.11%	1.22%	0.40%	1.60%	5.56%	1.45%	12.44%	0%	0%	0.23%
Allegany	0.48%	0.81%	74.67%	-	2.50%	0.28%	2.45%	0.82%	1.46%	5.86%	0.91%	9.72%	-	-	-	0.03%

Garrett	1.15%	2.04%	66.94%	0.21%	5.71%	0.01%	0.45%	0.14%	1.69%	5.37%	1.78%	14.15%	-	-	-	0.36%
OH	0.19%	10.84%	55.34%	0.03%	1.37%	2.25%	0.36%	2.74%	0.82%	0.04%	7.78%	1.26%	16.36%	0%	0.27%	0.37%
Belmont	0.37%	5.91%	58.16%	0.04%	0.79%	2.61%	0.22%	1.37%	0.62%	0.01%	7.08%	1.50%	21.14%	0.00%	0.08%	0.11%
Carroll	0.00%	13.98%	53.68%	0.02%	2.13%	2.02%	0.06%	0.71%	0.19%	0.03%	5.86%	1.37%	19.64%	0.00%	0.05%	0.26%
Columbiana	0.10%	19.04%	43.29%	0.01%	1.17%	1.77%	0.32%	2.65%	0.71%	0.01%	10.25%	0.87%	19.46%	0.00%	0.02%	0.34%
Coshocton	0.03%	15.98%	54.95%	0.04%	0.84%	0.79%	0.15%	0.90%	0.31%	0.01%	6.34%	1.25%	17.76%	0.00%	0.11%	0.54%
Harrison	0.37%	7.87%	61.76%	0.05%	1.78%	2.17%	0.03%	0.50%	0.12%	0.01%	6.40%	2.10%	16.55%	0.00%	0.06%	0.24%
Jackson	0.63%	4.63%	59.52%	0.01%	3.86%	3.70%	0.08%	1.92%	0.41%	0.01%	5.44%	0.49%	17.97%	0.00%	1.32%	0.02%
Jefferson	0.26%	7.13%	64.89%	0.01%	0.61%	1.95%	0.33%	1.95%	0.93%	0.01%	8.25%	1.51%	12.09%	0.00%	0.04%	0.05%
Lawrence	0.10%	2.08%	68.85%	-	2.19%	3.29%	0.11%	3.06%	0.90%	0.33%	5.06%	0.80%	12.40%	0.00%	0.63%	0.19%
Mahoning	0.07%	16.96%	30.04%	0.03%	0.87%	2.53%	1.23%	12.07%	3.03%	0.04%	12.63%	2.76%	15.17%	0.00%	0.51%	2.04%
Monroe	0.01%	3.97%	74.01%	0.00%	1.62%	1.54%	0.07%	0.35%	0.11%	0.02%	6.76%	0.82%	10.67%	0.00%	0.04%	0.01%
Muskingum	0.08%	7.97%	54.20%	0.03%	0.86%	3.27%	0.25%	1.77%	0.52%	0.04%	7.18%	1.44%	22.04%	0.00%	0.19%	0.17%
Noble	0.29%	5.44%	67.62%	0.01%	0.92%	3.76%	0.02%	0.51%	0.13%	0.00%	6.92%	1.38%	12.58%	0.00%	0.35%	0.05%
Perry	0.15%	15.39%	55.89%	0.01%	1.97%	1.14%	0.06%	0.94%	0.21%	0.01%	6.71%	0.71%	16.18%	0.00%	0.46%	0.18%
Stark	0.07%	23.04%	23.44%	0.05%	0.54%	1.98%	1.96%	10.66%	3.24%	0.03%	14.89%	1.22%	18.08%	0.00%	0.02%	0.80%
Tuscarawas	0.10%	14.95%	52.68%	0.07%	0.93%	1.96%	0.38%	2.55%	1.01%	0.00%	6.99%	1.42%	16.21%	0.00%	0.07%	0.67%
Vinton	0.65%	3.44%	76.89%	0.02%	2.05%	1.68%	0.01%	0.36%	0.06%	0.01%	5.79%	0.42%	7.86%	0.00%	0.69%	0.06%
PA	0.56%	6.18%	56.72%	0.10%	3.85%	0.68%	0.39%	2.61%	1.12%	6.37%	6.47%	1.23%	12.73%	0%	0.75%	0.24%
Allegheny	0.23%	1.97%	41.60%	0.01%	0.17%	0.76%	4.17%	17.06%	9.55%	0.08%	19.24%	1.86%	3.28%	0.00%	0.00%	0.02%
Armstrong	0.31%	7.50%	63.33%	0.01%	1.30%	1.08%	0.13%	1.59%	0.48%	0.89%	7.13%	2.22%	14.02%	0.00%	0.00%	0.00%
Beaver	0.05%	4.50%	57.13%	0.02%	0.62%	1.40%	1.39%	5.70%	2.48%	0.04%	13.36%	2.13%	10.97%	0.00%	0.03%	0.19%
Bedford	0.10%	7.43%	65.61%	0.00%	2.22%	-	0.09%	1.16%	0.28%	1.95%	5.41%	0.43%	15.34%	0.00%	-	0.00%
Butler	0.11%	13.21%	57.34%	0.04%	0.40%	2.31%	0.42%	3.24%	0.99%	0.77%	8.70%	1.11%	11.22%	0.00%	0.00%	0.13%
Cambria	1.56%	3.91%	59.27%	0.00%	8.90%	-	0.14%	2.23%	0.69%	2.56%	7.33%	0.96%	12.45%	0.00%	-	0.00%
Cameron	0.21%	0.10%	64.13%	0.33%	5.70%	0.85%	0.01%	0.20%	0.07%	21.96%	1.11%	0.21%	1.16%	0.00%	3.49%	0.46%
Centre	0.38%	8.76%	61.98%	0.03%	7.38%	0.01%	0.11%	1.67%	0.37%	6.36%	5.47%	0.55%	6.89%	0.00%	0.04%	0.01%
Clarion	0.19%	12.17%	47.95%	0.04%	4.86%	4.30%	0.07%	0.97%	0.24%	10.22%	6.18%	0.87%	11.35%	0.00%	0.50%	0.07%
Clearfield	1.93%	1.66%	54.89%	0.07%	10.77%	1.26%	0.05%	1.17%	0.25%	9.71%	6.17%	0.60%	9.55%	0.00%	1.76%	0.19%
Columbia	0.18%	12.92%	34.97%	0.30%	5.75%	0.10%	0.13%	1.47%	0.48%	11.76%	6.34%	0.99%	24.17%	0.00%	0.34%	0.10%
Dauphin	0.24%	9.93%	42.20%	0.18%	1.51%	-	1.25%	7.43%	2.73%	2.02%	7.82%	6.25%	18.15%	0.00%	-	0.29%
Elk	0.62%	0.63%	57.50%	0.22%	7.70%	1.95%	0.06%	0.55%	0.23%	17.20%	1.86%	0.46%	4.23%	0.00%	6.37%	0.43%
Fayette	0.42%	3.44%	66.68%	0.01%	0.63%	0.04%	0.22%	2.84%	1.17%	0.31%	6.69%	1.42%	16.13%	0.00%	-	0.00%
Greene	0.12%	2.41%	72.45%	0.02%	0.16%	0.80%	0.13%	0.77%	0.24%	0.01%	7.19%	0.65%	14.98%	0.00%	0.01%	0.06%
Huntingdon	0.08%	6.43%	67.96%	-	5.21%	-	0.05%	0.91%	0.19%	3.26%	4.80%	1.99%	9.12%	0.00%	-	0.01%
Indiana	0.51%	5.94%	62.02%	0.03%	3.32%	-	0.09%	1.54%	0.46%	1.44%	6.58%	0.67%	17.40%	0.00%	-	0.00%
Jefferson	0.37%	4.86%	52.68%	0.05%	6.02%	1.07%	0.06%	1.16%	0.27%	10.18%	5.66%	0.39%	15.97%	0.00%	0.95%	0.32%
Lackawanna	0.42%	8.75%	51.56%	0.40%	3.22%	0.85%	1.11%	4.82%	3.65%	6.19%	6.84%	1.79%	4.08%	0.00%	1.84%	4.48%
Luzerne	1.19%	4.03%	55.17%	0.31%	5.09%	0.16%	0.70%	3.35%	2.57%	8.72%	6.71%	2.23%	7.60%	0.00%	0.90%	1.28%
Lycoming	0.15%	5.24%	42.79%	0.27%	4.96%	0.35%	0.10%	1.14%	0.43%	26.68%	3.50%	0.72%	12.41%	0.00%	1.16%	0.11%
Northumberland	0.69%	18.18%	41.47%	0.24%	1.93%	0.03%	0.37%	2.43%	1.11%	2.70%	6.83%	3.99%	19.71%	0.00%	0.19%	0.14%
Schuylkill	1.85%	5.77%	62.34%	0.01%	3.86%	-	0.27%	2.37%	0.88%	3.54%	6.57%	1.30%	11.25%	0.00%	-	0.00%
Somerset	1.41%	5.32%	62.67%	0.01%	3.68%	-	0.04%	0.88%	0.24%	1.17%	4.89%	0.94%	18.73%	0.00%	-	0.02%
Tioga	0.29%	7.65%	49.37%	0.34%	3.34%	-	0.02%	0.37%	0.12%	14.52%	2.96%	0.49%	17.75%	0.00%	-	0.07%

Venango	0.02%	6.43%	67.84%	0.11%	2.13%	0.43%	0.06%	1.06%	0.31%	4.80%	5.75%	1.35%	7.16%	0.00%	2.29%	0.43%
Washington	0.23%	7.45%	56.20%	0.00%	0.30%	1.51%	0.44%	3.18%	1.02%	0.06%	8.89%	0.65%	19.92%	0.00%	1.04%	0.04%
Westmoreland	0.52%	4.11%	58.65%	0.01%	0.89%	1.60%	0.52%	6.11%	2.52%	0.28%	7.40%	1.14%	17.84%	0.00%	0.01%	0.00%
TN	0.54%	0.30%	58.21%	0.00%	2.17%	9.18%	0.22%	2.38%	0.72%	8.37%	5.10%	2.01%	10.37%	0%	0.14%	0.28%
Anderson	0.27%	0.17%	56.83%	0.00%	3.08%	4.14%	0.64%	4.63%	1.78%	5.04%	7.28%	2.62%	12.32%	0.00%	0.13%	1.07%
Campbell	0.37%	0.06%	65.68%	0.01%	1.26%	7.78%	0.18%	2.42%	0.64%	6.38%	5.69%	3.54%	5.62%	0.00%	0.19%	0.17%
Claiborne	0.78%	0.07%	53.25%	-	1.54%	16.95%	0.13%	2.00%	0.46%	5.22%	4.09%	1.81%	13.48%	0.00%	0.14%	0.09%
Fentress	0.69%	0.83%	56.12%	-	2.99%	7.19%	0.05%	1.12%	0.28%	15.46%	3.91%	0.22%	10.99%	0.00%	0.11%	0.03%
VA	1.07%	0.17%	65.33%	0%	1.87%	7.07%	0.08%	2.02%	0.74%	2.51%	4.29%	0.21%	14.29%	0%	0.35%	0.01%
Buchanan	0.60%	0.03%	82.99%	-	0.54%	4.05%	0.04%	1.56%	0.51%	1.26%	4.34%	0.09%	3.97%	0.00%	0.01%	-
Dickenson	0.52%	0.04%	75.87%	-	0.99%	6.39%	0.02%	1.77%	0.48%	3.13%	4.91%	0.61%	5.27%	0.00%	-	-
Lee	0.70%	0.07%	56.81%	-	1.22%	17.85%	0.05%	1.66%	0.38%	5.42%	4.87%	0.10%	10.62%	0.00%	0.24%	0.01%
Russell	0.43%	0.36%	52.85%	-	2.16%	2.74%	0.04%	1.95%	0.52%	1.19%	4.40%	0.29%	32.33%	0.00%	0.74%	0.00%
Tazewell	0.26%	0.35%	59.49%	-	3.81%	4.16%	0.16%	2.45%	1.36%	1.23%	3.83%	0.06%	21.89%	0.00%	0.91%	0.02%
Wise	4.26%	0.09%	66.17%	-	2.12%	8.51%	0.15%	2.68%	1.09%	3.60%	3.55%	0.23%	7.54%	0.00%	0.01%	-
WV	0.89%	1.29%	78.34%	0.08%	2.12%	2.12%	0.11%	1.40%	0.60%	1.64%	4.80%	0.80%	5.67%	0%	0.03%	0.09%
Barbour	0.79%	4.24%	75.74%	0.02%	0.20%	-	0.02%	0.55%	0.13%	0.56%	4.67%	1.04%	12.04%	0.00%	-	0.01%
Boone	2.70%	0.42%	83.61%	0.00%	0.13%	8.10%	0.08%	1.08%	0.63%	0.10%	1.85%	0.32%	0.88%	0.00%	0.08%	0.02%
Brooke	0.35%	3.92%	65.32%	0.08%	0.24%	2.12%	1.06%	3.66%	1.69%	0.02%	9.06%	4.00%	8.39%	0.00%	0.01%	0.08%
Clay	0.73%	0.55%	91.25%	0.05%	0.33%	0.76%	0.00%	0.12%	0.03%	0.02%	3.75%	0.61%	1.57%	0.00%	-	0.23%
Fayette	0.90%	0.36%	82.11%	0.09%	3.09%	2.17%	0.05%	1.70%	0.61%	1.13%	3.37%	1.19%	3.18%	0.00%	0.01%	0.05%
Greenbrier	0.56%	0.86%	77.85%	0.32%	2.75%	-	0.05%	1.15%	0.28%	1.98%	3.12%	0.45%	10.51%	0.00%	-	0.14%
Harrison	0.64%	1.57%	70.22%	0.00%	0.06%	0.19%	0.24%	2.57%	1.26%	0.26%	7.52%	0.57%	14.90%	0.00%	-	0.00%
Kanawha	0.50%	0.21%	82.86%	0.01%	0.52%	1.97%	0.37%	2.87%	1.38%	0.09%	7.09%	0.84%	1.25%	0.00%	0.04%	0.01%
Lincoln	0.57%	0.88%	82.96%	-	0.75%	5.21%	0.05%	1.38%	0.22%	0.05%	5.30%	0.27%	2.34%	0.00%	0.01%	0.02%
Logan	1.83%	0.45%	82.49%	0.00%	0.13%	7.48%	0.13%	1.68%	1.25%	0.05%	3.34%	0.29%	0.81%	0.00%	0.07%	0.00%
Marion	0.13%	2.28%	77.09%	0.00%	0.13%	0.62%	0.23%	2.19%	0.99%	0.08%	7.80%	0.99%	7.47%	0.00%	0.00%	0.00%
Marshall	0.03%	1.28%	74.67%	0.02%	0.16%	1.74%	0.34%	1.03%	0.67%	0.01%	6.85%	2.10%	11.04%	0.00%	0.01%	0.04%
Mason	0.10%	5.54%	63.56%	0.01%	3.28%	1.42%	0.07%	1.75%	0.42%	0.19%	5.32%	3.07%	15.17%	0.00%	0.02%	0.09%
McDowell	0.87%	0.01%	84.80%	0.00%	0.98%	2.80%	0.03%	1.62%	0.75%	1.52%	4.58%	0.15%	1.88%	0.00%	0.00%	0.00%
Mineral	0.35%	0.67%	72.51%	0.01%	2.15%	-	0.08%	1.13%	0.31%	2.15%	6.18%	1.24%	13.20%	0.00%	-	0.02%
Mingo	1.83%	0.34%	81.87%	-	0.13%	9.28%	0.04%	1.66%	0.99%	0.11%	2.79%	0.40%	0.55%	0.00%	0.03%	0.00%
Monongalia	0.38%	2.71%	73.92%	0.00%	0.14%	0.68%	0.35%	2.48%	1.38%	0.18%	7.76%	1.89%	8.11%	0.00%	0.00%	0.02%
Nicholas	1.85%	1.54%	81.66%	0.05%	2.27%	0.75%	0.05%	0.82%	0.18%	1.26%	4.83%	1.05%	3.64%	0.00%	0.00%	0.03%
Preston	0.59%	4.24%	74.77%	0.08%	1.49%	-	0.03%	0.48%	0.24%	0.80%	6.48%	0.69%	10.03%	0.00%	-	0.08%
Raleigh	0.63%	0.12%	66.10%	0.00%	7.75%	3.46%	0.31%	3.06%	1.52%	0.95%	4.20%	0.70%	11.04%	0.00%	0.15%	-
Randolph	0.97%	1.34%	78.66%	0.08%	4.12%	-	0.04%	0.37%	0.16%	7.16%	3.42%	0.37%	3.25%	0.00%	-	0.07%
Tucker	1.58%	0.58%	71.76%	1.09%	10.68%	-	0.01%	0.27%	0.09%	4.47%	4.04%	0.83%	2.97%	0.00%	-	1.62%
Upshur	1.12%	3.87%	77.04%	0.01%	0.49%	-	0.07%	0.94%	0.40%	0.96%	6.27%	0.37%	8.47%	0.00%	-	0.00%
Wayne	0.33%	0.42%	77.69%	-	0.61%	4.93%	0.09%	2.05%	0.65%	2.08%	5.94%	1.09%	3.73%	0.00%	0.33%	0.06%
Webster	1.08%	0.55%	89.07%	0.03%	0.53%	-	0.01%	0.19%	0.04%	3.90%	3.53%	0.35%	0.70%	0.00%	-	0.01%
Wyoming	0.88%	0.02%	78.01%	-	4.71%	4.68%	0.03%	1.32%	0.51%	3.43%	4.28%	0.37%	1.76%	0.00%	0.01%	-

Appalachian Basin Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
REGION AVG.	0.65%	4.05%	60.24%	0.06%	4.65%	2.94%	0.25%	2.16%	0.80%	4.66%	5.74%	1.14%	10.66%	0%	1.41%	0.58%

Colorado Plateau Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
AZ	1.84%	0.08%	0%	0.09%	20.28%	13.32%	0.00%	0.14%	0.02%	0%	0.58%	0.05%	0.12%	0%	63.23%	0.23%
Navajo	1.84%	0.08%		0.09%	20.28%	13.32%	0.00%	0.14%	0.02%	0%	0.58%	0.05%	0.12%	0%	63.23%	0.23%
CO	2.28%	0.75%	20.28%	0.08%	33.50%	6.50%	0.01%	0.40%	0.09%	1.41%	0.57%	0.30%	4.73%	0.01%	28.06%	1.03%
Delta	1.39%	3.79%	19.89%	0.01%	21.89%	2.93%	0.04%	1.00%	0.25%	1.00%	0.91%	0.44%	10.04%		34.86%	1.56%
Garfield	2.63%	0.02%	24.41%	0.14%	31.64%	9.32%	0.01%	0.46%	0.12%	1.11%	0.45%	0.27%	3.80%		24.57%	1.05%
Gunnison	4.28%		22.11%	0.18%	32.84%	12.72%	0.00%	0.17%	0.03%	1.65%	0.43%	0.56%	1.85%	0.04%	21.55%	1.57%
La Plata	1.48%	0.51%	18.90%	0.01%	36.15%	6.47%	0.00%	0.55%	0.08%	3.02%	0.92%	0.43%	8.78%		21.47%	1.22%
Montrose	1.17%	2.43%	16.95%		38.03%	1.70%	0.03%	0.61%	0.16%	0.20%	0.74%	0.09%	6.63%		30.72%	0.54%
Rio Blanco	1.43%	0.06%	17.77%	0.04%	35.44%	2.15%	0.00%	0.15%	0.01%	1.57%	0.39%	0.11%	3.22%		37.16%	0.49%
NM	1.01%	0.64%	0.10%	0.02%	15.62%	24.41%	0.01%	0.27%	0.13%	0.00%	0.61%	0.28%	0.63%	0%	55.96%	0.30%
McKinley	0.80%	0.01%	0.14%	0.03%	23.99%	26.91%	0.00%	0.14%	0.07%		0.34%	0.09%	0.00%		47.46%	0.01%
San Juan	1.21%	1.28%	0.06%	0.01%	7.33%	21.92%	0.02%	0.40%	0.18%	0.00%	0.89%	0.48%	1.26%		64.38%	0.59%
UT	7.96%	0.25%	5.76%	0%	21.17%	10.06%	0.00%	0.35%	0.09%	1.22%	0.74%	0.33%	2.38%	0.00%	49.40%	0.29%
Carbon	2.54%	0.03%	11.10%		34.77%	0.68%		0.41%	0.09%	1.23%	0.70%	0.43%	1.75%		45.98%	0.28%
Emery	12.47%	0.06%	1.60%		9.25%	16.43%	0.00%	0.22%	0.05%	0.36%	0.45%	0.26%	2.41%		56.08%	0.36%
Sevier	1.60%	0.86%	11.33%		38.49%	2.44%	0.01%	0.63%	0.18%	3.24%	1.42%	0.41%	2.77%	0.00%	36.47%	0.14%
REGION AVG.	2.88%	0.48%	7.81%	0.05%	23.67%	13.26%	0.01%	0.30%	0.08%	0.69%	0.61%	0.24%	2.19%	0.00%	47.20%	0.53%

Gulf Coast Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
LA	0.21%	3.18%	5.94%	0.96%	26.59%	3.69%	0.03%	1.49%	0.15%	9.30%	2.73%	2.68%	10.85%	0%	14.31%	17.89%
De Soto Parish	0.27%	0.92%	6.54%	0.72%	28.23%	5.34%	0.02%	1.24%	0.17%	11.37%	2.42%	1.20%	8.27%		13.62%	19.67%
Red River Parish	0.08%	8.25%	4.60%	1.48%	22.93%	0.00%	0.03%	2.04%	0.12%	4.69%	3.40%	5.98%	16.62%		15.85%	13.93%
MS	0.36%	2.94%	27.49%	0.82%	20.60%	0.07%	0.00%	0.12%	0.05%	12.39%	3.89%	0.37%	7.52%	0%	10.79%	12.57%
Choctaw	0.36%	2.94%	27.49%	0.82%	20.61%	0.07%	0.01%	0.12%	0.05%	12.39%	3.89%	0.37%	7.52%		10.79%	12.57%
TX	0.66%	3.90%	11.21%	0.21%	7.98%	3.37%	0.10%	2.71%	0.29%	8.74%	3.53%	1.50%	29.99%	0%	16.26%	9.56%
Atacosa	0.21%	11.21%	3.02%	0.07%	0.27%	6.77%	0.02%	1.85%	0.27%	0.23%	3.80%	0.11%	22.39%		48.27%	1.52%
Freestone	3.22%	0.41%	9.92%	0.40%	1.73%	7.25%	0.11%	4.00%	0.30%	8.44%	3.74%	2.22%	35.96%		9.23%	13.06%
Harrison	0.07%	0.27%	10.23%	0.16%	22.33%	0.05%	0.24%	3.72%	0.53%	17.75%	4.75%	1.85%	12.09%		14.52%	11.42%
Hopkins	0.05%	8.45%	13.46%	0.02%	0.36%	0.02%	0.13%	4.34%	0.28%	0.06%	1.44%	2.52%	54.92%		3.51%	10.44%
Lee	0.24%	2.50%	15.24%	0.45%	2.69%	1.49%	0.04%	0.45%	0.18%	4.83%	5.10%	0.45%	40.09%		19.84%	6.41%
Leon	1.60%	0.78%	11.58%	0.25%	3.17%	7.82%	0.05%	2.70%	0.20%	13.06%	3.02%	1.19%	33.42%		10.80%	10.37%
Panola	0.11%	0.12%	7.73%	0.09%	23.62%	0.26%	0.06%	1.82%	0.20%	16.85%	3.30%	1.61%	16.93%		11.33%	15.97%
Robertson	0.34%	9.53%	18.28%	0.54%	2.90%	4.36%	0.05%	0.56%	0.12%	8.29%	4.46%	1.00%	32.10%		11.39%	6.09%
Rusk	0.05%	0.42%	10.88%	0.03%	19.14%	0.65%	0.13%	3.21%	0.30%	13.53%	3.43%	1.74%	25.12%		10.58%	10.79%
Titus	0.21%	3.00%	21.85%	0.05%	2.58%		0.26%	5.67%	0.67%	0.12%	1.19%	4.09%	39.62%		6.03%	14.65%
REGION AVG.	0.59%	3.77%	11.21%	0.32%	10.83%	3.28%	0.08%	2.45%	0.26%	8.96%	3.44%	1.60%	26.67%	0%	15.79%	10.73%

Illinois Basin Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
IL	0.06%	52.58%	20.28%	0.16%	0.18%	0.95%	0.17%	3.25%	0.68%	0.03%	5.38%	2.13%	12.86%	0%	0.00%	1.29%
Gallatin	0.19%	62.56%	19.81%	0.29%	0.56%	0.23%	0.02%	1.21%	0.09%	0.05%	4.40%	2.60%	5.46%		0.00%	2.51%
Jackson	0.05%	24.34%	37.80%	0.34%	0.15%	0.99%	0.06%	3.17%	0.29%	0.16%	5.47%	3.42%	19.81%			3.95%
Macoupin	0.01%	60.38%	22.72%	0.01%	0.00%	0.07%	0.09%	2.67%	0.38%		5.02%	0.81%	7.47%			0.37%
Perry	0.01%	40.72%	22.58%	0.26%	0.01%	5.11%	0.04%	2.20%	0.24%	0.00%	5.61%	2.99%	18.63%			1.61%
Randolph	0.17%	30.87%	24.47%	0.35%	0.02%	2.46%	0.04%	2.92%	0.34%	0.02%	4.32%	4.02%	28.89%			1.10%
Saline	0.11%	44.87%	25.93%	0.31%	0.98%	0.56%	0.10%	2.17%	0.31%	0.13%	6.21%	1.75%	15.23%			1.35%
Sangamon	0.01%	70.60%	7.44%	0.01%	0.00%	0.22%	0.61%	6.16%	2.67%		4.63%	1.11%	5.36%			1.19%
Vermilion	0.07%	77.76%	8.81%	0.00%	0.00%	0.45%	0.21%	3.60%	0.36%		5.08%	0.60%	2.77%			0.31%
Wabash	0.02%	67.99%	12.13%	0.01%	0.00%	0.20%	0.05%	1.71%	0.29%		6.72%	1.94%	8.12%			0.83%
White	0.05%	61.28%	14.87%	0.07%	0.03%	0.08%	0.05%	1.60%	0.16%	0.00%	6.60%	1.53%	12.69%			0.98%
Williamson	0.02%	11.56%	38.10%	0.40%	0.97%	1.13%	0.17%	4.72%	1.04%	0.01%	7.22%	5.41%	28.08%			1.18%
IN	0.13%	55.30%	24.12%	0.32%	1.12%	0.90%	0.22%	1.33%	0.48%	0.01%	6.20%	2.33%	6.73%	0%	0.05%	0.78%
Daviess	0.06%	63.61%	17.33%	0.20%	0.24%	0.31%	0.11%	0.67%	0.28%		5.84%	1.82%	9.32%		0.02%	0.20%
Dubois	0.02%	37.29%	36.10%	0.07%	0.54%	1.03%	0.25%	0.94%	0.43%	0.03%	5.83%	1.39%	15.81%		0.17%	0.09%
Gibson	0.21%	68.75%	14.90%	0.18%	0.13%	0.44%	0.24%	1.08%	0.51%	0.00%	6.71%	3.09%	2.44%		0.02%	1.31%
Knox	0.16%	74.05%	11.46%	0.20%	0.10%	0.11%	0.15%	1.14%	0.41%	0.00%	6.31%	2.40%	2.94%		0.01%	0.53%
Pike	0.36%	40.11%	38.73%	0.73%	3.82%	1.77%	0.14%	0.57%	0.25%	0.01%	4.88%	2.12%	5.90%		0.04%	0.58%
Pike	0.36%	40.11%	38.73%	0.73%	3.82%	1.77%	0.14%	0.57%	0.25%	0.01%	4.88%	2.12%	5.90%		0.04%	0.58%
Sullivan	0.07%	58.54%	23.78%	0.33%	1.15%	0.45%	0.05%	0.78%	0.24%	0.01%	5.42%	2.66%	5.68%		0.05%	0.77%
Vigo	0.12%	46.64%	27.18%	0.18%	0.82%	1.84%	0.48%	3.72%	1.19%	0.03%	8.04%	2.33%	6.13%		0.03%	1.24%
Warrick	0.07%	41.59%	32.07%	0.82%	3.33%	1.81%	0.35%	1.86%	0.54%	0.00%	6.32%	2.66%	7.03%		0.05%	1.51%
KY	0.09%	35.27%	36.07%	1.37%	2.52%	2.75%	0.16%	0.94%	0.35%	0.04%	4.54%	2.24%	11.60%	0%	0.17%	1.87%
Christian	0.02%	30.58%	39.79%	0.08%	3.54%	3.03%	0.21%	1.05%	0.43%	0.06%	4.74%	0.40%	15.94%		0.08%	0.04%
Daviess	0.02%	49.47%	22.47%	0.42%	1.09%	0.78%	0.31%	1.98%	0.73%	0.00%	6.42%	3.16%	11.89%		0.08%	1.17%
Henderson	0.05%	57.03%	16.57%	1.59%	1.17%	0.11%	0.19%	1.52%	0.43%		5.83%	5.91%	6.98%		0.04%	2.58%
Hopkins	0.23%	25.67%	42.97%	3.98%	5.14%	3.68%	0.15%	0.90%	0.34%	0.01%	3.61%	1.40%	8.54%		0.08%	3.30%
Muhlenberg	0.15%	13.90%	48.63%	2.54%	4.49%	6.61%	0.10%	0.65%	0.31%	0.16%	4.01%	1.94%	14.09%		0.13%	2.30%
Ohio	0.17%	18.13%	54.58%	0.68%	0.82%	4.40%	0.05%	0.40%	0.12%	0.05%	3.84%	1.23%	13.06%		0.75%	1.72%
Union	0.00%	60.80%	15.79%	0.79%	0.70%	0.34%	0.11%	0.45%	0.17%		4.01%	4.90%	9.07%		0.03%	2.85%
Webster	0.05%	45.06%	33.41%	1.09%	2.07%	1.19%	0.14%	0.44%	0.23%		3.79%	0.62%	9.72%		0.05%	2.13%
REGION AVG.	0.09%	48.22%	25.88%	0.55%	1.10%	1.46%	0.18%	2.09%	0.53%	0.03%	5.34%	2.21%	10.92%	0%	0.06%	1.33%

Northern Rocky Mountains and Great Plains Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
CO	0.48%	8.47%	11.78%	0.15%	14.18%	5.86%	0.12%	0.68%	0.33%	0.88%	1.00%	0.33%	3.02%	0%	52.08%	0.63%
Adams	0.07%	57.02%	0.14%	0.48%	0.02%	26.62%	0.82%	3.86%	2.16%	0.00%	4.26%	0.71%	2.71%		0.18%	0.95%
Moffat	0.61%	0.36%	4.91%	0.03%	12.91%	0.73%	0.00%	0.13%	0.02%	0.15%	0.44%	0.24%	1.63%		77.68%	0.16%
Routt	0.44%	0.28%	31.37%	0.22%	23.84%	5.69%	0.01%	0.19%	0.04%	2.80%	0.47%	0.31%	5.95%		26.96%	1.41%
MT	0.39%	12.38%	0.28%	0.49%	12.11%	51.72%	0.01%	0.26%	0.07%	0.10%	0.77%	0.32%	1.26%	0%	17.96%	1.88%
Big Horn	0.28%	7.31%	0.64%	0.58%	11.29%	41.32%	0.00%	0.21%	0.05%		0.61%	0.25%	1.31%		33.02%	3.13%
Cascade	0.12%	21.13%	0.03%	0.14%	17.39%	43.23%	0.06%	0.70%	0.34%	0.38%	1.04%	0.40%	2.09%		11.61%	1.34%
Judith Basin	0.50%	19.83%	0.03%	0.64%	23.75%	43.19%	0.00%	0.17%	0.01%	0.30%	0.68%	0.04%	3.07%		6.75%	1.03%
Musselshell	0.17%	8.37%		0.09%	15.38%	62.98%	0.00%	0.11%	0.01%		0.33%	0.01%	0.75%		10.65%	1.14%
Richland	0.96%	31.48%	0.79%	1.14%	0.21%	57.87%	0.00%	0.31%	0.03%	0.38%	2.00%	1.17%	0.59%		1.33%	2.03%
Rosebud	0.46%	3.63%	0.04%	0.40%	9.54%	62.99%	0.00%	0.14%	0.02%		0.48%	0.22%	0.56%		20.03%	1.46%
ND	0.28%	38.57%	1.71%	2.98%	0.01%	34.00%	0.00%	0.24%	0.03%	0.01%	3.49%	8.50%	8.07%	0%	0.10%	2.02%
McLean	0.30%	46.59%	0.80%	4.72%	0.00%	23.51%	0.00%	0.22%	0.02%	0.00%	3.80%	11.53%	7.23%		0.01%	1.27%
Mercer	0.31%	27.31%	3.19%	0.50%	0.03%	48.48%	0.02%	0.34%	0.06%	0.03%	2.89%	6.64%	7.45%		0.26%	2.49%
Oliver	0.18%	29.82%	2.36%	1.11%	0.02%	45.78%	0.00%	0.12%	0.02%	0.02%	3.37%	1.55%	11.72%		0.17%	3.76%
WY	1.04%	0.25%	0.73%	0.62%	6.97%	22.64%	0.00%	0.14%	0.05%	0.10%	0.43%	0.30%	1.15%	0.00%	65.02%	0.55%
Campbell	1.19%	0.61%	0.01%	0.29%	2.13%	65.81%	0.01%	0.11%	0.11%		0.42%	0.02%	0.15%		28.87%	0.27%
Carbon	0.39%	0.02%	2.09%	1.05%	11.16%	9.19%	0.00%	0.11%	0.02%	0.17%	0.51%	0.43%	2.26%		71.76%	0.83%
Converse	1.25%	0.70%	0.11%	0.62%	7.15%	54.21%	0.00%	0.07%	0.01%		0.43%	0.09%	0.51%		33.92%	0.91%
Lincoln	1.01%	0.41%	1.29%	1.03%	21.39%	11.73%		0.22%	0.02%	0.40%	0.54%	0.30%	2.86%	0.00%	57.89%	0.89%
Sweetwater	1.37%	0.01%	0.04%	0.29%	0.30%	4.59%	0.01%	0.18%	0.06%	0.00%	0.35%	0.43%	0.36%		91.84%	0.18%
REGION AVG.	0.72%	7.44%	2.13%	0.67%	8.99%	29.76%	0.02%	0.25%	0.09%	0.20%	0.81%	0.85%	1.89%	0.00%	45.12%	1.05%

Western Interior Land Use

State/County	Barren Land (Rock-Sand-Clay) (%)	Cultivated Crops (%)	Deciduous Forest (%)	Emergent Herbaceous Wetlands (%)	Evergreen Forest (%)	Grasslands/Herbaceous (%)	High Intensity Urban (%)	Low Intensity Urban (%)	Medium Intensity Urban (%)	Mixed Forest (%)	Open Space (%)	Open Water (%)	Pasture/Hay (%)	Perennial Ice/Snow (%)	Shrub/Scrub (%)	Woody Wetlands (%)
AR	0.14%	0.97%	30.70%	0.23%	8.66%	10.19%	0.55%	4.55%	1.42%	6.61%	5.21%	2.17%	24.83%	0%	2.65%	1.13%
Sebastian	0.14%	0.97%	30.70%	0.23%	8.66%	10.19%	0.55%	4.55%	1.42%	6.61%	5.21%	2.17%	24.83%	0%	2.65%	1.13%
KS	0.06%	17.15%	17.26%	0.15%	0.04%	6.26%	0.04%	0.89%	0.13%	0.90%	4.16%	1.44%	49.60%	0%	0.19%	1.72%
Bourbon	0.04%	14.67%	15.36%	0.02%	0.02%	0.02%	0.04%	0.87%	0.16%	0.95%	3.99%	0.87%	52.84%		0.08%	0.47%
Linn	0.07%	19.78%	19.26%	0.29%	0.06%	0.06%	0.03%	0.92%	0.10%	0.85%	4.33%	2.04%	46.19%		0.32%	3.04%
MO	0.10%	31.37%	9.92%	0.40%	0.01%	0.69%	0.02%	0.65%	0.08%	0.02%	3.97%	1.00%	46.57%	0%	0.21%	4.97%
Bates	0.10%	31.37%	9.92%	0.40%	0.01%	0.69%	0.02%	0.65%	0.08%	0.02%	3.97%	1.00%	46.57%	0%	0.21%	4.97%
OK	0.12%	1.81%	26.70%	0.07%	9.60%	13.74%	0.09%	0.67%	0.20%	3.08%	4.42%	2.82%	35.40%	0%	0.60%	0.68%
Craig	0.02%	4.06%	13.30%	0.01%	0.14%	16.16%	0.05%	0.48%	0.10%	0.01%	4.26%	0.41%	60.86%		0.06%	0.10%
Haskell	0.50%	0.47%	25.87%	0.19%	3.66%	7.79%	0.02%	0.35%	0.05%	3.79%	2.60%	7.66%	43.55%		1.74%	1.76%
Le Flore	0.12%	0.82%	30.67%	0.03%	24.56%	5.57%	0.03%	0.54%	0.08%	6.92%	3.66%	1.55%	23.40%		0.93%	1.12%
Okmulgee	0.00%	2.26%	36.57%	0.12%	0.08%	24.13%	0.10%	0.66%	0.25%		5.61%	1.11%	29.09%			0.02%
Rogers	0.01%	2.43%	22.79%	0.08%	0.11%	24.68%	0.30%	1.46%	0.63%		6.77%	5.78%	34.96%			0.00%
REGION AVG.	0.11%	7.99%	23.34%	0.14%	6.70%	10.58%	0.11%	1.01%	0.27%	2.60%	4.38%	2.31%	38.41%	0%	0.64%	1.41%

Appendix H. Wetland Type and Acreage In the U.S.⁹

Table H-1.
Summary of Wetland Types and Acreage Found in Coal-Producing Regions of the U.S.

Coal-Producing Region	Wetland Type	Estimated Total Acres
Appalachian Basin	Freshwater Emergent Wetland	51,404
	Freshwater Forested/Shrub Wetlands	258,955
	Freshwater Pond	112,565
	Lake	153,058
	Other	638
	Riverine	149,995
	Total Wetland Acres	726,615
	Coal Basin Total Acres	39,170,512
	Percent Wetland	1.86
	Freshwater Emergent Wetland	9,350
	Freshwater Forested/Shrub Wetland	4,061
	Freshwater Pond	6,412
	Colorado Plateau (Partial Data in CO and UT)	Lake
Other		184
Riverine		40,701
Total Wetland Acres		70,178
Coal Basin Total Acres		11,305,900
Percent Wetland		0.62
Freshwater Emergent Wetland		158,048
Freshwater Forested/Shrub Wetland		2,300,309
Freshwater Pond		277,500
Gulf Coast (Partial Data in LA)		Lake
	Other	156
	Riverine	121,099
	Total Wetland Acres	3,449,977
	Coal Basin Total Acres	51,769,900
	Percent Wetland	6.66
	Freshwater Emergent Wetland	93,816
	Freshwater Forested/Shrub Wetland	721,885
	Freshwater Pond	166,416
	Lake	267,141
Illinois Basin	Other	1,052
	Riverine	72,232
	Total Wetland Acres	1,322,542
	Coal Basin Total Acres	30,703,801

⁹ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

	Percent Wetland	4.31
	Freshwater Emergent Wetland	542,046
Northern Rocky Mountain and Great Plains (Partial Data in CO, MT, UT)	Freshwater Forested/Shrub Wetland	16,970
	Freshwater Pond	76,174
	Lake	547,684
	Other	2,709
	Riverine	58,006
	Total Wetland Acres	1,243,589
	Coal Basin Total Acres	43,069,200
	Percent Wetland	2.89
	Estuarine and Marine Deep Water	6,332
	Estuarine and Marine Wetland	10,074
	Freshwater Emergent Wetland	29,281
Northwest (Partial Data in AK)	Freshwater Forested/Shrub Wetland	96,279
	Freshwater Pond	2,732
	Lake	5,709
	Other	39
	Riverine	8,416
	Total Wetland Acres	158,862
	Coal Basin Total Acres	1,254,818
	Percent Wetland	12.66
	Freshwater Emergent Wetland	198,534
	Freshwater Forested/Shrub Wetland	638,347
	Freshwater Pond	306,955
Western Interior	Lake	384,274
	Other	743
	Riverine	134,419
	Total Wetland Acres	1,663,272
	Coal Basin Total Acres	41,996,200
	Percent Wetland	3.96

Appendix I. Recreation in the U.S.

**Table I-1.
2008 U.S. National Park Visitation in Coal Mining States**

State	Park Visitations (1,000)
AK	2,404
AL	789
AR	2,873
AZ	10,681
CO	5,384
IL	335
IN	2,094
KY	1,709
KS	86
LA	431
MD	3,545
MO	3,436
MS	5,899
MT	3,822
ND	553
NM	1,557
OH	3,121
OK	1,245
PA	9,189
TN	7,734
TX	5,804
UT	8,451
VA	22,543
WV	1,813
WY	5,572

Source: U.S. Census Bureau, 2010b.

**Table I-2.
 Economic Contributions and Impacts of Tourism in the United States**

State	Tourism and Travel Impact ¹	Tourism and Travel Impact ¹	Food Service and Accommodations Impact ²	Food Service and Accommodations Impact ²	Food Service and Accommodations Impact ²
	Economic contributions (mil. dol.)	Jobs Supported (1,000)	Jobs Supported (1,000)	Payroll (mil. dol.)	Per Capita Expenditure
AK	\$2,200	25.9	24	\$521	\$769.20
AL	\$8,200	76.7	152	\$1,706	\$371.06
AR	\$6,000	58.9	90	\$960	\$341.02
AZ	\$15,900	151.4	254	\$3,539	\$571.54
CO	\$15,600	141.9	229	\$3,327	\$699.97
IL	\$31,700	292.0	457	\$6,762	\$531.69
IN	\$9,900	96.0	254	\$3,097	\$491.46
KY	\$7,900	84.5	150	\$1,761	\$417.36
KS	\$6,300	56.5	106	\$1,185	\$430.02
LA	\$9,900	102.8	166	\$2,266	\$534.39
MD	\$14,500	115.1	194	\$2,896	\$517.34
MO	\$12,200	117.5	240	\$3,049	\$520.17
MS	\$5,800	83.2	112	\$1,575	\$543.64
MT	\$3,700	29.2	45	\$523	\$552.72
ND	\$2,600	24.6	29	\$314	\$493.11
NM	\$6,400	55.8	81	\$1,042	\$536.39
OH	\$16,200	164.7	441	\$5,011	\$436.02
OK	\$6,900	78.4	128	\$1,377	\$385.25
PA	\$22,800	208.3	411	\$5,420	\$434.60
TN	\$15,300	143.8	232	\$2,964	\$486.74
TX	\$55,100	555.9	851	\$11,408	\$488.17
UT	\$7,000	71.4	91	\$1,115	\$431.55
VA	\$20,900	211.9	299	\$4,239	\$554.34
WV	\$2,800	27.7	60	\$689	\$381.25
WY	\$2,900	28.9	26	\$414	\$807.27

Sources:

¹ U.S. Travel Association, 2013

² U.S. Census Bureau, 2006

Table I-3.
2007 U.S. State Park Visitation in Coal Mined States

State	Park Visitations (1,000)	Park Acreage (1,000s)	Revenue Generated (\$1,000)
AK	4,977	3,361	\$2,791
AL	5,142	48	\$22,567
AR	8,399	54	\$22,332
AZ	2,348	64	\$9,639
CO	11,834	420	\$25,811
IL	45,159	486	\$6,804
IN	18,043	179	\$41,379
KY	7,082	49	\$54,983
KS	6,875	33	\$5,998
LA	1,679	43	\$7,669
MD	11,330	133	\$16,694
MO	15,142	204	\$8,095
MS	1,212	24	\$8,926
MT	5,333	55	\$4,952
ND	879	18	\$1,585
NM	4,604	93	\$3,904
OH	49,659	174	\$27,530
OK	13,485	72	\$36,368
PA	33,210	292	\$17,176
TN	32,264	174	\$37,770
TX	7,142	602	\$38,172
UT	4,554	151	\$10,694
VA	7,040	68	\$14,214
WV	7,324	177	\$20,390
WY	2,511	122	\$1,371

Source: The National Association of State Park Directors, 2009

Table I-4.
Acres of National Forests - Appalachian Basin

Name	Total Acres	Total Acres Within Coal Region	State
Allegheny National Forest	513,771	67,101	PA
Daniel Boone National Forest	711,193	506,310	KY
George Washington and Jefferson National Forest	1,666,292	83,006	KY & VA
Monongahela National Forest	920,528	246,857	WV
National Forests in Alabama	670,522	2,147	AL
Wayne National Forest	244,224	244,224	OH
Total	4,726,530	1,149,645	

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

**Table I-5.
 Acreages of National Parks and Other NPS Lands - Appalachian Basin**

Name	Type	Total NPS Acres	Total Acres Within Region	State
Allegheny Portage Railroad National Historic Site	Historic or Cultural Area	1,376	1,034	PA
Appalachian National Scenic Trail	National Scenic or Historic Trail	27,256	305	PA, VA
Big South Fork National River and Recreation Area	Approved or Proclamation Boundary	122,504	92,625	KY, TN
Chickamauga and Chattanooga National Military Park	Approved or Proclamation Boundary	1,823	7	TN
Cumberland Gap National Historical Park	Historic or Cultural Area	23,870	23,503	KY, TN, VA
Cuyahoga Valley National Park	National Park	21,680	11	OH
First Ladies National Historic Site	Historic or Cultural Area	0.5	0.5	OH
Flight 93 National Memorial	Historic or Cultural Area	1,633	1,633	PA
Fort Necessity National Battlefield	Historic or Cultural Area	908	894	PA
Friendship Hill National Historic Site	Historic or Cultural Area	662	662	PA
Gauley River National Recreation Area	Approved or Proclamation Boundary	11,158	11,158	WV
Johnstown Flood National Memorial	Historic or Cultural Area	171	171	PA
Little River Canyon National Preserve	Conservation Area	11,054	10,171	AL
New River Gorge National River	Approved or Proclamation Boundary	70,387	23,029	WV
Obed Wild and Scenic River	Wild and Scenic River	3,075	3,075	TN
Russell Cave National Monument	National Monument or Landmark	289	289	AL
Steamtown National Historic Site	Approved or Proclamation Boundary	55	55	PA
Total		297,902	168,623	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

**Table I-6.
 Acreages of State Parks and Other State Owned Lands - Appalachian Basin**

Name	Type	Total State Acres	Total Acres Within Region	State
Adams Scenic Overlook	State Conservation Area	31	31	AL
Adkins, Greenbo (Raccoon boat ramp)	State Recreation Area	8	8	KY
Ales Run Wildlife Area	State Conservation Area	2,778	2,778	OH
Allegheny Islands State Park	State Park	28	28	PA
Allegheny Wildlife Management Area	State Conservation Area	5,556	3,721	WV
Amherst\Plymouth Wildlife Management Area	State Conservation Area	6,748	6,748	WV
Anawalt Lake Wildlife Management Area	State Conservation Area	1,784	1,784	WV
Archbald Pothole State Park	State Park	140	3	PA
Archer Benge State Nature Preserve	State Conservation Area	1,861	1,230	KY
Athens Forestry Hq	State Resource Management Area	4	4	OH
Audra State Park	State Park	317	317	WV
Babcock State Park	State Park	75	72	WV
Bad Branch State Nature Preserve	State Conservation Area	1,589	1,137	KY
Bark Camp Lake	State Recreation Area	28	28	VA
Barkcamp Lake State Park	State Park	1,037	1,037	OH
Beach City Wildlife Area	State Conservation Area	413	413	OH
Bear Rocks Lake Wildlife Management Area	State Conservation Area	237	237	WV
Beaver Creek State Forest	State Resource Management Area	1,106	1,106	OH
Beaver Creek State Park	State Park	2,479	2,479	OH
Beckys Creek Wildlife Management Area	State Conservation Area	1,935	446	WV
Beech Fork Lake Wildlife Management Area	State Conservation Area	12,888	12,888	WV
Berlin Lake Wildlife Area	State Conservation Area	894	894	OH
Berwind Lake Wildlife Management Area	State Recreation Area	80	80	WV
Bibb County Public Fishing Lake	State Recreation Area	95	95	AL
Big Ditch Wildlife Management Area	State Conservation Area	371	371	WV
Big Ugly Wildlife Management Area	State Conservation Area	5,333	5,333	WV
Black Moshannon State Park	State Park	3,408	2,045	PA
Black Mountain Timber Purchase Area	State Other or Unknown	1,835	1,835	KY
Blackwater Falls State Park	State Park	1,576	1,576	WV
Blanton Forest State Nature Preserve	State Conservation Area	3,119	3,119	KY
Blennerhasset Island Historical State Park	State Historic or Cultural Area	508	508	WV
Blue Rock State Forest	State Resource Management Area	4,645	4,645	OH
Blue Rock State Park	State Park	217	217	OH

Name	Type	Total State Acres	Total Acres Within Region	State
Boch Hollow State Nature Preserve	State Conservation Area	587	383	OH
Breaks Interstate Park	State Park	4,078	4,078	KY, VA
Bridgestone/firestone Centennial Wilderness Wildlife Management Area	State Conservation Area	9,428	4,640	TN
Briery Mountain Wildlife Management Area	State Conservation Area	1,252	1,111	WV
Broken Aro Wildlife Area	State Conservation Area	2,940	2,940	OH
Bruceton Mills Public Fishing Area	State Recreation Area	4	4	WV
Brush Creek Wildlife Area	State Conservation Area	3,881	3,881	OH
Buchanan State Forest	State Resource Management Area	55,317	563	PA
Buck Creek Wildlife Management Area	State Conservation Area	850	850	KY
Buck's Pocket State Park	State Park	763	763	AL
Buery Mountain Wildlife Management Area	State Conservation Area	3,082	2,938	WV
Buffalo Run Wildlife Management Area	State Conservation Area	127	127	WV
Burchell-Beech Creek Wildlife Management Area	State Conservation Area	1,241	1,241	KY
Burches Run Wildlife Management Area	State Other or Unknown	56	56	WV
Burnsville Lake Wildlife Management Area	State Conservation Area	13,268	13,268	WV
Burr Oak State Park	State Park	2,685	2,685	OH
Butler Wa	State Conservation Area	10	10	OH
Cabwaylingo State Forest	State Resource Management Area	8,693	8,693	WV
Camp Creek State Forest	State Resource Management Area	5,161	3,903	WV
Canaan Valley State Park	State Park	4,828	66	WV
Carnifex Ferry Battlefield State Park	State Historic or Cultural Area	209	209	WV
Casselman Bridge State Park	State Park	4	4	MD
Castleman Run Wildlife Management Area	State Conservation Area	495	495	WV
Cathedral Caverns State Park	State Park	513	23	AL
Catoosa Wildlife Management Area	State Conservation Area	78,301	78,301	TN
Cedar Creek State Park	State Park	2,376	2,376	WV
Center Branch Wildlife Management Area	State Conservation Area	944	944	WV
Charles F. Lewis Natural Area	State Conservation Area	455	182	PA
Chief Cornstalk Wildlife Management Area	State Conservation Area	11,625	11,625	WV
Chief Logan State Recreation Area	State Recreation Area	3,105	3,105	WV
Chimneys State Natural Area	State Conservation Area	33	33	TN
Clear Creek State Forest	State Resource Management Area	13,445	6,383	PA
Clear Shade Wild Area	State Conservation Area	2,768	2,768	PA
Cloudland Canyon State Park	State Park	3,469	3,143	GA

Name	Type	Total State Acres	Total Acres Within Region	State
Clouse Lake Wildlife Area	State Conservation Area	64	64	OH
Coalton Wildlife Area	State Conservation Area	1,744	1,744	OH
Colditz Cove State Natural Area	State Conservation Area	168	168	TN
Cook Forest State Park	State Park	6,767	313	PA
Cooper Hollow Wildlife Area	State Conservation Area	5,619	5,619	OH
Coopers Rock State Forest	State Resource Management Area	13,562	7,576	WV
Coopers Rock State Park	State Park	38	38	WV
Cove Lake Wildlife Management Area	State Conservation Area	566	160	TN
Cranks Creek Wildlife Management Area	State Conservation Area	2,155	2,155	KY
Crockford-Pigeon Mountain Wildlife Management Area	State Conservation Area	18,981	3,803	GA
Cross Creek Wildlife Management Area	State Conservation Area	2,015	2,015	WV
Crown City Wildlife Area	State Conservation Area	11,416	11,416	OH
Cumberland Falls State Park Nature Preserve	State Conservation Area	1,183	1,183	KY
Cumberland Falls State Resort Park	State Recreation Area	713	713	KY
Cumberland Mountain State Park	State Park	1,518	1,518	TN
Dans Mountain State Park	State Park	481	481	MD
Dans Mountain Wildlife Management Area	State Conservation Area	9,402	5,469	MD
Dean State Forest	State Resource Management Area	2,793	2,793	OH
Deep Creek Lake Natural Resource Management Area	State Resource Management Area	4,955	754	MD
Deep Creek Lake State Park	State Park	1,377	286	MD
DeKalb County Public Fishing Lake	State Recreation Area	114	114	AL
DeSoto State Park	State Park	3,235	3,221	AL
Dillon State Park	State Park	2,074	1,388	OH
Dillon Wildlife Area	State Conservation Area	3,697	574	OH
Dr. Thomas Walker State Historical Site	State Historic or Cultural Area	11	11	KY
East Lynn Lake Wildlife Management Area	State Conservation Area	24,996	24,996	WV
Ed Mabry-Laurel Gorge Wildlife Management Area	State Conservation Area	699	515	KY
Egypt Valley Wildlife Area	State Conservation Area	16,017	16,017	OH
Elk River Wildlife Management Area	State Conservation Area	19,579	19,579	WV
Elk State Forest	State Resource Management Area	157,244	44,980	PA
Elk State Park	State Park	3,243	2,143	PA
Fall Creek Falls State Park	State Park	93	93	TN
Fall Creek Falls State Park State Natural Area	State Conservation Area	21,932	21,932	TN
Fayette County Public Fishing Lake	State Recreation Area	58	58	AL
Fernwood State Forest	State Resource Management Area	3,039	3,039	OH
Firestone/yeagley Wa	State Conservation Area	16	16	OH

Name	Type	Total State Acres	Total Acres Within Region	State
Forbes State Forest	State Resource Management Area	43,205	16,539	PA
Fork Creek Wildlife Management Area	State Conservation Area	8,783	8,783	WV
Fox Lake Wildlife Area	State Conservation Area	408	408	OH
Frances J. Palk State Nature Preserve	State Conservation Area	150	150	KY
Frozen Head State Natural Area	State Conservation Area	2	2	TN
Frozen Head State Park State Natural Area	State Conservation Area	11,546	11,546	TN
Gallitzin State Forest	State Resource Management Area	19,065	15,892	PA
Garrett State Forest	State Resource Management Area	7,312	6,309	MD
Gifford State Forest	State Resource Management Area	319	319	OH
Grave Creek Mound State Park	State Park	2	2	WV
Green Bottom Wildlife Management Area	State Conservation Area	1,071	1,071	WV
Greenbo Lake State Resort Park	State Recreation Area	3,444	3,444	KY
Grundy Forest State Natural Area	State Conservation Area	252	251	TN
Grundy Lakes State Park	State Park	176	176	TN
Guilford Lake State Park	State Park	150	150	OH
Harp Wetland - Fish Management Habitat Area	State Conservation Area	269	269	TN
Harrison State Forest	State Resource Management Area	1,318	1,318	OH
Haston Point State Park	State Park	331	331	TN
Hawk's Nest State Park	State Park	411	411	WV
Hensley-Pine Mountain Wildlife Management Area	State Conservation Area	4,848	4,790	KY
Herrington Manor State Park	State Park	286	286	MD
Hi Lewis Pine Barrens State Nature Preserve	State Conservation Area	164	164	KY
Hicks Gap State Natural Area	State Conservation Area	343	173	TN
Highlandtown Wildlife Area	State Conservation Area	2,092	2,092	OH
Hilbert Wildlife Management Area	State Conservation Area	260	260	WV
Hillcrest Wildlife Management Area	State Conservation Area	2,212	2,212	WV
Hillman State Park	State Park	4,079	4,079	PA
Hocking State Forest	State Resource Management Area	11,898	3,713	OH
Hocking Hills State Park	State Park	45	3	OH
Holly River State Park	State Park	8,002	8,002	WV
Horse Creek Wildlife Management Area	State Conservation Area	47	47	WV
Hughes River Wildlife Management Area	State Conservation Area	10,438	10,438	WV
Indian Mountain State Park	State Park	254	254	TN
Jackson County Line Wa	State Conservation Area	41	41	OH
Jackson Lake State Park	State Park	154	154	OH
Jackson Lake Wa	State Conservation Area	3	3	OH
James D. Martin - Skyline Wildlife Management Area	State Conservation Area	45,998	26,279	AL
James Wa	State Conservation Area	74	74	OH
Jefferson Lake State Park	State Park	950	950	OH

Name	Type	Total State Acres	Total Acres Within Region	State
Jennings E.e.c. State Park	State Park	282	282	PA
Jennings Randolph Lake Boat Ramp	State Recreation Area	7	7	MD
Jesse Stuart State Nature Preserve	State Conservation Area	716	716	KY
Jockey Hollow Wildlife Area	State Conservation Area	3,442	3,442	OH
John B. Stephenson Memorial Forest State Nature Preserve	State Conservation Area	124	20	KY
Kanawah State Forest	State Resource Management Area	6,982	6,982	WV
Keen Wa	State Conservation Area	88	88	OH
Kentenia State Forest	State Resource Management Area	4,082	4,082	KY
Kentucky Ridge Forest Wildlife Management Area	State Resource Management Area	3,504	3,504	KY
Kentucky Ridge State Forest	State Resource Management Area	11,792	4,257	KY
Keokee Lake Public Fishing Lake	State Recreation Area	97	97	VA
Keystone State Park	State Park	1,168	1,168	PA
Kingdom Come State Park	State Park	1,228	1,126	KY
Kingdom Come State Park Nature Preserve	State Conservation Area	236	236	KY
Kinzua Bridge State Park	State Park	317	317	PA
Kumbrabow State Forest	State Resource Management Area	9,172	6,481	WV
Lackawanna State Forest	State Resource Management Area	13,171	326	PA
Lake Guntersville State Park	State Park	6,098	1,947	AL
Lake Lurleen State Park	State Park	1,647	1,647	AL
Lake Milton State Park	State Park	95	95	OH
Lake Park Wildlife Area	State Conservation Area	66	66	OH
Laurel Hill State Park	State Park	3,873	3,780	PA
Laurel Lake Wildlife Management Area	State Conservation Area	13,510	13,510	WV
Laurel Ridge State Park	State Park	14,438	2,546	PA
Laurel Snow Pocket Wilderness State Natural Area	State Conservation Area	698	215	TN
Leesville Lake Wildlife Area	State Conservation Area	399	399	OH
Levi Jackson Wilderness State Park	State Conservation Area	725	725	KY
Liberty Wa	State Conservation Area	147	104	OH
Lick Creek State Natural Area	State Conservation Area	742	526	VA
Linn Run State Park	State Park	563	542	PA
Little Beaver State Park	State Park	548	548	WV
Little Canaan Wildlife Management Area	State Conservation Area	3,062	3,043	WV
Little Indian Creek Wildlife Management Area	State Conservation Area	1,026	1,026	WV
Little River Wildlife Management Area	State Conservation Area	3,397	3,302	AL
Little South Fork Wild River - John and Karen Burnett Wildlife and Watershed Conservation Area	State Conservation Area	1,041	963	KY
Little South Fork Wild River - Tucker Wildlife and Watershed Conservation Area	State Conservation Area	23	10	KY
Locust Lake State Park	State Park	1,161	1,161	PA

Name	Type	Total State Acres	Total Acres Within Region	State
Lone Mountain State Forest	State Resource Management Area	3,694	3,694	TN
Lower Deep Creek Heritage Conservation	State Historic or Cultural Area	102	102	MD
Loyalsock State Forest	State Resource Management Area	104,138	7,945	PA
Luper Mountain Wildlife Management Area	State Conservation Area	1,990	1,990	TN
M.K. Goddard State Park	State Park	2,722	719	PA
Marietta State Nursery	State Resource Management Area	95	95	OH
Martin's Fork Wildlife Management Area and State Nature Preserve	State Conservation Area	1,599	1,599	KY
McClintic Wildlife Management Area	State Conservation Area	3,658	3,658	WV
Mcconnells Mill State Park	State Park	2,759	2,759	PA
Meiners Wa	State Conservation Area	37	37	OH
Mill Creek Wildlife Management Area	State Conservation Area	1,404	1,404	WV
Monroe Lake Wildlife Area	State Conservation Area	1,257	1,257	OH
Moraine State Park	State Park	16,533	16,533	PA
Morris Creek Wildlife Management Area	State Conservation Area	9,520	9,520	WV
Moshannon State Forest	State Resource Management Area	159,804	96,481	PA
Mt Nebo Wildlife Management Area	State Conservation Area	1,887	948	MD
Mt. Roosevelt Wildlife Management Area	State Conservation Area	8,565	6,076	TN
Munro Basin Wa	State Conservation Area	24	21	OH
Muskingum River Parkway State Park	State Park	26	26	OH
Muzzy Lake	State Recreation Area	45	45	OH
Natural Bridge State Resort Park	State Recreation Area	1,183	34	KY
Nescopeck State Park	State Park	3,613	1,293	PA
North Branch Potomac Fish Management Area	State Conservation Area	253	253	MD
North Chickamauga Creek Gorge State Natural Area	State Conservation Area	4,884	4,125	TN
North Chickamauga Creek Wildlife Management Area	State Conservation Area	1,938	1,938	TN
Oak Mountain State Park	State Park	8,857	1,650	AL
Ohio Department Of Natural Resources SP	State Park	693	693	OH
Ohio River Lock & Dam 15 Wa	State Conservation Area	8	8	OH
Ohio River Lock & Dam 18 Wa	State Conservation Area	11	11	OH
Ohio River Lock & Dam 21 Wa	State Conservation Area	11	11	OH
Ohio River Lock & Dam 23 Wa	State Conservation Area	10	10	OH
Ohio River Old Town Creek Wildlife Access	State Conservation Area	30	30	OH
Ohio River Racine Wildlife Access	State Conservation Area	18	18	OH
Ohio River Shade River Wildlife Access	State Conservation Area	29	29	OH

Name	Type	Total State Acres	Total Acres Within Region	State
Ohiopyle State Park	State Park	18,658	12,458	PA
Otting Tract Wildlife Management Area	State Conservation Area	723	106	GA
Ozone Falls State Park State Natural Area	State Conservation Area	58	58	TN
Panther State Forest	State Resource Management Area	7,726	7,726	WV
Panther Wildlife Management Area	State Conservation Area	3,647	3,647	WV
Parker Dam State Park	State Park	957	957	PA
Pedlar Wildlife Management Area	State Conservation Area	759	759	WV
Perry State Forest	State Resource Management Area	4,558	4,558	OH
Pickett State Park	State Park	770	770	TN
Pine Mountain State Park Nature Preserve	State Conservation Area	980	980	KY
Pine Mountain State Resort Park	State Recreation Area	743	743	KY
Pine Mountain State Scenic Trail	State Recreation Area	726	726	KY
Pine Mountain Trail State Park Nature Preserve	State Conservation Area	609	609	KY
Pine Tree Trail Natural Area	State Conservation Area	273	146	PA
Pinnacle Rock State Park	State Park	213	150	WV
Pleasant Creek Wildlife Management Area	State Conservation Area	2,617	2,617	WV
Plum Orchard Wildlife Management Area	State Conservation Area	3,256	3,256	WV
Pogue Creek State Natural Area	State Conservation Area	2,583	2,583	TN
Point State Park	State Park	34	34	PA
Portage Lakes State Park	State Park	1,097	1,093	OH
Portage Lakes Wildlife Headquarters	State Conservation Area	24	24	OH
Potomac State Forest	State Resource Management Area	10,411	6,183	MD
Powelson Wildlife Area	State Conservation Area	2,724	2,724	OH
Prentice Cooper Wildlife Management Area	State Conservation Area	1,412	611	TN
Pricketts Fort State Park	State Park	22	22	WV
Prince Gallitzin State Park	State Park	6,760	6,760	PA
Private Land	State Conservation Area	799	799	TN
PruntyTown State Farm	State Other or Unknown	1,604	1,604	WV
Quebec Run Wild Area	State Conservation Area	7,475	3,176	PA
R D Bailey Lake Wildlife Management Area	State Conservation Area	19,862	19,862	WV
Raccoon Creek State Park	State Park	7,405	7,405	PA
Raccoon Creek Wildlife Management Area	State Conservation Area	3,922	1,948	AL
Red Wild River - Bruce Smith Wildlife and Watershed Conservation Area	State Conservation Area	140	140	KY
Richland Furnace State Forest	State Resource Management Area	2,511	2,432	OH
Roaring Run Natural Area	State Conservation Area	3,391	1,370	PA
Robinson Forest (Wildlife Management Area)	State Resource Management Area	12,193	12,193	KY
Robinson Forest / Paul Van	State Conservation Area	2,280	2,280	KY

Name	Type	Total State Acres	Total Acres Within Region	State
Booven Wildlife Management Area				
Rockcastle Wild River - Reynolds Wildlife and Watershed Conservation Area	State Conservation Area	301	301	KY
Royal Blue Wildlife Management Area	State Conservation Area	52,025	49,726	TN
Rugby State Natural Area	State Conservation Area	323	323	TN
S.b. Elloit State Park	State Park	331	331	PA
Salt Fork State Park	State Park	17,808	17,808	OH
Salt Fork Wildlife Area	State Conservation Area	349	349	OH
Sand Hill Wildlife Management Area	State Conservation Area	957	957	WV
Savage Gulf State Natural Area	State Conservation Area	14,281	14,281	TN
Savage River State Forest	State Resource Management Area	53,370	15,076	MD
Senecaville State Fish Hatchery	State Other or Unknown	85	85	OH
Shade River State Forest	State Resource Management Area	3,885	3,885	OH
Shillalah Creek Wildlife Management Area	State Conservation Area	2,534	2,534	KY
Simco Wetlands Wildlife Area	State Conservation Area	283	283	OH
Sipsey River Complex	State Conservation Area	6,894	1,756	AL
Smoke Camp Wildlife Management Area	State Other or Unknown	285	285	WV
Snake Hill Wildlife Management Area	State Conservation Area	2,961	1,594	WV
South Cumberland Visitor Center	State Park	130	130	TN
Sproul State Forest	State Resource Management Area	280,603	6,710	PA
Stinging Fork Falls Pocket Wilderness State Natural Area	State Conservation Area	139	139	TN
Stone Mountain Wildlife Management Area and State Nature Preserve	State Conservation Area	1,020	1,020	KY
Stonecoal Lake Wildlife Management Area	State Conservation Area	3,030	3,030	WV
Stonewall Jackson Lake State Park	State Park	1,768	1,768	WV
Stonewall Jackson Lake Wildlife Management Area	State Conservation Area	18,659	18,659	WV
Strouds Run State Park	State Park	2,453	2,453	OH
Stumptown Wildlife Management Area	State Conservation Area	1,731	1,731	WV
Summersville Lake Wildlife Management Area	State Conservation Area	9,962	9,962	WV
Sundquist Wildlife Management Area	State Conservation Area	79,710	77,714	TN
Sunfish Creek Sf	State Resource Management Area	657	657	OH
Susquehannock State Forest	State Resource Management Area	226,748	632	PA
Swallow Falls State Park	State Park	399	399	MD
Symmes Township Wa	State Conservation Area	114	114	OH
Tamarack Run Natural Area	State Conservation Area	199	6	PA
Tannehill Ironworks Historical	State Historic or Cultural Area	569	215	AL

Name	Type	Total State Acres	Total Acres Within Region	State
State Park				
Teter Creek Lake Wildlife Management Area	State Recreation Area	131	131	WV
Tioga State Forest	State Resource Management Area	145,143	11,771	PA
Tomlinson Run State Park	State Park	1,317	1,317	WV
Triangle Lake Bog State Nature Preserve, Muzzy Lake (west)	State Conservation Area	174	174	OH
Trimble Wa	State Conservation Area	2,098	2,098	OH
Tri-valley Wa	State Conservation Area	15,080	13,167	OH
Tu-Endie-Wei State Park	State Park	3	3	WV
Tug Fork Wildlife Management Area	State Conservation Area	2,212	2,212	WV
Turkey Ridge Wildlife Area	State Conservation Area	362	362	OH
Turkey Run Lake Wildlife Management Area	State Conservation Area	68	68	WV
Tuscora State Park	State Park	1,639	871	PA
Twin Falls State Park	State Park	3,760	3,760	WV
Tycoon Lake Wildlife Area	State Conservation Area	596	596	OH
Tygart Lake State Park	State Park	3,283	3,283	WV
Upper Deckers Creek Wildlife Management Area	State Conservation Area	56	56	WV
Upper Mud Lake Wildlife Management Area	State Conservation Area	1,453	1,453	WV
Valley Falls State Park	State Park	1,111	1,111	WV
Valley Run Wa	State Conservation Area	320	320	OH
Veto Lake Wildlife Area	State Conservation Area	336	336	OH
Walback Wildlife Management Area	State Conservation Area	12,336	12,336	WV
Walker County Public Fishing Lake	State Recreation Area	152	152	AL
Walls Of Jericho State Natural Area	State Conservation Area	768	768	TN
Watters Smith Memorial State Park	State Park	551	551	WV
Weiser State Forest	State Resource Management Area	28,751	5,484	PA
Wellston Wildlife Area	State Conservation Area	1,029	1,029	OH
West Branch State Park	State Park	5,437	5,437	OH
Wilderness Road State Park	State Park	308	117	VA
Wilson Tract	State Conservation Area	41	41	TN
Wilson Wetlands Wa	State Conservation Area	11	11	OH
Wingfoot Lake Wildlife Area	State Conservation Area	121	121	OH
Wolf Creek Wildlife Area	State Conservation Area	3,839	3,839	OH
Wolf Run State Park	State Park	1,149	1,149	OH
Woodbury Wildlife Area	State Conservation Area	18,942	18,728	OH
Woodrum Lake Wildlife Management Area	State Conservation Area	1,813	1,813	WV
Worlds End State Park	State Park	716	370	PA
Yatesville Lake Wildlife Management Area	State Conservation Area	52	52	KY
Yellow Creek State Forest	State Resource Management Area	753	753	OH
Yellow Creek State Park	State Park	2,829	2,829	PA

Name	Type	Total State Acres	Total Acres Within Region	State
Youghiogheny River Natural Environment Area	State Conservation Area	3,789	3,355	MD
Zaleski State Forest	State Resource Management Area	32,191	32,190	OH
Zanesville State Nursery	State Resource Management Area	183	183	OH
Zeppernick Lake Wildlife Area	State Conservation Area	478	478	OH
Total		2,496,007	1,254,926	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-7.
Miles of Wild and Scenic Rivers - Appalachian Basin

Name	Type	Total Miles	State
Allegheny Wild and Scenic River	National Wild and Scenic River USFS	6.48	PA
Clarion Wild and Scenic River	National Wild and Scenic River USFS	7.40	PA
Little Beaver Creek Wild and Scenic River	National Wild and Scenic River NPS	46.99	OH
Obed Wild and Scenic River	National Wild and Scenic River NPS	36.06	TN
Red Wild and Scenic River	National Wild and Scenic River USFS	11.26	KY
Total		108.19	

Coordinate system used: WGS 1984.
Sources: ESRI, 2016.

**Table I-8.
 2011 U.S. FWS Outdoor Recreation - Appalachian Basin**

State	Anglers Total Participants¹ (1,000s)	Anglers Total Expenditures (1,000s)	Hunters Total Participants² (1,000s)	Hunters Total Expenditures (1,000s)	Wildlife- watching Total Participants³ (1,000s)	Wildlife- watching Total Expenditures (1,000s)
AL	683	\$456,442	535	\$913,387	1,114	\$734,204
KY*	554	\$807,293	347	\$797,766	1,319	\$773,221
MD	426	\$535,232	88	\$264,119	1,362	\$483,421
OH	1342	\$1,794,642	553	\$752,996	3,197	\$738,806
PA	1101	\$485,490	775	\$970,598	3,598	\$1,270,888
TN	826	\$1,137,104	375	\$494,0005	1,955	\$942,572
VA	833	\$1,142,099	432	\$877,038	2,509	\$958,607
WV	376	\$428,646	269	\$409,219	850	\$325,778
United States, Total	33,112	\$41,789,936	13,674	\$33,702,017	71,776	\$54,890,272

*KY crosses more than one coal region. This data is for the entire state.

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

**Table I-9.
 Acreages of National Forests - Colorado Plateau**

Name	Total Acres	Total Acres Within Coal Region	State
Apache-Sitgreaves National Forests	2,016,210	42,147	AZ
Ashley National Forest	1,282,210	7	UT
Carson National Forest	1,489,960	105	NM
Cibola National Forest	1,753,610	107,810	NM
Dixie National Forest	1,631,930	300,051	UT
Fishlake National Forest	1,706,100	19,890	UT
Grand Mesa, Uncompahgre and Gunnison National Forests	2,964,200	304,628	CO
Lincoln National Forest	1,095,060	24,750	NM
Manti-La Sal National Forest	,312,470	217,133	UT
San Juan National Forest	1,864,590	63,130	CO
Santa Fe National Forest	1,545,990	55,221	NM
Uinta-Wasatch-Cache National Forest	2,118,230	1,981	UT
White River National Forest	2,287,620	38,132	CO
Total	23,068,180	1,174,984	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-10.
Acreages of National Parks and Other NPS Lands - Colorado Plateau

Name	Type	Total NPS Acres	Total Acres Within Region	State
Bryce Canyon National Park	National Park	35,985	1,419	UT
Cedar Breaks National Monument	National Monument or Landmark	6,354	6,109	UT
Chaco Culture National Historical Park	Historic or Cultural Area	33,225	33,225	NM
Dinosaur National Monument	National Monument or Landmark	172,097	23	CO
Glen Canyon National Recreation Area	National Recreation Area	1,191,750	35,889	UT
Mesa Verde National Park	National Park	53,439	40,452	CO
Total		1,492,850	117,117	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

**Table I-11.
Acreages of State Parks and Other State Owned Lands - Colorado Plateau**

Name	Type	Total State Acres	Total Acres Within Region	State
Beaver Lake	State Conservation Area	43	43	CO
Bodo	State Conservation Area	2,871	2,015	CO
Coalbed Canyon	State Conservation Area	2,346	227	CO
Colorado River	State Park	843	154	CO
El Vado Lake State Park	State Park	1,850	1,387	NM
Elk Springs #1	State Recreation Area	776	641	CO
Elk Springs #3	State Recreation Area	1,279	542	CO
Garfield Creek	State Conservation Area	13,166	3,126	CO
Gordon Creek State Wildlife Area	State Conservation Area	12,287	5,964	UT
Heron Lake State Park	State Park	5,233	113	NM
Irwin Lake	State Conservation Area	48	48	CO
Jackson Lake Wildlife Management Area	State Conservation Area	765	765	NM
Jensen	State Conservation Area	9,979	5,983	CO
Lower Fish Creek State Wildlife Area	State Conservation Area	1,350	1,350	UT
Marquez Wildlife Management Area	State Conservation Area	14,445	4,181	NM
Nash Wash State Wildlife Area	State Conservation Area	539	368	UT
Paonia State Park	State Park	477	477	CO
Parowan Front State Wildlife Area	State Conservation Area	6,838	6,396	UT
Pastorius	State Conservation Area	92	92	CO
Perins Peak	State Conservation Area	6,673	153	CO
Perins Peak - Trujillo	State Conservation Area	216	191	CO
Piceance	State Conservation Area	24,804	402	CO
Pine Tree Gulch	State Recreation Area	638	638	CO
Pinon Ridge	State Recreation Area	640	627	CO
Range Creek State Wildlife Area	State Conservation Area	2,691	2,691	UT
Range Creek State Wildlife Area	State Resource Management Area	1,526	1,526	UT
Rifle Gap	State Park	1,340	629	CO
Rio Chama Wildlife Management Area	State Conservation Area	13,018	38	NM
Slb Land	State Resource Management Area	1,977,870	18,872	CO
State of Utah Department of Transportation 5333	State Other or Unknown	6	1	UT
State Trust Land	State Resource Management Area	8,875,740	253,697	NM
State Trust Lands Asphalt Ridge Block	State Resource Management Area	30,788	4,415	UT
State Trust Lands Book Cliffs Block	State Resource Management Area	46,844	944	UT
State Trust Lands Fremont Junction Block	State Resource Management Area	5,454	5,454	UT
State Trust Lands Gordon Creek Block	State Conservation Area	2,648	1,697	UT

Name	Type	Total State Acres	Total Acres Within Region	State
State Trust Lands Gordon Creek Block	State Resource Management Area	4,143	779	UT
State Trust Lands North Price Block	State Resource Management Area	6,738	1	UT
State Trust Lands Orderville Block	State Resource Management Area	12,028	6,977	UT
State Trust Lands Patmos Head Block	State Resource Management Area	6,207	2,454	UT
State Trust Lands PR Springs Block	State Resource Management Area	72,158	1,422	UT
State Trust Lands Tabby Mountain Block	State Resource Management Area	28,479	17,628	UT
State Trust Lands West Price/Ferron Block	State Resource Management Area	80,852	5,964	UT
Ted's Canyon	State Recreation Area	639	178	CO
Temple Canyon	State Recreation Area	644	644	CO
The State of Utah Forrest, Fire and State Lands; Sovereign Lands 23	State Other or Unknown	4,786	343	UT
The State of Utah School and Institutional Trust Lands Administration Lands	State Resource Management Area	155,397	132,303	UT
Totten	State Conservation Area	243	243	CO
Utah State Department of Wildlife Resources 111	State Conservation Area	76	76	UT
Utah State Department of Wildlife Resources 63	State Conservation Area	31	31	UT
Utah State Department of Wildlife Resources 86	State Conservation Area	1,535	1	UT
Water Canyon Wildlife Area	State Conservation Area	2,538	2,538	NM
West Rifle Creek	State Conservation Area	941	93	CO
Wetlands	State Other or Unknown	20	8	CO
Wheeler	State Conservation Area	48	16	CO
William A. Humphries Wildlife Management Area	State Conservation Area	5,314	3,192	NM
Total		11,448,942	500,739	

Coordinate system used: North America Albers Equal Area Conic
 Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-12.
Miles of Wild and Scenic Rivers – Colorado Plateau

Name	Type	Total Miles	State
Pecos	National Wild and Scenic River	2.53	NM
Total		2.53	

Coordinate system used: WGS 1984

Sources: ESRI, 2016

Table I-13.
2011 U.S. FWS Outdoor Recreation - Colorado Plateau

State	Anglers	Anglers	Hunters	Hunters	Wildlife- watching	Wildlife- watching
	Total Participants ¹ (1,000s)	Total Expenditures (1,000s)	Total Participants ² (1,000s)	Total Expenditures (1,000s)	Total Participants ³ (1,000s)	Total Expenditures (1,000s)
AZ	637	\$755,478	269	\$337,759	1,566	\$935,880
CO*	767	\$648,563	259	\$460,914	1,782	\$1,432,084
NM	278	\$418,249	69	\$139,264	566	\$327,117
UT	414	\$451,259	193	\$449,141	717	\$585,405
United States, total	33,112	\$41,789,936	13,674	\$33,702,017	71,776	\$54,890,272

*CO crosses more than one coal region. This data is for the entire state.

1 Participation in angling by both residents and non-residents in location where activity took place (2011)

2 Participation in hunting by both residents and non-residents in location where activity took place (2011)

3 Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Table I-14.
Acreages of National Forests - Gulf Coast

Name	Total Acres	Total Acres Within Coal Region	State
Kisatchie National Forest	608,533	171,213	LA
National Forests in Alabama	670,522	40	AL
National Forests in Mississippi	1,191,580	303,758	MS
National Forests in Texas	677,405	431,252	TX
Total	3,148,040	906,263	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-15.
Acreages of National Parks and Other NPS Lands - Gulf Coast

Name	Type	Total NPS Acres	Total Acres Within Region	State
Natchez Trace Parkway and National Scenic Trail	Approved or Proclamation Boundary	31,424	10,849	MS
San Antonio Missions National Historical Park	Historic or Cultural Area	423	355	TX
Total		31,847	11,204	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-16.
Acres of State Parks and Other State Owned Lands - Gulf Coast

Name	Type	Total State Acres	Total Acres Within Region	State
Ada Interstate 20 Rest Area	State Recreation Area	75	75	LA
Alazan Bayou Wildlife Management Area	State Conservation Area	2,579	2,579	TX
Aquilla Access	State Recreation Area	88	88	MO
Arkansas Heritage Natural Area	State Conservation Area	53	53	AR
Arkansas Oak Natural Area	State Conservation Area	42	42	AR
Bastrop State Park	State Park	6,604	6,604	TX
Bean Swith Refuge Wildlife Management Area	State Conservation Area	712	712	TN
Beech Springs Natural Area	State Conservation Area	31	30	MO
Bienville Wma	State Conservation Area	26,770	26,770	MS
Big Cypress State Park	State Park	333	333	LA
Big Cypress Tree	State Conservation Area	323	323	TN
Big Lake Bottom Wildlife Management Area	State Conservation Area	3,890	19	TX
Black Lake Bayou	State Recreation Area	726	334	LA
Bladon Springs State Park	State Park	310	310	AL
Blue Springs State Park	State Park	758	758	AL
Boat Launch	State Resource Management Area	5	5	LA
Bois D'arc	State Conservation Area	5,890	1,599	AR
Buescher State Park	State Park	1,064	932	TX
Caddo Lake State Park	State Park	465	381	TX
Caddo Lake Wildlife Management Area	State Conservation Area	8,457	610	TX
Caddo Mounds State Historic Site	State Historic or Cultural Area	70	70	TX
Calhoun County Wildlife Management Area	State Conservation Area	8,776	8,776	MS
Cane Creek State Park	State Park	2,028	781	AR
Caney Creek Lake State Park	State Park	312	312	LA
Chaparral Wildlife Management Area	State Conservation Area	15,192	15,192	TX
Charles Ray Nix Wma	State Conservation Area	3,639	3,639	MS
Chickasaw	State Conservation Area	5,849	92	TN
Chickasaw State Park	State Park	161	161	TN
Chickasaw State Rustic Park	State Park	1,226	1,226	TN
Choctaw Wma	State Conservation Area	21,475	21,472	MS
Clarkco State Park	State Park	820	820	MS
Coffee County Public Fishing Lake	State Recreation Area	76	76	AL
Coil Estate Wildlife Mangement Area	State Conservation Area	795	795	KY

Name	Type	Total State Acres	Total Acres Within Region	State
Colonel Forrest V. Durand Wetland	State Conservation Area	375	375	TN
Columbus-Belmont State Park	State Park	172	61	KY
Coochie Brake State Preservation Area	State Conservation Area	1,921	1,214	LA
Crenshaw County Public Fishing Lake	State Recreation Area	53	53	AL
Crowley'S Ridge Conservation Area	State Conservation Area	1,929	1,929	MO
Daingerfield State Park	State Park	539	539	TX
Dale County Public Fishing Lake	State Recreation Area	84	84	AL
Department Of Transportation Area	State Recreation Area	847	7	LA
Division Of State Lands	State Resource Management Area	16,243	800	LA
Dot Transfer	State Conservation Area	52	52	TN
Doug Travis Wildlife Mangement Area	State Conservation Area	4,131	545	KY
Eagle Lake Refuge Wildlife Management Area	State Conservation Area	2,561	1,463	TN
Earl K Long State Historic Site	State Historic or Cultural Area	2	2	LA
Eaton Bottom - Mitiagtion 600	State Conservation Area	452	452	TN
Fairfield Lake State Park	State Park	1,783	1,783	TX
Falcon Bottoms Natural Area	State Conservation Area	1,931	1,931	AR
Falcon State Park	State Park	563	563	TX
Fmha - Privett	State Conservation Area	210	122	TN
Fort Boggy State Park	State Park	1,905	1,905	TX
Fort Jesup State Historic Site	State Historic or Cultural Area	18	18	LA
Fort Pillow State Historic Park	State Historic or Cultural Area	1,740	822	TN
Fort Ridge Wildlife Management Area	State Conservation Area	1,439	1,439	TN
Frank Jackson State Park	State Park	2,077	2,077	AL
General Watkins Conservation Area	State Conservation Area	1,115	1,092	MO
Geneva County Public Fishing Lake	State Recreation Area	57	57	AL
Geneva State Forest	State Resource Management Area	7,276	2,028	AL
Geneva State Forest Wildlife Management Area	State Conservation Area	7,037	2,028	AL
George P. Cossar State Park	State Park	531	531	MS
Ghost River Section Of The Wolf River State Natural Area	State Conservation Area	1,864	1,864	TN
Gibson County Lake	State Recreation Area	1,375	1,375	TN
Glen Springs Lake	State Recreation Area	131	131	TN
Golden Memorial State Park	State Park	131	131	MS
Gooch Wildlife Management Area	State Conservation Area	1,105	2	TN
Grays Creek	State Conservation Area	288	61	TN

Name	Type	Total State Acres	Total Acres Within Region	State
Gus Engeling Wildlife Management Area	State Conservation Area	10,911	10,911	TX
Harts Mill Wildlife Management Area	State Conservation Area	1,778	1,778	TN
Hell Creek Wma	State Conservation Area	2,354	1,168	MS
Holly Ridge Conservation Area	State Conservation Area	899	887	MO
Holly Ridge Natural Area	State Conservation Area	82	82	MO
Holmes County State Park	State Park	544	544	MS
Hop-in Refuge	State Conservation Area	681	605	TN
Horns Bluff Wildlife Management Area	State Conservation Area	1,779	1,779	TN
Huey P Long Border Marker Park	State Park	18	18	LA
Hugh White State Park	State Park	952	952	MS
Hwy 165 Roadside Park	State Park	1	1	LA
I.D. FairChild State Forest	State Resource Management Area	2,298	2,298	TX
I-20 Rest Area	State Recreation Area	107	52	LA
Jackson Parish Game And Fish Preserve	State Conservation Area	191	191	LA
James T. Jordan Municipal Park	State Park	18	18	AR
Jarrell Switch	State Conservation Area	218	218	TN
John W. Kyle State Park	State Park	586	586	MS
Johnson Mounds State Park	State Park	180	82	TN
Kaler Bottoms Wildlife Management Area	State Conservation Area	1,852	1,709	KY
Keechi Creek Wildlife Management Area	State Conservation Area	1,504	1,504	TX
La Exhibit Museum	State Other or Unknown	6	6	LA
Lafayette Wildlife Management Area	State Conservation Area	14,703	14,703	AR
Lake Bob Sandlin State Park	State Park	640	640	TX
Lake Claiborne Dam	State Other or Unknown	157	157	LA
Lake Claiborne State Park	State Park	624	624	LA
Lake Lauderdale Refuge	State Conservation Area	652	652	TN
Lake Poinsett State Park	State Park	132	132	AR
Lefleur's Bluff State Park	State Park	491	491	MS
Legion Lake State Park	State Park	431	431	MS
Logoly State Park	State Park	140	140	AR
Los Adaes State Historic Site	State Historic or Cultural Area	15	15	LA
Lwcf07 - Blue	State Conservation Area	767	278	TN
M. O. Neasloney Wildlife Management Area	State Conservation Area	98	98	TX
Malmaison Wma	State Conservation Area	8,572	1,039	MS
Maness Swamp	State Conservation Area	1,314	1,314	TN

Name	Type	Total State Acres	Total Acres Within Region	State
Mansfield State Historic Site	State Historic or Cultural Area	177	177	LA
Marks' Mills State Park	State Park	6	6	AR
Martin Creek Lake State Park	State Park	292	292	TX
Mccloy Park	State Park	13	13	AR
Meeman-shelby Forest State Natural Area	State Conservation Area	9,354	3,906	TN
Meeman-shelby Forest State Park	State Park	2,883	2,883	TN
Mission Tejas State Park	State Park	662	662	TX
Mississippi 16th Section Public School Trust Lands	State Resource Management Area	646,204	181,235	MS
Monroe County Public Fishing Lake	State Recreation Area	81	81	AL
Moro Creek Natural Area	State Conservation Area	80	80	AR
Morris State Park	State Park	161	161	MO
Murphy's Pond	State Recreation Area	109	109	KY
Nanhi Waiya	State Conservation Area	8,252	8,252	MS
New Lake D'arbonne State Park	State Park	659	659	LA
North Toledo Bend State Park	State Park	1,051	1,051	LA
Northwest Louisiana Game And Fish Preserve/clear Lake	State Conservation Area	23,235	7,566	LA
Obion Creek State Nature Preserve	State Conservation Area	1,599	1,599	KY
Obion Creek Wildlife Management Area	State Conservation Area	4,257	4,164	KY
Obion River Wildlife Management Area	State Conservation Area	8,215	7,406	TN
Okatibbee Wma	State Conservation Area	6,660	6,660	MS
Old Lake D'arbonne State Park	State Park	90	90	LA
Old Sabine Bottom Wildlife Management Area	State Conservation Area	5,850	5,850	TX
Palmetto State Park	State Park	420	420	TX
Parker Branch Wildlife Management Area	State Conservation Area	264	264	TN
Pearl River Wma	State Conservation Area	5,583	5,583	MS
Pecan Park	State Park	6	6	TX
Pike County Pocosin Complex	State Conservation Area	336	317	AL
Pike County Public Fishing Lake	State Recreation Area	37	37	AL
Pineywoods Native Plant Center	State Resource Management Area	38	38	TX
Poison Spring State Forest / Wildlife Management Area	State Conservation Area	18,695	18,695	AR
Poison Springs State Park	State Park	84	84	AR
Public Boat Launch-lake Claiborne	State Other or Unknown	3	3	LA
Purtis Creek State Park	State Park	1,552	1,552	TX
Rebel State Historic Site	State Historic or Cultural Area	12	12	LA

Name	Type	Total State Acres	Total Acres Within Region	State
Red Hills Complex	State Conservation Area	4,416	4,416	AL
Reelfoot Sediment - Wilson	State Conservation Area	245	9	TN
Refuge	State Conservation Area	444	444	MS
Richland Creek Wildlife Management Area	State Conservation Area	14,219	1,231	TX
Riverwoods State Natural Area	State Conservation Area	21	21	TN
Roosevelt State Park	State Park	606	174	MS
Ruby M. Mize Azalea Garden	State Resource Management Area	10	10	TX
Rusk / Palestine State Park	State Park	202	202	TX
Sardis Waterfowl	State Conservation Area	2,333	2,333	MS
Scs Lakes	State Recreation Area	2,351	2,019	TN
South Fork Waterfowl Refuge	State Conservation Area	149	149	TN
Spring Creek	State Conservation Area	349	349	TN
Starr Family Home State Historic Site	State Historic or Cultural Area	4	4	TX
State Lands	State Other or Unknown	21,962	688	LA
Stephen F Austin Mast Arboretum	State Conservation Area	8	8	TX
Sulphur River	State Conservation Area	18,158	2,478	AR
T.O. Fuller State Park	State Park	923	838	TN
Terrapin Creek State Nature Preserve	State Conservation Area	269	269	KY
Texas Freshwater Fisheries Center	State Other or Unknown	105	105	TX
Texas State Railroad	State Other or Unknown	296	296	TX
Three Ponds State Nature Preserve	State Conservation Area	528	228	KY
Tigrett Wildlife Management Areas	State Conservation Area	8,067	8,067	TN
Tucker Woods	State Resource Management Area	2	2	TX
Tyler State Park	State Park	994	994	TX
Unknown	State Conservation Area	5,958	601	MS
Upper Sardis Wma	State Conservation Area	47,742	47,742	MS
Vardie Parsons Park	State Park	99	99	LA
Village Creek State Park	State Park	6,941	2,679	AR
Wall Doxey State Park	State Park	850	850	MS
West Kentucky Wildlife Management Area	State Conservation Area	3,190	1,209	KY
White Oak Lake State Park	State Park	266	266	AR
Whiteville Lake	State Recreation Area	488	488	TN
Wickliffe Mounds State Historic Site	State Historic or Cultural Area	21	21	KY
Wildlife Game Sanctuary	State Conservation Area	1,919	779	AL
Winford Wildlife Management Area	State Conservation Area	258	258	KY
Wittsburg Natural Area	State Conservation Area	192	192	AR
Wolf River State Natural Area	State Conservation Area	3,350	3,350	TN

Name	Type	Total State Acres	Total Acres Within Region	State
Wolf River Wildlife Management Area	State Conservation Area	1,198	1,198	TN
Yockanookany Wma	State Conservation Area	2,621	2,621	MS
Total		1,135,903	517,210	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-17.
2011 U.S. FWS Outdoor Recreation - Gulf Coast Region

State	Anglers Total Participants¹ (1,000s)	Anglers Total Expenditures (1,000s)	Hunters Total Participants² (1,000s)	Hunters Total Expenditures (1,000s)	Wildlife- watching Total Participants³ (1,000s)	Wildlife- watching Total Expenditures (1,000s)
AL	683	\$456,442	535	\$913,387	1,114	\$734,204
AR	555	\$495,584	363	\$1,018,793	852	\$216,074
LA	825	\$807,033	277	\$564,385	1,010	\$542,752
MS	165	\$527,740	483	\$914,889	781	\$342,422
TN	826	\$1,137,104	375	\$494,005	1,955	\$942,572
TX	2,246	\$1,540,434	1,147	\$1,835,098	4,376	\$1,823,758
United States, total	33,112	\$41,788,936	13,674	\$33,702,017	71,776	\$54,890,272

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Table I-18.
Acreages of National Forests - Illinois Basin

Name	Total Acres	Total Acres Within Coal Region	State
Hoosier National Forest	203,662	58,249	IN
Midewin National Tallgrass Prairie	18,225	23	IL
Shawnee National Forest	286,254	13,671	IL
Total	508,141	71,944	-

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-19.
Acres of National Parks and Other NPS Lands - Illinois Basin

Name	Type	Total NPS Acres	Total Acres Within Region	State
George Rogers Clark National Historical Park	Historic or Cultural Area	24	24	IN
Illinois and Michigan Canal National Heritage Corridor	Approved or Proclamation Boundary	364,138	155,756	IL
Jefferson National Expansion Memorial	Historic or Cultural Area	85	85	MO
Lincoln Boyhood National Memorial	Historic or Cultural Area	185	185	IN
Lincoln Home National Historic Site	Historic or Cultural Area	13	13	IL
Mammoth Cave National Park	National Park	50,624	1,925	KY
Total	-	415,071	157,990	-

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-20.
Acres of State Parks and Other State Owned Lands - Illinois Basin

Name	Type	Total State Acres	Total Acres Within Region	State
Acorn Acres	State Conservation Area	2	2	IL
Allison Ditch	State Conservation Area	1	1	IL
Alvah Borah	State Conservation Area	88	88	IL
American Beech Woods Nature Preserve	State Conservation Area	39	39	IL
Anderson River Public Fishing Site	State Recreation Area	1	1	IN
Angel Mounds State Historic Site	State Historic or Cultural Area	564	564	IN
Argyle Hollow Barrens Nature Preserve	State Conservation Area	18	18	IL
Argyle Lake	State Recreation Area	1,692	1,564	IL
Armstrong Glade Nature Preserve	State Conservation Area	44	44	IN
Ashcraft Cave	State Recreation Area	35	17	IN
Baer Brothers Woodlot	State Conservation Area	26	26	IL
Banner Marsh	State Conservation Area	4,507	2,071	IL
Barnes-Seng (Jasper Marsh) Wetland Conservation Area	State Conservation Area	178	178	IN
Barnhart Prairie Restoration	State Conservation Area	161	161	IL
Beall Woods Nature Preserve	State Conservation Area	614	614	IL
Beaver Dam	State Park	703	703	IL
Beaver Dam Gravel Hill Prairie	State Conservation Area	15	15	IL
Behre Bluff	State Conservation Area	56	56	IL
Bellefontaine Conservation Area	State Conservation Area	142	142	MO
Bennett Woods	State Conservation Area	27	27	IL
Berry's Woods	State Conservation Area	21	21	IL
Big Bureau Creek	State Conservation Area	50	50	IL
Big Open Woodland	State Conservation Area	99	99	IL
Big Rivers Wildlife Management Area and State Forest	State Conservation Area	2,488	950	KY
Birkbeck	State Conservation Area	76	76	IL
Blalock Creek Site	State Conservation Area	9	9	IL
Bloomfield Barrens Managed Area	State Conservation Area	946	945	IN
Bloomfield Barrens Nature Preserve	State Conservation Area	122	122	IN
Bluegrass Fish And Wildlife Area	State Conservation Area	2,523	2,523	IN
Bluff Springs Hill Prairie	State Conservation Area	2	2	IL
Bluffs of Beaver Bend	State Conservation Area	767	767	IN
Bohbrink Woods	State Conservation Area	20	20	IL
Bohm Woods Nature Preserve	State Conservation Area	81	72	IL
Boyds Hollow Woods	State Conservation Area	57	57	IL
Braceville Railroad Prairie	State Conservation Area	3	3	IL
Bradford	State Conservation Area	101	101	IL
Broderick Prairie	State Conservation Area	77	77	IL

Name	Type	Total State Acres	Total Acres Within Region	State
Brownfield Woods	State Conservation Area	64	64	IL
Browning Woods	State Conservation Area	154	154	IL
Brushy Slough	State Conservation Area	506	506	IL
Buffalo Pond Managed Area	State Recreation Area	20	20	IN
Buffalo Pond Nature Preserve	State Conservation Area	358	358	IN
Bull Island Mussel Bed	State Conservation Area	76	76	IL
Calamus Lake Nature Preserve	State Conservation Area	130	130	IL
Camp Drake	State Conservation Area	5	5	IL
Campbell Pond	State Recreation Area	20	20	IL
Cane Ridge Wildlife Management Area	State Conservation Area	480	480	IN
Carl Flierman's River Nature Preserve	State Conservation Area	17	17	IL
Carlinville Railroad Prairie	State Conservation Area	3	3	IL
Carlyle Lake	State Recreation Area	9,611	9,611	IL
Carnahan Public Access Site	State Recreation Area	2	2	IN
Cataract Falls	State Park	221	90	IN
Caterpillar Hill Prairies	State Conservation Area	6	6	IL
Cecil E. Meeker	State Conservation Area	67	67	IL
Chauncey Marsh	State Conservation Area	784	784	IL
Chauncey Marsh Nature Preserve	State Conservation Area	140	140	IL
Chinook Fish And Wildlife Area	State Conservation Area	2,274	2,274	IN
Chouteau Island	State Conservation Area	2,288	940	IL
Chrisney Wetlands	State Conservation Area	124	124	IN
Clarence Railroad Prairie	State Conservation Area	6	6	IL
Clarence West Railroad Prairie	State Conservation Area	5	5	IL
Clay County Prairie Habitat	State Conservation Area	3,743	3,743	IL
Clear Creek Wildlife Management Area	State Conservation Area	858	858	KY
Clinton Lake	State Recreation Area	9,810	9,810	IL
Coffee Bayou	State Conservation Area	454	454	IN
Colp Bottoms	State Conservation Area	15	15	IL
Columbia Bottom Conservation Area	State Conservation Area	4,253	8	MO
Coneflower Hill Prairie	State Conservation Area	5	5	IL
Conservation World	State Other or Unknown	52	52	IL
County Line Hill Prairie	State Conservation Area	73	73	IL
Covered Bridge State Forest	State Resource Management Area	594	594	IN
Craver's Seep	State Conservation Area	5	5	IL
Crawford County	State Conservation Area	1,104	1,104	IL
Crawleyville Public Access Site	State Recreation Area	2	2	IN
Crow Creek Marsh	State Conservation Area	45	45	IL
Cypress Creek State Nature Preserve	State Conservation Area	97	97	KY
Cypress Swamp Fish and Wildlife Area	State Conservation Area	72	72	IN
Dean Hills Nature Preserve	State Conservation Area	108	108	IL
Denby Prairie	State Conservation Area	3	3	IL

Name	Type	Total State Acres	Total Acres Within Region	State
Denby Prairie Nature Preserve	State Conservation Area	3	3	IL
Des Plaines Dolomite Prairies Land And Water Reserve	State Conservation Area	3,335	1,244	IL
Des Plaines Game Propagation Center	State Other or Unknown	344	112	IL
Detweiller Riverfront Prairie	State Conservation Area	19	19	IL
Devil's Prop	State Conservation Area	41	41	IL
Dickenson Site	State Conservation Area	19	19	IL
Dickson Run Hill Prairie	State Conservation Area	13	13	IL
Dickson Mounds Museum	State Other or Unknown	235	235	IL
Dismal Creek Savanna	State Conservation Area	51	51	IL
Don Gardner's Prairie Restoration	State Conservation Area	7	7	IL
Donnelley	State Conservation Area	632	632	IL
Double "t"	State Conservation Area	1,966	1,966	IL
Eagle Creek	State Recreation Area	2,482	2,482	IL
Edward R. Madigan	State Park	970	970	IL
Eldon Hazlet	State Recreation Area	2,331	2,331	IL
Elnora Public Access Site	State Recreation Area	2	2	IN
Embarras River - Camargo	State Conservation Area	390	390	IL
Eversgerd Flatwoods	State Conservation Area	38	38	IL
Fairbanks Landing Fish And Wildlife Area	State Conservation Area	359	359	IN
Fairbanks Park Boat Ramp	State Recreation Area	2	2	IN
Fairfield Ditch	State Conservation Area	133	77	IL
Felky Slough	State Conservation Area	55	55	IL
Ferdinand State Forest	State Resource Management Area	7,858	7,808	IN
Finfrock	State Resource Management Area	376	376	IL
Fishhook Creek Area	State Conservation Area	2,298	2,204	IL
Five-mile Hill Prairie	State Conservation Area	3	3	IL
Five-points Bog	State Conservation Area	19	19	IL
Flag Pond	State Recreation Area	256	256	IL
Fondulac Seep	State Conservation Area	14	14	IL
Fox Ridge	State Park	719	719	IL
Freeburg Woods	State Conservation Area	116	116	IL
Freeman Mine	State Conservation Area	198	198	IL
Friends Creek - Sangamon River Basin	State Conservation Area	6	6	IL
Funks Grove	State Conservation Area	3	3	IL
Funks Grove Land And Water Reserve	State Conservation Area	690	690	IL
Funks Grove Nature Preserve	State Conservation Area	15	15	IL
George S. Park Memorial Woods Nature Preserve	State Conservation Area	79	79	IL
Gibson County Wetland Conservation Area	State Conservation Area	40	40	IN
Gifford	State Conservation Area	103	103	IL
Ginger Ridge	State Conservation Area	27	27	IL
Ginther Farm	State Conservation Area	140	49	IL
Glendale Fish And Wildlife	State Conservation Area	8,127	8,127	IN

Name	Type	Total State Acres	Total Acres Within Region	State
Area				
Godley Railroad Prairie	State Conservation Area	4	4	IL
Gold Prairie	State Conservation Area	14	14	IL
Goode's Woods Nature Preserve	State Conservation Area	41	41	IL
Goose Pond Fish And Wildlife Area	State Conservation Area	7,977	7,977	IN
Grandview Woods	State Conservation Area	66	66	IL
Grandville Woods	State Conservation Area	11	11	IL
Gray Estate Cypress Slough (Gray Woods)	State Conservation Area	386	386	IN
Gray Owen Farm	State Conservation Area	174	174	IN
Gray Pitcher Farm	State Conservation Area	153	153	IN
Green River	State Conservation Area	1,423	1,423	IL
Green River Lowlands	State Conservation Area	1,117	1,117	IL
Green River State Forest	State Resource Management Area	1,093	1,093	KY
Green Valley Public Fishing Area	State Recreation Area	147	147	IN
Greendale Railroad Prairie	State Conservation Area	6	6	IL
Greene-Sullivan State Forest	State Resource Management Area	9,053	9,053	IN
Haarman Prairie	State Conservation Area	16	16	IL
Hadley Creek	State Conservation Area	41	7	IL
Hagaman Marsh	State Conservation Area	105	105	IL
Hallsville	State Conservation Area	84	84	IL
Hamilton County	State Conservation Area	1,674	1,674	IL
Hanging Rock	State Conservation Area	24	24	IL
Hanging Rock Sandstone Cliff	State Conservation Area	11	11	IL
Harmonie State Park	State Park	3,492	3,492	IN
Harper-rector Woods Nature Preserve	State Conservation Area	38	38	IL
Harris-Dickerson Wetlands Wildlife Refuge Area	State Conservation Area	752	752	KY
Harry "Babe" Woodyard	State Resource Management Area	30	30	IL
Harry *babe* Woodyard State Natural Area	State Conservation Area	343	343	IL
Hazel And Bill Rutherford's Wildlife Prairie	State Park	1,710	1,710	IL
Headwaters	State Resource Management Area	131	131	IL
Hemmer Woods Nature Preserve	State Conservation Area	74	74	IN
Hennepin Canal	State Recreation Area	4,324	2,881	IL
Hennepin Canal - Wyanet Prairie	State Conservation Area	8	8	IL
Hennepin Illinois River Floodplain	State Conservation Area	71	71	IL
Herschel Workman	State Conservation Area	135	135	IL
Hickory Woods Conservation Area	State Conservation Area	10	10	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Hidden Springs	State Resource Management Area	1,106	1,106	IL
Higginson-Henry Wildlife Management Area	State Conservation Area	5,451	5,451	KY
Highway Reroute Potential	State Other or Unknown	95	95	IN
Hillenbrand Fish And Wildlife Area	State Conservation Area	3,748	3,748	IN
Hindostan Falls Public Fishing Area	State Recreation Area	139	139	IN
Hindsboro	State Conservation Area	86	86	IL
Historic Mansfield Roller Mill	State Conservation Area	4	4	IN
Historic New Harmony	State Historic or Cultural Area	5	5	IN
Hitts Siding Prairie Nature Preserve	State Conservation Area	347	347	IL
Horseshoe Bottom Nature Preserve	State Conservation Area	101	101	IL
Horseshoe Lake-madison	State Recreation Area	3,033	4	IL
Hovey Lake Fish And Wildlife Area	State Conservation Area	5,847	5,851	IN
Huddlestun Woods	State Conservation Area	16	16	IL
Hurricane Creek	State Conservation Area	379	379	IL
Indian Creek Woods	State Conservation Area	17	17	IL
Interlake	State Recreation Area	3,669	3,669	IN
Interurban	State Other or Unknown	40	40	IL
Iroquois County	State Conservation Area	813	143	IL
Irving Railroad Prairie	State Conservation Area	4	4	IL
James C. Helfrich Game Propagation Center	State Other or Unknown	29	29	IL
Jasper County Prairie Chicken Sanctuary Nature Preserve	State Conservation Area	415	415	IL
Jim Edgar Panther Creek	State Conservation Area	15,823	15,566	IL
Jimtown Mussel Bed	State Conservation Area	47	47	IL
John James Audubon State Park	State Park	311	311	KY
John James Audubon State Park Nature Preserve	State Conservation Area	338	338	KY
Johnson Woods	State Conservation Area	75	75	IL
Johnson-sauk Trail	State Recreation Area	1,334	1,334	IL
Jones-Keeney Wildlife Management Area	State Conservation Area	1,999	1,119	KY
Jordan Seeps Nature Preserve	State Conservation Area	28	28	IN
Jubilee College Forest Nature Preserve	State Conservation Area	187	187	IL
Jubilee College State Park	State Park	3,245	3,244	IL
Jug Rock Nature Preserve	State Conservation Area	35	35	IN
Julius J. Knobeloch Woods Nature Preserve	State Conservation Area	43	43	IL
Kankakee River	State Conservation Area	2,971	221	IL
Kaskaskia River	State Conservation Area	20,789	11,433	IL
Kearns Public Access Site (Wabash River)	State Recreation Area	2	2	IN

Name	Type	Total State Acres	Total Acres Within Region	State
Keener Prairie	State Conservation Area	2	2	IL
Kelly Bayou	State Conservation Area	49	49	IN
Kelly Creek	State Conservation Area	38	38	IL
Kickapoo	State Recreation Area	2,608	2,608	IL
Kickapoo	State Resource Management Area	1,118	1,118	IL
Kickapoo Creek	State Conservation Area	107	107	IL
Kieweg Woods	State Resource Management Area	61	61	IN
Kinkaid Lake	State Recreation Area	4,108	1,510	IL
Kramer Woods Nature Preserve	State Conservation Area	226	226	IN
Krigia Flats	State Conservation Area	34	34	IL
La Moine River	State Conservation Area	123	4	IL
Lake Bracken Woods	State Conservation Area	19	19	IL
Lake Depue	State Conservation Area	2,172	2,172	IL
Lake Malone State Park	State Park	371	371	KY
Lake Murphysboro	State Park	837	402	IL
Lake Pinckneyville Woods	State Conservation Area	29	29	IL
Lasalle Fish Hatchery	State Other or Unknown	50	50	IL
Lasalle Lake	State Recreation Area	1,936	1,936	IL
Lee K. Nelson Wildlife Management Area	State Conservation Area	60	60	KY
Lincoln State Park	State Park	1,977	1,977	IN
Lincoln Trail	State Recreation Area	1,004	1,004	IL
Lincoln Trail Homestead	State Recreation Area	81	81	IL
Little Grassy (Grassie) Pond Game Management Area	State Recreation Area	224	224	IN
Little Missouri Creek Dells	State Conservation Area	63	63	IL
Little Pigeon Creek Fish And Wildlife Area	State Conservation Area	152	152	IN
Little Pigeon Creek Wetland Conservation Area	State Conservation Area	1,039	1,039	IN
Little Pigeon Creek Wetlands	State Conservation Area	173	173	IN
Little Rock Farm	State Conservation Area	32	32	IL
Little Wabash River	State Conservation Area	1,811	1,811	IL
Lively Branch Woods	State Conservation Area	64	64	IL
Loda	State Conservation Area	160	160	IL
Log Cabin Hill Prairie	State Conservation Area	6	6	IL
Long Point Slough (east)	State Conservation Area	74	74	IL
Lost Hill Wetland Conservation Area	State Conservation Area	356	356	IN
Mackinaw River	State Recreation Area	972	972	IL
Magnolia Hill Prairies	State Conservation Area	69	69	IL
Mahomet Site	State Conservation Area	1	1	IL
Margery C. Carlson Nature Preserve	State Conservation Area	263	263	IL
Marion County Prairie Chicken Sanctuary Nature Preserve	State Conservation Area	157	157	IL
Marissa Woods Nature Preserve	State Conservation Area	30	30	IL
Marseilles	State Conservation Area	2,536	2,536	IL
Marshall	State Conservation Area	6,792	6,792	IL

Name	Type	Total State Acres	Total Acres Within Region	State
Marshall	State Resource Management Area	379	379	IL
Martin State Forest	State Resource Management Area	7,942	1,352	IN
Martin T. Snyder Memorial Nature Preserve	State Conservation Area	91	91	IL
Massasauga Prairie Nature Preserve	State Conservation Area	79	3	IL
Matthiessen	State Park	1,486	904	IL
Mautino	State Conservation Area	901	901	IL
Maytown	State Conservation Area	158	158	IL
Mazon River Bed	State Conservation Area	1	1	IL
Mazonia Railroad Prairie	State Conservation Area	3	3	IL
Mazonia-braidwood	State Conservation Area	5,779	5,779	IL
Mccune Sand Prairie	State Conservation Area	267	267	IL
Mckee Creek Barrens And Sedge Seep	State Conservation Area	12	12	IL
Middle Fork	State Conservation Area	3,778	3,778	IL
Middle Fork Of The Vermilion River	State Conservation Area	444	428	IL
Middle Fork Seeps	State Conservation Area	20	20	IL
Middle Fork Woods Nature Preserve	State Conservation Area	79	79	IL
Middlefork Woods	State Conservation Area	9	9	IL
Miller Shrub Swamp Nature Preserve	State Conservation Area	36	36	IL
Miller Tract	State Conservation Area	18	18	IL
Miller-anderson Woods Nature Preserve	State Conservation Area	632	632	IL
Mineral Marsh Nature Preserve	State Conservation Area	314	314	IL
Mitchell's Grove Nature Preserve	State Conservation Area	224	224	IL
Montezuma Surplus Parcel	State Other or Unknown	3	3	IN
Moraine View	State Recreation Area	2,211	2,211	IL
Mossville Road Hill Prairie	State Conservation Area	5	5	IL
Mossy Point Nature Preserve	State Conservation Area	185	185	IN
Mound Prairie	State Conservation Area	2	2	IL
Mt. Calvary (Martin Co.) Wildlife Management Area	State Conservation Area	164	164	IN
Mt. Erie Springs	State Conservation Area	6	6	IL
Mt. Vernon Game Propagation Center	State Other or Unknown	721	721	IL
Mud Creek - Milford Site	State Conservation Area	61	61	IL
Munch Area	State Conservation Area	19	14	IL
Murdock Railroad Prairie	State Conservation Area	3	3	IL
Murphysboro Seep	State Conservation Area	13	13	IL
Nettie Hart Woodland Memorial	State Conservation Area	40	40	IL
New Harmony Public Access Site	State Recreation Area	4	4	IN
North Fork Brouilletts Creek	State Conservation Area	33	33	IL
North Fork Of The Vermilion	State Conservation Area	31	31	IL

Name	Type	Total State Acres	Total Acres Within Region	State
River - Livingston County				
North Fork Saline River	State Conservation Area	29	29	IL
North Fork Vermilion River	State Conservation Area	322	315	IL
Orange Co. (Jordan) Game Management Area	State Conservation Area	434	434	IN
Otter Creek	State Conservation Area	46	46	IL
Owen-Putnam State Forest	State Resource Management Area	6,594	5,757	IN
Parklands Nature Preserve	State Conservation Area	42	42	IL
Parklands Site	State Conservation Area	583	583	IL
Patoka River Public Access Site	State Recreation Area	9	9	IN
Peabody River King	State Conservation Area	1,925	1,925	IL
Peabody Wildlife Management Area	State Conservation Area	39,215	39,215	KY
Pelican Pouch Woods	State Conservation Area	106	106	IL
Pennyrile Forest State Resort Park	State Recreation Area	930	930	KY
Pennyrile State Forest	State Resource Management Area	14,379	12,564	KY
Peoria Salvation Army Woods	State Conservation Area	43	43	IL
Perdueville	State Conservation Area	124	124	IL
Perry County Wildlife Habitat Area	State Conservation Area	265	264	IN
Perschbacher Service Center	State Other or Unknown	8	8	IL
Petersburg Public Access Site	State Recreation Area	1	1	IN
Piatt County Unit	State Conservation Area	58	58	IL
Pike State Forest	State Resource Management Area	5,061	5,052	IN
Pin Oak Flatwoods	State Conservation Area	146	146	IL
Ping Prairie At Husky Hollow	State Historic or Cultural Area	8	8	IL
Ping's Prairie	State Conservation Area	7	7	IL
Platt County Unit	State Resource Management Area	143	143	IL
Portland Arch Nature Preserve	State Conservation Area	452	452	IN
Posen Woods Nature Preserve	State Conservation Area	43	43	IL
Post Oak Glade	State Conservation Area	10	10	IL
Prairie Creek Barrens Nature Preserve	State Conservation Area	82	82	IN
Prairie Ridge Land And Water Reserve	State Conservation Area	23,616	23,616	IL
Prairie Wind	State Recreation Area	325	325	IL
Providence Woods	State Conservation Area	30	30	IL
Putnam County	State Other or Unknown	303	303	IL
Pyramid	State Park	19,010	19,010	IL
Raccoon Creek Public Fishing Area	State Recreation Area	26	26	IN
Raccoon Lake State Recreation Area	State Recreation Area	197	197	IN
Rail Splitter	State Conservation Area	70	70	IL
Ramsey Creek	State Conservation Area	48	48	IL

Name	Type	Total State Acres	Total Acres Within Region	State
Ramsey Lake	State Recreation Area	1,980	1,980	IL
Ramsey Railroad Prairie Nature Preserve	State Conservation Area	11	11	IL
Red Hills	State Park	934	934	IL
Red Hills Woods Nature Preserve	State Conservation Area	37	37	IL
Redbird Riding Area	State Recreation Area	1,572	1,572	IN
Region V Headquarters	State Other or Unknown	39	39	IL
Rice Lake	State Recreation Area	6,674	3,268	IL
Riedle's Bluffs	State Conservation Area	45	45	IL
Riley Creek	State Conservation Area	38	38	IL
Rock Cave Land And Water Reserve	State Conservation Area	329	329	IL
Rock Island	State Recreation Area	341	341	IL
Rock Island Trail Prairie Nature Preserve	State Conservation Area	4	4	IL
Rocky Glen	State Conservation Area	135	135	IL
Rockyford Road Site	State Conservation Area	22	22	IL
Roodhouse Site	State Conservation Area	6	6	IL
Rosedale Natural Resource Damage Assessment Settlement	State Other or Unknown	102	102	IN
Route 66 Railroad Prairie - Cayuga	State Conservation Area	1	1	IL
Roy Reppert Prairie	State Conservation Area	16	16	IL
Sahara Woods	State Conservation Area	4,067	4,067	IL
Saline County	State Conservation Area	1,287	1,287	IL
Salt Creek	State Conservation Area	503	503	IL
Salt Fork Vermilion River	State Conservation Area	239	239	IL
Sam And Florence Atkinson Forest	State Resource Management Area	324	324	IL
Sam Dale Lake	State Recreation Area	1,324	1,324	IL
Sam Parr	State Park	1,172	1,172	IL
Sandy Branch Woods	State Conservation Area	18	18	IL
Sandy Creek Hill Prairies	State Conservation Area	171	171	IL
Sandy Ford Land And Water Reserve	State Conservation Area	3	3	IL
Sandy Hill Slough	State Conservation Area	44	44	IL
Sangamon River	State Conservation Area	1,028	1,011	IL
Sangamon Valley Greenway	State Recreation Area	584	584	IL
Sanganouis	State Conservation Area	10,575	79	IL
Sangchris Lake	State Recreation Area	3,284	3,284	IL
Saybrook	State Conservation Area	86	86	IL
Scab Hollow	State Conservation Area	55	55	IL
Scales Lake State Beach	State Park	467	467	IN
Schmoeger Park Buffer	State Conservation Area	13	13	IL
Schuh Bend Island Mussel Bed	State Conservation Area	63	63	IL
Sciota Railroad Prairie	State Conservation Area	13	13	IL
Scott Joplin House State Historic Site	State Historic or Cultural Area	6	6	MO
Section Six Souther Flatwoods	State Conservation Area	458	458	IN
Senachwine Seep	State Conservation Area	67	67	IL
Seville Savanna	State Conservation Area	2	2	IL

Name	Type	Total State Acres	Total Acres Within Region	State
Shakamak State Park	State Park	1,776	1,776	IN
Shelbyville	State Conservation Area	6,264	6,264	IL
Shoal Creek	State Conservation Area	107	107	IL
Sibley	State Conservation Area	644	644	IL
Siloam Springs	State Park	5,487	4,755	IL
Silver Creek Shrub Swamp	State Conservation Area	57	57	IL
Silver Creek Woods	State Conservation Area	140	140	IL
Sloughs Wildlife Management Area	State Conservation Area	6,109	6,109	KY
Snake Creek Marsh Wildlife Management Area	State Conservation Area	2,505	2,505	IL
Sonneman Woods	State Conservation Area	150	150	IL
South Fork Saline River	State Conservation Area	20	20	IL
South Fork Sangamon River	State Conservation Area	76	76	IL
South Shore	State Park	272	272	IL
Southern Illinois Artisans Shop And Visitor Center	State Other or Unknown	6	6	IL
Sparland Unit	State Conservation Area	22	22	IL
Sparta Site	State Conservation Area	1	1	IL
Sphagnum Seep	State Conservation Area	2	2	IL
Spitler Woods	State Park	40	40	IL
Spitler Woods Nature Preserve	State Conservation Area	162	162	IL
Spoon River	State Conservation Area	30	30	IL
Spoon River	State Resource Management Area	1,660	1,660	IL
Spring Bay Fen Nature Preserve	State Conservation Area	62	62	IL
Spring Lake	State Recreation Area	2,123	3	IL
Springfield Idnr Service Building	State Other or Unknown	8	8	IL
Stephen A. Forbes	State Recreation Area	3,119	3,119	IL
Stevens Hill Prairie	State Conservation Area	13	13	IL
Sugar Creek	State Conservation Area	211	208	IL
Sugar Ridge Fish And Wildlife Area	State Conservation Area	7,935	7,926	IN
Sunbury Railroad Prairie Nature Preserve	State Conservation Area	15	15	IL
Swamper Bend Fish And Wildlife Conservation Area	State Conservation Area	105	105	IN
Ten Mile Creek	State Conservation Area	6,098	6,098	IL
Tern Bar Slough	State Conservation Area	843	843	IN
Thacker - Pauley Marsh	State Conservation Area	48	48	IL
Thaddeus Stubblefield Grove Nature Preserve	State Conservation Area	218	218	IL
Timber Creek	State Conservation Area	16	16	IL
Tradewater Wildlife Management Areas	State Conservation Area	729	729	KY
Trelease Woods	State Conservation Area	60	60	IL
Tunnel Hill	State Recreation Area	917	276	IL
Turkey Run State Park	State Park	2,318	2,318	IN
Twelve Mile Prairie	State Conservation Area	81	81	IL
Twin Swamps Managed Area	State Conservation Area	24	24	IN

Name	Type	Total State Acres	Total Acres Within Region	State
Twin Swamps Nature Preserve	State Conservation Area	600	600	IN
Upper Embarras Woods Nature Preserve	State Conservation Area	65	65	IL
Vermilion River	State Conservation Area	197	197	IL
Victoria	State Conservation Area	244	244	IL
Vincennes State Historic Site	State Historic or Cultural Area	44	44	IN
Wabash Healthy Rivers Initiative	State Conservation Area	27	27	IN
Wabash Lowlands Nature Preserve	State Conservation Area	427	427	IN
Wabash Lowlands Wetland Conservation Area	State Conservation Area	672	672	IN
Wabash River	State Conservation Area	6,090	6,090	IL
Wabashiki Fish and Wildlife Area	State Conservation Area	2,503	2,503	IN
Walnut Point	State Conservation Area	19	19	IL
Walnut Point	State Park	594	594	IL
Washington County	State Conservation Area	1,428	1,428	IL
Waterman Public Access Site	State Recreation Area	2	2	IN
Wayne Fitzgerald	State Recreation Area	3,596	3,596	IL
Weinberg-king	State Park	2,304	1,368	IL
Weldon Springs	State Recreation Area	559	559	IL
West Branch	State Conservation Area	31	31	IL
West Bushnell Railroad Prairie	State Conservation Area	30	30	IL
West Fork Relict Site	State Conservation Area	3	3	IL
White Oak Public Access Site/ Public Fishing Area	State Recreation Area	127	127	IN
White River Bend Wildlife Management Area	State Conservation Area	710	710	IN
Whitefield	State Conservation Area	120	120	IL
Wild Cherry Ridge Conservation Area	State Conservation Area	9	9	MO
Wildcat Den State Park	State Park	432	429	IA
Wildcat Hollow	State Conservation Area	683	683	IL
Williams Creek Woods	State Conservation Area	79	79	IL
Willow Creek	State Conservation Area	229	86	IL
Wilmington Shrub Prairie	State Resource Management Area	245	205	IL
Wilmington Shrub Prairie Nature Preserve	State Conservation Area	184	176	IL
Windfall Prairie Nature Preserve	State Conservation Area	60	60	IL
Wise Ridge	State Conservation Area	231	231	IL
Wokanda Camp	State Conservation Area	36	36	IL
Wolf Creek	State Recreation Area	2,056	2,056	IL
Woodford	State Conservation Area	3,650	3,650	IL
Woodford	State Resource Management Area	138	138	IL
World Shooting Complex	State Recreation Area	1,583	1,583	IL
Worthington (White River) Public Access Site	State Recreation Area	4	4	IN

Name	Type	Total State Acres	Total Acres Within Region	State
Yellow Birch Ravine	State Conservation Area	176	162	IN
Yellow Birch Ravine Nature Preserve	State Conservation Area	440	302	IN
Yocum Woods	State Conservation Area	61	61	IN
Total	-	488,967	426,287	-

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-21.
Miles of Wild and Scenic Rivers – Illinois Basin

Name	Type	Total Miles	State
Middle Fork Vermillion	Scenic River	26.17	IL
Total		26.17	

Coordinate system used: WGS 1984
Sources: ESRI, 2016

Table I-22.
2011 U.S. FWS Outdoor Recreation - Illinois Basin

State	Anglers	Anglers	Hunters	Hunters	Wildlife-watching	Wildlife-watching
	Total Participants ¹ (1,000s)	Total Expenditures (1,000s)	Total Participants ² (1,000s)	Total Expenditures (1,000s)	Total Participants ³ (1,000s)	Total Expenditures (1,000s)
IL	1,044	\$972,729	512	\$1,216,281	3,019	\$1,306,258
IN	801	\$671,840	392	\$222,310	1,719	\$751,343
KY*	554	\$807,293	347	\$797,766	1,319	\$773,221
United States, total	33,112	\$41,788,936	13,674	\$33,702,017	71,776	\$54,890,272

*KY crosses more than one coal region. This data is for the entire state.

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Table I-23.
Acres of National Forests - Northern Rocky Mountains and Great Plains

Name	Total Acres	Total Acres Within Coal Region	State
Ashley National Forest	1,282,210	7,235	UT
Beaverhead-Deerlodge National Forest	3,390,960	20,190	MT
Bighorn National Forest	1,105,310	219	WY
Black Hills National Forest	1,250,890	496	SD, WY
Bridger-Teton National Forest	3,420,450	243,479	WY
Caribou-Targhee National Forest	2,891,053	19,798	ID, WY
Carson National Forest	1,489,960	30,405	NM
Custer National Forest	1,182,791	561,613	MT, SD
Dakota Prairie Grasslands	1,257,766	1,079,886	ND, SD
Flathead National Forest	2,413,570	5,650	MT
Gallatin National Forest	1,856,250	6,635	MT
Helena National Forest	981,778	4,482	MT
Lewis and Clark National Forest	1,869,930	637	MT
Lolo National Forest	2,207,770	2,516	MT
Medicine Bow-Routt National Forest	2,892,380	217,843	CO, WY
Pike and San Isabel National Forests	2,648,410	2,836	CO
Sawtooth National Forest	2,038,590	32,737	ID
Uinta-Wasatch-Cache National Forest	2,118,230	7,701	UT
White River National Forest	2,287,620	6,171	CO
Total	38,585,918	2,250,527	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-24.
Acreeges of National Parks and Other NPS Lands - Northern Rocky Mountains and Great Plains

Name	Type	Total NPS Acres	Total Acres Within Region	State
Fort Union Trading Post National Historic Site	Historic or Cultural Area	325	325	MT, ND
Glacier National Park	National Park	1,007,940	10,025	MT
Knife River Indian Villages National Historic Site	Historic or Cultural Area	1,616	1,616	ND
Theodore Roosevelt National Park	National Park	69,499	69,499	ND
Total	-	1,079,381	81,465	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-25.
Acreages of State Parks and Other State Owned Lands -
Northern Rocky Mountains and Great Plains

Name	Type	Total State Acres	Total Acres Within Region	State
Adams	State Recreation Area	3,635	102	CO
Aguilar TV Hill	State Recreation Area	436	242	CO
Alkali Creek	State Conservation Area	544	544	ND
Antelope Creek Wildlife Management Area	State Conservation Area	953	953	ND
Arroda Lake	State Recreation Area	380	380	ND
Audubon	State Conservation Area	10,787	10,787	ND
Badlands Wildlife Management Area	State Conservation Area	8	8	MT
Bear Creek Cut Across Road	State Resource Management Area	664	664	CO
Beaver Creek Wildlife Management Area	State Conservation Area	94	94	ND
Black Hawk	State Recreation Area	1,536	1,536	CO
Blue Lake	State Recreation Area	15	15	ND
Blue Ridge	State Conservation Area	244	244	ND
Bonfield Fishing Access Site	State Recreation Area	45	45	MT
Bosque Del Oso	State Conservation Area	30,434	21,172	CO
Brush Lake State Park	State Park	232	232	MT
Bull Creek	State Conservation Area	163	163	ND
Camel Hump	State Conservation Area	114	114	ND
Castor Gulch	State Recreation Area	671	671	CO
Cedar Lake Wildlife Management Area	State Conservation Area	803	803	ND
Chain Lakes Wildlife Habitat Management Area	State Conservation Area	62,521	4,454	WY
Coal Bank Gulch	State Recreation Area	868	386	CO
Coal Creek State Forest	State Resource Management Area	15,075	330	MT
Cottonwood Creek	State Recreation Area	630	622	CO
Cow Creek	State Resource Management Area	564	475	CO
Craig Warehouse	State Other or Unknown	2	2	CO
Crown Butte	State Conservation Area	86	86	ND
Culbertson Bridge Fishing Access Site	State Recreation Area	12	12	MT
Custer Mine	State Conservation Area	692	692	ND
Cyprus Yampa Valley	State Conservation Area	612	612	CO
Deepwater	State Conservation Area	2,344	2,344	ND
Detrobriand Wildlife Management Area	State Conservation Area	2,640	2,640	ND
Diamond J	State Conservation Area	3,158	1,907	CO
Diamond Willow Fishing Access Site	State Recreation Area	86	86	MT
Dogtown	State Conservation Area	36	36	ND
Douglas Creek	State Conservation Area	2,630	2,630	ND
Elk Island Wildlife Management Area	State Conservation Area	1,205	1,205	MT

Name	Type	Total State Acres	Total Acres Within Region	State
Elkhead	State Park	2,072	2,072	CO
Elliott Barker Wildlife Management Area	State Conservation Area	5,596	3,920	NM
Emerald Mountain	State Resource Management Area	1,065	849	CO
F Island Wildlife Habitat Protection Area	State Conservation Area	121	121	MT
Fetterman Battlefield	State Historic or Cultural Area	468	385	WY
Firesteel Dam	State Conservation Area	80	80	SD
Florence	State Recreation Area	628	628	CO
Fort Reno	State Park	15	15	WY
Fort Stevenson State Park	State Park	523	523	ND
Fox Lake Wildlife Management Area	State Conservation Area	1,469	1,469	MT
Foxholm	State Conservation Area	40	40	ND
Gartside Reservoir Fishing Access Site	State Recreation Area	170	170	MT
Golden Valley	State Conservation Area	160	160	ND
Grassy Creek	State Recreation Area	372	372	CO
Harmony Lake	State Recreation Area	492	492	ND
Harris M. Baukol	State Conservation Area	1,242	1,242	ND
Hille	State Conservation Area	3,274	3,274	ND
Hofflund	State Conservation Area	1,541	1,541	ND
Horse Creek Wildlife Habitat Management Area	State Conservation Area	294	3	WY
Idaho Department of Lands	State Resource Management Area	2,428,996	7,897	ID
Iles Grove	State Conservation Area	1,428	385	CO
Indian Creek	State Conservation Area	1,160	1,160	ND
Indian Run	State Conservation Area	2,078	606	CO
Isabel Lake	State Recreation Area	639	639	SD
James M. John	State Conservation Area	8,345	8,345	CO
Jeffway Gulch	State Recreation Area	665	665	CO
Jimmy Dunn Gulch	State Recreation Area	5,577	1,314	CO
Johnny Moore Mountain	State Recreation Area	7,568	4,964	CO
Johnson Reservoir Fishing Access Site	State Recreation Area	30	30	MT
Killdeer Mountains	State Conservation Area	6,989	6,989	ND
Kinsey Bridge Fishing Access Site	State Recreation Area	28	28	MT
Lake Dorothea	State Conservation Area	5,151	5,151	CO
Lake John	State Conservation Area	918	386	CO
Lake Metigoshe State Park	State Park	1,691	1,691	ND
Lake Sakakawea State Park	State Park	733	733	ND
Lathrop	State Park	1,566	1,566	CO
Leaf Mountain	State Conservation Area	161	161	ND
Lewis And Clark State Park	State Park	607	607	ND
Lewis And Clark Wildlife Management Area	State Conservation Area	12,359	12,237	ND
Little Missouri State Park	State Park	4,664	4,664	ND
Lost Bridge	State Conservation Area	465	465	ND

Name	Type	Total State Acres	Total Acres Within Region	State
Lost Creek State Park	State Recreation Area	1,074	1,073	UT
Maynard Gulch	State Recreation Area	715	7	CO
Mcgregor Dam	State Conservation Area	187	187	ND
Medicine Rocks State Park	State Park	323	323	MT
Missouri Breaks	State Conservation Area	479	479	ND
Montana Fish, Wildlife and Parks	State Park	61,751	296	MT
Montana State Trust Lands	State Resource Management Area	4,880,270	897,067	MT
Moody Creek	State Recreation Area	666	644	CO
Morton County	State Conservation Area	638	496	ND
Mount Jumbo Wildlife Management Area	State Conservation Area	119	16	MT
Nd State Lands - Surface Ownership	State Resource Management Area	712,367	408,933	ND
Neu	State Conservation Area	487	487	ND
Newlin Creek	State Recreation Area	614	2	CO
Nickelson	State Conservation Area	159	159	ND
North Beulah Mine	State Conservation Area	1,815	1,815	ND
North Dakota State Forest Service Land	State Resource Management Area	14,517	6,228	ND
Ochs	State Conservation Area	971	971	ND
Overlook	State Conservation Area	29	29	ND
Owen Lake	State Recreation Area	277	277	SD
Palermo	State Conservation Area	40	40	ND
Pirogue Island State Park	State Park	227	227	MT
Rabb Lake	State Recreation Area	21	21	ND
Red Rim-Daley Wildlife Habitat Management Area	State Conservation Area	25,957	2,089	WY
Ridge Road	State Recreation Area	320	139	CO
Riverdale	State Conservation Area	2,779	2,779	ND
Roche Jaune Fishing Access Site	State Recreation Area	2	2	MT
Rosebud Battlefield State Park	State Park	3,109	3,109	MT
Saddle Mountain	State Resource Management Area	3,641	3,138	CO
Sage Creek	State Recreation Area	637	637	CO
Sakariason	State Recreation Area	553	465	CO
Salt Flats	State Conservation Area	320	320	ND
Sand Creek	State Recreation Area	5,535	675	CO
School Section	State Conservation Area	253	253	ND
Schuester	State Conservation Area	80	80	ND
Schultz Canyon	State Recreation Area	967	967	CO
Sd Public Land	State Resource Management Area	595,248	48,066	SD
Seminole Reservoir State Park	State Park	34,202	119	WY
Seven Sisters Wildlife Management Area	State Conservation Area	553	553	MT
Shadehill Reservoir Game Production Area	State Recreation Area	6,206	1,190	SD
Sheridan Research & Extension Center	State Other or Unknown	164	164	WY
Short Creek	State Conservation Area	132	132	ND

Name	Type	Total State Acres	Total Acres Within Region	State
Sidney Bridge Fishing Access Site	State Recreation Area	2	2	MT
Silver Run Wildlife Management Area	State Conservation Area	654	146	MT
Slb Land	State Resource Management Area	1,977,870	40,458	CO
Sleeping Giant	State Recreation Area	407	329	CO
Smishek Lake	State Recreation Area	159	159	ND
Snowden Bridge Fishing Access Site	State Recreation Area	11	11	MT
South Beach	State Park	149	149	CO
South Sandstone Fishing Access Site	State Recreation Area	359	359	MT
Spanish Peaks	State Conservation Area	6,415	6,359	CO
Speck Davis Pond	State Recreation Area	160	160	ND
Spotted Dog Wildlife Management Area	State Conservation Area	27,467	3,532	MT
Spring Creek	State Conservation Area	405	405	ND
State Forest	State Park	71,376	3,589	CO
State Lands	State Resource Management Area	229,003	9,135	SD
State Lands Wyoming	State Resource Management Area	406,789	366,595	WY
State Trust Land	State Resource Management Area	51	12	CO
State Trust Land	State Resource Management Area	8,875,740	2,150	NM
Steamboat Lake	State Park	2,663	87	CO
Stokes Gulch	State Recreation Area	1,910	1,167	CO
Storm Creek	State Conservation Area	459	459	ND
Sugarite Canyon State Park	State Park	4,115	4,115	NM
Sully Creek State Recreation Area	State Recreation Area	76	76	ND
Sunshine Wildlife Habitat Management Area	State Conservation Area	4,620	216	WY
Sweetbriar Lake	State Recreation Area	875	875	ND
Tex Creek Wildlife Management Area	State Conservation Area	10,700	1,538	ID
Thompson Lake	State Recreation Area	802	802	ND
Tobacco Garden	State Resource Management Area	415	415	ND
Tongue River Reservoir State Park	State Park	499	499	MT
Trail End	State Park	3	3	WY
Trenton	State Conservation Area	6,452	6,452	ND
Trinidad Lake	State Park	352	352	CO
Turtle Mountain	State Conservation Area	596	437	ND
Twelve Mile Dam Fishing Access Site	State Recreation Area	23	23	MT
Twenty Mile	State Recreation Area	1,656	1,656	CO
Twenty Mile State Land	State Resource Management Area	14,457	14,457	CO

Name	Type	Total State Acres	Total Acres Within Region	State
Vanhook	State Conservation Area	2,390	2,390	ND
Vobejda Dam	State Conservation Area	106	106	SD
Wakopa	State Conservation Area	7,039	7,039	ND
War Dance Island Wildlife Management Area	State Conservation Area	13	13	MT
White Earth Valley	State Conservation Area	269	269	ND
Whitetail Reservoir Fishing Access Site	State Recreation Area	4	4	MT
Wilbur Boldt	State Conservation Area	158	158	ND
Willow Lake	State Recreation Area	602	602	ND
Wilton Mine	State Conservation Area	286	286	ND
Wolf Creek-mallard Wildlife Management Area	State Conservation Area	5,114	5,114	ND
Woodhouse	State Conservation Area	836	834	CO
Yampa River	State Conservation Area	866	866	CO
Yampa River	State Park	666	252	CO
Yampa River	State Recreation Area	2,698	2,509	CO
Total	-	20,683,503	2,007,600	-

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-26.
Miles of Wild and Scenic Rivers - Northern Rocky Mountains and Great Plains

Name	Type	Total Miles	State
Flathead	Wild, Scenic and Recreational River	9.76	MT
Snake River Headwaters	Wild, Scenic and Recreational River	24.20	WY
-	Total	33.96	-

Coordinate system used: WGS 1984

Sources: ESRI, 2016

Table I-27.
2011 U.S. FWS Outdoor Recreation - Northern Rocky Mountains and Great Plains

State	Anglers Total Participants¹ (1,000s)	Anglers Total Expenditures (1,000s)	Hunters Total Participants² (1,000s)	Hunters Total Expenditures (1,000s)	Wildlife- watching Total Participants³ (1,000s)	Wildlife- watching Total Expenditures (1,000s)
CO*	767	\$648,563	259	\$460,914	1,782	\$1,432,084
MT	267	\$339,383	150	\$627,298	402	\$400,797
ND	1,394	\$93,729	753	\$129,114	3,227	\$22,913
WY	303	\$463,814	140	\$288,736	518	\$350,256
United States, total	33,112	\$41,788,936	13,674	\$33,702,017	71,776	\$54,890,272

*CO crosses more than one region. This data is for the entire state.

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Table I – 28.
Acreages of National Parks and Other NPS Lands - Northwest

Name	Type	Total NPS Acres	Total Acres Within Region	State
Denali National Park	National Park	4,680,750	32,958	AK
Total		4,680,750	32,958	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-29.
2011 U.S. FWS Outdoor Recreation – Northwest

State	Anglers		Hunters		Wildlife-watching Participants	
	Total Participants ¹ (1,000s)	Total Expenditures (1,000s)	Total Participants ² (1,000s)	Total Expenditures (1,000s)	Total Participants ³ (1,000s)	Total Expenditures (1,000s)
AK	538	\$639,356	125	\$424,803	640	\$2,058,355
United States, total	33,112	\$41,788,936	13,674	\$33,702,017	71,776	\$54,890,272

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Table I – 30.
Acreages of State Parks and Other State Owned Lands - Northwest

Name	Type	Total State Acres	Total Acres Within Region	State
Matanuska Valley Moose Range	State Resource Management Area	110,813	27,834	AK
Nelchina Public Use Area	State Recreation Area	2,322,390	9,719	AK
Total		2,433,203	37,553	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

**Table I-31.
 Acreages of National Forests - Western Interior**

Name	Total Acres	Total Acres Within Coal Region	State
Mark Twain National Forest	1,505,500	6,877	MO
Ouachita National Forest	1,432,420	37,516	AR
Ozark-St. Francis National Forest	1,160,130	4,141	AR
Total	4,098,050	48,535	

Coordinate system used: North America Albers Equal Area Conic
 Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I – 32.
Acreages of National Parks and Other NPS Lands - Western Interior

Name	Type	Total NPS Acres	Total Acres Within Region	State
Fort Scott National Historic Site	Historic or Cultural Area	17	17	KS
Fort Smith National Historic Site	Historic or Cultural Area	32	32	AR
Harry S Truman National Historic Site	Historic or Cultural Area	12	12	MO
Total		61	61	

Coordinate system used: North America Albers Equal Area Conic

Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-33.
Acreages of State Parks and Other State Owned Lands - Western Interior

Name	Type	Total State Acres	Total Acres Within Region	State
Access	State Recreation Area	2	2	IA
Adair Wildlife Management Area	State Conservation Area	338	338	IA
Agency Conservation Area	State Conservation Area	93	93	MO
Albright River Access	State Recreation Area	11	11	IA
Amarugia Highlands Conservation Area	State Conservation Area	1,039	1,039	MO
Anderson Wildlife Management Area	State Conservation Area	3	3	IA
Appleton City Radio Facility	State Recreation Area	2	2	MO
Arbor Lodge State Historical Park	State Historic or Cultural Area	56	44	NE
Archangel Access	State Recreation Area	6	6	MO
Arrowhead State Park	State Park	2,385	2,385	OK
Aspinwall Bend Wildlife Management Area	State Conservation Area	147	147	NE
Atchison State Fishing Lake And Wildlife Area	State Recreation Area	246	246	KS
Atlanta Conservation Area	State Conservation Area	2,381	2,381	MO
August A. Busch Jr. Mem Wetlands At Four Rivers Conservation Area	State Conservation Area	12,603	12,603	MO
Badger Creek State Recreation Area	State Recreation Area	1,154	1,154	IA
Bagley Woods Conservation Area	State Conservation Area	21	21	MO
Balltown Access	State Recreation Area	180	180	MO
Banner Flats Wildlife Management Area	State Conservation Area	1,200	1,200	IA
Banner Lakes At Summerset State Park	State Park	218	218	IA
Barkley State Forest	State Resource Management Area	40	40	IA
Battle Of Athens State Historic Site	State Historic or Cultural Area	340	159	MO
Battle Of Lexington State Historic Site	State Historic or Cultural Area	112	2	MO
Bauer Slough Wildlife Management Area	State Conservation Area	79	79	IA
Bays Branch Wildlife Area	State Conservation Area	246	246	IA
Bays Branch Wildlife Management Area	State Conservation Area	589	589	IA
Beaver Lake Wildlife Management Area	State Conservation Area	305	305	IA
Bee Creek Conservation Area	State Conservation Area	113	113	MO
Bee Hollow Conservation Area	State Conservation Area	255	255	MO
Belcher Branch Lake Conservation Area	State Conservation Area	395	395	MO
Bennitt (Rudolf) Conservation Area	State Conservation Area	3,565	3,565	MO
Bethel Prairie Conservation Area	State Conservation Area	259	157	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Big Creek Conservation Area	State Conservation Area	1,055	1,055	MO
Big Creek State Park	State Park	128	128	IA
Big Creek Wildlife Management Area	State Conservation Area	1,396	1,396	IA
Big Drywood Creek Conservation Area	State Conservation Area	15	15	MO
Big Hill Wildlife Area	State Conservation Area	1,254	1,254	KS
Big Lake State Park	State Park	398	398	MO
Big Wall Lake Wildlife Management Area	State Conservation Area	900	269	IA
Bilby Ranch Lake Conservation Area	State Conservation Area	5,089	5,089	MO
Bittern Bottoms Conservation Area	State Conservation Area	63	63	MO
Blind Pony Lake Conservation Area	State Conservation Area	2,197	2,197	MO
Blue Jay Trail Access	State Recreation Area	32	32	MO
Bluff Creek Ohv Area	State Recreation Area	351	351	IA
Bluff Springs Conservation Area	State Conservation Area	415	3	MO
Bluffwoods Conservation Area	State Conservation Area	2,305	2,305	MO
Bob Pyle Marsh Wildlife Management Area	State Conservation Area	79	79	IA
Bob White State Park	State Park	386	386	IA
Bolinger (Bert And Sandra) Conservation Area	State Conservation Area	71	71	MO
Bonanza Conservation Area	State Conservation Area	1,864	1,864	MO
Boone Forks Wildlife Management Area	State Conservation Area	4,014	4,014	IA
Bosworth Access	State Recreation Area	12	12	MO
Bourbon State Fishing Lake	State Recreation Area	135	135	KS
Bourbon Wildlife Area	State Conservation Area	246	246	KS
Brickyard Hill Natural Area	State Conservation Area	2,296	2,296	MO
Bridger (Jim) Urban Conservation Area	State Conservation Area	320	320	MO
Bridgewater Access	State Recreation Area	11	11	MO
Bristow Conservation Area	State Conservation Area	158	158	MO
Broadhead Woods Wildlife Management Area	State Conservation Area	44	44	IA
Brookfield Maintenance Center	State Conservation Area	5	5	MO
Brown (Bob) Conservation Area	State Conservation Area	3,364	3,364	MO
Brown (Tom) Access	State Recreation Area	10	10	MO
Brown State Fishing Lake	State Recreation Area	61	61	KS
Brown State Fishing Lake And Wildlife Area	State Recreation Area	135	135	KS
Brown's Slough Wildlife Management Area	State Conservation Area	155	155	IA
Brownville State Recreation Area	State Recreation Area	24	24	NE
Brunswick Access	State Recreation Area	2	2	MO
Brushy Creek St. Recreation Area	State Recreation Area	6,253	6,253	IA
Brushy Creek State Preserve	State Conservation Area	228	228	IA
Buffalo Wallow Prairie Conservation Area	State Conservation Area	1,144	1,144	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Bunch Hollow Conservation Area	State Conservation Area	3,304	3,304	MO
Burr Oak Woods Conservation Area	State Conservation Area	1,036	1,036	MO
Burr Oak Woods Natural Area	State Conservation Area	31	31	MO
Burton Bridge Access	State Recreation Area	18	18	MO
Bushwacker Lake Conservation Area	State Conservation Area	4,817	4,817	MO
Candy Creek Wildlife Management Area	State Conservation Area	621	606	OK
Cephas Ford Access	State Recreation Area	101	101	MO
Chapel View Prairie Conservation Area	State Conservation Area	384	384	MO
Chenoweth Access	State Recreation Area	3	3	IA
Cherokee Prairie Natural Area	State Conservation Area	565	565	AR
Chichaqua Bottoms Wildlife Management Area	State Conservation Area	1,863	1,863	IA
Chloe Lowry Marsh Natural Area	State Conservation Area	114	114	MO
Christie (James D.) Conservation Area	State Conservation Area	34	34	MO
Clark Conservation Area	State Conservation Area	740	415	MO
Clear Creek Conservation Area	State Conservation Area	980	980	MO
Cliffland Access	State Recreation Area	25	25	IA
Clinton Office	State Other or Unknown	261	261	MO
CLO Lands	State Resource Management Area	3,238,970	335,342	OK
Colfax Wildlife Management Area	State Conservation Area	1,196	1,196	IA
College Mound Radio Facility	State Recreation Area	3	3	MO
Colo Wetland Wildlife Management Area	State Conservation Area	405	405	IA
Colyn Wildlife Area	State Conservation Area	224	224	IA
Colyn Wildlife Management Area	State Conservation Area	630	630	IA
Comstock Prairie Conservation Area	State Conservation Area	313	313	MO
Confederate Memorial State Historic Site	State Historic or Cultural Area	134	134	MO
Cook Access	State Recreation Area	9	5	MO
Cooley Lake Conservation Area	State Conservation Area	1,338	1,338	MO
Copan Wildlife Management Area	State Conservation Area	23,457	2,211	OK
Copeland Bend Wildlife Management Area	State Conservation Area	446	301	IA
Cordgrass Bottoms Natural Area	State Conservation Area	76	76	MO
Cottonwood Pits Wildlife Management Area	State Conservation Area	55	55	IA
Courtney Mccammond Access	State Recreation Area	7	7	IA
Crawford State Park	State Park	365	365	KS
Crawford State Park Lake	State Park	108	108	KS
Crooked River Conservation Area	State Conservation Area	1,412	1,412	MO
Crowder State Park	State Park	2,051	2,051	MO
Crusader'S Access	State Recreation Area	3	3	MO
Dark Hollow Natural Area	State Conservation Area	316	316	MO
Dave Rock Conservation Area	State Conservation Area	87	87	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Dave Rock Natural Area	State Conservation Area	43	43	MO
Davis (Lester R.) Memorial Forest	State Historic or Cultural Area	79	79	MO
Davis (The Eva N.) Memorial Conservation Area	State Conservation Area	30	30	MO
De Voss-foster Wildlife Management Area	State Conservation Area	330	330	IA
Deception Hollow Wildlife Management Area	State Conservation Area	41	41	IA
Dekalb Wildlife Management Area	State Conservation Area	2,169	2,169	IA
Denton (Andy) Access	State Recreation Area	123	123	MO
Deroin Bend Conservation Area	State Conservation Area	1,095	1,095	MO
Dickcissel Recreation Area County Park	State Recreation Area	43	43	IA
Diggs (Marshall I.) Conservation Area	State Conservation Area	1,032	1,032	MO
Dodd Access	State Recreation Area	41	41	MO
Dog Iron Ranch & Will Rogers Birthplace	State Park	574	574	OK
Dolliver Memorial State Park	State Park	614	614	IA
Doolittle Prairie St Preserve	State Conservation Area	27	27	IA
Dorris Creek Prairie Conservation Area	State Conservation Area	158	102	MO
Dorsett Hill Prairie Conservation Area	State Conservation Area	76	76	MO
Douglas Branch Conservation Area	State Conservation Area	522	522	MO
Douglas State Fishing Lake And Wildlife Area	State Recreation Area	764	764	KS
Dove Flats Wildlife Area	State Conservation Area	416	210	KS
Drywood Conservation Area	State Conservation Area	98	98	MO
Dupree (Arthur) Memorial Conservation Area	State Conservation Area	214	214	MO
Earlham Bridge Access	State Recreation Area	10	10	IA
East Drywood Creek Natural Area	State Conservation Area	45	45	MO
El Dorado Springs Office	State Conservation Area	2	2	MO
Elam Bend Conservation Area	State Conservation Area	1,498	1,498	MO
Eldon Wildlife Management Area	State Conservation Area	1,290	1,290	IA
Elk City State Park	State Park	599	599	KS
Elrod Mill Access	State Recreation Area	59	59	MO
Eufaula Wildlife Management Area	State Conservation Area	23,829	21,160	OK
Fallen Rock St Preserve	State Conservation Area	105	105	IA
Fallen Rock Wildlife Management Area	State Conservation Area	11	11	IA
Ferguson-Herold Conservation Area	State Conservation Area	176	176	MO
Fewel (Connor O.) Conservation Area	State Conservation Area	324	324	MO
Finger Lakes State Park	State Park	1,059	1,059	MO
Finn Pond Wildlife Management	State Conservation Area	53	53	IA

Name	Type	Total State Acres	Total Acres Within Region	State
Area				
Flight Lake Conservation Area	State Conservation Area	154	154	MO
Floyd (Nannie B.) Memorial Conservation Area	State Conservation Area	19	19	MO
Fogle Lake	State Recreation Area	37	37	IA
Fogle Lake Wildlife Management Area	State Conservation Area	316	316	IA
Fort Gibson Public Hunting Area & Waterfowl Refuge Portion	State Conservation Area	20,575	593	OK
Fort Richardson State Park & Historic Site	State Historic or Cultural Area	382	382	TX
Fountain Grove Conservation Area	State Conservation Area	7,492	7,492	MO
Fox Hills Wildlife Management Area	State Conservation Area	1,344	1,344	IA
Fox River Wildlife Management Area	State Conservation Area	739	739	IA
Fox Valley Lake Conservation Area	State Conservation Area	2,144	2,144	MO
Foxglove Conservation Area	State Conservation Area	56	56	MO
French Estate Wildlife Management Area	State Conservation Area	81	81	IA
Gallatin Conservation Area	State Conservation Area	682	682	MO
Gama Grass Prairie Conservation Area	State Conservation Area	78	78	MO
Gay Feather Prairie Conservation Area	State Conservation Area	41	18	MO
Gen. John J. Pershing Boyhood Home State Historic Site	State Historic or Cultural Area	2	2	MO
Geode State Park	State Park	1,654	112	IA
Gladys Black Bald Eagle Refuge	State Conservation Area	35	35	IA
Goldenrod Access	State Recreation Area	2	2	IA
Goose Lake Wildlife Management Area	State Conservation Area	2,043	465	IA
Gordon Slough Wildlife Management Area	State Conservation Area	107	107	IA
Gorman (The Anita B) Conservation Discovery Center	State Other or Unknown	10	10	MO
Grand Trace Conservation Area	State Conservation Area	1,523	1,523	MO
Grant City Radio Facility	State Recreation Area	2	2	MO
Green (Charles W.) Conservation Area	State Conservation Area	329	329	MO
Green Access	State Recreation Area	5	5	MO
Green Valley State Park	State Park	1,124	1,124	IA
Griffith Memorial Conservation Area	State Conservation Area	135	135	MO
Grove (Cecil G.) Memorial Conservation Area	State Conservation Area	39	39	MO
Guthrie Radio Facility	State Recreation Area	3	3	MO
H.E. Flanagan Prairie Natural Area	State Conservation Area	257	257	AR
Hadorn Bridge Access	State Recreation Area	102	102	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Hanging Rock Wildlife Management Area	State Conservation Area	353	353	IA
Happy Holler Conservation Area	State Conservation Area	2,286	2,286	MO
Hardfish Access	State Recreation Area	12	12	IA
Hardin Conservation Area	State Conservation Area	242	242	MO
Harmony Mission Lake Conservation Area	State Conservation Area	1,067	1,067	MO
Harrier Marsh Wildlife Management Area	State Conservation Area	35	35	IA
Harry S Truman Birthplace State Historic Site	State Historic or Cultural Area	3	3	MO
Hartell (Ronald And Maude) Conservation Area	State Conservation Area	113	113	MO
Harter (James R.) Conservation Area	State Conservation Area	454	454	MO
Hartwell Conservation Area	State Conservation Area	163	163	MO
Hawthorn Lake Wildlife Management Area	State Conservation Area	1,732	1,732	IA
Hazel Hill Lake	State Recreation Area	504	504	MO
Heath (Charlie) Memorial Conservation Area	State Conservation Area	1,650	1,650	MO
Helton (The Wayne) Mem Wa	State Conservation Area	2,540	2,540	MO
Helton Prairie Natural Area	State Conservation Area	29	29	MO
Hendrickson Marsh Wildlife Management Area	State Conservation Area	775	775	IA
Hidden Hollow Conservation Area	State Conservation Area	1,332	1,332	MO
Highway 44 Access	State Recreation Area	11	11	IA
Hollister Wildlife Area	State Conservation Area	2,452	2,452	KS
Holmes Bend Access	State Recreation Area	83	83	MO
Holst St. Forest	State Resource Management Area	327	327	IA
Honey Creek Conservation Area	State Conservation Area	1,512	1,437	MO
Honey Creek State Park	State Park	364	364	IA
Hooper Wildlife Management Area	State Conservation Area	483	483	IA
Hoot Owl Bend Access	State Recreation Area	7	7	MO
Horton Bottoms Natural Area	State Conservation Area	1,357	1,357	MO
Hubert Conservation Area	State Conservation Area	65	65	MO
Hull Wildlife Management Area	State Conservation Area	568	568	IA
Humphrey Access	State Recreation Area	16	16	MO
Hungry Mother Conservation Area	State Conservation Area	270	270	MO
Hunkah Prairie Natural Area	State Conservation Area	138	138	MO
Hunnewell Lake Conservation Area	State Conservation Area	1,915	1,548	MO
Indian Cave State Park	State Park	3,324	3,324	NE
Jacks (Maude Shores) Conservation Area	State Conservation Area	21	21	MO
James Bridge Access	State Recreation Area	22	22	MO
James Collins Wildlife Management Area	State Conservation Area	21,498	21,498	OK

Name	Type	Total State Acres	Total Acres Within Region	State
Jamesport Conservation Land	State Conservation Area	142	142	MO
Jentell Brees Access	State Recreation Area	31	31	MO
Kalsow Prairie St Preserve	State Conservation Area	159	159	IA
Kansas Bend	State Recreation Area	1,062	1,062	NE
Karlyle Woods	State Conservation Area	37	37	KS
Kearn (J. N. Turkey) Memorial Wildlife Area	State Conservation Area	1,699	1,556	MO
Keever Bridge Access	State Recreation Area	7	7	MO
Kellerton Bird Conservation Area Wildlife Management Area	State Conservation Area	1,121	1,121	IA
Kellogg Wildlife Management Area	State Conservation Area	70	70	IA
Kenzora (Anthony And Beatrice) Conservation Area	State Conservation Area	789	789	MO
King Lake Conservation Area	State Conservation Area	1,292	1,292	MO
Kingsville Radio Facility	State Recreation Area	2	2	MO
Kish-ke-kosh Prairie St Preserve	State Conservation Area	15	15	IA
Kneib (W. V. And A. C.) Memorial Conservation Area	State Conservation Area	35	35	MO
Knob Noster State Park	State Park	3,618	3,618	MO
La Cygne Wildlife Area	State Conservation Area	1,965	1,965	KS
La Hart Wildlife Management Area	State Conservation Area	556	556	IA
Lacey-keosauqua State Park	State Park	1,517	1,491	IA
Ladue Bottoms Conservation Area	State Conservation Area	347	347	MO
Lake Ahquabi State Park	State Park	853	853	IA
Lake Anita State Park	State Park	1,046	597	IA
Lake City Range	State Recreation Area	88	88	MO
Lake Dardanelle State Park	State Park	216	216	AR
Lake Eufula State Park	State Park	3,241	3,241	OK
Lake Icaria Wildlife Area	State Conservation Area	227	227	IA
Lake Icaria Wildlife Management Area	State Conservation Area	902	902	IA
Lake Keomah State Park	State Park	376	375	IA
Lake Mineral Wells State Park	State Park	3,075	3,075	TX
Lake Mineral Wells State Trailway	State Recreation Area	49	24	TX
Lake Of Three Fires State Park	State Park	1,092	1,092	IA
Lake Paho Conservation Area	State Conservation Area	2,361	2,361	MO
Lake Sugema Wildlife Area	State Conservation Area	391	391	IA
Lake Sugema Wildlife Management Area	State Conservation Area	1,807	1,807	IA
Lake Wapello State Park	State Park	1,310	1,310	IA
Lakin Slough Wildlife Management Area	State Conservation Area	317	317	IA
Langdon Bend Access	State Recreation Area	12	12	MO
Lathrop Bridge Access	State Recreation Area	27	27	MO
Leavenworth State Fishing Lake	State Recreation Area	294	294	KS
Leavenworth Wildlife Area	State Conservation Area	209	209	KS
Ledges State Park	State Park	1,191	1,191	IA
Lennon Mills Historical Site	State Historic or Cultural	18	18	IA

Name	Type	Total State Acres	Total Acres Within Region	State
	Area			
Lennon Mills Wildlife Management Area	State Conservation Area	1,069	1,069	IA
Lewis And Clark State Park	State Park	206	206	MO
Liberty Bend Conservation Area	State Conservation Area	134	134	MO
Lick Creek Conservation Area	State Conservation Area	295	295	MO
Limpp Conservation Land	State Conservation Area	69	69	MO
Linscomb Wildlife Area	State Conservation Area	1,917	219	MO
Lipton Conservation Area	State Conservation Area	33	33	MO
Little Bean Marsh Conservation Area	State Conservation Area	437	437	MO
Little Compton Lake Conservation Area	State Conservation Area	344	344	MO
Little Dixie Lake Conservation Area	State Conservation Area	746	743	MO
Little River	State Conservation Area	281	281	IA
Little Tarkio Prairie Natural Area	State Conservation Area	127	127	MO
Little Wall Lake Wildlife Management Area	State Conservation Area	402	402	IA
Lizard Creek Wildlife Management Area	State Conservation Area	455	455	IA
Locust Creek Conservation Area	State Conservation Area	3,469	3,469	MO
Locust Creek Covered Bridge State Historic Site	State Historic or Cultural Area	44	44	MO
Locust Creek Natural Area	State Conservation Area	283	283	MO
Logan (Caroline Sheridan) Memorial Wildlife Area	State Conservation Area	41	41	MO
Lone Jack Lake Conservation Area	State Conservation Area	292	292	MO
Long Branch State Park	State Park	1,584	1,584	MO
Long Wildlife Refuge	State Conservation Area	45	45	IA
Lotts Creek Conservation Area	State Conservation Area	19	19	MO
Louisburg-middlecreek State Fishing Lake	State Recreation Area	237	237	KS
Louisburg-middlecreek Wildlife Management Area & Fishing Lake	State Conservation Area	401	401	KS
Lowe (William) Conservation Area	State Conservation Area	133	133	MO
Maitland Radio Facility	State Recreation Area	2	2	MO
Malta Bend Conservation Land	State Conservation Area	24	24	MO
Maple Leaf Lake Conservation Area	State Conservation Area	826	826	MO
Maple Woods Natural Area	State Conservation Area	39	39	MO
Marais Des Cygne Wildlife Area	State Conservation Area	7,950	7,950	KS
Margo Frankel Woods State Park	State Park	133	133	IA
Margrave Wildlife Management Area	State Conservation Area	105	105	NE
Marlowe Ray Wildlife Management Area	State Conservation Area	207	207	IA
Marshall (Dr. Frederick) Conservation Area	State Conservation Area	177	177	MO

Name	Type	Total State Acres	Total Acres Within Region	State
McClellan Kerr Wildlife Management Area	State Conservation Area	10,081	3,838	OK
Mcclure Conservation Area	State Conservation Area	240	240	MO
Mccord Pond Wildlife Management Area	State Conservation Area	112	112	IA
Mccoy Wildlife Management Area	State Conservation Area	356	356	IA
Mcmahon Access	State Recreation Area	290	290	IA
Meadow Lake Wildlife Management Area	State Conservation Area	317	317	IA
Miami Lake Wildlife Management Area	State Conservation Area	834	834	IA
Miami State Fishing Lake And Wildlife Area	State Recreation Area	278	278	KS
Middle Raccoon River Protected Water Areas	State Conservation Area	528	528	IA
Mined Land Wildlife Area	State Conservation Area	14,854	14,797	KS
Mineral Hills Conservation Area	State Conservation Area	1,989	1,989	MO
Mitchell Marsh Wildlife Management Area	State Conservation Area	120	120	IA
Mo Western State College Skeet Range	State Other or Unknown	12	12	MO
Mockingbird Hill Access	State Recreation Area	84	84	MO
Monegaw Prairie Conservation Area	State Conservation Area	89	89	MO
Moniteau Creek Conservation Area	State Conservation Area	751	751	MO
Monkey Mountain Conservation Area	State Conservation Area	934	934	MO
Mo-No-I Prairie Conservation Area	State Conservation Area	302	302	MO
Montgomery State Fishing Lake	State Recreation Area	385	385	KS
Montgomery Woods Conservation Area	State Conservation Area	349	349	MO
Montrose Conservation Area	State Conservation Area	67	67	MO
Morris Prairie Conservation Area	State Conservation Area	120	120	MO
Morris Prairie Natural Area	State Conservation Area	45	45	MO
Morton Bridge Access	State Recreation Area	21	21	MO
Mt. Ayr Fish Hatchery	State Other or Unknown	22	22	IA
Mt. Ayr Wildlife Management Area	State Conservation Area	1,448	1,448	IA
Mullanix Ford Access	State Recreation Area	14	14	MO
Mussel Fork Conservation Area	State Conservation Area	2,444	2,444	MO
Neeper Conservation Area	State Conservation Area	232	232	MO
Neosho State Fishing Lake	State Recreation Area	227	227	KS
Neosho Wildlife Area	State Conservation Area	2,999	2,999	KS
Neosho Wildlife Area - South Unit	State Conservation Area	267	267	KS
Newwildlife Management Area Memorial Access	State Recreation Area	9	9	MO
Niawathe Prairie Conservation Area	State Conservation Area	16	16	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Nine Eagles State Park	State Park	1,166	1,166	IA
Nodaway Island Access	State Recreation Area	7	7	MO
Nodaway Valley Conservation Area	State Conservation Area	3,913	3,913	MO
Northcutt (C. L.) Memorial Conservation Area	State Conservation Area	81	81	MO
Northeast Regional Office	State Conservation Area	29	29	MO
Northwest Regional Office	State Conservation Area	8	8	MO
O.s. Wing Wildlife Management Area	State Conservation Area	138	138	IA
Odessa Radio Facility	State Recreation Area	5	5	MO
Okmulgee Game Management Area	State Conservation Area	5,945	5,945	OK
Okmulgee Public Hunting Areaa	State Conservation Area	3,497	3,497	OK
Okmulgee/dripping State Park	State Park	523	523	OK
Old Town Access	State Recreation Area	296	296	MO
Oologah Wildlife Management Area	State Conservation Area	18,122	18,122	OK
Osage Prairie Conservation Area	State Conservation Area	892	892	MO
Osage Prairie Natural Area	State Conservation Area	670	670	MO
Osage State Fishing Lake	State Recreation Area	512	6	KS
Osage Village State Historic Site	State Historic or Cultural Area	108	108	MO
Pa Sole Prairie Conservation Area	State Conservation Area	238	238	MO
Palo Pinto Mountains State Park	State Park	3,333	3,333	TX
Pammel State Park	State Park	237	237	IA
Paris Access	State Recreation Area	10	10	MO
Park (Guy B.) Conservation Area	State Conservation Area	374	374	MO
Parma Woods Range And Training Center	State Other or Unknown	200	200	MO
Paul Errington Marsh Wildlife Management Area	State Conservation Area	200	200	IA
Pawnee Prairie Natural Area	State Conservation Area	473	473	MO
Payne Landing Access	State Recreation Area	5	5	MO
Peabody Conservation Area	State Conservation Area	290	290	MO
Pella Wildlife Management Area	State Conservation Area	285	285	IA
Perry (R. And M.) Memorial Conservation Area	State Conservation Area	4,203	2,982	MO
Perry Access Wildlife Management Area	State Conservation Area	3	3	IA
Perry Wildlife Management Area	State Conservation Area	20	20	IA
Pershing State Park	State Park	5,033	5,033	MO
Peru Bottoms Wildlife Management Area	State Conservation Area	842	842	NE
Pharis (Charles Fox) Memorial Woodlot	State Conservation Area	21	21	MO
Pigeon Hill Conservation Area	State Conservation Area	460	460	MO
Pigg'S Landing Access	State Recreation Area	16	16	MO
Pilot Mound St. Forest	State Resource Management Area	34	34	IA
Pin Oak Slough Natural Area	State Conservation Area	4	4	MO
Pine Lake State Park	State Park	634	634	IA

Name	Type	Total State Acres	Total Acres Within Region	State
Platte Falls Conservation Area	State Conservation Area	2,535	2,535	MO
Pleasant Valley Wildlife Management Area	State Conservation Area	140	140	IA
Poague (Haysler A.) Conservation Area	State Conservation Area	889	889	MO
Polk City Refuge	State Conservation Area	527	527	IA
Pony Express Lake Conservation Area	State Conservation Area	3,342	3,342	MO
Poosey Conservation Area	State Conservation Area	5,798	5,798	MO
Possum Kingdom State Park	State Park	1,542	1,542	TX
Prairie Fork Conservation Area	State Conservation Area	716	716	MO
Prairie State Park	State Park	3,044	3,044	MO
Princeton Radio Facility	State Recreation Area	2	2	MO
Punkin Center Access	State Recreation Area	54	54	MO
Purdin Radio Facility	State Recreation Area	2	2	MO
Rathbun Wildlife Area	State Conservation Area	2,994	2,994	IA
Rathbun Wildlife Management Area	State Conservation Area	82	82	IA
Reading Woods Natural Area	State Conservation Area	36	36	KS
Rebel'S Cove Conservation Area	State Conservation Area	4,174	4,174	MO
Red Haw State Park	State Park	420	420	IA
Red Haw Wildlife Management Area	State Conservation Area	234	234	IA
Red Rock - Usacoe	State Conservation Area	2,432	2,432	IA
Red Rock Wildlife Management Area	State Conservation Area	1,356	1,356	IA
Redman Conservation Area	State Conservation Area	122	122	MO
Redwing Prairie Conservation Area	State Conservation Area	166	166	MO
Reed (James A.) Memorial Wildlife Area	State Conservation Area	3,094	3,094	MO
Regal Prairie Natural Area	State Conservation Area	232	232	MO
Ringgold Access	State Recreation Area	26	26	MO
Ringgold Wildlife Management Area	State Conservation Area	1,937	1,937	IA
Ripgut Prairie Natural Area	State Conservation Area	280	280	MO
Rippey Access	State Recreation Area	30	30	IA
Risch (E. B. And M. O.) Conservation Area	State Conservation Area	173	173	MO
Riverbreaks Conservation Area	State Conservation Area	2,276	2,276	MO
Riverview State Recreation Area	State Recreation Area	31	31	NE
Robb Prairie	State Conservation Area	6	6	KS
Robbers Cave State Resort Park	State Recreation Area	7,800	7,800	OK
Robbers Cave Wildlife Management Area	State Conservation Area	6,192	6,192	OK
Rochester Falls Access	State Recreation Area	12	12	MO
Rock Creek State Park	State Park	1,484	1,484	IA
Rock Creek Wildlife Management Area	State Conservation Area	522	522	IA
Rock Quarry Access	State Recreation Area	9	9	MO
Rocky Ford Access	State Recreation Area	7	7	MO
Rocky Fork Lakes Conservation	State Conservation Area	2,236	2,124	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Area				
Rush Creek Conservation Area	State Conservation Area	42	42	MO
Rutlander Wildlife Area	State Conservation Area	132	132	KS
Saeger Woods Conservation Area	State Conservation Area	20	20	MO
Saint Joseph (French Bottom Access)	State Recreation Area	2	2	MO
Sand Creek Wildlife Management Area	State Conservation Area	3,553	3,553	IA
Savage Access	State Recreation Area	13	13	MO
Saxton Access	State Recreation Area	7	7	MO
Saylorville Wildlife Management Area	State Conservation Area	185	185	IA
Schell-Osage Conservation Area	State Conservation Area	8,655	3,954	MO
Schifferdecker (W. L.) Memorial Conservation Area	State Conservation Area	236	236	MO
Schildberg Access	State Recreation Area	2	2	IA
Schimmel City Access	State Recreation Area	14	14	MO
Sears (F. O. And Leda J.) Memorial Wildlife Area	State Conservation Area	163	163	MO
Sears Conservation Land	State Conservation Area	87	87	MO
Seat (Emmett And Leah) Memorial Conservation Area	State Conservation Area	3,485	3,485	MO
Sedan Bottoms Wildlife Management Area	State Conservation Area	5,511	5,511	IA
Selma Access	State Recreation Area	20	20	IA
Selma Wildlife Management Area	State Conservation Area	135	133	IA
Settle'S Ford Conservation Area	State Conservation Area	6,711	6,711	MO
Sharon Bluffs State Park	State Park	145	145	IA
Sharps Station Access	State Recreation Area	9	9	MO
Shawnee Trail Conservation Area	State Conservation Area	3,685	3,052	MO
Sheeder Prairie St Preserve	State Conservation Area	24	24	IA
Shelton (Wade & June) Memorial Conservation Area	State Conservation Area	322	322	MO
Sheridan Access	State Recreation Area	2	2	MO
Shidepoke Access	State Recreation Area	8	7	IA
Shimek State Forest	State Resource Management Area	8,850	3,893	IA
Shoemaker Conservation Area	State Conservation Area	257	257	MO
Simmons Wildlife Management Area	State Conservation Area	84	84	IA
Skunk River Flats Wildlife Management Area	State Conservation Area	115	115	IA
Snake Creek Marsh Wildlife Management Area	State Conservation Area	401	401	IA
Sni-A-Bar Conservation Area	State Conservation Area	76	76	MO
Snyder Access	State Recreation Area	11	11	IA
Soap Creek Wildlife Management Area	State Conservation Area	768	768	IA
South Skunk River Access	State Recreation Area	483	468	IA
Sowards Ford Access	State Recreation Area	58	58	MO
Springbrook State Park	State Park	780	780	IA
Springbrook Wildlife	State Conservation Area	592	592	IA

Name	Type	Total State Acres	Total Acres Within Region	State
Management Area				
St. Forest Nursery	State Resource Management Area	100	100	IA
Star School Hill Prairie Conservation Area	State Conservation Area	180	180	MO
Star School Hill Prairie Natural Area	State Conservation Area	112	112	MO
Stephens State Forest	State Resource Management Area	15,046	15,046	IA
Stephens State Forest Easement	State Resource Management Area	6	6	IA
Sterling Price Conservation Land	State Conservation Area	84	84	MO
Stony Point Prairie Conservation Area	State Conservation Area	981	981	MO
Strasser Woods State Preserve	State Conservation Area	39	39	IA
Sugar Creek Conservation Area	State Conservation Area	2,591	2,591	MO
Summit Lake - City Of Creston	State Recreation Area	118	118	IA
Sunbridge Hills Conservation Area	State Conservation Area	152	152	MO
T.A. Crellin County Refuge	State Conservation Area	18	18	IA
Taberville Prairie Conservation Area	State Conservation Area	322	322	MO
Taberville Prairie Natural Area	State Conservation Area	1,351	1,351	MO
Tarkio Prairie Conservation Area	State Conservation Area	579	579	MO
Tarkio Prairie Natural Area	State Conservation Area	54	54	MO
Thousand Hills State Park	State Park	3,064	3,064	MO
Three Mile Lake Wildlife Management Area	State Conservation Area	2,660	2,660	IA
Three Mile Wildlife Area	State Conservation Area	140	140	IA
Thurnau (H. F.) Conservation Area	State Conservation Area	399	399	MO
Treaty Line Prairie Conservation Area	State Conservation Area	167	167	MO
Tri-City Conservation Land	State Conservation Area	103	103	MO
Truitt (Henry) Access	State Recreation Area	3	3	MO
Tubaugh Wildlife Management Area	State Conservation Area	561	561	IA
Tucker Prairie Natural Area	State Conservation Area	144	144	MO
Tunnel Mills Access	State Recreation Area	114	114	IA
Two Rivers Access	State Recreation Area	43	43	IA
Tyrone Wildlife Management Area	State Conservation Area	1,081	1,081	IA
Tzi-Sho Prairie Natural Area	State Conservation Area	241	241	MO
U.S. Highway 65 Wildlife Management Area	State Conservation Area	36	36	IA
Union Mills Access	State Recreation Area	15	15	IA
Union Ridge Conservation Area	State Conservation Area	8,208	8,208	MO
Urich Access	State Recreation Area	2	2	MO
Urich Conservation Area	State Conservation Area	470	470	MO
Van Buren Wildlife Management Area	State Conservation Area	438	79	IA
Vandalia Conservation Land	State Conservation Area	149	149	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Vandyke (Russell B., Hazel S. And Arnold L.) Conservation Area	State Conservation Area	320	320	MO
Viking Lake State Park	State Park	975	975	IA
Wabash Crossing Access	State Recreation Area	12	12	MO
Wagner (Frank E.) Conservation Area	State Conservation Area	133	133	MO
Wah-Kon-Tah Prairie	State Conservation Area	529	100	MO
Wah-Kon-Tah Prairie	State Recreation Area	2,543	2,243	MO
Wallace State Park	State Park	488	488	MO
Walnut Woods Conservation Area	State Conservation Area	64	64	MO
Walnut Woods State Park	State Park	623	623	IA
Waters (H. J.) And Moss (C. B.) Memorial Wildlife Area	State Conservation Area	110	109	MO
Watkins Woolen Mill State Park And Historic Site	State Historic or Cultural Area	1,480	1,480	MO
Watson Access	State Recreation Area	3	3	MO
Waubonsie Access	State Recreation Area	86	86	IA
Wayne County Railroad R.o.w. Wildlife Management Area	State Conservation Area	20	20	IA
Wellsville Lake Conservation Area	State Conservation Area	130	118	MO
Weston Bend State Park	State Park	1,048	1,048	MO
Whetstone Creek Conservation Area	State Conservation Area	5,133	1,123	MO
Whetstone Creek Natural Area	State Conservation Area	113	47	MO
White (Robert M., Ii) Conservation Area	State Conservation Area	1,164	59	MO
White Alloe Creek Conservation Area	State Conservation Area	70	70	MO
Whitetail Flats Wildlife Management Area	State Conservation Area	399	399	IA
Wiese (Helen K.)	State Conservation Area	110	110	MO
Wildcat Trail Wildlife Management Area	State Conservation Area	53	53	IA
Williamson Pond Wildlife Management Area	State Conservation Area	125	125	IA
Willingham (Ruby Clark) Memorial Wildlife Area	State Conservation Area	70	70	MO
Winston Radio Facility	State Recreation Area	2	2	MO
Wister State Park	State Park	3,377	975	OK
Wister Wildlife Management Area & Waterfowl Refuge	State Conservation Area	35,425	4,982	OK
Wolf Hollow Wildlife Management Area	State Conservation Area	40	40	IA
Woodman Hollow State Preserve	State Conservation Area	62	62	IA
Worthwine Island Conservation Area	State Conservation Area	636	636	MO
Yellow Creek Conservation Area	State Conservation Area	121	121	MO
Yellow Creek Natural Area	State Conservation Area	474	474	MO
Youngdahl (Mark) Urban Conservation Area	State Conservation Area	96	96	MO

Name	Type	Total State Acres	Total Acres Within Region	State
Total		3,826,443	813,212	

Coordinate system used: North America Albers Equal Area Conic
Source: U.S. Geological Survey, Gap Analysis Program (GAP). May 2016. Protected Areas Database of the United States (PAD-US), version 1.4 Combined Feature Class.

Table I-34.
Miles of Wild and Scenic Rivers - Western Interior

Name	Type	Total Miles	State
Big Piney Creek Wild and Scenic River	National Wild and Scenic River USFS	0.80	AR
Mulberry Wild and Scenic River	National Wild and Scenic River USFS	3.40	AR
	Total	4.20	

Coordinate system used: WGS 1984

Sources: ESRI, 2016

**Table I-35.
 2011 U.S. FWS Outdoor Recreation - Western Interior**

State	Anglers Total Participants¹ (1,000s)	Anglers Total Expenditures (1,000s)	Hunters Total Participants² (1,000s)	Hunters Total Expenditures (1,000s)	Wildlife- watching Total Participants³ (1,000s)	Wildlife- watching Total Expenditures (1,000s)
AR	555	\$495,584	363	\$1,018,793	852	\$216,074
KS	400	\$210,303	283	\$401,452	792	\$208,415
MO	1071	\$657,024	576	\$906,888	1,716	\$940,818
OK	297	\$730,503	244	\$355,680	1,263	\$474,662
United States, total	33,112	\$41,788,936	13,674	\$33,702,017	71,776	\$54,890,272

¹ Participation in angling by both residents and non-residents in location where activity took place (2011)

² Participation in hunting by both residents and non-residents in location where activity took place (2011)

³ Participation in Wildlife-Associated Recreation by both residents and non-residents (2011)

Source: ESRI, 2015

Appendix J. 2005 Groundwater Usage in Coal-Producing Counties¹⁰

J.1 Appalachian Basin

Table J-1. Coal-Producing Counties in Alabama, Groundwater Usage in 2005

COUNTY	Public Supply Groundwater Withdrawals Millions of Gallons per Day (MGD)	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
Bibb	4.16	0.13	7.14%	0.00	0.03	0.03	0.00	0.17	0.00	4.52	0.00
Cullman	0.50	0.21	3.21%	0.00	1.11	1.13	0.00	0.04	0.00	2.99	0.00
Fayette	0.05	0.42	40.69%	0.00	0.00	0.09	0.02	0.00	0.00	0.58	0.00
Franklin	1.05	0.33	21.11%	0.00	0.28	0.33	0.00	0.39	0.00	2.38	0.00
Jackson	0.64	0.91	25.58%	0.00	0.04	0.32	0.00	0.07	0.00	1.98	0.00
Jefferson	8.32	0.39	0.92%	0.40	0.09	0.03	0.02	1.93	0.00	11.18	0.00
Marion	0.64	0.92	32.13%	0.00	0.02	0.17	0.00	0.04	0.00	1.79	0.00
Shelby	14.12	0.52	3.51%	0.00	1.94	0.06	0.00	3.90	0.00	20.54	0.00
Tuscaloosa	0.80	0.84	5.90%	0.78	0.38	0.09	0.07	0.00	0.00	2.96	0.00
Walker	0.12	0.54	11.33%	0.00	0.23	0.13	0.02	0.10	0.00	1.14	0.00
Winston	0.00	0.44	35.22%	0.00	0.00	0.22	0.00	0.06	0.00	0.72	0.00
ALABAMA TOTALS	30.40	5.65		1.18	4.12	2.60	0.13	6.70	0.00	50.78	0.00

¹⁰ This information is provided as background information in support of the discussions contained within the FEIS. Corrections have been made where necessary in response to comments on the DEIS, however OSMRE has not updated this information since publication of the DEIS.

Source: USGS, 2010b; Kenny et al., 2009

Table J-2. Coal-Producing Counties in Kentucky, Groundwater Usage in 2005

COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
Bell	0.00	0.08	6.76%	0.00	0.00	0.00	0.00	0.00	0.00	0.08	0.00
Breathitt	0.00	0.47	59.35%	0.00	0.00	0.00	0.00	0.00	0.00	0.47	0.00
Clay	0.00	0.48	42.94%	0.00	0.00	0.00	0.00	0.00	0.00	0.48	0.00
Elliott	0.18	0.16	54.80%	0.00	0.00	0.00	0.00	0.00	0.00	0.34	0.00
Floyd	0.20	0.10	5.00%	0.00	0.00	0.00	0.00	0.00	0.00	0.30	0.00
Harlan	0.30	0.42	32.05%	0.00	0.00	0.00	0.13	0.90	0.00	1.75	0.00
Jackson	0.00	0.02	4.21%	0.00	0.00	0.01	0.00	0.00	0.00	0.03	0.00
Johnson	0.00	0.41	35.05%	0.00	0.00	0.00	0.00	0.00	0.00	0.41	0.00
Knott	0.36	0.71	86.07%	0.00	0.00	0.00	0.00	0.78	0.00	1.85	0.00
Knox	0.00	0.53	34.89%	0.00	0.00	0.00	0.00	0.00	0.00	0.53	0.00
Laurel	0.00	0.13	5.00%	0.00	0.00	0.02	0.00	0.00	0.00	0.15	0.00
Lawrence	0.00	0.51	63.45%	0.00	0.00	0.00	0.00	0.00	0.00	0.51	0.00
Leslie	0.00	0.28	51.80%	0.00	0.00	0.00	0.00	0.00	0.00	0.28	0.00
Letcher	0.26	0.72	63.78%	0.00	0.26	0.00	0.00	0.00	0.00	1.24	0.00
Magoffin	0.00	0.11	17.13%	0.00	0.00	0.00	0.00	0.00	0.00	0.11	0.00
Martin	0.00	0.19	32.53%	0.00	0.00	0.00	0.00	0.00	0.00	0.19	0.00
Morgan	0.00	0.30	50.31%	0.00	0.00	0.01	0.00	0.00	0.00	0.31	0.00
Owsley	0.00	0.01	4.13%	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00
Perry	0.00	0.37	30.43%	0.00	0.00	0.00	0.00	0.13	0.00	0.50	0.00
Pike	0.00	1.39	43.76%	0.00	0.00	0.00	0.00	0.58	0.00	1.97	0.00
Whitley	0.00	0.25	14.06%	0.00	0.00	0.00	0.00	0.29	0.00	0.54	0.00
KENTUCKY TOTALS	1.30	7.64		0.00	0.26	0.04	0.13	2.68	0.00	12.05	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-3. Coal-Producing Counties in Ohio, Groundwater Usage in 2005

COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
Belmont	6.30	0.25	4.96%	0.00	0.00	0.06	0.00	0.00	3.09	9.70	0.00
Carroll	0.98	1.62	74.84%	0.08	0.00	0.08	0.00	0.00	0.00	2.76	0.00
Columbiana	2.92	3.36	41.20%	0.18	0.06	0.18	0.00	0.00	0.00	6.70	0.00
Coshocton	5.95	1.21	44.58%	6.90	0.39	0.13	0.00	0.33	1.25	16.16	0.00
Harrison	0.23	0.44	37.36%	0.00	0.00	0.05	0.00	0.00	0.00	0.72	0.00
Jackson	0.62	0.45	18.40%	0.00	0.00	0.02	0.00	0.00	0.00	1.09	0.00
Jefferson	3.11	0.60	11.49%	4.28	0.00	0.03	0.00	0.00	2.25	10.27	0.00
Lawrence	3.91	0.15	3.16%	1.16	0.00	0.02	0.00	0.00	0.00	5.24	0.00
Mahoning	0.19	0.58	3.12%	0.00	0.11	0.09	0.00	0.00	0.00	0.97	0.00
Monroe	1.27	0.16	14.38%	1.78	0.27	0.04	0.00	0.00	0.00	3.52	0.00
Muskingum	8.48	0.93	14.73%	1.64	0.00	0.06	0.00	0.29	0.00	11.40	0.00
Noble	0.00	0.26	24.70%	0.00	0.00	0.02	0.00	0.00	0.00	0.28	0.00
Perry	0.17	0.70	26.89%	0.00	0.00	0.03	0.00	0.00	0.00	0.90	0.00
Stark	29.78	6.72	24.01%	6.57	0.60	0.21	0.00	0.49	0.00	44.37	0.00
Tuscarawas	18.82	2.03	29.98%	7.38	0.34	0.22	0.00	0.00	0.00	28.79	0.00
Vinton	0.20	0.59	60.12%	0.00	0.00	0.01	0.00	0.00	0.00	0.80	0.00
OHIO TOTALS	82.93	20.05		29.97	1.77	1.25	0.00	1.11	6.59	143.67	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-4. Coal-Producing Counties in Pennsylvania, Groundwater Usage in 2005

COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
Allegheny	0.45	0.00	0.00%	0.69	0.19	0.06	0.00	0.00	0.00	1.39	0.00
Armstrong	0.43	1.40	33.08%	0.06	0.18	0.34	0.00	0.00	0.00	2.41	0.00
Beaver	1.78	0.21	1.99%	4.57	0.08	0.22	0.00	0.00	0.00	6.86	0.00
Bedford	0.78	1.99	66.25%	0.42	0.03	1.19	0.00	0.00	0.00	4.41	0.00
Butler	1.25	4.73	43.28%	0.04	0.13	0.47	0.00	0.00	0.00	6.62	0.00
Cambria	1.97	0.57	6.46%	0.01	0.05	0.27	0.00	0.00	0.00	2.87	0.00
Cameron	0.00	0.11	33.71%	0.00	0.00	0.00	0.00	0.00	0.00	0.11	0.00
Centre	16.83	1.53	18.16%	1.73	0.13	0.92	0.00	8.09	0.00	29.23	0.00
Clarion	0.34	1.11	45.62%	0.02	0.04	0.37	0.00	0.01	0.00	1.89	0.00
Clearfield	1.76	0.50	10.00%	0.00	0.03	0.18	0.00	0.00	0.00	2.47	0.00
Columbia	2.59	1.36	34.95%	1.09	0.17	0.38	0.00	0.01	0.00	5.60	0.00
Dauphin	3.13	2.46	16.11%	8.29	0.25	0.59	0.00	2.51	0.00	17.23	0.00
Elk	1.38	0.15	7.43%	0.00	0.02	0.06	0.00	0.00	0.00	1.61	0.00
Fayette	1.25	1.32	15.10%	0.00	0.04	0.44	0.00	0.39	0.39	3.83	0.00
Greene	0.00	0.61	25.59%	0.00	0.00	0.26	0.00	0.00	0.00	0.87	0.00
Huntingdon	0.83	1.18	42.76%	0.00	0.07	0.85	0.00	1.21	0.00	4.14	0.00
Indiana	0.40	2.21	41.45%	0.00	0.14	0.54	0.00	4.15	0.00	7.44	0.00
Jefferson	0.78	1.23	44.97%	0.01	0.01	0.25	2.23	0.00	0.00	4.51	0.00
Lackawanna	0.76	2.08	16.52%	0.03	0.08	0.11	0.00	0.05	0.00	3.11	0.00
Luzerne	3.87	2.77	14.77%	0.09	0.15	0.13	0.00	0.05	0.00	7.06	0.00
Lycoming	1.60	2.43	34.21%	1.16	0.15	0.70	0.10	0.30	0.00	6.44	0.00
Northumberland	0.20	0.66	11.86%	0.36	0.09	0.73	0.00	0.01	0.23	2.28	0.00
Schuykill	3.31	1.47	16.65%	0.56	0.19	0.49	0.15	18.73	2.56	27.46	0.00
Somerset	2.40	1.47	30.98%	0.00	0.08	1.40	0.00	0.54	0.00	5.89	0.00
Tioga	1.55	1.53	61.25%	0.51	0.03	1.10	0.00	0.01	0.00	4.73	0.00
Venango	0.77	0.96	28.61%	0.00	0.10	0.19	0.00	0.00	0.00	2.02	0.00
Washington	0.07	1.91	15.43%	0.00	0.15	0.70	0.00	0.00	0.00	2.83	0.00
Westmoreland	0.53	2.42	10.97%	0.04	0.19	0.59	0.18	0.05	0.00	4.00	0.00

COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
PENNSYLVANIA TOTALS	51.01	40.37	-	19.68	2.77	13.53	2.66	36.11	3.18	169.31	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-5. Coal-Producing Counties in Tennessee, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
TN	Anderson	0.28	0.32	6.14%	0.00	0.05	0.00	0.00	0.09	0.00	0.74	0.00
	Campbell	0.63	0.31	10.72%	0.00	0.05	0.05	0.00	0.15	0.00	1.19	0.00
	Claiborne	0.21	0.55	24.52%	0.00	0.04	0.17	0.00	0.19	0.00	1.16	0.00
	Fentress	0.00	0.11	8.74%	0.00	0.01	0.11	0.00	0.07	0.00	0.30	0.00
TENNESSEE TOTALS	-	1.12	1.29	-	0.00	0.15	0.33	0.00	0.50	0.00	3.39	0.00

Source: USGS, 2010b; Kenny et al., 2009.

Table J-6. Coal-Producing Counties in Virginia, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
VA	Buchanan	0.00	0.56	30.06%	0.00	0.00	0.00	0.00	0.07	0.00	0.63	0.00
-	Dickenson	0.00	0.68	55.76%	0.00	0.00	0.00	0.00	0.02	0.00	0.70	0.00
-	Lee	0.35	0.96	54.01%	0.00	0.42	0.03	0.00	0.23	0.00	1.99	0.00
-	Russell	0.91	1.22	55.96%	0.01	0.08	0.08	0.00	0.00	0.00	2.30	0.00
-	Tazewell	0.07	0.88	26.29%	0.00	0.07	0.06	0.00	0.02	0.00	1.10	0.00
VIRGINIA TOTALS	-	1.33	4.30	-	0.01	0.57	0.17	0.00	0.34	0.00	6.72	0.00

Source: USGS, 2010b; Kenny et al., 2009.

Table J-7. Coal-Producing Counties in West Virginia, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals Percent	Domestic Groundwater Self-Supplied Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
WV	Barbour	0.00	0.33	27.12%	0.00	0.00	0.01	0.00	0.02	0.00	0.35	0.01
	Boone	0.02	0.85	41.94%	0.01	0.00	0.00	0.00	0.57	0.00	1.43	0.02
	Brooke	1.84	0.15	7.70%	5.06	0.00	0.01	0.00	0.00	0.00	7.06	0.00
	Clay	0.06	0.49	60.22%	0.01	0.00	0.00	0.00	0.15	0.00	0.70	0.01
	Fayette	0.67	0.64	17.45%	0.05	0.00	0.00	0.00	0.04	0.00	1.39	0.01
	Greenbrier	2.00	1.01	36.78%	0.02	0.00	0.06	0.00	0.05	0.00	3.14	0.00
	Harrison	0.00	0.51	9.58%	0.07	0.00	0.01	0.00	0.08	0.00	0.64	0.03
	Kanawha	0.02	1.10	7.21%	1.22	0.00	0.00	0.00	0.54	0.00	2.84	0.04
	Lincoln	0.00	0.90	50.63%	0.00	0.00	0.00	0.00	0.04	0.00	0.92	0.02
	Logan	0.41	0.72	25.23%	0.06	0.00	0.00	0.00	0.44	0.00	1.60	0.03
	McDowell	3.11	0.65	33.51%	0.02	0.00	0.00	0.00	0.53	0.00	4.27	0.04
	Marion	0.06	0.24	5.32%	0.75	0.00	0.00	0.00	0.02	0.00	1.06	0.01
	Marshall	2.84	0.35	12.94%	5.72	0.00	0.02	0.00	0.12	0.00	9.05	0.00
	Mason	2.28	0.44	21.87%	1.29	0.00	0.06	0.00	0.00	0.20	4.27	0.00
	Mineral	0.11	0.60	27.94%	0.02	0.00	0.03	0.00	0.01	0.00	0.77	0.00
	Mingo	0.24	1.12	51.94%	0.06	0.00	0.00	0.00	0.32	0.00	1.71	0.03
	Monongalia	0.00	0.39	5.98%	3.96	0.00	0.01	0.00	0.24	0.00	4.59	0.01
	Nicholas	0.01	0.65	31.37%	0.09	0.00	0.00	0.00	0.25	0.00	1.00	0.00
	Preston	0.68	1.05	44.81%	0.12	0.00	0.05	0.00	0.05	0.00	1.95	0.00
	Raleigh	0.42	0.51	8.19%	0.81	0.00	0.00	0.00	0.11	0.00	1.84	0.01
Randolph	0.21	0.72	32.03%	0.47	0.00	0.02	7.34	0.05	0.00	8.81	0.00	
Tucker	0.05	0.20	37.12%	0.17	0.00	0.00	0.00	0.03	0.00	0.45	0.00	
Upshur	0.00	0.57	30.23%	0.25	0.00	0.00	0.00	0.02	0.00	0.83	0.01	
Wayne	0.00	0.82	24.66%	0.15	0.00	0.00	0.00	0.25	0.00	1.21	0.01	
Webster	0.00	0.36	46.70%	0.03	0.00	0.00	0.00	0.12	0.00	0.51	0.00	
Wyoming	0.93	0.78	40.30%	0.14	0.00	0.00	0.00	0.43	0.00	2.23	0.05	
WEST VIRGINIA TOTALS	-	15.96	16.15	-	20.55	0.00	0.28	7.34	4.48	0.20	64.62	0.34

Source: USGS, 2010b; Kenny et al., 2009

Table J-8. Coal-Producing Counties in Maryland, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
MD	Allegany	0.34	0.80	13.56%	0.12	0.01	0.02	0.01	0.31	0.00	1.61	0.00
	Garrett	1.10	1.72	71.98%	0.09	0.02	0.17	0.03	0.00	0.00	3.13	0.00
MARYLAND TOTALS	-	1.44	2.52	-	0.21	0.03	0.19	0.04	0.31	0.00	4.74	0.00

Source: USGS, 2010b; Kenny et al., 2009

J.2 Colorado Plateau

Table J-9. Coal-Producing Counties in Arizona, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
AZ	Navajo	11.82	1.27	12.55	12.71	7.10	0.49	6.32	4.26	14.60	58.57	0.00
ARIZONA TOTALS	-	11.82	1.27		12.71	7.10	0.49	6.32	4.26	14.60	58.57	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-10. Coal-Producing Counties in Colorado, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
CO	Delta	0.93	1.93	26.77%	0.00	0.01	0.17	0.00	0.39	0.00	3.43	0.00
	Garfield	1.35	1.15	17.59%	0.00	0.16	0.11	0.02	0.07	0.00	2.86	0.00
	Gunnison	1.83	0.03	2.71%	0.00	0.46	0.02	0.06	0.29	0.00	2.69	0.00
	La Plata	0.90	0.39	9.02%	0.00	1.10	0.06	0.00	0.33	0.00	2.48	0.30
	Montrose	0.07	0.36	5.37%	0.00	0.73	0.18	0.00	0.19	0.00	1.53	0.00
	Rio Blanco	0.60	0.35	23.96%	0.00	3.67	0.06	0.00	9.56	0.00	4.78	9.46
COLORADO TOTALS	-	5.68	4.21		0.00	6.13	0.60	0.08	10.83	0.00	17.77	9.76

Source: USGS, 2010b; Kenny et al., 2009

Table J-11. Coal-Producing Counties in New Mexico, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
NM	McKinley	3.79	2.85	56.69%	0.94	0.00	0.19	0.00	2.43	3.57	13.77	0.00
	San Juan	0.41	1.31	14.77%	0.29	0.00	0.14	0.00	0.00	0.00	2.15	0.00
NEW MEXICO TOTALS	-	4.20	4.16		1.23	0.00	0.33	0.00	2.43	3.57	15.92	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-12. Coal-Producing Counties in Utah, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
UT	Carbon	4.51	0.05	1.08%	0.55	0.09	0.03	0.00	0.24	0.00	5.27	0.20
	Emery	0.42	0.08	0.39%	0.03	0.09	0.01	0.00	0.46	0.00	1.09	0.00
	Sevier	4.69	0.41	10.12%	0.08	11.61	0.42	4.79	0.01	0.00	22.01	0.00
UTAH TOTALS	-	9.62	0.54		0.66	11.79	0.46	4.79	0.71	0.00	28.37	0.20

Source: USGS, 2010b; Kenny et al., 2009

J.3 Gulf Coast

Table J-13. Coal-Producing Counties in Louisiana, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
LA	De Soto	1.34	0.62	29.39%	0.10	0.02	0.18	0.03	2.33	0.00	3.53	1.09
	Red River	0.72	0.22	28.70%	0.00	0.73	0.05	0.00	0.16	0.00	1.75	0.13
LOUISIANA TOTALS	-	2.06	0.84		0.10	0.75	0.23	0.03	2.49	0.00	5.28	1.22

Source: USGS, 2010b; Kenny et al., 2009

Table J-14. Coal-Producing Counties in Mississippi, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
MS	Choctaw	0.75	0.17	17.30%	3.82	0.00	0.06	0.01	0.55	0.00	5.36	0.00
MISSISSIPPI TOTALS	-	0.75	0.17		3.82	0.00	0.06	0.01	0.55	0.00	5.36	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-15. Coal-Producing Counties in Texas, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
TX	Atascosa	4.26	2.47	49.75%	0.01	21.05	1.22	0.01	0.67	5.76	34.88	0.57
	Freestone	2.14	0.53	24.61%	0.00	0.00	0.15	0.00	2.64	0.00	2.82	2.64
	Harrison	1.94	0.00	0.00%	0.11	0.11	0.08	0.00	1.10	0.00	2.24	1.10
	Hopkins	1.64	1.79	46.73%	0.00	0.00	2.98	0.00	0.85	0.00	6.47	0.79
	Lee	2.64	0.51	27.05%	0.01	0.52	0.47	0.01	0.23	0.00	4.16	0.23
	Leon	2.04	1.14	60.80%	0.47	0.27	0.09	0.00	0.59	0.00	4.01	0.59
	Panola	1.62	1.62	61.39%	0.00	0.00	2.28	0.00	3.83	0.00	5.90	3.45
	Robertson	2.58	0.88	47.04%	0.02	17.14	0.51	0.00	0.39	4.09	25.22	0.39
	Rusk	4.61	0.58	10.50%	0.01	0.08	0.32	0.00	10.02	0.00	5.76	9.86
Titus	0.02	1.66	48.99%	0.09	0.00	0.36	0.00	2.96	0.00	2.13	2.96	
TEXAS TOTALS	-	23.49	11.18		0.72	39.17	8.46	0.02	23.28	9.85	93.59	22.58

Source: USGS, 2010b; Kenny et al., 2009

J.4 Illinois Basin

Table J-16. Coal-Producing Counties in Illinois, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
IL	Gallatin	3.80	0.08	13.69%	0.00	12.91	0.07	0.16	0.71	0.00	17.46	0.27
	Jackson	0.06	0.17	3.23%	0.00	0.18	0.28	0.53	0.00	0.04	1.26	0.00
	Macoupin	0.00	0.89	20.18%	0.00	0.04	0.65	0.00	0.00	0.00	1.58	0.00
	Perry	0.04	0.48	23.60%	0.00	0.49	0.20	0.00	0.01	0.00	1.21	0.01
	Randolph	1.55	0.63	21.23%	0.00	0.14	0.34	0.00	0.00	0.00	2.66	0.00
	Saline	0.00	0.32	13.47%	0.00	0.66	0.17	0.00	1.26	0.00	2.06	0.35
	Sangamon	1.48	2.98	17.18%	0.00	1.00	0.36	0.00	0.00	0.00	5.82	0.00
	Vermilion	1.24	0.94	12.68%	2.70	0.05	0.19	0.00	0.15	0.00	5.27	0.00
	Wabash	1.85	0.21	18.46%	0.00	0.30	0.07	0.00	1.52	0.00	2.72	1.23
	White	1.20	0.19	13.77%	0.00	9.49	0.14	0.00	3.44	0.00	11.97	2.49
Williamson	0.07	1.90	33.26%	0.00	0.00	0.16	0.01	0.03	0.00	2.14	0.03	
ILLINOIS TOTALS	-	11.29	8.79		2.70	25.26	2.63	0.70	7.12	0.04	54.15	4.38

Source: USGS, 2010b; Kenny et al., 2009

Table J-17. Coal-Producing Counties in Indiana, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
IN	Daviess	2.94	0.64	27.50%	1.57	0.70	0.82	0.00	0.00	0.00	6.67	0.00
	Dubois	0.00	0.31	10.10%	0.00	0.00	0.87	0.00	0.00	0.00	1.18	0.00
	Gibson	1.84	0.41	16.20%	0.29	0.40	0.17	0.00	1.60	1.98	6.69	0.00
	Knox	4.94	0.50	17.20%	0.05	5.46	0.25	0.00	0.03	0.00	11.23	0.00
	Pike	1.17	0.15	15.30%	0.00	0.00	0.03	0.00	0.00	2.36	3.71	0.00
	Sullivan	1.63	0.31	18.70%	0.00	3.59	0.07	0.00	0.00	2.65	8.25	0.00
	Vigo	10.55	2.05	26.30%	2.99	1.04	0.07	0.00	0.43	1.93	19.06	0.00
	Warrick	3.29	0.35	8.20%	2.91	0.00	0.07	0.00	0.00	0.36	6.98	0.00
INDIANA TOTALS	-	26.36	4.72		7.81	11.19	2.35	0.00	2.06	9.28	63.77	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-18. Coal-Producing Counties in Kentucky, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
KY	Christian	0.00	0.13	5.00%	0.00	0.02	0.04	0.00	0.00	0.00	0.19	0.00
	Daviess	14.10	0.33	7.16%	8.89	0.17	0.02	0.00	0.00	0.00	23.51	0.00
	Henderson	0.00	0.33	16.06 %	0.00	0.04	0.01	0.00	0.00	0.00	0.38	0.00
	Hopkins	0.34	0.06	5.00%	0.00	0.00	0.03	0.00	2.03	0.00	2.46	0.00
	Muhlenberg	0.00	0.06	5.00%	0.00	0.00	0.03	0.00	0.00	0.00	0.09	0.00
	Ohio	0.60	0.06	5.86%	0.00	0.00	0.02	0.00	0.00	0.00	0.68	0.00
	Union	0.00	0.04	5.55%	0.00	0.02	0.01	0.00	0.00	0.00	0.07	0.00
	Webster	0.00	0.08	15.65 %	0.00	0.00	0.04	0.00	0.06	0.00	0.18	0.00
KENTUCKY TOTALS	-	15.04	1.09		8.89	0.25	0.20	0.00	2.09	0.00	27.56	0.00

Source: USGS, 2010b; Kenny et al., 2009

J.5 Northern Rocky Mountains and Great Plains

Table J-19. Coal-Producing Counties in Colorado, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
CO	Adams	12.24	0.02	0.07%	0.71	2.06	0.17	0.00	0.17	0.01	15.35	0.03
	Moffat	0.06	0.44	28.08%	0.00	9.38	0.20	0.00	0.65	0.00	10.24	0.49
	Routt	0.55	0.78	19.89%	0.00	5.41	0.07	0.02	0.45	0.00	7.28	0.00
COLORADO TOTALS	-	12.85	1.24		0.71	16.85	0.44	0.02	1.27	0.01	32.87	0.52

Source: USGS, 2010b; Kenny et al., 2009

Table J-20. Coal-Producing Counties in Montana, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
MT	Big Horn	0.27	0.52	51.02%	0.01	4.12	1.10	0.00	1.83	0.00	6.02	1.83
	Cascade	1.33	0.79	12.75%	0.01	1.68	0.24	0.82	0.01	0.00	4.87	0.01
	Judith Basin	0.11	0.08	46.31%	0.05	1.57	0.30	0.00	0.01	0.00	2.11	0.01
	Musselshell	0.62	0.18	51.30%	0.05	0.44	0.58	0.00	0.02	0.00	1.87	0.02
	Richland	1.09	0.27	38.32%	0.01	1.67	0.18	0.00	0.00	0.00	3.22	0.00
	Rosebud	0.71	0.09	12.29%	0.08	1.27	0.36	0.00	0.09	0.10	2.70	0.00
MONTANA TOTALS	-	4.13	1.93		0.21	10.75	2.76	0.82	1.96	0.10	20.79	1.87

Source: USGS, 2010b; Kenny et al., 2009

Table J-21. Coal-Producing Counties in North Dakota, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
ND	McLean	0.40	0.19	25.03%	0.00	1.08	0.30	0.00	0.13	0.00	2.10	0.00
	Mercer	0.76	0.12	16.79%	0.00	0.40	0.29	0.00	0.01	0.00	1.58	0.00
	Oliver	0.10	0.07	44.84%	0.26	0.60	0.28	0.00	0.00	0.00	1.31	0.00
NORTH DAKOTA TOTALS	-	1.26	0.38		0.26	2.08	0.87	0.00	0.14	0.00	4.99	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-22. Coal-Producing Counties in Wyoming, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
WY	Campbell	3.66	0.01	0.49%	0.38	1.13	0.57	0.00	54.60	0.35	37.21	23.49
	Carbon	2.46	0.10	8.35%	0.10	1.22	0.23	0.74	3.11	0.00	4.85	3.11
	Converse	2.04	0.31	32.62%	0.06	2.77	0.24	0.00	4.67	0.00	8.41	1.68
	Lincoln	4.81	0.33	27.65%	0.23	3.20	0.10	0.00	0.75	0.00	9.10	0.32
	Sweetwater	0.14	0.00	0.00%	1.24	9.11	0.12	0.00	34.46	0.00	11.56	33.51
WYOMING TOTALS	-	13.11	0.75		2.01	17.43	1.26	0.74	97.59	0.35	71.13	62.11

Source: USGS, 2010b; Kenny et al., 2009

J.6 Northwest

Table J-23. Coal-Producing Counties in Alaska, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
AK	Yukon-Koyukuk Division	0.18	0.02	18.21%	0.01	0.00	0.00	0.00	0.00	0.00	0.21	0.00
ALASKA TOTALS	-	0.18	0.02		0.01	0.00	0.00	0.00	0.00	0.00	0.21	0.00

Source: USGS, 2010b; Kenny et al., 2009

J.7 Western Interior

Table J-24. Coal-Producing Counties in Kansas, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
AR	Sebastian	0.2	0.51	4.81%	0.00	0.00	0.23	0.00	0.00	0.00	0.94	0.00
KANSAS TOTALS	-	0.00	0.01		0.00	0.00	0.00	0.00	0.02	0.00	0.03	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-25. Coal-Producing Counties in Texas, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
KS	Bourbon	0.00	0.00	0.00%	0.00	0.00	0.00	0.00	0.02	0.00	0.02	0.00
	Linn	0.00	0.01	2.10%	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00
KANSAS TOTALS	-	0.00	0.01		0.00	0.00	0.00	0.00	0.02	0.00	0.03	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-26. Coal-Producing Counties in Missouri, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
MO	Bates	0.00	0.04	3.24%	0.00	0.00	0.28	0.00	0.13	0.00	0.45	0.00
MISSOURI TOTALS	-	0.00	0.04		0.00	0.00	0.28	0.00	0.13	0.00	0.45	0.00

Source: USGS, 2010b; Kenny et al., 2009

Table J-27. Coal-Producing Counties in Oklahoma, Groundwater Usage in 2005

STATE	COUNTY	Public Supply Groundwater Withdrawals MGD	Domestic Groundwater Withdrawals MGD	Percent Domestic Groundwater Self Supplied	Industrial Groundwater Withdrawals MGD	Irrigation Groundwater Withdrawals MGD	Livestock Groundwater Withdrawals MGD	Aquaculture Groundwater Withdrawals MGD	Mining Groundwater Withdrawals MGD	Thermo electric Groundwater Withdrawals MGD	Total Fresh Groundwater Withdrawals MGD	Total Saline Groundwater Withdrawals MGD
OK	Craig	0.28	0.07	5.32%	0.00	0.00	0.23	0.00	0.01	0.00	0.58	0.01
	Haskell	0.00	0.45	43.67%	0.00	0.00	0.23	0.00	0.01	0.00	0.68	0.01
	Le Flore	0.16	0.54	12.87%	0.00	0.64	0.99	0.00	0.04	0.00	2.33	0.04
	Okmulgee	0.00	0.00	0.00%	0.00	0.00	0.09	0.00	0.81	0.00	0.09	0.81
	Rogers	0.00	0.47	6.93%	0.00	0.00	0.19	0.00	0.15	0.00	0.66	0.15
OKLAHOMA TOTALS	-	0.44	1.53		0.00	0.64	1.73	0.00	1.02	0.00	4.34	1.02

Source: USGS, 2010b; Kenny et al., 2009

Appendix K. Summary of Public Comments Received on Draft Environmental Impact Statement

This Appendix contains written comments received during the public comment period for the Draft Environmental Impact Statement (DEIS) for the Stream Protection Rule. On July 16, 2015, OSMRE announced that the Proposed Rule, DEIS, and Draft Regulatory Impact Analysis (RIA) were available for review at www.regulations.gov, at www.osmre.gov, and at selected OSMRE offices. On July 17, 2015, OSMRE published a notice in the Federal Register announcing the availability of the DEIS for the proposed rule, see 80 FR 42535-42536. The notice reiterated that the DEIS was available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the DEIS was originally scheduled to close on September 15, 2015.

On July 27, 2015, OSMRE published the Proposed Stream Protection Rule in the Federal Register, see 80 FR 44436-44698. That document reiterated that the Proposed Rule, DEIS, and Draft RIA were available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the Proposed Rule and Draft RIA was originally scheduled to close on September 25, 2015. In response to requests for additional time to review and prepare comments on all three documents, OSMRE extended the comment period for the proposed rule, DEIS, and Draft RIA through October 26, 2015, see 80 FR 54590-54591 (Sept. 10, 2015).

Interested parties, therefore, received a total of 102 days to review the proposed rule and supporting documents. During that time, OSMRE also held six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia, and West Virginia. OSMRE received approximately 95,000 comments from all sources on the Proposed Rule, DEIS, and Draft RIA.

In accordance with the National Environmental Policy Act (NEPA), public and agency written comments were reviewed and incorporated into this Final Environmental Impact Statement (FEIS). These public and agency comments were taken into consideration by OSMRE in preparing the FEIS as well as in its decision making process.

K.1 Comment Receipt and Review

K.1.1 Comment Receipt

Comments on the DEIS included written correspondence received during the 102-day public comment period. All comments received during that period are included in Section K.3 of this Appendix.

K.1.2 Comment Review

In accordance with 40 CFR 1503.4, comments were assessed and considered as follows:

- Each written comment was assigned an identification number. Comment letters were numbered sequentially based on when they were received by OSMRE.
- Each written comment was reviewed carefully. Within each comment, substantive comments were identified and assigned into a category based on topic area within the EIS.
- The identified comments were reviewed and responded to by OSMRE. The table of individual comments and detailed responses is provided in Section K.3 of this Appendix.
- There were some comments that were made frequently enough that OSMRE prepared “Master Responses” to these comments. Those comments and their responses provided in Section K.2 of this Appendix.
- The individual substantive comments were assigned a number and a response developed for each comment.

K.1.3 Locating Your Comments

Section K.4 lists commenters’ names alphabetically followed by the comment number.

K.2 Master Responses to Public Comments on the Stream Protection Rule (SPR) DEIS September 20, 2016

OSMRE prepared Master Responses for comments that were made frequently. . The following is a list of the Master Response topics:

- NEPA Compliance
- Alternatives
- Cooperating Agency Involvement
- Compliance with Statutory Requirements and Regulations
- Regulatory and Energy Market Baseline for Analysis
- Technical Accuracy
- Water Quality Benefits
- Monetizing Environmental Benefits
- Public Health Effects
- Model Mines Analysis
- Material Damage to the Hydrologic Balance
- Stranded Reserves
- Industry Operational Costs
- Regulatory Authority Costs
- Industry Administrative Costs
- Alternative Analysis Provided by the National Mining Association
- Employment Effects and Multipliers
- Tax Effects
- Effects on Small Businesses
- Reforestation
- Alaska

1. NEPA Compliance

Comment: Several commenters stated that the DEIS did not comply with regulations for conducting analyses under the NEPA. Some commenters stated that OSMRE failed to adopt the basic impact analysis and mitigation strategy hierarchy as required by NEPA or a basic scientific approach to the evaluation of environmental impacts associated with each of the different Alternatives. One commenter stated that the DEIS did not provide a predictive analysis in sufficient detail to ascertain the nature, magnitude, duration (timing), extent (geographic distribution), level of confidence, and range of uncertainty of the predicted changes. Commenters also stated that OSMRE’s effects analysis methodology was not rigorous enough and did not fully incorporate the “best available science.” One commenter states that the ability of the environment to recover, or rebound, was largely ignored in the DEIS. Commenters stated that the OSMRE was incomplete in its identification of past, present, and reasonably foreseeable actions that regulate, or that will indirectly impact, the coal mining industry. Furthermore, these commenters stated that OSMRE had not sufficiently evaluated the cumulative impacts of these regulations.

Response: The FEIS meets or exceeds requirements for conducting analyses under NEPA. As described in section 4.0.1 in the FEIS, NEPA requires analysis of three categories of effects; direct, indirect and cumulative. Direct Effects are effects that are caused by the action and which occur at the same time and place; indirect effects are effects that are caused by the action but which occur later in time or farther removed in space, but which are still reasonably foreseeable; and cumulative effects are the impacts on the environment which results from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency (federal or non-federal) or person undertakes such other actions. Cumulative impacts can result from individually minor but collectively significant actions taking place over a period of time. The analysis of the full suite of economic, environmental, and social impacts of the project are addressed in Chapter 4 of the FEIS. In accordance with NEPA regulations, both the direct and indirect effects of the Alternatives, as well as the cumulative effects, are provided in the FEIS. The effects of individual and collective Alternative rule elements (e.g. approximate original contour, stream restoration, etc.) on surrounding ecosystems, resources, and future coal production were compared to practices under the No Action Alternative, were used to determine the direct and indirect impacts of the Action Alternatives. Impacts to mineral resources, geology, soils, topography, water resources, air quality and greenhouse gas emissions, land use, biological resources, wetlands, recreation, visual resources, utilities and infrastructure, noise, cultural resources, and socioeconomics (among others), are presented in Chapter 4 of the FEIS. Each section in Chapter 4 includes a discussion of the effects of the current regulatory environment for that resource under the No Action Alternatives, the environmental consequences of the Proposed Action and alternatives on that resource, and, where adverse impacts of the action on the resource is anticipated, consideration of potential mitigation options for identified adverse effects. In response to public comment, we have added text to section 4.0 and individual resource sections in the FEIS that more clearly articulates the criteria for impacts used in this analysis. Please refer to specific chapter sections for details on these analyses.

OSMRE fully considered the potential cumulative impacts of the Proposed Action. The cumulative impact analysis in section 4.5 considers the effects of past, present, and reasonably foreseeable future actions on the environmental resources within the study area, including those that affect multiple resources. To evaluate the cumulative impacts of the proposed rule, we identified the spatial and temporal

boundaries for the consideration of each resource, and then addressed the impacts of past, present, and reasonably foreseeable future actions in order to characterize potential cumulative impacts. We determined spatial boundaries by considering where impacts to a given resources would no longer be affected or where effects were no longer significant, which was at the coal region level. For the temporal extent, we considered relevant past, present, and expected rulemaking that did not require significant speculation. Several other recent regulatory changes have been added to the cumulative impacts analysis in the FEIS.

The methodologies for our analyses are included in the FEIS and we have used the best relevant and contemporary science and data to conduct our analyses on the proposed rule and the Action Alternatives in accordance to this standard. We have also identified uncertainties and data gaps where they exist. All of these analyses supporting the FEIS were developed in accordance with 43 CFR Part 46, which contain DOI's regulations for implementing NEPA, and we have appropriately addressed the aforementioned framework for both environmental and socioeconomic conditions resulting from the Action Alternatives.

2. Alternatives

Comment: OSMRE received numerous comments regarding the alternatives that were considered in the DEIS. The overlying theme to these comments was that OSMRE had not considered the full range of reasonable alternatives as required under NEPA.

Some commenters provided numerous suggestions for other alternatives to consider, and other comments criticized the alternatives we analyzed as being too similar to each other or as not realistic or implementable for reasons they elaborated on in the comment (see the table of comments to for further details). Another commenter expressed concern that the alternatives we considered in the DEIS were vastly different than the possible alternatives OSMRE mentioned within the Notice of Intent (NOI).

As mentioned above, we received several comments stating that the alternatives were too similar and did not capture the true range of alternatives to achieve OSMRE's rulemaking goals. For example, one commenter stated that additional alternatives should have been considered to examine other combinations of the various rule elements. This commenter expressed concern that the alternatives were too similar to each other because several requirements, such as baseline data collection, are the same across most of the Action Alternatives. Another commenter expressed that there were other possible options for achieving the minimization of impacts than the ones we considered. This comment specifically posited that OSMRE should have addressed additional alternatives regarding mining through streams with and without the requirement for buffers. Another comment noted that there are differences between the alternatives in "width of the stream buffers, differences in the definition of stream types, and variable allowances for mining through streams, mountaintop mining, and placement of materials in valley bottoms" but still felt that the variability between alternatives was insufficient to represent a reasonable range of alternatives. This same commenter felt that the alternatives analyzed were too similar because requirements for the salvage and redistribution of organic materials and requirements related to revegetation were the same across most of the alternatives.

Some comments offered other possibilities for requirements and allowances within the proposed regulations as alternatives that we should consider. For example one comment suggested that we analyze a new alternative that would include the following: allowing for mining in streams conducted in

progressive stages with progressive restoration, allowing changes in hydrology (i.e. not considering a hydrologic change as material damage to the hydrologic balance outside the permit area), and allowing reclaimed sites to deviate from the approximate original contours.

A commenter also expressed the concern that there should be an alternative based on stronger enforcement of the existing rules, while another comment proposed that there should be an alternative based on greater interaction between OSMRE and other federal and state agencies with jurisdiction over the Clean Water Act (CWA) and the Endangered Species Act (ESA). Similarly we received comments stating that we should not allow impacts to coal production to influence our decision on whether an alternative is reasonable. Commenters opined that coal production impacts should not determine whether an alternative is reasonable because the societal and environmental costs of coal production are not fully acknowledged or captured, and that therefore the benefits of more protective alternatives may be greater than we currently realize. These same commenters also argued that relying on coal production impacts was misleading because they anticipate that coal production will continue to decline further from other factors independent of our rulemaking. The commenters alleged that OSMRE has acted in an arbitrary and capricious manner by in dismissing these alternatives, claiming that we overlooked relevant and important considerations that support adoption of a more protective alternative.

Still others expressed the concern that some of the alternatives we considered were not implementable because they contained requirements that contradicted SMCRA and therefore that OSMRE could not consider such alternatives as being “reasonable” under NEPA.

And finally, one commenter expressed objection to consideration of the 2008 Stream Buffer Zone rule in Alternative 9. The U.S. District Court for the District of Columbia vacated the 2008 stream buffer zone rule on February 20, 2014, in *National Parks Conservation Ass’n v. Jewell*, 2014 U.S. Dist. LEXIS 152383 (D.D.C. Feb. 20, 2014). See also 79 FR 76227–76233 (Dec. 22, 2014).

Response: Regarding the alternatives mentioned as possibilities for consideration within the NOI, the comment is accurate that the NOI proposed alternatives and that these alternatives were not identical to the alternatives considered in the EIS. The NOI is published very early in the process, even before the scoping process is conducted, in compliance with 40 CFR 1501.7. The scoping process provides, among other things, the opportunity for participants to identify concerns, potential impacts and relevant effects of past actions and possible alternative actions. Scoping is therefore done very early in the process before extensive discussion, analysis or deliberations of the results have occurred; it is therefore a natural and expected outcome of the NEPA process that the Proposed Action and alternatives would change following the early identification of possible alternatives provided at scoping. As explained in the Department of Interior regulations for implementing NEPA, the input received during scoping efforts is important to help define the issues for consideration. However, suggestions obtained during scoping are not binding but are only important options for the lead agency to consider (43 CFR 46.235(b)). OSMRE refined the alternatives in response to internal agency deliberations, cooperating agency input, and consideration of public comments received during scoping. As the lead agency OSMRE is ultimately responsible for determining the scope and content of the environmental impact statement.

OSMRE considered a reasonable range of alternatives that reflect the purpose and need for the stream protection rule and has not changed the alternatives considered in the DEIS. The FEIS maintains these alternatives as the study alternatives, but also incorporates some minor revisions to the Preferred

Alternative (Alternative 8) based on comments received on the DEIS and RIA. Regarding the comments on similarity of the alternatives considered, the Council on Environmental Quality (CEQ) has provided guidance to agencies on what constitutes a range of reasonable alternatives when there are an infinite number of possible alternatives. See question 1b. in CEQ's Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations. The guidance states that where there may exist a very large or even an infinite number of possible reasonable alternatives, the agency may limit the alternatives analyzed to a reasonable number so long as they cover the full spectrum of alternatives. In the case of regulatory revisions, a "full spectrum of alternatives" would be one that encompasses alternatives that range from a major revision of the existing regulations to an alternative on the other end of the spectrum that changes nothing (i.e. the No Action Alternative). OSMRE has opted to analyze alternatives based on differing combinations of the individual requirements; the alternatives we have chosen to analyze differ from each other in the sum total of their requirements even if they do not differ from each other on one or more of the individual requirements. Within the nine alternatives that we identified for detailed analysis, the alternatives range from an alternative that changes none of our regulations under SMCRA (the No Action Alternative) to one that would represent a major change of our regulations under SMCRA (Alternative 2). Alternative 2 would prohibit mining operations in or through perennial streams, prohibit placement of excess spoil intermittent or perennial streams, and prohibit variances from AOC, all without exception. The seven other alternatives fall between these two ends of the spectrum and include varying combinations of changes in the specific proposed rule components.

The chosen alternatives do not consider every possible combination of various rule elements because such an analysis is not required by NEPA, and would be impracticable due to the number of possible scenarios. Additionally, the various combinations of rule components are bounded by the need to be reasonable and to achieve the purpose of environmental protection. If we were required to consider a different scenario for each of the components of the proposed rule against every other possible combination of scenarios the number of potential alternatives, the burden on the agency would be unreasonable. Therefore, we limited the number of action alternatives by only considering alternatives that represent combinations of rule components that achieve the purpose and need and do not, through any specific component or combination of rule component, contradict sound science. We do not need, for example, to address a suite of alternatives that would analyze multiple scenarios for how long an applicant must collect baseline against a suite of alternatives with varying buffer widths because to create alternatives in this way would create an excessively large number of alternatives, and because cost and data quality needs dictate what is reasonable to require for baseline data collection.

Regarding the idea of an alternative based on stronger enforcement of existing rules, OSMRE disagrees that this is a separate alternative from the no action alternative. The implementing regulations for SMCRA already contain mechanisms to provide for enforcement of existing regulations including those that provide the regulatory authority the ability to cite an operator for violation of permit conditions, including violation of permit conditions driven by CWA and ESA requirements. The implementing regulations of SMCRA also contain mechanisms for OSMRE to assess state SMCRA program compliance with the federal regulations and to require corrections of deficiencies where they exist or to alternatively bring the program for that state back under direct federal control.

Additionally, direct enforcement of the requirements of the ESA and the CWA is not within the authority of the SMCRA regulatory authority except to the extent that these requirements are captured within the

permit conditions. Our existing regulations already require compliance with all conditions of the permit. Under the Action Alternatives we are proposing to revise our regulations to require demonstration of compliance with the ESA before the SMCRA regulatory authority issues the permit; this revision does not change existing requirements under the ESA but within our regulations would clarify an important point regarding when the consultation (if one is necessary) must be initiated and completed.

Greater interaction between the SMCRA regulatory authority and other federal and state agencies is definitely to be encouraged. However, a separate alternative based solely on a greater degree of interaction is not warranted. All of the Action Alternatives with the exception of Alternative 9 contain requirements that will promote or require greater interaction. For example, each alternative other than the No Action Alternative and Alternative 9 would require the applicant to include information on the hydrology, geology, and aquatic biology of the proposed permit area and the adjacent area, including 12-months of baseline data on surface waters and aquatic biologic resources. Sampling methods for determining the biologic condition of streams must be established or endorsed by the agency responsible for implementing the Clean Water Act, 33 U.S.C. § 1251 et seq.; coordination on methodologies provides another point of interaction. Additionally these alternatives would also require that the regulatory authority provide the protection and enhancement plan and the baseline resource information submitted under § 779.20 to the appropriate regional or field office of the U.S. Fish and Wildlife Service or the National Marine Fisheries Service, as applicable, whenever the resource information submitted under § 779.20 of this chapter includes species listed as threatened or endangered under the Endangered Species Act of 1973, 16 U.S.C. § 1531 et seq., designated critical habitat under that law, or species proposed for listing as threatened or endangered under that law. Thus, the Action Alternatives provide both a window of time and new requirements that would allow for and produce greater interaction.

Regarding the concern that OSMRE should not dismiss alternatives based on impacts to coal production, we must also disagree. Carrying forward alternatives without consideration of their impact on coal production would not be consistent with Congress's intent as described in the statement of purpose for SMCRA. See 30 U.S.C. § 1202. OSMRE dismissed some alternatives based on untenable impacts to production (see Section 2.6 of the DEIS), but retained others for analysis that had more balanced impacts on production in comparison to the environmental benefits expected. The Regulatory Impact Analysis (RIA) provides the information needed to consider the costs and benefits of the regulatory alternatives as required under Executive Order 12866 Regulatory Planning and Review (1993, as amended by Executive Order 13563 (2011)).

Similarly OSMRE has not eliminated Alternative 2 despite the comment that correctly stated that "Alternative 2 would effectively prohibit all mountaintop removal operations and all variances. SMCRA specifically authorizes an AOC variance for mountaintop removal and steep slope mining; therefore OSMRE cannot eliminate mountaintop removal or steep slope operations without an amendment to SMCRA." In 1981, the Council addressed the question in its document, "Forty Most Asked Questions Concerning CEQ's National Environmental Policy Act Regulations". See 46 FR 18026 (1981). The response to question 2b. clarifies that agencies preparing an EIS must consider reasonable alternatives, including those that are outside the scope of what Congress has currently approved or funded. This requirement exists because the analysis of these alternatives may serve as the basis for identifying a need for Congressional action, in this case to amend SMCRA.

Finally, OSMRE also disagrees with the comment that Alternative 9 is invalid because it consists of the vacated 2008 stream buffer zone rule. The U.S. District Court for the District of Columbia vacated the 2008 stream buffer zone rule based on procedural issues and not on the merits of specific requirements contained within the rule. Specifically, the court ordered the 2008 Stream Buffer Zone rule on February 20, 2014, because “OSM’s determination that the revisions to the stream protection rule encompassed by the 2008 Rule would have no effect on threatened and endangered species or critical habitat was not a rational conclusion” and that therefore our failure to initiate consultation on the 2008 rule was a violation of section 7(a)(2) of the Endangered Species Act. *NPCA v. Jewell*, 2014 U.S. Dist. LEXIS 152383, at *13–*14 (D.D.C. Feb. 20, 2014). The preamble to the proposed rule contains a complete discussion of the history of litigation surrounding the 2008 Stream Buffer Zone rule, see 80 FR 44449 – 44450 (July 27, 2015). OSMRE is underway with formal Section 7 consultation on the Preferred Alternative and will have received a Biological Opinion from the U.S. FWS prior to issuance of the Record of Decision and publication of the final rule. Should Alternative 9 or any other alternative instead become the Preferred Alternative OSMRE would consult with USFWS and revise the consultation documents as necessary. Therefore the 2014 ruling does not invalidate Alternative 9, the 2008 Stream Buffer Zone rule, as a possible alternative for consideration.

3. Cooperating Agency Involvement

Comment: Many commenters claimed that OSMRE could benefit further from the insight, experience, and best practices of the state regulatory authorities when developing the regulatory text, EIS, and RIA. These commenters often claimed that we did not provide the regulatory authorities that had agreed to be cooperating agencies in the NEPA process with the opportunity for meaningful engagement. As a consequence, these commenters noted that all but one of these regulatory authorities had terminated their cooperating agency status.

Response: OSMRE substantially engaged with stakeholders, including the regulatory authorities. A number of state agencies, including state SMCRA regulatory authorities, participated as cooperating agencies in the early development of the DEIS for the stream protection rule. These states provided meaningful input and comments that were used to prepare the DEIS. In addition, the DEIS was made available for all cooperating agencies and the public to review and provide input on during the public comment period. The public comment period was extended to provide interested parties, including the states, more time to review and comment on the DEIS. OSMRE conducted six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia and West Virginia during the public comment period. Ultimately, OSMRE received approximately 95,000 comments, including hundreds of pages of comments from state SMCRA regulatory authorities, on the DEIS, Draft RIA, and the Proposed Stream Protection Rule.

In response to this and other feedback, OSMRE retooled the DEIS over the next several years and made it available for public comment on July 16, 2015. It was during the time that we were revising the DEIS that many of the state regulatory authorities grew frustrated with their role in the process, and all but one of the state regulatory authorities voluntarily terminated their role as cooperating agencies. Although not required to do so, on October 7, 2015, we invited these former cooperating state agencies to re-engage as cooperating agencies under NEPA. None accepted this invitation although some did submit comments on the proposed rule, DEIS, and Draft RIA. The Department’s Assistant Secretary for Land and Minerals Management, the Director of OSMRE, and other OSMRE officials remain available for engagement and

discussion with the states and have continued to meet with representatives of states since the close of the comment period. In addition to meetings with the state SMCRA regulatory authorities in conjunction with Interstate Mining Compact Commission meetings, Department of the Interior and OSMRE representatives have either met with or held telephone or video conferences with 14 different state regulatory authorities since the proposed rule was published. In addition, OSMRE held six technical meetings in its regional offices on April 14, and 21, 2016, which were open to all state regulatory authorities.

We understand the state regulatory authorities wanted more input, not only in the development of the DEIS and FEIS, but also in the rule and the RIA. However, we have met our obligations as set forth in the Administrative Procedure Act, NEPA, and the pertinent Executive Orders and have sought and addressed the input from state regulatory authorities at crucial junctures in the development of the rule, RIA, and NEPA analysis. These are the points where their insights could best shape the proposal and refine the final rule without impinging on our deliberative process and our ability to craft a rule to meet our purpose and need. The FEIS has been shaped by this direct input as well as the information we have gleaned through our oversight of the state programs.

4. Compliance with Statutory requirements and Regulations

Comment: Several commenters stated that the Draft RIA and DEIS did not include an assessment of impacts as required under Executive Order 12866, as well as Unfunded Mandates, Energy Impacts, Analysis of Children’s Health, and Federalism. Some commenters state that OSMRE fails to demonstrate how the proposed and final regulation will improve the performance of the economy without imposing unacceptable or unreasonable costs on society.

Response: The Final RIA and FEIS evaluate the benefits and costs of the Final Rule, along with other economic, distributional, and equity impacts. The Final RIA and FEIS satisfy the requirements for regulatory review under Executive Order 12866 (E.O. 12866) – *Regulatory Planning and Review*. E.O. 12866 (1993, as amended by Executive Order 13563 (2011)), which directs Federal agencies to consider the costs and benefits of available regulatory Alternatives and to select approaches that maximize net benefits, unless a statute requires another regulatory approach. The Office of Management and Budget’s (OMB) Circular A-4 further elaborates on the characteristics of a “good” regulatory analysis. Specifically, Circular A-4 states that an economic analysis should provide information allowing decision makers to determine that:

- There is adequate information indicating the need for and consequences of the regulatory action;
- The potential benefits to society justify the potential costs, recognizing that not all benefits and costs can be described in monetary or even in quantitative terms, unless a statute requires another regulatory approach;
- The regulatory action will maximize net benefits to society (including potential economic, environmental, public health and safety, and other advantages; distributional impacts; and equity), unless a statute requires another regulatory approach;
- Where a statute requires a specific regulatory approach, the regulatory action will be the most cost-effective, including reliance on performance objectives to the extent feasible; and

- Agency decisions are based on best reasonably obtainable scientific, technical, economic, and other information.¹

OSMRE has conducted a rigorous analysis that follows analytic standards set forth by OMB. As described in the RIA, the primary steps undertaken in developing the RIA include the following:

- **Defining the baseline conditions:** The first step involves estimating current and expected future conditions in the absence of the rule. The baseline includes the existing regulatory and socioeconomic burden imposed on regulated entities potentially affected by the Final Rule; the factors that will impact demand for coal absent this rulemaking; changes in industry practices absent the rulemaking; and changes in the location and structure of the industry absent the Final Rule.
- **Determining the regulated industry response to the Final Rule:** The next step in the analysis involves forecasting the behavioral response of the regulated community to the new rule. Specifically, for this analysis, we develop 13 “model mines” of varying size, geographic location, and mining method, and evaluate how the mining industry will adapt to the new requirements under each alternative.
- **Estimating the total regional and national changes in costs:** The third step is to model, at the regional level, the increase in the cost of coal production resulting from the requirements of the rule and changes in industry behavior. We also estimate costs borne by regulation and enforcement officials (i.e., government) as well as the costs incurred by the regulated community.
- **Estimating welfare losses and economic impacts:** Changes in the cost of producing coal will either result in lower profits to coal producers, and/or higher prices to coal consumers. Higher prices to consumers will result in reduced demand for coal, and will generate changes in economic welfare. Changes in the cost of producing coal in each region may also impact the regional distribution of coal production (i.e., favor some regions and coal production methods over others).
- **Estimating the potential benefits of the regulatory action:** This step involves assessing the benefits of the regulation and quantifying and monetizing those benefits to the greatest extent possible. Benefits are expected to result when changes in industry practices lead to greater environmental or human health protection. Benefits can also result if there is a shift in production to less-environmentally sensitive regions, or to a less environmentally damaging production technique.
- **Assessing distributional impacts:** In addition to estimates in costs and benefits on the net effects of the regulations, stakeholders and decision-makers are interested in the effects of the regulations on specific groups, such as small businesses, specific geographic areas, or governments.
- **Analysis of the alternatives:** OMB directs agencies to consider alternative regulatory schemes, such as different enforcement methods, degrees of stringency, requirements for different sized firms, requirements for different geographic regions, and market-oriented approaches. We compare the results for the nine alternatives considered and highlight how their impacts likely differ.

The results of the RIA are summarized in the RIA Executive Summary. As shown, compliance costs for the final SPR are expected to be \$81 million annualized (2014 dollars). Average annual impacts on coal production are anticipated to be 0.7 million tons per year for the Preferred Alternative. Other quantified impacts in addition to a measure of impacts to overall social welfare, include coal prices, electricity

¹ Office of Management and Budget (OMB). 2003. Circular A-4: Guidance on Development of Regulatory Analysis. Issued September 17, 2003.

production costs, employment, severance taxes, environmental resources and human health. Impacts are also estimated for the eight other regulatory alternatives considered by OSMRE for use by decision makers.

The supporting SPR analyses also include the assessment of other equity considerations and regulatory impacts, including an assessment of Unfunded Mandates (UMRA), energy impacts (EO 13211), environmental justice (EO 12898), children’s health protection (EO 13045), Tribal governments (EO 13175), and Federalism (EO 13132). In particular, the analyses address requirements related to the following:

- **Regulatory Flexibility Act (RFA) of 1980, as amended by the Small Business Regulatory Enforcement Fairness Act (SBREFA) of 1996.** The RFA (codified at 5 U.S.C. §§ 601-612), as amended by SBREFA (Pub. L. 104-121), requires Federal agencies to prepare a regulatory flexibility analysis and take other steps to assist small entities -- unless the agency certifies that a rule will not have a “significant economic impact on a substantial number of small entities.” The Small Business Administration’s (SBA) *A Guide for Government Agencies: How to comply with the Regulatory Flexibility Act* walks Federal agencies through the process of preparing screening analyses and initial and final regulatory flexibility analyses. The Regulatory Flexibility Act analysis for the SPR is provided in Appendix A to the RIA.
- **Unfunded Mandates Reform Act (UMRA) of 1995.** UMRA (codified at 2 U.S.C. § 1501 *et seq.*) requires Federal agencies to assess the effects of their regulatory actions on State, local, and Tribal governments and the private sector. Under section 202 of UMRA, Federal agencies must prepare a written statement, including a cost-benefit analysis, for rules that may result in the expenditure by State, local, and Tribal governments, in the aggregate, or by the private sector, of \$100 million or more in any one year. The UMRA analysis incorporates the analysis conducted in the main text of the RIA and is provided in Chapter 9 of the RIA.
- **E.O. 13211 – *Actions Concerning Regulations That Significantly Affect Energy Supply, Distribution, or Use.*** E.O. 13211 directs Federal agencies to “weigh and consider the effects of the Federal Government’s regulations on the supply, distribution, and use of energy.” Agencies must prepare a Statement of Energy Effects for regulations meeting the definition of a “significant energy action.” The energy impacts analysis is provided in Chapter 9 of the RIA.
- **E.O. 12898 – *Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations.*** E.O. 12898 directs Federal agencies to prioritize achieving environmental justice by identifying and addressing disproportionately high and adverse human health or environmental effects of their programs, policies, and activities on minority and low-income populations. This analysis is provided in Chapter 4 of the EIS.
- **E.O. 13045 – *Protection of Children from Environmental Health Risks and Safety Risks.*** E.O. 13045 directs Federal agencies and departments to evaluate the health effects of health-related or risk-related regulations on children. For economically significant rules concerning an environmental health or safety risk that may disproportionately affect children, E.O. 13045 also requires an explanation as to why the planned regulation is preferable to other potentially effective and feasible Alternatives. The findings for this analysis are presented in Chapter 9 of the RIA. While the environmental protection provisions of the Final Rule may improve health conditions for children, the Final Rule is not subject to E.O. 13045 because it does not involve decisions on environmental health or safety risks that may disproportionately affect children.

- **E.O. 13175 – Consultation and Coordination with Indian Tribal Governments. E.O. 13175 and Secretarial Order 3317 – Department of the Interior Policy on Consultation with Indian Tribes**, address related unfunded mandate concerns with respect to the sovereignty of tribal governments, and impose requirements on Federal agencies to develop accountable processes to ensure “meaningful and timely input by tribal officials in the development of regulatory policies that have tribal implications.” OSMRE provides this analysis in Chapter 5 of the EIS of the Final Rule.
- **E.O. 13132 – Federalism.** E.O. 13132 requires agencies to develop a process to ensure “meaningful and timely input by State and local officials in the development of regulatory policies that have federalism implications.” Policies that have federalism implications are defined in the Executive Order to include regulations that have “substantial direct effects on the States [in terms of compliance costs], on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government.” In addition, policies have federalism implications if they preempt State law. The findings of this analysis are presented in Chapter 9 of the RIA. As discussed, government agencies are expected to bear annualized costs on the order of \$0.5 million.

5. Regulatory and Energy Market Baseline for Analysis

Comment: Several commenters stated that the Draft RIA and the DEIS consider an incorrect regulatory, environmental, and/or market baseline. For example, commenters state that OSMRE's forecasts of the regulatory impact of the Proposed SPR are not based on realistic business or national economic forecasts or socioeconomic considerations. Commenters also state that a large amount of time has passed since OSMRE first raised the issue of the need for additional stream protection under SMCRA, and that a significant amount of other new federal water quality and stream protection measures, policies and guidance have been developed and implemented, or are in the process of being implemented. Commenters state that the baseline/No Action Alternative should have considered proper enforcement of the existing regulations as the regulatory baseline. They allege that proper enforcement and pre-existing mechanisms, such as NPDES permits under the Clean Water Act Section 303, would achieve a level of environmental protection to streams similar to the proposed SPR without the additional requirements. Additional comments addressed issues of scale, stating that our approach should be more oriented towards local and county-level impacts and initial conditions.

Response: As described in section 3.3 of the RIA, best practices in the conduct of regulatory impact analysis dictate that the meaningful measure of the incremental impact of a rule requires comparing the state of the world absent the rule (i.e., the regulatory baseline) with the state of the world with the rule.² The baseline should reflect compliance actions that are already being undertaken by the industry due to other regulatory requirements as well as ongoing market trends and conditions. The baseline demand for coal from a given region will be influenced by numerous exogenous factors (i.e., factors unrelated to this rulemaking), including: reserve depletion; changes in relative production costs; changes or limitations in transportation capability and cost; growing demands for low-sulfur coal; the abundance of, and relative cost of, alternatives to coal for electricity production (especially natural gas); changes in demand for steam coal resulting from the adoption of renewable portfolio standards for utilities; changes in demand

² OMB, Circular A-4, 2003.

for metallurgical coal (driven by domestic levels of iron and steel production, as well as demand from overseas); and changes in demand in the U.S. export market. Our model assumptions about future coal demand and supply are discussed in Chapter 5 of the RIA and Appendix F.

The OSMRE RIA and FEIS evaluate the impacts of the rule against a baseline that includes existing regulations affecting coal production and markets and reflects a reasonable projection of coal market trends over time. Given the current regulatory and market uncertainty, the RIA analysis measures the potential impacts of the Proposed Action against three alternative baseline coal market forecasts, including one where coal demand is lower and one where coal demand is higher than the primary base case. The baseline forecasts have been updated in the Final RIA to reflect the changing conditions in the coal industry. The RIA provides a comparison of OSMRE's forecasts to the U.S. Energy Information Administration (EIA) forecasts.

Due to the broad geographic scope and timeframe for the analysis, we are unable to forecast conditions at every future mine site as part of this national-scale EIS. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.

With regard to uncertainty within the analysis, there is substantial uncertainty regarding future impacts of the Rule. This results from a number of factors including uncertainty within the energy market, regulatory environment, and specific industry behavior. We address these uncertainties by conducting sensitivity analyses, providing ranges of potential impacts, applying multiple discount rates, and acknowledging the uncertainties throughout the analysis and in tables at the end of each chapter of the RIA.

6. Technical Accuracy

Comment: Several commenters stated that the RIA and EIS contain numerous technical inaccuracies, factual errors, and misrepresentations of current surface and underground mining practices throughout the U.S. Commenters alleged that the RIA relies upon environmental, mining industry, and economic data and assumptions that are vague and difficult to substantiate with publically available technical facts, current industry practices, and social conditions. They assert that OSMRE's interpretations of socioeconomic conditions, electric power markets, mining practices, and environmental conditions are not grounded in reality. Moreover, commenters contend that the RIA largely ignores relevant and plausible direct and indirect responses to the implementation of the Proposed Rule, such as reduced mining activity, job losses, changes to disposable incomes in local communities, and increased dependence on government health care due to unemployment. One commenter stated that the DEIS does not accurately or reasonably portray the environmental, social, or financial impacts of the SPR on the mining industry, local and state economies, or the nation.

Response: In evaluating regulatory impacts, OSMRE is charged with estimating the incremental costs and benefits of the Proposed Rule according to Federal agency guidance and best practices as called for under E.O. 12866 and described in OMB's Circular A-4. According to these best practices, RIA evaluates changes in "social welfare" brought about by a regulation, typically measured in terms of changes in producer and consumer surplus. This reflects changes in the value of goods and services gained and/or lost by society due to compliance with the rule. Consistent with this guidance, the OSMRE RIA accordingly quantifies the compliance costs of implementing the rule using the best available data

and models, as well as the broader social welfare effects of the rule applying well-accepted economic methods. In addition to OSMRE input, primary data sources for the analysis include the following: Mine Safety and Health Administration (MSHA), United States Census Bureau; Bureau of Labor Statistics (BLS); U.S. Energy Information Administration (EIA); U.S. Environmental Protection Agency (U.S. EPA); U.S. Army Corps of Engineers (USACE), an engineering design and analysis for 13 mines conducted by industry mining engineers; Moody's Analytics, National Oceanic and Atmospheric Administration data, reported power plant capacity additions and retirements, State renewable portfolio standards, propriety coal transportation databases, publicly traded coal company filings (reserves, costs, mine activity), publicly traded gas and transportation company Form 1 filings, utility integrated resource plans. The analysis includes an assessment of implications of the rule on coal production (mining activity), employment, changes in income, as well as potential implications for public health and safety, and quality of life, among other considerations. The RIA and EIS attempt to recognize key uncertainties throughout the analyses, and includes several sensitivity analyses conducted for key assumptions. In particular, the energy market modeling efforts incorporate a range of three potential baseline forecasts for coal production in order to take into consideration the high level of coal market forecasting uncertainties that exists. The engineering analysis conducted a number of sensitivity analyses to particular assumptions.

7. Water Quality Benefits

Comment: Commenters question whether the scientific data provided in the Draft RIA and DEIS is sufficient to show that mining causes adverse impacts to water quality. Commenters also state that the SPR is not necessary because existing regulations, such as the National Pollutant Discharge Elimination System (NPDES), are sufficient to address potential issues. Commenters state that OSMRE's method for determining affected streams is fraught with errors and uncertainties. Commenters question, in particular, the use of a study finding that the downstream effects from mining extend approximately 6.2 miles from the mine site in West Virginia to calculate downstream effects for all coal regions across the U.S. without any apparent adjustments for the distinct differences in topography, geology or hydrology between the coal producing regions. Additionally, commenters state that the incremental stream benefits anticipated to result from the SPR represent improvements to a relatively small share of overall water in resources in affected regions. As such, commenters conclude that the requirements of the SPR, in comparison to the projected benefits, appear to be extreme.

Response: Section 4.2.1.1 of the DEIS summarizes the available scientific literature characterizing the negative effects of mining under the baseline regulatory environment (No Action Alternative) on downstream water chemistry, including in areas outside of Appalachia. These studies find, in particular, elevated levels of arsenic, selenium, iron, aluminum, sulfate, and manganese, as well as increased acidity and elevated conductivity in downstream waters from coal mining sites, and demonstrate the need for additional regulation focused on reducing these impairments.

The DEIS then summarizes the literature relating coal mine management and reclamation practices to water quality impacts, both positive and negative. For example, the DEIS describes the science relating both excess spoil fills and coal mining through streams to increased sulfate, bicarbonate, calcium, and magnesium concentrations, as well as elevated conductivity levels, in downstream waters. Additional studies characterize the benefits of establishing riparian buffers at mine sites to reduce the sediment and chemical runoff that reach streams. Other studies show that consistent and regular monitoring practices are key to identifying and addressing specific water quality issue at given sites. Based on this information,

OSMRE targets these specific management practices in order to reduce the effects of mining on downstream water quality.

OSMRE agrees that data are currently insufficient to develop a model that forecasts a specific level of water quality improvement expected from the SPR (e.g., a specific reduction in the presence of a particular contaminant or improvement in stream health metrics, such as EPT richness) downstream of each future mine site.

In light of current data limitations and in order to provide perspective on the level of downstream water quality benefit generated by the rule alternatives, the EIS estimates the downstream stream distance over which adverse effects of mining may occur absent the rule using a number of factors, most of which rely on region-specific data. These adversely affected streams are expected to benefit from improved management practices as part of the SPR. The region-specific multipliers estimating the downstream distance of impairments applied in the analysis integrate information on: the average size of mine sites in each region; the number of intermittent and perennial streams that have crossed mine sites on average in each region (ephemeral streams were not included in the analysis of downstream water quality benefits); and the distance over which the negative water quality effects persist. The estimated disturbed area associated with coal mines in each region derives from coal mine permit data from each coal mining region. The number of intermittent and perennial streams crossing mine sites is based on data identifying existing and past coal mine locations and USGS data on locations of streams across the U.S and is specific to each region. The estimated linear distance of stream over which negative effects of coal mining persist downstream of a mine site (approximately 6.2 miles downstream) is based on a study of mine sites in Appalachia. Comparable literature was not identified that provides information on how the downstream distance may vary in other regions. This downstream extent factor is combined with data on the number of streams present on the site in each region to arrive at an estimate of the number of downstream stream miles that may be adversely affected by mining activities. The EIS acknowledges the uncertainties associated with this estimate in the methodology; however, the analysis provides a valid basis for making relative comparisons between the alternatives under consideration.

By factoring in region-specific information on average mine size, number of streams affected, and coal production, the analysis uses the region-specific multipliers that examine the length of stream that is affected by mining per million tons of coal produced. As shown in Section 4.2.1 of the EIS, these multipliers are larger in Appalachia than in other regions. That is, more streams are affected per ton of coal produced in Appalachia than elsewhere in the U.S. Specifically, the analysis finds that on the order of 0.6 and 0.8 stream miles are impaired per million tons of coal produced in Appalachia, compared to 0.01 stream miles impaired per million tons of coal produced in the Northern Rocky Mountains and Great Plains Region. The difference is due to the greater production levels and larger aerial extent of mines in the Northern Rocky Mountains and Great Plains Region, and to the greater density of intermittent and perennial streams in Appalachia. Ultimately, the analysis finds that the majority of the estimated water quality improvements occur in Appalachia, while downstream water quality impacts in other regions are limited. We have added some additional text in EIS section 4.2.1.1 to clarify how this analysis was conducted. Chapter 2 of the EIS provides a discussion of the selection of Alternative 8 as the Preferred Alternative.

8. Monetizing Environmental Benefits

Comment: Multiple comments expressed concerns regarding the analysis of benefits of the rule. In particular, commenters argued that benefits should be monetized. The comments suggested that the analysis should monetize benefits by estimating a reasonable range of economic values associated with the impacts, for example by “making overall assumptions about water use (e.g., 40 percent of streams are used for recreation, 60 percent are near population centers, etc.)” In the absence of monetized benefits, two comments state that the RIA fails to employ recommended alternative methods of exploring whether the benefits of the Proposed Rule are justified by the costs, including cost-effectiveness analysis, break even analysis, and Net Environmental Benefits Analysis (NEBA). Absent monetized benefits, these comments assert that it is not possible to conclude that benefits from the Proposed Rule exceed costs.

Response: OSMRE agrees that a comprehensive monetized accounting of costs and benefits is the most straightforward way to evaluate the effectiveness of regulations where this is possible. OSMRE further agrees that methods exist to monetize many of the types of economic benefits associated with this Rule. The economics literature demonstrates a long history of monetizing, for example, improvements to water quality or availability of forest habitat for recreational activities. The findings of some of the most relevant literature are summarized in Chapter 7 of the RIA and Chapter 4 of the EIS. Studies valuing environmental improvements are, however, highly context-specific and a reliable benefit transfer of their findings is generally not appropriate at the much broader regional level on which the RIA is focused. In addition, generalized assumptions about potential future mine site characteristics would be speculative and, while leading to a monetized benefit, would not provide better decision-making information to OSMRE.

The RIA complies with guidance for regulatory impact analysis from OMB in Circular A-4, which recognizes the difficulty in monetizing particular types of benefits and does not suggest forcing monetization where data and methods are too limited to provide defensible estimates. Specifically, OMB states:

“You should monetize quantitative estimates whenever possible. Use sound and defensible values or procedures to monetize benefits and costs, and ensure that key analytical assumptions are defensible. If monetization is impossible, explain why and present all available quantitative information. For example, if you can quantify but cannot monetize increases in water quality and fish populations resulting from water quality regulation, you can describe benefits in terms of stream miles of improved water quality for boaters and increases in game fish populations for anglers. You should describe the timing and likelihood of such effects and avoid double-counting of benefits when estimates of monetized and physical effects are mixed in the same analysis.... If you are not able to quantify the effects, you should present any relevant quantitative information along with a description of the unquantified effects, such as ecological gains, improvements in quality of life, and aesthetic beauty. You should provide a discussion of the strengths and limitations of the qualitative information. This should include information on the key reason(s) why they cannot be quantified.” (Circular A-4, 2003).

Consistent with this guidance, the benefits analysis in the RIA applies the best available information to evaluate how implementation of the Final Rule (and the regulatory alternatives) will improve

environmental performance of coal mining activities, thereby reducing environmental degradation and enhancing ecosystem services. Exhibit 7-1 of the RIA summarizes all categories of benefits expected to result from the Final Rule both in biophysical terms and how these improvements relate to ecosystem service benefits. Exhibit 7-2 then describes which metrics could be reliably quantified and monetized given available information.

Given available data, the analysis is unable to reliably quantify all of the economic benefits of the Final Rule. For four categories, the analysis quantifies the benefits in terms of biophysical changes (i.e., units of the resource, such as stream miles or acres of forest). In addition, the Final RIA includes one type of benefit -- reduced greenhouse gas emissions -- as a monetized benefit. The analysis emphasizes that the quantified metrics alone do not present a complete picture of the benefits of the rule, and provides qualitative information on how these environmental improvements improve people's well-being.

The alternative frameworks suggested by the commenters do not provide OSMRE with more or better information than the current RIA framework. Specifically, as OMB describes in Circular A-4, cost-effectiveness analysis (CEA) can be misleading where the effectiveness measure does not appropriately weight the consequences of the regulatory alternatives. "For example, when effectiveness is measured in tons of reduced pollutant emissions, cost-effectiveness estimates will be misleading unless the reduced emissions of diverse pollutants result in the same health and environmental benefits (Circular A-4)." As noted above (and also noted in the public comments on the Draft RIA), the extent to which water quality or forest quality improvements result in social welfare benefits (e.g., reduced health risk or improved recreational activities) varies significantly by site (e.g., different exposure pathways or variable accessibility for recreation). Thus, the quantified environmental benefits in the RIA are not appropriate for use in a CEA framework at a generalized regional level. Instead, the quantitative information on the environmental benefits is presented alongside qualitative information describing the link to improved social welfare, and the site-specific factors influencing this benefit.

Break-even analysis provides information on how small the value of the non-monetized benefits would need to be before the rule would yield no net benefit. This information is recommended in particular where some of the benefits are monetized and information is required on the level of significance of the non-monetized benefits and whether adding them to the monetized benefits would be expected to result in a net beneficial rule (or change the relative net benefits across regulatory alternatives). Given that none of the direct benefits of the rule are monetized, the estimated costs implicitly represent a break-even "threshold" for a net beneficial rule. While the direct benefits are not monetized, the qualitative information in the analysis is focused on providing information on the potential magnitude of the various benefit categories.

Finally, in general, the "NEBA Approach" referenced in this comment utilizes the same general principle applied in the RIA to consider benefits. That is, this approach involves measuring net environmental improvements (monetized or by other environmental metrics) so that alternative options may be compared by looking across all benefits metrics. However, the specific "NEBA Approach" referenced in this comment does not have precedent in a regulatory impact analysis context. This approach is most frequently applied to evaluate alternatives for oil spill planning and response, in particular to prioritize restoration and remediation activities for contaminated sites.

9. Public Health Effects

Comment: Several commenters stated that existing literature on human health impacts of mining does not support OSMRE's conclusions regarding human health benefits in the Draft RIA and DEIS. In addition, commenters state that OSMRE does not provide information within the water quality or public health sections of the Draft RIA and DEIS to demonstrate that the Proposed Action is expected to result in the reduced exposure of contaminants in drinking water sources. Lastly, a commenter suggested that the loss of jobs and a decrease in affordable health care would be caused by the Proposed Rule could worsen the health of sensitive subpopulations, including children, which the commenter believes falls under E.O. 13045.

Response: OSMRE provides available information within the water quality and public health sections of the Final RIA and EIS to demonstrate that the Final Rule is expected to result in the reduced exposure of contaminants in drinking water sources, by way of reduced contamination from coal mining activities. OSMRE acknowledges that more scientific research is needed to fully understand the causal relationships between mining related contaminant exposure and human health risks. However, while limited, the existing literature does associate mountaintop coal mining (a subset of surface mining activities) with an increased occurrence of human health related issues. Although these studies do not control for occupational exposure, the authors assert that because they have found positive associations in both men and women between proximity to mining operations and adverse health impacts, the effects are not strictly due to direct occupational exposure of coal miners, who are predominantly male. As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.

OSMRE appreciates the commenter's concern related to the impact that job loss and reduced availability of health care could have on children. However, E.O. 13045 (62 CFR 1985, April 23, 1997) applies to economically significant rules that concern environmental health or safety risks that U.S. EPA has reason to believe disproportionately affect children. Executive Order 13045 refers specifically to risks to health or to safety that are attributable to products or substances that the child is likely to come in contact with or ingest such as air, food, drinking water, water used for recreation, soil, and products exposed to children. These are elements in which the rule seeks to improve. The decrease in employment in the industry is anticipated to be small for the SPR relative to the total employment within the industry. Reduced access to health care is not an anticipated result of the SPR. OSMRE refers the commenter to the Environmental Justice Analysis as required by Executive Order 12898 presented in 4 Chapter of the EIS as it relates to disproportionately affected populations.

10. Model Mines Analysis

Comment: Several commenters are concerned that OSMRE did not evaluate any actual, operating mines for purposes of the RIA. Comments state that OSMRE relied on "model," "hypothetical" mines that theoretically represent various actual mining scenarios. Some commenters stated that OSMRE designed

its models so that they would not experience any impacts, and then used the results of its model mine analyses to conclude that the SPR will not impose significant new compliance costs.

Response: The Executive Summary to the RIA notes that coal mining operations vary from region to region, within a region, and within a mining type in a given region. The specific characteristics of the large number and wide variety of coal mines in the United States make a mine by mine analysis impracticable. In addition, the population of active mines is expected to change over time; as such, the precise location and operating characteristics of the population of future mines, which are the primary target of the SPR, cannot be forecast based on publicly available data. Given a lack of a mine-specific forecast of future operations, it is not possible to forecast for specific existing or future mines how operations will change under the Final Rule. Instead, this analysis relies on a “model mine” analysis developed by Morgan Worldwide, Inc., which provides results that are extrapolated to the universe of mines affected by the Final Rule. A “model facilities” approach has been successfully employed in other contexts. The purpose of assessing the impacts of the Final Rule and the alternatives at the model mine level is to approximate how mining operations in each region might change operations or be designed in response to different requirements and elements of each alternative, and to develop metrics that can be used to further calculate the benefits and impacts of the alternatives.

The goal of the Model Mine analysis was to design mines that are representative of operations located in each region. However, the analysis recognizes that actual future individual mining operations are likely to vary in practice based on specific factors such as topography, geology, and hydrology that cannot be modeled in detail across a large scale. Therefore, the engineering analysis for the RIA and EIS describes impacts that would be expected to occur under similar conditions as those encountered by real operations but does not necessarily imply that the results would be applicable to all mining operations in a certain region.

The model mines were not predetermined in order to avoid showing impacts of the SPR. After establishing a mine in each coal region, MSHA coal production data were utilized to determine the individual mining methods that would represent each of the respective coal regions. Annual coal production levels were derived for each model mine from the MSHA data. In total, engineers designed and analyzed thirteen representative model mining operations, which are categorized by coal region and size of mine (tons of coal produced annually). The analysis also includes designs for five coal refuse facilities associated with underground mining operations.

The model mine analysis uses design elements from currently operating mines. Active mine permits with similar annual production tonnages to the regional representative tonnages were reviewed and used as a basis for designing the modelled mines. Specifically, actual permits were used to define coal seam thicknesses, life of mine coal reserves, depth of cover and stripping ratios, stream impacts, mine impact acreage, reclamation plans, and other pertinent information related to each operation. The geographic location of each active permitted operation was also used to identify a realistic mining location for each model mine. The proximity of the model mines to the associated actual permits ensured the terrain and geology of the model mine operation mirrored the permits, while not asserting particular findings for particular already-operating mines. Details of the model mines design process are included in Appendix B of the Final RIA.

11. Material Damage to the Hydrologic Balance (MDHB)

Comment: Several commenters stated that the RIA fails to accurately capture or depict underground mining operations and the real implications of the rule for these practices, failing to consider the possibility that longwall operations would cease entirely if the rule were to go into effect. Commenters were concerned that the evaluation of the concept of MDHB as applied in the RIA only includes analysis for a permanent diminution of stream loss, but the definition of MDHB in the Proposed Rule includes other criteria that could include MDHB attributable to longwall-induced subsidence as well as other mining operations. Commenters also questioned specific assumptions related to overburden depths at which permanent stream loss would not be expected.

Response: OSMRE clarified in the Final Rule and FEIS that the definition of material damage to the hydrologic balance outside the permit area does not apply to temporary subsidence impacts from underground mining. The rule text was revised to ensure that temporary subsidence impacts to streams and other water resources are corrected or repaired within a two-year period. This clarification was in response to concerns that the Final Rule could be interpreted so as to prohibit underground mining operations that could result in temporary impacts to streams.

The model mines were designed to reflect real conditions at mine sites in affected regions as much as possible. The assumed depth of the model mines were determined based on real geological conditions at permitted sites, and were not artificially lowered to avoid MDHB issues that could occur at shallow depths. Because MDHB issues are so site specific, it was not appropriate to evaluate these potential issues as part of the model mines analysis. As such, the potential impacts to longwall mining activities were evaluated on a regional basis to gain an understanding of the likelihood of potential issues arising given current methods. The EIS and RIA Appendix D merely note that the underground model mines as designed appear unlikely to result in MDHB issues, but does not draw broad conclusions based on this observation.

Regional overburden depths were used as a basis to evaluate the regions with regard to the likelihood of permanent stream flow loss as a result of underground mining. Although technical literature provided some guidance when determining threshold depths, no reference gave definitive answers with regard to a regional evaluation of permanent stream flow loss. The regional overburden depths included in Appendix D were derived from the technical material with an understanding of current mining practices and the number of instances of permanent stream flow loss that each region has experienced.

In cases where the analysis states that room and pillar mining could be used in lieu of longwall mining to protect streams from impacts, it recognizes that recovery will be less than longwall mining. Leaving coal pillars to protect streams is an expected and reasonable action where streams are likely to experience permanent stream flow loss. If a room and pillar mine is properly designed and the mine plan is correctly implemented, room and pillar mining should not cause permanent stream flow loss. While it may not be certain that room and pillar mining will not result in MDHB, it is much less likely to occur in room and pillar mining than in longwall mining because subsidence is likely to be less extensive in room and pillar mining. Therefore, this mining method was not evaluated in Appendix D of the Final RIA.

12. Stranded Reserves

Comment: Several commenters stated that the RIA and EIS ignore the fact that the SPR would result in vast volumes of stranded coal reserves that would no longer be economically feasible to extract.

Response:

As described in Chapter 5 of the RIA, stranded reserves would be reserves that are technically or economically mineable but are unavailable for production given the new requirements or restrictions of the SPR. The assumption by the commenters that “vast volumes” of coal would be stranded appears to be rooted in an assumption the Proposed Action would result in the total shutdown of the longwall industry. This is not anticipated (please refer for the Master Response to the National Mining Association comments for additional details). Instead, the primary impacts of the Proposed Action are anticipated to be increased costs to produce coal; this increase in costs is anticipated to reduce coal demand from utilities. This is different than stranding particular reserves.

As discussed in Chapter 5 of the RIA, a potential impact of the Final Rule is a reduction in physical access to some reserves (as opposed to increasing costs of coal production), which would reduce coal reserve values. We examine whether coal reserves may be “stranded” or “sterilized” – i.e., rendered effectively unavailable for production given the new requirements of the Final Rule, in addition to the cost effects of other rule requirements. We define stranded reserves as those that are technically and economically minable, but unavailable for production given the new requirements and restrictions included in the Final Rule. Stranding reserves would represent a market welfare cost that may not have already been captured in the assessment of the impacts of increased costs on coal production.

Our analysis indicates that there will be no increase in stranded reserves under any of the Alternatives. That is, the engineering analyses underlying the economic analysis determined that the same volume of coal could be mined under each of the Alternatives as under the baseline alternative. Even if there is no stranding of reserves, coal production may decline as shown in the results below. A decline in production does not necessarily imply that reserves are stranded. Instead, it may simply reflect reduced cost competitiveness for coal relative to other energy sources, which may slow the annual rate of production. In the hypothetical case, for reserves that mines would be unable to extract from the ground due to a Final Rule, the loss in reserve value would be the baseline value of these reserves, as represented by the present value of the *economic* profits that mines (or the land owner) would earn on these reserves over time, where economic profit is specified as the value of the coal, as extracted, minus the cost of extraction, including normal profits (i.e., opportunity costs of capital). Normal profit may be estimated based on the weighted average cost of capital to the coal mining industry. That is, the cost associated with stranding coal in the ground would be measured as the value of that coal in the ground to the mine operator or landowner.

Note that estimates of foregone reserve value would vary across different baseline scenarios analyzed, as baseline policies that encourage substitution to other fuels would reduce coal prices and slow the production rate for these reserves, both of which would reduce reserve value. An analysis of the change in reserve value for foregone reserves would rely on baseline market forecasts for coal (described below) for information on coal prices and production under each baseline scenario. Estimation of these reserve values would also reflect the cost of extracting these reserves (to assess profitability). Calculation of the welfare loss associated with the stranding of reserves would detailed information not only on the trends in coal prices over time, but also the likely timing of production for a given coal reserve, and the costs of

production for a given reserve. Each of these variables introduces uncertainty into the assessment of reserve value.

13. Industry Operational Costs

Comment: Several commenters stated that the Draft RIA underestimates the significant increase in operational costs that will result from the Proposed Rule. Commenters stated that costs include direct compliance costs (including time delays) associated with the additional effort involved in permit renewal and applying for new permits that would not otherwise be required under the existing regulations; more frequent permitting that may be required to maintain productivity while working around stranded reserves; and the increase in costs and duration of baseline monitoring under the Proposed Rule, which would require data collection over twelve consecutive months (assuming that an extreme weather event is not encountered). Commenters alleged that although the methodology in the basic analysis is sound, OSMRE's calculation grossly underestimates the costs of the Proposed Rule.

Response: In evaluating regulatory impacts, OSMRE is charged with estimating the incremental costs and benefits of the Proposed Rule according to Federal agency guidance and best practices as laid out in OMB's Circular A-4. According to these best practices, a Regulatory Impact Analysis evaluates changes in "social welfare" brought about by a regulation, typically measured in terms of changes in producer and consumer surplus. This reflects changes in the value of goods and services gained and/or lost by society due to compliance with the rule. Consistent with this guidance, the Final RIA accordingly quantifies the compliance costs of implementing the rule using the best available data and models, as well as the broader social welfare effects of the rule applying well-accepted economic methods.

Compliance costs are comprised of industry administrative costs borne by private entities, costs associated with changes to operations and/or additional capital costs required to comply with the Proposed Rule (as borne by mine owners and operators), and costs to State and Federal governments (regulatory authorities) associated with implementing the rule. The Final RIA and FEIS evaluate how each rule element alone and in combination may affect future coal mining operations under each proposed alternative. The analyses include and quantify increased costs to the industry associated with baseline data collection and more frequent monitoring, as well as administrative time spent on permitting applications. In the Final RIA and FEIS, estimates of industry administrative and regulatory authority costs (including costs of monitoring as well as paperwork requirements associated with permitting) have been reconsidered and increased in response to public comments. The Final RIA and FEIS now also include quantified costs associated with delays related to bonding requirements in the SPR. Chapter 4 of the Final RIA describes the compliance cost estimation method in detail. Detailed assumptions about the administrative (paperwork and monitoring) requirements are presented as part of the PRA analysis in the preamble to the final rule. The EIS in Chapter 4.3.1 recognizes that the Alternatives will result in costs to the industry as well as RAs that include monitoring and permitting-related requirements. A sensitivity analysis was conducted on the major components driving compliance costs, including haulage costs, reforestation costs, production levels and stripping ratios. The results of this analysis are presented in Final RIA Appendix B, Attachment A.

14. Regulatory Authority Costs

Comment: OSMRE received comments stating that the Draft RIA and DEIS underestimate the costs of the proposed rule to state regulatory authorities. The commenters stated that funding for state regulatory

and permitting staff in Ohio and match dollars for grants from the OSMRE are projected to decrease to a level that a number of employees will need to be transferred to other sections or positions will be eliminated. Some regulatory authorities stated that OSMRE considers the additional employment by regulatory authorities as an offset to the loss of employment in the coal industry and the associated unemployment that goes with reduced coal production. Other commenters stated that reductions in coal production have a direct impact to state permitting and regulatory staff numbers. Commenters stated that the Draft RIA and DEIS did not address the staffing needs and costs to regulatory authorities associated with increased monitoring, including requirements for experts in various scientific and technological areas that are currently not present or insufficient in many state regulatory authorities. Some commenters point out that the time and resources required to review a permit do not correlate to the amount of coal produced on a permit. Permit review times depend on the number, size, and most importantly, complexity of the permit. There is a large amount of variation in how state programs are run. Some have separate permit writers and inspectors; some do both permitting and compliance. These differences should be considered in the context of additional costs incurred by state regulatory programs.

Response: The estimates for regulatory authority costs have been revised in the Final RIA and FEIS to better reflect potential changes that are anticipated as a result of the SPR. As the commenters note, the proposed rule has the potential to increase costs to regulatory authority programs. As described in Chapter 4 of the Final RIA and Section 4.1 of the FEIS, these costs include costs associated with additional permitting requirements imposed by the alternatives. Additional analysis on costs expected to be experienced by regulatory authorities has been added to Section 4.1 of the FEIS. We note that the purpose of our analysis of these costs is to estimate the incremental costs to these programs due to the SPR over and above expenditures that would have already occurred under the baseline (or No Action Alternative). Thus, the aim of the analysis is not to estimate the total annual expenditures by these programs. After considering public comments, information on total baseline expenditures has been added to the analysis for context in Appendix H of the RIA. After considering public comments regarding the independence of budgets from coal demand, we have also revised the approach used to calculate these “government costs” (revised in the Final RIA and FEIS to be referred to as Regulatory Authority Expenditures) on a state-wide basis that is compared to program expenditures and is not assumed to be dependent on the amount of coal produced in a given year. As reported in Chapter 4 of the RIA, current regulatory authority program expenditures across the U.S. are estimated to be \$88 million. The Final RIA and FEIS estimate that the SPR will result in an increase in costs to regulatory authority programs of approximately \$0.6 million annually under the Proposed Action across all programs. These increased costs to the regulatory authorities are detailed in Section 4.5 of the RIA, Section 4.1 of the FEIS, and Appendix H to the Final RIA.

The overall decrease in forecast coal production due to the SPR is anticipated to be modest, totaling a reduction of approximately 0.08 percent for the Final Rule. While we recognize that this change may depress the need for regulatory authority staffing to some degree, permitting requirements are also anticipated to increase demand for regulatory authority resources, including staffing, under the SPR. The FEIS and Final RIA recognize that the regulatory workload activity is dependent on the level of permits being administered and the number of new permit applications processed annually. These activities are in decline regardless of this Rule. It would be incorrect to say regulatory budgets and staff must increase from current levels as a result of the alternatives. The analysis does not assume that industry employment

reductions that are estimated to result from decreased coal production will be offset by increases in government employment.

15. Industry Administrative Costs

Comment: Several commenters stated that industry administrative costs of the SPR to industry are understated. The commenters stated that significant increases in baseline collection, data sampling requirements per permit and adjacent areas, expanded sampling parameters, including biological sampling, increases in laboratory analyses costs and quality assurance/quality control (QA/QC) of baseline sampling, and engineering monitoring requirements, will have a greater impact on the coal industry than the Draft RIA and DIES reported.

Response: OSMRE agrees that the SPR is likely to result in costs to operators, some of which include administrative time spent on permitting applications and other compliance-related activities. Specifically, the alternatives (with the exception of the No Action Alternative and Alternative 9) within the DEIS proposed monthly baseline data collection for surface and groundwater for a 12-month period, followed by quarterly monitoring until final bond release. The Final RIA and FEIS include industry administrative costs associated with baseline data collection and more frequent monitoring, as well as administrative time spent on permitting applications. Upon review and consideration of public comments, OSMRE has updated and revised these estimates in the Final RIA. These estimates have increased from the Draft RIA and DEIS to approximately \$17 million for the Preferred Alternative (annualized at seven percent discount rate).

16. Alternative Analysis Provided by the National Mining Association (NMA)

Comment: Several commenters pointed to the alternative analysis provided by the National Mining Association, conducted by Ramboll Environ on the impacts of the SPR. Commenters compare the results of this analysis to those presented in the DEIS and Draft RIA and ask questions about the differences in the presented results.

Response: NMA submitted an analysis conducted by Ramboll Environ of the impacts of the SPR on the coal industry. In this analysis, Ramboll Environ conducted 36 interviews of “members”³ regarding anticipated impacts of the SPR on recoverable reserves.⁴ No information is provided about how this sample was selected or the response rate to interview requests. This raises questions that are not addressed in the analysis regarding how representative the sample is of all mines in the U.S. and regarding the potential for self-reporting bias.

Interviewees were asked to provide estimates of the volume of coal reserves that would not be accessible due to the SPR.⁵ Ramboll Environ did not provide the results of this survey in their comments, which

³ “Member sample of firms,” presumably NMA members. See page ii. “The survey included data from 36 individual coal mines, from firms representing over 66 percent of the national coal production” (Ramboll Environ, 2015, p.12).

⁴ “Based on the understanding of the rule and its potential impact on the industry, a list of informal questions was developed and used to conduct interviews with coal companies that would be impacted by the rule” (Ramboll Environ, 2015, p.12). “the response to the proposed rule was based on confidential discussions with firms regarding the context of the proposed SPR” (Ramboll Environ, 2015, p.34)

⁵ “Companies provided the accessible reserves under the present situation (baseline), as well as the reduction in these reserves under one or more interpretations of the rule.” (Ramboll Environ, 2015, p. 13)

complicates our ability to assess the reasonableness of the responses. While the interviewee responses are not provided, at the high-end the survey results would represent a significant shut down of the mining industry, with half of the surveyed mines closing (including 82 percent of the underground mines). The mines closed represented 90 percent of underground and 75 percent of surface mine production.

Ramboll Environ assumes that the interviewee estimates of “loss in reserves” at individual mines leads to a proportionate nationwide reduction in *annual coal production and job losses*.⁶ Extrapolating the anticipated reduction in coal reserves in this way assumes no potential exists for adaptation or substitution to offset the loss in reserves at the surveyed sites (e.g., increased coal production at other sites or in other regions).

Ramboll Environ translates the decrease in production into a monetized “coal value at risk.” This calculation involves simply multiplying the reduced production by the current regional average price of coal.⁷ This method is a gross oversimplification of how a reduction in production would generate costs and is not consistent with well-accepted economic methods for evaluating costs of regulations.⁸ For example, it does not consider that a large portion of the price of coal are costs that are not “lost” if the coal is not produced but rather are unspent.

The percent change in coal production (27 to 64 percent) is also applied to estimate the direct employment losses, or “jobs at risk” (40,038-77,520). The report then applies NMA multipliers from a 2014 study to arrive at an estimate of indirect jobs at risk.⁹ Ramboll Environ also applies multipliers to the calculated “coal value at risk” of \$13.9 to \$28.7 billion to arrive at total impacts on output of \$27 to \$58 billion per year. All of the estimates are calculated assuming 2013 coal production levels and prices over time (not accounting for trends in the industry).

Best practices in the conduct of regulatory impact analysis dictate that the meaningful measure of the incremental impact of a rule requires comparing the state of the world absent the rule (i.e., the regulatory baseline) with the state of the world with the rule.¹⁰ The baseline should reflect compliance actions that are already being undertaken by the industry due to other regulatory requirements as well as ongoing market trends and conditions. The Final RIA evaluates the impacts of the rule against a baseline that includes existing regulations affecting coal production and markets and reflects a reasonable projection of coal market trends over time. On the other hand, the Ramboll Environ analysis makes no attempt to forecast an analytic baseline over the timeframe for which the SPR would be implemented. Instead, the Ramboll Environ analysis conflates existing regulatory requirements (e.g., stream restoration required under the Clean Water Act) with the requirements of the Proposed Rule, and considers only the current

⁶ “The decrease in reserves was applied to the actual production data in each region and type of mining in order to derive the anticipated loss in annual production due to the proposed rule.” (Ramboll Environ, 2015, p. 13)

⁷ “Reserve losses were used to estimate declines in production and projected job losses.” (Ramboll Environ, 2015, p.13). Results are an expected decrease in 263.1 to 629.1 million tons of coal produced per year (roughly 27 to 64 percent of current coal production).

⁸ Best practices in the conduct of regulatory impact analysis are described in: White House Office of Management and Budget, “Circular A-4,” September 17, 2003.

⁹ The NMA study estimated a national employment multiplier of 3.62 for the coal mining industry.” (Ramboll Environ, 2015, pg. 26)

¹⁰ OMB, Circular A-4, 2003.

price and production of coal as a single snapshot in time.¹¹ This is particularly odd in light of the fact that Ramboll Environ specifically presents a forecast contraction of the industry in Appendix A of its own analysis (Figure A-3, p.A-4). This contraction is not reflected in the calculations of the economic impacts of the SPR.

The Final RIA considers how mine operators may implement each of the SPR elements at different types and locations of mines across the country. Importantly, the Final RIA models changes in mine operations in accordance with OSMRE's intent in implementing the rule elements. Conversely, the Ramboll Environ analysis relies on an uncertain interpretation of the SPR requirements and, in fact, there seems to be a disconnect between the SPR elements as written, and their interpretation by Ramboll Environ and the surveyed members.

For example, the Ramboll Environ analysis of SPR impacts to underground mining appears to rely on an assumption that the proposed rule would not allow temporary impacts to the hydrologic balance for underground mining.¹² The only survey response data provided is presented as a "member example" in Appendix B. In this example, the underground mine plan assumes that no mining activities would be allowed under streams.¹³ In quantifying this loss in activity as a result of the SPR, the Ramboll Analysis assumes that existing regulations, including the Clean Water Act, would have no effect on the ability to mine under the streams and therefore any restrictions would be solely due to the SPR. However, this is incorrect. In fact, NMA's own submitted comments on the rule recognize that SMCRA and other existing federal laws such as the Clean Water Act already protect stream disturbance.¹⁴ Thus, the one example provided clearly understates the baseline, and therefore overestimates the impacts of the SPR.

Furthermore, at the high-end, the member example in Appendix B assumes that the SPR itself would result in a complete prohibition on all underground mining beneath intermittent, perennial, and ephemeral streams. In this way, the Ramboll Environ analysis reflects an extreme assumption that implementation of the SPR requires avoidance of all impacts to the hydrologic balance inside or outside of the permit area, permanent or temporary.¹⁵ This is not the intent of the SPR and, again, it implies that no protections to the hydrologic balance outside the permit area are currently required by any regulations. There exists clear examples of recently permitted mines where modifications to mine plans have been made in order to avoid impacts to the hydrologic balance outside the permit area. Ramboll Environ understates the

¹¹ The OSMRE RIA calculates the incremental costs and benefits (above and beyond the baseline) of implementing the rule (on industry, as well as the broader social welfare impacts), which is its charge under Executive Order 12866, Federal agency guidance, and best practices as laid out in OMB's Circular A-4.

¹² While not clearly stated, the interviewers report that they encourage interviewees to "assume that the most challenging component of the regulation were to be eliminated." They state that these "most favorable" assumptions included the following: "With underground mines, respondents were encouraged to think about how they might mine if temporary damage to the hydrologic balance were to be considered acceptable." (Ramboll Environ, 2015, p.12).

¹³ The maps "show how the mine plan is altered to avoid perennial and intermittent streams (first) and then altered again to show how the mining company would have to alter the mine plan to avoid perennial, intermittent, and ephemeral streams." (Ramboll Environ, 2015, Appendix B page 1).

¹⁴ National Mining Association. Re: Proposed Stream Protection Rule, 80 Fed. Reg. 44,436 (July 27, 2015); Docket ID OSM-2010-0018. October 26, 2015. Page 179.

¹⁵ While not clearly stated, the interviewers report that they encourage interviewees to "assume that the most challenging component of the regulation were to be eliminated." They state that these "most favorable" assumptions included the following: "With underground mines, respondents were encouraged to think about how they might mine if temporary damage to the hydrologic balance were to be considered acceptable" (Ramboll Environ, 2015, p.12).

baseline regulatory requirements for these mines and misinterprets the intent of the SPR. As a result, the Ramboll Environ analysis estimated economic impacts of the SPR are vastly overstated.

Even if Ramboll’s interpretation of the likely incremental changes in regulation of coal mines due to the SPR were appropriate, the approach to quantifying the resulting economic impacts is not. In evaluating regulatory impacts, OSMRE is charged with estimating the incremental costs and benefits of the Proposed Rule according to Federal agency guidance and best practices as laid out in OMB’s Circular A-4. According to these best practices, Regulatory Impact Analysis evaluates changes in “social welfare” brought about by a regulation, typically measured in terms of changes in producer and consumer surplus. This reflects changes in the value of goods and services gained and/or lost by society due to compliance with the rule. Consistent with this guidance, the OSMRE RIA accordingly quantifies the compliance costs of implementing the rule using the best available data and models, as well as the broader social welfare effects of the rule applying well-accepted economic methods.

The Ramboll Environ analysis does not attempt to estimate compliance costs but instead relies on a single, self-reported measure of change (i.e., stranded reserves) from a sample of firms. The Ramboll Environ analysis neglects to provide information on how the population of firms interviewed was selected, as well as information on the specific questions and responses. Accordingly, how these firms estimated the magnitude of stranded reserves is significantly uncertain (aside from flawed interpretation described in the one example they provide, as described above). While industry input is helpful, we would need to better understand how the firms estimated the reserves that become stranded due to implementation of the rule in order for this input to be useful for regulatory decision-making.

The monetized values presented in the Ramboll Environ report are described as “impacts” of the Proposed Rule but, in fact, reflect the total value of the coal that it estimates becomes inaccessible due to the rule. These estimates should not be interpreted as social welfare impacts of the rulemaking and are therefore cannot be compared to the costs presented in the OSMRE RIA. In the case that all assumptions and methods leading to its calculation were reasonable, the “coal value at risk” may be a useful indicator of the changes associated with the rule; however, it is not the measure of economic impact put forth by the Office of Management and Budget as most relevant for regulatory decision-making. Translating stranded coal reserves into an economic impact would require accounting for multiple ensuing shifts in resources, including reductions in operational costs of coal production and increased production of potential substitutes. Simply stated, the total “coal value at risk” is not a measure of a cost of the regulation.

The percent change in coal production is also applied to estimate the direct employment losses, or “jobs at risk” (40,038 to 77,520). The report then applies NMA multipliers from a 2014 study to arrive at an estimate of indirect jobs at risk, resulting in total employment impacts of between 112,757 and 280,809.¹⁶ At the high end this constitutes a 75 percent loss in current employment in mining and linked sectors. These estimates are in clear contrast to the employment impacts described in the OSMRE RIA.

The key factor driving the difference is the discrepancy between the two studies in the expected effects of the rule on coal production levels. The OSMRE RIA finds that the rule will result in only a minor

¹⁶ The NMA study estimated a national employment multiplier of 3.62 for the coal mining industry.” (Ramboll Environ, 2015, pg. 26)

reduction in overall coal production of less than one percent (although the magnitude varies by region). The Ramboll Environ analysis, as noted above, estimates a potential reduction in production of up to 64 percent.

Overall, the Ramboll Environ analysis does not constitute a meaningful evaluation of the economic impacts of the SPR, as proposed. The interpretation of the incremental changes in mining operations required to comply with the rule elements is inaccurate and extreme, leading to erroneous estimates of the impacts on coal production and employment.

17. Employment Effects and Multipliers

Comment: Commenters state that OSMRE admits that the coal industry will lose employment as a result of the Proposed Rule. Commenters allege that the Agency, however, fails to recognize that a potential increase in compliance-related and “other energy” employment does not offset these job losses due to differences in required skills for these two types of employment. Commenters state that it is unlikely that displaced coal industry employees could easily uproot and move to a different region of the country, since the value of their housing assets are disproportionately low. Commenters also state that the overall job loss will actually be several orders of magnitude greater than predicted in the Draft RIA and DEIS. Commenters state that it is ludicrous to assume that there will be sufficient opportunities for the coal miners displaced by this rule to find employment in a position created by this rule or that any position created by this rule will have a salary commensurate with employment as a coal miner. Additionally, commenters state that the regional and local impacts of the SPR are not fully considered. Several commenters stated that existing literature supports the use of multipliers to quantify the indirect and induced economic effects of changes in the coal industry, especially with respect to employment, and that some form of a multiplier should have been used in the Draft RIA and DEIS analyses.

Response:

The FEIS and Final RIA assess the effect on employment in the mining industry from the change in the level of coal produced (or production-related employment impacts) and from the additional employment required for industry to implement the SPR (or industry implementation employment impacts). Coal production decreases are anticipated to have a negative effect on employment. At the same time, employment demand associated with industry implementation of the rule would have a positive effect on employment. Both effects are driven by implementation costs of SPR for the industry. These estimates vary year to year and across alternatives.

The analyses recognize that work requirements imposed on mining operations by the alternatives may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the alternatives are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations). In general, while some of the increased employment demand may utilize existing mining labor skills (e.g., requirements that require additional earth moving), other employment demand from the Proposed Action may require other types of labor (e.g., biological monitoring, lab testing, paperwork). That is, some additional jobs created by the Action Rule may differ in skill requirements from the production-oriented jobs that would be reduced due to decreased coal production.

To the extent that production-related impacts lead to reductions in direct employment in the coal industry, additional indirect impacts on industries that supply goods and services to the mining industry as well as induced effects associated with loss of income associated with those industries, would be expected. However, these “ripple” impacts on the economy are likely to have both positive and negative effects, i.e., negative ripple effects would result from decreases in employment due to expected decreases in coal production, while increases in employment due to industry implementation-related effects could also result in ripple effects. As such, OSMRE recognizes the existence of these effects but does not quantify these in this analysis because the distribution and regional variation of these effects are even more difficult to anticipate with certainty than the direct employment effects. Inclusion of indirect and induced impacts would increase the potential total negative employment effects and also amplify the positive employment effects reported in the Final RIA and FEIS. It is also possible to have differences at the county or township level where coal expenditures would be net positive or negative, but such data for jobs multiplier does not exist. The final documents include additional clarification about the direct and nature of these effects in Chapter 6 of the Final RIA and Section 4.3.1 of the FEIS.

The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The Final RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The Final RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The Final RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.

The Final RIA and FEIS recognize that predicting and tracking specific employment effects of this Proposed Action on employment is difficult to disentangle from other ongoing economic and technological trends. The reaction of labor market to increased regulation is complex. As such, anticipating the future response of the coal industry to the Proposed Rule is challenging. Costs of complying with the Proposed Action are anticipated to result in changes to regional coal industry employment that will be added to and combined with ongoing trends.

18. Tax effects

Comment: Commenters state that the Draft RIA and DEIS attempt to predict impacts through the use of 2012 statistics which does not accurately reflect the recent precipitous drop in coal production and the corresponding decline in collection of coal severance taxes. Commenters were also concerned about the absence of an analysis of state ad valorem tax, federal mineral royalties, abandoned mine lands fees, black lung fees, and potential coal lease bonuses and sales and use taxes.

Response: Severance tax values have been updated to 2014 in the Final RIA and FEIS, which reflects the reduction in coal production in recent years. OSMRE appreciates the commenter’s concern relating to

how the SPR will impact government revenue streams such as severance taxes, ad valorem taxes, AML fees, black lung fees, coal lease bonuses, and sales and use taxes. The Draft RIA and DEIS incorporated analyses of potential impacts of the rule alternatives, primarily focused on potential impacts to severance taxes. Chapter 6 of the Final RIA and Section 4.3.1 of the FEIS have been updated to include a more robust discussion of the potential impacts of the alternatives on other types of taxes that are levied on coal production or value.

OSMRE notes that the results of the analysis on the impacts of the rule alternatives on coal production are relatively small, representing less than 0.08 percent of annual coal production during the study period for the analysis. Because most of the taxes discussed above use coal production as the metric with which they are levied, this small change in production is anticipated produce similarly minor impacts on these tax collections. To illustrate the potential impacts of the alternatives on these other taxes, one can use the example of ad valorem taxes in Wyoming. Wyoming collected approximately \$240 million in ad valorem taxes on coal production in Fiscal Year 2015. The average annual projected decrease in coal production in Wyoming as a result of the SPR is anticipated to be 0.7 million tons of coal between 2020 to 2040 (in the primary base case). As such, the SPR would be expected to result in an annual reduction in ad valorem tax revenue across all recipient Wyoming counties of approximately \$23,000 annually (2014 dollars, seven percent discount rate). This would represent a decrease, when compared with Wyoming ad valorem tax revenues collected in 2015, of approximately 0.01 percent. We recognize that some county and local governments rely heavily on the revenues from taxes on coal and other natural resource extraction, and, as such these relatively small impacts on revenues could have more significant effects on those communities.

Additional taxes are contingent on the value of coal property rather than on the value or level of production. These taxes include workers compensation taxes, corporate income taxes, sales and use taxes. These taxes would be reduced when corporate revenues and/or employment is reduced. Impacts on these taxes are not quantified in the analysis, but are anticipated to be small given the overall scale of the anticipated impacts of the SPR on coal production. The Final RIA and FEIS also acknowledge two coal-related excise taxes that are currently imposed by the Federal government: The Abandoned Mine Lands Reclamation Tax (also known as the reclamation fee or AML fee) and the Black Lung Excise Tax. Whether these taxes will continue to be imposed prior to and during the study period is uncertain (Collection of the reclamation fee is scheduled to end September 30, 2021 (30 U.S.C. § 1232(a)).) If either or both taxes are collected during the study period, revenue from them would be less than under the baseline because of anticipated reductions in coal production.

19. Effects on Small Businesses

Comment: Comments stated that OSMRE's analysis does not adequately take into account the differential effects of the Proposed Rule on small coal producers. Several commenters stated that the Initial Regulatory Flexibility Analysis (IRFA) should address potential impacts to public entities, including state, local, and Tribal governments. Commenters also stated that the SPR will have significant costs to small governments and threaten revenues. Commenters were also concerned that the IRFA excluded operating companies reporting less than 2,000 tons of annual production and inactive mines.

Response: The courts have held that the Regulatory Flexibility Act/Small Business Regulatory Enforcement Fairness Act (RFA/SBREFA) requires Federal agencies to perform a regulatory flexibility

analysis to forecast impacts to small entities that are directly regulated. In the case of *Mid-Tex Electric Cooperative, Inc., v. Federal Energy Regulatory Commission (FERC)*, FERC proposed regulations affecting the manner in which generating utilities incorporated construction work in progress in their rates.^[1] The generating utilities that expected to be regulated were large businesses; however, their customers -- transmitting utilities such as electric cooperatives -- included numerous small entities. In this case, the court agreed that FERC simply authorized large electric generators to pass these costs through to their transmitting and retail utility customers, and FERC could therefore certify that small entities were not directly impacted within the definition of the RFA.

Similarly, *American Trucking Associations, Inc. v. Environmental Protection Agency (U.S. EPA)* addressed a rulemaking in which U.S. EPA established a primary national ambient air quality standard for ozone and particulate matter.^[2] The basis of U.S. EPA's RFA/SBREFA certification was that this standard did not directly regulate small entities; instead, small entities were indirectly regulated through the implementation of State plans that incorporated the standards. The court found that, while U.S. EPA imposed regulation on States, it did not have authority under this rule to impose regulations directly on small entities and therefore small entities were not directly impacted within the definition of the RFA.

Following the court decisions described above, the Final Regulatory Flexibility Analysis (FRFA) for the SPR considers only those entities that are directly regulated by the SPR. SMCRA and its implementing regulations regulate the activities of coal operators. Thus, it is these operators that are the focus of the FRFA. To the extent that small governments or Tribes are registered owners or operators of coal mines, impacts to these entities are reflected in our analysis, which is based on data on reported coal production from operating facilities.

The FRFA has been revised to include consideration of all mines that produced coal in the most recent MSHA data available for this analysis, regardless of whether MSHA labels the mine as "active" or not. The FRFA analysis does not include entities reporting less than 2,000 tons annual production in 2015 in its detailed tables. However, it now provides additional information to characterize these 48 mines. The total tonnage that all mines producing less than 2,000 tons each reported to MSHA in 2015 was 49,000 tons, or 0.005 percent of the total coal production in the U.S., most of which was in the Appalachian Region. Production from these 48 facilities averaged 1,000 tons in 2015. Over half of the facilities recording less than 2,000 tons (26 out of 48, or 54 percent), were labeled as temporary idle or abandoned by MSHA. Due to their very low output and status, this FRFA assumes that these facilities are not to be representative a typical small entity in the industry that would be affected by the SPR.

The FRFA describes not only the impacts of the rule on small entities as defined by SBA thresholds, but also provides additional detail describing potential impacts of the rule on operators with less than 20 employees. As shown, there were 188 operating mines, of which 167 are thought to be small entities, that reported production in 2015 and who recorded less than 20 employees. Impacts of the rule on these entities are anticipated to range from 0.1 to 3.1 percent of estimated annual revenues. The FRFA has been revised to discuss commenters' concerns regarding the specific burdens faced by small operators.

^[1] 773 F. 2d 327 (D.C. Cir. 1985).

^[2] 175 F. 3d 1027, 1044 (D.C. Cir. 1999).

The FRFA acknowledges that some costs are more readily scaled to small operators than others; that is, some administrative burdens or other requirements could result in a more disproportionate cost to small operators. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.

20. Reforestation

Comment: Several commenters stated that there is too much emphasis and importance placed on the proposed reforestation requirements associated with SPR. Commenters stated that converting former mine lands to cropland or early succession, non-native grasses represents a better goal than restoring to native forest habitat in certain circumstances. Specifically, commenters argued that reclamation using native plant species and restoring habitat to native forest would diminish habitat quality for wildlife, which can impact recreation, and reduce ecosystem services, such as erosion control. Additionally, commenters stated that hunter harvest of deer and other wildlife game species have increased substantially in the past 30 years and that OSMRE fails to reconcile available state data and published studies with claims that reclamation has failed to attract and support wildlife. Furthermore, commenters stated that OSMRE offers no substantive information upon which to make judgments about wildlife populations and recreation (hunting) associated with each of the DEIS alternatives, and as such must clarify how it arrives at conclusions that the No Action Alternative is less desirable than other options.

Response: The existing regulations (the No Action Alternative) at 30 CFR 816.111 through 816.116 and 817.111 through 817.116 require use of native species in revegetation, although introduced species are permitted under certain conditions. The Action Alternatives (specifically, 2, 3, 4, 5, 7 and 8) would require additional measures as described in Chapter 4 of the FEIS (section 4.2.3.2). These advances under the Action Alternatives would enhance fish, wildlife, and related environmental values and ensure that the reclaimed site can support the uses it was capable of supporting before any mining, including the vegetation that it would support in the absence of human influence. The Action Alternatives would beneficially impact soil quality and productive capability both directly in the form of improved soil reconstruction requirements and indirectly in the form of improved revegetation requirements.

OSMRE acknowledges that there may be some adverse impacts associated with implementation of the Action Alternatives. The FEIS states that the impacts of the SPR on recreational activities may include both positive as well as negative impacts, stemming largely from rule elements that may lead to additional efforts to improve the conditions of post-mining landscapes, and increased reforestation efforts. However, the analysis also finds that the majority of post-mining land uses will remain unchanged from what would have already been expected without the rule. As such, improvements, as well as minor adverse impacts in the shorter term associated with some hunting activities, are anticipated.

OSMRE agrees that nature-based tourism, wildlife viewing, and hunting can contribute substantially to local and regional economies. Some of these activities occur on private lands. Elements of the SPR seek to reduce the short and long term adverse impacts of coal mining on the natural landscape. Thus, recreational opportunities on or near reclaimed mine lands could be enhanced following the SPR implementation, including on private lands. Unfortunately, the data on recreational visitation to private

lands are inconsistent on a national scale, and do not provide sufficient data to understand the extent and quality of private land habitats and how these characteristics could be affected by the alternatives considered. The metrics captured in hunter harvest data (e.g. hunter success rates, days of effort per harvested animal, total days of effort, number of licenses sold) present a valuable snapshot of historic and current hunter activity. However this data provides no additional insight on expectations for future management of private lands or expectations of future hunter harvests with or without implementation of any particular alternative. Management of public lands is more predictable and subject to overarching goals that are established under public review. Nonetheless, following the public comment period, OSMRE has revised the recreation analysis to incorporate a data set that is inclusive of protected private lands in addition to public lands. In addition, OSMRE has reframed the analysis to focus on presenting the total state and regional levels of fishing, hunting, and wildlife viewing activity rather than attempting to allocate it to the study area.

Some commenters assume that more stringent proposed revegetation requirements will negatively affect recreational activities, particularly hunting. OSMRE acknowledges that replacing these forests and other native habitats with fast growing grasses entrains soil and other excavated material, which lowers short-term erosion potential, and may also enhance short-term hunting opportunities in early successional habitat. However, a consequence of this conversion is a reduction in long-term carbon storage potential, soil health, sediment entrainment, and suitable habitat for native fauna. The proposed revegetation requirements would re-establish native vegetation, which supports local species for recreational viewing and hunting. OSMRE acknowledges that reforestation can take a decade or more to occur, but mountain forest landscapes are popular for many recreational activities including hiking, hunting, wildlife viewing, and others.

21. Alaska

Comment: Several commenters stated that the characterization of Alaska and its resources is inadequate in the Draft RIA and DEIS. Citing Alaska's unique location, climate, and coal reserves, commenters feel that it is inappropriate to group Alaska with the contiguous states of Washington and Oregon in the Northwest Region. Commenters also noted that the analyses presented in the Draft RIA and DEIS center on too narrow of a region in Alaska by including the only active coal mine operation in Alaska as the greater Northwest Region designated by OSMRE. In doing so, the analysis excludes other potentially minable coal fields, such as those in the Arctic region of northwestern Alaska, and coal fields for which permits are currently being sought.

Response: Oregon and Washington are included with Alaska in OSMRE's Northwest Region. However, Oregon has not had coal mining in the past decade and coal production in Washington has been historically very low with no active mining at present. While these states are included in the Northwest Region, the discussion and analysis centers exclusively on the active coal mining operations in the State of Alaska.

The Northwest Region currently produces less than 0.5% of total coal production in the U.S. Despite relatively low coal production in Alaska, OSMRE designed a model mine for the region that reflects the state's unique location, climate, topography, geology, and hydrology. The model mine for Alaska was tailored to represent the currently operating mine in the Nenana coal field, such that the representative model mine produces two million tons of coal per year.

OSMRE recognizes the potential for new coal mine projects, but finds that assuming development of these areas in current forecasts of State production would be speculative at this time. In particular, OSMRE acknowledges in the Final RIA and FEIS that there are permits being sought to mine in other areas within Alaska, including the Beluga Coal Fields. However, the future status of those developments is not known. OSMRE is not forecasting an increase in production to occur in the near future in these areas given anticipated coal market conditions. We note that, late in 2015, Usibelli opted to suspend coal exports for the remainder of the year citing low demand in Asia, which further supports an assumption that coal production in Alaska is not increasing in the foreseeable future.

K.3 Detailed Comment Table

As stated above, each written comment was carefully reviewed; substantive points were identified and responded to by OSMRE. The following table provides these detailed comments with OSMRE’s response, identifying any action taken within the analysis to address a commenter’s concern.

1. General

GENERAL			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0030	Anonymous	Section 702 Says that SMCRA should only fill in gaps that the CWA oes not cover. Between Sections 303, 401, 402, and 404 I cannot see any gaps. If there are some talk to the CWA agencies.	Please see the discussion of the need for a nationwide rule contained within the rule preamble.
OSM-2010-0021-0046	Anonymous	The activity near streams poster attempts to prove that there is currently no restoration of intermittent or perennial streams occurring. There are thousands of stream mitigation reports that have been submitted to the Corps of Engineers illustrating this is not the case. Section 404 requires mitigation to offset these types of impacts and adding another layer of regulation or agency that would require satisfaction is not technically feasible. OSM does not regulate Corps Permitting.	The FEIS recognizes that significant stream restoration activities are expected to occur under the No Action Alternative, i.e. without the SPR. The commenter is correct that OSMRE jurisdiction and authorities are separate from USACE jurisdiction and authorities. For additional discussion of the need for a nationwide rule please see the discussion contained within the rule preamble.
OSM-2010-0021-0029	Jim Adams	The information provided in reference to the current regulations illustrates that the USACE and other agencies are already regulating the same areas that are being proposed to be regulated by another entity. Section 303, 401, 402, and 404 of the CWA are already in place and functioning. This rule is merely adding to the existing burden. EPA has the opportunity to make comments on the permits and if the Corps requires an IP, these take years to process and there is very strict review. On Kentucky, the state also requires a stream restoration plan in the SMCRA permit. I don't think additional regulation is the answer. Seems that just working with the current agencies would make more sense than to completely rework SMCRA to fit. The other programs are much easier to adapt and make work than changing the SMCRA program. Work with the agencies to make a change, instead of trying to retrofit the entire existing SMCRA program.	Please see the discussion of the need for a nationwide rule contained within the rule preamble.

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COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0029	Jim Adams	30 CFR Part 332 compensatory mitigation for losses of aquatic resources (See attached) under Section 404 already defines what the proposed rule is trying to add to the regulatory requirements. No modifications to the basic application, meaning, or intent of this part will be made without further joint rulemaking by the Secretary of the Army, acting through the Chief of Engineers and the Administrator of the Environmental Protection Agency, pursuant to the Administrative Procedure Act. Mitigation is already required in the exact same fashion that is being proposed. In addition, a conflict would occur between Section 404 and SMCRA if required to avoid "waters" when USACE does not require avoidance of "waters" but merely obtaining a permit first. As mentioned previously, Section 702 of SMCRA states it should not be construed as to replace the CWA. The proposal is absolutely attempting to supersede the CWA program and is therefore illegal and unlawful.	Please see the discussion of the need for a nationwide rule contained within the rule preamble.
OSM-2010-0021-0063	Virginia Coal and Energy Alliance	1. There is no basis or need for the SPR. 2.OSM failed to meaningfully engage states and industry stakeholders. 3.SPR intrudes on the Clean Water Act (CWA). 4.SPR also interferes with the Endangered Species Act (ESA). 5. OSM's proposed change to Part 800 will disrupt the bonding market and make it virtually impossible for mining companies to meet the new financial guarantee requirements of the SPR. 6.OSM fails to differentiate underground mining, despite clear direction from Congress to do so. 7.The SPR will be prohibitively expensive to implement.	Please see the discussion of the need for a nationwide rule contained within the rule preamble.
OSM-2015-0002-0008	Natural Resource Partners LP	The Proposed Rule and accompanying analyses violate SMCRA by exceeding their authority as decided by court decision and as bounded by statute.	The rule and accompanying analyses are supported by SMCRA and based on sound science, as
OSM-2010-0021-0066	NMA	In recent years, there have been substantial advances in mining methods that minimize or avoid impacts. These approaches have not been addressed in the DEIS. Mining methods have evolved as technology has changed and as laws have been enacted to regulate the industry. For example, the three basic, modern approaches for underground mining should be included in separate alternatives. These include room-and-pillar, high-extraction retreat, and longwall. Current advancement in methods and technology are also absent from other sections of the DEIS,	Room and pillar, high-extraction retreat and longwall mining methods are evaluated in the FEIS through the model mines analysis. The surface disturbance related to these mining methods would be encompassed within the overall requirements of the rule and do not warrant identification as separate and unique from other methods in a separate alternative. Please refer to the Master Response on Model Mines Analysis for additional details about that

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		including impacts assessment. These advances reflect the coal companies' compliance with federal and state regulations requiring approved mine plans (Bauer 2008).	analysis.
OSM-2010-0021-0048	North American Coal	We find it curious and disconcerting that OSMRE completely fails to emphasize, or even reference, actual evaluations of impacts, conducted by their own agency on an annual basis in all coal mining states, but instead relies on other "literature surveys and studies..."	OSMRE's annual evaluation reports routinely do not indicate problems with the states' implementation of their programs, however we disagree with the conclusion the commenters attempt to draw from this information, i.e., that our experience does not show that there is a problem that this rule is designed to address. OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. OSMRE Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and reclamation that are not regulated or controlled by the state program. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate protection. While Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, that authority may be exercised only if both OSMRE and the state agree to do so, and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised. Thus, annual reports are of little assistance in assessing how the existing minimum federal standards that are incorporated into the approved state programs could be improved to better implement SMCRA.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-32, Figure 4.1-2, Forecasted Surface Coal Production by Region, Millions of Tons Produced, 2020 to 2040. The Appalachian Basin is not identified in the table forecasting coal production by surface coal mining	The commenter correctly identified an error in the legend for Exhibit 4.1-2. This has been fixed in the FEIS.

GENERAL			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		operations during the 2020 to 2040 timeframe. One could assume that the legend is inaccurate and the Appalachian Basin was inadvertently left off based on the narrative, but the symbols indicating regions with coal production do not support this.	
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS is wholly inadequate for achieving OSMRE's goal of better preventing or remediating adverse impacts. The alternatives and Preferred Alternative do not provide realistic or complete assessments of practices, consequences, mitigation measures, and outcomes that OSMRE can rely upon as the basis for improving SMCRA.	Thank you for your comment. Please refer to Master Response on Technical Accuracy.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.5.2.3, p. 4-340, first paragraph</i> Population growth is the driver for the land use and water quality changes described above. The relevance of this and other statements in this section to the Proposed Rule is unclear.	The text cited by the commenter is part of the cumulative impacts analysis, which addresses past, ongoing, and reasonably foreseeable future actions occurring within the study area for the analysis.
OSM-2010-0021-0696	Murray Energy Corporation	3. Both the DEIS and RIA conclude that the economic consequences of the preferred and the other proposed alternatives range from "negligible," to "minor adverse," depending on the region in question (RIA, Table 4.3.1 29 at 4-24). This conclusion is inaccurate and incorrect.	Please refer to Master Response on Employment Effects and Multipliers.
OSM-2010-0021-0058	Alliance Coal	Alliance is a member of the National Mining Association (NMA) and supports NMA's comments on this rulemaking package. Due to the limited amount of time that Alliance was given to review and comment on the Stream Protection Rule package, Alliance responds to the DEIS by endorsing and incorporating NMA 's comments on the DEIS and reserving its right to raise additional concerns and comments outside of the comment period.	Thank you for your comment. We have considered and responded to the National Mining Association's comments in the detailed response table as well as in Master Response on Alternative Analysis Provide by the National Mining Association. For concerns about the length of the comment period, please see Section IV.A. of the preamble to the final rule.
OSM-2010-0021-0063	Virginia Coal and Energy Alliance	The SPR will be prohibitively expensive to prohibit. Commenter supports NMA's comments on this rulemaking package. OSM underestimated the impacts of its proposal, thereby violating its obligations under UMRA, PRA, RFA, EO 12866, 13211, and 12630.	Thank you for your comment. We have considered and responded to the National Mining Association's comments in the detailed response table as well as in Master Response on Alternative Analysis Provide by the National Mining Association. Compliance with the other statutes and E.O.'s raised by this commenter are addressed in the Final RIA and, in particular, in Appendix I to the Final RIA, where public comments are addressed.
OSM-2010-0021-0003	Roger Russell	I oppose the over regulation of very minor streams which do not flow continuously.	Thank you for your comment. For questions related to the need for this rulemaking, please

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COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
			see Section IV.D. of the preamble to the final rule. The final rule strikes the appropriate balance between “protection of the environment and agricultural productivity and the Nation’s need for coal as an essential source of energy,” which section 102(f) of SMCRA lists as one of the purposes of SMCRA. Specifically, this final rule will better protect the water resources needed by current and future generations for drinking, recreation, and wildlife from the adverse effects of coal mining, while balancing protection of those resources with the Nation’s energy needs.
OSM-2010-0021-0066	NMA	The alternatives considered in the DEIS are very narrow and do not adequately represent a reasonable range of alternatives that would minimize or avoid impacts to environmental resources. The differences between the alternatives are largely related to variations in the width of the stream buffers, differences in the definition of stream types, and variable allowances for mining through streams, mountaintop mining, and placement of materials in valley bottoms.	This comment, and other similar comments related to the range of alternatives, are addressed in the Master Response on Alternatives. Please see the Master Responses.
OSM-2010-0021-0066	NMA	In most instances, mining through streams, mountaintop mining, and placement of materials in valley bottoms are not permitted since they require the mining company to demonstrate prevention of material damage to the hydrologic balance. Hence mining through streams, mountaintop mining, and placement of materials in valley bottoms is effectively excluded under all the alternatives. Therefore, the difference between the alternatives is very small	This comment, and other similar comments related to the range of alternatives, are addressed in the Master Response on Alternatives. Please see the Master Responses.
OSM-2010-0021-0068	Earthjustice	OSMRE is wrong. The current regulations do not allow adverse effects on streams from filling or mining through streams. In fact, the current regulations plainly and unambiguously prohibit any mining activity within 100 feet of a stream that will have an adverse effect on the environmental resources of the stream [...]OSMRE’s failure to correctly assess the no-action alternative infects its subsequent comparative analysis of the other alternatives. For example, OSMRE’s conclusion that “all of the Action Alternatives (Alternatives 2 through 8) increase the stringency of the historic requirements that guide mining	The baseline for the analysis reflects the practical application of existing regulations absent the SPR. For additional information, please see Section IV.R. of the preamble to the final rule.

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COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		activities near streams and the placement of excess spoil and refuse," DEIS at 4-98, rests on the erroneous premise that the current regulations allow harmful mining activities in and near streams. Because the current regulations actually prohibit adverse effects on perennial and intermittent streams from mining activities within the buffer zone, OSMRE's assessment of alternatives 2 through 8 is erroneous and arbitrary.	
OSM-2010-0021-0068	Earthjustice	The DEIS analyzes nine alternatives, none of which corresponds to enforcement of current 30 C.F.R. § 816.57(a)(1). 2015 DEIS at ES-28. OSMRE says that alternative 2 is the most environmentally protective, but alternative 2 allows mining activities within the buffer zone—including coal mine waste disposal and mine-throughs—that will cause adverse effects on the environmental resources of intermittent streams. Alternative 2 is therefore less protective than current 30 C.F.R. § 816.57(a)(1), which prohibits adverse effects from such activities.	Thank you for your comment. Please refer to Master Response on Alternatives. Additionally, please refer to Section IV.R. of the preamble to the final rule.
OSM-2010-0021-0041	Anonymous	There should be another alternative analyzed for the rules that are related to the Clean Water Act and the Endangered Species Act, which would be supplemental interaction of OSMRE with other state and federal agencies with jurisdiction over the CWA and ESA. These agencies are already implementing most of what the rule proposes. The interaction would include discussion of the proposals, and how the agencies that actually have jurisdiction over the CWA and ESA can all work together to resolve the proposed concerns. It should also include a cost analysis comparison of the EIS instead of agency interaction to resolve the proposed issues	This and other similar comments expressing additional potential alternatives to be considered are addressed in the Master Response on Alternatives.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The cooperating states have not been consulted during development of the current DEIS, as is evident by the application of a "one size fits all approach" versus consideration of state and regional differences to which the states are in the best position to speak. Because of its lack of commitment to engage the cooperating agencies, OSMRE failed to gather key insights as to how states implement their programs, what their current budgets (and budget shortfalls) are, and how state regulatory authorities will be impacted by some of OSMRE's proposals.	Please refer to Master Response on Cooperating Agencies.
OSM-2010-0021-0049	Interstate Mining	As for using only native species for reclamation, white, red,	We acknowledge that wildlife managers

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	Compact Commission	and crimson clover, along with a few other non-invasive exotic species, are wonderful for most game species of wildlife. It would be poor habitat management to exclude them from reclamation projects.	frequently use non-native annual crops to supplement natural food sources, as was pointed out in several comments received on the proposed rule. However, we do not agree that the use of non-natives is necessary to successfully reclaim the site to the “Fish and wildlife habitat” land use category. This land use category is defined at 701.5 as “Fish and wildlife habitat. Land dedicated wholly or partially to the production, protection, or management of species of fish or wildlife.” This definition does not specifically require a focus on game species to the detriment of other species and there are no other aspects of this land use category that would absolutely require the use of non-natives. Furthermore, there is no reason to believe that native species are not available to replace the use of the non- native species mentioned. Therefore, the use of non-natives use for this land use category is not necessary and does not merit an exception from the requirement for the use of native species.
OSM-2010-0021-0070	Luminant Mining Company LLC	OSMRE has not considered whether a regionally applicable rule, or a rule targeted to particular methods of mining (e.g., underground or mountaintop), would be a superior alternative, even though OSMRE is aware of the vast physical, social, and economic differences throughout the various U.S. coal-producing regions as evidenced by its discussion of each region separately throughout its impacts analysis	As discussed in the EIS (sections 2.1 on the Development of the Alternatives and 2.6 Alternatives Considered but Dismissed) and further described in the Master Response on Alternatives and in the preamble to the final rule, OSMRE did consider the unique aspects of underground and mountaintop mining, as well as regional differences.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-71, Stream Fills, Shank (2010) and Shank and Gebrelibanos (2013) "The more recent study estimates that 766 miles of perennial and intermittent streams were filled during the study period (1984 to 2012, which equates to 28 miles per year on average). It also shows a marked decrease in fill construction starting in approximately 2003. In 2012, stream miles filled decreased to approximately 18 miles in West Virginia for that year."The above statement on page 4-71 indicates that current mining regulations are being enforced and stream protection measures are working.	The reductions in impact noted in these studies are important but do not relieve the need for the proposed regulatory revisions. As shown in section 4.2.2.1 of the EIS through nationwide data for coal mining related permits authorizing activities under Section 404 of the Clean Water Act and Section 10 of the Rivers and Harbors Act, coal mining continues to require a significant amount of fill in streams and wetlands. The presented data is from the timeframe spanning from the beginning of 2012 to the end of 2014. The improved restoration of

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			these impacted areas therefore remains important.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 9, Glossary, page 9-7 Comment: The definition of ephemeral stream is inconsistent with the proposed stream protection rule.	The definitions provided in the glossary are functional definitions to help the reader understand the concepts presented and do not match the exact wording of the proposed regulatory definition. For the definition proposed in the regulation please see the rule, section 701.5
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 9, Glossary, page 9-11 Comment: The definition of intermittent stream is inconsistent with the proposed stream protection rule.	The definitions provided in the glossary are functional definitions to help the reader understand the concepts presented and do not match the exact wording of the proposed regulatory definition. For the definition proposed in the regulation please see the rule, section 701.5
NA	EPA	Page 4-50, Chemical Effects on Surface Waters: We suggest considering including information on selenium or selenium criteria.	The discussion throughout Chapter 4 has been updated to include additional information and appropriate citations regarding selenium impacts and exposure in streams associated with mine operations.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 1 (No Action Alternative) Section ES.4, p. ES-11 second paragraph Given that the rule covers the entire United States and that it is impossible to impose specific thresholds across an incredibly diverse physical, biological, social and economic spectrum, the rule should not include specific national thresholds. Rather, specific regional thresholds (e.g., pre-mining), as well as other information such as soils, buffer zones, weather, etc., should be established by the state regulatory agencies so that mine operators are only tasked with meeting regionally applicable standards.	Under the final version of the Preferred Alternative the regulatory authority determines the evaluation thresholds ¹⁷ including having the flexibility to determine the parameters for which it will establish evaluation thresholds.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS only includes seven of the nine coal-bearing regions in the U.S. According to OSMRE, only the most productive coal-bearing regions are included in the	It is not clear what reference the commenter is referring to in the assertion that the coal-bearing areas of the U.S. are best represented

¹⁷ In the DEIS we referred to “evaluation thresholds” as “corrective action thresholds”. Based on agency discussions we instead are using “evaluation thresholds” in the FEIS and the final rule. The meaning of these two terms are intended to be identical.

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		assessment. OSMRE must include all nine coal-bearing regions in the alternatives and impacts analysis, even those with low production. OSMRE also has an obligation under NEPA to examine the impact of the Proposed Rule on the American public in all states and localities where coal production occurs and contributes to the local and state economy.	as nine regions, versus the seven that OSMRE has used. However the regions are defined the analysis in the EIS captures areas that account for 95% of the coal production in the U.S. Capturing every area that is involved in the production of the remaining 5% would require the addition of a lot of material related to resources where relatively little production is occurring, and is therefore not consistent with our obligations under NEPA (specifically under 40 CFR 1502.15) to provide a succinct description of the areas affected by the alternatives under consideration, and to concentrate effort and attention on important issues. The inclusion of these areas is also not necessary to understand the effects of the alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	Because each region and each specific area has different characteristics and different requirements, OSMRE should explain why a case-by-case analysis is not supported in the Proposed Rule. The Proposed Rule is too broad in its scope, using a one-size-fits-all approach for disparate mining regions and activities across the U.S. The Proposed Rule also does not distinguish between surface and underground mining. This overly-broad approach raises serious questions regarding implementation of the Proposed Rule and relevance of the Proposed Rule to different mining regions.	Please see the discussion of the need for a nationwide rule contained in section IV.D. of the preamble to the final rule.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE fails to devote any substantial discussion to the differences between underground and surface mining in the different regions and how these differences would impact the environmental consequences thereof in the regions. OSMRE provides considerable region-specific information that is largely unused or ignored in the alternatives analysis. OSMRE, instead, relies upon generalized and simplified assumptions and action alternative scenarios that, in many instances, are without relevance to one or more of the mining regions. For example, the chart of stream length by stream type in Table 3.5-1 reveals significant differences across regions regarding the occurrence of intermittent streams. Table 3.5-1 demonstrates that different mining regions have different ecological and hydrological function and form of streams and watersheds. However, OSMRE	OSMRE agrees that there are significant regional differences in mining methods as well as the natural resource conditions in which mining occurs. As described in Chapter 4 of the EIS, 13 model mines covering the seven major coal regions were designed to understand potential impacts to mining activities across regions and mine methods. Of these model mines, eight are for surface mines and five are for underground mines. Table 3.5-1 contains a summary of the lengths and percentages of intermittent and perennial streams for each coal resource region. This data is incorporated into the analysis of the number of streams that typically cross each mine site in each region. This analysis appears

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		ignores the information in Table 3.5-1 and applies a universal assumption of intermittent stream condition applicable to all mining regions in the action alternatives analysis. OSMRE's analysis must account for these cross regional variations.	in Section 4.2.1. Additional discussion of the methods used has been added to the FEIS in response to public comments.
OSM-2010-0021-0696	Murray Energy Corporation	"The affected environment for this DEIS includes any area where mineable coal occurs in the U.S. (Figure 3.1-1)." This is not a true statement. The DEIS is limited to only those areas where mineable coal exists and somewhat recent (2012) operations have occurred. For example, the DEIS specifically excluded evaluation of any data or impact from potential mineable coal in Northern Alaska, Washington, Oregon, Michigan, and parts of Nevada. Therefore, the alternatives in the DEIS do not adequately reflect the impact of the Proposed SPR on each of the coal producing regions of the U.S.	The regional boundaries as defined in the EIS capture the area that accounts for 95% of the current coal production in the U.S. Capturing every area that is involved in the production of the remaining 5%, or every area with coal resources without active production in the foreseeable future, is not necessary to understand the effects of the alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	The fact that the geographic scope (which defines the action area or area of impact) is likely to be over-inclusive demonstrates and confirms that the impacts associated with mining are also over-inclusive and therefore, overestimated. This is a significant issue, and the geographic scope should be reanalyzed. CEQ included geographic scope as part of the definition for "significance."	The study area for the EIS is intended to encompass all areas that may be mineable in the foreseeable future within the time period for the analysis. The methodology for identifying the areas is described in Chapter 4 of the EIS. The analysis is intended to be conservative to capture the universe of potential effects.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.1.1.1, p. 4-32, Figure 4.1-2The legend on the right side of the figure omits the Appalachian Basin at the bottom (assuming the red-and-white pattern is for Appalachia as it is in Figure 4.1-3).	Thank you for your comment. There was a typo on the legend, which we have now resolved.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.1, p. 4-49, second paragraph OSMRE's statements do not recognize that there are a number of reasons that riparian zones do not or cannot occur along specific stream reaches. Particularly in the west, streams that are incised or on bedrock may not have soil or hydrologic characteristics that support a riparian zone of a given width (e.g., 100 ft.).	The comment refers to text that is explaining the function of vegetated buffers and existing requirements for these buffers within our regulations as part of the discussion of the impacts to water resources from coal mining under the no action alternative. The text acknowledges that the RA can grant an exception to this requirement and routinely do so. Circumstances where the site specific conditions in the riparian zone do not allow for a 100-foot buffer would be an example of where the RA would grant such an exception. Therefore no change is necessary to the existing text.

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OSM-2010-0021-0696	Murray Energy Corporation	Effects of Action Alternatives on Coal Production Section 4.1.4, p. 4-42, Table 4.1-5 If the differences between the listed totals and the results from adding the individual numbers are attributable to rounding, there should be a note to that effect. Otherwise there are incorrect sums.	The commenter is correct; the totals may not sum accurately due to rounding. A note has been added stating this.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, p. 4-88, last paragraph None of the references that are provided as the basis for biological impacts in this section identify impacts from post-1983 western mines. Transferring conclusions from different geographic regions without backup of site-specific regional references is not supportable as "best available science."	OSMRE acknowledges that much of the research on understanding downstream effects of coal mining has been conducted in Appalachia. The history and extent of mining in the Appalachian Basin makes it the subject in the majority of the water quality studies (e.g., Lindberg et al., 2011, Merriam et al., 2011, Petty et al., 2010, Pond et al., 2008, Fulk et al., 2003). In addition, authors have also noted that due to the arid climate and high mineralization of stream water, analyses of this type are more difficult in western regions. Though limited, literature in other regions indicates similar downstream impacts despite obvious difference in geography. To account for these differences, model mines were developed to represent geography, coal production, and other environmental factors of each coal mining region.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.3.1, p. 4-137, second bullet Alternatives 2, 3, 4, 5, 7, and 8 (Preferred) require—... Salvage and redistribution of topsoil, subsoil, and other suitable materials (not just topsoil as in the No Action Alternative) necessary to create the root zone needed to support revegetation (especially trees) and restore premining capability. This statement suggests that all topsoil is of suitable quality to be salvaged and redistributed during reclamation activities. In fact, soils vary significantly with respect to pH, electrical conductivity (EC), particle size, sodium adsorption ratio (SAR), and available water capacity. Soils with a pH <5.5 or >9.0, soils with an EC >15 millisiemens per centimeter (mS/cm) 25° Celsius, soils with a gravel or very coarse sand texture, or clay soils with a SAR>14 may be unsuitable for reclamation.	As clarified in the preamble to the final rule, OSMRE agrees that determinations on the redistribution of soil materials should be based on site-specific information and the experience of local experts, and the rule does not depart from this perspective. Although the rule requires the regulatory authority to make additional determinations, the regulatory authority remains the ultimate decision maker on the handling and replacement of soils, and its decisions will be based on local, site-specific conditions.
OSM-2010-0018-	Foundation for	The DEIS for this Rule wrongly opined that NWI online	No change is necessary in response to this

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10201	Pennsylvania Watersheds	mapping provides “a valid, consistent source of the location and size of wetlands within all of the coal regions.” (p. 3-322) That unsupported and conclusory statement (1) is not true universally and (2) most certainly has not been the case in Pennsylvania, where more than half of palustrine forested wetlands are omitted by the NWI mapping and statistics...NWI maps accurately depict open waters (such as lakes and ponds) as well as some larger streams and wetlands. They are based on high-altitude aerial photographs which frequently cannot discern hydric soil conditions or localized, seasonal wetness. NWI maps in Pennsylvania typically omit small wetlands and wetlands beneath deciduous forest canopy. In very few spots has NWI mapping ever been field-verified. The NWI maps are only approximations of the actual extent of the most conspicuous wetlands in a given area, and they never were intended to be accurate --- or used --- for site-specific regulatory purposes such as coal mine planning and review. We recommend strongly that the NWI maps not be relied upon, but instead that all wetlands within the Permit Area and Adjacent Area be field-delineated, mapped, and field-checked by the Corps of Engineers. We believe this procedure is best incorporated in the Rule by inserting a new definition of wetlands, as discussed above.	comment. OSMRE agrees that NWI data is insufficient for site-specific determinations regarding the presence or absence of wetlands, and certainly does not meet the standards for field delineation of jurisdictional wetlands. Section 779.19(a)(3) of the final rule requires identification, description and mapping and delineation of all wetlands to satisfy identification requirements of vegetation within the permit application. However NWI, as used here in the EIS, is valuable for description of wetlands at a planning level across larger scale areas. As discussed in the preamble to the final rule, we have not added a definition of “wetlands” because 40 CFR 230.3(o)(3)(iv) sufficiently conveys this information and it is part of the guidelines that have been developed by the Administrator of the Environmental Protection Agency in conjunction with the Secretary of the Army acting through the Chief of Engineers under section 404(b)(1) of the Clean Water Act.
OSM-2010-0021-0696	Murray Energy Corporation	Need to Apply Current Information, Technology, and Methods Section 1.1.4, p. 1-16 "More traditional approaches to restoration of AOC have created large reclaimed acreages that resemble landscapes of agricultural fields, urban recreational parks, or construction fill sites such as large dam embankments, spillways, or waterway diversions." This statement is a generalization that is neither relevant nor appropriate.	No change is necessary. The text is purposefully a generalization of the results that are achieved under existing regulations for AOC restoration that are general, subjective, and lacking in specificity. As discussed on page 1-14 of the DEIS, this has resulted in postmining surface configurations that are significantly flatter than the premining configuration; that lack many of the landform features found prior to mining; and that have significantly altered drainage patterns and stream characteristics and functions. The text on page 1-16 are examples to visualize these results and are therefore relevant to the discussion of the need to improve the objective standards.
OSM-2010-0021-0696	Murray Energy Corporation	Mineral Resources and Mining Figure 3.1-1, p. 3-5 The color code indicated in the legend for the "Northwest"	The figure has been edited for clarity.

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		does not match the color on the map.	
OSM-2010-0021-0696	Murray Energy Corporation	Surface Effects of Underground Mining - Subsidence Mechanisms Figure 3.1-8, p. 3-17 The text accompanying this figure correctly states that surface subsidence is normally about two-thirds of the thickness of the coal seam being mined and can range from near zero to the full thickness of the coal seam. This figure shows a subsidence depth of nearly double the thickness of the coal seam being mined. This is misleading. The figure should be edited to depict a plausible subsidence scenario, or the subsidence depth in the figure should be clearly labeled as vertically exaggerated to illustrate the concept of subsidence.	Thank you for the comment. The text supporting the figure now clarifies that the vertical dimension has been exaggerated to effectively demonstrate the concept of subsidence but is not meant to be a scale accurate depiction.
OSM-2010-0021-0696	Murray Energy Corporation	Topography Section 3.4, p. 3-104 Moreover, the photographs included in Section 3.4 do not appear to represent typical mining situations; the DEIS does not provide sufficient context regarding the apparently unusual environmental conditions depicted. If the pictures depict an unusual situation (e.g., associated with a particularly large storm), then OSMRE should remove the pictures and the text should be modified to reflect common or typical environmental conditions. Finally, these photographs serve no other purpose except to bias readers with respect to the hazards associated with mining; they provide no useful technical information to inform the action alternatives analysis.	Thank you for the comment. The pictures are used to depict unusual or abnormal events that occur coincidentally with mining, and this implication is already clear in the text. The context is sufficiently clear, and we have not changed the text in response to this comment.
OSM-2010-0021-0696	Murray Energy Corporation	Groundwater Usage Overview Section 3.5.2.2, p. 3-131 Both definitions for groundwater here differ from OSMRE's proposed definition of groundwater in the Proposed Rule.	No change is necessary in response to this comment. This section provides information on the current affected environment and therefore uses the definition from existing regulations.
OSM-2010-0021-0696	Murray Energy Corporation	Groundwater Usage Overview Section 3.5.2.2, p. 3-131 "As defined in the federal regulations (30 CFR 701.5), groundwater is "subsurface water that fills available openings in rock or soil materials to the extent that they are considered water saturated." A USGS report (USGS, 2000b) states that groundwater "... provides drinking water to urban and rural communities, supports irrigation and industry, sustains the flow of streams and rivers, and maintains riparian and wetland ecosystems. In many areas of the Nation, the future sustainability of groundwater resources is at risk from overuse and contamination. Because groundwater systems typically respond slowly to	The definition of groundwater has been changed in the rule. Please refer to section 701.5 of the rule.

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		human actions, a long-term perspective is needed to manage this valuable resource. "The USGS dictionary defines groundwater as: (1) water that flows or seeps downward and saturates soil or rock, supplying springs and wells. The upper surface of the saturate zone is called the water table. (2) Water stored underground in rock crevices and in the pores of geologic materials that make up the Earth's crust. (USGS, 2015) There is an important technical distinction between this USGS definition and the definitions quoted in the DEIS. The USGS definition of groundwater focuses on flowing water, but also includes non-flowing water that may be stored in clay or other geologic material. OSMRE should clarify the context in which it proposes to monitor groundwater, and specify that groundwater is defined with respect to aquifers and not the presence of soil moisture and water captured in the subsurface and unavailable for use.	
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview Section 3.5.2.3, p. 3-132 The proposed definitions of "insulated" and "perched" streams appear to be the same. If OSMRE intends that these terms be distinct, it should propose distinct definitions for each term. It is important to clarify these terms because they are used throughout the DEIS.	The referenced text provides definitions quoted from a third party classification system. These terms are not used throughout the DEIS; the term "perched" is used in several other instances within the text of the DEIS but is used in the context of perched groundwater and not in reference to the concept of perched streams as used in the classification system being discussed in the text referenced by this comment.
OSM-2010-0021-0696	Murray Energy Corporation	Greenhouse Gas Permitting for Stationary Sources Section 3.6.1.3, p. 3-208. The requirement to address greenhouse gasses through the PSD permitting process in accordance with the Tailoring Rule was significantly curtailed by the Supreme Court in their decision in Utility Air Regulatory Group v. U.S. EPA, 134 S. Ct. 2427 (2014), which concluded that U.S. EPA may not treat greenhouse gasses as an air pollutant for purposes of determining whether a source is a major source required to obtain a PSD or Title V permit. On June 25, 2014, U.S. EPA subsequently issued a clarifying memorandum stating they will no longer require permits for "step 2" sources (i.e., sources that triggered permitting requirements based solely on their greenhouse gas emissions). OSMRE should update this section to provide a more accurate and current regulatory context of greenhouse gas emission regulation under the CAA.	The information in Section 3.6.1.3 has been updated to reflect the current status of the Greenhouse Gas Tailoring Rule and an additional Section 3.6.1.4 "Climate Change and Clean Power Plan" was added.

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OSM-2010-0021-0696	Murray Energy Corporation	<p>Air Quality, Greenhouse Gas Emissions, and Climate Change Section 3.6.2.5, Figure 3.6-10, p. 3-227</p> <p>The title and description of Figure 3.6-10 indicates that the figure includes Class I areas in the Northern Rocky Mountains and Great Plains Region. These Class I areas are listed in a table appearing on pages 3-225 and 3-226. The map in Figure 3.6-10 does not include these Class I areas. This figure should be updated to accurately reflect the text of this section.</p>	<p>Thank you for the comment. Figures throughout Section 3.6 have been updated for the FEIS.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.5, p. 4-331</i></p> <p>OSMRE failed to include a summary discussion of why Alternative 8 is preferred. Without a quantified discussion of its selection, stakeholders are unable to provide comment. The body of the DEIS has no conclusory chapter.</p>	<p>Thank you for the comment. The Preferred Alternative is the proposed rule itself. As discussed in the Department of the Interior (DOI) NEPA regulations (43 CFR 46.420(d)) our Preferred Alternative, as represented in the final rule with supporting rationale provided in the preamble, is the one which we believe would best accomplish the purpose and need of the Preferred Alternative while fulfilling our statutory mission and responsibilities, while giving consideration to economic, environmental, technical and other factors.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section B.2, p. B-1</p> <p>OSMRE should clarify if it is endorsing these stream bioassessment methods and clarify the level of biological organization best suited for assessment of mine-related impacts. OSMRE should confirm that it is suggesting benthic macroinvertebrates as a target indicator species.</p>	<p>Please refer to the discussion of biological baseline and monitoring data requirements in the preamble to the final rule.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section C.1, p. C-1, first paragraph</i> Lotic or flowing aquatic systems are common landscape features in areas where coal mining is conducted. Lotic systems include creeks, springs, streams, rivers, etc. This section will discuss the various lotic systems and their features and functions within the study area The descriptions provided here in this section are based on the generally accepted physical and ecological characteristics that define these systems; these definitions will not necessarily be identical to the regulatory definitions used in SMCRA the CWA or elsewhere. OSMRE should clarify why some definitions in Appendix C are not necessarily identical to the regulatory definitions likely to be imposed.</p>	<p>As explained in the EIS the descriptions provided here in this section are based on the generally accepted physical and ecological characteristics that define these systems; these definitions will not necessarily be identical to the regulatory definitions used in SMCRA the CWA or elsewhere. Definitions used to describe streams in general to ensure understanding of the concept on the part of the EIS reader would need to convey different information than those developed to ensure clarity in which systems fell under any certain category per the regulation.</p>
OSM-2010-0021-0696	Murray Energy	<p><i>Section C.1.16, p. C-18, first paragraph</i></p>	<p>. The reference to Palmer (2009) was in error</p>

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	Corporation	<i>Although fish tend to occupy larger streams, multiple species can use ephemeral and intermittent streams as habitat.</i> OSMRE should provide a reference for this statement. Meyer et al. (2007) and Palmer (2009) do not discuss fish using ephemeral streams.	and should have referred to Bernhardt and Palmer 2011 which discusses the importance of small stream habitats to fish diversity and population size on page 41. Please also refer to Section "Biological Diversity in Small Streams" subsection "Fish" on Pages 90 through 93 in the Meyer et. 2007 reference.
OSM-2010-0021-0696	Murray Energy Corporation	Table F-I Species List, p. F-4 OSMRE should change the "Mollusks" category to "Mussels," and associate the snail, <i>Triodopsis platysayoides</i> , with the other "Snails" on p. F-7.	The final Biological Assessment (see Appendix F) contains the final species list developed as a result of ESA Section 7 consultation for the Stream Protection Rule. This list reflects several species status changes that occurred following the DEIS publication. The mollusk category has been retained, as there are mussels and snails that fall into this category. The final list places the <i>Triodopsis platysayoides</i> snail within the "terrestrial snail" guild within the category of mollusks.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.4, p. 4-72, "Streams degraded downstream of mining operations" bullet "While state CWA section 303(d) water quality reports routinely identify coal mining as a pollution source, these data are not compiled at the regional level." Most 303(d) listed streams with mining impacts in the western U.S. are from historical mines not those currently permitted under SMCRA.	No changes are necessary in response to this comment. The text is within a discussion of the challenges associated with using various data sources including the 303(d) listings to compare the Action Alternatives against the no action alternative; the comment (if correct) supports this discussion.
OSM-2010-0021-0070	Luminant Mining Company LLC	Similarly, the DEIS fails to consider the impacts of the Enhanced Coordination Process and U.S. EPA's guidance on permitting under the Clean Water Act, both upheld by the D.C. Circuit in 2014. ⁸² These actions tightened the requirements for obtaining permits for surface coal mining in Appalachia. In addition, the DEIS does not consider the impacts of U.S. EPA's recent use of the Clean Water Act veto provision.	Enhanced Coordination Procedures (ECP) is considered part of the regulatory baseline for this rule. To efficiently process a backlog of pending Section 404 permits, U.S. EPA and the USACE issued ECP on June 11, 2009. EPA also developed guidance for review of applications for permits for Appalachian surface coal mining operations under the CWA (U.S. EPA Permitting Guidance), which was finalized on July 21, 2011. This guidance was intended to clarify U.S. EPA's roles and expectations in permitting surface coal mining operations under section 402 and 404 of the CWA, and to "assure more consistent, effective, and timely review of Appalachian surface coal mining operations with respect to provisions of the CWA, the National Environmental Policy Act, and Environmental

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			<p>Justice Executive Order, as implemented by USEPA and USACE." This guidance included protective actions that went beyond the 2008 SBZ rule with regard to excess spoil placed in streams (i.e., these requirements imposed further requirements on mine design). The U.S. District Court for the District of Columbia set aside the U.S. EPA Permitting Guidance in July 2012. However, in its July 31, 2014 decision related to the ECP, the U.S. Court of Appeals for the D.C. Circuit also held that the U.S. EPA Permitting Guidance was not "a final agency action subject to pre-enforcement review." Because this appellate decision relied on the fact that the U.S. EPA Permitting Guidance did not "impose any obligations or prohibitions on regulated entities [and] State permitting authorities 'are free to ignore it[,]'" the EIS does not consider the U.S. EPA Permitting Guidance as part of the regulatory baseline for this rule. However, the analysis does consider the U.S. EPA's veto provision. U.S. EPA exercised its veto authority under section 404(c) of the Clean Water Act in 2009 by retroactively revoking a permit issued by the USACE in 2007 to allow the filling of streams in connection with a large surface coal mining operation in West Virginia. The company challenged the veto and won at the District Court level, but the U.S. Court of Appeals for the District of Columbia Circuit reversed that decision and upheld the veto on April 23, 2013 (Mingo Logan Coal Company v. U.S. Env'tl. Prot. Agency, Civil Action No. 12-5150; 2013 U.S. App. LEXIS 8121 (D.C. Cir. April 23, 2013)). Mingo Logan appealed to the United States Supreme Court, who declined to hear the case in March, 2014 (714 F. 3d 608). On September 30, 2014, the D.C. District Court ruled in favor of U.S. EPA on the issues remanded to it by the D.C. Court of Appeals (D.C. Cir. September 30, 2014). Language about these regulations and how they were incorporated in the analysis has been</p>

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			added to the EIS.
OSM-2010-0021-0696	Murray Energy Corporation	The proposed increased monitoring would have little effect in some western jurisdictions such as Montana, where this level of monitoring is already substantially realized (see Mont. Admin. R. 17.24.3048.	No changes are necessary in response to this comment. Benefits were determined through the model mine analysis, which used actual permit data from current mines to design and analyze thirteen “representative” model mining operations, which are categorized by region and size (tons of coal produced annually). The model mines represent actual operations that have been permitted and implemented under existing regulations at the state and federal level and therefore already take into account existing protections and benefits of existing regulations in the manner in which they are actually applied.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE must clarify what is meant by "isolating the effect of individual mining operations." This language implies that water resources will be affected by mining operations, and potentially at multiple mining operations within a watershed or area where there could be an additive effect (hence the need for "isolation of effect of individual mining operations"). The language is vague and does not reflect inherent differences between surface mining versus underground mining.	Thank you for your comment in regards to the text on page 4-57 of the EIS that states “Some of the rule elements in Table 4.2.1-1 have indirect implications for surface water and groundwater quality that may not be readily apparent. For example, Action Alternatives that require expanded baseline monitoring will help authorities assess the premining quality of water resources and better isolate the effect of individual mining operations.” The text means that additional baseline monitoring will assist in determining whether any particular mine is the source of an observed problem during monitoring, i.e. that the data will allow “isolating” the actual source of the problem for identification. To the extent that the wording of the DEIS caused confusion, the text has been clarified in the FEIS.
	Wyoming Department of Environmental Quality	DEIS Section 5.2 WDEQ and the Office of Surface Mining entered into a Memorandum of Understanding (MOU) dated August 25, 2010 wherein WDEQ agreed to serve as a cooperating agency for the development of the Stream Protection Rule DEIS. Wyoming agencies have served as cooperating agencies many times with the Department of Interior and the Department of Agriculture. WDEQ did not enter into the MOU lightly or without an understanding of the commitment	Please refer to the Master Response on Cooperating Agency involvement and Section IV.B. of the preamble to the final rule.

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		<p>of staff and resources required to best serve the development process. WDEQ was only provided limited opportunities with unreasonably short review times to review the drafts of three chapters in late 2010 (review period of only September and October) and early 2011 (review period of only January). OSMRE did not engage WDEQ after those reviews. The State of Wyoming and WDEQ sent several letters to the OSMRE Director on several occasions (See Attachment 4) reiterating the request by the State of Wyoming and WDEQ to be engaged by OSMRE in that process. It must be assumed that those requests were all denied since OSMRE failed to engage WDEQ further much less respond to most of those requests. The description of the cooperating agency review process as included in the DEIS is not an accurate representation of that process or how states were consulted. Any perception that WDEQ was involved or consulted by OSMRE is incorrect. The failure to honor the terms of the MOU with WDEQ and the other cooperating agencies is clearly reflected in the lack of recognition of the best practices and technical expertise that exists at the delegated regulatory authorities. OSMRE should withdraw the Proposed Rule and re-engage the cooperating agencies.</p>	
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	<p>DEIS Section 5.2The DEIS provides information regarding the participation by Federal and State agencies in the process as Cooperating Agencies. WDEQ takes exception to how the relationship between OSMRE and WDEQ was presented. The narrative in the DEIS would lead one to believe that there had been robust coordination with WDEQ, similar to what OSMRE stated was conducted with U.S. EPA and U.S. FWS. That was clearly not the case. Even the reviews of the three chapters referenced in the narrative had incredibly short review times, making meaningful input from WDEQ impossible. For example, WDEQ was given 7.5 working days to review Chapter 4, which contained 278 pages. A January 18, 2011 letter from WDEQ Director John Corra to Mr. Paul Ehret of OSMRE requested a 30-day review of Chapter 4. As stated by a subsequent January 26, 2011 letter from WDEQ Director John Corra to Mr. Paul Ehret of OSM, the request was not responded to so WDEQ only had time to provide general comments on limited sections of Chapter 4. After the review of the third chapter in January 2011, OSMRE totally disengaged from cooperation...An absence of any</p>	<p>Please refer to the Master response on Cooperating Agency involvement, updates within Chapter 5, and Section IV.B. of the preamble to the final rule.</p>

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		opportunities for review or input into the development of the subsequent DEIS does not under any measure satisfy cooperating agency principles. The failure by OSMRE to even respond to letters regarding the cooperating agency process is an appalling failure to comply with the cooperating agency MOU, much less the principles of cooperating agency processes. It is confusing at best why OSMRE so casually dismissed the years of expertise and best practices of the Regulatory Agencies, including Wyoming, in the development of a Proposed Rule of this size, scope, and rigidity that WDEQ will be expected to implement.	
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	DEIS Page 5.3 OSMRE clearly admits that it has not engaged the states in development of the Proposed Rule and the DEIS over the past 5 years, despite significant changes in the DEIS from the Preliminary DEIS. Although OSMRE may intend to work with cooperating agencies in the future, not allowing states to participate as cooperating agencies in the development of the Proposed Rule and DEIS after states had accepted cooperating agency status is a clear violation of NEPA as well as the intent of SMCRA. The Proposed Rule should be withdrawn.	OSMRE has complied with both NEPA and SMCRA. See the Master response on Cooperating Agency involvement, Chapter 5 of the EIS, and Section IV.B. of the preamble to the final rule for a detailed discussion of the coordination and outreach between OSMRE and the cooperating agencies.
OSM-2010-0021-0056	Utah Mining Association	The SPR will not provide regulatory certainty to industry. In fact, the DEIS appears to contradict the SPR. The DEIS seems to assume that only proposed mines that will result in permanent adverse impacts will not be permitted. The SPR would appear to preclude the permitting of mines even where there are temporary impacts. Such discrepancies result in the agency potentially underestimating impacts in the DEIS that do not reflect the actual text of the SPR.	The analysis of the DEIS and the contents of the rule are not in conflict, and the DEIS does not underestimate impacts in comparison to the actual text of the rule. OSMRE has clarified in the final rule that not all of impacts would necessarily rise to the level of material damage to the hydrologic balance outside the permit area, especially ones of a temporary nature.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	The WDEQ is disappointed in the quality of the draft documents that have been released for review. Information and statements do not appear to be consistent across the three documents, resulting in difficulty in determining the intent or basis for much that is included in the Proposed Rule. This results in increased difficulty for those reviewing and attempting to analyze the three documents. Numerous scientific and non-scientific studies and reports are referenced to support the Proposed Rule. Those referenced documents are often detailed and highly technical. It was impossible to review the Proposed Rule, DEIS and DRIA as well as the referenced studies with the review time	The stream protection rule has been the subject of robust public involvement, starting in 2009. During that year, we published an advance notice of proposed rulemaking, conducted 15 stakeholder outreach meetings, held nine public scoping meetings, and provided two public comment periods totaling 76 days on scoping for the DEIS. The scoping process generated over 20,500 comments, including input from state regulatory authorities. On July 16, 2015, we announced that the proposed rule, DEIS, and Draft RIA were

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		<p>allowed. That has resulted in an incomplete review. The WDEQ has spent over 1,000 man-hours reviewing the Proposed Rule and the associated documents during the review period. Despite our best efforts, we are not able to complete the review and the review remains incomplete. Topics like multimetric bioassessment are of a huge concern for us. Because of the limited time available, we were not able to do a robust review of the DEIS, the literature cited in the EIS and several other technical topics or the DRIA.</p>	<p>available for review at www.regulations.gov, on our website (www.osmre.gov), and at selected OSMRE offices. On July 17, 2015, we published a notice in the Federal Register announcing the availability of the DEIS for the proposed rule. See 80 FR 42535-42536. The notice reiterated that the DEIS was available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the DEIS was originally scheduled to close on September 15, 2015. On July 27, 2015, we also published the proposed stream protection rule in the Federal Register. See 80 FR 44436-44698. That document reiterated that the proposed rule, DEIS, and Draft RIA were available for review at www.regulations.gov, www.osmre.gov, and the OSMRE offices listed in the notice. The comment period for the proposed rule and Draft RIA was originally scheduled to close on September 25, 2015. In response to requests for additional time to review and prepare comments on all three documents, we extended the comment period for the proposed rule, DEIS, and draft regulatory impact analysis through October 26, 2015. See 80 FR 54590-54591 (Sept. 10, 2015).</p> <p>Interested parties, therefore, received a total of 102 days to review the proposed rule and supporting documents. During that time, we also held six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia, and West Virginia. We received approximately 95,000 comments from all sources on the proposed rule, DEIS, and Draft RIA.</p> <p>The proposed rule, DEIS, and Draft RIA included citations to references that we relied upon in developing those documents. These reference citations were available from the time of publication of the proposed rule, DEIS, and the Draft RIA in the Federal Register. We used these references in discussing both specific components of the rule and our analysis, as well</p>

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			<p>as for support of our discussion on more general concepts. We did not receive any requests for copies of these references during the comment period. However, in response to language that Congress included in a report accompanying the Consolidated Appropriations Act of 2016, Pub. L. 114-113, we placed all publicly-available references on www.regulations.gov. Copyright-protected materials are easily obtainable through state or university libraries or the publisher. We were not able to provide copyright-protected items to requesters directly because doing so might violate copyright laws. We also scheduled meetings of OSMRE and state technical personnel to discuss the scientific studies and other reference documents on two dates (April 14 and 21, 2016). The meetings were held simultaneously in Denver, Colorado; Alton, Illinois; and Pittsburgh, Pennsylvania. Staff from six state regulatory authorities participated in the meeting on April 14, 2016, and staff from five state regulatory authorities participated in the meeting on April 21, 2016.</p> <p>The comment period we provided fully complies with the Administrative Procedure Act, 5 U.S.C. 553, which does not set a minimum public comment period for a proposed rule. We also exceeded the 60-day minimum comment period recommended by Section 6(a)(1) of Executive Order 12866 for meaningful public participation. This time is comparable to the comment periods for similar regulations that we have issued in the past. For example, the now-vacated 2008 stream buffer zone rule was subject to a 90-day comment period, while the comment period for the 1978 proposed rule containing most of the original permanent regulatory program regulations was 71 days.</p> <p>It is also noteworthy that many commenters, primarily environmental groups, opposed our 30-day extension of the comment period. They maintained that 60 days was sufficient to review the materials and provide meaningful comment.</p>

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			These and other commenters, including state regulatory authorities, were able to provide extensive, detailed, meaningful comments on the proposed rule in the comment period provided.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	Wyoming, throughout the history of the coal mining regulatory program under the Surface Mining Control and Reclamation Act (SMCRA), has developed best practices that are suited to our climate, natural hydrologic conditions, topography and geography. Nowhere in the process of preparing these documents did OSMRE request from Wyoming those best practices so they could be considered in the development of the three documents. The failure to request or consider state specific best practices has resulted in incorrect assumptions many requirements in the Proposed Rule that are impractical or have limited, if any, benefit in Wyoming. The Proposed Rule should be retracted and redrafted with consideration of Wyoming's best practices to address and reflect state and regional best practices.	OSMRE does not disagree that advances in reclamation have been achieved and are reflected in certain states best practices, for example states that require the use of native plantings. However the existing regulations are now insufficient because they did not require these best practices. To the extent that advances have been made an incorporated into ongoing mining practices, OSMRE has incorporated these advances in reclamation under the No Action Alternative. Additionally, the comment fails to recognize that requiring a best practice that a state has already implemented does not impose any additional burden on that state.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	The DEIS attempts to address the basis and need for the massive sweeping changes proposed in the Proposed Rule. It does not, however, describe in any detail what is not being done correctly in Wyoming or how WDEQ has erred in the role as Regulatory Authority as delegated under SMCRA. The DEIS does not list program deficiencies, instances where OSMRE has required corrective action, instances where cumulative hydrologic impact assessments (CHIA's) have not been done correctly or any other basis for the major changes and imposition of such strict and inflexible requirements.	While it is true that the DEIS does not list specific deficiencies or errors in the current state SMCRA regulatory programs this is not indicative of a lack of need for the Proposed Action. OSMRE's annual evaluation reports routinely do not indicate problems with the states' implementation of their programs, however we disagree with the conclusion the commenters attempt to draw from this information, i.e., that our experience does not show that there is a problem that this rule is designed to address. OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. OSMRE Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and

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			reclamation that are not regulated or controlled by the state program. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate protection. While Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, that authority may be exercised only if both OSMRE and the state agree to do so, and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised. Thus, annual reports are of little assistance in assessing how the existing minimum federal standards that are incorporated into the approved state programs could be improved to better implement SMCRA. Part II of the final rule preamble summarizes the water quality and land reclamation problems that developed under the previous rules. In addition, speakers at the public hearings described their experiences with dewatering of streams as a result of subsidence from underground mining operations.
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.2.3.4, P-4-146</i> <i>The operator would be required to redistribute soils in a manner that limits compaction and provides optimal rooting depth to support the approved plan for revegetation and reforestation.</i> This statement assumes soil permeability should be promoted on all sites. Soil infiltration should not be extensively promoted on sites where seepage through cover materials has the potential to increase acid mine drainage.</p>	The commenter assumes that the requirements to redistribute soil supersede requirements under section 816.38 and allow increased seepage and mine drainage. The commenter's assumption is incorrect. All acid-forming materials and toxic materials must be "treated or buried and compacted or otherwise disposed of in a manner designed to prevent contamination of ground or surface waters." See SMCRA Section 515(b)(14). Additionally, "overburden or spoil shall be shaped and graded in such a way as to prevent slides, erosion, and water pollution." See SMCRA Section 515(b)(3). These requirements persist in addition to the requirements to redistribute soil. Accordingly, commenter's concern is unfounded.
OSM-2010-0021-0696	Murray Energy	Action Alternatives and Potential Effects on Biological	In the final version of the rule (the Preferred

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	Corporation	Resources Section 4.2.2.2, pp. 4-97 to 4-98, last bullet "Alternatives 3, 4, 5, 6, and 8 (Preferred) would implement additional protections to all streams, including requiring that at least some ephemeral streams be restored inform." Pages ES-19 and 2-35 state that there has to be a 100-ft buffer on each side of every perennial, intermittent, and ephemeral stream following completion of mining activities. OSMRE fails to specify the characteristics that will prompt restoration of some ephemeral streams, and not others.	Alternative) OSMRE has added new section 817.56 which sets out the requirements for ephemeral streams. These include requirements that are counterparts to those for intermittent and perennial streams such as requirements to comply with the Clean Water Act, establish a postmining drainage pattern and stream channel configuration that is consistent with the approved permit, and establish a 100-foot streamside vegetative corridor that complies with the standards in 817.57(d)(1)(iv) through (4) if activities are conducted through an ephemeral stream.
NA	EPA	Page 4-46, second-to-last paragraph, last sentence: Suggest noting that, in addition to NWP 21, current NWPs 49 and 50 also authorize coal mining related activities - Coal Re-mining (NWP 49) and Underground Coal Mining (NWP 50).	The text has been updated to include NWP 49 and NWP 50 permits for surface coal re-mining and underground mining, respectively.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the Alternatives Section ES.8, p. ES-42 It is unclear how implementing the Preferred Alternative would improve the permitting process. It appears the added requirements of the Proposed SPR would make the permitting process more complicated and increase the time and associated cost of the permitting process both for the regulators and the regulated community.	The permitting process would improve under the Preferred Alternative because it would be more effective in ensuring that the operation will be conducted in compliance with all requirements. The proposed permitting process would require additional sampling, evaluation, and characterization of the proposed permit area. This information would allow the regulatory authority to more easily determine whether mine plans are designed in accordance with the regulatory program and would also improve the assessment of the mine operation's compliance with the permit.
OSM-2010-0021-0066	NMA	Most of the alternatives considered require that 12 evenly spaced samples are collected from a consecutive 12-month period in all intermittent and perennial streams. Since intermittent streams do not flow for 12 months in a year, this is either not possible (if the intent was monthly sampling) or would require more intensive sampling during the period of stream flow, which cannot be justified for intermittent streams. The basis for the need for monthly sampling is not provided in the DEIS.	See the discussion of the basis for sampling frequencies discussion in the rule preamble.
OSM-2010-0021-0047	Society for Mining, Metallurgy &	The definitions used in the Stream Protection Rule need to consider monitoring requirements that reflect relevant	In response to public comment, OSMRE has revised the final rule (Preferred Alternative).

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	Exploration	regional and permit specific conditions. As defined for Alternative 8 (Preferred), the Stream Protection Rule would require: "monitoring the biological condition of streams using a of a comprehensive, multi-assemblage, scientifically defensible bioassessment protocols to document the biological condition of all perennial and intermittent streams and a representative number of ephemeral streams within the proposed permit and adjacent areas over multiple seasons (at a minimum spring, summer, and fall)." This general monitoring requirement does not recognize the biological and ecological variation in ephemeral streams across the seven coal regions defined by OSMRE for promulgation of the Stream Protection Rule. The monitoring requirement to prepare a scientifically defensible bioassessment of a representative sample of ephemeral streams for all areas does not appear to reflect the variation of the ecology and hydrology of ephemeral streams in the seven coal mining regions. The information used to prepare a scientifically defensible bioassessment should reflect conditions that are applicable to the area (Levick, Fonseca and Goodrich, 2006); (Hart, Kirk and Maggard, 2011).	Per 816.37, biological monitoring is required only for perennial and intermittent streams.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred))-- Continuous on-site rainfall measurement requirements; OSMRE should not require continuous on-site rainfall measurement because it is unnecessary and in excess of what is required in the NPDES program. For decades industry has utilized continuous rainfall measurements from the closest available rainfall gauge. This practice is scientifically valid if the rain gauge is geographically close and climate characteristics do not vary between the gauge and the site.	In response to public comments we have added final paragraph 780.23(b)(1)(ii)(C) to allow, at the discretion of the regulatory authority, a single self-recording device to provide precipitation monitoring data for multiple permits that are contiguous or nearly contiguous provided the device can provide adequate and accurate coverage of precipitation events occurring in that area.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Biological Monitoring Section 2.5.2.1, p. 2-44, second bullet Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred)) --Annual monitoring of biological condition required; Annual monitoring of biological communities does not provide the necessary information to determine what is happening to the community based on mining. Many other variables contribute to biological community changes (i.e. drought, floods, water temperature), and it would be difficult if not impossible to identify the specific causes.	The information obtained from the biological condition monitoring plan should be evaluated alongside the other parts of the water monitoring requirements, such as the surface-water and groundwater monitoring requirements of the alternatives. Taken together, the once-a-year biological condition monitoring and the other more frequent monitoring requirements for surface and groundwater, will allow the regulatory authority

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			to have the data necessary to identify trends that indicate that an operation is at risk of causing material damage to the hydrologic balance outside the permit area.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 2 (also 3, 4, 5, 6, and 8 (Preferred))-- "Quarterly monitoring until final bond release (assuming no adverse trends in data which would lead to material damage to the hydrologic balance requirement) consisting of the same suite of analytes sampled for during baseline data collection;"Sampling for over 25 constituents on a quarterly basis for years will be difficult to analyze and use, and represents a significant cost to RAs and industry. OSMRE has not established any thresholds, limits, or corrective action plans. OSMRE has not considered the unique characteristics of each coal producing region or economic costs in developing these water quality monitoring alternatives.	OSMRE disagrees with this comment. In crafting the alternatives, including the Preferred Alternative, OSMRE has contemplated regulatory revisions that would provide minimum nationwide standards while also providing each regulatory authority with the flexibility to tailor requirements, such as in defining material damage to the hydrologic balance outside the permit area and defining evaluation thresholds, to meet the needs of its jurisdiction while ensuring minimal standards are met.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 1 (No Action Alternative) (Regulatory Authority- PHC) Chapter 4, p. 4-48 "However, there are shortcomings in the current regulations implementing SMCRA. Insufficient baseline data can make it difficult or impossible for the regulatory authority to determine whether problems detected during and after mining are a result of the mining operation or are instead related to other sources. Although the regulations require baseline characterization they do not establish standard protocols for determining the placement and number of water sampling points." OSMRE presumes there are "shortcomings" in the baseline data, but provides no substantiation in this assertion. These perceived shortcomings do not exist in many states, and OSMRE fails to provide specific examples. For example, in Kentucky the KPDES program requires substantial monitoring programs within mining permits.	No change is necessary in response to this comment. The assertion that one state has "substantial" monitoring does not address the need for nationwide standards to ensure adequate premining data at all sites of proposed mining to establish a comprehensive baseline that will facilitate evaluation of the effects of mining. As explained in the text of the EIS, the existing rules require data only for a limited number of water-quality parameters rather than the full suite needed to establish a complete baseline against which the impacts of mining can be compared. The existing rules also contain no requirement for determining the biological condition of streams within the proposed permit and adjacent areas, so there is no assurance that the permit application will include baseline data on aquatic life.
OSM-2010-0021-0070	Luminant Mining Company LLC	Contrary to the unsupported conclusions of the DEIS, Luminant expects significant costs resulting from permitting. We project a substantial (multi-million dollar) increase in one-time environmental baseline costs as a result of additional studies of groundwater, surface water, land use, wildlife, and biological condition, as well as other mine permitting costs resulting from greater scope of jurisdiction and data collection. A significant portion of	The SPR is likely to result in costs to operators, which include additional administrative time spent on baseline data collection, monitoring, permitting applications and other compliance-related activities. These costs have been revised and increased in the Final RIA. Details regarding specific costs for each permit are described in the PRA analysis in the preamble to

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		these costs are associated with assessing characteristics of properties that Luminant has no access to—such as the requirement to collect data on historical uses of lands adjacent to mining sites that are owned by third parties.	the final rule and are summarized in Chapter 4 of the RIA. These costs are part of the costs presented in Section 4.1 of the EIS.
OSM-2010-0021-0060	Ohio Department of Natural Resources	The amount of baseline data required to be collected in the proposed rule appears, in some cases, to be excessive. We can, in many cases, adequately determine baseline conditions using focused, representative and strategic sampling points and make defensible inferences on baseline conditions that would apply to the site.	The final version of the rule, i.e. the Preferred Alternative, clarifies that the regulatory authority should identify local “parameters of concern,” if applicable, and include them in the required baseline monitoring data.
OSM-2010-0021-0060	Ohio Department of Natural Resources	“Rule elements related to monitoring and the definition of material damage to the hydrologic balance may improve water quality at surface mine sites, as would changes in mine site practices related to stream restoration and fills.” These statements are very subjective in nature and may or may not even be accurate.	OSMRE’s explanation for these statements is provided in Table 4.2.1-1. The referenced text has been clarified and a specific reference to this exhibit has been added.
NA	FWS	We recommend that OSMRE and RAs identify when a mining proposal may result in a series of, or “incremental” permits at the beginning of the permit process. A review of the maximum extent of a proposal at the beginning of the permit process will help to identify any potential changes to the mine plan and associated waste management plan. Any changes to the mining plan or waste handling facilities or techniques such as slurry pits using water as a final overburden cover of the slurry bed need to be identified at the beginning of the process.	See responses provided in the preamble for comments related to 30 CFR 783.26 and 784.40.
OSM-2010-0021-0696	Murray Energy Corporation	Various non-mining factors can play a key role in the rate and ultimate level of stream recovery. These include the nature and variability of the local geology and soils, immediate watershed land uses such as agriculture, annual rainfall variability, natural variability in biological communities in a given watershed or stream, invasive species, or year-to-year variability in rainfall. Such factors should be considered on a site-specific basis, and a prescriptive monitoring requirement is therefore not necessary and could detract from more focused and beneficial monitoring efforts. The goal of baseline monitoring should be to provide data that is useful in setting reclamation goals and identifying factors influencing site-specific biological communities.	OSMRE agrees that the factors listed in the comment are important to the site-specific characterization of an operation and the establishment of the reclamation plan. The listed factors are, without exception, addressed in requirements of the rule for site specific data. For example, the baseline data requirements contained in 30 CFR 780/784.19 requires information on hydrology, geology and aquatic biology to determine the nature and extent of the hydrologic reclamation plan and monitoring plans. The requirements recognize invasive species challenges as well; the applicant must describe measures that will be taken to avoid invasive species establishment on reclaimed areas or to control those species if

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			they do becom eestablished. 30 CFR 780/784.12.
OSM-2010-0021-0696	Murray Energy Corporation	Existing regulations require sufficient data to fully characterize the baseline conditions at a prospective mine. As outlined in the DEIS No Action Alternative, the current baseline data collection requirements include developing data related to the quantity and quality of groundwater and surface water, as well as detailed characterization of site geology as it relates to reclamation goals.... Additional data collection has no evident benefit, would be a waste of time and resources that could be better allocated, and has not been justified by a specific need or reclamation goal.	OSMRE disagrees and has made no changes to the alternatives considered in response to this comment. Expanded baseline data requirements would be determined by the regulatory authority. The regulatory authority is in the best position to identify those local parameters of concern, if applicable, and include them in the required baseline monitoring data. Any parameters of concern the regulatory authority identifies will more accurately reflect the constituents that could potentially impact water resources during coal mining and reclamation activities in their specific region of the country. We anticipate that, during the development of the permit application package, the applicant will take part in this process by consulting with the regulatory authority about which, if any, additional parameters should be added to the baseline monitoring plans.
OSM-2010-0021-0696	Murray Energy Corporation	Finally, the assumptions stated in OSMRE's DEIS, that mines per se are degrading streams and affecting aquatic life is unmerited by OSMRE's Annual Reviews of mining activity in coalmining regions (see http://www.osmrc.gov/programs/oversight.shtm).	OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and reclamation that are not regulated or controlled by the state program. The evaluation reports include only those offsite impacts of coal mining operations that would constitute a violation of the approved regulatory program. Offsite impacts that would not be a violation of the approved program are not identified. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate

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			protection. Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, but that authority may be exercised only if both we and the state agree to do so and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Alternative 8 (Preferred Alternative) Section ES.5, p. ES-17, second paragraph "Sampling, analysis, and biological assessment methods would follow recognized protocols" This statement is unclear at best. States have a myriad of protocols for biological assessments that vary based on the purpose of those assessments (e.g., support of NPDES permit or 303(d) listing criteria).... At a minimum, OSMRE must specify what "recognized protocols" it intends to apply in order to provide a meaningful opportunity for notice and comment.</p>	<p>In responding to comments on the proposed rule OSMRE has conducted thorough research on the matter of the availability and use of biological assessment protocols. Several arid and semi-arid states across the United States have multimetric bioassessment protocols for perennial streams and/or intermittent streams that have been established by Clean Water Act authorities.¹⁸ In their comments on the proposed rule, several SMCRA regulatory authorities in the western states provided evidence of rigorous protocols for determining the biological condition of perennial streams that are already in place. Also, the U.S. EPA has provided further evidence for the establishment of sampling protocols and indices in wadeable, perennial streams.¹⁹ The ability to obtain information through multimetric bioassessment protocols is currently available on national, regional, and permit-specific levels and the ability to establish effective baseline information on all perennial streams, no matter</p>

¹⁸ Arizona Department of Environmental Quality. 2015. Implementation Procedures For the Narrative Biocriteria Standard.

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¹⁹ For the 48 conterminous states, U.S. Environmental Protection Agency. *National Rivers and Streams Assessment: Field Operations Manual*. (2007) EPA-841-B-07-009. U.S. Environmental Protection Agency, Washington, D.C. For Alaska, *see, e.g.* Alaska Dep’t. of Environmental Conservation. *Alaska Clean Water Five-Year Strategic Plan Fiscal Years 2016-2020*, (2015) p. 5; “AKMAP statistical surveys can provide baseline information for protection and restoration actions.”

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			the size, habitat type, or vegetative cover is attainable using the best technology currently available.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.4.1.1, p. 2-5 This section implies that the existing baseline data collection requirements are not adequate. However, in many states (e.g., MT, WY) substantially more information is required than the minimum described here.	No change is necessary in response to this comment. Section 2.4.1.1 merely describes the existing federal requirements within the 30 CFR 780.21 and 780.22.
OSM-2010-0021-0696	Murray Energy Corporation	Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, p. 4-75, first paragraph Once again, OSMRE suggests that more monitoring will result in better water quality because issues can be resolved quickly, despite the fact that its effectiveness depends largely on state permitting and enforcement resources. There is little room to change mining plans because coal reserves are stationary.	No change is necessary in response to this comment. Monitoring can lead to the resolution of problems without changes to mining plans. Additionally, monitoring will provide the regulatory authority with the information it needs to more readily address water quality issues. The commenter is correct that the effectiveness of a regulatory authority's program depends, in part, on permitting and enforcement resources. However, this limitation does not negate the need for monitoring or affect the fact that effective monitoring will lead to better water quality.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative)Section 4.2.1.1, p. 4-49, first paragraph, OSMRE assumes that no sites are being monitored. In Kentucky, the KPDES programs already establish and monitor baseline conditions.	The Clean Water Act is not as comprehensive as SMCRA with respect to protection of the hydrologic balance. The Clean Water Act does not require establishment of a premining baseline and it only requires monitoring of point-source discharges. SMCRA requires that permit applications include baseline information so that the potential impacts of mining can be assessed at the time of permit application and so that impacts that occur during mining and reclamation can be readily identified and evaluated. SMCRA also requires monitoring of both the quality and quantity of surface water and groundwater, as well as monitoring sites located above and below the mine site. Therefore, deferral to state Clean Water Act authorities would not achieve the same results as the stream protection rule.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.1.1, p. 4-49, first paragraph, last two sentences	Thank you for the comment. We have deleted this text because the data set that it refers to cannot be verified as complete or up to date;

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		OSMRE should also clarify whether the NOIs it has received are related to surface or underground mining.	OSMRE does not require the state SMCRA regulatory authorities to report all NOIs received.
OSM-2010-0021-0696	Murray Energy Corporation	Action Alternatives and Potential Effects on Water Resources Section 4.2.1, p. 4-55, Table 4.2.1-1, "Baseline Data Collection and Analysis" row "Additional baseline characterization of surface water and groundwater provide a better understanding of the premining hydrologic regime..." The proposed increased monitoring would have little effect in some western jurisdictions, such as Montana, where this level of baseline data collection is already substantially realized (see Mont. Admin. R. 17.24.304).	Benefits were determined through the model mine analysis, which used actual permit data from current mines to design and analyze thirteen "representative" model mining operations, which are categorized by region and size (tons of coal produced annually). The model mines represent actual operations that have been permitted and implemented under existing regulations at the state and federal level and therefore already take into account existing protections and benefits of existing regulations in the manner in which they are actually applied.
OSM-2010-0021-0696	Murray Energy Corporation	Action Alternatives and Potential Effects on Water Resources Section 4.2.1.2, p. 4-55, Table 4.2.1-1, "Monitoring During Mining and Reclamation" OSMRE fails to explain how often the data are going to be reviewed by the regulatory authority. Because there is no corrective action plan, OSMRE should clarify how the mine operator should plan for changes to its operations and how approvals would be secured should they be needed.	These procedures are specifically discussed in existing regulations and also in the preamble for the final rule in the discussion of evaluation thresholds.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE expects to improve water quality by increasing water monitoring of each permit issued. Again, OSMRE is stating this as if no monitoring is currently occurring; however, monitoring is occurring.	Thank you for the comment. OSMRE acknowledges that monitoring currently occurs under both the Clean Water Act and SMCRA. The Clean Water Act is not as comprehensive as SMCRA with respect to protection of the hydrologic balance. The Clean Water Act does not require establishment of a premining baseline and it only requires monitoring of point-source discharges. SMCRA requires that permit applications include baseline information so that the potential impacts of mining can be assessed at the time of permit application and so that impacts that occur during mining and reclamation can be readily identified and evaluated. SMCRA also requires monitoring of both the quality and quantity of surface water and groundwater, as well as monitoring sites

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			located above and below the mine site. Therefore, deferral to state Clean Water Act authorities would not achieve the same results as the stream protection rule. Furthermore, monitoring under the existing regulations of SMCRA is not sufficient; studies (summarized in the rule, DEIS and RIA) find that negative effects of mining continue, in particular, elevated levels of arsenic, selenium, iron, aluminum, sulfate, and manganese, as well as increased acidity and elevated conductivity in downstream waters, and demonstrate the need for additional regulation focused on reducing these impairments.
OSM-2010-0021-0068	Earthjustice	OSMRE proposes to require restoration of stream form only for ephemeral streams, not restoration of ecological functions. DEIS at ES-21, ES-23, ES-30, 4-97 to 4-98. OSMRE also proposes to limit the definition of the scope of material damage to perennial and intermittent streams. 80 Fed. Reg. at 44,588 (§ 701.5). However, as OSMRE recognizes, ephemeral streams serve important functions in watersheds, such as production and transport of food resources [...] Fifty nine percent of the streams in the U.S. are ephemeral or intermittent. DEIS, p. 3-134. OSMRE provides no scientific reason for not restoring their functions. Failure to do so would mean that ephemeral streams could obtain failing scores on stream condition indices and be listed as impaired on § 303(d) lists due to mining activities, without any requirement that they be restored to pre-mining functional conditions.	Please see the discussion of this issue in the preamble to the final rule, specifically in Section IV.M. <i>“Should Ephemeral Streams Receive the Same Protections as Intermittent and Perennial Streams?”</i> .
NA	EPA	Ideally, consideration of the appropriate mining alternative and the potential cumulative effects associated with that alternative would be considered at a site-specific or watershed scale based on existing background conditions.	We agree that analysis at the mine level is important to understand. However, due to the broad geographic scope and timeframe for the analysis, we are unable to forecast conditions at every future mine site as part of this national-scale EIS. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.4.9.2, p. 2-41, fourth paragraph OSMRE does not consider cost in the discussion of	The RIA for the EIS provides a quantitative evaluation of the costs and benefits of the rule

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		alternative methods for avoiding placement of excess spoil or coal mine waste in or within 100 feet of streams. The absence of cost consideration in the alternatives evaluation is not consistent with federal guidance on consideration of alternatives and options in federal decision-making.	alternatives, in compliance with Federal requirements. NEPA does not require a formal cost evaluation.

2. Alternatives

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OSM-2010-0021-0066	NMA	<p>Alternative 8 (Preferred) would redefine “perennial stream” in a manner that is substantively identical to the USACE’s definition of that term in Part F of the 2012 reissuance of the nationwide permits under section 404 of the CWA. See 77 FR 10184, 10288 (Feb. 21, 2012). The DEIS does not explicitly state that definition contained in the cited document. The definition of perennial streams per the cited text is as follows:</p> <p>“[a] perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow.”</p> <p>The DEIS should state the definition provided in the cited text directly rather than referencing a document produced by another agency and subject to future changes; the definition also is scientifically unsupportable.</p>	<p>No change has been made in response to this comment. The point of referring to the reader to the Feb. 21, 2012 CWA definition is specifically to define these waters per that notice. Future changes to the definition would not change the definition as conveyed specifically in the Feb. 21, 2012 notice.</p>
OSM-2010-0021-0066	NMA	<p>The definition of stream types for Alternative 8 provided in the DEIS (Section 2, Description of Alternatives) does not provide a definition for intermittent and ephemeral streams. Since the allowable actions in and around streams mention intermittent and ephemeral streams, the definition for intermittent and ephemeral streams also needs to be provided.</p>	<p>Please refer to section 701.5 of the final rule (Preferred Alternative). Definitions for both intermittent and ephemeral streams are provided there.</p>
OSM-2010-0021-0048	North American Coal	<p>Finally, we find that the projected impacts under the proposed Alternative 8 (Preferred Alternative) are massively incorrect. Possibly the best way to correct these errors is for OSMRE to review and consider the comments submitted under the closely related docket for the Stream Protection Rule, OSM-2010-0018.</p>	<p>We have reviewed all comments in all SPR dockets. The commenter’s assumptions about the rule interpretation differ from OSMRE’s. These differences lead to different assumptions about the impacts of the rule. Please refer to the final rule preamble for responses to comments submitted to the rule docket.</p>
OSM-2010-0021-0059	CONSOL Energy	<p>The DEIS does not consider enough alternative technology options for protecting streams to be considered complete. There are many other technologies and best management-practices currently in use by the coal mining industry that could have been included in the EIS.</p>	<p>The analysis conducted in the EIS is conducted on the impacts resulting from operations under the existing regulations in comparison to impacts that would occur under the various scenarios of regulation captured in the alternatives. The model mine analysis reviewed current mining permit data to</p>

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			generate typical mines, model mines, for each region. Therefore to the extent that these technologies and practices are in current use they have been incorporated in the analysis.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-49, Impacts of the No Action Alternative on Water Resources: Surface Water and Groundwater Effects"Both surface and underground mining operations have the potential to adversely affect surface water quality The effects are generally more pronounced in areas with a long history of mining, such as sites disturbed prior to the enactment of SMCRA in 1977, as compared to more current operations, as mining practices have improved over time. However, as described in the studies presented below, mining under current regulations is continuing to result in physical and chemical effects on surface waters." Given the improvements cited, it would seem reasonable to address any current concerns through enforcement and oversight rather than placing all coal mine operators in one box. The EIS recognizes that pre-SMCRA sites have remaining and sometimes long-term impacts to land and water resources but those impacts will not be mitigated through the proposed stream protection rule, with the exception of advancing remining permits.	No changes were made as a result of this comment. It is common practice for remining operations to repair the damage caused by pre-law mine operations. While SMCRA does not limit operations to only remining operations, and does not require operators to reclaim abandoned mine land features outside of a permit disturbance boundary, any previously mined areas that are re-disturbed during the course of remining must be reclaimed according to all of the requirements of SMCRA. The implementing regulations for SMCRA already contain mechanisms to provide for enforcement of existing regulations including those that provide the regulatory authority the ability to cite an operator for violation of permit conditions, including violation of permit conditions driven by CWA and ESA requirements. The implementing regulations of SMCRA also contain mechanisms for OSMRE to assess state SMCRA program compliance with the federal regulations and to require corrections of deficiencies where they exist or to alternatively bring the program for that state back under direct federal control. These existing mechanisms do not completely address all concerns as expressed in the purpose and need for the rule.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS also fails to adequately examine alternatives. Specifically, OSMRE did not examine an alternative under which, regardless of whether and how the agency further regulates mountaintop mining and associated concerns, it would not adopt new regulations for underground mine subsidence. Yet, for most of the history of the development of the rule, OSMRE did not consider further subsidence regulation. It is nonsensical that the DEIS does not even consider the alternative that OSMRE long thought it would undertake-further regulation of mountaintop mining but no	The proposed rule merely clarified the already existing obligation to prevent material damage to the hydrologic balance outside the permit area applied to areas overlying the underground workings of an underground mine, which is part of the adjacent area as that term is defined in section 701.5 of our regulations. As explained in more detail in the definitions section of the preamble to the final rule we have always considered the definition of "material damage

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		further regulation of subsidence from underground mining.	to the hydrologic balance outside the permit area” to include the area overlying the underground workings of an underground mine as part of the evaluation area for prevention of material damage to the hydrologic balance outside the permit area. Although this has been our longstanding position and is clearly mandated by SMCRA, the definition of material damage to the hydrologic balance outside the permit area that we are finalizing today removes any of the ambiguity that may have resulted in this comment.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's DEIS fails to adequately examine alternatives for the Proposed SPR. Most importantly, OSMRE did not examine an alternative under which it would adopt new regulations for mountaintop mining and associated concerns but would not adopt new regulations addressing underground mine subsidence. This is particularly shocking since for most of the development of the rule, OSMRE did not indicate it was considering further subsidence regulation. The DEIS also fails to evaluate a sufficient range of alternatives addressing other aspects of the Proposed SPR. OSMRE's failure to evaluate a reasonable range of alternatives renders its EIS process inadequate.	Please see the Master Response on Alternatives regarding the range of alternatives considered and the response to the preceding comment regarding subsidence.
OSM-2010-0021-0696	Murray Energy Corporation	Additionally, none of the action alternatives contains any differences in sampling frequency, location, parameters or biological communities sampled, or the duration of sampling. It does not appear that OSMRE considered a reasonable range of alternatives for these elements, especially considering the variation in costs and potential permit delays associated with increased sampling and data collection and the potential regulatory involvement in reviewing and conducting inspections relating to this data. Furthermore, the alternatives analyzed for the definition of "material damage to the hydrologic balance outside the permit area" and evaluation thresholds do not encompass a reasonable range of alternatives as defined by NEPA. Despite identifying four potential alternatives for a material damage definition and three alternatives for a evaluation threshold in the NOI (in addition to the No Action Alternative) the only alternatives for this element considered were the No Action Alternative and Alternative	Thank you for your comment. Please refer to the Master Response on Alternatives for a discussion of the structuring of the alternatives related to the rule elements.

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		2, the most protective. OSMRE failed to consider other more moderate alternatives for these elements. Because this is the first time material damage will be defined on the federal level and the first time evaluation thresholds have been proposed, OSMRE should have considered a wider range of alternatives given the potential impact this definition will have on the regulatory authority and the regulated community. As a result of these, among other, omissions, the DEIS fails to analyze a reasonable range of alternatives and does not meet the requirements of NEPA.	
OSM-2010-0021-0066	NMA	The alternatives in the DEIS do not adequately capture the range of potential alternatives that could be equally effective at protecting stream resources with less impact on the industry.	Thank you for your comment. Please refer to the Master Response on Alternatives for a discussion that addresses this and other similar comments on the range of alternatives considered.
OSM-2010-0021-0066	NMA	The DEIS ignores regional ecological differences and how those differences affect implementation of the rules and/or the adequacy or need for the SPR. In particular, the DEIS alternatives appear to reflect a one-size-fits-all approach to surface and underground mining practices throughout the US.	No change to the EIS is necessary in response to this comment. OSMRE has addressed similar comments throughout the preamble to the final rule, and ensured that flexibility exists where needed to accommodate regional characteristics within the nationwide framework of the rule. For example Section section 780.28 and 816.56 incorporate site specific requirements and demonstrations when mining is planned in or near an intermittent or perennial stream, allowing for differences in topography, geology, and climate in the various regions of the country. For further discussion of flexibility provided to accommodate site specific characteristics see Section IV. C. "We Have Not Accorded Sufficient Deference to Principles of Cooperative Federalism and the Primacy of States with Approved Regulatory Programs." within the preamble to the final rule.
OSM-2010-0021-0066	NMA	The DEIS does not include the most practical alternative, which is the enforcement of existing federal and state regulations related to mining across the different regions. The existing regulations, if enforced, would meet the stated purpose and need of the action and include the more important social, economic and energy security needs not addressed.	Please refer to Master Response on Alternatives for a discussion of an alternative based on existing regulations.

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OSM-2010-0021-0066	NMA	The DEIS alternatives were nearly all the same and did not include a range of alternatives so that important issues such as social and economic alternatives could be evaluated.	Please refer to Master Response on Alternatives for a discussion of the range of alternatives considered.
OSM-2010-0021-0066	NMA	Table 2 was prepared to allow a better comparison of the features distinguishing (or failing to distinguish) the elements of the nine alternatives considered by OSMRE in its DEIS. Experts reviewed OSM's description of each alternative as articulated by OSMRE in the DEIS, particularly sections ES.4, ES.5, ES.6, 2.4, and 2.5, and compiled the table based on the information contained in those sections. Comparing the alternatives element by element, it is evident that the alternatives are in many cases duplicative, do not include alternatives contemplated by the NOI, and fail to consider a reasonable range of options as required by NEPA.	Please refer to Master Response on Alternatives for a discussion of the range of alternatives considered.
OSM-2010-0021-0066	NMA	While the No Action Alternative and Alternative 2 are vastly different in terms of the parameters required to be sampled, the remaining alternatives are some small variation or identical to Alternative 1 or 2. Not only is there no moderate alternative, the range of alternatives does not consider a reasonable range of options relating to frequency of sampling, location of sampling, timing of sampling, etc. This is in direct contrast to the NOI, which contemplated alternatives related to frequency, location, duration, as well as what biological, chemical, physical, and hydrological parameters to be sampled. The alternatives considered for baseline data collection for groundwater and geology and the alternatives considered for monitoring during mining similarly do not consider a reasonable range of alternatives, especially when compared to the alternatives contemplated by the NOI.	As the lead agency OSMRE is ultimately responsible for determining the scope and content of the environmental impact statement. The NOI is published very early in the process, even before the scoping process is conducted, in compliance with 40 CFR 1501.7. The scoping process provides, among other things, the opportunity for participants to identify concerns, potential impacts and relevant effects of past actions and possible alternative actions. Scoping is therefore done very early in the process before the analysis or deliberations of the results have occurred; it is therefore a natural and expected outcome of the NEPA process that the Preferred Alternative and alternatives would change between the early identification provided at scoping. The input received during scoping efforts is important to help define the issues for consideration; however suggestions obtained during scoping are not binding but are only important options for the lead agency to consider (43 CFR 46.235(b)).
OSM-2010-0021-0066	NMA	Similarly, the element defining "material damage to the hydrologic balance outside the permit area" contains only two options: No Action of Alternative 2. The NOI specifically mentioned four different alternatives for this element, yet	As the lead agency OSMRE is ultimately responsible for determining the scope and content of the environmental impact statement. The NOI is published very early in the process,

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		only one alternative other than the No Action alternative (no definition) is addressed.	even before the scoping process is conducted, in compliance with 40 CFR 1501.7. The scoping process provides, among other things, the opportunity for participants to identify concerns, potential impacts and relevant effects of past actions and possible alternative actions. Scoping is therefore done very early in the process before the analysis or deliberations of the results have occurred; it is therefore a natural and expected outcome of the NEPA process that the Proposed Action and alternatives would change between the early identification provided at scoping. The input received during scoping efforts is important to help define the issues for consideration; however suggestions obtained during scoping are not binding but are only important options for the lead agency to consider. 43 CFR 46.235(b).
OSM-2010-0021-0066	NMA	In some cases, OSMRE proposed alternatives for elements that would be impossible to implement, which results in additional failures to consider a reasonable range of alternatives. For example, for the AOC variances element, Alternative 2 would prohibit all mountaintop removal operations or all variances. Since SMCRA specifically authorizes an AOC variance for mountaintop removal and steep slope mining, OSMRE cannot eliminate mountaintop removal or steep slope operations without an amendment to SMCRA. Since OSMRE acknowledges that prohibiting mountaintop removal operations or other variances “may” require an amendment to SMCRA, we are left with only two alternatives under consideration for the element of AOC variances - the No Action Alternative, or the Preferred Alternative.	Please refer to Master Response on Alternatives for a discussion of what constitutes a reasonable alternative under NEPA.
OSM-2010-0021-0066	NMA	Similarly to some of the other elements discussed above, the revegetation, topsoil management, and reforestation components do not provide a reasonable range of alternatives sufficient to consider in the DEIS. For each component, only the No Action Alternative and the Preferred Alternative are considered as options. The Preferred Alternative analyzed by OSMRE seems to be more stringent than the other alternatives OSMRE stated that it	Please refer to Master Response on Alternatives, specifically the discussion of rule elements and structuring of the alternatives.

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		was initially considering. Thus, OSMRE has the choice of the No Action alternative or the most stringent Preferred Alternative, with no moderate or less stringent options.	
OSM-2010-0021-0066	NMA	Other alternatives that should have been considered include progressive mining through streams with progressive restoration, and allowances for changes in hydrology, approximate original contour, and other physical conditions. The alternatives would provide for beneficial uses (as defined under the CWA) that occur premining and are attained postmining (with exceptions in areas where other land uses have affected the attainment of those uses)	The comment did not provide sufficient detail to understand what specific additional flexibilities the commentor would like to see included in the alternatives, as the suggested items are already encompassed in existing law and regulation. For example, our existing regulations (see 30 CFR 816/817.100) require that reclamation efforts occur as contemporaneously as possible with the mining operations; therefore progressive mining with progressive restoration is already a requirement analyzed as part of the no action alternative. The alternatives considered already do allow for changes in hydrology, just not to the extent that these changes would cause material damage to the hydrologic balance outside the permit area. Assessment of the probable cumulative impact of all anticipated mining in the area on the hydrologic balance to ensure that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area is a requirement of SMCRA itself, and is indicative of the understanding that some degree of hydrologic change can and would occur that would not necessarily be a violation of the Act. Section 510(b)(3). Allowances for deviation of the approximate original contour are similarly already provided in existing regulations that implement the exception to original contour restoration requirements found in Section 515(c) of SMCRA.
OSM-2010-0021-0066	NMA	The DEIS indicates that: Alternative 4 defines perennial, intermittent, and ephemeral streams in terms of flow regime, channel and substrate characteristics, and the biological community, if any, found in the stream. The definition of an intermittent stream would no longer include the one-square-mile watershed criterion. The flow regime, channel and substrate characteristics, and the biological	Clarification on how these streams would be defined under Alternative 4 has been added to the detailed description of this alternative within chapter 2.

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		community are not defined. As such, it is impossible to determine which category a particular stream falls into. Therefore, it is impossible to determine which portion of the SPR would apply to any particular water feature. This definition is wholly inadequate.	
OSM-2010-0021-0048	North American Coal	OSMRE also fails to account for their findings, or lack of findings, in state oversight reports conducted over the past five years since this process began. The agency says that “despite the enactment of SMCRA and the promulgation of federal regulations implementing the statute, surface coal mining operations continue to have negative effects on streams, fish and wildlife. These conditions are documented in the literature surveys and studies...” ⁶ However, OSMRE has the duty to assure compliance with the Act, and does this through rigorous state oversight. Documentation of this process is found in annual oversight reports. We find it curious and disconcerting that OSMRE completely fails to emphasize, or even reference, actual evaluations of impacts, conducted by their own agency on an annual basis in all coal mining states, but instead relies on other “literature surveys and studies...” Contrary to OSM’s assertion in the DEIS, there are actually very few “negative effects”, as documented in OSM’s own oversight reports. As these OSMRE state oversight reports directly address and specifically describe “current regulatory requirements, policies, and practices under SMCRA, the Clean Water Act, and other federal and state laws...” [emphasis added] under Alternative 1 (No Action Alternative) ⁷ it’s extremely important that OSMRE consider these as best sources of information to accurately describe potential effects under the No Action Alternative. OSMRE must discuss this important information in a detailed and comprehensive manner, as it encompasses the official record of state primacy performance under the Act and its existing implementing regulations. Failure to account for this makes the DEIS incomplete, and consequently frustrates the agency’s ability to truly take a “hard look” at the proposed rule compared to current (i.e., “No Action Alternative”) conditions that OSMRE documents annually.	OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and reclamation that are not regulated or controlled by the state program. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate protection. While Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, that authority may be exercised only if both OSMRE and the state agree to do so and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised.
			The findings in the annual evaluation reports do not address the need for the proposed rule because the proposed rule would address

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			adverse impacts that historically have been allowed to occur under the existing regulations and which are not captured by the annual evaluation reports. For example, many state programs do not address elevated conductivity and increased selenium levels in streams as a result of mining and reclamation operations. The existing regulations do not specifically mention these parameters, in large part because the adverse impacts on aquatic life were not known when OSMRE adopted the existing hydrology regulations under SMCRA. Accordingly, we do not view the findings in the annual evaluation reports and the explanation of the purpose of the proposed rule in the rule's preamble as contradictory.
OSM-2010-0021-0055	Rocky Mountain Elk Foundation	Our primary concern with the EIS and the preferred Alternative 8 is the emphasis on reforestation. The EIS (ES34) requires "reforestation of previous forested areas and of lands that would revert to forest under conditions of natural succession (a farmland exception exists) in a manner that would enhance recovery of the native forest ecosystem as expeditiously as possible." We contend that in the U.S., which contains eight percent of the world's forests, there are more trees than there were 100 years ago!	We have made no change to the EIS in response to this comment. The emphasis on reforestation in the EIS is consistent with the objective to promote establishing native vegetation. The reestablishment of native species vegetation is of primary importance in reclaiming mined lands, and that the reclamation of these lands can have significant impacts on a stream's watershed and the health of that stream. Benefits to streams from the revegetation of terrestrial lands include the return of the appropriate surface water flow regimes and reestablishment of the proper nutrients and organic matter to the aquatic habitat. Regardless of the postmining land use, the final regulations are sufficiently flexible to allow planting of appropriate plant species specific to the various regions and local habitats, within limitations identified at section 780.12(g).
OSM-2010-0021-0055	Rocky Mountain Elk Foundation	We strongly suggest that OSMRE and its regulators interpret wildlife habitat as an accepted post-mining land use, as it could easily be implemented prior to final bond release and is a much needed element of the ecosystem	No change required to the EIS in response to this comment. Fish and wildlife habitat remains an acceptable post-mining category per the definition of land use at 701.5.
OSM-2010-0021-0056	Utah Mining Association/ NMA	The DEIS fails to provide a range of alternatives that are realistic or support a reasonable purpose and need for the	Please refer to Master Response on NEPA Compliance and the Master Response on

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		Proposed Action. The alternatives analysis of the DEIS is completely unrealistic and arbitrary. Consequently, the DEIS does not accurately or reasonably portray the environmental, social, or financial impacts of the SPR on the mining industry, local and state economies, or the nation.	Alternatives.
OSM-2010-0021-0059	CONSOL Energy	The DEIS does not take into consideration a comprehensive list of viable alternatives to the element of the proposed SPR. Many of the 16 "regulatory components" that are evaluated by the OSMRE are not reasonable and/or feasible, failing to substantiate a need for the proposed SPR.	OSMRE has received and considered public comment on all components of the proposed SPR. Please see the preamble for the final rule for discussion of these comments and changes we have made as a result.
OSM-2010-0021-0059	CONSOL Energy	The DEIS fails to properly take into consideration the environmental, economic, and safety consideration of the proposed changes and "regulatory components."	The EIS addresses each of these issues. The EIS discusses environmental impacts of each regulatory component in Chapter 4 of the EIS, with section 4.2 specifically focused on natural resource effects. Economic impacts associated with each regulatory component are discussed specifically in Chapter 4.3 of the EIS. Potential impacts to public health and safety are discussed in section 4.3.4 of the EIS.
OSM-2010-0021-0059	CONSOL Energy	The DEIS fails to fully take into consideration the existing robust state regulatory programs and regional differences in topography, ecology, geology, and water chemistry. The existing state programs already meet the stated need for the SPR.	While it is true that the DEIS does not list specific deficiencies or errors in the current state SMCRA regulatory programs this is not indicative of a lack of need for the Proposed Action. OSMRE's annual evaluation reports routinely do not indicate problems with the states' implementation of their programs, however we disagree with the conclusion the commenters attempt to draw from this information, i.e., that our experience does not show that there is a problem that this rule is designed to address. OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. OSMRE Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and

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			reclamation that are not regulated or controlled by the state program. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate protection. While Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, that authority may be exercised only if both OSMRE and the state agree to do so, and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised. Thus, annual reports are of little assistance in assessing how the existing minimum federal standards that are incorporated into the approved state programs could be improved to better implement SMCRA. Part II of the final rule preamble summarizes the water quality and land reclamation problems that developed under the previous rules. In addition, speakers at the public hearings described their experiences with dewatering of streams as a result of subsidence from underground mining operations.
OSM-2010-0021-0068	Earthjustice	OSMRE states in the preamble and DEIS that it considered alternative stream protection requirements that would have provided greater protection to streams, and rejected them because they would interfere with coal production. In making this choice between alternatives, OSMRE has overlooked relevant and important considerations that support adoption of a more protective alternative. Choosing a less protective alternative without fully considering its costs is arbitrary and capricious and violates the National Environmental Policy Act (NEPA).	Thank you for your comment. Please refer to the discussion related to reasonable alternatives within the Master Response on Alternatives.
OSM-2010-0021-0068	Earthjustice	OSMRE states that it rejected more protective alternatives because their alleged negative impact on the nation's energy needs would be too great. 2015 DEIS at ES-36 ("OSMRE determined that the impacts to coal production from this Alternative were so substantial that they ran counter to the mandate under SMCRA 102(f) to balance the need for energy with the protection of the environment.").	The commenter is incorrect that coal production is expected to decline to the extent that OSMRE should have eliminated additional alternatives from consideration, or used this expected decline to justify selection of the most environmentally protective alternative. OSMRE has considered and incorporated

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		In making this determination, OSMRE failed to consider the fact that the nation’s demand for coal is in sharp, sustained decline brought about by structural changes in the way energy markets are functioning.	projected declines in U.S. and global demand for coal in its assessment of the impacts of the alternatives as presented in the EIS and the RIA. As discussed, coal demand is forecast to decline by 15 percent over the time period for analysis. The most environmentally protective alternative (Alternative 2) is anticipated to have a major adverse impact on socioeconomic resources, including employment in the coal sector.
OSM-2010-0021-0054	Kentucky Energy and Environment Cabinet	The Federal Register clearly states that the proposed rule is being based on the 1983 rule language that was in place prior to the 2008 rule, which is the equivalent of the No Action Alternative. However, the statement in the DEIS claims that there is no real difference in impacts between the 1983 rule and the 2008 rule. We find no justification to support this statement, and the statement is actually untrue in most states other than possibly the two that rely on OSMRE for surface mining regulation.	Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this DEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on socioeconomic resources evaluated in this FEIS.
OSM-2010-0021-0061	Peabody Energy	Peabody urges OSMRE to develop and evaluate regulatory alternatives that address well-documented regional stream issues on a regional basis (i.e., areas for which OSMRE has evidence to support the need to develop new stream protection requirements beyond those in OSM's current rules, with effective enforcement thereof, as augmented by other regulatory changes with respect to streams, as well as	Thank you for your comment. Please refer to the discussion of regional versus nationwide rulemaking alternatives in the Master Response on Alternatives.

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		changes in mining methods and mitigation related thereto; and areas for which OSMRE can reasonably conclude that its current rules are inadequate to meet SMCRA's command under current regulatory and mining circumstances and reasonable, foreseeable changes thereto). In so doing, Peabody urges OSMRE to work closely with the mining industry to best understand the regional issues with respect to potential impacts to streams from various mining methods and operations, as well as mitigation related thereto.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	OSMRE has failed to develop and evaluate a reasonable range of alternatives. It has failed to consider alternatives that it put forth in the previous NO Is; presented alternatives as "strawmen"; and suggested alternatives that are beyond its rulemaking authority.	Thank you for your comment. Please refer to the discussion of the range of alternatives within the Master Response on Alternatives.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The alternatives are discussed in the context of the eleven principal elements addressed in the regulations. There is no explanation provided by OSMRE for each alternative as to how it was developed and its potential Benefits. There is also no explanation as to why Alternative 8 was chosen as the Preferred Alternative.	Thank you for your comment. Please see EIS Section 2.1 "Development of the Alternatives."
OSM-2010-0021-0049	Interstate Mining Compact Commission	Possible alternatives OSMRE stated that it might consider included the duration of sampling, frequency of sampling, location of sampling, what biological communities are subject to sampling, and what parameters are subject to sampling. OSMRE's analysis of alternatives for baseline data and monitoring are either the No Action Alternative or Alternative 2, with little to no variation between alternatives. The sole variation between Alternative 3 and Alternative 2 for baseline data collection seems to be the difference between discrete or continuous sampling. None of the action alternatives contain any differences in sampling frequency, location, parameters or biological communities sampled, or the duration of sampling. It does not appear that OSMRE considered a reasonable range of alternatives for these elements, especially considering the variation in costs and potential permit delays associated with increased sampling and data collection and the potential regulatory authority involvement in reviewing and conducting inspections relating to this data.	Thank you for your comment. Please refer to the discussion of rule element combinations within the alternatives considered in the Master Response on Alternatives.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Despite identifying four potential alternatives for a material damage definition and three alternatives for a evaluation	As the lead agency OSMRE is ultimately responsible for determining the scope and

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		threshold in the NOI (in addition to the No Action Alternative), the only alternatives for this element considered were the No Action Alternative and Alternative 2, the most restrictive of mining activities. OSMRE failed to consider other less restrictive alternatives or more moderate alternatives for these elements. Because this is the first time material damage will be defined on the federal level and the first time evaluation thresholds have been proposed, OSMRE should have considered a wider range of alternatives given the potential impact this definition will have on the regulatory authority and the regulated community.	content of the environmental impact statement. The NOI is published very early in the process, even before the scoping process is conducted, in compliance with 40 CFR 1501.7. The scoping process provides, among other things, the opportunity for participants to identify concerns, potential impacts and relevant effects of past actions and possible alternative actions. Scoping is therefore done very early in the process before the analysis or deliberations of the results have occurred; it is therefore a natural and expected outcome of the NEPA process that the Proposed Action and alternatives would change between the early identification provided at scoping. The input received during scoping efforts is important to help define the issues for consideration; however suggestions obtained during scoping are not binding but are only important options for the lead agency to consider. 43 CFR 46.235(b).
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 2 would effectively prohibit all mountaintop removal operations and all variances. SMCRA specifically authorizes an AOC variance for mountaintop removal and steep slope mining; therefore OSMRE cannot eliminate mountaintop removal or steep slope operations without an amendment to SMCRA. Since OSMRE acknowledges that prohibiting mountaintop removal operations or other variances "may" require an amendment to SMCRA, we are left with only two alternatives under consideration for the element of AOC variances - the No Action Alternative or the Preferred Alternative. In short, OSMRE has failed to analyze a reasonable range of alternatives for the element AOC Variances.	Agencies must consider alternatives even when they are outside their current statutory authority. Please see the Master Response on Alternatives
OSM-2010-0021-0049	Interstate Mining Compact Commission	Another example of this is Alternative 9, where OSMRE considers a scenario in which the 2008 Stream Buffer Zone Rule is promulgated and fully implemented. Engineering analysis of coal industry practices finds that, during the period that the 2008 Stream Buffer Zone rule was in place, the permits issued in Appalachia changed in response to USACE, U.S. EPA, and state policies that are similar to the No Action Alternative. As a result, Alternative 9 is	Thank you for the comment. Please refer to Master Response on Alternatives.

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		anticipated to have negligible effects on water resources compared to the No Action Alternative	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 2(Replacement of riparian zone- mining through streams)2.4.2.2, p. 2-15"... reclamation plan must provide for the preservation of a permanent riparian establishment corridor ... at least 100 feet in width ..."This alternative assumes that a riparian zone already exists and can be recreated. In western ephemeral and even intermittent and perennial streams this is often not the case. Where stream flows and climate do not provide enough water, a riparian zone cannot be established. Where streams are incised or in certain soil types, it may be impractical or impossible to have or to recreate a riparian zone of any given width. OSMRE has not considered the diverse environmental conditions of each coal producing region in its analysis.	The requirement for a riparian zone 100 feet in width does not specify that the vegetation within the zone must be water loving. In responding to similar comments on the proposed rule (i.e. the Preferred Alternative or Alternative 8) we have clarified the following: that plantings in streamside vegetative corridors must include appropriate native hydrophytic vegetation, vegetation typical of floodplains, or hydrophilic vegetation characteristic of riparian areas and wetlands to the extent that the corridor contains suitable habitat for those species and the stream and the geomorphology of the area are capable of supporting vegetation of that nature. We also clarified that it was not required to plant hydrophytic or hydrophilic species within those portions of streamside corridors where the stream, soils, or climate are incapable of providing the moisture or other growing conditions needed to support and sustain hydrophytic or hydrophilic species. In these situations, you must plant the corridor with appropriate native species that are consistent with the baseline information concerning natural streamside vegetation included in the permit application, unless otherwise directed by an agency responsible for implementing section 404 of the Clean Water Act, 33 U.S.C. 1344.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 4 (Restrictions in listed watersheds) 2.4.4, p. 2-23 "Mining operations in watersheds with impaired waters ..." If TMDLs allow trading or other ways of achieving improved conditions, this requirement would potentially override and not allow mining when a net benefit to the impaired stream could result from the mine related activities. OSMRE failed to consider this in its analysis.	Alternative 4 requires additional "enhanced permitting requirements" if a mining operation is proposed in a watershed with impaired waters and the RA expects the proposed coal mining operation would exacerbate the stream impairment. It does not ban coal mining in a watershed with impaired streams, therefore it does not ban operations that would potentially result in a net improvement to water quality.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 1 (No Action Alternative) (Definitions - Intermittent Stream)	The text is quoting existing regulations which are proposed for change under the SPR.

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		2.4.1.2, p. 2-7 "An intermittent stream is (1) a stream or reach of a stream that drains a watershed of at least one square mile." This one square mile definition is not accurate in western states where much larger drainage areas may be required to support an intermittent stream.	
OSM-2010-0021-0070	Luminant Mining Company LLC	OSMRE appears to have predetermined that any rule regulating surface mining must be national in scope, given that the DEIS fails even to consider any regionally applicable alternatives, or any approaches focused on those forms of mining that present relevant environmental risks.	Thank you for your comment. Please refer to Master Response on Alternatives. Additionally, please see section IV.D. of the preamble to the final rule.
OSM-2010-0021-0070	Luminant Mining Company LLC	The record demonstrates that OSMRE failed even to consider (never mind analyze) any alternatives with a narrower scope, which are absent from the list of "alternatives and elements considered but dismissed	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0070	Luminant Mining Company LLC	OSMRE should have included in its analysis alternatives that had a more limited scope, but that will yield similar Benefits with much lower overall socioeconomic impacts	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0070	Luminant Mining Company LLC	In light of the significant socioeconomic impacts and costs of the various alternatives analyzed in the DEIS, but the minimal to non-existent Benefits in certain areas of the country, OSMRE should have considered more narrowly focused alternatives.	Please refer to Master Response on Alternatives.
OSM-2010-0021-0070	Luminant Mining Company LLC	OSMRE has not provided any rational explanation for why a proposed rule intended to address problems in Appalachia instead must apply to all of the nation's coal-producing regions. A one-size-fits-all approach to surface-mining regulation not only conflicts with SMCRA's mandate, but also ignores the vast differences between coal-mining regions in the United States. For example, Texas has literally no "mountain-top removal" mining; no "valley fills;" no "steep slope mining;" no underground mining; no remaining abandoned mining lands; no solid rock overburden; no blasting; and no long-term alteration to groundwater composition and quality.	No change to the EIS is necessary in response to this comment. OSMRE has addressed similar comments throughout the preamble to the final rule, and ensured that flexibility exists where needed to accommodate regional characteristics within the nationwide framework of the rule. For example Section section 780.28 and 816.56 incorporate site specific requirements and demonstrations when mining is planned in or near an intermittent or perennial stream, allowing for differences in topography, geology, and climate in the various regions of the country. For further discussion of flexibility provided to accommodate site specific characteristics see Section IV. C. "We Have Not Accorded Sufficient Deference to Principles of Cooperative Federalism and the Primacy of States with Approved Regulatory Programs." within the preamble to the final

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			rule.
OSM-2010-0021-0070	Luminant Mining Company LLC	OSMRE states that “[u]nder existing regulations, mining continues to affect downstream water chemistry.” (DEIS at 4-50). However, all of the studies cited by OSMRE in support of this proposition were conducted in Appalachia with a focus on surface mining impacts. OSMRE provides no support or evidence for similar impacts in Texas or other non-Appalachia mining regions. Similarly, virtually all of the sources OSMRE relies upon for its assertion that “damage from contaminants released by surface mining persists for decades” relate to conditions in Appalachia. In fact, none of the literature cited by OSMRE supports the nationwide application of a rule to address alleged environmental harms in Appalachia.	OSMRE acknowledges that much of the research on understanding downstream effects of coal mining has been conducted in Appalachia. The history and extent of mining in the Appalachian Basin makes it the subject in the majority of the water quality studies (e.g., Lindberg et al., 2011, Merriam et al., 2011, Petty et al., 2010, Pond et al., 2008, Fulk et al., 2003). In addition, authors have also noted that due to the arid climate and high mineralization of stream water, analyses of this type are more difficult in western regions. Though limited, literature in other regions indicates similar downstream impacts despite obvious difference in geography. To account for these differences, model mines were developed to represent geography, coal production, and other environmental factors of each coal mining region. OSMRE determined that development of a comprehensive, nationally applicable, stream protection rule would be the most appropriate and effective method of achieving the purposes and requirements of SMCRA, as well as meeting the goals set forth in the June 11, 2009, Memorandum of Understanding (MOU) among the U.S. Department of the Army, the U.S. Department of the Interior, and U.S. EPA implementing the interagency action plan on Appalachian surface coal mining. Streams are important components of the hydrologic regime everywhere that streams are found, so there is no scientific reason to limit stream protection efforts to one region of the country. In addition, it is not clear that we have authority under SMCRA to conduct rulemaking on a regional basis.
NA	EPA	Page 4-8, Table 4.0-1: The seven different "Impact Characterization" categories also seem somewhat arbitrary and poorly defined because they rely on vague terminology (e.g., "small/medium/large" area, "short-term/long-term"	OSMRE appreciates U.S. EPA's concern regarding the definitions of the impact characterization categories. We have more clearly defined the geographic scope and duration of the impact for

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		impact). How are thresholds between categories decided? Given the potential importance of the alternatives comparison, these categories need a quantifiable justification/description.	each resource in section 4.0.3 and in resource-specific sections of chapter 4. The expanded definitions better articulate how impacts were determined for each resource in the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS seems to make the case that the federal CWA and possibly the federal ESA are not adequately enforced in portions of the country. Most of the objectives listed in the DEIS can be attained by enforcement of existing regulation, and OSMRE has an obligation to thoroughly analyze that set of alternatives.	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS contains no matrix or table comparing all of the alternatives, which makes it difficult to compare the various components of each alternative. It is not clear what aspects of each alternative are similar or different.	Section 2.5 of the DEIS provides a comparison of the alternatives by functional group immediately following the detailed descriptions provided for each alternative.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.0.2.3, p. 4-7, last paragraph Without permit-specific requirements, OSMRE cannot characterize resources on such a broad scale to state that an alternative would be adverse or beneficial. Significant assumptions would need to be made, such as 1) the number of mines; 2) the length of time mines would operate; 3) the number of streams and stream types; and 4) the types of mitigation performed. Furthermore, OSMRE should clarify why sampling 25+ parameters provides a moderate benefit.	OSMRE appreciates the commenter's concern regarding the definitions of the impact characterization categories. We have more clearly defined the geographic scope and duration of the impact for each resource in section 4.0.3 and in resource-specific sections of chapter 4. The expanded definitions better articulate how impacts were determined for each resource in the FEIS. While there will certainly be expected variation in impacts of the Alternatives at a site-specific level, the overall effects of the actions have been evaluated for each resource on a regional scale. Increased monitoring provides better information for early identification of potential water quality impacts.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.3, p. 66, Table 4.2.1-6 The cited literature is entirely based in the Appalachian region. OSMRE fails to acknowledge that the environmental conditions in the Appalachian region are entirely different from conditions in other mining regions. OSMRE's approach is grossly simplistic and inappropriately generalizes surface water quality impacts on a nationwide basis.	OSMRE acknowledges that much of the research on understanding downstream effects of coal mining has been conducted in Appalachia. The history and extent of mining in the Appalachian Basin makes it the subject in the majority of the water quality studies (e.g., Lindberg et al., 2011, Merriam et al., 2011, Petty et al., 2010, Pond et al., 2008, Fulk et al., 2003). In addition, authors have also noted that due to the arid climate and high mineralization of stream water, analyses of this type are more difficult in western regions. Though limited,

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			literature in other regions indicates similar downstream impacts despite obvious difference in geography. To account for these differences, model mines were developed to represent geography, coal production, and other environmental factors of each coal mining region.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.4, p. 4-72, "Streams degraded downstream of mining operations" bullet "It is especially difficult to provide context to estimates of miles where water quality is improved given the general nature of this indicator.... While state CWA section 303(d) water quality reports routinely identify coal mining as a pollution source, these data are not compiled at the regional level."</p> <p>Given the economic significance of the Proposed Rule, OSMRE should compile the regional data, and rely upon recent, actual data instead of data that are "typical" or outdated.</p>	Please refer to Master Responses on Water Quality Benefits and Model Mines Analysis.
OSM-2010-0018-10447	Citizens Coal Council	<p>OSMRE Should Choose the Most Protective Alternative in the DEIS. We support the analysis and conclusions in the Sierra Club, et al. (2015: III), and Foundation for Pennsylvania Watersheds (2015) comments relating to OSMRE's rejection of the alternative in the DEIS that would afford stronger stream protection requirements because they would adversely impact coal production. It is not the place of OSMRE to prop up an industry that is not only in structural decline and a net economic drain in Appalachia (Sierra Club, et al. (2015 III.A&B)), but also fails to internalize the costs created by its contributions to widespread public health problems in Appalachia and the public and private costs created by climate change (Sierra Club, et al., (2015: IIIC&D)). Coal mining should not take place at the expense of human health and the in violation of the requirements for environmental protection in SMCRA and other environmental laws. By adopting the most protective alternative in the DEIS, the environmental costs of coal mining will be further internalized, creating a more level playing field for the uses of less damaging energy sources.</p>	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0068	Earthjustice	<p>OSMRE states in the preamble and DEIS that it considered alternative stream protection requirements that would have provided greater protection to streams, and rejected them</p>	Thank you for your comment. Please refer to Master Response on Alternatives.

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		because they would interfere with coal production. In making this choice between alternatives, OSMRE has overlooked relevant and important considerations that support adoption of a more protective alternative. Choosing a less protective alternative without fully considering its costs is arbitrary and capricious and violates the National Environmental Policy Act (NEPA).	
OSM-2010-0018-10410	Tri-State Generation and Transmission Association	OSMRE should select the No Action Alternative in the DEIS or alternatively narrow the applicability of the rule to the specific stream-impact issues in the Appalachian Region.	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0068	Earthjustice	OSMRE has legal tools at its disposal to improve enforcement of current stream protections, both through this rulemaking and by use of other authorities. Because OSMRE has ample authority to improve enforcement of the stream-channel protections that are currently in force, it has an obligation under NEPA to consider doing so as an alternative to a complete overhaul of the rule's stream-channel provisions.	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 8 (Preferred Alternative)Section ES.5, p. ES-20, and Section 2.4.8.2The DEIS discusses returning the topography, vegetation, and characteristics of the original stream channels to pre-mining conditions, which is contrary to the scenarios portrayed in the Preferred Alternative. The construction of aquitards will decrease infiltration and increase runoff thereby altering the pre-mining site conditions. OSMRE's requirement to create aquitards will alter the natural hydrology of the area.	No change required to the EIS text; there is no contradiction in the text sections discussed in the comment. Selective placement of aquitards (barriers to groundwater infiltration) within the backfill or fill when necessary to restore perennial and intermittent streams is not inconsistent with other requirements for restoration of natural hydrologic conditions. Construction of aquitards would restore the layer of lower-permeability near the surface but below the root zone for trees and shrubs that in pre-mining conditions provided the subsurface to perennial and intermittent stream segments, instead of allowing that flow to infiltrate the newly created fill.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 8 (Preferred Alternative) Section ES.5, p. ES-23, second and third paragraphs "The Preferred Alternative would make enhancement measures mandatory whenever the proposed operation would result in long-term adverse impacts to the environmental resources of a stream due to placement of excess spoil or coal refuse in a perennial or intermittent stream (but not ephemeral streams). Resource enhancement measures must be: (1) commensurate with	The two requirements are not in conflict. The first requirement pertains to placement of excess spoil or coal refuse in perennial or intermittent streams only, and requires the stated measures when this activity occurs. The second requirement is independent of the first. It requires a 100-ft width of streamside vegetation along each side of the stream

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		the long-term adverse impact to affected resources; and (2) located in the same or nearest adjacent watershed as the proposed operation if there are no opportunities for enhancement within the same watershed, and be on permitted area. Alternative 8 (Preferred) would require creation of a 100-foot riparian corridor, comprised of native non-invasive species, adjacent to all ephemeral, intermittent, or perennial streams within the permit area. The riparian corridor must be established along the entire reach of any stream restored or permanently diverted." In the first sentence, enhancement measures would be mandatory if there were long term impacts to the environmental resources of the stream due to placement of excess spoil or coal refuse in a perennial or intermittent stream, but not an ephemeral stream. However, a 100-ft riparian corridor is required on all ephemeral streams (DEIS, at ES-19). These two requirements contradict each other.	regardless of type.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Comparison of all Alternatives Considered Section ES.6, p. ES-30</p> <p>Only Alternative 9 uses the words "natural channel design," while the descriptions of the other eight alternatives refer to "hydrologic form and ecological function," which is the terminology used by the Proposed SPR.</p>	The term "natural channel design" refers to a specific methodology used to restore streams in a way that mimics natural conditions, supported by specific techniques such as those described in U.S. EPA's "Natural Channel Design Review Checklist" in which streams are restored to mimic natural conditions. This is different than the requirement to demonstrate restoration of hydrologic form and ecological function specific to a particular stream reach. Alternative 9 proposed to require the use of the natural channel design methodologies, whereas the other alternatives required the restoration of form and function but not specifically through the natural channel design techniques.
OSM-2010-0021-0696	Murray Energy Corporation	<p>The EIS states:</p> <p><i>"The cumulative impacts analysis recognizes that in most cases the contribution to the cumulative impacts for a given resource from implementing the Action Alternatives is difficult to discern, at a broad programmatic level across the U.S., given the context and intensity of impacts from the other past, present, and future actions. In most situations, implementation of one of the Action Alternatives would likely help reduce long-term adverse impacts on the resource by providing a certain level of</i></p>	No change was necessary in response to this comment. The environmental consequences section already reflects the impact of mining under existing regulations, plus the impact of all other contributing factors on each resource, within the resource specific discussions of impacts (both beneficial and negative) from the no action alternative. As explained in the document the Action Alternatives were compared against the status quo of existing

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		<p><i>offsetting benefits. This is especially true when the Action Alternatives are considered in combination with other actions of similar intent (e.g., point source discharge permitting, river conservation initiatives, etc.). For resources other than socioeconomics, the analysis concludes that Action Alternatives (except for Alternative 9) would have a "beneficial or countervailing cumulative effect," meaning that, in combination with other actions and trends, the Alternative is expected to result in either a net increase in beneficial impacts or a net reduction in adverse impacts to the resource. Alternative 9 is anticipated to have a neutral cumulative effect."</i></p> <p>Alternative 1 (No Action Alternative) should be included in this discussion, since the existing rules already have beneficial effect.</p>	<p>regulations to determine the differential impacts of mining under the existing regulations in comparison to mining under possible regulatory scenarios contained in the Action Alternatives. The differential impacts of the no action alternative in comparison to itself would be zero, and therefore there is no merit in interjecting this thought into the discussion of the cited paragraph.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Need for the Regulatory Improvements Section 1.1.1, p. 1-12</p> <p>The alternatives contained in the DEIS reflect yet another failed approach by OSMRE to adequately and rationally implement sustainable restoration practices. OSMRE has failed to define what standard applies to the requirement that reconstructed streams "enhance fish and wildlife." OSMRE has not specified what conditions and metrics it will use to measure "environmental values."</p>	<p>Please see the proposed and final rule (§ 780.16 or § 784.16) for discussion of applicable standards for fish and wildlife enhancement requirements. Fish and wildlife enhancement measures are described in general for each alternative in Chapter 2 of the DEIS and FEIS, and summarized in Section 2.5.6.5.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>The action alternatives considered in the DEIS address a narrow range of possible options for achieving OSMRE's goal of minimizing or avoiding impacts to streams from mining activity. The alternatives variably require buffering a stream with no mining in-stream or mining through a stream, and no other alternative approaches were evaluated. This limited range of alternatives is inconsistent with NEPA assessment requirements to examine a robust and representative range of plausible alternatives.</p>	<p>Thank you for your comment. Please refer to Master Response on Alternatives.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 2.0, p. 2-1</p> <p>Where ephemeral and/or intermittent streams are present, a viable alternative would include diverting the stream around the mining area, allowing mining through the stream, and requiring restoration of the channel's functionality prior to rerouting water back into the restored channel. This would allow for greater ease of access to minerals while avoiding impacts to downstream beneficial uses. In some instances where few sensitive beneficial uses</p>	<p>No change required. The comment infers that the alternatives would prohibit mining through ephemeral and/or intermittent streams. However, mining would conditionally be allowed through perennial, intermittent and ephemeral streams under most of the alternatives including the no action alternative and the Preferred Alternative. The exceptions are alternatives 2, 4, and 7 which would prohibit mining through</p>

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		are present, this approach would also be viable for perennial streams. OSMRE failed to consider this alternative in the DEIS.	perennial streams.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.0, p. 2-1 Chapter 2 discusses the development of the 9 alternatives as well as 3 alternatives that were rejected. The alternatives were discussed in light of the 11 principal elements in the regulations. OSMRE did not include an explanation of how each alternative was developed and what benefit would be expected. OSMRE also did not direct reviewers to a subsequent section explaining why Alternative 8 was chosen as preferred.	Thank you for your comment. Please see EIS Section 2.1 “Development of Alternatives” which provides a discussion of the history of the development of the alternatives considered. Reasons for selection of the final rule, aka the final version of the Preferred Alternative, as the Preferred Alternative will be included within the Record of Decision.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE made no attempt to include alternatives that might have improved the current rule without causing large increases in time and cost to complete a permit application.	Please see the RIA for discussion of cost impacts. The findings of the RIA do not indicate large increases in time or cost to complete the permit application.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 2 Section 2.4.2, p. 2-12, first paragraph Alternative 2 and the Preferred Alternative are fraught with compliance and permit issues that would have direct and significant impacts on federal, state, and tribal laws, including the challenge of complying with and enforcing them.	Without more specifics on the concerns we cannot specifically address this comment. However, OSMRE received and considered comments on the proposed rule (Preferred Alternative) regarding permitting and compliance issues. See the preamble to the final rule for responses addressing these comments.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 2 Section 2.4.2, p. 2-12 "Under Alternative 2, and all the Action Alternatives to follow, the proposed regulatory changes pertain to SMCRA only; implementation of any of the proposed Alternatives below would not affect compliance with any other federal, state or tribal laws." OSMRE is incorrect. As set forth in the Proposed SPR itself, the alternatives would affect compliance with federal, state, and/or tribal laws. The DEIS fails to identify which aspects of current regulations would be affected, requiring change to current state and tribal laws and waivers from other federal agencies. This impact is missing from the RIA as well.	No alternative proposes changes to any federal law other than SMCRA. The Proposed Action would necessitate change in state and tribal programs only to the extent required to meet the minimum standards imposed under the revised federal SMCRA requirements.
OSM-2010-0021-0696	Murray Energy Corporation	Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement Section 2.4.2.4, p. 2-18, first paragraph "Alternative 2 includes provisions similar to those of the No	Thank you for your comment. Alternative 2 would not establish mandatory post-mining land uses but would require restoration of the capability of the land to support uses it

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		<p>Action Alternative with respect to soil management and revegetation, but with a greater emphasis on restoration of the site's ability to support the uses it supported before any mining, regardless of the approved postmining land use"</p> <p>This provision of Alternative 2 is unrealistic and contrary to the spirit of SMCRA. Most states recognize the environmental and economic value in a range of post-mining land uses and the landowner's rights to implement land uses that are approved and properly implemented. Mandatory post-mining land use requirements are unnecessary and may not be in the best interest of the environment and local communities.</p>	<p>supported before mining. While your comment here is directed towards Alternative 2 these same considerations apply to the Preferred Alternative. Therefore, please see the discussion of this topic in the preamble to the proposed rule and final rule; we have made changes to this aspect of the rule in response to public comments. We have not however made these changes to Alternative 2. Alternative 2 contains elements that are intentionally more protective of the environment.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement Section 2.4.2.4, p. 2-19, first paragraph</p> <p>The requirement to salvage and redistribute all organic matter does not take into account the time and cost to process and resize large materials (tree trunks, branches, logs, etc.) that may not be needed for restoration. Instead, OSMRE should allow, as with Alternatives 3 and 5, that a qualified ecologist or similar expert would determine the amounts of organic matter needed to promote reestablishment of native vegetation and soil flora and fauna.</p>	<p>Alternative 2 contains elements that are intentionally more protective of the environment. OSMRE disagrees that the suggested change is necessary.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Alternative 3</p> <p>Section 2.4.3, pp. 2-19 to 2-20, last paragraph</p> <p>OSMRE fails to provide a clear summary of the differences between alternatives relative to the four functional groups. This summary should be provided at the beginning of each new alternative description, so that it is clear how the alternatives differ.</p>	<p>No change required. Section 2.5 provides a comparison of the nine alternatives by functional group.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Revegetation, Topsoil, and Fish and Wildlife Protection and Enhancement</p> <p>Section 2.4.7.4, p. 2-33 to 2-34, last paragraph</p> <p>"Under Alternative 7, for areas subject to the enhanced permitting requirements, the regulatory authority may prohibit mining of areas where high value habitats are present. All other requirements for fish and wildlife protection and enhancement within these areas would be the same as Alternative 3 (see Fish and Wildlife Protection and Enhancement section for Alternative 3) except that under Alternative 7 the required riparian corridor width would be 100 feet versus 300 under Alternative 3."</p> <p>Under Alternative 7, for areas subject to the enhanced</p>	<p>Thank you for your comment. The meaning of the text is the same; the slight differences in wording are unintended and the proposed requirements were analyzed in the same manner across the applicable alternatives.</p>

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		<p>permitting requirements, OSMRE assumes the regulatory authority may prohibit mining of areas where high value habitats are present. It is unclear how, or if, this differs from similar statements for Alternatives 3 and 6, i.e., "would allow the regulatory authority to prohibit mining of high-value habitats within the proposed permit area." OSMRE fails to explain the difference between "may prohibit" and "would allow . . . to prohibit." OSMRE should also clarify the difference between "where high value habitats are present" and "high-value habitats within the proposed permit area."</p>	
OSM-2010-0021-0696	Murray Energy Corporation	<p>Alternative 9 -2008 Stream Buffer Zone RuleSection 2.4.9, p. 2-40"Alternative 9 is identical to the 2008 SBZ rule, which was vacated by court order on February 20, 2014. See 79 FR 76227-76233 (Dec. 22, 2014)."Alternative 9 is not an appropriate alternative to consider in the DEIS, given that the 2008 SBZ was rejected by the courts and by OSMRE as deficient and contrary to federal law in several aspects. The addition of this Alternative appears to be an attempt to falsely convey the concept that the 2008 SBZ represents the current practice (No Action Alternative).</p>	<p>Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this DEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on socioeconomic resources evaluated in this DEIS.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Alternative Comparison Discussion Section 2.5, p. 2-43 There is little to no variation in the action alternatives, and OSMRE has not selected a reasonable range of alternatives along the spectrum of possible alternatives to comply with</p>	<p>Thank you for your comment. Please see the discussion of what constitutes a reasonable range of alternatives within the Master response on Alternatives.</p>

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		NEPA. For example, Alternative 1 (no action) and Alternative 9 (2008 SBZ Rule) are claimed by OSMRE to be nearly identical, so as to question the appropriateness of including Alternative 9 in the DEIS.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.5.3.2, p. 2-47, third bullet "Alternative 2 also prohibits placement of excess spoil within 100 feet of an intermittent stream (excess spoil placement is allowed in or near ephemeral streams). Under Alternative 2 disposal of coal mine waste in or within 100 feet of an intermittent or ephemeral stream is allowed;" These two sentences seem to contradict one another. OSMRE should clarify how excess spoil differs from waste. Here and throughout the DEIS, the alternatives are not clearly defined or consistently applied.	There is no contradiction because the text is talking about two different materials, "excess spoil" versus "coal mine waste". Excess spoil means spoil material disposed of in a location other than the mined-out area; provided that spoil material used to achieve the approximate original contour or to blend the mined-out area with the surrounding terrain in accordance with §§ 816.102(d) and 817.102(d) in nonsteep slope areas shall not be considered excess spoil. Coal mine waste means coal processing waste and underground development waste. 30 CFR 701.5.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.5.4.1, p. 2-50, first paragraph "(3) the total volume of flow during any season of the year would not vary (flooding potential cannot be altered)." OSMRE fails to recognize that water flow volumes vary throughout the year, especially seasonally.	Edits were made to clarify that the text was referring to vary from premining conditions and not between seasons. See section 2.5.4.1
OSM-2010-0021-0696	Murray Energy Corporation	Appalachian Basin Coal-Producing Region Section 3.5.3.1, p. 3-151 (also Section 3.5.3.4, p. 3-178; Section 3.5.3.5, p. 3-186; Section 3.5.3.6, p. 3-193; Section 3.5.3.7, p. 3-199) For each mining region, OSMRE discusses all causes of stream impairment. OSMRE should clearly distinguish impairments attributable to mining from impairments and conditions attributable to other sources (i.e., non-mining sources). Only by doing so can the impact of the different DEIS alternatives be properly evaluated. This comment is applicable to all of the sections listed above.	The content within chapter 3 provides descriptions of the environment of the areas to be affected by the alternatives under consideration, as required by 40 CFR 1502.15. The existing state of streams in the action area is provided to meet the requirements of 40 CFR 1502.15 to "describe the environment of the area(s) to be affected or created by the alternatives under consideration." Discussion of mining specific impacts to streams is contained within chapter 4 in the discussion of environmental consequences from mining under the current regulations (the no action alternative) in comparison to mining under the alternative regulatory scenarios.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) [^] Section 4.2.2.1, p. 4-49, second paragraph OSMRE's statements do not recognize that there are a number of reasons that riparian zones do not or cannot	The requirement for a riparian zone 100 feet in width does not specify that the vegetation within the zone must be water loving. In responding to similar comments on the proposed rule (i.e. the Preferred Alternative or Alternative 8) we have clarified the following:

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		occur along specific stream reaches. Particularly in the west, streams that are incised or on bedrock may not have soil or hydrologic characteristics that support a riparian zone of a given width (e.g., 100 ft.).	that plantings in streamside vegetative corridors must include appropriate native hydrophytic vegetation, vegetation typical of floodplains, or hydrophilic vegetation characteristic of riparian areas and wetlands to the extent that the corridor contains suitable habitat for those species and the stream and the geomorphology of the area are capable of supporting vegetation of that nature. We also clarified that it was not required to plant hydrophytic or hydrophilic species within those portions of streamside corridors where the stream, soils, or climate are incapable of providing the moisture or other growing conditions needed to support and sustain hydrophytic or hydrophilic species. In these situations, you must plant the corridor with appropriate native species that are consistent with the baseline information concerning natural streamside vegetation included in the permit application, unless otherwise directed by an agency responsible for implementing section 404 of the Clean Water Act, 33 U.S.C. 1344.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the No Action Alternative on Water Resources: Surface Water Effects Section 4.2.1.1, p. 4-49 This section addresses surface water effects of the No Action Alternative. The need for an action alternative should be based solely on current mining practices, as carried out under the No Action Alternative, not on historical mining operations.	No changes were necessary in response to this comment. The text is in fact recognizing that current mining practices are much improved over historic practices.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4, p. 4-1 This chapter describes in great detail the effects of the nine alternatives but does not explain the selection method for the contents of each alternative.	See the discussion of the development of alternatives in section 2.1 of the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Action Alternatives and Potential Effects on Water Resources - Reduce Miles of Filled Streams Section 4.2.1.2, p. 4-58 Alternative 2, 4, 5, 6, 7, and 8 (Preferred) prohibit flat decks on top of excess spoil fills, which are allowed under the No Action Alternative and Alternatives 3 and 9." The prohibition of flat decks on top of excess spoil fills will not	No changes were necessary in response to this comment. These alternatives would require that the placement of excess spoil fill final surface configuration must be compatible with the surrounding terrain and generally resemble landforms found in the surrounding area.

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		help to reduce concentrated high flow during storm events. Flat decks graded toward the rear have proven to aid in the control of storm runoff, leading to less pond cleaning and reduced flooding potential, which is currently a requirement of SMCRA.	Additionally the rule (i.e. the Preferred Alternative) requires specifically that fills must be located on the most moderately sloping and naturally stable areas available but this is balanced against the requirement to analyze and mitigate off-site flood potential. Under these alternatives temporary flat decks during construction of the fill to control runoff and siltation as construction progresses would continue to be acceptable. As the fill nears completion, the size of the flat deck would diminish, and the final slopes outside of the diminishing deck would be in the process of being reclaimed, with final slopes being similar to those of the natural terrain. Runoff from these slopes, and the final slopes of the completed fill would be manageable, particularly if techniques such as those of the Forestry Reclamation Approach, are used (geomorphic reclamation would be beneficial as well), particularly given that the requirements for design and operation of downstream siltation and stormwater management structures would have accounted for the degree of slope.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.2, p. 4-59, third paragraph "Alternative 2 prohibits all variances from the requirements to return the mined area to its AOC." Prohibiting all AOC variances is not realistic, as it is not always possible to return a mined area to its AOC in Appalachia.	Under Alternative 2 the applicant would not be able to obtain a SMCRA permit for mining operations where it was impossible to return the mined area to AOC. OSMRE did not select this alternative due in part to the degree of impact this would have on the availability of coal for extraction. The Alternative remains reasonable however, and is therefore considered in the analysis.
OSM-2010-0021-0696	Murray Energy Corporation	The proposed limitations on AOC variances would have little effect in western jurisdictions where existing regulations and practice already provide a similar level of protection (see Mont. Admin. R. 17.24.308).	Benefits were determined through the model mine analysis, which used actual permit data from current mines to design and analyze thirteen "representative" model mining operations, which are categorized by region and size (tons of coal produced annually). The model mines represent actual operations that have been permitted and implemented under

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			existing regulations at the state and federal level and therefore already take into account existing protections and benefits of existing regulations in the manner in which they are actually applied.
OSM-2010-0021-0696	Murray Energy Corporation	The proposed revegetation and reforestation requirements would have little effect in western jurisdictions where existing regulations and practice already provide a similar level of protection. The proposed riparian revegetation requirements would not be achievable on ephemeral and many intermittent and perennial streams in the West. Most western sites are not forested and would not be returned to forest.	The requirement for a riparian zone 100 feet in width does not specify that the vegetation within the zone must be water loving. In responding to similar comments on the proposed rule (i.e. the Preferred Alternative or Alternative 8) we have clarified the following: that plantings in streamside vegetative corridors must include appropriate native hydrophytic vegetation, vegetation typical of floodplains, or hydrophilic vegetation characteristic of riparian areas and wetlands to the extent that the corridor contains suitable habitat for those species and the stream and the geomorphology of the area are capable of supporting vegetation of that nature. We also clarified that it was not required to plant hydrophytic or hydrophilic species within those portions of streamside corridors where the stream, soils, or climate are incapable of providing the moisture or other growing conditions needed to support and sustain hydrophytic or hydrophilic species. In these situations, you must plant the corridor with appropriate native species that are consistent with the baseline information concerning natural streamside vegetation included in the permit application, unless otherwise directed by an agency responsible for implementing section 404 of the Clean Water Act, 33 U.S.C. 1344.
OSM-2010-0018-10336	Conservation Law Center	It is necessary to make the restoration of stream function a clearly separate requirement to differentiate the restoration requirements for ephemeral streams and intermittent/perennial streams. The DEIS notes that the proposed rule requires applicants to restore only the form of an ephemeral stream, not its function. The proposed rule requires applicants to restore both form and function in impacted perennial and intermittent streams. Therefore,	OSMRE acknowledges that the methodology for measuring ecological function is still a matter of scientific debate, including debate on the extent to which stream form can be used as a proxy for ecologic function. The definition of ecological function in the final version of the rule clarifies our intended meaning of the term; it is not a metric in and of itself and can be

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		using form as a proxy for function (replacing only a stream's form with the unsupported promise that the function will follow) would make the restoration requirements for ephemeral, intermittent, and perennial streams identical. OSMRE must clearly state that form is not a proxy for function to avoid conflating these requirements.	adapted and updated or adjusted as the methodology evolves. Moreover, this is a definition; other sections of the final rule, for example sections 780.19(c)(6) and 784.19(c)(6), specify how we require indicators of "ecological function" to be measured and explain that these measurements must be based on accepted field-based methodology.
NA	EPA	A possible addition to refining protections based on stream type would be to consider an approach similar to that presented in Alternative 4. This approach would apply additional environmental protections based on factors that the Regulatory Authority has determined require special consideration, i.e., proposed activities in state-designated High Quality or Exceptional streams, operations in strata known to produce acid or toxic mine drainage, operations that would exacerbate conditions in watersheds already with impaired streams, etc. The EPA would like to work with OSMRE to determine whether this or similar effective provisions could be a meaningful addition to the Preferred Alternative.	<p>EPA suggested that we consider adding to the Preferred Alternative a provision similar to the approach proposed in Alternative 4 where additional environmental protections (permitting requirements) would be applied by the RA in certain site-specific circumstances (e.g., operations in state-designated High Quality or Exceptional streams, operations in strata known to produce acid or toxic mine drainage, operations that would exacerbate conditions in watersheds already with impaired streams, etc.) regardless of the flow regime of the affected streams.</p> <p>In discussing this comment further with EPA the agency suggested a modification of the Preferred Alternative to authorize the RA to require any or all of the following when enhanced permitting design was warranted:</p> <ol style="list-style-type: none"> 1. Additional detail in the analysis of the receiving watershed including the location and type of current and past disturbances in the watershed and other activities that may affect water quality; 2. Measured stream flows and recorded storm hydrographs to develop premining hydrologic models; 3. Modeling of seasonal groundwater fluctuations. Analysis of the correlation between groundwater fluctuations, precipitation events and groundwater quality; 4. Establishment of clear environmental goals for the proposed operation. Use of

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			<p>background data and a detailed mine plan to demonstrate how environmental goals would be achieved;</p> <ol style="list-style-type: none"> 5. Development of reclamation goals specific to the proposed operation and the site conditions that would include planning for timely redistribution of topsoil and organics, contemporaneous plantings, and any related actions that would help reduce water quality degradation from the proposed operation; 6. Additional detail in the mine plan to show changes in 6-month increments, specific to disturbed and reclaimed areas, roads, sediment controls, topsoil storage, fills, Best Management Practices (BMPs) etc.; 7. Use of premining hydrologic models to assess flood potential and need for flood control, to project sediment loads and determine the design criteria for sediment control structures and need for temporary sediment controls; and/or 8. Use of on-bench ponds, where possible, in conjunction with in-stream ponds below placement of fill. Design of on-bench ponds to accommodate both a full sediment load and maintenance of a low permanent pool to allow recirculation from in-stream ponds as needed. <p>We decided not to proceed with this suggestion because the Preferred Alternative already requires the majority of these measures for all operations, with the exceptions noted below.</p> <ol style="list-style-type: none"> 1. (Required for all operations under Preferred Alternative) Additional detail in the analysis of the receiving watershed including the location and type of current and past disturbances in the watershed and other activities that may affect water quality; 2. Measured stream flows (Required for all operations under Preferred Alternative) and

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			<p>recorded storm hydrographs (not required) to develop premining hydrologic models(not required);</p> <p>3. Modeling (Not required) of seasonal groundwater fluctuations. Analysis of the correlation between groundwater fluctuations, precipitation events and groundwater quality(Required for all operations under Preferred Alternative);</p> <p>4. (Not required) Establishment of clear environmental goals for the proposed operation. Use of background data and a detailed mine plan to demonstrate how environmental goals would be achieved;</p> <p>5. (Required for all operations under Preferred Alternative) Development of reclamation goals specific to the proposed operation and the site conditions that would include planning for timely redistribution of topsoil and organics, contemporaneous plantings, and any related actions that would help reduce water quality degradation from the proposed operation;</p> <p>6. (Not required) Additional detail in the mine plan to show changes in 6-month increments, specific to disturbed and reclaimed areas, roads, sediment controls, topsoil storage, fills, Best Management Practices (BMPs) etc.;</p> <p>7. Use of premining hydrologic models (models not specifically required) to assess flood potential and need for flood control, to project sediment loads and determine the design criteria for sediment control structures and need for temporary sediment controls (but the assessment is required for all operations under the Preferred Alternative); and/or</p> <p>8. (Not required) Use of on-bench ponds, where possible, in conjunction with in-stream ponds below placement of fill. Design of on-bench ponds to accommodate</p>

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			both a full sediment load and maintenance of a low permanent pool to allow recirculation from in-stream ponds as needed.
NA	EPA	We also note that the Preferred Alternative does not include the establishment of evaluation thresholds, as are included in several other alternatives. Including thresholds or similar provisions in the Preferred Alternative would improve environmental protection by providing an objective early detection system that could prevent adverse impacts from developing to the point that they cause material damage, requiring more costly corrective measures. Doing so would nicely complement proposed data collection and analysis provisions before, during and after mining, which are considered in the majority of other alternatives.	Based on this and other comments received OSMRE added evaluation thresholds to the Preferred Alternative.
NA	EPA	Page 4-56, Table 4.2.1-1: While many of the alternatives (2, 3, 4, 5, and 8 [Preferred]) require additional monitoring during mining and reclamation, alternatives 5 and 8 have no associated evaluation threshold. Additional monitoring and data collection could be rendered ineffective without objective criteria describing when corrective actions are needed and what specific actions are required.	Based on this and other comments received OSMRE added evaluation thresholds to the Preferred Alternative. We have not however added evaluation thresholds to alternative 5.

3. NEPA Compliance

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OSM-2010-0021-0066	NMA	Although OSMRE's overarching goal for the SPR is to improve protection of the environment and to minimize the impacts of current and anticipated future mining practices, OSMRE has failed to adopt a scientifically rational approach to the current understanding of ecology across the many different ecoregions in the US where mining occurs. OSMRE also failed to adopt the basic impact analysis and mitigation strategy hierarchy as required by NEPA or a basic scientific approach to the evaluation of environmental impacts associated with each of the different EIS Alternatives.	OSMRE's analysis is compliant with NEPA requirements to evaluate impacts of the Alternatives on natural resources. For each natural resource, OSMRE evaluates the Affected Environment and the impacts of the Alternatives on that environment. Please refer to the Master Response on NEPA compliance.
OSM-2010-0021-0066	NMA	The DEIS targeted thresholds determinations for "no effect," as opposed to the standard practice in Federal regulations to examine implementation options and efforts that "avoid, minimize and/or mitigate" impacts... The intent to have no effect on the environment is simply not attainable; the absence of hydrologic, biota, or topographic effects, and complete restoration to premining conditions, is not attainable and will effectively eliminate mining in most of the US.	The commenter is misinterpreting the NEPA analysis. The commenter appears to assume that the analysis "intends" to find no effect. In fact, the analysis identifies a series of thresholds, including No Effect, Minor Effect, Moderate Effect, and Major Effect, to which anticipated impacts are measured. As such, the "no effect" determination would be assigned where the analysis finds that no effects are likely to occur.
OSM-2010-0021-0066	NMA	The DEIS did not provide a predictive analysis in sufficient detail to ascertain the nature, magnitude, duration (timing), extent (geographic distribution), level of confidence, and range of uncertainty of the predicted changes. This predictive information is found in the most basic NEPA documents. The ability of the environment to recover, or rebound, was largely ignored in the DEIS.	These factors were considered in the DEIS as well as the FEIS; however the FEIS has added additional detail to better articulate how determinations were made. Please refer to Master Response on NEPA compliance.
OSM-2010-0021-0056	Utah Mining Association	The DEIS fails to follow basic scientific methodology and NEPA protocol for determining impacts and, therefore, required mitigation.	The EIS follows NEPA's requirements. Please refer to Master Response on NEPA compliance.
NA	EPA	Page 4-7: We recommend that the "Scope of Impact" be more clearly defined, as "small, medium, large" geographic areas are too arbitrary for accurate impact characterization. We suggest use of more defined areas such as watershed scales (e.g., HUC 8, HUC 12) or Ecoregions (e.g. Level II, Level III). Use of population size and dollar amounts as size thresholds when considering impact on communities and economies, respectively, are also recommended.	OSMRE appreciates U.S. EPA's concern regarding the definitions of the impact characterization categories. We have more clearly defined the geographic scope and duration of the impact for each resource in section 4.0.3 and in resource-specific sections of chapter 4. The expanded definitions better define how impacts were determined for each resource in the FEIS.
OSM-2010-0021-	Murray Energy	Alternative 1 (No Action Alternative) Section ES.4, p. ES-9,	The commenter has misread the cited text. The

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0696	Corporation	last two sentences Moreover, it is wholly inappropriate and contrary to OSMRE's obligations under NEPA to dismiss, without discussion, an analysis of the existing requirements, and thereby an analysis of the proposed requirements, on underground mining operations "for reasons of brevity"	DEIS contains an analysis of impacts to underground mining operations, it merely omits the citations to the underground mining regulations where these regulations are exactly the same as the analogous regulations for surface mining.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS departs from customary and required NEPA practices by comparing action alternatives against other action alternatives, instead of against the No Action Alternative. Further, the DEIS fails to identify a no action alternative with respect to underground mining. As a result, the consequences of the Proposed Rule to the nation's economy, mining communities, and environment are nearly impossible to discern with sufficient clarity and precision. This is a critical flaw of the DEIS.	The commenter is incorrect in suggesting that the alternatives are compared to each other rather than to the No Action Alternative in this EIS. As stated in Section 4.0.2.3, "the analysis examines the impacts of the Action Alternatives and the extent to which they would reduce or increase coal mining-related impacts on resources as compared to the No Action Alternative."
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.4.1, pp. 4-318 to 4-327 None of the affected Native American tribes elected to participate with OSMRE as cooperating parties during the preparation of the DEIS. OSMRE fails to acknowledge why Native American tribes chose not to participate.	The tribes did not indicate their rationale for declining to participate as cooperating agencies under NEPA but two (the Navajo and Crow) have chosen instead to conduct government to government consultation with OSMRE.
OSM-2010-0018-10055	Pennsylvania Coal Alliance	OSMRE did not comply with 43 CFR 46.230 because the agency did not adequately consider input from the state regulatory authorities tasked with reviewing the Draft Environmental Impact Statement.	Please see the Master Response on Cooperating Agency Involvement
OSM-2010-0021-0068	Earthjustice	OSMRE has apparently created new estimates of total direct stream impacts under the Stream Protection Rule and its alternatives, including the no action alternative. The DEIS includes tables that detail several of the results of this analysis, including stream miles preserved and improved under each alternative. 2015 DEIS at 4-70 to 4-74. Yet the DEIS fails to disclose the estimates of total direct stream damage that presumably underlie this analysis. Both NEPA and basic transparency require OSMRE to disclose this information to the public through the environmental impact statement.	As stated in the FEIS, absent nationwide data regarding the historical effects of coal mining on streams filled, mined through, or degraded, the EIS describes a number of region- or site-specific studies that evaluate these types of coal mining impacts on streams. This information provides context for understanding the baseline levels of impacts to streams from coal mining. In addition, in response to public comment, the FEIS includes summaries of USACE permit data regarding permitted wetland and stream impacts from coal mining, as well as OSMRE data describing numbers of new mine permits and associated acreage of the new mine permits from 2005 and 2015.
OSM-2010-0021-0696	Murray Energy Corporation	"CEQ's regulations implementing NEPA encourage agencies to "tier" their EISs to eliminate repetitive discussions of the	Thank you for the comment. The use of the term "tiering" here is incorrect and edits have been

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		<p>same issues and to focus on the actual issues ripe for decision at each level of environmental review (40 CFR 1508.20). Tiering allows OSMRE to incorporate, by reference, one or more analyses in previous EISs. Therefore, in this DEIS, when applicable and appropriate, OSMRE relies on and references analyses in the following EIS documents . . . "OSMRE's use of "tiering" to incorporate sections of previously performed EISs by reference is inappropriate. OSMRE is not "tiering" as the term has been defined under CEQ and DOI's regulations interpreting NEPA. (See 40 C.F.R. § 1508.28; 43 C.F.R. § 46.140.) OSMRE refers to tiering here in the context of incorporating prior EIS work by reference into the DEIS.</p>	made.
OSM-2010-0021-0696	Murray Energy Corporation	<p>OSMRE should make these prior EISs available for public review and should explain what specific information in these 30+ year old documents is carried forward to the current DEIS. Documents incorporated by reference in an EIS "must be readily available for review and, when not readily available, they must be made available for review as part of the record supporting the Proposed Action." 43 C.F.R. § 46.135.</p>	<p>The list of documents is larger than necessary; several of the documents are not referenced anywhere in later text and were in no way used in the discussion or analysis. These have been removed from the list for the FEIS. Information regarding what specific sections of the FEIS use information from these documents is provided for the remaining list items, see Section 1.0.3.1. These documents are available for public review through the OSMRE library at http://www.osmre.gov/resources/library.shtm.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>"Other EISs prepared by or in cooperation with OSMRE contain information relevant to this DEIS. As appropriate, this DEIS incorporates by reference relevant information or analysis, or refers the reader to specific or general sections of those documents. Information from the documents listed below is specifically incorporated by reference into this DEIS:* U.S. Department of the Interior, Office of Surface Mining Reclamation and Enforcement (OSMRE). Comprehensive Impacts of Permit Decisions under Tennessee Federal Program, OSMRE-EIS-18, March 1985.* U.S. Department of the Interior, Office of Surface Mining Reclamation and Enforcement. Valid Existing Rights: Proposed Revisions to Section 522(e) of the Surface Mining Control and Reclamation Act of 1977 and proposed rulemaking Clarifying the Applicability of Section 522(e) to Subsidence from Underground Mining, Final Environmental Impact Statement OSMRE-EIS-29, July 1999." Several concerns, criticisms, and deficiencies were noted at the</p>	<p>We are unable to address the comment in regard to the deficiencies alleged in EIS-18 and EIS-29 since the comment was non-specific. However these two documents were not referenced anywhere in the actual text of the DEIS and were in no way used in the discussion or analysis. We have removed these from the list of referenced documents in section 1.0.3.1.</p>

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		time these documents were released. OSMRE has not corrected these issues and deficiencies, nor has OSMRE addressed these criticisms in the DEIS. Therefore, OSMRE's reliance on this debated and potentially flawed document affects the technical quality and level of confidence in OSMRE's 2015 DEIS. Because OSMRE has not made the past EIS documents publicly available, commenters do not have access to the assumptions and supporting data that OSMRE has elected to adopt without disclosing in its current DEIS work.	
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE failed to properly include minority populations and environmental justice communities in the development of the Proposed Rule. OSMRE did not hold open houses in several important mining states. OSMRE also did not follow guidance by the CEQ regarding specialized, targeted methods of engagement for minority populations (CEQ, 1997). Moreover, OSMRE should have held a higher proportion of public meetings in the areas identified in the DEIS of minority populations (see DEIS, at 4-232, 4-240, and 4-328). This failure to adequately engage minority populations is demonstrated by the fact that only 1,328 comments of the total 20,571 received addressed the socioeconomic effects of the Proposed Rule. Moreover, the fact that a mere 400 people attended across all 9 open houses demonstrates the ineffectiveness of OSMRE's public outreach strategy.	OSMRE complied with all APA and NEPA requirements, as well as the requirements of Executive Order 12898, regarding Environmental Justice in Minority Populations and Low-Income Populations. The comment is incorrect in stating that we did not follow the 1997 CEQ Environmental Justice Guidance Under the National Environmental Policy Act. Section III of the Guidance recommends that agencies should follow six principles for considering environmental justice under NEPA. The public meeting strategy, the DEIS and the RIA addressed each of these as indicated below. - Determine whether minority populations, low-income populations, or Indian tribes are present in the area affected by the Proposed Action, and if so whether there may be disproportionately high and adverse human health or environmental effects on minority populations, low-income populations, or Indian tribes. - Consider relevant public health and industry data concerning the potential for disproportionately high and adverse human health of environmental effects on minority populations, low-income populations, or Indian tribes from the action. - Consider interrelated cultural, social, occupational, historical, or economic factors that may amplify the natural and physical environmental effects of the action. - Develop effective public participation strategies. Agencies should, as appropriate, acknowledge and

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			<p>seek to overcome linguistic, cultural, institutional, geographic, and other barriers to meaningful participation and should incorporate active outreach to affected groups.</p> <p>- Assure meaningful community representation. - Seek tribal representation consistent with the government- to-government relationship between the United States and tribal governments, the federal government’s trust responsibility to federally recognized tribes and any treaty rights.</p> <p>Section 4.4 of the EIS and Section 9.3 of the RIA provide analysis and discussion in accordance with these principles. Of the 286 counties in the study area, there are 190 counties that have populations that meet the previously specified low income and/or the minority population environmental justice thresholds. Of these 190 counties, 60 percent of them are in the Appalachian Basin. Of those counties in the Appalachian Basin, four have been identified as minority communities, 103 as low income communities, and nine as both low income and minority environmental justice communities. It was therefore impracticable to hold a public meeting in each of the coal-producing counties that met one or both of the environmental justice criteria. To ensure effective public participation and meaningful community representation OSMRE operated the public meetings with a professionally developed meeting plan including accommodations for hearing impaired participants, multiple written and oral means of comment submittal, Navajo translators, and carefully selected venues to provide free and convenient access. OSMRE ensured broad and effective outreach by releasing information to news outlets, through social media (Twitter and Facebook) in addition to posting information on our website and publication through the Federal Register. OSMRE’s efforts to conduct government-to-government consultation are discussed in Chapter 5 of the FEIS.</p>

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OSM-2010-0021-0696	Murray Energy Corporation	Given the expansive geographic area that the Proposed Rule covers and the potential for differing opinions on how the proposed alternatives affect certain sectors of the public, OSMRE's public involvement strategy was insufficient and a direct violation of NEPA.	Thank you for your comment. The public meetings were conducted in accordance with CEQ NEPA regulations and the OSMRE NEPA handbook. OSMRE conducted six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia and West Virginia during the public comment period. Ultimately, OSMRE received approximately 95,000 comments, including hundreds of pages of comments from state SMCRA regulatory authorities, on the DEIS and the proposed stream protection rule.
OSM-2010-0021-0696	Murray Energy Corporation	Development of the AlternativesSection 2.1, p. 2-3, second paragraphThe lack of transparency regarding the evolution of OSMRE's approach to drafting the EIS based on public comments and input from stakeholders undermines confidence in the DEIS. OSMRE should appropriately explain specifically how it responded to concerns and suggestions provided by stakeholders.	All comments received on the proposed rule, the Draft RIA, and the DEIS are available for review on regulations.gov. Responses to the comments are made through the preamble to the final rule, or in the appendices of the RIA and EIS.
OSM-2010-0021-0696	Murray Energy Corporation	Development of the AlternativesSection 2.1, p. 2-3, second paragraphOSMRE should provide public access to the record of work and the public comments collected by OSMRE in prior public notices and comment periods.	All public comments received on the proposed rule, the Draft RIA and the DEIS are available on regulations.gov. Similarly all public comments received on the final documents will be available on regulations.gov. OSMRE is maintaining an administrative record for the project and will provide materials upon request through the Freedom of Information Act as appropriate.
OSM-2010-0021-0696	Murray Energy Corporation	Activities in or Near StreamsSection 2.4.1.2, p. 2-7This section particularly, and the entire DEIS more generally, ignores the alluvial valley floor regulations, which address many of the issues related to mining in and near streams. OSMRE should acknowledge, and evaluate its Proposed Rule in consideration of these regulations.	The regulations associated with alluvial valley floors are limited by definition to operations in areas of the arid or semi-arid southwest with water availability sufficient for subirrigation or flood irrigation. The SPR makes no changes to the regulations pertaining to these operations, other than edits to the discussion of permit renewals involving alluvial valley floor variances within 774.15(c)(3) for simplification to adhere to plain language principles. No aspects of the alluvial valley fill regulations in 30 CFR 789.15 or 30 CFR Part 822 would be contradicted or superseded by the requirements of the SPR; neither do the requirements of the alluvial valley fill regulations make the SPR requirements unnecessary.
OSM-2010-0021-	Murray Energy	Topography	The source document for these photographs is

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0696	Corporation	Section 3.4, p. 3-104 The links to web sites included with Figures 3.4-4, 3.4-6, and 3.4-7 do not function, and thus the information could not be verified. At a minimum, OSMRE should provide a publically available source for these photographs.	Peter Michael, et al., 2010, which is available in the supporting documents contained on regulations.gov under the SPR EIS docket at https://www.regulations.gov/docket?D=OSM-2010-0021
OSM-2010-0021-0696	Murray Energy Corporation	Water Usage Overview Section 3.5.2.4, p. 3-143 OSMRE states that the information in Table 3.5-5 of the DEIS describing water usage information compiled by the USGS will be changed in the final version of the EIS to reflect more recent USGS 2010 water usage data. OSMRE should provide an opportunity for public comment after the USGS 2010 water-usage data are included in the EIS	OSMRE has updated the data (see section 3.5) in the FEIS. The updated data is not so substantively different as to invalidate the analysis performed for the DEIS, and does not warrant reissuance of the DEIS for additional public comment.
OSM-2010-0021-0696	Murray Energy Corporation	Additionally, OSMRE should indicate whether any other parts of the DEIS are anticipated to change after the public comment period ends, and whether OSMRE intends to make the revised DEIS available for public comment prior to finalization.	The FEIS contains edits as described here in the response matrix. The FEIS, rule and RIA will all be publicly available upon issuance. There is no legal requirement to reinstate public comment on the final versions of these documents.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 5.0, p. 5-1 To comply with the National Environmental Policy Act (NEPA), and Council on Environmental Quality (CEQ), and Department of Interior regulations implementing NEPA, the Office of Surface Mining Reclamation and Enforcement (OSMRE) has consulted and coordinated with federal and state agencies, organizations, tribes, interested groups, and individuals during the development of the Proposed Action and this Draft Environmental Impact Statement (DEIS). The record is clear that OSMRE barely consulted or coordinated with the states causing state agencies to withdraw their involvement in the DEIS work. Consequently, OSMRE cannot claim that stakeholders were included in the consultation and coordination process under NEPA related to the development of action alternatives. Most state agencies withdrew their support as a last effort to encourage OSMRE to pay attention to their concerns. Failure to include cooperating state agencies, and particularly those states with clear regulatory authority, is a violation of NEPA.</i>	Please refer to Master Response on Cooperating Agency Involvement.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 5.2, p. 5-3 OSMRE retained the comments received previously from the cooperating agencies and ensured that they were considered during the preparation of the current DEIS. These comments were very informative as to</i>	Please refer to Master Response on Cooperating Agency Involvement.

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		<p><i>the scope and content of the analysis needed for the DEIS. The current DEIS retains very little content from the original preliminary draft; however, OSMRE considered those comments in revising the Alternatives, methodology and content of the current DEIS.</i> Several states that initially participated as cooperating agencies on the preliminary DEIS in 2010-2011 subsequently withdrew their participation because OSMRE provided insufficient time for review and comment, failed to provide promised documents, and failed to identify how state comments were addressed in the preliminary DEIS. When OSMRE restarted its efforts on the current DEIS in the fall of 2011, it did not reengage the states as cooperating agencies. OSMRE indicates that it "retained the comments received previously from the cooperating agencies and ensured that they were considered during the preparation of the current DEIS." It is unclear, III-302 Draft Environmental Impact Statement however, what information from the 2010 efforts has made its way into the current DEIS. The cooperating states have not been consulted during development of the current DEIS, as is evident by the application of a one-size-fits-all approach versus consideration of state and regional differences with respect to which the states are in the best position to speak.</p>	
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 5.4, p. 5-4</i> OSMRE's public involvement process failed to meet NEPA requirements for fully informed and participatory stakeholder engagement. Two comment periods—30-day and a 45-day— were held in 2010, and 9 scoping open houses equally distributed across AL, IL, IN, TX, NM, WY, KY, and WV were also held. The open houses did not include several important mining states. OSMRE's application of CEQ guidance on the criteria to establish an "environmental justice"(EJ) community clearly identifies that the majority of the EJ communities are in Appalachia, Illinois Basin, and Northern Rocky Mountains and Great Plains (tables on pp. 4-319 to 4-322). Standard publication methods (Federal Register, local newspapers, poster stations at openhouses) were used. CEQ guidance specifically spells out the need for specialized, targeted engagement of minority populations (CEQ pp. 4, 9, and 11) and lists a variety of suggested methods. Not only were these methods not used, distribution of minority</p>	<p>Thank you for your comment. The public meetings were conducted in accordance with CEQ NEPA regulations and the OSMRE NEPA handbook. In addition to the scoping related comment periods in 2010 OSMRE conducted six public hearings for the DEIS in Colorado, Kentucky, Missouri, Pennsylvania, Virginia and West Virginia during a 102-day public comment period. Ultimately, OSMRE received approximately 95,000 comments, including hundreds of pages of comments from state SMCRA regulatory authorities, on the DEIS and the proposed stream protection rule.</p>

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		populations (DEIS pp. 4-232 to 4-240 and 4-328) suggests that a proportionally higher number of meetings should have been held in these areas. Out of more than 20,000 comments, only 1,300 addressed socioeconomic, even though all alternatives will have some adverse effect on socioeconomic. This reinforces the possibility that affected populations were underrepresented in the comment III-303 Draft Environmental Impact Statement period. The fact that only 400 people attended across all 9 open houses also suggests the ineffectiveness of OSMRE's outreach and inclusion.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 5.4, p. 5-4 OSMRE's public involvement process in the formative stages of the DEIS was not consistent with NEPA requirements pertaining to outreach to stakeholders potentially affected by the Proposed Rule. OSMRE held 9 public meetings in 8 states representing 5 of the 7 coal regions. OSMRE did not encourage or facilitate public involvement in the 2 coal regions (northwest and western interior) and the other approximately 19 states with coal reserves. OSMRE fails to explain why its public outreach approach was representative of stakeholders in different regions where mining occurs throughout the U.S. OSMRE ignored the large geographic area addressed by the Proposed Rule and the potential for differing opinions on how alternatives affect certain regions and public and private sectors. OSMRE fails to explain its basis for the approach to public involvement, particularly in view of the seemingly small sample size of public opinion collected from its efforts.	Thank you for your comment. The public meetings were conducted in accordance with CEQ NEPA regulations and the OSMRE NEPA handbook. OSMRE conducted six public hearings in Colorado, Kentucky, Missouri, Pennsylvania, Virginia and West Virginia during the public comment period. Ultimately, OSMRE received approximately 95,000 comments, including hundreds of pages of comments from state SMCRA regulatory authorities, on the DEIS and the proposed stream protection rule.
OSM-2010-0021-0696	Murray Energy Corporation	Section 5.4, p. 5-4 Neither the ANPR (11/30/09) nor either NOI (4/10/10 and 6/18/10) provided adequate notice of various provisions OSMRE ultimately included in its Proposed Rule. For example, no notice was given that there would be any additional fish and wildlife enhancement requirements, including stream restoration. The notices only referenced possible requirements for reforestation previously wooded areas. These provisions go far beyond the public notice provided by OSMRE and precluded the public from having an opportunity to comment on proposed regulations that could dramatically impact mining operations and regulators.	As the lead agency OSMRE is ultimately responsible for determining the scope of the environmental impact statement. The NOI is published very early in the process, even before the scoping process is conducted, in compliance with 40 CFR 1501.7. The scoping process provides, among other things, the opportunity for participants to identify concerns, potential impacts and relevant effects of past actions and possible alternative actions. Scoping is therefore done very early in the process before the analysis or deliberations of the results have occurred; it is therefore a natural and expected outcome of the

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			NEPA process that the Proposed Action and alternatives would change between the early identification provided at scoping. The input received during scoping efforts is important to help define the issues for consideration; however suggestions obtained during scoping are not binding but are only important options for the lead agency to consider. 43 CFR 46.235(b).
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's analysis lacks quantitative integrity and is, at best, a screening-level qualitative analysis of mining impacts. OSMRE's repeated use of terms like "quantitative" in the DEIS have important connotations in science and specific meaning in the NEPA process, to which OSMRE does not adhere.	As the comment notes, the analysis additionally evaluates the environmental improvements associated with the effect of the rule in reducing overall coal production. While the purpose of the rule is not to reduce coal production, our analysis finds that the rule has the unintended effect of marginally reducing coal production on the order of a fraction of a percent. Consistent with guidance provided by OMB in conducting regulatory impact analysis (as described in Circular A-4, 2003), the analysis evaluates these ancillary Benefits (and costs) of reductions in coal production alongside the direct Benefits (and costs) of the rule. It would be inappropriate to evaluate the unintended costs of the rule in reducing coal production without also considering the associated Benefits.

4. Cumulative Impacts

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OSM-2015-0002-0008	Natural Resource Partners LP	The Proposed Rule and accompanying analyses do not adequately address the scope and significance of the impacts on the human environment given OSMRE's originally description of its effort as a minor regulation confined to one region; in addition to a plethora of new rules which apply in every coal producing state, the Proposed rule amends or modifies at least 475 existing rules.	The Final RIA and FEIS for the SPR consider a number of recent U.S. EPA regulations as part of the baseline for the analysis. These are articulated in Chapter 3 and Appendix F of the RIA. These are also discussed in the cumulative impact analysis in section 4.5 of the FEIS. The Final RIA and FEIS baselines have been updated since the DEIS was released to the public.
OSM-2015-0002-0036	Anonymous	The CIA did not provide cumulative impact assessments of Alternative 1 (no action alternative).	The cumulative impacts analysis has been revised to more explicitly consider the impacts of the No Action Alternative on resources.
OSM-2015-0002-0036	Anonymous	It appears this [cumulative impacts assessment of No Action Alternative] was skipped because it would have shown the same Benefits of the rest of the proposals as the existing and proposed regulations have been and will continue to benefit these resources in a positive manner, even without this rule.	The cumulative impacts analysis has been revised to more explicitly consider the impacts of the No Action Alternative on resources.
OSM-2015-0002-0073	Peabody Energy	OSM's attempt to quantify cumulative impacts using potential job loss as a proxy for all socioeconomic impacts is also inadequate. Initially, as noted by NMA, the agency's statements about the impacts of the Proposed Action and the alternatives on jobs is wrong and unsupported. But further, as NMA also suggests, socioeconomic impacts from draconian regulations that devastate industry will run far deeper into coal mining communities.	The commenter is not correct that potential job losses are used as a proxy for all socioeconomic impacts. Section 4.3 of the EIS, Social and Economic Resources, considers potential impacts of the Alternatives on socioeconomics, land use, utilities, infrastructure, visual resources, noise, recreation, and public health and safety. Within the category of socioeconomics, section 4.3.1 of the EIS considers potential impacts of the Alternatives on income, tax revenues, property values, quality of life, and demographics in addition to consideration of potential impacts associated with employment. Please refer to Master Response on Alternative Analysis Provided by the National Mining Association in response to the commenter's discussion of NMA's analysis of job effects.

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OSM-2015-0002-0060	Society for Mining, Metallurgy & Exploration	OSMRE does not demonstrate in the proposed Stream Protection Rule that a HUC-12 watershed as a minimum size is the best choice for the cumulative impact area in all regions of the country. We believe it would be more appropriate to determine the cumulative impact area based on conditions at the mine site that consider site-specific conditions.	Thank you for your comment. We appreciate that conditions can vary from mine to mine. However, Due to the broad geographic scope and timeframe for the analysis, we were unable to forecast conditions at every future mine site as part of this national-scale EIS. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.
OSM-2010-0021-0015	Anonymous	The cumulative effects analysis will lead you to believe the proposed rule would be positively beneficial to the industry. Further evaluation of cumulative impacts to public welfare and loss of jobs, wages, severance tax revenue, etc. must be assessed for each region that will be impacted.	An evaluation of the regional employment impacts associated with the SPR and its alternatives is presented in section 4.3.1.3 of the EIS (Employment Impact Analysis) as well as Chapter 6 of the RIA. In addition to employment, section 4.3.1 of the EIS considers potential impacts of the Alternatives on income (wages), tax revenues (including severance taxes), property values, quality of life, and demographics. Section 4.5.3 of the EIS (Assessment of Cumulative Impacts) discusses the impacts of the proposed rule and its alternatives together with ongoing trends specifically as related to ongoing trends in coal industry employment. The socioeconomic implications of the Action Alternatives are characterized as resulting in minor to moderate adverse impacts. The cumulative impact of the Action Alternatives on Socioeconomics, when combined with other actions and trends, is classified as negative.
OSM-2010-0021-0015	Anonymous	Broad cumulative impacts to socioeconomics have already occurred throughout these regions. Further analysis should be conducted on the livelihood of those who will lose jobs in these areas and the hardships their families will encounter. With already high poverty rates the cumulative effects on these areas would be significant.	Thank you for your comment. Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life.
OSM-2010-0021-0015	Anonymous	Case law applying NEPA's cumulative impacts analysis requirement often addresses the question of whether a potential future action is "reasonably foreseeable" and therefore must be considered in the cumulative impacts analysis. The DEIS did not assess the cumulative impacts of	A discussion of U.S. EPA's recent Clean Water Rule to increase the clarity of waterway definitions of the waters of the U.S. under the Clean Water Act has been added to the cumulative impacts analysis. We note that rule

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		the proposed rule with the new “waters of the U.S.” rule that is also being put forth at this time. This rule was “reasonably foreseeable” and is not even considered in the assessment.	was stayed on October 9, 2015 by the U.S. Court of Appeals for the Sixth Circuit and is awaiting further action.
OSM-2010-0021-0038	Anonymous	There is no discussion of the cumulative impacts of additional job losses to these areas, particularly Appalachia. The proposal will take areas that are many times below the poverty level, and decrease overall income for the household, local, county, and states.	Thank you for your comment. Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life.
OSM-2010-0021-0061	Peabody Energy	In the DEIS, OSMRE identified a number of past, present, and reasonably foreseeable actions directed at regulating, or that will indirectly impact, the coal mining industry. See DEIS 4-334 to 4-337. Even assuming this list is complete, which it is not, OSMRE makes no attempt to evaluate or quantify the social and economic impact of these regulatory actions on the industry. Instead, after listing these actions, it simply concludes without analysis that the coal mining industry faces regulatory and non-regulatory challenges that could impact the industry negatively. That is not a sufficient basis upon which to conduct a cumulative impacts analysis.	As stated in Section 4.5.1 of the EIS, the diverse set of affected resources, combined with the broad geographic and temporal scope of the SPR, makes cumulative impact analysis highly challenging. Indeed, simply identifying the full suite of past, present, and future actions affecting water resources in coal mining areas in the U.S. under the No Action Alternative and Action Alternatives is not feasible. For example, dozens, if not hundreds, of federal, state, and local laws and regulations could be perceived as being relevant to protecting the quality of water resources in streams affected by mining. Furthermore, an array of individual projects (e.g., dam construction, dredging), permitting decisions, and economic trends could further influence water quality. Identifying and accounting for all of these factors is not practical, and prediction of cumulative impacts based on such an approach would be speculative. Because it is practically infeasible to characterize every potentially relevant cumulative action in all coal-producing areas in the U.S., the analysis focuses on identifying the primary actions - particularly those that may combine with the Alternatives to produce noteworthy cumulative effects. This approach is consistent with CEQ guidance, which states that “a cumulative effects analysis should ‘count what counts,’ not produce superficial analyses of a long laundry list of issues that have little relevance to the effects of the Proposed Action on eventual decisions” (CEQ,

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			1997). The analysis recognizes that under the No Action Alternative, coal production is anticipated to decline, which could have adverse effects on communities that depend on coal production. The analysis finds that the Action Alternatives have some potential to exacerbate this effect. A quantitative evaluation of this effect is not required by NEPA.
OSM-2010-0021-0061	Peabody Energy	OSMRE then adds its conclusion that Alternative 2 will have a "moderate adverse" impact on social and economic resources and that the rest of the alternatives will have "minor adverse" impacts to its unsupported conclusion about the impact of existing and foreseeable regulatory actions and trends to find that the cumulative effect of the SPR on social and economic resources will be "negative." This one word conclusion is not only unsupported in the DEIS, but wholly inadequate to inform OSMRE of the cumulative impacts of its Proposed Action and its alternatives. The DEIS, therefore, provides the agency no context, quantifiable or otherwise, of the cumulative impacts of its proposal.	A quantitative evaluation of the regional employment impacts associated with the SPR and its alternatives is presented in section 4.3.1.3 of the EIS (Employment Impact Analysis) as well as Chapter 6 of the RIA, which also includes a presentation of the impacts of the SPR on employment compensation. Section 4.5.3 of the EIS (Assessment of Cumulative Impacts) discusses the impacts of the proposed rule and its alternatives together with ongoing trends specifically as related to ongoing trends in coal industry employment. The FEIS has been updated to provide additional contextual information to assist the reader in understanding the potential implications of the SPR on employment. Specifically, the EIS in Section 4.5.3.5 recognizes that under the No Action Alternative, coal production is anticipated to decline, which could have adverse effects on communities that depend on coal production. The analysis finds that the Action Alternatives have some potential to exacerbate this effect. A quantitative evaluation of this effect is not required by NEPA.
OSM-2010-0021-0070	Luminant Mining Company LLC	In essence, OSMRE estimates that the job losses caused by the Proposed Rule will be minor because industry jobs are disappearing anyway. It therefore treats the anticipated job decline as part of the baseline, and concludes the effects of the Proposed Rule will be insignificant. This is inappropriate, and does not comply with NEPA. Instead, as explained below, OSMRE must treat the anticipated job losses as cumulative socioeconomic impacts resulting not	The commenter is incorrect in stating that the EIS "assumes that job effects of the rule are minor because industry jobs are disappearing anyway." First, findings about socioeconomic impacts of the rule in section 4.3.1 of the EIS include consideration of the scope and scale of impacts on individuals, groups, businesses, properties, or institutions. Findings vary by

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		only from the Proposed Rule but also from all of the other rules this Administration has imposed and is currently imposing on the coal industry, discussed in detail below. OSMRE must evaluate the cumulative socioeconomic impacts of all of the past, present, and reasonably foreseeable federal actions affecting the coal industry and the communities and businesses that rely on coal, which are contributing to the drastic and nationally, regionally, and locally significant job losses and adverse socioeconomic impacts. [...] OSMRE is cynically attempting to rely on other federal rules that adversely affect the coal industry to support its conclusion that the job losses from the Proposed Rule are insignificant, without ever having done a proper cumulative impacts analysis of all of these federal actions affecting the coal industry, as required by NEPA.	region, but include overall findings that Major Adverse impacts on socioeconomic resources may occur as a result of several Alternatives. The Proposed Action Socioeconomic Resources ion is anticipated to result in Moderate Adverse impacts at the national scale. The cumulative impacts analysis discusses the ongoing trends in the coal market and coal market employment, and concludes that these impacts “would be added to existing and anticipated adverse conditions in the coal mining industry, and could exacerbate these declining conditions.”
OSM-2010-0021-0070	Luminant Mining Company LLC	While OSMRE considered some of the regulations and federal actions that have a cumulative impact, the analysis excludes several important actions taken by federal agencies targeting the coal mining industry, the impacts of which must be fully analyzed under NEPA. The DEIS includes Clean Water Act (“CWA”) Section 404 in its list of “Past and Present Actions,” however the DEIS does not include in its analysis the cumulative impacts associated with the limits on the use of Nationwide Permit 21 (“NWP 21”). OSMRE has proposed to adopt, by cross-reference, the U.S. EPA/Corps definition of “waters of the United States” into its SMCRA regulations. The DEIS does not consider the impacts of this recent rule despite the fact that it will have a significant impact on the coal industry and on the implementation of the Proposed Rule.	Thank you for your comments. Nationwide Permit 21 is discussed in section 4.2.11 of the EIS. Consideration of this permit has been incorporated into the Cumulative Impact Analysis in Section 4.5 of the EIS. A discussion of U.S. EPA’s recent Clean Water Rule to increase the clarity of waterway definitions of the waters of the U.S. under the Clean Water Act has been added to the cumulative impacts analysis in Section 4.5. We note that rule was stayed on October 9, 2015 by the U.S. Court of Appeals for the Sixth Circuit and is awaiting further action.
NA	EPA	Page 4-66, Stream Miles Downstream of Mine Sites Experiencing Water Quality Improvements: It seems this section would be better suited in the Cumulative Impacts section (4.5) because any evaluation of downstream impacts should consider additional disturbances existing in the watershed.	The EIS includes assessment of downstream impacts to streams as indirect impacts of the SPR. These are discussed in section 4.2.1 of the EIS.
NA	EPA	Page 4-333, paragraph I: We recommend that cumulative impacts and alternatives analysis consider existing, baseline condition of the resources when evaluating future actions.	The cumulative impacts analysis considers the baseline condition of the resources when evaluating future actions. This paragraph has been revised to clarify this point, and additional detail describing the impacts of the No Action Alternative has been included.

CUMULATIVE IMPACTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
NA	EPA	<p>Page 4-346, Assessment of Cumulative Impacts by Resource, Table 4.5-2: As in previous sections, the alternatives comparison is done in a qualitative fashion, with the result being "beneficial", "negative", or "neutral" cumulative effect. Given all the potential variables involved in determining cumulative effects, it is difficult to understand how the conclusions were drawn or what constitutes the differences between result categories.</p>	<p>OSMRE agrees and acknowledges in Section 4.5.1 that cumulative impacts analysis for a rulemaking of this scale is challenging. As discussed, "Beneficial or countervailing cumulative effect" means that, in combination with other actions and trends, the Alternative is expected to result in either a net increase in beneficial impacts or a net reduction in adverse impacts to the resource; "Negative cumulative effect" means that, in combination with other actions and trends, the Alternative is expected to result in a net increase in adverse effects to the resource; "Neutral cumulative effect" means that, in combination with other actions and trends, the Alternative is expected to produce little or no discernible effect on the resource; and "Indeterminate cumulative effect" means that the combined effect of the Alternative, in combination with other actions and trends, is difficult to characterize with confidence given the mix of countervailing influences. For many resources, the rule is anticipated to result in beneficial direct and indirect effects. These effects would be beneficial or countervailing to ongoing trends in affected resources, depending on the resource and site-specific factors. Socioeconomic resources are highlighted because of their potential negative cumulative effects.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Impacts of the Alternatives Section ES.8, p. ES-45 "The cumulative impacts analysis recognizes that in most cases the contribution to the cumulative impacts for a given resource from implementing the Action Alternatives is difficult to discern, at a broad programmatic level across the U.S., given the context and intensity of the impacts from the other past, present and future actions. . . . For resources other than socioeconomics, the analysis concludes that the Action Alternatives (except for Alternative 9) would have a "beneficial or countervailing cumulative effect," meaning that, in combination with other actions and trends, the Alternative is expected to result in either a net increase in beneficial impacts or a net reduction in adverse impacts</p>	<p>The Executive Summary to the EIS provides only a brief summary of the cumulative impacts analysis. The detailed cumulative impacts analysis in section 4.5 provides a summary of the ongoing and expected future trends for each resource under the No Action Alternative as well as the Action Alternatives.</p>

CUMULATIVE IMPACTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		to the resource."The conclusion that the alternatives will have a beneficial or countervailing cumulative effect in combination with other actions and trends requires clarification. OSMRE needs to specify the "other actions and trends" to which it refers, and specifically define how those actions and trends contribute to Benefits related to implementation of the Proposed SPR. OSMRE appears to be relying on future conditions outside of its control or knowledge to draw conclusions about likely Benefits or countervailing effects of the Proposed SPR.	
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the Alternatives Section ES.8, p. ES-45, first and second paragraphs below bullets Alternative 1 (No Action Alternative) should be included in this discussion, since the existing rules already have beneficial effect.	A discussion of the impacts of the No Action Alternative has been added to the Executive Summary.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.1, p. 4-332, bullets Not only does this cumulative impacts analysis pertain only to surface mining, or does not separate surface from underground mining, but it also uses "typical" for every subject: "typical" watershed, land cover, geology, soils, topography, air quality, etc. to establish the cumulative impacts. It should be using real data for surface mining and for underground mining, and dividing them into logical groups, by region, ecoregion, state, etc.	The EIS analysis of the SPR, including section 4.5.1, pertains both to surface and underground mining activities. OSMRE agrees that analysis at the mine level is important to understand. However, due to the broad geographic scope and timeframe for the analysis, we are unable to forecast conditions at every future mine site as part of this EIS. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.
OSM-2010-0021-0696	Murray Energy Corporation	Economic growth is the goal of most communities in the U.S., regardless of the impact on anything else. If OSMRE asserts that economic growth due to coal mining can add to the environmental stress of resources, then it should be made clear that every other type of economic growth also adds environmental stress. Coal mining should be considered a stand-alone activity, not linked to other forms of environmental concern that it cannot control. Mining does not control rural and urban development, the timber industry, agriculture, industries such sugar cane and cotton, population growth, etc. How would effects of mining be differentiated from other contributing sources?	Other actions that affect the same resources that the Proposed Action affects must be considered in an EIS. In particular, OSMRE must evaluate other past, present, and reasonably foreseeable future actions that are not related to the Proposed Action in the context of a cumulative impact analysis. In this context, OSMRE considers these other factors in section 4.5 of the EIS. These actions are not included in the direct and indirect effects of the actions.

5. Baseline

BASELINE			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0048	North American Coal	Because such a large amount of time has passed since OSMRE raised the issue of additional stream protection under SMCRA, a significant amount of other new federal water quality and stream protection measures, policies and guidance have been developed and implemented, or are in the process of being implemented. These include the U.S. EPA/US Army Corps of Engineers new definition of “Waters of the United States”, finalization of the U.S. EPA Multi-Sector General Permit for Coal Mining, and U.S. EPA’s development of aquatic life criterion for selenium among others. OSM’s DEIS fails to account for the effect of these actions that significantly mitigate OSM’s perceived need for the proposed Stream Protection Rule.	A discussion and considerations of the current status of U.S. EPA’s Clean Water Rule (addressing the waters of the U.S. definition) as well as U.S. EPA’s Multi-Sector General Permit for Stormwater Discharges has been added to the EIS in section 4.5 (Cumulative Impacts). A discussion of the aquatic life criteria for selenium has been incorporated into the EIS in sections 4.2.1 (Water Resources) and 4.3.4 (Public Health and Safety).
OSM-2010-0018-10336	Conservation Law Center	The Clean Water Act will not act as a stopgap if a regulatory authority decides to use form as a proxy for function. The DEIS states that restoring form and function is also required under the No Action Alternative because of Clean Water Act requirements. This is mistaken. Although the regulatory text requires replacement of form and function, the Army Corps and some courts currently interpret the Clean Water Act to allow surface mining applicants to use structure as a proxy for function, despite the scientific evidence that no such link exists. (The only way that OSMRE can ensure that applicants replace stream function is to clearly state in the final rule that regulatory authorities and applicants may not use form as a proxy for function.)	OSMRE agrees with the commenter that there is some discretion on the part of regulatory authorities with respect to demonstrating restoration of intermittent and perennial streams compliant with Clean Water Act requirements. However, the analysis of the Action Alternatives finds that current stream restoration practices for intermittent and perennial streams are unlikely to change as a result of the SPR. That is, the Action Alternatives are not expected to change the standards of restoration for intermittent and perennial streams.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The Federal Register states that the Proposed Rule is being based on the 1983 rule language that was in place prior to the 2008 rule- i.e., the No Action Alternative. However, the statement in the DEIS claims that there is no real difference in impacts between the 1983 rule and the 2008 rule. There is no justification to back this statement up, and it is untrue except in two states that rely on OSMRE for SMCRA regulation. If OSMRE is now stating that the 2008 rule is virtually identical to the No Action Alternative, reasoning follows that all alternatives have been evaluated against the 2008 Rule instead of the 1983 rule.	Alternative 9 would require the repromulgation of the currently vacated 2008 Stream Buffer Zone rule. This Alternative would require minimization of excess spoil generation, place limits on excess spoil fill capacity to match the anticipated amount of excess spoil to be generated, and prohibit mining activities in or within 100 feet of an intermittent or perennial stream unless the applicant demonstrates and the regulatory authority finds that avoidance is not reasonably possible. The model mines analysis indicates that the impacts of Alternative 9 would not differ significantly from those of the No Action Alternative because the

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			Clean Water Act requirements and policies discussed in the Regulatory Impact Analysis for this rulemaking and the state AOC and excess spoil policies identified in Section 4.2.3.1 of this DEIS have effectively achieved implementation of this Alternative in Central Appalachia, which is the region in which the 2008 Stream Buffer Zone rule would have had its greatest impact if it had remained in effect. Therefore, if repromulgated, Alternative 9 would now have Negligible effects on socioeconomic resources evaluated in this DEIS.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE cannot definitively state that certain mining regions have more ephemeral streams than other regions, given that there are thousands of unidentified ephemeral streams (especially in the Appalachian region) and USACE has no consistent policy for determining and tracking ephemeral streams in the U.S. For example, in Tennessee, USACE has recently started mandating identification of ephemeral streams and requiring mitigation for their loss, using the USACE Regulatory Guidance Letter 05-05 (December 2005). This has resulted in the identification of hundreds of "streams" that the TN State Department of Environment and Conservation calls wet weather conveyances and which do not require mitigation.	OSMRE acknowledges that data on ephemeral stream lengths and densities across the U.S. is not available. However, the statement that the comment refers to is based on reasonable assumptions that the lack of precipitation in these regions would result in groundwater levels occurring well below the level of surface streams, thereby supporting an expectation that these regions would have a relatively greater percentage of ephemeral streams than other less arid regions.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE uses inconsistent language throughout the alternatives description, existing environment, and environmental consequences sections. OSMRE should provide greater clarity as to what it is relying upon for baseline conditions.	Language used in the alternatives description in EIS Chapter 2, affected environment in EIS Chapter 3, and environmental consequences in EIS Chapter 4 has been reviewed and edits have been made in an effort to make the language more consistent across sections.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.2.3, pp. 4-338 to 4-339, last paragraph "State forestry programs may promote best management practices (BMPs) that are intended to protect water resources, among other resources. For example, Tennessee's BMP guide recommends practices such as establishment of streamside buffer zones, soil stabilization through reforestation, and use of sediment control structures (Tennessee Department of Agriculture, 2003). In conjunction with the Proposed Action, these BMPs could reduce forestry impacts such as sedimentation and riparian vegetation removal."	The EIS does not dispute that agriculture and runoff from other industries is a significant contributor to water quality issues in the United States, as is recognized in section 4.5.2.3 of the FEIS. OSMRE agrees that impacts of the rule on mining activities on water quality must be considered in light of other contributing factors. However, the primary purpose of the analysis is to understand the incremental impacts of the SPR on the environment. The impact of other nonpoint source pollution is already captured

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		OSMRE fails to specify rules that govern forestry and agriculture with respect to BMPs. As stated in the first paragraph under the Agriculture Trends heading (DEIS 4-339), U.S. EPA's (2000) water quality report shows that agricultural nonpoint source pollution was the leading source affecting water quality in rivers and lakes. OSMRE should consider the effects on watersheds from mining relative to these and other contributing sources.	within the status of waters as they exist today, and is therefore captured within the descriptions of existing waters in the Affected Environment discussion of chapter 3.
OSM-2010-0021-0068	Earthjustice	The EIS rests on the premise that the wholesale destruction of streams by valley fills and mine-throughs is permissible under the current regulations. OSMRE is wrong. Current regulations do not allow adverse effects on streams from filling or mining through streams. In fact, the current regulations plainly and unambiguously prohibit any mining activity within 100 feet of a stream that will have an adverse effect on the environmental resources of the stream. It is unlawful and irrational to use a less-protective regulation as the baseline for analysis under NEPA.	Thank you for the comment. The DEIS uses the pre-2008 rules as the baseline for all proposed changes. See 80 FR 44447- 44450 (July 27, 2015). Under these rules the regulatory authority must find that the proposed mining activities would not cause or contribute to a violation of applicable water quality standards and would not adversely affect the quantity or quality of the water in the stream or other environmental resources of the stream. See 48 FR 30312, 30327-30328 (Jun. 30, 1983). This language does not represent an unambiguous prohibition against mining activity within 100 feet of a stream.
OSM-2010-0021-0068	Earthjustice	Further, it would be arbitrary to conclude that the current stream buffer zone rule would remain poorly enforced in the future while simultaneously assuming that new protections adopted through the Stream Protection Rule will be well enforced. Certainly, OSMRE cannot assume that the enforcement of SMCRA's minimum standards will improve without explaining what concrete steps OSMRE will take to improve enforcement.	Please refer to the discussion of rule implementation contained within the preamble to the rule.
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview. Section 3.5.2.3, Table 3.5-1 Table 3.5-1 highlights the estimated total stream length (intermittent and perennial) for each coal seam region. However, it is not clear what percentage of these would be within or proximate to economically viable coal reserves that could feasibly be mined in the future. Without this context, it is impossible to estimate what potential implication the "Seepage-Run Determinations" may have for any given region (Proposed SPR § 784.19 (C)(3)(D)).	Table 3.5.1 lists the intermittent and perennial stream lengths in areas where there are minable coal reserves. OSMRE estimates that 100 percent of the stream lengths shown in the table would be within or proximate to economically viable coal reserves. The Model Mines analysis employs representative models of the dominant mining methods, sizes, and overall operations in each region. Because of the unique site-specific characteristics of each mine, it makes a comprehensive, mine by mine,

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			analysis impracticable. Therefore, the Model Mine analysis provides a method of analyzing representative mining scenarios to forecast potential impacts of each alternative to cost and operational trends in the industry. As part of the Model Mines analysis, OSMRE forecasts how the rule would impact the future production and proliferation of coal mines using No Action Alternative and Action Alternative scenarios. The forecasted impacts to streams, expected mine placement, and projected coal production were based on current trends from a variety of data sources, and were estimated for each region based on their overall general conditions. However, it is not possible to determine where future mines might be placed beyond what can be extrapolated from the historical data. Because there is uncertainty in future placement of mines, it was not possible to determine the potential implication the "Seepage-Run Determinations" may have for any given region.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.2.1, p. 4-335, first paragraph <i>EPA authorizes state environmental agencies to administer components of the CWA. For example, all states where coal mining occurs have approval to issue NPDES permits.</i> According to U.S. EPA's NPDES State Program information page, New Mexico does not have an approved NPDES program, although it is pending.	The language in this sentence in section 4.5.2.1 has been clarified.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.2.2, p. 3-246 "Mining (including but not limited to coal mining) has been identified as a contributing factor in the past and ongoing decline of some species. For example, the U.S. FWS described a primary threat to greater sage grouse as ongoing fragmentation and loss and fragmentation of shrub steppe habitats through a variety of mechanisms related to activity that transforms the land, including agriculture, oil and gas development, mining, urbanization, and infrastructure development that includes roads and power lines that convert or bisect habitats and introduce invasive species (75 FR 13909 (March 23, 2010))." OSMRE consistently interjects impacts into the baseline	No change is required. The text referred to in the comment is describing the current status of one resource, in this case the greater sage grouse, as part of the affected environment discussion. The contributing factors to the species' current status include mining activities and thus mining is mentioned here as part of the description of the resource and part of the affected environment discussion; this is different than the analysis of how mining under each of the proposed alternatives would affect this resource, which is discussed in the environmental consequences section of chapter

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		description. Existing environment descriptions and environmental consequences are separate concepts. These statements often are not even related to mining but imply that mining is the cause of the impact. OSMRE should provide an accurate and objective description of the baseline environment that is free from references to or discussion of environmental impacts.	4.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.3, pp. 3-252 to 3-253 OSMRE should clarify why algae (and numerous other taxa) are discussed. It is not clear how OSMRE intends for algae to be used in the Proposed Rule. In this section (Section 3) and Appendix B and C of the DEIS, various attributes of aquatic systems are described without explanation of the relevance to the Proposed SPR, particularly with regards to baseline monitoring or reclamation targets. Given the Proposed SPR's numerous open ended references to restoration of a stream's ecological structure and function, OSMRE should clarify whether it intends to assess and use numerous biological endpoints in biological monitoring programs to determine whether ecological structure and function are restored.	This Section describes the environment of the areas to be affected by the alternatives under consideration, as required by 40 CFR 1502.15. Limiting the discussion to only those organisms that are directly relevant to the implementation of the rule would not meet the requirements of 40 CFR 1502.15 to "describe the environment of the area(s) to be affected or created by the alternatives under consideration." Baseline data collection and monitoring requirements under the Action Alternatives would require use of a scientifically defensible bioassessment protocol based upon the measurement of an appropriate array of aquatic organisms but not necessarily all of the organisms discussed here in the affected environment section. The make-up of organisms would be determined by the state or tribal agency responsible for preparing the water quality inventory required under section 305(b) of the Clean Water Act, 33 U.S.C. 1315(b) when this agency approves the bioassessment protocol.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.3, p. 3-253 "There are few differences between the numbers of invertebrate taxa in permanent streams versus those found in intermittent stream reaches in several northern Alabama streams (Feminella, 1996)." Feminella (1996) looked at only 6 intermittent streams (though 1 was considered rarely intermittent). Seventy-five percent of 171 taxa were present in all 3 types of intermittent streams. Feminella (1996) did not examine permanent streams. Therefore, OSMRE is drawing conclusions that are not supported by the Faminella (1996) study.	We agree that Feminella looked at only six streams, including 2 normally intermittent, 3 occasionally intermittent and 1 normally perennial. Feminella concluded that small intermittent and permanent streams displayed generally high similarity in benthic fauna and that assemblages of normally intermittent streams do not differ greatly from those of nearby permanent streams. Difference in stream assemblages, at least in part, can reflect spatial and temporal variation in stream permanence. These conclusions provided in the Feminella study as well as those in the Stout

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			and Wallace, 2003 study show little difference in the number of invertebrate taxa found in permanent streams versus those found in intermittent streams. The conclusions OSMRE has made in regards to difference between taxa in permanent versus intermittent stream reaches is supported by both the Feminella, 1996 study and the Stout and Wallace, 2003 study. No changes have been made as a result of this comment.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.3, p. 3-253 "This suggests that there is sufficient water present in the headwaters for long-lived taxa with multi-year life cycles to complete their juvenile development prior to reaching the aerial adult stage." OSMRE should change the term "is" to "maybe." The term 'headwaters' can apply to ephemeral, intermittent, or perennial streams. A headwater perennial stream is very different from a headwater ephemeral stream. OSMRE should clarify the meaning of this statement.	We agree that the term "is" should be changed to "may be" in regards to headwaters. As the commenter stated, headwaters can apply to a variety of streams including ephemeral, intermittent and perennial streams and each has its own unique flow regime. The importance of this statement lies with maintaining existing flows to allow long-lived taxa with multi-year life cycles to complete their juvenile development, specifically maintaining the hyporheic zone to allow macroinvertebrates to survive during times of drought or low water flow. We have changed the text from "is" to "may be" (see section 3.8.3.3) to provide sufficient clarity that there will be unique situations that sufficient water may not be present during extended droughts.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.3, p. 3-253 "Studies of Appalachian headwater streams show that <i>C. bartonii</i> usually accounts for the majority of benthic macroinvertebrate biomass (Seller and Turner, 2004)." OSMRE is incorrect. Seiler and Turner (2004) examined nine streams in northwestern PA, and concluded that the work was not representative of "Appalachian headwater streams" throughout the Appalachian region. In fact, Lieb et al (2011) and Edwards (2014) demonstrate that crayfish and other macroinvertebrate species have changed in abundance and dominance in headwater streams over time.	Although, the conclusion that <i>Cambarus bartonii</i> usually accounts for the majority of benthic macroinvertebrate biomass in Appalachian headwater streams is not new and has been shown by many researchers including, but not limited to Woodall & Wallace, 1972; Hurny & Wallace, 1987, Griffith, Perry & Perry, 1994, Griffith, and Wolcott & Perry, 1996; we have decided to remove this sentence as it does not provide any additional pertinent information to this paragraph. The removal of this sentence is not a major revision and simplifies the understanding of this paragraph.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.3, pp. 3-255 to 3-256 OSMRE selectively chose what information to analyze in the	This comment is incorrect and no change to the text is warranted. The text (see the

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		DEIS in order to support a predetermined resolution. OSMRE should discuss all fishes in the Appalachian region, not just those in West Virginia.	"Vertebrates" discussion of section 3.8.3.3) describes fishery resources for streams in several parts of the Appalachian Region, and does not focus exclusively on West Virginia.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.4, p. 3-257 "The listed species include birds, fish, insects, mammals, mollusks, amphibians, reptiles, and vascular plants (see Appendix F for species names)." References to Appendix F are inadequate for identifying listed species specific to this region because the appendix is a summary for all regions collectively. OSMRE should separate the Appendix into listed species by cover type by region.	The comment is correct. A column has been added to the table in Appendix F to indicate the regions in which each species is listed as occurring. Additional information can be found within the Biological Assessment and Biological Opinion (when issued) documents available associated with the Stream Protection Rule.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.4, p. 3-258, Figure 3.8-2 Moreover, the discussion of species in the DEIS should include the species represented in this figure, or at a minimum, each group (Order) of taxa listed. OSMRE should provide transparency with respect to its sources of information.	Additional information on listed species and listed species impacts can be found within the Biological Assessment and Biological Opinion (when issued) documents associated with the Stream Protection Rule.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.4.2, p. 3-263 "The Colorado Plateau coal region encompasses coal-bearing areas of Arizona, Colorado, New Mexico, and Utah. The text below summarizes aspects of terrestrial resources in areas of the region as classified under the USDA-USFS Terrestrial Ecological Unit designation (see also Figure 3.8-3) and adapted from Bailey (1995), McNab and Avers (1994), Cleland et al (1997), and McNab et al. (2007). Table 3.8-3 lists the aerial extent of each unit within the Appalachian Coal Basin." OSMRE should change "Appalachian Coal Basin" to "Colorado Plateau coal region."	We have changed the term in response to this comment. See Section 3.8.4.2
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.9.4, pp. 3-319 to 3-320"As shown in Figure 3.8-13 there are 13 federally listed and proposed listed species in the Western Interior region; two birds, three fish, three mussels, two plants, two mammals, and one insect."OSMRE's figures summarizing the counts of federally listed species are misleading when the scale of each figure is different by region. This figure gives the reader a visual impression that there are many species, because the histogram scale tops out at 3. For the Appalachian Basin, the scale is 50; for the Colorado Plateau the scale is 20. OSMRE should use a more consistent scale in these figures.	No change has been made in response to this comment, although the histograms have been updated to reflect the final species list (see Appendix F). Consistency in vertical scale would be critical if the data for each region were shown side by side instead of on separate pages as they are currently. The histograms as depicted give the reader a quick perception of the relative make-up of listed species within a region by taxonomic group only so that the reader can see which taxonomic groups are of

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			higher relative importance in any particular region. Comparing the number of listed species from one region to another would not be informative since the regions vary greatly in the area they encompass. Calculating the number of species per area to account for this difference would provide a calculation of the density of listed species per area (and not the density of individuals per area) and so would not be additionally informative.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 3.9.1, p. 3-320 "Wetlands can be described as "the halfway world between terrestrial and aquatic ecosystems, exhibiting some of the characteristics of each system" (Mitsch and Gosselink, 2007). The Clean Water Act (CWA) defines a wetland as "those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions" (33 CFR Part 328). Commonly used terms for wetlands include swamp, marsh, bog, wet meadow, fen, pocosin, pothole, and vernal pool" OSMRE should identify the criteria used to define a wetland. For example, USACE's (1987) identification manual and subsequent regional supplements are the commonly used references for wetland identification and delineation. Wetlands have the following three characteristics: vegetation, hydric soil, and hydrology.</p>	<p>No change is necessary. This text within chapter 3 is providing a functional definition of wetlands to introduce the reader to the concept of wetlands, including those that would not meet the criteria for CWA jurisdiction. Appendix C references the USACE 1987 manual and the definition of wetlands found in the manual.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 3.9.2, p. 3-321 "Freshwater wetlands loss is mainly caused by urban and rural development (Dahl, 2006)." Although Dahl's 2006 publication does not state the percentage of freshwater wetlands loss, he does state in a 2011 publication that 62,300 acres of freshwater wetlands were lost between 2004 and 2009. Since most of this loss is caused by development, with no information provided regarding the loss of wetlands due to coal mining, OSMRE should explain the purpose for including this section.</p>	<p>NEPA requires a description of the environment of the areas to be affected or created by the alternatives under consideration. 40 CFR 1502.15. The alternatives under consideration would affect wetlands; the purpose of this section therefore is to provide a baseline description of wetlands including the current status of these resources and the contributing factors to the existing status. The impacts of mining under the alternatives being considered are addressed in Chapter 4 within the Environmental consequences section, as required by 40 CFR 1502.16.</p>
OSM-2010-0021-0696	Murray Energy	Section 3.11.1, p. 3-348 "In many of the coal-producing	No change is necessary in response to this

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	Corporation	regions mining has resulted in altered visual landscapes. Substantial areas now have non-native or fragmented vegetation with modified landforms; exposed acidic soils and spoil piles are visible and are distinct from natural land contours; and mining related infrastructure such as buildings, rail spurs, and road systems are present in areas that otherwise are remote and have few structures. Coal mines dominate foreground and middle ground views in the affected view sheds; background views generally depend on the status of reclamation activities and the perspective from a particular observation point."Paragraph two is subjective and highly critical of mining. It makes no effort to incorporate reclamation advances.	commentThe quoted paragraph lists commonly visible features that remain after coal mining has occurred but expresses no opinion on whether or not these features are visibly appealing. No subjective or critical statements are made.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.11.2.6, p. 3-352 "While there is currently little mining activity ongoing in the region, the coal beds of this region are located in areas with high scenic value. Within the state of Washington coal beds exist in the Columbia Plateau, between the Cascade Range to the west and the Rocky Mountains in Idaho, to the east." OSMRE should clarify whether all coal beds are located in areas with high scenic value. OSMRE also should clarify what is meant by scenic value and disturbances of scenic value.	The text is clear and is speaking in general terms that the Northwest Region is highly scenic including in areas that coincide with coal resources.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.11.2.7, p. 3-352 "Somewhat similar to the Illinois Basin coal-producing region, this region has a landscape that is primarily flat, with open crop and grass lands. The Oklahoma and Arkansas portions of this region have somewhat greater topographic relief, more extensive forest land cover, and may include greater visual resources. While historically this area was a large coal producer, coal mining has decreased significantly in the region. Most coal mining activities subject to SMCRA involve reclamation activities at inactive coal mining properties and the few scattered active mines remaining in this region." OSMRE should provide information on how current mining activities in this region are affecting visual resources.	Impacts to visual resources from the No Action Alternative are discussed in Sections 4.3.1.1 in the context of the impacts on property values and in 4.3.3.1 in the context of recreation. Effects are also captured within the discussion of cumulative impacts in 4.5.1.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.1.1.3, p. 4-35, Figure 4.1-4 Four of the major producers listed on the graph have filed for bankruptcy protection, with one, James River, filing Chapter 7. Arch is reportedly on the verge of Chapter 11 if economics do not soon change for the better.	Thank you for your comment. The graphic referred to has been removed in the FEIS and replaced with updated information. The FEIS now recognizes that, during the difficult periods in 2015 and 2016, a significant portion of the industry filed for bankruptcy. It further

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			recognizes that all but one of the larger companies in bankruptcy has emerged from bankruptcy. Two of the five major supply regions (i.e., Central Appalachia and the Powder River Basin) have been affected the most as a result of declining demand.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-47"[T]he regulatory authority may authorize mining activities in or adjacent to perennial or intermittent streams only when the permit applicant has successfully demonstrated that the "activities will not cause or otherwise contribute to the violation of State or Federal water quality standards, and will not adversely affect the water quantity or other environmental resources of the stream. ""Based on the relevant definitions, placing fill material in a stream would "cause or contribute to the violation of state or federal water quality standards." This would violate many state narrative standards prohibiting addition of materials to streams that result in visible solids, scum, or formation of bottom solids. Such narrative standards also typically include prohibiting depositing waste materials in such a manner that allows entry into streams.	No change is required because the text is quoting straight from existing regulations. Thank you for your comment.
OSM-2015-0002-0008	Natural Resource Partners LP	The Proposed Rule and accompanying analyses do not adequately address the address the scope and significance of the impacts on the human environment given the recent substantial rule changes by the U.S. EPA's carbon dioxide emission rules for new and existing power plants.	The Final RIA and FEIS for the SPR consider a number of recent U.S. EPA regulations as part of the baseline for the analysis. These are articulated in Chapter 3 and Appendix F of the RIA. These are also discussed in the cumulative impact analysis in section 4.5 of the FEIS. The Final RIA and FEIS baselines have been updated since the DEIS was released to the public.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 2 Section 2.4.2, p. 2-12, second paragraph "Alternative 2 would change water monitoring and reporting requirements before and during mining operations and during reclamation. The regulatory authority would be required to coordinate with Clean Water Act implementing agencies to harmonize baseline data collection and monitoring requirements to the extent consistent with each agency's statutory authority and responsibilities." OSMRE fails to indicate in the different alternatives what exactly would need to be harmonized between the Proposed	No change is required. The text is referring in a general way to the fact that implementing the rule revisions under the Action Alternatives would not overlap or supersede the work of other agencies in regulating water quality. Instead, if adopted, it would harmonize implementation of both SMCRA and the Clean Water Act by encouraging coordination of permitting and enforcement activities and by relying upon existing Clean Water Act water quality standards, effluent limitations, and

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		SPR and CWA, including which authority would be dominant and how opposing requirements would be resolved. The need for harmonization is not evaluated in the DEIS or RIA.	designated uses of surface waters to the extent possible. However, the scope of the Clean Water Act is not as broad as SMCRA with respect to protection of the hydrologic balance and prevention of material damage to the hydrologic balance outside the permit area, both of which are requirements of SMCRA. Nothing in the Clean Water Act regulates groundwater and, with respect to surface waters, not all streams have designated uses. Clean Water Act water quality standards and effluent limitations do not exist for all parameters that could adversely impact the hydrologic balance. The proposed alternatives would fill these regulatory gaps.
OSM-2010-0021-0066	NMA	The SPR states and therefore the DEIS implies: "To the extent possible using the best technology currently available, the proposed operation has been designed to minimize disturbances and adverse impacts on fish, wildlife, and related environmental values, and to achieve enhancement of those resources where practicable, as required under the SPR." The "practicable" standard is cited in many proposed DEIS and SPR sections with no definition or guidance as to what this term means. The responsibility is on the applicant to hit what could be a moving compliance target, which is under the control of the regulatory authority (or U.S. FWS) with no mutual understanding of what is meant by this term.	No change required. The term "practicable" is part of our existing regulations and occurs first in the definition of "Best technology currently available". 30 CFR 701.5. Per the definition the existing definition, "Best technology currently available" means "equipment, devices, systems, methods, or techniques which will (a) prevent, to the extent possible, additional contributions of suspended solids to stream flow or runoff outside the permit area, but in no event result in contributions of suspended solids in excess of requirements set by applicable State or Federal laws; and (b) minimize, to the extent possible, disturbances and adverse impacts on fish, wildlife and related environmental values, and achieve enhancement of those resources where practicable. ..." 30 CFR 701.5. Therefore this term is not newly proposed within the SPR but is rather already a familiar concept implemented through existing regulations.
OSM-2010-0021-0066	NMA	In addition, if the U.S. FWS field office does not concur with the Regulatory Authority's decision and the Regulatory Authority and the U.S. FWS field office are subsequently unable to conclude an agreement at that level, the SPR allows either the Regulatory Authority or the U.S. FWS to elevate the issue through the chain of command of the Regulatory Authority, the U.S. FWS, and OSMRE for	Thank you for the comment. In response to similar comments on the rule we have made clarifying changes in the final rule, i.e. the Preferred Alternative. These changes clarify what the applicant must demonstrate to the regulatory authority regarding ESA compliance for a proposed permit; these demonstrations are

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		<p>resolution. It also provides that the Regulatory Authority may not approve the permit application until all issues are resolved in accordance with this process and the Regulatory Authority receives written documentation from the U.S. FWS that all issues have been resolved. This is beyond the standard and regulatory process of ESA section 7 - jeopardy determination. This essentially provides the U.S. FWS with veto authority whenever the service disapproves of resource findings or finds a protection and enhancement plan to have the potential to harm species under the proposed SPR. Notably, this threshold is less than that which is required under Section 7.a.2 of the ESA, which requires jeopardy to species and/or a potential "take."</p>	<p>consistent with existing ESA regulations and provide no additional authority to the Services. See section 773.15(j) of the final rule and the discussion in section IV.J. of the preamble to the final rule.</p>
OSM-2010-0021-0066	NMA	<p>Several alternatives (including the Preferred Alternative) would allow the U.S. FWS to request an opportunity to review the fish and wildlife protection and enhancement plans submitted as part of other permit applications even when the resource information in those applications does not include species listed as threatened or endangered under the Endangered Species Act, critical habitat designated under that law, or species proposed for listing as threatened or endangered under that law. [...] This is beyond the purview and ESA responsibilities of the U.S. FWS. Under Section 7, U.S. FWS is required to prepare an assessment (biological opinion) of impacts to listed and proposed species. Requesting review of the protection and enhancement plan for species not listed or proposed within a 10-day timeframe is unreasonable and burdensome to the Regulatory Authority (or applicant) in terms of additional time and monetary costs to provide the information.</p>	<p>In response to similar comments on the proposed rule we have made additional clarifications in the final rule and the preamble to that rule. Please see the preamble, Section IV.J. "We Should Remove the Provisions that Grant "Veto Power" Over SMCRA Permits to the U.S. Fish and Wildlife Service." for a complete discussion of changes that were made in response to these and similar concerns.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Federally Protected and Regulated Species Section 3.8.2.2, p. 3-245</p> <p>"The ESA makes it unlawful to "take" (defined at Section 3(19) of the ESA as "to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct") federally listed threatened or endangered species without a permit on federal lands."</p> <p>OSMRE should remove the words "on federal lands." A permit is needed for take on any land. Section 9(a)(1) of the ESA also prohibits any person subject to the jurisdiction of</p>	<p>The reference to federal lands was removed. See section 3.8.2.2.</p>

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		the U.S. from taking any listed species, regardless of the existence of a federal nexus.	
OSM-2010-0021-0696	Murray Energy Corporation	Alternative2 Section 2.4.2, p. 2-12, second paragraph OSMRE appears to reconcile the continuing scientific and engineering debate regarding the new interpretation of portions of the CWA with respect to "waters of the United States" and definitions of "adjacent areas," "hydrologic balance," "material damage," and "ecological function." It is unclear what documents OSMRE reviewed, other than the U.S. EPA SAB report on waterways cited in the Proposed SPR with respect to the structure and function of waterways.	For studies cited in the proposed SPR see 80 FR 44436-44698 (July 27, 2015).
OSM-2010-0021-0066	NMA	The Preferred Alternative and Alternative 2 defines streams employing the definition of "waters of the U.S." (WOTUS) and interpreted under 40 C.F.R. section 230.3(s) and CWA section 404(b)(1). This definition is currently in litigation and subject to change. Moreover, some aspects of the WOTUS definition can be applied only on a case-by-case basis. Under SMCRA section 702(a), OSMRE may not amend any provisions of the CWA. Thus, any variations to the definition introduced by OSMRE in the alternatives are prohibited by SMCRA. Further, because the revised definition of WOTUS is currently subject to challenge and has been stayed nationwide by the U.S. Court of Appeals for the Sixth Circuit, OSMRE should avoid finalizing any rule that relies on that definition until that litigation is resolved.	Thank you for the comment. In response to comments on the rule itself we have replaced the term "waters of the United States" (WOTUS) with "perennial, intermittent, and ephemeral streams" or its equivalent in text that pertains only to streams. The change is nonsubstantive, but it clarifies the scope of the rule and avoids the controversy surrounding the definition of WOTUS.
OSM-2010-0021-0066	NMA	However, Alternative 7 would require coordination with the CWA authority on defining stream flow condition, and requires that the permit applicant and the Regulatory Authority seek input from the USEPA (as CWA authority) for all new applications, and incorporate, where applicable, all the USEPA's potential to impact WOTUS. Importantly, the definition of WOTUS has gone through many modifications since it was first defined in 1986 and continues to be in flux. At the present time, the definition of WOTUS is in litigation. The requirement of the applicant and the regulatory agency to meet the requirements of the CWA is acknowledged, but Alternative 7 introduces significant uncertainty as a result of its reliance on a rule still subject to challenge that is currently stayed. Therefore, the definition of stream type for Alternative 7 is flawed.	Thank you for the comment. We replaced the term "waters of the United States" (WOTUS) with "perennial, intermittent, and ephemeral streams" or its equivalent in text that pertains only to streams. The change is nonsubstantive, but it clarifies the scope of the rule and avoids the controversy surrounding the definition of WOTUS.
OSM-2010-0021-0007	Virginia Department of Historic Resources	"As the State Historic Preservation Office in Virginia, DHR agrees with your assessment that all Alternatives are likely	The text in Section 3.13 has been updated and reflects the current status of the federally

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		to have Negligible impacts on Archaeology and Cultural Resources (ES 41 paragraph 6; 4.3.5). We strongly recommend revision of 3.13 Archaeology, Paleontology and Cultural Resources, page 3-376, paragraph, Virginia. It is no longer the case that there are currently no federally recognized tribes in Virginia today and very little is known about the tribes at the time of contact. Much is known about the tribes at the time of contact from both documentary and archaeological evidence."	recognized tribe in Virginia.
NA	EPA	Page 3-321, Section 3.9.2 Wetlands Status and Trends, second paragraph, first sentence; Suggest re-phrasing or deleting the sentence, "Despite regulations and a positive trend of wetland acreage, wetlands are lost in the U.S. at an estimated rate of 290,000 acres per year (Dahl, 2006)." This sentence appears contradictory and, at a minimum, is confusing.	The text in Section 3.9 has been clarified through replacement of the word "acreage" with protection. The sentence is no longer contradictory.

6. Benefits

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OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.5, p. 4-248, first paragraph OSMRE provides no evidence from the scientific literature to substantiate the claim that additional metrics collected as part of the baseline assessment will indirectly benefit land use, utilities, infrastructure, visual resources, or noise resources.	The section of the FEIS cited by the commenter states that the Action Alternatives (excluding Alternative 9) standardize the sampling protocol and increase the assessment and monitoring activities for baseline data collection and analysis, as described in Chapter 2. These changes are not expected to directly affect land use activities but may lead to indirect effects on land uses to the extent that they promote improved water quality in the region. Additional explanation for the impacts of baseline data collection on water quality are described in section 4.2.1.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.5.3.4, pp. 4-357 to 4-358</i> Reduction of fugitive methane emissions from coal extraction is to be accomplished by a reduction in coal	The FEIS appropriately evaluates changes in GHG emissions as a consequence of implementing the Action Alternatives. As

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		production from underground coal mines as a result of the implementation of the proposed Stream Protection Rule. OSMRE should not be directing a reduction in coal production in order to reduce fugitive methane emissions from coal extraction.	directed by CEQ NEPA guidance, the FEIS evaluates the effects of the rule on GHG emissions regardless of whether the impact is direct or indirect. In this case, the FEIS finds that the rule will result in an overall reduction in GHG emissions, considering both positive and negative effects on CO ₂ emissions from the electric power sector, as well as CH ₄ emissions from the field production of coal and natural gas. The purpose of the Action Alternatives evaluated in the FEIS is not to reduce coal production or to reduce GHG emissions (including fugitive methane emissions).
OSM-2010-0021-0696	Murray Energy Corporation	Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, p. 4-71, first bullet "Shank (2010) and Shank and Gebrelibanos (2013) use GIS analysis to compile data on refuse fill in West Virginia between 1984 and 2012, and estimate linear stream loss due to fill construction over time. The more recent study estimates that 766 miles of perennial and intermittent streams were filled during the study period (1984 to 2012, which equates to 28 miles per year on average). It also shows a marked decrease infill construction starting in approximately 2003. In 2012, stream miles filled decreased to approximately 18 miles in West Virginia for that year." Two of the studies cited, Shank (2010) and Shank and Gebrelibanos (2013), determine that annual "stream" mile fill construction has decreased over time: twenty-eight miles per year from 1984 to 2012 and 18 miles per year in 2012. The vast majority of "miles" referenced are ephemeral and the highest reaches of intermittent channels. Regardless, under the No Action Alternative, fewer stream miles are being filled.	The text cited by the commenter appears in the EIS in order to demonstrate the changes that have been occurring in terms of the number of streams filled over time. OSMRE disagrees that this data necessarily shows that fewer stream miles are being filled under the No Action Alternative since these studies provide a snapshot only of a limited timeframe in a limited area.
OSM-2015-0002-0060	Society for Mining, Metallurgy & Exploration	Absent a science-based rationale, the choice of a 100 foot wide minimum may either be too small or too large. Riparian buffers based on a fixed-width that are not based on the hydrological, ecological and biological relationships of riparian areas may not reflect the extent of the riparian area.	OSMRE received similar comments on the proposed rule and has made clarifications and changes in the final rule in response to these comments. For example commenters voiced concern that proposed section 780.16(d)(1)(v), which is now final section 780.16(d)(2)(v), was too inflexible in requiring that, if an enhancement measure involved creating a vegetative corridor for a stream that previously

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			lacked such a buffer, the buffer zone had to be at least 100 feet wide. We agree with this concern and have modified this paragraph to provide additional flexibility. The regulation now states a preference, but not a requirement, for a minimum 100-foot corridor for such enhancement measures. For clarity, we have also revised this requirement to describe the enhancement as the creation of a corridor where there is no such corridor before mining but where a vegetative corridor typically would exist under natural conditions. As to this requirement potentially providing too narrow of a corridor in some instances, OSMRE cannot disagree. Several commenters on the proposed rule alleged that the proposed 100-foot minimum width for the corridor as proposed in paragraph (d)(1) was arbitrary. Some of these commenters suggested that the regulatory authority should establish the width of the corridor on a site-by-site basis. Upon review of these comments, we are retaining the requirement for a general rule establishing a 100-foot wide streamside vegetative corridor on each side of perennial and intermittent streams, subject to certain narrowly-tailored exceptions, because this strikes the necessary balance between environmental protection and the Nation's need for coal as an essential source of energy. In the preamble to the proposed rule at Part IV and proposed section 816.57(a), we explained that this distance is consistent with our history of requiring a minimum, nationwide, 100-foot corridor width on either side of a stream. Contrary to the assertions by some commenters, this requirement has never been considered merely a "best management practice." Furthermore, as discussed in the preamble to the proposed rule, this width is supported by science (see 80 FR 44436, 44494 and 44552 (Jul. 27, 2015).
OSM-2010-0021-0066	NMA	The DEIS did not provide sufficient technical and engineering information or clarity to allow the development	Section 4.1.2 of the EIS describes that model mines approach to understanding coal industry

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		of the initial set of environmental conditions to provide focus for the subsequent evaluation of impacts for the different EIS alternatives. The DEIS did not involve a study design that identified valued ecosystem components and the most appropriate surrogate components for which useful predictions might be possible to evaluate impacts and changed conditions. The extent to which predicted changes are expected to influence project decisions should have been explicit in the DEIS, and they were not.	impacts. In particular, coal mine engineers developed model mines for each coal region and mine type based on conditions at existing mines as described in mine permits. This includes referencing information on locations, sizes, mining methods, topography, geology, and stream characteristics to establish a realistic physical setting for each model mine under the No Action Alternative. Specifically, surface topography from the USGS Seamless Server, GIS analysis, and AutoCAD software were used to develop contours, delineate watersheds and streams, and insert coal seams. Based upon the geology, topography, and mine size, a mineral removal boundary was created for each model mine. The engineers then re-engineered each of the model mines to comply with the Action Alternatives (e.g., in terms of changes stream fill and reclamation practices). The technical detail of the engineering analysis is provided in Appendix B of the RIA.
OSM-2010-0021-0066	NMA	The DEIS did not identify a statistical definition of natural variation that could be applied to examine the degree and permanence of environmental change and account for the resilience inherent in different ecoregions in the US where mining occurs. The DEIS did not consider the long-term potential of the different ecoregions in the US (or components thereof) to recover from predicted impacts or adapt to the changed conditions, but rather relied on the initial predicted outcome of the mining activity as the basis for drawing conclusions.	Without additional disturbance, impacts of coal mining generally attenuate over time. The DEIS does not claim to be a statistical analysis. However, cited scientific literature provides evidence that historic mining activities have led to persistent impacts to some natural resources. The EIS Chapter 4 recognizes uncertainties in this data as well as many other aspects of the analysis. This is particularly apparent in section 4.2.1, Water Resources.
OSM-2010-0021-0066	NMA	Regarding the persistence of contaminants released by surface mining, Hopkins et al. (2013) sampled older mines that were mined under older rules and had been reclaimed. That work does not demonstrate persistence of contaminants from mining completed under the existing rule.	Hopkins et al. (2013) investigated reclamation projects from less than two years old to more than 25 years in age based on permit dates. The majority of the reclamation sites studied were more than ten years old. Given that some of this study's conclusions may be drawn from mining and reclamation practices that pre-date current regulations, this citation has been removed from the sentence stating that contaminants released by surface mining persist for decades in Chapter 4.3.4.1.

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OSM-2010-0021-0055	Rocky Mountain Elk Foundation	While the EIS calls primarily for native species to be used in future reclamation projects, we are pleased that there is an exception for non-native species allowance where necessary to achieve approved postmining land use if actually implemented prior to final bond release. We encourage the use of white clover, crimson clover, red top clover, and vetch, as well as sweet clover and some orchard grass to provide much needed forage and cover for many wildlife species. These are all non-native species that are not invasive, are effective at site stabilization, provide cool season forage, and are not persistent in the long-term. They allow establishment of native plants through natural colonization.	As discussed in the preamble to the final rule several commenters stated that non-native annual crops can be used to supplement natural food sources for wildlife. We acknowledge that this is true. However, we do not agree that the use of non-native species is necessary to successfully reclaim the site to the “fish and wildlife habitat” land use category. This land use category is defined within section 701.5 as land that is “dedicated wholly or partially to the production, protection, or management of species of fish or wildlife.” This definition does not allow for a focus on game species to the detriment of other species, and there are no other aspects of this land use category that would necessitate the use of non-native plant species. Therefore, an exception for the use of non-natives for this land use category is not warranted.
OSM-2010-0021-0056	Utah Mining Association	OSMRE has failed to adopt a scientifically rational approach to the current understanding of ecology across the many different eco-regions in the U.S. where mining occurs.	Please refer to Chapter 3.8 for a characterization of the ecological setting of each coal mining region defined in the EIS. In this section, OSMRE describes the ecological provinces, terrestrial resources, and aquatic resources for each region. As is the focus of the SPR, particular attention is given to aquatic resources. Chapter 4 describes the impacts of mining related contaminants and disturbances to these resources. The analyses in Chapter 4 are based on modeled mines reflecting variation in mine site characteristics in each coal region, for example with respect to differing stream densities and forested land cover pre-mining.
OSM-2010-0021-0068	Earthjustice	Although OSMRE’s choice between alternatives will have significant consequences for greenhouse gas pollution through methane emissions and coal combustion, OSMRE failed to analyze the greenhouse gas pollution consequences of the alternatives or disclose that pollution’s social, economic, and ecological impacts	Please see Section 4.2.4 of the EIS and Section 7.3 of the RIA for a discussion of air quality and climate change related to overall changes in coal production and combustion. These sections estimate effects of the Final Rule on greenhouse gas emissions and provides monetized benefits of the Action Alternatives in terms of reducing worldwide climate-related damages.
OSM-2010-0021-0068	Earthjustice	The requirement to analyze the social cost of carbon is	Thank you for your comment. In response, an

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		supported by the general requirements of NEPA, specifically supported in federal case law, and by Executive Order 13514. For all of these reasons, OSMRE must use the social cost of carbon as a way of disclosing the scope and nature of climate pollution impacts—including, but not limited to, the increase in climate pollution from coal combustion—on the human environment.	analysis of the potential impacts of the SPR on greenhouse gas emissions and the associated social costs of carbon is now included in Chapter 7 of the Final RIA and Chapter 4 of the FEIS.
OSM-2010-0021-0068	Earthjustice	Further, where that pollution is methane, OSMRE should use multipliers that reflect the latest science concerning the short- and long-term impacts of methane pollution	Please refer to section 4.2.4 of the FEIS, as well as Section 7.3 of the Final RIA, for details related to the updated quantification the benefits of reductions in GHG emissions. OSMRE applied the best available information from the 2016 EPA Greenhouse Gas Inventory and the 2016 Interagency Working Group on the Social Cost of Greenhouse Gases in evaluating impacts of the Action Alternatives on CO ₂ and CH ₄ emissions.
OSM-2010-0021-0068	Earthjustice	The significant threat posed by climate change should inform OSMRE's choice between alternatives, which will have a significant impact on total U.S. coal production and consumption for the foreseeable future. Alternatives that result in higher supplies of coal over the next few decades will contribute to lower coal prices and higher coal consumption, which in turn will feed our dependence on fossil fuels and add to climate pollution for decades to come.	Climate change impacts, as discussed in the EIS, have informed OSMRE's selection of the Preferred Alternative. Section 4.2.4 of the EIS discusses the potential implications of rule Alternatives on air quality, greenhouse gas emissions, and climate change. Importantly, none of the Action Alternatives explicitly targets air quality or climate change. Regardless, implementation of the elements of the Action Alternatives may have both beneficial and adverse effects on air quality, greenhouse gas emissions, and climate change. On the beneficial side, the Alternatives reduce carbon emissions from coal combustion, and increase carbon sequestration potential due to reforestation and riparian corridor requirements of Alternatives (except for Alternative 9). However, the Alternatives may also increase methane emissions from natural gas, and increase the use of equipment and vehicles to haul materials and therefore increase other emissions from these sources. Please also refer to Section 7.3 of the RIA for more information related to analysis of climate change impacts of the Final Rule.

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OSM-2010-0021-0068	Earthjustice	As part of its consideration of a rule that will govern the circumstances under which hundreds of millions of tons of coal may be mined for combustion, and certainly before rejecting more environmentally protective alternatives, OSMRE must inform the public and decisionmakers of the dramatic reductions in greenhouse gases that are required to avert global catastrophe.	An evaluation of the potential impacts of the Proposed Action and alternatives on greenhouse gas emissions and climate change-related damages is provided in Section 4.2.4 of the FEIS and sections 7.3 and 8.1 of the RIA.
OSM-2010-0021-0068	Earthjustice	Other studies and reports that OSMRE must address to understand the alternatives in the context of climate change include:* The 2013 update of the Interagency Working Group on the social cost of carbon. This update increased the federal government’s estimate of the costs of each additional ton of climate pollution, indicating both that our understanding of the costs of climate change has improved, and that the cost of climate pollution—by constraining our ability to enjoy a livable planet—is increasing.*The IPCC Fifth Assessment, completed in 2014, which provides additional evidence of the harms that are occurring and are likely to result from climate change*The 2014 National Climate Assessment, which details the threat climate change poses to water resources in the American Southwest (including Colorado) and concludes that the elderly, children, the poor and the sick are all more vulnerable to climate-change-related health impacts*EPA’s 2015 report detailing the latest information on U.S. anthropogenic greenhouse gas emission trends from 1990 through 2013. *Two papers from late 2012, authored by Hansen et al., demonstrating the link between anthropogenic climate change and extreme weather events, including extreme heat and drought. (James Hansen et al., Perceptions of Climate Change, 109 Proceedings of the National Academy of Science (Sep. 11, 2012); James Hansen et al., Increasing Climate Extremes and the New Climate Dice, Columbia University (Aug. 10, 2012).)*A 2014 economic report, detailing the risks to business of existing, continued, and worsening climate change. (Kate Gordon et al., Risky Business: The Economic Risks of Climate Change, Risky Business Project (June 2014))*Two recent U.S. Department of Agriculture initiatives that respond to the threat of climate change. Adopting a weaker alternative in the Stream Protection Rule will undermine these initiatives by worsening climate change. (U.S. Dep’t of Agric., USDA’s	OSMRE appreciates the global and regional reports and recommendations by the commenter of current and future trajectories of climate change. The FEIS section 4.2.4 has been updated to reflect the most recent Interagency Working Group on the Social Cost of Greenhouse Gases (IWG) update from August 2016. In this section as well as Section 7.3 of the RIA, the potential impacts of the Final Rule on greenhouse gas emissions and climate change-related damages are quantified. The Alternatives (other than Alternative 9) are expected to reduce greenhouse gas emissions due to lower total production and subsequent combustion of coal resources.

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		Building Blocks for Climate Smart Agriculture & Forestry-Fact Sheet, Off. of Comm. (undated), http://www.usda.gov/documents/climate-smart-factsheet.pdf S. Dep't of Agric., Secretary Vilsack Announces Regional Hubs to Help Agriculture, Forestry Mitigate the Impacts of a Changing Climate, Off. of Comm. (Feb. 5, 2014), http://www.usda.gov/wps/portal/usda/usdahome?contentidonly=true&contentid=2014/02/0016.xml	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Revegetation, Soil Management, and Reforestation 4.2.3.2, p. 4-137 "These alternatives would enhance fish, wildlife, and related environmental values and ensure that the reclaimed site can support the uses it was capable of supporting before any mining, including the vegetation that it would support in the absence of human influence. These alternatives would restore previously forested areas to a native forest ecosystem as quickly as possible, except where doing so would conflict with the approved postmining land use and that use is implemented before the end of the revegetation responsibility period."The requirement for native species and reforestation will actually diminish the postmining habitat quality for several wildlife species, especially species that are valued for hunting and wildlife viewing. These include white-tailed deer, Wild turkeys, and elk. Decisions regarding habitat restoration and reclamation have to be based upon the objective of the landowner and the landscape habitat matrix that surrounds the mine site. To maximize habitat for wildlife on a contour mine in Central Appalachia that might be situated in a landscape that is 99% forested already, the best reclamation option for wildlife habitat might be planting no trees. A mine site in the Illinois Basin that is surrounded by a landscape dominated by farmland might call for 100% reforestation of the site for the very same species. This is an example of where OSMRE treats "wildlife" as if all species had the same habitat requirements and preferences. OSMRE appears to think that all wildlife species thrive only in closed canopy, continuous forests. That simply is not true.	OSMRE is aware that species have varying habitat requirements and that the promotion of any one type of habitat in a given timeframe may negatively impact species that prefer other habitats. These alternatives would require the selection and arrangement of plant species to maximize the Benefits to fish and wildlife based on their proven nutritional value for fish or wildlife, their value as cover for fish or wildlife, their ability to support and enhance fish or wildlife habitat after the release of performance bonds, and their ability to sustain natural succession by allowing the establishment and spread of plant species across ecological gradients. These requirements recognize and incorporate varying species habitat requirements both temporally and spatially.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Stream Miles Downstream of Mine Sites Experiencing Water Quality Improvements 4.2.1.3, p. 4-66 and 4-67 OSMRE relies on a single study (Petty, 2010) to apply an average distance of 6.2 miles for the length of downstream impact	Please refer to Master Response on Water Quality Benefits regarding the calculation of downstream water quality improvements in each region.

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		from a mine site. Despite its limitations, this 6.2 mile number is used for all regions despite an admitted difference in average gradients among the regions.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	<p>Model Mine Analysis - Model Mine Assumptions 4.2.1.3, p. 4-69 "While data are not available to determine whether the Action Alternatives would reduce the number of downstream miles adversely affected by mining, implementing the Action Alternatives (excluding Alternative 9) would at least reduce the level of adverse effect within the 6.2-mile downstream areas." The statement that data is not available calls into question the validity of utilizing the model mine analysis to determine environmental impacts. It is not best available science to draw conclusions based on a model that cannot be substantiated with data. The 6.2 mile figure used throughout the model is particularly inappropriate for western ephemeral and intermittent streams that often are not connected to any downstream surface flows.</p>	<p>The limitation in available studies or data evaluating the impact of mining on water quality downstream of mine sites is not a limitation of the model mine methods. The model mine analysis relies on permit and other information on existing mines to determine typical mine site characteristics in each region across the No Action Alternative and Action Alternatives. The calculation of downstream water quality impacts is a distinct analysis that relies on information on the average number of streams that typically cross mine sites in each region (based on GIS analysis of existing mine sites), as well as information regarding how far downstream water quality impacts persist (relying on the 6.2 mile estimate from Petty (2010)).</p> <p>In addition, data describing how far downstream water quality impairments persist are scarce. OSMRE relied on the best available science from the Petty (2010) study. The number of relevant variables and the high uncertainty involved with knowing the conditions in which any particular mine would be located in the future, prevents OSMRE from developing a precise measurement of the potential downstream impacts of mining under the No Action Alternative or under the Alternatives. The analysis therefore estimates the benefits of the rule using the 6.2-mile downstream distance as an indicator and planning tool to allow comparison between alternatives.</p> <p>In specific response to the concerns raised in this comment, the commenter notes that the 6.2 mile result from this study is inappropriate for use in western ephemeral and intermittent streams. First, as described in Section 4.2.1 of</p>

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			the EIS, the analysis did not apply this number to ephemeral streams to estimate downstream water quality improvements, limiting its use to intermittent and perennial streams. Second, given the lesser stream density of the western regions, the findings of the analysis are consistent with this comment in that the estimated downstream water quality improvements are limited. For example, Table 4.2.1-9 of the EIS highlights average annual water quality improvements of 16 miles for Northern Rocky Mountains area mines under Alternative 8 as compared with 104 miles for Central Appalachian contour mines.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The model mine analysis attempts to demonstrate how the alternatives will impact the streams within a mining permit; however the results presented indicate that there are no real Benefits to streams in most of the coal regions under any of the alternatives with the exception of Central Appalachia surface mines. Central Appalachia is the region where fill areas are predominantly used to dispose of excess spoil material. It is disingenuous of OSMRE to assume that implementation of the proposed rule will have no differing effects on streams within any of the other coal producing regions.	The analysis does not assume that implementation of the proposed rule will have no differing effects on streams in coal producing region outside of Central Appalachia. In fact, Table 4.2.1-9 identifies that the benefits of the rule in terms of reductions in stream fills occur only in Appalachia. Analysis of other benefit categories, however are even greater in other regions. For example, ephemeral stream restoration benefits are concentrated in the Illinois Basin and Gulf Coast Regions (Table 4.2.1-11). OSMRE considers the relative magnitude of all benefit categories described in the analysis across the various coal regions, taking into account the differences in coal region characteristics.
OSM-2010-0021-0070	Luminant Mining Company LLC	Further, while the agency anticipates that the Proposed Rule would generate extensive Benefits in Appalachia and, to a lesser extent, the Illinois Basin, these Benefits do not flow to Texas, which is included as part of the Gulf Coast region in the DEIS. Even under the DEIS's wildly optimistic methodology, the Proposed Rule is expected to yield only moderate Benefits in the Gulf Coast Region with respect to water resources and biological resources. Moreover, almost no Benefits are expected with respect to air quality,	The commenter is correct in that SPR is anticipated to generate a greater degree of benefit to water and biological resources in Appalachia and, to a lesser extent, the Illinois Basin, relative to the other coal mining regions. These benefits stem from a combination of factors, including a large volume of ongoing and forecast coal production, a high density of forests and streams that are affected by this

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		greenhouse gas emissions, and climate change; topography, geology, and soils; land use, utilities, infrastructure, visual resources, and noise; public health and safety; archaeology, paleontology, and cultural resources; and recreation.	mining activity, and rule elements that are designed to reduce these impacts. The Gulf Coast Region produces significantly less coal than either Appalachia or the Illinois Basin (Gulf Coast Region annual production is forecast to produce 45 tons per year compared to 214 tons per year in Appalachia and 151 tons in Illinois Basin).
OSM-2010-0021-0060	Ohio Department of Natural Resources	Table 4.2.1-5 "Methods for Quantification of Benefits to Water Resources" on pages 4-64 and 4-65, relies heavily on a "typical mine" and "average" values in its calculations. While the techniques may be the best available to provide a generalized picture, they would appear to introduce a range of uncertainty that is potentially significant, or at least unknown. The variations between a "typical mine" with "average" values, to which the evaluations and analyses of the EIS are applied, and a real world mine are likely to be significant, yet the real world mine would be required to comply with the SPR.	As the commenter recognizes, the analysis utilizes typical mines and average values with a specific goal of understanding the overall impacts that the Alternatives would have on natural resources, and in order to be able to compare impacts across Alternatives and between Alternatives and No Action. The analysis recognizes that use of typical mines and average values for evaluation of impacts of the alternatives on water quality may be problematic when viewed from the perspective of an individual mine operator. The uncertainties raised by this issue are important and are recognized in the EIS in numerous places throughout the document. Appendix A to the RIA reviews cost effects of the various alternatives on small mines in particular.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Studies used to evaluate the effects of coal mining on water quality (Table 4.2.1-6 on page 4-66) were the following: mountaintop mining/valley fills in five watersheds, the Upper Mud River in southwest West Virginia, the Pigeon Creek watershed in southern West Virginia, the Lower Cheat River basin in West Virginia, and 37 small West Virginia streams (impacts of mountaintop mining). These studies appear to be too narrowly focused for the basis of a major national rule revision. The DEIS, in its analyses, often uses a "typical mine" or "limited data." Such analyses, while informative, do not form a strong basis for formulating a rule that applies to all mines across the country.	OSMRE acknowledges that there is a heavy reliance on data describing the impacts of coal mining on natural resources from Appalachia, as this coal region has been the focus of more scientific interest. While these studies focus on Appalachia, OSMRE determined that development of a comprehensive, nationally applicable, stream protection rule would be the most appropriate and effective method of achieving the purposes and requirements of SMCRA, as well as meeting the goals set forth in the June 11, 2009, Memorandum of Understanding (MOU) among the U.S. Department of the Army, the U.S. Department of the Interior, and U.S. EPA implementing the interagency action plan on Appalachian surface coal mining. Streams are important

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			components of the hydrologic regime everywhere that streams are found, so there is no scientific reason to limit stream protection efforts to one region of the country.
OSM-2010-0021-0060	Ohio Department of Natural Resources	<p>The extent of impacts used in the DEIS to compare alternatives is useful, but it is not clear that the precision necessary to make definitive conclusions is really attained. As an example, in the section dealing with "Stream Miles Downstream of Mine Sites Experiencing Water Quality Improvements" on pages 4-66 and 4-67, it is stated, "... the analysis incorporates findings from the scientific literature to estimate how far downstream of a mine site negative effects of coal mining persist... In general, these studies describe coal mining's effects on stream quality but do not specify the particular aspect of mine operations that generates the adverse effects. As such, the studies do not support an explicit analysis of the SPR elements' impact on downstream water quality While a review of the available literature identified many analyses of coal mining's impact on water quality, only one study identified the geographic extent of the adverse effects of mining on downstream water quality. Specifically, Petty et al. (201 0) estimates that the downstream effects of mining extend approximately 6.2 miles from the mine site Lacking site specific information on the extent of downstream water quality effects of mines, this analysis assumes, on average, that adverse effects of mining on water quality persist 6.2 miles downstream of mines for streams that cross the disturbed area of a mine site."</p> <p>Basing a national rule on a DEIS that relies on a value from one study for a number of calculations is not warranted.</p>	Please refer to Master Response on Water Quality Benefits
OSM-2010-0021-0060	Ohio Department of Natural Resources	<p>Much of the DEIS, in relation to hydrologic impacts, can be summarized by the narrative and tables on pages 4-70 to 4-83. Based on the information provided, benefits would appear to be limited. Focusing on the Appalachian Region, the Preferred Alternative (Alternative 8) in comparison to the No Action Alternative, would annuallya) Reduce stream miles filled by four (4),b) Restore one (1) ephemeral stream mile,c) Improve 174 stream miles downstream of mine sites, andd) Preserve one (1) stream mile downstream of mine sites. The DEIS states that "A reduction in</p>	Chapter 2 of the EIS provides a discussion of the selection of Alternative 8 as the Proposed Action.

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		production yields a mile of preserved downstream water quality annually." This is in the entire Appalachian region. The DEIS classifies these as "Major Beneficial" features of Alternative 8. (For the other six regions studied, the reduction in a) above would be zero (0), the restoration in b) would range from zero (0) to eleven (11), the improvement in c) would range from two (2) to fifty-one (51), and the preservation in d) would be zero (0).)The DEIS states on page 4-72, in relation to improvement in downstream miles, " ... the incremental downstream miles improved by the Action Alternatives represent a relatively small share of the overall water resources in affected regions. For instance, while several of the Alternatives could contribute to water quality improvements in roughly 174 stream miles in the Appalachian Basin, this can be compared to approximately 126,000 total stream miles in the region." In summation, the major rewrite and requirements of the proposed stream protection rule, in comparison to the projected Benefits, would appear to be extreme.	
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-71, Stream Fills, 1st Paragraph "Interpretation of the incremental impacts of the rule and Alternatives on stream fill, miles of mined through streams, and downstream stream degradation, would benefit from contextual information that describes impacts on streams from coal mining under the No Action Alternative. For instance, estimates of the total number of stream miles that are mined through, filled and impaired annually by coal mining under current regulatory conditions would be helpful."The above statements are proof that the data needed to sufficiently evaluate stream impacts and data on mining operations operating under the current regulatory conditions were not used or available in the preparation of the DEIS, upon which the proposed SPR is based. In order to justify a full scale rewrite of coal mining regulations, actual background data and quantification of changes would be required.	As stated in the EIS following the quoted text, absent nationwide data regarding the historical effects of coal mining on streams filled, mined through, or degraded, the EIS describes a number of region- or site-specific studies that evaluate these types of coal mining impacts on streams. This information provides context for understanding the baseline levels of impacts to streams from coal mining. In addition, in response to public comment, the FEIS includes summaries of USACE permit data regarding permitted wetland and stream impacts from coal mining, as well as OSMRE data describing numbers of new mine permits and associated acreage of the new mine permits from 2005 and 2015.
NA	EPA	We recommend you consider including additional recent information from the scientific literature into the alternatives analysis, including stream restoration and impacts to streams and aquatic resources.	Additional references have been added to the analysis where possible, including USACE data on the location of permitted wetland and stream fills associated with mining permits
NA	EPA	There is scientific literature (especially in the Appalachian	OSMRE appreciates the recommendation by U.S.

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		coal mining region) showing that restoration via a natural channel design (NCD) has not succeeded in meeting appropriate endpoints (e.g., attainment or maintenance of any existing, reasonably foreseeable, or designated use under section IOJ(a) or 303(c) of the Clean Water Act) of the affected stream segment following the completion of mining and reclamation. We recommend that the FEIS discuss the state of the current science of stream restoration and its limitations.	EPA. Information regarding adverse ecological impacts associated with natural channel design has been included in section 4.3.2.2 of the FEIS. Further, the reader is referred to the review of Bernhardt and Palmer (2011) for a detailed description regarding biological effects associated with natural channel design strategies.
NA	EPA	We recommend that the FEIS include a discussion on the use of appropriate ecological function indicators as restoration endpoints. It would be helpful for the FEIS to include a section that more thoroughly reviews the literature on restoration ecology to offer scientific commentary to help evaluate the likelihood of reaching the predicted outcomes of the alternatives.	Following publication of the proposed rule and DEIS OSMRE, US ACE and U.S. EPA have continued to work closely on the review of scientifically valid protocols that should be included as options for baseline stream assessment and monitoring. We have considered other protocols recommended by commenters as being scientifically defensible and already under implementation by states, territories, and tribes. We have added these as acceptable methods in the final rule. We have also identified and analyzed other captions that commenters suggested for assessing the baseline condition of and monitoring streams: the Rapid Bioassessment Protocol III (RBP III), which is set out in the 1989 U.S. EPA Publication, "Rapid Bioassessment Protocols for Use in Streams and Rivers;" the Before-After-Control-Impact design (BACI); and hydrogeomorphic sampling protocols. We also considered using IBIs that were designed for perennial streams to assess the baseline condition of and monitor intermittent and ephemeral streams (as is occasionally done by Clean Water Act authorities). More detail on the pros and cons of each method can be found in the preamble to the final rule at Section IV. N. <i>The Rule Should Not Require the Use of Multimetric Bioassessment Protocols to Establish Baseline Ecological Stream Function and Stream Restoration Criteria.</i> Ultimately, as provided in the final rule at sections 780.19(c)(6)(vii) and 784.19(c)(6)(vii), we determined that the best technology currently

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			<p>available for baseline assessment and monitoring purposes for perennial streams is the use of IBIs or other equally scientifically defensible stream assessment protocols developed and applied by states, territories, and tribes. We also determined that it is not currently appropriate to use protocols developed for perennial streams to assess the baseline condition of and to monitor intermittent streams. Some states and regions have developed indices of biotic integrity or bioassessment protocols for intermittent streams. In those instances, final sections 780.28(g)(3)(iii) and 780.19(c)(6)(vii) and their counterparts in sections 784.28 and 784.19 require use of those protocols to assess the baseline condition of and to monitor intermittent streams. Where scientifically defensible protocols do not yet exist in a state we are requiring baseline assessment and monitoring of these streams using a description of the water quality, water quantity, stream channel configuration, a quantitative assessment of the streamside vegetation, and an initial cataloging of the stream biota. For further detail, please see our discussions of sections 780.19, 780.27, 780.28, 816.56, and 816.57 in the preamble to the final rule.</p> <p>Additional studies discussing the current state of restoration science have been incorporated in section x of the EIS. As discussed in the preamble to the final rule, OSMRE recognizes that stream restoration and creation is an emerging area of scientific study and that in some cases the reconstruction of functional stream channels on mined land can be difficult. However, OSMRE did not expect restoration activities to return precisely the ecological function that was there before mining. Based upon scientific studies and our experience, we conclude it will not be impossible to comply with the ecological restoration requirements of</p>

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			<p>this rule. This is, in part, because we have changed the final rule (Preferred Alternative) with respect to how to demonstrate the restoration of ecological function. We also note, however, that one of the purposes of SMCRA is to ensure that “surface mining operations are not conducted where reclamation as required th[e] Act is not feasible” and that the Act therefore requires a permit applicant to demonstrate that “reclamation as required [by SMCRA] and the State or Federal program can be accomplished under the reclamation plan contained in the permit application[.]” If reclamation of a stream cannot be accomplished, then the permit applicant will need to adjust its mining or reclamation plan to ensure the reclamation standards can be met before the regulatory authority can approved its permit.</p>
NA	EPA	<p>In Chapter 3, baseline summaries of streams within each of the coal resource regions are limited to intermittent and perennial streams only. Chapter 4’s analysis of the effects of alternatives on surface waters is not broken out by the different stream types. In the absence of such information, it is difficult to evaluate the relative effects of one alternative versus another in protecting all stream types.</p>	<p>The table (3.5-1) was limited to only intermittent and perennial streams because the hydrography dataset for ephemeral streams is known to be incomplete. This has been clarified in the table footnotes. The analysis presented in Chapter 4 of the FEIS has been revised to specify impacts to intermittent and perennial streams as distinct from ephemeral streams</p>
NA	EPA	<p>Page 4-55, Table 4.2. 1-1 and 4-57: With regard to baseline monitoring, while it may be valid to compare relative merits of the alternatives on baseline water quality across mine regions, the alternatives should also be evaluated on the magnitude of impact relative to baseline water quality within a region or permit area. Baseline water quality may already be impacted and near an ecological threshold where even minor additional degradation could lead to disproportionate biological effects.</p>	<p>OSMRE agrees with this comment that the extent to which the water quality improvements generated by the alternatives reduces ecological injury depends on the baseline water quality at a site, and that baseline water quality at a site varies not only across regions but within regions. As data are not available on specific locations of future mines that will be subject to the requirements of the rule, the baseline water quality at future mine sites is uncertain. As a result, the EIS quantifies the linear extent of stream over which water quality would be improved by the alternatives (based on regional averages for the numbers of streams</p>

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			crossing mine sites) and describes qualitatively that the magnitude and implications of the water quality improvement are site-specific.
NA	EPA	Page 4-67, paragraph I: As noted in the DEIS, use of results from a single study to estimate downstream effects of mining (6.2 miles) [Petty et al. 2010] adds significant uncertainty to regional estimates because of differences in mining types/ intensity, composition, precipitation, topography, etc. The level of uncertainty involved undermines the model results on lengths of downstream impacts across regions.	Please refer to Master Response on Water Quality Benefits.
NA	EPA	Page 4-70, bullet 2: The scientific literature highlights the challenges of stream restoration. Specifically, restored ephemeral channels have shown to have impaired ecosystem functions, as later noted on 4-93 and 4-94 (Fritz et al. 2010). There is also little evidence that restoring stream habitat and geomorphology would similarly restore water quality.	The EIS does not explicitly state that restoration of ephemeral streams will fully restore ecosystem function and water quality. Section 4.2.1 includes estimates of the length of ephemeral streams that will be restored under the Alternatives that would not have been restored under the No Action Alternative. This metric, while imperfect, provides information about the scale of stream improvements that are expected to occur.
NA	EPA	Page 4-1 34, paragraph 4: Provide more information to demonstrate that complete restoration of hydro logic form and ecological function can be accomplished for headwater steams.	As discussed in the preamble to the final rule, OSMRE recognizes that stream restoration and creation is an emerging area of scientific study and that in some cases the reconstruction of functional stream channels on mined land can be difficult. However, OSMRE did not expect restoration activities to return completely or precisely the ecological function that was there before mining. Based upon scientific studies and our experience, we conclude it will be possible to comply with the ecological restoration requirements of this rule. This is, in part, because we have changed the final rule (Preferred Alternative) with respect to how to demonstrate the restoration of ecological function. We also note, however, that one of the purposes of SMCRA is to ensure that “surface mining operations are not conducted where reclamation as required the th[e] Act is not feasible” and that the Act therefore requires a permit applicant to demonstrate that

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			“reclamation as required [by SMCRA] and the State or Federal program can be accomplished under the reclamation plan contained in the permit application[.]” If reclamation of a stream cannot be accomplished, then the permit applicant will need to adjust its mining or reclamation plan to ensure the reclamation standards can be met before the regulatory authority can approved its permit.
OSM-2010-0021-0696	Murray Energy Corporation	Restoration endpoints should not be based strictly on pre-mining conditions and should consider site-specific factors potentially inhibiting stream recovery. Appropriate upstream conditions must also be taken into consideration to the extent restoration requirements in the final rule require establishing pre-mining conditions. This would account for non-mining factors in the watershed and variability over time. Determination of pre-mining conditions and subsequent reclamation goals should not only consider conditions on the mine site but also include upstream control sites that are not mining-impacted	Site specific factors that would potentially inhibit recovery are considered. Adequate baseline characterization, as required under the rule, will allow identification of these situations. Section 780.28(e)(3)(iii) requires that, when mining through a degraded stream, the mining “[w]ill not further degrade the form, hydrological function, biological condition, or ecological function of the existing stream segment.” Final paragraph (c)(2)(vi) of 780.28 allows an exemption to the requirement to restore premining drainage pattern and stream-channel configurations if the regulatory authority finds that a different pattern or configuration is necessary or appropriate to replace a stream that was channelized or otherwise severely altered with a more natural and ecologically sound drainage pattern or stream-channel configuration.
OSM-2010-0021-0696	Murray Energy Corporation	Furthermore, in setting reclamation goals, regulatory agencies should not use aquatic life designated use attainment standards as the endpoint for reclamation success. In establishing designated use attainment conditions, states utilize ecoregion “reference sites” based on sites essentially free from anthropogenic input. These ecoregion reference sites will not reflect site-specific pre-mining or post-mining conditions and likely will not be located in the same watershed as (much less immediately upstream of) a reclaimed stream reach. Thus, the use of ecoregion reference sites in determining reclamation recovery is inappropriate for the reasons presented above.	No changes were necessary within the EIS in response to this comment. These comments and other similar considerations are discussed in detail in the preamble to the final rule. Please see Section IV.N. N. <i>The Rule Should Not Require the Use of Multimetric Bioassessment Protocols to Establish Baseline Ecological Stream Function and Stream Restoration Criteria.</i>
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.5.3.1 and Table 3.5-8, p. 154 “A review of USGS water use data for the years 1985 to 2000	The Water Usage section in Chapter 3.5.3.1 has been updated to include USGS data through

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		<p>indicates that the total share of the population supplied by a public water supplier is increasing while the proportion of the population that is self-supplied is decreasing (Table 3.5-8) (USGS, 2013a)."</p> <p>Based upon this data, the overall susceptibility of localized populations to be affected by groundwater impacts related to mining may be decreasing. OSMRE should validate this conclusion by collecting more recent groundwater data (i.e. 2001 through 2016). If true, potential groundwater impacts to the wells from individual suppliers are indeed decreasing.</p>	<p>2010, as opposed to 2000, to appropriately characterize domestic water supply</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 3.8.2.1, p. 3-244 Several studies highlight alternatives and improvements to the USDA-USFS Ecoregion Classification scheme that was developed in the 1990s (see, e.g., Sleeter et al., 2013, Omernik and Griffith, 2014). OSMRE should examine more closely how different approaches—many of which involve support from federal resource agencies—provide more accurate information on ecoregions in US coal mining areas. In the context of modern mining practices and federal regulation, land classification approaches that predict land-use change and the associated economic values of different types of changes (including restoration) and that are spatially detailed enough to be ecologically meaningful and based on sound science (see, e.g., Lewis et al., 2012) are needed to improve the DEIS and Proposed Rule</p>	<p>Section 3.8.2.1 of the DEIS provides a general description of the ecological character of each coal region using the USDA-USFS Ecoregion Classification Scheme as a guide. Modifying the Ecoregion Classification scheme as recommended by the commenter would not impact the analysis. OSMRE reviewed Lewis et al., 2012, which parameterized an econometric model of land-use change to project future land use to the year 2051 at a fine spatial scale across the conterminous United States under several alternative land-use policy scenarios. Their results generally showed that alternative land use policy scenarios had little effect on future trends relative to business-as-usual. While interesting, the Lewis model was not easily available for our analysis. A note has been added to Chapter 3 that models of this type are being developed.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Federally Protected and Regulated SpeciesSection 3.8.2.2, p. 3-246OSMRE's analysis regarding which threatened and endangered species may be affected by mining operations should be included in the DEIS to afford independent verification of OSMRE's findings. The absence of this information undermines OSMRE claims that the DEIS represents a clear and transparent analysis.</p>	<p>The level of detail in the DEIS was sufficient but has been updated. The DEIS analyzed regional distributions of critical habitat, as well as the distribution of forest cover. Additional detail on species and habitats by region are contained within the Biological Assessment and Biological Opinion for the Stream Protection Rule.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>It is unclear whether the DEIS refers to all listed species in all of the coal region's states, or just listed species within the coal mining areas, which would be smaller in number...Additionally, it is unclear how recent these data are. The DEIS should delete discussions of species</p>	<p>The species discussed were determined in coordination with the U.S. Fish and Wildlife Service on area affected by the proposed rule. Appendix F of the FEIS lists the final species list used in the ESA Section 7(a) consultation for the</p>

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		throughout the southeast if not relevant to species in the coal region, itself. An impacts analysis should be limited to listed species that occur in the coal region that may actually be impacted by coal mining activities, so an additional step in this section should be to identify listed species in the coal region that may be impacted by mining activities.	SPR. The final Biological Assessment and Biological Opinion will be available on the OSMRE website.
OSM-2010-0021-0696	Murray Energy Corporation	Evaluation of Section 3.11.1 also indicates that the question of whether coal mining detracts from (or in some cases may actually contribute to) the aesthetic quality of a region varies widely from region to region, particularly with respect to the potentially divergent viewpoints of nonresidents and residents. Stakeholders will not necessarily view the aesthetic qualities of an area in the same way, and the visual impacts of mining will not necessarily be uniformly negative. The BLM visual resources management (VRM) system is briefly described and may represent a process by which the aesthetic values of manmade resources could potentially be assessed. However, no broadly applicable mechanism is suggested in this document by which the relative importance of manmade features (which may include active or historical coal mines) to the overall visual aesthetic quality of a region or location can be balanced with an assessment of natural features, while giving due consideration to the potentially sharply contrasting aesthetic values of residents and visitors.... Many of those wildlife areas were mined pre-SMCRA without federal regulations and yet are now considered pristine wildlife refuge The DEIS mentions these sites but does not give them any weight in the analysis. They constitute the major wildlife refuge areas in the Illinois Basin and thousands of visitors visit them for visual resource reasons. Enhanced reclamation ensures better long-term recreation opportunities. Riparian zones, erosion control, and better vegetation already in place under the current SMCRA and CWA rules result in in an environmental lift that outweigh any temporal effects by any reasonable measure.	Providing a broadly applicable mechanism to assess the value of manmade resources versus naturally occurring resources is outside of the scope of this effort. However, OSMRE has qualitatively evaluated the potential beneficial effects the alternatives would have on water quality, forest resources, and biological resources from proposed AOC and reforestation requirements. Better water quality and landscapes that are more representative of natural conditions for the site are environmentally preferable and improved aesthetics are assumed to also result. We do not disagree that the mentioned areas provide a valuable and aesthetically pleasing resource.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.0.3.9, p. 4-12 The "Moderate Beneficial" impacts anticipated in Appalachia and the Colorado Plateau under most of the alternatives are not defined, but certainly, many recreational activities in	The commenter is assuming that more stringent proposed revegetation requirements will negatively affect recreational activities, due to increased requirements related to approval of

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		which people who live in these areas actually participate are dependent upon the post-mining land use of mining operations (golf, hunting on land that can be traversed, elk viewing, school athletics out of flood zones, etc.).	post-mining land use. However, the proposed revegetation requirements would re-establish native vegetation, which supports local species for recreational viewing and hunting and more natural conditions for activities such as hiking, and wildlife viewing. OSMRE acknowledges that there would be an adverse impact to recreational hunting, any short-term effect to hunting would be minimal relative to the long-term benefits to many are recreational activities that are dependent on land use changes post-mining but expects that the Proposed Action would net the predicted benefits described in the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Recreation Section 4.0.3.9, pp. 4-13 to 4-19, Table 4.0-2 through Table 4.0-8 It is not clear how OSMRE determined the impact for surface mining versus underground mining, or if OSMRE prepared the tables for surface mining and simply assumed underground mining to be the same. The two should be separated, as there should and will be differences in impact between surface and underground mining. Surface mining has an immediate and direct effect on surface topography and native soils, visual resources, recreational opportunities, and biological resources. Underground mining, on the other hand, has limited visual impact and does not require relocation of native soils or vegetation.	Underground mining and surface mining are analyzed separately in the EIS with regard to changes in coal production under the SPR relative to the No Action Alternative and with regard to costs and benefits to natural resources that may accrue. These collective impacts are then used to as the basis for determinations about overall impacts to the resource categories in the tables cited by the commenter. The commenter is correct in that surface mining has an immediate and direct effect on a variety of resources. In addition to associated surface facilities, underground mining can also impact surface topography through subsidence, which can have an immediate impact on visual resources and surface topography.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-51, first paragraph Surface mining permits issued between October 2001 and June 2005 affected approximately 535 miles of streams... The majority of these "stream" miles are dry, ephemeral ditches or swales.	The comment provided no data to support the assertion that the majority of these impacts were within conveyances that did not qualify as streams, therefore no change was warranted to the EIS text. Additionally, the Corps of Engineers data provided for later years in the FEIS indicates that impacts to streams continue to occur.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-51, third paragraph "An unintended consequence of the storage function provided by sediment ponds is that the impoundment of the waters affects the timing and volume of water received	Thank you for the comment. OSMRE acknowledges that there are legitimate reasons for constructing impoundments; the benefits mentioned in the comment are among the

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		<p>downstream from the pond; peaks and lows in the hydrograph are smoothed out due to the impoundment and controlled release of the water."</p> <p>This is only one possible consequence of delayed release of flows and is generally less applicable to ephemeral streams than to larger perennial streams. Delayed discharge of peak flow can also contribute to less erosion and channel instability by reducing peak flow energies. This statement fails to recognize there can be Benefits to the impoundments, such as reduced sediment loads, increased infiltration, and increased base flows, especially in semiarid or arid environments.</p>	<p>reasons that these structures are used. However, these structures also have negative consequences such as resuspension and mobilization of sediments and associated contaminants during heavy precipitation and overflow events. No change is required in the FEIS text.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>This section describes the scientific research referenced and the procedures used by OSMRE in calculating stream impacts/Benefits using the model mines on a per ton basis and then extrapolating the results across the coal-producing regions based on total tons produced in the region. Page 4-66 includes a qualifying statement that a majority of the water quality research has been performed in the Appalachian Basin, and that the studies do not support an "explicit analysis" of the Proposed SPR's impact on downstream water quality. The section cites one particular study perform by Petty, et.al, on the Lower Cheat River in West Virginia, where it was theorized that the downstream effects from mining extend approximately 6.2 miles from the mine site. This 6.2 value is then used to calculate downstream effects for all coal regions across the U.S. apparently without any modifications to adjust for differences in topography, geology, or hydrology between the regions.</p>	<p>Please refer to Master Responses on Water Quality Benefits and Model Mines Analysis.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.3, p. 4-66, first paragraph "The analysis uses the following method to estimate the number of improved stream miles downstream of mine sites. First, the analysis incorporates findings from the scientific literature to estimate how far downstream of a mine site negative effects of coal mining persist...." Except for references to non-peer reviewed literature, OSMRE relies on one published technical study predicting water quality impacts downstream of a mine in the Appalachian Basin to draw conclusions about downstream impacts associated with mining throughout the U.S. OSMRE fails to acknowledge that the environmental conditions in the Appalachian Basin are</p>	<p>Please refer to Master Response on Water Quality Benefits</p>

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		entirely different from conditions in other mining regions such as in Wyoming and Montana, which have a much greater buffering capacity. OSMRE's approach is grossly simplistic and inappropriately generalizes an important benefit/cost consideration with respect to implementation of the Proposed Rule.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.3, p. 4-66, Table 4.2.1-6, "Petty et al., 2010" Petty et al. used extensive statistical analyses to establish an index of mining intensity, and found a negative correlation between mining and benthic invertebrates. Petty et al. only identified benthos to the familial level, and based all of their results on this high-level identification. It would be very difficult to associate every taxon in a family with a particular perturbation. For example, the family Chironomidae (Order Diptera) contains genera that are highly intolerant, as well as highly tolerant to pollution (and often specific types of pollution). This is true with many families of benthos, including mayflies, stoneflies, caddisflies (the EPT taxa index used). Tolerance values are often misleading when assigned to genera (cf. species), so decisions based on family-level identifications are questionable.	The use of family level indicators is common in biological assessments. However, the study of Petty et al., 2010, did not only consider family abundance, but also calculated a biological response for species richness within the families selected as indicators. Therefore, consideration was give not only to numbers of individuals, but also to the diversity of the biological community.
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Surface Water Resources Section 4.2.1.3, p. 4-67 Lacking site specific information on the extent of downstream water quality effects of mines, this analysis assumes, on average, that adverse effects of mining on water quality persist 6.2 miles downstream of mines for streams that cross the disturbed area of a mine site. If the model mine analysis can only be based on hypothetical assumptions and cannot be calibrated to or validated by actual mine operations, it certainly cannot be considered to be the best available science, particularly because hundreds of actual mines with actual data have been presented to OSMRE over the years. Using hypothetical information when actual data is available is not best available science or the "hard look" demanded by NEPA.	Please refer to Master Response on Model Mines Analysis.
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Surface Water Resources Section 4.2.1.3, p. 4-67, second and third paragraphs and footnote 17 OSMRE's stream crossing analysis was completed by mapping sources, predominately based on USGS high resolution National Hydrography Dataset, to estimate the	Section 4.2.13 has been revised to be more clear about the methodology used to quantify potential impacts of the Alternatives on streams. The commenter is correct that the calculations of downstream miles of stream

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		number of streams flowing in surface and underground mine permit areas in each mining region. Then, OSMRE counted the number of times that perennial and intermittent streams intersected the mine permit area and divided the result by two. Ephemeral streams were not included in the work. OSMRE did not verify this approach by comparison to representative mining areas in different states; thus, the approach is highly uncertain with respect to actual environmental conditions. Preliminary comparisons indicate that OSMRE has grossly over-estimated the number of stream crossings on mine permit lands.	likely to benefit from the Alternatives include quantified river miles only for intermittent and perennial streams. As such, to the extent that downstream ephemeral streams would benefit from reduced impairments to water quality, these benefits may be understated. This point has been clarified in the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Surface Water Resources Section 4.2.1.3, p. 4-68, Table 4.2.1-7 The 7.2 streams intercepted by the model Northern Rocky Mountains mine is not representative of mines in Montana, North Dakota, or Wyoming. It is rare for mines in this area to mine through intermittent or perennial streams, which would generally require analysis under the alluvial valley floor rules	OSMRE agrees that mining under or through intermittent or perennial streams in western mines is not as common as it is in other regions of the country. Indeed, those characteristics are exhibited in our western model mines. We conducted an analysis that intersected 17 current and historic mine locations in Wyoming and Montana with USGS intermittent and perennial stream locations. Using assumptions about the disturbed area for mines in this region from the model mines analysis, we found that an average of 7.2 streams intercept Western mine sites. However, we note that the size of the surface mine in the Northern Rocky Mountains and Great Plains Region is larger than all other regions, both in terms of disturbed acreage as well as annual coal production. When these factors are considered, we find that coal mining in the Northern Rocky and Great Plains Region affects much less stream miles for each ton of coal produced than in Appalachia (0.04 streams affected per ton of coal mined in the Northern Rocky and Great Plains Region versus 0.5 to 4.8 streams affected per ton in Appalachia) Additional information has been added to section 4.2.1 of the EIS to clarify this methodology.
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Surface Water Resources Section 4.2.1.3, p. 4-68, second paragraph "[T]he estimate of total downstream miles affected at a	This assumption is appropriate and provides for greater comparison across all regions by standardizing the assessment metric. Section 4.2.1.3 of the FEIS acknowledges that the total

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		<p>given mine implicitly assumes no downstream convergence. This assumption allows for comparison across regions that reflects the stream density of different regions. However, it is likely that for some mines, streams crossing the mine ultimately converge. In such cases, the total number of stream miles experiencing improved water quality may be overestimated."</p> <p>OSMRE's assumption of no downstream convergence grossly overestimates the number of stream miles affected, especially for regions where high-density streams are present. Despite acknowledging this limitation, OSMRE inexplicably relies upon the results to draw conclusions about downstream surface water impacts.</p>	<p>number of stream miles experiencing improved water quality may be overestimated by assuming no downstream convergence. However, it is also possible that the level of the water quality improvement may also be greater downstream of the convergence of two improved streams.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, p. 4-70, first bullet. Any Benefits of stream filling reduction will be limited to the Appalachian region. This section omits the fact that the drastic number of reduced "stream (primarily ephemeral)" filling will make most of Appalachia unmineable as a result of this rule. However, the fourth bullet point does directly acknowledge this when it says, ". . . Appalachia, the region anticipated to experience the greatest reduction in surface coal mining activity...."</p>	<p>The anticipated reduction in future coal production is related to total current production. Therefore, coal mining regions currently demonstrating higher levels of coal extraction, such as Appalachia, will experience the greater absolute reduction in coal production under the SPR.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>OSMRE fails to explain how the two tables differentiate between surface and underground mining. Table 4.2.1-9 summarizes annual stream miles not filled, but does not specify if the data include ephemeral, intermittent, and/or perennial streams. Table 4.2.1-9 shows that only four stream miles will not be filled annually compared to the current rule; the improvement relative to current regulations is unremarkable. Similarly, Table 4.2.1-10 shows a marginal improvement of 29 miles of restored ephemeral streams (under Alternative 8).</p>	<p>Table 4.2.1-9 presents the total number of perennial and intermittent streams that would not be filled relative to the No Action Alternative. The title of Table 4.2.1-9 has been updated to clearly define that the values listed represent perennial and intermittent stream miles not filled.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.4, p. 4-73, Table 4.2.1-10 The conclusions presented here on miles of stream restored compared to the No Action Alternative are based on the faulty assumption that current reclamation does not restore ephemeral streams. This is not correct, at least for western arid/semiarid mine sites.</p>	<p>It is true that our analysis of the impacts of the rule on ephemeral stream restoration requirements may underestimate the level of ephemeral stream restoration that may be occurring in Western mines under the No Action Alternative. We reviewed permits in the Western region as part of the RIA/EIS analysis, and found that found that the actual number of</p>

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			ephemeral streams that were restored was small (approximately 10 to 20 percent). Therefore, the analysis makes the conservative assumption (more likely to overstate impacts than understate them) that no efforts to restore ephemeral streams would occur in the Western region under Alternative 1 (No Action).
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.5, p. 4-82 The benefit results summarized for Alternative 8 are few in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains/Great Plains regions. Because the benefits would be minor, the purpose and need, as defined under NEPA, does not seem to support a Proposed Rule in those regions.	The benefits for Alternative 8 are actually moderate in the Colorado Plateau, Gulf Coast, and Northern Rocky Mountains/Great Plains regions. OSMRE determined that development of a comprehensive, nationally applicable, stream protection rule would be the most appropriate and effective method of achieving the purposes and requirements of SMCRA, as well as meeting the goals set forth in the June 11, 2009, Memorandum of Understanding (MOU) among the U.S. Department of the Army, the U.S. Department of the Interior, and U.S. EPA implementing the interagency action plan on Appalachian surface coal mining.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.5, p. 4-82 Four fewer stream miles are filled annually... Improved mining practices lead to improved groundwater and stream quality in 174 stream miles annually... OSMRE fails to explain the cost associated with achieving four fewer miles of filled streams and provide a sufficiently robust analysis of the costs and Benefits likely realized under current regulations and adoption of the Proposed Rule.	Please see Industry Compliance Cost analysis in Chapter 8 of the RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.6, p. 4-83 The effects of Action Alternatives on water resources are beneficial, themselves comprising minimization and mitigation measures in many cases. Thus, potential minimization and mitigation measures are not relevant to this evaluation. OSMRE fails to provide the technical foundation for its conclusion. OSMRE states that the groundwater "issue" is described in Section 4.2.1.1. However, Section 4.2.1.1 did not present any definitive information with regard to various studies linking groundwater contamination to coal mines, or differentiating between effects of groundwater by coal mining versus other extractive industries or sources. It appears that the weight of the benefit/improvement is summarily based upon the	OSMRE conducted qualitative groundwater assessment of SPR impacts. A primary metric used in these assessments is groundwater utilization in specific mining regions. As the SPR is not expected to negatively impact groundwater, OSMRE determined beneficial impacts based on regional dependence on groundwater. It is unclear why the commenter states that monitoring and tighter restrictions would not be necessary even if groundwater use is decreasing.

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		percent of residential (potable) groundwater usage and not improvements to groundwater overall. If solely based on residential groundwater use, one could argue that across most regions, the overall number and percentage of people utilizing well water for a primary drinking water source is decreasing, thereby one could make the argument that tighter restrictions and monitoring is not necessary.	
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, p. 4-89 "The abundance and diversity of macroinvertebrate species is, therefore, indicative of the relative health of a stream. For example, a stream that contains robust populations of pollution-sensitive macroinvertebrate species can be seen as healthier (i.e., less impacted by mining) than a stream dominated by pollution-tolerant species." This discussion offers unsupported generalizations -e.g., "healthier (i.e., less impacted by mining)" - and sweeping opinions that no adverse impacts in or near streams can be tolerated. OSMRE instead must recognize that the Benefits of coal production may outweigh the costs of environmental effects in certain circumstances.	The text is merely explaining that the reduced presence or absence of pollution-sensitive macroinvertebrate species indicates that a stream has been impacted, and in the context of an analysis of mining impacts that a stream with more pollution sensitive species would indicate that the stream had received fewer impacts. No change is necessary.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.2, p. 4-96, first paragraph "In summary, existing regulations under the No Action Alternative contain many mechanisms for ensuring protection of fish, wildlife, and related environmental resources but coal mining practices occurring under these regulations continues to have adverse effects on aspects of the biological, chemical, and physical environment. These adverse impacts include: fragmentation of habitats; degradation of habitat quality; exposure of biota to changed chemical conditions in aquatic environments; and permanent loss of terrestrial and aquatic habitat. Adverse impacts would continue to occur, as described above, with all mining methods and in all coal regions under the No Action Alternative."Using OSMRE's own analysis (though fraught with errors and uncertainties) the enforcement of current mining regulations would achieve a comparable level of environmental protection as the Proposed Rule.	Several aspects of the SPR will directly address the concerns expressed in the comment. Specifically, the revegetation requirements would reduce habitat fragmentation by promoting contiguous native habitat, which important for wildlife. Further, the increased monitoring will help identify contamination issues in downstream waterways. Early identification and rectification of stream contamination would prevent additional loss of downstream habitat or biota.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.2, p. 4-98 Throughout this section, OSMRE advances the concept that reforestation is a better goal than cropland or pasture for	Please refer to Master Response on Reforestation.

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		post-mining land-use in reclamation: "The Forestry Reclamation Approach." OSMRE does not provide a sufficiently detailed discussion of how near-term revegetation for erosion control can be succeeded by a native and diverse forest cover. Potential exceedances in total suspended solids (TSS) are a cause for caution in the approach to replanting trees. OSMRE should discuss this issue more fully along with cost projections for such measures.	
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.2.2, p. 4-98 "Impaired habitat conditions adversely affect the ability of a coal mining region to support particular species and may in turn negatively affect wildlife-related recreational activities, including hunting and wildlife viewing (as described in Section 4.3.3)." This section does not consider "edge effect" Benefits and does not take into account the fact that elk, wild turkeys, coyotes, etc. have all been successfully reintroduced to Appalachia on reclaimed mine sites. Wildlife viewing is actually a developing industry on reclaimed surface mine sites in Appalachia.</p>	The commenter is assuming that more stringent proposed revegetation and reforestation requirements will negatively affect recreational activities, particularly hunting. This statement suggested that disturbing and replacing habitat is better than not having disturbed the habitat at all. The proposed revegetation requirements would re-establish native vegetation, which supports local species targeted for hunting. Reforestation can take a decade or more to occur, but mountain forest landscapes are highly preferred for many recreational activities including hiking, hunting, wildlife viewing, and others. While it is uncertain that there would be an adverse impact to recreational hunting, any short-term effect to hunting would be minimal relative to the long-term Benefits to many recreational activities, including hunting, that could occur by returning the landscape to its natural state with respect to vegetation. While the edge effect is a documented feature that has been shown to enhance biodiversity, the wildlife Benefits of contiguous native habitat cannot be understated.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.2, p. 4-99, second bullet" Alternatives 2, 3, 4, 5, 7, and 8 additionally specify that the revegetation be completed using only native species unless the postmining land use is actually implemented before the end of the revegetation responsibility period." OSMRE fails to define the revegetation responsibility period.	The responsibility period corresponds to the period prior to the final bond release. Please see Chapter 2 of the EIS for additional information.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.2.2, p. 4-101, first three bullets The "benefit" attributed to the required riparian zone is not</p>	OSMRE acknowledges that there are similarities between the No Action Alternative and the Action Alternatives, including the

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		truly a benefit of the alternatives because revegetation already occurs under existing regulations. In addition, the 100- and 300-ft riparian zones cannot be realized for most ephemeral streams in the arid/semiarid West.	Preferred Alternative. The Preferred Alternative adds increased protection and restoration to perennial, intermittent, and ephemeral streams. The Preferred Alternative would require the establishment of a 100 foot or wider riparian corridor around all streams following the completion of mining. The revegetation requirements are described in detail in Chapter 2. Briefly: "The corridor must be comprised of native species, including species with riparian characteristics. The permittee must plant native trees and shrubs in areas that are forested at the time of permit application or that would revert to forest under conditions of natural succession." The ultimate goal of the SPR is to return native vegetation to mining impacted areas.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.2.3, p. 4-102, second paragraph "To estimate this acreage, the analysis uses available historical land cover data (the oldest comprehensive dataset was 1992) at sites that have since been mined. As such, Table 4.2.2-2 summarizes the land cover in 1992 that was present at mine sites that were developed after 1992 in each of the coal regions in order to understand premining land cover conditions in each region." OSMRE should explain why it uses outdated data sets, rather than more recent data, to determine the difference between baseline conditions and mine land forest conditions. As a general rule, land cover that is forested in many parts of the U.S. is decreasing, not increasing. At a minimum, the analysis should include a trend analysis addressing forestation and deforestation in mining regions. National land cover datasets are also available from 2006 and 2011.</p>	<p>The purpose of the analysis referenced in this comment is to determine the extent to which historic mine sites have required cutting forest, and ultimately reforested as part of reclamation. In order to reflect pre-mining conditions, OSMRE referenced an older version of the National Land Cover Dataset (NLCD), specifically from 1992, along with data on location of mines developed since 1992. This analysis informed an estimate of the average acreage of forest cut per ton of coal produced in each region. OSMRE agrees that coal mining is not the only source of deforestation in coal regions and therefore did not estimate impacts based on trends in forest land cover using the NLCD data over time. OSMRE assumes, however, that forests that are cut for other purposes (e.g., residential, recreational, or industrial development) are not the same sites likely to support coal mining activity in the future. Thus, OSMRE assumes that the rate at which forest was cut at mine sites in the recent past is reflective of the rate at which future mine sites will require cutting, and ultimately replanting, forest.</p>

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OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Assessing Forest Land Cover Section 4.2.2.3, p. 4-107, Table 4.2.2-3 OSMRE observes that 70% of post-mine land in the Appalachian Basin is reforested (No Action Alternative). If this is the case, OSMRE should clarify how reforestation using improved methods could be 37% for the No Action Alternative for the Appalachian Basin. There appear to be errors in OSMRE's assumptions and calculations.	To clarify, the EIS text describes that 70% of post-mine land in the Appalachian Basin is generally reforested (under the baseline No Action Alternative). However, only a fraction of the reforested area applies improved reforestation methods. The 70% and 37% estimates both represent a fraction of the total disturbed area at the mine site and are therefore not additive.
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Assessing Forest Land Cover - Methods for Assessing Preserved Forest Acres Section 4.2.2.3, p. 4-108 There are too many variables in current mining practices across the different mining regions to base analyses on "Model Mine" or "typical" scenarios.	The model mines were tailored to each region. Please see Master Response on Model Mines Analysis.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.7, p. 4-114, first bullet "Preserved and improved forests result in increased carbon storage potential, improved habitat quality, and improved conditions for recreational and aesthetic Benefits (Stephenson et. al., 2014)"The paper by Stephenson et al., was specifically about carbon storage, and data were presented in that paper to support the concept. Habitat quality and recreational opportunities were mentioned as possible side effects with no data presented in support. Forests support certain wildlife more than others. For most species of recreational interest in the eastern U.S., a habitat matrix consisting of a diversity of habitat types offers the most hunting and wildlife viewing opportunities. A mix of forests, grasslands, and shrub/scrub habitats at varying stages of succession will support the greatest number of species.	OSMRE appreciates the verification of the statement in the text. It is reasonable to assert that improved forest habitat will benefit aesthetics and recreational viewing relative to degraded or improperly restored habitat. OSMRE also acknowledges the importance that habitat diversity has on wildlife and associated recreational activities. To that end, a primary component of the SPR is the re-establishment of native habitat, such that it mimics natural plant assemblages, which undoubtedly is comprised of the habitat diversity as cited by the commenter.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.7, pp. 4-114 to 4-115 OSMRE's entire discussion of impacts to biological resources is unclear. OSMRE fails to define adequately the terms "impacts," "Benefits," and "negative effects" and often uses the terms interchangeably. The entire section should be revised to state explicitly what is meant by "beneficial" and "negative" impacts, and then those terms should be used only where applicable.	OSMRE appreciates the commenters concern regarding the definitions of the impact characterization categories. We have more clearly defined the geographic scope and duration of the impact for each resource in section 4.0.3 and in resource-specific sections of chapter 4. The expanded definitions better articulate how impacts were determined for each resource in the FEIS. Under NEPA, "impacts" and "effects" are synonymous.
OSM-2010-0021-0696	Murray Energy Corporation	442. Assessment of Quantified Impacts to Topography, Geology, and Soils Section 4.2.3.3, p. 4-141, footnote 32	Section 4.2.3.3 recognizes that the slope analysis is simplified. An analysis of the

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		<p>"This is an oversimplification because topography represents the three-dimensional arrangement of physical attributes (shape, elevation, and volume), and typically includes an analysis of aspect (direction of slope) of a land's surface and elevation. While important, aspect and elevation are more difficult to characterize across a large area and many model mines. Therefore, they were not included in this analysis." OSMRE's approach to addressing topography in the alternatives analysis is flawed. While it is indeed challenging to characterize the aspect and elevation of the land surface over a large area, GIS mapping technologies and portable imagery (including satellite imagery) has largely solved the challenge of capturing physical features over large land areas. In fact, it would appear that OSMRE will require mine operators and permit applicants to accomplish the very tasks that OSMRE claims were not done for the DEIS. The contradiction between what OSMRE will require from the mining industry after implementation of the Proposed SPR, and the methods OSMRE was willing to undertake in the DEIS are strikingly different.</p>	<p>potential impacts of the Alternatives on pre and post mining topography was conducted for relevant model mines in order to gain a general understanding of the likely benefits that may accrue. However, it is not possible to forecast the specific pre- and post mining slopes for all future mining operations across the U.S. Engineering would be conducted on a site-specific basis.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Action Alternatives and Potential Effects on Socioeconomic Conditions, Section 4.3.1.2, p. 4-185 OSMRE relies on studies that use hedonic pricing schemes to assess the impact of improved water quality on property values physically situated adjacent to bodies of water in established recreational areas. It is highly questionable whether the magnitude of improvements evidenced in the referenced studies is applicable in mining regions. OSMRE provides insufficient basis to determine if the magnitude of the Benefits of improved water quality on property values is over or underestimated.</p>	<p>Thank you for the comment. No change is required. The EIS analysis does not attempt to quantify potential changes in property value associated with improved water quality because such an analysis would require a much greater degree of detail about mine locations and site-specific variables than is possible to achieve in a nationwide-scale analysis. Instead the EIS cites literature that relates property values to characteristics that lend to higher aesthetic value, such as improved water clarity. This degree of detail is appropriate given that the Proposed Action is unlikely to have substantial impacts to property values, positive or negative.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Land Use, Utilities, Infrastructure, Visual Resources, and Noise Section 4.3.2, p. 4-242 This section is meant to "describe the method for assessing the expected magnitude of impact of the Action Alternatives on these resources." OSMRE provides no discussion of how or why this group of disparate resources, with their very different attributes and socioeconomic and environmental aspects, can logically be</p>	<p>The analysis considers Land Use, Utilities, Infrastructure, Visual Resources and Noise in aggregate because associated impacts are primarily anticipated to result indirectly from changes in the volume of coal mined (as stated in EIS Section 4.3.2). Changes in the volume of coal mined as a result of the SPR are</p>

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		assessed in aggregate. Further examination of the discussions of land use, utilities, infrastructure, visual resources, and noise within section 4.3.2.5 does not provide a clear presentation of the methodology actually used to assess the expected magnitude of impacts the Action Alternatives. The discussions for infrastructure, visual resources, and noise in particular include only observations or general assumptions, and no actual description of the methodology for assessing the impact and assigning the impact characterization labels defined in Table 4.0-1 (DEIS, at 4-8).	anticipated to be negative, i.e., less coal will be produced. This results in a reduction in adverse effects than would have occurred under the No Action Alternative. Additionally, the rationale for impact determinations by Action Alternative and coal region is presented in Table 4.3.2-3. Specific impacts on these resources are anticipated to range from negligible to moderately beneficial, depending on the region and alternative.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.1, p. 4-244 As noted in Sections 3.11.1 and 3.11.2.2 of the DEIS, visual resources are defined as including man-made components. This presents a conflict with the assumption made in Section 4.3.2.1 that "the public would prefer that natural pre-mining conditions be reproduced during reclamation." Actual stakeholder preferences are more likely to be highly variable depending on location and the mixture of residents and visitors in the shareholder population. OSMRE should not assume that reclamation to pre-mining conditions is the dominant stakeholder value in all locations affected by the Proposed Rule.	The text in 3.11.1 acknowledges that man-made features can be aesthetically appealing visual resources. For example man-made structures that are part of the historic context of a site would be visually appealing to most people, as would a mine site reclaimed to a ball field. The value of a visual resource is highly dependent on the individual perceiving it. However, for the purposes of assessing the impacts of a regulatory program such as SMCRA on a regional or nationwide basis it is reasonable to assume that the majority of people choosing to reside in the rural landscapes where coal mining is typically conducted would prefer reclamation to conditions that fit in with the surrounding natural landscape, over reclamation to site conditions that show a high degree of disturbance.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.5, p. 4-248, last paragraph "Stream definitions are central to the water quality protection objectives of the Action Alternatives. The No Action Alternative enumerates the elements used to define a general stream as well as an intermittent stream. Retention of the current stream definitions is anticipated to continue current mining effects on land use, utilities, infrastructure, visual resources, and noise. Changes in stream definitions associated with some of the Action Alternatives are expected to have an indirect effect on the respective resources." OSMRE does not measure or identify indirect effects as positive or negative. OSMRE, in fact,	OSMRE appreciates the commenter's concern related to the indirect effect associated with the Action Alternatives. Given the difficulty in quantifying indirect effects of the SPR, these impacts can and should only be discussed from a qualitative perspective. Several examples of indirect effects associated with various SPR elements are discussed in section 4.3.2.2.

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		does not quantify any indirect effects of the Proposed Rule or the different action alternatives.	
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's approach to recognizing Benefits and impacts associated with mining is fundamentally flawed. The presumption that mining restoration by its nature will automatically reduce impacts to agriculture and residential/commercial development implies that mining itself must have a negative impact, regardless of whether the impact is demonstrable. The presumption of negative impacts is prevalent throughout the DEIS without supporting information. By this approach, OSMRE claims Benefits for mine restoration or changes to mining practices relative to impacts that OSMRE has failed to demonstrate or quantify. This grossly subjective approach is contrary to the fundamental practices prescribed in guidance for conducting an impact assessment (see e.g., Canter et al. 1996; U.S. EPA 2015).	Section 4.2.1.1 of the EIS summarizes some of the scientific literature that documents negative impacts of coal mining on water quality and biological resources downstream of mining activities (e.g., Dills and Rogers, 1970; Wangsness et al., 1981; Zuehls et al., 1984; Powell, 1988; Howard et al., 2001; Stauffer and Ferreri, 2002; Bryant et al., 2002; Hartman et al., 2005; Pond et al., 2008; U.S. EPA, 2011; Herlihy et al., 1990; Presser, 2013; Presser and Luoma, 2010). Impacts to these resources have been shown to persist despite current regulations (e.g., Paybins et al, 2000). Water quality can be improved through additional reclamation efforts such as establishment of riparian buffers which are articulated in the Alternatives. In addition, the Alternatives are anticipated to reduce the volume of coal that is mined, which would benefit water quality.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.3.1, p. 4-262 In this section, OSMRE includes predictions on how different reclamation techniques affect recreation opportunities such as hiking, wildlife viewing, hunting, and ATV riding. OSMRE claims the No Action Alternative will lead to a reduction in these opportunities, including a reduction in hunting opportunities because there will be less "wildlife," fewer visual attractions, and less desirable ground surfaces for recreation. The absence of objective information supporting these claims undermines the soundness of these assertions. OSMRE should clarify how it arrives at conclusions that the No Action Alternative is less desirable than other options.	Implementation of the SPR under any of the alternatives considered would bring about additional protection of the environment on which recreation activities depend. In comparison to the No Action alternative certain recreational activities would benefit from a healthier ecosystem, especially those activities that are associated with direct enjoyment of natural resources as a function of the productivity of the land and water. OSMRE acknowledges that the SPR is unlikely to positively impact every recreational activity, for example it is unlikely that ATV riding would be affected with the exception possibly of additional forested areas in which to ride.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.3.1, p. 4-263, third paragraph "While many people may choose to recreate on private resources, data on privately owned recreational resources are sparse and are not included in this analysis." The failure to properly address the private lands recreation issue due to alleged lack of data shows that OSMRE did not	OMSRE agrees that nature-based tourism, wildlife viewing, and hunting can contribute significantly to local and regional economies. Some of these activities occur on private lands. Elements of the SPR seek to reduce the short and long term adverse impacts of coal mining on

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		<p>take a hard look in its analysis as required by NEPA. Many state wildlife agencies in coal-producing states collect very good data on hunter harvest of deer, elk, bears, and turkeys on public and private lands. These same state wildlife agencies have formal hunter access agreements with large corporate landowners in coal-producing counties. As coal production declines in some areas, counties and states are actively trying to find new economic activity and drivers. Many people believe that nature-based tourism, wildlife viewing, and hunting can help provide that economic stimulus.</p>	<p>the natural landscape. Thus, recreational opportunities on or near reclaimed mine lands could be enhanced following the SPR implementation, including on private lands. Unfortunately, the data on recreational visitation to private lands are inconsistent on a national scale, and do not provide sufficient data to understand the extent and quality of private land habitats and how these characteristics could be affected by the alternatives considered. The metrics captured in hunter harvest data (e.g. hunter success rates, days of effort per harvested animal, total days of effort, number of licenses sold) present a valuable snapshot of historic and current hunter activity. However this data provides no additional insight on expectations for future management of private lands or expectations of future hunter harvests with or without implementation of any particular alternative. Management of public lands is more predictable and subject to overarching goals that are established under public review. Nonetheless, following the public comment period, OSMRE has revised the recreation analysis to incorporate a data set that is inclusive of protected private lands in addition to public lands. In addition, we have reframed the analysis to focus on presenting the total state and regional levels of fishing, hunting, and wildlife viewing activity rather than attempting to allocate it to the study area.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.3.1, p. 4-264 OSMRE uses the metric of public lands acreage as the basis for wildlife recreation. One major flaw in this approach and analysis is that in the eastern U.S., no mining occurs on public land, while most mining in the western U.S. is on public land. Thus, any assumptions based on this metric will lead to an inaccurate conclusion.</p>	<p>OSMRE agrees that using public lands as a proxy for understanding recreation levels is imperfect. Following the public comment period, OSMRE has revised the recreation analysis to incorporate a data set that is inclusive of protected private lands in addition to public lands. In addition, we have reframed the analysis to focus on presenting the total state and regional levels of fishing, hunting, and wildlife viewing activity rather than attempting</p>

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			to allocate it to the study area.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.3.2, p. 4-276, second paragraph "The absence of a reforestation requirement in the No Action Alternative may be the most pronounced of these conditions in contributing to adverse impacts on recreational opportunities." Whether reforestation is advisable for a particular mine site depends on the habitat characteristics of the landscape surrounding the mine site and which wildlife species are the focus for habitat restoration and for providing recreational opportunities. OSMRE has unilaterally determined that only forest-dependent species are valuable for recreation, while neglecting grassland-related species and habitat.</p>	<p>No change is required. The discussion that the comment is referring to provides no absolutes on the value of reforested areas for recreation versus previously forested areas that have been converted to some other land use that is non-forested. The discussion qualifies the statements presented such that it is clear that other variables may affect the degree of impact on recreation. See the discussion in Chapter 4 that acknowledges that changes in vegetation and habitats may actually facilitate the return of a species that is appealing for hunting and viewing.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.3.3, pp. 4-278 to 4-279 "In the short term, reducing the availability of habitat through mining activities may result in a greater concentration of wildlife in adjacent hunting areas. The scale of the anthropogenic disruption associated with a coal mine, however, would also be expected to disrupt nearby wildlife, leading to a longer-term reduction in the abundance of animals for hunting. This is especially true for surface mines, which generally pose a greater disruption to terrestrial habitat." Hunting statistics in states where mining occurs, and particularly over the past 30 years in Appalachia, indicate hunter harvest of deer and other wildlife game species have increased substantially (see for example Campbell et al. 2005, Larkin et al 2003). OSMRE fails to reconcile available state data and published studies with claims that reclamation has failed to attract and support wildlife.</p>	<p>We have reframed the analysis to focus on presenting the total state and regional levels of fishing, hunting, and wildlife viewing activity. Please refer to Master Response on Reforestation for additional details.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.3.3, p. 4-279 By its own admission, and fatally, OSMRE offers no substantive information upon which to make judgments about wildlife populations and recreation (hunting) associated with each of the DEIS alternatives, and thus cannot provide a valid analysis. The absence of objective information supporting OSMRE's claims undermines the soundness of its assertions. OSMRE must clarify how it arrives at conclusions that the No Action Alternative is less desirable than other options.</p>	<p>Please refer to Master Response on Reforestation.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.5.3.4, pp. 4-357 to 4-358 Beneficial implications are claimed for air quality due to</p>	<p>Although the EIS discusses air quality Benefits that may accrue through increased carbon</p>

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		the potential to increase carbon sequestration due to reforestation and riparian corridor requirements of all action alternatives except for Alternative 9. This seems unlikely to make any measurable difference in the amount of carbon in the atmosphere.	sequestration associated with increased reforestation efforts, these are not quantified in the analysis. The FEIS quantifies potential air quality Benefits associated with the anticipated reduction in coal production and subsequent combustion of an estimated 0.2% of projected coal production.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.3.8, p. 4-361 [A]ll Action Alternatives are expected to have Negligible impacts on archaeology, paleontology, and cultural resources on both the regional and national level. In reality, mining projects often bring to light such resources through the mandated studies which must be conducted prior to any mining-related surface disturbance in areas that may contain such resources. Without mining, many of these resources would likely not be discovered, studied, and catalogued, and many would be lost to the ravages of time prior to knowledgeable experts having the chance to observe them.	The commenter is correct in that mining projects often bring to light archaeological, paleontological, or cultural resources through the mandated studies which must be conducted prior to any mining-related surface disturbance in areas that may contain such resources. Because the SPR is expected to have a small impact on coal production, there will likely not be a realizable benefit to archaeology, paleontology, and cultural resources relative to the current practices of the No Action Alternative. Therefore, Benefits to this resource are expected to be small or Negligible.
OSM-2010-0021-0068	Earthjustice	OSMRE admits that “the Action Alternatives could influence coal use at power plants and thereby affect the emission of greenhouse gases and associated social costs.” DEIS 4 175. But OSMRE declines to estimate or quantify the impacts, claiming that the task would be “complex.” Id. OSMRE’s failure even to attempt to characterize the differential climate impacts of its alternatives violates NEPA.	Thank you for your comment. In response, an analysis of the potential impacts of the SPR on greenhouse gas emissions and the associated social costs of carbon is now included in Chapter 7 of the Final RIA and Chapter 4 of the FEIS.
OSM-2010-0021-0068	Earthjustice	As part of its consideration of a rule that will govern the circumstances under which hundreds of millions of tons of coal may be mined for combustion, and certainly before rejecting more environmentally protective alternatives, OSMRE must inform the public and decisionmakers of the dramatic reductions in greenhouse gases that are required to avert global catastrophe.	Thank you for your comment. In response, an analysis of the potential impacts of the SPR on greenhouse gas emissions and the associated social costs of carbon is now included in Chapter 7 of the Final RIA and Chapter 4 of the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Air quality also will likely be degraded as a result of additional use of light-duty and heavy-duty equipment and the resulting increase in exhaust and fugitive dust.	In Chapter 7 of the Final RIA and Chapter 4 of the FEIS of the EIS, OSMRE recognizes that in addition to the air quality Benefits associated with reduced mining activities, there may some increase in greenhouse gas emissions from exhaust and fugitive dust from the use of light-duty and heavy-duty equipment used in mitigation.

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OSM-2010-0021-0696	Murray Energy Corporation	<p>Scope of the Proposed Stream Protection Rule Section ES.3, p. ES-7</p> <p>"First, while ephemeral streams derive their flow from surface runoff from precipitation events, perennial and intermittent streams derive their flow from both groundwater discharges and surface runoff from precipitation events. Therefore, there is a need to clearly define the point at which adverse mining-related impacts on both groundwater and surface water reach an unacceptable level; that is, the point at which adverse impacts from mining cause material damage to the hydrologic balance outside the permit area."</p> <p>This rationale is not consistent and is an indication of the difficulties posed in trying to provide a purported "scientific rationale" for the Proposed Rule. While the statement regarding sources of water flow in ephemeral, intermittent, and perennial streams is accurate, it is irrelevant to the "need to clearly define . . . adverse mining-related impacts." Quantifiable metrics related to substantive adverse impacts on the hydrologic balance with respect to surface waters not being able to support pre-mining uses are needed, but the source of flow in the various stream types is irrelevant to this need. The rationale is additionally flawed given that, except for potential subsidence in shallow mining systems, underground mining would have no impact on ephemeral streams.</p>	<p>The text has been simplified to address the comment and remove the inconsistency (see Executive Summary).</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>OSRME's monitoring requirements appear to target at least tier 2 surface water conditions, which in some cases are not compatible with prior baseline conditions or achievable for ephemeral streams and many intermittent streams. Water quality and baseline conditions are established early in the mine permit process, wherein NPDES permits must go through an antidegradation analysis, either through an individual permit analysis or a general permit analysis. The DEIS ignores this procedure and would align restoration goals and benchmarks with the broad tier 2 "high quality" criteria, rather than actual, site-specific baseline conditions.</p>	<p>See the final rule preamble for information on the intent of the proposed monitoring requirements as well as the incorporation of anti-degradation concepts into the definition of material damage to the hydrologic balance outside the permit area.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Adding monitoring requirements for major ions, ammonia, nitrogen and other compounds will not improve water quality as claimed in the DEIS.</p>	<p>In the final version of the Preferred Alternative we have deleted the six parameters (ammonia, arsenic, cadmium, copper, nitrogen, zinc) that we had added the Preferred Alternative at U.S.</p>

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			EPA's request. Our research found that those parameters have little or no nexus to coal mining. Instead, they appear to relate to placement of coal combustion residues in mines, which is the subject of a separate rulemaking.
OSM-2010-0021-0696	Murray Energy Corporation	Section ES.5, p. ES-22, first bullet at top "The total volume of flow during any season of the year would not vary; i.e., the seasonal flow regime would not change and there would be no increase in potential damage from flooding." The above statement is nonsensical and requires clarification.	Edits made to clarify that the text was referring to no change from premining conditions and not between seasons of the year. See Executive Summary.
OSM-2010-0021-0696	Murray Energy Corporation	Section 1.0.3.1, p. 1-7 U.S. EPA, Mountaintop Mining/Valley Fills in Appalachia, Draft Programmatic Environmental Impact Statement (MTM-VF DPEIS), U.S. EPA 9-03-R- 00013, U.S. EPA Region 3, June 2003 and Final Programmatic Environmental Impact Statement (MTM-VF FPEIS), October 2005. At the time of its release, experts raised several concerns, criticisms, and deficiencies regarding this document and its supporting analyses....Regulators and the regulated community still disagree concerning the severity of impairment from mining, as demonstrated by a new study, commissioned by OSMRE and U.S. EPA, by Pond et al. (2014). Similarly, Palmer and Bernhardt (2009) observed similar unresolved issues regarding impacts and mitigation options in U.S. EPA's 2005 programmatic EIS. OSMRE has not corrected these issues and deficiencies, nor has OSMRE addressed these criticisms in the DEIS. Therefore, OSMRE's reliance on this debated and potentially flawed	No change required to the text or the analysis. The use of the 2005 U.S. EPA, Mountaintop Mining/Valley Fills in Appalachia, Draft Programmatic Environmental Impact Statement (MTM-VF DPEIS), U.S. EPA 9-03-R- 00013, is very limited. In regard to the topic of downstream effects the 2015 OSMRE DEIS for the SPR cites the 2005 MTM- VF DPEIS one time only (see page 4-90 of the DEIS), within a sentence describing the role of macroinvertebrates as a food source and as indicators of stream degradation. The section provides numerous other scientific studies to discuss the topics of the effects of water quality degradation on aquatic biota as a measure of the severity of degradation. See the discussion of "Documented Impacts Related to Activities in or Near Streams" within Section 4.2.2.1.
OSM-2010-0021-0696	Murray Energy Corporation	Further, the DEIS and Proposed Rule fail to capture the scientific data on the success associated with stream and environmental protection and reclamation.	Thank you for your comment. OSMRE has presented the state of the known science regarding stream restoration successes and challenges in the DEIS and the preamble to the proposed rule. Additionally OSMRE reviewed the table of studies provided in conjunction with these comments, titled "Literature Discussing Positive Impacts of Mining, Which OSMRE Failed to Cite in the DEIS." While two of the more than 50 sources listed in the appendix provide some indication of the positive effects

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			on the biological community of treating AMD, and two sources document recovery of stream flow and topography, the references provided largely communicate changes and updates to existing practices.
OSM-2010-0021-0696	Murray Energy Corporation	Need for the Regulatory ImprovementsSection 1.1.1, p. 1-12OSMRE also does not accurately or fairly portray the mining industry's approach to stream reconstruction. According to OSMRE, stream reconstruction has been historically focused on minimizing channel erosion and sediment loading, with little regard given to enhancement of fish, wildlife, and related environmental values. However, the restoration of streams to sustainable recreational and ecological condition is a stated goal of reclamation work in the mining sector (see www.NMA.org).	While stream restoration to sustainable recreational and ecological function may be a stated goal of the National Mining Association, studies have documented that mining impacts to streams outweigh mitigation (see: Palmer and Hondula, 2010, and Bernhardt and Palmer, 2011).
OSM-2010-0021-0696	Murray Energy Corporation	Section 1.1.2, p. 1-13 "As discussed previously, studies indicate that environmental degradation is still occurring despite the current requirements within the implementing regulations of SMCRA." Pfannenstiel and Wendt (2002) cite 15 scientific studies published since the implementation of SMCRA that reflect advancements from research, application of new and improved technologies, mine-specific experience, and innovation that have reduced negative impacts on streams, fish, and wildlife. The mining industry's success is evident in the tens of thousands of stable and productive reclaimed acres where postmine land uses have already been implemented. In fact, Angel et al. (2005) observed in a December 2005 Forest Reclamation Advisory prepared by the ARRI: "SMCRA improved the surface mine landforms by increasing stability, improving water quality, and enhancing human safety in the Appalachian region, compared to the results of pre-SMCRA mining." See also Appendix A, attached (providing a table of literature discussing the positive impacts of mining, which OSMRE failed to cite in the DEIS).	The text in question is not claiming that there have not been advances made in reclamation science. Further, OSMRE acknowledges the progress made toward better reclamation practices since the inception of SMCRA. However, the commenter is stating that there are no disturbances to land and resources given improvements in technology, which is not the case. A simple analysis of the references provided in Appendix A reveals that impacts related to mining still occur despite the current state of reclamation science.
OSM-2010-0021-0696	Murray Energy Corporation	Need to Apply Current Information, Technology, and MethodsSection 1.1.4, p. 1-16"OSMRE experience over the past thirty years indicates that extensive herbaceous ground cover on reclaimed areas can inhibit the establishment and growth of trees and shrubs. The dense herbaceous ground	The text is clear and is not oversimplified. The text acknowledges the use of these ground covers for the control of erosion and in no way discounts the importance of erosion control, but continues on in the segment captured in the

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		covers often used to control erosion compete with newly planted trees and tree seedlings for soil nutrients, water, and sunlight, and provide habitat for rodents and other animals that damage tree seedlings and young trees."OSMRE grossly over simplifies this issue and mischaracterizes an important consideration in reclamation planning. While herbaceous ground cover can inhibit the growth of trees and shrubs in some landscapes and ecoregions, the trade-off between ground vegetation that secures soil in place and minimizes soil runoff in the short-term is always examined relative to the long-term value of promoting a landscape of trees and shrubs.	comment to explain that the choice of ground covers is important to prevent the ground covers from out-competing other desired vegetation.
OSM-2010-0021-0696	Murray Energy Corporation	Activities in or Near Streams Section 2.4.4.2, p. 2-25 "Alternative 4 defines perennial, intermittent, and ephemeral streams in terms of flow regime, channel and substrate characteristics, and the biological community, if any, found in the stream. The definition of an intermittent stream would no longer include the one-square-mile watershed criterion." OSMRE fails to explain how the "flow regime, channel and substrate characteristics, and the biological community" would be used to differentiate between perennial, intermittent, and ephemeral streams.	See the preamble for section 701.5 of the rule for discussion of the definitions of streams as ephemeral, intermittent or perennial.
OSM-2010-0021-0696	Murray Energy Corporation	Activities in or Near Streams Section 2.4.7.2, p. 2-32, second paragraph "Same as the No Action Alternative, except that Alternative 7 would remove the one square-mile criterion in the existing definition of an intermittent stream. Alternative 7 would require coordination with the Clean Water Act authority on defining stream flow condition. Both the permit applicant and the regulatory authority must seek input from the Clean Water Act Authority for all new applications, and incorporate where applicable all CWA authority concerns and criteria."Although the removal of the one-square-mile criterion from the definition of an intermittent stream is reasonable, stream types should be tied to flow source and the presence/absence of beneficial uses, as defined under the CWA. OSMRE should refine these definitions.	See the preamble for section 701.5 of the rule for discussion of the definitions of streams as ephemeral, intermittent or perennial.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.4.8.2, p. 2-34, last paragraph "Alternative 8 (Preferred) would redefine "perennial stream " in a manner that is substantively identical to the manner	Definitions for each stream type under the final version of the Preferred Alternative have been added. See Section 2.4.8.2 of the FEIS.

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		<p>in which the USACE defines that term in Part F of the 2012 reissuance of the nationwide permits under section 404 of the Clean Water Act. See 77 FR 10184, 10288 (Feb. 21, 2012[.])"</p> <p>The cited document defines perennial stream: "A perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow." The DEIS and Proposed Rule should include the language of this definition directly and not require cross-reference to another document.</p>	
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS suggests that intermittent and ephemeral streams would not be defined. Because the allowable actions in and around streams also related to intermittent streams, OSMRE should provide a definition for intermittent. The definitions in 77 Fed. Reg. 10,184, 10,288 should be included in the definitions for Alternative 8.	For additional clarity the FEIS text has been revised to include the definitions for ephemeral and intermittent streams as included within the final rule. See Section 2.4.8.2.
OSM-2010-0021-0696	Murray Energy Corporation	Groundwater Usage OverviewSection 3.5.2.2, p. 3-131The use of groundwater as a beneficial resource is not disputed. The types of beneficial uses, however, can vary among states. OSMRE appears to suggest that all groundwater provides all of the listed beneficial uses. This is simply not the case. The availability of groundwater for a particular beneficial use, such as drinking, largely depends on whether the groundwater meets the applicable state and/or local water quality and water quantity standards....OSMRE must show flexibility in its definition of beneficial use relative to the state and region in which the mine is operating.	The text is merely listing groundwater beneficial uses and not implying that each use is relevant to all areas; not every area for example is urban.
OSM-2010-0021-0696	Murray Energy Corporation	233. Federally Protected and Regulated Species Section 3.8.2.2, p. 3-246 References to Appendix F Critical Habitat Overlap with Coal Regions are inadequate for identifying species' critical habitat for a specific region because the appendix is a summary for all regions collectively. OSMRE should separate the appendix into listed species by cover type by region.	The level of detail in the DEIS was sufficient but has been updated. The DEIS analyzed regional distributions of critical habitat, as well as the distribution of forest cover. Additional detail on species and habitats by region are contained within the Biological Assessment and Biological Opinion for the Stream Protection Rule.
OSM-2010-0021-0696	Murray Energy Corporation	Federally Protected and Regulated Species Section 3.8.2.2, p. 3-246 In fact, abandoned (or exhausted) coal mines may potentially mitigate impacts by providing new (or	The comment is accurate but requires no change to the text of the EIS.

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		additional) winter or roosting habitat for a variety of bat species, including Indiana bats (U.S. FWS et al., 2009).	
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.2.2.2, p. 4-98</i> <i>The loss of forest and other habitat at mine locations under the No Action Alternative has a direct adverse effect on wildlife by reducing the total quantity of available habitat, as well as an indirect effect through habitat fragmentation. Impaired habitat conditions adversely affect the ability of a coal mining region to support particular species and may in turn negatively affect wildlife-related recreational activities, including hunting and wildlife viewing (as described in Section 4.3.3).</i> This statement is incorrect. Without the surface mining and reclamation that has occurred since 1977, many wildlife populations in Appalachia would be much lower than they are now.</p>	The commenter is implying that mining and reclamation somehow provides more wildlife Benefits than if no mining has occurred at all. Prior to coal mining, fauna such as elk, white-tailed deer, and wild turkey were prolific in natural habitat mosaics. The use of early successional habitat by the cited fauna is simply an adaptation to fragmented habitat.
OSM-2010-0021-0696	Murray Energy Corporation	The Action Alternatives contain elements that would improve the quality and/or quantity of habitat within a permit boundary, increasing wildlife species richness and abundance within the permit boundary and on adjacent lands. These Benefits to wildlife species may improve wildlife related recreational experiences in the coal regions, as described in Section 4.3.3. It is unclear why OSMRE asserts authority for determining wildlife conservation objectives on public and privately owned lands. When it pertains to private land, the habitat type and vegetation on a mine site after the life of the mine permit and reclamation bond is beyond the authority and responsibilities of OSMRE and the states. The rights of the private property owner must be protected and respected.	No change is required in response to this comment. Nothing described in the EIS or the rule would alter private landowner rights after the release of the bond.
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.2.4, pp. 4-156 to 4-157</i> <i>OSMRE is limited in its ability to regulate air quality. Air emissions permits for coal mines fall under the authority of the Clean Air Act (CAA) and are not issued under SMCRA. The decision discussed in In re Permanent Surface Min. Regulation Litig. I, Round II, 1980 U.S. Dist. LEXIS 17660 at *43-44 (D.D.C., May 16, 1980), 19 Env't Rep. Cas. (BNA) 1477, clarifies that OSMRE does not have jurisdiction over industrial emissions, and that its jurisdiction is limited to air pollution attendant to wind and water erosion (e.g., exposing soil to wind causing particulates to become airborne). The decision clarifies that all other mining-</i></p>	OSMRE does not regulate air emissions, nor does it propose to do so under the SPR. However, NEPA analysis is not limited to assessment of impacts to resources that are regulated by the issuing agency. As stated in the Section 4.2.4 of the EIS, the discussion examines air quality as a resource within the human environment, focusing on the specific components that coal mining operations can influence.

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		<p><i>related emissions are generally regulated under the CAA and not SMCRA. The following discussion examines air quality as a resource within the human environment, focusing on the specific components that coal mining operations can influence, and does not limit the discussion to what OSMRE is specifically authorized to regulate (i.e., erosion related air pollution). This provides the required basis (40 CFR 1502.16) for a scientific and analytic comparison between the Alternatives.</i></p> <p>After specifically describing the agency's limited authority under SMCRA to regulate air quality, OSMRE nonetheless continues on to discuss emissions as part of the analytic comparison between alternatives, rather than limiting its discussion to what OSMRE is authorized to regulate.</p>	
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.2.4.3, p. 4-167 U.S. EPA estimates that methane emissions from surface coal mining are twice that of the in situ methane content of the mined coal. In discussing the amount of methane (a greenhouse gas) that is released by surface mining, OSMRE suggests that all of the in situ methane will be released. This has to be a rarely reached upper bound, as some of the methane will ship with the coal to provide energy when it is burned.</i></p>	<p>The analysis of GHG emissions in the FEIS is updated in Section 4.2.4. The estimated change in methane emissions reflect fugitive emissions from field production of coal (and substitute natural gas), emissions from vehicle and equipment use, and transportation and storage. The emissions profiles of these activities are from the U.S. EPA's <i>2016 Inventory of U.S. Greenhouse Gas Emissions and Sinks</i>.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.2.4.4, p. 4-171</i> <i>None of the rule elements directly reduces or changes blasting practices.</i> While none of the rule directly relates to changes in blasting practices, changes to blasting practices may occur due to indirect pressure and future fume regulations.</p>	<p>Thank you for the comment. The SPR is not materially modifying blasting regulations.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.2.5, p. 4-250, first paragraph</i> <i>Under the No Action Alternative, the most visible impact of AOC variances would be the continued limited creation of flat or gently rolling terrain in areas that previously contained primarily steep slopes. More moderate slopes also may reduce surface runoff because of higher infiltration rates. Alternative 2 would prohibit all AOC variances and would likely require amendment of SMCRA. Alternatives 3, 4, 5, and 8 (Preferred) likely would result in the approval of fewer operations with AOC variances. Therefore, Alternatives 2, 3, 4, 5, and 8 (Preferred) should</i></p>	<p>It is true that the Preferred Alternative has the same definition of AOC as the No Action Alternative, and that the Preferred Alternative allows mountaintop removal mining operations and AOC variances for steep-slope mining operations under conditions generally similar to those of the No Action Alternative. However, the Preferred Alternative requires permittees to comply with additional requirements that include using native species, reducing the amount of exposed excess spoil fills, and</p>

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		<p><i>result in fewer permanent visual effects than would be expected under the No Action Alternative. Alternatives 6, 7, and 9 are similar to the No Action Alternative in terms of AOC variances and, thus, would have similar impacts.</i></p> <p>OSMRE provides no evidence that the Preferred Alternative will result in anything different from the current regulations with respect to AOC variances.</p>	<p>ensuring that no damage is done to natural watercourses within the watershed. OSMRE's analysis reflects the differences between the No Action Alternative and the Preferred Alternative. Mining results in reduced vegetation, altered topography, establishment of non-native species, and greatly disturbed landscapes overall. These alterations do in fact have the potential to impact visual resources.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.3.1, p. 4-268, first bullet</i></p> <p><i>Private lands supporting mining currently or in the future may also provide recreational opportunities. While not accounted for in the analysis of recreational land, recreation on private land could be affected by the Action Alternatives.</i> In the coal-producing counties in Central Appalachia, the best hunting for deer, turkeys, elk, rabbits, quail, doves, and even waterfowl is generally on or adjacent to reclaimed mine sites. OSMRE states that "recreation on private land could be affected," but it will no doubt be affected, negatively, by the action alternatives.</p>	<p>The aspects of the SPR that could impact hunting are 1) revegetation, topsoil management, and reforestation and 2) wildlife protection and enhancement. The commenter is assuming that more stringent proposed revegetation and reforestation requirements will negatively affect recreational activities, particularly hunting. However, the proposed revegetation requirements would re-establish native vegetation, which supports local species targeted for hunting. Reforestation can take a decade or more to occur, but mountain forest landscapes are highly preferred for many recreational activities including hiking, hunting, wildlife viewing, and others. While it is uncertain that there would be an adverse impact to recreational hunting, any short-term effect to hunting would be minimal relative to the long-term Benefits to many recreational activities, including hunting, that could occur by returning the landscape to its natural state with respect to vegetation.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Analytic Methods for Surface Water Resources Section 4.2.1.3, pp. 4-66 to 4-67</p> <p>OSMRE's assumptions regarding the geographic extent of downstream mining effects is based on one study conducted in one region of the country, and is not representative of conditions in other coal mining regions. OSMRE fails to provide supporting data to assert that every mining operation across the U.S. behaves similarly.</p>	<p>Please refer to Master Response on Water Quality Benefits for a discussion of the methodology used to calculate benefits to downstream water quality. This discussion clarifies the methodology used to account for regional differences.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.3, p. 4-67</p> <p>In absence of additional studies estimating the geographic extent of downstream effects from mining in other coal</p>	<p>Section 4.2.1 has been clarified to better articulate assumptions in the EIS. Please also refer to Master Response on Water Quality</p>

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		mining regions, OSMRE applies findings from Appalachia to other coalmining regions. Consequently, it is difficult to determine if OSMRE's analysis over- or underestimates affected stream length. OSMRE's analysis assumes that adverse effects on water quality persist 6.2 miles downstream of mines for streams that cross the disturbed mine site. Despite acknowledging that the assumption for the analysis can vary widely, OSMRE inexplicably relies upon the results to draw conclusions about downstream effects.	Benefits for a discussion of the methodology used in the FEIS, and the particular application of the study from which the 6.2 mile assumption is based. The analysis therefore estimates the benefits of the rule using the 6.2-mile downstream distance as an indicator and planning tool to allow comparison between alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, pp. 4-70 to 4-71, fourth bullet "The vast majority of preserved stream miles occur in Appalachia, the region anticipated to experience the greatest reduction in surface coal mining activity under the Action Alternatives." OSMRE's conclusion is not surprising because the vast majority of the analysis was based on Appalachian Basin data. The results have little relevance to coal mining activity in regions other than the Appalachian Basin.	Please refer to Master Responses on Model Mines Analysis and Water Quality Benefits.
OSM-2010-0021-0696	Murray Energy Corporation	Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, pp. 4-71 to 4-72, third bullet The 2005 Mountaintop Mining EIS (U.S. EPA, 2005) also included a study that estimated impacts of mountaintop mining and valley fills between 1992 and 2002 of 1,200 stream miles (equating to approximately 110 per year), out of 58,998 streams in the study area. As with the previous study, this study also used GIS modeling of "synthetic streams" (in that they were not generated from existing maps, but instead were created by assuming that 30-acre areas generate a stream, which was not ground trothed) to estimate potential impacts. The studies referenced by OSMRE are two to three or more decades old. OSMRE fails to rely on recent information to assess the impact of the Proposed Rule, which it must do as a necessary predicate for demonstrating the necessity for the Proposed SPR.	Please refer to Master Response on Water Quality Benefits and Model Mines Analysis.
OSM-2010-0021-0696	Murray Energy Corporation	Potential Minimization and Mitigation Measures Section 4.2.1.6, p. 4-83 It remains unclear how OSMRE's evaluation demonstrates the magnitude to which groundwater quality will be improved by the Proposed Rule.	Direct Benefits to groundwater were not directly quantified, but related to the demand for groundwater resources in mining regions. The text has been reworded to remove quantitative connotation.
OSM-2010-0021-0696	Murray Energy	Effects of the Current Regulatory Environment (the No	The section of the EIS cited by the commenter

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	Corporation	<p>Action Alternative) Section 4.2.2.1, p. 4-88 "Adverse impacts on ecological communities continue to occur in coal mining regions, as documented in studies discussed below. Many of the available studies were conducted in the Appalachian Basin region (e.g., U.S. EPA et al, 2003; Pond et al, 2008; Palmer et al, 2010; Woody et al., 2010; Bernhardt et al., 2012; Pond, 2012; Pond et al, 2014). However, studies are available from other coal-producing areas, e.g., Big Black River tributaries in Mississippi (Rohasliney and Jackson, 2009), Hocking River drainage basin in southeastern Ohio (Verb and Vis, 2000), and streams in British Columbia (Harding et al., 2005). Two other states, Colorado and Indiana, have studies reporting directly on stream effects of coal mining; however, these studies were performed before 1983 (Canton and Ward, 1981; and Wangsness, 1982) and may not be representative of impacts that are occurring under existing regulations." The studies selected and presented throughout the document use biased information with limited variables to examine the effects of coal mining.</p>	<p>presents a summary of available scientific literature in which impacts of coal mining under the current on ecological communities have been examined and documented. This literature has been refined in the FEIS. The commenter does not explain why they allege that the information is biased or suggest additional studies for OSMRE to consider. OSMRE evaluated the available science, using the agency's scientific discretion to determine how to evaluate the available science. There is no benchmark regarding a specific number of variables that should be reported on to sufficiently address ecological impacts associated with coal mining operations.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, pp. 4-93 to 4-94 "The requirements of 30 CFR 816.43 provide for restoration of stream flow and riparian vegetation, but do not require restoration of biological communities. Studies have shown that it can be difficult to restore biological characteristics in an engineered stream channel (e.g., Northington et al, 2011). In another example, Fritz, et al. (2010) compared ephemeral, intermittent, and perennial streams at reclaimed valley fills to naturally occurring forested streams. They detected significant differences in leaf litter breakdown (a critical process that provides nutrients and energy to the stream ecosystem beyond the mine site) and invertebrate assemblage when comparing valley fill reclaimed (constructed) perennial and intermittent streams to naturally occurring forested perennial and intermittent streams. The study also detected significant differences in coarse benthic organic matter and invertebrate assemblage (important parts of the foundation to the stream ecosystem) between reclaimed and natural ephemeral streams." OSMRE neglects to state that the streams studied on mine</p>	<p>No change required. The text does not imply that leaf litter breakdown occurs only within the riparian zone. This important nutrient regeneration process is also facilitated by detritivores in the waterway itself.</p>

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		sites do not have riparian zones, and therefore will be unable to provide the leaf litter breakdown desired.	
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) -Documented Impacts on Forest and Other EcosystemsSection 4.2.2.1, pp. 4-94 to 4-96OSMRE provides only general statements regarding the documented impacts, and most of the citations used are not from any studies or research related to mining. For example, Vitousek et al. (1997) was a paper published in Science titled "Human Domination of Earth's Ecosystems." Rosenzweig (1995) is cited in the section regarding habitat fragmentation caused by mining. This was not a paper or research report regarding mining. It is a conservation biology textbook titled Species Diversity in Space and Time. The next citation is from a book titled, Tropical Forest Remnants: Ecology, Conservation, and Management of Fragmented Communities. The citation from Crooks, et al. (2001) was a research report from a study done on birds in habitat fragmented by urban development in southern California. The citation from Steven and Husband (1998) is from a paper titled "Influence of Edge on Small Mammals: Evidence from Brazilian Atlantic Forest Fragments." The citation from Hobbs and Humphries (1995) is from a paper that did not relate to mining. The citation from Richardson et al. (2000) is from a paper that explains the authors' proposal of new definitions for the words used in describing invasive species. The authors are from South Africa, the Czech Republic, Australia, New Zealand, and California.	NEPA requires a description of the environment of the areas to be affected or created by the alternatives under consideration. 40 CFR 1502.15. The alternatives under consideration would affect wetlands; the purpose of this section therefore is to provide a baseline description of wetlands including the current status of these resources and the contributing factors to the existing status. The impacts of mining under the alternatives being considered are addressed in Chapter 4 within the Environmental consequences section, as required by 40 CFR 1502.16.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE had six years to complete a thorough literature review on the impacts of surface mining as it pertains to fish and wildlife, and yet this section of the DEIS completely misses the mark in drawing the connection between mining and impacts on forests and other ecosystems. In 2011, the Appalachian Wildlife Foundation (AWF) commissioned Dr. David Beuhler from the University of Tennessee to conduct and write up a review of all the literature that could be found about coal mining and wildlife. The review was completed in 2012 and has been posted on AWF's website, at www.appalachianwildlife.com/news.html , since then. A short excerpt from that review demonstrates the breadth of literature available to OSMRE for review: "The literature review yielded almost 300 articles, reports, dissertations,	OSMRE appreciates the recommendation to review and include the Buehler and Percy literature synthesis of the effects of surface mining on wildlife. This literature review, sponsored by the AWF and others, was thorough and exhaustive. However, despite this extensive literature search, the authors note that very little information exists on the direct effects of coal mining on wildlife. The majority of the studies that literature review returned were "focused simply on documenting the numerical response of species in question on the mine site during some time period post-reclamation." Further, while many of these studies may note

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		theses, extension bulletins, and other documents of interest (Table 1). There has been a considerable amount of work on many species of wildlife, with the majority (74 citations) of the studies being on birds. In addition, there has been a lot of research (93 articles) on reclamation practices."	quantitative use of reclamation sites by wildlife, the reports are generally qualitative in nature. While the statement early in the text cited in the comment indicates a robust literature regarding the impact of coal mining on wildlife, a more thorough review of the document clearly demonstrates that very little information exists describing or quantifying these types of impacts.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, p. 4-95, first paragraph It is unlikely that an entire species would be located only where a mining operation is to occur, such that the mining would be the sole cause of any impacts to the species and could potentially contribute to species extinction. And if that were the case, current regulations would sufficiently protect the species and prevent the mining operation from having such a negative impact.	In response to this comment, additional discussion was added to Section 4.2.2.1 describing that it is generally a combination of factors that leads to a species population decline or extinction. However, coal mining is one threat for multiple aquatic and terrestrial species that has contributed to declining populations. In addition, while the federal listing status of some species would trigger requirements for coal mines to avoid adverse effects (e.g., ESA section 7 consultation) absent the SPR, managing coal mines to reduce adverse water quality impacts and forest habitat loss will reduce the potential for coal mining to be a contributing factor in species and habitat decline. Furthermore, the guidance provided by the SPR regarding water quality management and forest reclamation informs coal mining interests how to best manage mine sites to avoid the potential for adverse impacts on listed species.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the No Action Alternative on Water Resources: Chemical Effects on Surface Waters Section 4.2.1.1, p. 4-50, third paragraph Palmer and Bernhardt show impairment to "correlate" with these ions but do not produce actual "causal" factors of potential impairments. This discussion does not state what type of impairments, if any, are being made because of specific ions.	OSMRE has reviewed the text in question and made revisions in accordance with the comment.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.10.2.6, p. 3-331 "Several state parks lie within this region including...." OSMRE should modify this sentence to state that several	Thank you for the comment. Corrections have been made to this text in the FEIS.

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		state parks and state Wildlife Management Areas lie within this region. Additionally, Big South Fork National River and Recreation Area should be included in the list of sites.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.11.3, p. 3-353"3.11.3 Visual Resources by Region"Visual resources are presented in section 3.11.2. It appears that this section should read "Noise" or "Noise Resources."	The section title has been edited.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-48, second paragraph "The regulatory authority uses this assessment of the probable hydrologic consequences and other available information to prepare the cumulative hydrologic impact assessment and to determine if the permittee has designed the proposed operation appropriately to prevent material damage to the hydrologic balance (30 CFR 780.21 and 784.14). The regulatory authority cannot approve the permit application unless the applicant successfully shows that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area (30 U.S.C. § 1260(b)(3); 30 CFR 773.15(e))." The concept of cumulative hydrologic impact assessment (CHIA) analysis is not new. The Proposed SPR, however, makes it clear that permit applications will not be processed until the CHIA analysis is complete for that area or district. The DEIS does not discuss how this would work, including the potential need for more review staff within the regulatory agencies.	As provided in § 780.19(f) of the final rule, the regulatory authority may not approve a permit application until the hydrologic, geologic, and biological information needed to prepare the CHIA has been made available to the regulatory authority and the regulatory authority has used that information to prepare the CHIA. This is not a new requirement. Per existing regulations at 30 CFR 773.15(2)(e) the regulatory authority cannot approve the permit application until the regulatory authority has "made an assessment of the probably cumulative impacts of all anticipated coal mining on the hydrologic balance in the cumulative impact area and has determined that the proposed operation has been designed to prevent material damage to the hydrologic balance outside the permit area". Therefore there is no need for the DEIS to discuss how this existing requirement would work, and no need for additional review staff.
OSM-2010-0021-0696	Murray Energy Corporation	Action Alternatives and Potential Effects on Water Resources Section 4.2.1.2, p. 4-55 to 4-57, Table 4.2.1-1 "Primary Effects on Water Resources in Comparison to the No Action Alternative " column. In this column, most of the Proposed SPR elements would not directly result in "improved stream water and groundwater quality" and "preserve streamflow and groundwater quantity," as the table implies. While some of these elements could directly affect water resources under certain circumstances, the question of whether some of the Proposed SPR elements affect water resources in comparison to the No Action	OSMRE agrees that analysis of the effects on water resources at the mine level is important to understand. However, due to the broad geographic scope of the SPR, OSMRE is unable to forecast potential effects at site-specific resolution given the wide range of natural variability. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.

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		Alternative depends on site-specific conditions and does not support the need for an action alternative as OSMRE represents.	
OSM-2010-0021-0696	Murray Energy Corporation	Analytic Methods for Surface Water Resources Section 4.2.1.3, p. 68, first paragraph OSMRE's third step multiplies the average number of stream crossings on mine permit land by the average spatial extent of downstream water quality effects (6.2 miles) to estimate the total number of downstream miles affected by coal mining. By doing so, OSMRE is building uncertainty upon uncertainty in its analysis and exponentially overstating the impact of mining activity on downstream surface water quality. Consequently, OSMRE's approach is scientifically and statistically less and less defensible with each subsequent step in the analysis.	OSMRE acknowledges the effect that multiplication and multiple steps have on uncertainty. However, the analysis has minimized the number of steps specifically to mitigate compounding uncertainty. In addition, a comprehensive sensitivity analysis was performed to assess the variables that contribute most to model output. This analysis is discussed in detail in the RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.3, p. 4-69, second paragraph "While data are not available to determine whether the Action Alternatives would reduce the number of downstream miles adversely affected by mining, implementing the Action Alternatives (excluding Alternative 9) would at least reduce the level of adverse effect within the 6.2-mile downstream areas." OSMRE's admission that data are not available calls into question the validity of using a model that does not have factual support. Concluding that, even though there are no data to back a model assumption, the assumption should be made anyway does not represent best available science and is illogical. Using 6.2 miles is particularly inappropriate for western ephemeral and intermittent streams that may not connect to any downstream surface flows.	Please refer to Master Response on Water Quality Benefits.

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OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.3, p. 4-63 "To quantify the broader, national Benefits of the Action Alternatives, the analysis translates the reduction in streams filled and the increase in stream miles restored into an average change in impacts per ton of coal produced for the modeled "typical" mines in each region. Then the analysis applies this multiplier to the estimated production (tons of coal produced) in each region under each Alternative." OSMRE fails to define a "typical mine" and how the characteristics of OSMRE's typical mine differ from the characteristics of each type of mining operation in different mining regions throughout the U.S. As written, it is difficult to understand if OSMRE has appropriately captured characteristics that reflect current and likely future mining practices.</p>	<p>Thank you for your comment. Section 4.2.1 describes the methodology for quantifying changes to stream impacts anticipated to result from rule implementation. The volume of coal for each modeled mine type was estimated using 2015 MSHA data on coal production by mine. The calculation is based on the total amount of coal produced by each model mine type for each region to address this comment. The text in Section 4.2.1.3 has been clarified.</p>

7. Environmental Impacts of Coal Mining

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OSM-2010-0021-0066	NMA	The definition of buffers is also problematic. The alternatives in the DEIS apply consistently wide buffers along all streams (with some categorical exclusions for smaller streams) which fails to account for the differences in ecological function of streams. The alternatives should have included variable buffer widths reflecting the differences in ecological function. The DEIS does not provide support for the selection of 100-foot buffers, to be implemented across all stream types. The 100-foot buffer appears to be arbitrary and unsupported by any technical or scientific information. Alternative buffer widths should have been evaluated in context with their function on various stream types.	Thank you for your comment. Please refer to Master Response on Alternatives. Please also see the discussion of buffer widths, and planting requirements for the buffer, as contained in the preamble for the final rule. We have made changes to the final rule in response to public comment on these issues.
OSM-2010-0021-0066	NMA	Additionally, per OSMRE's DEIS, all ephemeral streams would be included in the definition of intermittent or seasonal; hence, the definition fails to differentiate the stream types. The document cited in the DEIS in support of this definition is a 1923 document. We suggest that OSMRE rely on relevant and contemporary information to support any proposed definition.	Please see the preamble for section 701.5 of the final rule for discussion of the science behind the regulatory definitions of streams as ephemeral, intermittent or perennial. The 1923 reference is to a document that provides a concise and useful description of basic stream classification concepts that similar to other basic information on scientific principles is not subject to becoming out of date.
OSM-2010-0021-0066	NMA	The Preferred Alternative, the no action alternative, and many of the other alternatives place a 100-foot buffer on each side of all perennial and intermittent streams regardless of their function, and the preferred alternative also requires restoration of a 100-foot buffer for ephemeral streams. In order to diverge from these strict buffer requirements, permit applicant must prove, on a case-by-case basis, that an alternative buffer or approach would not result in material harm to the hydrologic balance, as that term has been unreasonably defined by OSMRE. This one-size-fits-all approach to stream buffers makes it clear that OSMRE did not enlist scientific experts on stream function nor provide sufficient consideration of possible approaches to minimize and avoid impacts to streams.	Please see the discussion of buffer requirements in the rule preamble at 780.28 and 816.57.
OSM-2010-0021-0066	NMA	(proceeded by synthesis of ephemeral stream ecological literature) The Preferred Alternative, the no action alternative, and	Please see the rule preamble for discussions of the basis for the requirements by stream type.

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		many of the other alternatives place a 100-foot buffer on each side of all perennial and intermittent streams regardless of their function, and the Preferred Alternative also requires restoration of a 100-foot buffer for ephemeral streams. This one-size-fits-all approach to stream buffers makes it clear that OSMRE did not enlist scientific experts on stream function nor provide sufficient consideration of possible approaches to minimize and avoid impacts to streams. In summary, applying the buffer requirements and specific monitoring requirements (without consideration of the stream characteristics) cannot be supported by the scientific literature.	
OSM-2010-0021-0066	NMA	The analytical suite required to be sampled in intermittent and perennial streams for surface water and groundwater under most of the alternatives, including the Preferred Alternative, includes temperature, total suspended solids (only surface water), aluminum, bicarbonate, sulfate, chloride, calcium, magnesium, sodium, potassium, (hot) acidity, alkalinity, pH, selenium, specific conductance, TDS, total iron, arsenic, zinc, copper, cadmium, ammonia, nitrogen, and total manganese. It is not clear how this set of constituents was selected or why they would be pertinent to all mining areas in the U.S. There are two paragraphs in the DEIS that address the inclusion of these constituents [...] All of the cited studies were conducted in Appalachia. There is no evidence of impaired water quality provided for any of the other mining regions of the US. Three of the cited studies do not even address water quality, one of the studies documents water quality downstream of a mine that was abandoned long before the existing rules came into effect, and some of the studies merely document water quality but do not provide a comparison to control streams. In total, the cited studies do not adequately support the statement of need to monitor this suite of water quality parameters nationwide.	In the final version of the Preferred Alternative we have deleted the six parameters (ammonia, arsenic, cadmium, copper, nitrogen, zinc) that we had added the Preferred Alternative at U.S. EPA's request. Our research found that those parameters have little or no nexus to coal mining. Instead, they appear to relate to placement of coal combustion residues in mines, which is the subject of a separate rulemaking.
OSM-2010-0021-0066	NMA	The need for monitoring and the constituents that need to be addressed will vary considerably with the location of the mine relative to streams, the geology in the area of the mine, and the mining approach. All actions in and around streams must be permitted under the CWA. CWA permit requirements typically identify constituents and monitoring required based on local conditions and the specific mining	The Clean Water Act is not as comprehensive as SMCRA with respect to protection of the hydrologic balance. The Clean Water Act does not require establishment of a premining baseline and it only requires monitoring of point-source discharges. SMCRA requires that permit applications include baseline

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		approach, with mitigation, that is proposed. As such, any requirement for additional water quality monitoring in the SPR is redundant with other federal regulations and is totally unnecessary.	information so that the potential impacts of mining can be assessed at the time of permit application and so that impacts that occur during mining and reclamation can be readily identified and evaluated. SMCRA also requires monitoring of both the quality and quantity of surface water and groundwater, as well as monitoring sites located above and below the mine site. Therefore, deferral to state Clean Water Act authorities would not achieve the same results as the stream protection rule.
OSM-2010-0021-0068	Earthjustice	OSMRE recognizes that elevated concentrations of alkaline ions in mine drainage cause increased stream conductivity, which is highly correlated with biological impairment downstream from mine sites [...] OSMRE proposes to remedy this problem in three ways: (1) by “requiring that backfilling techniques consider impacts on electrical conductivity,” (2) by “requiring that excess spoil fills be constructed in compacted lifts,” and (3) by “incorporating elements of the Forestry Reclamation Approach into our soil reconstruction and revegetation rules.” Id. OSMRE cites no scientific evidence that any of these three methods is likely to be successful.	No changes are necessary in response to this comment. OSMRE does not maintain that these measures are a complete solution in addressing increased stream conductivity. As discussed in the preamble to the final rule, specifically in the discussion of Section 780.12, the intent is to minimize compaction of soil materials in the root zone, while still requiring compaction of spoil in order to minimize conductivity levels in leachate and mine runoff. Performance standards with Section 816.38(a) requires compaction to prevent acid-forming materials from leaching into the soil because our experience has shown that surrounding the material with compacted low permeability material is necessary because spoil is known to be highly variable in terms of hydraulic conductivity.
OSM-2010-0021-0061	Peabody Energy	The current indicator parameters are quite sufficient. There has been no showing in the DEIS that current practices are not doing the job. Examples of water quality problems are a few studies in Appalachia, mostly in areas with prelaw discharges. Regional issues should be addressed on a regional basis.	OSMRE agrees that parameters of concern (or indicator parameters) as used in the comment, should take regional characteristics, in fact site-specific characteristics, into consideration. As such these parameters are to be determined by the regulatory authority. The regulatory authority is in the best position to identify those local parameters of concern, if applicable, and include them in the required baseline monitoring data. Any parameters of concern the regulatory authority identifies will more accurately reflect the constituents that could potentially impact water resources during

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			coal mining and reclamation activities in their specific region of the country. We anticipate that, during the development of the permit application package, the applicant will take part in this process by consulting with the regulatory authority about which, if any, additional parameters should be added to the baseline monitoring plans.
OSM-2010-0021-0049	Interstate Mining Compact Commission	In addition, the proposed requirements are not based on data collected from state wildlife agencies or from private landowners and citizens regarding reclamation alternatives for wildlife habitat. OSMRE should consider 1) the long term wildlife conservation and habitat objectives for coal basin regions, 2) the habitat management decisions they will allow a private landowner to make, 3) how private landowners must manage for wildlife that depend on 100% forested habitats, and 4) which suite of wildlife species and which ecological niche are the most important conservation objectives for each region, especially on private lands.	No changes are necessary in response to this comment. Fish and wildlife habitat remains as an approved post-mining land use.
OSM-2010-0021-0696	Murray Energy Corporation	Chapter 3 Large sections of Chapter 3 are missing citations; hence, the underlying data/analyses used by OSMRE to support much of the discussion cannot be verified.	Thank you for the comment. After reviewing chapter 3 of the DEIS in regard to your comment we agree that it appears that some sections of the chapter should have included more citations to the applicable source references. This has been corrected in the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Total Resources Section 3.1.1.1 OSMRE relies inappropriately on outdated coal reserve information; these data should be updated for each region. OSMRE must compile recent information from specific mining regions and for mining methods. For example, the EIA has more recent data (http://www.eia.gov/coal/annual/), which OSMRE should include for this critical set of statistics.	While OSMRE acknowledges that new EIA data from the 2014 assessment are available, the Total Resources section (3.1.1.1) describes coal reservoirs and production based on the 2012 EIA report. A comparison of the 2014 (2016 report) values with those in the 2012 report indicates that these budget values are generally very similar and updating the section with the more recent values would not alter discussion.
OSM-2010-0021-0696	Murray Energy Corporation	Estimated Recoverable Reserves Section 3.1.1.4, 14p. 3-90 OSMRE's sources of information regarding underground mining practices are not provided, and the information therefore cannot be verified. For example, the statement that underground mining and coal processing losses are typically 17 to 25% higher than that of surface mining is not	The reference (Luppens, 2009) is given at the beginning of the section, see the second paragraph under Section 3.1.3.4 on page 3-7 of the DEIS. The statistic regarding underground mining and coal processing losses in comparison to surface mining losses comes from page 14 of

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		supported.	Luppens (2009).
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.1.4, p. 3-18 Illustrations in this section inaccurately portray surface mining methods. For example, Figure 3.1-9 on page 3-19 shows a dragline in the wrong position; Figure 3.1-10 on page 3-20 shows spoil present downslope from the outcrop, which is illegal. Another example of inaccurate depiction of mining methods appears in discussions of area mining and mountaintop mining. The authors neglect to mention that modified area mining was developed for coal reserves that had outcrops in lands with limited to moderate relief. Mountaintop mining is modified area mining in steeply sloping lands. OSMRE should accurately depict and describe surface mining methods. Failure to do so will lead the reader to inaccurate conclusions about how operations are conducted. Drawings created forty years ago by Tony Haley of Caterpillar provide more accurate pictures of haul-back mining on contour benches than those in the DEIS.	Thank you for the comment. We agree that there are errors in the figures described and these have been removed. The narrative section has also been modified to more accurately reflect current practices.
OSM-2010-0021-0696	Murray Energy Corporation	Open Pit Mining Figure 3.1-14, Section 3.1.4.3, p. 3-24 The photograph in Figure 3.1-14 does not depict open-pit mining for thick coal, which it purports to do.	Thank you for the comment. The comment is correct and we have removed the figure.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.3, p. 3-87 There is a substantial amount of information about soils, including electronic soil surveys, in the Natural Resources Conservation Service (NRCS) web survey pages: http://websoilsurvey.sc.egov.usda.gov/App/HomePage.htm OSMRE should use this valuable source in this section.	The NRCS web survey pages are an excellent resource for obtaining data on specific soil series and their chemical and physical properties, as well as their geographic extent. The DEIS used NRCS soils data to depict the presence and extent of soil orders nationwide (see the figures in section 3.3), and within the text provides other appropriate sources describing regionally typical conditions for important soil characteristics such as erodibility and productivity.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.3.1, p. 3-88"Soil consists of the horizons near the earth's surface that, in contrast to the underlying parent material, have been altered by the interactions of climate, topography, and living organisms over time."Soils are formed by five factors: parent material, climate, topography, vegetation, and time. As a result, the soil horizon will have many of the characteristics of the parent material, especially in shallow and/or poorly developed soils, where the soils are a direct reflection of the	Thank you for the comment. The quoted text does not contradict the information provided in the DEIS nor does it provide critical information lacking from the DEIS discussion. No change is necessary in response to this comment.

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		weathered parent material from which they were derived (Jenny, 1994).	
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.3.1, p. 3-88 "However, the lowest depth of biological activity is difficult to discern." The limits of organic activity within a soil profile are generally observed when there is a lack of brown color. The transition to an area void of organic activity can be quite drastic, especially in younger, less developed soils.	Thank you for the comment. The text referenced in your comment is discussing gradation from soil to hard rock or earthy materials that are devoid of animals, roots, or other marks of biological activity. Color is one indication of the presence of biological activity but is not universally definitive. No change is necessary in response to this comment.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.3.1, p. 3-89 Soil distribution can be very heterogeneous, creating a mosaic of soil types over small areas. This statement is correct and it is precisely why the characterization of soils by regions in sections 3.3.2 through 3.3.8 can be very misleading.	The broad generalizations in the soils discussion are necessary due to the nationwide scale of the proposed rulemaking effort. Site specific soils information would be appropriate for a document reviewing a specific permit area, but this level of detail is neither possible nor necessary to inform the decision between alternatives on this nationwide rulemaking.
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview Section 3.5.2.3, p. 3-131 "Surface water can also include a portion of precipitation that has infiltrated into the soil or geologic matrix during or immediately after a precipitation event, and traveled as subsurface flow ultimately discharging into a stream or lake (interflow) or to the ground surface at topographic lows (through flow)." OSMRE is incorrect. USGS (see e.g., http://pubs.usgs.gov/circ/circ1186/html/gen_facts.html) and countless academic textbooks (including what is widely considered the definitive classical treatise on the topic of groundwater written by Freeze and Cherry, 1979) would assert that OSMRE is describing groundwater, and not surface water, flowing subsurface after precipitation. This statement does not accurately reflect the conventional behavior of surface water/precipitation that infiltrates soil or geologic matrices following precipitation events. The water that OSMRE describes as infiltrating the ground after precipitation and traveling subsurface to a stream or lake is groundwater.	Edits made to clarify the text discussion of groundwater discharge into surface water as a source of surface water flow. See section 3.5
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview Section 3.5.2.3, p. 3-134 "Using this method, streams are numbered progressively from the headwaters or drainage basin divide to a downstream location. Headwater streams with no	We have made edits to the discussion of stream ordering (see section 3.5.2.3) for clarity. However the descriptions of headwater streams vary throughout the document as necessary depending on the specific context of the

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		tributaries are designated as first order." The definition of headwater streams in the DEIS is unclear and varies throughout the document. In particular, it is impossible to tell whether streams with no tributaries are the only type of stream classified as headwater streams, or whether headwater streams also include streams of higher-order. In the cited statement, OSMRE should make the following modification: "Using this method, streams are numbered progressively from the drainage basin divide to a downstream location." The second sentence should be removed.	discussion.
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview Section 3.5.2.3, p. 3-134 OSMRE does not justify why it relies on the Rosgen stream channel classification system, which was developed in the 1990s. Other more recent classification systems exist that rely on current science and technology to better characterize and describe ecological attributes and capture land use change...The entire DEIS is directed at the protection of streams; thus the use of a reliable stream classification system is essential. OSMRE should use a more recent and accurate stream classification system. At the very least, OSMRE should explain its reasoning for using this outdated classification system. (Literature about classification system is present below this comment)	The Rosgen system is an accepted classification system and is widely and currently used. The comment is incorrect in the assertion that this system is outdated.
OSM-2010-0021-0696	Murray Energy Corporation	Surface Water Overview Section 3.5.2.3, p. 3-141 "For example, streams whose watersheds are located in high precipitation areas are expected to have the most consistent flows and most frequent floods." OSMRE should support this statement with more quantitative information. Flood frequency can be easily attained from the USGS for all mining regions in the U.S.	Edits were made to the text in response to this comment. The text has been revised to state that flooding occurs more frequently in these areas, instead of the definitive "most" used in the original version of the text. See section 3.5.2.3.
OSM-2010-0021-0696	Murray Energy Corporation	Gulf Coast Coal-Producing Region Section 3.5.3.3, p. 3-164 "As a result of heavy usage for irrigation and municipal purposes, many areas of Texas are experiencing significant declines in water levels in the Carrizo-Wilcox aquifer. Over the past 70 years, levels have dropped by as much as 500 feet in some areas. Dewatering to facilitate lignite mining has also resulted in lower water levels in the vicinity of some active operations (Ashworth and Hopkins, 1995)."	Edits have been made to section 3.5.3.3. The sentence regarding dewatering from lignite mining is not directly supported by the reference, and so we have removed it.

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		According to the DEIS (at 3-172), agricultural usage of groundwater accounted for over 82% of groundwater usage in this area. Mining accounted for approximately 0.3%. OSMRE appears to be attributing a portion of the depletion of the Carrizo-Wilcox aquifer to coal mining in Texas. OSMRE should clarify the degree of dewatering directly attributable to mining operations.	
OSM-2010-0021-0696	Murray Energy Corporation	Air Quality, Greenhouse Gas Emissions, and Climate ChangeSection 3.6, pp. 3-202 to 3-210Section 3.6 makes multiple references to the Four Corners Power Plant and Navajo Mine Energy Project (FCPP) EIS. For example on Page 3-202, the FCPP EIS is cited in lieu of a detailed discussion of coal mining and power generation emissions sources...OSMRE should make this document available to reviewers and allow time for review of and comment on this important reference document.	The FCPP EIS is available at http://www.wrcc.osmre.gov/initiatives/fourCorners.shtm . Additional time for review of the document is unnecessary. The document was and has been available since the publications of the Draft Stream Protection Rule EIS, in addition to having gone through extensive public review and comment during its own development.
OSM-2010-0021-0055	Rocky Mountain Elk Foundation	One of our main concerns is that for new mining operations on currently forested lands, the baseline should not be current condition but rather historic condition	The appropriate baseline for the analysis is the current operation without the Proposed Action. Please refer to OMB's Circular A-4 for details about how to conduct a regulatory impact analysis.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's alternatives in the DEIS fail to address current practices and considerations involving revegetation. OSMRE claims that failed reforestation efforts have resulted in compacted grasslands that do not represent native pre-mining vegetation. However, OSMRE continues to ignore current and evolving reclamation practices and planning efforts, such as those described by Wang et al. (2013).	We describe current practices and documented impacts involving revegetation under the No Action Alternative in Chapter 4.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.2, p. 4-101, first bullet Under Alternatives 2, 6, and 8 (Preferred) all stream reaches within or adjacent to coal mining operations require a 100-foot riparian buffer (whereas the No Action Alternative provides qualitative guidance on activities bordering waterways). By implementing specific criteria to be met during and after coal mining operations, the likelihood of disrupting habitats and associated wildlife is decreased. This buffer Benefits not only the flora and fauna occupying the riparian habitat, but also the connected terrestrial and aquatic communities beyond the permitted site. OSMRE does not describe the same requirements found in the previous impact descriptions or alternative analysis and should clarify whether a 100-foot buffer is required.	The text cited by the commenter states that a 100-foot riparian buffer is required under these Alternatives. This is correct.

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OSM-2010-0021-0066	NMA	<p>The technical experts reviewed Chapter 4 in search of support for the statements in Section 1.1 that are intended to justify the need for changes in the regulations. Not infrequently, the citations provided fail to support the statement in the DEIS. For instance, on page 4-50 of the DEIS, OSMRE states: Under existing regulations, mining continues to affect downstream water chemistry. Studies have shown that mining-impacted waterways often contain elevated levels of iron, aluminum, manganese, and sulfate. These waters typically have lower alkalinity concentrations and lower pH, while specific conductivity and total suspended solids are typically higher, as compared to streams unimpacted by mining (Wangness et al., 1981; Zuehls et al., 1984; Cravotta, 2008; Paybins, 2003; Howard et al., 2001; Stauffer and Ferreri, 2002; Bryant et al., 2002; Hartman et al., 2005; Pond et al., 2008; Petty et al., 2010). All of the cited studies were conducted in Appalachia with a focus on surface mining impacts. There is no evidence of impaired water quality provided for any of the other mining regions of the US. Three of the cited studies do not even address water quality; one of the studies documents water quality downstream of a mine that was abandoned long before the existing rules came into effect; and some of the studies merely document water quality but do not provide a comparison to control streams. Even collectively, the cited studies do not adequately support the statement or the need for a new rule to be applied nationwide.</p>	<p>The literature cited in Section 4.2.1 as evidence of mining impacts on water quality has been reviewed and refined in the FEIS. Several citations (U.S. EPA, 2011, Presser, 2013, and Herlihy et al., 1990) have been included to add support that mining leads to elevated downstream concentrations of contaminants.</p>
OSM-2010-0021-0066	NMA	<p>"Acid mine drainage has historically been a primary concern associated with coal mining due to the effects of low pH on the viability of the system for aquatic life, and impacts on human use and enjoyment of the water. Generally, aquatic life forms do best in a pH range of 6.5 to 9.0. Outside this range, certain analytes become more toxic to aquatic life (Lowry et al., 1983). This concern is relevant to mining nationwide, although not as prevalent in the western coalfields, where the geology, soils and hydrology provide high buffering capacity (alkalinity). For example, in coal regions of the Colorado Plateau and Northern Rocky Mountains and Great Plains, if sulfuric acid forms through the oxidation of sulfide materials within mine spoil and waste, it is usually neutralized by the highly alkaline conditions of surface waters in this region (Lowry et al.,</p>	<p>The paragraph cited by the commenter has been revised. Specifically, references to published scientific literature have been added in support of the first and fourth sentences of the cited paragraph. Sentences which could not be supported have been removed. We have also stated that while coal mining contamination is likely occurring in western United States streams, impacts from acid and alkaline mine drainage are more difficult to observe given the arid climate of coal fields in this region.</p>

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		1983). "No evidence was provided to support the 1st, 2nd, or 4th sentences in this paragraph. The 3rd sentence is correctly supported. The last sentence is partially supported. Lowry et al. (1983) did an investigation in the Powder River area of Wyoming, not the broader area suggested by the sentence. Lowry et al. also speculated that the high alkalinity of the area was neutralizing sulfides, so the sentence is partially supported. The primary subject of the paragraph is acid mine drainage effects on aquatic life and human uses. What the paragraph fails to point out is that Lowry et al. (1983)—the only cited source in the paragraph—concluded that acid drainage was not an issue in the Powder River area. Therefore, the primary thesis of the paragraph is not supported by any cited literature or scientifically collected evidence.	
OSM-2010-0021-0066	NMA	The two paragraphs following the paragraphs discussed above rely solely on Palmer and Bernhardt (2009). That document is a working document and not a peer-reviewed scientific study. If the issues discussed in those paragraphs were well documented, better support of the statements would be expected. Many of the statements in Chapter 4 rely upon documents that pre-date the existing rule, so those documents are no longer applicable to a discussion of the need for a revised rule. For example page 4-52 states: <i>Higher infiltration rates on mined areas increased stream base flow, and increased storage capacity in replaced mine spoils reduced peak flow in streams receiving drainage from mine sites (Corbett and Agnew, 1968)</i> . A 1968 document cannot be assumed to be reflective of current conditions under the existing rule. Therefore, this statement is not supported by any evidence of a continuing effect.	Chapter 4 includes extensive discussion of the current conditions of the resources that may be affected by the SPR, and some discussion provides support for why revised regulation of mining as it relates to these resources may be needed. As a result, it is appropriate for data sources that pre-date the proposed rule be cited in the EIS.
OSM-2010-0021-0066	NMA	This lack of support for statements that appear to be scientific in nature continues throughout the DEIS, and the overwhelming majority of the cited documents address studies conducted in Appalachia; very little information in other mining areas is provided.	Please refer to Master Response on Regional Nature of Impacts.
OSM-2010-0021-0066	NMA	The inclusion of underground mining in the SPR is particularly confusing. Section 4.2.1.3, page 4-68 (third bullet) of the DEIS states: "The engineering analysis found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from	OSMRE agrees that surface and underground mining methods are very different in technology, geography, potential environmental impacts. For this reason, OSMRE identifies separate regulations for surface and

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		underground mines are not quantified.” This statement alone suggests that implementation of new regulations on underground mining to protect streams and aquatic resources is unnecessary, and, at the least, are not supported by sound data. If the Proposed Action is to revise regulations to surface mining, the action cannot be applied to underground mining. It is obvious that the two mining methods are very different in technology, geography, potential impact and mitigation strategies.	underground mines and the RIA and EIS analyses define 13 model mines of which five are underground.
OSM-2010-0021-0066	NMA	Bernhardt and Palmer (2011), Palmer et al. (2010), Pumure et al. (2010) and Lindberg et al. (2011) address effects of mountain top valley fills in Appalachia. In the description of the existing rule in the DEIS (page 2-8) the DEIS states “the agencies administering the Clean Water Act have implemented policies that have sharply reduced both the number of excess spoil fills and the length of stream covered by those fills. Furthermore, the regulations in 40 CFR Part 230 for implementation of section 404(b)(1) of the Clean Water Act require an analysis of all practicable alternatives to placement of fill material in waters of the United States, which would include most streams. Under those regulations, the applicant must select the alternative with the least adverse effect on the aquatic ecosystem and mitigate any remaining adverse impacts on the aquatic environment.” Therefore, the studies conducted by Bernhardt and Palmer (2011), Palmer et al. (2010), Pumure et al. (2010) and Lindberg et al. (2011) addressed the effects of legacy mining and are not pertinent to the evaluation of the adequacy of the existing rule. Pond et al. (2008) documented a study that was also conducted in Appalachia evaluating the effects of valley fills on macroinvertebrates. Not only is that document not pertinent since it evaluated the effects of legacy mining, it does not address water quality. Agouridis, et al. (2012) evaluated water quality run-off in areas that had been filled to support restoration efforts. They monitored a number of parameters and found that most did not persist although conductivity approached reported levels of concern. The document does not support the statement in which it is cited.	The EIS text relevant to the citations discussed by the commenter states that “Excess spoil fills constructed during large-scale mining operations in steep-slope areas may impact aquatic ecosystems by, among other things, increasing ion concentrations in receiving waters. These impacts may occur both during the mining activity and after reclamation.” OSMRE disagrees with the implication in the comment that the cited studies, which examine impacts of mining that occurred prior to the implementation of current policy effected under the 2009 MOU among the U.S. Department of the Interior, U.S. Department of the Army, and U.S. EPA on implementing the Interagency Action Plan on Appalachian surface coal mining, are not pertinent to the evaluation of impacts under existing regulations and policy. The regulations cited in the comment have not eliminated impacts; selection of the alternative with the least adverse effect as required under the CWA does not equate to avoidance of all effects. OSMRE acknowledges that the policies referred to here, specifically including those arising from the 2009 MOU, have resulted in substantial changes in how mining operations are reviewed and permitted. The studies cited in this part of the EIS discussion are valuable to determine what requirements need to be included in regulation to ensure the continued implementation of these policies.

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			<p>Pond et al. (2008) investigates the effects of degraded water quality, specifically elevated specific conductance, in mined streams using changes in the biological community as a metric to evaluate the consequences. The results presented in Pond (2008) were a key consideration that led to the development of the 2009 MOU. The other studies cited are also valuable to the consideration of impacts that persist under existing regulations. Palmer et al. (2011) presents data that indicates mine-related water contaminants persist below valley fills despite existing requirements. Similarly Lindberg et al. (2011) identifies high conductivity and increased sulfate concentrations in tributaries draining currently active mountaintop mining catchments in West Virginia. The Lindberg study was focused on cumulative impacts and therefore looked at chemical signatures of mountaintop mining impaired streams impacted by both current and historical activity. Palmer et al. (2010) specifically poses a concern that current attempts to regulate mountaintop mining and valley fill practices are inadequate and that current mitigation strategies are not adequately compensating for lost stream habitat and function. Bernhardt and Palmer (2011) describe the adverse effects caused by mining related elimination or fragmentation of native habitat, an impact which is not specifically addressed under current regulation or policy and which indirectly relates to water quality. We have however, removed the reference to Agouridis et al. (2012) from the text. While Agouridis et al. did examine relevant mine-related impacts, specifically it examined the runoff from mine spoils, the focus of the study was actually on the impacts of forestry reclamation and therefore this study is not particularly germane to the discussion of water quality impacts from excess spoil fills.</p>

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OSM-2010-0021-0066	NMA	None of the cited literature supports a conclusion that additional water quality sampling is required, or that chemical constituents will persist over time under the existing federal regulations (including the CWA). All but one of the cited studies was conducted in Appalachia, and all but two of the studies were focused on valley fills. None of the cited studies address underground mining. Thus, the DEIS does not include any evidence that additional water quality monitoring is needed	OSMRE acknowledges that much of the research on understanding downstream effects of coal mining has been conducted in Appalachia. The history and extent of mining in the Appalachian Basin makes it the subject in the majority of the water quality studies (e.g., Lindberg et al., 2011, Merriam et al., 2011, Petty et al., 2010, Pond et al., 2008, Fulk et al., 2003). In addition, authors have also noted that due to the arid climate and high mineralization of stream water, analyses of this type are more difficult in western regions. Though limited, literature in other regions indicates similar downstream impacts despite obvious difference in geography. To account for these differences, model mines were developed to represent geography, coal production, and other environmental factors of each coal mining region.
OSM-2010-0021-0696	Murray Energy Corporation	Second, pages 4-52-4-53 of the DEIS have a section on the "Physical Effects on Groundwater." Citing a 1997 study, this discussion describes how subsidence can affect groundwater flows. But this section also does not examine whether these potential effects are addressed by current regulations. Moreover, OSMRE has engaged in rulemaking to protect streams for decades without deciding until it proposed the SPR this year that it was necessary to change how it regulates subsidence impacts on streams. Obviously, a 1997 study cannot be the basis for deciding that it is now necessary to change the agency's regulatory course.	The EIS text references the Trapp and Horn study to explain the mechanisms through which subsidence affects the movement of groundwater. This discussion therefore is not subject to becoming dated due to changing regulations. The Trapp and Horn study is not used to support the need for the change to regulations in any context of the EIS, the rule or the rule preamble.
OSM-2010-0021-0696	Murray Energy Corporation	Third, pages 4-53 -4-54 of the DEIS contain a discussion of "Subsidence and Effects on Surface Water and Groundwater" that at least tries to show that the current regulatory regime is failing to address subsidence impacts on streams. OSMRE claims that "[s]everal studies have documented subsidence-related impacts to hydrologic systems that continue under our existing regulations." DEIS at 4-53. But the studies do not provide the necessary support for OSMRE's changed regulatory approach. Two of the studies, Carver and Rauch (1994) and Slaughter et al. (1995), are old. As just discussed, OSMRE has conducted decades of ongoing rulemaking concerning mining impacts on streams	While not the driving factor behind the proposed Stream Protection Rule, the 1994 study by Carver and Rauch and 1995 study by Slaughter et al. do provide supporting evidence related to impacts would be addressed under the proposed rule.

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		without concluding that it was necessary to adopt new regulations as to subsidence impacts. Presumably, OSMRE has some new information that now leads it to a different conclusion. Studies that are more than 20 years old, however, can hardly be characterized as new information.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 1 (No Action Alternative) 4.2.1.5, p. 4-77 "Under the No Action Alternative, no changes in mining practices would occur and current water quality issues would likely persist. Subsection 4.2.1.1 above describes these issues in detail. They include pH impacts from acid mine drainage, elevated concentrations of iron, aluminum, manganese, and sulfate; sedimentation in the water column; flow alteration and stream elimination as a result of mining through streams and spoil management practices; drawdown of groundwater levels, and degradation of groundwater through increased concentrations of sulfate, iron, and other pollutants." OSMRE states water quality issues would persist as if each coal mine is the same in regards to water quality and geology when in fact they are not and vary significantly region to region. NPDES regulations already exist and are being used daily to address potential issues.	The description of the No Action Alternative has been updated to reflect that each mining system is unique and that no other actions in addition to those already in place would be implemented.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 1 (No Action Alternative) 4.2.2.1, p. 4-94 through 4-96"Adverse impacts would continue to occur ..."The summary statement for this section mentions only adverse impacts and does not identify any beneficial impacts. The impacts of mining and then reclamation, particularly in a region and landscape dominated by forest cover (Central Appalachia), are beneficial to many species, including several species of concern and wildlife species that are popular and important for wildlife viewing and hunting. In the CAPP region, the game species that benefit from mining include white-tailed deer, elk, turkeys, rabbits and Bobwhite quail. The other species include grassland and shrub/scrub songbirds, Northern harriers, Short-eared owls, and several small mammals.	No change is needed to the text. The section acknowledges that the No Action Alternative has many mechanisms in place for ensuring protection of fish, wildlife, and related environmental stressors.
NA	EPA	We recommend that the FEIS more thoroughly present the state of the science as to the physical, chemical and biological effects of surface and underground mining operations on the aquatic environment. The DEIS does not discuss the existing scientific literature (and multiple state	The discussion in the 'Chemical Effects on Surface Waters' and 'Documented Impacts Related to Activities in or Near Streams' subsections of Chapter 4.2.1.1 have been updated to include more discussion of selenium

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		agency datasets) demonstrating that surface mining permits with mining within 100 feet of a stream result in a high percentage of streams with impairments to aquatic life. We recommend that the FEIS include this relevant information and additional discussion connecting the impacts associated with higher concentrations of TDS/conductivity in reaches downstream of mining activities and the potential to cause material damage to the hydrologic balance.	as an important biologic stressor. Further, the discussion now includes information on the recently developed conductivity thresholds presented in U.S. EPA, 2011.
NA	EPA	We recommend including discussion in the FEIS of important studies published by Presser, 2013 and Presser and Luoma, 2010 which address critical information relating to selenium and its impacts on fish, wildlife as well as impacts at the ecosystem scale.	The recommended studies have been cited in 'Documented Impacts Related to Activities in or Near Streams' of section 4.2.1.1 and other sections to place more emphasis on work done to describe the toxic effects of selenium.
NA	EPA	The document presents a statewide summary of surface water quality conditions based on recent Clean Water Act (CWA) 305(b) and 303(d) reporting data. However, the discussion is general and does not specifically analyze mining-related impairments, even though the DEIS acknowledges that state CWA section 303(d) reports routinely identify coal mining as a pollution source. This additional refinement and analysis would be particularly helpful given that the proposed rule is intended to better protect streams from mining-related impacts. In the absence of this analysis, at a minimum, we recommend that the data be broken out to indicate water quality reporting results for surface waters within the mining regions of each state, rather than the state as a whole. This would allow for a finer depiction of existing water quality conditions in areas where coal mining has historically occurred.	Specific references to state-level 303(d) impairments in the Appalachian Region are provided in Chapter 3.6.5. Section 4.2.1 describes Clean Water Act reporting data, which is also discussed in the Cumulative Impacts section (4.5). Also, please refer to Master Response on Water Quality Benefits.
NA	EPA	The discussion in the Environmental Consequences of Alternatives (Chapter 4) focuses almost exclusively on the Appalachian region. Chapter 4 briefly characterizes the extent of physical loss of streams from mining activities from the Appalachian region and relies on a very small data set. We recommend that SMCRA permit data or other similar information be considered to provide a more complete baseline depiction of the physical effects of coal mining activities across all seven coal resource regions of the country. The analysis presented in the DEIS relies upon the assessment of relatively few state waters (e.g., 16 of 26 states whose data is presented in the document have assessed less than 25% of waters). Furthermore, at the scale	As stated in the EIS Chapter 4, absent nationwide data regarding the historical effects of coal mining on streams filled, mined through, or degraded, the EIS describes a number of region- or site-specific studies that evaluate these types of coal mining impacts on streams. This information provides context for understanding the baseline levels of impacts to streams from coal mining. In addition, in response to public comment, the FEIS includes summaries of USACE permit data regarding permitted wetland and stream impacts from coal mining, as well as OSMRE data describing

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		the data is presented, it is not clear whether the assessed waters are representative of conditions within the coal mining regions of each state. U.S. EPA would like to work with OSMRE to consider other ways to present an analysis that gives a more complete depiction of the quality of surface waters and the effects of mining activities on these resources in coal mining regions across the country.	numbers of new mine permits and associated acreage of the new mine permits from 2005 and 2015.
NA	EPA	We recommend that OSMRE include a study by Palmer and Hondula 2014. This study examined 434 stream mitigation projects from 117 surface mining permits and found that most of them still show signs of water quality, habitat, and biological impairments >5 years post-restoration. Further, a joint study by U.S. EPA and OSMRE scientists (Pond et al 2014) regarding the long-term impacts of surface mining on Appalachian stream ecology found that, despite good habitat, the chemical signature was strong enough to impair the majority of the streams studied 15 to 30 years post-reclamation. In preparing the FEIS, we recommend that OSMRE consider whether "restoration" of stream habitats (the most common mitigation requirement under the proposed SPR) will restore biological health downstream of surface mining and valley filling. Studies, including Northington et al. 2011, Palmer 2005, Fritz et al. 2010, have implicated that structural measures such as habitat and invertebrate assemblage quality do not adequately relate to stream functions.	A citation to Palmer and Hondula (2014) has been included in section 4.2.1.1 in the FEIS. The FEIS acknowledges that current mitigation practices fall short of CWA objectives and that it can be difficult to restore biological characteristics given persisting chemical impairment in engineered stream channels based on the analysis of Palmer and Hondula. Additionally the FEIS discusses the fact that current restoration approaches focus primarily on creation of a stable channel instead of the restoration of stream form and function. The EIS recognizes that stream restoration and creation is an emerging area of scientific study and that assessment methodologies for return of biological function in streams are in their infancy in some areas affected by mining. It may be impossible in some cases to precisely mirror the ecological function that was there before mining but the alternatives considered would not require the restoration of identical conditions. However, one of the purposes of SMCRA is to ensure that "surface mining operations are not conducted where reclamation as required the th[e] Act is not feasible". The Act therefore requires a permit applicant to demonstrate that "reclamation as required [by SMCRA] and the State or Federal program can be accomplished under the reclamation plan contained in the permit application[.]" If reclamation of a stream cannot be accomplished as proposed, then the permit applicant will need to adjust the mining or reclamation plan for the regulatory authority to be able to issue the SMCRA permit.

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NA	EPA	Page 4-50, line 1: Clarify that "water-treatment structure" often involves a sedimentation pond that allows precipitation of particulates, but does not adequately treat dissolved solids. Total dissolved solids (TDS) are discharged from the ponds and a substantial body of scientific literature has now shown impacts of elevated TDS on stream biota (see additional research not cited in the DEIS below).	The definition of water treatment structures in section 4.2.1.1 has been expanded to refer to sedimentation ponds. In addition, a statement following this sentence has been included to indicate that discharge from sedimentation ponds can demonstrate elevated levels of TDS.
NA	EPA	Page 4-66, paragraph 2: We suggest the following information be considered: "In general, these studies describe coal mining's effects on stream quality but do not specify the particular aspect of mine operations that generates the adverse effects." Several researchers have now demonstrated that elevated stream TDS from mining activities negatively affects benthic macroinvertebrate communities (see selected citations below in addition to those in the DEIS). While the ionic composition of the TDS may affect level of toxicity, the relationship between mining, elevated TDS, and impaired stream biota cannot be overlooked.	OSMRE acknowledges that the ionic composition of TDS can affect the level of toxicity and that the relationship between mining, elevated TDS, and impaired stream biota cannot be overlooked. However, OSMRE is stating that studies do not specify which activity of the mining process (i.e. blasting, spoil movement, coal stockpiling, reclamation practices, etc.) generates the contaminants that impact downstream resources, not which contaminants are contributing to the adverse ecological impacts. The text in section 4.2.1.3 has been clarified.
NA	EPA	Page 4-89, last paragraph: "... high conductivity can be directly toxic to freshwater organisms ..." is correct but contradicts statement above regarding an absence of what particular aspect of mining generates adverse effects. U.S. EPA (2011), which establishes a conductivity benchmark for central Appalachia of 300 μ S/cm, should also be cited and addressed in this section. We recommend that the Final ETS include additional and updated citations (see partial list below) to better demonstrate the body of scientific literature available on mining effects to aquatic and terrestrial systems.	This comment relates to U.S. EPA's potential misunderstanding of the statement in section 4.2.1.3 relating to which component of the mining operation generates adverse effects downstream. There is no contradiction in acknowledging that elevated conductivity is an environmental stressor and stating that studies do not specify what particular activity conducted in mining operations generates adverse effects. The text in section 4.2.1.3 has also been clarified. Based on U.S. EPA's recommendation, a reference to the 300 μ S/cm conductivity benchmark has been included in the text.
NA	EPA	Page 4-93, paragraph 2: Include reference to the selenium criteria.	A citation to U.S. EPA, 2014, selenium criteria has been included in this paragraph.
NA	EPA	Page 4-334, footnote: U.S. EPA (2011) documents a scientifically valid, field-based benchmark for protection of aquatic life; we recommend that this be considered and addressed in the FEIS.	The conductivity benchmark provided by U.S. EPA, 2011, has been cited where possible.
NA	FWS	We recommend that OSMRE provide specific examples of	Our Knoxville Field Office (KFO) uses evaluation

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		detecting and then reversing an adverse trend in water quality early enough to meet pre-mining water quality. Such scenarios will assist the Regulatory Authorities (RA) and other reviewing agencies in applying the new regulations	thresholds on a routine basis. For example, in one case, KFO established an evaluation threshold of 1.0 mg/l for iron in a receiving stream. At some point after initiation of the mining operation, water monitoring data documented an exceedance of that threshold. A site visit found that the surface mining operation had encountered flooded abandoned underground mine workings; the permittee subsequently attempted to divert the flow from those workings to a pond for treatment. However, the diversion was not fully successful and some of the water entered the receiving stream without treatment. KFO required the permittee to construct a large three-cell wetland treatment system and divert all water from the underground workings to that system, which is successfully treating the water.
OSM-2010-0021-0696	Murray Energy Corporation	There are no established federal conductivity criteria, only benchmarks. Those benchmarks are deeply flawed. Accordingly, OSMRE's attempt to derive an enforceable conductivity standard is flawed and not supported by a rational basis. Moreover, federal selenium criteria are still in draft form and under review. Consequently, implementation of any selenium standards should wait until the federal criteria are finalized.	As described in the preamble to the proposed rule, 80 FR 44441 (July 27, 2015), the U.S. EPA has established an aquatic life benchmark of 300 microsiemens per centimeter (mS/cm) for electrical conductivity, based on a scientific determination that maintaining conductivity at or below this level should prevent the extirpation of 95 percent of invertebrate genera, such as mayflies, dragonflies, damselflies, and aquatic beetles, in central Appalachian streams. These standards can be found described in U.S. EPA. 2011. A Field-Based Aquatic Life Benchmark for Conductivity in Central Appalachian Streams (Final Report). Office of Research and Development, National Center for Environmental Assessment, Washington, DC. U.S. EPA/600/R-10/023F, p. 41. U.S. EPA states that this benchmark applies to parts of West Virginia and Kentucky and that it may be applicable to Ohio, Tennessee, Pennsylvania, Virginia, Alabama, and Maryland in Ecoregions 68, 69, and because the salt matrix and background (calcium and magnesium cations and sulfate and bicarbonate

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			<p>anions at circum-neutral pH) is expected to be similar throughout those ecoregions. U.S. EPA further states that this benchmark also may be appropriate for other nearby regions, but that it may not apply when the relative concentrations of dissolved ions are different.</p> <p>As of July 13, 2016 the U.S. EPA has issued final aquatic life criterion for selenium. The EIS has been updated to refer to these criteria.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Finally, the assumptions stated in OSMRE's DEIS, that mines per se are degrading streams and affecting aquatic life is unmerited by OSMRE's Annual Reviews of mining activity in coalmining regions (see http://www.osmrc.gov/programs/oversight.shtm)</p>	<p>OSMRE inspections and other oversight activities in primacy states, including the annual evaluation reports, focus on the success of state regulatory authorities in achieving compliance with the approved regulatory program for the state. Directive REG-8, which establishes policy and procedures for the evaluation of state regulatory programs, specifies that the offsite impacts identified in annual evaluation reports do not include impacts from mining and reclamation that are not regulated or controlled by the state program. The evaluation reports include only those offsite impacts of coal mining operations that would constitute a violation of the approved regulatory program. Offsite impacts that would not be a violation of the approved program are not identified. In other words, the annual evaluation reports generally do not identify or discuss situations in which the existing regulations provide inadequate protection. Directive REG-8 provides discretionary authority for evaluations of impacts that are not prohibited by the regulatory program, but that authority may be exercised only if both we and the state agree to do so and if they are not characterized as offsite impacts. Historically, that discretionary authority has not been exercised.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Surface Water Overview Section 3.5.2.3, p. 3-139 The relative sensitivity of streams to disturbance based on rangeland management studies has little relevance to</p>	<p>Table 3.5-4 is provided to give the reader an understanding of stream sensitivities to disturbances in general, as part of the description of the affected environment. While</p>

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		<p>understanding disturbances based on mining activities. Rosgen (1994) acknowledges that some extrapolation is possible in the context of developing a classification scheme for other resource management practices; however, Rosgen (1994), Kasprak et al. (2015) Simon et al. (2007) and others point out that extrapolation and adopting a scheme derived from a different resource management practice is inappropriate. Consequently, the DEIS fails to provide an impact analysis that is specific and useful to understanding mining-related impacts.</p>	<p>the Rosgen method focuses on rangeland management there are similarities between this type of disturbance and others, for example sedimentation. The Rosgen method presented here does not form the basis of the analysis for impacts of mining as it would be implemented under each of the alternatives. The model mine analysis does not rely on this system of stream sensitivity characterizations.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Appalachian Basin Coal-Producing RegionSection 3.5.3.1, p. 3-150"Studies suggest the flow in streams draining coal-bearing rock is poorly sustained. . . . In areas of the Cumberland Plateau, low-flow data suggest that Pennsylvania sandstones, shales, and coals demonstrate significantly lower flow than Pre-Pennsylvanian Limestones and dolomites. It is unclear whether this finding is attributable to their storage potential or enhanced mining activity associated with the Pennsylvania geology."In this paragraph, OSMRE acknowledges that it is unknown whether lower groundwater flow is attributable to mining-related activity or natural storage conditions. This uncertainty calls into question the validity of OSMRE's discussions underlying Chapter 4 of the DEIS.</p>	<p>Thank you for the comment. The specific report (Hufschmidt et al. 1981) referred to in your comment acknowledged that its findings were a broad characterization of the hydrology of a large area. The report also acknowledged that analysis of hydrologic impacts from a specific mining operation would necessarily have to be supplemented by specific site hydrologic data for the mine vicinity and additional data on the specific proposed mining operation. The analysis of chapter 4 utilizes model mine scenarios, based on actual permit data to define conditions of thirteen typical mines in the seven regions, to generate conclusions regarding the potential hydrologic impacts from implementation of any of the alternatives. The analysis of Chapter 4 does not rely on the broad generalizations of the Hufschmidt et al. report.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>The review presented in Section 3.6 includes a discussion of both direct mining-related air emissions and indirect emissions resulting from the combustion of coal in industrial and power generating emission units. The section then proceeds to analyze the impacts of these emissions on the attainment status of the various regions where coal mining occurs in the U.S. (Section 3.6.2 Air Quality by Coal-Producing Region). This analysis assumes that coal combustion emissions occur at the same location as coal mining. This is an incorrect and substantially inaccurate assumption that raises serious questions regarding the relevance of the analysis presented in this section. Coal is often transported significant distances (including export to</p>	<p>Section 3.6 provides a discussion of air quality resources as part of the description of the affected environment. It also provides general information on how emissions are released from mine sites and mining operations to provide a context for the later analysis of these issues related to each alternative. The analysis of the alternatives however, is done in section 4.2.4 of chapter 4. While the Alternatives would not directly affect operations at coal burning facilities, this section also includes a qualitative discussion of effects of coal burning on air quality to provide additional context and</p>

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		other continents) to its point of combustion. In fact, according to an assessment performed by the National Renewable Energy Laboratory, the vast majority of greenhouse gas and criteria pollutant emissions associated with the coal life cycle occurs at power plants, not coal mines. In order to make a relevant analysis of impacts of the Proposed Rule on local National Ambient Air Quality Standards (NAAQS) attainment, this analysis must be redone accounting for coal combustion emissions at the point of combustion, not at the mine site.	information.
OSM-2010-0021-0696	Murray Energy Corporation	Air Quality by Coal-Producing RegionSection 3.6.2, pp. 3-211 to 3-231This section discusses the impacts on NAAQS nonattainment based on the proximity of coal mines to nonattainment areas. It further discusses the contribution of SC>2 emissions to particulate and SO2 nonattainment. This correlation is entirely inaccurate and misleading. Coal mines extract a naturally occurring material (coal) that generates SC>2 when combusted. Actual direct emissions of SC>2 at coal mine sites are trivial; SC>2 emissions from coal occur at the point of combustion, which is frequently in a different state, or even continent than the mine where the coal originated. This section should be revised or removed to correct this misleading analysis.	Section 3.6 provides a discussion of air quality resources as part of the description of the affected environment. It also provides general information on how emissions are released from mine sites and mining operations to provide a context for the later analysis of these issues related to each alternative. The analysis of the alternatives however, is done in section 4.2.4 of chapter 4. While the Alternatives would not directly affect operations at coal burning facilities, this section also includes a qualitative discussion of effects of coal burning on air quality to provide additional context and information.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.3.2, pp. 3-250 to 3-259 It appears that OSMRE randomly selected the groups/taxa discussed in this section. For example, the DEIS provides a lengthy discussion regarding mussels, but only a few sentences on bats, with no discussion of how the latter is affected by mining. OSMRE should select groups for discussion based on the potential impact to the species' occurrence, status, characteristics, habitat, etc. from mining activities. The DEIS should discuss each group in relation to the Proposed Rule and its impacts on the species. Additionally, OSMRE should distinguish between the impacts to species caused by surface and underground mining	The discussion focuses on the taxa that are most likely to be impacted by the Proposed Action. The SPR would have minimal potential to change the level of effects on terrestrial species such as bats. The SPR contains requirements regarding reforestation but regardless, removal of vegetation prior to overburden removal would be required and terrestrial species in the area of vegetation removal would be impacted regardless of the alternative under consideration including the no action alternative. In contrast, the water quality implications of the alternatives have the potential for a greater degree of effect on aquatic species. Thus the discussion provides more detail on aquatic systems and resources than terrestrial ones. This is consistent with the requirements of NEPA to focus the

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			discussion on the issues that are truly significant to the action in question. 40 CFR 1500.1(b).
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE explains in Section 3 that the DEIS does not cover air resources. The discussion in Section 4, consequently, is inappropriate.	The comment is incorrect. Section 3.6 provides a discussion of air quality resources to comply with the requirement of NEPA for a description of the environment of the areas "to be affected or created by the alternatives under consideration" (40 CFR 1502.15).
OSM-2010-0021-0696	Murray Energy Corporation	In the discussion of the existing conditions, which is the model base condition and as such needs to be validated to demonstrate that it accurately represents the base conditions, there is little relevant information provided or references cited about western mines. One exception under Chemical Effects on Surface Waters (DEIS, at 4-50) is a reference cite to (Lowry et al., 1983) that indicates that acidity in western mines with higher alkalinity is "usually neutralized." Thus the one cited reference to western mine sites contradicts the purported water quality impacts that are said to be the intended target of the rule. Also see Table 4.2.1-6 (DEIS, at 4-66) which lists only references for eastern mine studies.	OSMRE agrees that acid or alkaline mine drainage has different or lesser impacts in western streams. As stated in the EIS, acid mine drainage "is relevant to mining nationwide, although not as prevalent in the western coalfields, where the geology, soils and hydrology provide high buffering capacity (alkalinity)." However, it is noted that heightened buffering capacity of western streams does not preclude water quality impacts that may be associated with mining. These impacts may include increased levels of total suspended solids, sulfates, and conductivity as discussed in Chapter 3 of the EIS.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-49 This section addresses surface water effects of the No Action Alternative. The need for an action alternative should be based solely on current mining practices, as carried out under the No Action Alternative, not on historical mining operations.	OSMRE disagrees with the commenter's statement that the need for an action alternative should not be based on historical mining operations. In fact, as noted elsewhere by this commenter, OSMRE must consider all relevant past, ongoing, and reasonably foreseeable future impacts as part of the EIS analysis. This includes past effects associated with legacy mining activities.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, pp. 4-49 to 4-50 This section discusses the negative impacts of the currently regulated chemical effluents—acidity, alkalinity, conductivity, and total suspended solids—but does not discuss items in the expanded list or explain why not measuring them has a negative impact. Selenium and arsenic are discussed only later in the DEIS.	Additional discussion of chemical effects on surface waters has been added to section 4.2.1 in the FEIS.
OSM-2010-0021-0696	Murray Energy	Section 4.2.1.1, p. 4-50, first paragraph	The sentence in question is an introductory

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	Corporation	Under existing regulations, mining continues to affect downstream water chemistry. This statement is very general and, while it may be true in specific instances, it is not true in general. There is no discussion of what small percentage of streams are subject to downstream water quality impacts.	statement, and while general, frames the discussion of the mining related effects on downstream water chemistry.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, pp. 4-52 to 4-53 This section introduces potential impacts to the groundwater resulting from both surface and underground coal mining operations. It should not be used in such a definitive context across all coal-producing regions in the U.S. OSMRE should emphasize the fact that every potential new project will have its own unique site characteristics. Each of the examples cited are field investigations of groundwater quality downgradient of surface coal mining operations. There is no mention of chemically impaired groundwater attributed to underground mining	Section 4.2.1.1 presents documented impacts of mining to surface water and groundwater. This section is dependent on published literature. The model mines analysis captures that different regions exhibit different sets of geologic conditions and associated suite of surface water and groundwater effects. Please see Master Responses on Regional Nature of Impact and Model Mines Analysis for more information.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.2, p. 4-56, Table 4.2.1-1, "Activities In or Near Streams, Including Excess Spoil and Coal Refuse" row "Limiting activities in or near intermittent and perennial streams should minimize the number and length of intermittent and perennial stream segments disturbed by mining, clarify that the regulatory authority can prohibit adverse impacts to perennial and intermittent stream segments of high environmental value, and ensure that operations promote enhancement of fish, wildlife, and related environmental values wherever and whenever practicable." Rarely are fill placement activities proposed or allowed in perennial streams, even intermittent streams are avoided most of the time. OSMRE exaggerates the positive effects of the rule.	The statement in 4.2.1.1 has been clarified to state that with respect to intermittent and perennial streams that are mined through during the course of mining operations, the CWA mitigation rule requires restoration. Compliance with these existing restoration standards will be required under the No Action Alternative.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.2, p. 4-56, Table 4.2.1-1, "Mining through Streams" row "Increase in miles of intermittent and perennial streams with restored hydrologic form and ecological function and increase in miles of ephemeral streams restored to hydrologic form after being mined through." "The proposed limitations on mining through streams would have little effect in western jurisdictions where alluvial valley floor regulations and existing regulations already provide a similar level of protection (see Mont. Admin. R. 17.24.308).	The regulations associated with alluvial valley floors are limited by definition to operations in areas of the arid or semi-arid southwest with water availability sufficient for subirrigation or flood irrigation. The SPR makes no changes to the regulations pertaining to these operations, other than edits to the discussion of permit renewals involving alluvial valley floor variances within 774.15(c)(3) for simplification to adhere to plain language principles. No aspects of the

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			alluvial valley fill regulations in 30 CFR 789.15 or 30 CFR Part 822 would be contradicted or superseded by the requirements of the SPR; neither do the requirements of the alluvial valley fill regulations make the SPR requirements unnecessary.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.2, pp. 4-60 to 4-61, last paragraph "Decreases in coal production in a given region would reduce the effects of coal mining on downstream water quality." OSMRE is mistaken on this point. Changes in coal production output have some ~ but not a significant -- correlation with effects on downstream water quality. Current land and water management practices by mining companies, regardless of the rate of coal production, largely influence downstream water quality.	OSMRE is not stating the degree to which downstream water quality would be impacted by the Action Alternatives in this sentence. The commenter states that coal production output has some relation to downstream water quality, which supports the statement in question in the text.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.5, p. 4-77 Under Alternative 1 (No Action Alternative), persistent effects from acid mine drainage are predicted. However, acid mine drainage is addressed under current regulations making such an outcome unlikely.	The text has been clarified to state that no further regulations or corrective measures in addition to those already in place would be implemented.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.5, p. 4-77 "Under the No Action Alternative, no changes in mining practices would occur and current water quality issues would likely persist. Subsection 4.2.1.1 above describes these issues in detail. They include pH impacts from acid mine drainage; elevated concentrations of iron, aluminum, manganese, and sulfate; sedimentation in the water column; flow alteration and stream elimination as a result of mining through streams and spoil management practices; drawdown of groundwater levels; and degradation of groundwater through increased concentrations of sulfate, iron, and other pollutants." OSMRE's statements imply that all coal mines are the same with regard to water quality and geology. In fact, coal mines, water quality, and geology vary significantly from region to region. NPDES regulations already in existence are being used to address potential issues.	The text has been clarified to state that under this alternative (i.e., No Action Alternative) no changes in mining practices would occur and current water quality issues associated with each mining system would likely persist. The clarifications communicate that conditions are not the same across all mining systems.
OSM-2010-0021-0696	Murray Energy Corporation	Biological Resources Section 4.2.2, p. 4-83 This section appears to advance the idea that no adverse effects are tolerable. This is unrealistic for coal mining or any other	The purpose of the proposed SPR is to reduce the adverse effects of coal mining on streams, and OSMRE states in section 4.2.2.2 that "The

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		industrial endeavor.	Action Alternatives include elements intended to reduce the adverse effect of coal mining activities on biological resources". Section 4.2.2 does not imply that any adverse effects are intolerable, but rather summarizes and analyzes the impacts of coal mining on biological resources given the current state of the science.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.1, p. 4-88 "Effects to the thermal regime (the fluctuation of water temperature throughout the year" "Effects to the flow regime (the baseline flow, or minimum flow of water throughout the year, and the pulses of water due to significant precipitation events) " No further explanation is provided for the first point, but of course, temperatures fluctuate throughout the year regardless of whether mining occurs. The second bullet point notes adverse effects to the flow regime. However, this appears to be based on the mistaken notion that mining necessarily increases flood potential, when the opposite is true in many cases. Additionally, valley fills in the Appalachian Region have been demonstrated to result in more consistent baseflow downstream of the fill.	Effects to temperature are often regulated under State CWA section 402 permits to address effects of higher temperature water on sensitive or indicator species. The commenter is mischaracterizing the second bullet regarding effects to the flow regime. OSMRE acknowledges that mining may often reduce the peak flows and total runoff from storm events and baseflow to streams, and the quoted portion of the text is a reference to the effect this has on stream biota.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.1, p. 4-94, first full paragraph "As a result, these structures alter the timing and amount of water that reaches streams, which in turn adversely impacts downstream habitats..." This conclusion ignores the potential habitat Benefits of water retention and delayed release, particularly for flashy arid/semiarid ephemeral streams where flows may only last hours, and delayed release could maintain vegetation in or adjacent to the channel for a longer period of time.	No documentation could be found to support the potential benefit suggested by the commenter.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE makes several claims throughout the DEIS, including [Section 4.2.2.1, p. 4-95, first paragraph], that a reforestation requirement with minimal discontinuity is essential to discouraging disruption, including the possibility for extinction, of species. However, OSMRE does not provide evidence where mine reclamation has endangered or caused the loss of species because of forest fragmentation or reclamation associated with mining. OSMRE should provide a	As described in Section 4.2.2.1, mining requires land clearing and temporary displacement of habitats, and creates discontinuity between different patches of remaining habitat. Continuing to promote discontinuity between forest patches will result in habitat fragmentation and edge effects. While a complete accounting of the effects of coal

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		more thorough analysis. The absence of objective information supporting these claims undermines the soundness of OSMRE's assertions. OSMRE should clarify how it arrives at conclusions that the No Action Alternative is less desirable than other options.	mining related forest habitat loss and fragmentation on species populations does not exist, Section 4.2.2.1 has been edited to provide examples of documented impacts. In particular, we note the effects of coal mines on forest habitat availability and populations of the cerulean warbler.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.8.2.2, p. 3-246 "The remaining list includes 60 species with designated critical habitat. The critical habitat of 39 of these 60 species occurs partially or entirely within the coal resources areas studied in this EIS. As shown in appendix F, Table F-2 Critical Habitat Overlap with coal regions, 100% of the critical habitat for the Laurel dace (<i>Chrosomus saylori</i>) occurs in areas with mineable coal." References to Appendix F Critical Habitat Overlap with Coal Regions are inadequate for identifying species' critical habitat for a specific region because the appendix is a summary for all regions collectively. OSMRE should separate the appendix into listed species by cover type by region.	The level of detail in the DEIS was sufficient but has been updated. The DEIS analyzed regional distributions of critical habitat, as well as the distribution of forest cover. Additional detail on species and habitats by region are contained within the Biological Assessment and Biological Opinion for the Stream Protection Rule.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.7, pp. 4-255 to 4-260 OSMRE presents the results of "analysis" for each alternative but provides no additional information on how the analysis was performed. It is unclear to what extent the results are based on evaluation and interpretation of actual data and how much is subjectively based on the personal judgment or opinions of the assessor(s).	The commenter is referring to EIS section on impacts to Land Use. As described, this analysis uses data on mining through streams; activities in or near streams (including excess spoil and coal refuse); AOC variances; surface configuration; revegetation, topsoil management, and reforestation; and fish and wildlife protection and enhancement.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-288 Discussion of a single watershed is insufficient to characterize the range of selenium concentrations resulting from coal mining nationwide. OSMRE should provide a broader discussion that covers the wide range of selenium concentrations that have been documented in various coal mining areas throughout the U.S. and Canada.	Additional citations have been added to the Effects of Selenium on Public Health in section 4.3.4.1 that expand upon and support the original sources cited.
OSM-2010-0021-0696	Murray Energy Corporation	Section ES.I, p. ES-1 "Scientific studies published since the adoption in 1983 of our principal regulations have indicated that surface coal mining operations continue to have significant negative impacts on streams, fish, and wildlife despite the enactment of SMCRA and the federal regulations implementing that law." This statement, which only mentions "surface coal mining operations," does not support	As discussed on page 1-3 of the DEIS, SMCRA defines surface coal mining and reclamation operations to include the surface effects of underground mining. 30 U.S.C. 1291. The environmental implications of the alternatives, including effects of the new requirements, on the surface effects of underground mining have

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		new or expanded regulation of underground mining. Moreover, as proposed, the rule would likely cause additional environmental degradation through requirements that result in slower reclamation (i.e., from needing to rework ditches multiple times), more drilling rigs, more site activity, more time onsite, increased haul distances, more storage piles, complication of an already complicated process, and longer delays in the permitting process, and which will increase overall disturbance time, eliminate sediment basins in intermittent streams resulting in additional disturbance and ditching, and increase noise.	been analyzed and are presented in the EIS and RIA.
OSM-2010-0021-0696	Murray Energy Corporation	In addition, since reclamation activities will be slowed, temporal effects to the environment will be extended (e.g., methane emissions will be increased as a result of a slowed operation). All of these additional impacts would have significant negative effects on streams, fish and wildlife.	OSMRE does not anticipate that the rule will result in slowing reclamation activities.
OSM-2010-0021-0696	Murray Energy Corporation	Hydrologic balance encompasses the dynamic relationship among precipitation, runoff, evaporation, and changes in ground and surface water storage. The cause-effect relationships between nutrient stressors and biological responses, from which the designated use criteria are derived, can be highly uncertain (Reckhow et al., 2005; Suplee et al., 2007; Stevenson et al., 2008; Kenney et al., 2009). The uncertainty of this cause-effect relationship results in an inability to correlate the effects of mining on the hydraulic balance, or the effects of the hydraulic balance on the environment.	Although there are uncertainties regarding the cause-effect relationship between water quality criteria concentrations and their specific effect on a given population of stream biota, there is extensive research, especially related to aquatic life water quality standards, outlining what LD50's and other biological indicators for sensitive and indicator species. The new aquatic life criterion for selenium is discussed in section 4.3.3.2 of the EIS.
OSM-2010-0021-0696	Murray Energy Corporation	Section 1.1.1, p. 1-11"Despite the enactment of SMCRA and the promulgation of federal regulations implementing the statute, surface coal mining operations continue to have negative effects on streams, fish, and wildlife. These conditions are documented in the literature surveys and studies discussed in Chapter 4."Pfannenstiel and Wendt (2002) cite 15 scientific studies published since the implementation of SMCRA that reflect advancements from research, application of new and improved technologies, mine-specific experience, and innovation that have reduced negative impacts on streams, fish, and wildlife. Pfannenstiel and Wendt. 2002. Twenty-plus years after SMCRA: Reflecting on the results. National Meeting of the American Society of Mining and Reclamation, Lexington KY, June 9-13, 2002. Published by ASMR, Lexington, KY 40502.	The text in question is not claiming that there have not been advances made in reclamation science. Further, OSMRE acknowledges the progress made toward better reclamation practices since the inception of SMCRA. Review of the 15 references mentioned in the comment reveals that impacts related to mining still occur despite the advancements that have occurred.

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OSM-2010-0021-0696	Murray Energy Corporation	<p>Surface Water Overview Section 3.5.2.3, p. 3-139</p> <p>"The predictions were derived from rangeland management studies but are applicable to other kinds of disturbances such as silviculture and surface mining (Rosgen, 1994; Rosgen, 1996)." OSMRE should explain whether these predictions are applicable to all regions. For example, it is unclear whether these predictions could be extrapolated to the Appalachian Basin Region.</p>	<p>While the Rosgen method focuses on rangeland management there are similarities between this type of disturbance and others, and the author explains that it is a theoretically derived classification scheme that is not intended to match observations of any specific water, within any specific region. Instead its purpose is to provide a useful classification methodology for extrapolation purposes, restoration designs, and prediction based on generally representative physical characteristics of a river.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Introduction and Background Section 3.6.1, p. 3-202</p> <p>Later sections of the DEIS recognize that SMCRA does not provide a mechanism or authority for OSMRE to regulate air emissions. Consequently, OSMRE's rationale and purpose behind this discussion of emissions of commonly regulated substances associated with the combustion of motor fuels is unclear, and it should be removed from the DEIS.</p>	<p>As discussed in section 4.2.4 OSMRE is limited in its ability to regulate air quality. Air emissions permits for coal mines fall under the authority of the Clean Air Act (CAA) and are not issued under SMCRA. The decision discussed in <i>In re Permanent Surface Min. Regulation Litig. I, Round II</i>, 1980 U.S. Dist. LEXIS 17660 at *43-44 (D.D.C., May 16, 1980), 19 Env't Rep. Cas. (BNA) 1477, clarifies that OSMRE does not have jurisdiction over industrial emissions, and that its jurisdiction is limited to air pollution attendant to wind and water erosion (e.g., exposing soil to wind causing particulates to become airborne). The decision clarifies that all other mining-related emissions are generally regulated under the CAA and not SMCRA. However the discussion of Section 4.2.4 examines air quality as a resource within the human environment, focusing on the specific components that coal mining operations can influence, and does not limit the discussion to what OSMRE is specifically authorized to regulate (i.e., erosion-related air pollution). This provides the required basis (40 CFR 1502.16) for a scientific and analytic comparison between the Alternatives.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Clean Air Act Regulatory Framework Section 3.6.1.1, p. 3-206</p> <p>"It should be noted that diesel engines used in underground mining equipment are exempt from these requirements as diesel emissions and air quality from such</p>	<p>Thank you for the comment. Edits have been made to Section 3.6.1.1 to clarify the MSHA regulations that apply.</p>

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		engines are regulated by the Mine Safety and Health Administration (MSHA). "This statement implies that emissions from underground mining equipment are not as stringently regulated as non-road equipment. In fact, the opposite is true. In order to achieve MSHA occupational exposure standards in an underground mining environment, tailpipe emission control technology for underground diesel-powered mobile equipment has far surpassed and preceded emission reductions achieved by non-road diesel engines. Tailpipe emission controls such as ceramic tailpipe particulate filters and NOX controls were pioneered on underground mining equipment and have yet to be applied to non-road equipment. OSMRE should correct the misleading characterization contained in the above referenced section of the DEIS.	
OSM-2010-0021-0696	Murray Energy Corporation	Federally Protected and Regulated Species Section 3.8.2.2, p. 3-246 "Another recent issue of concern for species that overlap mining areas is white-nose syndrome, a syndrome caused by the white fungus (<i>Pseudogymnoascus destructans</i>), which is causing fatalities in hibernating bats from the northeastern to the central U.S. The USGS reports that northeastern U.S. bat populations have declined approximately 80% since the emergence of the disease (USGS, 2015)." OSMRE should clarify the relevance of this information to mining or remove the statement entirely. To date, no link has been established between mining activity and white-nose syndrome in bats (Coleman and Reichard, 2014). Providing this sort of information in the DEIS does nothing but imply that white-nose syndrome, a serious threat to bat populations, is the result of coal mining activities.	Section 3.8.2.2 provides a discussion of resources in the area of effect, as required under 40 CFR 1502.15 to "describe the environment of the area(s) to be affected or created by the alternatives under consideration."
OSM-2010-0021-0696	Murray Energy Corporation	Alternatives 5, 6, and 7 require a 100-foot riparian buffer for all streams, similar to Alternative 2. However, because the requirements under Alternatives 5 and 7 apply only under specific circumstances, the associated Benefits to riparian and aquatic biological communities would be more limited than under Alternative 2, which is applicable to all surface coal mining operations. Likewise, all Alternative 6 elements apply only to activities in the 100-foot riparian corridor of intermittent or perennial streams. OSMRE should clarify the apparent contradiction in stream protection for Alternative 6. OSMRE states here that 100-foot buffers will	The text has been clarified (see section 4.2.2.5). Under alternative 6 if an operation were to occur partially or entirely within the 100-foot riparian corridor of an intermittent and perennial stream a 100-foot riparian buffer would be required around any impacted streams within that corridor regardless of type. For example, alternative 6 would require a 100-foot riparian buffer around the ephemeral stream segments occurring within 100 feet in any direction (including upstream) from impacted

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		be required for all stream types.	intermittent or perennial stream segments. This has been clarified in Section 4.2.2.5.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Coal mining alters the landscape by removing coal resources and changing the configuration and physical properties of rock and other earthen materials overlying the coal seam. Depending on the original topography, the thickness of the coal seam, the relative thickness of overburden, and mining method, significant changes in topography can result.</i> These effects are not limited to coal mining. Actions are taken every day as part of commercial, residential, and public land development projects that affect far greater areas than all mining in the U.S. combined. Also, this statement implies that all coal mining is surface, open-pit mining, when most coal mining is not.	OSMRE recognizes that impacts to natural resources occur in response to other activities in addition to coal mining. Trends in these other activities are discussed in the Cumulative Impacts section of the EIS. However, the focus of the impacts analysis is on the impacts of the SPR implementation on natural resources.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Underground mining also can dewater streams or diminish flows by fracturing strata that support perched aquifers or by draining aquifers to facilitate mining.</i> OSMRE suggests that there is always a direct interaction between surface water and groundwater systems. Groundwater and surface water bodies are not always connected, and not all waterbearing units, especially those characterized as perched, are viable or significant water sources.	Mining activities can affect both the quantity and direction of groundwater flow, however, OSMRE is not implying that this is always the case. Water infiltration contributes to groundwater, and coal mining and reclamation activities can change overland flow and the amount of water that infiltrates the surface to ultimately recharge the groundwater system.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.2.3.1, p. 4-133</i> <i>Surface mining completely alters the geologic structure above the lowest coal seam mined in that previously discrete strata of rock and soil, each stratum with its own distinctive characteristics, are converted to a more or less uniform fragmented mixture of rubble.</i> Any land development project will alter the surface geology to some degree. Surface mining is only a fraction of the nation's current and planned public and private land development activities.	OSMRE recognizes that impacts to natural resources occur in response to other activities in addition to coal mining. Trends in these other activities are discussed in the Cumulative Impacts section of the EIS. However, the focus of the impacts analysis is on the impacts of the SPR implementation on natural resources.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.2.3.2, p. 4-134</i> <i>None of the alternatives under consideration for the Protection of the Hydrologic Balance functional group would have any direct impacts on topography, geology, or soils.</i> OSMRE suggests that the hydrologic balance will stay intact only when there are no changes to the surface topography or soils. This is incorrect. Changes in natural precipitation, surface vegetation, and upstream changes to land use unrelated to mining activity have a large influence on the hydrological balance. For	OSMRE recognizes that impacts to natural resources occur in response to other activities in addition to coal mining. Trends in these other activities are discussed in the Cumulative Impacts section of the EIS. However, the focus of the impacts analysis is on the impacts of the SPR implementation on natural resources.

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		example, Gerten et al. (2004) and Andreassian (2004) describe the importance of vegetation and the natural evolution of changes in forestation and land use on the hydrologic balance.	
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.4.1, p. 4-289, Figure 4.3.4-2</i> <i>Figure title: Selenium concentrations (µg/L or parts-per-billion) measured in coal mine discharges and surface waters of the Mud River ecosystem, West Virginia, relative to levels that can bioaccumulate and become toxic to fish.</i></p> <p>The figure shows a chart from Lemly (2008) of selenium concentrations measured in the Mud River ecosystem and mine discharge relative to "toxic" levels for fish. OSMRE states that selenium in West Virginia is the most extensively studied in the U.S., and the Lemly (2008) study of Mud River watershed was selected as a case study. However, the water concentrations shown for the river and reservoir are based on small sample sizes ranging from n=1 to n=36, which is insufficient to draw conclusions for an entire watershed and ecosystem. One example does not suffice in demonstrating selenium impacts on coal mining nationwide.</p>	The studies by Presser and Luoma, 2010, and Presser, 2013, further support Lemly, 2008. Presser, 2013, documents other historical data sets and provides new data on selenium concentrations in stream water over a wider geographic range in West Virginia. These new data support the selenium concentrations observed by Lemly, 2008. The newer studies also provide mechanisms for bioaccumulation in ecosystem-based food webs, which clearly demonstrate the risk of bioaccumulation associated with selenium concentrations common to waterways downstream from coal mining activities. Further, given the increased awareness of how rapidly selenium can bioaccumulate in local foodwebs at low streamwater concentrations, U.S. EPA (U.S. EPA, 2014) has recommended that selenium concentrations in faunal tissue be used as a primary indicator of selenium toxicity in waterways.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section B.2, p. B-1</p> <p>Barbour et al. (1999) is a methods document, and not a comprehensive research effort, yet it is continually cited by OSMRE with respect to key concepts and assumptions. OSMRE should identify any other studies supporting the metrics and methods proposed specifically for applicability to mining sites.</p>	Barbour et al. (1999) is cited a total of 10 times in appendix B of the EIS, but these instances include several that are using the reference to help explain well accepted concepts related to assessment against reference conditions. The rule itself provides discretion to the SMCRA regulatory authorities to determine the appropriate metrics and methods for the specific condition; see the preamble to the rule. Therefore the reliance on Barbour et al. (1999) in the appendix of the EIS is nonconsequential to the analysis of the implications of the alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	Section B.2, pp. B-1 to B-7 OSMRE provides no discussion of baseline monitoring, which is the subject of this appendix. Using ecoregion reference for comparison is fine for that point in time, but sampling the stream prior to impact and	Thank you for your comment. The subject of Appendix B is stream biological assessment. The text provides an overview of criteria and metrics used in typical bioassessment methods.

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		then during or following impact does not provide sufficient cause-and-effect relationships between a specified activity, given the variables that can occur in both the baseline year and subsequent years. Variables such as weather, drought, floods, hurricanes, tornadoes, snowstorms, etc., can cause as much change as a specific activity. Sampling in different seasons can also result in different metric scores, and not all metrics are responsive to a specific perturbation.	In response to comments on the proposed rule, OSMRE has had extensive conversations with the Army Corps of Engineers and the U.S. EPA on biological baseline data collection and monitoring for aquatic resources and for demonstration of ecological function. Please refer to the final rule and preamble for detailed discussions of these topics.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section B.2, pp. B-1 to B-2, last paragraph</i> Each metric is a characteristic of the organism(s) that changes in a predictable way to disturbance. This is rarely true, and much less demonstrated even on a case-by-case basis for mining sites. These methods were developed based on organic enrichment and siltation effects not necessarily relevant for mine sites.	We disagree with commenter's suggestion that these methods are not relevant for mine related disturbance. Siltation is a possible result of mining disturbance. The U.S. EPA's Rapid Bioassessment Protocols have been used nationwide including within areas of active mining. Established biocriteria are based upon the expected biological community of a reference stream. The reference stream by definition is undisturbed and provides the bar by which the response of the organism in the disturbed site is measured.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section B.2.2, p. B-3, second and third paragraphs</i> Examples of taxa richness metrics include total species richness and the number of species found within the insect orders Ephemeroptera, Plecoptera, and Trichoptera (EPT), i.e., the number of mayfly, stonefly, and caddisfly species. Other examples of relative abundance metrics include Percent Top Dominant Species and Percent Ephemeroptera. OSMRE should replace the word "species" with "taxa." Taxa richness means the number of taxa in a given sample, which can be the number of families, genera, or species, or a combination of these if some individuals cannot all be identified to the same taxonomic level.	We agree that measurements of taxa richness and relative abundance are not based solely on species, and that certain individuals may only easily be identified to taxonomic levels other than species. However, the text provides these only as examples and these examples are not intended to be an all-inclusive list of metrics for either taxa richness or relative abundance.
OSM-2010-0021-0696	Murray Energy Corporation	Section B.2.2, p. B-4, Table B-1, HBI (Hilsenhoff Biotic Index) The North Carolina Biotic Index (NCBI) is used as much, if not more often, than HBI. NCBI should be included as an example.	No change is necessary in response to this comment. Table B-1 provides a non-inclusive list of commonly used, nationally applicable metrics used for macroinvertebrates. Examples are provided to illustrate the concepts of each type of measure but we recognize that the table does not include every commonly used system, including the North Carolina Biotic Index as pointed out in the comment.
OSM-2010-0021-0696	Murray Energy	<i>Section B.2.2, p. B-5, Table B-1, "Tolerances Measures" row</i>	We agree that the number of intolerant taxa is

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	Corporation	<i>Number of Intolerant Taxa: Number of macroinvertebrate families with tolerance values of three or less</i> OSMRE should replace the word "families" with "taxa." Taxa richness means the number of taxa in a given sample, which can be the number of families, genera, or species, or a combination of these if some individuals cannot all be identified to the same taxonomic level.	not limited to families, genera, or species alone. While this is given as an example, we understand that this may not provide sufficient clarity; therefore, we have revised the explanation as follows: "Number of macroinvertebrate families, genera, species, or combination of these, with tolerance values of three or less."
OSM-2010-0021-0696	Murray Energy Corporation	Section B.2.2, p. B-5, Table B-IOSMRE should add another category as follows: "Number of Tolerant Taxa: Number of macroinvertebrate taxa with tolerance values of seven or higher."	We agree with the commenter that the Number of Tolerant Taxa should be included within the table to be consistent with the layout of intolerant taxa. Table B-1 has been updated.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.1.3, p. C-2, third paragraph</i> <i>Levick et al. (2008) discussed the functions of ephemeral and intermittent streams...</i> Levick et al. (2008) is cited many times as support for the importance and functions of ephemeral and intermittent streams in arid and semiarid regions. OSMRE should identify other studies that reached similar conclusions, particularly for streams in higher rainfall areas.	As identified in the preamble to the proposed rule (See 80 FR 44451, published July 27, 2015) U.S. EPA recently completed a literature review of the importance of headwater streams and published a report summarizing the findings of more than 1,200 peer reviewed studies. The report is titled "U.S. Environmental Protection Agency, Connectivity of Streams and Wetlands to Downstream Waters: A Review and Synthesis of the Scientific Evidence (Final Report). Office of Research and Development, National Center for Environmental Assessment, Washington, DC U.S. EPA/ 600/R-14/47F (2015). The report is available in its original location at http://cfpub.epa.gov/ncea/cfm/recordisplay.cfm?deid=296414 , and has also been uploaded to regulations.gov along with other noncopyrighted supporting documents for the SPR. The SPR docket at regulations.gov can be accessed at https://www.regulations.gov/#!documentDetail;D=OSM-2010-0018-0001 .
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.1.3, p. C-2, third paragraph</i> <i>In arid and semi-arid regions, the variability of the hydrological regime is the key determinant of both plant community structure in time and space and the types of plants and wildlife present.</i> Most of the mining regions are not in arid or semiarid regions. OSMRE should include a discussion of ephemeral and intermittent streams representative of all the regions discussed in the Proposed Rule.	The comment quotes text from one sentence of a passage from Levick et al. (2008) that is providing information on how the streams of arid and semi-arid streams differ from the streams in areas already described (i.e. streams in non-arid or semi-arid regions). The passage does not exclusively focus on streams in arid and semi-arid regions and neither does the DEIS.

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OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.I.6, p. C-3, first paragraph</i> <i>During hyporheic flow, stream water and groundwater mix in the beds and banks of ephemeral, intermittent, and perennial streams and sometimes in regions surrounding stream channels (Findlay, 1995; Levick et al, 2003). Neither of these two publications discusses ephemeral streams</i>	The quoted text comes directly from page 7 of Levic et al, 2003. The reference to Findlay appears therefore to be unnecessary for this specific statement and has been deleted.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.I.7.1, p. C-7, second paragraph</i> <i>Once flow becomes channelized, the ability to trap sediment is significantly reduced (Karr and Schlosser, 1978; Dillaha et al, 1989; Osborne and Kovacic, 1993; Daniels and Gilliam, 1996). OSMRE should list sediment reductions from these studies for comparison</i>	Thank you for the comment. After thorough consideration we have decided not to make the suggested change. The quantity of sediments trapped in each study would depend on a multitude of factors and therefore a direct comparison between the findings of the studies would require a lengthy discussion of the methodology and variables relevant to each study, and some amount of normalization across the findings. For the intended purpose of the paragraph in this appendix of the DEIS, which is to merely inform the reader of the basic finding that stream form affects stream deposition, this level of detail is unnecessary. For example, Karr and Schlosser (1978) found that the effects of vegetation on nutrient and sediment transport was dependent on several variables including water depth relative to vegetation height, length and slope of the vegetated area, vegetation characteristics, size distribution of incoming sediments, application rate of water, overall slope and the slope length before water reaches the vegetation.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.I.8, p. C-1 1, first paragraph</i> <i>In a study of intermittent and perennial streams in Alabama, macroinvertebrate assemblages of normally intermittent streams did not differ greatly from those of nearby permanent or perennial streams (Feminella, 1996). Feminella studied 6 small streams for 2 years, all of which were intermittent (normally intermittent, rarely intermittent, occasionally intermittent), and found that 75% of the 171 taxa were common in all 3 types of intermittent streams. He also found that "year-to-year differences in assemblages within single streams appeared as great as differences between streams of contrasting permanence within a given year." So even with no detrimental inputs</i>	The referenced text is discussing the Feminella research conclusions that showed similarities in organisms present in intermittent streams in comparison to perennial streams. The text did not assert that this research examined differences in streams as a result of impacts. Variability between sampling periods, and the impact that variability would have in determining if material damage to the hydrologic balance had occurred, has been accounted for in the final rule.

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		into these streams, there were differences within the same stream between the years.	
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.1.9, p. C-13, first paragraph</i> <i>U.S. EPA et al. (2003) provided a detailed description of the River Continuum Concept developed by Vannote et al. (1980); that description is included here because it is relevant to the streams and rivers distributed throughout the coal regions of the U.S.</i> The river continuum concept relates to much larger systems than the small streams on mining sites. Such dynamic processes and scales are not relevant, and the organisms resident to such small streams are suited to these less dynamic systems. OSMRE should clarify whether it is intended that all processes related to river continuum concept be considered or evaluated in reclaiming such small streams.	Appendix C is provided for information purposes to elaborate on concepts of aquatic systems that can and do occur in coal mining regions. OSMRE does not intend that the inclusion of this information be construed as a requirement to consider or evaluate all streams in accordance with the concept.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section C.2, p. C-19, first paragraph</i> <i>Lentic aquatic systems are defined as non-flowing water bodies such as natural lakes and ponds or artificial impoundments such as a reservoir. Lentic systems are also referred to as lacustrine habitats, which may include palustrine habitats as described below. Lentic water bodies can be permanently flooded, intermittent (e.g., playa lakes), or have a tidal influence where ocean derived salinities are below 0.5 percent (Cowardin et al., 1979). Some lentic systems may be fresh water bodies, while others have varying levels of salinity (e.g., Great Salt Lake).</i> OSMRE should clarify the relevance of discussing standing waters and lakes.	Appendix C is an overview of all aquatic systems occurring in coal mining regions. Lentic systems occur within coal mining regions.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, p. 4-93 This statement ignores the fact that replacing the physical habitat is a large part of restoring the biological community, and particularly for western ephemeral streams, there may be little or no aquatic biological community present.	OSMRE acknowledges that stream habitat and buffer restoration provides the basis for biological community development. However, Northington et al., 2011, indicates that recovery of biological characteristics does not always occur. These statements do not refute that western ephemeral streams may demonstrate a different biological community.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of the Current Regulatory Environment (the No Action Alternative) Section 4.2.2.1, p. 4-94, first full paragraph Current and future regulations will require structures for erosion prevention and sediment control, which are likely to be part of an NPDES permit. By restricting or eliminating	The comment is incorrect. The alternatives do not eliminate or excessively restrict placement of sediment ponds. To the contrary, the final rule merely requires at Paragraph (c)(1) of 816.45 that permittees locate sediment ponds as near as possible to the disturbed area and

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		detention basins and sediment ponds, OSMRE is effectively encouraging more soil erosion and sedimentation during rainfall events during active mining operations. Further, the entire analysis of land disturbance is focused and relevant only to surface mining conditions.	outside perennial or intermittent stream channels unless the regulatory authority approves of the location in accordance with sections 780.28 and 816.57(h). In all cases, operators must construct sediment ponds as closely as possible to the downstream limit of the disturbed areas they serve. These requirements minimize, to the extent possible, adverse impacts to streams, particularly intermittent and perennial streams.
OSM-2010-0021-0696	Murray Energy Corporation	Action Alternatives and Potential Effects on Biological Resources Section 4.2.2.2, p. 4-97, first paragraph OSMRE is incorrect. If stable stream channels are constructed properly, it would be fairly easy and cost-effective to ensure that benthic invertebrates are reintroduced successfully.	The commenter understates the complexity of the restoring a healthy biological community. While it may be true that restoring benthic invertebrates would be cost-effective, the commenter fails to acknowledge that benthic invertebrates represent a limited range in food webs of stream systems. Restoring true ecological function to a stream system would also include returning primary production to support the introduced benthic invertebrates and higher order consumers, such as those that prey on benthic invertebrates. The complexity of the trophic ladder bears further questions relating to appropriate nutrient input to stimulate primary production and the correct mix and abundance of higher order consumers such that lower trophic levels remain viable.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the No Action Alternative on Water Resources; Surface Water and Groundwater Effects Section 4.2.1.1, p. 4-49 OSMRE allows for exceptions to the Proposed Rule for remining to occur to improve water quality; however, here, OSMRE states all mining has negative impacts with respect to water quality and quantity.	Thank you for the comment. The text is clear and requires no change. The text acknowledges that both surface and underground mining operations have the potential to adversely affect surface water quality; the statement is not definitive, it does not say that all mining results in impacts. Additionally the page speaks about activities that are an unavoidable consequence of mining as a result of the nature of the activity including removing surface disturbance and vegetation removal associated with construction of mine related features or to remove the coal itself. These factors would also be associated with remining activities to some extent but do not negate the potential

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			site specific, net Benefits of reining.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Impacts of the No Action Alternative on Water Resources: Surface Water and Groundwater Effects Section 4.2.1.1, pp. 4-49 to 4-50 This section discusses the negative impacts of the currently regulated chemical effluents—acidity, alkalinity, conductivity, and total suspended solids—but does not discuss items in the expanded list or explain why not measuring them has a negative impact. Selenium and arsenic are discussed only later in the DEIS.</p>	<p>The discussion of ‘Chemical Effects on Surface Waters’ and ‘Documented Impacts Related to Activities in or Near Streams’ sections of Chapter 4.2.1.1 have been updated to include more discussion of selenium as an important biologic stressor. Further, the discussion now includes information on the recently developed conductivity thresholds presented in U.S. EPA, 2011.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Impacts of the No Action Alternative on Water Resources: Chemical Effects on Surface Waters Section 4.2.1.1, p. 4-50, first paragraph OSMRE presumes that all mining activities in all regions have the ability to release these specific elements, when, in fact, most mines do not exceed NPDES limits.</p>	<p>The Clean Water Act is not as comprehensive as SMCRA with respect to protection of the hydrologic balance. The Clean Water Act does not require establishment of a premining baseline and it only requires monitoring of point-source discharges. SMCRA requires that permit applications include baseline information so that the potential impacts of mining can be assessed at the time of permit application and so that impacts that occur during mining and reclamation can be readily identified and evaluated. SMCRA also requires monitoring of both the quality and quantity of surface water and groundwater, as well as monitoring sites located above and below the mine site. Therefore, deferral to state Clean Water Act authorities would not achieve the same results as the stream protection rule.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 3.8.3.3, pp. 3-253 to 3-254 "Based on the important role that crayfish play in the stream food web, any disturbance to crayfish abundance may have a negative impact on the stream ecosystem (Seiler and Turner, 2004). "If, as stated in the DEIS, "any disturbance to crayfish abundance may have a negative impact," it is unlikely that crayfish populations (abundance) would increase six-fold in response to acidification, an obvious concern in mining areas. The unexpected relationship between crayfish abundance and acidic conditions, and the higher crayfish abundance in intermittent streams point to the fact that determining causality between physical/chemical conditions and biological health in a stream can be very difficult and</p>	<p>The commenter has taken the quoted text out of context. The text in its entirety is merely making the point that crayfish are important components of the ecosystem and that reduced crayfish abundance would therefore reduce the extent of their role in the ecosystem. It does not, as the quoted excerpt would appear to indicate, state that there would be negative impacts to the stream ecosystem from any disturbance of any type or intensity to crayfish abundance. No changes were necessary in response to this comment.</p>

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		highly site-specific.	
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's method for determining affected streams is fraught with errors and uncertainties and lead to results that are not scientifically, technically, or statistically defensible.	The focus of the analysis is on evaluating how the Stream Protection Rule (and regulatory alternatives) will reduce adverse environmental impacts of coal mining, in particular on hydrology and water quality. Accordingly, the discussion in Section 7.3 of the RIA relates the rule elements to specific improvements in environmental metrics. These findings are summarized in Exhibit ES-4A, which describes each environmental improvement in terms of the rule elements triggering the benefit and the ecosystem services supported by the environmental improvement. More information relating the rule elements to particular environmental improvements is provided in Chapter 4 of the accompanying EIS.
OSM-2010-0021-0060	Ohio Department of Natural Resources	<p>Chapter 4, Page 4-94, 2nd Paragraph "Finally, current regulations contain requirements for the construction of siltation and discharge structures to prevent additional contributions of suspended solids outside the permit area to the extent possible (30 CFR 816/817.46 and 816/817.47). These engineered features detain water, by design, until sediments have settled out to allow the effluent from the structure to meet state and federal effluent limitations. As a result, these structures alter the timing and amount of water that reaches streams, which in tum adversely impacts downstream habitats (U.S. EPA et al., 2003; Woody et al., 2010). The creation of artificial water bodies alters flow dynamics and flood regimes, promotes the biotic homogenization of in-channel environments, and can alter the influx of allochthonous organic materials that are essential to the energy flow and biological productivity in stream ecosystems (Jackson, 2005; Rohasliney and Jackson, 2009; Fritz et al., 2010; Palmer et al., 2010)."</p> <p>Comment: This statement appears to assume that such features are always permanent, which is not the case. Implying permanency to temporary features or actions, and then basing a rule on that implication, does not seem appropriate.</p>	Thank you for the comment. While the alternatives, including the Preferred Alternative, do not assume that these features are permanent it is understandable that the text as written could be misconstrued as such. Edits have been made to the DEIS text. Additionally please see the discussion of "temporary" impacts contained in the preamble to the rule.

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OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	In consideration of the Affected Environment as well as Environmental Consequences for the Air Quality Resource, the DEIS fails to consider coal bed methane production wells. Coal bed methane production wells recover the methane for beneficial use in advance of mining rather than as a fugitive emissions source as characterized throughout the DEIS. The DEIS should be modified to address coal bed methane production wells and their beneficial nature.	The estimated emissions from surface and underground mines presented in Section 4.2.4 of the FEIS account for the fact that some mines capture methane generated from degasification systems thereby reducing emissions to the atmosphere. The methane emissions estimates presented are net of the methane that is recovered, as reported in the U.S. EPA's Greenhouse Gas Inventory reports. That is, the estimates of methane emissions from underground mines equal the methane liberated from ventilation and degasification systems, minus the methane recovered and used. The FEIS also notes that the objective of the U.S. EPA's Coalbed Methane Outreach Program is to promote the recovery and use of coal mine methane and that future voluntary involvement in this activity on the part of coal operations is uncertain. However, to the extent that participation grows over time, baseline (No Action Alternative) methane emissions associated with coal mining may decrease in the future.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	DEIS page 3-224, Figure 3.6-9 Nonattainment Areas in the Northern Rocky Mountain and Great Plains Region i. The URL reference for the figure is no longer valid. ii. Only a portion of Sheridan County Wyoming, specifically the City of Sheridan, is designated nonattainment for PM10. www.epa.gov/airquality/greenbook/pncs.html#WYOMING	The commenter is correct in that the cited website address was no longer valid. It has been updated in the FEIS. OSMRE acknowledges that the entirety of each county may not be listed as a nonattainment zone. The text lists all counties having nonattainment zones in each region consistently throughout this section.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	The Upper Green River Basin (Sublette County and portions of Lincoln and Sweetwater Counties) in southwest Wyoming was designated as a marginal ozone nonattainment area effective July 20, 2012. www.epa.gov/airquality/greenbook/hncs.html#WYOMING The U.S. EPA published a Proposed Rule on August 27, 2015 (FR Vol. 80, No. 166: 51992-52002) that included Determinations of Attainment by the Attainment Date. U.S. EPA proposed to determine that the Upper Green River Basin has an ozone design value that is below 0.075 ppm, and has attained the ozone air quality standard by the attainment date of July 20, 2015.	OSMRE appreciates the information on marginal ozone nonattainment zones for the state. The relevant text has been updated in the FEIS to state that the Upper Green River Basin in Sublette, Lincoln (partial), and Sweetwater (partial) counties has been designated as marginal nonattainment for ozone. Further, the relevant figures have been updated according to current nonattainment designations.

ENVIRONMENTAL IMPACTS OF COAL MINING			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	b. DEIS page 3-225, 1st paragraph, “Southwest Wyoming is proposed for designation as nonattainment for ozone (U.S. EPA, 2011b).” i. The Upper Green River Basin (Sublette County and portions of Lincoln and Sweetwater Counties) in southwest Wyoming was designated as a marginal ozone nonattainment area effective July 20, 2012. Attachment 2 – Page 2 of www.epa.gov/airquality/greenbook/hncs.html#WYOMING The U.S. EPA published a Proposed Rule on August 27, 2015 (FR Vol. 80, No. 166: 51992-52002) that included Determinations of Attainment by the Attainment Date. U.S. EPA proposed to determine that the Upper Green River Basin has an ozone design value that is below 0.075 ppm, and has attained the ozone air quality standard by the attainment date of July 20, 2015.	OSMRE appreciates the information on marginal ozone nonattainment zones for the state. The relevant text has been updated in the FEIS to state that the Upper Green River Basin in Sublette, Lincoln (partial), and Sweetwater (partial) counties has been designated as marginal nonattainment for ozone. Further, the relevant figures have been updated according to current nonattainment designations.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	c. DEIS page 3-225, bulleted list of nonattainment areas within the Northern Rocky Mountains and Great Plains region i. Only a portion of Sheridan County Wyoming, specifically the City of Sheridan, is designated nonattainment for PM10. www.epa.gov/airquality/greenbook/pncs.html#WYOMING ii. The Upper Green River Basin (Sublette County and portions of Lincoln and Sweetwater Counties) in southwest Wyoming was designated as a marginal ozone nonattainment area effective July 20, 2012. www.epa.gov/airquality/greenbook/hncs.html#WYOMING The U.S. EPA published a Proposed Rule on August 27, 2015 (FR Vol. 80, No. 166: 51992-52002) that included Determinations of Attainment by the Attainment Date. U.S. EPA proposed to determine that the Upper Green River Basin has an ozone design value that is below 0.075 ppm, and has attained the ozone air quality standard by the attainment date of July 20, 2015.	OSMRE appreciates the information on marginal ozone nonattainment zones for the state. The relevant text has been updated in the FEIS to state that the Upper Green River Basin in Sublette, Lincoln (partial), and Sweetwater (partial) counties has been designated as marginal nonattainment for ozone. Further, the relevant figures have been updated according to current nonattainment designations.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	d. DEIS page 3-224, Figure 3.6-9 Nonattainment Areas in the Northern Rocky Mountain and Great Plains Region. The figure reference reflects utilization of outdated information (2005). Additionally, it was not possible to find the basis for the figure utilizing the URL reference. ii. The figure is incorrect in the labelling of three (3) “Non-Attainment Ozone Areas” in western Wyoming. The Upper Green River Basin (Sublette County and portions of Lincoln and Sweetwater Counties) in southwest Wyoming was designated	OSMRE appreciates the careful review of the figure in question. The figure has been updated and clarification is provided for the cited URL link in the FEIS.

ENVIRONMENTAL IMPACTS OF COAL MINING			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		<p>as a marginal ozone nonattainment area effective July 20, 2012. www.epa.gov/airquality/greenbook/hnacs.html#WYOMING The U.S. EPA published a Proposed Rule on August 27, 2015 (FR Vol. 80, No. 166: 51992-52002) that included Determinations of Attainment by the Attainment Date. U.S. EPA proposed to determine that the Upper Green River Basin has an ozone design value that is below 0.075 ppm, and has attained the ozone air quality standard by the attainment date of July 20, 2015.</p>	
OSM-2010-0021-0050	Wyoming Department of Environmental Quality: Air Quality Division	<p>Wyoming Air Quality Standards and Regulation (WAQSR) Chapter 6, Section 2 covers general air quality permitting requirements for construction and modification of minor and major sources. A key cornerstone of WAQSR Chapter 6, Section 2 is the requirements for Best Available Control Technology for all sources. As a result, it is a mischaracterization to make such an overly broad statement such as that on page 3-225 “Therefore, dust emissions from mining activities caused by haul roads and conveyors are a concern in this region.”</p>	<p>OSMRE appreciates the comment related to the statement in section 3.6.2.5 on dust emissions from mining activities. The text has been updated to state that per WASQR, Wyoming DEQ requires the use of Best Available Control Technology to minimize air quality impacts.</p>

8. Public Health

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COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0068	Earthjustice	OSMRE recently acknowledged the weighty scientific evidence that surface coal mining is causing disease and death in Appalachia. In light of that acknowledgment, there is no justification for OSMRE to fail to consider the public health consequences of its decision. Likewise, U.S. EPA's final action on the Spruce No. 1 Mine cited nine studies linking mountaintop removal mining and public health impacts.	OSMRE has considered the potential public health and safety implications of implementing Alternatives for the SPR, and discusses these in Section 4.3.4 of the EIS. Public health literature exists that link water and air quality to public health; these are benefits of the SPR. This section also states that in general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.
OSM-2010-0021-0068	Earthjustice	These health costs dwarf any economic Benefits to Appalachia from surface coal production. Using the value of a statistical life lost of \$7.5 million, the deaths attributable to surface coal mining in Appalachia represent a total cost of \$74.6 billion. "In contrast,...the direct (monetary value of mining industry jobs, including employees and proprietors), indirect (suppliers and others connected to the coal industry), and induced (ripple or multiplier effects throughout the economies) economic Benefits of coal mining to Appalachia..." are only \$8.08 billion in 2005 US\$.31	Thank you for your comment. Please refer to Master Response on Public Health Effects.
OSM-2010-0021-0039	Jim Thomas	The table on page 4-26 indicates major Benefits to public health. There is no data in the DEIS to backup this claim or any of the alternative analysis.	The evaluation of the potential impacts on public health is qualitative and relies primarily on the potential effects of the Action Alternatives on improving water quality. Impacts to water resources are anticipated associated with improving baseline monitoring, establishing evaluation thresholds to prevent damage, requiring mandatory evaluation of monitoring data, and improving techniques to better restore sites to premining conditions. Improvements in water quality may also benefit public drinking water suppliers by reducing pollutant levels and therefore costs of water treatment. The relative

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			impact to public health and safety (e.g., minor, moderate, and major) is directly related on these impacts to water resources, which are dependent on the expected reduction in mining and extent of the geographic area impacted. Thus, areas that currently demonstrate the highest mining intensity are anticipated to experience the greatest impact to public health and safety. Please see Section 4.3.4.2 for additional details.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.0.3.7, p. 4-11 "Major Beneficial" impacts are anticipated as a result of several of the alternatives. These health and safety impacts are not defined and should be clarified along with their source for inclusion in the rule. Impacts to public health and safety are classified as major, moderate, and minor. However, there is insufficient information provided related to water and air quality to justify classifications. The discussion of baseline is focused on one watershed in Appalachia and provides no rationale or basis for classification of Benefits beyond this watershed or in other regions.	Public health impacts are explained in more detail in section 4.3.4 of the EIS, and rationale for impact determinations can be found in Table 4.3.4-4. Public health is an aspect of the human environment that is relevant to be analyzed under NEPA. The evaluation of the potential impacts on public health is qualitative and relies primarily on the potential effects of the Action Alternatives on improving water quality. Impacts to water resources are anticipated associated with improving baseline monitoring, establishing evaluation thresholds to prevent damage, requiring mandatory evaluation of monitoring data, and improving techniques to better restore sites to premining conditions. Improvements in water quality may also benefit public drinking water suppliers by reducing pollutant levels and therefore costs of water treatment. The relative impact to public health and safety (e.g., minor, moderate, and major) is directly related on these impacts to water resources, which are dependent on the expected reduction in mining and extent of the geographic area impacted. Thus, areas that currently demonstrate the highest mining intensity are anticipated to experience the greatest impact to public health and safety. Please see Section 4.3.4.2 for additional details.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE fails to evaluate the impact of unemployment on health care and the loss of medical Benefits. Adverse health impacts are in fact expected, as loss of employment may lead to loss of health insurance, especially in those communities that meet the environmental justice thresholds as outlined on page 4-326.	Section 4.3.1.1 of the EIS recognizes that where coal mining is a key employment opportunity, quality of life may be negatively affected by reductions in mining activity levels, depending on the level of alternative emerging industries and re-employment opportunities.

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OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, pp. 4-288 to 4-291 The mere presence of a potentially toxic substance in water or fish tissue does not equate to a risk without a complete exposure route and a dose that is sufficient to elicit an adverse effect.	OSMRE agrees that the presence of selenium in water resources does not itself determine risk to aquatic life or to humans. U.S. EPA's 2016 "Recommended Aquatic Life Ambient Water Quality Standard for Selenium in Freshwater" reflect the latest scientific knowledge, and are expressed both in terms of fish tissue concentration (egg/ovary, whole body, muscle) and water concentration (lentic, lotic) (https://www.epa.gov/wqc/aquatic-life-criterion-selenium). These standards are designed to be protective of aquatic life. Section 4.3.4.1 has been updated to include information about U.S. EPA's 2016 aquatic life criteria for selenium, and to clarify where the text is referring to aquatic life versus drinking water criteria.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-289, first paragraph The detection of selenium is implied to be a health risk. However, the mere detection of selenium in fish tissue is not associated with health risk (see U.S. EPA, 1989 for an understanding of the potential for health effects due to environmental exposures). The concentration of selenium in tissue AND consumption rate of this specific tissue together determine whether fish ingestion is a potential health concern. Selenium is almost always detected in marine and freshwater fish nationwide, and the diet is the primary source of this essential nutrient (ATSDR, 2003).	OSMRE agrees that the presence of selenium in water resources does not itself determine risk to aquatic life or to humans. U.S. EPA's 2016 "Recommended Aquatic Life Ambient Water Quality Standard for Selenium in Freshwater" reflects the latest scientific knowledge on this topic and recommends maximum selenium levels in terms of fish tissue concentration (egg/ovary, whole body, muscle) and water concentration (lentic, lotic) (https://www.epa.gov/wqc/aquatic-life-criterion-selenium). These standards are designed to be protective of aquatic life. Section 4.3.4.1 has been updated to include information regarding U.S. EPA's 2016 aquatic life criteria for selenium, and to clarify where the text is referring to aquatic life versus drinking water criteria.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-289, last paragraph "There are additional studies that have found toxic levels of selenium in surface water near coal mining areas."The selenium concentrations listed in this section (maximum of 14.1 ppb) are below the federal maximum contaminant limit of 50 ppb for drinking water, which does not support the statement that "toxic levels of selenium in surface water" have been found near coal mining areas. Also, the additional studies referenced in this text all pertain to the	The value of 14.1 micrograms per liter is not a maximum, but an average. Based on U.S. EPA's 2016 aquatic life ambient water quality criteria for selenium, concentrations such as the 14.1 micrograms per liter cited in the text, have the potential to impair aquatic life while remaining within drinking water guidelines. While surface water concentrations in mountain top mining streams may remain within drinking water

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		Mud River watershed only. OSMRE should provide examples for multiple watersheds given the complexity of geochemical influences on selenium nationwide.	guidelines as specified by U.S. EPA, the risk of bioaccumulation and biomagnification is the foodweb at these selenium concentrations represents an important potential pathway to human populations.
OSM-2010-0021-0696	Murray Energy Corporation	The relevance of stream selenium concentrations to public health is not clear. Unless the surface water concentrations shown in this figure represent drinking water concentrations, there is no direct link between selenium in the Upper Mud River and public health and safety, which is the subject of this DEIS section.	This section discusses the fate and transport of selenium in aquatic ecosystems. The information provided in this section discusses the flow of selenium from mine discharge to ambient water, which then can rapidly bioaccumulate in aquatic ecosystems and beyond. This text in this section has been clarified in that all referenced selenium criteria pertain to aquatic life rather than public health. Because humans are linked to aquatic ecosystems, selenium in aquatic ecosystems does represent a pathway for human exposure.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-291, last paragraph "A study published in 2012 sampled the groundwater in 58 wells and springs in West Virginia. The study found elevated levels of selenium in general, and three of the samples tested exceeded U.S. EPA's surface water quality criterion for selenium (5 ngL ⁻) (Brantley, 2012)." Elevated levels of selenium in groundwater wells or surface water in coal-mining areas do not indicate there is a public health concern. Furthermore, exceedance of U.S. EPA's ambient water quality standard of 5 ppb is pertinent to an evaluation of ecological receptors, not public health. The U.S. EPA maximum contaminant level for drinking water is 50 ppb, a value considered protective of public health. This should be the standard used when assessing the potential for impacts to public health and should apply only to drinking water wells and other drinking water sources.	The text in 4.3.4.1 has been revised to clarify that Brantley, 2012 found selenium levels that exceeded the aquatic life ambient water quality criterion for selenium. However, Stout and Papillo (2004) identified selenium levels in at least one well that exceeded drinking water quality standards.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-292, first paragraph OSMRE implies that selenium may be associated as the cause of polyps. The evidence, however, suggests that selenium accumulates as a result of the disease. Alimonti et al. (2008) noted that "the increment of Se [selenium] in cancerous patients has been tentatively viewed as an effort of the body to inhibit the growth of tumors."	The citation and excerpt provided by the commenter do not indicate definitive evidence that selenium accumulation increases only in defense against tumor growth.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.4.1, p. 4-292, second paragraph "Studies of populations in China living in an area with	OSMRE has noted in this paragraph that the dietary exposure levels in the studied Chinese

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		<p>naturally occurring but unusually high environmental concentrations of selenium found that "chronic dietary exposure to excess levels of selenium has been associated with diseased nails and skin and hair loss, as well neurological problems, including unsteady gait and paralysis" (ATSDR, 2003, pg. 15)..."</p> <p>While exposure to high levels of selenium can result in adverse health effects, the discussion of dietary intakes by Chinese populations is not relevant to the typical intake levels in the U.S., which range from 0.071 to 0.152 mg/day (ATSDR, 2003). Based on the upper end of this range, the typical U.S. intake is almost six times lower than the effects threshold of 0.91 mg/day observed in the Chinese population (Yang, 1989). OSMRE should note that dietary intakes in China are not likely representative of intakes in the U.S.</p>	<p>populations may not be representative of U.S. populations. OSMRE appreciates the recommendation.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.4.1, p. 4-293, second paragraph</p> <p>The discussion of arsenic in drinking water found in coal-mining areas reported levels of 1 ppb (Central Appalachia) and 2.99 ppb (average for 13 counties in Kentucky). These levels are far below the current drinking water standard for arsenic set by U.S. EPA, which is 10 parts per billion (ppb) (U.S. EPA, 2001). This should be noted in the text. Comparing the concentration of arsenic to other carcinogens is not relevant given potential differences in carcinogenicity at different dose levels.</p>	<p>It has been noted in the text that these values are within U.S. EPA drinking water guidelines. However, NRC has indicated that lifetime risk of bladder and lung cancer from water arsenic exposure at three ppb is one in 1,000.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>While elevated concentrations of sulfates may contribute to decreased pH in surface water and increased rates of diarrhea when present in drinking water, there is no discussion of sulfates from coal mining actually entering drinking water supplies... Detailed exposure information is unknown, and county of residence does not necessarily indicate exposure.</p>	<p>OSMRE agrees that there has been little study of the health effects associated with elevated sulfate concentrations in drinking water. The text does, however, discuss some of the known health effects associated with increased sulfate intake. While an understanding of direct impacts of sulfates on public health requires further study, elevated sulfate concentrations decrease pH and the increase in corrosiveness of stream water can potentially mobilize other contaminants with known adverse ecological effects.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.4.1, p. 4-293, Neither OSMRE nor any peer-reviewed study has established a causal link between the ecological integrity of streams and human cancer incidence. Temporality of exposure and disease cannot be assessed with this ecological study design. Detailed</p>	<p>Please refer to Master Response on Public Health. OSMRE acknowledges that more research is warranted to fully understand the causal relationships between mining related exposure and human health.</p>

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		<p>exposure information is unknown, and county of residence does not necessarily indicate exposure. Controlling for occupational exposure is critical when assessing the potential for increased morbidity in exposed populations. The effects observed in women may also be due in part to occupational exposure of male coal miners due to the "take home exposure" effect whereby the worker brings contamination home with them at the end of the work shift (e.g., dust on clothes, boots, gear). Moreover, a number of flaws and limitations are present in these studies of cancer mortality in coal mining regions.</p>	<p>As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.4.1, pp. 4-292 to 4-293 Effects of Sulfates on Public Health</i> This two-paragraph section lacks clarity and organization. While elevated concentrations of sulfates may contribute to decreased pH in surface water and increased rates of diarrhea when present in drinking water, there is no discussion of sulfates from coal mining actually entering drinking water supplies.</p>	<p>The discussion of public health concerns related to sulfates has been revised in Section 4.3.4.1. to be more clear and to provide additional information.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.4.1, p. 4-293, third paragraph It is possible that some areas may experience reductions in arsenic exposure in drinking water as coal production decreases.</i> There is no information in the text to support the assumption that arsenic in drinking water is related to coal mining. In fact, arsenic is a naturally occurring element that is found in drinking water throughout the U.S.</p>	<p>Section 4.3.4.1 states that while arsenic is naturally occurring, a major source of arsenic is pyrite, an iron-sulfide mineral, which is released into streams as part of acid mine drainage from coal mining. It is clear that drainage of iron-sulfide minerals into streams can be linked to surface coal mining. Studies such as Shiver (2005), which evaluated levels of arsenic across Central Appalachia, support this link.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.4.1, p. 4-294, second paragraph 2012 retrospective cross-sectional study of county-level cancer mortality rate data from the Center for Disease Control (CDC) compared age-adjusted cancer mortality rates in Central Appalachian mountaintop mining counties versus Central Appalachian counties with other types of mining and counties with no mining. After controlling for covariates, the study found that lung cancer mortality</i></p>	<p>Please refer to Master Response on Public Health. As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a</p>

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		<p><i>rates were significantly associated with the presence of mountaintop mining in a community. The study also found evidence that mortality from leukemia, lung, bladder, and colorectal cancer were higher in mountaintop-mining areas compared to other mining areas, although the associations were not statistically significant. The magnitude of the association between mountaintop mining activity and cancer mortality was greater in more recent years (Ahern and Hendryx, 2012), reflecting the fact that some adverse health effects are not observed until years after exposure.</i></p> <p>Neither OSMRE nor any peer-reviewed study has established a causal link between cancer mortality and residence in coal mining areas. Temporality of exposure and disease cannot be assessed with this cross-sectional and ecological study design. Data measurements were at the county level, so group associations cannot be applied to individuals. Compared to the nonmining referent, mountaintop mining populations had higher smoking rates, higher poverty rates, lower college education levels, and higher adult obesity rates. Though analyses controlled for these covariates, residual confounding is possible. Other social, behavioral, and cultural variables not captured and adjusted for may have influenced results. Detailed environmental exposure information is unknown, and county of residence does not necessarily indicate exposure.</p>	<p>causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p><i>Section 4.3.4.1, p. 4-294, second paragraph Other recent epidemiological studies have also found associations between adverse health effects (such as increased incidence of birth defects and increased adult mortality from cancer, heart, respiratory, and kidney disease) and residence in coal mining counties in Appalachia, after controlling for other risk factors (Ahern, et al, 2011; Esch and Hendryx, 2011; Hendryx, et al, 2010; Hendryx, 2009; Hendryx and Ahern, 2009; Hendryx and Ahern, 2008).</i></p> <p>Neither OSMRE nor any peer-reviewed study has established a causal link between adverse health effects and residence in coal mining areas. In all studies referenced, temporality of exposure and disease cannot be assessed with this cross-sectional and ecological study</p>	<p>Please refer to Master Response on Public Health. As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on</p>

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		design. Data measurements were at the county level, so group associations cannot be applied to individuals. Other social, behavioral, and cultural variables not captured and adjusted for may have influenced results. Detailed environmental exposure information is unknown, and county of residence does not necessarily indicate exposure. Data on smoking and drinking during pregnancy was self-reported and may have been underreported. Smoking and obesity rates were generally higher in mountaintop or other mining areas and may result in residual confounding. Though most analyses control for smoking, information on exposure to secondhand smoke was not collected. None of the studies control for occupational exposures.	impacts of coal mining operations on nearby residents is warranted.
OSM-2010-0021-0696	Murray Energy Corporation	Health outcomes experienced by both men and women do not imply that the cause is coal mining pollution. Other social, behavioral, and cultural variables could play a major role in increasing risk for the diseases investigated in these studies.	Please refer to Master Response on Public Health. As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.3.4.1, p. 4-295, first paragraph</i> <i>In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.</i> This section links incidences of cancer to surface mining but acknowledges that the current body of evidence does not prove a causal relationship. While some of this	As part of OSMRE's obligation under NEPA, the agency is required to consider all direct, indirect, and cumulative effects that may result from the regulation. Part of this body of effects includes health effects that may be associated with the rulemaking. Therefore, OSMRE believes that it is appropriate to incorporate epidemiological literature looking at coal mining associations with cancer risk to help evaluate potential direct or indirect effects that may result from the proposed rule.

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		discussion may have some merit, it is misplaced in the DEIS as it is only weakly linked to the Proposed SPR through projections of increased or decreased coal mining.	
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.3.4.1, p. 4-297, third paragraph Swaen, et al. (1995) studied a sample of '3,790 coal miners that had abnormal chest x-ray films (suggesting pneumoconiosis) and found that deaths from gastric cancer were higher than expected, at 120 deaths. The fatality rate of pneumoconiotic coal miners due to gastric cancer resulted in a standardized mortality ratio of 147.5 (point estimate). Overall, their results suggest that pneumoconiotic coal miners have an approximately 22.5 percent to 76.3 percent higher gastric cancer fatality rate than the general population. The study does not control for smoking. Smoking is a known cause of gastric cancer. Individual level exposure information was not available.</i>	Please refer to Master Response on Public Health. While this particular study may not have controlled for smoking, the study cites several components of coal dust that underground coal miners are exposed to that may have carcinogenic effects. The other studies cited in section 4.3.4.1 attempt to control for many socioeconomic factors including smoking.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.3.4.1, p. 4-297, last paragraph Hendryx and Ahern (2008) found that residential proximity to coal mining areas was associated with a higher risk for hypertension, kidney disease, chronic lung disease, and cardiopulmonary disease.</i> Neither OSMRE nor any peer-reviewed study has established a causal link between these health ailments and residence in coal mining areas. Temporality of exposure and disease cannot be assessed with this cross-sectional and ecological study design. Data measurements were at the county level, so group associations cannot be applied to individuals. Other social, behavioral, and cultural variables not captured and adjusted for may have influenced results. Detailed environmental exposure information is unknown, and county of residence does not necessarily indicate exposure.	Please refer to Master Response on Public Health. As stated in Section 4.3.4.1 of the FEIS, studies conducted to date attempt to control for other risk factors but more rigorous epidemiological studies are required to investigate these associations (e.g., long term prospective cohort follow up studies). In general, epidemiological studies are limited in their ability to prove a causal relationship, but continued positive findings obtained through a variety of study designs can provide a substantial weight of evidence in support of a causal relationship. The current body of evidence, while it does not reach that level, does suggest that further research on impacts of coal mining operations on nearby residents is warranted.

9. Compliance Costs

COMPLIANCE COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0068	Earthjustice	Absent such effective measures, OSMRE and the public will be left with mines that require expensive treatment of long-term discharges. Bonding systems are already under severe strain. While OSMRE correctly proposes to require permittees to post sufficient bonds to pay for that treatment, the forecasted long-term decline in coal production, combined with high industry debt loads, means that coal companies will lack the financial resources to post the large bonds that would be required to truly cover these treatment costs.	Chapters 4 and 8 of the RIA and Section 4.1.3 of the EIS report compliance costs of the rule alternatives. These sections have been revised in response to public comments in order to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.
OSM-2010-0021-0068	Earthjustice	Before it adopts a rule that relies on coal companies to finance the long-term treatment of pollution discharges, OSMRE must ensure that such treatment is not only technologically feasible, but economically feasible. Otherwise, after mining companies default on their obligations, the U.S. Treasury and taxpayer will end up paying for needed long-term treatment. OSMRE must therefore prepare a financial analysis showing that the coal industry is capable of carrying out the duties that OSMRE assumes it can handle, or adopt stricter protections that prevent these damaging activities in the first place	OSMRE has addressed these concerns in Section 800.9 of the final rule. Please see the discussion of bonding for long-term treatment of discharges in the final rule preamble.
OSM-2010-0021-0054	Kentucky Energy and Environment Cabinet	In addition, OSMRE did not analyze the effects of these changes in the DEIS or RIA. OSMRE mentions bonding in the DEIS in a brief two page section in Chapter 3, where they briefly describe the current state of bonding under SMCRA. OSMRE failed to analyze in any meaningful way the far-reaching effects the proposed changes to the bonding program would have on the various resources areas including the capability of the surety industry to support the increase in reclamation bonding. Furthermore, OSMRE fails to explain why such comprehensive changes to the bonding program were not analyzed in the DEIS.	Chapter 4 of the RIA and Chapter 4.1.3 of the EIS and RIA report compliance costs of the rule alternatives. These sections have been revised in response to public comments in order to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 1 (No Action Alternative) (Effects of the Current Regulatory Environment (the No Action Alternative)- Regulatory Authority- Material Damage) p. 4-48 The National Pollutant Discharge Elimination System (NPDES) program prohibits water quality violations to occur within the permit boundary, and does not allow violations to extend outside the permit boundary. OSMRE fails to identify the need to place additional requirements on the applicant or	We identified all cost components associated with each part of the Rule using the amount of labor hours needed for additional requirements to achieve compliance by the applicant and the SRA. Please refer to Master Response on Industry Administrative Costs and Regulatory Authority Costs.

COMPLIANCE COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		RA, and does not account for additional costs in making design showings and decisions.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Production costs for the Appalachian Region are already the highest of any of the coal producing regions and adding a disproportionate amount of the compliance costs resulting from the implementation of the proposed rule will place this region at an even greater disadvantage compared with other producing regions.	OSMRE acknowledges that the Appalachian Region has the highest cost of coal production under the No Action Alternative for the past several decades Appalachian coal mining has been at a disadvantage compared to mining in the western regions. The higher costs of production in Appalachia were considered in estimating the impacts of the increased costs of production that are anticipated due to the SPR, including potential implications on the cost-competiveness of surface versus underground production within and across coal regions.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Any increased compliance costs will exacerbate the current regulatory expense incurred by a weakened coal industry. OSMRE provides examples of annualized compliance costs based on 2012 coal production levels and sales prices. OSMRE should use more current coal production levels in the context of each region, as the coal production landscape has changed significantly since 2012.	We agree that important changes have been occurring in the coal industry in recent years. The final FEIS reflects a revised coal market baseline to reflect these conditions, as well as two alternative baseline scenarios to reflect uncertainty.
OSM-2010-0021-0070	Luminant Mining Company LLC	The Proposed Rule imposes increased bonding requirements on certain types of bonds for stream restoration and restrictions. These changes to the bonding requirements will result in significant cost increases that are not adequately considered in the DEIS.	The FEIS and Final RIA have been revised to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.
OSM-2010-0021-0070	Luminant Mining Company LLC	These increased costs, which are not considered in detail in the Regulatory Impact Analysis or in the DEIS, will further add to the negative economic impacts of the Proposed Rule and must be fully considered. The adverse effects of the bonding requirements in the Proposed Rule are further discussed in Luminant's comments on the Proposed Rule.	The FEIS and Final RIA have been revised to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.
OSM-2010-0021-0696	Murray Energy Corporation	In addition, OSMRE did not analyze the effects of these changes in the DEIS. OSMRE mentions bonding in the DEIS in a brief two page section in Chapter 3, where OSMRE briefly describes the current state of bonding under SMCRA. OSMRE failed to in any way analyze the far reaching effects the proposed changes to the bonding program would have on the various resources areas. Furthermore, OSMRE fails to explain why such comprehensive changes to the bonding program were not analyzed in the DEIS.	The FEIS and Final RIA have been revised to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.

COMPLIANCE COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE must clarify whether the compliance costs apply to both surface and underground operations. The increased costs for the two types of mining should be separated and itemized individually given the differences in operations between the two.	OSMRE recognizes that surface and underground mining should be and are regulated separately. As such, estimates of increased compliance costs were developed separately for surface and underground mining throughout the RIA and EIS analyses.
OSM-2010-0021-0696	Murray Energy Corporation	Employment Impact Analysis Section 4.3.1.3, p. 4-187 This section states that the additional labor needed to perform the enhanced restoration required by the Proposed SPR would be beneficial to the communities and the region in which coal is mined. It does not, however, discuss the personnel that would be needed to conduct the environmental studies, the numbers of new environmentalists needed, or the possible costs associated with delay while waiting to hire or train these specialists.	The EIS in Chapter 4.3.1 recognizes that the Alternatives will result in costs to the industry as well as RAs that include monitoring and permitting-related requirements. The potential for increased employment requirements associated with these efforts is quantified.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis- Total Compliance Costs Because most of the model mines have a higher production rate than the typical mines found in any given region, economy of scale suggests the cost per ton is underestimated for mines smaller than the model mines. Using this logic, the larger the mine, the cheaper it will be on a cost per ton basis to carry out extra monitoring and compliance duties. In applying these lower costs per ton to determine production impacts in each region, the DEIS underestimates the impacts to production and administrative costs to both RAs and industry. In addition, this method overstates the benefit of the action alternatives, including Alternative 8, and overstates the issues arising from the No Action Alternative. It underestimates costs to mining companies and budgetary requirements of RAs for the Action Alternatives. Therefore, the quantitative method developed in the Action Alternatives, including Alternative 8, is not based on real-world numbers.	The commenter is correct that for requirements that do not scale up and down with the amount of coal that is produced, the costs per ton for smaller mines of complying with the rule could be understated. However, the opposite would be true for mines that are larger than the model mines, i.e., costs could be overstated. For this reason, we believe that using a “typical” mine size is appropriate for calculation of the total industry costs as well as rule benefits. The potential impacts to small mines are discussed separately in Appendix A to the RIA.
OSM-2010-0021-0070	Luminant Mining Company LLC	We anticipate a similarly substantial increase in annual costs of reclamation due to additional environmental monitoring and site preparation costs, as well as more onerous standards for the essentially undefined goal of “restoration of the ecological function” of streams. These added compliance- and production-related costs will necessarily result in significant indirect impacts to employment and other socioeconomic factors.	OSMRE has clarified rule language to more clearly define restoring ecological function of streams; please refer to the Final SPR for updated text. Additionally, Chapter 4 of the RIA and Section 4.1.3 of the EIS report anticipated compliance costs of the rule.

COMPLIANCE COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0696	Murray Energy Corporation	Any increased compliance costs will exacerbate the current regulatory expense incurred by a weakened coal industry. The DEIS uses contextual examples for annualized compliance costs based on 2012 coal production levels and sales prices. The DEIS should use its own projected lower production and lower sales price to come up with the annualized compliance costs. Rough calculations indicate that the costs for Appalachian producers will be significantly higher (maybe 10%) than the example presented (between 0.06 and 0.24%).	The commenter is incorrect in that OSMRE used 2012 coal production levels and sales prices to estimate compliance costs. As described in EIS Section 4.1.3, compliance costs were determined by multiplying the estimated increased costs of coal production, per ton of coal production by the forecasted level of coal production over the study period (2020-2040). For additional details on these calculations of Coal production was forecasted over the study period for this analysis (2020-2040). Please refer to EIS Section 4.1.1.1 and 4.1.3 for additional detail.
OSM-2010-0021-0696	Murray Energy Corporation	Effects of Action Alternatives on Coal Production Section 4.1.4, p. 4-40 OSMRE has not provided a reasonable price forecast sufficient to permit evaluation and comment on this section. OSMRE predicts only the impact of the alternatives on the cost of compliance. In a period of rising prices, costs may be absorbed with little impact on profits; in a period of the falling prices, the inverse is true. There is no attempt at a sensitivity analysis in the prediction of costs, and ranges are not established. No estimate is factored into these predictions for delays and cost increases due to a shortage of compliance experts.	A sensitivity analysis was conducted on the major components driving compliance costs, including haulage costs, reforestation costs, production levels and stripping ratios. The results of this analysis are presented in Attachment A of RIA Appendix A. The Final RIA and EIS now also include quantified costs associated with delays related to bonding requirements in the SPR. Chapter 4 of the Final RIA describes the compliance cost estimation method in detail. Detailed assumptions about the administrative (paperwork and monitoring) requirements are presented as part of the PRA analysis in the preamble to the final rule.
OSM-2010-0021-0696	Murray Energy Corporation	Increased costs of compliance will cause companies to shutter marginal operations, thereby reducing nonproduction employees and vendor needs in even greater numbers than actual miners.	Thank you for your comment. We have evaluated the increased operational costs of the Alternatives and expect that costs to produce coal will reduce U.S. coal production by approximately 0.08 percent for the Proposed Action, which translates to less than two million tons per year on average. We estimate that employment associated with this reduction would be reduced, and agree that the marginal operators would be the most affected.
OSM-2010-0021-0049	Interstate Mining Compact Commission	In addition, OSMRE did not analyze the effects of these changes in the DEIS or RIA. OSMRE mentions bonding in the DEIS in a brief two page section in Chapter 3, where OSMRE briefly describes the current state of bonding under SMCRA. OSMRE failed to analyze in any meaningful way the far-	The FEIS and Final RIA have been revised to incorporate increased costs of bonding requirements. We note that the final rule language regarding bonding has also been modified in response to public comments.

COMPLIANCE COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		reaching effects the proposed changes to the bonding program would have on the various resource areas. Furthermore, OSMRE fails to explain why such comprehensive changes to the bonding program were not analyzed in the DEIS.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.7.2, p. 3-232 "The area of study includes seven coal-producing regions containing lands where the federal government holds title to the coal, the surface estate, or both."OSMRE should note that all "federal lands," with some exceptions (for example, those set aside for Indian Tribes), are held in trust for the people of the U.S. ; federal agencies are simply caretakers of those trust lands. As such, their job is to manage the resource for the benefit of the people, and as currently managed, governments gain significant revenues from mining royalties. These benefits will be lost if the regulation is finalized as proposed.	Mining royalties are based on 12.5% of the gross value of coal. About 98 percent of federal lands mined for coal resources are located in western states. The FEIS and RIA recognize that mining royalties would decline as coal production declines, all else being equal. As such, mining royalties would be expected to decline under the No Action Alternative, and would be reduced to varying degrees under the rule alternatives. The Preferred Alternative is anticipated to result in reductions of less than one million tons annually nationwide.

10. Industry Administrative Costs and Regulatory Authority Costs

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0066	NMA	<p>Section 779.20 (d)(1)(ii) of the SPR states: “When the resource information obtained under paragraph (c) of this section does not include threatened or endangered species, designated critical habitat, or species proposed for listing as threatened or endangered, the Regulatory Authority must provide this information to the applicable regional or field office of the U.S. Fish and Wildlife Service only if the U.S. FWS requests an opportunity to review and comment on that information. The Regulatory Authority must provide the requested information to the U.S. FWS within 10 days of receipt of the request from the U.S. FWS.”</p> <p>There is no economic analysis of the potential costs to the regulatory authority and/or permit applicants to provide fish and wildlife information or fish and wildlife protection and enhancement plans to the U.S. FWS, upon their request, for species that are not listed or proposed for listing under the ESA. This is an open-ended requirement that must be satisfied within 10 days of request which could potentially require a lot of staff time by the Regulatory Authority or permit applicant to meet the request within the allotted time frame. Additional protection and enhancement plans, if required by U.S. FWS, would increase costs to applicants to prepare as well as implement.</p> <p>The requirement to provide habitat enhancement, where practicable, is undefined. Requiring additional resource enhancement measures to meet an unknown measure of practicability could lead to extensive additional costs to the applicant both in the time it takes to get agreement with the U.S. FWS on acceptable enhancement measures, as well as the cost to implement them. There is no economic analysis of potential costs to the Regulatory Authority to facilitate this process, or to applicants who are required to be responsive to such requests from the U.S. FWS.</p>	<p>No additional analysis is required. SMCRA requires operations to minimize disturbances and adverse impacts of the operation on fish, wildlife, and related environmental values to the extent possible using the best technology currently available and to enhance those resources where practicable. 30 U.S.C. 1265(b)(24). As described in the DEIS description of the No Action Alternative, OSMRE’s existing regulations already require minimization of impacts to fish and wildlife to the extent possible and enhancement where practicable. 30 CFR 816.97(a). Most of the alternatives would make habitat enhancement mandatory in certain situations (see Section 2.6 of the EIS). However, the commenter is mistaken in the assertion that U.S. FWS approval of the habitat enhancement plan is required. The RA may seek input on the requirements of the habitat enhancement plan from state and federal wildlife experts, but there is no obligation under existing regulations, or under the other alternatives considered within the EIS, for the RA to achieve agreement from U.S. FWS on the measures of the enhancement plan, unless it impacts a proposed or listed threatened or endangered species or proposed or designated critical habitat. Costs of implementation of enhancement measures were considered within the Regulatory Impact Analysis; As we note in the RIA, because compliance with permit conditions of the CWA and requirements related to ESA would require many of these same measures, the additional costs associated with habitat enhancement plan requirements under SMCRA were determined to be minimal.</p>
OSM-2010-0021-0066	NMA	<p>And, to have to provide the information within 10 days is unreasonable and burdensome to the Regulatory Authority</p>	<p>Current regulations at 30 CFR 780.16(c) already require the RA to provide the information</p>

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		(or applicant) in terms of additional time and monetary costs to provide the information in such a short period of time. The potential for an information request for a list of numerous species that are not listed or proposed for listing under the ESA is high. This is beyond the standard and regulatory process of ESA section 7, i.e., an assessment of impacts and a jeopardy determination for listed and proposed species. There is no regulatory reason for requiring the U.S. FWS or the applicant to provide information for species not listed or proposed under the ESA.	<p>required under 780.16(a) to the Fish and Wildlife Service upon request, within 10 days of receipt of the request. The information required under paragraph 780.16(a) includes fish and wildlife resource information for all species, not just those species of plants or animals or their critical habitats listed by the Secretary under the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.). Therefore the alternatives considered do not impose any additional time and monetary cost in comparison to the status quo.</p> <p>The authority for the existing requirement to gather information on fish and wildlife resources in general, and not just species that are listed or proposed, comes from section 515(b)(24) of SMCRA, which provides that, to the extent possible using the best technology currently available, surface coal mining and reclamation operations must be conducted to minimize disturbances and adverse impacts on fish, wildlife, and related environmental values and to achieve enhancement of those resources where practicable.</p>
OSM-2010-0021-0049	Interstate Mining Compact Commission	OSMRE seems to greatly underestimate the cost to states to implement the Proposed Rule, especially in light of the fact that budget cuts in state agencies have been widespread in recent years. The proposed rule will require not only more manpower, but also experts in various scientific and technological areas that are currently not present or insufficient in many state agencies.	The estimated costs to regulatory authorities have been revised and additional supporting documentation has been added in the final RIA in response to public comments. Please refer to Master Response on Regulatory Authority costs.
OSM-2010-0021-0060	Ohio Department of Natural Resources	<p>Abstract, 2nd Paragraph Comment:</p> <p>It is stated that the proposed rule is "intended to balance all relevant portions of the Act" including "ensuring a coal supply adequate for our Nation's needs." The rule itself does not appear to contain this balance. Impacts to coal production are understated in the DEIS based on actual numbers already evidenced in Ohio. Reductions in coal production have a direct impact to state permitting and</p>	The commenter refers to historical changes in coal production in Ohio as a rationale for why the RIA and EIS estimates of impacts to coal production due to the SPR are underestimated. The historic changes in coal production in Ohio, as well as forecast future coal production without the SPR, are reflected in the baseline for the analysis, i.e., the No Action Alternative. The baseline forecast does not itself indicate

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		regulatory staff numbers. Ohio has had to make staffing decisions and transfers to other sections within the Division of Mineral Resources Management to account for other federal regulations that have impacted coal production without implementation of the stream protection rule. Funding for state regulatory and permitting staff in Ohio and match dollars for grants from the OSMRE are projected to decrease to a level that a number of employees will need to be transferred to other sections or positions will be eliminated.	what additional costs and associated impacts that the SPR itself will have on coal production. The overall decrease in forecast coal production due to the SPR is anticipated to be modest, totaling a reduction of approximately 0.08 percent for the Proposed Action. While we recognize that this change may depress the need for RA staffing to some degree, permitting requirements are also anticipated to increase to some degree demand for RA resources under the SPR. Regulatory workload activity is dependent on the level of permits being administered and the number of new permit applications processed annually. These activities are in decline regardless of this Rule. It would be incorrect to say regulatory budgets and staff must increase from current levels as a result of SPR. The costs of the SPR to regulatory authorities have been revised in the final RIA and FEIS. Please refer to Master Response on Industry Administrative Costs and Regulatory Authority Costs.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Comment: It is stated that the definition of material damage would also apply to the "indirect adverse impacts from subsidence and other adverse impacts (for example, dewatering of a stream caused by underground mining through a fracture zone)." Note that this statement does not distinguish between types of streams. Additional compliance workload for regulatory staff to assess damages and ensure that impacts to streams are rectified will be required if even indirect stream impacts result from mining.	The EIS and RIA recognize that the SPR may increase permitting requirements to some degree, which will increase demand for RA resources under the SPR. The estimated costs to regulatory authorities are estimated to increase by approximately \$0.5 million annually across the U.S., representing approximately a 0.6 percent increase in RA expenditures. These estimates have been revised and additional supporting documentation has been added in the final RIA in response to public comments.
OSM-2010-0021-0696	Murray Energy Corporation	The laboratory analytical cost per sample (not including labor to collect the sample) under the No Action Alternative is ~\$70; for seasonal sample collection (i.e., 4 seasons), the annual cost per stream location is \$280. The per sample analytical cost under the Preferred Alternative is ~\$255; for sampling 12 times per year per stream location the annual cost is \$3,060. This represents a 1,100% increase in analytical costs per location. This does not include the	Costs associated with sampling were included in the analysis as presented in the RIA.

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		additional labor and expense to collect samples at a much higher frequency (i.e., 12 times versus approximately 4), which would represent an even higher increase in sampling and analytical costs. (Standard Laboratory costs from TestAmerica, Inc.)	
OSM-2010-0021-0696	Murray Energy Corporation	In Chapter 4, there is no discussion of how the new regulations would affect the coal regulatory programs in different states. There is a large amount of variation in how state programs are run. Some have separate permit writers and inspectors; some do both permitting and compliance. There are other differences as well. These differences should be considered in the context of additional costs incurred by state regulatory programs.	The estimated costs to regulatory authorities have been revised and additional supporting documentation has been added in the final RIA in response to public comments. Specifically, section 4.4 and a section within section 4.5 of the RIA address regulatory expenditures in particular. Please refer to Master Response on Regulatory Authority costs for additional details.
OSM-2010-0021-0696	Murray Energy Corporation	Additional staffing will be required to implement the rule. OSMRE considers the additional employment by regulatory authorities as an offset to the loss of employment in the coal industry and the associated unemployment that goes with reduced coal production. This is not a realistic consideration of economic realities.	The analyses in the RIA and EIS do not assume that employment reductions that are estimated to result from decreased coal production will be offset by increases in government employment. Estimates of SPR implementation-related employment is associated only with industry employment. These jobs are linked to the amount of coal mined and the increased costs per ton, which varies by coal-producing region. We have adopted better terminology to help clarify this as a source of confusion in the Final RIA and EIS. Please refer to Master Response on Employment Effects and Multipliers and Regulatory Authority costs.

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section B.I, p. B-I From OSMRE's expectations for baseline inventory and monitoring of streams it is clear that substantially more technical compliance personnel will be needed by applicants, their consultants, and their respective regulatory authority. These individuals will need very detailed education and training. It is unlikely that this need can be fulfilled by reeducating laid-off miners.</p>	<p>The estimates of increased work requirements among various administrative activities required by the SPR were re-evaluated following public comment and, in some cases, increased. The estimated Industry Administrative costs were revised to reflect the level of effort corresponding to each part of the Rule in considerable detail. These costs increased on a per ton basis as a result of the revision. We recognized that regulatory authorities costs were not representative when expressed on a per ton basis. These costs are treated separately from industry cost in the revision. Please refer to Master Response on Industry Administrative Costs and Regulatory Authority costs.</p>
OSM-2010-0021-0049	Interstate Mining Compact Commission	<p>Alternative 2 (Groundwater) 2.4.2.1, p. 2-13 "... same frequency and for the same water quality parameters as surface" Monthly monitoring of groundwater is not generally necessary or a useful application of limited resources. Sampling on a too frequent interval typically results in redundant information that only adds costs to the applicant and does not add information that is valuable to the RA. Additional costs would be additionally incurred by the RA in storing and validation of the information. The DEIS and RIA do not consider those costs to RAs.</p>	<p>The alternatives (with the exception of the No Action Alternative and Alternative 9) within the DEIS proposed monthly baseline data collection for surface and groundwater for a 12-month period, followed by quarterly monitoring until final bond release. The frequency of baseline and monitoring is necessary to capture seasonal variability in water quality and quantity. These costs were accounted for in the DEIS and RIA. Please refer to Master Response on Industry Administrative Costs and Regulatory Authority Costs.</p>

INDUSTRY ADMINISTRATIVE COSTS AND REGULATORY AUTHORITY COSTS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0049	Interstate Mining Compact Commission	<p>Increased Monitoring and Regulatory Program Staffing 4.2.1.2, p. 4-59 Footnote 14 "Peer reviewers noted that increased monitoring may not translate directly into better environmental protection if regulatory authorities are not sufficiently staffed to handle the added data review workload." State RAs largely do not have budgets to cover the costs of extra staff, so there is a good chance that increased monitoring would not provide better environmental protection. This statement is made in a footnote, and is contrary to the assertions contained in the DEIS. Furthermore, the RIA does not address the staffing needs and costs to RAs associated with increased monitoring.</p>	<p>Regulatory workload activity is dependent on the level of permits being administered and the number of new permit applications processed annually. These activities are in decline regardless of this Rule. It would be incorrect to say regulatory budgets and staff must increase from current levels as a result of SPR. In the revised RIA we explicitly show current regulatory costs for all State Regulatory Authorities (SRAs). Additional monitoring requirements would be largely carried out by the mine operators and not by the SRAs. The increase in administrative costs for SRA's from the Preferred Alternative would amount to \$720 million or 0.6 % of current levels.</p>
OSM-2010-0021-0060	Ohio Department of Natural Resources	<p>Impacts to coal production and job losses are understated in both the DEIS and the RIA. The actual reduction in coal extracted will have a direct impact on state regulatory staff numbers. Funding for state regulatory staff in Ohio and match dollars for grants from the OSMRE will decrease to a level that a number of employees will need to be transferred to other sections or will lose their jobs entirely. As there needs to be funding to maintain positions, whether they are permit related and/or compliance related, the DEIS and RIA do not fully consider all the direct and indirect job losses associated with implementation of the stream protection rule.</p>	<p>OSMRE disagrees that implementation of the Proposed Action could result in budget shortfalls for state regulatory authorities. Although new requirements under the Proposed Action would increase the amount of work per new permit, the number of existing permits and the frequency of new applications is declining even without implementation of the Proposed Action. This trend is expected to continue independent of the Proposed Action, thereby reducing the overall workload for state regulatory authorities. Federal matching dollars for state regulatory programs would by law continue to be available to match state appropriations for the regulatory authority programs.</p>

11. Employment Effects and Multipliers

EMPLOYMENT EFFECTS AND MULTIPLIERS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0066	NMA	Further, it is uncertain, and unlikely that individuals displaced from coal sector employment will possess the necessary skills and experience to be competitive candidates for positions in health care and social service professions, without significant retraining. When calculating the “cost” of the SPR, at no point do either the DEIS or the RIA evaluate the cost (to the states or to the individual) of retraining, or the cost of lost wages during training periods, or the loss in lifetime earning potential if an individual is forced (at the period of time that should be his or her peak earning years) to take a lower paying position in a new industry.	The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.
OSM-2010-0021-0048	North American Coal	It’s also well-known that there have been significant changes in the energy industry, and more specifically the coal mining industry, over the past five years. These include changes in coal production and employment. For this reason we find that much of the data in Section 3.1.4 is significantly out-of-date, specifically data on poverty and unemployment, coal mining employment and payrolls (2011 data). The DEIS was published in mid-2015, so data should be made current through 2014, in order to be accurate and complete. Additionally, impacts based on these most recent data must also be brought up-to-date.	OSMRE agrees that important changes have been occurring in the coal industry in recent years. The final FEIS reflects a revised coal market baseline to reflect these conditions, as well as two alternative baseline scenarios to reflect uncertainty. Key aspects of section 3.14 have also been updated to be more current.
OSM-2010-0021-0059	CONSOL Energy	Section 4.3.1.2 of the DEIS states the following, “As developed in this chapter and as supported by economic	Please refer to Master Response on Employment Effects and Multipliers, which discusses the

EMPLOYMENT EFFECTS AND MULTIPLIERS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		theory, environmental regulation can increase production costs, which according to economic theory should raise prices, reduce demand, and ultimately put downward pressure on employment within a given industry. However, compliance with environmental regulation also typically introduces additional labor requirements, which may mitigate that effect." This statement and the related paragraphs fail to take into consideration the full impact of the proposed SPR. The suggestion that environmental regulatory jobs could offset the lost coal mining and related jobs is simply not true. Also, without the mining industry many of the regulatory jobs would only be temporary.	detailed analysis of potential employment impacts. The analysis did not intend to imply that environmental regulatory jobs could offset the lost coal mining and related jobs. Section 4.3.1.2 has been clarified in response to public comments.
OSM-2010-0021-0061	Peabody Energy	Merely noting, for example, that Alternative 4 would result in an average of 310 lost coal production jobs (DEIS 4-360) in no way provides OSMRE (or the public) with any way of understanding the socioeconomic impact of 300 lost jobs.	As described in the RIA, job losses in the coal sector are anticipated to be less than one percent of coal sector employment. The analysis discusses the distribution of these impacts in the Environmental Justice section of the EIS.
OSM-2010-0021-0061	Peabody Energy	Footnote 4: As also touched on by NMA, OSM's suggestion that lost jobs in coal production will be offset by compliance jobs is irrational. Continuing with Alternative 4 as an example, after concluding that the alternative would result in an average of 310 lost production jobs, OSMRE concludes that an average of 370 compliance-related jobs will be created.	The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to

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			receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.
OSM-2010-0021-0049	Interstate Mining Compact Commission	<p>Cumulative Impacts -Job Loss 4.5.3.5, p. 4-360 Offsetting employment decreases in coal industry jobs with increases in compliance related employment is not economically feasible. A drastically reduced tax base resulting from reduced production will not support additional government employees. Increased costs of compliance will cause companies to idle or shut down marginal operations, thereby reducing non-production employees and vendor needs in even greater numbers than actual miners.</p>	<p>The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Tables 4.3.1-5 - 4.3.1-12 (DEIS, at 4-193 - 4-207) of the DEIS show that for all of the Alternatives, the effect on production jobs will be negative for both surface and underground mining. However, OSMRE concludes that the magnitude of the effect will be negligible, with 260 FTEs lost in any given year, on average. This assertion has been more fully explored in other sections of this document with the conclusion that the overall job loss will actually be several orders of magnitude greater than predicted in the DEIS and RIA.</p>	<p>Please refer to Master Response on Alternative Analysis Provided by the National Mining Association, which discusses the differences in the assumptions made to conduct OSMRE's analysis and the National Mining Association's analysis. These different assumptions lead to different impact estimates, but as stated in the Master Response, Ramboll Environ's interpretation of the incremental changes in mining operations required to comply with the rule elements is inaccurate and extreme, which lead to erroneous estimates of the impacts on</p>

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			coal production and employment. .
OSM-2010-0021-0696	Murray Energy Corporation	Chapter 3 of the DEIS provides the results of a detailed investigation into the distribution of jobs and wages across a number of sectors (including Health Care, Transportation and Warehousing, Construction, Retail Trade, and Professional Services). In Chapter 4, the authors of the DEIS suggest that increased labor demand in two sectors in particular (compliance and "other energy") will absorb lost coal sector opportunities (DEIS, at 4-184). This same claim that compliance and enforcement related jobs will increase is presented in the RIA (RIA, at ES- 25 and Chapter 4). When the regional data provided in the DEIS are examined closely it becomes unavoidably clear that these supposed job alternatives are not in fact the "saviors" that OSMRE would like them to be.	Please refer to Master Response on Employment Effects and Multipliers, which discusses the detailed analysis of potential employment impacts. The analysis did not intend to imply that these job alternatives would be "saviors" but to point out that potential increases in alternative industry employment may provide opportunities for individuals previously employed in the coal industry.
OSM-2010-0021-0066	NMA	First, the analysis grossly underestimates the overall magnitude of the impacts by suggesting that environmental compliance jobs would mitigate the loss of employment related to mining. Second, the analysis does not fully investigate the regional and local impacts of the SPR on employment, low income families, regional economies, municipal taxes, electricity supply, human health, and quality of life. The DEIS grossly under predicts or fails to fully consider the level of harm to the mining industry, public, and national economy that is likely to occur if the SPR is adopted as federal regulation.	Please refer to Master Response on Employment Effects and Multipliers, which discusses the detailed analysis of potential employment impacts as well as the potential for differences in impacts by region.
OSM-2010-0021-0066	NMA	The DEIS grossly under predicts or fails to fully consider the level of harm to the mining industry, public, and national economy that is likely to occur if the SPR is adopted as federal regulation. First, the analysis grossly underestimates the overall magnitude of the impacts by suggesting that environmental compliance jobs would mitigate the loss of employment related to mining. Second, the analysis does not fully investigate the regional and local impacts of the SPR on employment, low income families, regional economies, municipal taxes, electricity supply, human health, and quality of life.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0066	NMA	The DEIS concludes that the magnitude of the effect will be negligible, ranging between 160 and 600 FTEs lost in any given year, on average, depending on the alternative. These job losses were based on unrealistic estimates of industry contraction and flawed input values to the EVA model (see	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.

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		Appendix E of the RIA). The conclusion that, in fact, the overall job loss is likely to be several orders of magnitude greater than the analyses in the DEIS and RIA suggest is presented above.	
OSM-2010-0021-0066	NMA	Compliance, enforcement and government sector employment are not evaluated at all in the DEIS and their contribution to overall employment and payroll are not explored in any way. This makes it difficult to confirm the DEIS claim in chapter 4 that this sector will be able to absorb those who are displaced from coal sector employment as a result of the SPR. It also makes it impossible to gage whether or not those “enforcement” positions will provide wages that are on par with those available in the coal sector.	This comment seems to imply that the EIS states or assumes that the SPR will result in a transfer of jobs from the coal industry to the government. This is not correct. As stated in Section 4.3.1 of the EIS, we anticipate that compliance with the SPR will require a range of skills that include coal industry efforts as well as those in other sectors, such as biologists. The detailed employment analysis is provided in this section as well as in Chapter 6 of the RIA.
OSM-2010-0021-0066	NMA	Other industry sectors will not be likely to absorb the unemployed miners. Using the data provided in Chapter 3 of the DEIS, a careful analysis of regional employment patterns (Chapter 3 pgs. 3-429-3-451) shows that for all regions, and for the Appalachian Basin and the Western Interior in particular, the dominant growth sector has been health care and social assistance. Yet the salaries associated with these new opportunities are, on average, 50% lower than salaries in the coal sector. For	The analysis does not describe sectors that would “absorb” coal sector job losses. Rather, the analysis reports in Chapter 6 of the Final RIA that some job losses may occur within the coal sector due to this rule. As described in Chapter 6 of the Final RIA, the analysis finds that much of the additional demand for compliance activities is most likely to be undertaken by mining companies at mining sites, e.g. additional haulage of coal.
OSM-2010-0021-0059	CONSOL Energy	The DEIS overlooks the full impact to regional and local economies, especially in Appalachia . In these regions, coal mining is often the primary source of jobs and revenue to local governments and school systems. Taking these remaining jobs away would devastate the local economies and lead to increased utility bills, depressed public and social programs and a lowering in the overall quality of life for people li vi ng in these communities. The DEIS underestimates these impacts and fails to fully consider the harm that may be caused by the proposed SPR at both the local and federal level.	Thank you for your comment. Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life. T
OSM-2010-0021-0068	Earthjustice	OSMRE rejected the most protective alternative analyzed— alternative 2—in part due to predicted “Major Adverse impacts on socioeconomic conditions including, in particular, employment and severance taxes.” especially in Appalachia. DEIS at ES-39 to ES-40, 4-20. In doing so, OSMRE unlawfully and arbitrarily failed to consider the major economic costs that surface coal mining imposes on	Thank you for your comment. The purpose of the SPR is to reduce the impacts of coal mining on the environment. The impacts evaluated are related to incremental changes of the rule on the industry and the affected environment, rather than the overall social costs that may be associated with the operation of the coal

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		Appalachia. Surface coal mining costs the economy of Appalachia more than it provides. Claims about the economic Benefits of coal mining—including OSMRE’s—ignore the expenditures used to subsidize coal consumption and cover costs that are externalized by the industry. Significantly, the above studies do not account for the costs of illness and death that may be attributable to pollution from coal mining.	industry as a whole. As discussed in the analysis, the rule is anticipated to have a relatively small impact on overall coal production. The public health section of the FEIS (section 4.3.4) discusses the possibility that reduced coal production may result in improvements to public health.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Most mining companies within the Appalachian Region have been operating at a loss for the past several years trying to stay solvent while hoping for an eventual change in market conditions and a return to profitability. The predicted production, job loss and compliance cost values presented in the DEIS are greatly understated and OSMRE does not provide any meaningful data to validate its conclusions.	Please refer to Master Response on Industry Operational Costs.
OSM-2010-0021-0070	Luminant Mining Company LLC	Conversely, as addressed more fully below, the Gulf Coast region will experience significant adverse socioeconomic impacts that promise to be far-reaching and extensive. A complete and lawful NEPA analysis would have required the agency to grapple with, and justify, the imbalance between these concededly limited, and questionable, Benefits, on the one hand, and the extensive costs to the Gulf Coast Region generally, and Texas specifically, on the other. Such an analysis would properly have led OSMRE to conclude that national application of a one size-fits-all rule is inappropriate.	The focus of the analysis is on evaluating how the Stream Protection Rule (and regulatory alternatives) will reduce adverse environmental impacts of coal mining, in particular on hydrology and water quality. Accordingly, the discussion in Section 7.3 of the RIA relates the rule elements to specific improvements in environmental metrics. These findings are summarized in Exhibit ES-4A of the RIA, which describes each environmental improvement in terms of the rule elements triggering the benefit and the ecosystem services supported by the environmental improvement. Additionally, as described in Chapter 3 of the RIA, the analysis evaluates the incremental effects of the rule, above and beyond any environmental improvements associated with existing regulatory requirements under SMCRA or the Clean Water Act. More information relating the rule elements to particular environmental improvements is provided in Chapter 4 of the FEIS. Finally, as described in Chapter 3 of the RIA, the analysis evaluates the incremental effects of the rule, above and beyond any environmental improvements associated with existing regulatory requirements under SMCRA or the Clean Water

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			Act. The Regulatory Impact Analysis carefully examined differences in costs across regions. In doing, so the analysis demonstrated that implementation cost on a per-ton basis would vary widely across coal mining regions. Such variation clearly demonstrates that the Rule is not a one size-fits-all rule.
OSM-2010-0021-0070	Luminant Mining Company LLC	Under NEPA, OSMRE must consider the Proposed Rule’s indirect and cumulative effects. [...] CEQ regulations require that agencies consider these indirect effects. In the DEIS, however, OSMRE attempts to dodge this obligation with respect to employment impacts by stating that while “[t]he Action Alternatives (excluding Alternative 9) may generate indirect and induced effects . . . [,] these are not reported here because of the uncertainty associated with these calculations.” The analysis of indirect effects is of critical importance in light of the Proposed Rule’s potentially catastrophic impact on local communities. As the DEIS explains, “[i]ndirect effects arise from the ‘ripple’ effect of changes in coal production on local industries that provide goods and services to the coal industry.”	Master Response on Employment Effects and Multipliers.
OSM-2010-0021-0070	Luminant Mining Company LLC	The DEIS concludes that the impacts of the Preferred Alternative on socioeconomic conditions in the Gulf Coast region will be “Minor Adverse or Negligible” when compared to the No Action Alternative, but provides no support whatsoever for this conclusion. This is a shocking underestimation of the impacts of the Proposed Rule, and lacks any rational basis in the analysis or data provided in the DEIS. OSM’s “incomplete and misleading” analysis of socioeconomic impacts prevents decision makers and the public from accurately assessing the Proposed Rule. OSM’s flawed analysis must be reconsidered with meaningful input from the coal industry and local governments, including the estimated impacts reflected in the Ramboll Environ study.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0070	Luminant Mining Company LLC	The DEIS fails to adequately consider the fact that socioeconomic impacts will be felt most acutely on a local level, not on a regional or even state level. This is particularly true outside of Appalachia, where there is significant variation in the economic condition of local economies and employment prospects.	Thank you for your comment. Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life. As noted, in areas that rely heavily on coal mining employment, reduced mining activity may affect the livelihood of the community. Individuals and families may rely on the

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			availability of mining jobs to provide income and benefits important to their well-being, such as health insurance. To the extent that impacts of the Proposed Action are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity.
OSM-2010-0021-0070	Luminant Mining Company LLC	The DEIS lists as cumulative actions several regulatory initiatives under the Clean Air Act that have a significant impact on coal mining under SMCRA and, more generally, the coal industry. These initiatives include the Mercury and Air Toxics Standards; the Cross-State Air Pollution Rule (“CSAPR”); the Clean Power Plan; and New Source Performance Standards (“NSPS”) for EGU’s. The potential impact of these initiatives cannot be overstated. Importantly, while U.S. EPA is exempt from the preparation of a NEPA document for these regulations promulgated under the Clean Air Act, OSMRE is not exempt from consideration of all direct, indirect, and cumulative impacts of actions as they relate to the Proposed Rule, which is issued under SMCRA. Because these past, present, and reasonably foreseeable actions, when viewed in light of the Proposed Rule, may have cumulatively significant adverse socioeconomic impacts on the same coal-mining communities and regions throughout the country, NEPA requires their analysis in the environmental document supporting the Proposed Rule. As such, the cumulative adverse socioeconomic impacts of these actions must be fully discussed in the EIS.	The Final RIA and FEIS for the SPR consider a number of recent U.S. EPA regulations as part of the baseline for the analysis. These are articulated in Chapter 3 and Appendix F of the RIA. These are also discussed in the cumulative impact analysis in section 4.5 of the FEIS. The Final RIA and FEIS baselines have been updated since the DEIS was released to the public.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-70, Streams, 4th Bullet “The length of downstream miles preserved varies across Action Alternatives primarily due to changes in coal production (see Section 4.1) expected as a result of the Action Alternatives (Table 4.2.1-12). The production changes generally influence between one and two percent of total affected downstream miles. The vast majority of preserved stream miles occur in Appalachia, the region anticipated to experience the greatest reduction in surface coal mining activity under the Action Alternatives.” This indicates that the SPR will indeed negatively impact production levels, especially in the Appalachian Region.	Thank you for your comment. OSMRE agrees with this observation, as described in Section 4.1 of the EIS, the greatest forecast declines in coal production are expected to occur in the Appalachian Basin Region.

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NA	EPA	We are pleased that the DEIS evaluates the impacts associated with the proposed alternatives on environmental justice communities. However, we note the lack of any identified coal-producing communities in West Virginia with low-income populations and the lack of discussion regarding disproportionate placement of adverse environmental, economic, social, or health impacts to low-income and minority populations from surface and underground coal mining activities. We recommend that this information be included in the FEIS. U.S. EPA would like to work with OSMRE on ways to clarify the environmental justice analysis.	According to the Council on Environmental Quality (CEQ) and U.S. EPA guidelines, a low-income population exists if the project area consists of 50 percent or more people living below the poverty threshold, as defined by the U.S. Census Bureau, or is meaningfully greater than the poverty percentage of the general population or other appropriate unit of geographic analysis. However, the term “meaningfully greater” is not defined. Public commenters and EPA suggested that the criteria to define “meaningfully greater” in the DEIS was too restrictive. As a result, the FEIS now considers any county with a population that has a poverty rate higher than the state average to be a low-income population. The FEIS finds that, of the 286 counties in the study area, 190 counties have populations that meet the specified low income and/or the minority population environmental justice thresholds. Of these 190 counties, 60 percent of them are in the Appalachian Basin. Of those counties in the Appalachian Basin, four have been identified as minority communities, 103 as low income communities, and nine as both low income and minority environmental justice communities.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's analysis grossly underestimates job losses. Nonetheless, the loss of 15,000 out of 90,000 jobs (17% decline) (DEIS, at ES-46) is significant and supports the summary statement at ES-37 that this rule will have net negative economic effects.	This analysis did not identify 15,000 jobs as being potentially lost due to the SPR. The commenter is citing text that describes the expected decline in employment that is anticipated to occur in the coal industry even absent the SPR. The expected impacts of the SPR on employment are detailed in the socioeconomics impacts section of Chapter 4, and are anticipated to be much less.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE has failed to comply with its environmental justice obligations to consider the impact of its actions on socioeconomically depressed areas. Further, OSMRE's analysis on its face fails to clearly address other effects of the rule (e.g., increased energy prices and loss of jobs outside the coal industry). The loss of jobs in the coal	Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life. As noted, in areas that rely heavily on coal mining employment, reduced mining activity may affect the livelihood of the

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		industry does not happen in isolation; it precipitates loss of industry support jobs and loss of local community jobs when fewer people reside in a community or have the economic wherewithal to support a community.	community. Individuals and families may rely on the availability of mining jobs to provide income and benefits important to their well-being, such as health insurance. To the extent that impacts of the Proposed Action are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity. In addition, coal companies may have a philanthropic presence in communities; reduced mining could adversely affect these philanthropic activities.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the Alternatives Section ES.8, p. ES-41 The Executive Summary suggests that there would be a reduced demand in coal production due, in part, to a reduction in demand from electrical generators and, in part, due to an increase in jobs associated with compliance. OSMRE acknowledges that this will lead to reduced employment in the mining sector and miner lay-offs. OSMRE fails to link job losses to reduced coal production and high coal operation costs that will drive some mining companies out of business	Estimated employment impacts for the U.S. coal industry are presented in Section 4.3 of the EIS. The distribution of these impacts (beyond the regional level) is impossible to predict. OSMRE's analysis cannot predict the distribution of employment impacts by mining company due to the large scope and scale of the Proposed Action. However the socioeconomic impacts of mining companies closing due to unfavorable economic conditions are captured by the regional analysis presented in the EIS.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE disingenuously (and without explanation) suggests that the loss of miner jobs could be offset by an increase in employment for regulatory compliance purposes. There is no evidence supporting the assumption that all miner training and education is transferrable to compliance-related work. Subsequent sections in the DEIS confirm that miners shifting to compliance work will need specialized education; however, the DEIS fails to acknowledge or address that compliance work will probably be in very short supply owing to the decline in the number of mining companies as a result of implementation of the Proposed SPR. The DEIS also fails to address delays in permit application preparations owing to a work force staffed by former mining workers with little practical experience working in the regulatory process.	The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C.

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			§ 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.
OSM-2010-0021-0696	Murray Energy Corporation	In the final section of ES.8, OSMRE acknowledges that the socioeconomic costs of the Preferred Alternative are a negative benefit, which should be considered in light of the overall coal industry shrinkage. The conclusion such costs would be "minor" was either determined before the recent decline in coal production and profits or is not based on accurate information. In all likelihood, the socioeconomic impact of the Proposed SPR will be major not minor.	Thank you for your comment. This comment does not provide support for your conclusion that impacts would be major. The OSMRE analysis is based on a detailed review of the estimated incremental impacts of the rule elements.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2, p. 3-409 Throughout this section, coal region conditions are compared, often unfavorably, with statewide data. However, due to the nature of the industry, coal mining counties are generally located in rural areas, and therefore a more analogous comparison would be with other rural communities. Therefore, OSMRE should compare coal communities with other similarly sized rural districts for a more appropriate analysis.	The analysis compares impacts to statewide statistics in an effort to provide a consistent measure across coal regions. For more details on specific counties please refer to the Environmental Justice analysis presented in Section 4.4 of the EIS.
OSM-2010-0021-0696	Murray Energy Corporation	Economic Conditions Section 3.14.2, p. 3-418 Table 3.14.6 -Coal Mining Employment and Annual Payroll By State 2011 There appear to be multiple errors and/or inconsistencies in this Table. For example, in the Gulf Coast region the Table lists 3,419 employees, a 424% growth rate in coal sector employment, a \$199 million annual payroll with 12% annual payroll growth, and yet 0% contribution to total employment in the region. Similar errors appear with the Western Interior region. There is no explanation for these discrepancies. These errors call into question the accuracy of the entire table, which figures prominently in subsequent OSMRE calculations of impacts.	This table has been updated.
OSM-2010-0021-0696	Murray Energy	Tables 3.14-8a-3.14-8g- Coal Severance Tax Revenues in	Thank you for your comment. As severance

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	Corporation	Coal Producing States 2012. These tables present severance tax revenues and their contribution to total sales tax revenues. While the percentage numbers themselves are small, even small contributions can have significant impacts in states, counties, and municipalities with tight and shrinking budgets. The DEIS does not make any effort to investigate (either in this Section, in Section 4.3—Social and Economic Resources—or in Section 4.4—Environmental Justice) the geographically specific impacts of changes to revenue or the multipliers of those changes.	taxes from the majority of the potentially affected states account for less than 0.2 percent of total state tax revenues, OSMRE does not anticipate that the predicted decline in severance taxes will have a significant impact on these communities. These impacts are evaluated at the state as well as the regional level. For example, Kentucky (1.62%), West Virginia (6.98%), and Wyoming (11.91%) are the only states in which severance taxes contribute more than 0.2 percent to total state tax revenues.
OSM-2010-0021-0696	Murray Energy Corporation	These tables depict negative trends in employment in the manufacturing, retail, finance, construction, wholesale, and administrative sectors, both in coal counties and at a statewide level in the Appalachian Basin. The tables show positive trends in health, professional services, management, and mining sector employment. A 2015 study completed by Ramboll Environ suggests that implementing the Proposed SPR will result in total coal-related job losses in the Appalachian region ranging between 30,115 and 52,556 direct jobs and between 79,142 and 190,415 including indirect and induced jobs along with direct. The growth sectors may in fact have to absorb many more positions than the DEIS predicts. In addition, the affected population is not likely to be qualified or mobile enough to take advantage of opportunities in growth sectors. The DEIS makes the assumption that they will be both qualified and mobile, but that is never demonstrated. If they are not, the DEIS does not address what measures might be taken to assist with a transition, and what those measures would cost. Therefore, the impacts of the Proposed Rule on EJ communities is underestimated.	Ramboll Environ’s interpretation of the incremental changes in mining operations required to comply with the rule elements is inaccurate and extreme, which lead to erroneous estimates of the impacts on coal production and employment. As such, OSMRE disagrees with the commenter’s statement that, “The growth sectors may in fact have to absorb many more positions than the DEIS predicts.” In addition, while some work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists), other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). To the extent that individuals previously employed by the coal industry cannot find employment opportunities that meet their existing skill set, they may have to be trained and acquire skills in a new field. Please refer to the Master Response on Alternative Analysis Provided by the National Mining Association as well as the Master Response on Employment Effects and Multipliers for additional details.
OSM-2010-0021-0696	Murray Energy Corporation	The period of time stated for the EIS is misrepresented. Impacts to the mining industry will be incurred earlier than	As stated in the RIA and EIS, the onset of costs and Benefits of the Final Rule will depend, in

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		2020 because of the need for changes to practices, technologies, and other operations that require advanced investments and changes in practices, including financial practices. Hence, the EIS misses at least five years (from 2015) of economic, social, and industry impact	part, on the assumed timeline for implementation of the rule. Sixty days after OSMRE's final Stream Protection Rule is published in the Federal Register, it will take effect in states with Federal programs (currently Tennessee and Washington State, which have little coal production) and on Indian lands. Implementation in states with approved regulatory programs may take up to 42 months to develop regulations and policies consistent with this rulemaking. This equates to approximately 2020.
OSM-2010-0021-0696	Murray Energy Corporation	Mining secures some of the highest paying jobs available and creates, to some extent, usable flat land in the form of recontoured steep slopes in Appalachia. Utilities and infrastructure are a byproduct of a healthy economy, which a vibrant mining industry ensures (see comment on Section 4.0.3.6 below). The term "visual resources" is subjective. OSMRE did not state if or how the purported offense to Appalachia's residents due to the temporary sight of industrial activity was verified.	OSMRE agrees that visual resources are a subjective matter. Providing a broadly applicable mechanism to assess the value of manmade resources versus naturally occurring resources is outside of the scope of this effort. However, OSMRE has qualitatively evaluated the potential effects the alternatives would have on water quality, forest resources, and biological resources from proposed AOC and reforestation requirements. Better water quality and landscapes that are more representative of natural conditions for the site are environmentally preferable and improved aesthetics are assumed to also result. We do not disagree that the mentioned areas provide a valuable and aesthetically pleasing resource.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.0.3.6, p. 4-11 This is the first section that acknowledges the possibility of "Moderate Adverse" impacts to employment and income as a result of the Proposed SPR. It acknowledges "Major Adverse" impacts in Appalachia under Alternative 2. This section makes the assumption that a reduction of surface mining will lead to a balancing growth in underground mining and a concomitant substitution for employment. Indeed, because underground mining requires more hours of labor to produce a ton of coal than surface mining does, this shift may, the authors presume, cover all losses in surface mining. The section does not consider the reality that underground mining is also expected to contract under the Proposed SPR, and therefore will not be able to employ the newly	The potential increased costs of the SPR under Alternative 2 are anticipated to be large enough to alter the relative desirability of surface versus underground coal mining in Appalachia. This is not the same as assuming that this shift would "cover all losses in coal mining" as the commenter states. In fact, as described in the socioeconomic impacts section of Chapter 4, employment impacts would be significantly higher under Alternative 2 than under other alternatives.

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		unemployed surface miners.	
OSM-2010-0021-0696	Murray Energy Corporation	Production costs for the Appalachian Region are already the highest of any of the coal-producing regions and further adding a disproportionate amount of the compliance costs resulting from the Proposed SPR implementation will place this region at an even greater disadvantage compared with other producing regions....Most mining companies within the Appalachian Region have been operating at a loss for the past several years trying to stay solvent while hoping for an eventual return to profitability. Enacting the Proposed SPR as proposed will further push more operators into bankruptcy, thus greatly reducing production and increasing job loss in the region. The predicted production and job loss values presented in the DEIS for the Appalachian Region are greatly understated.	OSMRE recognizes that conditions in the coal industry have been changing rapidly in recent years. In response to public comments, the baseline coal market forecast for the analysis has been updated. Please also refer to Master Response on Industry Compliance Costs and Employment Effects and Multipliers.
OSM-2010-0021-0696	Murray Energy Corporation	Social and Economic ResourcesSection 4.3, p. 4-182 - compared to Section 4.0.2.3, Table 4.0-1 (p 4-8) and Tables 4.0.2 to 4.0.17 (pp 4-13 to 4-28) The tables found in Section 4.0.2.3 indicate that the all of the alternatives will have some degree of negative economic impact across all regions and that the impacts will be most severe in the Appalachian Basin region. The definitions in the table found on page 4-8 delineate "major" and "minor" adverse effects based on recoverability, and the likelihood of recovery with or without support and intervention. However, the data presented in section 4.3 (as it relates to employment and economic opportunity) do not support the conclusion that impacts will be less than "Major Adverse" in any of the coal producing regions or for any of the affected environmental justice communities.	Each section in Chapter 4 includes a discussion of the effects of the current regulatory environment for that resource under the No Action Alternatives, the environmental consequences of the Proposed Action and alternatives on that resource, and, where adverse impacts of the action on the resource is anticipated, consideration of potential mitigation options for identified adverse effects. In response to public comment, we have added text to section 4.0 and individual resource sections in the FEIS that more clearly articulates the criteria for impacts used in this analysis. Please refer to specific chapter sections for details on these analyses.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.1.2, p. 4-184, first paragraph "Employment in the coal mining industry is expected to change as a result of several factors. The applicability of these factors varies by region, and some offset each other." OSMRE is incorrect that employment changes will offset each other. At a community level, where mine companies operate and interact with employees, employment losses will have significant impacts on local communities and county and state economies. Any potential job offsets will be inadequate.	The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to

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			require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE anticipates three possible responses to the overall decline in mining related employment: increased opportunity in underground mining; the ability to shift to jobs related to compliance and enforcement associated with the implementation of a new regulation; and a shift to jobs in another energy sector. OSMRE's analysis is flawed. The skills learned by the mining labor force have not been shown to be transferrable to most other employment sectors, with the exception of other mining sectors. A labor force migrating to compliance and enforcement is unrealistic given the projected declines in the mining sector that cause the job losses in the first place. Even if some of the labor force is transferable, a 2015 report by Ramboll Environ estimates employment loss from implementation of the Proposed Rule at between 112,757 and 280,809 total jobs throughout the economy (Ramboll Environ, 2015). An impact of this extent cannot be sufficiently balanced by the increased employment from compliance measures.	Ramboll Environ's interpretation of the incremental changes in mining operations required to comply with the rule elements is inaccurate and extreme, which lead to erroneous estimates of the impacts on coal production and employment. As such, OSMRE does not agree with the commenter's estimate of employment impacts of the SPR. In addition, while some work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists), other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). To the extent that individuals previously employed by the coal industry cannot find employment opportunities that meet their existing skill set, they may have to be trained and acquire skills in a new field. Please refer to the Master Response on Alternative Analysis Provided by the National Mining Association as well as the Master Response on Employment Effects and Multipliers

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			for additional details.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Action Alternatives and Potential Effects on Socioeconomic Conditions Section 4.3.1.2, p. 4-184, second paragraph Employment opportunities in alternate energy sectors are unlikely. OSMRE assumes several conditions will be met so that job losses may be offset: 1) The mine labor force is mobile; 2) Mining skills are transferrable to other job sectors; 3) Jobs in alternate energy sectors will appear instantly to capture labor lost by changes and shut down of mining operations; and 4) Income and Benefits between job sectors is lateral with no appreciable changes. OSMRE has not evaluated these and other factors, making OSMRE's assertions about offsetting job losses largely unsubstantiated.</p>	<p>The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>OSMRE fails to demonstrate the substitutability of jobs across different employment sectors in states and regions where mining occurs. A labor force migrating to compliance and enforcement is unrealistic given the projected declines in the mining sector that cause the job losses in the first place.</p>	<p>The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to</p>

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OSM-2010-0021-0696	Murray Energy Corporation	U.S. home pricing data indicate that housing prices are significantly depressed in coal-producing counties. If unemployment leads to difficulties with missed mortgage payments and home foreclosures, the decrease in housing prices will have a devastating effect on communities, many of which already have higher poverty rates than the U.S. average (see DEIS, at 3-414 and 3-460).	Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life. As noted, in areas that rely heavily on coal mining employment, reduced mining activity may affect the livelihood of the community. Individuals and families may rely on the availability of mining jobs to provide income and benefits important to their well-being, such as health insurance. To the extent that impacts of the Proposed Action are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity.
OSM-2010-0021-0696	Murray Energy Corporation	Employment Impact AnalysisSection 4.3.1.3, p. 4-191, last paragraph"Decreased coal production would lower demand for these goods and services provided, which, in turn, decreases income and employment in these supporting industries. As stated above, to the extent that coal production is replaced by extraction of another domestic fuel supply, employment impacts could be offset at the regional or national level by increasing employment in industries that extract substitute fuels, such as natural gas."The lower demand for goods and services will generate	The analysis does not intend to describe sectors that would "offset" or "absorb" coal sector job losses. The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased

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		<p>job losses in other sectors because businesses will experience revenue losses stemming from higher local unemployment. The financial impact on communities that support mine operations will increase as a result of these so-called multiplier effects. With respect to employment opportunities in alternate energy sectors, OSMRE assumes several conditions will be met so that coal mining job losses may be offset: 1) The mine labor force is mobile; 2) Mining skills are transferable to other job sectors; 3) Jobs in alternate energy sectors will appear instantly to capture labor lost by changes and shut down of mining operations; and 4) Income and Benefits between job sectors is lateral with no appreciable changes. OSMRE has not evaluated these and other factors, making OSMRE's assertions about offsetting job losses largely unsubstantiated.</p>	<p>work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does not speak to how or whether particular individuals would seek job retraining. We note that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.1.4, p. 4-218, Table 4.3.1 -20 As with full-time equivalents, the Preferred Alternative results in an annual net negative impact on labor income on the order of \$23 million dollars. Over a 20-year time horizon, that results in \$460 million dollars in losses. Table 4.3.1-20 depicts negative effects for both surface and underground labor income, which reinforces the reality that underground mining opportunities will not be a substitute for surface mining work as suggested by OSMRE. Consequently, it is unclear how OSMRE can assert the economic consequences of the Proposed Rule and other action alternatives range from "negligible" to "minor adverse," depending on the region.</p>	<p>The anticipated annual decrease in production represents a very small percentage of the total U.S. coal industry. For comparison, total coal production was approximately one billion short tons in 2014. At an average cost of approximately \$35 per short ton, total sales of coal from mines to the U.S. market was approximately \$35 billion for that year.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.3.1.5, p. 4-220, footnote 40 "Statewide home values include urban and rural areas. Because coal mining largely occurs in rural areas, statewide home values may be an imperfect point of comparison, i.e., part of the differential attributed to coal mining may reflect a more general urban/rural disparity."As OSMRE recognizes here, statewide comparisons are inaccurate and misleading for coal mining, which occurs primarily in rural areas. OSMRE's socioeconomic analyses for mining regions should compare them with rural, perhaps agricultural, regions instead of</p>	<p>Statewide data on home values is the most detailed level of data available across the U.S. In order to maintain a consistent point of comparison across states and regions, these data were used as the best available option. As cited by the commenter, OSMRE notes in the EIS that the use of statewide data may be imperfect.</p>

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		comparing them with statewide values that including richer urban communities.	
OSM-2010-0021-0696	Murray Energy Corporation	In the summary associated with Table 4.2.3-2 (DEIS, at 4-139), OSMRE states: "To varying extents, all Action Alternatives (except Alternative 9) would decrease coal production. Under all Action Alternatives, coal production would decrease the most in the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains regions." Studies have shown that when electricity/energy prices rise, middle and lower income households are impacted disproportionately: "eliminating coal . . . will increase the annual electricity bill for an average American family by up to \$254. Furthermore, the shift away from coal places an unbalanced burden on the poor, raising their electricity bills by as much as 23 percent" (Maxwell, 2015).	Thank you for your comment.
OSM-2010-0021-0696	Murray Energy Corporation	Neither OSMRE nor any peer-reviewed study has established a causal link between proficiency rate in school and residence in coal mining areas. Temporality of exposure and disease cannot be assessed with this ecological study design. . . Detailed exposure information is unknown, and county of residence does not necessarily indicate exposure.	Thank you for your comment. Please refer to Master Response on Public Health for additional details on the existing research concerning public health impacts of coal mining.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.3.5, pp. 4-359 to 4-360 The "regulation-based" actions are overwhelmingly negative for socioeconomic effects. It is understandable that there is some price to pay for environmental stewardship, but the price is likely too high if it eliminates whole segments of a major industry.	Thank you for your comment.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.3.5, p. 4-359 "This analysis projects that coal industry employment will decrease by over 15,000 full-time equivalents (FTEs) under baseline conditions from 2020 to 2040." Coal mining employment's loss of an estimated 15,000 full-time equivalents does not factor in the 3 to 7 additional indirect jobs that depend on each direct mining job.	This analysis did not identify 15,000 jobs as being potentially lost due to the SPR. The commenter is citing text that describes the expected decline in employment that is anticipated to occur in the coal industry even absent the SPR. The expected impacts of the SPR on employment are detailed in the socioeconomics impacts section of Chapter 4, and are anticipated to be much less.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.3.5, pp. 4-359 to 4-360, bullets Claims of offsetting employment decreases in coal industry jobs with increases in compliance related employment (i.e., nonproduction overhead mining company employees, taxpayer funded government support personnel, various	The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments,

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		<p>additional vendor employees) demonstrate disregard for basic economics. A drastically reduced tax base resulting from drastically reduced production will not support additional government employees.</p>	<p>and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does speak to how or whether particular individuals would re-enter the job market. The changes in employment that may occur due to the SPR are not characterized as offsetting each other. What is anticipated is that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities).</p>
OSM-2010-0021-0068	Earthjustice	<p>OSMRE states that it rejected more protective alternatives because their alleged negative impact on the nation's energy needs would be too great. 2015 DEIS at ES-36 ("OSMRE determined that the impacts to coal production from this Alternative were so substantial that they ran counter to the mandate under SMCRA 102(f) to balance the need for energy with the protection of the environment."). In making this determination, OSMRE failed to consider the fact that the nation's demand for coal is in sharp, sustained decline brought about by structural changes in the way energy markets are functioning.</p> <p>Reductions in coal production that would be associated with strong stream protections are a drop in the bucket compared to the large-scale, economy-wide transition away from coal that OSMRE admits is under way....In other words, market forces and other regulations are predicted to reduce</p>	<p>OSMRE has incorporated projected declines in U.S. and global demand for coal in its consideration between the alternatives as presented in the EIS and the RIA. As shown in Exhibit 5-8 of the RIA (Chapter 5), total coal production in the U.S. is expected to decrease over the 2020-2040 period under the Preferred Alternative, as it would by similar proportions under the other alternatives. The reduction in annual coal production is projected to be small ranging from 0.04 to 2.3 million tons per year. The reduction largely reflects power plant substitution of natural gas for coal due to increased coal prices. The increase in coal prices is driven by costs incurred by mines resulting from the proposed rule. However, the price of coal increase over the baseline price</p>

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		annual coal production by over 150 million tons per year. By contrast, the most environmentally protective alternative analyzed by OSMRE is projected to reduce annual coal production by only 3.2 million tons. DEIS at 4-42.	will not be exactly the same as the increase in the cost of compliance as markets adjust over time. The baseline demand for coal from a given region will be influenced by numerous exogenous factors (i.e., factors unrelated to this rulemaking), including: reserve depletion; changes in relative production costs; changes or limitations in transportation capability and cost; growing demands for low-sulfur coal; the abundance of, and relative cost of, alternatives to coal for electricity production (especially natural gas); changes in demand for steam coal resulting from the adoption of renewable portfolio standards for utilities; changes in demand for metallurgical coal (driven by domestic levels of iron and steel production, as well as demand from overseas); and changes in demand in the U.S. export market.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.6, p. 4-371, Table 4.6-7, "Socioeconomic Conditions" row</p> <p>Impacts to employment and income may be beneficial in some areas, where Benefits to employment from new compliance-related -work requirements more than offset production related employment impacts. OSMRE simplifies and understates one of the most serious consequences of the Proposed Rule. It is highly questionable whether the number of coal production jobs lost will be offset by compliance-related work in other job sectors (presumably consulting, permitting, monitoring, and environmental analysis work). Even if OSMRE's claims were true, the shift in jobs and employment are unlikely to benefit the same individuals who are currently employed in coal production. Skilled mine labor is not necessarily transferable or equivalent to skilled labor in the consulting, permitting, monitoring, and environmental analysis employment sectors. OSMRE appears to ignore the difficulty that operators will have in finding compliance specialists, many of whom will need university-level training, to complete the applications and monitor the operations. The loss of mining jobs will significantly affect the community and state economies. Moreover, the Proposed Rule will result in the loss of mines altogether, which, according to OSMRE's logic,</p>	<p>The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does speak to how or whether particular individuals would re-enter the job market. The changes in employment that may occur due to the SPR are not characterized as offsetting each other. What is anticipated is that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments, and other tasks that require employment of highly trained</p>

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		also decreases the need for compliance jobs.	professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities).
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2.2, p. 3-433"Table 3.14-10. Employment and Annual Payroll by Industry in the Colorado Plateau" This table depicts negative growth in manufacturing, retail and construction, and positive growth in mining, food service, health care, and professional services in the Colorado Plateau region. A 2015 study conducted by Ramboll Environ suggests that overall impacts to coal sector employment may be greater than what the DEIS suggests. In addition, the affected population is not likely to be qualified or mobile enough to take advantage of opportunities in growth sectors. The DEIS makes the assumption that they will be both qualified and mobile, but that is never demonstrated. If they are not, the DEIS does not address what measures might be taken to assist with a transition, and what those measures would cost. Therefore, the impacts of the Proposed Rule on EJ communities are underestimated.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2.4, p. 3-439 "Table 3.14-12 Employment and Annual Payroll by Industry in the Illinois Basin" This table depicts negative growth in manufacturing, retail and construction and positive growth in mining, food service, health care, and professional services in the Illinois basin. A 2015 study conducted by Ramboll Environ suggests that the overall reductions in coal mining employment may be significantly greater. In addition, the affected population is not likely to be qualified or mobile enough to take advantage of opportunities in growth sectors. The DEIS makes the assumption that they will be both qualified and mobile, but that is never demonstrated. If they are not, the DEIS does not address what measures might be taken to assist with a transition, and what those measures would cost. Therefore, the impacts of the Proposed Rule on EJ communities are underestimated.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2.5, p. 3-443"Table 3.14-13 Employment and Annual Payroll by Industry in the Northern Rocky Mountains and Great Plains" This table depicts positive growth for	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.

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		health, mining, transportation and warehousing, professional services administration, and food service sectors in the Northern Rocky Mountain region. The table depicts negative employment growth for construction, wholesale/retail trade and manufacturing sectors. The implication is that mining sector jobs that are lost as a result of the Proposed Rule will be offset by gains in these other sectors. However, the question left unanswered is whether the affected populations will be qualified enough or mobile enough to take advantage of sectors where opportunities exist. In addition, a 2015 analysis performed by Ramboll Environ suggests that the real impact of the Proposed SPR on coal jobs will be much greater than indicated by the DEIS, which suggests that the number of jobs that the growth sectors will need to absorb or create to offset coal sector losses may be greater than the DEIS predicts. This omission results in an underestimation of the impacts of the Proposed Rule on affected communities.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2.7, p. 3-450 This table depicts negative employment growth in all sectors, except for health care, management, mining and professional services. As with other regions, the question here is, again, whether members of EJ communities, who will be disproportionately affected by the loss of employment opportunities in the coal sector, will be either qualified or mobile enough to take advantage of opportunities in growing sectors. In this region in particular, the economic impacts may be more significant because there are fewer sectors where growth is occurring. In addition, a 2015 analysis performed by Ramboll Environ suggests that the impact of the Proposed SPR on coal jobs will be much greater than depicted in the DEIS, which suggests that the number of jobs that must be absorbed or created in the growth sectors in order to offset coal sector losses is greater than this analysis suggests. This omission results in an underestimation of the impacts of the Proposed Rule on affected communities.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's cost calculations in the DEIS and RIA do not consider the costs (both to the states or to the individual) of retraining, lost wages during training periods, or the loss in lifetime earning potential if an individual is forced to take a lower paying position in a new industry (at the period of	The RIA recognizes that employment in the coal industry may be reduced in some areas due to the SPR. The RIA notes that additional work requirements of the SPR may include performing inspections, conducting biological assessments,

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		time that should be his or her peak earning years). Lower salaries also translate to lower standards of living. Other sections of this analysis outline the impacts of decreased standards of living on health care and child welfare in particular.	and other tasks that require employment of highly trained professionals (e.g., engineers and biologists). Other increased work requirements associated with elements contained in the Final Rule are expected to require similar skills as currently utilized by the industry (e.g., bulldozer operations, haulage activities). The RIA quantifies the level of employment expected to be reduced within the industry and does speak to how or whether particular individuals would seek job retraining.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Home Ownership and Mobility</p> <p>There is an implicit assumption in the DEIS and RIA that not only will displaced coal sector employees be qualified to compete for positions in other sectors, but that they will also be able to easily migrate to areas within their states, or to other states, where employment opportunities may exist. For those families that own their homes, these homes often represent their largest single asset. This is no different for families from coal communities. Using publicly available census data, Ramboll Environ demonstrated that on average, coal producing counties have housing values that are 74% of the average home value in their State, and 56% of the average home value in the U.S. This varies by State, where coal counties in Wyoming tend to have similar home values to the U.S. as a whole, and coal counties in the Appalachian Region (Kentucky, Ohio, Pennsylvania, West Virginia) tend to have much lower housing values compared to both the U.S. average and the State average (Figure 1-4). This makes it unlikely that displaced coal industry employees could easily uproot and move to a different region of the country, since the value of their housing assets are disproportionately low. (U.S. Census Bureau, 2013). For example, in McDowell County, West Virginia, the average home value is \$35,000, only 36% of the average State value of \$98,500 and only 20% of the average U.S. home value of \$176,700. These findings further suggest that relocation will not be as easy as the DEIS and RIA would suggest.</p>	The analysis does not describe sectors that would "absorb" coal sector job losses. Rather, the analysis reports in Chapter 6 of the Final RIA that some job losses may occur within the coal sector due to this rule. As described in Chapter 6 of the Final RIA, the analysis finds that much of the additional demand for compliance activities is most likely to be undertaken by mining companies at mining sites, e.g. additional haulage of coal.
OSM-2010-0021-0696	Murray Energy Corporation	A comprehensive investigation of the full impact of a proposed regulatory change would examine all of these elements: direct, indirect, and induced effects, as well as backward and forward linkages. A thorough analysis would	Regional socioeconomic impacts are described in Chapter 6 of the RIA and in Chapter 4 of the EIS. For an explanation of why ripple effects were not included in this analysis, please refer

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		look at these impacts not only on a national level, but at regional and local ones, as well. The DEIS and RIA do none of this. The presence of uncertainty when analyzing these impacts should not influence their inclusion in the RIA. Forecasting, by definition, includes uncertainty, and multipliers are designed to function while taking this uncertainty into account. There is no methodological rationale for choosing not to include ripple effects in the analysis.	to Master Response on Employment Effects and Multipliers.
OSM-2015-0002-0008	Natural Resource Partners LP	The Proposed Rule and accompanying analyses do not adequately address the economic impacts, including cumulative impacts of the federal government's rulemaking: Since 2011, more than 40,000 coal miners have lost their jobs. Recent reports state that for every one of those jobs lost, four more jobs are lost to the local economies.	Chapter 6 of the RIA discusses potential impacts of the proposed rule on employment in detail. The analysis focuses on presentation of direct regional economic impacts of the rule stemming from changes in coal production and compliance-related costs, but "ripple" impacts on the economy are also likely to occur associated with 1) changes in spending by local industries buying goods and services from other local industries (sometimes called indirect effects), as well as 2) changes in household consumption arising from changes in employment and associated income. We recognize the existence of these effects but do not quantify these in this analysis due to the high level of uncertainty associated with quantifying the scale of these effects. Section 4.5.3 of the EIS (Assessment of Cumulative Impacts) discusses the impacts of the proposed rule and its alternatives together with ongoing trends specifically as related to ongoing trends in coal industry employment. While the socioeconomic implications of the Action Alternatives are characterized as resulting in minor to moderate impacts, the cumulative impact of the Action Alternatives, in combination with other actions and trends, is classified as negative.
OSM-2010-0021-0039	Jim Thomas	The job loss numbers should include the cumulative impacts which are 3 indirect job losses per 1 direct job loss (Exhibits N and O).	Chapter 6 of the RIA discusses potential impacts of the proposed rule on employment in detail. The analysis focuses on presentation of direct regional economic impacts of the rule stemming from changes in coal production and

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			compliance-related costs, but “ripple” impacts on the economy are also likely to occur associated with 1) changes in spending by local industries buying goods and services from other local industries (sometimes called indirect effects), as well as 2) changes in household consumption arising from changes in employment and associated income. We recognize the existence of these effects but do not quantify these in this analysis due to the high level of uncertainty associated with quantifying the scale of these effects.
OSM-2010-0021-0039	Jim Thomas	The cumulative effects analysis will lead you to believe the proposed rule would be positively beneficial to the industry. Further evaluation of cumulative impacts to public welfare and loss of jobs, wages, severance tax revenue, etc. must be assessed for each region that will be impacted. Significant impacts to human health would occur due to loss of income and therefore healthcare and the ability to maintain a self-supporting home-life. ... With already high poverty rates the cumulative effects on these areas would be significant.	An evaluation of the regional employment impacts associated with the SPR and its alternatives is presented in section 4.3.1.3 of the EIS (Employment Impact Analysis) as well as Chapter 6 of the RIA, which also includes a presentation of the impacts of the SPR on employment compensation. Section 4.5.3 of the EIS (Assessment of Cumulative Impacts) discusses the impacts of the proposed rule and its alternatives together with ongoing trends specifically as related to ongoing trends in coal industry employment. Section 4.3.1.6 of the EIS (Tax Revenue Impacts Analysis) evaluates the potential impacts of the SPR and its alternatives on severance taxes. Section 4.3.1.7 discusses potential impacts of the rule and its alternatives on quality of life. While the socioeconomic implications of the Action Alternatives are characterized as resulting in minor to moderate impacts, the cumulative impact of the Action Alternatives, in combination with other actions and trends, is classified as negative.
OSM-2015-0002-0077	NMA	One of the significant failures of the RIA and the DEIS is that neither provide a thorough investigation of the induced and indirect impacts of the Proposed Rule at the community level. The analyses present too general conclusions at the national level, and do not examine localized implications of the Proposed Rule.	In Chapter 4 of the RIA and Section 4.3.1 of the EIS. OSMRE recognizes that “ripple” impacts on the economy as a result of the SPR are likely to occur associated with 1) changes in spending by local industries buying goods and services from other local industries (sometimes called indirect effects), as well as 2) changes in household

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			consumption arising from changes in employment and associated income. OSMRE recognizes the existence of these effects but does not quantify them in this analysis due to the high level of uncertainty associated with quantifying the distribution and regional variation of these effects. Additionally, regional level impacts are provided throughout the RIA and DEIS for seven coal regions.
OSM-2010-0021-0056	Utah Mining Association	First, the analysis grossly underestimates the overall magnitude of the impacts by suggesting that environmental compliance jobs would mitigate the loss of employment related to mining. Second, the analysis does not fully investigate the regional and local impacts of the SPR on employment, low-income families, regional economies, municipal taxes, electricity supply, human health, and quality of life.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0056	Utah Mining Association	The DEIS grossly under-predicts or fails to fully consider the level of harm to the mining industry, public, and national economy that is likely to occur if the SPR is adopted as federal regulation.	Please refer to Master Response on Technical Accuracy.
OSM-2010-0021-0056	Utah Mining Association	Many coal communities depend solely on this single resource industry for their economic survival. This dependence makes these communities particularly vulnerable to factors such as resource depletion, mine closures, shifts in world markets, or government policy changes. Dominated as they are by a single industry, these smaller communities cannot easily absorb the blows to their economy, tax base, and social structure when their main source of jobs and income shuts down.	Thank you for your comment. Section 3.14 of the EIS discusses the socioeconomic conditions in coal mining regions. Section 4.3.1 of the EIS evaluates potential impacts of the SPR on employment, regional income, property value, tax revenues, and quality of life. As noted, in areas that rely heavily on coal mining employment, reduced mining activity may affect the livelihood of the community. Individuals and families may rely on the availability of mining jobs to provide income and benefits important to their well-being, such as health insurance. To the extent that impacts of the Proposed Action are concentrated in a particular community, these communities may experience a reduced quality of life to the extent that the Action Alternatives result in reduced mining activity.
OSM-2010-0021-0070	Luminant Mining Company LLC	Other federal agencies have recognized the difference between lignite coal operations and other coal operations, not only because of the physical and other characteristics of	The baseline forecasts have been updated in the Final RIA to reflect the changing conditions in the coal industry.

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		lignite, but also because of the prevalence of mine-mouth power plants that rely on a single source for fuel. ⁵¹ This means that not only may lignite mining companies be required to shoulder enormous costs under the Proposed Rule, but also that power plants, utilities, and their customers may bear a disproportionate share of the burden. The DEIS does not take into account the different socioeconomic impacts for lignite coal mining and the Regulatory Impact Analysis did not differentiate between the impacts of the Proposed Rule on lignite mining as compared to other types of coal mining. As such, OSMRE should have considered as a reasonable alternative under NEPA an alternative that exempts lignite mining operations from the Proposed Rule.	
OSM-2010-0021-0070	Luminant Mining Company LLC	The DEIS concludes that the Proposed Rule will result in a loss of between only 41 and 590 full-time jobs each year, on average, under the Preferred Alternative. This estimate, however, was based on unrealistic estimates of industry contraction and flawed input values. OSMRE also assumes that job losses will be mitigated through compliance-related employment or other sector employment, but provides absolutely no basis for its assumptions. It appears that OSMRE relies for support of its estimates on the analysis in the Regulatory Impact Assessment that offsetting job increases created by the new compliance obligations combined with estimates of coal industry job contraction will result in insignificant employment impacts. However, estimates relating to job creation as a result of the rule are completely unsupported and speculative and have been called into question by Ramboll Environ’s study.	Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.

12. Energy Markets

ENERGY MARKETS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0068	Earthjustice	Even without any action by OSMRE, OSMRE predicts “declines in surface coal production . . . in nearly all coal regions between 2020 and 2040, with annual production falling from 721 million tons to 610 million tons over the time period.” DEIS 4-33. OSMRE predicts overall underground coal production to drop from 358 million tons to 306 million tons over the same period. Id. In other words, market forces and other regulations are predicted to reduce annual coal production by over 150 million tons per year. By contrast, the most environmentally protective alternative analyzed by OSMRE is projected to reduce annual coal production by only 3.2 million tons. DEIS at 4-42.	Thank you for your comment.
OSM-2010-0021-0068	Earthjustice	Clean air and climate policies, including the Clean Power Plan, will only accelerate this transition. “Nationwide, by 2030, [the Clean Power Plan] will achieve CO2 emission reductions from the utility power sector of approximately 32 percent from CO2 emission levels in 2005” and coal’s share of generation capacity will drop from 39 percent today to “about 27 percent.” Id. at 64,665. In other words, the U.S. electric power generation sectors’ need for coal is projected to drop by about one third by 2030. Yet OSMRE predicts a decline in overall U.S. coal production of only “15 percent (162 million tons).” DEIS at 4-31. The upshot is clear: unless operators reduce coal production to a far greater degree than OSMRE forecasts, the current coal glut will only worsen as the supply of coal continues to drastically outpace declining demand from the power generation sector.	Thank you for your comment.
OSM-2010-0021-0696	Murray Energy Corporation	Limitations and Uncertainties Section 4.0.4, p. 4-29 This short section describes the shortcomings of OSMRE’s analysis. In particular, both market conditions and mining costs are based on models. The mine cost models are to be found in the RIA. There is no discussion of recent shifts in energy markets such as the shift to low cost natural gas, nor is there a discussion of the potential uncertainty in both underground and surface coal production as a result of the Proposed Rule. This is	We agree that important changes have been occurring in the coal industry in recent years. The FEIS reflects additional text discussing a revised coal market baseline to reflect these conditions, as well as two alternative baseline scenarios to reflect uncertainty.

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		the greatest source of uncertainty and this should be evaluated in this section.	
OSM-2010-0021-0696	Murray Energy Corporation	However, inconsistently, OSMRE has chosen to use the 2014 analysis from Energy Ventures Analysis to define the forecasted coal production under the No Action Alternative as "a general decline in annual total surface and underground production of approximately 15 percent (162 million tons)." In contrast, EIA's Annual Energy Outlook 2014 with projections to 2040 shows an increase of 4.1% (44 million tons) over the study period (Table A15, Comparison of Total Production in years 2020 and 2040). As the evaluation of the No Action Alternative serves as the baseline against which all other alternatives are compared, this discrepancy is critical.	The energy market evaluation is detailed in Appendix F to the RIA, and represents a detailed year by year analysis of forecast coal demand under three baseline scenarios. A comparison of the baseline market forecast used in the RIA analysis with 2016 EIA reference and No Clean Power Plan coal demand forecasts is presented in the Executive Summary and Appendix F of the RIA. As shown, the low coal demand baseline scenario used in this analysis is similar, but somewhat lower, than EIA's current reference case (which assumes implementation of the Clean Power Plan), while EIA's "no Clean Power Plan" scenario forecasts baseline coal production that is similar, but somewhat higher, than the central case for this analysis. For additional comparisons to other existing coal forecasts, see an EIA review of coal forecasts, at http://www.eia.gov/forecasts/aeo/section_comparison.cfm . As shown, this comparison includes EVA forecasts in its comparatives.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.3.2.7, p. 4-256, Illinois Basin Region Analysis indicates that this Alternative -would slightly decrease total coal production in this region, thereby slightly decreasing the total area of affected land use, reducing infrastructure demands, and lessening adverse impacts on visual resources and noise. Therefore, this Alternative -would likely have long term and small scope impacts and, thus, is classified as an overall Minor Beneficial effect on land use, utilities, infrastructure, visual resources, and noise. A decrease in total coal production will undoubtedly create a negative impact for power utilities due to a loss of coal reserves for electricity production. OSMRE fails to adequately quantify the total area affected by the loss of coal reserves, which will be significant.</i>	The rule provides analysis of the impacts of the SPR on utility prices. The volume of coal production anticipated to be reduced in quantified by region.
OSM-2010-0021-0068	Earthjustice	By failing to consider the dramatic declines in U.S. and global demand for coal, regulatory changes unrelated to the proposed rule that will only speed those declines, or the availability of ready alternatives (renewables, natural gas, and energy efficiency) to meet the nation's need for energy in the event that demand for coal did	The comment is incorrect. OSMRE has incorporated projected declines in U.S. and global demand for coal in its consideration between the alternatives as presented in the EIS and the RIA. As shown in Chapter 5 of the RIA, total coal production in the U.S. is expected to decrease over the 2020-2040 period under

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		somehow exceed supply, OSMRE has “entirely failed to consider an important aspect of the problem” when making its choice between regulatory alternatives. State Farm, 463 U.S. at 43. OSMRE has also arbitrarily relied on the nation’s energy needs to reject stream protections that would not compromise the nation’s ability to meet its energy needs.	the Preferred Alternative, as it would by similar proportions under the other alternatives. The reduction in annual coal production is projected to be small, ranging from 0.04 to 2.3 million tons per year. The reduction largely reflects power plant substitution of natural gas for coal due to increased coal prices. The increase in coal prices is driven by costs incurred by mines resulting from the proposed rule. However, the price of coal increase over the baseline price will not be exactly the same as the increase in the cost of compliance as markets adjust over time. The baseline demand for coal from a given region will be influenced by numerous exogenous factors (i.e., factors unrelated to this rulemaking), including: reserve depletion; changes in relative production costs; changes or limitations in transportation capability and cost; growing demands for low-sulfur coal; the abundance of, and relative cost of, alternatives to coal for electricity production (especially natural gas); changes in demand for steam coal resulting from the adoption of renewable portfolio standards for utilities; changes in demand for metallurgical coal (driven by domestic levels of iron and steel production, as well as demand from overseas); and changes in demand in the U.S. export market.
OSM-2010-0021-0696	Murray Energy Corporation	Industrial Use, Section 4.1.1.3, p. 4-34, Table 4.1-1 Table 4.1-1 displays representative prices for coal in each region as dollars per ton. Coal is sold for its heat by dollars per million Btus. Prices per ton are secondary figures. Moreover, prices are shown as rising from today through 2040, which may not be accurate. The impact of the various regulatory alternatives on price thresholds needed to break even is not discussed.	The costs of the SPR are presented per ton of coal produced, and are then added to the costs of the price-setting mines in each region. The total costs are then converted to prices. In the coal market models, these prices are evaluated on a coal quality-adjusted basis (including heat content) to determine the dispatch of the respective coal plants.
OSM-2010-0021-0696	Murray Energy Corporation	Indirect Impacts Associated with Coal Combustion Section 4.2.4.7, p. 4-179 This section does not discuss the power requirements, kilowatt per ton of coal, for mining coal by underground methods, and therefore misses the total energy picture for underground mining.	The EIS focuses on understanding the incremental impacts of the rule on coal mining activities. The specific electricity requirements of underground mining are not anticipated to be directly affected by the rule.
OSM-2010-0021-0696	Murray Energy Corporation	Summary of Effects Section 4.3.1.8, p. 4-231 OSMRE’s socioeconomic study does not consider how	The OSMRE study does consider electricity price impacts, as described in Section 4.3.2 of the FEIS. The impacts of the SPR on electricity prices are

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		electricity prices will increase and the impacts those increases will have. As electricity production becomes more expensive without coal, the price increase will be passed on to the consumer. Regions that depend heavily on coal for electricity will see higher increases in electricity prices than other regions. These regions will already be hard hit economically from coal employment losses. OSMRE fails to account for standard supply and demand market responses in this section.	determined by a dispatch model that considers the entire electricity market. Pricing in many regions is set by the marginal cost of production which in many regions is natural-gas fired combined cycle plants. As a result, the impact on electricity prices of the higher coal prices is muted.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.6, p. 4-254, first paragraph "If states that are heavily dependent on coal for electricity production lose supply due to the implementation of the Action Alternatives, costs per kilowatt hour may rise. Cost effects, however, would also be influenced by other market factors, such as the ability to substitute competitively priced alternative electricity generation sources and coal production changes amongst the regions." OSMRE is incorrect. The ability to substitute between energy sources depends on available existing excess capacity at nearby alternative source generating plants. Without excess capacity, new generating plants would need to be built, which takes capital and time investment. The extent will vary among regions.	The electricity model that is used to evaluate the SPR considers only available generating capacity which includes planned and expected capacity additions. EMILY
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE over-simplifies the outcomes, which do not reflect regional impacts. It is difficult to import electricity from other regions, and long-term substitution ability takes capital, long-term investment, and significant time to convert, if there is no existing excess capacity. This creates a negative impact to the utility - in the short-term at a minimum.	The electricity model that is used to evaluate the SPR considers these regional factors in estimating the impacts of increased costs of coal production on utility pricing.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.7, p. 4-256, Appalachian Basin Region A decrease in total coal production in all of these regions as a direct result of the SPR implementation will undoubtedly create a negative impact for power utilities due to a loss of coal reserves for electricity production. OSMRE fails to quantify adequately the total areas affected in each region by the loss of coal reserves, which will be significant.	OSMRE's analysis did not conclude that a measureable loss of coal reserves is likely to occur due to the SPR. With respect to longwall mining, OSMRE found very few reserves that would be affected by the SPR. Given forecast demand, there was adequate longwall mining reserves for the forecast period. Please refer to Master Response on Alternative Analysis Provided by the National Mining Association.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.2.2, p. 4-335 "To the extent that these rules cause power producers to substitute natural gas and other alternatives for coal,	The sentence referenced by the commenter refers to the impacts of other federal regulations on energy markets. Our analysis includes assumptions about the

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		they will reduce future coal consumption and production from baseline levels." OSMRE fails to evaluate what will happen when the price of natural gas increases and supplies are not plentiful.	long term changes in the price of natural gas, and incorporates feedback impacts that could be associated with demand for coal production.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.3.2.6, p. 4-254, first paragraph OSMRE suggests that there is variability in the impact of changes in supply on cost per kilowatt hour—with some states experiencing cost reductions and others cost increases. However, the DEIS itself states, in the summary associated with Table 4.2.3-2 (DEIS, at 4-139): "To varying extents, all Action Alternatives (except Alternative 9) would decrease coal production. Under all Action Alternatives, coal production would decrease the most in the Appalachian Basin, Illinois Basin, and Northern Rocky Mountains and Great Plains regions." This suggests that in fact all regions will experience decreased supply and thus electricity costs will increase in all regions.	The effects of the Proposed Rule on cost per kilowatt hour may vary depending on a variety of factors, including individual states dependence on coal as an energy source and the ability to substitute alternative energy sources. However, in the context of the total coal supply and demand for utilities, the forecasted changes in production are expected to have a minimal measurable impact on utilities across the Action Alternatives. Language contradicting this conclusion has been removed.
OSM-2010-0021-0050	Wyoming Department of Environmental Quality	"The DEIS and DRIA appear to only utilize coal production and forecasts through 2012 to analyze impacts of the Proposed Rule. It is understood that there needs to be some practical cut of time for collecting and reviewing such data in order to develop environmental impact statements. While this may be the case, it is obvious that the recent changes and problems for the coal industry have been seismic. All evidence indicates that coal production has decreased dramatically in recent years and production is expected to continue to decline. This is a result of numerous factors such as the major increase in natural gas production and use, increased federal regulation and the world economic condition. (A recent study by the University of Wyoming projected a decrease in Wyoming coal production of 32% by 2025 (Godby et al., 2015, The Impact of the Coal Economy on Wyoming, http://www.uwyo.edu/cee/_files/docs/wia_coal_full-report.pdf). That number was published before the release of the final Clean Power Plan.) The coal production and growth models used as the basis for the DEIS are incorrect and not valid. They are so incorrect that the economic bases for the DEIS and the DRIA are seriously flawed.	While the commenter is correct that the RIA and EIS present some data that is summarized through 2012, the analysis utilizes a coal production forecast from 2020 through 2040 as the basis for the analysis. Further, OSMRE has revised the baseline coal production forecast since the draft analysis to reflect recent changes in coal market conditions.

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OSM-2010-0021-0056	Utah Mining Association	Coal generated electricity currently accounts for more than 70% of Utah's power consumption. The low cost energy coal provides is an important component of Utah's economic development strategy and is critical to Utah families and businesses.	Thank you for your comment.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The agency has chosen to use the 2014 analysis from Energy Venture Analysis to define the forecasted coal production under the No Action Alternative as "a general decline in annual total surface and underground production of approximately 15 percent (162 million tons)". However, EIA's Annual Energy Outlook 2014 with projections to 2040 shows an increase of 4.1 percent (44 million tons) over the study period (Table AI5, Comparison of Total Production in years 2020 and 2040). As the evaluation of the No Action Alternative serves as the baseline against which all other alternatives are compared, this discrepancy is critical.	The baseline forecasts have been updated in the Final RIA to reflect the changing conditions in the coal industry. The RIA Executive Summary presents a comparison of our baseline forecasts to the most recent EIA forecasts.

13. Model Mines

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COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.1.6.2, p. 3-29 This section suggests the need for raw coal to be processed is related only to the presence of waste rock and sulfur. Processing is also necessary simply to meet customer sizing specifications. OSMRE should revise this section to reflect this additional reason for coal processing.	Thank you for the comment. Section 3.1.6.2 adequately addresses this topic to the level of detail needed for the EIS and no changes are required.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Alternative 2 (Spoil replacement- mining through streams) 2.4.2.2, p. 2-16 "Placement of a layer of lower-permeability spoil or other material ..." If low permeability spoil is not available, it is unclear what "other material" would be. If it were imported material (clay), there would be a variety of cost, engineering and environmental implications, none of which is addressed by OSMRE.	The quoted text is in reference to the discussion of how an operator would meet requirements to restore flow to impacted surface waters once the strata in proximity to the surface water has been disturbed by mining. As part of the consideration of the feasibility of perched aquifer (aquitard) construction to achieve this purpose, OSMRE contracted an engineering firm to conduct an analysis of typical cost expectations for construction of aquitards to reestablish an intermittent reach in a typical surface mine identified in the model mine analysis. This analysis incorporated the cost of haulage of aquitard material, grading, compaction, haulage of free flowing fill and engineering of the design. Based on an assumption of a 5-acre perched aquifer size, the results were a calculated estimate of approximately \$88 per foot for perched aquifer construction to return flow to a mined through intermittent stream. The engineering firm which conducted the analysis, Respec, ultimately provided several conclusions as a result: 1. Their professional opinion that a perched aquifer can be designed to store and feed water to a stream channel in a similar manner as the pre-mining groundwater system. However, they acknowledged that there are no known scientific studies to substantiate this opinion. They also stated that their knowledge, no coal mining company has ever constructed a

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			<p>perched aquifer to supply water to a restored stream.</p> <ol style="list-style-type: none"> 2. Their opinion that flows measured at the toe of selected hollow fills monitored for an U.S. EPA water quality project in Kentucky indicate that an engineered perched aquifer has the potential to supply 2-4 GPM of flow per acre with similar rainfall as experienced in the Central Appalachia. 3. Their estimate that aggregate costs may amount to as much a 14% increase in overall restoration costs, but given that restoration costs are a relatively small component of the overall costs of implementation of the rule, the conclusion that this increase is not significant enough to change the financial modeling conducted at a per ton metric cost; it would contribute an additional \$0.004 per ton of surface model mine reserves.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Section 4.2.1.3, p. 4-63 "[T]he method to quantify the reduction in stream miles filled and in ephemeral stream miles restored is a direct extrapolation from the model mine analysis described in Section 4.1. That is, the model mine analysis determines how mines in each coal region would implement the action alternatives, and how these practices would affect stream fill and stream restoration." The model mine analysis is not based on any kind of averaging or statistical analysis of real numbers. Instead, it is based on numbers that have been adjusted and inflated to poorly represent the mines with the highest production rates, rather than the majority of coal mines across the country. The arguments for all of the action alternatives are weak because of these biased and subjective bases.</p>	<p>The model mine analysis uses design elements from currently operating mines. Active mine permits with similar annual production tonnages to the regional representative tonnages were reviewed and used as a basis for designing the modelled mines. Please refer to Master Response on Model Mines Analysis for additional details.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>OSMRE's analysis is generic, at best, and irrelevant to nearly every mine in every state where mining occurs. A "typical" (or grossly generic) mining operation is an unacceptable foundation for understanding the impact of a Proposed Rule that will have a substantially larger than</p>	<p>Given the scope of this analysis, OSMRE believes that the model mine analysis is an appropriate representation of the variety of mines and environmental conditions present in the mining industry. As stated in the Master Response on</p>

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		\$100 million dollar impact on the nation's economy. The use of statistical models involving probability distributions to capture the range of values associated with mine model parameters would be a necessary, obvious, and substantial improvement to the static modeling approach used by OSMRE in the DEIS. Another improvement to OSMRE's approach would be to evaluate representative mines in each mining region that reflect the range of environmental conditions likely encountered in the real world.	Model Mines Analysis, the model mine analysis uses design elements from currently operating mines. Active mine permits with similar annual production tonnages to the regional representative tonnages were reviewed and used as a basis for designing the modelled mines. Specifically, actual permits were used to define coal seam thicknesses, life of mine coal reserves, depth of cover and stripping ratios, stream impacts, mine impact acreage, reclamation plans, and other pertinent information related to each operation. The geographic location of each active permitted operation was also used to identify a realistic mining location for each model mine. The proximity of the model mines to the associated actual permits ensured the terrain and geology of the model mine operation mirrored the permits, while not asserting particular findings for particular already-operating mines.
OSM-2010-0021-0061	Peabody Energy	Response to whether the design event for a temporary diversion should be raised to the 25-year, 6-hour event to provide added safety and protection against overtopping. Response: We did not see data in the DEIS justifying an across the board raise to a 25yr, 6hr event. Increased cost both to industry and government should be accompanied by solid evidence of a widespread problem. The current design standards for temporary diversions have proven to be effective at all of our mine locations.	After considering all comments received OSMRE ultimately decided to retain the 10-year, 6-hour design criteria because it provides sufficient protection. The 25-year, 6-hour criteria provides minimal risk reduction at the price of significant additional cost and land disturbance.
OSM-2010-0021-0071	Resource Development Council	The DEIS seems to assume that only proposed mines that will result in permanent adverse impacts will not be permitted. The SPR appears to preclude the permitting of mines even where there are temporary impacts. Such discrepancies result in the agency potentially underestimating impacts in the DEIS that do not reflect the actual text of the SPR.	As proposed the SPR definition of material damage to the hydrologic balance outside the permit area did not differentiate between permanent or long-term impacts and temporary or short-term impacts. However the impact (temporary or permanent) would only be considered to be material damage if it was severe enough to preclude a designated, existing, or reasonably foreseeable use of surface water outside the permit area, or an existing or reasonably foreseeable use of groundwater outside the permit area. As proposed under the SPR Isolated noncompliant discharges would not be considered material damage unless those discharges were of a magnitude sufficient to preclude a protected use.

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			The DEIS did not present this specific clarification within the discussion of alternatives that proposed a national definition for material damage to the hydrologic balance outside the permit area (i.e. the Proposed Action and alternatives 2, 3 and 4). However the DEIS analysis was conducted under the same assumption; permits could and would be authorized for operations that had temporary impacts so long as those impacts did not reach the level of MDHB under the definition (or lack thereof) being analyzed under that alternative.
OSM-2010-0021-0047	Society for Mining, Metallurgy & Exploration	For purposes of assessing environmental impacts, surface disturbance in acres per year would be a more appropriate measure than production rate. Basing potential environmental and economic impacts on production rates does not adequately consider environmental and economic conditions. The model mines have a higher production rate than the majority of mines in a given coal region. This higher production rate underestimates the cost per ton the rule may have on mines that produce less than the model mine. We are concerned that using the overestimated production rates in the model mine analysis provides an inadequate and inappropriate basis for the environmental and economic analysis included in the Draft Environmental Impact Statement. We believe it is not appropriate for OSMRE to base the model mine solely on production if the intent of the model mine is to assist with assessing environmental and economic impacts.	Differences in acres of surface disturbance by region were included in the development of representative model mines for each region. For more details on the variables considered in the development of the model mines, please refer to Master Response on Model Mines Analysis.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Effects on Water Resources Table 4.2.1-1, p. 4-55 Primary Effects on Water Resources in Comparison to the No Action Alternative column The majority of the rule elements outlined in the table do not appear to result in improved stream water and groundwater quality or preserve streamflow and ground water quality. While some elements of alternatives could directly affect water resources under certain circumstances, the question of whether or not some of the alternative elements affect water resources in comparison to the No Action Alternative depends on site-specific conditions. No site-specific conditions are considered in	The next column in the referenced table provides an explanation for the primary effect claimed on water resources. These explanations provide support for the finding that water resources will benefit as a result of the rule elements. In addition, a variety of site specific information was included in the development of the model mines. Please refer to Master Response on Model Mines Analysis for additional information on what variables were considered in this analysis.

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		the DEIS, instead OSMRE relied upon a flawed model mine approach to determine resource impacts.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Defining Material Damage 4.2.1.1' p. 4-48 "SMCRA regulatory authorities have historically relied upon a qualitative approach whendefining material damage to the hydrologic balance outside the permit area and have notspecifically assigned numerical values to the point at which material damage to the hydrologic balance outside the permit area would occur."For Alternative 8, a quantitative method for determining material damage to the hydrologicbalance is presented. However, this method is not based on an objective scientific approach. Itis based primarily on the model mines approach, which is fundamentally flawed as explained throughout this document. The model mines were developed using subjective, qualitativereasoning. The production rates are overestimated, but are used in methods for calculatingmaterial damage to the hydrologic balance. This approach does not yield a valid numericalresult.	The commenter is incorrect in his statement that a quantitative method for determining material damage to the hydrologic balance is presented for Alternative 8. The commenter is also incorrect in his statement that the model mines were developed using subjective and qualitative reasoning. As stated in the Master Response on Model Mines Analysis, a variety of site specific characteristics were considered in the development of the model mines that are based on data from actual mines. Please refer to the Master Response on Model Mines Analysis for additional details.
OSM-2010-0021-0049	Interstate Mining Compact Commission	The model mine analysis is at best difficult to understand and is not adequately documented. This is particularly important because much of the subsequent analysis and conclusions of the DEIS are based on the model mine approach. The lack of information associated with the model mine approach leaves one wondering how OSMRE was able to analyze environmental and economic impacts associated with the proposed rule. The lack of fundamental site-specific data and inability to validate this model make its use problematic. Models must be calibrated to real-world conditions and validated by comparing model predictions to actual site conditions. This model has not been calibrated or validated, and, as such, does not meet the best available science criteria that NEPA demands.	As stated in the Master Response on Model Mines Analysis, a variety of site specific characteristics were considered in the development of the model mines. Please refer to the Master Response on Model Mines Analysis for additional details. Additional documentation on the model mine analysis is presented in Appendix B of the RIA. The model mine analysis was also peer reviewed by experts in the mining field.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mines - Limitations and Uncertainties4.0.4, p.-29"To capture the heterogeneity of the coal industry, the analysis employs 13 model mines across the U.S. This approach strives to capture the overall scope and scale of potential changes under each Alternative, but is not likely to be accurate for any specific mining operation."The model mines created for this analysis cannot be calibrated to or validated by actual mine operations, therefore it is	As stated in the Master Response on Model Mines Analysis, a variety of site specific characteristics were considered in the development of the model mines, using design elements from currently operating mines. Please refer to the Master Response on Model Mines Analysis for additional details on the development of the model mines.

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		of questionable value. With OSMRE's access to real world mine permits and associated data, it is uncertain why OSMRE chose to "create" mines for purposes of this analysis.	
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mines • Methods for Quantification of Benefits to Water Resources Table 4.2.1-5. p. 4-64 Table 4.2.1-5 discusses the method by which Benefits to water resources are quantitatively evaluated. The method involves finding an impact per million tons of coal based on the model mine production rate. The number from calculations based on the model mine is multiplied by total regional coal production in each year of analysis. A methodology based on model mine production rate is meaningless since the production rate is biased toward larger mining operations. Results of the analysis reflecting improved stream miles, improved forest acres and other perceived Benefits of the proposed rule are based on the biased and subjective production figures. For example, page 4-67 states that site-specific information on the extent of downstream water quality effects is lacking and that OSMRE's analysis assumes the adverse effects of mining on water quality are present at least 6.2 miles downstream. This 6.2 mile figure taken from the abstract of the Petty, et al (2010) paper provides no substantiation for said figure.	OSMRE disagrees the statement that model mine production rate is biased toward larger mining operations. Anticipated production for each model mine was based on actual production from operating mines in the region and is intended to be an accurate representation of mines in that region. Please refer to Master Response on Model Mines Analysis for additional information on the variable considered in the development of the model mines. The EIS extrapolates findings of research, such as the Petty study, as appropriate to examine potential consequences of the alternatives and acknowledges the limitations that the current science presents. Please refer to Master Response on Water Quality Benefits for a discussion of the methodology used and the particular application of the Petty study in the analysis, and a detailed discussion of the approach used to incorporate regional differences into the methodology.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mines Analysis - Water Quality 4.1.2. p. 4-36 In the discussion of the existing conditions (section 4.2.1.1) which is the model base condition, there is little information provided or references cited about western mines. An exception to this lack of references is on page 4-49 under Chemical Effects on Surface Waters where there is a reference cite to Lowry et al. 1983, which indicates that acidity in western mines with higher alkalinity is usually neutralized. This single reference related to western mining sites contradicts the purported water quality impacts which are the focus of this proposed rulemaking.	OSMRE agrees that acid or alkaline mine drainage has different or lesser impacts in Western streams. As stated in the EIS, acid mine drainage "is relevant to mining nationwide, although not as prevalent in the western coalfields, where the geology, soils and hydrology provide high buffering capacity (alkalinity)." However, we note that heightened buffering capacity of Western streams, however, does not rule out other water quality impacts that may be associated with mining. These may include increased levels of total suspended solids, conductivity, as discussed in Chapter 3 of the EIS.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mines • Limitations of Model Mine Analysis 4.2.1 t p. 4-67 "Lacking site specific information on the extent of downstream water quality effects of mines, this analysis assumes, on average, that adverse effects of mining on water quality persist 6.2 miles downstream of mines for	The commenter is incorrect. A variety of site specific variables were used to in the development of the model mines that were developed from real mines and environmental conditions. For more

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		streams that cross the disturbed area of a mine site."If the model mine analysis is based on hypothetical assumptions which cannot be validated by actual mine operations. the results of the analysis cannot be considered best available science. There are hundreds of actual mines with actual data that OSMRE has access to. Using hypothetical information when actual data is available does not qualify as the "hard look" required by NEPA.	information on this analysis please refer to Master Response on Model Mines Analysis.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis • Limitations of Model Mine Analysis 4.2.1.4, p. 4-72 Likewise, a surface mine in the Northern Rocky estimated to fill nearly 35 miles of ephemeral streams. Mountains and Great Plains region is ... After admitting few studies document the assumptions used, the model still makes a quantitative assumption (filling nearly 35 miles of ephemeral streams); however the source of the assumption is not referenced.	The model mine analysis used assumptions on the drainage area for ephemeral streams developed through examination of actual permit data. The model mine prediction is that 35 miles of ephemeral stream would be mined through, not filled as stated in the comment.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis -Model Mine Assumptions Table 4.2.1-7, 4.2.1. p. 4-68 The 7.2 streams intercepted by the model Northern Rocky mountains mine is not representative of mines in Montana, North Dakota or Wyoming. It is rare for mines in this area to mine through intermittent or perennial streams, which would require analysis under the AVF rules.	Table 4.2.1-7 lists the estimated number of streams that cross mine sites in each of the regions. We generated these figures by comparing USGS data on intermittent and perennial stream locations to the locations and extents of mines in each region. The estimated numbers of streams crossing mines in the western region are much higher than other regions simply because the mines themselves are much larger.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis - Stream Impacts 4.2.1.3, p. 4-62 through 4-70 This section describes the scientific research referenced and the procedures used in calculating stream impacts/Benefits using the model mines on a per ton basis and then extrapolating the results across the coal producing regions based on total tons produced in the region. Page 4-66 includes a qualifying statement that a majority of the water quality research has been performed in the Appalachian Basin, and that the studies do not support an "explicit analysis" of the SPR's impact on downstream water quality. Then the author continues on to cite one particular study performed by Petty, et.al. on the Lower Cheat River in West Virginia where it was determined that the downstream effects from mining extend approximately 6.2 miles from the mine site. This 6.2 mile value is then used to calculate downstream	As noted by this comment, the text on p. 4-62 of the DEIS acknowledged that existing research does not provide the ability to directly calculate nationwide impacts expected from the SPR. This statement is merely an acknowledgement that the existing research was conducted for specific hypothesis under specific conditions, and that this research therefore does not directly address the effects the alternatives would have on every parameter or in every possible site condition where coal mining would occur nationwide. As with any analysis similar to the EIS, applying the results of research requires extrapolation of the existing study results to account for the varying factors that were not present in the specific study areas or that are not definable given the scale of

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		effects for all coal regions across the US without any apparent adjustments for the distinct differences in topography, geology or hydrology between the coal producing regions.	the action being analyzed. The EIS extrapolates findings of research, such as the Petty study, as appropriate to examine potential consequences of the alternatives and acknowledges the limitations that the current science presents. Please refer to Master Response on Water Quality Benefits for a discussion of the methodology used and the particular application of the Petty study in the analysis, and a detailed discussion of the approach used to incorporate regional differences into the methodology.
OSM-2010-0021-0060	Ohio Department of Natural Resources	References in the DEIS to remining are limited and are related to approximate original contour issues and in one instance, highwall elimination. It is not clear why remining issues are not germane to stream protection and improvement.	We agree that all future coal mining would be expected to incur increased costs under the SPR. Our coal market forecast includes all anticipated U.S. coal production for the study period. A specific highwall mine was not modelled in the model mines analysis.
NA	EPA	Page 4-29, 4-30, Table 4.0-18, 4-37: While the Model Mine approach is valuable for relative comparison of the alternatives across different regions of the U.S., also consider alternatives on smaller spatial scales because variability within regions and among mine locations can be high for some critical factors (e.g., geologic formations likely to produce selenium, AMD, etc.).	We agree that analysis at the mine level is important to understand. However, due to the broad geographic scope and timeframe for the analysis, we are unable to forecast conditions at every future mine site as part of this national-scale EIS. The 13 model mines across the seven major coal regions were developed in order to capture regional variability in terms of mining methods as well as regional context as much as was feasible.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.4.2.3, p. 2-17, second paragraph: "The allowable deviation in the postmining elevation could be no more than ±20 percent of the difference between the premining surface elevation and the premining bottom elevation of that lowest coal seam, with allowances for slope stability and minor shifts in the location of premining features." There could be situations based on strip ratio and swell factors where this would not be possible. This effectively eliminates the ability to surface mine in steep slopes because fills are necessary for the excess swelled material. It will also impact the ability to use newer technologies like highwall mining, which can recover larger percentages of coal with smaller footprints.	No change is required. The alternative already allows for the very considerations raised in the comment. Farther down on the same page the EIS states "Compliance with the ±20 percent tolerance is not practicable in contour mining on steep slopes (defined as slopes greater than 20 degrees) because of stability and equipment constraints. Therefore, the ±20 percent tolerance requirement does not apply to that portion of a contour mine permit where steep-slope mining is conducted. The tolerance and digital terrain modeling requirements also would not apply to remining sites, permits 40 acres or smaller in size, or operations that qualify for the thin overburden standards of 30 CFR 816.104."

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OSM-2010-0021-0696	Murray Energy Corporation	OSMRE fails to explain why the 13 mine models developed in preliminary EIS work were not included in the DEIS....The mine models are integral to understanding the differences between mining regions and different mining practices	No change required. The model mine analysis was included for public review and comment within appendices B and C of the draft Regulatory Impact Analysis.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative - Absolutely prohibit all surface coal mining and reclamation activities, including fill placement and coal mine waste, in or within 100 feet of all streams, including ephemeral, Section 2.6.1, p. 2-55 "According to the model mine analysis, implementation of this Alternative would significantly reduce production nationwide." Several studies in different U.S. mining regions and by the USGS have indicated that applying site-specific restrictions to estimates of available coal resources significantly reduces the amount of coal that is considered recoverable (see, e.g., Ellis et al., 2002; Luppens et al., 2009).	Thank for your comment. Please refer to Section 4.1 of the EIS for results of the analysis of the impacts of the rule alternatives on coal production.
OSM-2010-0021-0696	Murray Energy Corporation	The model mines approach subjectively applies models with larger production rates to most of the coal mines in the country, even where the majority of mines in a given region have lower production rates than the model mines. As a result, this method overstates the Benefits of the action alternatives, including Alternative 8, and overstates the issues arising from the No Action Alternative. It underestimates costs to mining companies and budgetary requirements of state programs for the action alternatives. Under the model mines approach, the bigger the mine, the cheaper it will be on a cost-per-ton basis to carry out extra monitoring and compliance duties. Therefore, the quantitative method developed in the action alternatives, including Alternative 8, is not based on real-world numbers.	The commenter is incorrect in stating that the model mines approach subjectively applies models with larger production rates. Anticipated production for each model mine was based on actual production from operating mines in the region and is intended to be an accurate representation of mines in that region. Please refer to Master Response on Model Mines Analysis for additional information on the variable considered in the development of the model mines.
OSM-2010-0021-0696	Murray Energy Corporation	Limitations and Uncertainties Section 4.0.4, p. 4-29, Table 4.0-18 The accuracy of the analysis is limited by many factors, including the use of model mines which are supposed to be representative of all mining operations across the U.S. If the model mine analysis cannot be calibrated to or validated by actual mine operations, it is of questionable value. It is unclear what types of model mines these are, and whether they are equally divided among the different types (surface and underground) and different extraction	Mining type and extraction methods are identified in Table 4.1-3 of the EIS. For more specifics of the model mines please refer to Appendix B of the RIA. Additionally, the model mine analysis is based on actual mine operations. Please refer to Master Response on Model Mines Analysis for additional details on this analysis. Furthermore, the model mine analysis was also peer reviewed by experts in the mining field.

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		methods. See Table 4.1-3. These questions should be addressed in this section.	
OSM-2010-0021-0696	Murray Energy Corporation	In Section 4.2.1.4 (DEIS, at 4-70), OSMRE states: "The engineering analysis found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from underground mines are not quantified." If direct stream impacts from underground mines are temporary, then the statement on page 4-49 is incorrect. The statement on page 4-49 is not supported by any data while the statement on page 4-70 appears to have some analysis behind it. Therefore, the statement on page 4-49 needs to be modified to reflect the lack of impacts found in the engineering analysis. There are also numerous scientific studies that have found that the potential dewatering impact on streams from underground mining is often temporary and streams can fully recover (Gill, 2000; Wade, 2008; Rauch and Evans, 2004).	It is unclear what statement on page 4-49 the commenter is referring to. However, with regard to temporary impacts to streams OSMRE clarified in the Final Rule that the definition of material damage to the hydrologic balance outside the permit area does not apply to temporary subsidence impacts from underground mining. The rule text was revised to ensure that temporary subsidence impacts to streams and other water resources are corrected or repaired within a two-year period. This clarification was in response to concerns that the Final Rule could be interpreted so as to prohibit underground mining operations that could result in temporary impacts to streams. No change in the EIS text is necessary.
OSM-2010-0021-0696	Murray Energy Corporation	The model mine analysis is poorly presented, difficult to understand, and not adequately documented. This is particularly important because much of the subsequent analysis and conclusions of the DEIS are based on this model mine approach. The level of detail provided in the DEIS is not adequate to understand or evaluate what the agency has done or how the values in the model mines were developed or used in the analysis. Further, the references provided, values presented, and conclusions reached are clearly biased to eastern Appalachian conditions and appear incorrect and misleading for western mines. The lack of fundamental site-specific data and inability to validate this model make its use problematic. Models must be calibrated to real-world conditions and validated by comparing model predictions to actual site conditions. This model has not been calibrated or validated, and, as such, does not meet the best available science criteria that NEPA demands. While models may be a useful component of a NEPA "hard look," this model appears to provide a path to a predetermined result rather than acting as a scientific tool that contributes to the understanding of environmental impacts of the Proposed Rule.	Thank you for the comment regarding the level of detail provided on the model mine analysis. Additional detail on how the model mine analysis was developed and how it accommodates regional differences has been provided in the FEIS (see section 4.1 and Appendix B to the RIA). OSMRE engaged a team of independent reviewers to provide an objective review of the analysis methodologies used in the EIS. A specific peer review of the model mines methodology was conducted by experts in the mining field.
OSM-2010-0021-	Murray Energy	Section 4.1.3, p. 4-38	The commenter is incorrect in stating that the

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0696	Corporation	<p>"To estimate the total compliance costs of an Action Alternative, the analysis first estimates the expected increase in operational and administrative cost for each of the thirteen model mines. The analysis then converts them to costs per ton of coal produced."</p> <p>Because most of the model mines are larger (have a higher production rate) than the majority of mines in a given region, economy of scale suggests the cost per ton would be underestimated for mines smaller than the model mine. In applying these lower costs per ton to determine production impacts in each region, the DEIS underestimates the impacts to production and administrative costs to both government and industry.</p>	<p>model mines are larger than the majority of mines in a given region. Anticipated production for each model mine was based on actual production from operating mines in the region and is intended to be an accurate representation of mines in that region. As such, OSMRE believes that reported impacts of the SPR are not underestimates. Please refer to Master Response on Model Mines Analysis for additional information on the variable considered in the development of the model mines.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>More often than not, current practices involving mining activity through or beneath a stream result in a decrease in stream elevation, more or less equally across the surface topography. If the Preferred Alternative is required, it is possible that the stream and land along the 100-foot buffer zone will not experience the same drop in elevation affecting the land surrounding the stream and buffer zone. If this occurs, the stream and land along the buffer zone may become perched at elevations higher than the surrounding land. The consequence may be an impact to hydrological conditions greater than the impact associated with mining activity through or beneath a stream. . . . The stream loss in this case would likely be permanent. OSMRE should examine the hydrological consequences of this scenario and determine whether this scenario is a plausible outcome of its Preferred Alternative.</p>	<p>Thank you for the comment. The scenario expressed is not a plausible outcome of any of the alternatives, including that of the No Action Alternative. Design standards required by existing regulations require positive drainage to receiving streams; operations that would propose to do otherwise would not be permissible under existing regulations.</p>
OSM-2010-0021-0696	Murray Energy Corporation	<p>Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, p. 4-72, "Mined through streams" bullet "For instance, a typical surface mine in the Illinois Basin is estimated to create about nine miles of mined through ephemeral stream. Likewise, a surface mine in the Northern Rocky Mountains and Great Plains region is estimated to fill nearly 35 miles of ephemeral streams."</p> <p>OSMRE fails to provide supporting references and data. OSMRE fails to specify a definition of representative, or the "typical", Illinois Basin stream. OSMRE fails to specify a definition of the "typical" Northern Rocky Mountains and</p>	<p>An in-text citation to RIA Appendix B has been added to the text. The appendix describes the data sources and references used to construct the Model Mines analytical framework to quantify the impacts of mining through streams.</p>

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		Great Plains region data.	
OSM-2010-0018-10385	Wyoming County Commissioners Association	Only one of the 13 model mines represents coal mining in the Powder River Basin (PRB) in an area the analysis calls the "Northern Rocky Mountains and Great Plains." This area includes not only the PRB, which by itself produces nearly 40% of all the coal in the United States, but also mines in Montana and North Dakota. Given the size and scale of PRB mines, the analysis is immediately flawed by failing to include a PRB-specific mine for analysis. Further, the "representative" mine for the Northern Rocky Mountains and Great Plains falls woefully short of a realistic mine.	The model mine does include a Powder River Basin (PRB) mine. It is assigned to the Northern Rocky Mountains and Great Plains Region. The goal of the Model Mine analysis was to design mines that are representative of the majority of operations located in each region. However, actual individual mining operations will vary in practice based on specific factors such as topography, geology, and hydrology. Active mine permits with similar annual production tonnages to the regional representative tonnages were reviewed as part of development of the model mines. The model mine analysis uses design elements from these currently operating mines. These permits were used to define coal seam thicknesses, life of mine coal reserves, depth of cover and stripping ratios, stream impacts, mine impact acreage, reclamation plans, and other pertinent information related to each operation. The geographic location of each active permitted operation was also used to identify a realistic mining location for each model mine. The proximity of the model mines to the associated permits ensured the terrain and geology of the model mine operation mirrored the permits. Additional discussion of the model mines development process has been added to the FEIS and RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.4, p. 4-72, "Mined through streams" bullet Likewise, a surface mine in the Northern Rocky Mountains and Great Plains region is estimated to fill nearly 35 miles of ephemeral streams. After acknowledging that there are few studies that document the assumptions used the model, OSMRE still makes a quantitative assumption, and the source of the assumption is not referenced.	An in-text citation to RIA Appendix B has been added to this section. The appendix describes the data sources and references used to construct the Model Mines analytical framework to quantify the impacts of mining through streams.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis • Haulage Costs Section 5.1, p. B-32 The model presumes that the largest incremental operating cost will be for additional haulage associated with building excess spoil fills in four-foot lifts. OSMRE seems to ignore the additional costs associated with building isolation zones in the backfill for inimical	The commenter is not correct about the assumptions in the analysis. In fact, the haulage costs include all costs to build excess spoil fills in 4-foot lifts, including creating a stable fill in a steep slope area, include over stacking, placement and cycle times, compaction and consolidation, and reclamation of haul roads.

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		materials (acid and toxic forming); the added costs for building stable fills in steep slope areas (compaction and consolidation); and the added haulage costs when building reclaimed areas to a more natural and diverse profile (longer cycle times for spotting trucks). These missed costs become even greater if the reclamation profile is to include over stacking: placement and cycle times, compaction and consolidation, reclamation of haul roads.	
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 3, 3.1.6.3 Coal Refuse Disposal Facilities, Page 3-30, 2nd Paragraph "More than 90 percent of the documented wells reported are in four states: Ohio (3570), Idaho (575), West Virginia (401), and North Dakota (200) (U.S. EPA, 1999)." This appears to be an inordinately high number for Ohio when compared to other coal producing states.	This value was extracted from page two of U.S. EPA 1999. For additional information related to the state and U.S. EPA Regional survey, please see: https://www.epa.gov/sites/production/files/2015-08/documents/classvstudy_volume10-minebackfill.pdf .

14. Underground Mining

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OSM-2010-0021-0059	CONSOL Energy	The DEIS assumes that only coal mines that will have permanent adverse impacts to streams will be denied permits. This is not what is reflected in the SPR. Under the proposed SPR, coal mines that have the potential to cause adverse impacts to streams (including subsidence from underground mines), will not be permitted or have existing permits renewed. The proposed SPR places the burden of proof on the permit applicant to prove that there is no potential impact to the stream or the "adjacent areas" from the coal mining. However, the requirements placed upon this burden of proof are such that a coal mine permit applicant will never meet them, especially given the confusion this causes for state regulatory agencies. This essentially strands all coal reserves under streams and within the "adjacent area" to streams. [...] Inconsistencies such as this call into question the validity of the DEIS.	OSMRE has clarified in the final rule that not all of impacts would necessarily rise to the level of material damage to the hydrologic balance outside the permit area, especially ones of a temporary nature. Therefore the analysis of the EIS and the SPR do not conflict.
OSM-2010-0021-0059	CONSOL Energy	The DEIS fails to properly consider the major difference between surface coal mining and underground coal mining as required by SMCRA.	Thank you for your comment. Please refer to Master Response on Alternatives.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Simplified Assumptions • Effects of Action Alternatives on Coal Production 4.1.4, p. 4-42, Table 4.1-5 The effect of the action alternatives on coal production is based on assumptions that do not account for full implementation of the rule. For example, OSMRE's analysis "does not anticipate that the rule would reduce the overall volume of longwall mining activity" (see Exhibit ES-1A, Draft Regulatory Impact Analysis). This conclusion is based upon OSMRE's finding that "significant underground mineable reserves exist in areas where material damage to the hydrologic balance (permanent stream loss)" would not be expected to occur. Yet the proposed rule's definition of material damage to the hydrologic balance sets a threshold for damage that is much lower than permanent stream loss.	OSMRE clarified in the Final Rule that the definition of material damage to the hydrologic balance outside the permit area does not apply to temporary subsidence impacts from underground mining. Please refer to Master Response on Material Damage to the Hydrologic Balance for additional detail.
OSM-2010-0021-0049	Interstate Mining Compact Commission	Model Mine Analysis - Water Resources 4.2.1.4. p. 4-70 The engineering analysis found that direct stream impacts from underground mines were temporary. There is no detail	The referenced engineering analysis is detailed in Appendix B to the Regulatory Impact Analysis for the SPR, which is included as part of this rule

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		provided regarding the engineering analysis referenced in this paragraph, but it does not appear to be in reference to the model mine approach. OSMRE must provide the engineering analysis referenced, as this is a significant finding. Failure to provide the engineering analysis shows a lack of transparency in OSMRE's analysis.	package.
OSM-2010-0021-0060	Ohio Department of Natural Resources	Chapter 4, Page 4-70, Streams, 3rd Bullet, Downstream miles experiencing improved water quality "The engineering analysis found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from underground mines are not quantified." This statement does not distinguish between room and pillar and longwall mining, but does appear to acknowledge that underground mines may not have downstream impacts and those impacts that do occur may not be long-term.	Thank you for your comment.
OSM-2010-0021-0696	Murray Energy Corporation	Accordingly, should OSMRE decide to include underground mining in a Proposed Action, it should evaluate an alternative that proposes a different regulatory approach that is tailored to the characteristics and the documented impacts of underground mining operations.	The comment is correct that 30 U.S.C. 166(a) requires that the Secretary promulgate rules and regulations directed toward the surface effects of underground coal mining operations, and further that it requires the Secretary to consider the distinct differences between surface coal mining and underground coal mining. The alternatives presented in the DEIS accomplished this requirement, making evaluation of a separate alternative focused on just underground mining unnecessary. Considerations for the unique aspects of mining are incorporated throughout the alternatives. For example under all alternatives the proposed definition of "adjacent area" would account for the potential impacts from subsidence that may occur as a result of underground mining activities. The proposed definition would also account for the potential for underground mining to result in the formation of mine pools, mines that act as a single hydrologic unit after being filled with water through surface recharge. For example, the new definition would include any areas that could be affected by landslides or blowouts resulting from mine pool formation. Additionally, under Alternatives 2, 3, 4

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			and 8 the proposed definition of “material damage to the hydrologic balance outside the permit area,” which is distinct from the previously discussed definition of “material damage,” includes language that expressly applies the definition to underground mining activities and to subsidence resulting from underground mining activities, except for damage that can be corrected under 30 CFR 816.40 (replacement of protected water supplies) and 817.121 (correction of subsidence damage to protected structures, lands, surface features, streams, etc.). Also unique to underground mining, the final Preferred Alternative includes the requirement that, if the permittee does not complete correction or repair of subsidence-related material damage to surface lands, waters, or protected water supplies within 2 years following the occurrence of that damage, the regulatory authority initiate bond forfeiture proceedings and use any funds collected to repair the surface lands and waters or replace the protected water supplies. This requirement would not apply if the landowner refuses to allow access to conduct the corrective measures.
OSM-2010-0021-0696	Murray Energy Corporation	The DEIS assumes that all underground mining will result in subsidence. The DEIS needs to more accurately describe the frequency and magnitude of these events.	The intent of EIS Chapter 3 is not to state that all subsurface mining results in subsidence. Section 3.1 illustrates surface effects of underground mining. In this chapter, OMSRE defines the mechanism by which subsidence occurs, the types of underground mining that causes the surface effect, and common surface impacts attributable to subsidence. However, to address any potential misunderstanding, the first sentence in the section has been reworded to state that removing adequate underground support for overburden can result in surface collapse, or subsidence.
OSM-2010-0021-0696	Murray Energy Corporation	The Proposed SPR will significantly limit the area that can be mined by longwall methods, despite the fact that subsidence impacts are currently highly regulated. Subsidence, as a result of longwall mining, is predictable and planned. Currently, states require mine subsidence control plans before any mine is permitted. Additionally,	OSMRE clarified in the Final Rule that the definition of material damage to the hydrologic balance outside the permit area does not apply to temporary subsidence impacts from underground mining. Please refer to Master Response on Material Damage to the Hydrologic Balance for

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		mining companies are required to conduct significant land reclamation after mining to return the land to the premining condition. The DEIS fails to analyze the true impact of the Proposed SPR on longwall mining or to account for the associated costs and impacts.	more details
OSM-2010-0021-0696	Murray Energy Corporation	A one-size-fits-all approach to limiting mine operations to control impacts from subsidence is not appropriate. The degree of subsidence varies significantly based on a number of factors, such as local geology (geotechnics and structural geology), mine characteristics, and surface topography. Certain geographical areas are more prone to subsidence than others. The DEIS fails to analyze these variations.	Thank you for your comment. The variation in mining conditions is considered in the RIA and EIS. The model mine analysis takes into account numerous regional variations in topography, geology, and mine characteristics. Please refer to the Master Response on Material Damage to the Hydrologic Balance and the Master Response on the Model Mines Analysis. In addition, OSMRE clarified in the Final Rule that the definition of material damage to the hydrologic balance outside the permit area does not apply to temporary subsidence impacts from underground mining.
OSM-2010-0021-0696	Murray Energy Corporation	The long-term impacts on streams from subsidence related to underground mining are not well understood. Several studies have found short-term dewatering is followed by full recovery and possible net Benefits to the stream. The DEIS fails to analyze this area or explore the relevant literature.	No change is required. The statement regarding possible net Benefits appears to be speculative. In reviewing the studies cited it is unclear as to how dewatering caused by underground mining can result in net Benefits to the hydrologic balance.
OSM-2010-0021-0696	Murray Energy Corporation	OSMRE's characterization of requirements for underground mining is incorrect. The baseline monitoring requirements for groundwater, surface water, and geologic conditions for surface coal mining operations and underground mining operations are described with the assumption that underground mining can be viewed as analogous to surface mining when applying rules. As is made evident by Congress's distinct treatment, the two mining methods are completely different in technology, geography, potential impact, and mitigation strategies. The prescriptive baseline monitoring requirements related to groundwater and surface water quality (e.g., pH, total iron, and total manganese) and quantity and geologic conditions may or may not be relevant to a given underground or surface mining operation much less be the same for both.	No change is required. The comment has taken the referred to text from the executive summary of the DEIS out of context and has consequently misinterpreted this text. The baseline data required to assess the permit is independent of the mining type. The purpose of the baseline data collection is to assess the hydrology and geology of the permit area.
OSM-2010-0021-0696	Murray Energy Corporation	A fundamental flaw in OSMRE's analysis of action alternatives is that none of the alternatives are directly applicable for examining the impact of the Proposed Rule	Thank you for your comment. Please refer to Master Response on Alternatives.

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		on underground mining. Alternatives that address underground mining should be incorporated, or underground mining should be removed from the rule and the DEIS.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.1.1.1, p. 4-33; second paragraph, second and third sentences "In the near term, however, underground production is expected to grow temporarily because of the addition of several new longwall mines, peaking in 2024. Most of the drop in total underground production (62 percent) is anticipated in the Appalachian Basin region, where a decline of over 30 million tons between 2020 and 2040 is expected" Figure 4.1-3 (p 4-32) appears to show a slight rise until 2024, then a fairly steady production until 2034, followed by a decline for the Appalachian Basin. No reason is given for the decline in 2034. OSMRE should provide a table, in addition to the graph, to provide a better understanding of the actual quantities related to each year. Given the burden of the Proposed Rule, it would be difficult to predict a rise in the number of underground mines. Analyses of "confidential business information" of MEC, which is provided in another chapter of this comment document under "Economic Impact of the Proposed Stream Protection Rule (SPR) on Murray Energy Corporation Underground Mining Operations in the U.S." demonstrate that enactment of the Proposed SPR will severely curtail or totally eliminate longwall mining operations.	Due to rapidly changing conditions in the coal market, the baseline coal production forecast has been revised in the final RIA and EIS. Detailed tables depicting both the baseline coal production and the forecast production under the SPR are presented in Appendix F to the RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.3.1, p. 4-133 "Coal mining permanently alters the geological structure of the mined area because of the removal of coal and, for surface mines, overburden. Factors that determine the level of geological disturbance are the elevation of the lowest coal seam mined, the depth of overburden above this seam, and the area mined. Surface mining completely alters the geologic structure above the lowest coal seam mined in that previously discrete strata of rock and soil, each stratum with its own distinctive characteristics, are converted to a more or less uniform fragmented mixture of rubble. Typically referred to as spoil, this rubble consists of mixtures of the parent rocks, with percentages of rock types varying at different locations across the site." This short section does not discuss the identification of acid-forming rocks through overburden analyses nor does it	Thank you for your comment. Text has been added to EIS Section 4.2.3.1 to include a description of the identification of acid-forming rocks through overburden analysis.

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		indicate that such acid-forming or toxic materials should be isolated in the backfill. Because this is current practice typically, its exclusion from the discussion portrays the mining process as more adverse than it is. OSMRE ignores current mining patterns that identify and separately backfill problematic strata.	
OSM-2010-0021-0696	Murray Energy Corporation	The Proposed SPR specifies that "the adjacent area for an underground mine includes both the area overlying the proposed workings and the area within a reasonable angle of draw from the perimeter of the underground workings." The angle of draw, however, is not clearly defined in the Proposed SPR and is not defined in the DEIS.	Thank you for the comment. Please refer to the definitions within the rule at 701.5 where we have changed the "angle of draw" term to the "angle of dewatering" and defined the angle of dewatering to mean "the angle created from a vertical line drawn from the outer edge or boundary of high-extraction underground mining workings and an oblique line drawn from terminus of the vertical line at the mine floor to the farthest expected extent that the mining will cause dewatering of groundwater or surface water."
OSM-2010-0021-0696	Murray Energy Corporation	Need for Adequate Data Section 1.1.2, p. 1-13 OSMRE's Proposed Rule and the alternatives presented in the DEIS do not provide any clarity with respect to the types of information needed to address underground mining requirements to minimize disturbances of the prevailing hydrologic balance at the mine site and associated off-site areas and to water quantity.	Thank you for the comment. No change is required. The baseline data required to assess the permit is independent of the mining type (underground versus surface). The purpose of the baseline data collection is to assess the hydrology and geology of the permit area.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative 1 (No Action Alternative) Section 2.4.1, p. 2-4, last paragraph There are several sections (e.g., 3.8.3.3 Aquatic Resources) where OSMRE discusses topics that appear not to be pertinent to the Proposed Rule, yet it is omitting a complete and detailed discussion of underground mining, which in some regions (e.g., Appalachia and Illinois basins) accounts for approximately 50% of the mining activities. The importance of this regulatory issue to the U.S. economy and the mining industry should preclude considerations of brevity and other conveniences in the DEIS. Underground mining has not been adequately addressed in this DEIS.	No change required. As discussed on page 1-3 of the DEIS, SMCRA defines surface coal mining and reclamation operations to include the surface effects of underground mining. 30 U.S.C. 1291. The environmental implications of the alternatives, including effects of the new requirements, on the surface effects of underground mining have been analyzed and are presented in the EIS and RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Section 2.5, p. 2-43 Requirements of surface coal mining operations and underground mining operations are described in the DEIS and Proposed Rule based on the	No change required. As discussed on page 1-3 of the DEIS, SMCRA defines surface coal mining and reclamation operations to include the surface

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		assumption that underground mining can be viewed as analogous to surface mining. It is evident that the two mining practices (surface and underground) are fundamentally different from each other with respect to technology, mining, operations, geography, environmental footprint, and mitigation strategies.	effects of underground mining. 30 U.S.C. 1291. The environmental implications of the alternatives, including effects of the new requirements, on the surface effects of underground mining have been analyzed and are presented in the EIS and RIA.
OSM-2010-0021-0696	Murray Energy Corporation	Alternative Comparison Discussion Section 2.5, p. 2-43 OSMRE fails to identify the rationale for preparing a DEIS that not only does not fully address underground mining, but also simplifies and generalizes all mining practices to a common operational, economic, social, and environmental scenario that treats surface and underground mining the same.	The commenter does not accurately summarize the methodology used by OSMRE in its EIS and RIA. As described in the analyses, 13 model mines were designed for purposes of the analysis, eight of which are surface mines, and five of which are underground mines (three longwall and two room and pillar mines). In addition, there are separate appendices that address longwall mining and coal refuse facilities in detail. Please refer to Master Response on Alternatives.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.1.1.4, p. 3-7 There are a number of errors in OSMRE's assumptions on limits to recoverable reserves. For example, the authors state that the minimum thickness that can be mined by surface methods is 1 foot. This statement ignores the use of fine graders to extract high-value, very thin seams that are less than 1 foot thick. The authors also say that the maximum thickness that can be extracted by underground methods is 15 feet. This statement ignores experimental high-seam longwall faces and the use of sublevel caving or a cut-and-fill longwall face. The estimated recoverable reserves analysis is flawed because it is based on current (2015) conditions and does not consider future developments. This is inappropriate because OSMRE's analysis is supposed to be for the period 2020-2040.	Chapter 3 provides data on the affected environment as it exists today. The analysis of impacts in Chapter 4 of the EIS considers the availability of reserves for future mining activities
OSM-2010-0021-0696	Murray Energy Corporation	Sections 3.1.2 - 3.15, pp. 3-9 to 3-26 These sections present significantly more information on underground mining than surface mining. This is especially true with respect to OSMRE's discussion of the degree of surface impacts and effects: the surface effects of underground mining and underground mine waste disposal are discussed in detail, while little to no discussion is presented regarding the negative effects of surface mining.	No change required. This section is a discussion of mining methods intended to familiarize readers with mining methods, and provides the appropriate amount of detail on each. Discussion of impacts related to surface or underground mining is contained within chapter 4.

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OSM-2010-0021-0696	Murray Energy Corporation	Section 3.1.3, p. 3-11 to 3-17 This section describing underground mining methods is misleading as demonstrated by the illustrations that are outdated and no longer accurate. For example, continuous miners used today do not dump cut coal on the floor (Figure 3.1-5, pg. 3-13 (149)).	Thank you for the comment. OSMRE has edited this section in the FEIS to more accurately reflect current mining activity.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.1.6.2, p. 3-29 "Most underground mined coal must be processed, but some surface mined coal can be sold without processing. Coal mined by underground methods may contain up to 50 percent rock because of rock seam partings removed with the raw coal or because it is necessary to mine rock from the roof or floor to gain access height. Surface operations can often selectively mine the coal and remove waste rock without mixing the two; this is dependent on the geology and equipment used." If a coal seam is sufficiently thick, it is possible for underground mining operations to produce coal that is not mixed with an appreciable amount of waste rock. Additionally, under most applications, waste rock mixes with coal in surface mining operations. This description is unnecessarily biased, and presents underground mining as an inferior mining method with regard to waste, hi many instances surface operations remove a greater amount of waste rock than underground operations.	Thank you for the comment. We agree that in some situations where an underground mined seam does not have a binder and the roof and floor are competent, the continuous miner may be able to stay within seam and the coal would not need to be processed. Additionally some surface mined coals are processed to remove waste rock. OSMRE has edited this section to more accurately describe current mining and preparation practices, while providing for the unique instances of coal beneficiation.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.2.3.1, p. 4-130</i> <i>Subsidence may reach the surface, depending upon the depth of the mine and the competence of rock strata between the underground workings and the surface. Subsidence that reaches the surface will alter the surface configuration and topography. Subsidence also can dewater streams in whole or in part.</i> Significant subsidence resulting from modern underground mining practices is rare, making OSMRE's discussion here inaccurate.	No change required. The text does not state or imply that subsidence is a frequent occurrence.
OSM-2010-0021-0696	Murray Energy Corporation	<i>Section 4.5.2.3, p. 4-337, first bullet</i> <i>Underground coal production is expected to grow in coming years, as the industry exploits stores of high-value metallurgical coal (met coal), working seams that would be unprofitable to mine at steam-coal prices.</i> OSMRE should remove underground mining from the	The final rule does not preclude any specific method of underground mining either directly (e.g., a prohibition of underground mining) or indirectly (e.g., make underground mining uneconomical or impossible). Our primary focus in the proposed rule was to clarify our position that the obligation to prevent material damage to

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		Proposed Rule. If export demands outweigh the decrease in U.S. demand (see DEIS, at 4-33-34), then coal will remain a valuable commodity that provides jobs and associated employment for the foreseeable future.	the hydrologic balance outside the permit area applied to areas overlying the underground workings of an underground mine, which is part of the adjacent area as that term is defined in section 701.5 of our regulations. Please see the discussion of underground mining and its inclusion in the rule within the rule preamble.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.2.1, pp. 4-89 through 4-91The information and discussion with respect to ecology focus on surface mining, and in particular, mountaintop mining and valley fills. OSMRE provides no information relevant to the impacts, if any, from underground mining.	The commenter does not accurately summarize the methodology used by OSMRE in its EIS and RIA. As described in the analyses, 13 model mines were designed for purposes of the analysis, eight of which are surface mines, and five of which are underground mines (three longwall and two room and pillar mines). In addition, there are separate appendices that address longwall mining and coal refuse facilities in detail.
OSM-2010-0021-0696	Murray Energy Corporation	Impacts of the No Action Alternative on Water Resources; Surface Water and Groundwater Effects Section 4.2.1.1, p. 4-49 In Section 4.2.1.4 (DEIS, at 4-70), OSMRE states: "The engineering analysis found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from underground mines are not quantified." If direct stream impacts from underground mines are temporary, then the statement on page 4-49 is incorrect. The statement on page 4-49 is not supported by any data while the statement on page 4-70 appears to have some analysis behind it. Therefore, the statement on page 4-49 needs to be modified to reflect the lack of impacts found in the engineering analysis. There are also numerous scientific studies that have found that the potential dewatering impact on streams from underground mining is often temporary and streams can fully recover (Gill, 2000; Wade, 2008; Rauch and Evans, 2004).	The analysis is internally consistent. The quoted statement simply states that underground mining operations can adversely affect surface waters. There are no quantities or lengths of impacts associated with this statement. The engineering analysis places temporal constraints on these adverse impacts and does not claim that there are no adverse impacts.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.1, p. 4-48, fourth paragraph, last sentence "The lack of a clear federal definition also contributes to variability among states, and even among permits, in what the regulatory authority might require of the applicant." The requirements necessary to protect against material damage to the hydrologic balance outside the permit area may very well differ from one state to another, or possibly	As discussed in the preamble to the final rule, a federal definition is necessary to provide guidance and clarity to the regulatory authorities as they define the term for their own jurisdictions. As discussed in more detail in the preamble to the proposed rule, our previous rules did not contain a definition of "material damage to the hydrologic

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		even from one permit to another. A single federal definition will not likely suit specific circumstances. There is no discussion in this section of how Alternative 8, or any other action alternative, will address the perceived problem of variability among states and permit requirements.	balance outside the permit area,” and, in the more than 30 years since SMCRA’s enactment, very few states have adopted a definition. ²⁰ As a result of the lack of a definition, what constitutes “material damage to the hydrologic balance outside the permit area” varies greatly. This has led to differences in enforcement across the country.
OSM-2010-0021-0056	Utah Mining Association	OSMRE treats surface mining the same as underground mining, which is inappropriate given that the two mining methods are not remotely comparable and have completely separate geographic, engineering, social, and economic aspects. The DEIS is deficient because it fails to evaluate an alternative that provides different regulatory approaches to the two distinctly different mining methods; a distinction that Congress drew in its construction of SMCRA.	The commenter does not accurately summarize the methodology used by OSMRE in its EIS and RIA. As described in the analyses, 13 model mines were designed for purposes of the analysis, eight of which are surface mines, and five of which are underground mines (three longwall and two room and pillar mines). In addition, there are separate appendices that address longwall mining and coal refuse facilities in detail.
OSM-2010-0021-0060	Ohio Department of Natural Resources	The DEIS does not recognize the distinct differences between underground mining and surface mining and the impact the proposed stream protection rule will have on coal extraction in general. The RIA projects the only impacts that will affect longwall mining operations are those associated with reforestation and projects essentially no impact to highwall mining operations.	The commenter does not accurately summarize the methodology used by OSMRE in its EIS and RIA. As described in the analyses, 13 model mines were designed for purposes of the analysis, eight of which are surface mines, and five of which are underground mines (three longwall and two room and pillar mines). In addition, there are separate appendices that address longwall mining and coal refuse facilities in detail.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.4, p. 4-70, first bullet OSMRE presumes surface mining only has surface impacts to water. Underground mining in Appalachia almost always has associated water permits.	The text cited by the commenter describes the impacts of SPR implementation by mining operations on surface waters. The commenter is correct that the focus of the section is on understanding the implications of changes to surface mining operations due to the SPR. This is because surface mining operations are anticipated to be primarily affected by the rule (Only 12 percent of compliance costs to coal mining activities are anticipated to be related to underground mining). Nonetheless, some additional text recognizing that underground mining operations may also affect surface waters

²⁰ 80 FR 44435, 44473-44476 (Jul. 27, 2015).

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			under the No Action Alternative has been added to the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	<p>Results of Quantitative Analysis of Surface Water Impacts Section 4.2.1.4, p. 4-70, third bullet</p> <p>"The engineering analysis found that direct stream impacts from underground mines were temporary; therefore, downstream improved miles from underground mines are not quantified."</p> <p>OSMRE's observation that underground mining contributes only temporarily to water quality impacts strongly suggests that the Proposed Rule should not apply to underground mining.</p>	Please refer to the preamble of the rule for discussions of the rule's applicability to underground mining.

15. Alaska

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OSM-2010-0021-0057	Alaska Dept. of Natural Resources	<p>Pg. 3-243, Section 3.7.3.6 This section states there is no specific land use data available for the Healy Valley area. The Alaska Division of Mining, Land and Water maintains planning documents for areas where coal mining is active. It appears the DEIS did not make an effort to review this plans. The state has no record in the DEIS of this information being requested or reviewed: http://dnr.alaska.gov/mlw/planning/areaplans http://dnr.alaska.gov/mlw/planning/areaplans/tanana/pdf/sub4_management_intent.pdf http://dnr.alaska.gov/mlw/planning/areaplans/tanana/pdf/sub4d.pdf http://dnr.alaska.gov/mlw/planning/areaplans/sumat/pdf/smap_2011_ch3_glenn_hwy.pdf</p>	<p>Information on the land use in Tenana Basin Subregion 4 has been included in sections 3.7.3.6 and 4.7.3.6 to better characterize the region surrounding the Usibelli Coal Mine operations.</p>
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	<p>Alaska is included in the “Northwest” Region along with Washington and Oregon. Reasoning for this breakdown is described more fully in Section 3.2.6 on page 3-83. Section 3.2.6 states that there are no current or proposed coal extraction mine permits in Oregon or Washington. I don’t believe this is correct as the John Henry mine in Washington has been proposed for reopening. Because of the extraordinary differences in its affected environment due to its sheer size and geographic separateness from Oregon and Washington, Alaska should be separated out into its own chapter. For example, Alaska has a variation in climate zones that are not adequately consider in the DEIS.</p>	<p>OSMRE acknowledges that there was a comment period in May of 2014 for an EA associated with the Pacific Coast Coal Company’s proposal to reopen the John Henry Mine (http://www.wrcc.osmre.gov/initiatives/johnHenryMine.shtm). However, no additional information is available to support if the coal mine would reopen or when this could occur. As discussed in Sections 3.1 and 3.2.6, the SPR analysis centers on the currently active coal mining field for the Northwest Region, which is located in Alaska. Additional information on the variations in climate has been added to Chapter 3.</p>
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	<p>Pg. 3-101, Section 3.3.7 Section breaks the soils in Alaska down into three ecoregions but does not reference a figure or map depicting the boundaries of these three ecoregions. Also completely leaves out the northwest arctic ecoregion.</p>	<p>Thank you for the comment. The text in this section has been edited and a figure has been added. The northwest arctic region is not included since the discussion and analysis centers exclusively on the active coal mining operations in the state of Alaska.</p>
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	<p>Pg. 3-122, Section 3.4.2.6 Even for the active mining area the description is minimal and incomplete. Compared to the description for the other regions, little is presented about the physical characteristics, geologic history and climate. In addition, the DEIS states there are two coal fields in Alaska</p>	<p>Thank you for the comment. Chapter 3 of the FEIS has been updated to provide additional information the Nenana and Matanuska coal fields.</p>

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		but goes on to lump them as the interior coal fields even though the Matanuska coal field is in South Central Alaska and is a completely different climatic, physiographic and geologic setting than the Nenana Coal fields.	
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-123, Section 3.4.2.6 Figure does not cover all of Alaska's coal fields. Suggest they use or "Map of Alaska's Coal Resources Compiled by R.D. Merritt and C.C. Hawley, 1986: or similar source. http://pubs.dggsalaskagov.us/webpubs/dggs/sr/oversized/sr037_sh001.pdf	Figure 3.1-29 includes all the coal fields in Alaska. Figure 3.4-12 was revised to further clarify that the discussion centers on the Nenana and Matanuska coal fields. The Merritt and Hawley map includes areas that are not expected to support active mining in the study timeframe and is therefore overly inclusive.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-131, Section 3.5.2 Only discusses the Yukon River Basin and the Healy Valley streams. Does not address the Matanuska or any other part of the Cook Inlet in terms of Water Resources and they are quite disparate from Healy.	The discussion in section 3.5.2 includes Matanuska. No coal mining is currently occurring, or is reasonably foreseeable in the area of the Cook Inlet. See the Master Response on Alaska.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-133, Section 3.5.2.3 Was all of Alaska used in the determination of stream lengths, or just the Tanana Basin? If it's statewide, those numbers seem low.	OSMRE has recalculated the stream length numbers to verify their accuracy. The stream lengths reported here are the lengths that fall within the study area determined by the active coal fields, and are not statewide.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-2, Section 3.0.2 The chapter states there are only two areas with active or reasonably foreseeable mining. However, PacRim Coal has submitted two applications for a mine and associated facilities in the Beluga Coal Fields within Cook Inlet Basin. While the latest application was recently submitted the initial application was submitted to AKDNR in December 2012. This area has been in the stages of the permitting process since well before 2012 and to ignore its potential and exclude it from the Affected Environment section (estimated reserves ~2.3 billion short tons subbituminous; measured reserves 275 million short tons; DGGs publication 1986) discounts an area with a substantial amount of coal reserves for the Northwest Region.	OSMRE acknowledges the submitted permit application for the Beluga Coal Fields within the Cook Inlet Basin. However, given the uncertainty of a tentative approval and length of time to establish necessary coal mining infrastructure, coal production outside of Nenana and Matanuska are not considered in this analysis. For additional information, please refer to Master Response on Alaska and Sections 3.1 and 3.2.6 of the DEIS for clarification on the study area.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-228, Section 3.6.2.6 Only lists one Federal Class I Air Quality Area, Denali National Park. There are very likely others such as Lake Clark National Park.	According to U.S. EPA, Alaska has four Class I Air Quality Areas (https://yosemite.epa.gov/r10/airpage.nsf/f3f22921988a261b882569e5005ee8bb/1cf6e1d33616e73988256a0800614727!OpenDocument): Denali National Park including Denali Wilderness, Bering Sea National Wildlife Refuge, Simonov

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			National Wildlife Refuge, and Tuxedni National Wildlife Refuge. The other three Class I Air Quality Areas are sufficiently removed from active coal field operations. A new figure was added to the EIS for clarification.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-343, Section 3.10.7 Tables I-26 through I-29 referenced in this section and found in Appendix I are very incomplete and do not list numerous state parks, national parks, and other significant public recreation and refuge lands within Alaska.	Thank you for the comment. OSMRE has corrected Appendix I Tables 26-29. Please see Tables I 1-3 for a summary of total national and state park visitations, park acreages, and revenues for Alaska.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-344, Section 3.10 "The coal fields in the Southcentral region fall mostly on the Kenai Peninsula...." This statement is incorrect. There are also the Southcentral coal fields of Beluga, the Susitna Basin and Matanuska.	The discussion is focused on certain coal fields as described elsewhere in chapter 3. This point is clarified in the FEIS.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-344, Section 3.10.7.1 Figure 3.10.6 does not include Denali State Park or Kachemak State Park (Kachemak is mentioned in the description). Chugach National Forest and Kenai National Wildlife Refuge are also both mentioned in the description but not depicted on Figure 3.10-6. Exit Glacier is part of Kenai Fjords National Park and does not require a separate listing. Other public lands of note that are missing include the Susitna Flats, Palmer Hay Flats, Goose Bay, Anchorage and Trading Bay State Game Refuges. No figures have been included of the Interior or Far North region and their respective public parks, recreation and refuge lands.	Figure 3.10.6 is not referenced for the park locations, a new figure to clarify the locations of the parks in the coal producing region has been added as Figure 3.10.6.1
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-352, Section 3.11.2.6 No mention of the proposed Chuitna mine or the mines at Wishbone Hill and Jonesville.	The statement refers only to active coal mine operations in Alaska because the SPR analysis centers only on active mining operations. Wishbone Hill permits were vacated by the US District Court of Alaska on July 7, 2016. Jonesville is permitted but not active, and the Chuitna mine is not yet permitted. Discussion of future potential mining has been clarified in the discussion of cumulative impacts.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-445, Section 3.14.2.6 States that there is minimal data available for employment and payroll in the region. This is not correct. http://labor.state.ak.us/ ; http://www.usibelli.com/McDowell-Report-StatewideSocioeconomicImpacts-of-UCM-2015l.pdf ;	OSMRE appreciates the materials provided by AKDNR. These sources validate our statement there is indeed minimal data available related to the employment and annual payroll for coal producing counties. Alaska wage data (Alaska.gov labor statistics) are organized into

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		http://www.usibelli.com/EEICreport.pdf	four categories: Fairbanks, Anchorage, Southeast, and Balance of State (all other areas). Unfortunately, these data do not provide sufficient resolution to estimate coal-producing county specific wage data.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-453, 3.14.3 Lists six "Alaska Native Village Statistical Areas (ANVSA) within the study area for this analysis." The six villages listed for the analysis are Atqasuk, Chickaloon, Knik, Ninilchik, Tyonek and Wainwright. Unclear if they used these six villages as a statistical sample for all the coal regions in the Alaska or if they consider these six the only Alaska Native communities to potentially be affected by the Action Alternatives. If it is the latter there are many more communities to include and consider.	OSMRE acknowledges that there are many native communities in Alaska. However, this analysis only considered those communities within the model mines study area. The study area for the state of Alaska is the coal-producing region highlighted in Figure 3.14-15 and discussed in Section s 3.1 and 3.2.6.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-50, Section 3.1.8.6 "The Northwest region contains bituminous and lignite resources in Alaska." This is incorrect. Alaska contains lignite, sub-bituminous, bituminous and anthracite coal resources. http://www.dggs.alaska.gov/pubs/id/2636	The text has been updated to state that Alaska contains bituminous, sub-bituminous, lignite, and anthracite coal resources.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-83, Section 3.2.6 There is more than a single operating mine in Alaska. UCM has five mines in the Healy area that are either extracting coal or actively being reclaimed.	According to the UCM website (www.usibelli.com): "...currently the only operational coal mine in the state of Alaska..." The text from UCM affirms that the Usibelli Mine is the only active coal producing operation in the Nenana Coal Field, and Usibelli Mine holds five active permits in the Nenana Coal Field.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 3-84 to 85, Section 3.2.6 This section only discusses Central Alaska and Southern Alaska coal field geology and completely leaves out the Arctic Foothills Sub province and Arctic Coastal Plain Sub province.	OSMRE acknowledges the presence of coal reserves in the Arctic sub provinces. However, the section discusses the regions in Alaska where there are established coal fields. Expanding the discussion to include the northern regions would include areas that do not include active coal mining..
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 4-223, Section 4.3.1 States that the coal severance tax in Alaska 2012 was \$40,696. According to the McDowell Group report "Statewide Socioeconomic Impacts of Usibelli Coal Mine, January 2015" prepared for UCM the Denali Borough generated approximately \$100,000 from \$0.05 per ton severance tax levied on coal and limestone extraction in	Thank you for your comment. The original value listed (\$40,696,000) was total mining severance tax for the state of Alaska. This value was updated with the severance tax value listed in the 2015 socioeconomic analysis for UCM provided by AKDNR. While still an overestimate as this severance tax value includes some

ALASKA			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		2013. UCM paid nearly all of this tax.	contribution from limestone, the \$100,000 value better represents coal related severance tax than the total mining severance tax for Alaska.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 4-231, Section 4.3.1.8 Footnote #44. "Potential increases in employment related to compliance activities may mitigate the adverse impacts associated with production-related employment changes." In plain English this appears to translate to "More government jobs required for permitting and regulatory oversight may offset the number of jobs lost from private sector mining." In Alaska's current budget climate this is not necessarily an option to include regulatory personnel without outside sources of money.	The text says "Potential increases in employment demand related to compliance activities may mitigate the adverse impacts associated with production-related employment activities." The term "compliance-related" refers to industry's additional employment to achieve compliance as a result of the Rule. There were no government jobs reported in the RIA. The text in this section was revised to clarify industry costs in relation to regulatory costs.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 4-267, Section 4.3.3.1 "The Northwest region has relatively little federal and state land and relatively few river miles within the study area." This entire paragraph and its description of recreational activities and public lands used for recreation in Alaska is incorrect and incomplete. Entire section for this chapter on the Northwest region requires more complete research and information gathering by the preparers and contributors. Resources for more complete information include among others the NPS Regional Office in Anchorage and the State of Alaska Department of Natural Resources and the Department of Fish and Game.	. Thank you for your comment. EIS Section 4.3.3.1 has been revised to include a more accurate description of the recreational resources in the Northwest region.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. G-16, Appendix G.1.6Vegetation section does not include Interior or Arctic Alaska. http://www.adfg.alaska.gov/index.cfm?adfg=lands.main	This text, including the Northwest Regions sections of Chapter 3, have been updated to include both the Interior and South Central areas of Alaska.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. G-17, Appendix G.1.7 The fauna section is inaccurate and does not contain the many of the major terrestrial wildlife found in the coal producing regions including Moose (Laces alces), Brown (Ursus arctos) and Black (Ursus americanus) bear. http://www.adfg.alaska.gov/index.cfm?adfg=animals.main	OSMRE acknowledges that these species should be included in the Appendix G text and the recommendations have been added. The recommended species have also been added to section 3.8.8
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. H-3, Appendix H "Acreage numbers for wetlands in AK should be fact checked. Appear to be undercounted."	The numbers are approximate but are correct as presented. The wetlands data represented here in Appendix H is only for the portion of AK included within the study area and not for the entire state.

ALASKA			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. I-2 Appendix I Dollar numbers should probably be fact checked.	No change required. The dollar figures of appendix I are from outside sources.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. I-33, I-34. Appendix I. Missing several state parks, national parks, wildlife refuges. The acreages listed are also highly suspect. For example, the acreage for Denali State Park in Table I-28 is shown as 1,605.31. The actual acreage for DSP is over 200 times bigger than this. According to Alaska State Parks DSP is 325,240 acres.	The areas presented are only those that intersect the study area, and in some instances of the text the text is reporting the acreage that falls within the study area versus the total acreage of the area in question. However, the comment is correct that errors were present in Appendix I and the text. This work has been redone in the FEIS.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. J-20, Appendix J These numbers look somewhat incomplete. The Alaska DNR Water Section may have more complete information.	For consistency within the EIS, the same sources (USGS, 2010, and Kenny et al., 2009) and years were used to report groundwater usage for all regions including the Northwest.
OSM-2010-0021-0057	Alaska Dept. of Natural Resources	Pg. 4-37, Section 4.1.2 This section states the only operating mine (UCM in Healy) is representative of coal production in Alaska. This completely ignores the two mines permitted in Matanuska Valley and the one currently in the permitting process in the Beluga Coal Fields. The geography, ecology, and watersheds are all varied from each other and to ignore that does not adequately represent the Alaskan environment.	As discussed in Section 3.1 and 3.2.6, the uncertainty of when coal mining operations could commence on the Matanuska Valley and the Beluga Coal Fields mines, therefore the analysis assumes that UCM's operating coal mine is representative of current and future geographies of coal mines in the Northwest.
OSM-2010-0021-0064	Alaska Coal Association	The DSEIS and RIA and incomplete - This is true specifically as they relate to Alaska. In light of the background information presented above, Alaska was only analyzed with two potential areas for coal development (Healy and the Matanuska Coal Field). This does not even include the Beluga Coal Field, which has an ongoing SEIS and permit applications on file to which OSM has tendered technical assistance in reviewing. Any potential impacts of this proposed rule as it relates to Alaska are significantly under-reported.	Please refer to Master Response on Alaska.
OSM-2010-0021-0065	EARTHJUSTICE * COOK INLETKEEPER	The DEIS does not adequately address the potential impacts of the proposed Rule to Alaskan salmon streams, nor to the communities and ecosystems in which salmon play a critical role. In its FEIS, OSMRE should consider the impacts of the Rule and alternatives on surface coal mining that would mine through or otherwise affect the salmon spawning streams that are so significant to Alaska's economy,	OSMRE acknowledges and appreciates the ecological, economic, human use, and recreational value of salmon and other anadromous fishes in Alaskan streams. The Action Alternatives of the Stream Protection Rule, including the Preferred Alternative, would require increased baseline data collection and

ALASKA			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		culture, and environment. (in same packet is some attached literature about salmon and streams)	analysis, increased monitoring during reclamation, and prohibit mining activities within 100 feet of streams unless certain criteria are met. The analysis of the Action Alternatives generally indicates beneficial impacts to biological resources and topography, geology, and soils across all regions (please see section 4.0.3 of the EIS). The analysis identifies impacts between the existing rule and the Proposed Rule. Because coal production in Alaska is low, the Action Alternatives will have little impact relative to current regulations. Please refer to the Cumulative Impacts section of EIS Chapter 4 (section 4.5) for a discussion of the impacts of the Action Alternative relative to the No Action Alternative.
OSM-2010-0021-0069	Alaska Miner Association	Alaska, despite having immense coal reserves, does not appear to be considered in the Proposed Rule documentation. No scientific studies relevant to Alaska are referenced, and no public meetings were held in Alaska. The Alaska specific details that would result from study and a request for comments would have demonstrated the uniqueness of Alaska and the inappropriateness of the proposed regulations.	Please refer to Master Response on Alaska, which describes OSMRE's consideration of Alaskan coal mining in this analysis. OSMRE designed a model mine for the Northwest region that reflects the Alaska's unique location, climate, topography, geology, and hydrology.

16. Technical Correction

TECHNICAL CORRECTION			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.1.1.3, pp. 4-35 to 4-36 "In June 2014, 785 active mines reported coal production to MSHA for 2013 (MSHA, 2014)... In fact, of the 785 actively-producing surface and underground mines operating in June, 2014, 640 were located in Appalachia." In Figure 4.1-5, the number of active coal mines adds up to 782.	The commenter is correct; the number of active coal mines in Figure 4.1-5 should add up to 785. The number of active coal mines in Appalachia was incorrectly labeled as 640, in Figure 4.1-5. This number has been updated to accurately reflect the number of active coal mines in this region (643). This edit bringing the total number of active coal mines shown in Figure 4.1-5 to 785, consistent with what was reported in the mentioned text.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.1.3, p. 4-66, Table 4.2.1-6, "Petty et al., 2010" row "Lower Cheat River Basin in Northern West Virginia" The correct name of the location is Lower Cheat River Basin, northern West Virginia.	The commenter is correct; the text has been edited.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.2.3.3, p. 4-139, Table 4.2.3-2 There are discrepancies in the calculation of totals for Alternatives 2, 3, 5, and 8.	The discrepancies are due to rounding. A note has been added to this table stating that totals may not sum due to rounding.
OSM-2010-0021-0696	Murray Energy Corporation	The subsections in Section 4.3.2 are misnumbered, with 4.3.2.2 through 4.3.2.4 missing.	We have incorporated these formatting changes into the FEIS.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.5.2.5, pp. 4-341 to 4-345, Table 4.5-1 There is an asterisk after "Status" at the top of the second column, but there is no explanation at the bottom of the table to explain what the asterisk means. Also, there is no explanation provided for what "F" and "P" mean in the Status column of the table.	The commenter is correct, the asterisk was intended to refer the reader to definitions of "F" and "P", however those were missing. Definitions have been added.
OSM-2010-0021-0696	Murray Energy Corporation	There are also contradictions between the RIA and DEIS. While the DEIS portrays increases in compliance-related jobs as a key factor in absorbing coal sector job losses, the RIA indicates that additional compliance and enforcement efforts associated with the Proposed Rule will constitute only a small burden for both industry and regulators. Exhibits 4-5 and 4-6 on pages 4-9 and 4-10 of the RIA show that compliance and enforcement activity as a result of the application of the Proposed Rule would impose an additional total of 1,014 hours of work per permit on industry and only 106.5 hours of work per permit on government. A full time, 52-week position, working a 40 hour week results in 2,080 annual hours of work. Using this approach, the combined employment opportunity	The analyses are based on the same evaluation. OSMRE did not intend to imply that compliance-related jobs could absorb the lost coal mining jobs. EIS section 4.3.1.2 has been clarified in response to public comments. Please refer to Master Response on Employment Effects and Multipliers for more details on this analysis.

TECHNICAL CORRECTION			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		associated with the compliance and enforcement component of the Proposed Rule is effectively a single halftime job per permit, per mine.	
OSM-2010-0018-10385	Wyoming County Commissioners Association	No coal mine located within the Wyoming-specific area encompassed in the Northern Rocky Mountains and Great Plains produced less than 4.3 million tons of coal in 2013. The average amount of coal produced in the PRB from all mines in 2013 was 31.18 million tons. Despite this easily verifiable data on coal production, the model mine for this region assumes annual production of only 2 million tons. This dramatic discrepancy between the "representative mine" and a realistic PRB mine calls into question every assumption made in the economic analysis as it relates to the regulatory impact on coal mining in Wyoming.	The commenter is mistaken about the size of the NRM model mine. It has an annual production of 27.2 million tons.
OSM-2010-0021-0696	Murray Energy Corporation	Section 1.0.3 Background, p. 1-5, second paragraph Thus, the 2008 SBZ rule took effect only in states with federal regulatory programs (of which only Tennessee and Washington have active coal mining or reasonably foreseeable coal mining) and on Indian lands. This statement is incorrect. Washington State likely does not have a foreseeable future in coal mining. As OSMRE recognizes later in the DEIS (at 3-2): "Coal production is not predicted in the reasonably foreseeable future in the other coal resource areas within the Northwest region (Oregon, Washington, and northern Alaska)."	The statement in Chapter 1 is true as mining has historically occurred in Washington. While the statement only clarifies the states in which the 2008 Stream Buffer Zone rule took effect, OSMRE agrees that the text could be confusing with regards to the discussion later in the EIS. The text has been removed from Chapter 1.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.10.2.6, p. 3-331 "(Tennessee Department of Environmental Conservation (DEC), 2013)" The correct name of the agency is Tennessee Department of Environment and Conservation.	Edits made.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.12.2, p. 3-364 "The eastern portion of Kentucky is considered to be part of the Appalachian Basin, while the western portion of Kentucky is considered to be part of the Illinois Basin (and the far western portion is in the Gulf region but no coal is mined in that part of the state). For purposes of this report, transportation statistics have been generated by county. Statistics for Kentucky counties located within the Appalachian Basin are presented in this section, and statistics for Kentucky counties located within the Illinois Basin are presented below." OSMRE should clarify which Kentucky counties are in each	The following Kentucky counties: Daviess, Henderson, McLean, Muhlenberg, Ohio and Webster counties were included in the Illinois Basin region. All others were included in the Appalachian Basin.

TECHNICAL CORRECTION			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		mining basin.	
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.12.4, p. 3-368 "Table 3.12-7 Texas, Other, percent of coal transported by mode by state of origin = 294" This is an error; the percent cannot exceed 100.	Edits made.
OSM-2010-0021-0696	Murray Energy Corporation	Section 3.14.2, p. 3-410 "The unemployment rate across coal-producing countries was slightly below the national rate in 2011 (7.9percent compared with 8.1 percent nationwide)."The sentence contains a typo. The word "countries" should be changed to "counties."	Edits made.

17. Regulatory Flexibility Analysis

REGULATORY FLEXIBILITY ANALYSIS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
OSM-2010-0021-0070	Luminant Mining Company LLC	Further, the DEIS fails to consider that impacts will be disproportionately felt by smaller mining companies, which comprise approximately 94 percent of all coal companies.	The Final Regulatory Flexibility Analysis (FRFA), presented in the RIA, describes not only the impacts of the rule on small entities as defined by SBA thresholds, but also provides additional detail describing potential impacts of the rule on operators with less than 20 employees. As shown, there were 188 mines, of which 167 are thought to be small entities, that reported production in 2015 and who recorded less than 20 employees. Impacts of the rule on these entities are anticipated to range from zero to 3.1 percent of estimated annual revenues. The FRFA has been revised to incorporate your concerns regarding the specific burdens faced by small operators.
OSM-2010-0021-0696	Murray Energy Corporation	Section 4.1.3, p. 4-39, sixth bullet As the DEIS states, Appalachia has hundreds of small companies (see DEIS, at 4-35, and p. 4-36 Table 4.1-2), so this amount would unduly burden these small companies, forcing them either to go out of business or sell to larger companies, which would in turn become even larger. It creates an unfair price advantage to levy the same requirements and costs across the board, when individual operations can vary significantly. This would cause a substantial number of job losses for all companies, as well as job losses for supporting businesses (e.g., suppliers, local businesses, service industry, etc.).	The FRFA acknowledges that some costs are more readily scaled to small operators than others; that is, some administrative burdens or other requirements could result in a more disproportionate cost to small operators. The FRFA has been revised to incorporate your concerns regarding the specific burdens faced by small operators. OSMRE notes that Section 507(c) of SMCRA, 30 U.S.C. § 1257(c), establishes the small operator assistance program (SOAP). To the extent that funds are appropriated for that program, this provision of SMCRA authorizes OSMRE to provide small operators with training and financial assistance in preparing certain elements of permit applications. An operator is eligible to receive training and assistance if his or her probable total annual production at all locations will not exceed 300,000 tons.
OSM-2010-0018-10055	Pennsylvania Coal Alliance	Not only are there significant environmental differences between Anthracite and Bituminous coal mine operations. There are also market differences as well. Anthracite coal production is about 0.2 percent of U.S. coal production. Bituminous coal mining in the United States totals nearly one billion tons annually, while Anthracite production is less	OSMRE agrees that the anthracite industry is a small component of annual coal production in the U.S., representing approximately 0.2 percent of U.S. total production in 2015. The industry is discussed fairly extensively in Chapter 3 of the FEIS. Due to its small size, a

REGULATORY FLEXIBILITY ANALYSIS			
COMMENT ID	AGENCY/NAME	COMMENT	RESPONSE
		<p>than two million tons annually. Additionally, Anthracite coal mining markets are significantly different from bituminous coal markets. Most bituminous coal mined in the U.S. is used for either electric power generation or coked into metallurgical coal. Anthracite on the other hand is not used for electric generation, rather its markets are generally found in the home space heating market, steel production and water filtration markets. In addition, because of their size and limited production capacity as well as foreign government's subsidies to some of the worlds larger anthracite coal producers, U.S. Anthracite coal producers face more of a challenge from foreign imports and competition than do bituminous coal mine operators. Further, along with being a small fraction with regard to production, employment in the region represents just a small fraction of U.S. coal mining jobs. Given the nature and differences between Anthracite and bituminous coal mine operations, it does not make sense to just lump our industry with the soft coal industry. We believe that a separate Anthracite specific Environmental Impact Study and Regulatory Impact Analysis should be conducted for the Anthracite Region.</p>	<p>separate model mine that was specific to the anthracite industry was not designed. This point has been clarified in the FEIS and Final RIA. In response to your comment, OSMRE has added some additional details regarding the anthracite industry into the Final RIA. In 2015, the total Anthracite production was 2,118,000 tons of which 196,000 tons was underground and 1,922,000 was surface mined. The underground mining was produced by 15 mines which is 13,000 tons per year per mine on average. The surface mining was produced by 52 mines which is 37,000 tons per year per mine on average. Anthracite coal mining (in Pennsylvania only) is regulated by SMCRA/25 PA Chapter 88 with essentially the same rules and regulations as the bituminous coal industry. The Final RIA did not define a model mine specific to address anthracite mines due to its small contribution of this industry to the current level of U.S. coal production, the small average size of the anthracite mines, and the very site specific/proprietary mining methods used in anthracite mining, which make doing a model mine analysis problematic. However, we recognize that the impacts of the SPR on these mines may result in somewhat different impacts to these operations than other mining operations in the Northern Appalachian region.</p>

K.4 List of Commenters

Below are the federal, state, local agencies, organizations, and individuals that provided comments to the DEIS for the Stream Protection Rule. Commenters are listed alphabetically followed by the comment number.

AGENCY/NAME	COMMENT ID	NOTE
Alaska Coal Association	OSM-2010-0021-0014	Comment period deadline extension request.
Alaska Coal Association	OSM-2010-0021-0064	See detailed responses to comments.
Alaska Dept. of Natural Resources	OSM-2010-0021-0057	See detailed responses to comments.
Alaska Miners Association	OSM-2010-0021-0069	See detailed responses to comments.
Alliance Coal	OSM-2010-0021-0058	See detailed responses to comments.
Anonymous	OSM-2010-0021-0015	See detailed responses to comments.
Anonymous	OSM-2010-0021-0016	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0017	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0018	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0019	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0030	See detailed responses to comments.
Anonymous	OSM-2010-0021-0032	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0033	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0038	See detailed responses to comments.
Anonymous	OSM-2010-0021-0040	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0041	See detailed responses to comments.
Anonymous	OSM-2010-0021-0043	Comment period deadline extension request.
Anonymous	OSM-2010-0021-0044	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0045	Duplicate comment, see response to comment OSM-2010-0021-0038.
Anonymous	OSM-2010-0021-0046	See detailed responses to comments.
Anonymous	OSM-2010-0021-0011	Comment period deadline extension request.
Anonymous	OSM-2015-0002-0036	See detailed responses to comments.
Arizona Game and Fish Department	OSM-2010-0021-0006	General support.
Bill Brasky	OSM-2010-0021-0031	Duplicate comment, see response to comment OSM-2010-0021-0038.
Bill Brasky	OSM-2010-0021-0042	Duplicate comment, see response to comment OSM-2010-0021-0038.
Caddo Creek Resources Company, L.L.C.	OSM-2010-0021-0022	Comment period deadline extension request.
Citizens Coal Council	OSM-2010-0018-10447	See detailed responses to comments.
Conservation Law Center	OSM-2010-0018-10336	See detailed responses to comments.
CONSOL Energy Inc.	OSM-2010-0021-0008	Comment period deadline extension request.
CONSOL Energy, Inc.	OSM-2010-0021-0059	See detailed responses to comments.
Coyote Creek	OSM-2010-0021-0021	Comment period deadline extension request.

AGENCY/NAME	COMMENT ID	NOTE
David Anonymous	OSM-2010-0021-0005	General support.
Earthjustice	OSM-2010-0021-0068	See detailed responses to comments.
Earthjustice * Cook Inletkeeper	OSM-2010-0021-0065	See detailed responses to comments.
EPA	NA	See detailed responses to comments.
Foundation for Pennsylvania Watersheds	OSM-2010-0018-10201	See detailed responses to comments.
FWS	NA	See detailed responses to comments.
Interstate Mining Compact Commission	OSM-2010-0021-0049	See detailed responses to comments.
Jim Adams	OSM-2010-0021-0029	See detailed responses to comments.
Jim Thomas	OSM-2010-0021-0039	Duplicate comment, see response to comment OSM-2010-0021-0038.
Kentucky Energy and Environment Cabinet	OSM-2010-0021-0054	See detailed responses to comments.
Kevin Boles	OSM-2010-0021-0004	General support.
Luminant Mining Company LLC and Luminant Generation Company LLC	OSM-2010-0021-0070	See detailed responses to comments.
Lunell Uahgt	OSM-2010-0021-0012	General support.
Mark Weakland Literacy	OSM-2010-0021-0009	General support.
Murray Energy	OSM-2010-0021-0696	See detailed responses to comments.
National Mining Association (NMA)	OSM-2010-0021-0062	Comment period deadline extension request.
National Mining Association (NMA)	OSM-2010-0021-0066	See detailed responses to comments.
National Mining Association (NMA)	OSM-2015-0002-0077	See detailed responses to comments.
NATURAL RESOURCE PARTNERS L.P.	OSM-2010-0021-0010	Duplicate comment, see response to comment OSM-2015-0002-0008.
Natural Resources Defense Council, Sierra Club, and Wilderness Workshop	OSM-2010-0021-0072	General support.
North American Coal	OSM-2010-0021-0023	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0024	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0025	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0026	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0034	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0035	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0037	Comment period deadline extension request.
North American Coal	OSM-2010-0021-0048	See detailed responses to comments.
Ohio Department of Natural Resources	OSM-2010-0021-0060	See detailed responses to comments.
Peabody	OSM-2010-0021-0061	See detailed responses to comments.
Peabody Energy	OSM-2015-0002-0073	See detailed responses to comments.
Pennsylvania Coal Alliance	OSM-2010-0018-10055	See detailed responses to comments.
Railroad Commission of Texas	OSM-2010-0021-0013	Comment period deadline extension request.
Resource Development Council	OSM-2010-0021-0071	See detailed responses to comments.
Rocky Mountain Elk Foundation	OSM-2010-0021-0055	See detailed responses to comments.
Roger Russell	OSM-2010-0021-0003	See detailed responses to comments.

AGENCY/NAME	COMMENT ID	NOTE
Ronald Pulley	OSM-2010-0021-0036	Comment period deadline extension request.
Sa Milner	OSM-2010-0021-0020	Comment period deadline extension request.
Society for Mining, Metallurgy & Exploration	OSM-2015-0002-0060	See detailed responses to comments.
Society for Mining, Metallurgy Exploration	OSM-2010-0021-0047	See detailed responses to comments.
The Coteau Properties Company	OSM-2010-0021-0027	Comment period deadline extension request.
Tri-State Generation and Transmission Association	OSM-2010-0018-10410	See detailed responses to comments.
Utah Mining Association	OSM-2010-0021-0056	See detailed responses to comments.
Virginia Coal and Energy Alliance	OSM-2010-0021-0063	See detailed responses to comments.
Virginia Department of Historic Resources	OSM-2010-0021-0007	See detailed responses to comments.
Wyoming County Commissioners	OSM-2010-0018-10385	See detailed responses to comments.
Wyoming Department of Environmental Quality	OSM-2010-0021-0051	Part 1 of 3 supporting documents.
Wyoming Department of Environmental Quality	OSM-2010-0021-0052	Part 2 of 3 supporting documents.
Wyoming Department of Environmental Quality	OSM-2010-0021-0053	Part 3 of 3 supporting documents.
Wyoming Department of Environmental Quality: Air Quality Division	OSM-2010-0021-0050	See detailed responses to comments.